

Form **990-PF**  
 Department of the Treasury  
 Internal Revenue Service

**Return of Private Foundation  
 or Section 4947(a)(1) Trust Treated as Private Foundation**

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 ▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No. 1545-0052

**2019**

**Open to Public Inspection**

**For calendar year 2019, or tax year beginning 07-01-2019 , and ending 06-30-2020**

|                                                                                                                                                                                                                                                                                                            |            |                                                                                                                                                                                                        |  |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| Name of foundation<br>F ALBERT KLEIN TRUST UNDER WILL                                                                                                                                                                                                                                                      |            | <b>A Employer identification number</b><br>42-0859994                                                                                                                                                  |  |
| Number and street (or P.O. box number if mail is not delivered to street address)<br>PO BOX 0634                                                                                                                                                                                                           | Room/suite | <b>B Telephone number</b> (see instructions)<br>(319) 753-8764                                                                                                                                         |  |
| City or town, state or province, country, and ZIP or foreign postal code<br>MILWAUKEE, WI 532010634                                                                                                                                                                                                        |            | <b>C</b> If exemption application is pending, check here <input type="checkbox"/>                                                                                                                      |  |
| <b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Final return <input type="checkbox"/> Amended return<br><input type="checkbox"/> Address change <input type="checkbox"/> Name change |            | <b>D 1.</b> Foreign organizations, check here..... <input type="checkbox"/><br><b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>    |  |
| <b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation                                                   |            | <b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here ..... <input type="checkbox"/>                                                                             |  |
| <b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>11,931,336</u>                                                                                                                                                                                           |            | <b>J</b> Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____<br>(Part I, column (d) must be on cash basis.) |  |
|                                                                                                                                                                                                                                                                                                            |            | <b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... <input type="checkbox"/>                                                                          |  |

| <b>Part I Analysis of Revenue and Expenses</b> <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</small> |                                                                                                   | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| <b>Revenue</b>                                                                                                                                                                            | <b>1</b> Contributions, gifts, grants, etc., received (attach schedule)                           | 70,993                             |                           |                         |                                                             |
|                                                                                                                                                                                           | <b>2</b> Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B |                                    |                           |                         |                                                             |
|                                                                                                                                                                                           | <b>3</b> Interest on savings and temporary cash investments                                       |                                    |                           |                         |                                                             |
|                                                                                                                                                                                           | <b>4</b> Dividends and interest from securities                                                   | 389,863                            | 389,263                   |                         |                                                             |
|                                                                                                                                                                                           | <b>5a</b> Gross rents                                                                             |                                    |                           |                         |                                                             |
|                                                                                                                                                                                           | <b>b</b> Net rental income or (loss)                                                              |                                    |                           |                         |                                                             |
|                                                                                                                                                                                           | <b>6a</b> Net gain or (loss) from sale of assets not on line 10                                   | -137,649                           |                           |                         |                                                             |
|                                                                                                                                                                                           | <b>b</b> Gross sales price for all assets on line 6a                                              | 4,286,350                          |                           |                         |                                                             |
|                                                                                                                                                                                           | <b>7</b> Capital gain net income (from Part IV, line 2)                                           |                                    |                           | 0                       |                                                             |
|                                                                                                                                                                                           | <b>8</b> Net short-term capital gain                                                              |                                    |                           | 0                       |                                                             |
|                                                                                                                                                                                           | <b>9</b> Income modifications                                                                     |                                    |                           |                         |                                                             |
|                                                                                                                                                                                           | <b>10a</b> Gross sales less returns and allowances                                                |                                    |                           |                         |                                                             |
| <b>b</b> Less: Cost of goods sold                                                                                                                                                         |                                                                                                   |                                    |                           |                         |                                                             |
| <b>c</b> Gross profit or (loss) (attach schedule)                                                                                                                                         |                                                                                                   |                                    |                           |                         |                                                             |
| <b>11</b> Other income (attach schedule)                                                                                                                                                  | 5                                                                                                 |                                    | 5                         |                         |                                                             |
| <b>12 Total.</b> Add lines 1 through 11                                                                                                                                                   | 323,212                                                                                           | 389,268                            |                           |                         |                                                             |
| <b>Operating and Administrative Expenses</b>                                                                                                                                              | <b>13</b> Compensation of officers, directors, trustees, etc.                                     | 93,984                             | 84,585                    |                         | 9,398                                                       |
|                                                                                                                                                                                           | <b>14</b> Other employee salaries and wages                                                       |                                    | 0                         | 0                       | 0                                                           |
|                                                                                                                                                                                           | <b>15</b> Pension plans, employee benefits                                                        |                                    | 0                         | 0                       | 0                                                           |
|                                                                                                                                                                                           | <b>16a</b> Legal fees (attach schedule)                                                           | 1,500                              | 0                         | 0                       | 1,500                                                       |
|                                                                                                                                                                                           | <b>b</b> Accounting fees (attach schedule)                                                        | 750                                | 0                         | 0                       | 750                                                         |
|                                                                                                                                                                                           | <b>c</b> Other professional fees (attach schedule)                                                |                                    |                           |                         | 0                                                           |
|                                                                                                                                                                                           | <b>17</b> Interest                                                                                |                                    |                           |                         | 0                                                           |
|                                                                                                                                                                                           | <b>18</b> Taxes (attach schedule) (see instructions)                                              | 8,496                              | 7,144                     |                         | 0                                                           |
|                                                                                                                                                                                           | <b>19</b> Depreciation (attach schedule) and depletion                                            | 0                                  | 0                         |                         |                                                             |
|                                                                                                                                                                                           | <b>20</b> Occupancy                                                                               |                                    |                           |                         |                                                             |
|                                                                                                                                                                                           | <b>21</b> Travel, conferences, and meetings                                                       |                                    |                           | 0                       | 0                                                           |
|                                                                                                                                                                                           | <b>22</b> Printing and publications                                                               |                                    |                           | 0                       | 0                                                           |
|                                                                                                                                                                                           | <b>23</b> Other expenses (attach schedule)                                                        | 36                                 | 16                        |                         | 20                                                          |
|                                                                                                                                                                                           | <b>24 Total operating and administrative expenses.</b> Add lines 13 through 23                    | 104,766                            | 91,745                    | 0                       | 11,668                                                      |
|                                                                                                                                                                                           | <b>25</b> Contributions, gifts, grants paid                                                       | 597,091                            |                           |                         | 597,091                                                     |
| <b>26 Total expenses and disbursements.</b> Add lines 24 and 25                                                                                                                           | 701,857                                                                                           | 91,745                             | 0                         | 608,759                 |                                                             |
| <b>27</b> Subtract line 26 from line 12:                                                                                                                                                  |                                                                                                   |                                    |                           |                         |                                                             |
| <b>a Excess of revenue over expenses and disbursements</b>                                                                                                                                | -378,645                                                                                          |                                    |                           |                         |                                                             |
| <b>b Net investment income</b> (if negative, enter -0-)                                                                                                                                   |                                                                                                   | 297,523                            |                           |                         |                                                             |
| <b>c Adjusted net income</b> (if negative, enter -0-)                                                                                                                                     |                                                                                                   |                                    | 0                         |                         |                                                             |

| <b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.) |                                                                                                                                                  | Beginning of year | End of year    |                       |
|----------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|----------------|-----------------------|
|                                                                                                                                                    |                                                                                                                                                  | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| <b>Assets</b>                                                                                                                                      | <b>1</b> Cash—non-interest-bearing . . . . .                                                                                                     |                   |                |                       |
|                                                                                                                                                    | <b>2</b> Savings and temporary cash investments . . . . .                                                                                        | 165,378           | 155,065        | 155,065               |
|                                                                                                                                                    | <b>3</b> Accounts receivable ▶ _____<br>Less: allowance for doubtful accounts ▶ _____                                                            |                   | 0              | 0                     |
|                                                                                                                                                    | <b>4</b> Pledges receivable ▶ _____<br>Less: allowance for doubtful accounts ▶ _____                                                             |                   |                |                       |
|                                                                                                                                                    | <b>5</b> Grants receivable . . . . .                                                                                                             |                   |                |                       |
|                                                                                                                                                    | <b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .       |                   |                |                       |
|                                                                                                                                                    | <b>7</b> Other notes and loans receivable (attach schedule) ▶ _____<br>Less: allowance for doubtful accounts ▶ _____ 0                           |                   |                |                       |
|                                                                                                                                                    | <b>8</b> Inventories for sale or use . . . . .                                                                                                   |                   |                |                       |
|                                                                                                                                                    | <b>9</b> Prepaid expenses and deferred charges . . . . .                                                                                         |                   |                |                       |
|                                                                                                                                                    | <b>10a</b> Investments—U.S. and state government obligations (attach schedule)                                                                   |                   |                |                       |
|                                                                                                                                                    | <b>b</b> Investments—corporate stock (attach schedule) . . . . .                                                                                 | 5,661,290         | 6,518,712      | 6,754,252             |
|                                                                                                                                                    | <b>c</b> Investments—corporate bonds (attach schedule) . . . . .                                                                                 | 4,507,397         | 3,077,353      | 2,932,240             |
|                                                                                                                                                    | <b>11</b> Investments—land, buildings, and equipment: basis ▶ _____<br>Less: accumulated depreciation (attach schedule) ▶ _____                  |                   |                |                       |
|                                                                                                                                                    | <b>12</b> Investments—mortgage loans . . . . .                                                                                                   |                   |                |                       |
|                                                                                                                                                    | <b>13</b> Investments—other (attach schedule) . . . . .                                                                                          | 2,015,941         | 2,219,898      | 2,089,779             |
|                                                                                                                                                    | <b>14</b> Land, buildings, and equipment: basis ▶ _____<br>Less: accumulated depreciation (attach schedule) ▶ _____                              |                   |                |                       |
| <b>15</b> Other assets (describe ▶ _____)                                                                                                          |                                                                                                                                                  |                   |                |                       |
| <b>16 Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)                                              | 12,350,006                                                                                                                                       | 11,971,028        | 11,931,336     |                       |
| <b>Liabilities</b>                                                                                                                                 | <b>17</b> Accounts payable and accrued expenses . . . . .                                                                                        |                   |                |                       |
|                                                                                                                                                    | <b>18</b> Grants payable . . . . .                                                                                                               |                   |                |                       |
|                                                                                                                                                    | <b>19</b> Deferred revenue . . . . .                                                                                                             |                   |                |                       |
|                                                                                                                                                    | <b>20</b> Loans from officers, directors, trustees, and other disqualified persons                                                               |                   |                |                       |
|                                                                                                                                                    | <b>21</b> Mortgages and other notes payable (attach schedule) . . . . .                                                                          |                   |                |                       |
|                                                                                                                                                    | <b>22</b> Other liabilities (describe ▶ _____)                                                                                                   |                   |                |                       |
|                                                                                                                                                    | <b>23 Total liabilities</b> (add lines 17 through 22) . . . . .                                                                                  |                   | 0              |                       |
| <b>Net Assets or Fund Balances</b>                                                                                                                 | <b>Foundations that follow FASB ASC 958, check here</b> ▶ <input type="checkbox"/><br><b>and complete lines 24, 25, 29 and 30.</b>               |                   |                |                       |
|                                                                                                                                                    | <b>24</b> Net assets without donor restrictions . . . . .                                                                                        |                   |                |                       |
|                                                                                                                                                    | <b>25</b> Net assets with donor restrictions . . . . .                                                                                           |                   |                |                       |
|                                                                                                                                                    | <b>Foundations that do not follow FASB ASC 958, check here</b> ▶ <input checked="" type="checkbox"/><br><b>and complete lines 26 through 30.</b> |                   |                |                       |
|                                                                                                                                                    | <b>26</b> Capital stock, trust principal, or current funds . . . . .                                                                             | 12,350,006        | 11,971,028     |                       |
|                                                                                                                                                    | <b>27</b> Paid-in or capital surplus, or land, bldg., and equipment fund                                                                         |                   |                |                       |
|                                                                                                                                                    | <b>28</b> Retained earnings, accumulated income, endowment, or other funds                                                                       |                   |                |                       |
| <b>29 Total net assets or fund balances</b> (see instructions) . . . . .                                                                           | 12,350,006                                                                                                                                       | 11,971,028        |                |                       |
| <b>30 Total liabilities and net assets/fund balances</b> (see instructions) .                                                                      | 12,350,006                                                                                                                                       | 11,971,028        |                |                       |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|                                                                                                                                                                             |          |            |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|------------|
| <b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . . | <b>1</b> | 12,350,006 |
| <b>2</b> Enter amount from Part I, line 27a . . . . .                                                                                                                       | <b>2</b> | -378,645   |
| <b>3</b> Other increases not included in line 2 (itemize) ▶ _____                                                                                                           | <b>3</b> | 0          |
| <b>4</b> Add lines 1, 2, and 3 . . . . .                                                                                                                                    | <b>4</b> | 11,971,361 |
| <b>5</b> Decreases not included in line 2 (itemize) ▶ _____                                                                                                                 | <b>5</b> | 333        |
| <b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .                                                              | <b>6</b> | 11,971,028 |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)                                                                                                                                                 |                                               | (b)<br>How acquired<br>P—Purchase<br>D—Donation    | (c)<br>Date acquired<br>(mo., day, yr.)         | (d)<br>Date sold<br>(mo., day, yr.)                |                                                                                                   |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------|----------------------------------------------------|-------------------------------------------------|----------------------------------------------------|---------------------------------------------------------------------------------------------------|
| <b>1a</b> See Additional Data Table                                                                                                                                                                                                                                                |                                               |                                                    |                                                 |                                                    |                                                                                                   |
| <b>b</b>                                                                                                                                                                                                                                                                           |                                               |                                                    |                                                 |                                                    |                                                                                                   |
| <b>c</b>                                                                                                                                                                                                                                                                           |                                               |                                                    |                                                 |                                                    |                                                                                                   |
| <b>d</b>                                                                                                                                                                                                                                                                           |                                               |                                                    |                                                 |                                                    |                                                                                                   |
| <b>e</b>                                                                                                                                                                                                                                                                           |                                               |                                                    |                                                 |                                                    |                                                                                                   |
| (e)<br>Gross sales price                                                                                                                                                                                                                                                           | (f)<br>Depreciation allowed<br>(or allowable) | (g)<br>Cost or other basis<br>plus expense of sale | (h)<br>Gain or (loss)<br>(e) plus (f) minus (g) |                                                    |                                                                                                   |
| <b>a</b> See Additional Data Table                                                                                                                                                                                                                                                 |                                               |                                                    |                                                 |                                                    |                                                                                                   |
| <b>b</b>                                                                                                                                                                                                                                                                           |                                               |                                                    |                                                 |                                                    |                                                                                                   |
| <b>c</b>                                                                                                                                                                                                                                                                           |                                               |                                                    |                                                 |                                                    |                                                                                                   |
| <b>d</b>                                                                                                                                                                                                                                                                           |                                               |                                                    |                                                 |                                                    |                                                                                                   |
| <b>e</b>                                                                                                                                                                                                                                                                           |                                               |                                                    |                                                 |                                                    |                                                                                                   |
| (i)<br>F.M.V. as of 12/31/69                                                                                                                                                                                                                                                       |                                               |                                                    | (j)<br>Adjusted basis<br>as of 12/31/69         | (k)<br>Excess of col. (i)<br>over col. (j), if any | (l)<br>Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col.(h)) |
| <b>a</b> See Additional Data Table                                                                                                                                                                                                                                                 |                                               |                                                    |                                                 |                                                    |                                                                                                   |
| <b>b</b>                                                                                                                                                                                                                                                                           |                                               |                                                    |                                                 |                                                    |                                                                                                   |
| <b>c</b>                                                                                                                                                                                                                                                                           |                                               |                                                    |                                                 |                                                    |                                                                                                   |
| <b>d</b>                                                                                                                                                                                                                                                                           |                                               |                                                    |                                                 |                                                    |                                                                                                   |
| <b>e</b>                                                                                                                                                                                                                                                                           |                                               |                                                    |                                                 |                                                    |                                                                                                   |
| <b>2</b> Capital gain net income or (net capital loss) <span style="float:right">                     { If gain, also enter in Part I, line 7<br/>                     If (loss), enter -0- in Part I, line 7 }                 </span>                                            |                                               |                                                    |                                                 |                                                    | <b>2</b> -137,649                                                                                 |
| <b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0-<br>in Part I, line 8 <span style="float:right">                     }                 </span> |                                               |                                                    |                                                 |                                                    | <b>3</b>                                                                                          |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see instructions before making any entries.

| (a)<br>Base period years Calendar<br>year (or tax year beginning in)                                                                                                                  | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col. (b) divided by col. (c)) |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-------------------------------------------------------------|
| 2018                                                                                                                                                                                  | 621,442                                  | 12,188,563                                   | 0.050986                                                    |
| 2017                                                                                                                                                                                  | 639,165                                  | 12,873,001                                   | 0.049652                                                    |
| 2016                                                                                                                                                                                  | 613,127                                  | 12,002,791                                   | 0.051082                                                    |
| 2015                                                                                                                                                                                  | 740,284                                  | 11,732,275                                   | 0.063098                                                    |
| 2014                                                                                                                                                                                  | 705,000                                  | 13,194,196                                   | 0.053433                                                    |
| <b>2</b> Total of line 1, column (d)                                                                                                                                                  |                                          |                                              | <b>2</b> 0.268251                                           |
| <b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years |                                          |                                              | <b>3</b> 0.05365                                            |
| <b>4</b> Enter the net value of noncharitable-use assets for 2019 from Part X, line 5                                                                                                 |                                          |                                              | <b>4</b> 11,898,909                                         |
| <b>5</b> Multiply line 4 by line 3                                                                                                                                                    |                                          |                                              | <b>5</b> 638,376                                            |
| <b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                   |                                          |                                              | <b>6</b> 2,975                                              |
| <b>7</b> Add lines 5 and 6                                                                                                                                                            |                                          |                                              | <b>7</b> 641,351                                            |
| <b>8</b> Enter qualifying distributions from Part XII, line 4                                                                                                                         |                                          |                                              | <b>8</b> 608,759                                            |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

|           |                                                                                                                                                                                                                                     |           |        |
|-----------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|--------|
| <b>1a</b> | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions) |           |        |
| <b>b</b>  | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .                                                                           | <b>1</b>  | 5,950  |
| <b>c</b>  | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)                                                                                                             |           |        |
| <b>2</b>  | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                           | <b>2</b>  | 0      |
| <b>3</b>  | Add lines 1 and 2. . . . .                                                                                                                                                                                                          | <b>3</b>  | 5,950  |
| <b>4</b>  | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                         | <b>4</b>  | 0      |
| <b>5</b>  | <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .                                                                                                                            | <b>5</b>  | 5,950  |
| <b>6</b>  | Credits/Payments:                                                                                                                                                                                                                   |           |        |
| <b>a</b>  | 2019 estimated tax payments and 2018 overpayment credited to 2019                                                                                                                                                                   | <b>6a</b> | 12,337 |
| <b>b</b>  | Exempt foreign organizations—tax withheld at source . . . . .                                                                                                                                                                       | <b>6b</b> |        |
| <b>c</b>  | Tax paid with application for extension of time to file (Form 8868) . . . . .                                                                                                                                                       | <b>6c</b> | 0      |
| <b>d</b>  | Backup withholding erroneously withheld . . . . .                                                                                                                                                                                   | <b>6d</b> |        |
| <b>7</b>  | Total credits and payments. Add lines 6a through 6d. . . . .                                                                                                                                                                        | <b>7</b>  | 12,337 |
| <b>8</b>  | Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.                                                                                                           | <b>8</b>  | 0      |
| <b>9</b>  | <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . . ▶                                                                                                                             | <b>9</b>  |        |
| <b>10</b> | <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . . ▶                                                                                                                 | <b>10</b> | 6,387  |
| <b>11</b> | Enter the amount of line 10 to be: <b>Credited to 2020 estimated tax</b> ▶ 5,952 <b>Refunded</b> ▶                                                                                                                                  | <b>11</b> | 435    |

**Part VII-A Statements Regarding Activities**

|           | Yes | No |
|-----------|-----|----|
| <b>1a</b> |     | No |
| <b>b</b>  |     | No |
| <b>c</b>  |     | No |
| <b>d</b>  |     |    |
| <b>e</b>  |     |    |
| <b>2</b>  |     | No |
| <b>3</b>  |     | No |
| <b>4a</b> |     | No |
| <b>b</b>  |     |    |
| <b>5</b>  |     | No |
| <b>6</b>  | Yes |    |
| <b>7</b>  | Yes |    |
| <b>8a</b> |     |    |
| <b>b</b>  | Yes |    |
| <b>9</b>  |     | No |
| <b>10</b> |     | No |

**Part VII-A Statements Regarding Activities** (continued)

|                                                                                                                                                                                                               |           |            |           |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|------------|-----------|
| <b>11</b> At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .     | <b>11</b> |            | <b>No</b> |
| <b>12</b> Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions . . . . .   | <b>12</b> |            | <b>No</b> |
| <b>13</b> Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ _____                                                         | <b>13</b> | <b>Yes</b> |           |
| <b>14</b> The books are in care of ▶ <u>US Bank NA</u> Telephone no. ▶ <u>(319) 753-8764</u>                                                                                                                  |           |            |           |
| Located at ▶ <u>201 JEFFERSON BURLINGTON IA</u> ZIP+4 ▶ <u>526015250</u>                                                                                                                                      |           |            |           |
| <b>15</b> Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . . ▶ <input type="checkbox"/>                                                      |           |            |           |
| and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ <b>15</b> _____                                                                                                   |           |            |           |
| <b>16</b> At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . | <b>16</b> | <b>Yes</b> | <b>No</b> |
| See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶ _____                                                                      |           |            | <b>No</b> |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |           | <b>Yes</b> | <b>No</b> |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|------------|-----------|
| <b>1a</b> During the year did the foundation (either directly or indirectly):                                                                                                                                                                                                                                                                                                                                                                                                                                     |           |            |           |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                                        |           |            |           |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                      |           |            |           |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                                    |           |            |           |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                                          |           |            |           |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                       |           |            |           |
| (6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                             |           |            |           |
| <b>b</b> If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . . <input type="checkbox"/>                                                                                                                                                                                                                                             | <b>1b</b> |            | <b>No</b> |
| Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                            |           |            |           |
| <b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? . . . . .                                                                                                                                                                                                                                                                                                        | <b>1c</b> |            | <b>No</b> |
| <b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):                                                                                                                                                                                                                                                                                                                           |           |            |           |
| <b>a</b> At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>If "Yes," list the years ▶ 20____, 20____, 20____, 20____                                                                                                                                                                                                             |           |            |           |
| <b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions.) . . . . .                                                                                                                                                                          | <b>2b</b> |            |           |
| <b>c</b> If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____                                                                                                                                                                                                                                                                                                                                                 |           |            |           |
| <b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                |           |            |           |
| <b>b</b> If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.) . . . . . | <b>3b</b> |            |           |
| <b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                         | <b>4a</b> |            | <b>No</b> |
| <b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?                                                                                                                                                                                                                                                                        | <b>4b</b> |            | <b>No</b> |



**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

| <b>3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".</b> |                     |                  |
|-------------------------------------------------------------------------------------------------------------------------|---------------------|------------------|
| (a) Name and address of each person paid more than \$50,000                                                             | (b) Type of service | (c) Compensation |
| NONE                                                                                                                    |                     |                  |
|                                                                                                                         |                     |                  |
|                                                                                                                         |                     |                  |
|                                                                                                                         |                     |                  |
|                                                                                                                         |                     |                  |
|                                                                                                                         |                     |                  |
|                                                                                                                         |                     |                  |
| <b>Total</b> number of others receiving over \$50,000 for professional services. . . . . ▶                              |                     | 0                |

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| <b>1</b>                                                                                                                                                                                                                                               |          |
|                                                                                                                                                                                                                                                        |          |
| <b>2</b>                                                                                                                                                                                                                                               |          |
|                                                                                                                                                                                                                                                        |          |
| <b>3</b>                                                                                                                                                                                                                                               |          |
|                                                                                                                                                                                                                                                        |          |
| <b>4</b>                                                                                                                                                                                                                                               |          |
|                                                                                                                                                                                                                                                        |          |

**Part IX-B Summary of Program-Related Investments (see instructions)**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|-------------------------------------------------------------------------------------------------------------------|--------|
| <b>1</b>                                                                                                          |        |
|                                                                                                                   |        |
| <b>2</b>                                                                                                          |        |
|                                                                                                                   |        |
| All other program-related investments. See instructions.                                                          |        |
| <b>3</b>                                                                                                          |        |
|                                                                                                                   |        |
| <b>Total.</b> Add lines 1 through 3 . . . . . ▶                                                                   |        |

| <b>Part X Minimum Investment Return</b> (All domestic foundations must complete this part. Foreign foundations, see instructions.) |                                                                                                                    |                      |
|------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------|
| <b>1</b>                                                                                                                           | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:        |                      |
| <b>a</b>                                                                                                                           | Average monthly fair market value of securities. . . . .                                                           | <b>1a</b> 11,981,174 |
| <b>b</b>                                                                                                                           | Average of monthly cash balances. . . . .                                                                          | <b>1b</b> 98,937     |
| <b>c</b>                                                                                                                           | Fair market value of all other assets (see instructions). . . . .                                                  | <b>1c</b> 0          |
| <b>d</b>                                                                                                                           | <b>Total</b> (add lines 1a, b, and c). . . . .                                                                     | <b>1d</b> 12,080,111 |
| <b>e</b>                                                                                                                           | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . . | <b>1e</b> 0          |
| <b>2</b>                                                                                                                           | Acquisition indebtedness applicable to line 1 assets. . . . .                                                      | <b>2</b> 0           |
| <b>3</b>                                                                                                                           | Subtract line 2 from line 1d. . . . .                                                                              | <b>3</b> 12,080,111  |
| <b>4</b>                                                                                                                           | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . . | <b>4</b> 181,202     |
| <b>5</b>                                                                                                                           | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4        | <b>5</b> 11,898,909  |
| <b>6</b>                                                                                                                           | <b>Minimum investment return.</b> Enter 5% of line 5. . . . .                                                      | <b>6</b> 594,945     |

| <b>Part XI Distributable Amount</b> (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here <input type="checkbox"/> and do not complete this part.) |                                                                                                                    |                  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|------------------|
| <b>1</b>                                                                                                                                                                                                                  | Minimum investment return from Part X, line 6. . . . .                                                             | <b>1</b> 594,945 |
| <b>2a</b>                                                                                                                                                                                                                 | Tax on investment income for 2019 from Part VI, line 5. . . . .                                                    | <b>2a</b> 5,950  |
| <b>b</b>                                                                                                                                                                                                                  | Income tax for 2019. (This does not include the tax from Part VI.). . . . .                                        | <b>2b</b>        |
| <b>c</b>                                                                                                                                                                                                                  | Add lines 2a and 2b. . . . .                                                                                       | <b>2c</b> 5,950  |
| <b>3</b>                                                                                                                                                                                                                  | Distributable amount before adjustments. Subtract line 2c from line 1. . . . .                                     | <b>3</b> 588,995 |
| <b>4</b>                                                                                                                                                                                                                  | Recoveries of amounts treated as qualifying distributions. . . . .                                                 | <b>4</b> 0       |
| <b>5</b>                                                                                                                                                                                                                  | Add lines 3 and 4. . . . .                                                                                         | <b>5</b> 588,995 |
| <b>6</b>                                                                                                                                                                                                                  | Deduction from distributable amount (see instructions). . . . .                                                    | <b>6</b> 0       |
| <b>7</b>                                                                                                                                                                                                                  | <b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . . | <b>7</b> 588,995 |

| <b>Part XII Qualifying Distributions</b> (see instructions) |                                                                                                                                                              |                   |
|-------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|
| <b>1</b>                                                    | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                                                                   |                   |
| <b>a</b>                                                    | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .                                                                         | <b>1a</b> 608,759 |
| <b>b</b>                                                    | Program-related investments—total from Part IX-B. . . . .                                                                                                    | <b>1b</b> 0       |
| <b>2</b>                                                    | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .                                           | <b>2</b> 0        |
| <b>3</b>                                                    | Amounts set aside for specific charitable projects that satisfy the:                                                                                         |                   |
| <b>a</b>                                                    | Suitability test (prior IRS approval required). . . . .                                                                                                      | <b>3a</b> 0       |
| <b>b</b>                                                    | Cash distribution test (attach the required schedule). . . . .                                                                                               | <b>3b</b> 0       |
| <b>4</b>                                                    | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                                            | <b>4</b> 608,759  |
| <b>5</b>                                                    | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . . | <b>5</b> 0        |
| <b>6</b>                                                    | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .                                                                               | <b>6</b> 608,759  |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

|                                                                                                                                                                                            | (a)<br>Corpus | (b)<br>Years prior to 2018 | (c)<br>2018 | (d)<br>2019 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2019 from Part XI, line 7                                                                                                                                |               |                            |             | 588,995     |
| <b>2</b> Undistributed income, if any, as of the end of 2019:                                                                                                                              |               |                            |             |             |
| <b>a</b> Enter amount for 2018 only. . . . .                                                                                                                                               |               |                            | 597,091     |             |
| <b>b</b> Total for prior years: 20___, 20___, 20___                                                                                                                                        |               | 0                          |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2019:                                                                                                                                  |               |                            |             |             |
| <b>a</b> From 2014. . . . .                                                                                                                                                                | 0             |                            |             |             |
| <b>b</b> From 2015. . . . .                                                                                                                                                                | 0             |                            |             |             |
| <b>c</b> From 2016. . . . .                                                                                                                                                                | 0             |                            |             |             |
| <b>d</b> From 2017. . . . .                                                                                                                                                                | 0             |                            |             |             |
| <b>e</b> From 2018. . . . .                                                                                                                                                                | 0             |                            |             |             |
| <b>f</b> Total of lines 3a through e. . . . .                                                                                                                                              | 0             |                            |             |             |
| <b>4</b> Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ <u>608,759</u>                                                                                                      |               |                            |             |             |
| <b>a</b> Applied to 2018, but not more than line 2a                                                                                                                                        |               |                            | 597,091     |             |
| <b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .                                                                                      |               | 0                          |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .                                                                                              | 11,668        |                            |             |             |
| <b>d</b> Applied to 2019 distributable amount. . . . .                                                                                                                                     |               |                            |             | 0           |
| <b>e</b> Remaining amount distributed out of corpus                                                                                                                                        | 0             |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2019.<br>(If an amount appears in column (d), the same amount must be shown in column (a).)                                             | 0             |                            |             | 0           |
| <b>6</b> Enter the net total of each column as indicated below:                                                                                                                            |               |                            |             |             |
| <b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5                                                                                                                                 | 11,668        |                            |             |             |
| <b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .                                                                                                        |               | 0                          |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . . |               |                            | 0           |             |
| <b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions . . . . .                                                                                                          |               |                            | 0           |             |
| <b>e</b> Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .                                                                           |               |                            |             | 0           |
| <b>f</b> Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 . . . . .                                                             |               |                            |             | 588,995     |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .       | 11,668        |                            |             |             |
| <b>8</b> Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions). . . . .                                                                              | 0             |                            |             |             |
| <b>9</b> Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a . . . . .                                                                                             | 0             |                            |             |             |
| <b>10</b> Analysis of line 9:                                                                                                                                                              |               |                            |             |             |
| <b>a</b> Excess from 2015. . . . .                                                                                                                                                         | 0             |                            |             |             |
| <b>b</b> Excess from 2016. . . . .                                                                                                                                                         | 0             |                            |             |             |
| <b>c</b> Excess from 2017. . . . .                                                                                                                                                         | 0             |                            |             |             |
| <b>d</b> Excess from 2018. . . . .                                                                                                                                                         | 0             |                            |             |             |
| <b>e</b> Excess from 2019. . . . .                                                                                                                                                         | 0             |                            |             |             |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

|                                                                                                                                  | Tax year | Prior 3 years |          |          | (e) Total |
|----------------------------------------------------------------------------------------------------------------------------------|----------|---------------|----------|----------|-----------|
|                                                                                                                                  | (a) 2019 | (b) 2018      | (c) 2017 | (d) 2016 |           |
| <b>b</b> 85% of line 2a . . . . .                                                                                                |          |               |          |          |           |
| <b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .                                           |          |               |          |          |           |
| <b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .                         |          |               |          |          |           |
| <b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . . |          |               |          |          |           |

**3** Complete 3a, b, or c for the alternative test relied upon:

**a** "Assets" alternative test—enter:

(1) Value of all assets . . . . .

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

**b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .

**c** "Support" alternative test—enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .

(3) Largest amount of support from an exempt organization

(4) Gross investment income

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

---

**b** The form in which applications should be submitted and information and materials they should include:

---

**c** Any submission deadlines:

---

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** **Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient                                                                                                                                        | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount  |
|--------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|---------|
| Name and address (home or business)                                                                                                              |                                                                                                           |                                |                                  |         |
| <b>a</b> <i>Paid during the year</i><br>GREAT RIVER MEDICAL CENTER<br>ATTN CORPORATE CONTROLLER<br>1221 S GEAR AVE<br>W BURLINGTON, IA 526551679 | NONE                                                                                                      | PC                             | GENERAL OPERATING                | 597,091 |
|                                                                                                                                                  |                                                                                                           |                                |                                  |         |
| <b>Total . . . . .</b>                                                                                                                           |                                                                                                           |                                |                                  | 597,091 |
| <b>b</b> <i>Approved for future payment</i>                                                                                                      |                                                                                                           |                                |                                  |         |
| <b>Total . . . . .</b>                                                                                                                           |                                                                                                           |                                |                                  |         |





**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| List and describe the kind(s) of property sold (e.g., real estate,<br>(a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b)<br>How acquired<br>P—Purchase<br>D—Donation | (c)<br>Date acquired<br>(mo., day, yr.) | (d)<br>Date sold<br>(mo., day, yr.) |
|---------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|-----------------------------------------|-------------------------------------|
| 19762.846 INVESCO OPPENHEIMER SENIOR FLOATING                                                                                         |                                                 | 2013-08-14                              | 2019-08-23                          |
| 12927.054 DOUBLELINE TOTAL RET BD I                                                                                                   |                                                 |                                         | 2019-08-23                          |
| 1974.799 DRIEHAUS ACTIVE INCOME FUND                                                                                                  |                                                 | 2018-01-23                              | 2019-08-23                          |
| 14351.32 EATON VANCE GLOBAL MACRO FD CL I                                                                                             |                                                 |                                         | 2019-08-23                          |
| 16393.443 FEDERATED INST HI YLD BD FD                                                                                                 |                                                 |                                         | 2019-08-23                          |
| 6886.228 TCW EMERGING MARKETS INCOME FUND                                                                                             |                                                 | 2013-08-14                              | 2019-08-23                          |
| 8804.449 TIAA CREF BOND PLUS FUND ADVISOR                                                                                             |                                                 | 2013-12-12                              | 2019-08-23                          |
| 1994.681 INVESCO OPPENHEIMER SENIOR FLOATING                                                                                          |                                                 | 2013-08-14                              | 2019-10-02                          |
| 4597.701 EATON VANCE GLOBAL MACRO FD CL I                                                                                             |                                                 |                                         | 2019-10-02                          |
| 2566.735 FEDERATED INST HI YLD BD FD                                                                                                  |                                                 | 2014-04-07                              | 2019-10-02                          |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| 150,000               |                                            | 165,613                                         | -15,613                                      |
| 140,000               |                                            | 141,911                                         | -1,911                                       |
| 18,464                |                                            | 19,728                                          | -1,264                                       |
| 125,000               |                                            | 134,715                                         | -9,715                                       |
| 160,000               |                                            | 169,836                                         | -9,836                                       |
| 57,500                |                                            | 58,197                                          | -697                                         |
| 95,000                |                                            | 96,590                                          | -1,590                                       |
| 15,000                |                                            | 16,715                                          | -1,715                                       |
| 40,000                |                                            | 42,931                                          | -2,931                                       |
| 25,000                |                                            | 26,591                                          | -1,591                                       |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |                                                 | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col.(h)) |
|---------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------------|------------------------------------------------------------------------------------------------|
| (i) F.M.V. as of 12/31/69                                                                   | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any |                                                                                                |
|                                                                                             |                                      |                                                 | -15,613                                                                                        |
|                                                                                             |                                      |                                                 | -1,911                                                                                         |
|                                                                                             |                                      |                                                 | -1,264                                                                                         |
|                                                                                             |                                      |                                                 | -9,715                                                                                         |
|                                                                                             |                                      |                                                 | -9,836                                                                                         |
|                                                                                             |                                      |                                                 | -697                                                                                           |
|                                                                                             |                                      |                                                 | -1,590                                                                                         |
|                                                                                             |                                      |                                                 | -1,715                                                                                         |
|                                                                                             |                                      |                                                 | -2,931                                                                                         |
|                                                                                             |                                      |                                                 | -1,591                                                                                         |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired<br>P—Purchase<br>D—Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|--------------------------------------|----------------------------------|
| 1813.785 TCW EMERGING MARKETS INCOME FUND                                                                                          |                                              | 2013-08-14                           | 2019-10-02                       |
| 12683.578 INVESCO OPPENHEIMER SENIOR FLOATING                                                                                      |                                              | 2013-08-14                           | 2019-10-24                       |
| 200. BIOGEN, INC                                                                                                                   |                                              |                                      | 2019-10-24                       |
| 50. BIOGEN, INC                                                                                                                    |                                              | 2018-03-19                           | 2019-10-24                       |
| 500. CELGENE CORP                                                                                                                  |                                              |                                      | 2019-10-24                       |
| 17201.835 EATON VANCE GLOBAL MACRO FD CL I                                                                                         |                                              |                                      | 2019-10-24                       |
| 9693.878 FEDERATED INST HI YLD BD FD                                                                                               |                                              |                                      | 2019-10-24                       |
| 1500. ISHARES TR HDG MSCI JAPAN ETF                                                                                                |                                              | 2017-01-27                           | 2019-10-24                       |
| 5995.204 TCW EMERGING MARKETS INCOME FUND                                                                                          |                                              | 2013-08-14                           | 2019-10-24                       |
| 300. CELGENE CORP                                                                                                                  |                                              | 2018-05-30                           | 2019-11-20                       |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| 15,000                |                                            | 15,329                                          | -329                                         |
| 95,000                |                                            | 106,288                                         | -11,288                                      |
| 56,965                |                                            | 45,876                                          | 11,089                                       |
| 14,241                |                                            | 13,896                                          | 345                                          |
| 52,134                |                                            | 44,521                                          | 7,613                                        |
| 150,000               |                                            | 159,792                                         | -9,792                                       |
| 95,000                |                                            | 100,242                                         | -5,242                                       |
| 48,134                |                                            | 42,893                                          | 5,241                                        |
| 50,000                |                                            | 50,667                                          | -667                                         |
| 32,409                |                                            | 23,418                                          | 8,991                                        |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                   |                                              | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---------------------------------------------------------------------------------------------|-----------------------------------|----------------------------------------------|------------------------------------------------------------------------------------------|
| (i) F.M.V. as of 12/31/69                                                                   | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any |                                                                                          |
|                                                                                             |                                   |                                              | -329                                                                                     |
|                                                                                             |                                   |                                              | -11,288                                                                                  |
|                                                                                             |                                   |                                              | 11,089                                                                                   |
|                                                                                             |                                   |                                              | 345                                                                                      |
|                                                                                             |                                   |                                              | 7,613                                                                                    |
|                                                                                             |                                   |                                              | -9,792                                                                                   |
|                                                                                             |                                   |                                              | -5,242                                                                                   |
|                                                                                             |                                   |                                              | 5,241                                                                                    |
|                                                                                             |                                   |                                              | -667                                                                                     |
|                                                                                             |                                   |                                              | 8,991                                                                                    |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| List and describe the kind(s) of property sold (e.g., real estate,<br><b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | <b>(b)</b><br>How acquired<br>P—Purchase<br>D—Donation | <b>(c)</b><br>Date acquired<br>(mo., day, yr.) | <b>(d)</b><br>Date sold<br>(mo., day, yr.) |
|----------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------|--------------------------------------------|
| 200. CELGENE CORP                                                                                                                            |                                                        | 2018-06-19                                     | 2019-11-20                                 |
| 1000. ISHARES TR HDG MSCI JAPAN ETF                                                                                                          |                                                        | 2017-01-27                                     | 2019-12-13                                 |
| 600. MICRON TECHNOLOGY INC                                                                                                                   |                                                        | 2018-11-19                                     | 2019-12-13                                 |
| 600. LYONDELLBASELL INDUSTRIES CL A                                                                                                          |                                                        |                                                | 2019-12-13                                 |
| 13404.826 INVESCO OPPENHEIMER SENIOR FLOATING                                                                                                |                                                        | 2013-08-14                                     | 2019-12-16                                 |
| 9827.23962 EATON VANCE GLOBAL MACRO FD CL I                                                                                                  |                                                        |                                                | 2019-12-16                                 |
| 285.12038 EATON VANCE GLOBAL MACRO FD CL I                                                                                                   |                                                        | 2016-08-08                                     | 2019-12-16                                 |
| 2240.478 GLENMEDE SMALL CAP EQUITY INSTL                                                                                                     |                                                        |                                                | 2019-12-16                                 |
| 4500. ISHARES IBONDS DEC 2019 TERM ETF                                                                                                       |                                                        |                                                | 2019-12-19                                 |
| 26702.27 INVESCO OPPENHEIMER SENIOR FLOATING                                                                                                 |                                                        | 2013-08-14                                     | 2020-01-08                                 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| <b>(e)</b> Gross sales price | <b>(f)</b> Depreciation allowed<br>(or allowable) | <b>(g)</b> Cost or other basis<br>plus expense of sale | <b>(h)</b> Gain or (loss)<br>(e) plus (f) minus (g) |
|------------------------------|---------------------------------------------------|--------------------------------------------------------|-----------------------------------------------------|
| 21,606                       |                                                   | 15,818                                                 | 5,788                                               |
| 33,901                       |                                                   | 28,595                                                 | 5,306                                               |
| 30,708                       |                                                   | 22,312                                                 | 8,396                                               |
| 55,614                       |                                                   | 50,533                                                 | 5,081                                               |
| 100,000                      |                                                   | 112,332                                                | -12,332                                             |
| 87,462                       |                                                   | 88,290                                                 | -828                                                |
| 2,538                        |                                                   | 2,551                                                  | -13                                                 |
| 60,000                       |                                                   | 63,620                                                 | -3,620                                              |
| 111,937                      |                                                   | 111,790                                                | 147                                                 |
| 200,000                      |                                                   | 223,765                                                | -23,765                                             |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                             |                                                        | <b>(l)</b> Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col.(h)) |
|---------------------------------------------------------------------------------------------|---------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| <b>(i)</b> F.M.V. as of 12/31/69                                                            | <b>(j)</b> Adjusted basis<br>as of 12/31/69 | <b>(k)</b> Excess of col. (i)<br>over col. (j), if any |                                                                                                       |
|                                                                                             |                                             |                                                        | 5,788                                                                                                 |
|                                                                                             |                                             |                                                        | 5,306                                                                                                 |
|                                                                                             |                                             |                                                        | 8,396                                                                                                 |
|                                                                                             |                                             |                                                        | 5,081                                                                                                 |
|                                                                                             |                                             |                                                        | -12,332                                                                                               |
|                                                                                             |                                             |                                                        | -828                                                                                                  |
|                                                                                             |                                             |                                                        | -13                                                                                                   |
|                                                                                             |                                             |                                                        | -3,620                                                                                                |
|                                                                                             |                                             |                                                        | 147                                                                                                   |
|                                                                                             |                                             |                                                        | -23,765                                                                                               |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| List and describe the kind(s) of property sold (e.g., real estate,<br><b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | <b>(b)</b><br>How acquired<br>P—Purchase<br>D—Donation | <b>(c)</b><br>Date acquired<br>(mo., day, yr.) | <b>(d)</b><br>Date sold<br>(mo., day, yr.) |
|----------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------|--------------------------------------------|
| 500. BRISTOL MYERS SQUIBB CO                                                                                                                 |                                                        | 2019-11-20                                     | 2020-01-08                                 |
| 300. CAPITAL ONE FINL CORP COM RTS EXP 11/29/2005                                                                                            |                                                        | 2017-09-28                                     | 2020-01-08                                 |
| 7639.419 CAUSEWAY EMERGING MARKETS INSTL                                                                                                     |                                                        |                                                | 2020-01-08                                 |
| 250. CITIGROUP INC                                                                                                                           |                                                        | 2019-08-23                                     | 2020-01-08                                 |
| 11312.218 EATON VANCE GLOBAL MACRO FD CL I                                                                                                   |                                                        |                                                | 2020-01-08                                 |
| 993.597 FIDELITY INVT TR AD INTL DISCI                                                                                                       |                                                        |                                                | 2020-01-08                                 |
| 1000. ISHARES TR HDG MSCI JAPAN ETF                                                                                                          |                                                        | 2017-01-27                                     | 2020-01-08                                 |
| 350. METLIFE INC COM                                                                                                                         |                                                        | 2018-01-23                                     | 2020-01-08                                 |
| 2748.556 ROBECO BOSTON PARTNERS L S RSRCH                                                                                                    |                                                        |                                                | 2020-01-08                                 |
| 26.435 ROBECO BOSTON PARTNERS L S RSRCH                                                                                                      |                                                        | 2019-12-12                                     | 2020-01-08                                 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| <b>(e)</b> Gross sales price | <b>(f)</b> Depreciation allowed<br>(or allowable) | <b>(g)</b> Cost or other basis<br>plus expense of sale | <b>(h)</b> Gain or (loss)<br>(e) plus (f) minus (g) |
|------------------------------|---------------------------------------------------|--------------------------------------------------------|-----------------------------------------------------|
| 32,159                       |                                                   | 27,950                                                 | 4,209                                               |
| 30,366                       |                                                   | 25,182                                                 | 5,184                                               |
| 100,000                      |                                                   | 96,651                                                 | 3,349                                               |
| 19,981                       |                                                   | 15,579                                                 | 4,402                                               |
| 100,000                      |                                                   | 100,774                                                | -774                                                |
| 45,000                       |                                                   | 37,839                                                 | 7,161                                               |
| 33,339                       |                                                   | 28,595                                                 | 4,744                                               |
| 18,301                       |                                                   | 18,751                                                 | -450                                                |
| 43,537                       |                                                   | 37,299                                                 | 6,238                                               |
| 419                          |                                                   | 416                                                    | 3                                                   |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                             |                                                        | <b>(l)</b> Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col.(h)) |
|---------------------------------------------------------------------------------------------|---------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| <b>(i)</b> F.M.V. as of 12/31/69                                                            | <b>(j)</b> Adjusted basis<br>as of 12/31/69 | <b>(k)</b> Excess of col. (i)<br>over col. (j), if any |                                                                                                       |
|                                                                                             |                                             |                                                        | 4,209                                                                                                 |
|                                                                                             |                                             |                                                        | 5,184                                                                                                 |
|                                                                                             |                                             |                                                        | 3,349                                                                                                 |
|                                                                                             |                                             |                                                        | 4,402                                                                                                 |
|                                                                                             |                                             |                                                        | -774                                                                                                  |
|                                                                                             |                                             |                                                        | 7,161                                                                                                 |
|                                                                                             |                                             |                                                        | 4,744                                                                                                 |
|                                                                                             |                                             |                                                        | -450                                                                                                  |
|                                                                                             |                                             |                                                        | 6,238                                                                                                 |
|                                                                                             |                                             |                                                        | 3                                                                                                     |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| List and describe the kind(s) of property sold (e.g., real estate,<br>(a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b)<br>How acquired<br>P—Purchase<br>D—Donation | (c)<br>Date acquired<br>(mo., day, yr.) | (d)<br>Date sold<br>(mo., day, yr.) |
|---------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|-----------------------------------------|-------------------------------------|
| 4117.647 TCW EMERGING MARKETS INCOME FUND                                                                                             |                                                 | 2013-08-14                              | 2020-01-08                          |
| 1500. WESTERN DIGITAL CORP COM                                                                                                        |                                                 |                                         | 2020-01-08                          |
| 16000. INVESCO OPPENHEIMER SENIOR FLOATING                                                                                            |                                                 |                                         | 2020-01-17                          |
| 6542.056 DOUBLELINE TOTAL RET BD I                                                                                                    |                                                 | 2014-06-04                              | 2020-01-17                          |
| 599.422 FIDELITY CAP TR APPREC FD 307                                                                                                 |                                                 |                                         | 2020-01-17                          |
| 300. MICRON TECHNOLOGY INC                                                                                                            |                                                 | 2018-11-19                              | 2020-01-17                          |
| 618.302 PARNASSUS CORE EQUITY INCOME INST                                                                                             |                                                 |                                         | 2020-01-17                          |
| 6493.507 TIAA CREF BOND PLUS FUND ADVISOR                                                                                             |                                                 | 2013-12-12                              | 2020-01-17                          |
| 300. WESTERN DIGITAL CORP COM                                                                                                         |                                                 | 2018-02-08                              | 2020-01-17                          |
| 14985.732 INVESCO OPPENHEIMER SENIOR FLOATING                                                                                         |                                                 |                                         | 2020-03-17                          |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| 35,000                |                                            | 34,799                                          | 201                                          |
| 102,320               |                                            | 127,528                                         | -25,208                                      |
| 120,000               |                                            | 134,046                                         | -14,046                                      |
| 70,000                |                                            | 71,766                                          | -1,766                                       |
| 21,172                |                                            | 15,989                                          | 5,183                                        |
| 17,221                |                                            | 11,156                                          | 6,065                                        |
| 30,000                |                                            | 24,874                                          | 5,126                                        |
| 69,935                |                                            | 71,280                                          | -1,345                                       |
| 20,471                |                                            | 24,511                                          | -4,040                                       |
| 95,459                |                                            | 123,948                                         | -28,489                                      |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |                                                 | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col.(h)) |
|---------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------------|------------------------------------------------------------------------------------------------|
| (i) F.M.V. as of 12/31/69                                                                   | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any |                                                                                                |
|                                                                                             |                                      |                                                 | 201                                                                                            |
|                                                                                             |                                      |                                                 | -25,208                                                                                        |
|                                                                                             |                                      |                                                 | -14,046                                                                                        |
|                                                                                             |                                      |                                                 | -1,766                                                                                         |
|                                                                                             |                                      |                                                 | 5,183                                                                                          |
|                                                                                             |                                      |                                                 | 6,065                                                                                          |
|                                                                                             |                                      |                                                 | 5,126                                                                                          |
|                                                                                             |                                      |                                                 | -1,345                                                                                         |
|                                                                                             |                                      |                                                 | -4,040                                                                                         |
|                                                                                             |                                      |                                                 | -28,489                                                                                        |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| List and describe the kind(s) of property sold (e.g., real estate,<br>(a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b)<br>How acquired<br>P—Purchase<br>D—Donation | (c)<br>Date acquired<br>(mo., day, yr.) | (d)<br>Date sold<br>(mo., day, yr.) |
|---------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|-----------------------------------------|-------------------------------------|
| 1947.691 CAMBIAR INTL EQUITY FUND INS                                                                                                 |                                                 |                                         | 2020-03-17                          |
| 7929.104 DOUBLELINE TOTAL RET BD I                                                                                                    |                                                 | 2014-06-04                              | 2020-03-17                          |
| 750. GILEAD SCIENCES INC                                                                                                              |                                                 | 2016-05-04                              | 2020-03-17                          |
| 7000. ISHARES TR                                                                                                                      |                                                 |                                         | 2020-03-17                          |
| 1030.22 NUVEEN PREFERRED SECURITIES FUND                                                                                              |                                                 | 2018-01-23                              | 2020-03-17                          |
| 971.817 TIAA CREF SHORT TERM BOND FUND                                                                                                |                                                 |                                         | 2020-03-17                          |
| 350. INVESCO FTSE RADI DEVELOPED ETF                                                                                                  |                                                 | 2019-08-23                              | 2020-03-25                          |
| 1461.989 DOUBLELINE TOTAL RET BD I                                                                                                    |                                                 | 2014-06-04                              | 2020-03-26                          |
| 1483.68 TIAA CREF SHORT TERM BOND FUND                                                                                                |                                                 |                                         | 2020-03-26                          |
| 1323.529 TIAA CREF BOND PLUS FUND ADVISOR                                                                                             |                                                 |                                         | 2020-03-26                          |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| 35,000                |                                            | 47,709                                          | -12,709                                      |
| 85,000                |                                            | 86,982                                          | -1,982                                       |
| 56,732                |                                            | 64,780                                          | -8,048                                       |
| 181,547               |                                            | 182,685                                         | -1,138                                       |
| 15,000                |                                            | 18,214                                          | -3,214                                       |
| 10,000                |                                            | 10,087                                          | -87                                          |
| 10,465                |                                            | 13,222                                          | -2,757                                       |
| 15,000                |                                            | 16,038                                          | -1,038                                       |
| 15,000                |                                            | 15,346                                          | -346                                         |
| 13,500                |                                            | 14,403                                          | -903                                         |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |                                                 | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col.(h)) |
|---------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------------|------------------------------------------------------------------------------------------------|
| (i) F.M.V. as of 12/31/69                                                                   | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any |                                                                                                |
|                                                                                             |                                      |                                                 | -12,709                                                                                        |
|                                                                                             |                                      |                                                 | -1,982                                                                                         |
|                                                                                             |                                      |                                                 | -8,048                                                                                         |
|                                                                                             |                                      |                                                 | -1,138                                                                                         |
|                                                                                             |                                      |                                                 | -3,214                                                                                         |
|                                                                                             |                                      |                                                 | -87                                                                                            |
|                                                                                             |                                      |                                                 | -2,757                                                                                         |
|                                                                                             |                                      |                                                 | -1,038                                                                                         |
|                                                                                             |                                      |                                                 | -346                                                                                           |
|                                                                                             |                                      |                                                 | -903                                                                                           |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| List and describe the kind(s) of property sold (e.g., real estate,<br><b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | <b>(b)</b><br>How acquired<br>P—Purchase<br>D—Donation | <b>(c)</b><br>Date acquired<br>(mo., day, yr.) | <b>(d)</b><br>Date sold<br>(mo., day, yr.) |
|----------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------|--------------------------------------------|
| 3237.992 CAMBIAR INTL EQUITY FUND INS                                                                                                        |                                                        |                                                | 2020-04-01                                 |
| 150. CIGNA CORP                                                                                                                              |                                                        |                                                | 2020-04-01                                 |
| 2868.069 DOUBLELINE TOTAL RET BD I                                                                                                           |                                                        | 2014-06-04                                     | 2020-04-01                                 |
| 250. GILEAD SCIENCES INC                                                                                                                     |                                                        | 2016-05-04                                     | 2020-04-01                                 |
| 1944.444 LOOMIS SAYLES STRATEGIC ALPHA N                                                                                                     |                                                        | 2015-05-04                                     | 2020-04-01                                 |
| 719.942 PGIM TOTAL RETURN BOND CL R6                                                                                                         |                                                        | 2018-04-02                                     | 2020-04-01                                 |
| 2935.421 TIAA CREF SHORT TERM BOND FUND                                                                                                      |                                                        |                                                | 2020-04-01                                 |
| 964.32 TIAA CREF BOND PLUS FUND ADVISOR                                                                                                      |                                                        | 2017-02-28                                     | 2020-04-01                                 |
| 2000. DELTA AIR LINES INC                                                                                                                    |                                                        |                                                | 2020-06-23                                 |
| 1250. FOOT LOCKER INC COM                                                                                                                    |                                                        |                                                | 2020-06-23                                 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| <b>(e)</b> Gross sales price | <b>(f)</b> Depreciation allowed<br>(or allowable) | <b>(g)</b> Cost or other basis<br>plus expense of sale | <b>(h)</b> Gain or (loss)<br>(e) plus (f) minus (g) |
|------------------------------|---------------------------------------------------|--------------------------------------------------------|-----------------------------------------------------|
| 60,000                       |                                                   | 75,406                                                 | -15,406                                             |
| 25,837                       |                                                   | 27,983                                                 | -2,146                                              |
| 30,000                       |                                                   | 31,463                                                 | -1,463                                              |
| 18,152                       |                                                   | 21,593                                                 | -3,441                                              |
| 17,500                       |                                                   | 19,523                                                 | -2,023                                              |
| 10,000                       |                                                   | 10,288                                                 | -288                                                |
| 30,000                       |                                                   | 30,347                                                 | -347                                                |
| 10,000                       |                                                   | 10,079                                                 | -79                                                 |
| 58,871                       |                                                   | 115,958                                                | -57,087                                             |
| 37,616                       |                                                   | 49,488                                                 | -11,872                                             |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                             |                                                        | <b>(l)</b> Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col.(h)) |
|---------------------------------------------------------------------------------------------|---------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| <b>(i)</b> F.M.V. as of 12/31/69                                                            | <b>(j)</b> Adjusted basis<br>as of 12/31/69 | <b>(k)</b> Excess of col. (i)<br>over col. (j), if any |                                                                                                       |
|                                                                                             |                                             |                                                        | -15,406                                                                                               |
|                                                                                             |                                             |                                                        | -2,146                                                                                                |
|                                                                                             |                                             |                                                        | -1,463                                                                                                |
|                                                                                             |                                             |                                                        | -3,441                                                                                                |
|                                                                                             |                                             |                                                        | -2,023                                                                                                |
|                                                                                             |                                             |                                                        | -288                                                                                                  |
|                                                                                             |                                             |                                                        | -347                                                                                                  |
|                                                                                             |                                             |                                                        | -79                                                                                                   |
|                                                                                             |                                             |                                                        | -57,087                                                                                               |
|                                                                                             |                                             |                                                        | -11,872                                                                                               |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| List and describe the kind(s) of property sold (e.g., real estate,<br><b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | <b>(b)</b><br>How acquired<br>P—Purchase<br>D—Donation | <b>(c)</b><br>Date acquired<br>(mo., day, yr.) | <b>(d)</b><br>Date sold<br>(mo., day, yr.) |
|----------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------|--------------------------------------------|
| 1250. GILEAD SCIENCES INC                                                                                                                    |                                                        |                                                | 2020-06-23                                 |
| 1000. VALERO ENERGY CORP                                                                                                                     |                                                        |                                                | 2020-06-23                                 |
| 3120.82 CAMBIAR INTL EQUITY FUND INS                                                                                                         |                                                        | 2016-01-14                                     | 2020-06-24                                 |
| 7684.426 LOOMIS SAYLES STRATEGIC ALPHA N                                                                                                     |                                                        |                                                | 2020-06-24                                 |
| 5121.639 TCW EMERGING MARKETS INCOME FUND                                                                                                    |                                                        | 2013-08-14                                     | 2020-06-24                                 |
| CAPITAL GAIN DIVIDENDS                                                                                                                       | P                                                      |                                                |                                            |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| <b>(e)</b> Gross sales price | <b>(f)</b> Depreciation allowed<br>(or allowable) | <b>(g)</b> Cost or other basis<br>plus expense of sale | <b>(h)</b> Gain or (loss)<br>(e) plus (f) minus (g) |
|------------------------------|---------------------------------------------------|--------------------------------------------------------|-----------------------------------------------------|
| 93,538                       |                                                   | 106,549                                                | -13,011                                             |
| 62,480                       |                                                   | 41,116                                                 | 21,364                                              |
| 70,000                       |                                                   | 72,590                                                 | -2,590                                              |
| 75,000                       |                                                   | 77,129                                                 | -2,129                                              |
| 40,000                       |                                                   | 43,284                                                 | -3,284                                              |
|                              |                                                   |                                                        | 69,357                                              |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                             |                                                        | <b>(l)</b> Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col.(h)) |
|---------------------------------------------------------------------------------------------|---------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| <b>(i)</b> F.M.V. as of 12/31/69                                                            | <b>(j)</b> Adjusted basis<br>as of 12/31/69 | <b>(k)</b> Excess of col. (i)<br>over col. (j), if any |                                                                                                       |
|                                                                                             |                                             |                                                        | -13,011                                                                                               |
|                                                                                             |                                             |                                                        | 21,364                                                                                                |
|                                                                                             |                                             |                                                        | -2,590                                                                                                |
|                                                                                             |                                             |                                                        | -2,129                                                                                                |
|                                                                                             |                                             |                                                        | -3,284                                                                                                |
|                                                                                             |                                             |                                                        |                                                                                                       |

**TY 2019 Accounting Fees Schedule****Name:** F ALBERT KLEIN TRUST UNDER WILL**EIN:** 42-0859994

| <b>Category</b>                | <b>Amount</b> | <b>Net Investment<br/>Income</b> | <b>Adjusted Net<br/>Income</b> | <b>Disbursements<br/>for Charitable<br/>Purposes</b> |
|--------------------------------|---------------|----------------------------------|--------------------------------|------------------------------------------------------|
| TAX PREPARATION FEE (NON-ALLOC | 750           |                                  |                                | 750                                                  |

**TY 2019 Distribution from Corpus Election**

**Name:** F ALBERT KLEIN TRUST UNDER WILL

**EIN:** 42-0859994

**Election:** Pursuant to IRC Sec. 4942(h)(2) and Reg. 53.4942(a)-3(d)(2), the above referenced foundation hereby elects to treat current year qualifying distributions in excess of the immediately preceding tax year's undistributed income as being made out of Corpus to satisfy the PF to PF pass-through requirements.

**TY 2019 Investments Corporate Bonds Schedule****Name:** F ALBERT KLEIN TRUST UNDER WILL**EIN:** 42-0859994**Investments Corporate Bonds Schedule**

| <b>Name of Bond</b>            | <b>End of Year Book Value</b> | <b>End of Year Fair Market Value</b> |
|--------------------------------|-------------------------------|--------------------------------------|
| 670700400 NUVEEN PREFERRED SEC | 601,385                       | 558,200                              |
| 87234N765 TCW EMERGING MARKETS | 81,957                        | 75,253                               |
| 74440B884 PGIM TOTAL RETURN BO | 211,262                       | 218,105                              |
| 258620103 DOUBLELINE TOTAL RET | 562,617                       | 558,800                              |
| 63872T620 LOOMIS SAYLES STRATE |                               |                                      |
| 861729101 STONE RIDGE REINSUR  | 200,000                       | 174,086                              |
| 262028855 DRIEHAUS ACTIVE INCO |                               |                                      |
| 31420B300 FEDERATED INST HI YL | 227,012                       | 219,067                              |
| 72369L107 PIONEER ILS INTERVAL | 225,000                       | 202,881                              |
| 74442J406 PRUDENTIAL SHORT DUR | 386,774                       | 350,087                              |
| 277923728 EATON VANCE GLOBAL M | 186,439                       | 180,613                              |
| 46434VAU4 ISHARES IBONDS DEC 2 |                               |                                      |
| 46434VAQ3 ISHARES IBONDS DEC 2 | 394,907                       | 395,148                              |
| 46432FBC0 ISHARES TR           |                               |                                      |

**TY 2019 Investments Corporate Stock Schedule****Name:** F ALBERT KLEIN TRUST UNDER WILL**EIN:** 42-0859994**Investments Corporation Stock Schedule**

| <b>Name of Stock</b>             | <b>End of Year Book Value</b> | <b>End of Year Fair Market Value</b> |
|----------------------------------|-------------------------------|--------------------------------------|
| 77956H849 T ROWE PRICE INTL GR   | 133,887                       | 102,460                              |
| 744320102 PRUDENTIAL FINANCIAL   | 208,168                       | 167,475                              |
| 06828M876 BARON EMERGING MARKE   | 276,555                       | 357,723                              |
| 464288448 ISHARES INTERNATIONALA | 219,575                       | 185,888                              |
| 46434V886 ISHARES TR HDG MSCI    |                               |                                      |
| 701769408 PARNASSUS CORE EQUIT   | 93,901                        | 118,275                              |
| 77957Y106 T ROWE PRICE MID CAP   | 412,950                       | 352,548                              |
| 018581108 ALLIANCE DATA SYSTEM   | 202,168                       | 42,864                               |
| N53745100 LYONDELLBASELL INDUS   | 188,871                       | 197,160                              |
| 641233200 NEUBERGER BERMAN GEN   | 176,435                       | 274,544                              |
| 149498107 CAUSEWAY EMERGING MA   | 205,349                       | 214,455                              |
| 01609W102 ALIBABA GROUP HOLDIN   | 58,515                        | 172,560                              |
| 277923751 PARAMETRIC EMERGING    | 150,412                       | 113,627                              |
| 315910620 FIDELITY INVT TR AD    | 70,572                        | 84,061                               |
| 00769G543 CAMBIAR INTL EQUITY    | 43,730                        | 41,481                               |
| 039483102 ARCHER DANIELS MIDLA   | 21,815                        | 17,955                               |
| 14040H105 CAPITAL ONE FINANCI    | 144,240                       | 125,180                              |
| 779556109 ROWE T PRICE MID-CAP   | 133,198                       | 254,330                              |
| 74925K581 ROBECO BOSTON PARTNE   |                               |                                      |
| 14149Y108 CARDINAL HEALTH INC    | 215,082                       | 148,742                              |
| 808509640 LAUDUS INTERNATIONAL   | 77,042                        | 90,050                               |
| 037833100 APPLE INC              | 54,805                        | 228,000                              |
| 316066109 FIDELITY CAP TR APPR   |                               |                                      |
| 58155Q103 MCKESSON CORPORATION   | 182,085                       | 199,446                              |
| 003021714 ABERDEEN FDS EMRGN     | 56,616                        | 56,500                               |
| 375558103 GILEAD SCIENCES INC    | 52,750                        | 57,705                               |
| 413838681 OAKMARK GLOBAL FUND    | 211,437                       | 170,242                              |
| 11135F101 BROADCOM INC           | 174,441                       | 244,598                              |
| 68389X105 ORACLE CORPORATION     | 43,812                        | 49,743                               |
| 09062X103 BIOGEN, INC            |                               |                                      |

## Investments Corporation Stock Schedule

| Name of Stock                  | End of Year Book Value | End of Year Fair Market Value |
|--------------------------------|------------------------|-------------------------------|
| 958102105 WESTERN DIGITAL CORP | 191,140                | 154,525                       |
| 59156R108 METLIFE INC          | 194,615                | 146,080                       |
| 02079K305 ALPHABET INC CL A    | 108,684                | 141,805                       |
| 151020104 CELGENE CORPORATION  |                        |                               |
| 14949P208 CAUSEWAY INTERNATL V | 187,340                | 144,566                       |
| 00287Y109 ABBVIE INC           | 162,721                | 215,996                       |
| 125523100 CIGNA CORP           | 82,260                 | 86,882                        |
| N59465109 MYLAN NV             | 175,425                | 108,540                       |
| 459200101 INTERNATIONAL BUSINE | 183,054                | 169,078                       |
| 30303M102 FACEBOOK INC A       | 154,955                | 227,070                       |
| 595112103 MICRON TECHNOLOGY IN | 68,686                 | 103,040                       |
| 559222401 MAGNA INTL INC CL    | 135,245                | 115,778                       |
| 344849104 FOOT LOCKER INC      | 98,160                 | 75,816                        |
| 110122108 BRISTOL MYERS SQUIBB | 58,459                 | 58,800                        |
| 172967424 CITIGROUP INC        | 118,032                | 120,085                       |
| 00206R102 ATT INC              | 125,768                | 128,478                       |
| 808513105 SCHWAB CHARLES CORP  | 62,972                 | 59,045                        |
| 110122157 BRISTOL MYERS SQUIBB | 1,065                  | 1,790                         |
| 56585A102 MARATHON PETROLEUM C | 171,151                | 158,865                       |
| 17275R102 CISCO SYSTEMS INC    | 144,698                | 144,584                       |
| 127097103 CABOT OIL GAS CORP C | 34,396                 | 34,360                        |
| 91913Y100 VALERO ENERGY CORP   | 61,384                 | 88,230                        |
| 024835100 AMERICAN CAMPUS COMM | 128,137                | 120,612                       |
| 15135B101 CENTENE CORP COM     | 61,954                 | 82,615                        |

**TY 2019 Investments - Other Schedule****Name:** F ALBERT KLEIN TRUST UNDER WILL**EIN:** 42-0859994**Investments Other Schedule 2**

| <b>Category/ Item</b>          | <b>Listed at Cost or FMV</b> | <b>Book Value</b> | <b>End of Year Fair Market Value</b> |
|--------------------------------|------------------------------|-------------------|--------------------------------------|
| 87245P684 TIAA CREF BOND PLUS  | AT COST                      | 250,171           | 263,576                              |
| 378690820 GLENMEDE SMALL CAP E | AT COST                      | 376,789           | 326,005                              |
| 00141G856 INVESCO OPPENHEIMER  |                              |                   |                                      |
| 00143W859 INVESCO OPPENHEIMER  | AT COST                      | 61,672            | 71,888                               |
| 87245P650 TIAA CREF SHORT TERM | AT COST                      | 234,244           | 236,822                              |
| 025076845 AMERICAN CENT SMALL  | AT COST                      | 275,000           | 249,402                              |
| 641224415 NEUBERGER BERMAN EME | AT COST                      | 275,000           | 244,486                              |
| 79471L602 SALIENT MLP ENERGY I | AT COST                      | 95,000            | 71,269                               |
| 922908512 VANGUARD MID CAP VAL | AT COST                      | 96,964            | 114,840                              |
| 46434VBK5 ISHARES IBONDS DEC 2 | AT COST                      | 194,220           | 194,913                              |
| 74254V273 PRINCIPAL GL R E SEC | AT COST                      | 150,000           | 119,194                              |
| 46138E743 INVESCO FTSE RADI DE | AT COST                      | 52,887            | 48,454                               |
| 63873P817 LOOMIS SAYLES STRATE | AT COST                      | 82,951            | 81,839                               |
| 701765406 PARNASSUS ENDEAVOR I | AT COST                      | 75,000            | 67,091                               |

**TY 2019 Legal Fees Schedule****Name:** F ALBERT KLEIN TRUST UNDER WILL**EIN:** 42-0859994

| <b>Category</b>                 | <b>Amount</b> | <b>Net Investment<br/>Income</b> | <b>Adjusted Net<br/>Income</b> | <b>Disbursements<br/>for Charitable<br/>Purposes</b> |
|---------------------------------|---------------|----------------------------------|--------------------------------|------------------------------------------------------|
| LEGAL FEES - INCOME (ALLOCABLE) | 1,500         |                                  |                                | 1,500                                                |

**TY 2019 Other Decreases Schedule****Name:** F ALBERT KLEIN TRUST UNDER WILL**EIN:** 42-0859994

| <b>Description</b>    | <b>Amount</b> |
|-----------------------|---------------|
| COST BASIS ADJUSTMENT | 333           |

**TY 2019 Other Expenses Schedule****Name:** F ALBERT KLEIN TRUST UNDER WILL**EIN:** 42-0859994**Other Expenses Schedule**

| Description                    | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|--------------------------------|--------------------------------|-----------------------|---------------------|---------------------------------------|
| OTHER ALLOCABLE EXPENSE-INCOME | 20                             | 0                     |                     | 20                                    |
| ADR FEES                       | 16                             | 16                    |                     | 0                                     |

**TY 2019 Other Income Schedule****Name:** F ALBERT KLEIN TRUST UNDER WILL**EIN:** 42-0859994**Other Income Schedule**

| Description  | Revenue And Expenses Per Books | Net Investment Income | Adjusted Net Income |
|--------------|--------------------------------|-----------------------|---------------------|
| OTHER INCOME | 5                              | 5                     |                     |

**TY 2019 Taxes Schedule****Name:** F ALBERT KLEIN TRUST UNDER WILL**EIN:** 42-0859994

| <b>Category</b>               | <b>Amount</b> | <b>Net Investment<br/>Income</b> | <b>Adjusted Net<br/>Income</b> | <b>Disbursements<br/>for Charitable<br/>Purposes</b> |
|-------------------------------|---------------|----------------------------------|--------------------------------|------------------------------------------------------|
| FOREIGN TAXES                 | 6,184         | 6,184                            |                                | 0                                                    |
| FEDERAL ESTIMATES - PRINCIPAL | 1,352         | 0                                |                                | 0                                                    |
| FOREIGN TAXES ON NONQUALIFIED | 960           | 960                              |                                | 0                                                    |

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)  
Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**  
▶ Attach to Form 990, 990-EZ, or 990-PF.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No. 1545-0047  
**2019**

Name of the organization  
F ALBERT KLEIN TRUST UNDER WILL

**Employer identification number**  
42-0859994

**Organization type** (check one):

**Filers of:**

**Section:**

Form 990 or 990-EZ

501(c)( ) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33<sup>1</sup>/<sub>3</sub>% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization  
F ALBERT KLEIN TRUST UNDER WILL

Employer identification number  
42-0859994

**Part I**  
**Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                                                                 | (c)<br>Total contributions | (d)<br>Type of contribution                                                                                                                                         |
|------------|---------------------------------------------------------------------------------------------------|----------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1          | Charles Florence Phelps Trust<br>US Bank Trust Tax Dept<br>PO Box 2043<br>Milwaukee, WI 532019668 | \$ 70,993                  | <input checked="" type="checkbox"/> Person<br><input type="checkbox"/> Payroll<br><input type="checkbox"/> Noncash<br>(Complete Part II for noncash contributions.) |
|            |                                                                                                   | \$                         | <input type="checkbox"/> Person<br><input type="checkbox"/> Payroll<br><input type="checkbox"/> Noncash<br>(Complete Part II for noncash contributions.)            |
|            |                                                                                                   | \$                         | <input type="checkbox"/> Person<br><input type="checkbox"/> Payroll<br><input type="checkbox"/> Noncash<br>(Complete Part II for noncash contributions.)            |
|            |                                                                                                   | \$                         | <input type="checkbox"/> Person<br><input type="checkbox"/> Payroll<br><input type="checkbox"/> Noncash<br>(Complete Part II for noncash contributions.)            |
|            |                                                                                                   | \$                         | <input type="checkbox"/> Person<br><input type="checkbox"/> Payroll<br><input type="checkbox"/> Noncash<br>(Complete Part II for noncash contributions.)            |
|            |                                                                                                   | \$                         | <input type="checkbox"/> Person<br><input type="checkbox"/> Payroll<br><input type="checkbox"/> Noncash<br>(Complete Part II for noncash contributions.)            |

Name of organization  
 F ALBERT KLEIN TRUST UNDER WILL

**Employer identification number**  
 42-0859994

**Part II Noncash Property**

| (a)<br>No. from Part I | (b)<br>Description of noncash property given<br><small>(see instructions). Use duplicate copies of Part II if additional space is needed.</small> | (c)<br>FMV (or estimate)<br><small>(See instructions)</small> | (d)<br>Date received |
|------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------|----------------------|
| -                      | _____<br>_____<br>_____                                                                                                                           | _____<br>\$                                                   | _____                |
| -                      | _____<br>_____<br>_____                                                                                                                           | _____<br>\$                                                   | _____                |
| -                      | _____<br>_____<br>_____                                                                                                                           | _____<br>\$                                                   | _____                |
| -                      | _____<br>_____<br>_____                                                                                                                           | _____<br>\$                                                   | _____                |
| -                      | _____<br>_____<br>_____                                                                                                                           | _____<br>\$                                                   | _____                |
| -                      | _____<br>_____<br>_____                                                                                                                           | _____<br>\$                                                   | _____                |
| -                      | _____<br>_____<br>_____                                                                                                                           | _____<br>\$                                                   | _____                |
| -                      | _____<br>_____<br>_____                                                                                                                           | _____<br>\$                                                   | _____                |
| -                      | _____<br>_____<br>_____                                                                                                                           | _____<br>\$                                                   | _____                |

Name of organization  
F ALBERT KLEIN TRUST UNDER WILL

Employer identification number  
42-0859994

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed.

| (a)<br>No. from<br>Part I             | (b) Purpose of gift | (c) Use of gift                          | (d) Description of how gift is held |
|---------------------------------------|---------------------|------------------------------------------|-------------------------------------|
|                                       | _____               | _____                                    | _____                               |
|                                       | _____               | _____                                    | _____                               |
| (e) Transfer of gift                  |                     |                                          |                                     |
| Transferee's name, address, and ZIP 4 |                     | Relationship of transferor to transferee |                                     |
| _____                                 |                     | _____                                    |                                     |
| _____                                 |                     | _____                                    |                                     |

| (a)<br>No. from<br>Part I             | (b) Purpose of gift | (c) Use of gift                          | (d) Description of how gift is held |
|---------------------------------------|---------------------|------------------------------------------|-------------------------------------|
|                                       | _____               | _____                                    | _____                               |
|                                       | _____               | _____                                    | _____                               |
| (e) Transfer of gift                  |                     |                                          |                                     |
| Transferee's name, address, and ZIP 4 |                     | Relationship of transferor to transferee |                                     |
| _____                                 |                     | _____                                    |                                     |
| _____                                 |                     | _____                                    |                                     |

| (a)<br>No. from<br>Part I             | (b) Purpose of gift | (c) Use of gift                          | (d) Description of how gift is held |
|---------------------------------------|---------------------|------------------------------------------|-------------------------------------|
|                                       | _____               | _____                                    | _____                               |
|                                       | _____               | _____                                    | _____                               |
| (e) Transfer of gift                  |                     |                                          |                                     |
| Transferee's name, address, and ZIP 4 |                     | Relationship of transferor to transferee |                                     |
| _____                                 |                     | _____                                    |                                     |
| _____                                 |                     | _____                                    |                                     |

| (a)<br>No. from<br>Part I             | (b) Purpose of gift | (c) Use of gift                          | (d) Description of how gift is held |
|---------------------------------------|---------------------|------------------------------------------|-------------------------------------|
|                                       | _____               | _____                                    | _____                               |
|                                       | _____               | _____                                    | _____                               |
| (e) Transfer of gift                  |                     |                                          |                                     |
| Transferee's name, address, and ZIP 4 |                     | Relationship of transferor to transferee |                                     |
| _____                                 |                     | _____                                    |                                     |
| _____                                 |                     | _____                                    |                                     |