



Department of the Treasury  
Internal Revenue Service

Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation *2006*

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OMB No 1545-0047

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning 7/01, 2019, and ending 6/30, 2020

THE JOE & SANDY SAMBERG FOUNDATION, INC.  
8 PARSONAGE POINT  
RYE, NY 10580

G Check all that apply  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

H Check type of organization  Section 501(c)(3) exempt private foundation *04*  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) **\$ 11,658,641.** J Accounting method  Cash  Accrual  
 Other (specify) (Part I, column (d), must be on cash basis) --

C If exemption application is pending, check here  *6*  
D 1 Foreign organizations, check here   
2 Foreign organizations meeting the 85% test, check here and attach computation   
E If private foundation status was terminated under section 507(b)(1)(A), check here   
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)	3,024,000.			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	67,678.	67,678.		
4 Dividends and interest from securities	88,705.	88,705.	334	
5 a Gross rents b Net rental income or (loss)				
6 a Net gain or (loss) from sale of assets not on line 11 b Gross sales price for all assets on line 6a	-337,154. 13,341,853.			
7 Capital gain net income (from Part IV, line 2)		2,288,796.		
8 Net short-term capital gain				
9 Income modifications				
10 a Gross sales less returns and allowances b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	2,843,229.	2,445,179.	0.	
13 Compensation of officers, directors, trustees, etc	0.			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16 a Legal fees (attach schedule) b Accounting fees (attach sch) See St 1 c Other professional fees (attach sch)	7,000.	1,000.		
17 Interest				
18 Taxes (attach schedule)(see instrs) See Stm 2	49,134.			
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) See Statement 3	2,522.			
24 Total operating and administrative expenses. Add lines 13 through 23	58,656.	1,000.		
25 Contributions, gifts, grants paid Part XV	628,738.			628,738.
26 Total expenses and disbursements Add lines 24 and 25	687,394.	1,000.	0.	628,738.
27 Subtract line 26 from line 12: a Excess of revenue over expenses and disbursements b Net investment income (if negative, enter -0-) c Adjusted net income (if negative, enter -0-)	2,155,835.	2,444,179.	0.	

Part II	Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash – non-interest-bearing				
	2 Savings and temporary cash investments		2,030,384.	6,036,199.	6,036,199.
	3 Accounts receivable ▶				
	Less allowance for doubtful accounts ▶		390.		
	4 Pledges receivable ▶				
	Less allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7 Other notes and loans receivable (attach sch) ▶				
	Less. allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges		31,576.	32,692.	32,692.
	10a Investments – U S and state government obligations (attach schedule)				
	b Investments – corporate stock (attach schedule)		7,670,863.	5,589,750.	5,589,750.
	c Investments – corporate bonds (attach schedule)				
Liabilities	11 Investments – land, buildings, and equipment basis ▶				
	Less: accumulated depreciation (attach schedule) ▶				
	12 Investments – mortgage loans				
	13 Investments – other (attach schedule)				
	14 Land, buildings, and equipment basis ▶				
	Less. accumulated depreciation (attach schedule) ▶				
	15 Other assets (describe ▶)				
	16 Total assets (to be completed by all filers – see the instructions Also, see page 1, item I)		9,733,213.	11,658,641.	11,658,641.
	17 Accounts payable and accrued expenses		4,016.	6,016.	
	18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue				
	20 Loans from officers, directors, trustees, & other disqualified persons				
	21 Mortgages and other notes payable (attach schedule)				
	22 Other liabilities (describe ▶)				
	23 Total liabilities (add lines 17 through 22)		4,016.	6,016.	
	Foundations that follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/>				
	and complete lines 24, 25, 29, and 30.				
	24 Net assets without donor restrictions		9,729,197.	11,652,625.	
	25 Net assets with donor restrictions				
	Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/>				
	and complete lines 26 through 30.				
	26 Capital stock, trust principal, or current funds				
	27 Paid-in or capital surplus, or land, bldg., and equipment fund				
	28 Retained earnings, accumulated income, endowment, or other funds				
	29 Total net assets or fund balances (see instructions)		9,729,197.	11,652,625.	
	30 Total liabilities and net assets/fund balances (see instructions)		9,733,213.	11,658,641.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	9,729,197.
2 Enter amount from Part I, line 27a	2	2,155,835.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	11,885,032.
5 Decreases not included in line 2 (itemize) ▶ See Statement 4	5	232,407.
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 29	6	11,652,625.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co )			(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1 a PUBLIC TRADED SECURITIES			P	Various	Various
b PUBLIC TRADED SECURITIES			D	Various	Various
c					
d					
e					
(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
a	10,336,009.		10,655,007.	-318,998.	
b	3,005,844.		398,050.	2,607,794.	
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) FMV as of 12/31/69		(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
a				-318,998.	
b				2,607,794.	
c					
d					
e					
2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	2,288,796.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)			3	0.
If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8					

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes No

If 'Yes,' the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	604,443.	10,031,763.	0.060253
2017	499,624.	9,349,539.	0.053438
2016	338,755.	3,626,226.	0.093418
2015	258,820.	1,206,994.	0.214434
2014	219,887.	1,347,346.	0.163200
2 Total of line 1, column (d)			2 0.584743
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years			3 0.116949
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			4 10,617,914.
5 Multiply line 4 by line 3			5 1,241,754.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 24,442.
7 Add lines 5 and 6			7 1,266,196.
8 Enter qualifying distributions from Part XII, line 4			8 628,738.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the  
Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter 'N/A' on line 1	
Date of ruling or determination letter (attach copy of letter if necessary – see instructions)	
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input type="checkbox"/> and enter 1% of Part I, line 27b	1 48,884.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)	
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2 0.
3 Add lines 1 and 2	3 48,884.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4 0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5 48,884.
6 Credits/Payments	
a 2019 estimated tax pymts and 2018 overpayment credited to 2019	6a 81,576.
b Exempt foreign organizations – tax withheld at source	6b
c Tax paid with application for extension of time to file (Form 8868)	6c
d Backup withholding erroneously withheld	6d
7 Total credits and payments Add lines 6a through 6d	7 81,576.
8 Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9 0.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10 32,692.
11 Enter the amount of line 10 to be Credited to 2020 estimated tax ► 32,692. Refunded	11 0.

**Part VII-A Statements Regarding Activities**

1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1 b <input checked="" type="checkbox"/>
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	
c Did the foundation file Form 1120-POL for this year?	1 c <input checked="" type="checkbox"/>
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year	
(1) On the foundation ► \$ 0. (2) On foundation managers ► \$ 0.	0.
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ 0.	
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities	2 <input checked="" type="checkbox"/>
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes	3 <input checked="" type="checkbox"/>
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4 a <input checked="" type="checkbox"/>
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	4 b N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T	5 <input checked="" type="checkbox"/>
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either	
• By language in the governing instrument, or	
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6 <input checked="" type="checkbox"/>
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, col (c), and Part XV	7 <input checked="" type="checkbox"/>
8 a Enter the states to which the foundation reports or with which it is registered See instructions ► NY	
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	8 b <input checked="" type="checkbox"/>
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV If 'Yes,' complete Part XIV	9 <input checked="" type="checkbox"/>
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses	10 <input checked="" type="checkbox"/>

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule. See instructions	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement. See instructions	<input type="checkbox"/> 11	<input checked="" type="checkbox"/> X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address	<input type="checkbox"/> 12	<input checked="" type="checkbox"/> X
14	The books are in care of ► <u>THE FOUNDATION</u> Located at ► <u>8 PARSONAGE POINT RYE NY</u>	Telephone no ►	
		ZIP + 4 ►	<u>10580</u>
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> – check here. and enter the amount of tax-exempt interest received or accrued during the year	<input type="checkbox"/> N/A	► <input type="checkbox"/> 15 <input checked="" type="checkbox"/> N/A
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
		<input type="checkbox"/> 16	<input checked="" type="checkbox"/> X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>1 a</b>	During the year, did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>1 b</b>	If any answer is 'Yes' to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions		<input type="checkbox"/> N/A
	Organizations relying on a current notice regarding disaster assistance, check here		► <input type="checkbox"/>
<b>1 c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		<input type="checkbox"/> X
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
<b>a</b>	At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	If 'Yes,' list the years ► <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
<b>b</b>	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement – see instructions)		
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
	► <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
<b>3 a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b>	If 'Yes,' did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019)		
<b>3 b</b>			<input type="checkbox"/> N/A
<b>4 a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		<input type="checkbox"/> X
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		
<b>4 b</b>			<input type="checkbox"/> X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year, did the foundation pay or incur any amount to	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Yes	No
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> If any answer is 'Yes' to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions		<b>5b</b>	<b>N/A</b>
Organizations relying on a current notice regarding disaster assistance, check here	<input checked="" type="checkbox"/>		
<b>c</b> If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<b>N/A</b>	<input type="checkbox"/> Yes	<input type="checkbox"/> No
If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)			
<b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If 'Yes' to 6b, file Form 8870		<b>6b</b>	<b>X</b>
<b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		<b>N/A</b>
<b>8</b> Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SANDRA SAMBERG 8 PARSONAGE POINT RYE, NY 10580	President 5.00	0.	0.	0.
JOSEPH SAMBERG 8 PARSONAGE POINT RYE, NY 10580	Vice Pres 5.00	0.	0.	0.
LAURA FAINO 2008 RHODE ISLAND AVE MCLEAN, VA 22101	Director 5.00	0.	0.	0.
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-----				
-----				
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-----				

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE'.

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				
-----				
-----				
-----				
-----				
-----				
-----				
-----				
-----				
-----				

Total number of other employees paid over \$50,000

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter 'NONE.'**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		

## Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

## Expenses

1	N/A	
2		
3		
4		

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

**Amount**

1	<u>N/A</u> ----- -----
2	----- -----

All other program-related investments See instructions

3 \_\_\_\_\_

**Total.** Add lines 1 through 3

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**Part X** **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1 a	5,623,731.
b	Average of monthly cash balances	1 b	5,155,877.
c	Fair market value of all other assets (see instructions)	1 c	
d	<b>Total</b> (add lines 1a, b, and c)	1 d	10,779,608.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	10,779,608.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	161,694.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5	10,617,914.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	530,896.

**Part XI** **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	530,896.
2a	Tax on investment income for 2019 from Part VI, line 5	2 a	48,884.
b	Income tax for 2019 (This does not include the tax from Part VI )	2 b	
c	Add lines 2a and 2b	2 c	48,884.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	482,012.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	482,012.
6	Deduction from distributable amount (see instructions)	6	
7	<b>Distributable amount as adjusted</b> Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	482,012.

**Part XII** **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes.		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1 a	628,738.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	628,738.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions	5	
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	628,738.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				482,012.
2 Undistributed income, if any, as of the end of 2019.			0.	
a Enter amount for 2018 only		0.		
b Total for prior years. 20 ___, 20 ___, 20 ___				
3 Excess distributions carryover, if any, to 2019.				
a From 2014 156,446.				
b From 2015 198,890.				
c From 2016 273,704.				
d From 2017 69,851.				
e From 2018 109,279.				
f Total of lines 3a through e 808,170.				
4 Qualifying distributions for 2019 from Part XII, line 4 ► \$ 628,738.			0.	
a Applied to 2018, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2019 distributable amount				482,012.
e Remaining amount distributed out of corpus 146,726.				
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))		0.		0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5 954,896.				
b Prior years' undistributed income Subtract line 4b from line 2b 0.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed 0.				
d Subtract line 6c from line 6b Taxable amount – see instructions 0.				
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount – see instructions 0.				
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020 0.				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required – see instructions) 0.				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) 156,446.				
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a 798,450.				
10 Analysis of line 9				
a Excess from 2015 198,890.				
b Excess from 2016 273,704.				
c Excess from 2017 69,851.				
d Excess from 2018 109,279.				
e Excess from 2019 146,726.				

BAA

Form 990-PF (2019)

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling				
b Check box to indicate whether the foundation is a private operating foundation described in section	<input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)			
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed				
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4, for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon				
a 'Assets' alternative test – enter				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed				
c 'Support' alternative test – enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)**1 Information Regarding Foundation Managers:**

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

See Statement 5

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

- a The name, address, and telephone number or email address of the person to whom applications should be addressed

- b The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** **Supplementary Information** (continued)**3. Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
See Statement 6				
<b>Total</b>			► 3a	628,738.
<i>b Approved for future payment</i>				
<b>Total</b>			► 3b	

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c)- Exclu- sion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	67,678.	
4 Dividends and interest from securities			14	88,705.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					-337,154.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				156,383.	-337,154.
13 Total Add line 12, columns (b), (d), and (e)				13	-180,771.

(See worksheet in line 13 instructions to verify calculations )

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	



**Schedule B**

(Form 990, 990-EZ,  
or 990-PF)  
Department of the Treasury  
Internal Revenue Service

OMB No 1545-0047

**Schedule of Contributors****2019**

- Attach to Form 990, Form 990-EZ, or Form 990-PF.
- Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

Name of the organization

**THE JOE & SANDY SAMBERG FOUNDATION, INC.**

Employer identification number

**26-0469459**

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

 501(c)( ) (enter number) organization 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

Form 990-PF

 527 political organization 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

**Special Rules**

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling \$5,000 or more during the year ► \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on Line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization

THE JOE &amp; SANDY SAMBERG FOUNDATION, INC.

Employer identification number

26-0469459

**Part I** **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JOSEPH & SANDRA SAMBERG	\$ 3,024,000	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash
	8 PARSONAGE PT		(Complete Part II for noncash contributions )
	RYE, NY 10580		
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
			<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
			(Complete Part II for noncash contributions )
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
			<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
			(Complete Part II for noncash contributions )
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
			<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
			(Complete Part II for noncash contributions )
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
			<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
			(Complete Part II for noncash contributions )
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
			<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
			(Complete Part II for noncash contributions )

Name of organization

THE JOE &amp; SANDY SAMBERG FOUNDATION, INC.

Employer identification number

26-0469459

**Part II Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
1	1,400,000 SHARES OF NII HOLDINGS, INC.	\$ 3,024,000	12/24/19
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	

BAA

Schedule B (Form 990, 990-EZ, or 990-PF) (2019)

**Name of organization**

NAME OF ORGANIZATION  
**THE JOE & SANDY SAMBERG FOUNDATION, INC.**

**Employer identification number**

26-0469459

**Part III: Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8),**

**or (10) that total more than \$1,000 for the year from any one contributor.** Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year (Enter this information once. See instructions) ► \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed

► \$ \_\_\_\_\_ N/A

**Statement 1**  
**Form 990-PF, Part I, Line 16b**  
**Accounting Fees**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
	\$ 7,000.	\$ 1,000.		
Total	<u>\$ 7,000.</u>	<u>\$ 1,000.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

**Statement 2**  
**Form 990-PF, Part I, Line 18**  
**Taxes**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FEDERAL TAXES	\$ 48,884.			
NYS TAX	250.			
Total	<u>\$ 49,134.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

**Statement 3**  
**Form 990-PF, Part I, Line 23**  
**Other Expenses**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
OFFICE EXPENSES	\$ 33.			
OTHER EXPENSES	2,489.			
Total	<u>\$ 2,522.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

**Statement 4**  
**Form 990-PF, Part III, Line 5**  
**Other Decreases**

Net Unrealized Gains or Losses on Investments	\$ 232,407.
Total	<u>\$ 232,407.</u>

**Statement 5**  
**Form 990-PF, Part XV, Line 1a**  
**Foundation Managers - 2% or More Contributors**

SANDRA SAMBERG  
JOSEPH SAMBERG

## THE JOE &amp; SANDY SAMBERG FOUNDATION, INC.

26-0469459

**Statement 6**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

Name and Address	Donee Relationship	Found- ation Status	Purpose of Grant	Amount
RYE FREE READING ROOM 1061 BOSTON POST RD RYE NY 10580	NONE	PC	CHARITABLE	\$ 1,500.
RYE YMCA 21 LOCUST AVE RYE NY 10580	NONE	PC	CHARITABLE	5,000.
FJC 520 EIGHTH AVE NEW YORK NY 10018	NONE	PC	CHARITABLE	80,500.
OPEN DOOR FAMILY MEDICAL CENTER 165 MAIN ST OSSINING NY 10562	NONE	PC	CHARITABLE	11,000.
RYE YOUTH COUNCIL 21 LOCUST AVE RYE NY 10580	NONE	EXEMPT	CHARITABLE	500.
NORTHERN WESTCHESTER HOSPITAL 400 E MAIN ST MOUNT KISCO NY 10549	NONE	PC	CHARITABLE	10,000.
RYE FIRE DEPARTMENT PO BOX 1 RYE NY 10580	NONE	PC	CHARITABLE	2,500.
NEW HEIGHTS YOUTH, INC. 2576 BROADWAY NEW YORK NY 10025	NONE	PC	CHARITABLE	13,000.
RYE POLICE ASSOCIATION PO BOX 246 RYE NY 10580	NONE	PC	CHARITABLE	2,500.
MAKE A WISH 111 MARCUS AVE LAKE SUCCESS NY 11042	NONE	PC	CHARITABLE	500.
PAN MASS CHALLENGE 77 4TH AVE NEEDHAM MA 02494	NONE	PC	CHARITABLE	1,023.
BOB WOODRUFF FAMILY FOUNDATION PO BOX 955 BRISTOW VA 20136	NONE	PC	CHARITABLE	10,000.

**Statement 6 (continued)**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
ROOM TO GROW 54 W 21ST ST NEW YORK NY 10010	NONE	PC	CHARITABLE	\$ 5,000.
CORNELL UNIVERSITY 130 E SENECA ST ITHACA NY 14850	NONE	PC	CHARITABLE	30,000.
UNIV OF PENNSYLVANIA-PA GAZETTE 3910 CHESTNUT ST PHILADELPHIA PA 19104	NONE	PC	CHARITABLE	100.
RONALD McDONALD HOUSE OF NEW YORK 405 E 73RD ST NEW YORK NY 10021	NONE	EXEMPT	CHARITABLE	500.
AMERICAN JEWISH WORLD 45 WEST 36TH STREET NEW YORK NY 10018	NONE	PC	CHARITABLE	500.
JACOB BURNS FILM CENTER 405 MANVILLE ROAD PLEASANTVILLE NY 10570	NONE	PC	CHARITABLE	2,375.
AMERICAN CANCER SOCIETY PO BOX 22718 OKLAHOMA CITY OK 73123	NONE	PC	CHARITABLE	650.
COMMUNITY SYNAGOGUE OF RYE 200 FOREST AVE RYE NY 10580	NONE	PC	CHARITABLE	10,257.
JEWISH COMMUNITY CENTER 334 AMSTERDAM AVE NEW YORK NY 10023	NONE	EXEMPT	CHARITABLE	2,500.
MEMORIAL SLOAN KETTERING 1275 YORK AVE NEW YORK NY 10065	NONE	PC	CHARITABLE	250.
PCRRBEMS 417 ELLENDALE AVE PORT CHESTER NY 10573	NONE	EXEMPT	CHARITABLE	2,500.
THE RYE ARTS CENTER 51 MILTON RD RYE NY 10580	NONE	PC	CHARITABLE	1,000.

**Statement 6 (continued)**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

Name and Address	Donee Relationship	Found- ation Status	Purpose of Grant	Amount
SPRYE PO BOX 748 RYE NY 10580	NONE	PC	CHARITABLE	\$ 1,500.
HARLEM ACADEMY 1330 FIFTH AVENUE NEW YORK NY 10026	NONE	PC	CHARITABLE	17,500.
FRED GABLER HELPING HAND CAMP FUND 18 DUNMORE ROAD NEW CITY NY 10956	NONE			2,000.
RYE HISTORICAL SOCIETY 1 PURCHASE ST RYE NY 10580	NONE	PC	CHARITABLE	250.
ARBOR BROTHERS INC 299 E 11ST, SUITE 4B NEW YORK NY 10003	NONE	EXEMPT	CHARITABLE	50,000.
ALZHEIMERS ASSOCIATION 360 LEXINGTON AVENUE NEW YORK NY 10017	NONE	EXEMPT	CHARITABLE	1,000.
ST JUDES CHILDRENS 262 DANNY THOMAS PLACE MEMPHIS TN 38105	NONE	PC	CHARITABLE	300.
UNIVERSITY OF PENN 215 SOUTH 39TH STREET PHILADELPHIA PA 19104	NONE	PC	CHARITABLE	20,000.
FIRST DESCENTS 767 SANTA FR DR DENVER CO 80204	NONE	EXEMPT	CHARITABLE	30,000.
LEELANAU CONSERVANCY 105 N FIRST ST LELAND MI 49464	NONE	EXEMPT	CHARITABLE	500.
OTHER CHARITABLE CONTRIBUTIONS	NONE	PC	CHARITABLE	3,533.
MEMORIAL SLOAN KETTERING CANCER CENTER 1275 YORK AVE NEW YORK NY 10065	NONE	PC	CHARITABLE	250.
CARVER CENTER 400 WESTCHESTER AVE PORT CHESTER NY 10573	NONE	PC	CHARITABLE	6,000.

THE JOE &amp; SANDY SAMBERG FOUNDATION, INC.

26-0469459

**Statement 6 (continued)**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
PROJECT MORRY 350 EXECUTIVE BLVD ELMSFORD NY 10523	NONE	PC	CHARITABLE	\$ 15,000.
SUPPORT CONNECTION 40 TRIANGLE CENTER #100 YORKTOWN HEIGHTS NY 10598	NONE	PC	CHARITABLE	2,000.
MT KISCO CHILD CARE CENTER 95 RADIO CIRCLE MT KISCO NY 10549	NONE	PC	CHARITABLE	1,000.
MY SISTER'S PLACE ONE WATER ST WHITE PLAINS NY 10601	NONE	PC	CHARITABLE	1,500.
TRIFORM 20 TRIFORM RD HUDSON NY 12534	NONE	PC	CHARITABLE	2,000.
RYE YOUTH COUNCIL 21 LOCUST AVE RYE NY 10580	NONE	PC	CHARITABLE	500.
GLOBAL FOCUS ON CANCER 200 WILLIAM ST RYE BROOK NY 10573	NONE	PC	CHARITABLE	3,000.
PRO BONO PARTNERSHIP 237 MAMARONECK AVE WHITE PLAINS NY 10605	NONE	PC	CHARITABLE	1,000.
WHIN COMMUNITY CHARTER SCHOOL 401 WEST 164TH ST NEW YORK NY 10032	NONE	PC	CHARITABLE	10,000.
WAYNE UNIVERSITY LAW SCHOOL 471 W PALMER AVE DETROIT MI 48202	NONE	EXEMPT	CHARITABLE	500.
COMPASSION & CHOICES 244 5TH AVE NEW YORK NY 10001	NONE	EXEMPT	CHARITABLE	750.
STEER FOR STUDENT ATHLETES 6 INDIAN HILL ROAD RYE NY 10580	NONE	EXEMPT	CHARITABLE	15,000.
JAZZ AT LINCOLN CENTER	NONE	PC	CHARITABLE	15,000.

THE JOE &amp; SANDY SAMBERG FOUNDATION, INC.

26-0469459

**Statement 6 (continued)**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

Name and Address	Donee Relationship	Found- ation Status	Purpose of Grant	Amount
MAC ANGELS FOUNDATION 2005 PALMER AVE #291 LARCHMONT NY 10538	NONE	PC	CHARITABLE	\$ 1,500.
THE JED FOUNDATION 6 E 39TH ST NEW YORK NY 10016	NONE	EXEMPT	CHARITABLE	1,000.
SOUL RYEDERS 1091 BOSTON POST ROAD RYE NY 10580	N/A	PC	CHARITABLE	110,164.
LAURENCE POLATSCH MEMORIAL FUND 4 EDGEWOOD DRIVE SUITE 100 RYE BROOK NY 10573	N/A			250.
WOMEN'S ALZHEIMER'S MOVEMENT 11440 SAN VICENTE BLVD. SUITE 301 LOS ANGELES CA 90049	N/A	PC	CHARITABLE	1,000.
SAN ANTONIO HOSPITAL FOUNDATION 999 SAN BERNARDINO ROAD UPLAND CA 91786	N/A	PC	CHARITABLE	100.
MONTEFIORE MEDICAL CENTER 111 EAST 210TH STREET BRONX NY 10467	N/A	PC	CHARITABLE	6,036.
FRIENDS OF RYE TOWN PARK PO BOX 345 RYE NY 10580	NONE	PC	CHARITABLE	200.
ONE LOVE FOUNDATION PO BOX 368 BRONXVILLE NY 10708	N/A	PC	CHARITABLE	5,000.
TAMID GROUP 1100 WAYNE AVE SILVER SPRING MD	NONE		CHARITABLE	5,000.
CONFETTI FOUNDATION 212 OLD AIRPORT RD. MIDDLETOWN RI 02842	N/A	PC	CHARITABLE	200.
CHICAGO COMMUNITY TRUST 225 N. MICHIGAN AVENUE CHICAGO IL 60601	N/A	PC	CHARITABLE	5,000.

## THE JOE &amp; SANDY SAMBERG FOUNDATION, INC.

26-0469459

**Statement 6 (continued)**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

Name and Address	Donee Relationship	Found- ation Status	Purpose of Grant	Amount
WHITE PLAINS HOSPITAL 41 EAST POST ROAD WHITE PLAINS NY 10601	N/A	PC	CHARITABLE	\$ 1,000.
AFYA FOUNDATION 140 SAW MILL RIVER ROAD YONKERS NY 10701	N/A	PC	CHARITABLE	4,100.
FOOD BANK FOR CENTRAL & EASTERN NC 1924 CAPITAL BLVD RALEIGH NC 27604	N/A	PC	CHARITABLE	2,000.
ACEING AUTISM 2001 SOUTH BARRINGTON AVE LOS ANGELES CA 90025	N/A	PC	CHARITABLE	250.
RECONSIDER 39 RAY CLIFF DRIVE ACCORD NY 12404	N/A	PC	CHARITABLE	25,000.
BLINK NOW FOUNDATION 35 KNOX HILL ROAD MORRISTOWN NJ 07960	N/A	PC	CHARITABLE	1,000.
IMPACT 100 WESTCHESTER PO BOX 634 HARTSDALE NY 10530	N/A	PC	CHARITABLE	2,200.
NEW YORK PRESBYTERIAN FUND 850 3RD AVE NY NY 10022	N/A	PC	CHARITABLE	25,000.
FEEDING WESTCHESTER 200 CLEARBROOK RD ELMSFORD NY 10523	N/A	PC	CHARITABLE	5,000.
HUDSON LINK FOR HIGHER EDUCATION PO BOX 862 OSSINING NY 10562	N/A	PC	CHARITABLE	5,000.
NAACP 44 WALL STREET NY NY 10005	N/A	PC	CHARITABLE	5,000.
A BETTER CHANCE 253 WEST 35TH STREET NY NY 10001	N/A	PC	CHARITABLE	5,000.

**Statement 6 (continued)**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

Name and Address	Donee Relationship	Found- ation Status	Purpose of Grant	Amount
VOICE OF THE EX-OFFENDER 2022 ST BERNARD AVE NEW ORLEANS LA 70116	N/A	PC	CHARITABLE	\$ 1,000.
EQUAL JUSTICE INITIATIVE 122 COMMERCE STREET MONTGOMERY AL 36104	N/A	PC	CHARITABLE	10,000.
NATIONAL CARES MENTORING MOVEMENT 5 PENN PLAZA NY NY 10001	N/A	PC	CHARITABLE	2,000.
NY TIMES NEEDIEST CASES FUND 620 8TH AVE NY NY 10018	N/A	PC	CHARITABLE	1,000.
FRIENDS OF ISRAEL DEFENSE FORCE PO BOX 4224 NY NY 10163	N/A	PC	CHARITABLE	5,000.
Total				\$ <u>628,738.</u>