Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury Internal Revenue Service

Do not enter social security numbers on this form as it may be made public ➤ Go to www.irs.gov/Form990PF for instructions and the latest information.

| For | calen | dar year 2019 or tax year beginning JUL | 1, 2019 | , and ending | JUN 30, 2020 | |
|-------------------|--|--|------------------------------------|---------------------------|---|---|
| Na | me of | foundation | | | A Employer identificatio | n number |
| | TATE | N N DOGG HOUNDAMION | | | 00 6007331 | 7 |
| | | N M. ROSS FOUNDATION and street (or PO box number if mail is not delivered to street | address) | Room/suite | 99-600732' | / |
| | | O. BOX 3170, DEPT. 715 | addition, | 71001175uns | B Telephone number (808) 694 | -4122 |
| | | own, state or province, country, and ZIP or foreign p | netal code | | | |
| | - | OLULU, HI 96802-3170 | 53181 0000 | | C If exemption application is | pending, check here |
| Y | | all that apply: Initial return | Initial return of a fo | rmer public charity | D 1. Foreign organization | ns, check here |
| | 011001 | Final return | Amended return | | is the order or gameanor | |
|)20 | | Address change | Name change | | Foreign organizations makes the check here and attach contacts. | neeting the 85% test, computation |
| 2020 | Check | type of organization: X Section 501(c)(3) ex | | (.) | E If private foundation st | |
| آ ئى | Se | ction 4947(a)(1) nonexempt charitable trust | Other taxable private founda | ition UM_ | under section 507(b)(| |
| _ I F | air ma | arket value of all assets at end of year J Accounting | ng method: X Cash | Accrual | F= f the foundation s in a | 60-month termination |
| \0\ | from F | Part II, col. (c), line 16) | her (specify) | | under section 507(b)(1 | I)(B), check here |
| لے '' | <u> </u> | 715, 432. (Part I, colun | in (d), must be on cash bas | is.) | - | |
| POSTIMARK BATE NO | art I | Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
| žĚ | 1 | Contributions, gifts, grants, etc., received | | | N/A | |
| MAKE | 2 | Check X if the foundation is not required to attach Sch. B | | | | |
| ST | 3 | Interest on savings and temporary cash investments | 213. | 213. | | STATEMENT 1 |
| 8 | 4 | Dividends and interest from securities | 15,550. | <u> 15,550.</u> | | STATEMENT 2 |
| | 5a | Gross rents | | | <u></u> | |
| | 1 | Net rental income or (loss) | 14 200 | | | |
| 9 | 6a | Ret gain or (loss) from sale of assets not on line 10 Gross sales price for all assets on line fa 67,639 | 14,288. | | | |
| o i de di | , I | Capital gain net income (from Part IV, line 2) | | 14,288. | | |
| å | בן <u>'</u> | Net short-term capital gain | | 14,200. | | |
| | ٩ | Income modifications | | | , | |
| 2021 | 10a | Gross sales less returns and allowances | | | | |
| 73 | 1. | Less Cost of goods sold | | | | |
| 0 | C | Gross profit or (loss) | | | | |
| >- | 11 | Other income | | | | |
| ΙΙΑΥ | 12 | Total Add lines 1 through 11 | 30,051. | 30,051. | | |
| | 13 | Compensation of officers, directors, trustees, etc | 10,601. | 5,300. | | 5,301. |
| KNED | 14 | Other employee salaries and wages | | | | |
| Z, | ומ | Pension plans, employee benefits | | | <u>-</u> | - |
| X | 16a | Legal fees Accounting fees STMT 3 | 1,286. | 386. | - - | 900. |
| SCAN | <u> </u> | Accounting fees STMT 3 Other professional fees | 1,200. | | | 300. |
| | - 1 | | | | - | |
| į | 18 | Tayor NEUEIVED | | | | |
| į | 19 | Depreciation and depletion | | | | |
| | 20 | Occupancy NOV 1 9 2020 | | | | |
| 3 | 21 | Travel, conferences, and meetings | | | | |
| 3 | 22 | Printing and publications EN, UT | | | | |
| ì | 23 | Other expenses STMT 4 | 5,000. | 0. | | 5,000. |
| Ţ | ਰ ਹ | Total operating and administrative | | | | |
| į | 17 18 19 20 21 22 23 24 | expenses. Add lines 13 through 23 | 16,887. | 5,686. | | 11,201. |
| , | 25 | Contributions, gifts, grants paid | 24,000. | | | 24,000. |
| | 26 | Total expenses and disbursements | 40 005 | F 606 | | 35 001 |
| _ | +- | Add lines 24 and 25 | 40,887. | 5,686. | | 35,201. |
| | 27 | | _10 026 | | | |
| | | Excess of revenue over expenses and disbursements Net investment income (if negative, enter -0-) | -10,836. | 24,365. | | |
| | - 1 | Adjusted net income (if negative, enter -0-) | | 24,303. | N/A | |
| _ | | regarded not movine in negative, enter -0-) | | <u> </u> | 41/43 | |

| - | Total and and the second and the sec | T- | T | |
|---|--|----|---|-----------------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 29 | 1 | 1 | |
| | (must agree with end-of-year figure reported on prior year's return) | 1 | | <u>597,396.</u> |
| 2 | Enter amount from Part I, line 27a | 2 | | -10,836. |
| 3 | Other increases not included in line 2 (itemize) | 3 | | 0. |
| 4 | Add lines 1, 2, and 3 | 4 | | 586,560. |
| 5 | Decreases not included in line 2 (itemize) | 5 | | 0. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29 | 6 | | 586,560. |

| (a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | | | | |) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) | | | |
|--|--|--|--|---|------------------------------------|--|--------------------------|---|---|
| 1a PUBLICLY TRADE | D SEC | CURITIES | | | | P | V. | ARIOUS | VARIOUS |
| b CAPITAL GAINS | DIVII | ENDS | | | <u> </u> | | 4_ | | |
| <u>c</u> | | | | | | | + | | |
| <u>d</u> | _ | | | | | | +- | | |
| e (a) Crass sales area | (f) D | epreciation allowed | (g) Cos | t or other basis | Т | | | (h) Gain or (loss | S) |
| (e) Gross sales price | | (or allowable) | | xpense of sale | _ | | (| (e) plus (f) minus | |
| a 61,295. | | | | 53,35 | 1. | | | | 7,944 6,344 |
| ь 6,344. | | | | | | | | | 6,344 |
| <u>c</u> | - | | | | -+ | | | | |
| <u>d</u> | | | | _ | \dashv | | | | - |
| Complete only for assets shown | no dain in d | column (h) and owned by | the foundation | on 12/31/69 | - | | (I) C | and (Col. (b) gair | - minus |
| Outspicte only for assets shown | | j) Adjusted basis | T | cess of col. (I) | | | còl (k | ains (Col. (h) gair t), but not less tha | an -0-) or |
| (i) FMV as of 12/31/69 | ' | as of 12/31/69 | , , , | col. (;), if any | - | | Ĺ | osses (from col. | (h)) |
| a | | | | | | | | | 7,944 |
| b | | | | | | | | | 7,944 6,344 |
| С | | | | | | | | | |
| <u>d</u> | | | | | | | | | |
| <u>e</u> | <u></u> | | | | | | | | |
| | | If gain, also enter -(| er in Part I, line | 7 | J | | | | 14 000 |
| 2 Capital gain net income or (net ca | , | ` | | 7 | 1 | 2 | | | 14,288 |
| Net short-term capital gain or (lo | | | and (6): | | ٦ | | | | |
| If gain, also enter in Part I, line 8, If (loss), enter -0- in Part I, line 8 | | 3). | | | | 3 | | N/A | 1 |
| Part V Qualification L | Inder S | ection 4940(e) fo | r Reduced | Tax on Net | Inve | estment | Inco | | <u> </u> |
| f section 4940(d)(2) applies, leave t | his part bla | ank. | , , | i net investment ir | icomic. | , | | | |
| Was the foundation liable for the sec f "Yes," the foundation doesn't quali | tion 4942 fy under se | tax on the distributable arection 4940(e). Do not co | mount of any yo | ear in the base pei | riod? | | - | | Yes X No |
| Was the foundation liable for the sec f "Yes," the foundation doesn't quali Enter the appropriate amount in | tion 4942 fy under se | tax on the distributable an ection 4940(e). Do not co nn for each year; see the | mount of any yo | ear in the base pei | ntries | | | | |
| Was the foundation liable for the sec f "Yes," the foundation doesn't quali Enter the appropriate amount in (a) Base period years | tion 4942 fy under se each colur | tax on the distributable arection 4940(e). Do not co | mount of any younglete this par instructions be | ear in the base per t. fore making any e | ntries | | sets | Distri (cal (b) d | (d) bution ratio |
| Vas the foundation liable for the sec f "Yes," the foundation doesn't quali Enter the appropriate amount in (a) Base period years Calendar year (or tax year beginni | tion 4942 fy under se each colur | tax on the distributable at ection 4940(e). Do not co nn for each year; see the (b) Adjusted qualifying di | mount of any younglete this par instructions be stributions | ear in the base pei | ntries | table-use as | | Distri - (col (b) da | (d) bution ratio vided by col. (c)) |
| Vas the foundation liable for the sec f "Yes," the foundation doesn't quali Enter the appropriate amount in (a) Base period years Calendar year (or tax year beginni 2018 | tion 4942 fy under se each colur | tax on the distributable and extion 4940(e). Do not conn for each year; see the (b) Adjusted qualifying di | mount of any yearnplete this par instructions be stributions | ear in the base per t. fore making any e | ntries | table-use as | 07. | Distri - (col (b) di | (d) bution ratio vided by col. (c)) • 05177 |
| Was the foundation liable for the sec f "Yes," the foundation doesn't quali f Enter the appropriate amount in (a) Base period years Calendar year (or tax year beginni | tion 4942 fy under se each colur | tax on the distributable an ection 4940(e). Do not co nn for each year; see the (b) Adjusted qualifying di | mount of any younglete this par instructions be stributions | ear in the base per t. fore making any e | ntries | table-use as | 07. 63. | Distri - (col (b) di | (d) button ratio vided by col. (c)) |
| Was the foundation liable for the sec f "Yes," the foundation doesn't quali I Enter the appropriate amount in (a) Base period years Calendar year (or tax year beginni 2018 2017 | tion 4942 fy under se each colur | tax on the distributable an ection 4940(e). Do not co not for each year; see the (b) Adjusted qualifying di | mount of any yearnplete this par instructions be stributions 37,016.35,467.28,065.36,066. | ear in the base per t. fore making any e | ntries | table-use as 715,0 737,2 684,5 662,7 | 07. 63. 98. 43. | Distri (col (b) di | (d) bution ratio vided by col. (c)) .05177 .04810 .04099 |
| (a) Base period years Calendar year (or tax year beginni 2018 2017 2016 | tion 4942 fy under se each colur | tax on the distributable an ection 4940(e). Do not co not for each year; see the (b) Adjusted qualifying di | mount of any yearmplete this par instructions be stributions $\frac{37,016}{35,467}$. | ear in the base per t. fore making any e | ntries | table-use as 715,0 737,2 684,5 | 07. 63. 98. 43. | Distri - (col (b) di | (d) button ratio vided by col. (c)) 05177 04810 040999 054419 |
| Was the foundation liable for the sec f "Yes," the foundation doesn't quali 1 Enter the appropriate amount in Base period years Calendar year (or tax year beginni 2018 2017 2016 2015 2014 | tion 4942 fy under se each colur | tax on the distributable an ection 4940(e). Do not co not for each year; see the (b) Adjusted qualifying di | mount of any yearnplete this par instructions be stributions 37,016.35,467.28,065.36,066. | ear in the base per t. fore making any e | ntries | table-use as 715,0 737,2 684,5 662,7 | 07. 63. 98. 43. | - (col (b) di | (d) button ratio vided by col. (c)) |
| Was the foundation liable for the sec f "Yes," the foundation doesn't quali 1 Enter the appropriate amount in Base period years Calendar year (or tax year beginni 2018 2017 2016 2015 2014 | fy under se each colur ing in) | tax on the distributable an ection 4940(e). Do not co nn for each year; see the (b) Adjusted qualifying di | mount of any yearmplete this par instructions be stributions $\frac{37,016}{35,467}$ $\frac{28,065}{36,066}$ $\frac{36,659}{36,659}$ | ear in the base per t. fore making any e Net value of no | ntries (c) | 715,0 737,2 684,5 662,7 719,3 | 07. 63. 98. 43. | Distri | (d) button ratio vided by col. (c)) |
| Was the foundation liable for the section of "Yes," the foundation doesn't quality. Base period years Calendar year (or tax year beginni 2018 2017 2016 2015 2014 Total of line 1, column (d) Average distribution ratio for the | fy under se each colur ing in) | tax on the distributable an ection 4940(e). Do not co not for each year; see the (b) Adjusted qualifying distributed qualifying distribu | mount of any yearmplete this par instructions be stributions $\frac{37,016}{35,467}$ $\frac{28,065}{36,066}$ $\frac{36,659}{36,659}$ | ear in the base per t. fore making any e Net value of no | ntries (c) | 715,0 737,2 684,5 662,7 719,3 | 07. 63. 98. 43. | (col (b) di | (d) button ratio vided by col. (c)) .05177 .04810 .04099 .05441 .05096 |
| Was the foundation liable for the sectif "Yes," the foundation doesn't qualification to the section of the sect | fy under se each colur ing in) | tax on the distributable an ection 4940(e). Do not co not for each year; see the (b) Adjusted qualifying distributed qualifying distribu | mount of any yearmplete this par instructions be stributions $\frac{37,016}{35,467}$ $\frac{28,065}{36,066}$ $\frac{36,659}{36,659}$ | ear in the base per t. fore making any e Net value of no | ntries (c) | 715,0 737,2 684,5 662,7 719,3 | 07. 63. 98. 43. | - (col (b) di | (d) button ratio vided by col. (c)) .05177 .04810 .04099 .05441 .05096 |
| Was the foundation liable for the section of "Yes," the foundation doesn't quality. Base period years Calendar year (or tax year beginning 2018) 2017 2016 2015 2014 Total of line 1, column (d) Average distribution ratio for the the foundation has been in existe | fy under se each colur ing in) | tax on the distributable and extion 4940(e). Do not coming for each year; see the (b) Adjusted qualifying distributed qualifying distributed qualifying distributed qualifying distributed qualifying distributed and the period - divide the total than 5 years | mount of any yearn particular instructions be stributions 37,016.35,467.28,065.36,066.36,659. | ear in the base per t. fore making any e Net value of no | ntries (c) | 715,0 737,2 684,5 662,7 719,3 | 07. 63. 98. 43. | _ (col (b) di | (d) button ratio vided by col. (c)) |
| Vas the foundation liable for the sectif "Yes," the foundation doesn't qualification in the section of the sect | fy under se each colur ing in) | tax on the distributable and extion 4940(e). Do not coming for each year; see the (b) Adjusted qualifying distributed qualifying distributed qualifying distributed qualifying distributed qualifying distributed and the period - divide the total than 5 years | mount of any yearn particular instructions be stributions 37,016.35,467.28,065.36,066.36,659. | ear in the base per t. fore making any e Net value of no | ntries (c) | 715,0 737,2 684,5 662,7 719,3 | 07. 63. 98. 43. | (col (b) di | (d) button ratio vided by col. (c)) |
| Was the foundation liable for the section of "Yes," the foundation doesn't quality. Base period years Calendar year (or tax year beginning 2018) 2017 2016 2015 2014 Total of line 1, column (d) Average distribution ratio for the the foundation has been in exister. | fy under se each colur ing in) | tax on the distributable and extion 4940(e). Do not coming for each year; see the (b) Adjusted qualifying distributed qualifying distributed qualifying distributed qualifying distributed qualifying distributed and the period - divide the total than 5 years | mount of any yearn particular instructions be stributions 37,016.35,467.28,065.36,066.36,659. | ear in the base per t. fore making any e Net value of no | ntries (c) | 715,0 737,2 684,5 662,7 719,3 | 07. 63. 98. 43. | _ (col (b) di | (d) button ratio vided by col. (c)) . 05177 . 04810 . 04099 . 05441 . 05096 . 24625 . 04925 719,218 |
| Vas the foundation liable for the sectif "Yes," the foundation doesn't quality. Enter the appropriate amount in Base period years. Calendar year (or tax year beginning 2018) 2017 2016 2015 2014 Total of line 1, column (d) Average distribution ratio for the the foundation has been in exister. Enter the net value of noncharital | fy under se each colur ing in) | tax on the distributable and extion 4940(e). Do not coming for each year; see the (b) Adjusted qualifying distributed qualifying distributed qualifying distributed qualifying distributed qualifying distributed and the period - divide the total than 5 years | mount of any yearn particular instructions be stributions 37,016.35,467.28,065.36,066.36,659. | ear in the base per t. fore making any e Net value of no | ntries (c) | 715,0 737,2 684,5 662,7 719,3 | 07. 63. 98. 43. | 2 3 4 | (d) button ratio vided by col. (c)) . 05177 . 04810 . 04099 . 05441 . 05096 . 24625 . 04925 719,218 |
| Vas the foundation liable for the section of "Yes," the foundation doesn't quality. Enter the appropriate amount in Base period years Calendar year (or tax year beginning 2018) 2017 2016 2015 2014 Total of line 1, column (d) Average distribution ratio for the the foundation has been in existed. Enter the net value of noncharital Multiply line 4 by line 3 | fy under se each colur ing in) 5-year basence if less | tax on the distributable and ection 4940(e). Do not conn for each year; see the (b) Adjusted qualifying distributed qualifying distributed qualifying distributed and because the following distributed and because the following distributed and because the following distributed and the following distributed | mount of any yearn particular instructions be stributions 37,016.35,467.28,065.36,066.36,659. | ear in the base per t. fore making any e Net value of no | ntries (c) | 715,0 737,2 684,5 662,7 719,3 | 07. 63. 98. 43. | 2 3 4 | (d) button ratio vided by col. (c)) .05177 .04810 .04099 .05441 .05096 .24625 .04925 719,218 |
| Was the foundation liable for the section of "Yes," the foundation doesn't quality. Enter the appropriate amount in Base period years Calendar year (or tax year beginning 2018) 2017 2016 2015 2014 Total of line 1, column (d) Average distribution ratio for the the foundation has been in existed. Enter the net value of noncharital Multiply line 4 by line 3 Enter 1% of net investment income | fy under se each colur ing in) 5-year basence if less | tax on the distributable and ection 4940(e). Do not comin for each year; see the (b) Adjusted qualifying distributed qualifying distributed qualifying distributed and because the force of the control | mount of any yearn particular instructions be stributions 37,016.35,467.28,065.36,066.36,659. | ear in the base per t. fore making any e Net value of no | ntries (c) | 715,0 737,2 684,5 662,7 719,3 | 07. 63. 98. 43. | 2 3 4 5 | (d) button ratio vided by col. (c)) |
| Was the foundation liable for the section of "Yes," the foundation doesn't quality. Base period years Calendar year (or tax year beginning 2018 2017 2016 2015 2014 Total of line 1, column (d) Average distribution ratio for the the foundation has been in existed. Enter the net value of noncharital Multiply line 4 by line 3 Enter 1% of net investment incomes | fy under se each colur ing in) 5-year basence if less | tax on the distributable and ection 4940(e). Do not comin for each year; see the (b) Adjusted qualifying distributed qualifying distributed qualifying distributed and because the force of the control | mount of any yearn particular instructions be stributions 37,016.35,467.28,065.36,066.36,659. | ear in the base per t. fore making any e Net value of no | ntries (c) | 715,0 737,2 684,5 662,7 719,3 | 07. 63. 98. 43. | 2 3 4 5 | (d) button ratio vided by cot. (c)) |
| Was the foundation liable for the sectif "Yes," the foundation doesn't qualification to the sectif section of the sectif section of the sectif section of the sectif section of the sectio | fy under se each colur ing in) 5-year basence if less ble-use asseme (1% of | tax on the distributable and ection 4940(e). Do not conn for each year; see the (b) Adjusted qualifying distributed qualifying distributed qualifying distributed and because the period - divide the total than 5 years sets for 2019 from Part X | mount of any yearn particular instructions be stributions 37,016.35,467.28,065.36,066.36,659. | ear in the base per t. fore making any e Net value of no | ntries (c) | 715,0 737,2 684,5 662,7 719,3 | 07. 63. 98. 43. | 2 3 4 5 6 7 | (d) button ratio vided by cot. (c)) . 05177 . 04810 . 04099 . 05441 . 05096 . 24625 . 04925 . 719,218 . 35,422 . 244 . 35,666 |
| Was the foundation liable for the sector of "Yes," the foundation doesn't quality. Base period years Calendar year (or tax year beginni 2018 2017 2016 2015 2014 Total of line 1, column (d) Average distribution ratio for the | fy under se each colur ing in) 5-year basence if less ble-use assume (1% of | tax on the distributable and ection 4940(e). Do not conn for each year; see the (b) Adjusted qualifying distributed qualifying distributed qualifying distributed and because the following distributed and because the period - divide the total than 5 years Sets for 2019 from Part X Part I, line 27b) | mount of any yearn plete this par instructions be stributions 37,016.35,467.28,065.36,066.36,659. | ear in the base pert. fore making any e Net value of no | ntries (c) nnchari | table-use as 715,0 737,2 684,5 662,7 719,3 | 07. 63. 98. 43. | 2 3 4 5 6 | (d) button ratio vided by col. (c)) |

| orm 990-P | <u>, </u> | 99- 948 - | 600732 see ins | 7 | | age 4 |
|------------------------|--|---------------------|-------------------|---------------|-----|------------------|
| | of operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1. | | | | | |
| | fruling or determination letter: (attach copy of letter if necessary-see instructions) | | | | | |
| | stic foundations that meet the section 4940(e) requirements in Part V, check here and enter 1% | 1 | | | 4 | 3 <u>7</u> . |
| | ti, line 27b | | | | | , , . |
| | er domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b) | | | | | |
| | nder section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) | 2 | | | | 0. |
| | nes 1 and 2 | 3 | | | 1 | 37. |
| _ | le A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) | 4 | | | | 0. |
| | ased on investment income Subtract line 4 from line 3. If zero or less, enter -0- | 5 | | | 4 | 37. |
| | | 3 | | | 4 | <u> </u> |
| | s/Payments: | | | | | |
| | estimated tax payments and 2018 overpayment credited to 2019 6a 709. | | | | | |
| | ot foreign organizations - tax withheld at source 6b 0. | | | | | |
| | aid with application for extension of time to file (Form 8868) 6c 0. | | | | | |
| | p withholding erroneously withheld 6d 0 • | | | | _ | |
| | credits and payments. Add lines 6a through 6d | 7 | | | | <u> </u> |
| | any penalty for underpayment of estimated tax. Check here if Form 2220 is attached | 8 | <u>-</u> . | | | 0. |
| | ue. If the total of lines 5 and 8 is more than line 7, enter amount owed | 9 | | | | |
| 10 Overp | ayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | | | 2. | <u>22.</u> |
| | the amount of line 10 to be: Credited to 2020 estimated tax 222. Refunded | 11 | <u></u> | | | 0. |
| Part VI | -A Statements Regarding Activities | | | | | |
| 1a Durin | g the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene | IN | | [` | Yes | No |
| any p | olitical campaign? | | 1 | a | | X |
| b Did it | spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the defin | ition | | <u>b </u> | | X |
| If the | answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or | | | | | |
| dıstrıt | uted by the foundation in connection with the activities | | · | | - [| |
| c Did th | e foundation file Form 1120-POL for this year? | | 1 | c | l | X |
| | the amount (if any) of tax on political expenditures (section 4955) imposed during the year: | | | | | |
| | n the foundation. ► \$ 0 . (2) On foundation managers. ► \$ 0 . | | | | | |
| | the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation | | | | | |
| | gers. > \$ 0. | | 1 | Ì | Ï | |
| | ne foundation engaged in any activities that have not previously been reported to the IRS? | | | , | ľ | Х |
| | s," attach a detailed description of the activities. | | | + | | |
| | r, attach a detailed description of the activities. He foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, c | ۸, | 1 | | • | |
| | | " | ١, | . | | х |
| | s, or other similar instruments? If "Yes," attach a conformed copy of the changes | | | \neg | | X |
| | e foundation have unrelated business gross income of \$1,000 or more during the year? | 3.7 | /3 4 | $\overline{}$ | | |
| | ," has it filed a tax return on Form 990-T for this year? | N | /A 4 | | | |
| | here a liquidation, termination, dissolution, or substantial contraction during the year? | | <u> </u> | <u> </u> | | <u>X</u> |
| | ," attach the statement required by General Instruction T | | | i | Ì | |
| | e requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: | | } | - | ŀ | |
| - | anguage in the governing instrument, or | | | | ł | |
| By | state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state | law | | ı | | |
| | n in the governing instrument? | | <u> </u> | } | X | |
| 7 Did th | e foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV | | | ' | X | |
| | | | 1 | | ļ | |
| 8a Enter | the states to which the foundation reports or with which it is registered. See instructions. 🕨 | | | | | |
| HI | | | | - | } | |
| b If the | answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) | | - | | | |
| | h state as required by General Instruction G? If "No," attach explanation | | 8 | ь | x | |
| | foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for cale | ndar | | | | |
| | 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV | |) , | , | j | X |
| - | ny persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | | 1 | _ | | X |
| . | g | | Form | | DE | 2010) |

| | | | Yes | No |
|----|--|-----|-------------|----------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of | | | |
| | section 512(b)(13)? If "Yes," attach schedule. See instructions | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? | | | |
| | If "Yes," attach statement. See instructions | 12 | _ i | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | x | |
| | Website address ► N/A | | | |
| 14 | The books are in care of ► BANK OF HAWAII Telephone no. ► (808) | 694 | <u>-41</u> | 22 |
| | Located at ► 130 MERCHANT STREET, HONOLULU, HI ZIP+4 ►96 | 813 | | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here | | > | |
| | and enter the amount of tax-exempt interest received or accrued during the year | N | /A | |
| 16 | At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, | | Yes | No |
| | securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the | | | |
| _ | foreign country | | | |
| Pa | art VII-B Statements Regarding Activities for Which Form 4720 May Be Required | | | |
| | File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. | | Yes | No |
| 1a | During the year, did the foundation (either directly or indirectly): | | . { | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) | | | |
| | a disqualified person? | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes X No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? X Yes No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available | | | |
| | for the benefit or use of a disqualified person)? | | | |
| | (6) Agree to pay money or property to a government official? (Exception Check "No" | | | |
| | If the foundation agreed to make a grant to or to employ the official for a period after | | | |
| | termination of government service, if terminating within 90 days.) | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations | | ١., | |
| | section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions | 1b | Х | |
| | Organizations relying on a current notice regarding disaster assistance, check here | | - | |
| C | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected | | ٠, | |
| _ | before the first day of the tax year beginning in 2019? | 1c | _X | |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation | | | |
| | defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| a | At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? Yes X No | i | 7 | |
| | 16 m/s of listable server | 1 | | |
| | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect | | | |
| | valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach | | | |
| | statement - see instructions.) N/A | 2ь | | |
| | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. | | | |
| Ĭ | • | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time | | | |
| | during the year? | | | |
| ь | of "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after | | | |
| | May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose | | | |
| | of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, | | | |
| | Schedule C, to determine if the foundation had excess business holdings in 2019.) N/A | _3b | _ | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | <u>X</u> |
| | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that | | | |
| | had not been removed from jeopardy before the first day of the tax year beginning in 2019? | 4b | | <u> </u> |

| orm 990-PF (2019) JOHN M. ROSS FOUNDATION | | | <u>99-60073</u> | <u> 27 </u> | Page 6 |
|--|---|---|--|--------------------|--|
| Part VII-B Statements Regarding Activities for Which | Form 4720 May Be F | Required (continu | ued) | | |
| 5a During the year, did the foundation pay or incur any amount to | | | | Yes | No |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section | n 4945(e))? | Ye | s X No | | |
| (2) Influence the outcome of any specific public election (see section 4955); of | . ,, | | | | į. |
| any voter registration drive? | | • | s X No | | |
| (3) Provide a grant to an individual for travel, study, or other similar purposes | 2 | | s X No |] |] |
| (4) Provide a grant to an organization other than a charitable, etc., organization | | | 3 (22) 100 | | 1 |
| 4945(d)(4)(A)? See instructions | ar described iii Section | □ v _a | s X No | - 1 | Ì |
| | | _ | IS LAL NU | | |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, | , or educational purposes, or t | | l | | |
| the prevention of cruelty to children or animals? | | | s X No | ì | 1 |
| b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify un | · · | in Regulations | | | |
| section 53.4945 or in a current notice regarding disaster assistance? See instr | | | F | 5 <u>b</u> | X |
| Organizations relying on a current notice regarding disaster assistance, check | here | | | | |
| c If the answer is "Yes" to question 5a(4), does the foundation claim exemption f | rom the tax because it maintai | | | | |
| expenditure responsibility for the grant? | N | I/A 🔲 Ye | s No | 1 | i |
| If "Yes," attach the statement required by Regulations section 53.4945-5(d). | | | | | İ |
| 6a Did the foundation, during the year, receive any funds, directly or indirectly, to | pay premiums on | | | | |
| a personal benefit contract? | | Ye | s X No | | |
| b Did the foundation, during the year, pay premiums, directly or indirectly, on a s | personal benefit contract? | | | 5b | x |
| If "Yes" to 6b, file Form 8870. | | | - | - | † |
| 7a At any time during the tax year, was the foundation a party to a prohibited tax s | chelter transaction? | [v | s X No | l | |
| b If "Yes," did the foundation receive any proceeds or have any net income attrib | | | | 7b | |
| | | - | M/A | - | |
| 8 Is the foundation subject to the section 4960 tax on payment(s) of more than 5 | pr,000,000 in remaneration of | | . चि.। | | |
| excess parachute payment(s) during the year? Part VIII Information About Officers, Directors, Trust | | | s X No | | <u> </u> |
| Part VIII Information About Officers, Directors, Trust Paid Employees, and Contractors | ees, roundation wa | inagers, Highly | / | | |
| List all officers, directors, trustees, and foundation managers and to | bair company | | | | |
| List all officers, directors, trustees, and foundation managers and t | | (c) Compensation | (d) Contributions to | 1-> F. | |
| (a) Name and address | (b) Title, and average hours per week devoted | (If not paid, | (d) Contributions to employee benefit plans and deferred | (e) Expandi | t, other |
| | to position | enter -0-) | compensation | allowa | inces |
| BANK OF HAWAII | TRUSTEE | | | | |
| P.O. BOX 3170 | | | | | |
| HONOLULU, HI 96802-3170 | 1.00 | 10,601. | 0. | | _0. |
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| | † | | | | |
| | - | | | | |
| 2 Compensation of five highest-paid employees (other than those inc | cluded on line 1) If none | enter "NONE " | | · | |
| Compensation of the mighest-paid employees (other didn those in | (b) Title, and average | enter NONE. | (d) Contributions to | (a) Fv | nense |
| (a) Name and address of each employee paid more than \$50,000 | hours per week | (c) Compensation | (d) Contributions to employee benefit plans and deferred | (e) Exp account | , other |
| | devoted to position | | compensation | allowa | ınces |
| NONE | - | İ | | | |
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| Total number of other employees and over \$50,000 | <u> </u> | <u> </u> | | | |
| Total number of other employees paid over \$50,000 | | | | 000 DE | |
| | | | Form | 990-PF | (2019) |

923551 12-17-19

Total. Add lines 1 through 3

Page 8

| Pa | rt X Minimum Investment Return (All domestic foundations must complete this part. Foreign four | ndations, se | e instructions.) |
|------------|---|------------------|---------------------|
| 1 F | air market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a / | Average monthly fair market value of securities | 1a | 711,969. 18,202. |
| b / | Average of monthly cash balances | 1b | 18,202. |
| c F | Fair market value of all other assets | 1c | |
| d 1 | Fotal (add lines 1a, b, and c) | 1d | 730,171. |
| e F | Reduction claimed for blockage or other factors reported on lines 1a and | | |
| 1 | 1c (attach detailed explanation) 1e 0. | | |
| 2 / | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 5 | Subtract line 2 from line 1d | 3 | 730,171. |
| 4 (| Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 10,953. |
| 5 1 | Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 719,218. |
| 6 1 | Minimum investment return Enter 5% of line 5 | 6 | 35,961. |
| Pa | rt XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations an foreign organizations, check here and do not complete this part.) | ıd certain | |
| 1 1 | Minimum investment return from Part X, line 6 | 1 | 35,961. |
| | Tax on investment income for 2019 from Part VI, line 5 | | |
| b 1 | Income tax for 2019. (This does not include the tax from Part VI.) | | |
| C / | Add lines 2a and 2b | 2c | 487. |
| 3 i | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 35,474. |
| 4 1 | Recoveries of amounts treated as qualifying distributions | 4 | 0. |
| 5 / | Add lines 3 and 4 | 5 | 35,474. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7 ! | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 35,474. |
| Pa | rt XII Qualifying Distributions (see instructions) | | |
| 1 / | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a i | Expenses, contributions, gifts, etc total from Part I, column (d), line 26 | 1a | 35,201. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4 | 4 | 35,201. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment | 1 1 | |
| 1 | income. Enter 1% of Part I, line 27b | 5 | 0. |
| 6 | Adjusted qualifying distributions Subtract line 5 from line 4 | 6 | 35,201. |
| | Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation of 4940(e) reduction of tax in those years. | qualifies for th | ne section |

Part XIII Undistributed Income (see instructions)

| 1 Distributable amount for 2019 from Part XI, Imp 7 2 Understood enteron, Flags, as of the end of 1819 a Enter amount for 2018 only 5 Total for purp 7 3 Total for purp 7 3 Total of lines of 18 Total only 6 Total for purp 7 3 Total of lines of 18 Total of lines of 18 Total only 6 Total for purp 7 4 Grow 2016 | | | (a) Corpus | (b) Years prior to 2018 | (c) 2018 | (d) 2019 |
|---|----|--|---------------|----------------------------|-------------|--------------------|
| 2 Luciashbuden seams. 4 ays, as of the end of 2019 b Total for prior years: 0 . 3 Excess distributions carryover, if any, to 2019: a From 2014 b From 2015 c From 2016 1 Total of the seams and through e 1 Ly 549. 1 Total of the seams and through e 1 Ly 549. 1 Total of the seams and through e 1 Ly 549. 2 Applied to 2018, but not more than line 2a 2 Applied to 2018, but not more than line 2a 3 Applied to 2018 but not more than line 2a 4 Applied to undistributed mome of prov 2 years (Electron required - see instructions) 1 Total of seems - see instructions 1 Total of the seems and the | 1 | Distributable amount for 2019 from Part XI, | | | | |
| Excess deshibutions carryover, if any, to 2019. a From 2014 b From 2016 b From 2016 b From 2017 e From 2018 1 Total of hims \$3 through 6 4 Company destributions for 2018 from Part XII, lims 4 P S 35, 201. a Applied to 2018, but not mere than hime 2a 4 Applied to 2018, but not mere than hime 2a 4 Applied to 2018, but not mere than hime 2a 4 Applied to 2018, but not mere than hime 2a 4 Applied to 2018, but not mere than hime 2a 5 (Election required - see instructions) C Frailed as destinations out of corpus (Election required - see instructions) C Frailed as destinations out of corpus (Election required - see instructions) C Frailed as destinations out of corpus (Election required - see instructions) C Frailed as destinations out of corpus (Election required - see instructions) C Frailed as destinations out of corpus (Election required - see instructions) C Frailed as destinations out of corpus (Election required - see instructions) C Frailed as destinations out of corpus (Election required - see instructions) C Frailed as destinations out of corpus (Election required - see instructions) C Frailed as destinations out of corpus (Election required - see instructions) C Frailed as destinations out of corpus (Election required - see instructions) C Frailed as destinations out of corpus (Election required - see instructions) C Frailed as destinations out of corpus (Election required - see instructions) C Frailed - see instructions C Frailed - see i | | | | | | 35,474. |
| 3 Excess distributions carryover, if any, to 2019: a From 2014 b From 2015 e From 2016 f From 2017 e From 2018 1 | | · · · · · · · · · · · · · · · · · · · |] | | • | |
| 3 Excess distributions carryover, if any, to 2019: a From 2014 b From 2015 e From 2016 e From 2017 e From 2018 1 | | _ | | | 0. | |
| a Excess dishributions carryover, it any, to 2019: a From 2014 b From 2016 d From 2017 e From 2018 1,549. 1,549. 1,549. 4 Qualifying distributions to 2019 from Part XII, Ime 4 № \$ 35,201. a Applied to 2018, but not more than line 2a b Applied to undistributed income of prior years (Efection required - see instructions) c 1 Treated as distributions of corpus (Election required - see instructions) d Applied to 2018 distributible amount e Remaining amount distributed out of corpus C Election required - see instructions) c 1 Treated as distributions out of corpus C Election required - see instructions) d Applied to 2019 distributible amount must be chosen in column (a) C Election required - see instructions) c 1 Treated as distributions out of corpus C Election required - see instructions) c 2 Treated as distributions under the mount must be chosen in column (a) C Election required - see instructions c 2 Election required - see instructions c 3 Treated between in column (a) C Election required - see instructions c 3 Treated between incolumn (a) C Election required - see instructions c 3 Treated between incolumn (a) C S Treated as distributions composed by the see instructions c 4 Applied to 2020 T S Treated - see instructions c 4 Applied to 2020 T S Treated - see instructions c 4 Applied to 2020 T S Treated - see instructions C S Treated - see | t | o Lotal for prior years: | | 0 | li . | |
| a From 2014 b From 2017 b From 2016 d From 2017 e From 2018 1 Total of Interest Start Brough e 1 Total of Interest Start Brough e 1 Total of Interest Start Brough e 1 Total of Interest Start Brough e 1 Total of Interest Start Brough e 2 D Applied to and stributed income of prior years (Electron required - see instructions) c (Flectron required - see instructions | • | Evenes distributions carryover if any to 2010: | | | | |
| 8 From 2015 e From 2017 e From 2018 1 | - | 1 ' ' ' | | | n. | |
| E From 2016 d From 2017 1 | | | | | | |
| d From 2017 | | | | | | |
| From 2018 | | | | | | |
| 1 Total of lines a through e 4 Oualifying distributions for 2019 from Part XII, line 4. ► \$ 35, 201. a Applied to Q16, but not more than line 2e b Applied to undistributed income of prior years (Election required - see instructions) c Treated as distributions out of corpus (Election required - see instructions) d Applied to Q19 distributable amount Remaining amount distributed of corpus Election required - see instructions) d Applied to Q19 distributable amount remaining amount distributed of corpus 5 Excess distributions curviver applied to Q10 to (Q1) an amount applied to Q10 to (Q1) an amount applied to Q10 to (Q1) an amount operation in column (q1) the service of the properties of | | | | | | |
| 4. Qualifying distributions for 2019 from Part XII, line 4. № \$ 3.5, 201. a Applied to 2018, but not more than line 2a 0. a Applied to undistributed income of prior years (Election required - see instructions) 0. [Election required - see instructions] 0. [Election required - see instructions] 273. [Election required - see instructions] 0. [Election re | | | 1.549. | | | |
| Part XI, line 4, ▶ \$ 35, 201. a Applied to 2018, but not more than hine 2a b Applied to undistributed mome of prior years (Election required - see instructions) (Flection required - see instructions) (Election required - see instructions) (Election required - see instructions) 0. d Applied to 2019 distributable amount Remaning amount distributed out of corpus 5 Excess distributions autrovers replied to 2019 (If an amount appear in activin (it) is assisted and in a see in | | - · · · · · · · · · · · · · · · · · · · | 2,7323. | | | |
| a Appled to 2018, but not more than line 2a b Appled to undishibuted income of prior years (Election required - see instructions) c Treated as distributions out of corpus (Election required - see instructions) d Appled to 2019 distributed amount come of prior difference and the prior of the | • | | | | | |
| b Applied to undistributed income of prior years (Election required - see instructions) c Treated as distributions out of corpus (Election required - see instructions) d Applied to 2019 distributable amount e Remaining amount distributed out of corpus 5 Excess distributions carryover applies to 2019 0. 5 Excess from 2016 6 (Fine Amount appears in column (a)) 1 | | | | | 0. | |
| years (Election required - see instructions) c Treated as distributions out of corpus (Election required - see instructions) d Applied to 2019 distributiable amount e Remaining amount distributed out of corpus 5 Excess distributions carryover applied to 2019 must be shown in column (a) must be shown in column (a) must be shown in column (a) must be shown in column (a) must be shown in column (a) must be shown in column (a) must be shown in column (a) must be shown in column (a) must be shown in column (a) must be shown in column (a) must be shown in column (a) must be shown in column (a) must be shown in column (a) must be shown in column (a) must be shown in column (a) must be shown in column (a) must be shown in column (a) must be shown in column (a) must be shown in column (a) must be must of must be must be must be must be must be must be must be must be must be must be must be distributed in come for 2018. Subtract line 4 from line 2a. Taxable amount - see instructions Undistributed in accent for 2018. Subtract line 4 from line 2a. Taxable amount - see instructions Undistributed in accent for 2018. Subtract line 4 from line 2a. Taxable amount - see instructions O. Amounts treated as distributions out of corpus to safely requirements imposed by section 170(b)(T)(F) or 4942(b)(3) (Election may be required - see instructions) Excess from 2016 Excess from 2015 Dexess from 2016 Excess from 2017 Excess first must be form 2014 Count of the first firs | | | | | | |
| c Treated as distributions out of corpus (Election required - see instructions) d Applied to 2019 distributable amount e Remaining amount distributed out of corpus 5 Excess distributions corpover applied to 2019 C Excess distributions corpover applied to 2019 C Excess distributions corpover applied to 2019 C Excess distributions corpover applied to 2019 C Excess from 2016 | - | | | 0. | | |
| d Applied to 2019 distributable amount e Remaining amount distributed out of corpus 5 Excess distributions carryover explicition a column (cl), the same amount must be aboven in column (cl). 6 Enter the net total of each column as indicated below: a Corpus Aed lines 3f, 4c, and 4e. Subtract line 5 b Prior years' undistributed income. Subtract line 4b from line 2b. 6 Enter the amount of prior years' undistributed income. Subtract line 4b from line 2b. 6 Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6c from line 6b. Taxable amount - see instructions e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instructions Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount - see instructions Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) 8 Excess distributions carryover from 2014 not applied on line 5 or line 7 9 Excess distributions carryover from 2015 bExcess from 2015 bExcess from 2015 bExcess from 2016 cExcess from 2018 | | | | | | |
| e Remaining amount distributed out of corpus 5 Excess distributions carryover applied to 2019 (if an amount appears in robinnin (jul), the salme amount (if an amount appears in robinnin (jul), the salme amount 273. 273. 273. 273. 273. 273. 273. 273. 5 Enter the net total of each column as indicated below: 2 Corpus Add times 3! 4c, and 46 subtract time 5 2 Prior years' undistributed income. Subtract lime 4b from line 2b 5 Prior years' undistributed income. Subtract lime 4b from line 2b 6 Enter the amount of pror years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed 3 Subtract line 6 from line 6b. Taxable amount - see instructions 9 Undistributed income for 2018. Subtract line 4a from line 2. Taxable amount - see instr. 4 Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(f)(f)(f) or 9442(g)(3) (Election may be required - see instructions) 8 Excess distributions carryover from 2014 not applied on line 5 or line 7 0. 9 Excess distributions carryover from 2014 not applied on line 9 in en 7 0. 1, 276. | | (Election required - see instructions) | 0. | i | | |
| 5 Excess destinations carryover applied to 2015 (final amount applieds in column dig). 5 Enter the net total of each column as indicated below: 3 Corpus Add lines 21, 4c, and 4e Subtract line 5 5 Prior years' undistributed income, Subtract line 6 b Prior years' undistributed income, Subtract line 4b from line 2b 5 Enter the amount of prior years' undistributed income, Subtract line 4b from line 2b 6 Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed 6 Subtract line 6c from line 6b. Taxable amount - see instructions 8 Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instructions 9 Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Electron may be required - see instructions) 8 Excess distributions carryover from 2014 not applied on line 5 or line 7 9 Excess distributions carryover from 2014 not applied on line 5 or line 7 9 Excess from 2015 5 Excess from 2015 5 Excess from 2016 6 Excess from 2016 6 Excess from 2018 1 , 276. | (| d Applied to 2019 distributable amount | | | | 35,201. |
| (if an amount appears in column (ci), the same amount must be shown in column (a). 6 Enter the net total of each column as indicated below: 1 Corpus Add ines 31, 4c, and 4e Subtract line 5 b Prior years' undistributed income. Subtract line 5 b Prior years' undistributed income. Subtract line 4b from line 2b c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 494(2a) tax has been previously assessed 3 Subtract line 6b from line 6b. Taxable amount - see instructions b Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instructions c Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(b)(3) (Election may be required - see instructions) 8 Excess distributions carryover from 2014 not applied on line 5 or line 7 9 Excess distributions carryover for 2020. Subtract lines 7 and 8 from line 6a 1,276. | (| e Remaining amount distributed out of corpus | 0. | | | |
| indicated below: a Corpus Add lines 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income. Subtract line 4b from line 2b c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) lax has been previously assessed d Subtract line 6c from line 6b. Taxable amount - see instructions e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr. Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) Excess distributions carryover from 2014 not applied on line 5 or line 7 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a 1,276. Analysis of line 9. a Excess from 2015 b Excess from 2015 b Excess from 2016 c Excess from 2018 1,276. | 5 | (If an amount appears in column (d), the same amount | 273. | | | 273. |
| b Prior years' undistributed income. Subtract line 4b from line 2b c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6b. Taxable amount - see instructions e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instructions e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount - see instructions f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) 8 Excess distributions carryover from 2014 not applied on line 5 or line 7 9 Excess distributions carryover from 2020. Subtract lines 7 and 8 from line 6a 1, 276. 10 Analysis of line 9. a Excess from 2015 b Excess from 2015 b Excess from 2016 c Excess from 2017 d Excess from 2018 1, 276. | 6 | Enter the net total of each column as | | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6b. Taxable amount - see instructions e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instructions e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount - see instructions f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) 8 Excess distributions carryover from 2014 not applied on line 5 or line 7 9 Excess distributions carryover from 2020. Subtract lines 7 and 8 from line 6a 1, 276. 10 Analysis of line 9. a Excess from 2015 b Excess from 2015 b Excess from 2016 c Excess from 2017 d Excess from 2018 1, 276. | | Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 1,276. | | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6c from line 6b. Taxable amount - see instructions e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instructions e Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 7. Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) 8. Excess distributions carryover from 2014 not applied on line 5 or line 7 9. Excess distributions carryover from 2020. Subtract lines 7 and 8 from line 6a 1, 276. 1, 276. 1, 276. | ı | Prior years' undistributed income. Subtract | | | | |
| undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6c from line 6b. Taxable amount - see instructions e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instructions e Undistributed income for 2019. Subtract line 4d and 5 from line 1. This amount must be distributed in 2020 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) Excess distributions carryover from 2014 not applied on line 5 or line 7 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a 1, 276. Lack sess from 2015 b Excess from 2016 c Excess from 2017 d Excess from 2018 1, 276. | | line 4b from line 2b | | 0. | _ | |
| the section 4942(a) tax has been previously assessed d Subtract line 6c from line 6b. Taxable amount - see instructions e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr. f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) 8 Excess distributions carryover from 2014 not applied on line 5 or line 7 9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a 1,276. | (| undistributed income for which a notice of | | | | |
| amount - see instructions e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr. f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) Excess distributions carryover from 2014 not applied on line 5 or line 7 Subtract lines 7 and 8 from line 6a Analysis of line 9. a Excess from 2015 b Excess from 2016 c Excess from 2017 d Excess from 2018 1, 276. | | the section 4942(a) tax has been previously | | 0. | | |
| e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr. f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 7 Amounts treated as distributions out of corpus to salisty requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) 8 Excess distributions carryover from 2014 not applied on line 5 or line 7 9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a 1, 276. 10 Analysis of line 9. a Excess from 2015 b Excess from 2016 c Excess from 2017 d Excess from 2018 1, 276. | (| d Subtract line 6c from line 6b. Taxable | | | | |
| 4a from line 2a. Taxable amount - see instr. f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) 8 Excess distributions carryover from 2014 not applied on line 5 or line 7 9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a 1,276. 10 Analysis of line 9. a Excess from 2015 b Excess from 2016 c Excess from 2017 d Excess from 2018 1,276. | | | | 0. | | |
| f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) 8 Excess distributions carryover from 2014 not applied on line 5 or line 7 9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a 1,276. 10 Analysis of line 9. a Excess from 2015 b Excess from 2016 c Excess from 2017 d Excess from 2018 1,276. | (| e Undistributed income for 2018. Subtract line | | | | |
| lines 4d and 5 from line 1. This amount must be distributed in 2020 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) 8 Excess distributions carryover from 2014 not applied on line 5 or line 7 9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a 1,276. 10 Analysis of line 9. a Excess from 2015 b Excess from 2016 c Excess from 2017 d Excess from 2018 1,276. | | 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| be distributed in 2020 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) 8 Excess distributions carryover from 2014 not applied on line 5 or line 7 9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a 10 Analysis of line 9. a Excess from 2015 b Excess from 2016 c Excess from 2017 d Excess from 2018 1, 276. | 1 | | | | | |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) 8 Excess distributions carryover from 2014 not applied on line 5 or line 7 9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a 1,276. 10 Analysis of line 9. a Excess from 2015 b Excess from 2016 c Excess from 2017 d Excess from 2018 1,276. | | | | | | |
| corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) 8 Excess distributions carryover from 2014 not applied on line 5 or line 7 9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a 10 Analysis of line 9. a Excess from 2015 b Excess from 2016 c Excess from 2017 d Excess from 2018 1,276. | | | | | | 0. |
| section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) 8 Excess distributions carryover from 2014 not applied on line 5 or line 7 9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a 1,276. 10 Analysis of line 9. a Excess from 2015 b Excess from 2016 c Excess from 2017 d Excess from 2018 1,276. | 7 | | | | | |
| may be required - see instructions) 8 Excess distributions carryover from 2014 not applied on line 5 or line 7 9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a 1,276. 10 Analysis of line 9. a Excess from 2015 b Excess from 2016 c Excess from 2017 d Excess from 2018 1,276. | | | | | | |
| 8 Excess distributions carryover from 2014 not applied on line 5 or line 7 9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a 1,276. 10 Analysis of line 9. a Excess from 2015 b Excess from 2016 c Excess from 2017 d Excess from 2018 1,276. | | i i | | | | |
| not applied on line 5 or line 7 9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a 1,276. 10 Analysis of line 9. a Excess from 2015 b Excess from 2016 c Excess from 2017 d Excess from 2018 1,276. | | | | | | |
| 9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a 1,276. 10 Analysis of line 9. a Excess from 2015 b Excess from 2016 c Excess from 2017 d Excess from 2018 1,276. | 0 | | 0 | | | |
| Subtract lines 7 and 8 from line 6a | ۵ | • • | | | | |
| a Excess from 2015 b Excess from 2016 c Excess from 2017 d Excess from 2018 1,276. | 3 | | 1 276 | | | |
| a Excess from 2015 b Excess from 2016 c Excess from 2017 d Excess from 2018 1,276. | ın | | | | | |
| b Excess from 2016 c Excess from 2017 d Excess from 2018 1,276. | | • | | | | |
| c Excess from 2017 d Excess from 2018 1,276. | | | | | | |
| d Excess from 2018 1, 276. | | | | | | |
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| | ROSS FOUND | | A | 99-60 | 07327 Page 10 |
|---|----------------------------|-----------------------------|----------------------------|--|---------------------------|
| Part XIV Private Operating F | | | -A, question 9) | N/A | |
| 1 a If the foundation has received a ruling o | | | | | |
| foundation, and the ruling is effective fo | | | . ▶ ∟ | | |
| b Check box to indicate whether the found | | ig toundation described i | | 4942(j)(3) or 49 | 42(1)(5) |
| 2 a Enter the lesser of the adjusted net | Tax year | (b) 2018 | Prior 3 years (c) 2017 | (d) 2016 | (e) Total |
| income from Part I or the minimum | (a) 2019 | (0) 2010 | (6) 2017 | (0) 2010 | (e) Total |
| investment return from Part X for | | | | | |
| each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4, for each year listed | | | | | |
| d Amounts included in line 2c not | | | | | |
| used directly for active conduct of | | | | | |
| exempt activities | | | | | |
| e Qualifying distributions made directly | <u> </u> | | | | |
| for active conduct of exempt activities. | | | | | |
| Subtract line 2d from line 2c | 1 | | | | |
| 3 Complete 3a, b, or c for the | | | | | |
| alternative test relied upon: | | | | 1 | |
| a "Assets" alternative test - enter: (1) Value of all assets | | / | | | |
| | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter | | | | | |
| 2/3 of minimum investment return shown in Part X, line 6, for each year listed | | | | | |
| c "Support" alternative test - enter: | / | | | | |
| (1) Total support other than gross | | | | | |
| investment income (interest, | | | | | |
| dividends, rents, payments on | | | ţ Î | | İ |
| securities loans (section | | |] | | 1 |
| 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt | | | | | İ |
| organizations as provided in | | | | | |
| section 4942(j)(3)(B)(III) | ļ | | | | |
| (3) Largest amount of support from | Ì | | | | |
| an exempt organization | | | | | |
| (4) Gross investment income | <u> </u> | | | | |
| Part XV Supplementary Info | | | if the foundatior | n had \$5,000 or mo | ore in assets |
| at any time during | ine year-see insti | ructions.) | | | |
| 1 Information Regarding Foundation | _ | | | | |
| a List any managers of the foundation wh | | | ributions received by the | e foundation before the clos | e of any tax |
| year (but only if they have contributed i | nore than \$5,000). (See s | section 507(d)(2).) | | | |
| NONE | | | | | |
| b List any managers of the foundation who other entity) of which the foundation has | | | (or an equally large porti | on of the ownership of a pa | artnership or |
| NONE | | | | | |
| | ion Grant Citt Loon | Coholorobin etc. Di | | | |
| . ——— · | · · | • | • | not enough uppellosted requi | anto for friends. If |
| the foundation makes gifts, grants, etc. | • | • | • | not accept unsolicited requ | ests for funds. If |
| | | | | | |
| a The name, address, and telephone num SEE ATTACHMENT A | IDEL OL EHIMI MONTESS OF H | іс регоон то многи аррис | anons should be addres | | |
| b The form in which applications should | be submitted and informa | tion and materials they s | nould include: | | |
| SEE ATTACHMENT A | | | | | |
| c Any submission deadlines: SEE ATTACHMENT: ROSS | - PROGRAM | | | | |
| d Any restrictions or limitations on award | | al areas, charitable fields | kinds of institutions or | other factors: | |
| SEE ATTACHMENT: ROSS | | | | | |
| | | | | | 6 000 05 |
| 923601 12-17-19 | | | | | Form 990-PF (2019) |

| 3 Grants and Contributions Paid During the Recipient | If recipient is an individual, | | Burnoon of grant or | |
|---|--|--------------------------------------|----------------------------------|-----------------------------|
| Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| a Paid during the year | | | - | |
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| SEE STATEMENT GRANTS - 1 | | | | 24 222 |
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| Tota! | | - | ▶ 3a | 24 000 |
| b Approved for future payment | | | | |
| | | | | |
| NONE | | | | |
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| Total | | | <u>▶ 3b</u> | 0 rm 990-PF (2019 |

| Form 990-PF (2019) JOHN M. ROSS F | OUNDATION | 1 | | 99-6 | 5007327 Page 12 | |
|---|-------------------------|-----------------------|-------------------------------|----------------------------|-----------------------------------|--|
| Part XVI-A Analysis of Income-Produ | icing Activitie | es | | | | |
| Enter gross amounts unless otherwise indicated. | Unrelated t | usiness income | | y section 512, 513, or 514 | (e) | |
| 1 Program service revenue: | (a) Business code | (b) Amount | (C) Exclu- sion code | (d) Amount | Related or exempt function income | |
| , a | | | | | | |
| b | | | | | | |
| c | | | | | | |
| d | | | | | | |
| e | | | | | | |
| f | | | | | | |
| g Fees and contracts from government agencies | | | | | | |
| 2 Membership dues and assessments | | | | | | |
| 3 Interest on savings and temporary cash | | | | _ | | |
| investments | | | 14 | 213. | | |
| 4 Dividends and interest from securities | | | 14 | 15,550. | | |
| 5 Net rental income or (loss) from real estate: | | <u> </u> | | | | |
| a Debt-financed property | | - · | | | | |
| b Not debt-financed property | | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | | |
| 7 Other investment income | | | | | | |
| 8 Gain or (loss) from sales of assets other | } | | | | | |
| than inventory | | | 18 | 14,288. | | |
| 9 Net income or (loss) from special events | | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | | |
| 11 Other revenue: | | | | | | |
| a | | | | | | |
| b | - | | - | | | |
| C | 1 1 | _ | | | | |
| d | - | | | | | |
| e | - | | , - - | 30 051 | | |
| 12 Subtotal. Add columns (b), (d), and (e) | <u> </u> | |). | 30,051. | <u>0</u> 30,051 | |
| 13 Total Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) | | | | 13 | 30,051 | |
| Part XVI-B Relationship of Activities | to the Accon | plishment of | Exempt Pu | ırposes | | |
| Line No. Explain below how each activity for which inc | | | | importantly to the accom | plishment of | |
| the foundation's exempt purposes (other that | in by providing lunu: | s for such purposes). | | | | |
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| Part X | Information Re Exempt Organi | | sfers to and T | ransactions a | nd Relations | hips With Noncha | ritable | , | |
|------------------|--|-------------------------|------------------------|------------------------|---------------------|-----------------------------------|--|-----------|-------------------------|
| 1 Did th | ne organization directly or indir | | of the following with: | any other organization | on described in sec | tion 501(c) | | Yes | No |
| | r than section 501(c)(3) organ | | | | | | | | |
| • | fers from the reporting founda | • | | = | | | Ì | | |
| (1) | Cash | | | | | | 1a(1) | | X |
| | Other assets | | | | | | 1a(2) | | X |
| b Other | transactions: | | | | | | | | |
| (1) 5 | Sales of assets to a noncharitat | ble exempt organiza | tion | | | | 16(1) | | X |
| (2) F | Purchases of assets from a nor | ncharitable exempt o | organization | | | | 1b(2) | | X |
| (3) F | Rental of facilities, equipment, o | or other assets | | | | | 1b(3) | | X |
| (4) F | Reimbursement arrangements | | | | | | 1b(4) | | X |
| (5) L | oans or loan guarantees | | | | | | 1b(5) | | X |
| (6) F | Performance of services or me | mbership or fundrai | sing solicitations | | | | 1b(6) | | X |
| c Shari | ng of facilities, equipment, mai | iling lists, other asse | ts, or paid employees | S | | | 1c | | Х |
| | answer to any of the above is rvices given by the reporting fo | | | | | | | sets, | |
| colun | nn (d) the value of the goods, o | other assets, or serv | ices received. | | | | | | |
| a) Line no | (b) Amount involved | (c) Name o | f noncharitable exemp | ot organization | (d) Descriptio | n of transfers, transactions, and | isharing ar | rangeme | nts |
| | | | N/A | | | | | | |
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| ın se | e foundation directly or indirect ction 501(c) (other than section s," complete the following sche | n 501(c)(3)) or in se | • | re tax-exempt organi | zations described | [| Yes | X |] No |
| | (a) Name of org | | (b) T | ype of organization | | (c) Description of relations | ship | | |
| | N/A | | | | | | | | |
| | | | | | | | | | |
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| | | | | | | | | | |
| | Under penalties of perjury, I declare that belief, it is true, correct and con | | | | | er has any knowledge re | ay the IRS turn with th own below Yes | ? See ins | this er str No |
| | Signature of officer or trustee | Vice Resident | | Date | Title | | | | |
| | Print/Type preparer's na | ıme | Preparer's signature | e | Date | Check If PTIN | | | |
| _ | | | | | | self- employed | | | |
| Paid | | | <u> </u> | | <u></u> | | | | |
| Prepai Use Oi | | | | | | Firm's EIN ▶ | | | |
| | Firm's address | | | | | Phone no. | | | |

| FORM 990-PF INTERE | ST ON SAVI | NGS AND TEM | IPORARY | CASH I | NVESTMENTS | STATEMENT | 1 | |
|---------------------|-----------------|---|----------------|---------------------------|---------------------------------------|-------------|-----|--|
| SOURCE | REVE | (A) REVENUE PER BOOKS 213. | | (B) NVESTMENT NCOME | (C) ADJUSTED NET INCOME | | | |
| DREYFUS CASH MANANG | | | | 213. | | | | |
| TOTAL TO PART I, LI | | | | 213. | | | | |
| FORM 990-PF | DIVIDEND | S AND INTER | REST FRO | OM SECU | RITIES | STATEMENT | 2 | |
| SOURCE | GROSS AMOUNT | CAPITAL (A) GAINS REVENUE DIVIDENDS PER BOOKS | | | (C) ST- ADJUSTED OME NET INCOME | | | |
| BOH #3409 | 21,894 | 6,34 | 6,344. 15,5 | | . 15,55 | 0. | | |
| TO PART I, LINE 4 | 21,894 | 6,34 | 344. 15,550 | | 15,55 | 0. | | |
| FORM 990-PF | | ACCOUNTI | NG FEE | S | | STATEMENT | 3 | |
| DESCRIPTION | | (A) EXPENSES PER BOOKS | NET I | B) NVEST- INCOME | (C) ADJUSTED NET INCOM | | | |
| TAX SERVICE FEE | _ | 1,286. | 386. | | · ————— | 900. | | |
| TO FORM 990-PF, PG | 1, LN 16B | 1,286. | | _ 386. | | 9 | 00. | |
| FORM 990-PF | | OTHER E | OTHER EXPENSES | | | STATEMENT 4 | | |
| DESCRIPTION | | (A) EXPENSES PER BOOKS | NET I | B) NVEST- INCOME | (C) ADJUSTED NET INCOM | | | |
| GRANTS ADMINISTRATI | ON FEES | 5,000. | - | 0. | · | 5,0 | 00. | |
| TO FORM 990-PF, PG | 1, LN 23 | 5,000. | | 0. | | 5,0 | 00. | |
| | | | = ====== | | · | | | |

| FORM 990-PF OTHER | INVESTMENTS | STATEMENT 5 | |
|---------------------------------------|---------------------|-------------|----------------------|
| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
| SEE STATEMENT - INV | COST | 571,800. | 700,672. |
| TOTAL TO FORM 990-PF, PART II, LINE 1 | 3 | 571,800. | 700,672. |

JOHN M. ROSS FOUNDATION FORM 990-PF, PART II, LINE 13 FOR YEAR ENDED 06/30/2020

| Description | Units | Book Value | Market Value |
|---|----------|------------|--------------|
| 066922204 ISHARES S&P 500 INDEX FUND | 261 78 | 64,069 87 | 95,993 63 |
| 09253F879 ISHARES MSCI EAFE INTERNATIONAL INDEX FUND | 3,747 80 | 44,050 60 | 46,360 30 |
| 256206103 DODGE & COX INTERNATIONAL STOCK FUND | 313 81 | 11,328 64 | 11,158 98 |
| 31428Q101 FEDERATED HERMES TOTAL RETURN BOND FUND | 4,336 49 | 47,841 72 | 49,912 94 |
| 38142Y773 GOLDMAN SACHS LARGE CAP VALUE FD - INST | 1,673 85 | 19,310 18 | 21,592 65 |
| 38144N593 GOLDMAN SACHS INTERNATIONAL SMALL CAP INSIGHTS INSTL | 1,538 68 | 16,520 90 | 16,110 02 |
| 41664R184 HARTFORD CORE EQUITY - R6 | 801 14 | 22,650 25 | 27,230 78 |
| 47803W406 JOHN HANCOCK III DISC M/C-IS | 1,486 17 | 19,873 04 | 27,286 06 |
| 56062X641 MAINSTAY WINSLOW LRG CAP GROWTH FUND CL I | 2,660 23 | 17,171 11 | 30,300 01 |
| 577130834 MATTHEWS PACIFIC TIGER FUND CL INS | 311 82 | 9,108 65 | 8,531 29 |
| 74144Q203 T ROWE PRICE INSTITUTIONAL EMERGING MARKETS EQUITY FUND | 239 65 | 7,423 66 | 9,209 75 |
| 74316J458 CONGRESS MID CAP GROWTH-INS | 1,324 69 | 17,909 74 | 29,619 96 |
| 74316J490 BECKER VALUE EQUITY FD-INST | 1,411 86 | 26,072 47 | 22,575 56 |
| 921909818 VANGUARD TOTAL INTL ST INDEX FUND-ADM | 2,010 94 | 52,311 41 | 53,289 91 |
| 921937603 VANGUARD TOTAL BOND MARKET INDEX FUND ADM | 6,301 67 | 67,554 74 | 73,162 33 |
| 921937702 VANGUARD S/T BND INDX-ADM | 3,171 23 | 34,503 03 | 34.534 74 |
| 922908728 VANGUARD TOTL STK MKT IND-AD | 1,736 03 | 81,168 92 | 132,389 72 |
| 94984B348 WELLS FARGO ADVANTAGE INTERNATIONAL EQUITY FUND - I | 1,170 58 | 12,930 78 | 11,413 17 |
| TOTAL PORTFOLIO | = | 571,799.71 | 700,671.80 |