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Form 990

Return of Organization Exempt From Income Tax

OMB No. 1545-0047

2019

Open to Public Inspection

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990 for instructions and the latest information.

A For the 2019 calendar year, or tax year beginning 07-01-2019 , and ending 06-30-2020

B Check if applicable:
☐ Address change
☐ Name change
☐ Initial return
☐ Final return/terminated
☐ Amended return
☐ Application pending

C Name of organization
ASSOCIATION OF THE UNITED STATES ARMY

Doing business as

Number and street (or P.O. box if mail is not delivered to street address) Room/suite
2425 WILSON BOULEVARD

City or town, state or province, country, and ZIP or foreign postal code
ARLINGTON, VA 22201

D Employer identification number
53-0193361

E Telephone number
(703) 841-4300

G Gross receipts \$ 50,654,370

F Name and address of principal officer:
GENERAL CARTER F HAM
2425 WILSON BOULEVARD
ARLINGTON, VA 22201

H(a) Is this a group return for subordinates?
☐ Yes ☒ No
H(b) Are all subordinates included?
☐ Yes ☐ No
If "No," attach a list. (see instructions)
H(c) Group exemption number ▶

I Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) () ◀ (insert no.) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ WWW.AUSA.ORG

K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation: 1950

M State of legal domicile: VA

Part I Summary

1 Briefly describe the organization's mission or most significant activities:
AUSA, A NONPROFIT EDUCATIONAL, PROFESSIONAL DEVELOPMENT ASSOCIATION, PROVIDES A VOICE FOR THE ARMY.

2 Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.

3 Number of voting members of the governing body (Part VI, line 1a) 22

4 Number of independent voting members of the governing body (Part VI, line 1b) 22

5 Total number of individuals employed in calendar year 2019 (Part V, line 2a) 132

6 Total number of volunteers (estimate if necessary) 1,255

7a Total unrelated business revenue from Part VIII, column (C), line 12 914,232

b Net unrelated business taxable income from Form 990-T, line 39 0

Revenue

8 Contributions and grants (Part VIII, line 1h) 5,245,350

9 Program service revenue (Part VIII, line 2g) 28,704,184

10 Investment income (Part VIII, column (A), lines 3, 4, and 7d) 2,224,460

11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) 547,435

12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12) 36,721,429

27,615,070

Expenses

13 Grants and similar amounts paid (Part IX, column (A), lines 1–3) 7,540,982

818,107

14 Benefits paid to or for members (Part IX, column (A), line 4) 0

0

15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10) 8,886,220

9,574,783

16a Professional fundraising fees (Part IX, column (A), line 11e) 0

0

b Total fundraising expenses (Part IX, column (D), line 25) ▶39,448

20,757,966

13,290,462

17 Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e) 37,185,168

23,683,352

18 Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25) -463,739

3,931,718

Net Assets or Fund Balances

20 Total assets (Part X, line 16) 89,380,944

92,353,784

21 Total liabilities (Part X, line 26) 23,932,607

21,013,911

22 Net assets or fund balances. Subtract line 21 from line 20 65,448,337

71,339,873

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Signature of officer

2021-05-14

Date

GENERAL CARTER F HAM PRESIDENT & CEO

Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if self-employed

PTIN P00444822

Firm's name ▶ TRIMNERBECKHAM PLLC

Firm's EIN ▶ 84-2953567

Firm's address ▶ 1750 TYSONS BLVD SUITE 1500

Phone no. (703) 663-1756

MCLEAN, VA 22102

May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

For Paperwork Reduction Act Notice, see the separate instructions.

Cat. No. 11282Y

Form 990 (2019)

Part III Statement of Program Service AccomplishmentsCheck if Schedule O contains a response or note to any line in this Part III ☒**1** Briefly describe the organization's mission:

THE ASSOCIATION OF THE UNITED STATES ARMY (AUSA) SUPPORTS SOLDIERS, THEIR FAMILIES AND ARMY CIVILIANS, PROVIDES A VOICE FOR THE ARMY, AND HONORS THOSE WHO HAVE SERVED. AS THE ARMY'S PREMIER NONPROFIT, EDUCATIONAL AND PROFESSIONAL ASSOCIATION, WE: -EDUCATE THOSE WE SERVE (SOLDIERS AND THEIR FAMILIES, ARMY CIVILIANS, RETIRED SOLDIERS AND VETERANS, AND THE BUSINESSES AND INDUSTRIES THAT SUPPORT THE ARMY) WITH PROGRAMS, PRODUCTS, RESOURCES, AND EVENTS, -INFORM OUR MEMBERS, CONGRESS, AND THE AMERICAN PUBLIC ABOUT ISSUES AFFECTING AMERICA'S ARMY REGULAR ARMY, ARMY NATIONAL GUARD, AND ARMY RESERVE, AND -CONNECT AMERICA'S TOTAL ARMY, ITS INDUSTRY PARTNERS, LIKE-MINDED ASSOCIATIONS, AND OTHER SUPPORTERS AT THE NATIONAL, REGIONAL, AND CHAPTER LEVELS.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a	(Code:) (Expenses \$	7,477,727	including grants of \$	167,742) (Revenue \$	17,307,987)
See Additional Data					

4b	(Code:) (Expenses \$	5,453,192	including grants of \$	412,003) (Revenue \$	4,670,495)
See Additional Data					

4c	(Code:) (Expenses \$	2,524,918	including grants of \$	1,500) (Revenue \$	)
See Additional Data					

See Additional Data Table

4d	Other program services (Describe in Schedule O.)				
	(Expenses \$	4,511,477	including grants of \$	236,862) (Revenue \$	1,328,084)

4e	Total program service expenses ▶	19,967,314
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Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	1 Yes	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	2 Yes	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3	No
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	4 Yes	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5	No
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6	No
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7	No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	8	No
9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	9	No
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi endowments? If "Yes," complete Schedule D, Part V	10	No
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	11a Yes	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	11b	No
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c	No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX	11d	No
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	11e Yes	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	11f Yes	
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII	12a Yes	
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b	No
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13	No
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a Yes	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	14b Yes	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV	15	No
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV	16	No
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)	17	No
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18 Yes	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19	No
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a	No
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21 Yes	

Part IV Checklist of Required Schedules (continued)

		Yes	No
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22 Yes	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	23 Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a	24a	No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b	
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c	
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d	
25a	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a	No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I	25b	No
26	Did the organization report any amount on Part X, line 5 or 22 for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part II	26 Yes	
27	Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? If "Yes," complete Schedule L, Part III	27	No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a	A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? If "Yes," complete Schedule L, Part IV	28a	No
b	A family member of any individual described in line 28a? If "Yes," complete Schedule L, Part IV	28b	No
c	A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b? If "Yes," complete Schedule L, Part IV	28c	No
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29 Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M	30	No
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31	No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II	32	No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I	33	No
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1	34	No
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	No
b	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b	
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2	36	No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37	No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O.	38 Yes	

Part V Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response or note to any line in this Part V ☐

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	1a 130	
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b 0	
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	

Part V **Statements Regarding Other IRS Filings and Tax Compliance** (continued)

2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a 132			
b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	2b	Yes		
3a Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	Yes		
b If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O	3b	Yes		
4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	Yes		
b If "Yes," enter the name of the foreign country: ►KU , PM See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).				
5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		No	
b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		No	
c If "Yes," to line 5a or 5b, did the organization file Form 8886-T?	5c			
6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a		No	
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b			
7 Organizations that may receive deductible contributions under section 170(c).				
a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	Yes		
b If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	Yes		
c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c		No	
d If "Yes," indicate the number of Forms 8282 filed during the year	7d			
e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		No	
f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		No	
g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g			
h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h			
8 Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?	8			
9 Sponsoring organizations maintaining donor advised funds.				
a Did the sponsoring organization make any taxable distributions under section 4966?	9a			
b Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	9b			
10 Section 501(c)(7) organizations. Enter:				
a Initiation fees and capital contributions included on Part VIII, line 12	10a			
b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b			
11 Section 501(c)(12) organizations. Enter:				
a Gross income from members or shareholders	11a			
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b			
12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?				
b If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	12b			
13 Section 501(c)(29) qualified nonprofit health insurance issuers.				
a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.	13a			
b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b			
c Enter the amount of reserves on hand	13c			
14a Did the organization receive any payments for indoor tanning services during the tax year?	14a		No	
b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	14b			
15 Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? If "Yes," see instructions and file Form 4720, Schedule N.	15		No	
16 Is the organization an educational institution subject to the section 4968 excise tax on net investment income? If "Yes," complete Form 4720, Schedule O.	16		No	

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.
Check if Schedule O contains a response or note to any line in this Part VI ☒

Section A. Governing Body and Management

		Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year	1a 22		
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.			
b Enter the number of voting members included in line 1a, above, who are independent	1b 22		
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2		No
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3		No
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	Yes	
5 Did the organization become aware during the year of a significant diversion of the organization's assets?	5		No
6 Did the organization have members or stockholders?	6	Yes	
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	Yes	
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b	Yes	
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:			
a The governing body?	8a	Yes	
b Each committee with authority to act on behalf of the governing body?	8b	Yes	
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9		No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a Did the organization have local chapters, branches, or affiliates?	10a Yes	
b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b Yes	
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a Yes	
b Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a Did the organization have a written conflict of interest policy? If "No," go to line 13	12a Yes	
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b Yes	
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c Yes	
13 Did the organization have a written whistleblower policy?	13 Yes	
14 Did the organization have a written document retention and destruction policy?	14 Yes	
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official	15a Yes	
b Other officers or key employees of the organization	15b Yes	
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	No
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed▶
AK, AL, AR, CA, CO, CT, FL, GA, HI, IL, KS, KY, LA, MA, MD, ME, MI, MN, MO, MS, NC, ND, NH, NJ, NM, NV, NY, OH, OK, OR, PA, RI, SC, TN, TX, UT, VA, WA, WV, WI

18 Section 6104 requires an organization to make its Form 1023 (or 1024-A if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
☐ Own website ☐ Another's website ☒ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, address, and telephone number of the person who possesses the organization's books and records:
▶MANISHA PATEL 2425 WILSON BOULEVARD ARLINGTON, VA 22201 (703) 907-2643

Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII ☐

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

See instructions for the order in which to list the persons above.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

[illegible]

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

[illegible]

1b Sub-Total			
c Total from continuation sheets to Part VII, Section A			
d Total (add lines 1b and 1c)	3,172,945	0	267,452

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ► 13

		Yes	No
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	3	No
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	4	Yes
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>	5	No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A)	(B)	(C)
Name and business address	Description of services	Compensation
IDEX LLC PO BOX 5546 ABU DHABI AE	EXHIBIT SPACE	1,597,011
ARAMAK SPORTS & ENTERTAINMENT SERVICES L 403 NORTH 3RD STREET RICHMOND, VA 23219	CATERING SERVICES	831,832
WASHINGTON CONVENTION CENTER 801 MOUNT VERNON PLACE NW WASHINGTON, DC 20001	VENUE RENTAL	623,654
HBW GROUP 1055 FIRST STREET SUITE 200 ROCKVILLE, MD 20850	CONSTRUCTION SERVICES	549,633
COGES 65 RUE DE COURCELLES PARIS 75008 FR	EXHIBIT SPACE	508,581

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ► 29

Form 990 (2019)		Page 9				
Part VIII		Statement of Revenue				
Check if Schedule O contains a response or note to any line in this Part VIII ☐						
		(A)	(B)	(C)	(D)	
		Total revenue	Related or exempt function revenue	Unrelated business revenue	Revenue excluded from tax under sections 512 - 514	
Contributions, Gifts, Grants and Other Similar Amounts	1a Federated campaigns	1a				
	b Membership dues	1b				
	c Fundraising events	1c	730,083			
	d Related organizations	1d				
	e Government grants (contributions)	1e				
	f All other contributions, gifts, grants, and similar amounts not included above	1f	2,824,176			
	g Noncash contributions included in lines 1a - 1f: \$	1g	70,020			
	h Total. Add lines 1a-1f ▶		3,554,259			
Program Service Revenue	Business Code					
	2a ANNUAL MEETINGS	900099	15,848,717	15,848,717		
	b MEMBERSHIP DUES	900099	4,670,495	4,670,495		
	c SYMPOSIA & OTHER MEETINGS	900099	1,459,270	658,743	800,527	
	d ADVERTISING	541800	1,293,090		998,743	
	e					
	f All other program service revenue					
g Total. Add lines 2a-2f. ▶		23,271,572				
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts) ▶		1,148,985		1,148,985	
	4 Income from investment of tax-exempt bond proceeds ▶					
	5 Royalties ▶		382,173		382,173	
	6a Gross rents	(i) Real	(ii) Personal			
		6a	497,664	169,639		
	b Less: rental expenses	6b	1,230,476	254,150		
		c Rental income or (loss)	6c	-732,812	-84,511	
	d Net rental income or (loss) ▶		-817,323		-84,511	-732,812
	7a Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other			
		7a	21,203,560	1,450		
	b Less: cost or other basis and sales expenses	7b	20,885,898	588		
		c Gain or (loss)	7c	317,662	862	
	d Net gain or (loss) ▶		318,524			318,524
	8a Gross income from fundraising events (not including \$ 730,083 of contributions reported on line 1c). See Part IV, line 18	8a	346,189			
		b Less: direct expenses	8b	646,191		
	c Net income or (loss) from fundraising events ▶		-300,002			-300,002
	9a Gross income from gaming activities. See Part IV, line 19	9a				
		b Less: direct expenses	9b			
	c Net income or (loss) from gaming activities ▶					
	10a Gross sales of inventory, less returns and allowances	10a	34,994			
b Less: cost of goods sold		10b	21,997			
	c Net income or (loss) from sales of inventory ▶		12,997	12,997		
Miscellaneous Revenue		Business Code				
11a						
b						
c						
d All other revenue			43,885		43,885	
e Total. Add lines 11a-11d ▶			43,885			
12 Total revenue. See instructions ▶			27,615,070	21,190,952	914,232	1,955,627

Form 990 (2019)

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	428,192	428,192		
2 Grants and other assistance to domestic individuals. See Part IV, line 22	389,915	389,915		
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16.				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	2,032,718	1,470,948	561,770	
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	5,665,010	4,614,742	1,050,268	
8 Pension plan accruals and contributions (include section 401 (k) and 403(b) employer contributions)	467,442	369,804	97,638	
9 Other employee benefits	832,448	662,723	169,725	
10 Payroll taxes	577,165	456,608	120,557	
11 Fees for services (non-employees):				
a Management				
b Legal	106,881		106,881	
c Accounting	63,239		63,239	
d Lobbying	9,613	9,613		
e Professional fundraising services. See Part IV, line 17				
f Investment management fees	327,263		327,263	
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	241,911	159,387	82,524	
12 Advertising and promotion	649,005	633,309	1,004	14,692
13 Office expenses	697,903	565,164	109,818	22,921
14 Information technology	1,620,556	1,489,660	130,896	
15 Royalties				
16 Occupancy	1,136,568	647,652	488,916	
17 Travel	339,953	263,648	76,305	
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	4,362,579	4,353,519	7,225	1,835
20 Interest	8,880		8,880	
21 Payments to affiliates	1,954,020	1,954,020		
22 Depreciation, depletion, and amortization	508,241	508,241		
23 Insurance	151,404	70,660	80,744	
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a PRINTING/PUBLICATIONS	443,413	443,413		
b CREDIT CARD FEES	334,105	334,105		
c TAXES	127,050		127,050	
d DUES AND SUBSCRIPTIONS	98,258	91,334	6,924	
e All other expenses	109,620	50,657	58,963	
25 Total functional expenses. Add lines 1 through 24e	23,683,352	19,967,314	3,676,590	39,448
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ☐ if following SOP 98-2 (ASC 958-720).				

Part X Balance SheetCheck if Schedule O contains a response or note to any line in this Part IX ☐

				(A) Beginning of year		(B) End of year
Assets	1	Cash—non-interest-bearing		15,855,513	1	12,026,981
	2	Savings and temporary cash investments		3,360,781	2	2,585,067
	3	Pledges and grants receivable, net			3	
	4	Accounts receivable, net		1,279,496	4	2,297,968
	5	Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons		565,292	5	528,674
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B)			6	
	7	Notes and loans receivable, net			7	
	8	Inventories for sale or use		61,310	8	63,662
	9	Prepaid expenses and deferred charges		2,581,539	9	2,009,919
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a	30,261,511		
	b	Less: accumulated depreciation	10b	16,107,878		
	11	Investments—publicly traded securities		50,783,972	11	58,391,300
	12	Investments—other securities. See Part IV, line 11			12	
	13	Investments—program-related. See Part IV, line 11			13	
	14	Intangible assets			14	
	15	Other assets. See Part IV, line 11		629,293	15	296,580
16	Total assets. Add lines 1 through 15 (must equal line 34)		89,380,944	16	92,353,784	
Liabilities	17	Accounts payable and accrued expenses		3,357,272	17	3,673,319
	18	Grants payable		694,466	18	539,600
	19	Deferred revenue		18,295,524	19	11,644,840
	20	Tax-exempt bond liabilities			20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D			21	
	22	Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons			22	
	23	Secured mortgages and notes payable to unrelated third parties			23	1,000,000
	24	Unsecured notes and loans payable to unrelated third parties			24	1,678,541
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17 - 24). Complete Part X of Schedule D		1,585,345	25	2,477,611
	26	Total liabilities. Add lines 17 through 25		23,932,607	26	21,013,911
Net Assets or Fund Balances	Organizations that follow FASB ASC 958, check here ☒ and complete lines 27, 28, 32, and 33.					
	27	Net assets without donor restrictions		64,958,861	27	70,907,980
	28	Net assets with donor restrictions		489,476	28	431,893
	Organizations that do not follow FASB ASC 958, check here ☐ and complete lines 29 through 33.					
	29	Capital stock or trust principal, or current funds			29	
	30	Paid-in or capital surplus, or land, building or equipment fund			30	
	31	Retained earnings, endowment, accumulated income, or other funds			31	
	32	Total net assets or fund balances		65,448,337	32	71,339,873
33	Total liabilities and net assets/fund balances		89,380,944	33	92,353,784	

Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response or note to any line in this Part XI ☒

1	Total revenue (must equal Part VIII, column (A), line 12)	1	27,615,070
2	Total expenses (must equal Part IX, column (A), line 25)	2	23,683,352
3	Revenue less expenses. Subtract line 2 from line 1	3	3,931,718
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	65,448,337
5	Net unrealized gains (losses) on investments	5	3,569,060
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	-1,609,242
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	71,339,873

Part XII Financial Statements and ReportingCheck if Schedule O contains a response or note to any line in this Part XII ☐

	Yes	No
1 Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis		No
b Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: ☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis	Yes	
c If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	Yes	
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		No
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.		

Additional Data

Software ID:
Software Version:
EIN: 53-0193361
Name: ASSOCIATION OF THE UNITED STATES ARMY

Form 990 (2019)

Form 990, Part III, Line 4a:

AUSA MEETINGS:AUSA HOSTS MANY EDUCATIONAL AND PROFESSIONAL DEVELOPMENT EVENTS THROUGHOUT THE YEAR, INCLUDING:1. BREAKFAST SERIES THAT FEATURES SENIOR ARMY AND GOVERNMENT LEADERS WHO PROVIDE UPDATES ON KEY ISSUES,2. HOT TOPICS SERIES PROVIDES AN IN-DEPTH EXPLORATION OF ARMY REQUIREMENTS AND CHALLENGES, AS WELL AS A FOCUSED DISCUSSION ON FUTURE NEEDS AND STRATEGIES, AND3. NOON REPORT, A FULLY VIRTUAL SERIES THAT FEATURES SENIOR ARMY LEADERS WHO PROVIDE CRITICAL UPDATES ABOUT KEY ISSUES IN THE ARMY. IN ADDITION, WE ORGANIZE SEVERAL DOMESTIC AND INTERNATIONAL EVENTS, INCLUDING: -2019 AUSA ANNUAL MEETING & EXPOSITION - A PROFESSIONAL DEVELOPMENT FORUM:HELD EVERY OCTOBER AT THE WALTER E. WASHINGTON CONVENTION CENTER IN WASHINGTON, DC, THE AUSA ANNUAL MEETING & EXPOSITION IS THE LARGEST LAND POWER EXPOSITION AND PROFESSIONAL DEVELOPMENT FORUM IN NORTH AMERICA. THIS EVENT CONSISTS OF INFORMATIVE AND RELEVANT PRESENTATIONS, PANEL DISCUSSIONS ON PERTINENT MILITARY AND NATIONAL SECURITY SUBJECTS, WORKSHOPS, AND IMPORTANT BUSINESS MEETINGS. KEY LEADERS FROM THE ARMY, DEPARTMENT OF DEFENSE, DEPARTMENT OF HOMELAND SECURITY, AND OTHER GOVERNMENTAL AGENCIES SEE THIS EVENT AS A KEY FORUM TO PRESENT INFORMATION ABOUT THE ARMY'S CURRENT AND FUTURE READINESS TO PERFORM THEIR MANY MISSIONS. IN 2019, MORE THAN 33,000 PEOPLE PARTICIPATED IN THIS EVENT, WITH 650 EXHIBITORS FROM AROUND THE WORLD DISPLAYING THE LATEST TECHNOLOGIES, PRODUCTS, AND SERVICES. EXHIBIT SPACE IS SOLD TO MEMBERS OF AUSA, WITH SOME EXCEPTIONS. ATTENDANCE IS FREE BUT RESTRICTED TO AUSA MEMBERS AND THOSE WITH AN IDENTIFIABLE RELATIONSHIP TO OUR ORGANIZATION OR THE UNITED STATES ARMY. THIS INCLUDES ALL MILITARY AND GOVERNMENT PERSONNEL.-EUROSATORY 2020 - USA SECURITY AND DEFENSE PAVILION (CANCELLED):HELD EVERY OTHER YEAR AT THE PARIS-NORD VILLEPINTE EXHIBITION CENTER IN PARIS, FRANCE, EUROSATORY IS ONE OF THE LARGEST DEFENSE EXPOSITIONS IN THE WORLD. TYPICALLY 60,000 PEOPLE FROM AROUND THE WORLD PARTICIPATE IN THIS EVENT, INCLUDING MORE THAN 1,800 EXHIBITORS. AUSA IS THE OFFICIAL AGENT FOR THE USA SECURITY AND DEFENSE PAVILION, WHICH IS SUPPORTED BY THE U.S. GOVERNMENT, OFFICIALLY CERTIFIED BY THE U.S. DEPARTMENT OF COMMERCE, AND ENDORSED BY THE U.S. DEPARTMENT OF DEFENSE AND AMERICAN EMBASSY. AUSA SELLS EXHIBIT SPACE TO INTERESTED BUSINESSES AND MANAGES THE PROGRAM CONTENT OF THE USA SECURITY AND DEFENSE PAVILION. IN 2018, THE PAVILION INCLUDED 118 U.S. COMPANIES OCCUPYING MORE THAN 2,600 SQUARE METERS OF SPACE. -2020 AUSA GLOBAL FORCE SYMPOSIUM & EXPOSITION - A PROFESSIONAL DEVELOPMENT FORUM (CANCELLED):HELD EACH YEAR AT THE VON BRAUN CENTER IN HUNTSVILLE, ALABAMA, THE AUSA GLOBAL FORCE SYMPOSIUM IS A THREE-DAY EDUCATIONAL AND PROFESSIONAL DEVELOPMENT FORUM. EXHIBIT SPACE AND SPONSORSHIPS ARE SOLD TO MEMBERS AND NON-MEMBERS OF AUSA. ON AVERAGE, 6,000 PEOPLE FROM AROUND THE WORLD PARTICIPATE IN THIS EVENT EACH YEAR, INCLUDING KEY LEADERS FROM THE ARMY, DOD, ACADEMIA, AND THE WORLDWIDE DEFENSE INDUSTRY. -2020 AUSA LANPAC SYMPOSIUM & EXPOSITION - A PROFESSIONAL DEVELOPMENT FORUM (CANCELLED):HELD EACH YEAR AT SHERATON WAIKIKI IN HONOLULU, HI, THE AUSA LANPAC SYMPOSIUM IS A THREE-DAY EDUCATIONAL AND PROFESSIONAL DEVELOPMENT FORUM THAT FOCUSES ON THE ARMY MISSION IN THE INDO-PACIFIC REGION. EXHIBIT SPACE AND SPONSORSHIPS ARE SOLD TO MEMBERS AND NON-MEMBERS OF AUSA. ON AVERAGE, 1,500 PEOPLE FROM AROUND THE WORLD PARTICIPATE IN THIS EVENT EACH YEAR, INCLUDING KEY LEADERS FROM THE ARMY, DOD, ACADEMIA, ALLIED NATIONS, AND THE WORLDWIDE DEFENSE INDUSTRY.

Form 990, Part III, Line 4b:

MEMBERSHIP AND REGIONAL ACTIVITIES:AUSA EXECUTES MEMBERSHIP SUPPORT AT NATIONAL, REGIONAL, AND LOCAL LEVELS THROUGH DEDICATED, ENGAGED VOLUNTEER ORGANIZATIONS IN 9 REGIONS AND 122 CHAPTERS LOCATED ACROSS THE UNITED STATES AND WHERE SOLDIERS ARE STATIONED AROUND THE WORLD. ADDITIONAL VOLUNTEERS ALSO SERVE AS STATE PRESIDENTS TO HELP INTEGRATE EFFORTS ACROSS STATEWIDE PROGRAMS AND WITH ELECTED OFFICIALS. OUR PROGRAMS SUPPORT THE CONCEPTS OF LIFETIME SERVICE AND SOLDIER-FOR-LIFE OUTCOMES THROUGH MEMBERSHIP AND OUTREACH EFFORTS. MEMBERSHIP IS OPEN TO ALL WHO SUPPORT OUR MISSION AND VALUES. MEMBERS INCLUDE INDIVIDUALS AND LIFE MEMBERS, INDUSTRY MEMBERS AT NATIONAL AND COMMUNITY LEVELS, AND OTHER ASSOCIATIONS WITH COMMON GOALS AND OBJECTIVES. WE HELP CONNECT CIVIC LEADERS, ACADEMIA, LARGE AND SMALL BUSINESSES, AND LOCAL COMMUNITIES TO THEIR ARMY AND PROVIDE PROGRAMS THAT LEAD TO A BETTER UNDERSTANDING OF THE COMPLEX AND DIFFICULT ISSUES AND CHOICES OUR ARMY FACES IN DEFENDING OUR NATION. SCHEDULED EVENTS AT THE NATIONAL, REGION, AND LOCAL LEVELS KEEP SOLDIERS AND THOSE WHO SUPPORT THEM CONNECTED TO A VERY BUSY AND ENGAGED ARMY. CHAPTERS PROVIDE A SERIES OF YEAR-ROUND EVENTS, INCLUDING STAFF RIDES, YOUNG PROFESSIONAL MENTORING AND NETWORKING EVENTS, BOOK AND MOVIE REVIEW PROGRAMS HIGHLIGHTING LEADERSHIP ATTRIBUTES, SUBJECT MATTER EXPERT SPEAKERS, PROFESSIONAL DEVELOPMENT SYMPOSIA, FAMILY FORUMS, SOCIAL EVENTS THAT FOSTER ESPRIT AND GOODWILL, AND COMMUNITY PROJECTS THAT TARGET NEEDS AT LOCAL LEVELS. OUR CHAPTERS AND REGIONS ALSO PROMOTE EDUCATION AND RECOGNITION OF EXCELLENCE THROUGH SCHOLARSHIPS, CONTRIBUTIONS, AND AWARDS VALUED AT MORE THAN \$1 MILLION, ANNUALLY. AUSA NATIONAL HEADQUARTERS PROVIDES ANNUAL TRAINING, RESOURCES, AND TOOLS FOR CHAPTER VOLUNTEER LEADERS, A ROBUST MEMBER BENEFITS PROGRAM, SUPPORT TO JUNIOR AND SENIOR RESERVE OFFICER TRAINING CORPS PROGRAMS AT MILITARY AND CIVILIAN UNIVERSITIES, A HIGHLY ACCLAIMED GUIDE FOR SOLDIERS TRANSITIONING OUT OF THE MILITARY, AND SUPPORT TO SOLDIER RETIREMENT AND VETERANS HOMES. WE ALSO ADMINISTER SCHOLARSHIP PROGRAMS AT A NATIONAL LEVEL TO ENCOURAGE LIFELONG LEARNING AND KEEPING PACE WITH CHANGING REQUIREMENTS.

Form 990, Part III, Line 4c:

EDUCATION AND PROGRAMS: AUSA'S EDUCATION & PROGRAMS (EDU&P) IS CHARGED WITH INFORMING AND EDUCATING OUR MEMBERSHIP; LOCAL, REGIONAL AND NATIONAL LEADERS; AND THE AMERICAN PUBLIC ON THE CRITICAL NATURE OF LAND WARFARE AND THE IMPORTANCE OF THE UNITED STATES ARMY. IN CARRYING OUT ITS MISSION, THE DEPARTMENT MANAGES AN EDUCATIONAL PROGRAM OF PROFESSIONAL PUBLICATIONS AND EVENTS THAT ARE DESIGNED TO FOCUS THE DEFENSE DEBATE ON ISSUES OF IMPORTANCE TO THE NATION'S SECURITY AND THE VITAL ROLE OF THE ARMY. -AUSA'S EDU&P PUBLICATIONS LIST: LAND WARFARE PAPERS SERIES DESIGNED TO PROVIDE AN OUTLET FOR ORIGINAL ESSAYS. MONOGRAPHS ON KEY DEFENSE ISSUES WRITTEN BY DEFENSE EXPERTS AND SCREENED FOR PUBLICATION BY AUSA'S EDITORIAL BOARD. LANDPOWER ESSAYS ANOTHER SERIES DESIGNED TO PROVIDE AN OUTLET FOR ORIGINAL ESSAYS (SHORTER IN LENGTH THAN THE LAND WARFARE PAPERS) ON TOPICS THAT WILL STIMULATE PROFESSIONAL DISCUSSION AND FURTHER PUBLIC UNDERSTANDING OF THE LANDPOWER ASPECTS OF NATIONAL SECURITY AND SCREENED FOR PUBLICATION BY AUSA'S EDITORIAL BOARD. SPOTLIGHTS HIGHLIGHT KEY ISSUES RELEVANT TO THE U.S. ARMY AND ITS ROLE IN NATIONAL DEFENSE. THEY ARE DISTRIBUTED TO MILITARY AND CIVILIAN LEADERSHIP, SELECTED SEGMENTS OF THE AMERICAN PUBLIC, MEMBERS OF CONGRESS, KEY CONGRESSIONAL STAFF, INDUSTRY, AND THE ADMINISTRATION. SPECIAL REPORTS OCCASIONAL PUBLICATIONS THAT FALL OUTSIDE OF REGULAR SERIES. WHETHER THEY ARE SHORT PAPERS, MID-SIZE PAMPHLETS, OR LONGER HANDBOOKS, THEY AIM TO INFORM CONSTITUENTS AND INCREASE PROFESSIONAL AND SOMETIMES FAMILIAL DEVELOPMENT FOR SOLDIERS AND ALL THOSE WHO SERVE IN THE DEFENSE COMMUNITY. PROFILE OF THE U.S. ARMY: A REFERENCE HANDBOOK A USER-FRIENDLY REFERENCE BOOK FOR PEOPLE FAMILIAR WITH THE ARMY AND AN EASY-TO-READ INTRODUCTION FOR FAMILY MEMBERS, CIVILIAN EMPLOYEES, INDUSTRY PARTNERS AND FUTURE SOLDIERS. PUBLISHED EVERY TWO YEARS, IT DESCRIBES THE ARMY'S ROLE AS A KEY ELEMENT IN THE NATIONAL SECURITY STRUCTURE AS WELL AS THE ARMY'S ORGANIZATION. THE PROFILE ALSO CONTAINS INFORMATION AND GRAPHICS ON THE SOLDIER, THE UNIFORM, THE ARMY'S COMMAND STRUCTURE, ARMY FAMILIES, AND THE ARMY'S CURRENT OPERATIONS. FINALLY, PROFILE CONTAINS AN INDEX OF MAPS ILLUSTRATING LOCATIONS OF CURRENT ARMY COMBAT CORPS AND DIVISIONS, ARMY NATIONAL GUARD DIVISIONS AND BRIGADE COMBAT TEAMS, AND ARMY RESERVE DIRECT REPORTING COMMANDS. -EDU&P PROGRAMS: CONTEMPORARY MILITARY FORUM HOSTED DURING AUSA'S ANNUAL MEETING, GLOBAL FORCE SYMPOSIUM, LANPAC SYMPOSIUM, FUTURES SYMPOSIUM, AND OTHER SYMPOSIA THROUGHOUT THE YEAR ON TOPICS OF CURRENT INTEREST TO ARMY PROFESSIONALS AND AUSA MEMBERS. TOPICS INCLUDE BUT ARE NOT LIMITED TO READINESS, MODERNIZATION, AND REFORM. AUSA'S SENIOR EXECUTIVE ROUNDTABLE SERIES BRINGS TOGETHER KNOWLEDGEABLE SENIOR LEADERSHIP FROM BOTH THE ARMY AND INDUSTRY FOR SMALL-GROUP, IN-DEPTH DISCUSSIONS ON ARMY CAPABILITIES AND REQUIREMENTS IN KEY MISSION AREAS. THE ROUNDTABLE GENERATES FEEDBACK FOR THE ARMY AND CREATES INFORMED ADVOCATES WHO CAN ARTICULATE THE ARMY'S FUTURE CONCEPTS AND NEEDS TO THE WIDE VARIETY OF AUDIENCES WHO CONTRIBUTE TO THESE VITAL MISSION SETS. THERE ARE SEVEN SERT TOPICS (E.G., ARMY SUSTAINMENT, ARMY INFRASTRUCTURE, ARMY AIR & MISSILE DEFENSE); EACH SERT ASSEMBLES TWO TO THREE TIMES PER YEAR, IS LIMITED TO 24 PARTICIPANTS, AND IS CONDUCTED WITH NO AUDIENCE, NO PRESS, AND NO OFFICIAL MINUTES OR RECORDING. ROGERS STRATEGIC ISSUES FORUM SERIES HOSTED FOUR TO SIX TIMES ANNUALLY TO INFORM AND CONTINUE THE PUBLIC DEBATE ON CRITICAL DEFENSE ISSUES, PARTICULARLY THOSE RELATED TO LANDPOWER. THESE EARLY-EVENING EVENTS, NAMED FOR GENERAL BERNARD W. ROGERS, PROVIDE SPEAKING OPPORTUNITIES FOR SENIOR LEADERS IN THE UNIFORMED SERVICES, THE DEPARTMENT OF STATE, ACADEMIA, AND THINK TANKS. LEMNITZER LECTURE SERIES OFFERS LECTURES BY AUTHORS OF BOOKS ON TOPICS OF INTEREST TO SOLDIERS, INDUSTRY, AND ACADEMIA FOCUSED ON THE MILITARY AND THE DEFENSE COMMUNITY. NAMED TO HONOR GENERAL LYMAN L. LEMNITZER, THESE LECTURES HAVE BEEN HOSTED FOUR TIMES ANNUALLY FOR ALMOST 25 YEARS. THOUGHT LEADERS WEBINAR SERIES TEMPORARILY TAKING THE PLACE OF THE GENERAL BERNARD W. ROGERS STRATEGIC ISSUES FORUM AND GENERAL LYMAN L. LEMNITZER LECTURE SERIES. THE WEBINAR SERIES, AN EXTENSION OF OUR THOUGHT LEADERS PODCAST, HAS A TIGHT FOCUS ON SENIOR MILITARY LEADERS AND CONTEMPORARY MILITARY AUTHORS, SEEKING TO EDUCATE THE PUBLIC ON CRITICAL ISSUES AFFECTING LAND FORCES AND STRATEGY. AUSA'S ARMY MATTERS PODCAST SERIES AUSA LAUNCHED A PODCAST SERIES, ARMY MATTERS, IN JANUARY 2019. A ROTATING CAST OF HOSTS PROVIDES VITAL INFORMATION ON ARMY AND DEFENSE ISSUES THROUGH INTERVIEWS AND CONVERSATIONS WITH BOTH MILITARY AND CIVILIAN EXPERTS. A NEW EPISODE IS POSTED EACH MONDAY, CYCLING THROUGH THE FOUR SHOWS AND WITH OCCASIONAL SPECIAL EPISODES. THE GOAL OF THE PODCAST IS TO EDUCATE, INFORM AND CONNECT WITH THE PUBLIC - EXTENDING AUSA'S EDUCATIONAL REACH TO CURRENT AND FUTURE MEMBERS. THE SERIES INCLUDES SOLDIER TODAY, WHICH FOCUSES ON PROFESSIONAL DEVELOPMENT AND TRENDING ISSUES FOR NCOS; ARMY REAL TALK, WHICH DISCUSSES DEFENSE AND NATIONAL SECURITY ISSUES TARGETED AT YOUNGER GENERATIONS; FAMILY VOICES, WHICH FOCUSES ON MILITARY FAMILIES AND QUALITY OF LIFE ISSUES; AND THOUGHT LEADERS, WHICH CONDUCTS INTERVIEWS WITH SENIOR ARMY LEADERS AND CONTEMPORARY MILITARY AUTHORS. AUSA BOOK PROGRAM WORKS WITH PUBLISHING PARTNERS TO PRODUCE BOOKS ABOUT ARMY HERITAGE, MILITARY HISTORY, AND NATIONAL SECURITY IN ORDER TO FOSTER UNDERSTANDING AND APPRECIATION OF THE U.S. ARMY AND ITS SOLDIERS.

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and (4) organizations and 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

(Code:) (Expenses \$ 2,570,071 including grants of \$) (Revenue \$ 1,328,084)

ARMY MAGAZINE:THE ASSOCIATION PUBLISHED A MONTHLY PUBLICATION - ARMY MAGAZINE - AND TWO WEEKLY DIGITAL PUBLICATIONS - AUSA'S FIVE THINGS AND AUSA EXTRA - AIMED AT PROVIDING ARMY-SPECIFIC AND AUSA-RELATED INFORMATION TO ASSOCIATION MEMBERS. THE AWARD-WINNING MAGAZINE INCLUDES FEATURE ARTICLES AND COMMENTARIES FOCUSING ON EDUCATION AND PROFESSIONAL DEVELOPMENT, A CORE MISSION OF THE ASSOCIATION'S CHARTER. AUSA EXTRA IS A WEEKLY NEWSPAPER COVERING NEWS RELATED TO THE ARMY, AUSA EVENTS, AND AUSA CHAPTER ACTIVITIES. AUSA'S FIVE THINGS IS A WEEKLY FORWARD-LOOKING TIP SHEET FOR ASSOCIATION MEMBERS PROVIDING INSIGHT INTO ARMY-RELATED NEWS.

(Code:) (Expenses \$ 1,102,019 including grants of \$) (Revenue \$)

NON-COMMISSIONED OFFICER (NCO) AND SOLDIER PROGRAMSNCO AND SOLDIER PROGRAMS PROVIDE PROFESSIONAL DEVELOPMENT AND EDUCATIONAL MATERIALS FOR THE ARMY'S ENLISTED FORCE. APPROXIMATELY 83% OF THE REGULAR ARMY, ARMY NATIONAL GUARD AND THE ARMY RESERVE CONSIST OF ENLISTED VOLUNTEERS WHO ENLIST INTO THE ARMY TO SERVE FOR A SPECIFIED PERIOD OF SERVICE. NCO AND SOLDIER PROGRAMS DEVELOP EDUCATIONAL, LEADERSHIP, MENTORING, AND PROFESSIONAL DEVELOPMENT MATERIALS SUITED FOR THE YOUNG ENLISTED LEADERS OF AMERICA'S ARMY. THESE MATERIALS RANGE FROM JOURNAL TYPE ARTICLES PUBLISHED FOR THE MONTHLY MAGAZINE AND NEWSPAPER, A TWICE WEEKLY PUBLICATION TITLED SOLDIER TODAY, A MONTHLY PODCAST SERIES TITLED SOLDIER TODAY, ON-LINE PROFESSIONAL FORUM TITLED THE NOON REPORT AND SENIOR NCO LECTURES FOCUSING ON TOPICS RELEVANT TO THE ENLISTED FORCE. NCO AND SOLDIER PROGRAMS PROVIDES THE EDUCATIONAL MATERIALS NEEDED BY TODAY'S PROFESSIONAL SOLDIER TO REMAIN COMPETITIVE FOR ADVANCEMENT AND TO SERVE SUCCESSFULLY IN POSITIONS OF INCREASED RESPONSIBILITY IN MORE THAN 145 OCCUPATIONAL SPECIALITIES. NCO AND SOLDIER PROGRAMS ADDS TO CURRENT DOCTRINAL PUBLICATIONS THE WISDOM OF MANY DECADES WORTH OF HANDS-ON LEADERSHIP EXPERIENCE NEEDED BY DEVELOPING NCOS. ALL THE NCO AND SOLDIER PROGRAM PUBLICATIONS PROVIDE SENIOR AND ALLIED LEADERS THE NECESSARY TOOLS NEEDED FOR PROFESSIONAL DEVELOPMENT AND GROWTH OF YOUNG NCO LEADERS IN THEIR RESPECTIVE ORGANIZATIONS DEPLOYED GLOBALLY. NCO AND SOLDIER PROGRAMS ENABLE PROFESSIONAL DEVELOPMENT EVENTS FOCUSED ON NCOS AND SOLDIERS THROUGHOUT ALL 121 CHAPTERS AND AUSA'S PRINCIPLE MEETINGS CONDUCTED ANNUALLY THROUGHOUT THE YEAR.

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and (4) organizations and 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

(Code:) (Expenses \$ 839,387 including grants of \$ 236,862) (Revenue \$)

GOVERNMENT AFFAIRS, PUBLIC AFFAIRS, AND OTHER PROGRAMS
AUSA GOVERNMENT AFFAIRS LEADS THE DEVELOPMENT AND MANAGES THE EXECUTION OF THE STRATEGY FOR ADVOCATING AUSA AND TOTAL ARMY PRIORITIES WITH THE UNITED STATES CONGRESS, THE DEPARTMENT OF DEFENSE, OTHER ASSOCIATIONS, THINK TANKS, AND LIKE-MINDED ORGANIZATIONS IN THE NATIONAL CAPITAL REGION. GOVERNMENT AFFAIRS' WORK PRODUCTS INCLUDE ANNUALLY PUBLISHING AUSA FOCUS AREAS (PRIORITIES), HOSTING A CONGRESSIONAL STAFF DURING THE AUSA ANNUAL MEETING, ENGAGEMENT PLANS IN SUPPORT OF AUSA PRIORITIES AND AUSA SUPPORTED LEGISLATION WITH THE CONGRESS, HOSTING CONGRESSIONAL STAFF AT AUSA SYMPOSIUMS, AND ADVOCACY FOR AUSA AND TOTAL ARMY PRIORITIES WITH DOD.

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
PHEBE N NOVAKOVIC CHAIRMAN	1.00	X		X				0	0	0
THOMAS W RABAUT DEPUTY CHAIRMAN	1.00	X		X				0	0	0
JACK L TILLEY DIRECTOR	1.00	X						0	0	0
DAVID H OHLE DIRECTOR	1.00	X						0	0	0
PHILIP E SAKOWITZ DIRECTOR	1.00	X						0	0	0
THOMAS ARSENEAULT DIRECTOR	1.00	X						0	0	0
WILLIAM M BROWN DIRECTOR	1.00	X						0	0	0
LEANNE CARET DIRECTOR	1.00	X						0	0	0
RUDY DELEON DIRECTOR	1.00	X						0	0	0
ANN E DUNWOODY DIRECTOR	1.00	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
JOHN D HARRIS II DIRECTOR	1.00	X						0	0	0
PATRICIA P HICKERSON DIRECTOR	1.00	X						0	0	0
LARRY R JORDAN DIRECTOR	1.00	X						0	0	0
LEROY A PETRY DIRECTOR	1.00	X						0	0	0
DENNIS J REIMER DIRECTOR	1.00	X						0	0	0
FRANK A ST JOHN DIRECTOR	1.00	X						0	0	0
JACK C STULTZ DIRECTOR	1.00	X						0	0	0
CARL E VUONO DIRECTOR	1.00	X						0	0	0
PHYLLIS J WILSON DIRECTOR	1.00	X						0	0	0
MARK C ROUALET DIRECTOR	1.00	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
ANDY HOVE DIRECTOR	1.00	X						0	0	0
MITCH SNYDER DIRECTOR	1.00	X						0	0	0
CARTER F HAM PRESIDENT & CHIEF EXECUTIVE OFFICER	38.00			X				717,600	0	24,553
ANN BELYEA CORPORATE SECRETARY	38.00			X				165,363	0	20,657
MANISHA PATEL TREASURER AND CONTROLLER	38.00			X				156,289	0	47,023
PATRICIA E MCQUISTION VICE PRESIDENT, MEMBERSHIP & MEETINGS	38.00				X			471,982	0	25,396
GUY C SWAN VICE PRESIDENT, EDUCATION	38.00				X			448,811	0	24,534
KENNETH O PRESTON VICE PRESIDENT, NCO (LEFT 01/2020)	38.00				X			282,021	0	17,284
RICHARD MAZE EDITOR-IN-CHIEF	38.00					X		216,757	0	30,199
MICHAEL SCANLAN DIRECTOR, MEETINGS	38.00					X		190,789	0	14,862

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
HARRY ROTHMANN CHIEF TECHNICAL OFFICER	38.00					X		180,907	0	49,175
DANIEL ROPER DIRECTOR, NATIONAL SECURITY STUDIES	38.00					X		142,426	0	13,769
ROBERT BROWN DISTINGUISHED SENIOR FELLOW	1.00					X		200,000	0	0

SCHEDULE A
(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
ASSOCIATION OF THE UNITED STATES ARMY

Employer identification number
53-0193361

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990 or 990-EZ).)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state:
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9 ☐ An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture. See instructions. Enter the name, city, and state of the college or university:
- 10 ☒ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 11 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
- a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
- b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
- c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
- d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
- e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
- f Enter the number of supported organizations
- g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III.
If the organization failed to qualify under the tests listed below, please complete Part III.)

Section A. Public Support							
	Calendar year (or fiscal year beginning in) ▶	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
1	Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grant.") . . .						
2	Tax revenues levied for the organization's benefit and either paid to or expended on its behalf. . . .						
3	The value of services or facilities furnished by a governmental unit to the organization without charge..						
4	Total. Add lines 1 through 3						
5	The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f). . .						
6	Public support. Subtract line 5 from line 4.						
Section B. Total Support							
	Calendar year (or fiscal year beginning in) ▶	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
7	Amounts from line 4. . .						
8	Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources. . . .						
9	Net income from unrelated business activities, whether or not the business is regularly carried on. .						
10	Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.). . .						
11	Total support. Add lines 7 through 10						
12	Gross receipts from related activities, etc. (see instructions)					12	
13	First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ▶ ☐						
Section C. Computation of Public Support Percentage							
14	Public support percentage for 2019 (line 6, column (f) divided by line 11, column (f))					14	
15	Public support percentage for 2018 Schedule A, Part II, line 14					15	
16a	33 1/3% support test—2019. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶ ☐						
b	33 1/3% support test—2018. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶ ☐						
17a	10%-facts-and-circumstances test—2019. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ ☐						
b	10%-facts-and-circumstances test—2018. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ ☐						
18	Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ ☐						

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	1,249,383	3,555,834	1,396,864	5,245,349	4,284,342	15,731,772
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose	29,999,300	26,815,412	10,704,481	27,694,988	21,577,740	116,791,921
3 Gross receipts from activities that are not an unrelated trade or business under section 513				259,283		259,283
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5	31,248,683	30,371,246	12,101,345	33,199,620	25,862,082	132,782,976
7a Amounts included on lines 1, 2, and 3 received from disqualified persons		15,971	307	21,583	39,402	77,263
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year.		2,028,547	846,434	10,553,823	579,395	14,008,199
c Add lines 7a and 7b.		2,044,518	846,741	10,575,406	618,797	14,085,462
8 Public support. (Subtract line 7c from line 6.)						118,697,514

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
9 Amounts from line 6.	31,248,683	30,371,246	12,101,345	33,199,620	25,862,082	132,782,976
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	3,636,034	3,268,896	1,414,434	3,186,541	2,028,822	13,534,727
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975.		7,445				7,445
c Add lines 10a and 10b.	3,636,034	3,276,341	1,414,434	3,186,541	2,028,822	13,542,172
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on.	826,795	479,854	290,675			1,597,324
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)				4,884	43,885	48,769
13 Total support. (Add lines 9, 10c, 11, and 12.)	35,711,512	34,127,441	13,806,454	36,391,045	27,934,789	147,971,241
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here. ☐						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2019 (line 8, column (f) divided by line 13, column (f))	15	80.220 %
16 Public support percentage from 2018 Schedule A, Part III, line 15	16	79.650 %

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2019 (line 10c, column (f) divided by line 13, column (f))	17	9.150 %
18 Investment income percentage from 2018 Schedule A, Part III, line 17	18	10.050 %

19a 33 1/3% support tests—2019. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization. ☒**b 33 1/3% support tests—2018.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization. ☐**20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions. ☐

Part IV Supporting Organizations

(Complete only if you checked a box on line 12 of Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>		
1		
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>		
2		
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer (b) and (c) below.</i>		
3a		
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>		
3b		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>		
3c		
4a Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes" and if you checked 12a or 12b in Part I, answer (b) and (c) below.</i>		
4a		
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>		
4b		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>		
4c		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>		
5a		
b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
5b		
c Substitutions only. Was the substitution the result of an event beyond the organization's control?		
5c		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>		
6		
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ) .</i>		
7		
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
8		
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>		
9a		
b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>		
9b		
c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>		
9c		
10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer line 10b below.</i>		
10a		
b Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings).</i>		
10b		

Part IV

Supporting Organizations (continued)

	Yes	No
11 Has the organization accepted a gift or contribution from any of the following persons?		
a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
b A family member of a person described in (a) above?		
c A 35% controlled entity of a person described in (a) or (b) above? <i>If "Yes" to a, b, or c, provide detail in Part VI.</i>		
11a		
11b		
11c		

Section B. Type I Supporting Organizations

	Yes	No
1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? <i>If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</i>		
1		
2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? <i>If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.</i>		
2		

Section C. Type II Supporting Organizations

	Yes	No
1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? <i>If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</i>		
1		

Section D. All Type III Supporting Organizations

	Yes	No
1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
1		
2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization (s) or (ii) serving on the governing body of a supported organization? <i>If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</i>		
2		
3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? <i>If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.</i>		
3		

Section E. Type III Functionally-Integrated Supporting Organizations

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions):		
a ☐ The organization satisfied the Activities Test. Complete line 2 below.		
b ☐ The organization is the parent of each of its supported organizations. Complete line 3 below.		
c ☐ The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions)		
2 Activities Test. Answer (a) and (b) below.		
a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? <i>If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</i>	Yes	No
2a		
b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? <i>If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.</i>		
2b		
3 Parent of Supported Organizations. Answer (a) and (b) below.		
a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>Provide details in Part VI.</i>		
3a		
b Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? <i>If "Yes," describe in Part VI the role played by the organization in this regard.</i>		
3b		

Part V

Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

1		☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI). See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.	
Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	Adjusted Net Income (subtract lines 5, 6 and 7 from line 4)	8	
Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):	1	
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	Total (add lines 1a, 1b, and 1c)	1d	
e	Discount claimed for blockage or other factors (explain in detail in Part VI):		
2	Acquisition indebtedness applicable to non-exempt use assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions).	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035	6	
7	Recoveries of prior-year distributions	7	
8	Minimum Asset Amount (add line 7 to line 6)	8	
Section C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3	4	
5	Income tax imposed in prior year	5	
6	Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	
7	☐ Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)		

Part V

Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI). See instructions	
7 Total annual distributions. Add lines 1 through 6.	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions	
9 Distributable amount for 2019 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2019	(iii) Distributable Amount for 2019
1 Distributable amount for 2019 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2019 (reasonable cause required-- explain in Part VI). See instructions.			
3 Excess distributions carryover, if any, to 2019:			
a From 2014.			
b From 2015.			
c From 2016.			
d From 2017.			
e From 2018.			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2019 distributable amount			
i Carryover from 2014 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4 Distributions for 2019 from Section D, line 7:			
\$			
a Applied to underdistributions of prior years			
b Applied to 2019 distributable amount			
c Remainder. Subtract lines 4a and 4b from 4.			
5 Remaining underdistributions for years prior to 2019, if any. Subtract lines 3g and 4a from line 2. If the amount is greater than zero, explain in Part VI. See instructions.			
6 Remaining underdistributions for 2019. Subtract lines 3h and 4b from line 1. If the amount is greater than zero, explain in Part VI. See instructions.			
7 Excess distributions carryover to 2020. Add lines 3j and 4c.			
8 Breakdown of line 7:			
a Excess from 2015.			
b Excess from 2016.			
c Excess from 2017.			
d Excess from 2018.			
e Excess from 2019.			

Additional Data

Software ID:

Software Version:

EIN: 53-0193361

Name: ASSOCIATION OF THE UNITED STATES ARMY

Part VI **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶Complete if the organization is described below. ▶Attach to Form 990 or Form 990-EZ.
▶Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of the organization ASSOCIATION OF THE UNITED STATES ARMY	Employer identification number 53-0193361
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Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1	Provide a description of the organization's direct and indirect political campaign activities in Part IV (see instructions for definition of "political campaign activities")	
2	Political campaign activity expenditures (see instructions)	\$
3	Volunteer hours for political campaign activities (see instructions)	

Part I-B Complete if the organization is exempt under section 501(c)(3).

1	Enter the amount of any excise tax incurred by the organization under section 4955	\$
2	Enter the amount of any excise tax incurred by organization managers under section 4955	\$
3	If the organization incurred a section 4955 tax, did it file Form 4720 for this year?	☐ Yes ☐ No
4a	Was a correction made?	☐ Yes ☐ No
b	If "Yes," describe in Part IV.	

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1	Enter the amount directly expended by the filing organization for section 527 exempt function activities	\$
2	Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities	\$
3	Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b	\$
4	Did the filing organization file Form 1120-POL for this year?	☐ Yes ☐ No
5	Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.	

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.
1				
2				
3				
4				
5				
6				

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**A** Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).**B** Check ☐ if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)															
b Total lobbying expenditures to influence a legislative body (direct lobbying)		9,613													
c Total lobbying expenditures (add lines 1a and 1b)		9,613													
d Other exempt purpose expenditures		24,439,534													
e Total exempt purpose expenditures (add lines 1c and 1d)		24,449,147													
f Lobbying nontaxable amount. Enter the amount from the following table in both columns.		1,000,000													
<table border="1"> <thead> <tr> <th>If the amount on line 1e, column (a) or (b) is:</th> <th>The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e.	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.	Over \$17,000,000	\$1,000,000.		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e.														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.														
Over \$17,000,000	\$1,000,000.														
g Grassroots nontaxable amount (enter 25% of line 1f)		250,000													
h Subtract line 1g from line 1a. If zero or less, enter -0-		0													
i Subtract line 1f from line 1c. If zero or less, enter -0-		0													
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		☐ Yes ☐ No													

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) Total
2a Lobbying nontaxable amount	1,000,000	1,000,000	1,000,000	1,000,000	4,000,000
b Lobbying ceiling amount (150% of line 2a, column(e))					6,000,000
c Total lobbying expenditures	1,659	2,506	14,427	9,613	28,205
d Grassroots nontaxable amount	250,000	250,000	250,000	250,000	1,000,000
e Grassroots ceiling amount (150% of line 2d, column (e))					1,500,000
f Grassroots lobbying expenditures					

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

		(a)		(b)
		Yes	No	Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a	Volunteers?			
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c	Media advertisements?			
d	Mailings to members, legislators, or the public?			
e	Publications, or published or broadcast statements?			
f	Grants to other organizations for lobbying purposes?			
g	Direct contact with legislators, their staffs, government officials, or a legislative body?			
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i	Other activities?			
j	Total. Add lines 1c through 1i			
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1	
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3 Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues .	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1. Also, complete this part for any additional information.

Return Reference	Explanation
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SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

► Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
► Attach to Form 990.
► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
ASSOCIATION OF THE UNITED STATES ARMY

Employer identification number
53-0193361

Part I

Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.
Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate value of contributions to (during year)		
3 Aggregate value of grants from (during year)		
4 Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?

☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?

☐ Yes ☐ No

Part II

Conservation Easements.
Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).
☐ Preservation of land for public use (e.g., recreation or education) ☐ Preservation of an historically important land area
☐ Protection of natural habitat ☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ►

4 Number of states where property subject to conservation easement is located ►

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ►

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ► \$

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?

☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.
Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included on Form 990, Part VIII, line 1 ► \$

(ii) Assets included in Form 990, Part X ► \$

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenue included on Form 990, Part VIII, line 1 ► \$

b Assets included in Form 990, Part X ► \$

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Cat. No. 52283D Schedule D (Form 990) 2019

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a

☐ Public exhibition

b

☐ Scholarly research

c

☐ Preservation for future generations

d

☐ Loan or exchange programs

e

☐ Other

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? . . .

☐ Yes

☐ No

Part IV

Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIII and complete the following table:

c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance

	Amount
1c	
1d	
1e	
1f	

2a

Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? . . .

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

☐

Part V

Endowment Funds.

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	0	17,575,288	28,434,404	33,820,379	31,274,803
b Contributions			99,200	3,390	3,374,106
c Net investment earnings, gains, and losses			620,388	2,158,357	1,795,151
d Grants or scholarships					
e Other expenditures for facilities and programs		17,575,288	11,540,712	7,525,325	2,605,513
f Administrative expenses			37,992	22,397	18,168
g End of year balance	0	0	17,575,288	28,434,404	33,820,379

2

Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

a

Board designated or quasi-endowment ▶

b

Permanent endowment ▶

c

Temporarily restricted endowment ▶

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

(i) unrelated organizations

3a(i)

Yes

No

(ii) related organizations

3a(ii)

Yes

No

b

If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?

3b

Yes

No

4

Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI

Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		455,285		455,285
b Buildings		20,608,071	9,167,266	11,440,805
c Leasehold improvements				
d Equipment		1,855,659	1,487,123	368,536
e Other		7,342,496	5,453,489	1,889,007
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).) . . . ▶				14,153,633

Schedule D (Form 990) 2019

Part VII

Investments—Other Securities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other _____		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 12.) ▶		

Part VIII

Investments—Program Related.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col.(B) line 13.) ▶		

Part IX

Other Assets.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col.(B) line 15.) ▶	

Part X

Other Liabilities.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

(a) Description of liability	(b) Book value
1. (1) Federal income taxes	
(2) TENANT DEPOSITS	95,391
(3) ACCRUED PENSION COST	2,382,220
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
Total. (Column (b) must equal Form 990, Part X, col.(B) line 25.) ▶	2,477,611

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII ☒

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements	1	30,344,015
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains (losses) on investments	2a	3,569,060
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIII.)	2d	
e	Add lines 2a through 2d	2e	3,569,060
3	Subtract line 2e from line 1	3	26,774,955
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1 :		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	327,263
b	Other (Describe in Part XIII.)	4b	512,852
c	Add lines 4a and 4b	4c	840,115
5	Total revenue. Add lines 3 and 4c . (This must equal Form 990, Part I, line 12.)	5	27,615,070

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements	1	23,021,010
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIII.)	2d	2,678,970
e	Add lines 2a through 2d	2e	2,678,970
3	Subtract line 2e from line 1	3	20,342,040
4	Amounts included on Form 990, Part IX, line 25, but not on line 1 :		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	327,263
b	Other (Describe in Part XIII.)	4b	3,014,049
c	Add lines 4a and 4b	4c	3,341,312
5	Total expenses. Add lines 3 and 4c . (This must equal Form 990, Part I, line 18.)	5	23,683,352

Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
See Additional Data Table	

Part XIII Supplemental Information *(continued)*

Return Reference	Explanation

Additional Data

Software ID:
Software Version:
EIN: 53-0193361
Name: ASSOCIATION OF THE UNITED STATES ARMY

Supplemental Information

Return Reference	Explanation
PART V, LINE 4:	THE BOARD OF DIRECTORS VOTED TO REMOVE ALL RESTRICTIONS FROM THE QUASI-ENDOWMENT. THE FUNDS ARE NOW UNRESTRICTED.

Supplemental Information

Return Reference	Explanation
PART X, LINE 2:	THE ASSOCIATION IS EXEMPT FROM FEDERAL INCOME TAXES UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE (IRC), EXCEPT FOR INCOME FROM ACTIVITIES NOT RELATED TO ITS TAX-EXEMPT PURPOSE, WHICH PRIMARILY INCLUDES THE ASSOCIATION'S ADVERTISING ACTIVITIES. AN IMMATERIAL PROVISION FOR INCOME TAXES WAS RECORDED DURING THE YEAR ENDED JUNE 30, 2020 AND 2019, RELATED TO THE ASSOCIATION'S ADVERTISING AND QUALIFIED TRANSPORTATION BENEFITS. THE ASSOCIATION IS NOT A PRIVATE FOUNDATION PURSUANT TO SECTION 509(A)(3) OF THE IRC. THE ASSOCIATION'S INCOME TAX RETURNS ARE SUBJECT TO REVIEW AND EXAMINATION BY FEDERAL AND STATE AUTHORITIES. MANAGEMENT HAS EVALUATED THE ASSOCIATION'S TAX POSITIONS AND HAS CONCLUDED THAT THE ASSOCIATION HAS TAKEN NO MATERIAL UNCERTAIN TAX POSITIONS THAT REQUIRE ADJUSTMENT TO THE FINANCIAL STATEMENTS TO COMPLY WITH THE PROVISIONS OF THIS GUIDANCE.

Supplemental Information	
Return Reference	Explanation
PART XI, LINE 4B - OTHER ADJUSTMENTS:	REVENUE FROM UNAUDITED DIVISIONS 2,665,666. SPECIAL EVENT EXPENSES -646,191. RENTAL EXPENSES -1,484,626. COST OF GOODS SOLD -21,997.

Supplemental Information	
Return Reference	Explanation
PART XII, LINE 2D - OTHER ADJUSTMENTS:	COST OF GOODS SOLD 21,997. SPECIAL EVENT EXPENSES 646,191. RENTAL EXPENSE 1,484,626. LOCAL ORGANIZATION SUPPORT 511,156. INTERCOMPANY ELIMINATION 15,000.

Supplemental Information	
Return Reference	Explanation
PART XII, LINE 4B - OTHER ADJUSTMENTS:	EXPENSES FROM UNAUDITED DIVISIONS 3,014,049.

SCHEDULE F
(Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

► Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.
► Attach to Form 990.
► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
ASSOCIATION OF THE UNITED STATES ARMY

Employer identification number
53-0193361

Part I

General Information on Activities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

- 1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☐ Yes ☐ No
- 2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.
- 3 Activites per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region
See Add'l Data					
3a Sub-total	0	67			186,730
b Total from continuation sheets to Part I	0	0			0
c Totals (add lines 3a and 3b)	0	67			186,730

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)

- 2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter ► _____
- 3 Enter total number of other organizations or entities ► _____

Part III	Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16.
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Part III can be duplicated if additional space is needed.

[illegible]

Part IV Foreign Forms

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* ☐ Yes ☒ No
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)* ☐ Yes ☒ No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)* ☐ Yes ☒ No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)* . ☐ Yes ☒ No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* ☐ Yes ☒ No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990).* ☒ Yes ☐ No

Part V **Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

990 Schedule F, Supplemental Information

Return Reference	Explanation
PART III ACCOUNTING METHOD:	

Additional Data

Software ID:
Software Version:
EIN: 53-0193361
Name: ASSOCIATION OF THE UNITED STATES ARMY

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
CENTRAL AMERICA AND THE CARIBBEAN	0	4	PROGRAM SERVICES	PROMOTING MEMBERSHIP, PROVIDING LOCAL SUPPORT/PROGRAMS FOR MEMBERS, AND FUNDRAISING	1,507
CENTRAL AMERICA AND THE CARIBBEAN	0	0	INVESTMENTS		3,510

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EAST ASIA AND THE PACIFIC	0	7	PROGRAM SERVICES	PROMOTING MEMBERSHIP, PROVIDING LOCAL SUPPORT/PROGRAMS FOR MEMBERS, AND FUNDRAISING	2,500
EAST ASIA AND THE PACIFIC	0	0	INVESTMENTS		11,910

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EUROPE (INCLUDING ICELAND & GREENLAND)	0	37	PROGRAM SERVICES	PROMOTING MEMBERSHIP, PROVIDING LOCAL SUPPORT/PROGRAMS FOR MEMBERS, AND FUNDRAISING	23,499
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	INVESTMENTS		78,712

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
MIDDLE EAST AND NORTH AFRICA	0	19	PROGRAM SERVICES	PROMOTING MEMBERSHIP, PROVIDING LOCAL SUPPORT/PROGRAMS FOR MEMBERS, AND FUNDRAISING	40,808
MIDDLE EAST AND NORTH AFRICA	0	0	INVESTMENTS		24,284

Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events
		FISHER HOUSE GALA (event type)	MCOE INDUSTRY DAY (event type)	35 (total number)	(add col. (a) through col. (c))
Revenue	1 Gross receipts	159,190	78,807	795,261	1,033,258
	2 Less: Contributions	123,303	46,595	553,391	723,289
	3 Gross income (line 1 minus line 2)	35,887	32,212	241,870	309,969
Direct Expenses	4 Cash prizes				
	5 Noncash prizes				
	6 Rent/facility costs				
	7 Food and beverages				
	8 Entertainment				
	9 Other direct expenses	49,354	33,162	428,390	510,906
	10 Direct expense summary. Add lines 4 through 9 in column (d) ▶				510,906
	11 Net income summary. Subtract line 10 from line 3, column (d) ▶				-200,937

Part III Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col.(a) through col.(c))
Revenue	1 Gross revenue				
	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
Direct Expenses	6 Volunteer labor	☐ Yes _____ % ☐ No	☐ Yes _____ % ☐ No	☐ Yes _____ % ☐ No	
	7 Direct expense summary. Add lines 2 through 5 in column (d) ▶				
	8 Net gaming income summary. Subtract line 7 from line 1, column (d) ▶				

9 Enter the state(s) in which the organization conducts gaming activities: _____

a Is the organization licensed to conduct gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain: _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain: _____

11	Does the organization conduct gaming activities with nonmembers?	☐ Yes	☐ No
12	Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?	☐ Yes	☐ No
13	Indicate the percentage of gaming activity conducted in:		
a	The organization's facility	13a	%
b	An outside facility	13b	%
14	Enter the name and address of the person who prepares the organization's gaming/special events books and records:		
	Name ►		
	Address ►		
15a	Does the organization have a contract with a third party from whom the organization receives gaming revenue?	☐ Yes	☐ No
b	If "Yes," enter the amount of gaming revenue received by the organization ► \$ and the amount of gaming revenue retained by the third party ► \$		
c	If "Yes," enter name and address of the third party:		
	Name ►		
	Address ►		
16	Gaming manager information:		
	Name ►		
	Gaming manager compensation ► \$		
	Description of services provided ►		
	☐ Director/officer	☐ Employee	☐ Independent contractor
17	Mandatory distributions:		
a	Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?		☐ Yes ☐ No
b	Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ► \$		

Part IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See instructions.

Return Reference	Explanation
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Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

Schedule I
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
ASSOCIATION OF THE UNITED STATES ARMY

Grants and Other Assistance to Organizations,
Governments and Individuals in the United States

Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Employer identification number
53-0193361

Part I

General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II

Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) See Additional Data							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table 13

3 Enter total number of other organizations listed in the line 1 table 0

Part III **Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22.

Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of noncash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance
See Additional Data Table					
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					

Part IV **Supplemental Information.** Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

Return Reference	Explanation
PART I, LINE 2:	UPON AWARDING OF SCHOLARSHIP, AUSA REQUESTS SUPPORTING DOCUMENTATION OF PAYMENT FOR QUALIFYING TUITION FROM SCHOLARSHIP AWARDEE. IF NO SUPPORTING DOCUMENTATION IS PROVIDED, OR IF SUPPORTING DOCUMENTATION IS NOT FOR A QUALIFIED SCHOLARSHIP EXPENSE, AUSA SENDS A 1099 FOR OTHER INCOME.

Additional Data

Software ID:
Software Version:
EIN: 53-0193361
Name: ASSOCIATION OF THE UNITED STATES ARMY

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
COLLEGE OPTIONS FOUNDATION 115 LLOYD AVENUE TYRONE, GA 30290	03-0566869	501(C)(3)	19,500				SPONSORSHIP OF THE JROTC LEADERSHIP AND ACADEMIC BOWL
GARY SINISE FOUNDATION 1901 AVENUE OF THE STARS LOS ANGELES, CA 90067	80-0587086	501(C)(3)	10,000				GENERAL DONATION TO SUPPORT VETERANS, FIRST RESPONDERS, THEIR FAMILIES, AND THOSE IN NEED

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ARMY WAR COLLEGE FOUNDATION 122 FORBES AVENUE CARLISLE, PA 17013	23-2034407	501(C)(3)	15,000				SUPPORT FOR ACADEMIC PROGRAMS
US ARMY 105 ARMY PENTAGON WASHINGTON, DC 20310		DOD		60,000	FMV	OIL PAINTINGS	PORTRAITS OF THE HONORABLE MARK T. ESPER AND GEN MARK A. MILLEY FOR PUBLIC DISPLAY IN THE PENTAGON

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
GUARDIAN ANGELS MEDICAL SERVICE DOGS 3251 NE 180TH AVENUE WILLISTON, FL 32696	27-2667123	501(C)(3)	40,222				DONATION FOR SERVICE DOGS FOR SOLDIERS/VETERANS
WOUNDED WARRIOR AMPUTEE SOFTBALL TEAM PO BOX 11538 NORFOLK, VA 23517	27-3628041	501(C)(3)	25,000				DONATION FOR KIDS CAMP EXPENSES FOR A SOFTBALL EVENT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
OPERATION ONCE IN A LIFETIME 1600 TANGLEWOOD DRIVE ALLEN, TX 75002	20-8948979	501(C)(3)	11,500				WOUNDED WARRIOR SUPPORT
FORT DRUM IFMWR PO BOX 899 FORT DRUM, NY 13602		DOD	8,500				ARMY 10-MILER TEAM

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ARMY SCHOLARSHIP FOUNDATION 11700 PRESTON ROAD SUITE 660-301 DALLAS, TX 75230	74-2996331	501(C)(3)	7,600				ARMY COLLEGE SCHOLARSHIPS
FISHER HOUSE MICHIGAN PO BOX 130466 ANN ARBOR, MI 48113	81-2586691	501(C)(3)	6,000				TEMPORARY LODGING TO MILITARY AND VETERAN'S FAMILIES DURING HOSPITALIZATION AT THE VA

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
LOS POSITAS COLLEGE FOUNDATION 3000 CAMPUS HILL DR BUILDING 1310 LIVERMORE, CA 94551	71-0942040	501(C)(3)	5,500				SCHOLARSHIPS
US NAVAL SEA CADETS CORPS RADM CW PARK BATTALION 1740 ETHAN ALLEN DRIVE BRENTWOOD, CA 94513	47-1342616	501(C)(3)	5,400				SCHOLARSHIPS

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
FISHER HOUSE FOUNDATION 111 ROCKVILLE PIKE SUITE 420 ROCKVILLE, MD 20850	11-3158401	501(C)(3)	133,803				SUPPORT FOR WOUNDED WARRIORS AND VETS

Form 990, Schedule I, Part III, Grants and Other Assistance to Domestic Individuals.

LEON VAN AUTREVE SCHOLARSHIP	8	50,000			
LEON VAN AUTREVE SCHOLARSHIP	8	50,000			
COLLEGE DEBT	3	6,000			
JOSEPH P. AND HELEN T. CRIBBINS SCHOLARSHIP	2	20,000			
NICHOLAS D. CHABRAJA SCHOLARSHIP	6	30,000			
DELTA DENTAL SCHOLARSHIP	10	20,000			

Form 990, Schedule I, Part III, Grants and Other Assistance to Domestic Individuals.					
GEN JACK N. MERRITT SCHOLARSHIP	2	10,000			
GEN JACK N. MERRITT SCHOLARSHIP	2	10,000			
PROFESSIONAL DEVELOPMENT	4	12,000			
ROTC SCHOLARSHIP	3	12,000			
ARMY SCHOLARSHIPS - ADMIN BY AUSA	3	12,000			
AUSA CHAPTER SCHOLARSHIPS	202	202,915			

Form 990, Schedule I, Part III, Grants and Other Assistance to Domestic Individuals.				
WILLIAM MADIGAN	1		15,000	FMV
				SERVICE DOG TO SERVICE MEMBER IN NEED
WILLIAM MADIGAN	1		15,000	FMV
				SERVICE DOG TO SERVICE MEMBER IN NEED

Schedule J
(Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.
▶ Attach to Form 990.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
ASSOCIATION OF THE UNITED STATES ARMY

Employer identification number
53-0193361

Part I Questions Regarding Compensation		Yes	No
1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.			
☐ First-class or charter travel	☐ Housing allowance or residence for personal use		
☐ Travel for companions	☐ Payments for business use of personal residence		
☒ Tax indemnification and gross-up payments	☒ Health or social club dues or initiation fees		
☐ Discretionary spending account	☐ Personal services (e.g., maid, chauffeur, chef)		
b If any of the boxes on Line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	1b	Yes	
2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked on Line 1a?	2	Yes	
3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.			
☒ Compensation committee	☐ Written employment contract		
☒ Independent compensation consultant	☒ Compensation survey or study		
☒ Form 990 of other organizations	☒ Approval by the board or compensation committee		
4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:			
a Receive a severance payment or change-of-control payment?	4a		No
b Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b		No
c Participate in, or receive payment from, an equity-based compensation arrangement?	4c		No
If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.			
Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.			
5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:			
a The organization?	5a		No
b Any related organization?	5b		No
If "Yes," on line 5a or 5b, describe in Part III.			
6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:			
a The organization?	6a		No
b Any related organization?	6b		No
If "Yes," on line 6a or 6b, describe in Part III.			
7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described in lines 5 and 6? If "Yes," describe in Part III.	7	Yes	
8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.	8		No
9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?	9		

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

[illegible]

Part III **Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
PART I, LINE 1A	GUY C. SWAN RECEIVED HEALTH OR SOCIAL CLUB DUES/INITIATION FEES TOTALING \$8,294. GUY C. SWAN ALSO RECEIVED TAX INDEMNIFICATION AND GROSS-UP PAYMENTS TOTALING \$2,771. THESE PAYMENTS WERE INCLUDED IN TAXABLE COMPENSATION.
PART I, LINE 7	PRESIDENT & CEO, VICE PRESIDENTS, CORPORATE SECRETARY, EDITOR-IN-CHIEF, TREASURER & CONTROLLER, CHIEF TECHNICAL OFFICER, AND DIRECTOR OF NATIONAL SECURITY STUDIES RECEIVED BONUSES BASED ON PERFORMANCE. THE ORGANIZATION AWARDED A SIGNING BONUS TO THE DISTINGUISHED SENIOR FELLOW.

Additional Data

Software ID:

Software Version:

EIN: 53-0193361

Name: ASSOCIATION OF THE UNITED STATES ARMY

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1CARTER F HAM PRESIDENT & CHIEF EXECUTIVE OFFICER	(i)	490,000	225,000	2,600	22,400	2,153	742,153	0
	(ii)	0	0	0	0	0	0	0
1ANN BELYEA CORPORATE SECRETARY	(i)	107,156	56,300	1,907	8,732	11,925	186,020	0
	(ii)	0	0	0	0	0	0	0
2MANISHA PATEL TREASURER AND CONTROLLER	(i)	154,129	1,300	860	12,859	34,164	203,312	0
	(ii)	0	0	0	0	0	0	0
3PATRICIA E MCQUISTION VICE PRESIDENT, MEMBERSHIP & MEETING	(i)	294,533	175,000	2,449	22,400	2,996	497,378	0
	(ii)	0	0	0	0	0	0	0
4GUY C SWAN VICE PRESIDENT, EDUCATION	(i)	279,153	155,000	14,658	22,381	2,153	473,345	0
	(ii)	0	0	0	0	0	0	0
5KENNETH O PRESTON VICE PRESIDENT, NCO (LEFT 01/2020)	(i)	179,650	100,000	2,371	14,372	2,912	299,305	0
	(ii)	0	0	0	0	0	0	0
6RICHARD MAZE EDITOR-IN-CHIEF	(i)	179,213	35,024	2,520	14,559	15,640	246,956	0
	(ii)	0	0	0	0	0	0	0
7MICHAEL SCANLAN DIRECTOR, MEETINGS	(i)	90,465	0	100,324	6,359	8,503	205,651	0
	(ii)	0	0	0	0	0	0	0
8HARRY ROTHMANN CHIEF TECHNICAL OFFICER	(i)	178,610	1,300	997	15,155	34,020	230,082	0
	(ii)	0	0	0	0	0	0	0
9DANIEL ROPER DIRECTOR, NATIONAL SECURITY STUDIES	(i)	139,434	1,300	1,692	11,155	2,614	156,195	0
	(ii)	0	0	0	0	0	0	0
10ROBERT BROWN DISTINGUISHED SENIOR FELLOW	(i)	0	200,000	0	0	0	200,000	0
	(ii)	0	0	0	0	0	0	0

Schedule L

(Form 990 or 990-EZ)

Department of the Treasury

Internal Revenue Service

Transactions with Interested Persons

▶ Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.
▶ Attach to Form 990 or Form 990-EZ.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
ASSOCIATION OF THE UNITED STATES ARMY

Employer identification number
53-0193361

Part I

Excess Benefit Transactions (section 501(c)(3), section 501(c)(4), and section 501(c)(29) organizations only).
Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b.

1	(a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected?	
				Yes	No

2

Enter the amount of tax incurred by the organization managers or disqualified persons during the year under section 4958. ▶ \$

3

Enter the amount of tax, if any, on line 2, above, reimbursed by the organization ▶ \$

Part II

Loans to and/or From Interested Persons.
Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26; or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) Written agreement?	
			To	From			Yes	No	Yes	No	Yes	No
(1) GORDON R SULLIVAN	FORMER PRESIDENT	LIFE INSURANCE POLICY		X	600,000	528,674		No	Yes		Yes	
Total						528,674						

Part III

Grants or Assistance Benefiting Interested Persons.
Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No

Part V Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions).

Return Reference	Explanation
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DLN: 93493134053501

SCHEDULE M
(Form 990)

Noncash Contributions

OMB No. 1545-0047
2019
Open to Public Inspection

Department of the Treasury
Internal Revenue Service

►Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
►Attach to Form 990.
►Go to www.irs.gov/Form990 for the latest information.

Name of the organization
ASSOCIATION OF THE UNITED STATES ARMY

Employer identification number
53-0193361

Part I	Types of Property	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1	Art—Works of art				
2	Art—Historical treasures				
3	Art—Fractional interests				
4	Books and publications	X		5,459	
5	Clothing and household goods	X		4,790	
6	Cars and other vehicles				
7	Boats and planes				
8	Intellectual property				
9	Securities—Publicly traded				
10	Securities—Closely held stock				
11	Securities—Partnership, LLC, or trust interests				
12	Securities—Miscellaneous				
13	Qualified conservation contribution—Historic structures				
14	Qualified conservation contribution—Other				
15	Real estate—Residential				
16	Real estate—Commercial				
17	Real estate—Other				
18	Collectibles	X	9	1,705	
19	Food inventory	X	23	8,686	
20	Drugs and medical supplies				
21	Taxidermy				
22	Historical artifacts				
23	Scientific specimens				
24	Archeological artifacts HOTEL FARE, EVENT TICKETS, AIRFARE, BUS TICKETS, ELECTRONICS, 25 Other ► (LESSONS)	X	185	49,380	
26	Other ► ()				
27	Other ► ()				
28	Other ► ()				
29	Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement	29			
30a	During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period?				
b	If "Yes," describe the arrangement in Part II.				
31	Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?				
32a	Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?				
b	If "Yes," describe in Part II.				
33	If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.				

Part II **Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
PART I, COLUMN (B):	NUMBER OF CONTRIBUTIONS

SCHEDULE O
(Form 990 or 990-EZ)

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2019

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Department of the Treasury

Internal Revenue Service

Name of the organization
ASSOCIATION OF THE UNITED STATES ARMY

Employer identification number

53-0193361

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 1	THE BOARD OF DIRECTORS DURING PERIODS BETWEEN MEETINGS OF THE BOARD OF DIRECTORS SHALL ACT THROUGH AN EXECUTIVE COMMITTEE WHICH MAY EXERCISE THE AUTHORITY OF BOARD OF DIRECTORS EXCEPT AS SPECIFICALLY LIMITED BY THE BOARD. THE EXECUTIVE COMMITTEE SHALL CONSIST OF THE CHAIRMAN OF THE BOARD OF DIRECTORS. DEPUTY CHAIRMAN AND OTHER BOARD DIRECTORS NOMINATED BY THE CHAIRMAN OF THE BOARD OF DIRECTORS AND APPROVED BY THE FULL BOARD. A MAJORITY OF THE COMMITTEE SHALL CONSTITUTE A QUORUM. MINUTES OF ALL MEETINGS OF THE COMMITTEE SHALL BE PROVIDED TO EACH BOARD MEMBER AND PRESENTED AT THE FOLLOWING BOARD OF DIRECTORS MEETING AND APPROVED BY THE FULL BOARD. EX OFFICIO MEMBERS (PRESIDENT/CEO AND REGION PRESIDENTS) ARE NO LONGER VOTING MEMBERS OF THE BOARD.

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 4	AUSA AMENDED THE BYLAWS IN JANUARY 2020, MAKING THE EX OFFICIO MEMBERS, INCLUDING PRESIDEN T/CEO AND REGION PRESIDENTS, NON-VOTING MEMBERS OF THE BOARD.

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 6	THREE MEMBERSHIP CLASSES: INDIVIDUAL, COMMUNITY AND INDUSTRY PARTNER, AND ASSOCIATION MEMBERSHIP.

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 7A	THE CHAIRMAN APPOINTS A 3 MEMBER NOMINATING COMMITTEE FROM AMONG THE BOARD OF DIRECTORS FOR THE PURPOSE OF PROVIDING A SLATE OF NOMINEES FOR VACANT POSITIONS ON THE BOARD. THE NOMINATING COMMITTEE SUBMITS A SLATE SELECTED FROM MEMBERSHIP OF AT LEAST ONE NOMINEE FOR EACH VACANT POSITION. AFTER PUBLICATION OF THE SLATE, ADDITIONAL CANDIDATES MAY BE NOMINATED. BALLOTS WILL BE COUNTED UNDER THE DIRECTION OF THE BOARD OF DIRECTORS.

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 7B	INDIVIDUAL MEMBERS HAVE THE RIGHT TO VOTE IN ELECTIONS AND CHANGES TO THE BYLAWS.

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 11B	FORM 990 IS PREPARED BY AN OUTSIDE ACCOUNTING FIRM AND THEN REVIEWED BY THE TREASURER/CONTROLLER AND PRESIDENT/CEO OF AUSA. A COMPLETE COPY OF THE DRAFT RETURN IS SENT TO THE ENTIRE BOARD PRIOR TO FILING WITH IRS.

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 12C	ALL EMPLOYEES ARE EXPECTED TO CONDUCT THEMSELVES AND THE AFFAIRS OF AUSA IN A MANNER THAT REFLECTS THE HIGHEST STANDARDS OF ETHICAL CONDUCT, AND IN ACCORDANCE WITH ALL FEDERAL, STATE, AND LOCAL LAWS AND REGULATIONS. THIS INCLUDES AVOIDING REAL AND POTENTIAL CONFLICTS OF INTEREST. WHEN EMPLOYEES ARE UNSURE WHETHER A CERTAIN TRANSACTION ACTIVITY, OR RELATIONSHIP CONSTITUTES A CONFLICT OF INTEREST, THEY ARE DIRECTED TO DISCUSS THE SITUATION WITH THEIR SUPERVISOR OR A MEMBER OF MANAGEMENT FOR CLARIFICATION. FAILURE TO ADHERE TO THE POLICY, INCLUDING FAILURE TO DISCLOSE ANY CONFLICT OR SEEK AN EXCEPTION TO THE POLICY, MAY RESULT IN DISCIPLINARY ACTION, UP TO AND INCLUDING TERMINATION.

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 15	THE COMPENSATION COMMITTEE, CHAIRED BY THE CHAIRMAN OF THE BOARD OF DIRECTORS DETERMINES THE CEO & KEY EMPLOYEES' SALARIES. A COMPENSATION STUDY PERFORMED BY AN EXECUTIVE COMPENSATION CONSULTANT WAS USED FOR COMPARABILITY DATA. THE DECISION IS DOCUMENTED IN THE MINUTES. THE MOST RECENT YEAR IN WHICH THE PROCESS INCLUDED REVIEW AND APPROVAL BY INDEPENDENT PERSONS, COMPARABILITY DATA, AND CONTEMPORANEOUS SUBSTANTIATION OF THE DELIBERATION AND DECISION WAS DECEMBER 2018. THE PRESIDENT/CEO DETERMINES THE SALARIES OF THE TREASURER/CONTROLLER AND THE CORPORATE SECRETARY. A COMPENSATION STUDY ON ALL STAFF, REVIEWED BY A CONSULTANT WAS USED FOR COMPARABILITY DATA. THE MOST RECENT YEAR IN WHICH THE PROCESS INCLUDED REVIEW AND APPROVAL BY INDEPENDENT PERSONS, COMPARABILITY DATA, AND CONTEMPORANEOUS SUBSTANTIATION OF THE DELIBERATION AND DECISION WAS APRIL 2019.

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION C, LINE 19	THE BYLAWS ARE POSTED ON THE AUSA WEBSITE AND THE CONFLICT OF INTEREST POLICY IS IN THE EMPLOYEE HANDBOOK ON THE WEBSITE.

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART XI, LINE 9:	DECREASE IN PENSION OBLIGATION -1,409,947. CHANGE IN ACCOUNTING POLICY -191,173. DEPOSIT IN TRANSIT -8,122.