

Form 990-PF

Department of the Treasury  
Internal Revenue Service

## Return of Private Foundation

## or Section 4947(a)(1) Trust Treated as Private Foundation

- Do not enter social security numbers on this form as it may be made public.
- Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

2019

Open to Public  
Inspection

For calendar year 2019, or tax year beginning 08-01-2019, and ending 07-31-2020

<p>Name of foundation TW SIDNEY J HARRIS</p> <p>Number and street (or P.O. box number if mail is not delivered to street address) 6325 S RAINBOW BLVD STE 300</p> <p>City or town, state or province, country, and ZIP or foreign postal code LAS VEGAS, NV 89118</p> <p><b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change</p> <p><b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation</p> <p><b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ►\$ 1,853,713</p>		<p><b>A</b> Employer identification number 22-6455160</p> <p><b>B</b> Telephone number (see instructions) (888) 730-4933</p> <p><b>C</b> If exemption application is pending, check here ► <input type="checkbox"/></p> <p><b>D</b> 1. Foreign organizations, check here..... ► <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... ► <input type="checkbox"/></p> <p><b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here ..... ► <input type="checkbox"/></p> <p><b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... ► <input type="checkbox"/></p>							
		<p><b>J</b> Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____</p> <p>(Part I, column (d) must be on cash basis.)</p>							
<p><b>Part I</b> <b>Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</p>		<b>(a)</b>	Revenue and expenses per books	<b>(b)</b>	Net investment income	<b>(c)</b>	Adjusted net income	<b>(d)</b>	Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)							
	2	Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B							
	3	Interest on savings and temporary cash investments							
	4	Dividends and interest from securities		43,579		43,579			
	5a	Gross rents							
	b	Net rental income or (loss)							
	6a	Net gain or (loss) from sale of assets not on line 10		83,448					
	b	Gross sales price for all assets on line 6a	1,384,284						
	7	Capital gain net income (from Part IV, line 2)			83,448				
	8	Net short-term capital gain					0		
	9	Income modifications							
	10a	Gross sales less returns and allowances							
b	Less: Cost of goods sold								
c	Gross profit or (loss) (attach schedule)								
11	Other income (attach schedule)								
12	<b>Total.</b> Add lines 1 through 11		127,027		127,027				
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.		26,863		20,147		6,716	
	14	Other employee salaries and wages			0		0	0	
	15	Pension plans, employee benefits			0		0	0	
	16a	Legal fees (attach schedule)						0	
	b	Accounting fees (attach schedule)		1,329		0	0	1,329	
	c	Other professional fees (attach schedule)		1,356				1,356	
	17	Interest						0	
	18	Taxes (attach schedule) (see instructions)		2,789		669		0	
	19	Depreciation (attach schedule) and depletion		0		0		0	
	20	Occupancy							
	21	Travel, conferences, and meetings				0		0	
	22	Printing and publications				0		0	
	23	Other expenses (attach schedule)		435				435	
	24	<b>Total operating and administrative expenses.</b>							
	Add lines 13 through 23		32,772		20,816		9,836		
25	Contributions, gifts, grants paid		90,000				90,000		
26	<b>Total expenses and disbursements.</b> Add lines 24 and 25		122,772		20,816		99,836		
27	Subtract line 26 from line 12:								
a	<b>Excess of revenue over expenses and disbursements</b>		4,255						
b	<b>Net investment income</b> (if negative, enter -0-)			106,211					
c	<b>Adjusted net income</b> (if negative, enter -0-)				0				

	Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing		249	0	
	2 Savings and temporary cash investments		112,859	63,984	63,984
	3 Accounts receivable ►				
	Less: allowance for doubtful accounts ►			0	0
	4 Pledges receivable ►				
	Less: allowance for doubtful accounts ►				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7 Other notes and loans receivable (attach schedule) ►				
	Less: allowance for doubtful accounts ► 0				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments—U.S. and state government obligations (attach schedule)				
	b Investments—corporate stock (attach schedule)				
	c Investments—corporate bonds (attach schedule)				
	11 Investments—land, buildings, and equipment: basis ►				
	Less: accumulated depreciation (attach schedule) ►				
	12 Investments—mortgage loans				
	13 Investments—other (attach schedule)		1,540,928	1,594,448	1,789,729
	14 Land, buildings, and equipment: basis ►				
	Less: accumulated depreciation (attach schedule) ►				
	15 Other assets (describe ► )				
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)		1,654,036	1,658,432	1,853,713
Liabilities	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable (attach schedule)				
	22 Other liabilities (describe ► )				
	23 Total liabilities (add lines 17 through 22)		0		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ► <input type="checkbox"/>				
	and complete lines 24, 25, 29 and 30.				
	24 Net assets without donor restrictions				
	25 Net assets with donor restrictions				
	Foundations that do not follow FASB ASC 958, check here ► <input checked="" type="checkbox"/>				
	and complete lines 26 through 30.				
	26 Capital stock, trust principal, or current funds		1,654,036	1,658,432	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund				
	28 Retained earnings, accumulated income, endowment, or other funds				
	29 Total net assets or fund balances (see instructions)		1,654,036	1,658,432	
	30 Total liabilities and net assets/fund balances (see instructions)		1,654,036	1,658,432	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	1,654,036
2	Enter amount from Part I, line 27a	2	4,255
3	Other increases not included in line 2 (itemize) ►	3	141
4	Add lines 1, 2, and 3	4	1,658,432
5	Decreases not included in line 2 (itemize) ►	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	1,658,432

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table				
b				
c				
d				
e				
(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69		(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2 83,448
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8				3

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

 Yes  No

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	98,547	1,781,548	0.055315
2017	96,962	1,866,725	0.051942
2016	96,642	1,822,063	0.05304
2015	84,221	1,787,735	0.04711
2014	48,066	1,931,196	0.024889
<b>2 Total of line 1, column (d)</b>			2 0.232296
<b>3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years</b>			3 0.046459
<b>4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5</b>			4 1,765,037
<b>5 Multiply line 4 by line 3</b>			5 82,002
<b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b>			6 1,062
<b>7 Add lines 5 and 6</b>			7 83,064
<b>8 Enter qualifying distributions from Part XII, line 4</b>			8 99,836

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1.	1	1,062
	Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	1,062
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	1,062
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-.	5	1,062
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	1,412
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	1,412
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8	0
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	350
11	Enter the amount of line 10 to be: <b>Credited to 2020 estimated tax</b> ►	350	<b>Refunded</b> ►
		11	0

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		<b>Yes</b>	<b>No</b>
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).		<b>Yes</b>	<b>No</b>
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
1c	Did the foundation file <b>Form 1120-POL</b> for this year?		<b>Yes</b>	<b>No</b>
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:			
(1)	On the foundation. ► \$ _____	(2)	On foundation managers. ► \$ _____	
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?		<b>Yes</b>	<b>No</b>
	<i>If "Yes," attach a detailed description of the activities.</i>			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		<b>Yes</b>	<b>No</b>
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?		<b>Yes</b>	<b>No</b>
b	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		<b>Yes</b>	<b>No</b>
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?		<b>Yes</b>	<b>No</b>
	<i>If "Yes," attach the statement required by General Instruction T.</i>			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:		<b>Yes</b>	
	• By language in the governing instrument, or			
	• By state legislation that effectively amends the governing instrument so that no mandatory directions			
	that conflict with the state law remain in the governing instrument?			
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>		<b>Yes</b>	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)			
	► NJ			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>		<b>Yes</b>	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>		<b>Yes</b>	<b>No</b>
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>		<b>Yes</b>	<b>No</b>

**Part VII-A Statements Regarding Activities (continued)**

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . . **11**  **No**

12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions . . . . . **12**  **No**

13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►N/A **13**  **Yes**

14 The books are in care of ►WELLS FARGO BANK NA Telephone no. ►(888) 730-4933

Located at ►100 N MAIN ST MAC D4001-117 WINSTON SALEM NC

ZIP+4 ►27101

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here. . . . . ► **15**

16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . **16**  **Yes**  **No**

See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

1a During the year did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person?  **Yes**  **No**

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .  **Yes**  **No**

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  **Yes**  **No**

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  **Yes**  **No**

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .  **Yes**  **No**

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.). . . . .  **Yes**  **No**

b If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . . ► **1b**  **No**

Organizations relying on a current notice regarding disaster assistance check here. . . . .

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? . . . . . **1c**  **No**

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

a At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? . . . . . **2a**  **Yes**  **No**  
If "Yes," list the years ► 20\_\_\_\_, 20\_\_\_\_, 20\_\_\_\_, 20\_\_\_\_

b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.) . . . . . **2b**

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ► 20\_\_\_\_, 20\_\_\_\_, 20\_\_\_\_, 20\_\_\_\_

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . **3a**  **Yes**  **No**

b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.) . . . . . **3b**

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? **4a**  **No**

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019? **4b**  **No**

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a	During the year did the foundation pay or incur any amount to:			Yes	No
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		<input type="checkbox"/>	<input checked="" type="checkbox"/>		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?		<input type="checkbox"/>	<input checked="" type="checkbox"/>		
(3) Provide a grant to an individual for travel, study, or other similar purposes?		<input type="checkbox"/>	<input checked="" type="checkbox"/>		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.		<input type="checkbox"/>	<input checked="" type="checkbox"/>		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		<input type="checkbox"/>	<input checked="" type="checkbox"/>		
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance check here.			5b	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>	<input type="checkbox"/>	<input type="checkbox"/>		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	6b	
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	7b	
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/>	<input checked="" type="checkbox"/>		

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1. List all officers, directors, trustees, foundation managers and their compensation. See instructions.**

List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WELLS FARGO BANK N A 100 N MAIN ST MAC D4001-117 WINSTON SALEM NC 27101	TRUSTEE 1	26,863		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total** number of others receiving over \$50,000 for professional services. ►

## **Part IX-A      Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Line	Description	Amount
1		
2		
3	All other program-related investments. See instructions.	
Total	Add lines 1 through 3	►

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities. . . . .	1a	1,708,124
b	Average of monthly cash balances. . . . .	1b	83,792
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	<b>Total</b> (add lines 1a, b, and c). . . . .	1d	1,791,916
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	0
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	1,791,916
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	26,879
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,765,037
6	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	6	88,252

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign**Part XI** organizations check here ►  and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	88,252
2a	Tax on investment income for 2019 from Part VI, line 5. . . . .	2a	1,062
b	Income tax for 2019. (This does not include the tax from Part VI.). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	1,062
3	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	3	87,190
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	0
5	Add lines 3 and 4. . . . .	5	87,190
6	Deduction from distributable amount (see instructions). . . . .	6	0
7	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	7	87,190

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .	1a	99,836
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required). . . . .	3a	0
b	Cash distribution test (attach the required schedule). . . . .	3b	0
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	99,836
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . .	5	1,062
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	6	98,774
<b>Note:</b> The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.			

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
<b>1</b> Distributable amount for 2019 from Part XI, line 7				87,190
<b>2</b> Undistributed income, if any, as of the end of 2019:				
<b>a</b> Enter amount for 2018 only. . . . .			64,120	
<b>b</b> Total for prior years: 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2019:				
<b>a</b> From 2014. . . . .	0			
<b>b</b> From 2015. . . . .	0			
<b>c</b> From 2016. . . . .	0			
<b>d</b> From 2017. . . . .	0			
<b>e</b> From 2018. . . . .	0			
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	0			
<b>4</b> Qualifying distributions for 2019 from Part XII, line 4: ► \$ 99,836			64,120	
<b>a</b> Applied to 2018, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2019 distributable amount. . . . .			35,716	
<b>e</b> Remaining amount distributed out of corpus	0			
<b>5</b> Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)		0		0
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b. . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 . . . . .				51,474
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions) . . . . .	0			
<b>8</b> Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) . . . . .	0			
<b>9</b> <b>Excess distributions carryover to 2020.</b> Subtract lines 7 and 8 from line 6a . . . . .	0			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2015. . . . .	0			
<b>b</b> Excess from 2016. . . . .	0			
<b>c</b> Excess from 2017. . . . .	0			
<b>d</b> Excess from 2018. . . . .	0			
<b>e</b> Excess from 2019. . . . .	0			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ►			
b	Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)			
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.			
b	85% of line 2a.			
c	Qualifying distributions from Part XII, line 4 for each year listed.			
d	Amounts included in line 2c not used directly for active conduct of exempt activities.			
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.			
3	Complete 3a, b, or c for the alternative test relied upon:			
a	"Assets" alternative test—enter:			
(1)	Value of all assets.			
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i).			
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.			
c	"Support" alternative test—enter:			
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).			
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).			
(3)	Largest amount of support from an exempt organization.			
(4)	Gross investment income.			

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)****1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
THE RWJ UNIVERSITY HOSPITAL 1 ROBERT WOOD JOHNSON PL NEW BRUNSWICK, NJ 08901	NONE	PC	GENERAL SUPPORT	20,000
JEWISH FAMILY & VOCATIONAL SERVICE 32 FORD AVENUE SECOND FLOOR MILLTOWN, NJ 08850	NONE	PC	GENERAL SUPPORT	40,000
LITTLE SERVANT SISTERS 1 ST JOSEPHS TERRACE WOODBRIDGE, NJ 070952648	NONE	PC	GENERAL OPERATING	30,000
<b>Total . . . . .</b>			► 3a	90,000
<b>b Approved for future payment</b>				
<b>Total . . . . .</b>			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue:					
a					
b					
c					
d					
e					
f					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .					
<b>4</b> Dividends and interest from securities. . . . .			14	43,579	
<b>5</b> Net rental income or (loss) from real estate:					
a Debt-financed property. . . . .					
b Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income. . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .			18	83,448	
<b>9</b> Net income or (loss) from special events:					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue: a _____					
b _____					
c _____					
d _____					
e _____					
<b>12</b> Subtotal. Add columns (b), (d), and (e). . . . .				127,027	
<b>13</b> <b>Total.</b> Add line 12, columns (b), (d), and (e). . . . . (See worksheet in line 13 instructions to verify calculations.)			13		127,027

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

## Part XVII

## **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

	Yes	No
1a(1)		No
1a(2)		No
1b(1)		No
1b(2)		No
1b(3)		No
1b(4)		No
1b(5)		No
1b(6)		No
1c		No

**a Transfers from the reporting foundation to a noncharitable exempt organization of:**

(1) Cash. . . . .	1a(1)	No
(2) Other assets. . . . .	1a(2)	No
Other transactions:		
(1) Sales of assets to a noncharitable exempt organization. . . . .	1b(1)	No
(2) Purchases of assets from a noncharitable exempt organization. . . . .	1b(2)	No
(3) Rental of facilities, equipment, or other assets. . . . .	1b(3)	No
(4) Reimbursement arrangements. . . . .	1b(4)	No
(5) Loans or loan guarantees. . . . .	1b(5)	No
(6) Performance of services or membership or fundraising solicitations. . . . .	1b(6)	No
Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c	No

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

**d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) (other than section 501(c)(3)) or in section 527? . . . . .  Yes  No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

\*\*\*\*\*

2020-11-24

\*\*\*

May the IRS discuss this  
return  
with the preparer shown  
below  
(see instr.)  Yes  No

Signature of officer or trustee

Date

✓ Title

**Sign  
Here**

**Paid  
Preparer  
Use Only**

(see instr.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ► <input checked="" type="checkbox"/>	PTIN
	JOSEPH J CASTRIANO		2020-11-24		P01251603
	Firm's name ► PRICEWATERHOUSECOOPERS LLP				Firm's EIN ► 13-4008324
Firm's address ► 600 GRANT STREET PITTSBURGH, PA 15219				Phone no. (412) 355-6000	

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
240.828 AQR MANAGED FUTURES STR-I		2018-09-25	2019-08-07
5989.221 AQR MANAGED FUTURES STR-I			2019-08-07
5547.479 INV BALANCE RISK COMM STR-Y 8611			2019-08-07
44. ARTISAN MID CAP FUND-INSTL 1333		2012-05-10	2019-08-07
5411.825 BLACKROCK GL L/S CREDIT-K #1940			2019-08-07
91.474 DODGE & COX INT'L STOCK FD #1048			2019-08-07
1000.784 DODGE & COX INT'L STOCK FD #1048			2019-08-07
125. EATON VANCE GLOB MACRO ADV-I 208		2017-10-23	2019-08-07
149. JOHN HANCOCK II-CURR STR-I 3643		2017-10-23	2019-08-07
3262.32 ASG GLOBAL ALTERNATIVES-Y 1993			2019-08-07

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
2,218		2,155	63
55,161		60,170	-5,009
33,951		37,426	-3,475
1,889		1,722	167
54,443		55,413	-970
3,560		3,934	-374
38,951		19,281	19,670
1,271		1,340	-69
1,368		1,430	-62
35,755		35,963	-208

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col. (h) gain minus col. (k), but not less than -0-) or (l) Losses (from col.(h))
(i) F.M.V. as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			63
			-5,009
			-3,475
			167
			-970
			-374
			19,670
			-69
			-62
			-208

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
2466.172 NEUBERGER BERMAN LONG SH-INS #1830		2014-06-20	2019-08-07
80.117 NEUBERGER BERMAN LONG SH-INS #1830		2019-02-22	2019-08-07
145. TCW EMRG MKTS INCM-I 4721		2018-07-12	2019-08-07
113.511 INV OPP DEVELOP MRKTS-R6		2019-08-07	2019-09-25
369.489 INV OPP DEVELOP MRKTS-R6		2015-07-23	2019-09-25
1171. ISHARES CORE MSCI EMERGING			2019-09-25
2283.639 JPMORGAN HIGH YIELD FUND SS 3580		2019-04-02	2019-10-09
5121.227 JPMORGAN HIGH YIELD FUND SS 3580			2019-10-09
30. JP MORGAN MID CAP VALUE-I #758		2019-08-07	2019-10-18
42. GOLDMAN ACTIVEBETA US LC ETF		2019-08-07	2019-10-18

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
35,538		31,888	3,650
1,154		1,094	60
1,219		1,161	58
4,797		4,647	150
15,615		12,688	2,927
57,701		60,031	-2,330
16,374		16,442	-68
36,719		36,761	-42
1,175		1,127	48
2,529		2,423	106

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col. (h) gain minus col. (k), but not less than -0-) or (l) Losses (from col.(h))
(i) F.M.V. as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			3,650
			60
			58
			150
			2,927
			-2,330
			-68
			-42
			48
			106

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
974.357 HARBOR CAPITAL APPREC-RET #2512		2011-08-26	2019-10-18
27.48 HARBOR CAPITAL APPREC-RET #2512		2019-08-07	2019-10-18
894. MFS VALUE FUND-R5			2019-10-18
441. T ROWE PR OVERSEAS STOCK-I #521			2019-10-18
82. ISHARES TRS & P 1500 INDEX FD			2019-11-19
4919.254 METROPOLITAN WEST T/R BD-PLN #712			2019-11-19
3346.746 METROPOLITAN WEST T/R BD-PLN #712			2019-11-19
3169.48367 PIMCO TOTAL RET FD-INST #35			2019-11-19
3570.51633 PIMCO TOTAL RET FD-INST #35			2019-11-19
113. VANGUARD MIDCAP VIPER		2019-10-18	2019-11-19

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
72,161		34,984	37,177
2,035		1,997	38
37,468		28,133	9,335
4,697		4,438	259
5,788		5,518	270
51,111		51,185	-74
34,773		34,616	157
33,153		32,688	465
37,348		37,424	-76
19,661		18,961	700

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			37,177
			38
			9,335
			259
			270
			-74
			157
			465
			-76
			700

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
3663.395 JOHN HANCOCK II-CURR STR-I 3643			2019-12-06
453.315 JOHN HANCOCK II-CURR STR-I 3643			2019-12-06
78. INV OPP DEVELOP MRKTS-R6		2019-11-20	2020-01-24
152. AMER CENT SMALL CAP GRWTH INST 336		2018-01-26	2020-01-24
122. GOLDMAN ACTIVEBETA US LC ETF		2019-11-19	2020-01-24
264. ISHARES TRS & P 1500 INDEX FD		2019-09-25	2020-01-24
47. ISHARES CORE MSCI EMERGING		2019-08-07	2020-01-24
93. MFS VALUE FUND-R5		2019-11-20	2020-01-24
195. METROPOLITAN WEST T/R BD-PLN #712		2019-10-13	2020-01-24
261. T ROWE PR OVERSEAS STOCK-I #521		2019-11-20	2020-01-24

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
33,283		34,987	-1,704
4,119		4,067	52
3,541		3,453	88
2,923		2,829	94
8,079		7,683	396
19,565		17,735	1,830
2,518		2,231	287
4,163		4,042	121
2,028		2,028	
2,918		2,861	57

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col. (h) gain minus col. (k), but not less than -0-) or (l) Losses (from col.(h))
(i) F.M.V. as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			-1,704
			52
			88
			94
			396
			1,830
			287
			121
			57

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
174. TCW EMRG MKTS INCM-I 4721		2018-07-12	2020-01-24
206. TCW EMRG MKTS INCM-I 4721		2019-10-21	2020-01-24
15. VANGUARD MIDCAP VIPER		2019-10-18	2020-01-24
215. ARTISAN MID CAP FUND-INSTL 1333			2020-02-12
473.28 HARBOR CAPITAL APPREC-RET #2512		2019-11-20	2020-02-12
20. ISHARES TRS & P 1500 INDEX FD		2019-09-25	2020-02-12
218.341 JPMORGAN MID CAP VALUE-R6 #2335			2020-02-12
1398.519 JPMORGAN MID CAP VALUE-R6 #2335		2010-12-28	2020-02-12
514.557 MFS VALUE FUND-R5		2012-02-14	2020-02-12
300.443 MFS VALUE FUND-R5		2019-11-20	2020-02-12

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,486		1,394	92
1,759		1,710	49
2,731		2,517	214
9,251		9,285	-34
39,784		37,399	2,385
1,519		1,344	175
8,721		8,743	-22
55,857		32,877	22,980
23,330		12,322	11,008
13,622		13,057	565

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			92
			49
			214
			-34
			2,385
			175
			-22
			22,980
			11,008
			565

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
224. METROPOLITAN WEST T/R BD-PLN #712		2019-10-13	2020-02-12
218. PIMCO TOTAL RET FD-INST #35		2019-10-13	2020-02-12
641.009 T ROWE PR REAL ESTATE-I #432		2015-12-28	2020-02-12
457. SPDR DJ WILSHIRE INTERNATIONAL REAL		2011-10-20	2020-02-12
335. INV OPP DEVELOP MRKTS-R6			2020-03-25
703. EATON VANCE GLOB MACRO ADV-I 208		2017-10-23	2020-03-25
97.132 FIDELITY NEW MRKTS INC-Z #3323		2019-10-21	2020-03-25
60.868 FIDELITY NEW MRKTS INC-Z #3323		2015-11-20	2020-03-25
709. ISHARES CORE MSCI EMERGING			2020-03-25
4945.316 METROPOLITAN WEST T/R BD-PLN #712			2020-03-25

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
2,336		2,330	6
2,293		2,278	15
17,102		17,660	-558
17,898		15,459	2,439
11,815		14,893	-3,078
6,432		7,536	-1,104
1,236		1,433	-197
775		917	-142
28,403		33,795	-5,392
50,739		51,398	-659

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69	Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))		
(i) F.M.V. as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			6
			15
			-558
			2,439
			-3,078
			-1,104
			-197
			-142
			-5,392
			-659

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
4286.684 METROPOLITAN WEST T/R BD-PLN #712			2020-03-25
3059. PIMCO TOTAL RET FD-INST #35			2020-03-25
265. PIMCO HIGH YIELD FD-INST #108		2019-10-09	2020-03-25
4212. T ROWE PR OVERSEAS STOCK-I #521			2020-03-25
1389.753 AMER CENT SMALL CAP GRWTH INST 336			2020-05-06
1889.582 AMER CENT SMALL CAP GRWTH INST 336			2020-05-06
119.808 ARTISAN MID CAP FUND-INSTL 1333		2012-05-10	2020-05-06
21.192 ARTISAN MID CAP FUND-INSTL 1333		2019-10-21	2020-05-06
146. GOLDMAN ACTIVEBETA US LC ETF			2020-05-06
320. ISHARES TRS & P 1500 INDEX FD			2020-05-06

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
43,981		43,854	127
31,508		31,939	-431
1,974		2,353	-379
34,665		43,216	-8,551
24,140		24,432	-292
32,822		29,360	3,462
4,950		4,688	262
876		882	-6
8,468		9,158	-690
20,428		21,049	-621

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			127
			-431
			-379
			-8,551
			-292
			3,462
			262
			-6
			-690
			-621

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
74. ISHARES MSCI USA QUALITY FAC		2020-02-12	2020-05-06
59. JENSEN QUALITY GROWTH-Y #6299		2019-10-21	2020-05-06
65. MFS VALUE FUND-R5		2020-03-26	2020-05-06
5883.917 PIMCO HIGH YIELD FD-INST #108		2019-10-09	2020-05-06
187. T ROWE PR OVERSEAS STOCK-I #521		2019-08-07	2020-05-06
455.852 STERLING CAPITAL STRATTON SMALL CAP			2020-05-06
445.945 STERLING CAPITAL STRATTON SMALL CAP			2020-05-06
66. VANGUARD MIDCAP VIPER		2020-02-12	2020-05-06
2304.64 JAMES ALPHA GL REAL EST-I			2020-06-12
CAPITAL GAIN DIVIDENDS	P		

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
6,667		7,767	-1,100
2,805		3,063	-258
2,339		2,233	106
48,366		52,249	-3,883
1,655		1,853	-198
26,025		31,702	-5,677
25,459		37,004	-11,545
9,792		12,221	-2,429
36,920		43,119	-6,199
			29,094

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			-1,100
			-258
			106
			-3,883
			-198
			-5,677
			-11,545
			-2,429
			-6,199

## TY 2019 Accounting Fees Schedule

**Name:** TW SIDNEY J HARRIS**EIN:** 22-6455160

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	1,329			1,329

## TY 2019 General Explanation Attachment

**Name:** TW SIDNEY J HARRIS

**EIN:** 22-6455160

### General Explanation Attachment

Identifier	Return Reference	Explanation	
1	PART VIII: INFORMATION ABOUT OFFICERS, DIRECTORS, TRUSTEES, FOUND	ATION MANAGERS, HIGHLY PAID EMPLOYEES, AND CONTRACTORS. THE COMPEN	SATION REPORTED IN COLUMN (C) IS CALCULATED BASED ON PERIODIC MAR KET VALUES AND/OR THE APPLICABLE FEE AGREEMENT. IT IS NOT DETERMI INED ON AN HOURLY BASIS AND THE REFERENCE TO ONE HOUR PER WEEK IS AN ESTIMATE ONLY. CORPORATE TRUSTEE SERVICES INCLUDE, BUT ARE NO T LIMITED TO, ADMINISTRATIVE SERVICES SUCH AS FIDUCIARY ACCOUNTING, CUSTODY OF ASSETS, COMPLYING WITH TAX FILING REQUIREMENTS, CO MPLYING WITH DISTRIBUTION PROVISIONS, AND COMPLYING WITH FEDERAL AND STATE LAWS APPLICABLE TO PRIVATE FOUNDATIONS, PLUS ASSET MANA GEMENT SERVICES SUCH AS CREATING ASSET ALLOCATION STRATEGIES, INV ESTMENTS REPORTING AND REALLOCATING AND REBALANCING OF PORTFOLIOS AS NECESSARY.

## TY 2019 Investments - Other Schedule

Name: TW SIDNEY J HARRIS

EIN: 22-6455160

## Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
693390700 PIMCO TOTAL RET FD-I	AT COST	125,340	135,965
256206103 DODGE & COX INT'L ST			
87234N765 TCW EMRG MKTS INCM-I	AT COST	75,898	77,004
339128100 JP MORGAN MID CAP VA			
779919307 T ROWE PR REAL ESTAT			
55273H353 MFS VALUE FUND-R5	AT COST	30,888	54,515
025083320 AMER CENT SMALL CAP			
63872T885 ASG GLOBAL ALTERNATI			
78463X863 SPDR DJ WILSHIRE INT			
09260C703 BLACKROCK GL L/S CRE			
04314H600 ARTISAN MID CAP FUND	AT COST	47,722	63,752
464287150 ISHARES TRS & P 1500	AT COST	228,237	268,790
4812C0803 JPMORGAN HIGH YIELD			
31641Q755 FIDELITY NEW MRKTS I	AT COST	37,368	36,863
00143W859 INV OPP DEVELOP MRKT	AT COST	58,896	79,284
77956H435 T ROWE PR OVERSEAS S	AT COST	54,905	57,126
00203H859 AQR MANAGED FUTURES			
277923264 EATON VANCE GLOB MAC	AT COST	52,528	51,774
592905764 METROPOLITAN WEST T/	AT COST	234,873	255,656
411511504 HARBOR CAPITAL APRCT			
85917K546 STERLING CAPITAL STR			
00888Y508 INV BALANCE RISK COM			
46434G103 ISHARES CORE MSCI EM			
47803M168 JOHN HANCOCK II-CURR			
64128R608 NEUBERGER BERMAN LON			
46090F100 INVESCO OPTIMUM YIEL	AT COST	52,368	58,688
74440Y884 PRUDENTIAL HIGH YIEL	AT COST	99,022	107,274
46432F339 ISHARES MSCI USA QUA	AT COST	75,050	74,596
922908629 VANGUARD MIDCAP VIPE	AT COST	112,006	130,195
89155T649 TOUCHSTONE MID CAP-I	AT COST	108,999	112,742

## Investments Other Schedule 2

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
381430503 GOLDMAN ACTIVEBETA U	AT COST	97,929	114,491
89609H704 TRIBUTARY SMALL CO-I	AT COST	33,016	36,850
476313408 JENSEN QUALITY GROWT	AT COST	69,403	74,164

## TY 2019 Other Expenses Schedule

**Name:** TW SIDNEY J HARRIS  
**EIN:** 22-6455160

## Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER ADMINISTRATIVE EXPENSE	435	0		435

## TY 2019 Other Increases Schedule

**Name:** TW SIDNEY J HARRIS

**EIN:** 22-6455160

Description	Amount
MUTUAL FUND TIMING ADJ	136
ROUNDING	5

## TY 2019 Other Professional Fees Schedule

**Name:** TW SIDNEY J HARRIS

**EIN:** 22-6455160

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
GRANT ADMIN FEE	1,356			1,356

## TY 2019 Taxes Schedule

**Name:** TW SIDNEY J HARRIS**EIN:** 22-6455160

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	419	419		0
FEDERAL TAX PAYMENT - PRIOR YE	708	0		0
FEDERAL ESTIMATES - PRINCIPAL	1,412	0		0
FOREIGN TAXES ON NONQUALIFIED	250	250		0