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Form 990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No. 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 07-01-2019, and ending 06-30-2020

Name of foundation  
THE MORNINGSTAR FOUNDATION

A Employer identification number  
26-1557579

Number and street (or P.O. box number if mail is not delivered to street address)  
PO BOX 1802

Room/suite

B Telephone number (see instructions)  
(888) 866-3275

City or town, state or province, country, and ZIP or foreign postal code  
PROVIDENCE, RI 029011802

C If exemption application is pending, check here

G Check all that apply:  

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

D 1. Foreign organizations, check here.....

D 2. Foreign organizations meeting the 85% test, check here and attach computation ...

H Check type of organization:  
☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here .....

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶\$ 4,937,137

J Accounting method:  
☐ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here .....

Part I

Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue

1 Contributions, gifts, grants, etc., received (attach schedule)

2 Check ☐ if the foundation is not required to attach Sch. B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less: Cost of goods sold

c Gross profit or (loss) (attach schedule)

11 Other income (attach schedule)

12 Total. Add lines 1 through 11

Operating and Administrative Expenses

13 Compensation of officers, directors, trustees, etc.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach schedule)

c Other professional fees (attach schedule)

17 Interest

18 Taxes (attach schedule) (see instructions)

19 Depreciation (attach schedule) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid

26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12:  
a Excess of revenue over expenses and disbursements  
b Net investment income (if negative, enter -0-)  
c Adjusted net income (if negative, enter -0-)

For Paperwork Reduction Act Notice, see instructions.

Cat. No. 11289X

Form 990-PF (2019)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing . . . . .			
	2 Savings and temporary cash investments . . . . .	243,315	102,404	102,404
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable . . . . .			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use . . . . .			
	9 Prepaid expenses and deferred charges . . . . .			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule) . . . . .	4,758,016	4,745,579	4,834,733
	c Investments—corporate bonds (attach schedule) . . . . .			
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans . . . . .			
	13 Investments—other (attach schedule) . . . . .			0
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	5,001,331	4,847,983	4,937,137	
Liabilities	17 Accounts payable and accrued expenses . . . . .			
	18 Grants payable . . . . .			
	19 Deferred revenue . . . . .			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule) . . . . .			
	22 Other liabilities (describe ▶ _____)			
	23 <b>Total liabilities</b> (add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances	<b>Foundations that follow FASB ASC 958, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24, 25, 29 and 30.</b>			
	24 Net assets without donor restrictions . . . . .			
	25 Net assets with donor restrictions . . . . .			
	<b>Foundations that do not follow FASB ASC 958, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 26 through 30.</b>			
	26 Capital stock, trust principal, or current funds . . . . .	5,001,331	4,847,983	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
29 <b>Total net assets or fund balances</b> (see instructions) . . . . .	5,001,331	4,847,983		
30 <b>Total liabilities and net assets/fund balances</b> (see instructions) .	5,001,331	4,847,983		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	5,001,331
2 Enter amount from Part I, line 27a . . . . .	2	-136,614
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3 . . . . .	4	4,864,717
5 Decreases not included in line 2 (itemize) ▶ _____	5	16,734
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 . . . . .	6	4,847,983

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	56,225
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	230,462	5,000,975	0.046083
2017	217,898	5,016,239	0.043439
2016	228,623	4,565,330	0.050078
2015	223,861	4,413,769	0.050719
2014	233,667	4,754,672	0.049145

<b>2</b> Total of line 1, column (d)	<b>2</b>	0.239464
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.047893
<b>4</b> Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	<b>4</b>	4,980,768
<b>5</b> Multiply line 4 by line 3	<b>5</b>	238,544
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	1,013
<b>7</b> Add lines 5 and 6	<b>7</b>	239,557
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	239,182

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	2,026
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	2,026
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	0
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	2,026
<b>6</b>	Credits/Payments:		
<b>a</b>	2019 estimated tax payments and 2018 overpayment credited to 2019	<b>6a</b>	1,236
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	790
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	2,026
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	<b>8</b>	0
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . . ▶	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . . ▶	<b>10</b>	
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2020 estimated tax</b> ▶ 0 <b>Refunded</b> ▶	<b>11</b>	0

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	<b>1b</b>	No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<b>1c</b>	No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: <b>(1)</b> On the foundation. ▶ \$ _____ <b>(2)</b> On foundation managers. ▶ \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities.</i>	<b>2</b>	No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<b>3</b>	No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>	No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<b>4b</b>	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T.</i>	<b>5</b>	No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	Yes
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i> . . . . .	<b>7</b>	Yes
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ VA _____		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	<b>8b</b>	Yes
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>	No
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> . . . . .	<b>10</b>	No

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions . . . . .	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► _____	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of ► <u>BANK OF AMERICA NA</u> Telephone no. ► <u>(888) 866-3275</u>			

Located at ► PO BOX 1802 PROVIDENCE RI ZIP+4 ► 029011802

<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . .	<input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year . . . . .	<b>15</b>		
<b>16</b>	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	<b>16</b>	<b>Yes</b>	<b>No</b>
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ► _____			

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

<b>1a</b>	During the year did the foundation (either directly or indirectly):		<b>Yes</b>	<b>No</b>
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . .	<b>1b</b>		
	Organizations relying on a current notice regarding disaster assistance check here. . . . . <input type="checkbox"/>			
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? . . . . .	<b>1c</b>		<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
<b>a</b>	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions.) . . . . .	<b>2b</b>		
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.) . . . . .	<b>3b</b>		
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>		<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	<b>4b</b>		<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

<b>5a</b>	During the year did the foundation pay or incur any amount to:		<b>Yes</b>	<b>No</b>
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		<b>5b</b>	<b>Yes</b>
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>		
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>6b</b>	<b>No</b>
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.			
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>7b</b>	
<b>b</b>	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?			
<b>8</b>	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

<b>1 List all officers, directors, trustees, foundation managers and their compensation. See instructions</b>				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
<b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b>				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
<b>Total number of other employees paid over \$50,000.</b>				<b>0</b>

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . . ►		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 . . . . . ►	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	4,817,872
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	238,745
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	5,056,617
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	5,056,617
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	75,849
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	4,980,768
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	249,038

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	249,038
<b>2a</b>	Tax on investment income for 2019 from Part VI, line 5. . . . .	<b>2a</b>	2,026
<b>b</b>	Income tax for 2019. (This does not include the tax from Part VI.). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	2,026
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	<b>3</b>	247,012
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	0
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	247,012
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	247,012

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .	<b>1a</b>	239,182
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	<b>2</b>	0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	0
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	239,182
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . .	<b>5</b>	0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	239,182

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
<b>1</b> Distributable amount for 2019 from Part XI, line 7				247,012
<b>2</b> Undistributed income, if any, as of the end of 2019:				
<b>a</b> Enter amount for 2018 only. . . . .			223,905	
<b>b</b> Total for prior years: 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2019:				
<b>a</b> From 2014. . . . .	0			
<b>b</b> From 2015. . . . .	0			
<b>c</b> From 2016. . . . .	0			
<b>d</b> From 2017. . . . .	0			
<b>e</b> From 2018. . . . .	0			
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	0			
<b>4</b> Qualifying distributions for 2019 from Part XII, line 4: ► \$ 239,182				
<b>a</b> Applied to 2018, but not more than line 2a			223,905	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2019 distributable amount. . . . .				15,277
<b>e</b> Remaining amount distributed out of corpus	0			
<b>5</b> Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
<b>6</b> <b>Enter the net total of each column as indicated below:</b>	0			
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b. . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions. . . . .		0		
<b>e</b> Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions. . . . .			0	
<b>f</b> Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020. . . . .				231,735
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions). . . . .	0			
<b>9</b> <b>Excess distributions carryover to 2020.</b> Subtract lines 7 and 8 from line 6a. . . . .	0			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2015. . . . .	0			
<b>b</b> Excess from 2016. . . . .	0			
<b>c</b> Excess from 2017. . . . .	0			
<b>d</b> Excess from 2018. . . . .	0			
<b>e</b> Excess from 2019. . . . .	0			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

<b>1a</b> If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . ▶					
<b>b</b> Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test—enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
<b>c</b> "Support" alternative test—enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

<b>1 Information Regarding Foundation Managers:</b>	
<b>a</b> List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)	
<b>b</b> List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.	
<b>2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:</b>	
Check here <input type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions	
<b>a</b> The name, address, and telephone number or e-mail address of the person to whom applications should be addressed: LEE PARKER BANK OF AMERICA N A 1800 K ST NW WASHINGTON, DC 20006 (804) 887-8773	
<b>b</b> The form in which applications should be submitted and information and materials they should include: AVAILABLE AT WWW.MARYANNELLIOTTFoundation.ORG	
<b>c</b> Any submission deadlines: AVAILABLE AT WWW.MARYANNELLIOTTFoundation.ORG	
<b>d</b> Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors: AVAILABLE AT WWW.MARYANNELLIOTTFoundation.ORG	

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total</b> . . . . .			<b>3a</b>	226,000
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b> . . . . .			<b>3b</b>	

Enter gross amounts unless otherwise indicated.

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

## Part XVII

- |  |  |     |    |
|--|--|-----|----|
|  |  | Yes | No |
|--|--|-----|----|

--	--	--

- |       |  |    |
|-------|--|----|
| 1a(1) |  | No |
| 1a(2) |  | No |

--	--	--

- |              |  |           |
|--------------|--|-----------|
| <b>1b(1)</b> |  | <b>No</b> |
| <b>1b(2)</b> |  | <b>No</b> |
| <b>1b(3)</b> |  | <b>No</b> |
| <b>1b(4)</b> |  | <b>No</b> |
| <b>1b(5)</b> |  | <b>No</b> |
| <b>1b(6)</b> |  | <b>No</b> |

<b>1c</b>		<b>No</b>
-----------	--	-----------

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

May the IRS discuss this return with the preparer shown below

(see instr.) ☒ **Yes** ☐ **No**

May the IRS discuss this return with the preparer shown below

(see instr.) ☒ **Yes** ☐ **No**

Print/Type preparer's name  KAREN J KISER	Preparer's Signature	Date  2020-12-11	Check if self-employed <input type="checkbox"/>	PTIN  P00146417
Firm's name ▶ BANK OF AMERICA NA				Firm's EIN ▶ 94-1687665
Firm's address ▶ PO BOX 1802  PROVIDENCE, RI 029011802				Phone no. (888) 866-3275

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
234.13 BAIRD MIDCAP FUND INSTL CL SHS		2020-02-12	2020-05-29
1124.4 BAIRD MIDCAP FUND INSTL CL SHS		2020-01-21	2020-05-29
6437.338 BAIRD MIDCAP FUND INSTL CL SHS		2019-01-31	2020-05-29
3662.133 BAIRD MIDCAP FUND INSTL CL SHS		2018-02-12	2020-05-29
840.409 BAIRD MIDCAP FUND INSTL CL SHS		2020-03-20	2020-05-29
25.369 BLACKROCK MID CAP GROWTH EQUITY PORTFOLIO CL I		2020-05-29	2020-06-05
478.085 BLACKROCK EVENT DRIVEN EQUITY FUND INSTL SHS		2020-02-12	2020-03-23
2639.944 BLACKROCK EVENT DRIVEN EQUITY FUND INSTL SHS		2020-01-21	2020-03-23
1015.012 BLACKROCK EVENT DRIVEN EQUITY FUND INSTL SHS		2020-01-21	2020-03-23
246.734 CONESTOGA SMALL CAP FUND INSTL CL		2018-06-29	2020-01-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,490		5,607	-117
26,367		26,693	-326
150,956		124,241	26,715
85,877		70,203	15,674
19,708		13,514	6,194
848		837	11
4,226		4,657	-431
23,337		25,660	-2,323
8,973		9,866	-893
15,502		13,686	1,816

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-117
			-326
			26,715
			15,674
			6,194
			11
			-431
			-2,323
			-893
			1,816

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
232.532 CONESTOGA SMALL CAP FUND INSTL CL		2018-02-12	2020-01-17
80.501 CONESTOGA SMALL CAP FUND INSTL CL		2020-02-12	2020-06-05
51.514 CONESTOGA SMALL CAP FUND INSTL CL		2020-04-17	2020-06-05
94.142 CONESTOGA SMALL CAP FUND INSTL CL		2018-02-12	2020-06-05
711.412 CREDIT SUISSE COMMODITY-RETURN STRATEGY FUND CL I		2014-09-22	2020-03-23
5418.574 CREDIT SUISSE COMMODITY-RETURN STRATEGY FUND CL I		2014-09-22	2020-03-23
413.083 DIAMOND HILL FDS LARGE CAP FUND CL I SHS		2020-02-12	2020-06-05
601.712 DIAMOND HILL FDS LARGE CAP FUND CL I SHS		2020-01-21	2020-06-05
171.857 HARDING LOEVNER FDS INC INTL EQTY PR		2020-01-21	2020-06-05
848.195 OAKMARK INTL FUND INSTL CL		2018-02-12	2020-05-29

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14,610		11,217	3,393
5,089		5,073	16
3,257		2,630	627
5,952		4,541	1,411
2,561		4,852	-2,291
19,507		36,955	-17,448
11,054		12,190	-1,136
16,102		17,744	-1,642
3,932		4,159	-227
15,301		24,403	-9,102

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			3,393
			16
			627
			1,411
			-2,291
			-17,448
			-1,136
			-1,642
			-227
			-9,102

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
608.951 OAKMARK INTL FUND INSTL CL		2018-02-12	2020-06-05
2836.866 HARTFORD MUT FDS II INC SCHRODERS EMERGING MKTS		2020-01-21	2020-04-17
827.552 HARTFORD MUT FDS II INC SCHRODERS EMERGING MKTS		2020-02-12	2020-04-17
291.967 HARTFORD MUT FDS II INC SCHRODERS EMERGING MKTS		2018-06-18	2020-04-17
1084.024 HARTFORD MUT FDS II INC SCHRODERS EMERGING MKTS		2019-01-31	2020-04-17
1101.892 HARTFORD MUT FDS II INC SCHRODERS EMERGING MKTS		2018-02-12	2020-04-17
195.086 HARTFORD MUT FDS II INC SCHRODERS EMERGING MKTS		2018-02-12	2020-04-17
462.386 HARTFORD MUT FDS II INC SCHRODERS EMERGING MKTS		2019-01-31	2020-06-05
158. ISHARES S&P 100 ETF		2018-02-12	2020-02-12
28. ISHARES S&P 100 ETF		2020-04-17	2020-06-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12,569		17,520	-4,951
38,695		47,744	-9,049
11,288		13,845	-2,557
3,982		4,663	-681
14,786		16,510	-1,724
15,030		18,424	-3,394
2,661		3,262	-601
7,111		7,042	69
23,983		18,666	5,317
4,061		3,667	394

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-4,951
			-9,049
			-2,557
			-681
			-1,724
			-3,394
			-601
			69
			5,317
			394



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
82. ISHARES CORE TOT U S BD MKT ETF		2019-04-30	2020-01-17
538. ISHARES CORE TOT U S BD MKT ETF		2018-02-12	2020-01-17
16. ISHARES CORE TOT U S BD MKT ETF		2020-02-12	2020-03-20
615. ISHARES CORE TOT U S BD MKT ETF		2018-02-12	2020-03-20
58. ISHARES RUSSELL MID-CAP ETF		2018-02-12	2020-02-12
769. ISHARES RUSSELL MID-CAP ETF		2018-02-12	2020-06-05
52. ISHARES RUSSELL 2000 ETF		2020-02-12	2020-05-29
60. ISHARES RUSSELL 2000 ETF		2018-02-12	2020-05-29
41. ISHARES RUSSELL 2000 ETF		2018-02-12	2020-06-05
1068.132 LEGG MASON BW ABSOLUTE RETURN OPPORTUNITIES FUND CL I		2018-02-12	2020-03-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,267		8,911	356
60,804		57,432	3,372
1,753		1,825	-72
67,374		65,652	1,722
3,564		2,956	608
43,540		39,197	4,343
7,127		8,715	-1,588
8,223		8,897	-674
6,175		6,080	95
11,194		13,352	-2,158

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			356
			3,372
			-72
			1,722
			608
			4,343
			-1,588
			-674
			95
			-2,158

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
775.809 NATIXIS LOOMIS SAYLES GROWTH FUND CL Y		2020-02-12	2020-06-05
1081.099 MFS MID CAP VALUE FUND CL I		2020-02-12	2020-06-05
32.587 MFS MID CAP VALUE FUND CL I		2020-01-21	2020-06-05
654.04 MFS MID CAP VALUE FUND CL I		2018-02-12	2020-06-05
6022.323 NEUBERGER BERMAN HIGH INCOME BD FUND INSTL CL		2018-02-12	2019-07-31
67.283 NEUBERGER BERMAN HIGH INCOME BD FUND INSTL CL		2018-06-18	2019-07-31
1131.183 NEUBERGER BERMAN LONG SHORT FUND INSTL CL		2020-02-12	2020-03-23
548.88 NEUBERGER BERMAN LONG SHORT FUND INSTL CL		2018-02-12	2020-03-23
982.146 PIMCO FOREIGN BD US\$HD INSTL		2020-02-12	2020-03-23
962.529 PIMCO FOREIGN BD US\$HD INSTL		2019-01-31	2020-03-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
15,625		15,136	489
24,725		27,741	-3,016
745		835	-90
14,958		15,108	-150
51,431		51,491	-60
575		573	2
14,841		17,273	-2,432
7,201		7,970	-769
10,018		10,656	-638
9,818		10,366	-548

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			489
			-3,016
			-90
			-150
			-60
			2
			-2,432
			-769
			-638
			-548

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
31.458 PIMCO FOREIGN BD US\$HD INSTL		2019-01-31	2020-06-05
1577.715 PIMCO UNCONSTRAINED BD FUND INSTL CL		2019-04-30	2020-03-23
108.374 PIMCO UNCONSTRAINED BD FUND INSTL CL		2019-04-30	2020-06-05
9276.373 PGIM HIGH YIELD FUND CL Q		2019-07-31	2020-01-17
1996.733 ABBEY CAPITAL FUTURES STRATEGY FUND CL I		2018-02-12	2020-03-23
628. VANGUARD FTSE DEVELOPED MARKETS ETF		2018-02-12	2020-06-05
1186. VANGUARD FTSE EMERGING MKTS ETF		2018-02-12	2020-04-17
46. VANGUARD FTSE EMERGING MKTS ETF		2018-02-12	2020-06-05
552. VANGUARD INTERMEDIATE-TERM CORP BOND ETF		2018-02-12	2020-01-17
26. VANGUARD INTERMEDIATE-TERM CORP BOND ETF		2018-06-18	2020-01-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
337		339	-2
15,146		17,087	-1,941
1,141		1,174	-33
51,855		51,020	835
23,122		22,503	619
25,320		27,792	-2,472
42,158		55,184	-13,026
1,852		2,140	-288
50,697		46,910	3,787
2,388		2,168	220

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-2
			-1,941
			-33
			835
			619
			-2,472
			-13,026
			-288
			3,787
			220

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
54. VANGUARD S&P 500 ETF		2018-02-12	2020-02-12
5. VANGUARD S&P 500 ETF		2020-04-17	2020-06-05
29. VANGUARD S&P 500 ETF		2020-04-17	2020-06-05
379.113 WELLS FARGO SPECIAL SMALL CAP VALUE FUND INSTL CL		2018-02-12	2020-01-17
423.673 WELLS FARGO SPECIAL SMALL CAP VALUE FUND INSTL CL		2018-06-29	2020-01-17
207.05 WELLS FARGO SPECIAL SMALL CAP VALUE FUND INSTL CL		2018-06-29	2020-01-17
207.05 WELLS FARGO SPECIAL SMALL CAP VALUE FUND INSTL CL		2018-07-25	2020-06-05
326.129 WELLS FARGO SPECIAL SMALL CAP VALUE FUND INSTL CL		2018-02-12	2020-06-05
151.191 WESTERN ASSET CORE BD PORT CL IS		2020-02-12	2020-03-23
1722.794 WESTERN ASSET CORE BD PORT CL IS		2020-01-21	2020-03-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16,706		13,200	3,506
1,468		1,303	165
8,513		7,556	957
13,807		13,087	720
15,430		15,659	-229
7,541		7,653	-112
6,338		7,501	-1,163
9,983		11,258	-1,275
1,876		1,996	-120
21,380		22,586	-1,206

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			3,506
			165
			957
			720
			-229
			-112
			-1,163
			-1,275
			-120
			-1,206

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	Depreciation allowed <b>(f)</b> (or allowable)	Cost or other basis <b>(g)</b> plus expense of sale	Gain or (loss) <b>(h)</b> (e) plus (f) minus (g)
			46,658

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	Adjusted basis <b>(j)</b> as of 12/31/69	Excess of col. (i) <b>(k)</b> over col. (j), if any	

**Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation**

<b>(a)</b> Name and address	Title, and average hours per week <b>(b)</b> devoted to position	<b>(c)</b> Compensation (If not paid, enter -0-)	<b>(d)</b> Contributions to employee benefit plans and deferred compensation	Expense account, <b>(e)</b> other allowances
MARY ANN ELLIOTT	TRUSTEE 2	0		
504 W OCEAN VIEW AVE NORFOLK, VA 23503				
JAMES RAY ELLIOTT JR	TRUSTEE 2	0		
C/O 504 W OCEAN VIEW AVE NORFOLK, VA 23503				
DANIEL KEITH ELLIOTT	TRUSTEE 2	0		
C/O 504 W OCEAN VIEW AVE NORFOLK, VA 23503				
SHARON ELLIOTT HENSLEY	TRUSTEE 6	0		
563 SHAMROCK RD NEW LONDON, NC 28127				
JEFF HENSLEY	TRUSTEE 6	0		
563 SHAMROCK RD NEW LONDON, NC 28127				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
UNC PEMBROKEPO BOX 1510 PEMBROKE, NC 283721510	N/A	PC	GRANT FOR SCHOLARSHIPS AND	10,000
RODEHEAVER BOYS RANCH 380 BOYS RANCH ROAD PALATKA, FL 32177	N/A	PC	GRANT FOR SUMMER KIDS	8,000
FOR KIDS4200 COLLEY AVE NORFOLK, VA 23508	N/A	PC	HOT MEALS & HOMEWORK	10,000
<b>Total . . . . . ▶ 3a</b>				226,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
HABITAT FOR HUMANITY RANDOLPH COUNTYPO BOX 669 ASHEBORO, NC 272040669	N/A	PC	GRANT FOR TOOLS	5,000
ZION LUTHERAN CHURCH 212 S FRONT ST HAMILTON, OH 45011	N/A	PC	SUPPORT COMMUNITY MEALS	8,000
FIRST UNITED METHODIST CHURCH 146 E MAIN ST MARTINSVILLE, VA 24112	N/A	PC	UNRESTRICTED GENERAL	5,000
<b>Total . . . . . ▶ 3a</b>				226,000



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
BLUE RIDGE PARKWAY FOUNDATION 717 S MARSHALL ST WINSTON SALEM, NC 271015865	N/A	PC	UNRESTRICTED GENERAL	12,500
PROJECT SANTAPO BOX 462 FLAT ROCK, NC 28731	N/A	PC	UNRESTRICTED GENERAL	5,000
WAGS & WALKS2236 FEDERAL AVE LOS ANGELES, CA 90064	N/A	PC	UNRESTRICTED GENERAL	2,500
<b>Total . . . . . ▶ 3a</b>				226,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
COAST GUARD FOUNDATION 394 TAUGWONK ROAD STONINGTON, CT 06378	N/A	PC	UNRESTRICTED GENERAL	3,000
REACH OUT AND READ (CAROLINAS) 89 SOUTH ST BOSTON, MA 02111	N/A	PC	UNRESTRICTED GENERAL	7,000
DOCTORS WITHOUT BORDERS 333 SEVENTH AVE 2ND FL NEW YORK, NY 10001	N/A	PC	UNRESTRICTED GENERAL	5,000
<b>Total . . . . . ▶ 3a</b>				226,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SUNCOAST VOICES FOR CHILDREN 8550 ULMERTON ROAD SUITE 255 LARGO, FL 33771	N/A	PC	UNRESTRICTED GENERAL	5,000
THE COMMUNITY FELLOWSHIP 2674 VIRGINIA AVE COLLINSVILLE, VA 24078	N/A	PC	UNRESTRICTED GENERAL	2,500
CENTREVILLE PRESBYTERIAN CHURCH 15450 LEE HWY CENTREVILLE, VA 20120	N/A	PC	WEEKEND FOOD PROGRAM	3,000
<b>Total . . . . . ▶ 3a</b>				226,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
FORSYTH HUMANE SOCIETY 61 MILLER ST WINSTON SALEM, NC 271044211	N/A	PC	UNRESTRICTED GENERAL	1,500
FIRST STAGE INCPO BOX 9384 MCLEAN, VA 221020384	N/A	PC	UNRESTRICTED GENERAL	3,500
CINCINNATI CHILDREN'S HOSPITAL 3333 BURNET AVENUE CINCINNATI, OH 45229	N/A	PC	UNRESTRICTED GENERAL	2,000
<b>Total . . . . . ▶ 3a</b>				226,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MARC LUSTGARTEN PANCREATIC CANCER FOUNDATION 1111 STEWART AVE BETHPAGE, NY 11714	N/A	PC	UNRESTRICTED GENERAL	2,000
CROSSROADS COMMUNITY FOOD 6930 CARROLL AVE SUITE 426 TAKOMA PARK, MD 20912	N/A	PC	UNRESTRICTED GENERAL	5,000
KIDS KICKING CANCER 27600 NORTHWESTERN HWY SOUTHFIELD, MI 48034	N/A	PC	UNRESTRICTED GENERAL	10,000
<b>Total . . . . . ▶ 3a</b>				226,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
XERCES SOCIETY INC 628 NE BROADWAY ST SUITE 200 PORTLAND, OR 97232	N/A	PC	UNRESTRICTED GENERAL	5,000
SPECIAL OPERATIONS WARRIOR FOUNDATION4409 W EL PRADO BLVD TAMPA, FL 33629	N/A	PC	GENERAL OPERATING FUND	2,000
PERRY SCHOOL COMMUNITY SERVICES 128 M STREET NW SUITE 100 WASHINGTON, DC 20001	N/A	PC	UNRESTRICTED GENERAL	3,500
<b>Total . . . . . ▶ 3a</b>				226,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
LUTHERAN SOCIAL SERVICES 4406 GEORGIA AVE NW WASHINGTON, DC 20011	N/A	PC	UNRESTRICTED GENERAL	3,500
WEST HAMPTON BAPTIST CHURCH 631 ABERDEEN RD HAMPTON, VA 23661	N/A	PC	SUPPORT YOUTH MINISTRY	11,000
PENINSULA FINE ARTS CENTER 101 MUSEUM DRIVE NEWPORT NEWS, VA 23606	N/A	PC	UNRESTRICTED GENERAL	2,000
<b>Total . . . . . ▶ 3a</b>				226,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
VIRGINIA NATIVE PLANT SOCIETY 400 BLANDY FARM LANE BOYCE, VA 22620	N/A	PC	UNRESTRICTED GENERAL	5,000
MOTHER SETON HOUSE 101 N LYNNHAVEN ROAD SUITE 101 VIRGINIA BEACH, VA 23452	N/A	PC	UNRESTRICTED GENERAL	3,500
DYERS STORE VOUNTEER FIRE DEPT 3230 CHATHAM RD MARTINSVILLE, VA 24112	N/A	NC	UNRESTRICTED GENERAL	10,000
<b>Total . . . . . ▶ 3a</b>				226,000



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SERVICE DOGS OF VIRGINIA PO BOX 408 CHARLOTTESVILLE, VA 22902	N/A	PC	UNRESTRICTED GENERAL	5,000
GOD'S PIT CREW2499 NORTH MAIN ST DANVILLE, VA 24540	N/A	PC	UNRESTRICTED GENERAL	14,000
ORPHAN HELPERS 813 FORREST DR STE A NEWPORT NEWS, VA 23606	N/A	PC	GENERAL OPERATING FUND	5,000
<b>Total . . . . . ▶ 3a</b>				226,000

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
APPALACHIAN VOICES 191 W HOWARD ST BOONE, NC 286074038	N/A	PC	GENERAL OPERATING FUND	5,000
BLUE RIDGE ENRIONMENTAL DEFENSE PO BOX 88 GLENDALE SPRINGS, NC 28629	N/A	PC	UNRESTRICTED GENERAL	2,500
SAFETY SHELTER OF ST JOHNS 2450 OLD MOULTRIE RD SUITE 202 ST AUGUSTINE, FL 32086	N/A	PC	UNRESTRICTED GENERAL	2,000
<b>Total . . . . .</b> ► <b>3a</b>				226,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
FRIENDS OF HOMOSASSA SPRINGS WILDLIFE PARK 4150 S SUNCOAST BLVD HOMOSASSA, FL 34446	N/A	PC	UNRESTRICTED GENERAL	2,000
DC STRINGS WORKSHOP 3829 1ST STREET SE WASHINGTON, DC 20020	N/A	PC	UNRESTRICTED GENERAL	1,000
PEACE RIVER REFUGE & RANCH 640 NE 170TH CT SILVER SPRGS, FL 344885311	N/A	PC	UNRESTRICTED GENERAL	6,000
<b>Total . . . . . ▶ 3a</b>				226,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
ANTELOPE VALLEY BOYS & GIRLS CLUB 45404 N DIVISION STREET LANCASTER, CA 93535	N/A	PC	UNRESTRICTED GENERAL	5,000
GRACE RESOURCES INC 45134 N SIERRA HIGHWAY LANCASTER, CA 93534	N/A	PC	UNRESTRICTED GENERAL	7,500
THE PAINTED TURTLE 1300 4TH ST SUITE 300 MONICA, CA 90401	N/A	PC	UNRESTRICTED GENERAL	2,500
<b>Total . . . . . ▶ 3a</b>				226,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
CARE NET WOMEN'S RESOURCE CENTER 44733 10TH ST W LANCASTER, CA 93534	N/A	PC	UNRESTRICTED GENERAL	2,500
MONTEBELLO FIRE & EMERG SVCS PO BOX 96 MONTEBELLO, VA 24464	N/A	PC	UNRESTRICTED GENERAL	5,000
<b>Total . . . . . ▶ 3a</b>				226,000

**TY 2019 Accounting Fees Schedule****Name:** THE MORNINGSTAR FOUNDATION**EIN:** 26-1557579

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
TAX PREPARATION FEE - BOA	1,250	750		500

**Note:** To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

## **TY 2019 Expenditure Responsibility Statement**

**Name:** THE MORNINGSTAR FOUNDATION

**EIN:** 26-1557579

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
DYER STORE VOLUNTEER FIRE DEPT	3230 CHATHAM ROAD MARTINSVILLE, VA 24112	2020-06-30	10,000	FUNDS USED FOR PURCHASE OF EQUIPMENT	10,000	No	11/12/2020		

TY 2019 Investments Corporate Stock Schedule

Name: THE MORNINGSTAR FOUNDATION  
 EIN: 26-1557579

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
464287101 ISHARES S&P 100 ETF	270,644	324,575
464287226 ISHARES CORE US AGGR	68,879	75,418
464287499 ISHARES RUSSELL MID-	264,958	290,030
464287655 ISHARES RUSSELL 2000	144,060	147,475
921943858 VANGUARD FTSE DEVELO	266,892	243,252
922042858 VANGUARD FTSE EMERGI	57,737	49,988
92206C870 VANGUARD INTERMEDIAT		
922908363 VANGUARD S&P 500 ETF	274,033	319,426
00203H446 AQR LONG-SHORT EQUIT	173,373	148,016
057071813 BAIRD MIDCAP FUND IN		
09250J734 BLACKROCK EVENT DRIV	209,692	210,100
207019704 CONESTOGA SMALL CAP	117,503	149,826
25264S841 DIAMOND HILL FDS LAR	421,870	431,101
543487110 NATIXIS LOOMIS SAYLE	362,293	456,982
55272P596 MFS MID CAP VALUE FU	231,620	236,181
64128R608 NEUBERGER BERMAN LON	143,877	150,313
94975P447 WELLS FARGO SPECIAL	159,487	142,857
64128K868 NEUBERGER BERMAN HIG		
72201M453 PIMCO UNCONSTRAINED		
22544R305 CREDIT SUISSE COMMOD	337,812	250,850
524686672 LEGG MASON BW ABSOLU	78,270	73,984
74925K367 ABBEY CAPITAL FUTURE	74,997	74,614
412295107 HARDING LOEVNER FDS	151,166	150,281
413838723 OAKMARK INTL FUND IN	177,175	145,418
41665H847 HARTFORD MUT FDS II	150,796	150,599
693390882 PIMCO FOREIGN BD US\$	99,724	100,047
78468R663 SPDR BLOOMBERG BARCL	49,675	49,609
091928861 BLACKROCK MID CAP GR	248,504	250,913
72201M487 PIMCO UNCONSTRAINED	89,200	87,560
957663677 WESTERN ASSET CORE B	121,342	125,318



**TY 2019 Other Decreases Schedule****Name:** THE MORNINGSTAR FOUNDATION**EIN:** 26-1557579

Description	Amount
ROUNDING	3
TYE SALES ADJUSTMENT	16,731

# **TY 2019 Other Income Schedule**

**Name:** THE MORNINGSTAR FOUNDATION

**EIN:** 26-1557579

## **Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
EXCISE TAX REFUND	1,286	0	

**TY 2019 Other Professional Fees Schedule****Name:** THE MORNINGSTAR FOUNDATION**EIN:** 26-1557579

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
INVESTMENT MGMT FEES - BOA	42,331	42,331		
GRANTMAKING FEES - BOA	12,682			12,682

**TY 2019 Taxes Schedule****Name:** THE MORNINGSTAR FOUNDATION**EIN:** 26-1557579

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES ON QUALIFIED FOR	1,494	1,494		0
FOREIGN TAXES ON NONQUALIFIED	322	322		0