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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No. 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 09-01-2019 , and ending 08-31-2020

Name of foundation
ROBERT W KNOX & PEARL WALLIS KNOX CHARITABLE

A Employer identification number
74-6064974

Number and street (or P.O. box number if mail is not delivered to street address) PO BOX 831041
Room/suite

B Telephone number (see instructions)
(800) 357-7094

City or town, state or province, country, and ZIP or foreign postal code
DALLAS, TX 752831041

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here............ ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here .... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 6,072,555

J Accounting method: ☐ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here .... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	97,288	97,193		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	256,827			
	b	Gross sales price for all assets on line 6a 4,653,394				
	7	Capital gain net income (from Part IV, line 2)		256,827		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	5,008	8,496		
	12	**Total.** Add lines 1 through 11	359,123	362,516		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	54,939	32,963		21,976
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)				0
	b	Accounting fees (attach schedule)	1,425	855	0	570
	c	Other professional fees (attach schedule)	14,272			14,272
	17	Interest				0
	18	Taxes (attach schedule) (see instructions)	6,453	799		0
	19	Depreciation (attach schedule) and depletion	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)	757	990		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	77,846	35,607	0	36,818
	25	Contributions, gifts, grants paid	241,500			241,500
	26	**Total expenses and disbursements.** Add lines 24 and 25	319,346	35,607	0	278,318
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	39,777			
	b	**Net investment income** (if negative, enter -0-)		326,909		
	c	**Adjusted net income** (if negative, enter -0-)			0	

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	49,997	249,858	249,858
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶		0	0
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	5,348,517	5,216,866	5,800,401
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			0
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)	1	1	22,296
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	5,398,515	5,466,725	6,072,555
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29 and 30.**			
	24	Net assets without donor restrictions			
	25	Net assets with donor restrictions			
		Foundations that do not follow FASB ASC 958, check here ▶ ☑ and complete lines 26 through 30.			
	26	Capital stock, trust principal, or current funds	5,398,515	5,466,725	
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	**Total net assets or fund balances** (see instructions)	5,398,515	5,466,725	
	30	**Total liabilities and net assets/fund balances** (see instructions)	5,398,515	5,466,725	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	5,398,515
2	Enter amount from Part I, line 27a	2	39,777
3	Other increases not included in line 2 (itemize) ▶	3	28,561
4	Add lines 1, 2, and 3	4	5,466,853
5	Decreases not included in line 2 (itemize) ▶	5	128
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	5,466,725

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
a	See Additional Data Table			
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	256,827
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	284,226	5,671,088	0.050118
2017	279,578	5,949,775	0.04699
2016	258,540	5,643,619	0.045811
2015	142,108	5,356,084	0.026532
2014	144,642	5,663,056	0.025541

2 Total of line 1, column (d)	2	0.194992
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.038998
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	5,682,586
5 Multiply line 4 by line 3	5	221,609
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,269
7 Add lines 5 and 6	7	224,878
8 Enter qualifying distributions from Part XII, line 4	8	278,318

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ **(attach copy of letter if necessary–see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b		1	3,269
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0
3	Add lines 1 and 2		3	3,269
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	3,269
6	Credits/Payments:			
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a 5,860		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 0		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	5,860
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	2,591
11	Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ▶ 2,591	**Refunded** ▶	11	0

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition)	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ______ **(2)** On foundation managers. ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ TX			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶NONE

14 The books are in care of ▶FIDUCIARY TAX SERVICES Telephone no. ▶(800) 357-7094

Located at ▶901 MAIN ST FL 9 DALLAS TX ZIP+4 ▶752023735

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

			Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.). ☐ Yes ☑ No

			Yes	No
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?.	1c		No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

a At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019?. ☐ Yes ☑ No

If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____

			Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.
▶ 20____ , 20____ , 20____ , 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No

			Yes	No
b	If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

				Yes	No
5a	During the year did the foundation pay or incur any amount to:				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**		No
	If "Yes" to 6b, file Form 8870.				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
BANK OF AMERICA N A 901 MAIN ST FL 19 DALLAS, TX 752023735	TRUSTEE 1	54,939		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	5,527,879
b	Average of monthly cash balances			1b	219,244
c	Fair market value of all other assets (see instructions)			1c	22,000
d	**Total** (add lines 1a, b, and c)			1d	5,769,123
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0		
2	Acquisition indebtedness applicable to line 1 assets			2	0
3	Subtract line 2 from line 1d			3	5,769,123
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	86,537
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	5,682,586
6	**Minimum investment return.** Enter 5% of line 5			6	284,129

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	284,129
2a	Tax on investment income for 2019 from Part VI, line 5	2a	3,269		
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	3,269
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	280,860
4	Recoveries of amounts treated as qualifying distributions			4	0
5	Add lines 3 and 4			5	280,860
6	Deduction from distributable amount (see instructions)			6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	280,860

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	278,318
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	278,318
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	3,269
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	275,049

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				280,860
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			240,670	
b Total for prior years: 20____ , 20____ , 20____		0		
3 Excess distributions carryover, if any, to 2019:				
a From 2014. 0				
b From 2015. 0				
c From 2016. 0				
d From 2017. 0				
e From 2018. 0				
f **Total** of lines 3a through e.	0			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ $ 278,318				
a Applied to 2018, but not more than line 2a			240,670	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				37,648
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2019. *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				243,212
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)	0			
9 **Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 2015. . . . 0				
b Excess from 2016. . . . 0				
c Excess from 2017. . . . 0				
d Excess from 2018. . . . 0				
e Excess from 2019. . . . 0				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2019	**(b)** 2018	**(c)** 2017	**(d)** 2016	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

BANK OF AMERICA N A - KELLY GARLO
901 MAIN ST FL 19
DALLAS, TX 752023735
(214) 209-2396
N/A

b The form in which applications should be submitted and information and materials they should include:

GO TO www.bankofamerica.com/philanthropic/grantmaking.go

c Any submission deadlines:

PLEASE GO TO WEBSITE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

FUNDS ARE DESIGNATED FOR CHARITABLE ORGANIZATIONS LOCATED IN HOUSTON OR GALVESTON, TEXAS. APPLICANTS MUST HAVE IRC SECTION 501(c)(3) EXEMPTION STATUS FROM THE IRS.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				241,500
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	97,288	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	256,827	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a O&G ROYALTY			15	5,008	
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e). .				359,123	

13 Total. Add line 12, columns (b), (d), and (e). 13 359,123

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

******	2020-11-30	******	May the IRS discuss this return with the preparer shown below (see instr.) ☐ Yes ☐ No
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Firm's name ▶				Firm's EIN ▶
Firm's address ▶				Phone no.

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1787.363 BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO INSTL CL		2018-02-06	2019-10-31
2314.898 BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO INSTL CL		2018-02-06	2020-04-09
4221.642 CMG ULTRA SHORT TERM BOND FUND		2012-02-21	2019-10-31
5561.735 CMG ULTRA SHORT TERM BOND FUND		2013-07-24	2019-10-31
15622.507 AGGREGATE BOND CTF		2019-10-31	2020-04-09
2102.373 CONESTOGA SMALL CAP FUND INSTL CL		2018-07-25	2019-10-31
979.406 CONESTOGA SMALL CAP FUND INSTL CL		2019-03-04	2019-10-31
450.207 SMALL CAP GROWTH LEADERS CTF		2019-10-31	2020-07-24
13395.402 DIAMOND HILL FDS LARGE CAP FUND CL I SHS		2019-03-04	2019-10-31
480.828 EMERGING MARKETS STOCK COMMON TRUST FUND		2019-10-31	2020-07-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
17,749		17,905	-156
21,806		23,189	-1,383
38,206		37,995	211
50,334		50,000	334
278,976		277,961	1,015
120,781		121,875	-1,094
56,267		54,543	1,724
17,869		17,699	170
384,582		347,075	37,507
32,214		34,739	-2,525

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-156
			-1,383
			211
			334
			1,015
			-1,094
			1,724
			170
			37,507
			-2,525

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
281.421 MID CAP GROWTH CTF		2019-10-31	2020-07-24
5535.437 HARDING LOEVNER FDS INC INTL EQTY PR		2019-03-04	2019-10-31
5512.814 OAKMARK INTL FUND INSTL CL		2019-03-04	2019-10-31
9807.012 HARTFORD MUT FDS II INC SCHRODERS EMERGING MKTS		2019-03-04	2019-10-31
4809.081 HARTFORD MUT FDS II INC SCHRODERS EMERGING MKTS		2019-03-04	2020-04-09
1710.054 HARTFORD MUT FDS II INC SCHRODERS EMERGING MKTS		2012-05-25	2020-04-09
2685. ISHARES S&P 100 ETF		2019-03-04	2019-10-31
1195. ISHARES CORE TOT U S BD MKT ETF		2019-03-04	2019-10-31
55. ISHARES CORE TOT U S BD MKT ETF		2019-03-04	2020-04-09
2005. ISHARES CORE TOT U S BD MKT ETF		2018-07-25	2020-04-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
13,793		13,580	213
125,765		118,126	7,639
133,134		126,684	6,450
154,657		149,557	5,100
64,153		73,338	-9,185
22,812		19,683	3,129
361,992		331,234	30,758
135,222		127,642	7,580
6,420		5,875	545
234,031		212,830	21,201

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			213
			7,639
			6,450
			5,100
			-9,185
			3,129
			30,758
			7,580
			545
			21,201

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
265. ISHARES 7-10 YEAR TREASURY BOND ETF		2019-03-04	2019-10-31
295. ISHARES 7-10 YEAR TREASURY BOND ETF		2018-07-23	2019-10-31
615. ISHARES CORE S&P MID CAP ETF		2018-07-25	2019-10-31
235. ISHARES CORE S&P MID CAP ETF		2018-07-25	2020-07-23
115. ISHARES CORE S&P MID CAP ETF		2020-04-09	2020-07-23
65. ISHARES RUSSELL 2000 ETF		2019-03-04	2019-10-31
230. ISHARES RUSSELL 2000 ETF		2019-03-04	2020-04-09
85. ISHARES RUSSELL 2000 ETF		2019-08-20	2020-04-09
120. ISHARES RUSSELL 2000 ETF		2013-07-24	2020-04-09
830. ISHARES MBS ETF		2018-07-23	2019-10-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
29,772		27,541	2,231
33,143		30,020	3,123
120,055		121,437	-1,382
43,744		46,403	-2,659
21,407		18,445	2,962
10,072		10,186	-114
28,624		36,044	-7,420
10,578		12,700	-2,122
14,934		12,502	2,432
89,945		86,071	3,874

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2,231
			3,123
			-1,382
			-2,659
			2,962
			-114
			-7,420
			-2,122
			2,432
			3,874

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
105. ISHARES 3-7 YR TREAS BD ETF		2019-03-04	2019-10-31
255. ISHARES 3-7 YR TREAS BD ETF		2018-07-23	2019-10-31
985.618 JANUS ENTERPRISE FUND CL I		2019-03-04	2019-10-31
794.487 JANUS ENTERPRISE FUND CL I		2018-04-26	2019-10-31
716.675 JOHN HANCOCK FDS III DISCIPLINED VALUE MID CAP FUND		2015-08-13	2019-10-31
739.28 JOHN HANCOCK FDS III DISCIPLINED VALUE MID CAP FUND		2015-07-27	2019-10-31
7218.941 JOHN HANCOCK FDS III DISCIPLINED VALUE MID CAP FUND		2019-03-04	2019-10-31
1278.772 JOHN HANCOCK FDS III DISCIPLINED VALUE MID CAP FUND		2014-07-07	2019-10-31
1525.32 JOHN HANCOCK FDS III DISCIPLINED VALUE MID CAP FUND		2013-07-24	2019-10-31
4317.12 LEGG MASON BW GLOBAL OPPORTUNITIES BD FUND		2018-04-26	2020-04-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
13,314		12,735	579
32,335		30,475	1,860
138,331		127,687	10,644
111,506		98,000	13,506
15,430		15,000	430
15,917		15,000	917
155,424		145,245	10,179
27,532		25,000	2,532
32,840		25,000	7,840
41,531		46,930	-5,399

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			579
			1,860
			10,644
			13,506
			430
			917
			10,179
			2,532
			7,840
			-5,399

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
6019.261 LEGG MASON BW ABSOLUTE RETURN OPPORTUNITIES FUND CL I		2018-05-01	2020-04-09
235.896 LEGG MASON BW ABSOLUTE RETURN OPPORTUNITIES FUND CL I		2019-03-04	2020-04-09
1516.716 NATIXIS LOOMIS SAYLES GROWTH FUND CL Y		2018-07-25	2019-10-31
1623.254 NATIXIS LOOMIS SAYLES GROWTH FUND CL Y		2020-04-09	2020-07-23
2915.861 NATIXIS LOOMIS SAYLES GROWTH FUND CL Y		2018-07-25	2020-07-23
9111.437 THE MERGER FD		2018-07-23	2019-10-31
431.472 THE MERGER FD		2019-03-04	2019-10-31
6861.899 NEUBERGER BERMAN HIGH INCOME BD FUND INSTL CL		2018-07-23	2020-04-09
2707.588 NEUBERGER BERMAN LONG SHORT FUND INSTL CL		2018-07-23	2019-10-31
2166.397 PIMCO FOREIGN BD US$HD INSTL		2019-03-04	2020-04-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
66,573		73,676	-7,103
2,609		2,725	-116
26,497		25,784	713
33,991		27,823	6,168
61,058		49,570	11,488
156,626		152,343	4,283
7,417		7,188	229
52,768		58,120	-5,352
39,720		40,722	-1,002
22,791		23,354	-563

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-7,103
			-116
			713
			6,168
			11,488
			4,283
			229
			-5,352
			-1,002
			-563

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1819.377 ABBEY CAPITAL FUTURES STRATEGY FUND CL I		2018-02-06	2019-10-31
793.863 ABBEY CAPITAL FUTURES STRATEGY FUND CL I		2018-02-06	2020-04-09
107.025 ABBEY CAPITAL FUTURES STRATEGY FUND CL I		2018-04-26	2020-04-09
2157.673 BOSTON PARTNERS LONG/SHORT RESH FUND INSTL CL		2018-05-01	2019-10-31
150. S&P DEPOSITARY RECEIPTS SERIES 1		2018-07-23	2019-10-31
790. S&P DEPOSITARY RECEIPTS SERIES 1		2019-03-04	2019-10-31
300. S&P DEPOSITARY RECEIPTS SERIES 1		2014-07-07	2019-10-31
1180. VANGUARD FTSE DEVELOPED MARKETS ETF		2019-03-04	2020-07-23
1365. VANGUARD INTERMEDIATE-TERM CORP BOND ETF		2019-03-04	2019-10-31
135. VANGUARD S&P 500 ETF		2019-10-31	2020-07-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
21,469		20,886	583
9,058		9,114	-56
1,221		1,207	14
33,746		36,033	-2,287
45,429		42,032	3,397
239,258		220,388	18,870
90,858		59,246	31,612
47,817		48,276	-459
124,853		115,852	9,001
40,028		37,572	2,456

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			583
			-56
			14
			-2,287
			3,397
			18,870
			31,612
			-459
			9,001
			2,456

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
3248.257 WELLS FARGO SPECIAL SMALL CAP VALUE FUND INSTL CL		2018-07-25	2019-10-31
1932.333 WELLS FARGO SPECIAL SMALL CAP VALUE FUND INSTL CL		2019-03-04	2019-10-31
1828.824 MID CAP CORE CTF		2019-10-31	2020-07-24
4600.163 SMALL CAP CORE CTF		2019-10-31	2020-07-24
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
111,675		121,875	-10,200
66,434		64,656	1,778
19,102		17,147	1,955
42,960		37,027	5,933
			19,430

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-10,200
			1,778
			1,955
			5,933

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BRIDGES TO LIFE (BTL)PO BOX 570895 HOUSTON, TX 772570895	N/A	PC	UNRESTRICTED GENERAL	5,000
CASA DE ESPERANZA DE LOS NINOS PO BOX 66581 HOUSTON, TX 772666581	N/A	PC	RESIDENTIAL PROGRAM	10,000
CATHOLIC CHARITIES OF THE ARCHDIOCESE OF GALVESTON-HOUSTON 4700 BROADWAY SUITE F103 GALVESTON, TX 77551	N/A	PC	BEACON OF HOPE CENTER -	10,000
Total . . . . ▶ **3a**				241,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CHRIST CLINIC 802 DOMINION SUITE 800 KATY, TX 77450	N/A	PC	UNRESTRICTED GENERAL	10,000
COVENANT HOUSE TEXAS 1111 LOVETT BLVD HOUSTON, TX 77006	N/A	PC	MEDICAL CLINIC & MENTAL	10,000
EPONA'S VOICE RESCUE 1119 3RD AVENUE N TEXAS CITY, TX 77590	N/A	PC	UNRESTRICTED GENERAL	15,000
Total . . . . ▶ **3a**				241,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FOREVER FAITHFUL MINISTRIES 13955 LAKE MOUNT PLEASANT MONTGOMERY, TX 77356	N/A	PC	CHILDREN'S MENTAL HEALTH &	7,500
HOPE AND HEALING CENTER & INSTITUTE 717 SAGE RD HOUSTON, TX 77056	N/A	PC	UNRESTRICTED GENERAL	10,000
NORTHWEST ASSISTANCE MINISTRIES 15555 KUYKENDAHL RD HOUSTON, TX 77090	N/A	PC	PEDIATRIC HEALTH CENTER	10,000
Total . . . . ▶ **3a**				241,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
KATY CHRISTIAN MINISTRIES PO BOX 986 KATY, TX 77496	N/A	PC	UNRESTRICTED GENERAL	2,500
PALMER DRUG ABUSE PROGRAM - HOUSTON INC 840 GESSNER SUITE 1200 HOUSTON, TX 77024	N/A	PC	UNRESTRICTED GENERAL	5,000
PRESBYTERIAN CHILDRENS HOMES & SERVICES 5920 W WILLIAM CANNON DR AUSTIN, TX 78749	N/A	PC	SINGLE PARENT FAMILY	10,000
Total . . . . ▶ **3a**				241,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
REACH UNLIMITED INC 11832 MUELLER CEMETERY RD SUITE 20 CYPRESS, TX 77429	N/A	PC	UNRESTRICTED GENERAL	5,000
SPAULDING FOR CHILDREN 6925 PORTWEST DR SUITE 110 HOUSTON, TX 77024	N/A	PC	HOUSTON SPECIAL NEEDS	15,000
SPAY-NEUTER ASSISTANCE PROGRAM PO BOX 70286 HOUSTON, TX 772700286	N/A	PC	UNRESTRICTED GENERAL	2,500
Total . . . . ▶ **3a**				241,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
STAR OF HOPE MISSION 4848 LOOP CENTRAL DR SUITE 500 HOUSTON, TX 77081	N/A	PC	NEW PATHWAYS	10,000
STREET GRACE11612 MEMORIAL DR HOUSTON, TX 77024	N/A	PC	UNRESTRICTED GENERAL	15,000
TEXAS DIAPER BANK 1803 GRANDSTAND DR SUITE 150 SAN ANTONIO, TX 78238	N/A	PC	COVID-19 DISASTER	10,000
Total . . . . ▶ **3a**				241,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HOUSTON FOOD BANK 525 PORTWALL ST HOUSTON, TX 77029	N/A	PC	UNRESTRICTED GENERAL	12,500
KATY CARES INC 6725 SOUTH FRY RD SUITE 700-507 KATY, TX 77494	N/A	PC	TRAUMA INFORMED THERAPEUTIC	6,500
GIRL SCOUTS OF SAN JACINTO COUNCIL 3310 SOUTHWEST FRWY HOUSTON, TX 770984508	N/A	PC	UNRESTRICTED GENERAL	5,000
Total . . . . ▶ **3a**				241,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CENTER FOR HEARING AND SPEECH 1417 HOUSTON AVE HOUSTON, TX 77007	N/A	PC	UNRESTRICTED GENERAL	10,000
NEMEHIAH CENTER INC 5015 FANNIN ST HOUSTON, TX 77004	N/A	PC	PRE-K PROGRAM	10,000
SEVEN ACRES JEWISH SENIOR CARE SERVICES INC 6200 BRAESWOOD BLVD HOUSTON, TX 77074	N/A	PC	UNRESTRICTED GENERAL	10,000
Total . . . . ▶ **3a**				241,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MEMORIAL ASSISTANCE MINISTRIES INC 1625 BLALOCK RD HOUSTON, TX 77080	N/A	PC	UNRESTRICTED GENERAL	10,000
HOUSTON HOSPICE 1905 HOLCOMBE BLVD HOUSTON, TX 77030	N/A	PC	PEDIATRIC HOSPICE CARE	15,000
Total . . . . ▶ **3a**				241,500

TY 2019 Accounting Fees Schedule

Name: ROBERT W KNOX & PEARL WALLIS KNOX CHARITABLE

EIN: 74-6064974

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE - BOA	1,425	855		570

TY 2019 General Explanation Attachment

Name: ROBERT W KNOX & PEARL WALLIS KNOX CHARITABLE

EIN: 74-6064974

General Explanation Attachment

Identifier	Return Reference	Explanation	
1	FEDERAL FOOTNOTE	PART VIII LIST OF OFFICERS AND DIRECTORS	THE COMPENSATION SHOWN ON THE RETURN THAT IS PAID TO BANK OF AMERICA, N.A. AS CORPORATE TRUSTEE IS NOT CALCULATED BASED UPON AN HOURLY RATE FOR THE TIME SPENT BY THE TRUSTEE; RATHER, BANK OF AMERICA'S COMPENSATION AS CORPORATE TRUSTEE IS CALCULATED USING A MARKET VALUE FEE SCHEDULE. THE TRUST OFFICER'S TIME SPENT PERFORMING ADMINISTRATIVE RESPONSIBILITIES FOR THIS FOUNDATION AVERAGES ONE HOUR PER WEEK. IN ADDITION, TIME IS SPENT BY OTHER STAFF MEMBERS FOR RECORDKEEPING, INVESTMENT MANAGEMENT, INCOME COLLECTION, RENDERING STATEMENTS AND ACCOUNTINGS, REGULATORY REPORTING, REGULATORY COMPLIANCE, AND TAX SERVICES.

TY 2019 Investments Corporate Stock Schedule

Name: ROBERT W KNOX & PEARL WALLIS KNOX CHARITABLE

EIN: 74-6064974

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
464287507 ISHARES CORE S&P MID	107,729	169,462
464287655 ISHARES RUSSELL 2000	55,999	105,692
47803W406 JOHN HANCOCK FDS III		
19765E823 CMG ULTRA SHORT TERM		
78462F103 SPDR S&P 500 ETF TR		
41665H847 HARTFORD MUT FDS II		
464287226 ISHARES CORE US AGGR		
464287440 ISHARES 7-10 YEAR TR		
464288588 ISHARES MBS ETF		
464288661 ISHARES 3-7 YR TREAS		
207019704 CONESTOGA SMALL CAP		
47103C795 JANUS ENTERPRISE FUN		
543487110 NATIXIS LOOMIS SAYLE	283,980	393,852
64128R608 NEUBERGER BERMAN LON	115,237	128,784
74925K581 BOSTON PARTNERS LONG	114,358	98,708
94975P447 WELLS FARGO SPECIAL		
524686334 LEGG MASON BW GLOBAL		
64128K868 NEUBERGER BERMAN HIG		
524686672 LEGG MASON BW ABSOLU		
74925K367 ABBEY CAPITAL FUTURE	44,973	45,919
589509108 THE MERGER FD		
693390882 PIMCO FOREIGN BD US$	120,718	122,723
880208400 TEMPLETON GLOBAL BD		
464287101 ISHARES S&P 100 ETF		
921943858 VANGUARD FTSE DEVELO	190,035	204,326
92206C870 VANGUARD INTERMEDIAT		
922908363 VANGUARD S&P 500 ETF	450,868	529,683
25264S841 DIAMOND HILL FDS LAR		
09260B382 BLACKROCK STRATEGIC	120,619	124,128
25159L836 DEUTSCHE ENHANCED CO	234,399	204,940

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
412295107 HARDING LOEVNER FDS		
413838723 OAKMARK INTL FUND IN		
09250J734 BLACKROCK EVENT DRIV	87,062	84,519
25264S833 DIAMOND HILL LONG-SH	76,651	85,312
62827P816 CATALYST/MILLBURN HE	116,083	105,073
74253Q747 PRINCIPAL MIDCAP BLE	128,338	137,313
74253Q416 PRINCIPAL PFD SECS F	54,441	55,305
74440Y884 PGIM HIGH YIELD FUND	102,201	113,181
95768D400 WESTERN ASSET MACRO	58,041	54,833
41665X859 HARTFORD SCHRODERS E	30,317	44,830
72201F490 PIMCO INCOME FUND IN	255,503	269,023
746704501 PUTNAM DIVERSIFIED I	63,876	67,559
880208772 TEMPLETON GLOBAL BON	45,024	36,800
202671913 AGGREGATE BOND CTF	297,493	300,724
207543877 SMALL CAP GROWTH LEA	117,728	127,103
29099J109 EMERGING MARKETS STO	142,512	139,587
302993993 MID CAP VALUE CTF	128,663	130,145
303995997 SMALL CAP VALUE CTF	123,528	129,594
323991307 MID CAP GROWTH CTF	127,451	132,633
45399C107 DIVIDEND INCOME COMM	466,548	515,746
99Z466197 INTERNATIONAL FOCUSE	285,542	333,333
99Z639934 LARGE CAP CORE CTF	376,375	458,662
99Z639942 MID CAP CORE CTF	190,646	222,685
99Z639959 SMALL CAP CORE CTF	103,928	128,224

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TY 2019 Other Assets Schedule

Name: ROBERT W KNOX & PEARL WALLIS KNOX CHARITABLE

EIN: 74-6064974

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
9O3123338 OIL GAS OR OTHER MIN	1	1	22,296

TY 2019 Other Decreases Schedule

Name: ROBERT W KNOX & PEARL WALLIS KNOX CHARITABLE

EIN: 74-6064974

Description	Amount
NET ROUNDING	2
ROC BASIS ADJUSTMENT	126

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TY 2019 Other Expenses Schedule

Name: ROBERT W KNOX & PEARL WALLIS KNOX CHARITABLE

EIN: 74-6064974

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
O&G ROYALTY:		0		0
* PRODUCTION TAXES	203	203		0
* AD VALOREM TAXES	366	366		0
* LEASE OPERATING	8	8		0
OTHER ALLOCABLE EXPENSES - CTF	180	180		0
PARTNERSHIP EXPENSES		233		0

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TY 2019 Other Income Schedule

Name: ROBERT W KNOX & PEARL WALLIS KNOX CHARITABLE

EIN: 74-6064974

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
GROSS O&G ROYALTY INCOME	5,008	5,008	
PARTNERSHIP INCOME		3,488	

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TY 2019 Other Increases Schedule

Name: ROBERT W KNOX & PEARL WALLIS KNOX CHARITABLE

EIN: 74-6064974

Description	Amount
NET FACTORING ADJUSTMENT	28,561

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TY 2019 Other Professional Fees Schedule

Name: ROBERT W KNOX & PEARL WALLIS KNOX CHARITABLE

EIN: 74-6064974

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GRANTMAKING FEES - BOA	14,272			14,272

TY 2019 Taxes Schedule

Name: ROBERT W KNOX & PEARL WALLIS KNOX CHARITABLE

EIN: 74-6064974

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	123	123		0
EXCISE TAX ESTIMATES	5,654	0		0
FOREIGN TAXES ON QUALIFIED FOR	534	534		0
FOREIGN TAXES ON NONQUALIFIED	142	142		0