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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 07-01-2019, and ending 06-30-2020

Name of foundation
3rd Creek Foundation

Number and street (or P O box number if mail is not delivered to street address)
PO Box 5406

City or town, state or province, country, and ZIP or foreign postal code
Incline Village, NV 89450

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) \blacktriangleright \$ 1,043,625

J Accounting method

☐ Cash

☐ Accrual

☒ Other (specify) Modified Accrual
(Part I, column (d) must be on cash basis)

A Employer identification number
42-1729283

B Telephone number (see instructions)
(775) 832-9222

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I

Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

| | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--|---|---------------------------|-------------------------|---|
| Revenue | 1 Contributions, gifts, grants, etc , received (attach schedule) | 19,024 | | |
| | 2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B | | | |
| | 3 Interest on savings and temporary cash investments | 14 | 14 | |
| | 4 Dividends and interest from securities . . . | 24,908 | 24,908 | |
| | 5a Gross rents | | | |
| | b Net rental income or (loss) | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 7,093 | | |
| | b Gross sales price for all assets on line 6a 223,779 | | | |
| | 7 Capital gain net income (from Part IV, line 2) . . . | | 2,331 | |
| | 8 Net short-term capital gain | | | |
| | 9 Income modifications | | | |
| | 10a Gross sales less returns and allowances | | | |
| b Less Cost of goods sold | | | | |
| c Gross profit or (loss) (attach schedule) | | | | |
| 11 Other income (attach schedule) | 1,300 | 1,300 | | |
| 12 Total. Add lines 1 through 11 | 52,339 | 28,553 | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | | | |
| | 14 Other employee salaries and wages | | | |
| | 15 Pension plans, employee benefits | | | |
| | 16a Legal fees (attach schedule) | | | |
| | b Accounting fees (attach schedule) | | | |
| | c Other professional fees (attach schedule) | | | |
| | 17 Interest | | | |
| | 18 Taxes (attach schedule) (see instructions) . . . | 477 | | |
| | 19 Depreciation (attach schedule) and depletion . . . | | | |
| | 20 Occupancy | | | |
| | 21 Travel, conferences, and meetings | 4,201 | | 4,201 |
| | 22 Printing and publications | | | |
| | 23 Other expenses (attach schedule) | 1,077 | 62 | 1,025 |
| | 24 Total operating and administrative expenses. Add lines 13 through 23 | 5,755 | 62 | 5,226 |
| | 25 Contributions, gifts, grants paid | 75,790 | | 75,790 |
| 26 Total expenses and disbursements. Add lines 24 and 25 | 81,545 | 62 | 81,016 | |
| | 27 Subtract line 26 from line 12 | | | |
| | a Excess of revenue over expenses and disbursements | -29,206 | | |
| | b Net investment income (if negative, enter -0-) | | 28,491 | |
| c Adjusted net income (if negative, enter -0-) . . . | | | | |

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form 990-PF (2019)

| Part II Balance Sheets | | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.) | | | |
|-----------------------------|--|---|---|-----------|---------|
| | | Beginning of year (a) Book Value | End of year (b) Book Value (c) Fair Market Value | | |
| Assets | 1 | Cash—non-interest-bearing | 8,244 | 22,365 | 22,365 |
| | 2 | Savings and temporary cash investments | 17,000 | 12,000 | 12,000 |
| | 3 | Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____ | | | |
| | 4 | Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10a | Investments—U S and state government obligations (attach schedule) | | | |
| | b | Investments—corporate stock (attach schedule) | 937,345 | 872,780 | 949,003 |
| | c | Investments—corporate bonds (attach schedule) | | | |
| | 11 | Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____ | | | |
| | 12 | Investments—mortgage loans | | | |
| | 13 | Investments—other (attach schedule) | | | |
| | 14 | Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____ | | | |
| 15 | Other assets (describe ▶ _____) | 34,349 | 60,257 | 60,257 | |
| 16 | Total assets (to be completed by all filers—see the instructions Also, see page 1, item I) | 996,938 | 967,402 | 1,043,625 | |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | 40,000 | 30,000 | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶ _____) | 486 | 156 | |
| | 23 | Total liabilities (add lines 17 through 22) | 40,486 | 30,156 | |
| Net Assets or Fund Balances | Foundations that follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 24, 25, 29 and 30. | | | | |
| | 24 | Net assets without donor restrictions | 956,452 | 937,246 | |
| | 25 | Net assets with donor restrictions | | | |
| | Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 26 through 30. | | | | |
| | 26 | Capital stock, trust principal, or current funds | | | |
| | 27 | Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| | 28 | Retained earnings, accumulated income, endowment, or other funds | | | |
| | 29 | Total net assets or fund balances (see instructions) | 956,452 | 937,246 | |
| 30 | Total liabilities and net assets/fund balances (see instructions) . | 996,938 | 967,402 | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|--|---|---------|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) | 1 | 956,452 |
| 2 | Enter amount from Part I, line 27a | 2 | -29,206 |
| 3 | Other increases not included in line 2 (itemize) ▶ _____ | 3 | 10,000 |
| 4 | Add lines 1, 2, and 3 | 4 | 937,246 |
| 5 | Decreases not included in line 2 (itemize) ▶ _____ | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 . | 6 | 937,246 |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|---|---|-------------------------------------|
| 1a | | | |
| | | | |
| | | | |
| | | | |
| | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|--------------------------|---|--|---|
| a | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|--|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| a | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| | | |
|---|----------|-------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | 2 | 2,331 |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 { } | 3 | 181 |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|--|--|--|---|
| 2018 | 75,288 | 1,032,443 | 000000 072922 |
| 2017 | 77,232 | 1,055,655 | 000000 073160 |
| 2016 | 54,569 | 998,956 | 000000 054626 |
| 2015 | 83,141 | 973,722 | 000000 085385 |
| 2014 | 68,038 | 1,085,964 | 000000 062652 |
| 2 Total of line 1, column (d) | | | 2 000000 348745 |
| 3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years | | | 3 000000 069749 |
| 4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5 | | | 4 1,025,687 |
| 5 Multiply line 4 by line 3 | | | 5 71,541 |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | | | 6 285 |
| 7 Add lines 5 and 6 | | | 7 71,826 |
| 8 Enter qualifying distributions from Part XII, line 4 | | | 8 141,273 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

| | | | |
|-----------|---|-----------|-----|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b | 1 | 285 |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 2 | |
| 3 | Add lines 1 and 2. | 3 | 285 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 4 | |
| 5 | Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | 5 | 285 |
| 6 | Credits/Payments | | |
| a | 2019 estimated tax payments and 2018 overpayment credited to 2019 | 6a | |
| b | Exempt foreign organizations—tax withheld at source | 6b | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | |
| d | Backup withholding erroneously withheld | 6d | |
| 7 | Total credits and payments. Add lines 6a through 6d. | 7 | |
| 8 | Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached | 8 | |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶ | 9 | 285 |
| 10 | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶ | 10 | |
| 11 | Enter the amount of line 10 to be Credited to 2020 estimated tax ▶ Refunded ▶ | 11 | |

Part VII-A Statements Regarding Activities

| | Yes | No |
|---|-----------|-----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | No |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i> | 1b | No |
| c Did the foundation file Form 1120-POL for this year? | 1c | No |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____ | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____ | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i> | 2 | No |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> | 3 | No |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | 4a | No |
| b If "Yes," has it filed a tax return on Form 990-T for this year? | 4b | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i> | 5 | No |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | Yes |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> | 7 | Yes |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NV _____ | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> . | 8b | Yes |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV <i>If "Yes," complete Part XIV</i> | 9 | No |
| 10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> | 10 | No |

Part VII-A Statements Regarding Activities (continued)

| | | | | |
|-----------|--|-----------|--|-----------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. | 11 | | No |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions. | 12 | | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>www.3rdcreekfoundation.org</u> | 13 | | No |
| 14 | The books are in care of ▶ <u>Dave B Straley</u> Telephone no ▶ <u>(775) 832-9222</u> | | | |

Located at **▶** PO Box 5406 Incline Village NV ZIP+4 **▶** 89450

| | | | | |
|-----------|---|-----------|------------|-----------|
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ▶ <input type="checkbox"/> | | | |
| | and enter the amount of tax-exempt interest received or accrued during the year ▶ <u>15</u> | | | |
| 16 | At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | Yes | No |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶ | | | |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | | | | |
|-----------|---|-----------|------------|-----------|
| 1a | During the year did the foundation (either directly or indirectly) | | Yes | No |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| | (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| b | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. <input type="checkbox"/> | 1b | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? <input type="checkbox"/> | 1c | | No |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| a | At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| | If "Yes," list the years ▶ 20____, 20____, 20____, 20____ | | | |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| b | If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019). | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019? | 4b | | No |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

| | | Yes | No |
|-----------|--|---|-----------|
| 5a | During the year did the foundation pay or incur any amount to | | |
| (1) | Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (2) | Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (3) | Provide a grant to an individual for travel, study, or other similar purposes? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (4) | Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | |
| (5) | Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b | If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. | 5b | No |
| | Organizations relying on a current notice regarding disaster assistance check here. | <input type="checkbox"/> | |
| c | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d). | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870 | 6b | No |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b | If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction? | 7b | |
| 8 | Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

| 1 List all officers, directors, trustees, foundation managers and their compensation. See instructions | | | | |
|---|---|---|---|---------------------------------------|
| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
| See Additional Data Table | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| 2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE." | | | | |
| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| Total number of other employees paid over \$50,000. | | | | |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |


Total number of others receiving over \$50,000 for professional services. **▶**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

| | Expenses |
|-------------|----------|
| 1 NA | 0 |
| 2 | |
| | |
| | |
| 3 | |
| | |
| | |
| 4 | |
| | |
| | |

Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|--------|
| 1 WARC Africa - PRI made to support harvest cycle and expansion in Ghana. WARC's mission is to get subsistence farmers in Africa out of poverty. It designs and sells regenerative farming inputs (& paid training) as a service so farmers can access the best available machinery, agricultural practices, and technical advice. | 20,000 |
| 2 Imara Tech - PRI to support R&D activities to develop solar powered agricultural processing equipment. Imara Tech designs and manufactures multi crop threshers in Tanzania to help smallholder farmers increase their efficiency, and thereby savings. | 20,000 |
| All other program-related investments. See instructions. | |
| 3  | 20,257 |
| Total. Add lines 1 through 3. ▶ | 60,257 |

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|----------|--|-----------|-----------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes | | |
| a | Average monthly fair market value of securities. | 1a | 1,010,146 |
| b | Average of monthly cash balances. | 1b | 31,161 |
| c | Fair market value of all other assets (see instructions). | 1c | 0 |
| d | Total (add lines 1a, b, and c). | 1d | 1,041,307 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). | 1e | |
| 2 | Acquisition indebtedness applicable to line 1 assets. | 2 | |
| 3 | Subtract line 2 from line 1d. | 3 | 1,041,307 |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). | 4 | 15,620 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4. | 5 | 1,025,687 |
| 6 | Minimum investment return. Enter 5% of line 5. | 6 | 51,284 |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

| | | | |
|-----------|--|-----------|--------|
| 1 | Minimum investment return from Part X, line 6. | 1 | 51,284 |
| 2a | Tax on investment income for 2019 from Part VI, line 5. | 2a | 285 |
| b | Income tax for 2019 (This does not include the tax from Part VI). | 2b | |
| c | Add lines 2a and 2b. | 2c | 285 |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1. | 3 | 50,999 |
| 4 | Recoveries of amounts treated as qualifying distributions. | 4 | |
| 5 | Add lines 3 and 4. | 5 | 50,999 |
| 6 | Deduction from distributable amount (see instructions). | 6 | |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. | 7 | 50,999 |

Part XII Qualifying Distributions (see instructions)

| | | | |
|----------|--|-----------|---------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes | | |
| a | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. | 1a | 81,016 |
| b | Program-related investments—total from Part IX-B. | 1b | 60,257 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required). | 3a | |
| b | Cash distribution test (attach the required schedule). | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4. | 4 | 141,273 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. | 5 | 285 |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4. | 6 | 140,988 |

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2018 | (c) 2018 | (d) 2019 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2019 from Part XI, line 7 | | | | 50,999 |
| 2 Undistributed income, if any, as of the end of 2019 | | | | |
| a Enter amount for 2018 only. | | | | |
| b Total for prior years 20____, 20____, 20____ | | | | |
| 3 Excess distributions carryover, if any, to 2019 | | | | |
| a From 2014. | 16,435 | | | |
| b From 2015. | 35,233 | | | |
| c From 2016. | 5,069 | | | |
| d From 2017. | 24,963 | | | |
| e From 2018. | 4,620 | | | |
| f Total of lines 3a through e. | 86,320 | | | |
| 4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 141,273 | | | | |
| a Applied to 2018, but not more than line 2a | | | | |
| b Applied to undistributed income of prior years (Election required—see instructions). | | | | |
| c Treated as distributions out of corpus (Election required—see instructions). | | | | |
| d Applied to 2019 distributable amount. | | | | 50,999 |
| e Remaining amount distributed out of corpus | 90,274 | | | |
| 5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a)) | | | | |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 176,594 | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. | | | | |
| d Subtract line 6c from line 6b Taxable amount—see instructions | | | | |
| e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount—see instructions | | | | |
| f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020 | | | | 0 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). | | | | |
| 8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions). | 16,435 | | | |
| 9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a | 160,159 | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2015. | 35,233 | | | |
| b Excess from 2016. | 5,069 | | | |
| c Excess from 2017. | 24,963 | | | |
| d Excess from 2018. | 4,620 | | | |
| e Excess from 2019. | 90,274 | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

| | Tax year | Prior 3 years | | | (e) Total |
|--|----------|---------------|----------|----------|-----------|
| | (a) 2019 | (b) 2018 | (c) 2017 | (d) 2016 | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test—enter | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. | | | | | |
| c "Support" alternative test—enter | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|--|--------------------------------------|-------------------------------------|--------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> See Additional Data Table | | | | |
| Total | | | ▶ 3a | 75,790 |
| b <i>Approved for future payment</i> | | | | |
| Total | | | ▶ 3b | |

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions) |
|--|---------------------------|---------------|--------------------------------------|---------------|--|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a Interest from Program Related Investments | | | | 1,300 | |
| b _____ | | | | | |
| c _____ | | | | | |
| d _____ | | | | | |
| e _____ | | | | | |
| f _____ | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments. . . . | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities. . . . | | | 14 | 24,922 | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property. | | | | | |
| b Not debt-financed property. | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income. | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 7,093 | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue a _____ | | | | | |
| b _____ | | | | | |
| c _____ | | | | | |
| d _____ | | | | | |
| e _____ | | | | | |
| 12 Subtotal Add columns (b), (d), and (e). . . | | | | 33,315 | |

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes[illegible]

Part XVII

| | Yes | No |
|--|-----|----|
|--|-----|----|

| | | |
|--|--|--|
| | | |
|--|--|--|

| | |
|--------------|-----------|
| 1a(1) | No |
|--------------|-----------|

| | | |
|--------------|--|-----------|
| 1a(2) | | No |
|--------------|--|-----------|

| | | |
|--|--|--|
| | | |
|--|--|--|

| | |
|--------------|-----------|
| 1b(1) | No |
|--------------|-----------|

| | |
|--------------|-----------|
| 1b(2) | No |
|--------------|-----------|

| | | |
|--------------|--|-----------|
| 1b(3) | | No |
|--------------|--|-----------|

| | | |
|--------------|--|-----------|
| 1b(4) | | No |
|--------------|--|-----------|

| | |
|--------------|-----------|
| 1b(5) | No |
|--------------|-----------|

| | | |
|--------------|--|-----------|
| 1b(6) | | No |
|--------------|--|-----------|

| | | |
|----|--|----|
| 1c | | No |
|----|--|----|

value
ue

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| | | |
| | | |
| | | |
| | | |

| | | |
|--|--|--|
| <p>Sign Here</p> <p>*****</p> <p>_____</p> <p>Signature of officer or trustee</p> | <p>2020-09-10</p> <p>_____</p> <p>Date</p> | <p>*****</p> <p>_____</p> <p>Title</p> |
|--|--|--|

May the IRS discuss this return with the preparer shown below

(see instr) ☐ **Yes** ☒ **No**

| | | | | | |
|-------------------------------|---|--|------------|--|-------------------------|
| Paid Preparer Use Only | Dave B Straley CPA | | 2020-09-10 | | |
| | Firm's name ► 3rd Creek Accounting | | | | Firm's EIN ► |
| | Firm's address ► PO Box 5406 Incline Village, NV 89450 | | | | Phone no (775) 832-9222 |

May the IRS discuss this return with the preparer shown below

(see instr) ☐ Yes ☒ No

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

| (a) Name and address | Title, and average hours per week (b) devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | Expense account, (e) other allowances |
|---|---|--|--|--|
| Dave B Straley PO Box 5406 Incline Village, NV 89450 | Treasurer 001 00 | 0 | | |
| Gwen L Straley PO Box 5406 Incline Village, NV 89450 | | | | |
| Erica J Jordan PO Box 5406 Incline Village, NV 89450 | Secretary 001 00 | 0 | | |
| Pamela J Straley PO Box 5406 Incline Village, NV 89450 | | | | |
| Zahra Radjavi PO Box 5406 Incline Village, NV 89450 | Director 001 00 | 0 | | |
| Chris Zintel PO Box 5406 Incline Village, NV 89450 | | | | |
| Danielle Deccatur PO Box 5406 Incline Village, NV 89450 | Director 001 00 | 0 | | |
| | | | | |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|--|--------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| Medha47 South St Natick, MA 01760 | | PC | Support Medhas Meet app voice/text pilot to expand job connection services to persons experiencing extreme poverty without access to smartphones | 5,000 |
| The Charcoal Project 378 Clinton Street Ste 1 Brooklyn, NY 11231 | | PC | Unrestricted grant to support organizations work advancing clean charcoal briquette manufacturers to support job creation, household savings, womens empowerment, and improved health outcomes | 10,000 |
| SI4DEV5th Avenue T Close Festac Town Lagos 10213 NI | | NC | To support the implementation of the Street Business School entrepreneurial program in 4 communities in Nigeria | 10,000 |
| Total ▶ 3a | | | | 75,790 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|--|--------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| Street Business School 6797 Winchester Circle Ste 200 Boulder, CO 80301 | | PC | To support two local NGOs to receive Street Business School Global Catalyst Partner training and to support organizations ME | 15,000 |
| The Charcoal Project 378 Clinton Street Ste 1 Brooklyn, NY 11231 | | PC | To support green charcoal briquette producer in Uganda through Covid 19 crisis | 3,000 |
| Street Business School 6797 Winchester Circle Ste 200 Boulder, CO 80301 | | PC | To support one local NGO to receive Street Business School training to become a Global Catalyst Partner | 5,000 |
| Total ▶ 3a | | | | 75,790 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|--|--------------------------------------|--|--------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| SI4DEV5th Avenue T Close Festac Town Lagos 10213 NI | | NC | To provide micro-grants to women trained by SI4DEV in Street Business School to revive their business post COVID-19 lockdown and/or support emergency household needs | 4,000 |
| Anza4 Adrian Close Porthcawl CF36 3LX UK | | NC | To support organization to continue supporting local social enterprise and entrepreneurs in Tanzania during the Covid-19 crisis | 5,000 |
| Upaya Social Ventures 500 Yale Ave Suite 202 Seattle, WA 98109 | | PC | To provide micro-grants to jobholders of social enterprise in India severely affected by the Covid-19 crisis | 1,690 |
| Total ▶ 3a | | | | 75,790 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|--|--------------------------------------|---|--------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| Street Business School 6797 Winchester Circle Ste 200 Boulder, CO 80301 | | PC | To provide micro-grants to Kampala metro area Street Business School alumni to support emergency household needs during the Covid-19 crisis | 2,000 |
| Village Enterprise 751 Laurel Street PMB 222 San Carlos, CA 94070 | | PC | Support digitization process for organizations field program operations and management to support micro-grants pilot to microbusinesses in 2 locations severely impacted by Covid-19 lockdown | 5,100 |
| Upaya Social Ventures 500 Yale Avenue Suite 202 Seattle, WA 98109 | | PC | Support businesses in India to generate jobs for ultra poor Pool of recoverable grants | 10,000 |
| Total ▶ 3a | | | | 75,790 |

TY 2019 All Other Program Related Investments Schedule**Name:** 3rd Creek Foundation**EIN:** 42-1729283**Software ID:** 19009610**Software Version:** 19.2.1.0

| Category | Amount |
|---|--------|
| Smiling Mushroom- support job creation in Vietnam Anza Growth Fund Jibu - support job creation improved access to clean water in Arusha metro area Whole Forest - Support livelihoods development through sustainable forestry conservation | 20,257 |

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2019 Gain/Loss from Sale of Other Assets Schedule

Name: 3rd Creek Foundation

EIN: 42-1729283

Software ID: 19009610

Software Version: 19.2.1.0

Gain Loss Sale Other Assets Schedule

| Name | Date Acquired | How Acquired | Date Sold | Purchaser Name | Gross Sales Price | Basis | Basis Method | Sales Expenses | Total (net) | Accumulated Depreciation |
|------------------------------------|------------------|-----------------|-----------|-------------------|----------------------|---------|-----------------|-------------------|----------------|-----------------------------|
| Sale of Publicly Traded Securities | 2018-07 | P | 2020-06 | | 212,249 | 216,371 | | | -4,122 | |
| Merck MCK | 2018-12 | D | 2020-06 | | 1,455 | | | | 1,455 | |
| Coca Cola KO | 2017-12 | D | 2020-06 | | 7,744 | 315 | | | 7,429 | |

TY 2019 General Explanation Attachment

Name: 3rd Creek Foundation

EIN: 42-1729283

Software ID: 19009610

Software Version: 19.2.1.0

General Explanation Attachment

| Identifier | Return Reference | Explanation | |
|------------|------------------|--|---|
| 1 | | Part XV Line 3a, Anza Grant, SI4DEV Grant Expenditure Responsibility Anza Grant FY 2019 Grant Amount | Part XV Line 3a Anza Grant SI4DEV Grant Expenditure Responsibility Anza Grant FY 2019 Grant Amount 5000 Grant Received 5/29/2020 Preinquiry report from FY 2018 grant site visit made Jan 2020 No funds diverted Anza Grant FY 2018 Grant Amount 12000 Grant Received 4/5/2019 Pre Grant Inquiry report 11/2018 Grant applied appropriately and no diverted funds Final report pending SI4DEV Grant FY 2019 Grant Amount 10000 Grant Received 11/30/2019 Preinquiry report 11/14/2019 Half of grant w as diverted from the original grant purpose entrepreneurial education) to support SI4DEVs response to the COVID 19 crisis in Nigeria The diversion of 5000 w as preapproved by 3rd Creek Foundation to support community during pandemic onset No funds w ere diverted from 3CFapproved charitable purpose SI4DEV Grant FY 2019 Grant Amount 4000 Grant Received 5/29/2020 Preinquiry report 11/14/2019 Grant applied appropriately and no diverted funds Part IX B Line 1 WARC Group Part IX B Line 2 Imara Tech Expenditure Responsibility PRI to WARC Group Due Diligence 12/2018 Preinquiry Report Date 6/2020 PRI Amount 20000 PRI Received 12/30/2019 PRI applied appropriately and no diverted funds PRI to Imara Tech Due Diligence Site Visit JanMar 2020 Preinquiry Report Date 6/2020 PRI Amount 20000 PRI Received 3/15/2020 PRI applied appropriately and no diverted funds Part IX B Line 3 Smiling Mushroom Expenditure Responsibility PRI to Smiling Mushroom Annual report 11/12/2019 PRI amount 10000 PRI received 08/10/2017 PRI applied appropriately and no diverted funds 3rd Creek Foundation approved repayment delay for final 2 installments in 2020 due to Covid19 pandemic crisis Part IX B Line 3 Anza Growth Fund Expenditure Responsibility PRI to Anza Growth Fund Annual report pending Site visit Jan 2020 PRI Amount 20000 PRI received 10/16/2018 PRI applied appropriately and no diverted funds 3rd Creek Foundation approved repayment delay for final 2 installments in 2020 due to Covid19 pandemic crisis Part IX B Line 3 Beneficial Returns Whole Forest Loan Participation Expenditure Responsibility PRI in Beneficial Returns Whole Forest Loan Participation Pregrant inquiry 6/17/2020 PRI Amount 15000 PRI Issued 6/15/2020 PRI applied appropriately and no diverted funds |

TY 2019 Investments Corporate Stock Schedule**Name:** 3rd Creek Foundation**EIN:** 42-1729283**Software ID:** 19009610**Software Version:** 19.2.1.0**Investments Corporation Stock Schedule**

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|-----------------------|-------------------------------|--------------------------------------|
| Marketable Securities | 872,780 | 949,003 |

TY 2019 Other Assets Schedule

Name: 3rd Creek Foundation

EIN: 42-1729283

Software ID: 19009610

Software Version: 19.2.1.0

Other Assets Schedule

| Description | Beginning of Year - Book Value | End of Year - Book Value | End of Year - Fair Market Value |
|---|--------------------------------|--------------------------|---------------------------------|
| Smiling Mushroom PRI | 4,349 | 1,772 | 1,772 |
| Anza Jibu Loan Receivable | 20,000 | 3,485 | 3,485 |
| Greenwood Loan | 10,000 | | |
| Imara Tech PRI | | 20,000 | 20,000 |
| WARC Group PRI | | 20,000 | 20,000 |
| Beneficial Returns Whole Forest Loan Partic PRI | | 15,000 | 15,000 |

TY 2019 Other Expenses Schedule**Name:** 3rd Creek Foundation**EIN:** 42-1729283**Software ID:** 19009610**Software Version:** 19.2.1.0**Other Expenses Schedule**

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---------------------------------|--------------------------------------|--------------------------|------------------------|---|
| Bank Fees Wire, Paypal ADR Fees | 277 | 52 | | 225 |
| Investment Expense | 10 | 10 | | 10 |
| Licenses and Permits | 50 | | | 50 |
| Website | 390 | | | 390 |
| Dues and Subscriptions | 350 | | | 350 |

TY 2019 Other Income Schedule**Name:** 3rd Creek Foundation**EIN:** 42-1729283**Software ID:** 19009610**Software Version:** 19.2.1.0**Other Income Schedule**

| Description | Revenue And Expenses Per Books | Net Investment Income | Adjusted Net Income |
|---|-----------------------------------|--------------------------|---------------------|
| Interest Income from PRI Smiling Mushroom | 71 | 71 | |
| Interest Income from PRI AGF Jibu | 506 | 506 | |
| Interst Income from MRI Greenwood | 723 | 723 | |

TY 2019 Other Increases Schedule**Name:** 3rd Creek Foundation**EIN:** 42-1729283**Software ID:** 19009610**Software Version:** 19.2.1.0**Description****Amount**

Upaya Pool of Recoverable Grants Year 2 Grant Payment from Grant Payable

10,000

TY 2019 Other Liabilities Schedule**Name:** 3rd Creek Foundation**EIN:** 42-1729283**Software ID:** 19009610**Software Version:** 19.2.1.0

| Description | Beginning of Year - Book Value | End of Year - Book Value |
|-------------------|-----------------------------------|-----------------------------|
| Chase Credit Card | 486 | 156 |

TY 2019 Other Professional Fees Schedule**Name:** 3rd Creek Foundation**EIN:** 42-1729283**Software ID:** 19009610**Software Version:** 19.2.1.0

**TY 2019 Substantial Contributors
Schedule****Name:** 3rd Creek Foundation**EIN:** 42-1729283**Software ID:** 19009610**Software Version:** 19.2.1.0**Name****Address**

3rd Creek Investments Inc

999 Driver Way
Incline Village, NV 89451

TY 2019 Taxes Schedule**Name:** 3rd Creek Foundation**EIN:** 42-1729283**Software ID:** 19009610**Software Version:** 19.2.1.0

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|------------|--------|--------------------------|------------------------|---|
| Income tax | 477 | | | |

| | | |
|--|---|---|
| Schedule B (Form 990, 990-EZ, or 990-PF) <small>Department of the Treasury Internal Revenue Service</small> | Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Go to <u>www.irs.gov/Form990</u> for the latest information | OMB No 1545-0047 |
| | | 2019 |
| Name of the organization 3rd Creek Foundation | | Employer identification number 42-1729283 |

Organization type (check one)

| | |
|--------------------|---|
| Filers of: | Section: |
| Form 990 or 990-EZ | <input type="checkbox"/> 501(c)() (enter number) organization |
| | <input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation |
| | <input type="checkbox"/> 527 political organization |
| Form 990-PF | <input checked="" type="checkbox"/> 501(c)(3) exempt private foundation |
| | <input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | <input type="checkbox"/> 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☐ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
3rd Creek Foundation

Employer identification number
42-1729283

Part I**Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|------------|--|----------------------------|---|
| 1 | Harris Kirschner PO Box 3702 Incline Village, NV 89450 | \$ 0 | <input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions) |
| 2 | 3rd Creek Investments 999 Driver Way Incline Village, NV 89451 | \$ 9,000 | <input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions) |
| . | | \$ | <input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions) |
| . | | \$ | <input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions) |
| . | | \$ | <input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions) |
| . | | \$ | <input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions) |
| . | | \$ | <input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions) |

| | |
|--|--|
| Name of organization 3rd Creek Foundation | Employer identification number 42-1729283 |
|--|--|

| Part II Noncash Property | | | |
|---------------------------|---|--|----------------------|
| (a) No. from Part I | (b) Description of noncash property given <small>(see instructions) Use duplicate copies of Part II if additional space is needed</small> | (c) FMV (or estimate) (See instructions) | (d) Date received |
| - | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| - | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| - | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| - | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| - | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| - | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| - | | \$ | |

| | |
|--|--|
| Name of organization 3rd Creek Foundation | Employer identification number 42-1729283 |
|--|--|

| | |
|-----------------|--|
| Part III | Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____ Use duplicate copies of Part III if additional space is needed |
|-----------------|--|

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------------|---------------------------------------|-------------------------|--|
| | _____ _____ _____ | _____ _____ _____ | _____ _____ _____ |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | | Relationship of transferor to transferee |
| | _____ _____ _____ | _____ _____ _____ | |
| | | | |
| | | | |
| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
| | _____ _____ _____ | _____ _____ _____ | _____ _____ _____ |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | | Relationship of transferor to transferee |
| | _____ _____ _____ | _____ _____ _____ | |
| | | | |
| | | | |
| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
| | _____ _____ _____ | _____ _____ _____ | _____ _____ _____ |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | | Relationship of transferor to transferee |
| | _____ _____ _____ | _____ _____ _____ | |
| | | | |
| | | | |
| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
| | _____ _____ _____ | _____ _____ _____ | _____ _____ _____ |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | | Relationship of transferor to transferee |
| | _____ _____ _____ | _____ _____ _____ | |
| | | | |
| | | | |