

Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0047

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning

JUL 1, 2019

and ending

JUN 30, 2020

Name of foundation

NORMAN J. STUPP FOUNDATION

A Employer identification number

43-6027433

Number and street (or P.O. box number if mail is not delivered to street address)

P.O. BOX 11356

Room/suite

B Telephone number

(314) 746-7329

City or town, state or province, country, and ZIP or foreign postal code

CLAYTON, MO 63105

C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

I Fair market value of all assets at end of year

(from Part II, col. (c), line 16)

J Accounting method:

☒ Cash☐ Accrual☐ Other (specify)

▶ \$ 22,181,467. (Part I, column (d), must be on cash basis.)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received

2 Check ☒ if the foundation is not required to attach Sch. B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a 4,458,626.

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total Add lines 1 through 11

13 Compensation of officers, directors, trustees, etc

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

b Accounting fees

c Other professional fees

17 Interest

18 Taxes

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses

24 Total operating and administrative expenses Add lines 13 through 23

25 Contributions, gifts, grants paid

26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12.

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

<53,273.>

1,130,847.

N/A

Revenue

Operating and Administrative Expenses

Part III Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	559,921.	817,899.	817,899.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 5	12,357,876.	11,951,807.	14,938,728.
	c Investments - corporate bonds STMT 6	5,791,125.	5,886,056.	6,424,840.
	11 Investments - land, buildings, and equipment, basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment, basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	18,708,922.	18,655,762.	22,181,467.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ ☐			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☒			
	26 Capital stock, trust principal, or current funds	18,708,922.	18,655,762.	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	28 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	29 Total net assets or fund balances	18,708,922.	18,655,762.	
30 Total liabilities and net assets/fund balances	18,708,922.	18,655,762.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	18,708,922.
2 Enter amount from Part I, line 27a	2	<53,273.>
3 Other increases not included in line 2 (itemize) ▶ BOOK-TO-TAX DIFFERENCE	3	113.
4 Add lines 1, 2, and 3	4	18,655,762.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	18,655,762.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES		P		
b CAPITAL GAINS DIVIDENDS				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
a 4,082,097.		3,715,444.	366,653.	
b 376,529.			376,529.	
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a			366,653.	
b			376,529.	
c				
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2 743,182.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		{ If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	1,168,465.	22,084,509.	.052909
2017	1,038,341.	22,510,380.	.046127
2016	1,003,923.	21,178,256.	.047403
2015	905,113.	20,276,469.	.044639
2014	1,056,555.	21,441,026.	.049277

2 Total of line 1, column (d)	2 .240355
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3 .048071
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4 22,314,554.
5 Multiply line 4 by line 3	5 1,072,683.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6 11,308.
7 Add lines 5 and 6	7 1,083,991.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8 1,169,120.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.
Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)

5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

a 2019 estimated tax payments and 2018 overpayment credited to 2019

b Exempt foreign organizations - tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments. Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be: Credited to 2020 estimated tax ☐ 14,017. Refunded ☐ 0.

6a	25,325.
6b	0.
6c	0.
6d	0.

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
(1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?
If "Yes," attach a detailed description of the activities.

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?
If "Yes," attach the statement required by General Instruction T

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV

8a Enter the states to which the foundation reports or with which it is registered. See instructions ☐ MO

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8b	X	
9		X
10		X

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Part VII-A Statements Regarding Activities (continued)

- 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions
- 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
- 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?

	Yes	No
11		X
12		X
13	X	

Website address ► N/A

- 14 The books are in care of ► **COMMERCE TRUST COMPANY** Telephone no. ► (314) 746-7322
 Located at ► **8000 FORSYTH BLVD, CLAYTON, MO** ZIP+4 ► **63105**

- 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year

15 N/A

- 16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?

	Yes	No
16		X

See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

- 1a During the year, did the foundation (either directly or indirectly):

- (1) Engage in the sale or exchange, or leasing of property with a disqualified person?
- (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
- (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
- (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
- (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
- (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)

☐ Yes ☒ No☐ Yes ☒ No☐ Yes ☒ No☒ Yes ☐ No☐ Yes ☒ No☐ Yes ☒ No

	Yes	No
1a		X

- b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions

Organizations relying on a current notice regarding disaster assistance, check here

► ☐

1b		X
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- c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?

1c		X
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- 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).

- a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019?

☐ Yes ☒ No

If "Yes," list the years ►

- b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)

N/A

2b		
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- c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.

►

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- 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?

☐ Yes ☒ No

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- b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)

N/A

3b		
----	--	--

- 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?

4a		X
----	--	---

- b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?

4b		X
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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

Organizations relying on a current notice regarding disaster assistance, check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?

☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
COMMERCE TRUST COMPANY	TRUSTEE			
P.O. BOX 11356				
CLAYTON, MO 63105	25.00	137,442.	0.	0.
COMMERCE TRUST COMPANY	CHARITABLE			
P.O. BOX 11356				
CLAYTON, MO 63105	10.00	89,790.	0.	0.
COMMERCE TRUST COMPANY	TAX PREPARATION			
P.O. BOX 11356				
CLAYTON, MO 63105	0.25	6,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	21,919,397.
b	Average of monthly cash balances	1b	734,973.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	22,654,370.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	22,654,370.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	339,816.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	22,314,554.
6	Minimum investment return. Enter 5% of line 5	6	1,115,728.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,115,728.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	11,308.
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	11,308.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,104,420.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,104,420.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,104,420.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,169,120.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	1,169,120.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	11,308.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,157,812.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				1,104,420.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			129,846.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2019 from Part XII, line 4. ▶ \$ 1,169,120.				
a Applied to 2018, but not more than line 2a			129,846.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				1,039,274.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				65,146.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE STATEMENT B				1,044,642.
Total			3a	1,044,642.
b Approved for future payment				
NONE				
Total			3b	0.

Form 990-PF (2019)

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
VARIOUS	891,541.	376,529.	515,012.	515,012.	
TO PART I, LINE 4	891,541.	376,529.	515,012.	515,012.	

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OUTSIDE INVESTMENT FEE	12,491.	12,491.		0.
GATEWAY CENTER FOR GIVING	6,000.	0.		6,000.
TO FORM 990-PF, PG 1, LN 16C	18,491.	12,491.		6,000.

FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	90.	90.		0.
FEDERAL EXCISE TAX	15,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	15,090.	90.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MISCELLANEOUS INVESTMENT EXPENSE	12.	12.		0.
TO FORM 990-PF, PG 1, LN 23	12.	12.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	5
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT A	11,951,807.	14,938,728.
TOTAL TO FORM 990-PF, PART II, LINE 10B	11,951,807.	14,938,728.

FORM 990-PF	CORPORATE BONDS	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT A	5,886,056.	6,424,840.
TOTAL TO FORM 990-PF, PART II, LINE 10C	5,886,056.	6,424,840.

990-PF	PART XVII AFFILIATION WITH TAX-EXEMPT ORGANIZATIONS	STATEMENT	7
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(A) NAME OF ORGANIZATION	(B) TYPE OF ORGANIZATION
ANN A TRAVER CHARITABLE TRUST B	501(C)(4)
IVY J TRIMMER - CHRISTIAN CHURCH	501(C)(4)
IVY J TRIMMER TRUST	501(C)(4)
ALICE G OGG TRUST UNDER WILL	501(C)(4)
MARY HARTMANN FOUNDATION	501(C)(4)
SHAARE SHOLEM CEMETERY TRUST	501(C)(13)
ADATH JOSEPH CEMETERY FUND	501(C)(13)
NINA BOZARTH T/U/W FBO KAMPF	501(C)(13)

990-PF	AFFILIATION WITH TAX-EXEMPT ORGANIZATIONS	STATEMENT	8
	PART XVII, LINE 2, COLUMN (C)		

NAME OF AFFILIATED OR RELATED ORGANIZATION

ANN A TRAVER CHARITABLE TRUST B

DESCRIPTION OF RELATIONSHIP WITH AFFILIATED OR RELATED ORGANIZATION

COMMON TRUSTEE

NAME OF AFFILIATED OR RELATED ORGANIZATION

IVY J TRIMMER - CHRISTIAN CHURCH

DESCRIPTION OF RELATIONSHIP WITH AFFILIATED OR RELATED ORGANIZATION

COMMON TRUSTEE

NAME OF AFFILIATED OR RELATED ORGANIZATION

IVY J TRIMMER TRUST

DESCRIPTION OF RELATIONSHIP WITH AFFILIATED OR RELATED ORGANIZATION

COMMON TRUSTEE

NAME OF AFFILIATED OR RELATED ORGANIZATION

ALICE G OGG TRUST UNDER WILL

DESCRIPTION OF RELATIONSHIP WITH AFFILIATED OR RELATED ORGANIZATION

COMMON TRUSTEE

NAME OF AFFILIATED OR RELATED ORGANIZATION

MARY HARTMANN FOUNDATION

DESCRIPTION OF RELATIONSHIP WITH AFFILIATED OR RELATED ORGANIZATION

COMMON TRUSTEE

NAME OF AFFILIATED OR RELATED ORGANIZATION

SHAARE SHOLEM CEMETERY TRUST

DESCRIPTION OF RELATIONSHIP WITH AFFILIATED OR RELATED ORGANIZATION

COMMON TRUSTEE

NAME OF AFFILIATED OR RELATED ORGANIZATION

ADATH JOSEPH CEMETERY FUND

DESCRIPTION OF RELATIONSHIP WITH AFFILIATED OR RELATED ORGANIZATION

COMMON TRUSTEE

NAME OF AFFILIATED OR RELATED ORGANIZATION

NINA BOZARTH T/U/W FBO KAMPF

DESCRIPTION OF RELATIONSHIP WITH AFFILIATED OR RELATED ORGANIZATION

COMMON TRUSTEE

Norman J. Stupp Foundation
Grants and Contributions Paid During the Year for IRS Form 990-PF
June 30, 2020

EIN: 43-6027433

Recipient Name and Address	Foundation Status of Recipient	Purpose of Contribution	Payment Amount	Payment Date
Aim High-St Louis 755 S Price Rd St Louis, MO 63124	PC	2020-2021 Aim High Program	20,000 00	03/20/2020
A Million Stars Inc 110 N Jefferson Ave St Louis, MO 63103	PC	Mental Health Services	10,000 00	05/05/2020
Alive Inc P O Box 28733 St Louis, MO 63146	PC	Children's Treatment Program	10,000 00	05/05/2020
Almost Home 3200 St Vincent Ave St Louis, MO 63104	PC	Foundations to Success Transitional Living Program	7,000 00	03/20/2020
Angels Arms 12128 A Tesson Ferry Rd St Louis, MO 63128	PC	Life Launch	10,000 00	12/13/2019
Assistance League of St Louis 30 Henry Ave Ellisville, MO 63011	PC	Operation School Bell (OSB)	7,500 00	12/13/2019
Beyond Housing Inc 6506 Wright Wy St Louis, MO 63121	PC	Youth Program	15,000 00	03/20/2020
Big Brothers Big Sisters Of Eastern Missouri 501 N Grand Blvd , Ste 100 St Louis, MO 63103	PC	ABCToday Partnership Program	22,500 00	12/09/2019
Big Brothers Big Sisters of Southwestern Illinois 2900 Frank Scott Pkwy W , Ste 956 Belleville, IL 62223	PC	Greater East St Louis Youth Mentoring	5,000 00	03/20/2020
Boys Hope Girls Hope 8027 Elinor Ave Richmond Heights, MO 63117	PC	Project HOPE Homes, Opportunities, Parenting, Education	7,500 00	12/13/2019
Center of Creative Arts 524 Trinity Ave University City, MO 63130	PC	COCAedu Arts Integration Residencies	10,000 00	05/05/2020
Central Institute for the Deaf 825 S Taylor Ave St Louis, MO 63130	PC	Joanne Parrish Knight Family Center	7,500 00	03/20/2020
Child Center Marygrove 2705 Mullianphy Ln Florissant, MO 63031	PC	Therapeutic Residential Treatment Program over 2 years	10,000 00	12/09/2019
Child Day Care Association of Saint Louis DBA United 4 Children 1310 Papin St , Ste 100B St Louis, MO 63103	PC	Social Emotional Early Childhood Program	8,500 00	03/20/2020
City Academy Inc 4175 N Kingshighway Blvd St Louis, MO 63115	PC	STEAM Program	8,000 00	12/13/2019
Community Helping Ministry 3770 McKelvey Rd Bridgeton, MO 63044	PC	Emergency Assistance COVID-19	5,000 00	03/20/2020
Community Helping Ministry 3770 McKelvey Rd Bridgeton, MO 63044	PC	Strengthening Families and Communities	7,000 00	12/09/2019
Compass Health Inc 111 Mexico Ct St Peters, MO 63376	PC	The Pinocchio Program over 2 years	12,500 00	12/09/2019
Connections To Success Inc 3000 Little Hills Expy , Ste 102 St Charles, MO 63301	PC	Pathways to Success-College Hill Women	8,000 00	12/13/2019
Covenant House Missouri 2727 N Kingshighway Blvd St Louis, MO 63113	PC	Supportive Housing for Homeless and At-Risk Youth	20,000 00	03/20/2020

Norman J. Stupp Foundation
Grants and Contributions Paid During the Year for IRS Form 990-PF
June 30, 2020

EIN: 43-6027433

Recipient Name and Address	Foundation Status of Recipient	Purpose of Contribution	Payment Amount	Payment Date
De La Salle Inc 1106 N Jefferson Ave St Louis, MO 63106	PC	Graduate Support Program- Summer Scholars Academy over 2 years	12,500 00	12/09/2019
DePaul USA Inc P O Box 756 Chicago, IL 60690	PC	Depaul USA St Louis Housing Programs	5,000 00	12/13/2019
Epworth Children & Family Services Inc 110 N Elm Ave Webster Groves, MO 63119	PC	Older Youth Services	15,000 00	12/13/2019
Fathers Support Center St Louis 4411 N Newstead Ave St Louis, MO 63115	PC	Family Formation Program (FFP) and Employment Development and Retention (EDR)	7,500 00	03/20/2020
Focus St Louis 815 Olive St , Ste 110 St Louis, MO 63101	PC	2020/2021 Youth Leaders (YLSL) and Emerging Leaders (EL) Programs	12,500 00	03/20/2020
Forest Park Forever Inc Dennis & Judith Jones Visitor and Education Center 5595 Grand Dr St Louis, MO 63112	PC	Voyage of Learning Teachers' Academy	20,000 00	05/05/2020
Foster Care Coalition of Greater St Louis Inc 1750 S Brentwood Blvd , Ste 210 St Louis, MO 63144	PC	Foster Care & Adoption Programs	15,000 00	12/13/2019
Gateway Region Young Men's Christian Association 2815 Scott Ave , Ste D St Louis, MO 63103	PC	Learning Labs	12,500 00	03/20/2020
Grace Hill Settlement House 2125 Bissell St St Louis, MO 63107	PC	Community School Partnership Program	10,000 00	12/13/2019
Great Circle 330 N Gore Ave St Louis, MO 63119	PC	Meramec Adventure Learning Ranch	10,000 00	03/20/2020
Harris-Stowe State University 3026 Laclede Ave St Louis, MO 63103	PC	Summer Bridge Program	8,500 00	03/20/2020
Haven Of Grace 1225 Warren St St Louis, MO 63106	PC	Haven of Grace Residential Programs	12,500 00	12/09/2019
Herbert Hoover Boys and Girls Club of St Louis Inc 2901 N Grand Ave St Louis, MO 63107	PC	Project Learn	20,000 00	03/20/2020
HOME WORKS! - The Teacher Home Visit Program 225 Linden Ave St Louis, MO 63105	PC	HOME WORKS! The Teacher Home Visit Program	6,000 00	12/09/2019
Immigrant & Refugee Women's Program 5021 Adkins Ave St Louis, MO 63116	PC	In-Home English Tutoring	8,500 00	05/05/2020
Interfaith Residence DBA Doorways 4385 Maryland Ave St Louis, MO 63108	PC	Building Capacity For Stability And A Better Future	12,500 00	12/13/2019
Jefferson County Community Partnership Inc 3875 Plass Rd , Bldg A Festus, MO 63028	PC	The Parenting Network (TPN)	10,000 00	03/20/2020
Junior Achievement of Greater St Louis 17339 N Outer Forty Rd Chesterfield, MO 63005	PC	JA Whole School Initiative	10,000 00	03/20/2020
Kingdom House DBA LifeWise STL 1321 S 11th St St Louis, MO 63104	PC	LifeWise Academy	10,000 00	12/13/2019
Loyola Academy of St Louis 3851 Washington Blvd St Louis, MO 63108	PC	STEAM Programming	10,000 00	05/05/2020

Norman J. Stupp Foundation
Grants and Contributions Paid During the Year for IRS Form 990-PF
June 30, 2020

EIN: 43-6027433

Recipient Name and Address	Foundation Status of Recipient	Purpose of Contribution	Payment Amount	Payment Date
Lydia's House Inc P O Box 2722 St Louis, MO 63116	PC	Transitional Housing and Support Services for Victims of Domestic Violence	10,000 00	12/13/2019
Marian Middle School 4130 Wyoming St St Louis, MO 63116	PC	General Operating Support	12,500 00	05/05/2020
Metro Theater Company 3311 Washington Ave St Louis, MO 63103	PC	Capacity-Building Plan over 2 years	10,000 00	12/09/2019
Miriam Foundation 501 Bacon Ave St Louis, MO 63119	PC	Miriam Outreach Initiative	8,000 00	03/20/2020
National Audubon Society Inc 301 Riverlands Wy West Alton, MO 63386	PC	Flight Crew (Summer Jobs Program)	7,500 00	03/20/2020
National Conference for Community and Justice of Metro St Louis 1405 Pine St , Ste 203 St Louis, MO 63103	PC	Youth Programs	10,000 00	05/05/2020
National Council of Jewish Women Incorporated 295 N Lindbergh Blvd St Louis, MO 63141	PC	Back to School' Store/Kids Community Closet	10,000 00	12/13/2019
Northside Youth and Senior Service Center Inc 4120 Maffitt Ave St Louis, MO 63113	PC	Northside AfterSchool Club	6,000 00	03/20/2020
Nurses for Newborns 7259 Lansdowne Ave , Ste 100 St Louis, MO 63119	PC	Home Visiting for Teen Parents	15,000 00	05/05/2020
Operation Food Search Inc 1644 Lotsie Blvd St Louis, MO 63132	PC	Fresh RX, Prescribing Healthy Futures	10,000 00	12/13/2019
Operation Food Search Inc 1644 Lotsie Blvd St Louis, MO 63132	PC	Emergency Assistance COVID-19	20,000 00	03/20/2020
Parents as Teachers National Center Inc 2228 Ball Dr St Louis, MO 63146	PC	Fatherhood and Parent Group Meetings	8,500 00	12/13/2019
Peter and Paul Community Services Inc 2612 Wyoming St St Louis, MO 63118	PC	Soulard Emergency Shelter over 2 years	10,000 00	12/09/2019
Places for People Incorporated 4130 Lindell Blvd St Louis, MO 63108	PC	Outreach to Hospitalized Homeless with mental illness	10,000 00	05/05/2020
Ranken Technical College 4431 Finney Ave St Louis, MO 63113	PC	2020 Career Exploration Adventure Academy	5,000 00	12/13/2019
Ready Readers 10403 Baur Blvd , Ste H St Louis, MO 63132	PC	Building A Strong Early Literacy Foundation Program	8,000 00	12/09/2019
Rebuilding Together St Louis 357 Marshall Ave , Ste 2 St Louis, MO 63119	PC	Roof and Major Repairs Program over 2 years	15,000 00	05/05/2020
Safe Connections 2165 Hampton Ave St Louis, MO 63139	PC	Safe Connections on Campus	10,000 00	12/13/2019
Scottish Rite Clinic For Childhood Language Disorders Of St Louis Inc 650 Maryville University Dr St Louis, MO 63141	PC	KidTalk and KidTalk Spanish over 2 years	8,000 00	12/09/2019
Sherwood Forest Camp Inc 2708 Sutton Blvd St Louis, MO 63143	PC	Leadership Training Program	10,000 00	12/09/2019

Norman J. Stupp Foundation
Grants and Contributions Paid During the Year for IRS Form 990-PF
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EIN: 43-6027433

Recipient Name and Address	Foundation Status of Recipient	Purpose of Contribution	Payment Amount	Payment Date
Sister Thea Bowman Catholic School 8213 Church Ln East St. Louis, IL 62203	PC	Extended Day Program	8,000 00	12/09/2019
St. Francis Community Services 4445 Lindell Blvd St. Louis, MO 63108	PC	Tutoring for Immigrant Youth	5,000 00	12/13/2019
St. Louis Area Food Bank Inc 70 Corporate Woods Dr Bridgeton, MO 63044	PC	Fighting Hunger - Feeding Hope	25,000 00	05/05/2020
St. Louis Area Food Bank Inc 70 Corporate Woods Dr Bridgeton, MO 63044	PC	Emergency Assistance COVID-19	20,000 00	03/20/2020
St. Louis Artworks 5959 Delmar Blvd St. Louis, MO 63112	PC	General Operating Support	6,000 00	05/05/2020
St. Louis Community Foundation Incorporated 2 Oak Knoll Pk St. Louis, MO 63105	PC	Professional Development Institute	20,000 00	05/05/2020
St. Louis Community Foundation Incorporated 2 Oak Knoll Pk St. Louis, MO 63105	PC	COVID-19 Regional Response Fund	25,000 00	05/07/2020
St. Louis Internship Program 4232 Forest Park Ave., Rm 1027 St. Louis, MO 63108	PC	Internship Program	15,000 00	05/05/2020
St. Louis Regional Public Media Inc 3655 Olive St St. Louis, MO 63108	PC	Nine Network Early Education Initiative PBS KIDS Edcamp and Appy Hours	20,000 00	03/20/2020
St. Louis Science Center Foundation 5050 Oakland Ave St. Louis, MO 63110	PC	Youth Exploring Science (YES)	8,000 00	12/13/2019
St. Louis University 1 N. Grand Blvd St. Louis, MO 63103	PC	Annual Bequest	50,000 00	06/03/2020
St. Patrick Center 800 N. Tucker Blvd St. Louis, MO 63101	PC	The Women's Night Program	7,500 00	12/13/2019
St. Vincent de Paul Society 13 Vieux Carre Dr., Ste 2 P O Box 3415 East St. Louis, IL 62203	PC	Outreach Ministry Center	5,000 00	12/13/2019
St. Vincent Home for Children 7401 Florissant Rd St. Louis, MO 63121	PC	LifeFOCUS	5,000 00	03/20/2020
Stages St. Louis 1023 Chesterfield Pkwy E Chesterfield, MO 63017	PC	Urban Arts Initiative	10,000 00	12/13/2019
The Biome 4471 Olive St St. Louis, MO 63108	PC	Adaptive Thinking	7,500 00	03/20/2020
The Oasis Institute 11780 Borman Dr., Ste 400 St. Louis, MO 63146	PC	Intergenerational Tutoring Program	7,500 00	12/13/2019
The Salvation Army 1130 Hampton Ave St. Louis, MO 63139	PC	Regional Social Services	12,500 00	12/09/2019
The Tower Grove Park Foundation 4256 Magnolia Ave St. Louis, MO 63110	PC	Greenhouse Electrical Upgrades	10,000 00	03/20/2020
Today and Tomorrow Educational Foundation 20 Archbishop May Dr St. Louis, MO 63119	PC	COVID-19 Technology Equipment	15,000 00	05/07/2020

Norman J. Stupp Foundation
Grants and Contributions Paid During the Year for IRS Form 990-PF
June 30, 2020

EIN: 43-6027433

Recipient Name and Address	Foundation Status of Recipient	Purpose of Contribution	Payment Amount	Payment Date
Today and Tomorrow Educational Foundation 20 Archbishop May Dr St Louis, MO 63119	PC	K-8 tuition Assistance Scholarship Program	10,000 00	05/05/2020
University City Public Schools Education Foundation Inc 8136 Groby Rd University City, MO 63130	PC	Early College Experience	6,642 00	12/13/2019
University of Missouri-St Louis - Office of the Chancellor 1 University Blvd , 440 Woods Hall St Louis, MO 63121	PC	Bridge Program After School Clubs	11,000 00	03/20/2020
Unleashing Potential 1000 N Vandeventer Ave , 2nd Fl St Louis, MO 63113	PC	Summer Enrichment Programming for Elementary Age Youth in the Ferguson/Florissant and University City School Districts	12,500 00	03/20/2020
Vision for Children at Risk Inc 1000 N Vandeventer Ave St Louis, MO 63113	PC	Children of Metropolitan St Louis Data Report	10,000 00	12/13/2019
Voices for Children DBA CASA of St Louis 105 S Central Ave St Louis, MO 63105	PC	Advocates for Children ages Birth to 5	15,000 00	12/13/2019
Wyman Center Inc 600 Kiwanis Dr Eureka, MO 63025	PC	Wyman Leaders Program	15,000 00	12/13/2019
Young Womens Christian Association of Metropolitan St Louis Missouri 3820 W Pine Blvd	PC	YW-Teens	15,000 00	05/05/2020
Youth and Family Center 818 Cass Ave St Louis, MO 63106	PC	The Youth and Family Center After the Bell - Youth Enrichment Program	5,000 00	12/13/2019
Youth in Need 1815 Boone's Lick Rd St Charles, MO 63301	PC	Transitional Living Program for Homeless Youth	20,000 00	03/20/2020

Total Grants and Contributions Paid During the Year: \$ 1,044,642