

efile GRAPHIC print - DO NOT PROCESS

As Filed Data -

DLN: 93491317018010

Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 08-01-2019, and ending 07-31-2020

Name of foundation
PATTON FOUNDATION INC

Number and street (or P.O. box number if mail is not delivered to street address)
128 S TRYON ST SUITE 1580

City or town, state or province, country, and ZIP or foreign postal code
CHARLOTTE, NC 28210

G Check all that apply:

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶\$ 655,136

J Accounting method:

☐ Cash

☐ Accrual

☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

A Employer identification number
23-7026742

B Telephone number (see instructions)

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here..... ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I

Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)			
	2 Check ▶ <input type="checkbox"/> if the foundation is not required to attach Sch. B			
	3 Interest on savings and temporary cash investments	42	42	
	4 Dividends and interest from securities	14,840	13,478	
	5a Gross rents			
	b Net rental income or (loss) _____			
	6a Net gain or (loss) from sale of assets not on line 10	16,035		
	b Gross sales price for all assets on line 6a _____ 820,781			
	7 Capital gain net income (from Part IV, line 2)		16,035	
	8 Net short-term capital gain			0
	9 Income modifications			
	10a Gross sales less returns and allowances _____			
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	30,917	29,555		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.			
	14 Other employee salaries and wages		0	0
	15 Pension plans, employee benefits		0	0
	16a Legal fees (attach schedule)			0
	b Accounting fees (attach schedule)	750	750	0
	c Other professional fees (attach schedule)	6,122	6,122	0
	17 Interest			0
	18 Taxes (attach schedule) (see instructions)	1,483	335	0
	19 Depreciation (attach schedule) and depletion	0	0	
	20 Occupancy			
	21 Travel, conferences, and meetings		0	0
	22 Printing and publications		0	0
	23 Other expenses (attach schedule)			
	24 Total operating and administrative expenses. Add lines 13 through 23	8,355	7,207	0
	25 Contributions, gifts, grants paid	30,500		30,500
	26 Total expenses and disbursements. Add lines 24 and 25	38,855	7,207	0
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-7,938			
b Net investment income (if negative, enter -0-)		22,348		
c Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

Cat. No. 11289X

Form 990-PF (2019)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	11,719	13,456	13,456
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	596,434	586,625	641,680
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			0
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	608,153	600,081	655,136	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	608,153	600,081	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
29 Total net assets or fund balances (see instructions)	608,153	600,081		
30 Total liabilities and net assets/fund balances (see instructions) .	608,153	600,081		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	608,153
2 Enter amount from Part I, line 27a	2	-7,938
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	600,215
5 Decreases not included in line 2 (itemize) ▶ _____	5	134
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	600,081

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	16,035
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	30,500	629,836	0.048425
2017	30,500	664,138	0.045924
2016	30,333	593,578	0.051102
2015	30,598	563,130	0.054336
2014	31,886	629,472	0.050655

2 Total of line 1, column (d)	2	0.250442
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.050088
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	627,477
5 Multiply line 4 by line 3	5	31,429
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	223
7 Add lines 5 and 6	7	31,652
8 Enter qualifying distributions from Part XII, line 4	8	30,500

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	447
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	447
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	447
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	824
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	824
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	377
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax ▶ 377 Refunded ▶	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NC _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► _____	13	Yes	
14	The books are in care of ► <u>FIRST CITIZENS BANK</u> Telephone no. ► <u>(704) 338-4396</u>			

Located at ► 128 S TRYON ST STE 1580 CHARLOTTE NC ZIP+4 ► 28210

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here	<input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year	► 15		
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ► _____			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b	
	Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/>		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a	During the year did the foundation pay or incur any amount to:		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	<input type="checkbox"/> Yes <input type="checkbox"/> No	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.		6b No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?		7b
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
John C Patton 9568 Van Wyck Rd Lancaster, SC 29720	Director 1	0		
J Darren Patton 9568 Van Wyck Rd Lancaster, SC 29720	Director 1	0		
Jed W Patton 9568 Van Wyck Rd Lancaster, SC 29720	Director 1	0		
Cindy O Patton 9568 Van Wyck Rd Lancaster, SC 29720	Director 1	0		
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ►	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	625,444
b	Average of monthly cash balances.	1b	11,588
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	637,032
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	637,032
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	9,555
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	627,477
6	Minimum investment return. Enter 5% of line 5.	6	31,374

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	31,374
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	447
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	447
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	30,927
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	30,927
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	30,927

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	30,500
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	30,500
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	30,500

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				30,927
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2019:				
a From 2014.	0			
b From 2015.	2,307			
c From 2016.	988			
d From 2017.	0			
e From 2018.	0			
f Total of lines 3a through e.	3,295			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ _____ 30,500				
a Applied to 2018, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				30,500
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)	427			427
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	2,868			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a.	2,868			
10 Analysis of line 9:				
a Excess from 2015.	1,880			
b Excess from 2016.	988			
c Excess from 2017.	0			
d Excess from 2018.	0			
e Excess from 2019.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	30,500
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Form **990-PF** (2019)

Part XVII

	Yes	No
--	-----	----

--	--	--

1a(1)		No
1a(2)		No

--	--	--

1b(1)	No
--------------	-----------

1b(2)		No
--------------	--	-----------

1b(3)		No
--------------	--	-----------

1b(4)		No
--------------	--	-----------

1b(5)	No
--------------	-----------

1b(6)		No
--------------	--	-----------

1c		No
----	--	----

value
ue

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

<p>Sign Here</p> <p>*****</p> <p>_____</p> <p>Signature of officer or trustee</p>	<p>2020-11-10</p> <p>_____</p> <p>Date</p>	<p>*****</p> <p>_____</p> <p>Title</p>
--	--	--

May the IRS discuss this return with the preparer shown below (see instr.) ☐ **Yes** ☐ **No**

Paid Preparer Use Only	DOAK ARTHUR PFAFF		2020-11-10		
	Firm's name ► ERNST & YOUNG US LLP				Firm's EIN ► 34-6565596
	Firm's address ► 2100 EAST CARY STREET SUITE 201 Richmond, VA 232237078				Phone no. (804) 344-4557

May the IRS discuss this return with the preparer shown below

(see instr.) ☐ Yes ☐ No

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
325.037 KELLNER MERGER FUND		2018-04-03	2019-10-07
338.458 AC ALTERNATIVES MARKET NEUTRAL VALUE FUND			2019-10-07
79.503 ISHARES MSCI EAFE INTERNATIONAL INDEX FUND CLASS K #1804		2016-10-07	2019-10-07
401.138 BLACKROCK HIGH YIELD BOND PORTFOLIO CLASS K #340			2019-10-07
118.913 BLACKROCK HIGH YIELD BOND PORTFOLIO CLASS K #340			2019-10-07
6.554 COHEN & STEERS REAL ESTATE SECURITIES FUND CLASS I #1260			2019-10-07
135.793 COHEN & STEERS REAL ESTATE SECURITIES FUND CLASS I #1260		2018-07-02	2019-10-07
694.98 COLUMBIA MID CAP INDEX FUND INSTL #296			2019-10-07
1607.235 COLUMBIA MID CAP INDEX FUND INSTL #296			2019-10-07
236.307 COLUMBIA CONTRARIAN CORE FUND INSTL #6262			2019-10-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,637		3,475	162
3,307		3,652	-345
1,041		954	87
3,065		2,968	97
908		908	
117		110	7
2,431		2,095	336
10,487		10,273	214
24,253		24,597	-344
6,227		5,407	820

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			162
			-345
			87
			97
			7
			336
			214
			-344
			820

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
771.685 COLUMBIA CONTRARIAN CORE FUND INSTL #6262			2019-10-07
720.75 DFA INTERNATIONAL REAL ESTATE SECURITIES FUND			2019-10-07
237.918 EATON VANCE GLBL MACRO ABS RET ADVTG R6		2018-04-03	2019-10-07
.151 EATON VANCE GLBL MACRO ABS RET ADVTG R6		2018-12-31	2019-10-07
5.591 FIDELITY ADVISIOR INTERNATIONAL REAL ESTATE FUND			2019-10-07
138.272 FIDELITY ADVISIOR INTERNATIONAL REAL ESTATE FUND			2019-10-07
8.933 GLENMEDE SECURED OPTIONS PORTFOLIO			2019-10-07
312.788 GLENMEDE SECURED OPTIONS PORTFOLIO		2018-10-03	2019-10-07
1133.825 GLENMEDE LARGE CAP CORE PORTFOLIO - INST #5559			2019-10-07
287.231 GLENMEDE LARGE CAP CORE PORTFOLIO - INST #5559			2019-10-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,334		18,563	1,771
3,906		3,823	83
2,453		2,484	-31
2		1	1
68		67	1
1,681		1,592	89
114		105	9
3,982		4,085	-103
29,241		29,654	-413
7,408		7,083	325

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,771
			83
			-31
			1
			1
			89
			9
			-103
			-413
			325

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
35. GOLDMAN SACHS EMERGING MARKETS EQUITY INSIGHTS FUND CLASS R6 #4650		2017-04-24	2019-10-07
65.85 OAKMARK FUND - INST #2876			2019-10-07
230.247 OAKMARK FUND - INST #2876		2018-10-03	2019-10-07
615.479 HARTFORD EMERGING MARKETS LOCAL DEBT FUND CLASS Y #1025			2019-10-07
68.528 HARTFORD EMERGING MARKETS LOCAL DEBT FUND CLASS Y #1025			2019-10-07
40.624 WCM FOCUSED INTERNATIONAL GROWTH FUND #840		2018-07-02	2019-10-07
352.202 361 GLOBAL LONG/SHORT EQUITY FUND CLASS Y #832			2019-10-07
12.356 361 GLOBAL LONG/SHORT EQUITY FUND CLASS Y #832		2018-12-07	2019-10-07
264.411 IVY HIGH INCOME FUND CLASS N #229			2019-10-07
90.071 IVY HIGH INCOME FUND CLASS N #229			2019-10-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
315		323	-8
4,983		4,732	251
17,423		20,662	-3,239
3,514		4,657	-1,143
391		379	12
695		649	46
3,906		3,948	-42
137		131	6
1,869		1,894	-25
637		648	-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-8
			251
			-3,239
			-1,143
			12
			46
			-42
			6
			-25
			-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
24.527 LAZARD INTERNATIONAL EQUITY INST #632			2019-10-07
319.118 PARTNER SELECT ALTERNATIVE STRATEGIES INSTL			2019-10-07
11.708 PARTNER SELECT ALTERNATIVE STRATEGIES INSTL			2019-10-07
659.667 MFS MID CAP VALUE R6 #4837			2019-10-07
274.908 INVENOMIC INSTITUTIONAL CLASS			2019-10-07
30.297 PEAR TREE POLARIS FOREIGN VALUE FUND CL R6 #8896		2018-07-02	2019-10-07
67.696 PIMCO STOCKSPPLUS INTERNATIONAL FUND U.S. DOLLAR-HEDGED INST #32		2017-10-03	2019-10-07
494.718 T ROWE PRICE INSTITUTIONAL EMERGING MARKETS BOND FUND #163		2018-10-03	2019-10-07
20.668 T ROWE PRICE INSTITUTIONAL EMERGING MARKETS BOND FUND #163			2019-10-07
2.043 PRINCIPAL REAL ESTATE SECURITIES FUND - R6 #4269			2019-10-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
428		425	3
3,683		3,669	14
135		133	2
15,674		15,933	-259
3,057		3,023	34
323		347	-24
519		568	-49
4,200		4,130	70
175		170	5
57		54	3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			3
			14
			2
			-259
			34
			-24
			-49
			70
			5
			3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
114.445 PRINCIPAL REAL ESTATE SECURITIES FUND - R6 #4269			2019-10-07
32.037 T ROWE PRICE MID-CAP GROWTH FUND CLASS I #524		2018-10-03	2019-10-07
7. T ROWE PRICE EMERGING MARKETS STOCK FUND CLASS I #531		2018-10-03	2019-10-07
31.013 TIAA-CREF EMERGING MARKETS EQUITY INDEX FUND INST #2245		2018-10-03	2019-10-07
4.407 INFINTY Q DIVERSIFIED ALPHA FUND #2373			2019-10-07
241.575 INFINTY Q DIVERSIFIED ALPHA FUND #2373		2018-10-03	2019-10-07
93.83 VANGUARD 500 INDEX FUND ADM #540			2019-10-07
229.328 VANGUARD 500 INDEX FUND ADM #540			2019-10-07
5.704 GLENMEDE LARGE CAP CORE PORTFOLIO - INST #5559		2018-12-17	2019-10-08
6.25 AMERICAN BEACON BRIDGEWAY LARGE CAP VALUE FUND CL R5 #3416		2019-10-07	2019-10-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,217		2,588	629
2,972		3,129	-157
295		281	14
327		338	-11
52		51	1
2,865		2,800	65
25,453		24,981	472
62,210		46,259	15,951
145		139	6
166		161	5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			629
			-157
			14
			-11
			1
			65
			472
			15,951
			6
			5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
22.677 ISHARES MSCI EAFE INTERNATIONAL INDEX FUND CLASS K #1804		2016-10-07	2019-10-24
2.8 JPMORGAN VALUE ADVANTAGE FUND CLASS R6 #2336		2019-10-07	2019-10-24
12.976 PEAR TREE POLARIS FOREIGN VALUE FUND CL R6 #8896		2018-07-02	2019-10-24
61.891 AMERICAN BEACON BRIDGEWAY LARGE CAP VALUE FUND CL R5 #3416		2019-10-07	2019-11-13
315.047 ISHARES MSCI EAFE INTERNATIONAL INDEX FUND CLASS K #1804		2016-10-07	2019-11-13
777.306 CAUSEWAY EMERGING MARKETS FUND INST #1273			2019-11-13
21.872 CAUSEWAY EMERGING MARKETS FUND INST #1273		2018-12-21	2019-11-13
62.399 COLUMBIA SMALL CAP INDEX FUND INTL #444			2019-11-13
43.915 FEDERATED HERMES MDT SMALL CAP CORE FUND			2019-11-13
129.116 FIDELITY LARGE CAP VALUE INDEX FUND - INST PR #2830		2019-10-07	2019-11-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
310		272	38
102		99	3
144		149	-5
1,689		1,592	97
4,376		3,781	595
9,600		9,262	338
270		246	24
1,467		1,558	-91
848		851	-3
1,694		1,606	88

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			38
			3
			-5
			97
			595
			338
			24
			-91
			-3
			88

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1095.459 GOLDMAN SACHS ENHANCED INCOME FD CL I #1999		2018-04-03	2019-11-13
427.01 GOLDMAN SACHS EMERGING MARKETS EQUITY INSIGHTS FUND CLASS R6 #4		2017-04-24	2019-11-13
118.136 WCM FOCUSED INTERNATIONAL GROWTH FUND #840		2018-07-02	2019-11-13
29.383 JPMORGAN VALUE ADVANTAGE FUND CLASS R6 #2336		2019-10-07	2019-11-13
116.94 LAZARD INTERNATIONAL EQUITY INST #632		2016-05-31	2019-11-13
96.945 JACKSON SQUARE SMID-CAP GROWTH FUND - IS CLASS #196		2019-10-07	2019-11-13
252.832 PEAR TREE POLARIS FOREIGN VALUE FUND CL R6 #8896		2018-07-02	2019-11-13
218.294 PIMCO STOCKSPPLUS INTERNATIONAL FUND U.S. DOLLAR-HEDGED INST #3		2017-10-03	2019-11-13
131.71 PIMCO STOCKSPPLUS SMALL FUND - INST #1885			2019-11-13
32.853 T ROWE PRICE MID-CAP GROWTH FUND CLASS I #524		2018-10-03	2019-11-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,374		10,297	77
3,984		3,944	40
2,117		1,888	229
1,096		1,037	59
2,140		2,004	136
2,380		2,216	164
2,857		2,895	-38
1,766		1,831	-65
1,271		1,235	36
3,146		3,209	-63

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			77
			40
			229
			59
			136
			164
			-38
			-65
			36
			-63

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
247.378 VANGUARD MID CAP VALUE INDEX FUND ADM #5835		2019-10-07	2019-11-13
297.749 VANGUARD MID-CAP GROWTH INDEX FUND - ADM #5832		2019-10-07	2019-11-13
25.305 VICTORY INTEGRITY DISCOVERY FUND CLASS Y			2019-11-13
1.439 WELLS FARGO SPECIAL MID CAP VALUE FUND CLASS R6 #4662		2019-11-13	2019-11-13
19. ISHARES RUSSELL MID-CAP GROWTH ETF		2019-11-13	2019-11-19
4.076 BALLIE GIFFORD EMERGING MARKETS EQUITIES FUND CLASS K		2019-11-13	2019-11-27
1. ISHARES RUSSELL MID-CAP VALUE ETF		2019-11-13	2019-11-27
193.77 INVESCO OPPENHEIMER STEELPATH MLP SELECT 40 FUND CL R6			2019-11-29
353.151 INVESCO OPPENHEIMER STEELPATH MLP SELECT 40 FUND CL R6			2019-11-29
300.496 TORTOISE MLP & PIPELINE FUND - INS			2019-11-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14,820		14,175	645
19,437		18,815	622
932		889	43
61		61	
2,844		2,783	61
86		85	1
93		92	1
1,279		1,501	-222
2,331		2,923	-592
3,489		4,027	-538

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			645
			622
			43
			61
			1
			1
			-222
			-592
			-538

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
136.289 TORTOISE MLP & PIPELINE FUND - INS			2019-11-29
541.188 AMERICAN BEACON BRIDGEWAY LARGE CAP VALUE FUND CL R5 #3416			2020-01-06
143.781 ISHARES MSCI EAFE INTERNATIONAL INDEX FUND CLASS K #1804		2016-10-07	2020-01-06
388.335 CARILLON CLARIVEST CAPITAL APPRECIATION FUND CLASS I #3852			2020-01-06
306.204 FIDELITY LARGE CAP GROWTH INDEX FUND #2826			2020-01-06
766.948 GOLDMAN SACHS ENHANCED INCOME FD CL I #1999		2018-04-03	2020-01-06
101.425 WCM FOCUSED INTERNATIONAL GROWTH FUND #840		2018-07-02	2020-01-06
5. ISHARES RUSSELL MID-CAP VALUE ETF		2019-11-13	2020-01-06
112. ISHARES MSCI ACWI ETF		2019-12-02	2020-01-06
86.077 JPMORGAN VALUE ADVANTAGE FUND CLASS R6 #2336			2020-01-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,582		1,784	-202
14,596		14,003	593
2,000		1,725	275
18,842		17,635	1,207
5,429		5,137	292
7,263		7,209	54
1,922		1,621	301
470		458	12
8,878		8,646	232
3,190		3,101	89

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-202
			593
			275
			1,207
			292
			54
			301
			12
			232
			89

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
3.702 LAZARD INTERNATIONAL EQUITY INST #632		2016-05-31	2020-01-06
143.664 MFS MID CAP VALUE R6 #4837			2020-01-06
153.884 JACKSON SQUARE SMID-CAP GROWTH FUND - IS CLASS #196			2020-01-06
328.486 MORGAN STANLEY INST GROWTH IR #8708			2020-01-06
127.392 PEAR TREE POLARIS FOREIGN VALUE FUND CL R6 #8896		2018-07-02	2020-01-06
90.518 T ROWE PRICE MID-CAP GROWTH FUND CLASS I #524			2020-01-06
94.345 WELLS FARGO SPECIAL MID CAP VALUE FUND CLASS R6 #4662			2020-01-06
.559 IVY HIGH INCOME FUND CLASS N #229			2020-01-23
.003 IVY HIGH INCOME FUND CLASS N #229		2020-01-27	2020-01-31
165. ISHARES RUSSELL MID-CAP VALUE ETF		2019-11-13	2020-03-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
69		63	6
3,639		2,956	683
3,764		3,541	223
15,879		16,068	-189
1,451		1,459	-8
8,649		8,015	634
3,971		3,821	150
4		4	
13,596		15,129	-1,533

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			6
			683
			223
			-189
			-8
			634
			150
			-1,533

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
7.102 NUANCE MID CAP VALUE FUND CLASS Z		2020-01-06	2020-03-06
2.452 BLACKROCK MID-CAP GROWTH EQUITY PORTFOLIO CLASS K #1943		2020-01-06	2020-03-11
125. ISHARES RUSSELL MID-CAP GROWTH ETF			2020-03-11
373.259 AMERICAN BEACON GARCIA HAMILTON QUALITY BOND FUND CL R5 #4851		2018-07-02	2020-03-16
503.765 BAIRD AGGREGATE BOND FUND INST #72			2020-03-16
49.53 CARILLON CLARIVEST CAPITAL APPRECIATION FUND CLASS I #3852		2019-10-07	2020-03-16
222.897 FIDELITY LARGE CAP GROWTH INDEX FUND #2826		2019-11-13	2020-03-16
32.027 T ROWE PRICE INSTITUTIONAL LARGE-CAP CORE GROWTH FUND #148		2020-01-06	2020-03-16
33.37 MORGAN STANLEY INST GROWTH IR #8708		2019-10-07	2020-03-16
396.57 WELLS FARGO CORE BOND FUND CLASS R6 #4657		2016-05-31	2020-03-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
87		96	-9
66		76	-10
16,418		18,376	-1,958
3,699		3,695	4
5,753		5,494	259
1,845		2,187	-342
3,047		3,727	-680
1,218		1,587	-369
1,229		1,632	-403
5,318		5,140	178

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-9
			-10
			-1,958
			4
			259
			-342
			-680
			-369
			-403
			178

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
98.769 VICTORY INTEGRITY DISCOVERY FUND CLASS Y		2019-01-10	2020-03-18
.054 VICTORY INTEGRITY DISCOVERY FUND CLASS Y		2020-01-06	2020-03-18
314.698 PIMCO STOCKSPUS INTERNATIONAL FUND U.S. DOLLAR-HEDGED INST #3			2020-03-23
1297.746 PIMCO STOCKSPUS INTERNATIONAL FUND U.S. DOLLAR-HEDGED INST #			2020-03-23
58.458 VICTORY INTEGRITY DISCOVERY FUND CLASS Y			2020-03-27
1017.345 AMERICAN BEACON BRIDGEWAY LARGE CAP VALUE FUND CL R5 #3416			2020-04-06
77.563 ASHMORE EMERGING MARKETS ACTIVE EQUITY FUND - INST		2020-01-06	2020-04-06
46.914 BALLIE GIFFORD EMERGING MARKETS EQUITIES FUND CLASS K			2020-04-06
247.531 BAIRD AGGREGATE BOND FUND INST #72		2015-05-08	2020-04-06
535.107 ISHARES MSCI EAFE INTERNATIONAL INDEX FUND CLASS K #1804		2016-10-07	2020-04-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,846		3,396	-1,550
1		2	-1
1,640		1,935	-295
6,761		10,724	-3,963
1,267		1,566	-299
19,197		25,182	-5,985
681		894	-213
760		1,020	-260
2,807		2,683	124
5,731		6,421	-690

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-1,550
			-1
			-295
			-3,963
			-299
			-5,985
			-213
			-260
			124
			-690

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
247.938 GOLDMAN SACHS ENHANCED INCOME FD CL I #1999			2020-04-06
62.456 GOLDMAN SACHS INTERNATIONAL SMALL CAP INSIGHTS FUND CLASS R6 #4		2015-05-08	2020-04-06
51.832 GOLDMAN SACHS EMERGING MARKETS EQUITY INSIGHTS FUND CLASS R6 #4		2017-04-24	2020-04-06
12.728 T ROWE PRICE EMERGING MARKETS STOCK FUND CLASS I #531			2020-04-06
69.342 TIAA-CREF EMERGING MARKETS EQUITY INDEX FUND INST #2245			2020-04-06
217.905 WELLS FARGO CORE BOND FUND CLASS R6 #4657		2016-05-31	2020-04-06
3.004 ARTISAN INTERNATIONAL SMALL-MID FUND INSTL #2467		2019-04-02	2020-05-08
51.848 ISHARES MSCI EAFE INTERNATIONAL INDEX FUND CLASS K #1804		2016-10-07	2020-05-08
36.025 BLACKROCK MID-CAP GROWTH EQUITY PORTFOLIO CLASS K #1943		2020-01-06	2020-05-08
61.501 CARILLON CLARIVEST CAPITAL APPRECIATION FUND CLASS I #3852		2019-10-07	2020-05-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,286		2,331	-45
534		677	-143
396		479	-83
468		498	-30
622		746	-124
2,933		2,824	109
42		40	2
589		622	-33
1,111		1,109	2
2,909		2,715	194

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-45
			-143
			-83
			-30
			-124
			109
			2
			-33
			2
			194

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
22.501 COLUMBIA SMALL CAP INDEX FUND INTL #444			2020-05-08
28.653 FEDERATED HERMES MDT SMALL CAP CORE FUND		2019-04-02	2020-05-08
49.512 FIDELITY MID CAP VALUE INDEX FUND #3404		2020-03-06	2020-05-08
116.725 FIDELITY MID CAP GROWTH INDEX FUND #3403		2020-03-11	2020-05-08
125.888 FIDELITY LARGE CAP VALUE INDEX FUND - INST PR #2830			2020-05-08
284.202 FIDELITY LARGE CAP GROWTH INDEX FUND #2826			2020-05-08
8.22 GOLDMAN SACHS INTERNATIONAL SMALL CAP INSIGHTS FUND CLASS R6 #465		2015-05-08	2020-05-08
59.082 T ROWE PRICE INSTITUTIONAL LARGE-CAP CORE GROWTH FUND #148		2020-01-06	2020-05-08
56.02 WCM FOCUSED INTERNATIONAL GROWTH FUND #840		2018-07-02	2020-05-08
23.031 JPMORGAN VALUE ADVANTAGE FUND CLASS R6 #2336		2019-10-07	2020-05-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
403		535	-132
453		551	-98
811		917	-106
2,331		2,100	231
1,347		1,660	-313
5,019		4,566	453
79		89	-10
2,937		2,928	9
991		895	96
655		813	-158

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-132
			-98
			-106
			231
			-313
			453
			-10
			9
			96
			-158

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
26.461 MFS MID CAP VALUE R6 #4837		2019-01-10	2020-05-08
61.947 JACKSON SQUARE SMID-CAP GROWTH FUND - IS CLASS #196		2019-10-07	2020-05-08
97.661 MORGAN STANLEY INST GROWTH IR #8708		2019-10-07	2020-05-08
73.784 PEAR TREE POLARIS FOREIGN VALUE FUND CL R6 #8896		2018-07-02	2020-05-08
42.593 PIMCO STOCKSPPLUS INTERNATIONAL FUND UNHEDGED INST #321		2020-04-06	2020-05-08
108.924 PIMCO STOCKSPPLUS SMALL FUND - INST #1885		2019-01-10	2020-05-08
12.848 T ROWE PRICE MID-CAP GROWTH FUND CLASS I #524			2020-05-08
5.353 WELLS FARGO SPECIAL MID CAP VALUE FUND CLASS R6 #4662		2019-10-07	2020-05-08
145.761 CARILLON CLARIVEST CAPITAL APPRECIATION FUND CLASS I #3852		2019-10-07	2020-05-15
1469.951 FIDELITY LARGE CAP VALUE INDEX FUND - INST PR #2830			2020-05-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
525		554	-29
1,514		1,416	98
5,845		4,776	1,069
622		845	-223
207		190	17
830		969	-139
1,108		1,205	-97
175		214	-39
6,842		6,435	407
15,067		18,548	-3,481

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-29
			98
			1,069
			-223
			17
			-139
			-97
			-39
			407
			-3,481

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
643.396 FIDELITY LARGE CAP GROWTH INDEX FUND #2826		2019-10-07	2020-05-15
44.4 T ROWE PRICE INSTITUTIONAL LARGE-CAP CORE GROWTH FUND #148		2020-01-06	2020-05-15
144.683 JPMORGAN VALUE ADVANTAGE FUND CLASS R6 #2336		2019-10-07	2020-05-15
44.475 MORGAN STANLEY INST GROWTH IR #8708		2019-10-07	2020-05-15
20.352 VANGUARD EQUITY INCOME FUND ADM #565		2020-01-06	2020-05-15
1264.078 AMERICAN BEACON GARCIA HAMILTON QUALITY BOND FUND CL R5 #4851			2020-07-13
57.022 ARTISAN INTERNATIONAL SMALL-MID FUND INSTL #2467			2020-07-13
206.244 ASHMORE EMERGING MARKETS ACTIVE EQUITY FUND - INST			2020-07-13
131.383 BALLIE GIFFORD EMERGING MARKETS EQUITIES FUND CLASS K			2020-07-13
525.907 BAIRD AGGREGATE BOND FUND INST #72			2020-07-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11,253		10,211	1,042
2,204		2,200	4
3,905		5,107	-1,202
2,729		2,175	554
1,306		1,616	-310
13,020		12,521	499
901		791	110
2,213		2,315	-102
2,784		2,812	-28
6,232		5,703	529

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,042
			4
			-1,202
			554
			-310
			499
			110
			-102
			-28
			529

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
113.691 BLACKROCK MID-CAP GROWTH EQUITY PORTFOLIO CLASS K #1943		2020-01-06	2020-07-13
123.087 CARILLON CLARIVEST CAPITAL APPRECIATION FUND CLASS I #3852		2019-10-07	2020-07-13
130.231 COLUMBIA SMALL CAP INDEX FUND INTL #444			2020-07-13
27.883 FEDERATED HERMES MDT SMALL CAP CORE FUND		2019-04-02	2020-07-13
1792.922 FIDELITY LARGE CAP VALUE INDEX FUND - INST PR #2830		2019-10-07	2020-07-13
796.033 FIDELITY LARGE CAP GROWTH INDEX FUND #2826			2020-07-13
962.913 GOLDMAN SACHS ENHANCED INCOME FD CL I #1999			2020-07-13
350.843 GOLDMAN SACHS ENHANCED INCOME FD CL I #1999			2020-07-13
40.646 GOLDMAN SACHS INTERNATIONAL SMALL CAP INSIGHTS FUND CLASS R6 #4		2019-07-03	2020-07-13
18.561 GOLDMAN SACHS EMERGING MARKETS EQUITY INSIGHTS FUND CLASS R6 #4		2017-04-24	2020-07-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,795		3,501	294
6,467		5,434	1,033
2,421		2,924	-503
469		536	-67
19,758		22,304	-2,546
15,650		12,689	2,961
9,167		9,057	110
3,340		3,321	19
428		477	-49
178		171	7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			294
			1,033
			-503
			-67
			-2,546
			2,961
			110
			19
			-49
			7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
124.024 T ROWE PRICE INSTITUTIONAL LARGE-CAP CORE GROWTH FUND #148		2020-01-06	2020-07-13
107.937 JPMORGAN VALUE ADVANTAGE FUND CLASS R6 #2336		2019-10-07	2020-07-13
24.629 MFS MID CAP VALUE R6 #4837		2019-07-03	2020-07-13
166.953 JACKSON SQUARE SMID-CAP GROWTH FUND - IS CLASS #196		2019-10-07	2020-07-13
80.141 MORGAN STANLEY INST GROWTH IR #8708		2019-10-07	2020-07-13
231.18 PIMCO STOCKSPLUS SMALL FUND - INST #1885		2019-01-10	2020-07-13
76.755 T ROWE PRICE MID-CAP GROWTH FUND CLASS I #524			2020-07-13
233.543 TIAA-CREF EMERGING MARKETS EQUITY INDEX FUND INST #2245			2020-07-13
22.141 VANGUARD EQUITY INCOME FUND ADM #565		2020-01-06	2020-07-13
528.459 WELLS FARGO CORE BOND FUND CLASS R6 #4657			2020-07-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,959		6,147	812
3,196		3,810	-614
515		595	-80
4,663		3,817	846
5,928		3,919	2,009
1,861		2,058	-197
7,182		6,316	866
2,604		2,179	425
1,510		1,758	-248
7,409		6,850	559

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			812
			-614
			-80
			846
			2,009
			-197
			866
			425
			-248
			559

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
73.578 ISHARES MSCI EAFE INTERNATIONAL INDEX FUND CLASS K #1804		2016-10-07	2020-07-24
4.53 BLACKROCK MID-CAP GROWTH EQUITY PORTFOLIO CLASS K #1943		2020-01-06	2020-07-24
5.483 CARILLON CLARIVEST CAPITAL APPRECIATION FUND CLASS I #3852		2019-10-07	2020-07-24
11.948 COHEN & STEERS REAL ESTATE SECURITIES FUND CLASS I #1260		2020-07-13	2020-07-24
11.348 COLUMBIA SMALL CAP INDEX FUND INTL #444		2016-10-07	2020-07-24
39.416 FEDERATED HERMES MDT SMALL CAP CORE FUND		2019-04-02	2020-07-24
164.801 FIDELITY MID CAP VALUE INDEX FUND #3404		2020-03-06	2020-07-24
52.565 FIDELITY MID CAP GROWTH INDEX FUND #3403		2020-07-13	2020-07-24
7.138 FIDELITY LARGE CAP GROWTH INDEX FUND #2826		2019-10-07	2020-07-24
40.243 GOLDMAN SACHS INTERNATIONAL SMALL CAP INSIGHTS FUND CLASS R6 #4		2019-07-03	2020-07-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
944		883	61
157		139	18
289		242	47
173		171	2
222		254	-32
689		758	-69
2,978		3,052	-74
1,190		1,147	43
141		113	28
437		472	-35

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			61
			18
			47
			2
			-32
			-69
			-74
			43
			28
			-35

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
133.304 GOLDMAN SACHS EMERGING MARKETS EQUITY INSIGHTS FUND CLASS R6 #		2017-04-24	2020-07-24
1.593 T ROWE PRICE INSTITUTIONAL LARGE-CAP CORE GROWTH FUND #148		2020-01-06	2020-07-24
62.127 WCM FOCUSED INTERNATIONAL GROWTH FUND #840		2018-07-02	2020-07-24
68. ISHARES RUSSELL TOP 200 VALUE ETF		2020-07-13	2020-07-24
77.294 JPMORGAN VALUE ADVANTAGE FUND CLASS R6 #2336		2019-10-07	2020-07-24
33.701 LAZARD INTERNATIONAL EQUITY INST #632		2019-04-02	2020-07-24
35.951 MFS MID CAP VALUE R6 #4837		2019-07-03	2020-07-24
16.562 MAINSTAY CBRE GLOBAL INFRASTRUCTURE FUND CLASS I #5023		2020-07-13	2020-07-24
38.59 NUANCE MID CAP VALUE FUND CLASS Z		2020-01-06	2020-07-24
2.931 JACKSON SQUARE SMID-CAP GROWTH FUND - IS CLASS #196		2019-10-07	2020-07-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,293		1,231	62
90		79	11
1,291		993	298
3,471		3,407	64
2,369		2,728	-359
579		587	-8
792		868	-76
184		180	4
487		522	-35
85		67	18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			62
			11
			298
			64
			-359
			-8
			-76
			4
			-35
			18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
22.255 NUVEEN GLOBAL INFRASTRUCTURE FUND CLASS I #6726		2020-07-13	2020-07-24
42.11 PEAR TREE POLARIS FGN VAL SM CAP R6			2020-07-24
85.24 PEAR TREE POLARIS FOREIGN VALUE FUND CL R6 #8896		2018-07-02	2020-07-24
179.856 PIMCO STOCKSPPLUS INTERNATIONAL FUND UNHEDGED INST #321			2020-07-24
33.808 PIMCO STOCKSPPLUS SMALL FUND - INST #1885		2019-01-10	2020-07-24
11.251 PRINCIPAL REAL ESTATE SECURITIES FUND - R6 #4269		2020-07-13	2020-07-24
2. PROSHARES TR DJ BROOKFIELD GLOBAL INFRASTRUCTURE ETF		2020-07-13	2020-07-24
2.193 T ROWE PRICE MID-CAP GROWTH FUND CLASS I #524		2016-05-31	2020-07-24
32.024 T ROWE PRICE EMERGING MARKETS STOCK FUND CLASS I #531		2017-04-24	2020-07-24
36.375 VANGUARD EQUITY INCOME FUND ADM #565		2020-01-06	2020-07-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
227		220	7
390		497	-107
803		976	-173
1,000		818	182
285		301	-16
269		264	5
81		79	2
213		165	48
1,451		1,165	286
2,556		2,887	-331

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			7
			-107
			-173
			182
			-16
			5
			2
			48
			286
			-331

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
5. VANGUARD SCOTTSDALE FDS VNG RUS2000IDX		2020-07-13	2020-07-24
17.78 WELLS FARGO SPECIAL MID CAP VALUE FUND CLASS R6 #4662		2019-10-07	2020-07-24
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
594		579	15
630		712	-82
			8,670

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			15
			-82

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SALVATION ARMY 1023 CENTRAL AVENUE CHARLOTTE, NC 28204	NONE	PC	UNRESTRICTED GRANT	5,000
COMMUNITY CULINARY SCHOOL 2401-A DISTRIBUTION ST CHARLOTTE, NC 28203	NONE	PC	UNRESTRICTED GRANT	5,000
PROJECT HOPE FOR JUVENILE ARTHRITIS 6116 CLAPTON DRIVE WAKE FOREST, NC 27587	NONE	PC	UNRESTRICTED GRANT	2,500
Total ▶ 3a				30,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
INREACH ATTN KATHI KNIER 4425 RANDOLPH RD STE 400 CHARLOTTE, NC 28211	NONE	PC	UNRESTRICTED GRANT	5,000
CHARLOTTE RESCUE MISSION ATTN EJ UNDERWOODPO BOX 33000 CHARLOTTE, NC 282333000	NONE	PC	UNRESTRICTED GRANT	5,000
CATHERINE'S HOUSE INCPO BOX 1633 BELMONT, NC 28012	NONE	PC	UNRESTRICTED GRANT	5,000
Total ▶ 3a				30,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SPECIAL OLYMPICS SOUTH CAROLINA INC 109 OAK PARK DRIVE IRMO, SC 29063	NONE	PC	UNRESTRICTED GRANT	500
HOSPICE & PALLIATICE CARE - CHARLOTTE 1420 E 7TH STREET Charlotte, NC 28204	NONE	PC	UNRESTRICTED GRANT	2,500
Total ▶ 3a				30,500

TY 2019 Accounting Fees Schedule**Name:** PATTON FOUNDATION INC**EIN:** 23-7026742

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	750	750		

TY 2019 Investments Corporate Stock Schedule

Name: PATTON FOUNDATION INC
EIN: 23-7026742

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GOLDMAN SACHS INTERNATIONAL SM	4,845	4,897
PIMCO STOCKSPPLUS INTL FUND	9,216	12,960
BAIRD AGGREGATE BOND FD	40,062	43,596
LITMAN GREGORY MASTERS ALT STR		
AMERICAN BEACON BRIDGEWAY LARG	21,723	22,478
COLUMBIA CONTRARIAN CORE FD		
DFA INTL REAL ESTATE SECURITIE		
GLENMEDE LARGE CAP CORE FD		
LAZARD INTL EQUITY	13,999	14,000
MFS MID CAP VALUE	7,776	8,270
PEAR TREE POLARIS FOREIGN VALU	3,790	3,553
PRINCIPAL REAL ESTATE SECURITI	4,492	4,814
T ROWE PRICE MID-CAP GROWTH	3,914	5,136
WELLS FARGO SPECIAL MID CAP VA	7,525	7,199
BLACKROCK HIGH YIELD BD		
HARTFORD EMERGING MKTS LOCAL D		
AC ALTERNATIVES MKT NEUTRAL VA		
361 GLOBAL LONG/SHORT EQUITY		
CAUSEWAY EMERGING MARKETS FD		
COLUMBIA MID CAP INDEX FD-Z		
COLUMBIA SMALL CAP INDEX FD-Z		
GOLDMAN SACHS EMERGING INSIGHT	5,869	6,180
T ROWE PRICE EMERGING MARKETS	6,181	6,905
TIAA-CREF EMERGING MARKETS EQU	8,717	9,532
VANGUARD 500 INDEX FD		
ISHARES MSCI EAFE INTL INDEX	19,609	21,170
COHEN & STEERS REAL ESTATE SEC	3,134	3,327
FIDELITY ADVISOR INTL REAL EST		
WCM FOCUSED INTL GROWTH	12,698	16,719
TORTOISE MLP & PIPELINE FD		

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PEAR TREE POLARIS FOREIGN VALU	18,717	17,044
AMERICAN BEACON GARCIA HAMILTO		
GOLDMAN SACHS ENHANCED INCOME		
KELLNER MERGER		
EATON VANCE GLOBAL MACRO ABSOL		
INVESCO OPPENHEIMER STEELPATH		
ARTISAN INTL SMALL-MID	3,192	3,849
FEDERATED MDT SMALL CAP CORE		
OAKMARK		
INVENOMIC INST CLASS		
PIMCO STOCKPLUS SMALL FD - INS	5,918	7,020
VICTORY INTEGRITY DISCOVERY		
T ROWE PRICE INSTI EMERGING MK		
WELLS FARGO CORE BD FD-R6	36,112	39,039
GLENMEDE SECURED OPTIONS PORTF		
INFINITY Q DIVERSIFIED ALPHA		
ASHMORE EMERGING MARKETS ACTIV	7,660	8,060
BALLIE GIFFORD EMERGING MARKET	7,136	7,655
CARILLON CLARIVEST CAPITAL APP	13,891	17,289
COLUMBIA SMALL CAP INDEX FUND	5,363	5,679
FIDELITY LARGE CAP VALUE INDEX	19,101	20,594
JPMORGAN VALUE ADVANTAGE FUND	20,055	19,567
JACKSON SQUARE SMID-CAP GROWTH	3,249	4,429
MORGAN STANLEY INST GROWTH IR	9,196	14,989
BLACKROCK MID-CAP GROWTH EQUIT	4,379	5,240
FEDERATED HERMES MDT SC CORE	4,965	5,480
FIDELITY MID CAP VALUE INDEX	31,369	33,073
FIDELITY MID CAP GROWTH INDEX	16,191	22,421
T ROWE PRICE INSTI LC	14,534	17,321
MAINSTAY CBRE GLOBAL INFRASTUR	2,959	3,030

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
NUANCE MID CAP VALUE	6,958	6,547
NUVEEN GLOBAL INFRASTRUCTU4RE	3,081	3,200
VANGUARD EQUITY INCOME	23,312	21,594
ISHARES RUSSELL TOP 200 VALUE	39,284	41,247
ISHARES RUSSELL TOP 200 GROWTH	46,404	50,578
PROSHARES TR DJ BROOKFIELD GLO	1,546	1,566
VANGUARD SCOTTSDALE	4,367	4,515
VANGUARD SHORT TERM BD	44,945	45,028
FIDELITY LARGE CAP GROWTH INDE	19,191	24,890
IVY HIGH INCOME FD		

TY 2019 Other Decreases Schedule**Name:** PATTON FOUNDATION INC**EIN:** 23-7026742

Description	Amount
ROUNDING	3
COST BASIS ADJUST FOR RETURN OF CAPITAL	131

TY 2019 Other Professional Fees Schedule**Name:** PATTON FOUNDATION INC**EIN:** 23-7026742

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMNT MNGMNT FEES (NON-DED	6,122	6,122		

TY 2019 Taxes Schedule**Name:** PATTON FOUNDATION INC**EIN:** 23-7026742

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAX PAYMENT - PRIOR YE	324	0		0
FEDERAL ESTIMATES - PRINCIPAL	824	0		0
FOREIGN TAXES ON QUALIFIED FOR	294	294		0
FOREIGN TAXES ON NONQUALIFIED	41	41		0