Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No. 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 08-01-2019 , and ending 07-31-2020

Name of foundation
ANNE S RICHARDSON FUND XXXXX3004

A Employer identification number
13-6192516

Number and street (or P.O. box number if mail is not delivered to street address)
10 S DEARBORN IL1-0111

Room/suite

B Telephone number (see instructions)
(800) 496-2583

City or town, state or province, country, and ZIP or foreign postal code
CHICAGO, IL 60603

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here............ ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here .... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 13,480,858

J Accounting method: ☐ Cash ☐ Accrual ☐ Other (specify) ________
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here .... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	319,041	319,041		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	551,264			
	b	Gross sales price for all assets on line 6a 4,032,510				
	7	Capital gain net income (from Part IV, line 2)		551,264		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	870,305	870,305		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	117,083	58,733		58,350
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)	2,994	0	0	2,994
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				0
	17	Interest				0
	18	Taxes (attach schedule) (see instructions)	22,351	4,625		0
	19	Depreciation (attach schedule) and depletion	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	142,428	63,358	0	61,344
	25	Contributions, gifts, grants paid	565,850			565,850
	26	**Total expenses and disbursements.** Add lines 24 and 25	708,278	63,358	0	627,194
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	162,027			
	b	**Net investment income** (if negative, enter -0-)		806,947		
	c	**Adjusted net income** (if negative, enter -0-)			0	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	47,272	70,381	70,381
	2	Savings and temporary cash investments	105,265	97,472	97,472
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶		0	0
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	8,028,932	7,537,495	9,717,349
	c	Investments—corporate bonds (attach schedule)	2,091,350	2,736,632	2,967,518
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	648,720	641,630	628,138
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	10,921,539	11,083,610	13,480,858
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29 and 30.**			
	24	Net assets without donor restrictions			
	25	Net assets with donor restrictions			
		Foundations that do not follow FASB ASC 958, check here ▶ ☑ and complete lines 26 through 30.			
	26	Capital stock, trust principal, or current funds	10,921,539	11,083,610	
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	**Total net assets or fund balances** (see instructions)	10,921,539	11,083,610	
	30	**Total liabilities and net assets/fund balances** (see instructions)	10,921,539	11,083,610	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	10,921,539
2	Enter amount from Part I, line 27a	2	162,027
3	Other increases not included in line 2 (itemize) ▶	3	49
4	Add lines 1, 2, and 3	4	11,083,615
5	Decreases not included in line 2 (itemize) ▶	5	5
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	11,083,610

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	551,264
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	687,500	13,089,822	0.052522
2017	611,741	13,544,433	0.045165
2016	610,216	12,441,016	0.049049
2015	652,480	12,193,055	0.053512
2014	624,129	13,311,355	0.046887

2	**Total** of line 1, column (d)	2	0.247135
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0.049427
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5 . . .	4	13,145,483
5	Multiply line 4 by line 3	5	649,742
6	Enter 1% of net investment income (1% of Part I, line 27b) . . .	6	8,069
7	Add lines 5 and 6	7	657,811
8	Enter qualifying distributions from Part XII, line 4	8	627,194

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	**1**	16,139
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	**2**	0
3	Add lines 1 and 2.	**3**	16,139
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	**4**	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	**5**	16,139
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019 — **6a** — 14,984		
b	Exempt foreign organizations—tax withheld at source — **6b**		
c	Tax paid with application for extension of time to file (Form 8868) — **6c** — 0		
d	Backup withholding erroneously withheld — **6d**		
7	Total credits and payments. Add lines 6a through 6d.	**7**	14,984
8	Enter any **penalty** for underpayment of estimated tax. Check here ☑ if Form 2220 is attached.	**8**	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	**9**	1,155
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	**10**	
11	Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ▶ 0 **Refunded** ▶	**11**	0

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	**1a**		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).	**1b**		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?.	**1c**		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	**2**		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	**3**		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	**4a**		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	**4b**		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	**5**		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	**6**	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year?*If "Yes," complete Part II, col. (c), and Part XV.*	**7**	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶CT			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	**8b**	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV	**9**		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	**10**		No

Part VII-A Statements Regarding Activities (continued)

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶JP MORGAN CHASE BANK NA Telephone no. ▶(800) 496-2583

Located at ▶10 S DEARBORN ST MC IL 1-0111 CHICAGO IL ZIP+4 ▶60603

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

		Line	Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. | | Yes | No

1a During the year did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No

		Line	Yes	No
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c		No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

a At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? ☐ Yes ☑ No
If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____

		Line	Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.
▶ 20____ , 20____ , 20____ , 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No

		Line	Yes	No
b	If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

				Yes	No
5a	During the year did the foundation pay or incur any amount to:				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**		No
	If "Yes" to 6b, file Form 8870.				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
JP MORGAN CHASE BANK N A 10 S DEARBORN ST MC IL 1-0111 CHICAGO, IL 60603	TRUSTEE 2	117,083		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶	0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	13,059,487
b	Average of monthly cash balances.	1b	286,181
c	Fair market value of all other assets (see instructions).	1c	0
d	**Total** (add lines 1a, b, and c).	1d	13,345,668
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e 0		
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	13,345,668
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	200,185
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	13,145,483
6	**Minimum investment return.** Enter 5% of line 5.	6	657,274

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	657,274
2a	Tax on investment income for 2019 from Part VI, line 5. 2a 16,139		
b	Income tax for 2019. (This does not include the tax from Part VI.). 2b		
c	Add lines 2a and 2b.	2c	16,139
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	641,135
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	641,135
6	Deduction from distributable amount (see instructions).	6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	641,135

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	627,194
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	627,194
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	627,194

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7					641,135
2 Undistributed income, if any, as of the end of 2019:					
a Enter amount for 2018 only.				562,093	
b Total for prior years: 20____ , 20____ , 20____			0		
3 Excess distributions carryover, if any, to 2019:					
a From 2014.	0				
b From 2015.	0				
c From 2016.	0				
d From 2017.	0				
e From 2018.	0				
f **Total** of lines 3a through e.		0			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ $ 627,194					
a Applied to 2018, but not more than line 2a				562,093	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2019 distributable amount.					65,101
e Remaining amount distributed out of corpus		0			
5 Excess distributions carryover applied to 2019. *(If an amount appears in column (d), the same amount must be shown in column (a).)*		0			0
6 **Enter the net total of each column as indicated below:**					
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		0			
b Prior years' undistributed income. Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b. Taxable amount —see instructions			0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions				0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020					576,034
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) . . .		0			
9 **Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a		0			
10 Analysis of line 9:					
a Excess from 2015. . . .	0				
b Excess from 2016. . . .	0				
c Excess from 2017. . . .	0				
d Excess from 2018. . . .	0				
e Excess from 2019. . . .	0				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2019	**(b)** 2018	**(c)** 2017	**(d)** 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

LEO BRESNAHAN JPMORGAN CHASE BANK
270 PARK AVENUE FL 14
NEW YORK, NY 10017
(212) 648-1497
NONE@JPMORGAN.COM

b The form in which applications should be submitted and information and materials they should include:

WWWFOUNDATIONCENTER ORG/GRANTMAKER/RICHARDSON

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

FUNDING INT.24 ORG.RECOMMENDED BY MS.RICHARDSON,ORG THAT ASSIST LOWER INCOME PEOPLE OR ARE OF GEN.INT.TO THE COMMUNITY IN RIDGEFIELD & FAIRFIELD CT PROG SUPPORTING INDEPENDENCE OF WOMEN,GAY COMM., ETC

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				565,850
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions.)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	319,041	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	551,264	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				870,305	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 870,305

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash.	**1a(1)**		**No**
	(2) Other assets.	**1a(2)**		**No**
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
	(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
	(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
	(4) Reimbursement arrangements.	**1b(4)**		**No**
	(5) Loans or loan guarantees.	**1b(5)**		**No**
	(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

******	2020-10-19	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr.) ☑ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
JACOB ZEHNDER		2020-10-19		P01564049
Firm's name ▶ ERNST & YOUNG US LLP				Firm's EIN ▶34-6565596
Firm's address ▶ 155 N WACKER DRIVE CHICAGO, IL 60606				Phone no. (312) 879-2000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
4. SVB FINANCIAL GROUP			2019-08-14
2. SVB FINANCIAL GROUP			2019-08-14
5. APTIV PLC			2019-08-14
3. APTIV PLC			2019-08-14
8. APTIV PLC			2019-08-14
4. SVB FINANCIAL GROUP		2015-02-05	2019-08-15
14. APTIV PLC			2019-08-15
. GENERAL MOTORS CO			2019-09-05
1230. ISHARES TR BARCLAYS MBS BD FD		2019-02-11	2019-09-24
1671. VANGUARD BD INDEX FD INC			2019-09-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
763		530	233
380		246	134
398		436	-38
241		261	-20
639		647	-8
747		481	266
1,110		991	119
12			12
133,000		129,318	3,682
134,968		131,749	3,219

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			233
			134
			-38
			-20
			-8
			266
			119
			12
			3,682
			3,219

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
18. DISNEY WALT CO			2019-10-25
5. ACCENTURE PLC			2019-10-25
6. ACCENTURE PLC			2019-10-28
12. NISOURCE INC			2019-11-11
15. NISOURCE INC			2019-11-11
12. NISOURCE INC			2019-11-12
31. NISOURCE INC			2019-11-12
4. NISOURCE INC		2013-02-26	2019-11-12
2. NISOURCE INC		2013-02-26	2019-11-13
53. CELGENE CORP.			2019-11-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,364		1,862	502
916		472	444
1,102		519	583
315		232	83
392		281	111
313		184	129
807		328	479
104		39	65
52		19	33
5,726		4,954	772

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			502
			444
			583
			83
			111
			129
			479
			65
			33
			772

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
19. CELGENE CORP.			2019-11-21
1578.94 FIDELITY 500 INDEX-INST PRM		2019-04-08	2019-11-22
28. RTS BRISTOL-MYERS SQUIBB-CVR		2019-11-21	2019-11-25
3. RTS BRISTOL-MYERS SQUIBB-CVR		2019-11-21	2019-11-25
1. RTS BRISTOL-MYERS SQUIBB-CVR		2019-11-21	2019-11-25
34. RTS BRISTOL-MYERS SQUIBB-CVR		2019-11-21	2019-11-26
6. RTS BRISTOL-MYERS SQUIBB-CVR		2019-11-21	2019-11-27
5640.222 EQUINOX IMP SYSTEM MACRO-I		2016-01-29	2019-12-09
6917.263 CRM LNG/SHRT OPPORT-INST			2020-01-09
7. CORTEVA INC			2020-01-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,053		1,048	1,005
171,110		158,826	12,284
59		64	-5
6		7	-1
2		2	
72		78	-6
13		14	-1
54,767		59,222	-4,455
69,795		69,334	461
199		231	-32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,005
			12,284
			-5
			-1
			-6
			-1
			-4,455
			461
			-32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
6. CORTEVA INC			2020-01-13
7. CORTEVA INC			2020-01-14
4.666 CORTEVA INC		2019-06-27	2020-01-14
2.334 CORTEVA INC		2017-02-22	2020-01-14
3. CORTEVA INC			2020-01-14
2. CORTEVA INC		2019-06-27	2020-01-14
1. CORTEVA INC		2019-06-27	2020-01-14
9. CORTEVA INC			2020-01-15
10. CORTEVA INC			2020-01-15
4. CORTEVA INC			2020-01-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
171		197	-26
200		227	-27
134		139	-5
67		75	-8
86		89	-3
57		59	-2
28		30	-2
258		267	-9
287		296	-9
115		118	-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-26
			-27
			-5
			-8
			-3
			-2
			-2
			-9
			-9
			-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1. CORTEVA INC		2019-06-28	2020-01-15
5. CORTEVA INC		2019-06-28	2020-01-15
.334 CORTEVA INC		2019-06-28	2020-01-15
6.666 CORTEVA INC			2020-01-15
1. CORTEVA INC			2020-01-15
5982.587 CRM LNG/SHRT OPPORT-INST		2016-08-18	2020-01-16
2. CORTEVA INC			2020-01-16
2. CORTEVA INC		2015-07-15	2020-01-16
1. CORTEVA INC		2015-07-15	2020-01-16
5577.061 EQUINOX IMP SYSTEM MACRO-I		2016-01-29	2020-01-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
29		30	-1
143		148	-5
10		10	
190		184	6
29		28	1
60,065		59,826	239
58		55	3
58		54	4
29		27	2
54,823		58,559	-3,736

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-1
			-5
			6
			1
			239
			3
			4
			2
			-3,736

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
22. QUALCOMM INC		2019-05-01	2020-01-23
11. EOG RESOURCES INC			2020-01-28
2. EOG RESOURCES INC		2016-08-05	2020-01-28
1. EOG RESOURCES INC		2016-08-05	2020-01-29
1. EOG RESOURCES INC		2016-08-05	2020-01-29
1. EOG RESOURCES INC		2016-08-05	2020-01-29
8. EOG RESOURCES INC			2020-01-29
7. EOG RESOURCES INC			2020-01-29
8. EOG RESOURCES INC			2020-01-29
10. EOG RESOURCES INC			2020-01-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,021		1,903	118
848		996	-148
154		181	-27
77		90	-13
77		90	-13
77		90	-13
604		669	-65
537		578	-41
605		648	-43
743		739	4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			118
			-148
			-27
			-13
			-13
			-13
			-65
			-41
			-43
			4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo., day, yr.)	**(d)** Date sold (mo., day, yr.)
2. EOG RESOURCES INC		2016-03-07	2020-01-30
2471.469 AMER FNDS INTL VNTG-F3		2016-01-29	2020-01-31
58. ABBVIE INC			2020-02-11
13. ADOBE SYS INC		2012-08-03	2020-02-11
13. ALEXION PHARMACEUTICALS INC		2019-03-14	2020-02-11
10. ALPHABET INC-CL C			2020-02-11
4. ALPHABET INC-CL A			2020-02-11
7. AMAZON COM INC			2020-02-11
2. AMAZON COM INC			2020-02-11
26. AMERICAN EXPRESS CO			2020-02-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
150		145	5
35,169		26,099	9,070
5,556		4,555	1,001
4,813		415	4,398
1,324		1,751	-427
15,162		4,163	10,999
6,067		2,042	4,025
15,148		2,638	12,510
4,328		3,574	754
3,449		3,122	327

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col. (i) over col. (j), if any	
			5
			9,070
			1,001
			4,398
			-427
			10,999
			4,025
			12,510
			754
			327

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
16. AMERICAN EXPRESS CO			2020-02-11
65. APPLE COMPUTER INC		2006-04-07	2020-02-11
5. AUTOMATIC DATA PROCESSING INC		2019-08-14	2020-02-11
19. AUTOMATIC DATA PROCESSING INC			2020-02-11
198. BANK OF AMERICA CORPORATION			2020-02-11
29. BERKSHIRE HATHAWAY INC DEL CL B			2020-02-11
122. BOSTON SCIENTIFIC CORP			2020-02-11
72. BRISTOL MYERS SQUIBB CO		2019-11-20	2020-02-11
24. BROADCOM INC			2020-02-11
14. CHARTER COMMUNICATIONS INC-A			2020-02-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,123		1,627	496
20,945		643	20,302
894		821	73
3,397		2,746	651
6,918		1,400	5,518
6,598		5,390	1,208
5,165		2,664	2,501
4,836		4,062	774
7,677		890	6,787
7,449		3,608	3,841

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			496
			20,302
			73
			651
			5,518
			1,208
			2,501
			774
			6,787
			3,841

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
86. CITIGROUP INC NEW			2020-02-11
59. COCA-COLA CO			2020-02-11
67. COMCAST CORP NEW CL A			2020-02-11
102. COMCAST CORP NEW CL A			2020-02-11
23. DIAMONDBACK ENERGY INC			2020-02-11
24. DIAMONDBACK ENERGY INC			2020-02-11
28. DISNEY WALT CO			2020-02-11
8. DUPONT DE NEMOURS INC-WI		2019-04-08	2020-02-11
35. DUPONT DE NEMOURS INC-WI			2020-02-11
40. ELECTRONIC ARTS			2020-02-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,822		3,686	3,136
3,516		2,629	887
2,996		2,754	242
4,562		2,051	2,511
1,670		2,388	-718
1,742		1,902	-160
3,946		2,642	1,304
428		678	-250
1,873		3,084	-1,211
4,358		3,865	493

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			3,136
			887
			242
			2,511
			-718
			-160
			1,304
			-250
			-1,211
			493

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
22. FACEBOOK INC-A			2020-02-11
14. FACEBOOK INC-A		2020-01-08	2020-02-11
32. FIDELITY NATL INFORMATION SVCS INC			2020-02-11
7. FIDELITY NATL INFORMATION SVCS INC		2019-08-14	2020-02-11
31. FISERV INC			2020-02-11
51. FISERV INC			2020-02-11
20. GENERAL DYNAMICS CORP			2020-02-11
29. HOME DEPOT INC		2011-09-29	2020-02-11
51. HONEYWELL INTL INC			2020-02-11
19. INTERCONTINENTALEXCHANGE GROUP, INC			2020-02-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,590		1,649	2,941
2,921		2,995	-74
4,802		2,027	2,775
1,050		917	133
3,758		2,559	1,199
6,183		4,814	1,369
3,728		3,288	440
7,011		980	6,031
9,163		2,050	7,113
1,804		1,105	699

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2,941
			-74
			2,775
			133
			1,199
			1,369
			440
			6,031
			7,113
			699

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
171. KEYCORP NEW			2020-02-11
40. LILLY ELI & CO			2020-02-11
35. LOWES COS INC			2020-02-11
25. MARATHON PETROLEUM CORPORATION			2020-02-11
62. MARATHON PETROLEUM CORPORATION			2020-02-11
34. MASTERCARD INC - CLASS A		2009-07-16	2020-02-11
14. MCDONALDS CORP		2019-06-10	2020-02-11
48. MERCK & CO INC			2020-02-11
21. MERCK & CO INC		2019-03-14	2020-02-11
153. MICROSOFT CORP			2020-02-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,378		2,420	958
5,750		3,178	2,572
4,302		679	3,623
1,410		1,520	-110
3,497		4,620	-1,123
11,284		612	10,672
3,016		2,816	200
4,082		3,046	1,036
1,786		1,710	76
28,557		4,026	24,531

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			958
			2,572
			3,623
			-110
			-1,123
			10,672
			200
			1,036
			76
			24,531

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
82. MONDELEZ INTERNATIONAL-W/I			2020-02-11
139. MORGAN STANLEY DEAN WITTER & CO NEW			2020-02-11
7. NETFLIX.COM INC		2018-09-13	2020-02-11
3. NETFLIX.COM INC		2019-05-16	2020-02-11
19. NEXTERA ENERGY INC			2020-02-11
31. NORFOLK SOUTHN CORP			2020-02-11
13. NORTHROP GRUMMAN CORP			2020-02-11
2. NORTHROP GRUMMAN CORP		2019-05-20	2020-02-11
20. NVIDIA CORP			2020-02-11
6. O'REILLY AUTOMOTIVE INC			2020-02-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,832		2,478	2,354
7,777		3,440	4,337
2,633		2,595	38
1,128		1,085	43
5,186		2,777	2,409
6,538		4,295	2,243
4,778		3,354	1,424
735		626	109
5,375		4,533	842
2,339		1,510	829

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2,354
			4,337
			38
			43
			2,409
			2,243
			1,424
			109
			842
			829

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
45. PAYPAL HOLDINGS INC			2020-02-11
45. PEPSICO INC			2020-02-11
167. PFIZER INC			2020-02-11
23. PHILIP MORRIS INTERNATIONAL			2020-02-11
25. PIONEER NAT RES CO			2020-02-11
38. PROCTER & GAMBLE CO			2020-02-11
32. ROSS STORES INC			2020-02-11
27. SALESFORCE.COM INC			2020-02-11
13. SALESFORCE.COM INC			2020-02-11
27. STANLEY BLACK & DECKER,INC.			2020-02-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,361		3,929	1,432
6,555		4,389	2,166
6,329		5,854	475
2,057		2,094	-37
3,411		3,473	-62
4,717		4,056	661
3,825		2,569	1,256
5,131		3,600	1,531
2,470		1,961	509
4,480		2,634	1,846

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,432
			2,166
			475
			-37
			-62
			661
			1,256
			1,531
			509
			1,846

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
18. TEXAS INSTRS INC			2020-02-11
41. TEXAS INSTRS INC			2020-02-11
10. THERMO ELECTRON CORP			2020-02-11
35. UNION PAC CORP			2020-02-11
16. UNITED TECHNOLOGIES CORP			2020-02-11
42. UNITEDHEALTH GROUP INC			2020-02-11
12. VERTEX PHARMACEUTICALS INC			2020-02-11
25. VISA INC CLASS A SHARES			2020-02-11
30. WASTE CONNECTIONS INC			2020-02-11
64. WELLS FARGO & CO NEW		2009-03-13	2020-02-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,353		2,109	244
5,360		2,182	3,178
3,370		3,321	49
6,444		3,736	2,708
2,493		1,953	540
12,110		2,513	9,597
2,951		514	2,437
5,142		1,919	3,223
2,987		1,518	1,469
3,074		861	2,213

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			244
			3,178
			49
			2,708
			540
			9,597
			2,437
			3,223
			1,469
			2,213

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
13. WEX INC			2020-02-11
18. YUM BRANDS INC			2020-02-11
43. ZIMMER HLDGS INC			2020-02-11
25. ALLEGION PLC			2020-02-11
13. ACCENTURE PLC			2020-02-11
19. LINDE PLC			2020-02-11
26. LINDE PLC			2020-02-11
32. CHUBB LTD			2020-02-11
32. NXP SEMICONDUCTORS NV			2020-02-11
1046. ISHARES MSCI JAPAN ETF		2018-01-17	2020-02-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,795		1,207	1,588
1,859		1,633	226
6,820		4,840	1,980
3,445		1,635	1,810
2,762		1,030	1,732
4,129		3,030	1,099
5,650		4,750	900
5,246		1,821	3,425
4,357		3,243	1,114
61,608		66,943	-5,335

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,588
			226
			1,980
			1,810
			1,732
			1,099
			900
			3,425
			1,114
			-5,335

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
397. ISHARES MSCI JAPAN ETF		2018-01-17	2020-02-13
7261. ISHARES MISCI EAFE INDEX FUND			2020-03-10
1491. SPDR TR UNIT SER 1			2020-03-17
1005. ISHARES TR 7-10 YR TREAS INDEX FD			2020-04-24
954.381 FIDELITY 500 INDEX-INST PRM		2020-02-13	2020-05-12
232. ISHARES TR 7-10 YR TREAS INDEX FD		2019-02-14	2020-05-12
3497. ISHARES US TREASURY BOND ETF		2020-03-10	2020-05-12
12992.163 SIX CIRCLES US UNCONSTR EQ		2019-04-08	2020-05-12
2475.85 AMER FNDS INTL VNTG-F3		2016-01-29	2020-05-15
13255.721 BLACKSTONE ALT MULTI-STRAT-Y		2019-06-14	2020-05-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
23,417		25,408	-1,991
428,439		448,797	-20,358
371,286		305,529	65,757
122,718		105,040	17,678
95,114		111,892	-16,778
28,207		24,248	3,959
97,765		97,106	659
136,288		133,689	2,599
31,320		26,145	5,175
125,267		142,499	-17,232

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-1,991
			-20,358
			65,757
			17,678
			-16,778
			3,959
			659
			2,599
			5,175
			-17,232

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
565.655 BLACKSTONE ALT MULTI-STRAT-Y		2018-11-08	2020-05-29
3374.784 VANGUARD TOT BD MKT IDX-ADM		2019-11-25	2020-06-10
1228.645 VANGUARD TTL INTL BND-ADM		2019-09-24	2020-06-10
39681.056 JPM GL RES ENH IDX FD - USD - R6 ISIN US48129C2070			2020-06-18
1271.259 VANGUARD TTL INTL BND-ADM		2019-09-24	2020-06-18
4450.572 BLACKSTONE ALT MULTI-STRAT-Y		2018-11-08	2020-07-08
3539.332 AMER FNDS INTL VNTG-F3		2016-01-29	2020-07-08
1144. ISHARES CORE MSCI EAFE ETF		2017-07-05	2020-07-08
250. SPDR TR UNIT SER 1		2015-02-02	2020-07-08
3641.533 SIX CIRCLES US UNCONSTR EQ		2019-04-08	2020-07-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,345		6,036	-691
39,080		37,426	1,654
28,173		28,935	-762
805,129		645,251	159,878
29,277		29,938	-661
43,571		47,488	-3,917
51,214		37,375	13,839
67,088		69,572	-2,484
79,040		50,479	28,561
42,351		37,471	4,880

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-691
			1,654
			-762
			159,878
			-661
			-3,917
			13,839
			-2,484
			28,561
			4,880

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo., day, yr.)	**(d)** Date sold (mo., day, yr.)
5869.239 VANGUARD TOT BD MKT IDX-ADM		2019-11-25	2020-07-08
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
68,377		65,090	3,287
			77,274

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col. (i) over col. (j), if any	
			3,287

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PLANNED PARENTHOOD OF SOUTHERN NEW ENGLAND345 WHITNEY AVENUE NEW HAVEN, CT 06511	NONE	PC	GENERAL	50,000
CONNECTICUT COLLEGE 270 MONHEGAN AVENUE NEW LONDON, CT 06320	NONE	PC	GENERAL	15,000
VISITING NURSE ASSOCIATION OF RIDGEFIELD INC90 EAST RIDGE RIDGEFIELD, CT 06877	NONE	PC	GENERAL	35,000
Total . . . . ▶ **3a**				565,850

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
THE CENTER FOR FAMILY JUSTICE INC 753 FAIRFIELD AVE BRIDGEPORT, CT 06604	NONE	PC	GENERAL	20,000
FIRST CONGREGATIONAL CHURCH OF RIDGEFIELD103 MAIN ST Ridgefield, CT 06877	NONE	PC	GENERAL	5,000
GARDEN AND CONSERVATION TRUST 21R GILBERT ST RIDGEFIELD, CT 06877	NONE	PC	GENERAL	13,350
Total . . . . ▶ **3a**				565,850

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
RIDGEFIELD GUILD OF ARTISTS PO BOX 552 Ridgefield, CT 06877	NONE	PC	GENERAL	15,000
THE ALDRICH CONTEMPORARY ART MUSEUM INC 258 MAIN ST Ridgefield, CT 06877	NONE	PC	GENERAL	30,000
MEMORIAL SLOAN KETTERING CANCER CENTER 1275 YORK AVENUE NY, NY 10065	NONE	PC	GENERAL	15,000
Total . . . . ▶ **3a**				565,850

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
KEELER TAVERN PRESERVATION SOCIETY POBOX 204 RIDGEFIELD, CT 06877	NONE	PC	GENERAL	37,500
CONNECTICUT FUND FOR THE ENVIRONMENT 900 CHAPEL STREET New Haven, CT 06510	NONE	PC	GENERAL	35,000
RIDGEFIELD BOYS CLUB DBA RIDGEFIELD BOYS AND GIRLS CLUB41 GOVERNOR ST Ridgefield, CT 06877	NONE	PC	GENERAL	15,000
Total . . . . ▶ **3a**				565,850

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
RIDGEFIELD CHORALEPO BOX 686 CT, CT 06877	NONE	PC	GENERAL	5,000
TRIANGLE COMMUNITY CENTER INC 650 WEST AVENUE Norwalk, CT 06850	NONE	PC	GENERAL	30,000
Ridgefield Historical Society 4 SUNSET LANE Ridgefield, CT 06877	NONE	PC	GENERAL	30,000
Total . . . . ▶ **3a**				565,850

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FOUNDERS HALL FOUNDATION INC 193 DANBURYR ROAD Ridgefield, CT 06877	NONE	PC	GENERAL	30,000
YALE UNIVERSITYPO BOX 2038 NEW HAVEN, CT 06521	NONE	PC	GENERAL	15,000
SAINT STEPHENS EPISCOPAL CHURCH 351 MAIN STREET RIDGEFIELD, CT 06877	NONE	PC	GENERAL	15,000
Total . . . . ▶ **3a**				565,850

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AGING IN AMERICA INC 1000 PELHAM PKWY S BRONX, NY 10461	NONE	PC	GENERAL	15,000
MEALS ON WHEELS INC 25 GILBERT STREET RIDGEFIELD, CT 06877	NONE	PC	GENERAL	10,000
THE FAIRFIELD COUNTY COMMUNITY FOUNDATION 40 RICHARDS AVENUE 4TH FLOOR NORWALK, CT 06854	NONE	PC	GENERAL	40,000
Total . . . . ▶ **3a**				565,850

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CONNECTICUT ZOOLOGICAL SOCIETY 1875 NOBLE AVENUE BRIDGEPORT, CT 06610	NONE	PC	GENERAL	20,000
THE NATURE CONSERVANCY CONNECTICUT 55 CHURCH STREET FLOOR 3 NEW HAVEN, CT 065103029	NONE	PC	GENERAL	40,000
NEW YORK-PRESBYTERIAN HOSPITAL L525 EAST 68TH STREET NEW YORK, NY 10065	NONE	PC	GENERAL	15,000
Total . . . . ▶ **3a**				565,850

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NORWALK HOSPITAL FOUNDATIONINC 34 MAPLE STREET NORWALK, CT 06850	NONE	PC	GENERAL	15,000
Total . . . . ▶ **3a**				565,850

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491338003080

TY 2019 Investments Corporate Bonds Schedule

Name: ANNE S RICHARDSON FUND XXXXX3004

EIN: 13-6192516

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
921937603 VANGUARD TOT BD MKT	569,270	633,003
92203J308 VANGUARD TTL INTL BN	896,865	947,326
921937827 VANGUARD SHORT-TERM		
464287440 ISHARES BARCLAYS 7-1		
464288588 ISHARES BARCLAYS MBS		
54401E143 LORD ABBETT SHRT DUR	276,213	277,442
83002G108 SIX CIRCLES ULTR SHR	129,513	129,901
464287432 ISHARES 20+ YEAR TRE	145,189	173,394
54401E218 LORD ABBETT HIGH YIE	380,454	417,731
74440Y884 PGIM HIGH YIELD-Q	339,128	388,721

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491338003080

TY 2019 Investments Corporate Stock Schedule

Name: ANNE S RICHARDSON FUND XXXXX3004

EIN: 13-6192516

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
00724F101 ADOBE INC.		
02079K107 ALPHABET INC/CA-CL C		
02079K305 ALPHABET INC/CA-CL A		
14042Y601 CAPITAL GR NON US EQ		
16119P108 CHARTER COMMUNICATIO		
20030N101 COMCAST CORP-CLASS A		
25278X109 DIAMONDBACK ENERGY I		
26875P101 EOG RESOURCES INC		
30303M102 FACEBOOK INC-A		
31620M106 FIDELITY NATIONAL IN		
45866F104 INTERCONTINENTAL EXC		
46434G822 ISHARES MSCI JAPAN E	157,996	164,348
57636Q104 MASTERCARD INC-CLASS		
58933Y105 MERCK & CO. INC.		
65339F101 NEXTERA ENERGY INC		
65473P105 NISOURCE INC		
67103H107 O'REILLY AUTOMOTIVE		
G0176J109 ALLEGION PLC		
G1151C101 ACCENTURE PLC-CL A		
H1467J104 CHUBB LTD		
15351109 ALEXION PHARMACEUTICA		
23135106 AMAZON.COM INC		
37833100 APPLE INC		
60505104 BANK OF AMERICA CORP		
84670702 BERKSHIRE HATHAWAY IN		
101137107 BOSTON SCIENTIFIC CO		
151020104 CELGENE CORP		
172967424 CITIGROUP INC		
532457108 ELI LILLY & CO		
369550108 GENERAL DYNAMICS COR		

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
437076102 HOME DEPOT INC		
438516106 HONEYWELL INTERNATIO		
464287465 ISHARES MSCI EAFE ET	588,510	673,118
493267108 KEYCORP		
548661107 LOWE'S COS INC		
594918104 MICROSOFT CORP		
609207105 MONDELEZ INTERNATION		
617446448 MORGAN STANLEY		
655844108 NORFOLK SOUTHERN COR		
666807102 NORTHROP GRUMMAN COR		
713448108 PEPSICO INC		
717081103 PFIZER INC		
718172109 PHILIP MORRIS INTERN		
723787107 PIONEER NATURAL RESO		
78462F103 SPDR S&P 500 ETF TRU	1,711,119	3,425,521
854502101 STANLEY BLACK & DECK		
78486Q101 SVB FINANCIAL GROUP		
882508104 TEXAS INSTRUMENTS IN		
907818108 UNION PACIFIC CORP		
91324P102 UNITEDHEALTH GROUP I		
92532F100 VERTEX PHARMACEUTICA		
92826C839 VISA INC-CLASS A SHA		
254687106 WALT DISNEY CO/THE		
94106B101 WASTE CONNECTIONS IN		
949746101 WELLS FARGO & CO		
96208T104 WEX INC		
46432F842 ISHARES CORE MSCI EA	409,771	393,230
25816109 AMERICAN EXPRESS CO		
191216100 COCA-COLA CO/THE		
315911750 FIDELITY 500 INDEX-I	1,871,566	2,078,990

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
913017109 UNITED TECHNOLOGIES		
11135F101 BROADCOM INC		
48129C207 JPM GL RES ENH IDX F		
56585A102 MARATHON PETROLEUM C		
67066G104 NVIDIA CORP		
70450Y103 PAYPAL HOLDINGS INC		
79466L302 SALESFORCE.COM INC		
98956P102 ZIMMER HOLDINGS INC		
G6095L109 APTIV PLC		
53015103 AUTOMATIC DATA PROCES		
285512109 ELECTRONIC ARTS INC		
337738108 FISERV INC		
580135101 MCDONALD'S CORP		
742718109 PROCTER & GAMBLE CO/		
747525103 QUALCOMM INC		
778296103 ROSS STORES INC		
988498101 YUM] BRANDS INC		
22052L104 CORTEVA INC		
26614N102 DUPONT DE NEMOURS IN		
46641Q696 JPMORGAN BETABUILDER	396,836	395,317
64110L106 NETFLIX INC		
83002G306 SIX CIRCLES US UNCON	1,133,301	1,367,988
83002G405 SIX CIRCLES INTL UNC	738,061	692,927
G5494J103 LINDE PLC		
N6596X109 NXP SEMICONDUCTORS N		
46641Q688 JPM BTABLDRS DEV ASI	66,672	67,420
46641Q712 JPMORGAN BETABUILDER	134,601	128,787
46641Q720 JPMORGAN BETABUILDER	329,062	329,703

TY 2019 Investments - Other Schedule

Name: ANNE S RICHARDSON FUND XXXXX3004

EIN: 13-6192516

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
12628J881 CRM LONG/SHORT OPPOR			
29446A710 EQUINOX FDS TR IPM S			
72201U638 PIMCO MRTG OPP & BND	AT COST	130,612	132,691
09257V508 BLACKSTONE ALT MULTI	AT COST	227,757	210,757
09250J734 BLACKROCK EVNT DRVN	AT COST	142,366	138,579
64128R608 NEUBERGER BERMAN LON	AT COST	140,895	146,111

TY 2019 Legal Fees Schedule

Name: ANNE S RICHARDSON FUND XXXXX3004

EIN: 13-6192516

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES - PRINCIPAL (ALLOCA	1,497			1,497
LEGAL FEES - INCOME (ALLOCABLE	1,497			1,497

TY 2019 Other Decreases Schedule

Name: ANNE S RICHARDSON FUND XXXXX3004

EIN: 13-6192516

Description	Amount
ROUNDING	5

TY 2019 Other Increases Schedule

Name: ANNE S RICHARDSON FUND XXXXX3004

EIN: 13-6192516

Description	Amount
COST BASIS ADJUSTMENT	37
SALES ADJUSTMENT	12

TY 2019 Taxes Schedule

Name: ANNE S RICHARDSON FUND XXXXX3004

EIN: 13-6192516

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	9	9		0
FEDERAL TAX PAYMENT - PRIOR YE	2,742	0		0
FEDERAL ESTIMATES - INCOME	14,984	0		0
FOREIGN TAXES ON QUALIFIED FOR	3,926	3,926		0
FOREIGN TAXES ON NONQUALIFIED	690	690		0