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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0047

2019

Open to Public Inspection

2004

For calendar year 2019 or tax year beginning JUL 1, 2019 , and ending JUN 30, 2020

Name of foundation: YAO YUAN SZE FOUNDATION

They

Number and street (or P O box number if mail is not delivered to street address): 14409 NE 10TH ST | Room/suite

City or town, state or province, country, and ZIP or foreign postal code: BELLEVUE, WA 98007-4123

A Employer identification number: 91-2161365

B Telephone number: 425-865-9999

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

04

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 7,180,703.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d), must be on cash basis.)

03/04

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	8.	8.		STATEMENT 1
	4 Dividends and interest from securities	246,440.	246,440.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-44,305.			
	b Gross sales price for all assets on line 6a 1,262,482.				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 Total. Add lines 1 through 11	202,143.	246,448.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages	18,000.	18,000.		0.
	15 Pension plans, employee benefits				
	16a Legal fees STMT 3	900.	900.		0.
	b Accounting fees STMT 4	2,770.	2,770.		0.
	c Other professional fees STMT 5	42,462.	42,462.		0.
	17 Interest				
	18 Taxes STMT 6	4,944.	4,944.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	117.	117.		0.
	22 Printing and publications				
	23 Other expenses STMT 7	4,709.	4,706.		0.
	24 Total operating and administrative expenses. Add lines 13 through 23	73,902.	73,899.		0.
	25 Contributions, gifts, grants paid	389,000.			389,000.
	26 Total expenses and disbursements. Add lines 24 and 25	462,902.	73,899.		389,000.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-260,759.			
	b Net investment income (if negative, enter -0-)		172,549.		
	c Adjusted net income (if negative, enter -0-)			N/A	

#11 JUN 02 2021 RECEIVED ENTITY DEPT

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Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	159,699.	96,709.	96,709.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 8	1,351,034.	1,527,135.	1,603,459.
	b Investments - corporate stock STMT 9	6,003,650.	5,629,780.	5,480,535.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	7,514,383.	7,253,624.	7,180,703.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ [X] and complete lines 24, 25, 29, and 30.			
	24 Net assets without donor restrictions	7,514,383.	7,253,624.	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ [ ] and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances	7,514,383.	7,253,624.	
	30 Total liabilities and net assets/fund balances	7,514,383.	7,253,624.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	7,514,383.
2	Enter amount from Part I, line 27a	2	-260,759.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	7,253,624.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	7,253,624.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CHASE 25929 SCHEDULE ATTACHED	P	07/25/14	03/06/20
b	CHASE 38717 SCHEDULE ATTACHED	P	08/22/13	05/18/20
c	CAPITAL GAINS DIVIDENDS			
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a	948,042.		988,290.	-40,248.
b	314,342.		318,497.	-4,155.
c	98.			98.
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				-40,248.
b				-4,155.
c				98.
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } — 2 — -44,305.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 — 3 — N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2018	398,310.	7,804,189.	.051038
2017	314,777.	8,078,647.	.038964
2016	261,240.	7,915,418.	.033004
2015	283,515.	6,832,314.	.041496
2014	228,792.	6,295,269.	.036343

2	Total of line 1, column (d)	2	.200845
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.040169
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	7,480,577.
5	Multiply line 4 by line 3	5	300,487.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,725.
7	Add lines 5 and 6	7	302,212.
8	Enter qualifying distributions from Part XII, line 4	8	389,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b			1	1,725.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)			2	0.
3	Add lines 1 and 2			3	1,725.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	1,725.
6	Credits/Payments:				
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	0.		
b	Exempt foreign organizations - tax withheld at source	6b	0.		
c	Tax paid with application for extension of time to file (Form 8868)	6c	0.		
d	Backup withholding erroneously withheld	6d	0.		
7	Total credits and payments. Add lines 6a through 6d			7	0.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	53.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	1,778.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ▶	**Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ WA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ JOAN SUN Telephone no. ▶ 425-865-9999

Located at ▶ 14409 NE 10TH ST, BELLEVUE, WA ZIP+4 ▶ 98007

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year, did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance, check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969; (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year, did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions N/A	5b		
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year? ☐ Yes ☒ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PAUL F SUN 14409 NE 10TH ST BELLEVUE, WA 98007	TREASURER/DIRECTOR 0.00	0.	0.	0.
JOAN SUN 14409 NE 10TH ST BELLEVUE, WA 98007	PRESIDENT/DIRECTOR 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	5,889,058.
b	Average of monthly cash balances		1b	240,549.
c	Fair market value of all other assets		1c	1,464,887.
d	**Total** (add lines 1a, b, and c)		1d	7,594,494.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	7,594,494.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	113,917.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	7,480,577.
6	**Minimum investment return.** Enter 5% of line 5		6	374,029.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	374,029.
2a	Tax on investment income for 2019 from Part VI, line 5	2a 1,725.		
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	1,725.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	372,304.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	372,304.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	372,304.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	389,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8; and Part XIII, line 4	4	389,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,725.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	387,275.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				372,304.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			388,356.	
b Total for prior years: ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ $ 389,000.				
a Applied to 2018, but not more than line 2a			388,356.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				644.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				371,660.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9.				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon. a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ARK INSTITUTE OF LEARNING 1916 S WASHINGTON ST TACOMA, WA 98405		501 (C)3	EDUCATION	25,000.
CATHOLIC BISHOP OF LAS VEGAS OUTREACH PROGRAM 1811 PUEBLO VISTA DRIVE LAS VEGAS, NV 89128		501 (C)3	MEDICAL MEDICAL	20,000.
RENEWAL FOOD BANK 12819 SE 38TH ST BELLEVUE, WA 98006		501 (C)3	MEDICAL	10,000.
SOUTHERN NEVADA PUBLIC TELEVISION 3050 E FLAMINGO ROAD LAS VEGAS, NV 89121		501 (C)3	EDUCATION	25,000.
WHITTIER COLLEGE 13406 E PHILDELPHIA ST WHITTIER, CA 90608		501 (C)3	EDUCATION EDUCATION EDUCATION	100,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	389,000.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments		8.	14		
4 Dividends and interest from securities		246,440.	14		
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory	523000	-44,403.	14	98.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory	523000				
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		202,045.		98.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 202,143.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII **Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below? See instr ☒ Yes ☐ No

Signature of officer or trustee	Date	Title
[signature]	04/23/21	DIRECTOR

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
RICHARD CASH	[signature]	4/23/21		P01044579

Firm's name ▶ NOVOGRADAC & COMPANY LLP — Firm's EIN ▶ 94-3108253

Firm's address ▶ 1300 114TH AVE SE, SUITE 240 BELLEVUE, WA 98004 — Phone no. (425) 453-5783

Part XV · Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WHITTIER COLLEGE 13406 E PHILDELPHIA ST WHITTIER, CA 90608		501 (C)3	EDUCATION	189,000.
RONALD MCDONALD HOUSE 5130 40TH AVENUE N SEATTLE, WA 98105		501 (C)3	MEDICAL	20,000.
Total from continuation sheets				209,000.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
CHASE	8.	8.	
TOTAL TO PART I, LINE 3	8.	8.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
CHASE 25929	108,395.	98.	108,297.	108,297.	
CHASE 38717	138,143.	0.	138,143.	138,143.	
TO PART I, LINE 4	246,538.	98.	246,440.	246,440.	

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL	900.	900.		0.
TO FM 990-PF, PG 1, LN 16A	900.	900.		0.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTANT	2,770.	2,770.		0.
TO FORM 990-PF, PG 1, LN 16B	2,770.	2,770.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BROKERAGE MANAGEMENT FEES	42,462.	42,462.		0.
TO FORM 990-PF, PG 1, LN 16C	42,462.	42,462.		0.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAXES	1,052.	1,052.		0.
FOREIGN TAXES	2,515.	2,515.		0.
PAYROLL TAXES	1,377.	1,377.		0.
TO FORM 990-PF, PG 1, LN 18	4,944.	4,944.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL SERVICE	729.	729.		0.
OFFICE SUPPLIES	415.	415.		0.
PO BOX	118.	115.		0.
STORAGE	589.	589.		0.
TELEPHONE	1,557.	1,557.		0.
TRANSPORTATION	254.	254.		0.
COMPUTER	1,047.	1,047.		0.
TO FORM 990-PF, PG 1, LN 23	4,709.	4,706.		0.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 8

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
MUNICIPAL BONDS	X		1,527,135.	1,603,459.
TOTAL U.S. GOVERNMENT OBLIGATIONS			1,527,135.	1,603,459.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			1,527,135.	1,603,459.

FORM 990-PF CORPORATE STOCK STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
STOCKS SCHEDULE ATTACHED	5,629,780.	5,480,535.
TOTAL TO FORM 990-PF, PART II, LINE 10B	5,629,780.	5,480,535.

2019 Return Summary

YAO YUAN SZE FOUNDATION	91-2161365

FORM 990-PF:

TOTAL REVENUE	202,143.
TOTAL EXPENSES	462,902.
EXCESS <DEFICIT>	-260,759.
BEGINNING NET ASSETS	7,514,383.
CHANGES IN NET ASSETS	0.
ENDING NET ASSETS (PART III)	7,253,624.
NET INVESTMENT INCOME	172,549.
TAX ON INVESTMENT INCOME	1,725.
TOTAL CREDITS AND PAYMENTS	0.
UNDERPAYMENT PENALTY	53.
TOTAL DUE <REFUND>	1,778.

BALANCE SHEET ANALYSIS

ENDING TOTAL ASSETS	7,253,624.
ENDING TOTAL LIABILITIES	0.
ENDING TOTAL NET ASSETS OR FUND BALANCES (PART II)	7,253,624.
ENDING TOTAL ASSETS MINUS LIABILITIES AND NET ASSETS	0.
NET ASSETS DIFFERENCE BETWEEN PART II AND PART III	0.

926310 04-01-19

2019 Return Summary

YAO YUAN SZE FOUNDATION 91-2161365

	FEDERAL
FORM NAME	990-PF
E-FILE REQUESTED	YES
DUE DATE	11/16/20
EXTENDED DUE DATE	
DIRECT DEPOSIT	N/A
ELECTRONIC WITHDRAWAL	N/A
DATE CALCULATED	10/07/20
TIME CALCULATED	10:53:49
RELEASE VERSION	2019.04030

Yao Yuan Sze Foundation
Managed Account Brokerage Sales
July 1, 2018 - June 30, 2019

91-2161365
Statement 9 - Form 990-PF, Part IV, Line 1a and 1b
- Capital Gains and Losses from Investment Income

Description	CUSIP	Quantity	Acquired Date	Sale Date	Proceeds	Cost Basis	Short Term	Long Term	Total Gain Loss
APTIV PLC	G6095L109	7	5/18/2017	8/20/2019	576 89	596 60	0 00	-19 71	-19 71
APTIV PLC	G6095L109	24	5/18/2017	8/21/2019	1,999 77	2,045 49	0 00	-45 72	-45 72
APTIV PLC	G6095L109	4	5/18/2017	8/22/2019	334 46	340 92	0 00	-6 46	-6 46
APTIV PLC	G6095L109	6	4/30/2018	8/22/2019	501 68	509 22	0 00	-7 54	-7 54
APTIV PLC	G6095L109	7	4/30/2018	8/23/2019	566 82	594 08	0 00	-27 26	-27 26
APTIV PLC	G6095L109	14	5/1/2018	8/23/2019	1,133 64	1,178 40	0 00	-44 76	-44 76
J P MORGAN EXCHANGE TRADED FD TR BETABUILDERS EUROPE ETF	46641Q720	1041	8/17/2018	8/27/2019	23,755 23	24,952 77	0 00	-1,197 54	-1,197 54
J P MORGAN EXCHANGE TRADED FD TR BETABUILDERS EUROPE ETF	46641Q720	114	7/23/2018	8/27/2019	2,601 44	2,836 82	0 00	-235 38	-235 38
NEW MEXICO ST SEVERANCE TAX TAX BDS 2014A DATED 06/24/14 DUE 07/01/21 5 00%	647310T35	25000	1/6/2017	7/1/2019	25,000 00	25,000 00	0 00	0 00	0 00
SVB FINANCIAL GROUP	78486Q101	5	7/16/2015	8/19/2019	981 93	741 69	0 00	240 24	240 24
SVB FINANCIAL GROUP	78486Q101	3	8/13/2015	8/19/2019	589 15	415 01	0 00	174 14	174 14
SVB FINANCIAL GROUP	78486Q101	2	8/13/2015	8/20/2019	384 25	276 68	0 00	107 57	107 57
SVB FINANCIAL GROUP	78486Q101	2	8/13/2015	8/21/2019	387 21	276 67	0 00	110 54	110 54
SVB FINANCIAL GROUP	78486Q101	2	9/18/2015	8/21/2019	387 21	236 62	0 00	150 59	150 59
SVB FINANCIAL GROUP	78486Q101	1	2/18/2016	8/21/2019	193 61	86 72	0 00	106 89	106 89
SVB FINANCIAL GROUP	78486Q101	1	3/17/2016	8/21/2019	193 60	95 80	0 00	97 80	97 80
SVB FINANCIAL GROUP	78486Q101	3	2/5/2015	8/19/2019	589 16	365 15	0 00	224 01	224 01
SVB FINANCIAL GROUP	78486Q101	1	2/6/2015	8/19/2019	196 38	125 53	0 00	70 85	70 85
ACCENTURE PLC IRELAND SHS CL A	G1151C101	5	7/9/2014	10/28/2019	914 85	405 18	0 00	509 67	509 67
ACCENTURE PLC IRELAND SHS CL A	G1151C101	4	7/9/2014	10/29/2019	738 39	324 14	0 00	414 25	414 25
ACCENTURE PLC IRELAND SHS CL A	G1151C101	2	1/14/2015	10/29/2019	369 19	177 18	0 00	192 01	192 01
ACCENTURE PLC IRELAND SHS CL A	G1151C101	2	1/23/2015	10/29/2019	369 19	178 84	0 00	190 35	190 35
ACCENTURE PLC IRELAND SHS CL A	G1151C101	1	1/28/2015	10/29/2019	184 60	86 15	0 00	98 45	98 45
ACCENTURE PLC IRELAND SHS CL A	G1151C101	2	2/4/2015	10/29/2019	369 19	174 80	0 00	194 39	194 39
ACCENTURE PLC IRELAND SHS CL A	G1151C101	2	2/20/2015	10/29/2019	369 19	181 22	0 00	187 97	187 97
ACCENTURE PLC IRELAND SHS CL A	G1151C101	3	3/5/2015	10/29/2019	553 79	274 98	0 00	278 81	278 81
ACCENTURE PLC IRELAND SHS CL A	G1151C101	1	3/11/2015	10/29/2019	184 61	87 24	0 00	97 37	97 37
ISHARES TRUST ISHARES SHORT TREASURY BOND ETF	464288679	691	6/6/2019	9/25/2019	76,395 37	76,348 59	46 78	0 00	46 78
PIMCO SHORT TERM FUND INSTL CL	693390601	3942 242	6/12/2018	9/25/2019	38,594 55	38,941 22	0 00	-346 67	-346 67
WALT DISNEY CO	254687106	25	12/9/2016	10/28/2019	3,260 81	2,613 00	0 00	647 81	647 81
WALT DISNEY CO	254687106	5	12/16/2016	10/28/2019	652 16	520 58	0 00	131 58	131 58
WALT DISNEY CO	254687106	7	12/5/2016	10/28/2019	913 03	701 59	0 00	211 44	211 44
ADOBE INC COMMON STOCK	00724F101	1	2/20/2015	11/21/2019	298 44	78 11	0 00	220 33	220 33
ALLEGION PUBLIC LIMITED COMPANY	G0176J109	1	11/4/2015	11/21/2019	118 36	64 81	0 00	53 55	53 55
ALLEGION PUBLIC LIMITED COMPANY	G0176J109	1	11/5/2015	11/21/2019	118 36	64 04	0 00	54 32	54 32
AMERICAN EXPRESS COMPANY	25816109	3	7/19/2018	11/21/2019	357 30	300 93	0 00	56 37	56 37
APPLE INC	37833100	3	7/9/2014	11/21/2019	785 99	287 04	0 00	498 95	498 95
APPLE INC	37833100	3	1/14/2015	11/21/2019	785 99	327 12	0 00	458 87	458 87
AUTOMATIC DATA PROCESSING INC	53015103	2	10/22/2018	11/21/2019	339 33	288 45	0 00	50 88	50 88
BANK OF AMERICA CORP	60505104	3	3/18/2015	11/21/2019	98 70	48 20	0 00	50 50	50 50
BANK OF AMERICA CORP	60505104	9	3/11/2015	11/21/2019	296 09	144 99	0 00	151 10	151 10
BERKSHIRE HATHAWAY INC DEL CL B	84670702	2	4/20/2017	11/21/2019	434 03	330 18	0 00	103 85	103 85
BOSTON SCIENTIFIC CORP	101137107	12	9/15/2016	11/21/2019	506 84	284 08	0 00	222 76	222 76
BROADCOM INC COMMON STOCK	11135F101	1	3/5/2015	11/21/2019	314 25	179 08	0 00	135 17	135 17
BROADCOM INC COMMON STOCK	11135F101	1	3/5/2015	11/21/2019	314 25	130 93	0 00	183 32	183 32
CELGENE CORP	151020104	30	5/2/2019	11/21/2019	3,192 30	2,854 28	338 02	0 00	338 02
CELGENE CORP	151020104	50	5/17/2019	11/21/2019	5,320 50	4,779 20	541 30	0 00	541 30
CELGENE CORP	151020104	7	8/11/2015	11/21/2019	744 87	909 16	0 00	-164 29	-164 29
CELGENE CORP	151020104	5	8/31/2015	11/21/2019	532 05	605 04	0 00	-72 99	-72 99
CELGENE CORP	151020104	4	2/18/2016	11/21/2019	425 64	418 80	0 00	6 84	6 84
CELGENE CORP	151020104	18	10/28/2016	11/21/2019	1,915 38	1,876 69	0 00	38 69	38 69
CELGENE CORP	151020104	6	10/31/2016	11/21/2019	638 46	616 44	0 00	22 02	22 02
CELGENE CORP	151020104	26	3/15/2019	11/21/2019	2,766 66	2,297 20	469 46	0 00	469 46
CHARLESTON EDL EXCELLENCE SC FING INSTALLMENT PURCH REV RE DATED 09/09/14 DUE 12/01/19 5 00%	160131DG0	15000	8/7/2014	12/2/2019	15,000 00	15,000 00	0 00	0 00	0 00
CHARTER COMMUNICATIONS INC NEW CL A	16119P108	2	2/9/2016	11/21/2019	954 49	347 47	0 00	607 02	607 02
CHUBB LTD COM	H1467J104	3	7/9/2014	11/21/2019	450 16	313 12	0 00	137 04	137 04
CITIGROUP INC COM	172967424	1	2/20/2015	11/21/2019	74 35	51 78	0 00	22 57	22 57
CITIGROUP INC COM	172967424	7	3/5/2015	11/21/2019	520 45	374 92	0 00	145 53	145 53
CLACKAMAS CNTY ORE SVC DIST NO REV BDS 2009B DATED 11/24/09 DUE 12/01/31 4 25% OID	179282DZ8	20000	10/2/2018	12/2/2019	20,000 00	20,000 00	0 00	0 00	0 00
COCA COLA COMPANY (THE)	191216100	5	4/17/2018	11/21/2019	264 54	224 44	0 00	40 10	40 10
COMCAST CORP CL A	20030N101	11	2/5/2016	11/21/2019	486 77	325 74	0 00	161 03	161 03

Yao Yuan Sze Foundation
Managed Account Brokerage Sales
July 1, 2018 - June 30, 2019

91-2161365
Statement 9 - Form 990-PF, Part IV, Line 1a and 1b
- Capital Gains and Losses from Investment Income

CORTEVA INC COMMON STOCK	22052L104	4	8/11/2016	11/21/2019	100 38	115 69	0 00	-15 31	-15 31
CORTEVA INC COMMON STOCK	22052L104	1	2/18/2016	11/21/2019	25 09	32 81	0 00	-7 72	-7 72
DIAMONDBACK ENERGY INC COM	25278X109	2	5/16/2017	11/21/2019	150 96	207 69	0 00	-56 73	-56 73
DUPONT DE NEMOURS INC COMMON STOCK	26614N102	1	2/18/2016	11/21/2019	66 02	94 04	0 00	-28 02	-28 02
DUPONT DE NEMOURS INC COMMON STOCK	26614N102	2	8/11/2016	11/21/2019	132 04	165 77	0 00	-33 73	-33 73
ELECTRONIC ARTS INC	285512109	3	9/21/2018	11/21/2019	296 69	346 09	0 00	-49 40	-49 40
ELI LILLY & CO	532457108	2	7/6/2015	11/21/2019	230 48	172 07	0 00	58 41	58 41
ELI LILLY & CO	532457108	2	7/7/2015	11/21/2019	230 48	175 31	0 00	55 17	55 17
EOG RES INC	26875P101	2	5/3/2016	11/21/2019	145 77	161 05	0 00	-15 28	-15 28
EOG RES INC	26875P101	3	5/19/2016	11/21/2019	218 65	240 03	0 00	-21 38	-21 38
FACEBOOK INC CL A	30303M102	2	8/31/2015	11/21/2019	397 70	180 49	0 00	217 21	217 21
FIDELITY NATIONAL INFORMATION SERVICES INC	31620M106	2	1/21/2015	11/21/2019	273 17	125 54	0 00	147 63	147 63
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	17 174	7/23/2018	11/21/2019	1,857 03	1,619 27	0 00	237 76	237 76
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	3 471	10/8/2018	11/21/2019	375 32	327 27	0 00	48 05	48 05
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	4 338	12/17/2018	11/21/2019	469 07	409 01	60 06	0 00	60 06
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	0 897	12/17/2018	11/21/2019	96 99	84 57	12 42	0 00	12 42
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	2 311	12/17/2018	11/21/2019	249 89	217 90	31 99	0 00	31 99
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	0 966	12/31/2018	11/21/2019	104 45	91 08	13 37	0 00	13 37
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	193 37	2/16/2018	11/21/2019	20,909 13	18,232 14	0 00	2,676 99	2,676 99
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	49 718	1/4/2019	11/21/2019	5,376 03	4,687 73	688 30	0 00	688 30
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	3 357	4/9/2018	11/21/2019	362 99	316 52	0 00	46 47	46 47
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	0 1	4/9/2018	11/21/2019	10 81	9 43	0 00	1 38	1 38
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	0 624	4/9/2018	11/21/2019	67 47	58 83	0 00	8 64	8 64
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	3 517	7/9/2018	11/21/2019	380 29	331 60	0 00	48 69	48 69
FISERV INC	337738108	7	1/25/2019	11/21/2019	797 36	592 79	204 57	0 00	204 57
GENERAL DYNAMICS CORP	369550108	1	8/12/2016	11/21/2019	183 80	151 36	0 00	32 44	32 44
GENERAL DYNAMICS CORP	369550108	1	8/15/2016	11/21/2019	183 79	153 42	0 00	30 37	30 37
HOME DEPOT INC	437076102	3	7/9/2014	11/21/2019	659 14	240 96	0 00	418 18	418 18
HONEYWELL INTL INC	438516106	5	6/16/2014	11/21/2019	887 14	447 79	0 00	439 35	439 35
INTERCONTINENTAL EXCHANGE INC	45866F104	2	2/1/2017	11/21/2019	187 72	116 95	0 00	70 77	70 77
ISHARES INC ISHARES MSCI JAPAN ETF	46434G822	13	8/15/2016	11/21/2019	773 87	660 10	0 00	113 77	113 77
J P MORGAN EXCHANGE TRADED FD TR BETABUILDERS JAPAN ETF	46641Q712	27	7/11/2018	11/21/2019	672 83	637 47	0 00	35 36	35 36
J P MORGAN EXCHANGE TRADED FD TR BETABUILDERS EUROPE ETF	46641Q720	189	8/17/2018	11/21/2019	4,636 07	4,530 33	0 00	105 74	105 74
KEYCORP NEW	493267108	14	3/2/2016	11/21/2019	266 93	157 37	0 00	109 56	109 56
LOWES COMPANIES INC	548661107	2	2/4/2015	11/21/2019	234 19	141 46	0 00	92 73	92 73
LOWES COMPANIES INC	548661107	1	1/28/2015	11/21/2019	117 09	70 24	0 00	46 85	46 85
MASTERCARD INCORPORATED	57636Q104	3	1/14/2015	11/21/2019	851 96	247 71	0 00	604 25	604 25
MERCK & CO INC	58933Y105	5	8/5/2016	11/21/2019	427 37	313 85	0 00	113 52	113 52
MICROSOFT CORP	594918104	15	7/9/2014	11/21/2019	2,239 55	625 65	0 00	1,613 90	1,613 90
MONDELEZ INTERNATIONAL INC COM	609207105	2	8/13/2014	11/21/2019	104 05	70 53	0 00	33 52	33 52
MONDELEZ INTERNATIONAL INC COM	609207105	6	1/14/2015	11/21/2019	312 14	214 44	0 00	97 70	97 70
MORGAN STANLEY	617446448	12	9/18/2014	11/21/2019	588 59	432 77	0 00	155 82	155 82
MORGAN STANLEY	617446448	2	1/14/2015	11/21/2019	98 10	70 06	0 00	28 04	28 04
NETFLIX COM INC	64110L106	1	9/13/2018	11/21/2019	310 63	373 37	0 00	-62 74	-62 74
NEXTERA ENERGY INC	65339F101	1	10/19/2016	11/21/2019	234 41	124 79	0 00	109 62	109 62
NISOURCE INC COM	65473P105	11	8/31/2015	11/13/2019	291 14	184 09	0 00	107 05	107 05
NISOURCE INC COM	65473P105	5	12/2/2015	11/13/2019	132 33	96 53	0 00	35 80	35 80
NISOURCE INC COM	65473P105	8	12/3/2015	11/13/2019	211 74	151 91	0 00	59 83	59 83
NISOURCE INC COM	65473P105	41	12/4/2015	11/13/2019	1,085 14	782 80	0 00	302 34	302 34
NISOURCE INC COM	65473P105	11	12/4/2015	11/14/2019	290 55	210 02	0 00	80 53	80 53
NISOURCE INC COM	65473P105	12	2/18/2016	11/14/2019	316 96	263 64	0 00	53 32	53 32
NISOURCE INC COM	65473P105	7	8/31/2017	11/14/2019	184 90	188 57	0 00	-3 67	-3 67
NISOURCE INC COM	65473P105	1	1/23/2015	11/11/2019	26 11	15 91	0 00	10 20	10 20
NISOURCE INC COM	65473P105	4	1/28/2015	11/11/2019	104 42	63 11	0 00	41 31	41 31
NISOURCE INC COM	65473P105	1	2/4/2015	11/11/2019	26 10	16 06	0 00	10 04	10 04

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NISOURCE INC COM	65473P105	3	2/4/2015	11/12/2019	78 07	48 16	0 00	29 91	29 91
NISOURCE INC COM	65473P105	5	2/11/2015	11/12/2019	130 11	77 65	0 00	52 46	52 46
NISOURCE INC COM	65473P105	6	3/5/2015	11/12/2019	156 14	92 13	0 00	64 01	64 01
NISOURCE INC COM	65473P105	5	3/11/2015	11/12/2019	130 11	73 72	0 00	56 39	56 39
NISOURCE INC COM	65473P105	5	3/18/2015	11/12/2019	130 12	78 03	0 00	52 09	52 09
NISOURCE INC COM	65473P105	13	3/18/2015	11/13/2019	344 07	202 87	0 00	141 20	141 20
NISOURCE INC COM	65473P105	3	3/23/2015	11/13/2019	79 40	47 40	0 00	32 00	32 00
NISOURCE INC COM	65473P105	7	3/26/2015	11/13/2019	185 27	108 13	0 00	77 14	77 14
NISOURCE INC COM	65473P105	9	4/13/2015	11/13/2019	238 20	140 52	0 00	97 68	97 68
NORFOLK SOUTHERN CORP	655844108	2	1/31/2017	11/21/2019	380 03	236 08	0 00	143 95	143 95
NORTHROP GRUMMAN CORP	666807102	2	9/19/2016	11/21/2019	698 04	423 17	0 00	274 87	274 87
NVIDIA CORP	67066G104	1	10/4/2017	11/21/2019	210 55	180 12	0 00	30 43	30 43
PAYPAL HOLDINGS INC COM	70450Y103	4	1/29/2018	11/21/2019	410 72	337 80	0 00	72 92	72 92
PEPSICO INC COMMON STOCK	713448108	2	1/28/2015	11/21/2019	267 41	194 52	0 00	72 89	72 89
PEPSICO INC COMMON STOCK	713448108	1	1/23/2015	11/21/2019	133 70	98 62	0 00	35 08	35 08
PFIZER INC	717081103	18	7/27/2015	11/21/2019	682 54	617 50	0 00	65 04	65 04
PIONEER NATURAL RESOURCES COMPANY	723787107	2	12/8/2015	11/21/2019	262 00	275 99	0 00	-13 99	-13 99
QUALCOMM INC	747525103	2	5/2/2019	11/21/2019	170 65	177 94	-7 29	0 00	-7 29
RTS BRISTOL MYERS SQUIBB COMPANY CONTINGENT VALUE RIGHTS	110122157	16	11/21/2019	11/25/2019	70 30	36 80	33 50	0 00	33 50
RTS BRISTOL MYERS SQUIBB COMPANY CONTINGENT VALUE RIGHTS	110122157	115	11/21/2019	11/26/2019	507 81	264 50	243 31	0 00	243 31
RTS BRISTOL MYERS SQUIBB COMPANY CONTINGENT VALUE RIGHTS	110122157	15	11/21/2019	11/27/2019	66 25	34 50	31 75	0 00	31 75
STANLEY BLACK & DECKER INC	854502101	3	11/18/2015	11/21/2019	463 87	320 11	0 00	143 76	143 76
STANLEY BLACK & DECKER INC	854502101	1	11/19/2015	11/21/2019	154 62	107 27	0 00	47 35	47 35
TEXAS INSTRUMENTS INCORPORATED	882508104	4	2/8/2016	11/21/2019	463 30	200 19	0 00	263 11	263 11
UNITED TECHNOLOGIES CORP	913017109	1	12/6/2017	11/21/2019	147 53	121 12	0 00	26 41	26 41
UNITEDHEALTH GROUP INC	91324P102	4	7/9/2014	11/21/2019	1,104 82	328 56	0 00	776 26	776 26
VERTEX PHARMACEUTICALS INC	92532F100	1	4/10/2015	11/21/2019	214 86	127 14	0 00	87 72	87 72
VERTEX PHARMACEUTICALS INC	92532F100	1	4/9/2015	11/21/2019	214 87	124 77	0 00	90 10	90 10
VISA INC CL A COMMON STOCK	92826C839	3	10/20/2015	11/21/2019	538 60	229 33	0 00	309 27	309 27
WALT DISNEY CO	254687106	3	12/16/2016	11/21/2019	439 33	312 34	0 00	126 99	126 99
WASTE CONNECTIONS INC COM	94106B101	2	7/27/2016	11/21/2019	178 87	99 68	0 00	79 19	79 19
WEX INC	96208T104	2	5/3/2016	11/21/2019	394 88	181 82	0 00	213 06	213 06
ZIMMER BIOMET HOLDINGS INC	98956P102	2	11/15/2017	11/21/2019	283 99	222 50	0 00	61 49	61 49
ZIMMER BIOMET HOLDINGS INC	98956P102	3	11/16/2017	11/21/2019	425 99	335 83	0 00	90 16	90 16
ACCENTURE PLC IRELAND SHS CL A	G1151C101	1	3/11/2015	2/27/2020	192 59	87 24	0 00	105 35	105 35
ACCENTURE PLC IRELAND SHS CL A	G1151C101	5	4/6/2015	2/27/2020	962 94	478 00	0 00	484 94	484 94
ACCENTURE PLC IRELAND SHS CL A	G1151C101	5	8/31/2015	2/27/2020	962 94	474 77	0 00	488 17	488 17
ACCENTURE PLC IRELAND SHS CL A	G1151C101	16	2/8/2016	2/27/2020	3,081 43	1,520 94	0 00	1,560 49	1,560 49
ADOBE INC COMMON STOCK	00724F101	3	2/18/2016	5/5/2020	1,069 30	243 24	0 00	826 06	826 06
ADOBE INC COMMON STOCK	00724F101	3	3/7/2016	5/5/2020	1,069 29	253 98	0 00	815 31	815 31
ADOBE INC COMMON STOCK	00724F101	1	3/7/2016	5/6/2020	362 21	84 66	0 00	277 55	277 55
ADOBE INC COMMON STOCK	00724F101	1	2/20/2015	5/5/2020	356 43	78 11	0 00	278 32	278 32
ADOBE INC COMMON STOCK	00724F101	3	3/5/2015	5/5/2020	1,069 30	234 66	0 00	834 64	834 64
ADOBE INC COMMON STOCK	00724F101	2	3/11/2015	5/5/2020	712 86	152 18	0 00	560 68	560 68
ADOBE INC COMMON STOCK	00724F101	3	3/18/2015	5/5/2020	1,069 30	231 75	0 00	837 55	837 55
ADOBE INC COMMON STOCK	00724F101	3	4/6/2015	5/5/2020	1,069 30	228 90	0 00	840 40	840 40
ADOBE INC COMMON STOCK	00724F101	6	8/31/2015	5/5/2020	2,138 60	474 96	0 00	1,663 64	1,663 64
ALEXION PHARMACEUTICALS INC	15351109	18	3/18/2019	3/13/2020	1,437 24	2,430 69	-993 45	0 00	-993 45
ALEXION PHARMACEUTICALS INC	15351109	8	3/15/2019	3/13/2020	638 78	1,087 85	-449 07	0 00	-449 07
BERKSHIRE HATHAWAY INC DEL CL B	84670702	7	8/15/2017	6/25/2020	1,250 24	1,244 21	0 00	6 03	6 03
BERKSHIRE HATHAWAY INC DEL CL B	84670702	13	9/11/2018	6/25/2020	2,321 86	2,800 10	0 00	-478 24	-478 24
BERKSHIRE HATHAWAY INC DEL CL B	84670702	1	9/12/2018	6/26/2020	176 66	215 14	0 00	-38 48	-38 48
BERKSHIRE HATHAWAY INC DEL CL B	84670702	9	10/16/2018	6/26/2020	1,589 97	1,883 94	0 00	-293 97	-293 97
BERKSHIRE HATHAWAY INC DEL CL B	84670702	19	4/20/2017	6/25/2020	3,393 50	3,136 68	0 00	256 82	256 82
BERKSHIRE HATHAWAY INC DEL CL B	84670702	7	8/14/2017	6/25/2020	1,250 24	1,241 14	0 00	9 10	9 10
BLACKROCK MUN BD FD INC NATIONAL MUNICIPAL FD CLASS K	09253C744	2314 364	4/11/2017	5/18/2020	24,902 56	25,156 64	0 00	-254 08	-254 08
COMCAST CORP CL A	20030N101	3	2/5/2016	4/17/2020	113 86	88 84	0 00	25 02	25 02
COMCAST CORP CL A	20030N101	16	2/8/2016	4/17/2020	607 24	471 02	0 00	136 22	136 22
COMCAST CORP CL A	20030N101	10	2/18/2016	4/17/2020	379 52	288 05	0 00	91 47	91 47
COMCAST CORP CL A	20030N101	78	1/11/2018	4/17/2020	2,960 28	3,313 68	0 00	-353 40	-353 40
COMCAST CORP CL A	20030N101	4	1/12/2018	4/17/2020	151 81	169 03	0 00	-17 22	-17 22
COMCAST CORP CL A	20030N101	25	10/22/2018	4/17/2020	948 81	895 78	0 00	53 03	53 03
CORTEVA INC COMMON STOCK	22052L104	14	2/23/2017	1/14/2020	400 05	370 35	0 00	29 70	29 70
CORTEVA INC COMMON STOCK	22052L104	14	7/1/2019	1/17/2020	394 97	419 44	-24 47	0 00	-24 47
CORTEVA INC COMMON STOCK	22052L104	15	2/23/2017	1/15/2020	429 90	396 81	0 00	33 09	33 09
CORTEVA INC COMMON STOCK	22052L104	14	7/1/2019	1/21/2020	389 51	419 43	-29 92	0 00	-29 92
CORTEVA INC COMMON STOCK	22052L104	5	3/10/2017	1/15/2020	143 30	194 25	0 00	-50 95	-50 95
CORTEVA INC COMMON STOCK	22052L104	3	3/13/2017	1/15/2020	85 98	111 39	0 00	-25 41	-25 41

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CORTEVA INC COMMON STOCK	22052L104	1	3/20/2017	1/15/2020	28 66	70 12	0 00	-41 46	-41 46
CORTEVA INC COMMON STOCK	22052L104	6	3/21/2017	1/15/2020	171 96	209 53	0 00	-37 57	-37 57
CORTEVA INC COMMON STOCK	22052L104	7	4/9/2018	1/15/2020	200 61	249 49	0 00	-48 88	-48 88
CORTEVA INC COMMON STOCK	22052L104	6	4/9/2018	1/16/2020	173 53	213 85	0 00	-40 32	-40 32
CORTEVA INC COMMON STOCK	22052L104	16	4/9/2019	1/16/2020	462 76	482 65	-19 89	0 00	-19 89
CORTEVA INC COMMON STOCK	22052L104	4	6/28/2019	1/16/2020	115 69	117 94	-2 25	0 00	-2 25
CORTEVA INC COMMON STOCK	22052L104	10	8/11/2016	1/14/2020	285 75	289 23	0 00	-3 48	-3 48
CORTEVA INC COMMON STOCK	22052L104	32	6/28/2019	1/17/2020	902 78	943 55	-40 77	0 00	-40 77
DUPONT DE NEMOURS INC COMMON STOCK	26614N102	12	8/11/2016	3/13/2020	424 16	994 65	0 00	-570 49	-570 49
DUPONT DE NEMOURS INC COMMON STOCK	26614N102	29	2/23/2017	3/13/2020	1,025 06	2,198 50	0 00	-1,173 44	-1,173 44
DUPONT DE NEMOURS INC COMMON STOCK	26614N102	5	3/10/2017	3/13/2020	176 74	556 67	0 00	-379 93	-379 93
DUPONT DE NEMOURS INC COMMON STOCK	26614N102	3	3/13/2017	3/13/2020	106 04	319 22	0 00	-213 18	-213 18
DUPONT DE NEMOURS INC COMMON STOCK	26614N102	1	3/20/2017	3/13/2020	35 35	200 93	0 00	-165 58	-165 58
DUPONT DE NEMOURS INC COMMON STOCK	26614N102	6	3/21/2017	3/13/2020	212 08	600 47	0 00	-388 39	-388 39
DUPONT DE NEMOURS INC COMMON STOCK	26614N102	13	4/9/2018	3/13/2020	459 51	1,327 84	0 00	-868 33	-868 33
DUPONT DE NEMOURS INC COMMON STOCK	26614N102	16	4/9/2019	3/13/2020	565 56	1,383 16	-817 60	0 00	-817 60
ELECTRONIC ARTS INC	285512109	4	11/27/2018	2/28/2020	400 55	339 59	0 00	60 96	60 96
ELECTRONIC ARTS INC	285512109	30	9/21/2018	2/28/2020	3,004 09	3,460 93	0 00	-456 84	-456 84
EOG RES INC	26875P101	9	5/25/2016	2/3/2020	644 99	745 96	0 00	-100 97	-100 97
EOG RES INC	26875P101	25	8/5/2016	2/3/2020	1,791 65	2,263 62	0 00	-471 97	-471 97
EOG RES INC	26875P101	20	9/15/2016	2/3/2020	1,433 32	1,840 67	0 00	-407 35	-407 35
EOG RES INC	26875P101	7	9/15/2016	2/4/2020	516 53	644 23	0 00	-127 70	-127 70
EOG RES INC	26875P101	1	5/19/2016	1/30/2020	75 21	80 01	0 00	-4 80	-4 80
EOG RES INC	26875P101	12	5/20/2016	1/30/2020	902 53	969 08	0 00	-66 55	-66 55
EOG RES INC	26875P101	2	5/20/2016	1/31/2020	146 45	161 51	0 00	-15 06	-15 06
EOG RES INC	26875P101	12	5/23/2016	1/31/2020	878 70	975 79	0 00	-97 09	-97 09
EOG RES INC	26875P101	12	5/24/2016	1/31/2020	878 70	990 25	0 00	-111 55	-111 55
EOG RES INC	26875P101	2	5/25/2016	1/31/2020	146 45	165 77	0 00	-19 32	-19 32
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	476 997	1/4/2019	3/20/2020	38,293 32	46,361 23	0 00	-8,067 91	-8,067 91
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	65 657	2/1/2019	3/20/2020	5,270 94	6,381 46	0 00	-1,110 52	-1,110 52
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	3 725	4/8/2019	3/20/2020	299 04	362 05	-63 01	0 00	-63 01
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	0 974	4/8/2019	3/20/2020	78 19	94 67	-16 48	0 00	-16 48
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	3 821	7/8/2019	3/20/2020	306 75	371 38	-64 63	0 00	-64 63
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	367 605	8/27/2019	3/20/2020	29,511 33	35,728 99	-6,217 66	0 00	-6,217 66
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	5 865	10/7/2019	3/20/2020	470 84	570 04	-99 20	0 00	-99 20
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	6 324	12/16/2019	3/20/2020	507 69	614 65	-106 96	0 00	-106 96
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	839 92	3/10/2020	3/20/2020	67,428 79	81,635 17	-14,206 38	0 00	-14,206 38
FIDELITY SALEM STR TR INTERNATIONAL INDEX FUND	315911727	2071 042	4/4/2019	3/10/2020	75,530 89	84,611 48	-9,080 59	0 00	-9,080 59
FIDELITY SALEM STR TR INTERNATIONAL INDEX FUND	315911727	577 229	4/4/2019	3/20/2020	16,918 57	23,582 43	-6,663 86	0 00	-6,663 86
INDIANA ST FIN AUTH REV ST REVOLVING FUND PROG B GO DATED 04/07/16 DUE 02/01/20 5 00%	45506DWF8	25000	8/8/2017	2/3/2020	25,000 00	25,000 00	0 00	0 00	0 00
ISHARES INC ISHARES MSCI JAPAN ETF	46434G822	178	8/15/2016	2/11/2020	10,621 18	9,038 27	0 00	1,582 91	1,582 91
ISHARES TRUST ISHARES 7 10 YEAR TREASURY BOND ETF	464287440	697	9/25/2019	4/1/2020	85,101 82	78,062 89	7,038 93	0 00	7,038 93
ISHARES TRUST ISHARES 7 10 YEAR TREASURY BOND ETF	464287440	28	11/21/2019	4/1/2020	3,418 72	3,135 96	282 76	0 00	282 76
J P MORGAN EXCHANGE TRADED FD TR BETABUILDERS JAPAN ETF	46641Q712	213	7/23/2018	2/11/2020	5,254 60	5,163 55	0 00	91 05	91 05
J P MORGAN EXCHANGE TRADED FD TR BETABUILDERS JAPAN ETF	46641Q712	910	7/11/2018	2/11/2020	22,449 23	21,485 10	0 00	964 13	964 13
J P MORGAN EXCHANGE TRADED FD TR BETABUILDERS EUROPE ETF	46641Q720	1978	8/17/2018	3/20/2020	33,783 49	47,412 66	0 00	-13,629 17	-13,629 17

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J P MORGAN EXCHANGE TRADED FD TR BETABUILDERS EUROPE ETF	46641Q720	261	2/19/2019	3/20/2020	4,457 78	6,065 46	0 00	-1,607 68	-1,607 68
JPMORGAN TR I INTERMEDIATE TAX FREE BD FD R6	48129C108	425 503	3/16/2018	5/18/2020	4,676 28	4,598 50	0 00	77 78	77 78
JPMORGAN TR I INTERMEDIATE TAX FREE BD FD R6	48129C108	1997 066	3/16/2018	6/8/2020	22,167 43	21,583 71	0 00	583 72	583 72
MARATHON PETE CORP COM	56585A102	24	9/21/2018	4/29/2020	734 41	2,006 09	0 00	-1,271 68	-1,271 68
MARATHON PETE CORP COM	56585A102	20	12/4/2018	4/29/2020	612 00	1,289 16	0 00	-677 16	-677 16
MARATHON PETE CORP COM	56585A102	47	4/26/2019	4/29/2020	1,438 21	2,862 27	0 00	-1,424 06	-1,424 06
MARATHON PETE CORP COM	56585A102	8	3/9/2018	4/29/2020	244 80	561 34	0 00	-316 54	-316 54
MARATHON PETE CORP COM	56585A102	32	3/12/2018	4/29/2020	979 21	2,235 89	0 00	-1,256 68	-1,256 68
MARATHON PETE CORP COM	56585A102	23	5/15/2018	4/29/2020	703 81	1,776 68	0 00	-1,072 87	-1,072 87
MARATHON PETE CORP COM	56585A102	20	7/27/2018	4/29/2020	612 00	1,616 22	0 00	-1,004 22	-1,004 22
MARYLAND ST DEPT TRANSN CNTY T REV BDS 2012 DATED 10/04/12 DUE 10/01/20 5 00%	574203MH5	15000	2/10/2015	3/17/2020	14,979 45	15,294 54	0 00	-315 09	-315 09
OREGON ST FOR ISSUES DTD PRIOR GO BDS 2013 A GO DATED 02/13/13 DUE 08/01/20 4 00%	68608UTK2	20000	7/14/2014	3/17/2020	19,974 00	20,169 25	0 00	-195 25	-195 25
PIMCO SHORT TERM FUND INSTL CL	693390601	13 561	10/1/2019	4/27/2020	130 59	133 89	-3 30	0 00	-3 30
PIMCO SHORT TERM FUND INSTL CL	693390601	7 589	11/1/2019	4/27/2020	73 08	74 93	-1 85	0 00	-1 85
PIMCO SHORT TERM FUND INSTL CL	693390601	3302 153	6/12/2018	4/27/2020	31,799 74	32,602 97	0 00	-803 23	-803 23
PIMCO SHORT TERM FUND INSTL CL	693390601	104 416	11/21/2019	4/27/2020	1,005 53	1,030 92	-25 39	0 00	-25 39
PIMCO SHORT TERM FUND INSTL CL	693390601	8 665	7/2/2018	4/27/2020	83 44	85 55	0 00	-2 11	-2 11
PIMCO SHORT TERM FUND INSTL CL	693390601	7 896	12/2/2019	4/27/2020	76 04	77 96	-1 92	0 00	-1 92
PIMCO SHORT TERM FUND INSTL CL	693390601	449 195	7/23/2018	4/27/2020	4,325 75	4,435 01	0 00	-109 26	-109 26
PIMCO SHORT TERM FUND INSTL CL	693390601	14 858	12/27/2019	4/27/2020	143 08	146 70	-3 62	0 00	-3 62
PIMCO SHORT TERM FUND INSTL CL	693390601	14 141	8/1/2018	4/27/2020	136 18	139 62	0 00	-3 44	-3 44
PIMCO SHORT TERM FUND INSTL CL	693390601	7 912	1/2/2020	4/27/2020	76 19	78 12	-1 93	0 00	-1 93
PIMCO SHORT TERM FUND INSTL CL	693390601	17 831	9/4/2018	4/27/2020	171 71	176 05	0 00	-4 34	-4 34
PIMCO SHORT TERM FUND INSTL CL	693390601	7 347	2/3/2020	4/27/2020	70 75	72 54	-1 79	0 00	-1 79
PIMCO SHORT TERM FUND INSTL CL	693390601	13 945	10/1/2018	4/27/2020	134 29	137 68	0 00	-3 39	-3 39
PIMCO SHORT TERM FUND INSTL CL	693390601	6 415	3/2/2020	4/27/2020	61 78	63 34	-1 56	0 00	-1 56
PIMCO SHORT TERM FUND INSTL CL	693390601	15 522	11/1/2018	4/27/2020	149 48	153 25	0 00	-3 77	-3 77
PIMCO SHORT TERM FUND INSTL CL	693390601	6 575	4/1/2020	4/27/2020	63 31	64 91	-1 60	0 00	-1 60
PIMCO SHORT TERM FUND INSTL CL	693390601	17 462	12/3/2018	4/27/2020	168 16	172 41	0 00	-4 25	-4 25
PIMCO SHORT TERM FUND INSTL CL	693390601	7 678	12/13/2018	4/27/2020	73 94	75 81	0 00	-1 87	-1 87
PIMCO SHORT TERM FUND INSTL CL	693390601	4 275	12/13/2018	4/27/2020	41 17	42 21	0 00	-1 04	-1 04
PIMCO SHORT TERM FUND INSTL CL	693390601	12 08	12/27/2018	4/27/2020	116 33	119 27	0 00	-2 94	-2 94
PIMCO SHORT TERM FUND INSTL CL	693390601	18 898	1/2/2019	4/27/2020	181 99	186 58	0 00	-4 59	-4 59
PIMCO SHORT TERM FUND INSTL CL	693390601	15 43	2/1/2019	4/27/2020	148 59	152 34	0 00	-3 75	-3 75
PIMCO SHORT TERM FUND INSTL CL	693390601	16 023	3/1/2019	4/27/2020	154 30	158 20	0 00	-3 90	-3 90
PIMCO SHORT TERM FUND INSTL CL	693390601	19 199	4/1/2019	4/27/2020	184 89	189 56	0 00	-4 67	-4 67
PIMCO SHORT TERM FUND INSTL CL	693390601	18 648	5/1/2019	4/27/2020	179 58	184 12	-4 54	0 00	-4 54
PIMCO SHORT TERM FUND INSTL CL	693390601	19 966	6/3/2019	4/27/2020	192 27	197 13	-4 86	0 00	-4 86
PIMCO SHORT TERM FUND INSTL CL	693390601	16 734	7/1/2019	4/27/2020	161 15	165 22	-4 07	0 00	-4 07
PIMCO SHORT TERM FUND INSTL CL	693390601	17 246	8/1/2019	4/27/2020	166 08	170 27	-4 19	0 00	-4 19
PIMCO SHORT TERM FUND INSTL CL	693390601	17 595	9/3/2019	4/27/2020	169 44	173 72	-4 28	0 00	-4 28
QUALCOMM INC	747525103	42	5/2/2019	1/23/2020	3,854 63	3,736 78	117 85	0 00	117 85
ROSS STORES INC	778296103	8	12/11/2018	4/17/2020	729 81	644 47	0 00	85 34	85 34
ROSS STORES INC	778296103	28	12/12/2018	4/17/2020	2,554 34	2,281 55	0 00	272 79	272 79
ROSS STORES INC	778296103	14	12/12/2018	4/20/2020	1,234 46	1,140 78	0 00	93 68	93 68
ROSS STORES INC	778296103	11	12/17/2018	4/20/2020	969 93	861 54	0 00	108 39	108 39
ROSS STORES INC	778296103	4	12/18/2018	4/20/2020	352 71	317 16	0 00	35 55	35 55
SPDR S&P 500 ETF TRUST	78462F103	2	4/6/2015	3/20/2020	470 32	416 22	0 00	54 10	54 10
UNITED TECHNOLOGIES CORP	913017109	10	12/6/2017	4/1/2020	894 67	1,211 17	0 00	-316 50	-316 50
UNITED TECHNOLOGIES CORP	913017109	18	12/7/2017	4/1/2020	1,610 40	2,202 51	0 00	-592 11	-592 11
UNITED TECHNOLOGIES CORP	913017109	4	12/8/2017	4/1/2020	357 87	491 78	0 00	-133 91	-133 91
WALT DISNEY CO	254687106	32	1/30/2017	3/6/2020	3,622 70	3,535 96	0 00	86 74	86 74
WALT DISNEY CO	254687106	6	1/31/2017	3/6/2020	679 26	661 16	0 00	18 10	18 10
WALT DISNEY CO	254687106	6	8/31/2017	3/6/2020	679 25	610 80	0 00	68 45	68 45
WALT DISNEY CO	254687106	10	12/16/2016	3/6/2020	1,132 09	1,041 15	0 00	90 94	90 94
WASHINGTON ST GO REF BDS R-2013 GO DATED 02/05/13 DUE 07/01/20 5 00%	93974C7Y0	15000	7/25/2014	3/17/2020	15,021 00	15,143 81	0 00	-122 81	-122 81
WELLS FARGO & CO	949746101	26	2/18/2016	3/6/2020	965 03	1,236 30	0 00	-271 27	-271 27
WELLS FARGO & CO	949746101	23	6/30/2017	3/6/2020	853 68	1,278 47	0 00	-424 79	-424 79
WELLS FARGO & CO	949746101	16	7/3/2017	3/6/2020	593 86	894 85	0 00	-300 99	-300 99
WELLS FARGO & CO	949746101	11	8/31/2017	3/6/2020	408 28	561 09	0 00	-152 81	-152 81
WELLS FARGO & CO	949746101	32	11/21/2017	3/6/2020	1,187 72	1,735 38	0 00	-547 66	-547 66
WELLS FARGO & CO	949746101	20	8/31/2015	3/6/2020	742 33	1,066 86	0 00	-324 53	-324 53
					948,042 06	988,290 13	-28,814 01	-11,434 06	-40,248 07
WHIRLPOOL CORP		500	8/22/2013	9/26/2019	77,398 39	75,140 00		2,258 39	2,258 39

Yao Yuan Sze Foundation
Managed Account Brokerage Sales
July 1, 2018 - June 30, 2019

91-2161365
Statement 9 - Form 990-PF, Part IV, Line 1a and 1b
- Capital Gains and Losses from Investment Income

WHIRLPOOL CORP		200	8/22/2013	10/21/2019	32,477 32	30,056 00		2,421 32	2,421 32
EXXON MOBIL CORP		500	8/22/2013	5/6/2020	22,090 46	47,505 00		-25,414 54	-25,414 54
EXXON MOBIL CORP		500	8/22/2013	5/8/2020	22,749 49	47,505 00		-24,755 51	-24,755 51
LINDE PLC		300	8/22/2013	5/18/2020	57,634 72	37,971 00		19,663 72	19,663 72
MICROSOFT		200	8/22/2013	5/18/2020	37,093 18	7,501 00		29,592 18	29,592 18
LINDE PLC		200	8/22/2013	5/29/2020	40,399 10	25,314 00		15,085 10	15,085 10
EXXON MOBIL CORP		500	8/22/2013	6/3/2020	24,499 45	47,505 00		-23,005 55	-23,005 55
					314,342 11	318,497 00		-4,154 89	-4,154 89
TOTAL					1,262,384 17	1,306,787 13	-28,814 01	-15,588 95	-44,402 96

J.P.Morgan

CORPORATION (Acct # 840-25929)

YAO YUAN SZEFOUNDATION
JOAN SUN

Statement Period May 30 - June 30, 2020

Chase Strategic Portfolio (CSP)

FIXED INCOME

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost		Cost Basis		Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
ALBUQUERQUE N MEX GROSS RCPTS IMPT REV BDS 2016 C DATED DATE 09/08/2016 BOOK ENTRY ONLY NONE - BOND IS NOT INSURED DUE 07/01/2022 4 00000% JJ 01 EST YIELD 3 73% RATING MOODY AA3 S&P AAA CUSIP. 01354PGD0	04 Dec 2018	N	30,000	107.362	32,208.60	103.65		31,093 44	B	1,115.16	LT	596 67 1 200.00
ANNE ARUNDEL CNTY MD GO CONS GEN IMPT AND WTR GO DATED DATE 04/12/2017 BOOK ENTRY ONLY NONE - BOND IS NOT INSURED DUE 10/01/2024 5 00000% AO 01 EST YIELD 4.19% RATING MOODY AA1 S&P AAA CUSIP 03588HST4	02 Jul 2019	N	25,000	119 347	29,836.75	114 97		28,743 16	B	1,093 59	ST	309.03 1,250 00
BELLEVUE WASH LTD TAX REF BDS 2015 GO DATED DATE 04/28/2015 BOOK ENTRY ONLY NONE - BOND IS NOT INSURED DUE 12/01/2023 5 00000% JD 01 EST YIELD 4 32% RATING MOODY AAA S&P AAA CUSIP 0793652R2	26 Mar 2018	N	25,000	115 809	28,952.25	109 34		27,335 09	B	1,617 16	LT	100 69 1,250 00
BLACKROCK FDS V HGH YLD BND PORTFOLIO K EST			*22,238 931	7 17	159,453.14	6 64	A	147,595 79		11,857 35		– 9,584 98
YIELD 6 01% DIV & CAP	01 Apr 2020	N	16,490 179		118 234.58	5 64		109 442 36		8 792 22	ST	
GAIN REINVEST	18 May 2020	N	5,583 252		40 031 92	5 64		37,055 04		2,976 88	ST	
Symbol BRHYX	ST REINVEST	N	165 5		1,186 64	6.64		1,098 39		88 25	ST	

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See additional footnotes on the last page of the Holdings section

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J.P.Morgan
CORPORATION (Acct # 840-25929)

YAO YUAN SZEFOUNDATION
JOAN SUN

Statement Period May 30 - June 30, 2020

Chase Strategic Portfolio (CSP)

FIXED INCOME (continued)

Description	Acquisition Date	Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss	Est. Accrued Inc. / Est. Annual Inc
BLACKROCK FDS V								
Market Value vs. Purchases				**159,453.14**		**146,497.40**		
Investment Gain/Loss				**12,955.74**				
BLACKROCK MUN BD FD INC		*10,643 991	11 08	**117,935.42**	10 87 A	115,698 76	2,236 66	–
NATIONAL MUNICIPAL FD CLASS								2,937 74
K EST YIELD 2 49% DIV &	11 Apr 2017 N	2 916 028		32,309 59	10 87	31,695 84	612 75 LT	-
CAP GAIN REINVEST	31 Aug 2017 N	311 696		3 453 59	10.87	3 388 09	65 50 LT	
Symbol BNMLX	31 Jan 2018 N	3,950 219		43,768 43	10 87	42 938 36	830 07 LT	
	23 Jul 2018 N	775 366		8 591 06	10 87	8 428 13	162 93 LT	
	21 Nov 2019 N	1 795 1		19,889 71	10 87	19 512 50	377 21 ST	-
	LT REINVEST N	587 46		6,509 06	10,87	6,265 59	123 47 LT	
	ST REINVEST N	308 122		3 413 99	10 67	3,349 25	64 74 ST	-
Market Value vs. Purchases				**117,935.42**		**105,963.92**		
Investment Gain/Loss				**11,971.50**				
CITY OF SURPRISE ARIZONA SENIOR LIEN UTILITY SYSTEM REV SERIES 2018 DATED DATE 08/07/2018 BOOK ENTRY ONLY NONE - BOND IS NOT INSURED DUE 07/01/2028 5 00000% JJ 01 EST YIELD 3 78% RATING MOODY N/A S&P AA+ CUSIP 86889CAK4	25 Jul 2018 N	35,000	132 132	**46,246.20**	117 48	41,117 04 B	5,129 16 LT	870 14 / 1,750 00
COLUMBUS OHIO VAR PURP UNLTD TAX GO RE 2017-1 GO DATED DATE 11/16/2017 BOOK ENTRY ONLY NONE - BOND IS NOT INSURED DUE 04/01/2027 4 00000% AO 01 EST YIELD 3 30% RATING· MOODY AAA S&P AAA CUSIP 199492VH9	07 May 2019 N	25,000	121 272	**30,318 00**	114 06	28,514 85 B	1,803 15 LT	247 22 / 1,000 00

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FIXED INCOME (continued)

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis		Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc
DEER VALLEY ARIZ UNI SCH DIST NO 97 MARICOPA CNTY G/O REF BDS SER 2016 DATED DATE 02/23/2016 BOOK ENTRY ONLY NONE - BOND IS NOT INSURED DUE 07/01/2023 5 00000% JJ 01 EST YIELD 4 40% RATING MOODY AA2 S&P N/A CUSIP 567438TJ5	01 Oct 2018	N	35,000	113 711	**39,798 85**	107 78	37,724 10	B	2,074 75	LT	870 14 1 750 00 -
DISTRICT COLUMBIA INCOME TAX R INCOME TAX SEC REV REF DATED DATE 09/10/2014 BOOK ENTRY ONLY NONE - BOND IS NOT INSURED DUE 12/01/2021 5 00000% JD 01 EST YIELD 4 69% RATING MOODY AA1 S&P AAA CUSIP 25477GNG6	20 Aug 2014	N	15,000	106 714	**16,007.10**	104 5	15,674 49	B	332 61	LT	- 60 42 750 00 - -
FORSYTH CNTY N C GO REF BDS 2013 GO DATED DATE 01/09/2013 BOOK ENTRY ONLY NONE - BOND IS NOT INSURED DUE 07/01/2024 5 00000% JJ 01 EST YIELD 4 22% RATING MOODY AAA S&P AAA CUSIP 346623BY6	08 Jan 2015	N	20,000	118 366	**23,673.20**	111 4	22,279 16	B	1,394 04	LT	497 22 1 000 00
FRISCO TEX GO REF AND IMPT BDS 2014 GO DATED DATE 09/16/2014 BOOK ENTRY ONLY NONE - BOND IS NOT INSURED DUE 02/15/2022 5 00000% FA 15 EST YIELD 4 65%	13 Aug 2014	N	15,000	107 587	**16,138.05**	104 78	15,716 52	B	421 53	LT	281 25 750 00

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J.P.Morgan

CORPORATION (Acct # 840-25929)

YAO YUAN SZEFOUNDATION
JOAN SUN

Statement Period May 30 - June 30, 2020

Chase Strategic Portfolio (CSP)

FIXED INCOME (continued)

Description	Acquisition Date	Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss	Est. Accrued Inc. / Est. Annual Inc.
FRISCO TEX GO REF AND RATING MOODY AAA S&P AAA CUSIP 358776EH1								
HAWAII ST HWY REV REV BDS SER A DATED DATE 08/14/2014 BOOK ENTRY ONLY NONE - BOND IS NOT INSURED DUE 01/01/2024 5 00000% JJ 01 EST YIELD 4 33% RATING MOODY AA1 S&P AA+ CUSIP 41981CLK4	07 Nov 2016 N	20,000	115 448	**23,089 60**	111 56	22,311 08 B	778 52 LT	497 22 1 000 00 - -
HUNTSVILLE ALA GO WTS 2014A GO DATED DATE 09/03/2014 BOOK ENTRY ONLY NONE - BOND IS NOT INSURED DUE 08/01/2021 5 00000% FA 01 EST YIELD. 4 76% RATING: MOODY AAA S&P AAA CUSIP 447025RB5	02 Feb 2015 N	20,000	105.122	**21,024.40**	103.87	20,774 27 B	250 13 LT	413.89 1 000 00 -
IOWA FIN AUTH REV ST REVOLVING FD REV BDS 2016 DATED DATE 10/19/2016 BOOK ENTRY ONLY NONE - BOND IS NOT INSURED DUE 08/01/2026 5 00000% FA 01 EST YIELD 3 96% RATING MOODY AAA S&P AAA CUSIP 46246K2Y7	08 Mar 2019 N	25,000	126 127	**31,531.75**	117 65	29,413 45 B	2,118 30 LT	517 36 1,250 00
ISHARES TRUST ISHARES 3 TO 7 YEAR		321	133.67	**42,908.07**	126.5	40,605 18	2,302 89	- 702.67
TREASURY BOND ETF EST	25 Sep 2019 N	310		41,437 70	126 5	39,215 00	2 222 70 ST	
YIELD: 1 64% Symbol: IEI	21 Nov 2019 N	11		1,470 37	126 38	1,390 18	80 19 ST	

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J.P.Morgan
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YAO YUAN SZEFOUNDATION
JOAN SUN

Statement Period May 30 - June 30, 2020

Chase Strategic Portfolio (CSP)

FIXED INCOME (continued)

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost		Cost Basis		Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
JPMORGAN TR I			*25,858 594	11 13	**287,806.15**	10 81	A	279,487.07		8,319 08		–
INTERMEDIATE TAX FREE BD FD												6,904 24
R6 EST YIELD 2 40% DIV &	16 Mar 2016	N	859 047		9 561 19	10 81		9 284 83		276 36	LT	
CAP GAIN REINVEST	23 Jul 2018	N	258 733		2,879 70	10 81		2 796 46		83 24	LT	
Symbol· JITZX	01 Feb 2019	N	4 996 594		55,612 09	10 81		54 004 62		1 607 47	LT	
	19 Feb 2019	N	7,118 874		79 233 07	10 81		76 942 83		2 290 24	LT	
	21 Nov 2019	N	518 446		5 770 30	10 81		5,603 51		166 79	ST	
	10 Mar 2020	N	5,195 444		57,825 29	10 81		56 153 85		1,671.44	ST	
	01 Apr 2020	N	6 185 545		68,845 12	10 81		66 855 14		1,989 98	ST	
	LT REINVEST	N	258 875		2,881 28	10 81		2,797 99		83 29	LT	
	ST REINVEST	N	467 036		5,198 11	10 81		5 047 84		150 27	ST	
Market Value vs. Purchases					**287,806.15**			**271,641.24**				
Investment Gain/Loss					**16,164 91**							
JPMORGAN TR II			*11,925 456	6 61	**78,827.26**	6.43	A	76,693 51		2,133 75		–
HIGH YIELD FD ULTRA CL EST												4 734 41
YIELD· 6 01% DIV & CAP	13 May 2020	N	11 849 32		78,324 01	6 43		76 203 88		2,120 13	ST	
GAIN REINVEST	ST REINVEST	N	76 136		503 26	6 43		489 61		13 63	ST	
Symbol JHYUX												
Market Value vs. Purchases					**78,827.26**			**76,203.88**				
Investment Gain/Loss					**2,623.38**							
LOUDOUN CNTY VA GO PUB	09 Feb 2015	N	15,000	101 98	**15,297.00**	101 6		15,239 74	B	57 26	LT	60 42
IMPT BDS 2014 A GO DATED												750 00
DATE 06/10/2014 BOOK ENTRY												
ONLY NONE - BOND IS NOT												
INSURED DUE 12/01/2020												
5 00000% JD 01 EST YIELD												
4 90%												
RATING MOODY AAA S&P AAA												
CUSIP 54589TBC5												
MANATEE CNTY FLA PUB	01 Apr 2015	N	20,000	119 84	**23,968.00**	112		22,399 35	B	1,568 65	LT	247 22
UTILS REV REV REF AND IMPT												1,000 00
DATED DATE 04/22/2015 BOOK												
ENTRY ONLY PAR CALL												
04/01/2025 NONE - BOND IS												

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J.P.Morgan

CORPORATION (Acct # 840-25929)

YAO YUAN SZEFOUNDATION
JOAN SUN

Statement Period May 30 - June 30, 2020

Chase Strategic Portfolio (CSP)

FIXED INCOME (continued)

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis		Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
MANATEE CNTY FLA PUB NOT INSURED DUE 10/01/2025 5 00000% AO 01 EST YIELD 4 17% RATING MOODY AA1 S&P N/A CUSIP 561851HN9											
MASSACHUSETTS ST CLEAN WTR TR ST REVOLVING FD BDS 2017 DATED DATE 04/13/2017 BOOK ENTRY ONLY NONE - BOND IS NOT INSURED DUE 08/01/2027 5 00000% FA 01 EST YIELD 3 86% RATING MOODY AAA S&P AAA CUSIP 575829CT1	05 Apr 2017	N	30,000	129 542	**38,862 60**	117 21	35,161 48	B	3,701 12	LT	620 83 1,500 00
MET GOVT NASHVILLE & TN REF GO DATED DATE 06/01/2016 BOOK ENTRY ONLY NONE - BOND IS NOT INSURED DUE 01/01/2024 5 00000% JJ 01 EST YIELD 4 31% RATING MOODY AA2 S&P AA CUSIP 592112QW9	03 Oct 2016	N	25,000	116 052	**29,013.00**	112 29	28,073 27	B	939 73	LT	621.53 1,250 00
MINNESOTA PUB FACS AUTH ST REV REV REF BDS 2016 DATED DATE 03/03/2016 BOOK ENTRY ONLY NONE - BOND IS NOT INSURED DUE 03/01/2022 4 00000% MS 01 EST YIELD 3 77% RATING MOODY AAA S&P AAA CUSIP 604115CV5	10 Apr 2017	N	30,000	106 188	**31,856.40**	104 05	31,215 90	B	640 50	LT	396.67 1,200 00

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J.P.Morgan

CORPORATION (Acct # 840-25929)

YAO YUAN SZEFOUNDATION
JOAN SUN

Statement Period May 30 - June 30, 2020

Chase Strategic Portfolio (CSP)

FIXED INCOME (continued)

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis		Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
MINNESOTA ST GO STATE TRUNK HIGHWAY 2014B DATED DATE 08/21/2014 BOOK ENTRY ONLY NONE - BOND IS NOT INSURED DUE 08/01/2023 5 00000% FA 01 EST YIELD 4 36% RATING MOODY AA1 S&P AAA CUSIP 6041292S4	09 Apr 2015	N	25,000	114 598	**28,649.50**	109 21	27,301 68	B	1,347 82	LT	517 36 1,250 00
MONTGOMERY CNTY MD GO CONSOLIDATED PUB IMPT 2017 C GO DATED DATE 11/15/2017 BOOK ENTRY ONLY NONE - BOND IS NOT INSURED DUE 10/01/2025 5 00000% AO 01 EST YIELD 4.06% RATING MOODY AAA S&P AAA CUSIP 6133407L6	08 May 2018	N	25,000	123 249	**30,812 25**	112 96	28,240 66	B	2,571 59	LT	309 03 1;250 00
NORTH CAROLINA ST GO PUB IMPT BDS 2016 B GO DATED DATE 08/10/2016 BOOK ENTRY ONLY NONE - BOND IS NOT INSURED DUE 06/01/2021 5 00000% JD 01 EST YIELD 4 79% RATING MOODY AAA S&P AAA CUSIP 6582562G6	03 May 2017	N	25,000	104 361	**26,090.25**	103 34	25,834 86	B	255 39	LT	100 69 1,250 00
OHIO ST GO HWY CAP IMPT BDS 2016 DATED DATE 04/21/2016 BOOK ENTRY ONLY NONE - BOND IS NOT INSURED DUE 05/01/2026 5 00000% MN 01 EST YIELD 3 99%	13 Apr 2016	N	20,000	125 251	**25,050 20**	117 9	23,580 20	B	1,470 00	LT	163 89 1,000 00

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J.P.Morgan
CORPORATION (Acct # 840-25929)

YAO YUAN SZEFOUNDATION
JOAN SUN

Statement Period May 30 - June 30, 2020

Chase Strategic Portfolio (CSP)

FIXED INCOME (continued)

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis		Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
OHIO ST GO HWY CAP IMPT RATING MOODY AA1 S&P AAA CUSIP 677522KV5											
OMAHA NEB VAR PURP REF BDS 2019 GO DATED DATE 12/17/2019 BOOK ENTRY ONLY PAR CALL 04/15/2029 NONE - BOND IS NOT INSURED DUE 04/15/2030 5 00000% AO 15 EST YIELD 3 73% RATING. MOODY AA2 S&P AA+ CUSIP 6817125N1	05 Dec 2019	N	25,000	134 084	33,521.00	127 41	31,851 77	B	1,669 23	ST	260 42 1,250 00
PALM BEACH CNTY FLA WTR & SWR REF BDS 2019 DATED DATE 11/26/2019 BOOK ENTRY ONLY NONE - BOND IS NOT INSURED DUE 10/01/2029 5 00000% AO 01 EST YIELD 3 68% RATING MOODY AAA S&P AAA CUSIP 696572LQ7	13 Nov 2019	N	25,000	136.048	34,012.00	128 34	32,085.75	B	1,926 25	ST	309.03 1 250 00
RHODE ISLAND CLEAN WTR FIN AGY WTR POLL CTRL REVOLVING DATED DATE 07/30/2015 BOOK ENTRY ONLY PAR CALL 10/01/2024 NONE - BOND IS NOT INSURED DUE 10/01/2026 5 00000% AO 01 EST YIELD 4 21% RATING MOODY N/A S&P AAA CUSIP 76218TTS3	10 Jul 2015	N	25,000	118 696	29,674.00	109 22	27,305.61	B	2,368 39	LT	309 03 1,250 00
SOUTHWEST TEX INDPT SCH DIST ULTD TAX SCH BLDG AND	31 Jul 2014	N	20,000	102.718	20,543.60	101 81	20,362.46	B	181 14	LT	413 89 1,000.00

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Chase Strategic Portfolio (CSP)

FIXED INCOME (continued)

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis		Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
SOUTHWEST TEX INDPT SCH GO DATED DATE 07/15/2014 BOOK ENTRY ONLY NONE - BOND IS NOT INSURED DUE 02/01/2021 5.00000% FA 01 EST YIELD 4 87% RATING MOODY AAA S&P AAA CUSIP 845267VK6											-
TENNESSEE ST SCH BD AUTH HIGHER EDL FACS SECOND P DATED DATE 08/27/2014 BOOK ENTRY ONLY NONE - BOND IS NOT INSURED DUE 11/01/2022 5 00000% MN 01 EST YIELD 4 51% RATING. MOODY AA1 S&P AA+ CUSIP 880558FN6	07 Aug 2014	N	20,000	110 792	22,158.40	106 56	21,310 94	B	847 46	LT	163 89 1,000.00
UNIVERSITY COLO ENTERPRISE REFUNDING REVENUE BONDS SER 2017A-2 DATED DATE 12/12/2017 BOOK ENTRY ONLY NONE - BOND IS NOT INSURED DUE 06/01/2027 5 00000% JD 01 EST YIELD 3 91% RATING MOODY AA1 S&P N/A CUSIP 91417KX22	01 Aug 2018	N	30,000	127.782	38,334.60	115 99	34,797 66	B	3,536 94	LT	120 83 1,500.00
UNIVERSITY OF SOUTH CAROLINA HIGHER EDUCATION REFUNDING REV BONDS SER 2017A DATED DATE 09/14/2017 BOOK ENTRY ONLY NONE - BOND IS NOT INSURED DUE 05/01/2026 5 00000% MN	08 Sep 2017	N	35,000	122 867	43,003.45	117 41	41,094 59	B	1,908 86	LT	286 81 1,750 00

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CORPORATION (Acct # 840-25929)

YAO YUAN SZEFOUNDATION
JOAN SUN

Statement Period May 30 - June 30, 2020

Chase Strategic Portfolio (CSP)

FIXED INCOME (continued)

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis		Unrealized Gain/Loss		Est Accrued Inc. / Est. Annual Inc.
UNIVERSITY OF SOUTH 01 EST YIELD 4 07% RATING MOODY AA2 S&P N/A CUSIP 914857DU8											
UNIVERSITY OF WASHINGTON GENERAL REVENUE BONDS 2012C DATED DATE 12/05/2012 BOOK ENTRY ONLY NONE - BOND IS NOT INSURED DUE 07/01/2021 5 00000% JJ 01 EST YIELD 4 77% RATING MOODY AAA S&P AA+ CUSIP 91523NKT2	05 Feb 2020	N	20,000	104 728	**20,945 60**	103 89	20,778 55	B	167 05	ST	497 22 1 000 00
UNIVERSITY TEX UNIV REVS REV FING SYS BDS 2016 D DATED DATE 07/01/2016 BOOK ENTRY ONLY NONE - BOND IS NOT INSURED DUE 08/15/2025 5 00000% FA 15 EST YIELD 4 07% RATING MOODY AAA S&P AAA CUSIP 91514ADZ5	08 Nov 2016	N	25,000	122 838	**30,709.50**	115.89	28,973 41	B	1,736 09	LT	468 75 1 250 00
UPPER ARLINGTON OHIO CITY SCH GO ULTD TAX FACS CONSTR GO DATED DATE 02/27/2018 BOOK ENTRY ONLY PAR CALL 12/01/2027 NONE - BOND IS NOT INSURED DUE 12/01/2028 5 00000% JD 01 EST YIELD. 3 85% RATING MOODY AA1 S&P AAA CUSIP 915506MB2	31 Jan 2018	N	25,000	129 798	**32,449.50**	116 76	29,189 89	B	3,259 61	LT	100 69 1,250 00

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J.P.Morgan
CORPORATION (Acct # 840-25929)

YAO YUAN SZEFOUNDATION
JOAN SUN

Statement Period May 30 - June 30, 2020

Chase Strategic Portfolio (CSP)

FIXED INCOME (continued)

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis		Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
WASHINGTON CNTY ORE SCH DIST N GO BDS B GO DATED DATE 08/07/2014 BOOK ENTRY ONLY NONE - BOND IS NOT INSURED DUE 06/15/2023 5 00000% JD 15 EST YIELD 4 39% RATING MOODY AA1 S&P AA+ CUSIP 938429J81	23 Jul 2014	N	20,000	113 768	22,753 60	107 8	21,560 02	B	1,193 58	LT	41.67 1,000 00
TOTAL FIXED INCOME					$1,603,459.24		$1,527,134.75		$76,324.49		$11.271.11 $62.764.04

Total Account Value : $4,014,958.96

Unless otherwise noted all positions are held in your cash account F - TEFRA Account G - Good Faith Account I - Income Account L - Non Purpose Loan Account M - Margin Account R - DVP/RVP Account S - Short Account

AI Pricing Method a – Net Investment b – Appraised Value c – The firm did not receive price information compliant with applicable reporting requirements

A - Average Cost B - Adjusted for Amortization or Accretion D - Acquisition Date = Date of Death E - Adjusted for Option Exercise or Assignment K - Gifted Security LT - Long Term MT - Mixed Term N - Noncovered Provide - Please provide this information ST - Short Term T - Cost Basis provided by Third Party W - Adjusted for Wash Sale

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J.P.Morgan

CORPORATION (Acct # 840-25929)

YAO YUAN SZEFOUNDATION
JOAN SUN

Statement Period May 30 - June 30, 2020

Chase Strategic Portfolio (CSP)

Holdings

The total cost basis for each security position and the unrealized gain/loss are provided solely for your convenience and may not be used for tax purposes or otherwise relied upon If you have questions related to the tax treatment of your investments, please consult your tax advisor Unrealized gain/loss total reflects only those positions for which a cost basis is available or has been provided J P Morgan has not, and cannot, validate the cost basis of positions reported by you or your agent, and are displayed solely for your convenience Information on this statement related to cost and gain/loss calculations does not include adjustments for wash sales that may have occurred on transactions pending settlement These wash sale adjustments, if any, will be reflected on your next statement

For Mutual Funds, itemized cost basis displays each purchase, the aggregation of long term and short term investments, and/or the aggregation of long term and short term positions which origination cannot be determined, noted as 'Other' For Mutual Funds, Market Value vs Purchases is provided to assist you in comparing your total purchase, excluding reinvested distributions, with the current market value of the position For Mutual Funds which you choose not to reinvest distributions, 'Cumulative Cash Distributions' is displayed to assist you in monitoring the position This Cumulative Cash Distributions will only reflect cash distributions made after June 1, 2018 Investment Gain/Loss calculates the difference between your cost of Purchases from the current value of the fund, plus Cumulative Cash Distributions In any Mutual Fund tax lot is noted 'Other' or cost basis is not available Investment Gain/Loss will display 'Not Available.'
Investment Gain/Loss display is for informational purposes only as does not reflect your total unrealized gain or loss, nor should it be used for tax purposes

CASH & SWEEP FUNDS

Description	Acquisition Date	Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss	Est. Accrued Inc. / Est. Annual Inc.
CASH BALANCE				**4.00**				-
JPMORGAN US GOVERNMENT MONEY MARKET FUND INSTITUTIONAL SHS SECURITY SYMBOL IS IJGXX Symbol QVESQ		38,980 84	1	**38,980.84**				– / –
TOTAL CASH & SWEEP FUNDS				**$38,984.84**				– / –

EQUITIES

Description	Acquisition Date	Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
ABBVIE INC EST YIELD 4 81%		159	98 18	**15,610.62**	77 75	12,362.43	3,248 19		– / 750.48
Symbol ABBV	17 Sep 2019 N	53		5,203 54	71 86	3,808 57	1 394 97	ST	

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J.P.Morgan
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YAO YUAN SZEFOUNDATION
JOAN SUN

Statement Period May 30 - June 30, 2020

Chase Strategic Portfolio (CSP)

EQUITIES (continued)

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
ABBVIE INC										
	22 Nov 2019	N	56		5,498 08	86 15	4,824 40	673 68	ST	
	25 Nov 2019	N	3		294 54	86 86	260 58	33.96	ST	
	02 Apr 2020	N	47		4 614 46	73 81	3,468 88	1 145 58	ST	
ALLEGION PUBLIC LIMITED			50	102 22	5,111.00	65 8	3,290 19	1,820.81		--
COMPANY EST YIELD 1 25%										64 00
Symbol ALLE	03 Nov 2015	N	3		306 66	64 04	192 13	114 53	LT	
	06 Nov 2015	N	3		306 66	64 18	192 54	114 12	LT	
	19 Nov 2015	N	7		715 54	66.54	465 81	249.73	LT	
	20 Nov 2015	N	5		511 10	66 66	333,40	177 70	LT	
	23 Nov 2015	N	3		306 66	65 83	197 50	109 16	LT	
	24 Nov 2015	N	5		511 10	64 9	324 51	186 59	LT	
	18 Feb 2016	N	2		204 44	58 83	117 66	86 78	LT	
	07 Mar 2016	N	2		204 44	63 4	126 80	77 64	LT	
	29 Apr 2016	N	4		408 88	65 15	260 59	148 29	LT	
	02 May 2016	N	4		408 88	66 61	266 44	142 44	LT	
	03 May 2016	N	3		306 66	65 66	196 99	109 67	LT	
	04 May 2016	N	2		204 44	65 33	130 65	73 79	LT	
	05 May 2016	N	3		306 66	65.39	196 16	110 50	LT	
	06 May 2016	N	1		102 22	65 72	65 72	36 50	LT	
	09 May 2016	N	1		102 22	66 2	66 20	36 02	LT	
	31 Aug 2017	N	2		204 44	78 55	157 09	47 35	LT	
ALPHABET INC			9	1,418 05	12,762.45	691 93	6,227 33	6,535 12		--
CLASS A COMMON STOCK										--
Symbol GOOGL	26 Mar 2015	N	1		1 418 05	564.21	564 21	853 84	LT	
	06 Apr 2015	N	1		1,418 05	542 71	542 71	875 34	LT	
	30 Oct 2015	N	2		2,836 10	740 27	1,480 54	1,355 56	LT	
	11 Jul 2016	N	5		7,090 25	727 97	3,639 87	3 450 38	LT	
ALPHABET INC			21	1,413 61	29,685.81	653 77	13,729 17	15,956 64		--
CLASS C CAPITAL STOCK										--
Symbol GOOG	09 Jul 2014	N	1		1,413,61	574.43	574 43	839 18	LT	
	09 Sep 2014	N	2		2,827 22	583 22	1,166 43	1,660 79	LT	
	08 Jan 2015	N	1		1,413 61	501 15	501 15	912 46	LT	
	15 Jan 2015	N	2		2 827 22	501 25	1 002 50	1,824 72	LT	

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JOAN SUN

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Chase Strategic Portfolio (CSP)

EQUITIES (continued)

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc
ALPHABET INC										
	28 Jan 2015	N	1		1 413 61	518 31	518 31	895 30	LT	
	04 Feb 2015	N	1		1 413 61	527 12	527 12	886 49	LT	
	20 Feb 2015	N	1		1 413 61	539 99	539.99	873 62	LT	
	05 Mar 2015	N	1		1,413 61	574.18	574 18	839.43	LT	
	11 Mar 2015	N	1		1,413 61	553	553 00	860 61	LT	
	26 Mar 2015	N	2		2 827 22	556 13	1 112 26	1 714 96	LT	
	13 Apr 2015	N	1		1,413 61	540 02	540 02	873.59	LT	
	31 Aug 2015	N	1		1,413.61	634 55	634 55	779 06	LT	
	18 Feb 2016	N	1		1,413 61	703 86	703.86	709 75	LT	
	07 Mar 2016	N	2		2,827 22	689 62	1 379 24	1,447 98	LT	
	07 May 2018	N	2		2,827 22	1,058 35	2,116 69	710 53	LT	
	28 Oct 2019	N	1		1 413 61	1 285 44	1,285.44	128 17	ST	
AMAZON.COM INC Symbol AMZN			20	2,758 82	55,176.40	877 77	17,555.38	37,621 02		– –
	12 Mar 2015	N	2		5,517 64	373 34	746 67	4 770 97	LT	
	26 Mar 2015	N	1		2,758 82	367 25	367 25	2 391 57	LT	
	08 Apr 2015	N	2		5,517 64	380 23	760 46	4 757 18	LT	
	13 Apr 2015	N	1		2,758 82	380 75	380 75	2 378 07	LT	
	01 Jul 2015	N	3		8 276 46	437 31	1,311 93	6 964 53	LT	
	31 Aug 2015	N	1		2 758 82	515 59	515 59	2,243 23	LT	
	30 Oct 2015	N	2		5,517 64	628 12	1,256 24	4,261 40	LT	
	18 Feb 2016	N	1		2,758 82	536 8	536 80	2 222 02	LT	
	07 Mar 2016	N	1		2,758 82	553 17	553 17	2,205 65	LT	
	28 Oct 2019	N	2		5,517 64	1 776 09	3 552 17	1 965 47	ST	
	30 Jan 2020	N	2		5,517 64	1 861 11	3 722 22	1,795 42	ST	
	27 Feb 2020	N	2		5,517 64	1 923 57	3 847 13	1 670 51	ST	
AMERICAN EXPRESS COMPANY EST YIELD 1 81%			82	95.2	7,806.40	113 83	9,333.75	(1,527.35)		– 141 04
Symbol AXP	19 Jul 2018	N	21		1 999 20	100 31	2 106 48	(107 28)	LT	
	20 Jul 2018	N	6		761 60	101 01	808 06	(46 46)	LT	
	20 May 2019	N	36		3 427 20	119 9	4 316 36	(889 16)	LT	
	11 Jun 2019	N	17		1,618 40	123 7	2 102 85	(484 45)	LT	

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Statement Period May 30 - June 30, 2020

Chase Strategic Portfolio (CSP)

EQUITIES (continued)

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
APPLE INC			128	364 8	**46,694.40**	121 31	15,527 28	31,167 12		–
EST YIELD 0 90%										419 84
Symbol AAPL	14 Jan 2015	N	5		1 824 00	109 04	545 20	1 278 80	LT	
	23 Jan 2015	N	6		2,188 80	112 76	676 56	1 512 24	LT	
	04 Feb 2015	N	12		4,377 60	120 12	1,441 44	2 936 16	LT	
	20 Feb 2015	N	13		4,742.40	128 98	1,676 74	3,065 66	LT	
	05 Mar 2015	N	15		5 472 00	126 76	1,901 40	3,570 60	LT	
	11 Mar 2015	N	8		2 918 40	123 25	986 00	1 932 40	LT	
	26 Mar 2015	N	10		3,648.00	124 4	1 244 00	2,404 00	LT	
	06 Apr 2015	N	6		2 188 80	127 14	762 84	1,425 96	LT	
	13 Apr 2015	N	9		3 283.20	126 86	1 141 72	2,141 48	LT	
	31 Aug 2015	N	14		5,107 20	113 04	1,582 58	3 524 62	LT	
	18 Feb 2016	N	9		3,283.20	97 03	873 27	2,409 93	LT	
	02 Feb 2017	N	21		7,660.80	128 36	2,695 53	4 965 27	LT	
ASML HOLDING N V			23	368 03	**8,464.69**	260.52	5,991 93	2,472 76		–
N Y REGISTRY SHS 2012 EST										51.36
YIELD 0 61%	10 Mar 2020	N	7		2 576 21	274 18	1 919 25	656 96	ST	
Symbol ASML	11 Mar 2020	N	7		2 576 21	265 91	1,861 39	714 82	ST	
	12 Mar 2020	N	9		3 312 27	245 7	2 211 29	1,100 98	ST	
AUTOMATIC DATA			63	148 89	**9,380.07**	147 42	9,287 27	92 80		–
PROCESSING INC EST YIELD										229 32
2 44%	22 Oct 2018	N	1		148 89	144 23	144 23	4 66	LT	
Symbol ADP	23 Oct 2018	N	27		4,020 03	141 49	3,820 36	199 67	LT	
	04 Dec 2018	N	10		1,488 90	145.86	1 458 58	30 32	LT	
	19 Aug 2019	N	9		1 340.01	168 83	1,519 51	(179 50)	ST	
	05 May 2020	N	16		2 382 24	146 54	2 344 59	37 65	ST	
BANK OF AMERICA CORP			386	23 75	**9,167.50**	19 52	7,533 86	1,633 64		–
EST YIELD 3 03%										277 92
Symbol BAC	18 Mar 2015	N	26		617 50	16 07	417 69	199 81	LT	
	25 Mar 2015	N	23		546 25	15 51	356 65	189 60	LT	
	06 Apr 2015	N	36		855 00	15 57	560 49	294 51	LT	
	31 Aug 2015	N	66		1 567 50	16.24	1 071 57	495 93	LT	
	18 Feb 2016	N	28		665 00	12 23	342 44	322 56	LT	

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Statement Period May 30 - June 30, 2020

EQUITIES (continued)

Chase Strategic Portfolio (CSP)

Description	Acquisition Date	Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss	Est. Accrued Inc. / Est. Annual Inc.
BANK OF AMERICA CORP								
	09 Nov 2016 N	121		2,872 73	17 94	2 170 80	702 95 LT	
	03 Feb 2018 N	86		2,042 50	30 4	2,614 22	(571 72) LT	
BIOGEN INC Symbol BIIB	13 Mar 2020 N	11	267 55	**2,943.05**	290 1	3,191 11	(248 06) ST	-- –
BLACKROCK INDEX FDS INC ISHARES MSCI EAFE INTL IDX		*21,099 29	12 37	**260,998 22**	12 91 A	272,488 29	(11,490 07)	- -- 9,768 97
K EST YIELD 3 74% DIV &	05 Jan 2016 N	170 91305		2,114 19	12 91	2 207 27	(93 08) LT	
CAP GAIN REINVEST	19 Jan 2016 N	1 231 34395		15,234 20	12 91	15 904 86	(670 66) LT	
Symbol BTMKX	16 Feb 2016 N	3 550 963		43,925 41	12 91	45 859 17	(1 933 76) LT	
	18 Feb 2016 N	412 171		5,102 27	12 91	5,326 89	(224 62) LT	
	13 Oct 2016 N	1,002 824		12 404 93	12.91	12 951 04	(546 11) LT	
	19 Oct 2016 N	567 066		7 014 61	12 91	7 323 41	(308 80) LT	
	03 Apr 2017 N	755 873		9,350 15	12 91	9,761 78	(411 63) LT	
	12 May 2017 N	4,983 767		61,649 20	12 91	64 363 22	(2 714 02) LT	
	28 Jun 2017 N	2 700 705		33 407 72	12 91	34 878 45	(1,470.73) LT	
	31 Aug 2017 N	824 86		10,203.52	12 91	10,652 71	(449 19) LT	
	23 Jul 2018 N	1 878 936		23 242 44	12 91	24 265 65	(1 023 21) LT	
	LT REINVEST N	2 327 526		28,791 62	12 91	30 059 13	(1,267 51) LT	
	ST REINVEST N	691 822		8,557 96	12.91	8 934 71	(376 75) ST	
Market Value vs. Purchases				**260,998.22**		**233,494.45**		
Investment Gain/Loss				**27,503.77**				
BOOKING HOLDINGS INC COMMON STOCK Symbol BKNG	26 Jun 2020 N	3	1,592 34	**4,777.02**	1,544 2	4,632 59	144 43 ST	-- --
BOSTON SCIENTIFIC CORP Symbol BSX		241	35 11	**8,461.51**	21 17	5,101 99	3,359 52	-- --
	15 Sep 2016 N	37		1,299 07	23 67	875 92	423 15 LT	
	05 Dec 2016 N	107		3 756 77	20 71	2 215 52	1,541 25 LT	
	06 Dec 2016 N	97		3 405 67	20 73	2 010 55	1,395 12 LT	

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STATEMENT SUMMARY	MANAGED	BROKERAGE	IMPORTANT INFORMATION

1825178011005007500008000000000

J.P.Morgan
CORPORATION (Acct # 840-25929)

YAO YUAN SZEFOUNDATION
JOAN SUN

Statement Period May 30 - June 30, 2020

Chase Strategic Portfolio (CSP)

EQUITIES (continued)

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
BRISTOL MYERS SQUIBB CO	21 Nov 2019		146	58 8	**8,584.80**	56 41	8,235 86	348 94	ST	–
EST YIELD 3 06%										262 80
Symbol BMY										
BROADCOM INC			48	315 61	**15,149.28**	133 41	6,403 82	8,745 46		–
COMMON STOCK EST YIELD										624 00
4 12%	05 Mar 2015	N	2		631 22	130 93	261 86	369 36	LT	
Symbol AVGO	11 Mar 2015	N	6		1,893 66	125 03	750 18	1,143 48	LT	
	26 Mar 2015	N	6		1 893 66	124 79	748 74	1 144 92	LT	
	26 Mar 2015	N	2		631 22	126 18	252 36	378 86	LT	
	06 Apr 2015	N	5		1,578 05	125 92	629 60	948 45	LT	
	06 Apr 2015	N	1		315 61	167 68	167 68	147,93	LT	
	31 Jul 2015	N	5		1 578 05	126 56	632 80	945 25	LT	
	31 Jul 2015	N	1		315 61	151 92	151 92	163 69	LT	
	31 Aug 2015	N	6		1 893 66	126 59	759 53	1 134 13	LT	
	31 Aug 2015	N	1		315 61	206 01	206 01	109 60	LT	
	07 Mar 2016	N	13		4,102 93	141 76	1 843 14	2,259 79	LT	
CHARTER COMMUNICATIONS			37	510 04	**18,871.48**	337 61	12,491 59	6,379 89		–
INC NEW CL A										–
Symbol CHTR	09 Feb 2016	N	2		1,020 08	173 74	347 47	672 61	LT	
	30 Mar 2016	N	5		2 550 20	214 64	1 223 22	1 326 98	LT	
	15 Dec 2016	N	6		3 050 24	291 25	1,747 49	1 312 75	LT	
	16 Dec 2016	N	7		3,570 28	289 34	2,025 41	1,544 87	LT	
	17 Apr 2018	N	5		2,550 20	311 89	1,559 47	990 73	LT	
	18 Apr 2018	N	2		1 020.08	316 41	632 81	387 27	LT	
	17 Apr 2020	N	10		5,100 40	495 57	4,955 72	144 68	ST	
CHUBB LTD			63	126 62	**7,977.06**	115 32	7,265 39	711 67		–
COM EST YIELD 2 46%										196 56
Symbol CB	09 Jul 2014	N	6		759 72	104 37	626 24	133 48	LT	
	14 Jan 2015	N	4		506 48	109 29	437 16	69 32	LT	
	28 Jan 2015	N	4		506 48	111 25	445 00	61 48	LT	
	04 Feb 2015	N	2		253,24	111 8	223 60	29 64	LT	
	20 Feb 2015	N	3		379 86	114 49	343 17	36 39	LT	
	05 Mar 2015	N	4		506 48	113 07	452.28	54 20	LT	
	11 Mar 2015	N	2		253 24	111 01	222 02	31 22	LT	

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J.P.Morgan
CORPORATION (Acct # 840-25929)

YAO YUAN SZEFOUNDATION
JOAN SUN

Statement Period May 30 - June 30, 2020

EQUITIES (continued)

Chase Strategic Portfolio (CSP)

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
CHUBB LTD										
	18 Mar 2015	N	2		253 24	114 83	229.76	23.48	LT	
	26 Mar 2015	N	3		379 86	111 56	334 68	45 18	LT	
	06 Apr 2015	N	3		379.86	111 78	335 31	44 52	LT	
	31 Aug 2015	N	7		886 34	101 52	710 66	175.63	LT	
	18 Feb 2016	N	5		633 10	116 15	580 75	52 35	LT	
	17 Aug 2016	N	4		506 48	126 06	504 24	2 24	LT	
	18 Aug 2016	N	11		1,392.82	127 03	1,397 31	(4 49)	LT	-
	31 Aug 2017	N	3		379 86	140 96	422 88	(13 02)	LT	
CITIGROUP INC			169	51 1	8,635 90	58 22	9,839 19	(1,203 29)		–
COM EST YIELD 3 99%										344 76
Symbol C	05 Mar 2015	N	6		306 60	53 56	321 36	(14 76)	LT	
	18 Mar 2015	N	12		613 20	53 89	646 68	(33 48)	LT	-
	06 Apr 2015	N	11		562 10	51 78	569 58	(7 48)	LT	
	22 Jul 2015	N	23		1 175 30	60 03	1,380 58	(205 28)	LT	
	31 Aug 2015	N	14		715 40	53 1	743 38	(27 98)	LT	-
	18 Feb 2016	N	6		306 60	38 87	233 22	73 38	LT	
	03 May 2017	N	36		1 839 60	59 84	2 154 31	(314 71)	LT	
	16 May 2017	N	61		3 117 10	62 13	3,790 08	(672 98)	LT	
COCA COLA COMPANY			117	44 68	5,227.56	44 36	5,190.25	37 31		–
(THE) EST YIELD: 3.67%										191 88
Symbol. KO	17 Apr 2018	N	19		848 52	44 89	852.89	(3 97)	LT	
	18 Apr 2018	N	31		1 385 08	44 52	1 380 23	4.85	LT	
	19 Apr 2018	N	45		2 010 60	44 23	1,990 18	20 42	LT	
	20 Apr 2018	N	22		982 95	43 95	966 95	16 01	LT	
COMCAST CORP			195	38 98	7,601.10	39.44	7,691.27	(90 17)		–
CL A EST YIELD: 2.36%										179.40
Symbol. CMCSA	22 Oct 2018	N	10		359 80	35 83	358 31	31 49	LT	
	30 Jan 2019	N	53		2 065 94	35 41	1,876 77	189 17	LT	
	15 Mar 2019	N	80		3 118 40	40 4	3 232 25	(113 85)	LT	
	14 May 2019	N	13		506 74	43	568 96	(52 22)	LT	
	15 May 2019	N	39		1,520 22	42 69	1 664 98	(144 76)	LT	

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STATEMENT SUMMARY	MANAGED	BROKERAGE	IMPORTANT INFORMATION

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J.P.Morgan

CORPORATION (Acct # 840-25929)

YAO YUAN SZEFOUNDATION
JOAN SUN

Statement Period May 30 - June 30, 2020

Chase Strategic Portfolio (CSP)

EQUITIES (continued)

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
DIAMONDBACK ENERGY INC			93	41 82	**3,889.26**	90 37	8,404 00	(4,514 74)		–
COM EST YIELD 3 59%										139 50
Symbol. FANG	16 May 2017	N	27		1,129.14	103 85	2 803 82	(1,674 68)	LT	
	08 Jan 2019	N	6		250 92	105 14	630 85	(379 93)	LT	
	09 Jan 2019	N	11		460 02	107 35	1 180 82	(720 80)	LT	
	10 Jan 2019	N	2		83 64	105 76	211 51	(127 87)	LT	
	20 Jan 2020	N	47		1 965 54	76 11	3 577 00	(1 611 46)	ST	
ELECTRONIC ARTS INC			45	132 05	**5,942.25**	85 82	3,862 00	2,080 25		–
Symbol EA										–
	27 Nov 2018	N	11		1,452 55	84 9	933 86	518 69	LT	
	28 Nov 2018	N	20		2,641 00	85 39	1,707 88	933 12	LT	
	29 Nov 2018	N	3		396 15	86 25	258 76	137 39	LT	
	30 Jan 2019	N	11		1 452 55	87 41	961 50	491 05	LT	
ELI LILLY & CO			78	164 18	**12,806.04**	78 96	6,158 84	6,647 20		–
EST YIELD. 1 80%										230 88
Symbol. LLY	07 Jul 2015	N	21		3,447 78	87 66	1 840 78	1 607 00	LT	
	08 Jul 2015	N	1		164 18	87 82	87 82	76.36	LT	
	31 Jul 2015	N	4		656 72	85 12	340 48	316 24	LT	
	31 Aug 2015	N	6		985 08	82 81	496 85	488 23	LT	
	07 Mar 2016	N	3		492 54	73 11	219 33	273 21	LT	
	07 Apr 2016	N	9		1 477 62	74 39	669.55	808 07	LT	
	08 Apr 2016	N	8		1 313 44	74 35	594 80	718 64	LT	
	16 Dec 2016	N	18		2,955 24	73.16	1 316 93	1,638 31	LT	
	19 Dec 2016	N	8		1 313 44	74 04	592 30	721 14	LT	
FACEBOOK INC			72	227 07	**16,349.04**	153 47	11,049 93	5,299 11		–
CL A										--
Symbol FB	31 Aug 2015	N	7		1,589 49	90 25	631 72	957 77	LT	
	19 Nov 2015	N	13		2 951 91	107 2	1,393 64	1 558 27	LT	
	18 Feb 2016	N	5		1 135 35	104 39	521 95	613 40	LT	
	07 Mar 2016	N	10		2 270 70	105 17	1 051.70	1 219 00	LT	
	30 Jun 2017	N	8		1 816 56	151 44	1 211 49	605 07	LT	
	03 Jan 2020	N	29		6,585 03	215 15	6 239 43	345 60	ST	

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CORPORATION (Acct # 840-25929)

YAO YUAN SZEFOUNDATION
JOAN SUN

Statement Period May 30 - June 30, 2020

EQUITIES (continued)

Chase Strategic Portfolio (CSP)

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost		Cost Basis	Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
FIDELITY NATIONAL			76	134 09	**10,190.84**	77 9		5,920 02	4,270 82		–
INFORMATION SERVICES INC											106 40
EST YIELD 1 04%	21 Jan 2015	N	8		1 072 72	62 77		502 16	570 56	LT	
Symbol FIS	26 Jan 2015	N	3		402 27	63 25		189 75	212.52	LT	
	04 Feb 2015	N	2		266 18	64 3		128 60	139 58	LT	
	20 Feb 2015	N	3		402 27	68 6		205 79	196 48	LT	
	05 Mar 2015	N	3		402 27	67 25		201.75	200 52	LT	
	11 Mar 2015	N	3		402 27	64 68		194 04	208 23	LT	
	26 Mar 2015	N	3		402 27	67 55		202 65	199 62	LT	
	06 Apr 2015	N	3		402 27	68 31		204 93	197 34	LT	
	10 Apr 2015	N	11		1 474 99	64 7		711 69	763 30	LT	
	13 Apr 2015	N	7		938 63	65 1		455 69	482 91	LT	
	14 Apr 2015	N	3		402 27	64 7		194 10	208 17	LT	
	31 Aug 2015	N	7		938 33	69 69		487 80	450 63	LT	
	18 Feb 2016	N	5		670 45	59 25		296 25	374 20	LT	
	31 Aug 2017	N	3		402 27	92 68		278 04	124 23	LT	
	19 Aug 2019	N	12		1,609 08	138.9		1,666.78	(57 70)	ST	
FIDELITY SALEM STR TR	27 Apr 2020	N	264 725	107 95	**28,577.06**	99 85	A	26,432 77	2,144 29	ST	–
FIDELITY 500 INDEX FUND EST											602 25
YIELD 2 11%											
Symbol FXAIX											
Market Value vs. Purchases					**28,577.06**			**26,432.77**			
Investment Gain/Loss					**2,144.29**						
FIDELITY SALEM STR TR			*1,085 919	38 29	**41,579.84**	40 85	A	44,364 72	(2,784 88)		–
INTERNATIONAL INDEX FUND											1,483 37
EST YIELD 3 57% DIV & CAP	04 Apr 2019	N	920 001		35,226 84	40 35		37,586 22	(2 359 38)	LT	
GAIN REINVEST	21 Nov 2019	N	49 186		1 883 41	40 65		2,009.55	(126.14)	ST	
Symbol FSPSX	LT REINVEST	N	8 737		334 54	40 85		356 95	(22 41)	LT	
	ST REINVEST	N	107 993		4,135 05	40 85		4,412 00	(276.95)	ST	
Market Value vs. Purchases					**41,579 84**			**39,595.77**			
Investment Gain/Loss					**1,984.07**						
FISERV INC			161	97 62	**15,716.82**	90 94		14,641 11	1,075 71		–
Symbol FISV											–
	25 Jan 2019	N	11		1,073 82	84 68		931 52	142 30	LT	

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CORPORATION (Acct # 840-25929)

YAO YUAN SZEFOUNDATION
JOAN SUN

Statement Period May 30 - June 30, 2020

Chase Strategic Portfolio (CSP)

EQUITIES (continued)

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
FISERV INC										
	28 Jan 2019	N	34		3 319 08	84 89	2,886 16	432 92	LT	
	29 Jan 2019	N	12		1 171 44	84 25	1 010.97	160 47	LT	
	15 May 2019	N	22		2 147 64	85 59	1 882 95	264 69	LT	
	17 Jun 2019	N	25		2 440 50	89 15	2,228 86	211 64	LT	
	19 Jul 2019	N	29		2,830 98	96 26	2,791 53	39 45	ST	
	30 Jul 2019	N	28		2,733 36	103 9	2,909 12	(175 76)	ST	
GENERAL DYNAMICS CORP			40	149 46	5,978.40	170 82	6,832 67	(854 27)		--
EST YIELD 2 94%										176 00
Symbol GD	15 Aug 2016	N	7		1 046 22	153 12	1 073 91	(27 69)	LT	
	16 Aug 2016	N	4		597 84	152 63	610 50	(12 66)	LT	
	17 Aug 2016	N	2		298 92	152 16	304 32	(5 40)	LT	
	10 Feb 2017	N	2		298 92	184 44	368 87	(69 95)	LT	
	13 Feb 2017	N	9		1 345 14	187 14	1 684 24	(339 10)	LT	
	31 Aug 2017	N	2		298 92	201 63	403 26	(104 34)	LT	
	13 Dec 2018	N	7		1,046 22	171 13	1 197 93	(151 71)	LT	
	14 Dec 2018	N	7		1 046 22	169 95	1,189 64	(143 42)	LT	
HOME DEPOT INC			57	250 51	14,279.07	113 38	6,462 60	7,816 47		--
EST YIELD 2 40%										342 00
Symbol HD	09 Jul 2014	N	3		751 53	80 32	240 96	510 57	LT	
	14 Jan 2015	N	4		1,002 04	102 52	410 08	591 96	LT	
	23 Jan 2015	N	3		751 53	105 45	316 35	435 18	LT	
	28 Jan 2015	N	2		501 02	106 64	213 28	287 74	LT	
	04 Feb 2015	N	3		751 53	108 26	324 78	426 75	LT	
	11 Feb 2015	N	3		751 53	109 92	329.76	421 77	LT	
	20 Feb 2015	N	3		751 53	111 56	334 68	416 85	LT	
	05 Mar 2015	N	4		1 002 04	115 91	463 64	538 40	LT	
	11 Mar 2015	N	4		1,002 04	113 31	453 24	548 80	LT	
	18 Mar 2015	N	3		751 53	115 74	347.22	404 31	LT	
	26 Mar 2015	N	4		1,002 04	112 83	451 32	550 72	LT	
	06 Apr 2015	N	3		751 53	115 36	346 08	405 45	LT	
	31 Aug 2015	N	9		2,254 59	117 14	1,054.30	1,200 29	LT	
	18 Feb 2016	N	6		1 503 06	121 03	726.18	776 88	LT	
	31 Aug 2017	N	3		751 53	150 24	450 73	300 80	LT	

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CORPORATION (Acct # 840-25929)

YAO YUAN SZEFOUNDATION
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Chase Strategic Portfolio (CSP)

EQUITIES (continued)

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
HONEYWELL INTL INC			94	144 59	13,591 46	98 14	9,225 18	4,366 28		–
EST YIELD 2 49%										338 40
Symbol HON	09 Jul 2014	N	24		3,470 16	89 87	2 156 93	1 313 23	LT	
	14 Jan 2015	N	5		722 95	93 75	468 75	254 20	LT	
	28 Jan 2015	N	7		1,012 13	97 22	680 53	331 60	LT	
	04 Feb 2015	N	4		578 36	96 56	386.24	192 12	LT	
	20 Feb 2015	N	6		867 54	100 46	602 74	264 80	LT	
	05 Mar 2015	N	7		1,012 13	98 59	690 16	321 97	LT	
	11 Mar 2015	N	5		722 95	96 41	482 03	240 92	LT	
	26 Mar 2015	N	7		1 012 13	97 75	684 27	327 86	LT	
	06 Apr 2015	N	5		722 95	100 11	500 56	222 39	LT	
	31 Jul 2015	N	4		578 36	100 53	402 13	176 23	LT	
	31 Aug 2015	N	8		1,156 72	95 45	763 62	393 10	LT	
	18 Feb 2016	N	6		867 54	101 92	611.51	256 03	LT	
	31 Aug 2017	N	6		867 54	132 62	795 71	71 83	LT	
INTERCONTINENTAL EXCHANGE			38	91 6	3,480.80	58 86	2,236.54	1,244.26		–
INC EST YIELD 1 31%										45 60
Symbol ICE	01 Feb 2017	N	11		1,007 60	58 47	643 21	364 39	LT	
	02 Feb 2017	N	25		2 290 00	58 56	1 463 89	826 11	LT	
	31 Aug 2017	N	2		183 20	34 72	129 44	53 76	LT	
INTUIT INC			28	296.19	8,293.32	275 38	7,710.75	582.57		–
EST YIELD 0 72%										59 36
Symbol INTU	27 Feb 2020	N	5		1 480 95	273 55	1 367 73	113 22	ST	
	02 Mar 2020	N	13		3 850 47	272 80	3 546 51	303 96	ST	
	06 May 2020	N	4		1,184 76	276 65	1,106 58	78 18	ST	
	07 May 2020	N	6		1,777 14	281 64	1 689 83	87 31	ST	
ISHARES INC			709	54.92	38,938.28	51 85	36,760.60	2,177.68		–
ISHARES MSCI JAPAN ETF EST										821.02
YIELD 2 11%	15 Aug 2016	N	459		25,208 28	49 6	22,764 97	2,443 31	LT	
Symbol EWJ	13 Oct 2016	N	12		659 04	51 7	620 38	38 66	LT	
	19 Oct 2016	N	29		1,592 62	50 49	1,464 10	128 58	LT	
	03 Apr 2017	N	88		4 832 96	51 58	4 538 60	294 36	LT	
	31 Aug 2017	N	22		1 208 24	54 53	1,200 76	7 48	LT	
	03 Jan 2018	N	99		5 437 08	62 34	6,171 79	(734 71)	LT	

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Chase Strategic Portfolio (CSP)

EQUITIES (continued)

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
J P MORGAN EXCHANGE			3,503	22 61	**79,202.83**	24 51	85,849 61	(6,646 78)		–
TRADED FD TR BETABUILDERS										2,262 94
CDA ETF EST YIELD· 2 86%	17 Aug 2018	N	1,551		35 068 11	24 69	38,294 19	(3 226 08)	LT	
Symbol BBCA	20 Sep 2018	N	317		7 167 37	24 84	7 874 28	(706 91)	LT	
	04 Apr 2019	N	1,622		36 673 42	24 26	39 349 56	(2,676 14)	LT	
	21 Nov 2019	N	13		293 93	25 51	331 58	(37 65)	ST	
J P MORGAN EXCHANGE			1,607	22 4	**35,996.80**	25 14	40,400 92	(4,404 12)		- –
TRADED FD TR BETABUILDERS										1 359 52
DEVELOPED ASIA EX JAPAN	17 Aug 2018	N	1 513		33 891 20	25 14	38 036 82	(4 145 62)	LT	
ETF EST YIELD· 3 78%	21 Nov 2019	N	94		2 105 60	25 15	2 364.10	(258 50)	ST	
Symbol BBAX										-
J P MORGAN EXCHANGE			8,871	22 17	**196,670.07**	24 04	213,297 49	(16,627 42)		- –
TRADED FD TR BETABUILDERS										4,754 86
EUROPE ETF EST YIELD	19 Feb 2019	N	1,295		28 710 15	23 24	30,094 89	(1 384 74)	LT	
2 42%	04 Apr 2019	N	3,071		68,084 07	24 11	74,041 81	(5,957 74)	LT	
Symbol BBEU	11 Feb 2020	N	1,753		38 864 01	25 63	44 929 39	(6,065 38)	ST	-
	08 Jun 2020	N	2 752		61,011 84	23 34	64,231 40	(3,219 56)	ST	
J P MORGAN EXCHANGE			1,750	22 93	**40,127.50**	23 97	41,952 12	(1,824 62)		–
TRADED FD TR BETABUILDERS										990 50
JAPAN ETF EST YIELD· 2 47%	23 Jul 2018	N	17		389,81	24 24	412 11	(22 30)	LT	
Symbol BBJP	08 Jun 2020	N	1,733		39 737 69	23 97	41 540 01	(1 802 32)	ST	
KEYCORP NEW			335	12 18	**4,080.30**	14 27	4,779 67	(699 37)		--
EST YIELD 6 08%										247 90
Symbol KEY	02 Mar 2016	N	16		194 88	11 24	179 85	15 03	LT	
	03 Mar 2016	N	21		255 78	11 42	239 87	15 91	LT	
	04 Mar 2016	N	6		73 08	11 62	69 71	3 37	LT	
	08 Mar 2016	N	21		255 78	11 15	234 06	21 72	LT	
	10 Mar 2016	N	5		60 90	10 88	54 40	6 50	LT	
	15 Mar 2016	N	32		389 76	11 1	355.25	34 51	LT	
	17 Mar 2016	N	3		36 54	11 12	33 35	3 19	LT	
	18 Mar 2016	N	3		36 54	11 36	34 09	2 45	LT	
	24 Mar 2016	N	11		133.98	11 18	123 01	10 97	LT	
	14 Apr 2016	N	18		219 24	11 73	211 10	8 14	LT	

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J.P.Morgan
CORPORATION (Acct # 840-25929)

YAO YUAN SZEFOUNDATION
JOAN SUN

Statement Period May 30 - June 30, 2020

Chase Strategic Portfolio (CSP)

EQUITIES (continued)

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
KEYCORP NEW										
	15 Apr 2016	N	8		97 44	11 69	93 48	3 96	LT	
	18 Apr 2016	N	36		438 48	11 78	424 14	14 34	LT	
	19 Apr 2016	N	17		207 06	11 97	203 47	3 59	LT	
	20 Apr 2016	N	3		36 54	12 08	36 25	0 29	LT	
	28 Sep 2016	N	1		12.16	12 07	12 07	0.11	LT	
	10 Oct 2018	N	56		682 08	20 3	1,136 66	(454 58)	LT	
	23 Oct 2018	N	78		950 04	17 17	1 338 91	(388 87)	LT	-
LINDE PLC			89	212 11	**18,877.79**	172 5	15,352 32	3,525 47		--
ORDINARY SHARE EST YIELD										342 83
1 82%	11 Dec 2018	N	5		1 060 55	158 16	790 82	269 73	LT	
Symbol LIN	12 Dec 2018	N	21		4 454 31	160 5	3,370 56	1,083.75	LT	-
	08 Jan 2019	N	13		2,757 43	158.06	2,054 78	702 65	LT	
	09 Apr 2019	N	7		1,484 77	183 34	1,283.39	201 38	LT	
	10 Apr 2019	N	10		2,121.10	182 98	1 829 79	291 31	LT	
	26 Apr 2019	N	7		1,484 77	177 46	1,242 22	242 55	LT	-
	29 Apr 2019	N	9		1 908 99	177 77	1 599.94	309 05	LT	
	21 May 2019	N	6		1 272 66	187 07	1,122 39	150 27	LT	
	13 Aug 2019	N	11		2,333 21	187 13	2,058 43	274 78	ST	
LOWES COMPANIES INC			88	135 12	**11,890.56**	77 64	6,832 10	5,058 46		--
EST YIELD 1 63%										193 60
Symbol LOW	04 Feb 2015	N	2		270 24	70 73	141 46	128 78	LT	
	11 Feb 2015	N	5		675 60	71 29	356.45	319 15	LT	
	20 Feb 2015	N	4		540 48	72 99	291 96	248 52	LT	
	05 Mar 2015	N	7		945 84	74 74	523.18	422 66	LT	
	11 Mar 2015	N	6		810 72	73 42	440 52	370 20	LT	
	18 Mar 2015	N	4		540 48	74 33	297 32	243 16	LT	
	26 Mar 2015	N	8		1 080 96	73 3	586 40	494 56	LT	
	13 Apr 2015	N	8		1 080 96	74 11	592 88	488 08	LT	
	31 Aug 2015	N	8		1,080 96	69,32	554.56	526 40	LT	
	18 Feb 2016	N	10		1,351 20	67 98	679 80	671 40	LT	
	31 Aug 2017	N	6		810 72	74 29	445,71	365 01	LT	
	17 Apr 2020	N	20		2 702 40	96 09	1 921 86	780 54	ST	

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EQUITIES (continued)

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
MASTERCARD INCORPORATED			66	295 7	**19,516 20**	92 25	6,088 24	13,427 96		–
EST YIELD 0 54%										105 60
Symbol MA	23 Jan 2015	N	5		1,478 50	82 13	410 65	1,067 85	LT	
	04 Feb 2015	N	2		591 40	84 42	168 84	422 56	LT	
	11 Feb 2015	N	3		887 10	84 25	252 75	634 35	LT	
	20 Feb 2015	N	2		591 40	90 71	181 42	409 98	LT	
	05 Mar 2015	N	6		1 774 20	92.52	555 12	1 219 08	LT	
	11 Mar 2015	N	5		1 478 50	87 45	437 25	1,041 25	LT	
	26 Mar 2015	N	4		1 182 60	87 98	351 92	830 88	LT	
	06 Apr 2015	N	5		1 478 50	87 12	435 60	1,042 90	LT	
	22 Apr 2015	N	12		3 548 40	91 98	1 103 78	2 444 62	LT	
	31 Jul 2015	N	5		1 478 50	97 57	487 85	990 65	LT	
	31 Aug 2015	N	7		2 069 90	92 64	648 50	1 421 40	LT	
	18 Feb 2016	N	6		1 774 20	86 78	520 68	1,253 52	LT	
	31 Aug 2017	N	4		1 182 80	133 47	533 88	648 92	LT	
MCDONALDS CORP			28	184 47	**5,165.16**	203 9	5,709 31	(544 15)		–
EST YIELD 2 71%										140 00
Symbol MCD	11 Jun 2019	N	14		2,582 58	202 75	2 838 43	(255.85)	LT	
	12 Jun 2019	N	14		2 582 58	205 06	2,870 88	(288 30)	LT	
MERCK & CO INC			135	77 33	**10,439.55**	69 13	9,333 16	1,106 39		–
EST YIELD 3 16%										329 40
Symbol MRK	05 Aug 2016	N	14		1,082 62	62 77	878 79	203 83	LT	
	12 Aug 2016	N	14		1 082 62	63 26	885 57	197.05	LT	
	15 Aug 2016	N	37		2 861 21	63 6	2,353 26	507 95	LT	
	04 Oct 2017	N	29		2,242 57	64 54	1,871 63	370 94	LT	
	15 Mar 2019	N	27		2 087.91	81 71	2 206 24	(118 33)	LT	
	18 Mar 2019	N	14		1,082 62	81 26	1,137 67	(55 05)	LT	
MICROSOFT CORP			302	203 51	**61,460.02**	46.19	13,949 75	47,510 27		–
EST YIELD 1 00%										616 08
Symbol MSFT	09 Jul 2014	N	8		1,628 02	41 71	333 68	1 294 40	LT	
	14 Jan 2015	N	12		2 442 12	45 94	551 28	1,890 84	LT	
	23 Jan 2015	N	7		1,424 57	47 02	329 14	1,095 43	LT	
	28 Jan 2015	N	12		2 442 12	42 24	506 86	1,935 26	LT	
	04 Feb 2015	N	7		1 424 57	41 82	292.74	1,131 83	LT	

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EQUITIES (continued)

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
MICROSOFT CORP										
	11 Feb 2015	N	7		1,424 57	42 26	295 82	1 128 75	LT	
	13 Feb 2015	N	40		8 140 40	43 61	1 744 29	6,396 11	LT	
	05 Mar 2015	N	21		4,884 24	43 14	1 035 36	3,848 88	LT	
	11 Mar 2015	N	13		2,645 63	42 02	546 26	2 099 37	LT	
	26 Mar 2015	N	21		4 273 71	41 4	869 40	3,404 31	LT	
	13 Apr 2015	N	18		3 663 18	41 77	751 86	2,911 32	LT	
	31 Aug 2015	N	29		5 901 79	43 53	1,262 50	4 639 29	LT	-
	19 Nov 2015	N	16		3 256 16	54 36	869 73	2 386 43	LT	
	03 Dec 2015	N	38		7,733 38	54 15	2 057.53	5 675 85	LT	
	08 Feb 2016	N	26		5 291 26	49 32	1,282 42	4,008 84	LT	-
	07 Mar 2016	N	24		4,884 24	50 87	1 220 88	3 663 36	LT	
MONDELEZ INTERNATIONAL INC COM EST YIELD 2.23% Symbol MDLZ			161	51.13	8,231.93	40 04	6,446.07	1,785 86		- – / 183 54
	23 Jan 2015	N	4		204 52	36 59	146 36	58 16	LT	
	28 Jan 2015	N	4		204 52	35 76	143 04	61 48	LT	-
	04 Feb 2015	N	4		204 52	35 68	142 72	61 80	LT	
	20 Feb 2015	N	8		409 04	36 49	291 92	117 12	LT	
	05 Mar 2015	N	7		357 91	35 93	251 51	106 40	LT	
	11 Mar 2015	N	6		306 78	33 82	202.92	103 86	LT	
	26 Mar 2015	N	10		511 30	34 77	347 70	163 60	LT	
	13 Apr 2015	N	6		306 78	36 83	220 98	85 80	LT	
	31 Aug 2015	N	11		562 43	41 88	460 66	101 77	LT	
	16 Feb 2016	N	9		460 17	39 58	356 22	103 95	LT	
	24 Feb 2016	N	35		1 789 55	40 03	1 400 94	388 61	LT	
	27 May 2016	N	14		715 82	44 32	620 44	95 38	LT	
	31 May 2016	N	27		1,380 51	44 15	1 192 06	188 45	LT	
	01 Jun 2016	N	4		204 52	44 3	177 20	27 32	LT	
	31 Aug 2017	N	12		613 56	40 95	491 40	122 16	LT	
MORGAN STANLEY EST YIELD 2 90% Symbol MS			273	48.3	13,185.90	34.52	9,424.12	3,761 78		– / 382.20
	14 Jan 2015	N	12		579 60	35 03	420 36	159 24	LT	
	26 Jan 2015	N	13		627 90	34 48	448 24	179 66	LT	
	04 Feb 2015	N	7		338 10	34 81	243 67	94 43	LT	
	06 Feb 2015	N	8		386 40	36 1	288.78	97 62	LT	

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YAO YUAN SZEFOUNDATION
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EQUITIES (continued)

Chase Strategic Portfolio (CSP)

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
MORGAN STANLEY										
	11 Feb 2015	N	9		434.70	36.26	326.30	108.40	LT	
	20 Feb 2015	N	8		386.40	36.57	292.56	93.84	LT	
	05 Mar 2015	N	12		579.60	35.38	424.56	155.04	LT	
	11 Mar 2015	N	11		531.30	34.99	384.89	146.41	LT	
	26 Mar 2015	N	15		724.50	35.64	534.60	189.90	LT	
	06 Apr 2015	N	14		676.20	36.11	505.54	170.66	LT	
	04 Jun 2015	N	17		821.10	38.77	659.16	161.94	LT	
	05 Jun 2015	N	22		1,062.60	39.24	863.18	199.42	LT	
	31 Aug 2015	N	17		821.10	34.65	589.12	231.98	LT	
	02 Dec 2015	N	43		2,076.90	34.87	1,499.55	577.35	LT	
	25 Feb 2016	N	41		1,980.30	24.56	1,007.16	973.14	LT	
	19 Oct 2016	N	12		579.60	32.55	390.57	189.03	LT	
	31 Aug 2017	N	12		579.60	45.49	545.88	33.72	LT	
NETFLIX COM INC			20	455.04	**9,100.80**	367.38	7,347.67	1,753.13		–
Symbol NFLX										–
	13 Sep 2018	N	13		5,915.52	373.37	4,853.77	1,061.75	LT	
	17 May 2019	N	7		3,185.28	356.27	2,493.90	691.38	LT	
NEXTERA ENERGY INC			48	240.17	**11,528.16**	163.6	7,852.63	3,675.53		–
EST YIELD 2.33%										268.80
Symbol: NEE	19 Oct 2016	N	20		4,803.40	124.79	2,495.84	2,307.56	LT	
	19 Jul 2018	N	5		1,200.85	170.64	853.21	347.64	LT	
	20 Jul 2018	N	12		2,882.04	169.05	2,028.59	853.45	LT	
	06 May 2020	N	9		2,161.53	225.07	2,025.60	135.93	ST	
	07 May 2020	N	2		480.34	224.7	449.39	30.95	ST	
NORFOLK SOUTHERN CORP			60	175.57	**10,534.20**	140.09	8,405.16	2,129.04		--
EST YIELD 2.14%										225.60
Symbol NSC	31 Jan 2017	N	5		877.85	118.04	590.19	287.66	LT	
	01 Feb 2017	N	3		526.71	118.2	354.59	172.12	LT	
	21 Nov 2017	N	3		526.71	129.1	387.29	139.42	LT	
	22 Nov 2017	N	4		702.28	129.37	517.48	184.80	LT	
	24 Nov 2017	N	9		1,580.13	130.37	1,173.32	406.81	LT	
	09 Mar 2018	N	5		877.85	145.35	726.75	151.10	LT	
	12 Mar 2018	N	8		1,404.56	144.68	1,157.45	247.11	LT	

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Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
NORFOLK SOUTHERN CORP										
	15 May 2018	N	4		702 28	150 5	601 98	100.30	LT	
	16 May 2018	N	6		1 053 42	151 15	906 87	146 55	LT	
	17 May 2018	N	13		2 282 41	153 02	1 989 24	293 17	LT	
NORTHROP GRUMMAN CORP			37	307 44	11,375.28	287 78	10,647 75	727 53		–
EST YIELD 1 89%										214 60
Symbol NOC	19 Sep 2016	N	4		1 229.76	211 58	846 33	383 43	LT	
	26 Sep 2017	N	6		1,844 64	283 68	1 702 10	142 54	LT	
	27 Sep 2017	N	3		922 32	286 39	859 17	63 15	LT	
	11 Oct 2017	N	8		2,459 52	294 86	2,358 87	100 65	LT	
	12 Oct 2017	N	3		922.32	297 64	892 93	29 39	LT	
	21 May 2019	N	6		1,844 64	311 08	1,884.46	(39 82)	LT	
	25 Jun 2020	N	7		2 152 08	300 56	2,103 89	48 19	ST	
NVIDIA CORP			39	379 91	14,816.49	225 46	8,793 12	6,023 37		–
EST YIELD 0 17%										24 96
Symbol NVDA	04 Oct 2017	N	16		6 078 56	180 12	2,881 93	3 196 63	LT	
	23 Jul 2018	N	11		4 179 01	248 09	2 729 01	1,450 00	LT	
	09 Oct 2018	N	12		4,558 92	265 18	3,182 18	1,376 74	LT	
NXP SEMICONDUCTORS N V			62	114 04	7,070.48	102 33	6,344 59	725 89		–
EST YIELD. 1.32%										93 00
Symbol NXPI	22 Feb 2019	N	21		2,394 84	94 61	1 986 72	408 12	LT	
	25 Feb 2019	N	32		3,649.28	97.77	3,128 66	520 62	LT	
	23 Jan 2020	N	7		798 28	136 57	956 01	(157 73)	ST	
	24 Jan 2020	N	2		228.08	136 6	273 20	(45 12)	ST	
O REILLY AUTOMOTIVE INC			18	421 67	7,590.06	286 36	5,154 39	2,435 67		–
Symbol ORLY										–
	05 May 2017	N	5		2 108 35	251 89	1,259 45	848 90	LT	
	08 May 2017	N	4		1 686 68	253 62	1,014 47	672 21	LT	
	09 May 2017	N	1		421 67	253 28	253 28	168 39	LT	
	31 Aug 2017	N	2		843 34	196 1	392 20	451 14	LT	
	17 Apr 2020	N	6		2 530 02	372 5	2 234 99	295 03	ST	

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Chase Strategic Portfolio (CSP)

EQUITIES (continued)

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
PAYPAL HOLDINGS INC			147	174 23	**25,611.81**	98 03	14,410 37	11,201.44		–
COM										–
Symbol PYPL	29 Jan 2018	N	33		5,719 59	84 43	2,786 89	2,962 70	LT	
	30 Jan 2018	N	1		174 23	83 43	83 43	90 80	LT	
	27 Jul 2018	N	12		2,090 76	87 37	1 048 38	1 042 38	LT	
	09 Oct 2018	N	8		1,393 84	80 05	640.43	753 41	LT	
	25 Jan 2019	N	35		6,098 05	94 29	3,300 00	2,793 05	LT	
	06 Mar 2020	N	46		8 014 58	109 37	5,030 95	2,983 63	ST	
	05 May 2020	N	12		2,090 76	126 69	1,520 29	570 47	ST	
PEPSICO INC			89	132 26	**11,771.14**	99 92	8,893 19	2,877 95		–
COMMON STOCK EST YIELD										-364 01
3 09%	04 Feb 2015	N	1		132 26	97 03	97 03	35 23	LT	
Symbol PEP	20 Feb 2015	N	3		396.78	98 88	296 64	100 14	LT	
	03 Mar 2015	N	2		264 52	97 35	194 70	69 82	LT	
	11 Mar 2015	N	2		264 52	93 92	187 84	76 68	LT	
	26 Mar 2015	N	3		396 78	95 73	287 19	109 59	LT	
	06 Apr 2015	N	2		264 52	96 92	193 84	70 68	LT	
	31 Jul 2015	N	2		264 52	96 35	192 70	71 82	LT	
	31 Aug 2015	N	3		396 78	92 92	278 77	118 01	LT	
	29 Oct 2015	N	9		1 190 34	102 78	925 05	265 29	LT	
	30 Oct 2015	N	5		661 30	102 89	514 47	146 83	LT	
	17 Feb 2016	N	5		661 30	99 55	497 77	163 53	LT	
	18 Feb 2016	N	8		1,058 08	99 53	796 26	261 82	LT	
	24 Feb 2016	N	16		2,116 16	99 32	1 589 07	527 09	LT	
	31 Aug 2017	N	3		396.78	115 25	345 74	51 04	LT	
	22 May 2018	N	24		3,174 24	99 84	2,396 27	777 97	LT	
	23 May 2018	N	1		132.26	99 85	99 85	32 41	LT	
PFIZER INC			329	32 7	**10,758.30**	34 91	11,485 37	(727 07)		–
EST YIELD 4 65%										500 08
Symbol PFE	27 Jul 2015	N	7		228 90	34 31	240 14	(11 24)	LT	
	28 Jul 2015	N	57		1,863 90	34 93	1 990 75	(126 85)	LT	
	31 Aug 2015	N	25		817 50	32 36	809 09	8 41	LT	
	05 Jul 2016	N	55		1 798 50	35 86	1,972.53	(174 03)	LT	
	09 Nov 2016	N	69		2 256 30	32 5	2 242 50	13 80	LT	

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Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
PFIZER INC										
	03 Jan 2017	N	75		2 452 50	32 97	2 472 98	(20 48)	LT	
	30 Oct 2018	N	41		1,340 70	42 86	1,757 38	(416 68)	LT	
PHILIP MORRIS INTERNATIONAL INC EST YIELD 6 68% Symbol PM			46	70 06	3,222.76	91 63	4,214 89	(992 13)		-- / 215 28
	02 Aug 2017	N	3		210 18	114 98	344 94	(134 76)	LT	
	25 Oct 2018	N	36		2,522 16	90 13	3,244 83	(722 67)	LT	-
	26 Oct 2018	N	7		490 42	89 3	625 12	(134 70)	LT	
PIONEER NATURAL RESOURCES COMPANY EST YIELD 2 25% Symbol PXD			75	97 7	7,327.50	121 04	9,077 71	(1,750 21)		-- / 165 00
	09 Dec 2015	N	3		293 10	143 5	430 50	(137 40)	LT	
	12 Feb 2016	N	8		781 60	113 99	911 89	(130 29)	LT	-
	23 Mar 2016	N	9		879.30	137 44	1,237 00	(357 70)	LT	
	30 Mar 2016	N	3		293 10	140 92	422 76	(129 66)	LT	
	31 Mar 2016	N	6		586 20	139 77	838 60	(252 40)	LT	-
	07 Nov 2016	N	5		488 50	173 19	865 93	(377 43)	LT	
	08 Nov 2016	N	5		488 50	173 89	869 46	(380 96)	LT	
	24 Dec 2018	N	10		977 00	123 17	1,231 66	(254 66)	LT	
	29 Apr 2020	N	15		1,465 50	86 61	1 293 18	156 32	ST	
	30 Apr 2020	N	11		1,074 70	88.25	970 71	103 99	ST	
PROCTER & GAMBLE CO EST YIELD: 2 65% Symbol PG			75	119 57	8,967.75	108 09	8,106.91	860.84		-- / 237 23
	06 Jun 2019	N	31		3 706 67	107 28	3 325 55	381 12	LT	
	07 Jun 2019	N	27		3,228 39	108 93	2 941 01	287 38	LT	
	10 Jun 2019	N	17		2,032 69	108 26	1,843 35	192 34	LT	
SALESFORCE.COM INC Symbol. CRM			94	187 33	17,609 02	142 96	13,438.43	4,170.59		-- / --
	17 Apr 2018	N	17		3,184.61	123 11	2 092 88	1 091 73	LT	
	18 Apr 2018	N	16		2 997.28	121 73	1,987 67	1 009 61	LT	
	09 Oct 2018	N	13		2 435 29	148 31	1 927 98	507 31	LT	
	10 Oct 2018	N	10		1,873.30	143 31	1,433 10	440 20	LT	
	28 Oct 2019	N	23		4,308 59	154 14	3 545 26	763 30	ST	

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J.P.Morgan

CORPORATION (Acct # 840-25929)

YAO YUAN SZEFOUNDATION
JOAN SUN

Statement Period May 30 - June 30, 2020

Chase Strategic Portfolio (CSP)

EQUITIES (continued)

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
SALESFORCE.COM INC										
	05 May 2020	N	11		2,060 63	163 18	1 794 95	265 68	ST	
	06 May 2020	N	4		749 32	164 15	656 59	92 73	ST	
SPDR S&P 500 ETF TRUST			1,043	308 36	321,619.48	197 65	206,149 32	115,470 16		--
EST YIELD· 1.86%										5,971 18
Symbol SPY	06 Apr 2015	N	56		17 268 16	208 11	11,654 15	5 614 01	LT	
	13 Apr 2015	N	16		4,933 76	209 59	3 353 47	1,580 29	LT	
	31 Jul 2015	N	11		3,391 96	211 11	2 322 21	1 069 75	LT	
	31 Aug 2015	N	18		5,550 48	198 1	3 565 77	1,984 71	LT	
	16 Sep 2015	N	10		3 083 60	199 54	1 995 40	1 088 20	LT	
	05 Jan 2016	N	2		616 72	201 38	402 76	213 96	LT	
	16 Feb 2016	N	702		216,468 72	189 29	132,882 91	83,585 81	LT	
	15 Aug 2016	N	228		70,306 08	219 18	49,972 65	20,333 43	LT	
STANLEY BLACK & DECKER			53	139 38	7,387.14	96 27	5,102 37	2,284 77		--
INC EST YIELD· 1.98%										146 28
Symbol· SWK	19 Nov 2015	N	1		139 38	107 26	107 26	32 12	LT	
	20 Nov 2015	N	2		278 76	107 73	215 45	63.31	LT	
	23 Nov 2015	N	3		418 14	107 87	323 62	94 52	LT	
	11 Feb 2016	N	5		696.90	90 02	450 09	246 81	LT	
	12 Feb 2016	N	6		836.28	91 68	550 06	286 22	LT	
	16 Feb 2016	N	21		2,926 98	93 05	1,954 02	972.96	LT	
	17 Feb 2016	N	2		278 76	93 08	186 15	92 61	LT	
	18 Feb 2016	N	8		1 115 04	92 89	743 08	371 96	LT	
	07 Mar 2016	N	3		418 11	98 75	296 78	121.86	LT	
	23 Jul 2018	N	2		278 76	138 18	276 36	2 40	LT	
TEXAS INSTRUMENTS			131	126 97	16,633 07	79 09	10,360 15	6,272 92		--
INCORPORATED EST YIELD										471 60
2 84%	08 Feb 2016	N	4		507 58	50 05	200 19	307 69	LT	
Symbol TXN	18 Feb 2016	N	2		253 94	53 39	106 78	147.16	LT	
	10 Mar 2016	N	76		9,649 72	55 01	4 180 60	5,469 12	LT	
	20 Feb 2019	N	19		2,412 43	107 88	2,049 78	362 65	LT	
	21 Feb 2019	N	1		126 97	106 97	106 97	20 00	LT	
	23 Jan 2020	N	14		1,777.58	133 34	1 866 78	(89 20)	ST	
	26 Jun 2020	N	15		1,904 55	123 27	1,849 05	55.50	ST	

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J.P.Morgan
CORPORATION (Acct # 840-25929)

YAO YUAN SZEFOUNDATION
JOAN SUN

Statement Period May 30 - June 30, 2020

EQUITIES (continued)

Chase Strategic Portfolio (CSP)

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
THERMO FISHER SCIENTIFIC			30	362 34	10,870.20	336 88	10,106 34	763 86		--
INC EST YIELD 0 24%										26 40
Symbol: TMO	11 Jan 2020	N	6		2 174 04	332 26	1,993 58	180 46	ST	
	15 Jan 2020	N	6		2 174 04	335 49	2 012 96	161 08	ST	
	16 Jan 2020	N	4		1 449 36	335 86	1 343 45	105 91	ST	
	17 Jan 2020	N	1		362 34	337 36	337 36	24 98	ST	
	17 Apr 2020	N	4		1,449 36	330 71	1,322 85	126 51	ST	
	20 Apr 2020	N	3		1,087 02	328 77	986 31	100 71	ST	
	26 Jun 2020	N	6		2,174 04	351 64	2,109 53	64 21	ST	
UNION PACIFIC CORP			73	169 07	12,342.11	109 6	8,001 07	4,341 04		--
EST YIELD 2 29%										283 24
Symbol UNP	30 Sep 2015	N	3		507 21	87 41	262 24	244 97	LT	
	08 Oct 2015	N	14		2 366 98	96 56	1,351 77	1,015 21	LT	
	18 Feb 2016	N	3		507 21	79.09	237 27	269 94	LT	
	18 May 2017	N	36		6,086 52	107 63	3,874 69	2 211 83	LT	
	22 Feb 2018	N	10		1,690 70	133 51	1,335 09	355.61	LT	
	23 Feb 2018	N	7		1,183 49	134 29	940.01	243 48	LT	
UNITEDHEALTH GROUP INC			83	294 95	24,480.85	118 49	9,834 77	14,646.08		--
EST YIELD 1 70%										415.00
Symbol UNH	09 Jul 2014	N	2		589 90	82 14	164 28	425 62	LT	
	14 Jan 2015	N	6		1 769 70	102 25	613 50	1,156 20	LT	
	23 Jan 2015	N	3		884 85	112 42	337 26	547 59	LT	
	28 Jan 2015	N	4		1,179 80	109 91	439 64	740 16	LT	
	04 Feb 2015	N	3		884.85	107.92	323 76	561 09	LT	
	20 Feb 2015	N	5		1 474.75	112 37	561 85	912 90	LT	
	05 Mar 2015	N	6		1 769 70	114 94	689 64	1,080.06	LT	
	11 Mar 2015	N	4		1,179 80	113 24	452 96	726 84	LT	
	26 Mar 2015	N	8		2 359 60	116 5	932 00	1,427 60	LT	
	06 Apr 2015	N	5		1,474 75	118	590 00	884 75	LT	
	31 Aug 2015	N	6		1,769.70	116 72	700 32	1 069.38	LT	
	23 Oct 2015	N	5		1 474 75	115 2	575 96	898 77	LT	
	18 Feb 2016	N	5		1,474 75	118 28	591 38	883 37	LT	
	15 Sep 2016	N	13		3 834 35	135 36	1,759 73	2,074 62	LT	
	16 Sep 2016	N	8		2,359 60	137 81	1 102 47	1 257 13	LT	

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J.P.Morgan

CORPORATION (Acct # 840-25929)

YAO YUAN SZEFOUNDATION
JOAN SUN

Statement Period May 30 - June 30, 2020

Chase Strategic Portfolio (CSP)

EQUITIES (continued)

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost		Cost Basis	Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
VANGUARD INDEX TR			*1,757 076	286 09	**502,681 87**	248 52	A	436,659.79	66,022.08		--
VANGUARD 500 INDEX FD											9,400 36
ADMIRAL EST YIELD 1 87%	13 [illegible] 2016	N	20 992		6 005 60	2[illegible]0 5[illegible]		5 216 83	788 77	LT	
DIV & CAP GAIN REINVEST	19 [illegible]	N	36 634		10,480 62	243 5[illegible]		9 104 10	1 376 52	LT	
Symbol VFIAX	03 Apr 2017	N	20 25		5 7[illegible]3 [illegible]	248 52		5 032 43	760 39	LT	
	28 Jun 2017	N	289 858		82 925 48	248 52		72,034 07	10 891 41	LT	
	31 Aug 2017	N	38 583		11 038 21	248 52		9 585 46	1 449.75	LT	
	28 Jun 2018	N	931 191		280 703 93	248 52		243,840 71	35 066 22	LT	
	23 Jul 2018	N	71 347		20 411 66	248 52		17,730 80	2,680 86	LT	
	20 Sep 2018	N	210 912		60 348 40	248 52		52 422 26	7 926 14	LT	
	LT REINVEST	N	53 636		15 344 72	243 51		13 329 35	2 015 37	LT	
	ST REINVEST	N	33 643		9 624 93	248 51		8 360 78	1 264 15	ST	
Market Value vs. Purchases					**502,681 87**			**414,969.66**			
Investment Gain/Loss					**87,712 21**						
VERTEX PHARMACEUTICALS			24	290 31	**6,967 44**	125 75		3,018 10	3,949 34		--
INC											--
Symbol VRTX	13 Apr 2015	N	2		580 62	128 19		256 38	324 24	LT	
	14 Aug 2015	N	11		3 193 41	135 5[illegible]		1 490 55	1,702 86	LT	
	31 Aug 2015	N	2		580 62	132 58		265 16	315 46	LT	
	30 Sep 2015	N	5		1 451 55	103 34		516 72	934 83	LT	
	18 Feb 2016	N	2		580 62	87 33		174 66	405 96	LT	
	3[illegible] Aug 2017	N	2		580 62	157 32		314 63	265 99	LT	
VISA INC			48	193 17	**9,272.16**	78 17		3,751 97	5,520 19		--
CL A COMMON STOCK EST											57 60
YIELD 0 62%	20 Oct 2015	N	12		2 318 04	76 44		917 33	1,400 71	LT	
Symbol V	11 Dec 2015	N	27		5 215 59	76 44		2,063 92	3,151 67	LT	
	07 Mar 2016	N	5		965 85	71 57		357 85	608 00	LT	
	31 Aug 2017	N	4		772 68	103 22		412 87	359 81	LT	
WASTE CONNECTIONS INC			56	93 79	**5,439 82**	51 56		2,990.49	2,449 33		--
COM EST YIELD 0 79%											42 92
Symbol WCN	27 Jul 2016	N	33		3 095 07	49 84		1 644 68	1,450 39	LT	
	1[illegible] Aug 2016	N	25		2 344 75	53 83		1 345 81	998 94	LT	

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J.P.Morgan
CORPORATION (Acct # 840-25929)

YAO YUAN SZEFOUNDATION
JOAN SUN

Statement Period May 30 - June 30, 2020

EQUITIES (continued)

Chase Strategic Portfolio (CSP)

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
WEX INC			46	165 01	7,590.46	112 25	5,163 64	2,426 82		–
Symbol. WEX										–
	03 May 2016	N	8		1 320 08	90 91	727 27	592 81	LT	
	27 May 2016	N	1		165 01	92 07	92 07	72.94	LT	
	31 May 2016	N	4		660 04	92 37	369 48	290 56	LT	
	01 Jun 2016	N	1		165.01	92 61	92 61	72 40	LT	
	02 Jun 2016	N	10		1,650 10	94 56	945 55	704 55	LT	
	23 Jul 2018	N	2		330 02	196	392 00	(61 98)	LT	
	30 Apr 2020	N	5		825.05	132 57	662 84	162 21	ST	
	01 May 2020	N	15		2,475 15	125 45	1,881 82	593 33	ST	
YUM BRANDS INC			72	86 91	6,257 52	84 91	6,113 25	144 27		–
EST YIELD 2 16%										135 36
Symbol YUM	27 Nov 2018	N	7		608 37	89 36	625 55	(17 18)	LT	
	28 Nov 2018	N	9		782 19	91 37	822 30	(40 11)	LT	
	29 Nov 2018	N	6		521 46	92.02	552 09	(30 63)	LT	
	30 Nov 2018	N	15		1 303.55	92 04	1 380 56	(77 01)	LT	
	13 Mar 2020	N	35		3,041 65	78 08	2,732 65	309 00	ST	
ZIMMER BIOMET HOLDINGS			85	119.36	10,145.60	114 35	9,719 72	425 88		–
INC EST YIELD 0 80%										81 60
Symbol. ZBH	16 Nov 2017	N	25		2 984 00	111 94	2 798 54	185 46	LT	
	21 Nov 2017	N	16		1,909 76	113 74	1 819 82	89 94	LT	
	27 Apr 2018	N	18		2,148 48	116 87	2 103 57	44 91	LT	
	30 Apr 2018	N	11		1 312 96	116 55	1,282 04	30 92	LT	
	30 Oct 2018	N	13		1,550 68	114 24	1 485 44	65 24	LT	
	31 Oct 2018	N	2		238 72	114 66	229 31	9 41	LT	
TOTAL EQUITIES					$2,372,514.88		$1,933,989.71	$438,525.17		– / $49,096.16

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STATEMENT SUMMARY	MANAGED	BROKERAGE	IMPORTANT INFORMATION

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J.P.Morgan

CORPORATION (Acct # 962-38717) THE YAO YUAN SZE FOUNDATION Statement Period May 30 - June 30, 2020

Holdings

The total cost basis for each security position and the unrealized gain/loss are provided solely for your convenience and may not be used for tax purposes or otherwise relied upon If you have questions related to the tax treatment of your investments, please consult your tax advisor Unrealized gain/loss total reflects only those positions for which a cost basis is available or has been provided J P Morgan has not, and cannot, validate the cost basis of positions reported by you or your agent and are displayed solely for your convenience Information on this statement related to cost and gain/loss calculations does not include adjustments for wash sales that may have occurred on transactions pending settlement These wash sale adjustments, if any, will be reflected on your next statement

CASH & SWEEP FUNDS

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
J P MORGAN DEPOSIT SWEEP			5,439 04	1	**5,439 04**					–
JPMORGAN CHASE BANK NA EST										–
30 DAY AVG YIELD 0 01%										
FDIC-INSURED SUBJECT TO										
APPLICABLE LIMITS NOT										
COVERED BY SIPC										
Symbol QAJDS										
TOTAL CASH & SWEEP FUNDS					**$5,439.04**					– / –

EQUITIES

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
3M COMPANY			*483 1202	155 99	**75,361 92**	146 34	70,701 18	4,660 74		–
Dividend Reinvested EST										2,840 75
YIELD 3 77%	Long Term	N	465 647		72 636 28	145 94	67 954 68	4,681 60	LT	
Symbol MMM	Short Term	N	17 4732		2 725 64	157 18	2,746 50	(20 86)	ST	
AT&T INC			*2,821 57723	30 23	**85,296 28**	35 21	99,361 65	(14,065 37)		–
Dividend Reinvested EST										5,868 88
YIELD 6 88%	Long Term	N	2 661 49018		83 456 85	35 23	93,761 63	(13 304 78)	LT	
Symbol T	Short Term	N	160 08705		1,839 43	34 98	5 600 02	(760 59)	ST	

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J.P.Morgan

CORPORATION (Acct # 962-38717) THE YAO YUAN SZE FOUNDATION Statement Period May 30 - June 30, 2020

EQUITIES (continued)

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
CONOCOPHILLIPS			*3,789 73526	42 02	**159,244.68**	70 91	268,728 54	(109,483 86)		–
Dividend Reinvested EST										6,366 76
YIELD 4 00%	Long Term	N	3 672 47952		154 317 59	71 39	262,918 40	(108 600 81)	LT	
Symbol COP	Short Term	N	117 25574		4 927 09	49 55	5 810 14	(883 05)	ST	
CORTEVA INC			*1,185 92697	26.79	**31,770.98**	21 6	25,621 37	6,149 61		--
COMMON STOCK Dividend										616 68
Reinvested EST YIELD	Long Term	N	1,167 88772		31 287 71	21 55	25 163 55	6,124 16	LT	-
1 94%	Short Term	N	18 03925		483 27	25 38	457 82	25 45	ST	
Symbol CTVA										
DOW INC			*1,265 61647	40 76	**51,586.53**	41 94	53,083 76	(1,497 23)		--
COMMON STOCK Dividend										3,543 73
Reinvested EST YIELD:	Long Term	N	1178 1153		48,019 98	42 19	49 703 37	(1 683.39)	LT	
6.87%	Short Term	N	87 50117		3,566 55	38 63	3,380 39	186 16	ST	
Symbol: DOW										
DUPONT DE NEMOURS INC			*1,190 40471	53.13	**63,246.20**	61.47	73,169 87	(9,923 67)		–
COMMON STOCK Dividend										1,428 49
Reinvested EST YIELD:	Long Term	N	1 167		62,002 71	61 79	72 113 13	(10 110 42)	LT	
2 26%	Short Term	N	23 40471		1 243 49	45 15	1 056 71	186.75	ST	
Symbol DD										
EXXON MOBIL CORP			*16,814 59523	44 72	**751,948.70**	90.32	1,518,658 73	(766,710 03)		–
Dividend Reinvested EST										58,514.79
YIELD: 7 78%	Long Term	N	15 757 42963		704,672 25	92 54	1,458,242 73	(753 570 48)	LT	
Symbol XOM	Short Term	N	1,057 1656		47 273 45	57 15	60,416 00	(13,139 55)	ST	
FRONTIER COMMUNICATIONS			2.17216	0 0975	**0.21**	67.27	146 12	(145 91)		–
CORPORATION Dividend										–
Reinvested	30 Jun 2014	N	2		0 20	72 46	144 92	(144.73)	LT	
Symbol: FTRCQ	29 Dec 2017	N	0 17216		0 02	6 97	1 20	(1 18)	LT	
GENERAL ELECTRIC COMPANY			*3,886 32164	6 83	**26,543.58**	25.11	97,600 19	(71,056 61)		–
COM Dividend Reinvested EST										155 45
YIELD: 0.59%	Long Term	N	3,868 91646		26,424 70	25 19	97 445 20	(71,020.50)	LT	
Symbol. GE	Short Term	N	17 40518		118 88	8 9	154 99	(36.11)	ST	

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CORPORATION (Acct # 962-38717) THE YAO YUAN SZE FOUNDATION Statement Period. May 30 - June 30, 2020

EQUITIES (continued)

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
HALLIBURTON COMPANY			*2,344 05862	12.98	**30,425.88**	51 39	120,467 57	(90,041.69)		--
Dividend Reinvested EST										421 93
YIELD 1.39%	Long Term	N	2,238 53737		29,056 22	53.22	119 143 11	(90,086 89)	LT	
Symbol HAL	Short Term	N	105 52125		1 369 67	12 55	1 324 46	45 21	ST	
LINDE PLC			*732.72527	212.11	**155,418.36**	128 02	93,803 04	61,615 32		--
ORDINARY SHARE Dividend										2,822 46
Reinvested EST YIELD	Long Term	N	716 59371		151 996 69	126 79	90 859 68	61,137 01	LT	-
1 82%	Short Term	N	16 13156		3,421 67	182 46	2,943 36	478 31	ST	
Symbol LIN										
MARATHON OIL CORP			*2,568.75995	6 12	**15,720.81**	35 36	90,824 12	(75,103 31)		- --
Dividend Reinvested										--
Symbol MRO	Long Term	N	2,515 49729		15,394 84	35 96	90 445 33	(75,050 49)	LT	-
	Short Term	N	53 26266		325 97	7 11	378 79	(52 82)	ST	
MARATHON PETE CORP			*2,928.78815	37 38	**109,478.10**	41 98	122,951 70	(13,473.60)		--
COM Dividend Reinvested EST										6,794 79
YIELD 6 21%	Long Term	N	2,787 11215		104,182 25	41 86	116,661 13	(12,478 88)	LT	
Symbol MPC	Short Term	N	141 676		5 295 85	44 4	6 290 57	(994.72)	ST	
MICROSOFT CORP			*2,770.49194	203 51	**563,822.81**	42 93	118,927 98	444,894.83		--
Dividend Reinvested EST										5 651 80
YIELD 1 00%	Long Term	N	2,733 06255		556,205 56	41 41	113,162 80	443,042 76	LT	
Symbol MSFT	Short Term	N	37 42939		7,617 26	154 03	5,765 18	1 852 08	ST	
PFIZER INC			*3,758 48831	32 7	**122,902 57**	32 76	123,132 99	(230 42)		--
Dividend Reinvested EST										5,712 90
YIELD 4 65%	Long Term	N	3,608 71128		118 004 86	32.62	117,710 14	294 72	LT	
Symbol. PFE	Short Term	N	149 77703		4,897 71	36 21	5,422 85	(525 14)	ST	
PHILIP MORRIS			*2,059 93062	70 06	**144,318 74**	87 67	180,596 87	(36,278 13)		--
INTERNATIONAL INC Dividend										9,640 48
Reinvested EST YIELD	Long Term	N	1,945 15174		136,277 33	88 09	171 354 34	(35 077 01)	LT	
6.68%	Short Term	N	114 77888		8,041 41	80 52	9 242 53	(1,201 12)	ST	
Symbol PM										

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J.P.Morgan

CORPORATION (Acct # 962-38717) THE YAO YUAN SZE FOUNDATION Statement Period May 30 - June 30, 2020

EQUITIES (continued)

Description	Acquisition Date		Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss		Est. Accrued Inc. / Est. Annual Inc.
PROCTER & GAMBLE CO			*2,076 40919	119 57	**248,276.25**	85 76	178,073 38	70,202 87		–
Dividend Reinvested EST										6,567 68
YIELD 2 65%	Long Term	N	2,019 27205		241 414 30	84 83	171 291 31	70,153 05	LT	
Symbol PG	Short Term	N	57 13714		6,831 89	115 7	6,782 07	49 82	ST	
SMUCKER J M COMPANY			*712 44695	105 81	**75,384 01**	106 44	75,833 10	(449 09)		–
Dividend Reinvested EST										2,507 81
YIELD 3 33%	Long Term	N	689 43282		72,948 89	106 13	73,375 47	(426 58)	LT	
Symbol SJM	Short Term	N	23 01413		2 435 13	106 79	2 457 63	(22 50)	ST	
SOUTHERN CO			*2,378 65182	51 85	**123,333.10**	43 71	103,975 35	19,357 75		–
Dividend Reinvested EST										6 089 35
YIELD 4.94%	Long Term	N	2 283 77229		118,413.59	42 99	98,178 07	20,235 52	LT	
Symbol SO	Short Term	N	94 87953		4 919 50	61 1	5,797 28	(877 78)	ST	
SOUTHERN COPPER			*1,998 45349	39 77	**79,478.50**	27 09	54,141 07	25,337 43		–
CORPORATION Dividend										1,598 76
Reinvested EST YIELD	Long Term	N	1,910 83442		75,993 88	26 91	51 421 98	24,571 90	LT	
2 01%	Short Term	N	87 61907		3,484 61	31 03	2,719 09	765 52	ST	
Symbol SCCO										
WABTEC CORP			20 94159	57 57	**1,205.61**	77 97	1 632 82	(427 21)		–
COMMON STOCK Dividend										10 05
Reinvested EST YIELD	26 Feb 2019	N	20.75739		1 195 00	78 06	1,620 32	(425 32)	LT	
0 83%	24 May 2019	N	0 03893		2 24	63 83	2.49	(0 25)	LT	
Symbol WAB	23 Aug 2019	N	0 03691		2 12	67 73	2 50	(0 38)	ST	
	29 Nov 2019	N	0 03169		1 82	78 89	2 50	(0 68)	ST	
	21 Feb 2020	N	0 03165		1 83	75 49	2 50	(0 67)	ST	
	22 May 2020	N	0 04477		2 58	56 06	2 51	0 07	ST	
WHIRLPOOL CORP			*1,491 97711	129 53	**193,255 80**	150 38	224,359 25	(31,103 45)		–
COMMON STOCK Dividend										7,161 49
Reinvested EST YIELD	Long Term	N	1,431 2058		185 384.09	151 3	216 539 30	(31,155 21)	LT	
3 71%	Short Term	N	60 77131		7,871 71	128 68	7 819 95	51 76	ST	
Symbol WHR										

** A large number of tax lots exist for the securities denoted with an asterisk Individual tax lots are available by calling the appropriate number on the front of this statement*

See additional footnotes on the last page of the Holdings section

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J.P.Morgan

CORPORATION (Acct # 962-38717) THE YAO YUAN SZE FOUNDATION Statement Period May 30 - June 30, 2020

EQUITIES (continued)

Description	Acquisition Date	Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss	Est. Accrued Inc. / Est. Annual Inc.
TOTAL EQUITIES				$3,108,019.62		$3,695,790.65	($587,771.03)	– $134,315.03

Total Account Value : $3,113,458 66

Unless otherwise noted all positions are held in your cash account F - TEFRA Account G - Good Faith Account I - Income Account L - Non Purpose Loan Account M - Margin Account R - DVP/RVP Account S - Short Account

AI Pricing Method a – Net Investment b – Appraised Value c – The firm did not receive price information compliant with applicable reporting requirements

A - Average Cost B - Adjusted for Amortization or Accretion D - Acquisition Date = Date of Death E - Adjusted for Option Exercise or Assignment K - Gifted Security LT - Long Term MT - Mixed Term N - Noncovered Provide - Please provide this information ST - Short Term T - Cost Basis provided by Third Party W - Adjusted for Wash Sale

* *A large number of tax lots exist for the securities denoted with an asterisk Individual tax lots are available by calling the appropriate number on the front of this statement*

Yao Yuan Sze Foundation
Managed Account Brokerage Sales
July 1, 2018 - June 30, 2019

91-2161365
Statement 10 - Form 990-PF, Part IV, Line 1a and 1b
- Capital Gains and Losses from Investment Income

Description	CUSIP	Quantity	Acquired Date	Sale Date	Proceeds	Cost Basis	Short Term	Long Term	Total Gain Loss
APTIV PLC	G6095L109	7	5/18/2017	8/20/2019	576 89	596 60	0 00	-19 71	-19 71
APTIV PLC	G6095L109	24	5/18/2017	8/21/2019	1,999 77	2,045 49	0 00	-45 72	-45 72
APTIV PLC	G6095L109	4	5/18/2017	8/22/2019	334 46	340 92	0 00	-6 46	-6 46
APTIV PLC	G6095L109	6	4/30/2018	8/22/2019	501 68	509 22	0 00	-7 54	-7 54
APTIV PLC	G6095L109	7	4/30/2018	8/23/2019	566 82	594 08	0 00	-27 26	-27 26
APTIV PLC	G6095L109	14	5/1/2018	8/23/2019	1,133 64	1,178 40	0 00	-44 76	-44 76
J P MORGAN EXCHANGE TRADED FD TR BETABUILDERS EUROPE ETF	46641Q720	1041	8/17/2018	8/27/2019	23,755 23	24,952 77	0 00	-1,197 54	-1,197 54
J P MORGAN EXCHANGE TRADED FD TR BETABUILDERS EUROPE ETF	46641Q720	114	7/23/2018	8/27/2019	2,601 44	2,836 82	0 00	-235 38	-235 38
NEW MEXICO ST SEVERANCE TAX TAX BDS 2014A DATED 06/24/14 DUE 07/01/21 5 00%	647310T35	25000	1/6/2017	7/1/2019	25,000 00	25,000 00	0 00	0 00	0 00
SVB FINANCIAL GROUP	78486Q101	5	7/16/2015	8/19/2019	981 93	741 69	0 00	240 24	240 24
SVB FINANCIAL GROUP	78486Q101	3	8/13/2015	8/19/2019	589 15	415 01	0 00	174 14	174 14
SVB FINANCIAL GROUP	78486Q101	2	8/13/2015	8/20/2019	384 25	276 68	0 00	107 57	107 57
SVB FINANCIAL GROUP	78486Q101	2	8/13/2015	8/21/2019	387 21	276 67	0 00	110 54	110 54
SVB FINANCIAL GROUP	78486Q101	2	9/18/2015	8/21/2019	387 21	236 62	0 00	150 59	150 59
SVB FINANCIAL GROUP	78486Q101	1	2/18/2016	8/21/2019	193 61	86 72	0 00	106 89	106 89
SVB FINANCIAL GROUP	78486Q101	1	3/17/2016	8/21/2019	193 60	95 80	0 00	97 80	97 80
SVB FINANCIAL GROUP	78486Q101	3	2/5/2015	8/19/2019	589 16	365 15	0 00	224 01	224 01
SVB FINANCIAL GROUP	78486Q101	1	2/6/2015	8/19/2019	196 38	125 53	0 00	70 85	70 85
ACCENTURE PLC IRELAND SHS CL A	G1151C101	5	7/9/2014	10/28/2019	914 85	405 18	0 00	509 67	509 67
ACCENTURE PLC IRELAND SHS CL A	G1151C101	4	7/9/2014	10/29/2019	738 39	324 14	0 00	414 25	414 25
ACCENTURE PLC IRELAND SHS CL A	G1151C101	2	1/14/2015	10/29/2019	369 19	177 18	0 00	192 01	192 01
ACCENTURE PLC IRELAND SHS CL A	G1151C101	2	1/23/2015	10/29/2019	369 19	178 84	0 00	190 35	190 35
ACCENTURE PLC IRELAND SHS CL A	G1151C101	1	1/28/2015	10/29/2019	184 60	86 15	0 00	98 45	98 45
ACCENTURE PLC IRELAND SHS CL A	G1151C101	2	2/4/2015	10/29/2019	369 19	174 80	0 00	194 39	194 39
ACCENTURE PLC IRELAND SHS CL A	G1151C101	2	2/20/2015	10/29/2019	369 19	181 22	0 00	187 97	187 97
ACCENTURE PLC IRELAND SHS CL A	G1151C101	3	3/5/2015	10/29/2019	553 79	274 98	0 00	278 81	278 81
ACCENTURE PLC IRELAND SHS CL A	G1151C101	1	3/11/2015	10/29/2019	184 61	87 24	0 00	97 37	97 37
ISHARES TRUST ISHARES SHORT TREASURY BOND ETF	464288679	691	6/6/2019	9/25/2019	76,395 37	76,348 59	46 78	0 00	46 78
PIMCO SHORT TERM FUND INSTL CL	693390601	3942 242	6/12/2018	9/25/2019	38,594 55	38,941 22	0 00	-346 67	-346 67
WALT DISNEY CO	254687106	25	12/9/2016	10/28/2019	3,260 81	2,613 00	0 00	647 81	647 81
WALT DISNEY CO	254687106	5	12/16/2016	10/28/2019	652 16	520 58	0 00	131 58	131 58
WALT DISNEY CO	254687106	7	12/5/2016	10/28/2019	913 03	701 59	0 00	211 44	211 44
ADOBE INC COMMON STOCK	00724F101	1	2/20/2015	11/21/2019	298 44	78 11	0 00	220 33	220 33
ALLEGION PUBLIC LIMITED COMPANY	G0176J109	1	11/4/2015	11/21/2019	118 36	64 81	0 00	53 55	53 55
ALLEGION PUBLIC LIMITED COMPANY	G0176J109	1	11/5/2015	11/21/2019	118 36	64 04	0 00	54 32	54 32
AMERICAN EXPRESS COMPANY	25816109	3	7/19/2018	11/21/2019	357 30	300 93	0 00	56 37	56 37
APPLE INC	37833100	3	7/9/2014	11/21/2019	785 99	287 04	0 00	498 95	498 95
APPLE INC	37833100	3	1/14/2015	11/21/2019	785 99	327 12	0 00	458 87	458 87
AUTOMATIC DATA PROCESSING INC	53015103	2	10/22/2018	11/21/2019	339 33	288 45	0 00	50 88	50 88
BANK OF AMERICA CORP	60505104	3	3/18/2015	11/21/2019	98 70	48 20	0 00	50 50	50 50
BANK OF AMERICA CORP	60505104	9	3/11/2015	11/21/2019	296 09	144 99	0 00	151 10	151 10
BERKSHIRE HATHAWAY INC DEL CL B	84670702	2	4/20/2017	11/21/2019	434 03	330 18	0 00	103 85	103 85
BOSTON SCIENTIFIC CORP	101137107	12	9/15/2016	11/21/2019	506 84	284 08	0 00	222 76	222 76
BROADCOM INC COMMON STOCK	11135F101	1	3/5/2015	11/21/2019	314 25	179 08	0 00	135 17	135 17
BROADCOM INC COMMON STOCK	11135F101	1	3/5/2015	11/21/2019	314 25	130 93	0 00	183 32	183 32
CELGENE CORP	151020104	30	5/2/2019	11/21/2019	3,192 30	2,854 28	338 02	0 00	338 02
CELGENE CORP	151020104	50	5/17/2019	11/21/2019	5,320 50	4,779 20	541 30	0 00	541 30
CELGENE CORP	151020104	7	8/11/2015	11/21/2019	744 87	909 16	0 00	-164 29	-164 29
CELGENE CORP	151020104	5	8/31/2015	11/21/2019	532 05	605 04	0 00	-72 99	-72 99
CELGENE CORP	151020104	4	2/18/2016	11/21/2019	425 64	418 80	0 00	6 84	6 84
CELGENE CORP	151020104	18	10/28/2016	11/21/2019	1,915 38	1,876 69	0 00	38 69	38 69
CELGENE CORP	151020104	6	10/31/2016	11/21/2019	638 46	616 44	0 00	22 02	22 02
CELGENE CORP	151020104	26	3/15/2019	11/21/2019	2,766 66	2,297 20	469 46	0 00	469 46
CHARLESTON EDL EXCELLENCE SC FING INSTALLMENT PURCH REV RE DATED 09/09/14 DUE 12/01/19 5 00%	160131DG0	15000	8/7/2014	12/2/2019	15,000 00	15,000 00	0 00	0 00	0 00
CHARTER COMMUNICATIONS INC NEW CL A	16119P108	2	2/9/2016	11/21/2019	954 49	347 47	0 00	607 02	607 02
CHUBB LTD COM	H1467J104	3	7/9/2014	11/21/2019	450 16	313 12	0 00	137 04	137 04
CITIGROUP INC COM	172967424	1	2/20/2015	11/21/2019	74 35	51 78	0 00	22 57	22 57
CITIGROUP INC COM	172967424	7	3/5/2015	11/21/2019	520 45	374 92	0 00	145 53	145 53
CLACKAMAS CNTY ORE SVC DIST NO REV BDS 2009B DATED 11/24/09 DUE 12/01/31 4 25% OID	179282DZ8	20000	10/2/2018	12/2/2019	20,000 00	20,000 00	0 00	0 00	0 00
COCA COLA COMPANY (THE)	191216100	5	4/17/2018	11/21/2019	264 54	224 44	0 00	40 10	40 10
COMCAST CORP CL A	20030N101	11	2/5/2016	11/21/2019	486 77	325 74	0 00	161 03	161 03

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CORTEVA INC COMMON STOCK	22052L104	4	8/11/2016	11/21/2019	100 38	115 69	0 00	-15 31	-15 31
CORTEVA INC COMMON STOCK	22052L104	1	2/18/2016	11/21/2019	25 09	32 81	0 00	-7 72	-7 72
DIAMONDBACK ENERGY INC COM	25278X109	2	5/16/2017	11/21/2019	150 96	207 69	0 00	-56 73	-56 73
DUPONT DE NEMOURS INC COMMON STOCK	26614N102	1	2/18/2016	11/21/2019	66 02	94 04	0 00	-28 02	-28 02
DUPONT DE NEMOURS INC COMMON STOCK	26614N102	2	8/11/2016	11/21/2019	132 04	165 77	0 00	-33 73	-33 73
ELECTRONIC ARTS INC	285512109	3	9/21/2018	11/21/2019	296 69	346 09	0 00	-49 40	-49 40
ELI LILLY & CO	532457108	2	7/6/2015	11/21/2019	230 48	172 07	0 00	58 41	58 41
ELI LILLY & CO	532457108	2	7/7/2015	11/21/2019	230 48	175 31	0 00	55 17	55 17
EOG RES INC	26875P101	2	5/3/2016	11/21/2019	145 77	161 05	0 00	-15 28	-15 28
EOG RES INC	26875P101	3	5/19/2016	11/21/2019	218 65	240 03	0 00	-21 38	-21 38
FACEBOOK INC CL A	30303M102	2	8/31/2015	11/21/2019	397 70	180 49	0 00	217 21	217 21
FIDELITY NATIONAL INFORMATION SERVICES INC	31620M106	2	1/21/2015	11/21/2019	273 17	125 54	0 00	147 63	147 63
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	17 174	7/23/2018	11/21/2019	1,857 03	1,619 27	0 00	237 76	237 76
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	3 471	10/8/2018	11/21/2019	375 32	327 27	0 00	48 05	48 05
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	4 338	12/17/2018	11/21/2019	469 07	409 01	60 06	0 00	60 06
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	0 897	12/17/2018	11/21/2019	96 99	84 57	12 42	0 00	12 42
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	2 311	12/17/2018	11/21/2019	249 89	217 90	31 99	0 00	31 99
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	0 966	12/31/2018	11/21/2019	104 45	91 08	13 37	0 00	13 37
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	193 37	2/16/2018	11/21/2019	20,909 13	18,232 14	0 00	2,676 99	2,676 99
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	49 718	1/4/2019	11/21/2019	5,376 03	4,687 73	688 30	0 00	688 30
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	3 357	4/9/2018	11/21/2019	362 99	316 52	0 00	46 47	46 47
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	0 1	4/9/2018	11/21/2019	10 81	9 43	0 00	1 38	1 38
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	0 624	4/9/2018	11/21/2019	67 47	58 83	0 00	8 64	8 64
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	3 517	7/9/2018	11/21/2019	380 29	331 60	0 00	48 69	48 69
FISERV INC	337738108	7	1/25/2019	11/21/2019	797 36	592 79	204 57	0 00	204 57
GENERAL DYNAMICS CORP	369550108	1	8/12/2016	11/21/2019	183 80	151 36	0 00	32 44	32 44
GENERAL DYNAMICS CORP	369550108	1	8/15/2016	11/21/2019	183 79	153 42	0 00	30 37	30 37
HOME DEPOT INC	437076102	3	7/9/2014	11/21/2019	659 14	240 96	0 00	418 18	418 18
HONEYWELL INTL INC	438516106	5	6/16/2014	11/21/2019	887 14	447 79	0 00	439 35	439 35
INTERCONTINENTAL EXCHANGE INC	45866F104	2	2/1/2017	11/21/2019	187 72	116 95	0 00	70 77	70 77
ISHARES INC ISHARES MSCI JAPAN ETF	46434G822	13	8/15/2016	11/21/2019	773 87	660 10	0 00	113 77	113 77
J P MORGAN EXCHANGE TRADED FD TR BETABUILDERS JAPAN ETF	46641Q712	27	7/11/2018	11/21/2019	672 83	637 47	0 00	35 36	35 36
J P MORGAN EXCHANGE TRADED FD TR BETABUILDERS EUROPE ETF	46641Q720	189	8/17/2018	11/21/2019	4,636 07	4,530 33	0 00	105 74	105 74
KEYCORP NEW	493267108	14	3/2/2016	11/21/2019	266 93	157 37	0 00	109 56	109 56
LOWES COMPANIES INC	548661107	2	2/4/2015	11/21/2019	234 19	141 46	0 00	92 73	92 73
LOWES COMPANIES INC	548661107	1	1/28/2015	11/21/2019	117 09	70 24	0 00	46 85	46 85
MASTERCARD INCORPORATED	57636Q104	3	1/14/2015	11/21/2019	851 96	247 71	0 00	604 25	604 25
MERCK & CO INC	58933Y105	5	8/5/2016	11/21/2019	427 37	313 85	0 00	113 52	113 52
MICROSOFT CORP	594918104	15	7/9/2014	11/21/2019	2,239 55	625 65	0 00	1,613 90	1,613 90
MONDELEZ INTERNATIONAL INC COM	609207105	2	8/13/2014	11/21/2019	104 05	70 53	0 00	33 52	33 52
MONDELEZ INTERNATIONAL INC COM	609207105	6	1/14/2015	11/21/2019	312 14	214 44	0 00	97 70	97 70
MORGAN STANLEY	617446448	12	9/18/2014	11/21/2019	588 59	432 77	0 00	155 82	155 82
MORGAN STANLEY	617446448	2	1/14/2015	11/21/2019	98 10	70 06	0 00	28 04	28 04
NETFLIX COM INC	64110L106	1	9/13/2018	11/21/2019	310 63	373 37	0 00	-62 74	-62 74
NEXTERA ENERGY INC	65339F101	1	10/19/2016	11/21/2019	234 41	124 79	0 00	109 62	109 62
NISOURCE INC COM	65473P105	11	8/31/2015	11/13/2019	291 14	184 09	0 00	107 05	107 05
NISOURCE INC COM	65473P105	5	12/2/2015	11/13/2019	132 33	96 53	0 00	35 80	35 80
NISOURCE INC COM	65473P105	8	12/3/2015	11/13/2019	211 74	151 91	0 00	59 83	59 83
NISOURCE INC COM	65473P105	41	12/4/2015	11/13/2019	1,085 14	782 80	0 00	302 34	302 34
NISOURCE INC COM	65473P105	11	12/4/2015	11/14/2019	290 55	210 02	0 00	80 53	80 53
NISOURCE INC COM	65473P105	12	2/18/2016	11/14/2019	316 96	263 64	0 00	53 32	53 32
NISOURCE INC COM	65473P105	7	8/31/2017	11/14/2019	184 90	188 57	0 00	-3 67	-3 67
NISOURCE INC COM	65473P105	1	1/23/2015	11/11/2019	26 11	15 91	0 00	10 20	10 20
NISOURCE INC COM	65473P105	4	1/28/2015	11/11/2019	104 42	63 11	0 00	41 31	41 31
NISOURCE INC COM	65473P105	1	2/4/2015	11/11/2019	26 10	16 06	0 00	10 04	10 04

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NISOURCE INC COM	65473P105	3	2/4/2015	11/12/2019	78 07	48 16	0 00	29 91	29 91
NISOURCE INC COM	65473P105	5	2/11/2015	11/12/2019	130 11	77 65	0 00	52 46	52 46
NISOURCE INC COM	65473P105	6	3/5/2015	11/12/2019	156 14	92 13	0 00	64 01	64 01
NISOURCE INC COM	65473P105	5	3/11/2015	11/12/2019	130 11	73 72	0 00	56 39	56 39
NISOURCE INC COM	65473P105	5	3/18/2015	11/12/2019	130 12	78 03	0 00	52 09	52 09
NISOURCE INC COM	65473P105	13	3/18/2015	11/13/2019	344 07	202 87	0 00	141 20	141 20
NISOURCE INC COM	65473P105	3	3/23/2015	11/13/2019	79 40	47 40	0 00	32 00	32 00
NISOURCE INC COM	65473P105	7	3/26/2015	11/13/2019	185 27	108 13	0 00	77 14	77 14
NISOURCE INC COM	65473P105	9	4/13/2015	11/13/2019	238 20	140 52	0 00	97 68	97 68
NORFOLK SOUTHERN CORP	655844108	2	1/31/2017	11/21/2019	380 03	236 08	0 00	143 95	143 95
NORTHROP GRUMMAN CORP	666807102	2	9/19/2016	11/21/2019	698 04	423 17	0 00	274 87	274 87
NVIDIA CORP	67066G104	1	10/4/2017	11/21/2019	210 55	180 12	0 00	30 43	30 43
PAYPAL HOLDINGS INC COM	70450Y103	4	1/29/2018	11/21/2019	410 72	337 80	0 00	72 92	72 92
PEPSICO INC COMMON STOCK	713448108	2	1/28/2015	11/21/2019	267 41	194 52	0 00	72 89	72 89
PEPSICO INC COMMON STOCK	713448108	1	1/23/2015	11/21/2019	133 70	98 62	0 00	35 08	35 08
PFIZER INC	717081103	18	7/27/2015	11/21/2019	682 54	617 50	0 00	65 04	65 04
PIONEER NATURAL RESOURCES COMPANY	723787107	2	12/8/2015	11/21/2019	262 00	275 99	0 00	-13 99	-13 99
QUALCOMM INC	747525103	2	5/2/2019	11/21/2019	170 65	177 94	-7 29	0 00	-7 29
RTS BRISTOL MYERS SQUIBB COMPANY CONTINGENT VALUE RIGHTS	110122157	16	11/21/2019	11/25/2019	70 30	36 80	33 50	0 00	33 50
RTS BRISTOL MYERS SQUIBB COMPANY CONTINGENT VALUE RIGHTS	110122157	115	11/21/2019	11/26/2019	507 81	264 50	243 31	0 00	243 31
RTS BRISTOL MYERS SQUIBB COMPANY CONTINGENT VALUE RIGHTS	110122157	15	11/21/2019	11/27/2019	66 25	34 50	31 75	0 00	31 75
STANLEY BLACK & DECKER INC	854502101	3	11/18/2015	11/21/2019	463 87	320 11	0 00	143 76	143 76
STANLEY BLACK & DECKER INC	854502101	1	11/19/2015	11/21/2019	154 62	107 27	0 00	47 35	47 35
TEXAS INSTRUMENTS INCORPORATED	882508104	4	2/8/2016	11/21/2019	463 30	200 19	0 00	263 11	263 11
UNITED TECHNOLOGIES CORP	913017109	1	12/6/2017	11/21/2019	147 53	121 12	0 00	26 41	26 41
UNITEDHEALTH GROUP INC	91324P102	4	7/9/2014	11/21/2019	1,104 82	328 56	0 00	776 26	776 26
VERTEX PHARMACEUTICALS INC	92532F100	1	4/10/2015	11/21/2019	214 86	127 14	0 00	87 72	87 72
VERTEX PHARMACEUTICALS INC	92532F100	1	4/9/2015	11/21/2019	214 87	124 77	0 00	90 10	90 10
VISA INC CL A COMMON STOCK	92826C839	3	10/20/2015	11/21/2019	538 60	229 33	0 00	309 27	309 27
WALT DISNEY CO	254687106	3	12/16/2016	11/21/2019	439 33	312 34	0 00	126 99	126 99
WASTE CONNECTIONS INC COM	94106B101	2	7/27/2016	11/21/2019	178 87	99 68	0 00	79 19	79 19
WEX INC	96208T104	2	5/3/2016	11/21/2019	394 88	181 82	0 00	213 06	213 06
ZIMMER BIOMET HOLDINGS INC	98956P102	2	11/15/2017	11/21/2019	283 99	222 50	0 00	61 49	61 49
ZIMMER BIOMET HOLDINGS INC	98956P102	3	11/16/2017	11/21/2019	425 99	335 83	0 00	90 16	90 16
ACCENTURE PLC IRELAND SHS CL A	G1151C101	1	3/11/2015	2/27/2020	192 59	87 24	0 00	105 35	105 35
ACCENTURE PLC IRELAND SHS CL A	G1151C101	5	4/6/2015	2/27/2020	962 94	478 00	0 00	484 94	484 94
ACCENTURE PLC IRELAND SHS CL A	G1151C101	5	8/31/2015	2/27/2020	962 94	474 77	0 00	488 17	488 17
ACCENTURE PLC IRELAND SHS CL A	G1151C101	16	2/8/2016	2/27/2020	3,081 43	1,520 94	0 00	1,560 49	1,560 49
ADOBE INC COMMON STOCK	00724F101	3	2/18/2016	5/5/2020	1,069 30	243 24	0 00	826 06	826 06
ADOBE INC COMMON STOCK	00724F101	3	3/7/2016	5/5/2020	1,069 29	253 98	0 00	815 31	815 31
ADOBE INC COMMON STOCK	00724F101	1	3/7/2016	5/6/2020	362 21	84 66	0 00	277 55	277 55
ADOBE INC COMMON STOCK	00724F101	1	2/20/2015	5/5/2020	356 43	78 11	0 00	278 32	278 32
ADOBE INC COMMON STOCK	00724F101	3	3/5/2015	5/5/2020	1,069 30	234 66	0 00	834 64	834 64
ADOBE INC COMMON STOCK	00724F101	2	3/11/2015	5/5/2020	712 86	152 18	0 00	560 68	560 68
ADOBE INC COMMON STOCK	00724F101	3	3/18/2015	5/5/2020	1,069 30	231 75	0 00	837 55	837 55
ADOBE INC COMMON STOCK	00724F101	3	4/6/2015	5/5/2020	1,069 30	228 90	0 00	840 40	840 40
ADOBE INC COMMON STOCK	00724F101	6	8/31/2015	5/5/2020	2,138 60	474 96	0 00	1,663 64	1,663 64
ALEXION PHARMACEUTICALS INC	15351109	18	3/18/2019	3/13/2020	1,437 24	2,430 69	-993 45	0 00	-993 45
ALEXION PHARMACEUTICALS INC	15351109	8	3/15/2019	3/13/2020	638 78	1,087 85	-449 07	0 00	-449 07
BERKSHIRE HATHAWAY INC DEL CL B	84670702	7	8/15/2017	6/25/2020	1,250 24	1,244 21	0 00	6 03	6 03
BERKSHIRE HATHAWAY INC DEL CL B	84670702	13	9/11/2018	6/25/2020	2,321 86	2,800 10	0 00	-478 24	-478 24
BERKSHIRE HATHAWAY INC DEL CL B	84670702	1	9/12/2018	6/26/2020	176 66	215 14	0 00	-38 48	-38 48
BERKSHIRE HATHAWAY INC DEL CL B	84670702	9	10/16/2018	6/26/2020	1,589 97	1,883 94	0 00	-293 97	-293 97
BERKSHIRE HATHAWAY INC DEL CL B	84670702	19	4/20/2017	6/25/2020	3,393 50	3,136 68	0 00	256 82	256 82
BERKSHIRE HATHAWAY INC DEL CL B	84670702	7	8/14/2017	6/25/2020	1,250 24	1,241 14	0 00	9 10	9 10
BLACKROCK MUN BD FD INC NATIONAL MUNICIPAL FD CLASS K	09253C744	2314 364	4/11/2017	5/18/2020	24,902 56	25,156 64	0 00	-254 08	-254 08
COMCAST CORP CL A	20030N101	3	2/5/2016	4/17/2020	113 86	88 84	0 00	25 02	25 02
COMCAST CORP CL A	20030N101	16	2/8/2016	4/17/2020	607 24	471 02	0 00	136 22	136 22
COMCAST CORP CL A	20030N101	10	2/18/2016	4/17/2020	379 52	288 05	0 00	91 47	91 47
COMCAST CORP CL A	20030N101	78	1/11/2018	4/17/2020	2,960 28	3,313 68	0 00	-353 40	-353 40
COMCAST CORP CL A	20030N101	4	1/12/2018	4/17/2020	151 81	169 03	0 00	-17 22	-17 22
COMCAST CORP CL A	20030N101	25	10/22/2018	4/17/2020	948 81	895 78	0 00	53 03	53 03
CORTEVA INC COMMON STOCK	22052L104	14	2/23/2017	1/14/2020	400 05	370 35	0 00	29 70	29 70
CORTEVA INC COMMON STOCK	22052L104	14	7/1/2019	1/17/2020	394 97	419 44	-24 47	0 00	-24 47
CORTEVA INC COMMON STOCK	22052L104	15	2/23/2017	1/15/2020	429 90	396 81	0 00	33 09	33 09
CORTEVA INC COMMON STOCK	22052L104	14	7/1/2019	1/21/2020	389 51	419 43	-29 92	0 00	-29 92
CORTEVA INC COMMON STOCK	22052L104	5	3/10/2017	1/15/2020	143 30	194 25	0 00	-50 95	-50 95
CORTEVA INC COMMON STOCK	22052L104	3	3/13/2017	1/15/2020	85 98	111 39	0 00	-25 41	-25 41

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CORTEVA INC COMMON STOCK	22052L104	1	3/20/2017	1/15/2020	28 66	70 12	0 00	-41 46	-41 46
CORTEVA INC COMMON STOCK	22052L104	6	3/21/2017	1/15/2020	171 96	209 53	0 00	-37 57	-37 57
CORTEVA INC COMMON STOCK	22052L104	7	4/9/2018	1/15/2020	200 61	249 49	0 00	-48 88	-48 88
CORTEVA INC COMMON STOCK	22052L104	6	4/9/2018	1/16/2020	173 53	213 85	0 00	-40 32	-40 32
CORTEVA INC COMMON STOCK	22052L104	16	4/9/2019	1/16/2020	462 76	482 65	-19 89	0 00	-19 89
CORTEVA INC COMMON STOCK	22052L104	4	6/28/2019	1/16/2020	115 69	117 94	-2 25	0 00	-2 25
CORTEVA INC COMMON STOCK	22052L104	10	8/11/2016	1/14/2020	285 75	289 23	0 00	-3 48	-3 48
CORTEVA INC COMMON STOCK	22052L104	32	6/28/2019	1/17/2020	902 78	943 55	-40 77	0 00	-40 77
DUPONT DE NEMOURS INC COMMON STOCK	26614N102	12	8/11/2016	3/13/2020	424 16	994 65	0 00	-570 49	-570 49
DUPONT DE NEMOURS INC COMMON STOCK	26614N102	29	2/23/2017	3/13/2020	1,025 06	2,198 50	0 00	-1,173 44	-1,173 44
DUPONT DE NEMOURS INC COMMON STOCK	26614N102	5	3/10/2017	3/13/2020	176 74	556 67	0 00	-379 93	-379 93
DUPONT DE NEMOURS INC COMMON STOCK	26614N102	3	3/13/2017	3/13/2020	106 04	319 22	0 00	-213 18	-213 18
DUPONT DE NEMOURS INC COMMON STOCK	26614N102	1	3/20/2017	3/13/2020	35 35	200 93	0 00	-165 58	-165 58
DUPONT DE NEMOURS INC COMMON STOCK	26614N102	6	3/21/2017	3/13/2020	212 08	600 47	0 00	-388 39	-388 39
DUPONT DE NEMOURS INC COMMON STOCK	26614N102	13	4/9/2018	3/13/2020	459 51	1,327 84	0 00	-868 33	-868 33
DUPONT DE NEMOURS INC COMMON STOCK	26614N102	16	4/9/2019	3/13/2020	565 56	1,383 16	-817 60	0 00	-817 60
ELECTRONIC ARTS INC	285512109	4	11/27/2018	2/28/2020	400 55	339 59	0 00	60 96	60 96
ELECTRONIC ARTS INC	285512109	30	9/21/2018	2/28/2020	3,004 09	3,460 93	0 00	-456 84	-456 84
EOG RES INC	26875P101	9	5/25/2016	2/3/2020	644 99	745 96	0 00	-100 97	-100 97
EOG RES INC	26875P101	25	8/5/2016	2/3/2020	1,791 65	2,263 62	0 00	-471 97	-471 97
EOG RES INC	26875P101	20	9/15/2016	2/3/2020	1,433 32	1,840 67	0 00	-407 35	-407 35
EOG RES INC	26875P101	7	9/15/2016	2/4/2020	516 53	644 23	0 00	-127 70	-127 70
EOG RES INC	26875P101	1	5/19/2016	1/30/2020	75 21	80 01	0 00	-4 80	-4 80
EOG RES INC	26875P101	12	5/20/2016	1/30/2020	902 53	969 08	0 00	-66 55	-66 55
EOG RES INC	26875P101	2	5/20/2016	1/31/2020	146 45	161 51	0 00	-15 06	-15 06
EOG RES INC	26875P101	12	5/23/2016	1/31/2020	878 70	975 79	0 00	-97 09	-97 09
EOG RES INC	26875P101	12	5/24/2016	1/31/2020	878 70	990 25	0 00	-111 55	-111 55
EOG RES INC	26875P101	2	5/25/2016	1/31/2020	146 45	165 77	0 00	-19 32	-19 32
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	476 997	1/4/2019	3/20/2020	38,293 32	46,361 23	0 00	-8,067 91	-8,067 91
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	65 657	2/1/2019	3/20/2020	5,270 94	6,381 46	0 00	-1,110 52	-1,110 52
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	3 725	4/8/2019	3/20/2020	299 04	362 05	-63 01	0 00	-63 01
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	0 974	4/8/2019	3/20/2020	78 19	94 67	-16 48	0 00	-16 48
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	3 821	7/8/2019	3/20/2020	306 75	371 38	-64 63	0 00	-64 63
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	367 605	8/27/2019	3/20/2020	29,511 33	35,728 99	-6,217 66	0 00	-6,217 66
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	5 865	10/7/2019	3/20/2020	470 84	570 04	-99 20	0 00	-99 20
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	6 324	12/16/2019	3/20/2020	507 69	614 65	-106 96	0 00	-106 96
FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND	315911750	839 92	3/10/2020	3/20/2020	67,428 79	81,635 17	-14,206 38	0 00	-14,206 38
FIDELITY SALEM STR TR INTERNATIONAL INDEX FUND	315911727	2071 042	4/4/2019	3/10/2020	75,530 89	84,611 48	-9,080 59	0 00	-9,080 59
FIDELITY SALEM STR TR INTERNATIONAL INDEX FUND	315911727	577 229	4/4/2019	3/20/2020	16,918 57	23,582 43	-6,663 86	0 00	-6,663 86
INDIANA ST FIN AUTH REV ST REVOLVING FUND PROG B GO DATED 04/07/16 DUE 02/01/20 5 00%	45506DWF8	25000	8/8/2017	2/3/2020	25,000 00	25,000 00	0 00	0 00	0 00
ISHARES INC ISHARES MSCI JAPAN ETF	46434G822	178	8/15/2016	2/11/2020	10,621 18	9,038 27	0 00	1,582 91	1,582 91
ISHARES TRUST ISHARES 7 10 YEAR TREASURY BOND ETF	464287440	697	9/25/2019	4/1/2020	85,101 82	78,062 89	7,038 93	0 00	7,038 93
ISHARES TRUST ISHARES 7 10 YEAR TREASURY BOND ETF	464287440	28	11/21/2019	4/1/2020	3,418 72	3,135 96	282 76	0 00	282 76
J P MORGAN EXCHANGE TRADED FD TR BETABUILDERS JAPAN ETF	46641Q712	213	7/23/2018	2/11/2020	5,254 60	5,163 55	0 00	91 05	91 05
J P MORGAN EXCHANGE TRADED FD TR BETABUILDERS JAPAN ETF	46641Q712	910	7/11/2018	2/11/2020	22,449 23	21,485 10	0 00	964 13	964 13
J P MORGAN EXCHANGE TRADED FD TR BETABUILDERS EUROPE ETF	46641Q720	1978	8/17/2018	3/20/2020	33,783 49	47,412 66	0 00	-13,629 17	-13,629 17

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J P MORGAN EXCHANGE TRADED FD TR BETABUILDERS EUROPE ETF	46641Q720	261	2/19/2019	3/20/2020	4,457 78	6,065 46	0 00	-1,607 68	-1,607 68
JPMORGAN TR I INTERMEDIATE TAX FREE BD FD R6	48129C108	425 503	3/16/2018	5/18/2020	4,676 28	4,598 50	0 00	77 78	77 78
JPMORGAN TR I INTERMEDIATE TAX FREE BD FD R6	48129C108	1997 066	3/16/2018	6/8/2020	22,167 43	21,583 71	0 00	583 72	583 72
MARATHON PETE CORP COM	56585A102	24	9/21/2018	4/29/2020	734 41	2,006 09	0 00	-1,271 68	-1,271 68
MARATHON PETE CORP COM	56585A102	20	12/4/2018	4/29/2020	612 00	1,289 16	0 00	-677 16	-677 16
MARATHON PETE CORP COM	56585A102	47	4/26/2019	4/29/2020	1,438 21	2,862 27	0 00	-1,424 06	-1,424 06
MARATHON PETE CORP COM	56585A102	8	3/9/2018	4/29/2020	244 80	561 34	0 00	-316 54	-316 54
MARATHON PETE CORP COM	56585A102	32	3/12/2018	4/29/2020	979 21	2,235 89	0 00	-1,256 68	-1,256 68
MARATHON PETE CORP COM	56585A102	23	5/15/2018	4/29/2020	703 81	1,776 68	0 00	-1,072 87	-1,072 87
MARATHON PETE CORP COM	56585A102	20	7/27/2018	4/29/2020	612 00	1,616 22	0 00	-1,004 22	-1,004 22
MARYLAND ST DEPT TRANSN CNTY T REV BDS 2012 DATED 10/04/12 DUE 10/01/20 5 00%	574203MH 5	15000	2/10/2015	3/17/2020	14,979 45	15,294 54	0 00	-315 09	-315 09
OREGON ST FOR ISSUES DTD PRIOR GO BDS 2013 A GO DATED 02/13/13 DUE 08/01/20 4 00%	68608UTK2	20000	7/14/2014	3/17/2020	19,974 00	20,169 25	0 00	-195 25	-195 25
PIMCO SHORT TERM FUND INSTL CL	693390601	13 561	10/1/2019	4/27/2020	130 59	133 89	-3 30	0 00	-3 30
PIMCO SHORT TERM FUND INSTL CL	693390601	7 589	11/1/2019	4/27/2020	73 08	74 93	-1 85	0 00	-1 85
PIMCO SHORT TERM FUND INSTL CL	693390601	3302 153	6/12/2018	4/27/2020	31,799 74	32,602 97	0 00	-803 23	-803 23
PIMCO SHORT TERM FUND INSTL CL	693390601	104 416	11/21/2019	4/27/2020	1,005 53	1,030 92	-25 39	0 00	-25 39
PIMCO SHORT TERM FUND INSTL CL	693390601	8 665	7/2/2018	4/27/2020	83 44	85 55	0 00	-2 11	-2 11
PIMCO SHORT TERM FUND INSTL CL	693390601	7 896	12/2/2019	4/27/2020	76 04	77 96	-1 92	0 00	-1 92
PIMCO SHORT TERM FUND INSTL CL	693390601	449 195	7/23/2018	4/27/2020	4,325 75	4,435 01	0 00	-109 26	-109 26
PIMCO SHORT TERM FUND INSTL CL	693390601	14 858	12/27/2019	4/27/2020	143 08	146 70	-3 62	0 00	-3 62
PIMCO SHORT TERM FUND INSTL CL	693390601	14 141	8/1/2018	4/27/2020	136 18	139 62	0 00	-3 44	-3 44
PIMCO SHORT TERM FUND INSTL CL	693390601	7 912	1/2/2020	4/27/2020	76 19	78 12	-1 93	0 00	-1 93
PIMCO SHORT TERM FUND INSTL CL	693390601	17 831	9/4/2018	4/27/2020	171 71	176 05	0 00	-4 34	-4 34
PIMCO SHORT TERM FUND INSTL CL	693390601	7 347	2/3/2020	4/27/2020	70 75	72 54	-1 79	0 00	-1 79
PIMCO SHORT TERM FUND INSTL CL	693390601	13 945	10/1/2018	4/27/2020	134 29	137 68	0 00	-3 39	-3 39
PIMCO SHORT TERM FUND INSTL CL	693390601	6 415	3/2/2020	4/27/2020	61 78	63 34	-1 56	0 00	-1 56
PIMCO SHORT TERM FUND INSTL CL	693390601	15 522	11/1/2018	4/27/2020	149 48	153 25	0 00	-3 77	-3 77
PIMCO SHORT TERM FUND INSTL CL	693390601	6 575	4/1/2020	4/27/2020	63 31	64 91	-1 60	0 00	-1 60
PIMCO SHORT TERM FUND INSTL CL	693390601	17 462	12/3/2018	4/27/2020	168 16	172 41	0 00	-4 25	-4 25
PIMCO SHORT TERM FUND INSTL CL	693390601	7 678	12/13/2018	4/27/2020	73 94	75 81	0 00	-1 87	-1 87
PIMCO SHORT TERM FUND INSTL CL	693390601	4 275	12/13/2018	4/27/2020	41 17	42 21	0 00	-1 04	-1 04
PIMCO SHORT TERM FUND INSTL CL	693390601	12 08	12/27/2018	4/27/2020	116 33	119 27	0 00	-2 94	-2 94
PIMCO SHORT TERM FUND INSTL CL	693390601	18 898	1/2/2019	4/27/2020	181 99	186 58	0 00	-4 59	-4 59
PIMCO SHORT TERM FUND INSTL CL	693390601	15 43	2/1/2019	4/27/2020	148 59	152 34	0 00	-3 75	-3 75
PIMCO SHORT TERM FUND INSTL CL	693390601	16 023	3/1/2019	4/27/2020	154 30	158 20	0 00	-3 90	-3 90
PIMCO SHORT TERM FUND INSTL CL	693390601	19 199	4/1/2019	4/27/2020	184 89	189 56	0 00	-4 67	-4 67
PIMCO SHORT TERM FUND INSTL CL	693390601	18 648	5/1/2019	4/27/2020	179 58	184 12	-4 54	0 00	-4 54
PIMCO SHORT TERM FUND INSTL CL	693390601	19 966	6/3/2019	4/27/2020	192 27	197 13	-4 86	0 00	-4 86
PIMCO SHORT TERM FUND INSTL CL	693390601	16 734	7/1/2019	4/27/2020	161 15	165 22	-4 07	0 00	-4 07
PIMCO SHORT TERM FUND INSTL CL	693390601	17 246	8/1/2019	4/27/2020	166 08	170 27	-4 19	0 00	-4 19
PIMCO SHORT TERM FUND INSTL CL	693390601	17 595	9/3/2019	4/27/2020	169 44	173 72	-4 28	0 00	-4 28
QUALCOMM INC	747525103	42	5/2/2019	1/23/2020	3,854 63	3,736 78	117 85	0 00	117 85
ROSS STORES INC	778296103	8	12/11/2018	4/17/2020	729 81	644 47	0 00	85 34	85 34
ROSS STORES INC	778296103	28	12/12/2018	4/17/2020	2,554 34	2,281 55	0 00	272 79	272 79
ROSS STORES INC	778296103	14	12/12/2018	4/20/2020	1,234 46	1,140 78	0 00	93 68	93 68
ROSS STORES INC	778296103	11	12/17/2018	4/20/2020	969 93	861 54	0 00	108 39	108 39
ROSS STORES INC	778296103	4	12/18/2018	4/20/2020	352 71	317 16	0 00	35 55	35 55
SPDR S&P 500 ETF TRUST	78462F103	2	4/6/2015	3/20/2020	470 32	416 22	0 00	54 10	54 10
UNITED TECHNOLOGIES CORP	913017109	10	12/6/2017	4/1/2020	894 67	1,211 17	0 00	-316 50	-316 50
UNITED TECHNOLOGIES CORP	913017109	18	12/7/2017	4/1/2020	1,610 40	2,202 51	0 00	-592 11	-592 11
UNITED TECHNOLOGIES CORP	913017109	4	12/8/2017	4/1/2020	357 87	491 78	0 00	-133 91	-133 91
WALT DISNEY CO	254687106	32	1/30/2017	3/6/2020	3,622 70	3,535 96	0 00	86 74	86 74
WALT DISNEY CO	254687106	6	1/31/2017	3/6/2020	679 26	661 16	0 00	18 10	18 10
WALT DISNEY CO	254687106	6	8/31/2017	3/6/2020	679 25	610 80	0 00	68 45	68 45
WALT DISNEY CO	254687106	10	12/16/2016	3/6/2020	1,132 09	1,041 15	0 00	90 94	90 94
WASHINGTON ST GO REF BDS R-2013 GO DATED 02/05/13 DUE 07/01/20 5 00%	93974C7Y0	15000	7/25/2014	3/17/2020	15,021 00	15,143 81	0 00	-122 81	-122 81
WELLS FARGO & CO	949746101	26	2/18/2016	3/6/2020	965 03	1,236 30	0 00	-271 27	-271 27
WELLS FARGO & CO	949746101	23	6/30/2017	3/6/2020	853 68	1,278 47	0 00	-424 79	-424 79
WELLS FARGO & CO	949746101	16	7/3/2017	3/6/2020	593 86	894 85	0 00	-300 99	-300 99
WELLS FARGO & CO	949746101	11	8/31/2017	3/6/2020	408 28	561 09	0 00	-152 81	-152 81
WELLS FARGO & CO	949746101	32	11/21/2017	3/6/2020	1,187 72	1,735 38	0 00	-547 66	-547 66
WELLS FARGO & CO	949746101	20	8/31/2015	3/6/2020	742 33	1,066 86	0 00	-324 53	-324 53
					948,042 06	988,290 13	-28,814 01	-11,434 06	-40,248 07
WHIRLPOOL CORP		500	8/22/2013	9/26/2019	77,398 39	75,140 00		2,258 39	2,258 39

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Statement 9 - Form 990-PF, Part IV, Line 1a and 1b
- Capital Gains and Losses from Investment Income

WHIRLPOOL CORP		200	8/22/2013	10/21/2019	32,477 32	30,056 00		2,421 32	2,421 32
EXXON MOBIL CORP		500	8/22/2013	5/6/2020	22,090 46	47,505 00		-25,414 54	-25,414 54
EXXON MOBIL CORP		500	8/22/2013	5/8/2020	22,749 49	47,505 00		-24,755 51	-24,755 51
LINDE PLC		300	8/22/2013	5/18/2020	57,634 72	37,971 00		19,663 72	19,663 72
MICROSOFT		200	8/22/2013	5/18/2020	37,093 18	7,501 00		29,592 18	29,592 18
LINDE PLC		200	8/22/2013	5/29/2020	40,399 10	25,314 00		15,085 10	15,085 10
EXXON MOBIL CORP		500	8/22/2013	6/3/2020	24,499 45	47,505 00		-23,005 55	-23,005 55
					314,342 11	318,497 00		-4,154 89	-4,154 89
TOTAL					1,262,384 17	1,306,787 13	-28,814 01	-15,588 95	-44,402 96