

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	7,813	44,702	44,702
	2 Savings and temporary cash investments	331,262	265,126	265,126
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	446,127	548,470	562,341
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment: basis ▶ _____ 6,613 Less: accumulated depreciation (attach schedule) ▶ _____ 6,613			
15 Other assets (describe ▶ _____)	0	0	2,400,000	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	785,202	858,298	3,272,169	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)	316	1,184	
	23 Total liabilities (add lines 17 through 22)	316	1,184	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ ☒ and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions	784,886	857,114	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☐ and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances (see instructions)	784,886	857,114	
	30 Total liabilities and net assets/fund balances (see instructions) .	785,202	858,298	

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	784,886
2 Enter amount from Part I, line 27a	2	72,228
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	857,114
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	857,114

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	-6,928
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	-1,723

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	356,684	3,186,519	0.111935
2017	440,754	3,027,240	0.145596
2016	424,642	2,903,479	0.146253
2015	294,601	2,775,419	0.106146
2014	537,333	3,093,274	0.173710

2 Total of line 1, column (d)	2	0.683640
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.136728
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	3,183,134
5 Multiply line 4 by line 3	5	435,224
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	4,476
7 Add lines 5 and 6	7	439,700
8 Enter qualifying distributions from Part XII, line 4	8	243,579

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	8,952
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	8,952
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	8,952
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	12,200
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d.	7	12,200
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached.	8	14
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,234
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax 3,234 Refunded	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
c Did the foundation file Form 1120-POL for this year?		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0 (2) On foundation managers. \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		No
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>HTTP://WWW.JOHNMYMERCERFOUNDATION.COM</u>	13	Yes	
14	The books are in care of ► <u>PRAGER METIS CPAS LLC</u> Telephone no. ► <u>(310) 207-2220</u>			

Located at ► 2381 ROSECRANS AVENUE 350 EL SEGUNDO CAZIP+4 ► 90245

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ► ☐			
	and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions ☐	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a	During the year did the foundation pay or incur any amount to:		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No	
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	☐ Yes ☒ No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.	5b	
	Organizations relying on a current notice regarding disaster assistance check here.	☐	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	☐ Yes ☐ No	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.	6b	No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	7b	
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☒ No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 DIRECT GRANTS TO CHARITABLE ORGANIZATIONS IN THE FIELDS OF HIGHER EDUCATION, COMMUNICATIONS, MUSIC AND HEALTH. FIVE ORGANIZATIONS SERVED.	204,825
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ►	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	531,524
b	Average of monthly cash balances.	1b	300,084
c	Fair market value of all other assets (see instructions).	1c	2,400,000
d	Total (add lines 1a, b, and c).	1d	3,231,608
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	3,231,608
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	48,474
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,183,134
6	Minimum investment return. Enter 5% of line 5.	6	159,157

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	159,157
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	8,952
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	8,952
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	150,205
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	150,205
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	150,205

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	243,579
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	243,579
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	243,579

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				150,205
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2019:				
a From 2014.	394,665			
b From 2015.	201,744			
c From 2016.	293,692			
d From 2017.	302,850			
e From 2018.	209,541			
f Total of lines 3a through e.	1,402,492			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ 243,579				
a Applied to 2018, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				150,205
e Remaining amount distributed out of corpus	93,374			
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	1,495,866			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).	394,665			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a.	1,101,201			
10 Analysis of line 9:				
a Excess from 2015.	201,744			
b Excess from 2016.	293,692			
c Excess from 2017.	302,850			
d Excess from 2018.	209,541			
e Excess from 2019.	93,374			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling.	2012-05-05
b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)	

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers: a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).) b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.	
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.	
a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed: FRANK SCARDINO C/O PRAGER METIS CPAS 2381 ROSECRANS AVE 350 EL SEGUNDO, CA 90245 (310) 207-2220 EXECDIRECTOR@JOHNNYMERCERFOUNDATION.COM	
b The form in which applications should be submitted and information and materials they should include: INITIAL APPROACH - LETTER	
c Any submission deadlines: MAY 1	
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors: NONE	

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	204,825
b <i>Approved for future payment</i>				
Total			▶ 3b	0

Enter gross amounts unless otherwise indicated.

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Form **990-PF** (2019)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
ALGER SPECTRA FUND CLASS Z		2018-05-16	2019-09-06
ALGER SPECTRA FUND CLASS Z		2018-05-16	2019-12-03
ALGER SPECTRA FUND CLASS Z		2018-05-16	2020-04-27
ALGER SPECTRA FUND CLASS Z		2018-12-18	2020-04-27
ALGER SPECTRA FUND CLASS Z		2019-11-14	2020-04-27
ALGER SPECTRA FUND CLASS Z		2019-12-18	2020-04-27
AMER FUNDS NEW PERSPECTIVE FUND CLASS F2		2019-05-01	2019-09-06
AMER FUNDS NEW WORLD FUND CLASS F2		2019-05-01	2019-09-06
AMER FUNDS NEW WORLD FUND CLASS F2		2019-05-01	2019-12-03
AQR U.S. DEFENSIVE EQUITY FUND FUND CLASS I		2019-05-01	2019-12-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
210		206	4
5,065		4,873	192
6,974		6,989	-15
3,365		2,798	567
1,039		1,073	-34
802		803	-1
6,770		6,640	130
120		118	2
3,493		3,326	167
9,951		9,235	716

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (l) over col. (j), if any	
			4
			192
			-15
			567
			-34
			-1
			130
			2
			167
			716

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
BARON DISCOVERY FUND CLASS I		2019-05-01	2019-12-03
BRANDES INSTITUTION INTL EQUITY FUND		2019-05-01	2019-08-08
BRANDES INSTITUTION INTL EQUITY FUND		2019-06-28	2019-08-08
EATON VANCE FLTNG RT & HIGH INCOME FD I		2019-05-01	2019-09-06
EATON VANCE FLTNG RT & HIGH INCOME FD I		2019-05-31	2019-09-06
EATON VANCE FLTNG RT & HIGH INCOME FD I		2019-06-28	2019-09-06
EATON VANCE FLTNG RT & HIGH INCOME FD I		2019-07-31	2019-09-06
EATON VANCE FLTNG RT & HIGH INCOME FD I		2019-08-30	2019-09-06
FIRST TRUST CAPITAL STRENGTH ETF		2019-08-08	2019-12-03
FIRST TRUST VALUE LINE DIVID INDEX FUND		2018-11-09	2019-12-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,281		2,260	21
20,118		22,135	-2,017
340		358	-18
3,596		3,646	-50
14		14	0
15		15	0
18		18	0
15		15	0
9,931		9,577	354
6,170		5,521	649

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			21
			-2,017
			-18
			-50
			0
			0
			0
			0
			354
			649

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
INVESCO INTERNATIONAL SMALL-MID COMPANY FUND CLASS Y		2017-04-28	2019-12-03
INVESCO S&P SMALLCAP 600 REV ETF		2017-04-28	2019-11-14
INVESCO S&P SMALLCAP 600 REV ETF		2017-04-28	2019-12-03
INVESCO S&P SMALLCAP 600 REV ETF		2017-04-28	2020-04-07
INVESCO S&P SMALLCAP 600 REV ETF		2018-05-16	2020-04-07
INVESCO S&P SMALLCAP 600 REV ETF		2019-09-06	2020-04-07
JOHN HANCOCK DISCIPLINED VALUE MID CAP FUND CLASS I		2017-04-28	2019-09-06
JOHN HANCOCK DISCIPLINED VALUE MID CAP FUND CLASS I		2017-04-28	2019-12-03
LONGBOARD MANAGED FUTURES STRATEGY FUND CLASS I		2018-05-16	2019-11-14
LONGBOARD MANAGED FUTURES STRATEGY FUND CLASS I		2018-12-07	2019-11-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,237		6,222	1,015
66		67	-1
1,940		2,017	-77
1,360		2,218	-858
1,442		2,501	-1,059
412		614	-202
160		167	-7
3,504		3,555	-51
15,377		18,561	-3,184
6		6	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,015
			-1
			-77
			-858
			-1,059
			-202
			-7
			-51
			-3,184
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
MFS INTERNATIONAL DIVERSIFICATIONF FD CL I		2019-08-08	2019-12-03
MFS MID CAP GROWTH CL I		2018-05-16	2019-09-06
MFS MID CAP GROWTH CL I		2018-05-16	2019-12-03
MIRAE ASSET EMERGING MARKETS GREAT CONSUMER FUND CLASS I		2018-05-16	2019-12-03
NUVEEN LARGE CAP CORE FUND CLASS INSTL		2019-05-01	2019-08-08
PIMCO INCOME FUND CLASS I2		2018-05-16	2019-09-06
PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND I-2		2019-05-01	2019-09-06
PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND I-2		2019-05-31	2019-09-06
PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND I-2		2019-06-28	2019-09-06
PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND I-2		2019-07-31	2019-09-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,938		6,553	385
677		570	107
3,716		3,120	596
3,435		3,317	118
25,703		26,562	-859
3,536		3,542	-6
4,399		4,375	24
12		12	0
11		11	0
12		12	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			385
			107
			596
			118
			-859
			-6
			24
			0
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND I-2		2019-08-30	2019-09-06
PUTNAM DIVERSIFIED INCOME FUND CLASS Y		2018-05-16	2019-09-06
WCM FOCUSED INTERNATIONAL GROWTH FUND CLASS INSTL		2019-06-19	2019-09-06
WCM FOCUSED INTERNATIONAL GROWTH FUND CLASS INSTL		2019-06-19	2019-12-03
AB SELECT US LONG/SHORT PORTFOLIO FUND CLASS ADV		2019-03-18	2019-09-06
AB SELECT US LONG/SHORT PORTFOLIO FUND CLASS ADV		2019-03-18	2020-07-27
AB SELECT US LONG/SHORT PORTFOLIO FUND CLASS ADV		2019-12-10	2020-07-27
AB SELECT US LONG/SHORT PORTFOLIO FUND CLASS ADV		2019-12-10	2020-07-27
AB SELECT US LONG/SHORT PORTFOLIO FUND CLASS ADV		2019-12-10	2020-07-27
AB SELECT US LONG/SHORT PORTFOLIO FUND CLASS ADV		2019-12-10	2020-07-27
ALGER SPECTRA FUND CLASS Z		2018-05-16	2019-09-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
13		13	0
84		85	-1
434		420	14
7,062		6,764	298
41		39	2
5,059		4,762	297
104		102	2
70		69	1
7		7	0
272		268	4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			0
			-1
			14
			298
			2
			297
			2
			1
			0
			4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
ALGER SPECTRA FUND CLASS Z		2018-05-16	2020-04-27
ALGER SPECTRA FUND CLASS Z		2018-12-18	2020-04-27
ALGER SPECTRA FUND CLASS Z		2019-12-18	2020-04-27
AMER FUNDS NEW PERSPECTIVE FUND CLASS F2		2019-03-18	2019-09-06
AMER FUNDS NEW WORLD FUND CLASS F2		2019-03-18	2020-07-27
BARON DISCOVERY FUND CLASS I		2019-03-18	2020-07-27
BLACKROCK TOTAL FACTOR FUND CLASS INSTL		2019-03-18	2019-09-06
BLACKROCK TOTAL FACTOR FUND CLASS INSTL		2019-03-18	2020-07-27
BLACKROCK TOTAL FACTOR FUND CLASS INSTL		2019-12-05	2020-07-27
BLACKROCK TOTAL FACTOR FUND CLASS INSTL		2019-12-05	2020-07-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,774		2,780	-6
1,545		1,285	260
304		305	-1
1,929		1,833	96
13		12	1
345		278	67
62		59	3
4,163		4,742	-579
84		98	-14
35		41	-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-6
			260
			-1
			96
			1
			67
			3
			-579
			-14
			-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
BLACKROCK TOTAL FACTOR FUND CLASS INSTL		2019-12-05	2020-07-27
BRANDES INSTITUTION INTL EQUITY FUND		2019-03-18	2019-08-08
BRANDES INSTITUTION INTL EQUITY FUND		2019-03-29	2019-08-08
BRANDES INSTITUTION INTL EQUITY FUND		2019-06-28	2019-08-08
CALAMOS MARKET NEUTRAL INCOME FUND CLASS I		2019-03-18	2020-07-27
CALAMOS MARKET NEUTRAL INCOME FUND CLASS I		2019-06-13	2020-07-27
CALAMOS MARKET NEUTRAL INCOME FUND CLASS I		2019-09-06	2020-07-27
CALAMOS MARKET NEUTRAL INCOME FUND CLASS I		2019-09-12	2020-07-27
CALAMOS MARKET NEUTRAL INCOME FUND CLASS I		2019-12-18	2020-07-27
CALAMOS MARKET NEUTRAL INCOME FUND CLASS I		2019-12-31	2020-07-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3		4	-1
5,498		6,110	-612
36		39	-3
93		98	-5
3,173		3,055	118
10		10	0
15		15	0
14		14	0
16		16	0
1		1	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			-1
			-612
			-3
			-5
			118
			0
			0
			0
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CALAMOS MARKET NEUTRAL INCOME FUND CLASS I		2020-03-19	2020-07-27
CALAMOS MARKET NEUTRAL INCOME FUND CLASS I		2020-06-18	2020-07-27
EATON VANCE FLTNG RT & HIGH INCOME FD I		2019-03-18	2019-09-06
EATON VANCE FLTNG RT & HIGH INCOME FD I		2019-03-29	2019-09-06
EATON VANCE FLTNG RT & HIGH INCOME FD I		2019-04-30	2019-09-06
EATON VANCE FLTNG RT & HIGH INCOME FD I		2019-05-31	2019-09-06
EATON VANCE FLTNG RT & HIGH INCOME FD I		2019-06-28	2019-09-06
EATON VANCE FLTNG RT & HIGH INCOME FD I		2019-07-31	2019-09-06
EATON VANCE FLTNG RT & HIGH INCOME FD I		2019-08-30	2019-09-06
FIRST TRUST VALUE LINE DIVID INDEX FUND		2018-11-09	2020-07-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
13		12	1
9		9	0
8,659		8,729	-70
14		14	0
35		36	-1
36		37	-1
35		35	0
43		43	0
37		37	0
347		345	2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1
			0
			-70
			0
			-1
			-1
			0
			0
			0
			2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
INVESCO INTERNATIONAL SMALL-MID COMPANY FUND CLASS Y		2017-09-18	2020-07-27
INVESCO S&P SMALLCAP 600 REV ETF		2018-05-16	2020-04-07
INVESCO S&P SMALLCAP 600 REV ETF		2019-09-06	2020-04-07
JOHN HANCOCK DISCIPLINED VALUE MID CAP FUND CLASS I		2017-09-18	2019-09-06
LONGBOARD MANAGED FUTURES STRATEGY FUND CLASS I		2018-05-16	2019-09-06
LONGBOARD MANAGED FUTURES STRATEGY FUND CLASS I		2018-05-16	2020-07-27
LONGBOARD MANAGED FUTURES STRATEGY FUND CLASS I		2018-07-30	2020-07-27
LONGBOARD MANAGED FUTURES STRATEGY FUND CLASS I		2018-12-07	2020-07-27
MFS MID CAP GROWTH CL I		2018-05-16	2019-09-06
MFS MID CAP GROWTH CL I		2018-05-16	2020-07-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20		19	1
1,113		1,930	-817
165		246	-81
94		103	-9
391		440	-49
3,835		4,805	-970
621		737	-116
5		5	0
359		303	56
117		86	31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1
			-817
			-81
			-9
			-49
			-970
			-116
			0
			56
			31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
MIRAE ASSET EMERGING MARKETS GREAT CONSUMER FUND CLASS I		2018-05-16	2019-09-06
MIRAE ASSET EMERGING MARKETS GREAT CONSUMER FUND CLASS I		2018-05-16	2020-07-27
NUVEEN LARGE CAP CORE FUND CLASS INSTL		2019-03-18	2019-08-08
PIMCO INCOME FUND CLASS I2		2018-05-16	2019-09-06
PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND I-2		2018-05-16	2019-09-06
PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND I-2		2018-05-31	2019-09-06
PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND I-2		2018-06-29	2019-09-06
PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND I-2		2018-07-30	2019-09-06
PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND I-2		2018-07-31	2019-09-06
PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND I-2		2018-08-31	2019-09-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
195		193	2
135		118	17
7,247		7,332	-85
8,774		8,788	-14
10,099		10,117	-18
16		16	0
32		33	-1
26		26	0
32		32	0
36		36	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2
			17
			-85
			-14
			-18
			0
			-1
			0
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND I-2		2018-09-28	2019-09-06
PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND I-2		2018-10-31	2019-09-06
PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND I-2		2018-11-30	2019-09-06
PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND I-2		2018-12-26	2019-09-06
PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND I-2		2018-12-31	2019-09-06
PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND I-2		2019-01-31	2019-09-06
PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND I-2		2019-02-28	2019-09-06
PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND I-2		2019-03-29	2019-09-06
PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND I-2		2019-04-30	2019-09-06
PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND I-2		2019-05-31	2019-09-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
29		29	0
33		33	0
34		34	0
128		127	1
33		32	1
33		33	0
31		31	0
32		32	0
28		28	0
31		30	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			0
			0
			0
			1
			1
			0
			0
			0
			0
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND I-2		2019-06-28	2019-09-06
PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND I-2		2019-07-31	2019-09-06
PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND I-2		2019-08-30	2019-09-06
PUTNAM DIVERSIFIED INCOME FUND CLASS Y		2018-05-16	2019-09-06
PUTNAM DIVERSIFIED INCOME FUND CLASS Y		2018-05-16	2020-07-27
PUTNAM DIVERSIFIED INCOME FUND CLASS Y		2018-06-14	2020-07-27
PUTNAM DIVERSIFIED INCOME FUND CLASS Y		2018-07-16	2020-07-27
PUTNAM DIVERSIFIED INCOME FUND CLASS Y		2018-07-30	2020-07-27
PUTNAM DIVERSIFIED INCOME FUND CLASS Y		2018-08-14	2020-07-27
PUTNAM DIVERSIFIED INCOME FUND CLASS Y		2018-09-14	2020-07-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
26		26	0
29		29	0
31		31	0
151		151	0
12,527		13,732	-1,205
62		68	-6
49		54	-5
38		41	-3
52		56	-4
52		57	-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			0
			0
			0
			0
			-1,205
			-6
			-5
			-3
			-4
			-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
PUTNAM DIVERSIFIED INCOME FUND CLASS Y		2018-10-16	2020-07-27
PUTNAM DIVERSIFIED INCOME FUND CLASS Y		2018-11-14	2020-07-27
PUTNAM DIVERSIFIED INCOME FUND CLASS Y		2018-12-14	2020-07-27
PUTNAM DIVERSIFIED INCOME FUND CLASS Y		2019-01-15	2020-07-27
PUTNAM DIVERSIFIED INCOME FUND CLASS Y		2019-02-13	2020-07-27
PUTNAM DIVERSIFIED INCOME FUND CLASS Y		2019-03-14	2020-07-27
PUTNAM DIVERSIFIED INCOME FUND CLASS Y		2019-03-18	2020-07-27
WCM FOCUSED INTERNATIONAL GROWTH FUND CLASS INSTL		2019-06-19	2019-09-06
WCM FOCUSED INTERNATIONAL GROWTH FUND CLASS INSTL		2019-06-19	2020-07-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
53		57	-4
52		55	-3
53		55	-2
53		55	-2
52		56	-4
53		56	-3
4,798		5,107	-309
3,727		3,608	119
444		360	84

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-4
			-3
			-2
			-2
			-4
			-3
			-309
			119
			84

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
CHARLES S TIGERMAN	DIRECTOR, OFFICER 0.00	0	0	0
C/O PRAGER METIS CPAS LLC 2381 ROSECRANS AVE 350 EL SEGUNDO, CA 90245				
JOHN MARSHALL	PRESIDENT, CHAIRMAN, DIREC 0.00	0	0	0
C/O PRAGER METIS CPAS LLC 2381 ROSECRANS AVE 350 EL SEGUNDO, CA 90245				
ALVIN DEUTSCH ESQ	DIRECTOR, OFFICER 0.00	0	0	0
C/O PRAGER METIS CPAS LLC 2381 ROSECRANS AVE 350 EL SEGUNDO, CA 90245				
JEANNE ROCCON ROHM	VP, DIRECTOR 0.00	0	0	0
C/O PRAGER METIS CPAS LLC 2381 ROSECRANS AVE 350 EL SEGUNDO, CA 90245				
NEIL J GILLIS	TREASURER, DIRECTOR 0.00	0	0	0
C/O PRAGER METIS CPAS LLC 2381 ROSECRANS AVE 350 EL SEGUNDO, CA 90245				
AMANDA MCBROOM	DIRECTOR 0.00	0	0	0
C/O PRAGER METIS CPAS LLC 2381 ROSECRANS AVE 350 EL SEGUNDO, CA 90245				
JONATHAN BRIELLE	VP, DIRECTOR 0.00	0	0	0
C/O PRAGER METIS CPAS LLC 2381 ROSECRANS AVE 350 EL SEGUNDO, CA 90245				
MICHAEL A KERKER	DIRECTOR 0.00	0	0	0
C/O PRAGER METIS CPAS LLC 2381 ROSECRANS AVE 350 EL SEGUNDO, CA 90245				
DIANE S THURMAN	DIRECTOR 0.00	0	0	0
C/O PRAGER METIS CPAS LLC 2381 ROSECRANS AVE 350 EL SEGUNDO, CA 90245				
MICHAEL P PRICE	DIRECTOR 0.00	0	0	0
C/O PRAGER METIS CPAS LLC 2381 ROSECRANS AVE 350 EL SEGUNDO, CA 90245				
SUSAN M BALLATI	SECRETARY, DIRECTOR 0.00	0	0	0
C/O PRAGER METIS CPAS LLC 2381 ROSECRANS AVE 350 EL SEGUNDO, CA 90245				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ALLIANCE THEATRE 1280 PEACHTREE STREET NE ATLANTA, GA 30309	NONE	PUBLIC CHARITY	ALLIANCE THEATRE EDUCATION DEPARTMENT	-9,000
DIRECTOR OF DEVELOPMENT GOODSPEED MUSICALS PO BOX A EAST HASSAM, CT 064230281	NONE	PUBLIC CHARITY	JOHNNY MERCER WRITERS COLONY AT GOODSPEED MUSICALS	44,000
GEORGIA STATE UNIVERSITY 33 GILMER STREET SE ATLANTA, GA 30303	NONE	PUBLIC CHARITY	UNRESTRCTED	50,000
Total ► 3a				204,825

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>				
KAUFMAN CENTER129 WEST 67 STREET NEW YORK, NY 10023	NONE	PUBLIC CHARITY	ACCENTUATE THE POSITIVE PROGRAM	21,600
NEW JERSEY PERFORMING ARTS CENTER 1 CENTER STREET NEWARK, NJ 07102	NONE	PUBLIC CHARITY	NJPAC PROJECT, JMF/NJPAC MUSICAL THEATER	40,000
NORTHWESTERN UNIVERSITY 633 CLARK STREET EVANSTON, IL 60208	NONE	PUBLIC CHARITY	JOHNNY MERCER SONGWRITERS PROJECT	58,225
Total ► 3a				204,825

TY 2019 Accounting Fees Schedule**Name:** THE JOHNNY MERCER FOUNDATION**EIN:** 95-3728115

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES: ROYALTIES AND COPYRIGHTS	28,145	28,145	28,145	0
ACCOUNTING AND TAX FEES	29,617	0	0	0

TY 2019 Investments Corporate Stock Schedule**Name:** THE JOHNNY MERCER FOUNDATION**EIN:** 95-3728115**Investments Corporation Stock Schedule**

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CORPORATE STOCK	548,470	562,341

TY 2019 Legal Fees Schedule**Name:** THE JOHNNY MERCER FOUNDATION**EIN:** 95-3728115

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES: ROYALTIES AND COPYRIGHTS	7,758	7,758	7,758	0
OTHER LEGAL FEES	2,574	0	0	0

TY 2019 Other Assets Schedule**Name:** THE JOHNNY MERCER FOUNDATION**EIN:** 95-3728115**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
JOHNNY MERCER MUSIC CATALOGUE	0	0	2,400,000

TY 2019 Other Expenses Schedule**Name:** THE JOHNNY MERCER FOUNDATION**EIN:** 95-3728115**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OFFICE EXPENSE	2,329	0	0	0
INSURANCE	1,966	0	0	0
BANK FEES	54	0	0	0
FIU WORKSHOP AND MODULES DEVELOPMENT	35,000	0	0	0
DUES & SUBSCRIPTION	275	0	0	0
FILING FEES	85	0	0	0
GOODSPEED STIPEND	1,500	0	0	0

TY 2019 Other Income Schedule

Name: THE JOHNNY MERCER FOUNDATION

EIN: 95-3728115

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ROYALTY INCOME	474,306	474,306	474,306

TY 2019 Other Liabilities Schedule**Name:** THE JOHNNY MERCER FOUNDATION**EIN:** 95-3728115

Description	Beginning of Year - Book Value	End of Year - Book Value
PAYROLL LIABILITIES	316	1,184

TY 2019 Other Professional Fees Schedule**Name:** THE JOHNNY MERCER FOUNDATION**EIN:** 95-3728115

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	6,066	6,066	6,066	0

TY 2019 Taxes Schedule**Name:** THE JOHNNY MERCER FOUNDATION**EIN:** 95-3728115

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL TAXES	3,672	0	0	2,754
FEDERAL EXCISE TAX	21,240	0	0	0

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Go to <u>www.irs.gov/Form990</u> for the latest information.	OMB No. 1545-0047
		2019
Name of the organization THE JOHNNY MERCER FOUNDATION		Employer identification number 95-3728115

Organization type (check one):

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
THE JOHNNY MERCER FOUNDATION

Employer identification number
95-3728115

Part I**Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	VARIOUS CONTRIBUTIONS C/O P-M CPAS LLC 2381 ROSECRANS AVE EL SEGUNDO, CA 90245	\$ 11,250	☒ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)

Name of organization THE JOHNNY MERCER FOUNDATION	Employer identification number 95-3728115
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Part II Noncash Property			
(a) No. from Part I	(b) Description of noncash property given (see instructions). Use duplicate copies of Part II if additional space is needed.	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	

Employer identification number

95-3728115

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____