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Form 990-PF

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0047

Department of the Treasury  
Internal Revenue ServiceDo not enter social security numbers on this form as it may be made public.  
Go to www.irs.gov/Form990PF for instructions and the latest information

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning

JUL 1, 2019

, and ending

JUN 30, 2020

Name of foundation

ROEHL FOUNDATION, INC.

A Employer identification number

36-6048089

Number and street (or P.O. box number if mail is not delivered to street address)

P.O. BOX 168

Room/suite

B Telephone number

262-569-3000

City or town, state or province, country, and ZIP or foreign postal code

OCONOMOWOC, WI 53066

C If exemption application is pending, check here ☐

G Check all that apply.

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

(from Part II, col (c), line 16)

\$ 5,730,887.

J Accounting method:

☒ Cash☐ Accrual☐ Other (specify)

(Part I, column (d), must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1	Contributions, gifts, grants, etc., received	300,080.			
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	137,843.	137,843.		STATEMENT 1
5a	Gross rents				
b	Net rental income or (loss)	-1,337.			STATEMENT 2
6a	Net gain or (loss) from sale of assets not on line 10	270,597.			
b	Gross sales price for all assets on line 6a	1,551,362.			
7	Capital gain net income (from Part IV, line 2)		270,597.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss)				
11	Other income				
12	Total. Add lines 1 through 11	708,520.	408,440.	0.	
13	Compensation of officers, directors, trustees, etc	0.	0.	0.	0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees	STMT 3 2,410.	0.	0.	2,410.
c	Other professional fees	STMT 4 33,200.	33,200.	0.	0.
17	Interest				
18	Taxes	STMT 5 9,285.	0.	0.	0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses	STMT 6 1,563.	1,337.	0.	226.
24	Total operating and administrative expenses. Add lines 13 through 23	46,458.	34,537.	0.	2,636.
25	Contributions, gifts, grants paid	327,753.			327,753.
26	Total expenses and disbursements. Add lines 24 and 25	374,211.	34,537.	0.	330,389.
27	Subtract line 26 from line 12.				
a	Excess of revenue over expenses and disbursements	334,309.			
b	Net investment income (if negative, enter -0-)		373,903.		
c	Adjusted net income (if negative, enter -0-)				

SEP 24 2020

Ogden, UT 0.

923501 12-17-19 LHA For Paperwork Reduction Act Notice, see instructions.

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2019.04020 ROEHL FOUNDATION, INC.

ROE05\_\_1

Received in  
Ogden  
DEC 04 2020SCANNED  
FEB 09 2021

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	15,441.	15,595.	15,595.
	2 Savings and temporary cash investments	908,798.	50,642.	50,642.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations	64,631.	0.	0.
	b Investments - corporate stock STMT 7	3,092,274.	3,700,676.	5,015,299.
	c Investments - corporate bonds STMT 8	29,930.	202,555.	173,436.
	11 Investments - land, buildings, and equipment basis ▶			
Liabilities	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 9	0.	475,915.	475,915.
	14 Land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	4,111,074.	4,445,383.	5,730,887.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 24, 25, 29, and 30.			
	24 Net assets without donor restrictions	4,111,074.	4,445,383.	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances	4,111,074.	4,445,383.	
	30 Total liabilities and net assets/fund balances	4,111,074.	4,445,383.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	4,111,074.
2 Enter amount from Part I, line 27a	2	334,309.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	4,445,383.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	4,445,383.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE SCHEDULE 3		P		
b CAPITAL GAIN DISTRIBUTION		P		
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 1,551,310.		1,280,765.	270,545.
b 52.			52.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			270,545.
b			52.
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter -0- in Part I, line 7 } 2 270,597.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter -0- in Part I, line 8 } 3 N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	324,550.	5,852,060.	.055459
2017	313,399.	5,761,243.	.054398
2016	284,178.	5,146,260.	.055220
2015	271,814.	4,836,037.	.056206
2014	286,549.	5,301,834.	.054047

2 Total of line 1, column (d)	2	.275330
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.055066
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	5,855,549.
5 Multiply line 4 by line 3	5	322,442.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,739.
7 Add lines 5 and 6	7	326,181.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.	8	330,389.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter, _____ (attach copy of letter if necessary-see instructions)	1	3,739.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	2	0.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)	3	3,739.
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0.
3	Add lines 1 and 2	5	3,739.
4	Substitute A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	5,760.
b	Exempt foreign organizations - tax withheld at source	6b	0.
c	Tax paid with application for extension of time to file (Form 8868)	6c	0.
d	Backup withholding erroneously withheld	6d	0.
7	Total credits and payments. Add lines 6a through 6d	7	5,760.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,021.
11	Enter the amount of line 10 to be. Credited to 2020 estimated tax <input checked="" type="checkbox"/> 2,021. Refunded <input type="checkbox"/>	11	0.

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions <input checked="" type="checkbox"/> WI		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

**Part VII-A** Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>N/A</b>	X	
14 The books are in care of <b>PETER G. ROEHL</b> Telephone no. <b>262-569-3000</b> Located at <b>33 S. MAIN STREET, OCONOMOWOC, WI</b> ZIP+4 <b>53066</b>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year <b>15</b> <b>N/A</b>		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country <b>N/A</b>		X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here <b>N/A</b>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)). a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <b>N/A</b>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <b>N/A</b>		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <b>N/A</b>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.) <b>N/A</b>		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ Nob If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions  
Organizations relying on a current notice regarding disaster assistance, check hereN/A  
▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?

☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PETER G. ROEHL 33 S. MAIN STREET OCONOMOWOC, WI 53066	PRESIDENT/DIRECTOR 0.00	0.	0.	0.
NANCY A. ROEHL 33 S. MAIN STREET OCONOMOWOC, WI 53066	VICE PRESIDENT/DIRECTOR 0.00	0.	0.	0.
WENDY A. LUENGEN 33 S. MAIN STREET OCONOMOWOC, WI 53066	SECRETARY/TREASURER/DIRECTOR 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

▶ 0

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	5,930,630.
b	Average of monthly cash balances	1b	14,090.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	5,944,720.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	5,944,720.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	89,171.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,855,549.
6	Minimum investment return. Enter 5% of line 5	6	292,777.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	292,777.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	3,739.
b	Income tax for 2019 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	3,739.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	289,038.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	289,038.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	289,038.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	330,389.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	330,389.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	3,739.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	326,650.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				289,038.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2019.				
a From 2014	25,187.			
b From 2015	38,682.			
c From 2016	34,917.			
d From 2017	29,689.			
e From 2018	43,397.			
f Total of lines 3a through e	171,872.			
4 Qualifying distributions for 2019 from Part XII, line 4. ▶ \$ 330,389.				
a Applied to 2018, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				289,038.
e Remaining amount distributed out of corpus	41,351.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	213,223.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	25,187.			
9 Excess distributions carryover to 2020 Subtract lines 7 and 8 from line 6a	188,036.			
10 Analysis of line 9.				
a Excess from 2015	38,682.			
b Excess from 2016	34,917.			
c Excess from 2017	29,689.			
d Excess from 2018	43,397.			
e Excess from 2019	41,351.			



**Part XV** Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
SEE SCHEDULE 4				327,753.
<b>Total</b>			<b>3a</b>	327,753.
<b>b Approved for future payment</b>				
LAKE COUNTRY LUTHERAN EXPANSION			BUILDING	40,000.
LAKE COUNTRY LUTHERAN TURF FIELD			TURF ATHLETIC FIELDS	100,000.
<b>Total</b>			<b>3b</b>	140,000.

Form 990-PF (2019)

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	137,843.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property			16	-1,337.	
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	270,597.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		0.		407,103.	0.
13 Total. Add line 12, columns (b), (d), and (e)				407,103.	

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]

## Part XVII

**Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations**

- |  |       |     |    |
|--|-------|-----|----|
| <p><b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p><b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p><b>b</b> Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p><b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p><b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received</p> |       | Yes | No |
|  | 1a(1) |     | X  |
|  | 1a(2) |     | X  |
|  |       |     |    |
|  | 1b(1) |     | X  |
|  | 1b(2) |     | X  |
|  | 1b(3) |     | X  |
|  | 1b(4) |     | X  |
|  | 1b(5) |     | X  |
|  | 1b(6) |     | X  |
|  | 1c    |     | X  |

[illegible]

- 2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date \_\_\_\_\_

Title

May the IRS discuss this return with the preparer shown below? See Instr

☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

Preparer's signature

Date \_\_\_\_\_

Check ☐ if  
self-employed

PTIN

JAYNA K. SCHULTZ

WAYNA K. SCHULTZ

7/10/2000

P00249738

Firm's name ► VRAKAS S.C.

Firm's EIN ▶ 39-1453055

Firm's address ► 445 SOUTH MOORLAND RD, SUITE 400  
BROOKFIELD, WI 53005

Phone no 262-797-0400

**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No 1545-0047

**2019**

Name of the organization

ROEHL FOUNDATION, INC.

Employer identification number

36-6048089

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

Employer identification number

ROEHL FOUNDATION, INC.

36-6048089

**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	PETER G. ROEHL 228 SEA ISLAND DR PONTE VEDRA BEACH, FL 32082	\$ 300,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization

Employer identification number

ROEHL FOUNDATION, INC.

36-6048089

**Part II** Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	



Name of organization <b>ROEHL FOUNDATION, INC.</b>	Employer identification number <b>36-6048089</b>
---	---

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES				STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
CHARLES SCHWAB	137,843.	0.	137,843.	137,843.	137,843.	
TO PART I, LINE 4	137,843.	0.	137,843.	137,843.	137,843.	

FORM 990-PF	RENTAL EXPENSES			STATEMENT	2
DESCRIPTION		ACTIVITY NUMBER	AMOUNT	TOTAL	
RENTAL LOSS			1,337.		
- SUBTOTAL -		1		1,337.	
TOTAL RENTAL EXPENSES				1,337.	
NET RENTAL INCOME TO FORM 990-PF, PART I, LINE 5B				-1,337.	

FORM 990-PF	ACCOUNTING FEES				STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES		
ACCOUNTING	2,410.	0.	0.	2,410.		
TO FORM 990-PF, PG 1, LN 16B	2,410.	0.	0.	2,410.		

FORM 990-PF	OTHER PROFESSIONAL FEES				STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES		
INVESTMENT ADVISOR	33,200.	33,200.	0.	0.		
TO FORM 990-PF, PG 1, LN 16C	33,200.	33,200.	0.	0.		

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FEDERAL INCOME TAXES PAID	9,285.	0.	0.	0.	
TO FORM 990-PF, PG 1, LN 18	9,285.	0.	0.	0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
BUSINESS CHECK ORDER	216.	0.	0.	216.	
ANNUAL REPORT	10.	0.	0.	10.	
RENTAL LOSS	1,337.	1,337.		0.	
TO FORM 990-PF, PG 1, LN 23	1,563.	1,337.		226.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION	BOOK VALUE		FAIR MARKET VALUE	
SEE SCHEDULE 2	3,700,676.		5,015,299.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,700,676.		5,015,299.	

FORM 990-PF	CORPORATE BONDS		STATEMENT	8
DESCRIPTION	BOOK VALUE		FAIR MARKET VALUE	
SEE SCHEDULE 2	202,555.		173,436.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	202,555.		173,436.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE SCHEDULE 2	COST	475,915.	475,915.
TOTAL TO FORM 990-PF, PART II, LINE 13		475,915.	475,915.

ROEHL FOUNDATION, INC.  
June 30, 2020

39-6048089

FED 990-PF

SCHEDULE 1:

Part I, Line 1, Contributions	<u>\$300,080</u>
Part I, Line 16b, Accounting fees- Bookkeeping and Tax Return Prep	<u>\$2,410</u>
Line 16c, other professional fees Investment Advisor expense	<u>\$33,200</u>
Part I, Line 18, Taxes-- Federal Income Taxes paid	<u>\$9,285</u>
Part I, Line 23, Other Expenses-- Annual Report	\$10
Business check order	<u>\$216</u>
	<u>\$226</u>
Rent Expense- Investment K-1	<u>\$1,337</u>
	<u>\$1,563</u>

06/30/2020

## SCHEDULE 2

Security	Shares	Quote/Price	Cost Basis	Gain/Loss	Balance
( Part II, Line 2)					
-Cash-			50,642.49	0.00	50,642.49
TOTAL			50,642.49	0.00	50,642.49
( Part II, Line 10c)					
<u>Bond</u>					
DILLARD'S, INC 7%28 DUE 12/01/28	400.00	100.64	38,000.00	2,254.48	40,254.48
GENWORTH HOLDINGS 4.9%23 DUE 08/15/23	350.00	83.38	29,930.25	-749.00	29,181.25
TENNECO INC 5.375%24 DUE 12/15/24	1,000.00	70.00	87,100.00	-17,100.00	70,000.00
US STEEL CORP 6.875%25 DUE 08/15/25	500.00	68.00	47,525.00	-13,525.00	34,000.00
TOTAL Bond			202,555.37	-29,119.64	173,435.73
( Part II, Line 10b)					
<u>Stock</u>					
AMERISOURCEBERGEN CORP	850.00	100.77	83,117.25	2,537.25	85,654.50
AMETEK INC	500.00	89.37	44,815.00	-130.00	44,685.00
Amgen	1,200.00	235.86	15,318.88	267,713.12	283,032.00
ANALOG DEVICES INC	650.00	122.64	21,543.61	58,172.39	79,716.00
ARTISAN PART ASSET CLASS A	3,000.00	32.50	90,448.00	7,052.00	97,500.00
Atlantic Stock	60.00	1.00	60.00	0.00	60.00
BANK OF AMERICA CORP	5,000.00	23.75	56,443.72	62,306.28	118,750.00
BLACKROCK CORPORATE HIGH	6,000.00	10.17	60,131.07	888.93	61,020.00
BLACKROCK INC	200.00	544.09	100,394.49	8,423.51	108,818.00
BOEING CO ODDLOT TENDER OFFER	400.00	183.30	139,127.65	-65,807.65	73,320.00
CIENA CORP	2,400.00	54.16	82,928.65	47,055.35	129,984.00
CISCO SYSTEMS INC	5,500.00	46.64	157,359.66	99,160.34	256,520.00
CITIGROUP INC	1,600.00	51.10	67,009.43	14,750.57	81,760.00
CLOROX COMPANY	350.00	219.37	43,973.57	32,805.93	76,779.50
COPART INC	800.00	83.27	14,228.90	52,387.10	66,616.00
Corning Inc.	2,900.00	25.90	67,761.94	7,348.06	75,110.00
COSTCO WHOLESALE CO	300.00	303.21	53,981.87	36,981.13	90,963.00
D R HORTON CO	1,800.00	55.45	40,741.93	59,068.07	99,810.00
DEERE & CO	500.00	157.15	42,777.05	35,797.95	78,575.00
E-TRADE FINANCIAL CORP	1,000.00	49.73	18,175.16	31,554.84	49,730.00
FASTENAL CO	4,000.00	42.84	91,744.63	79,615.37	171,360.00
FEDERATED INVS PA CL B CLASS B	3,000.00	23.70	75,861.60	-4,761.60	71,100.00
FIRST TRUST ENHANCED SHORT MTRTY ETF	3,069.00	59.99	184,675.96	-566.65	184,109.31
FRANKLIN RESOURCES INC	4,000.00	20.97	164,977.35	-81,097.35	83,880.00
General Electric Company	13,000.00	6.83	143,005.20	-54,215.20	88,790.00
HOME DEPOT INC TENDER OFFER EXP: 08/16/0	650.00	250.51	29,211.29	133,620.21	162,831.50
M G I C INVESTMENT CORP	6,600.00	8.19	74,985.61	-20,931.61	54,054.00
MASCO CORP	1,800.00	50.21	38,886.02	51,491.98	90,378.00
MC DONALDS CORP	700.00	184.47	66,543.88	62,585.12	129,129.00
MCKESSON CORP	700.00	153.42	113,900.15	-6,506.15	107,394.00
MICROSOFT CORP	500.00	203.51	38,569.28	63,185.72	101,755.00
MORGAN STNLY DEAN WITTER	2,000.00	48.30	62,601.75	33,998.25	96,600.00
MOTOROLA SOLUTIONS	900.00	140.13	68,867.06	57,249.94	126,117.00
NUCOR CORP	2,000.00	41.41	116,486.29	-33,666.29	82,820.00
PROCTER & GAMBLE	1,000.00	119.57	83,572.65	35,997.35	119,570.00
QEP RESOURCES INC	9,400.00	1.29	28,122.42	-15,996.42	12,126.00
QUALCOMM INC	1,500.00	91.21	122,961.08	13,853.92	136,815.00
RADIAN GROUP INC	2,650.00	15.51	49,895.73	-8,794.23	41,101.50
RAYTHEON TECHNOLOGIES CO	933.00	61.62	71,334.63	-13,843.17	57,491.46
REPUBLIC SERVICES	1,000.00	82.05	44,156.74	37,893.26	82,050.00
SANMINA CORPORATION	2,600.00	25.04	60,488.18	4,615.82	65,104.00
Schlumberger Ltd F	5,500.00	18.39	182,476.47	-81,331.47	101,145.00
STERICYCLE INC	1,000.00	55.98	63,871.20	-7,891.20	55,980.00
TEXAS INSTRUMENTS INC	800.00	126.97	23,904.95	77,671.05	101,576.00
THE CHARLES SCHWAB CORP WITH	5,300.00	33.74	222,029.68	-43,207.68	178,822.00

FED 990PF

ROEHL FOUNDATION, INC.

FEIN #36-6048089

06/30/2020

SCHEDULE 2

<u>Security</u>	<u>Shares</u>	<u>Quote/Price</u>	<u>Cost Basis</u>	<u>Gain/Loss</u>	<u>Balance</u>
T-MOBILE US INC	1,600 00	104 15	63,535 22	103,104.78	166,640.00
T-MOBILE US INC 20 RTSRIGHTS EXP 07/27/20	1,600 00	0.17	0 00	268 80	268 80
UNITED PARCEL SRVC CLASS B	1,100 00	111 18	115,554 58	6,743 42	122,298 00
WASTE MANAGEMENT INC	1,300 00	105 91	70,403 95	67,279 05	137,683 00
XILINX INC	1,300 00	98 39	27,714 69	100,192 31	127,907 00
<b>TOTAL Stock</b>			<u>3,700,676.07</u>	<u>1,314,622.50</u>	<u>5,015,298.57</u>

( Part II, Line 13)

Investments-Other

Continental Properties REIT Fund L P

475,915.000.00475,915.00

\*\*\*\*Securities contributed to the Foundation are recorded at  
market value on the date received for book purposes  
Securities purchased are recorded at cost

ROEHL FOUNDATION, INC.  
06/30/2020  
FED 990PF

FEIN #36-6048089

SCHEDULE 3  
(Part IV, Line 1)

<u>Security</u>		<u>Shares</u>	<u>Bought</u>	<u>Sold</u>	<u>Gross Proceeds</u>	<u>Cost Basis</u>	<u>Realized Gain/Loss</u>
US TREASURY	BILL19U S T BILL DUE 07/25/19	250.00	04/22/2019	07/25/2019	25,000.00	24,847.58	152.42
US TREASURY	BILL19U S T BILL DUE 08/22/19	400.00	05/28/2019	08/22/2019	40,000.00	39,783.24	216.76
COPART INC		200.00	11/10/2014	09/06/2019	16,191.60	3,422.90	12,768.70
US TREASURY	BILL19U S T BILL DUE 10/10/19	2,000.00	07/11/2019	10/10/2019	200,000.00	198,947.40	1,052.60
US TREASURY	BILL19U S T BILL DUE 10/10/19	1,000.00	07/12/2019	10/10/2019	100,000.00	99,494.19	505.81
FIRST TRUST ENHANCED	SHORT MTRTY ETF	2,081.00	07/31/2019	10/28/2019	125,176.32	124,953.65	222.67
FIRST TRUST ENHANCED	SHORT MTRTY ETF	332.00	08/26/2019	10/28/2019	19,970.46	19,973.68	-3.22
FIRST TRUST ENHANCED	SHORT MTRTY ETF	330.00	10/14/2019	10/28/2019	19,850.16	19,841.78	8.38
Ford Motor Company		500.00	02/21/2013	10/28/2019	4,330.05	6,227.45	-1,897.40
Ford Motor Company		300.00	02/26/2013	10/28/2019	2,598.03	3,674.95	-1,076.92
Ford Motor Company		200.00	03/07/2013	10/28/2019	1,732.02	2,586.75	-854.73
Ford Motor Company		100.00	08/27/2014	10/28/2019	866.01	1,734.90	-868.89
Ford Motor Company		100.00	08/27/2014	10/28/2019	866.01	1,734.90	-868.89
Ford Motor Company		75.00	10/10/2014	10/28/2019	649.51	1,031.03	-381.52
Ford Motor Company		100.00	10/10/2014	10/28/2019	866.01	1,374.70	-508.69
Ford Motor Company		100.00	10/10/2014	10/28/2019	866.01	1,374.50	-508.49
Ford Motor Company		225.00	10/10/2014	10/28/2019	1,948.52	3,093.08	-1,144.56
Ford Motor Company		500.00	08/17/2015	10/28/2019	4,330.05	7,324.95	-2,994.90
Ford Motor Company		500.00	11/06/2015	10/28/2019	4,330.05	7,250.00	-2,919.95
Ford Motor Company		300.00	06/16/2017	10/28/2019	2,598.03	3,331.50	-733.47
Ford Motor Company		1,000.00	11/06/2017	10/28/2019	8,660.10	12,315.20	-3,655.10
Ford Motor Company		627.00	11/07/2017	10/28/2019	5,429.88	7,596.73	-2,166.85
Ford Motor Company		373.00	11/07/2017	10/28/2019	3,230.18	4,519.27	-1,289.09
Ford Motor Company		739.00	02/01/2018	10/28/2019	6,399.74	8,032.19	-1,632.45
Ford Motor Company		61.00	02/01/2018	10/28/2019	528.26	663.01	-134.75
Ford Motor Company		200.00	02/01/2018	10/28/2019	1,732.00	2,173.80	-441.80
Ford Motor Company		200.00	07/27/2018	10/28/2019	1,732.00	1,999.20	-267.20
Ford Motor Company		300.00	12/26/2018	10/28/2019	2,598.00	2,263.92	334.08
L BRANDS, INC.	6.875%35 DUE 11/01/35	500.00	10/15/2019	02/04/2020	49,625.00	43,375.00	6,250.00
FIRST TRUST ENHANCED	SHORT MTRTY ETF	253.00	10/14/2019	03/18/2020	14,953.69	15,212.03	-258.34
RAYTHEON CO		100.00	12/04/2018	04/03/2020	16,958.98	16,958.98	0.00
RAYTHEON CO		100.00	03/12/2019	04/03/2020	18,050.42	18,050.42	0.00
RAYTHEON CO		100.00	07/12/2019	04/03/2020	17,865.51	17,865.51	0.00
RAYTHEON CO		14.00	07/31/2019	04/03/2020	2,583.35	2,583.35	0.00
RAYTHEON CO		86.00	07/31/2019	04/03/2020	15,876.37	15,876.37	0.00
FIRST TRUST ENHANCED	SHORT MTRTY ETF	331.00	10/14/2019	06/08/2020	19,836.86	19,901.90	-65.04
FIRST TRUST ENHANCED	SHORT MTRTY ETF	254.00	10/17/2019	06/08/2020	15,222.25	15,273.00	-50.75
MICROSOFT CORP		200.00	05/08/2013	06/10/2020	38,719.46	6,548.98	32,170.48
Schlumberger Ltd F		100.00	11/07/2017	06/23/2020	1,963.00	6,664.56	-4,701.56
Schlumberger Ltd F		200.00	11/07/2017	06/23/2020	3,926.00	13,329.12	-9,403.12
Schlumberger Ltd F		200.00	11/07/2017	06/23/2020	3,926.00	13,329.12	-9,403.12
F5 NETWORKS INC		650.00	11/07/2018	10/04/2019	86,039.36	122,109.14	-36,069.78
MOTOROLA SOLUTIONS		300.00	04/04/2016	11/15/2019	48,361.49	22,955.69	25,405.80
HOME DEPOT INC TENDER OFFER EXP	08/16/0	100.00	02/03/2012	12/03/2019	21,388.91	4,494.05	16,894.86
T-MOBILE US INC		400.00	04/04/2016	12/03/2019	31,057.48	15,883.80	15,173.68
TELEPHONE & DATA SYS		1,550.00	04/05/2016	12/03/2019	36,201.98	46,406.19	-10,204.21
D R HORTON CO		500.00	09/14/2012	12/03/2019	27,339.68	10,965.59	16,374.09
TEXAS INSTRUMENTS INC		350.00	08/04/2009	12/03/2019	41,070.36	8,596.70	32,473.66



ROEHL FOUNDATION, INC.  
06/30/2020  
FED 990PF

FEIN #36-6048089

**SCHEDULE 3**  
**(Part IV, Line 1)**

<u>Security</u>	<u>Shares</u>	<u>Bought</u>	<u>Sold</u>	<u>Gross Proceeds</u>	<u>Cost Basis</u>	<u>Realized Gain/Loss</u>
CATERPILLAR INC	850.00	11/30/2017	03/12/2020	75,331.80	118,592.36	-43,260.56
FIFTH THIRD BANCORP	3,150.00	05/09/2013	03/12/2020	48,868.65	55,960.51	-7,091.86
E-TRADE FINANCIAL CORP	500.00	12/03/2013	06/02/2020	23,542.13	9,087.58	14,454.55
T-MOBILE US INC	150.00	04/04/2016	06/02/2020	14,922.74	5,956.43	8,966.31
BANK OF AMERICA CORP	300.00	01/23/2013	06/09/2020	8,304.87	3,386.62	4,918.25
CIENA CORP	200.00	11/07/2018	06/09/2020	10,837.18	6,910.72	3,926.46
HOME DEPOT INC TENDER OFFER EXP: 08/16/0	50.00	02/03/2012	06/09/2020	12,855.67	2,247.02	10,608.65
REPUBLIC SERVICES	100.00	11/03/2015	06/09/2020	8,749.56	4,415.67	4,333.89
T-MOBILE US INC	200.00	04/04/2016	06/09/2020	20,434.55	7,941.90	12,492.65
ANALOG DEVICES INC	150.00	08/04/2009	06/09/2020	18,454.09	4,177.03	14,277.06
TEXAS INSTRUMENTS INC	50.00	08/04/2009	06/09/2020	6,572.57	1,228.10	5,344.47
Amgen	200.00	01/13/1998	07/03/2019	37,798.23	2,703.08	35,095.15
Amgen	800.00	02/02/1998	07/03/2019	<u>151,192.91</u>	<u>10,212.60</u>	<u>140,980.31</u>
<b>Total</b>				<u>1,551,310.10</u>	<u>1,280,765.57</u>	<u>270,544.53</u>

Roehl Foundation, Inc.

39-6048089

Donations FYE 07/01/2019 through 06/30/2020

Schedule 4 (Part XV, Line 3a)

<u>Date</u>	<u>Description</u>	<u>Amount</u>
07/01/2019	Divine Redeemer Church, Hartland, WI	\$5,000
07/01/2019	Oconomowoc Historical Society, WI	\$10,000
07/01/2019	Morgridge Institute Foundation, Madison, WI	\$500
07/26/2019	University of Wisc Foundation, Madison, WI	\$353
08/27/2019	University of Wisconsin Athletic Dept., WI	\$1,000
09/05/2019	St Joan Of Arc Catholic Church, WI	\$200
09/27/2019	Divine Redeemer Church, Hartland, WI	\$5,000
09/27/2019	St. Augustine Preparatory Academy, Milwaukee, WI	\$5,000
10/14/2019	Milwaukee Rescue Mission, WI	\$1,000
11/19/2019	Village Of Oconomowoc Lake, Oconomowoc, WI	\$100
12/17/2019	Divine Redeemer Church, Hartland, WI	\$10,000
12/17/2019	Village Of Oconomowoc Lake, Oconomowoc, WI	\$100
12/17/2019	University Of Wisconsin, Madison, WI	\$2,000
12/17/2019	Make-A-Wish Foundation	\$1,500
12/17/2019	University Lake School, Hartland, WI	\$10,000
12/17/2019	YMCA Annual Campaign, Oconomowoc, WI	\$10,000
12/17/2019	Salvation Army, Waukesha, WI	\$10,000
12/17/2019	Westby Coon Prairie Lutheran Church, WI	\$5,000
12/17/2019	Westby Food Pantry, WI	\$3,000
12/17/2019	Milwaukee Rescue Mission, WI	\$1,000
12/17/2019	Oconomowoc Food Pantry, WI	\$3,000
12/17/2019	Lake Country Lutheran High School, Hartland, WI	\$40,000
12/17/2019	Western Lakes Fire & EMS Association, Oconomowoc, WI	\$500
12/17/2019	Rogers Memorial Hospital, Oconomowoc, WI	\$10,000
03/27/2020	Divine Redeemer Church, Hartland, WI	\$5,000
03/27/2020	UWF-Athletics, Madison, WI	\$5,000
04/07/2020	Kiwanis Club, Oconomowoc, WI	\$2,000
04/14/2020	YMCA, Oconomowoc, WI	\$10,000
04/14/2020	The Salvation Army, Waukesha, WI	\$5,000
04/14/2020	Westby Coon Prairie Lutheran Church, WI	\$5,000
04/14/2020	Westby Food Pantry, WI	\$2,000
04/14/2020	Oconomowoc Food Pantry, WI	\$2,000
04/14/2020	Women's Center, Waukesha, WI	\$5,000
04/17/2020	Milwaukee College Preparatory School, WI	\$5,000
05/19/2020	Lake Country Lutheran High School, Hartland, WI	\$50,000
06/15/2020	Divine Redeemer Church, Hartland, WI	\$5,000
06/15/2020	Lake Area Free Clinic, Waukesha, WI	\$2,500
06/15/2020	Westby First Responders, WI	\$10,000
06/15/2020	Girl Scouts of Wisconsin Southeast	\$4,000
06/15/2020	Children's Hospital, Milwaukee, WI	\$5,000
06/15/2020	Milwaukee College Preparatory School, WI	\$40,000
06/15/2020	Boy Scouts Of America, Waukesha, WI	\$4,000
06/15/2020	The USO, Washington, DC	\$2,000
06/15/2020	Westby Area Historical Society Foundation, WI	\$2,000
06/15/2020	The Saints Fund, St. Stevens & St. Agnes School, Alexandria, VA	\$5,000
06/15/2020	Angelsgrace Hospice, Oconomowoc, WI	\$10,000
06/15/2020	K9's For Warriors, Ponte Vedra Beach, FL	\$3,000
06/15/2020	Boy Scouts Of America, North Florida Council	\$2,500
06/15/2020	Girls Scouts Of Gateway Council, Jacksonville, FL	<u>\$2,500</u>
NET TOTAL		<u>\$327,753</u>