

EXTENDED TO MAY 17, 2021

2949119800405 1

Form **990-PF****Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0047

2019Department of the Treasury
Internal Revenue Service

- ▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

Open to Public Inspection

For calendar year 2019 or tax year beginning

JUL 1, 2019

, and ending

JUN 30, 2020

Name of foundation

A Employer identification number

COOKE FOUNDATION, LIMITED

23-7120804

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

827 FORT STREET MALL

B Telephone number

(808) 566-5524

City or town, state or province, country, and ZIP or foreign postal code

HONOLULU, HI 96813

C If exemption application is pending, check here ☐

G Check all that apply:

☐

Initial return

☐

Initial return of a former public charity

☐

Final return

☐

Amended return

☐

Address change

☐

Name change

D 1 Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒

Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

J Accounting method:

☒

Cash

☐

Accrual

(from Part II, col. (c), line 16)

☐ Other (specify) _____F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

▶ \$ 23,333,521.

(Part I, column (d), must be on cash basis.)

Part II**Analysis of Revenue and Expenses**

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue	1	Contributions, gifts, grants, etc., received	14,611.		N/A	
	2	Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	684,625.	684,625.		STATEMENT 1
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	<118,607.>			
	b	Gross sales price for all assets on line 8a	4,911,844.			
	7	Capital gain net income (from Part IV, line 2)		0.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
Operating and Administrative Expenses	b	Less: Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income				
	12	Total. Add lines 1 through 11	580,629.	684,625.		
	13	Compensation of officers, directors, trustees, etc	0.	0.		0.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees				
	b	Accounting fees	20,215.	10,107.		10,108.
	c	Other professional fees				
	17	Interest				
	18	Taxes	3,231.	3,231.		0.
Total	19	Depreciation and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings	3,736.	1,250.		2,486.
	22	Printing and publications	4,838.	0.		4,838.
	23	Other expenses	197,768.	96,768.		101,000.
	24	Total operating and administrative expenses. Add lines 13 through 23	229,788.	111,356.		118,432.
	25	Contributions, gifts, grants paid	1,135,000.			1,135,000.
	26	Total expenses and disbursements. Add lines 24 and 25	1,364,788.	111,356.		1,253,432.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	<784,159.>			
	b	Net investment income (if negative, enter -0-)		573,269.		
	c	Adjusted net income (if negative, enter -0-)			N/A	

Part III**Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	644,043.	995,034.	995,034.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 5	1,644,200.	1,087,533.	1,009,683.
	b Investments - corporate stock STMT 6	9,037,723.	10,053,356.	13,519,803.
	c Investments - corporate bonds STMT 7	7,879,130.	6,585,013.	6,684,946.
	11 Investments - land, buildings, and equipment: basis ▶			
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 8	1,307,176.	1,007,177.	1,124,055.	
14 Land, buildings, and equipment: basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	20,512,272.	19,728,113.	23,333,521.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 24, 25, 29, and 30.			
	24 Net assets without donor restrictions	20,512,272.	19,728,113.	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/>			
	and complete lines 26 through 30			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
29 Total net assets or fund balances	20,512,272.	19,728,113.		
30 Total liabilities and net assets/fund balances	20,512,272.	19,728,113.		

Part III**Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	20,512,272.
2 Enter amount from Part I, line 27a	2	<784,159.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	19,728,113.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	19,728,113.

Form 990-PF (2019)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SALE OF SECURITIES-SEE SCHEDULE	P	05/05/13	12/31/20
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,911,844.		5,030,451.	<118,607.>
b			
c			
d			
e			

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			<118,607.>
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	<118,607.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	1,208,448.	23,680,530.	.051031
2017	1,063,714.	23,928,035.	.044455
2016	1,091,828.	23,335,324.	.046789
2015	1,110,520.	21,868,076.	.050783
2014	882,939.	23,110,370.	.038205

2 Total of line 1, column (d)	2	.231263
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.046253
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	23,922,621.
5 Multiply line 4 by line 3	5	1,106,493.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	5,733.
7 Add lines 5 and 6	7	1,112,226.
8 Enter qualifying distributions from Part XII, line 4	8	1,253,432.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions

Part VI. Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)	1	5,733.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	2	0.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)	3	5,733.
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0.
3	Add lines 1 and 2	5	5,733.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	6a	9,067.
5	Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-	6b	0.
6	Credits/Payments:	6c	5,000.
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6d	0.
b	Exempt foreign organizations - tax withheld at source	7	14,067.
c	Tax paid with application for extension of time to file (Form 8868)	8	0.
d	Backup withholding erroneously withheld	9	
7	Total credits and payments. Add lines 6a through 6d	10	8,334.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	11	0.
9	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed		
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax 8,334. Refunded		

Part VII-A. Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by <i>General Instruction T</i> .		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XIV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. HI		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

Form 990-PF (2019)

Part VII-A Statements Regarding Activities (continued)

- 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions
- 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
- 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?

	Yes	No
11		X
12		X
13	X	

Website address **▶ HTTP://WWW.COOKEFDN.ORG**

- 14 The books are in care of
- ▶ HAWAII COMMUNITY FOUNDATION**

Telephone no. **▶ (808) 566-5524**Located at **▶ 827 FORT STREET MALL, HONOLULU, HI**ZIP+4 **▶ 96813**

- 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year

▶ 15 N/A

- 16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?

	Yes	No
16		X

See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country **▶****Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

- 1a During the year, did the foundation (either directly or indirectly):

- (1) Engage in the sale or exchange, or leasing of property with a disqualified person?
- (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
- (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
- (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
- (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
- (6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)

☐ Yes ☒ No☐ Yes ☒ No☐ Yes ☒ No☐ Yes ☒ No☐ Yes ☒ No☐ Yes ☒ No

- b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here

N/A

▶ ☐

- c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?

	Yes	No
1b		
1c		X

- 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

- a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019?

☐ Yes ☒ NoIf "Yes," list the years **▶** _____, _____, _____

- b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)

N/A

- c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.

▶ _____, _____, _____

- 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?

☐ Yes ☒ No

- b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)

N/A

- 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?

	Yes	No
2b		
3b		
4a		X
4b		X

- b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?

Form 990-PF (2019)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ Nob If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions
Organizations relying on a current notice regarding disaster assistance, check here

N/A

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?

☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

▶ 0

Form 990-PF (2019)

Part VIII: Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

[illegible]

Total number of others receiving over \$50,000 for professional services

Part IX-A	Summary of Direct Charitable Activities
------------------	--

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	N/A	
2		
3		
4		

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.		Percent
1	N/A	
2		
All other program-related investments. See instructions.		
3		
Total. Add lines 1 through 3		0

Form **990-PF** (2019)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	23,748,017.
b	Average of monthly cash balances	1b	538,908.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	24,286,925.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	24,286,925.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	364,304.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	23,922,621.
6	Minimum investment return. Enter 5% of line 5	6	1,196,131.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,196,131.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	5,733.
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	5,733.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,190,398.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,190,398.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,190,398.

Part XIII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,253,432.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	1,253,432.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	5,733.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,247,699.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2019)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				1,190,398.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014				
b From 2015	37,176.			
c From 2016				
d From 2017				
e From 2018	38,287.			
f Total of lines 3a through e	75,463.			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ 1,253,432.				
a Applied to 2018, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				1,190,398.
e Remaining amount distributed out of corpus	63,034.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below.	138,497.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2020 Subtract lines 7 and 8 from line 6a	138,497.			
10 Analysis of line 9.				
a Excess from 2015	37,176.			
b Excess from 2016				
c Excess from 2017				
d Excess from 2018	38,287.			
e Excess from 2019	63,034.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

CHARLES SPALDING JR.

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or email address of the person to whom applications should be addressed.

SEE ATTACHED GRANT GUIDELINES-FOUNDATION'S CENTENNIAL AWARDS

- b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED GRANT GUIDELINES-FOUNDATION'S CENTENNIAL AWARDS

- c Any submission deadlines:

SEE ATTACHED GRANT GUIDELINES-FOUNDATION'S CENTENNIAL AWARDS

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED GRANT GUIDELINES-FOUNDATION'S CENTENNIAL AWARDS

Part XVI Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED SCHEDULE OF GRANTS AND CONTRIBUTIONS PAID				1,135,000.
Total			3a	1,135,000.
b Approved for future payment				
NONE				
Total			3b	0.

Form 990-PF (2019)

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|---|-----|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | | X |
| | (2) Other assets | | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | | X |
| | (3) Rental of facilities, equipment, or other assets | | X |
| | (4) Reimbursement arrangements | | X |
| | (5) Loans or loan guarantees | | X |
| | (6) Performance of services or membership or fundraising solicitations | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		May the IRS discuss this return with the preparer shown below? See instr. <input checked="checked" type="checkbox"/> Yes <input type="checkbox"/> No
	Signature of officer or trustee <i>Charles C Spredy Jr</i>	Date <i>5/15/24</i>	

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	MARSHALL I. SATO	<i>Marshall Sato</i>	05/09/21		P01355096
	Firm's name ▶ OHATA CHUN YUEN LLP			Firm's EIN ▶ 99-0237347	
	Firm's address ▶ 3684 WAIALAE AVENUE HONOLULU, HI 96816			Phone no 808 735-8585	

Form **990-PF** (2019)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545 0047

2019

Name of the organization

COOKE FOUNDATION, LIMITED

Employer identification number

23-7120804

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

Employer identification number

COOKE FOUNDATION, LIMITED

23-7120804

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	NATASHA AND CHARLES SPALDING PO BOX 73160 SAN CLEMENTE, CA 92673	\$ 10,236.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Employer identification number

23-7120804

Part II

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	SHARES OF STOCK IN APPLE INC.	\$ 10,236.	08/31/19
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	

Name of organization

Employer identification number

COOKE FOUNDATION, LIMITED

23-7120804

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES				STATEMENT 1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
CHARLES SCHWAB	684,625.	0.	684,625.	684,625.	
TO PART I, LINE 4	684,625.	0.	684,625.	684,625.	

FORM 990-PF	ACCOUNTING FEES				STATEMENT 2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	20,215.	10,107.		10,108.	
TO FORM 990-PF, PG 1, LN 16B	20,215.	10,107.		10,108.	

FORM 990-PF	TAXES				STATEMENT 3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES WITHHELD	3,231.	3,231.		0.	
TO FORM 990-PF, PG 1, LN 18	3,231.	3,231.		0.	

FORM 990-PF	OTHER EXPENSES				STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
GRANTS ADMINISTRATIVE FEES	101,000.	0.		101,000.	
INVESTMENT MANAGEMENT FEES	96,768.	96,768.		0.	
TO FORM 990-PF, PG 1, LN 23	197,768.	96,768.		101,000.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	5
-------------	--	-----------	---

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
GOVERNMENT SECURITIES		X	1,087,533.	1,009,683.
TOTAL U.S. GOVERNMENT OBLIGATIONS				
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			1,087,533.	1,009,683.
TOTAL TO FORM 990-PF, PART II, LINE 10A			1,087,533.	1,009,683.

FORM 990-PF	CORPORATE STOCK	STATEMENT	6
-------------	-----------------	-----------	---

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCKS	10,053,356.	13,519,803.
TOTAL TO FORM 990-PF, PART II, LINE 10B	10,053,356.	13,519,803.

FORM 990-PF	CORPORATE BONDS	STATEMENT	7
-------------	-----------------	-----------	---

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	6,585,013.	6,684,946.
TOTAL TO FORM 990-PF, PART II, LINE 10C	6,585,013.	6,684,946.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
-------------	-------------------	-----------	---

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
EXCHANGE-TRADED & CLOSED-END FUNDS	COST	1,007,177.	1,124,055.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,007,177.	1,124,055.

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	9
-------------	---	-----------	---

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
GREGORY WRENN 827 FORT STREET MALL HONOLULU, HI 96813	PRESIDENT/TRUSTEE 1.00	0.	0.	0.
CATHERINE COOKE 827 FORT STREET MALL HONOLULU, HI 96813	VICE PRES/TRUSTEE 1.00	0.	0.	0.
ELIZABETH DUNFORD 827 FORT STREET MALL HONOLULU, HI 96813	VICE PRES/TRUSTEE 1.00	0.	0.	0.
AMBER MAKAI AU 827 FORT STREET MALL HONOLULU, HI 96813	VICE PRES/TRUSTEE 1.00	0.	0.	0.
CAROLINE BOND DAVIS 827 FORT STREET MALL HONOLULU, HI 96813	VICE PRES/SECRETARY/TRUSTE 1.00	0.	0.	0.
CHARLES SPALDING JR. 827 FORT STREET MALL HONOLULU, HI 96813	VICE PRES/TREASURER/TRUSTE 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

COOKE FOUNDATION, LIMITED
FORM 990-PF
TAX YEAR 2019
PART IV, CAPITAL GAINS AND LOSSES FOR TAX
ON INVESTMENT INCOME
CHARLES SCHWAB

EIN 23-7120804

Security	Quantity	Opened Date	Closed Date	Proceeds	Unadjusted Cost Basis	Gain / Loss	
						Long Term Gain/Loss	Short Term Gain/Loss
<u>Schwab Account 8070-1821</u>							
CHARTER COMMUNI 3 579%20							
CALLED @100 EFF:	100,000	2/15/19	6/23/20	100,000	100,515	(515)	-
EDGEWELL PERSONAL 4 7%21							
CALLED @103.87 EFF.							
06/21/2020	100,000	12/28/18	6/22/20	103,870	100,038	3,832	-
EDGEWELL PERSONAL 4.7%21							
CALLED @103.87 EFF:							
06/21/2020	50,000	1/9/15	6/22/20	51,935	52,955	(1,020)	-
BECTON, DICKINS 2 404XXX							
MATURED	200,000	12/28/18	6/5/20	200,000	197,560	2,440	-
INTERNTNL BUSIN 1.625%20 DUE							
05/15/20	25,000	5/5/13	5/15/20	25,000	24,812	188	-
DISH DBS CORP 5.125%20 DUE							
05/01/20	25,000	7/3/18	5/1/20	25,000	24,863	137	-
DISH DBS CORP 5.125%20 DUE							
05/01/20	50,000	3/27/19	5/1/20	50,000	50,501	(501)	-
DISH DBS CORP 5.125%20 DUE							
05/01/20	75,000	2/23/16	5/1/20	75,000	72,814	2,186	-
OTIS WORLDWIDE CORP	250	9/6/17	4/6/20	11,823	14,364	(2,541)	-
OTIS WORLDWIDE CORP	375	12/31/18	4/6/20	17,734	20,763	(3,029)	-
CARRIER GLOBAL CORP	750	12/31/18	4/6/20	11,882	14,846	(2,964)	-
CARRIER GLOBAL CORP	750	3/19/19	4/6/20	11,882	17,786	(5,904)	-
CARRIER GLOBAL CORP	500	9/6/17	4/6/20	7,922	10,270	(2,348)	-
OTIS WORLDWIDE CORP	375	3/19/19	4/6/20	17,735	24,876	(7,141)	-
CENTURYLINK, IN 5.625XXX							
MATURED	75,000	3/3/17	4/1/20	75,000	79,616	(4,616)	-
CENTURYLINK, IN 5.625XXX							
MATURED	50,000	1/11/19	4/1/20	50,000	50,614	(614)	-
VF CORP	1,000	10/25/19	3/26/20	59,666	83,880	-	(24,214)
TJX COMPANIES INC	1,200	8/26/13	3/26/20	56,944	32,638	24,306	-
SIMON PPTY GROUP REIT	450	8/2/19	3/26/20	27,499	71,473	-	(43,974)
VF CORP	1,000	1/16/19	3/26/20	59,666	67,406	(7,740)	-
CARNIVAL CORP F	1,500	7/10/19	3/26/20	28,080	68,403	-	(40,323)
CARNIVAL CORP F	2,000	12/31/18	3/26/20	37,441	97,823	(60,382)	-
TJX COMPANIES INC	800	8/26/14	3/26/20	37,962	23,708	14,254	-
SIMON PPTY GROUP REIT	300	10/24/19	3/26/20	18,333	45,828	-	(27,495)
SIMON PPTY GROUP REIT	750	7/10/19	3/26/20	45,831	123,094	-	(77,263)
TJX COMPANIES INC	1,200	5/20/14	3/26/20	56,944	33,372	23,572	-
CARNIVAL CORP F	1,500	3/26/19	3/26/20	28,080	77,971	-	(49,891)
SIMON PPTY GROUP REIT	300	2/4/20	3/26/20	18,333	40,862	-	(22,529)
FACEBOOK INC CLASS A	300	11/30/16	2/4/20	62,833	35,588	27,245	-
WELLS FARGO BANK 2.65%21							
CALLED @100 EFF:							
01/31/2020	200,000	1/24/19	1/31/20	200,000	200,000	-	-

COOKE FOUNDATION, LIMITED
FORM 990-PF
TAX YEAR 2019
PART IV, CAPITAL GAINS AND LOSSES FOR TAX
ON INVESTMENT INCOME
CHARLES SCHWAB

EIN 23-7120804

Security	Quantity	Opened Date	Closed Date	Proceeds	Unadjusted Cost Basis	Gain / Loss	
						Long Term Gain/Loss	Short Term Gain/Loss
QUEST DIAGNOSTIC 4.75%20 **CALLED** RATE TBD EFF:							
01/15/2020	50,000	2/21/14	1/15/20	50,062	54,328	(4,266)	-
QUEST DIAGNOSTIC 4 75%20 **CALLED** RATE TBD EFF :							
01/15/2020	30,000	6/19/18	1/15/20	30,037	30,826	(789)	-
FORD MOTOR CO 8 125XXX **MATURED**	100,000	2/21/14	1/15/20	100,000	126,547	(26,547)	-
JPMORGAN CHASE BK 3 1%20 **CALLED** @100 EFF:							
12/21/2019	200,000	12/12/18	12/21/19	200,000	200,000	-	-
MATTEL, INC. 2 35%21 **CALLED** RATE TBD EFF							
12/20/2019	100,000	7/3/18	12/20/19	100,849	91,467	9,382	-
BECTON, DICKINS 2 675XXX **MATURED**	28,000	5/22/18	12/15/19	28,000	27,875	125	-
KINDER MORGAN, I 3.05XXX **MATURED**	100,000	3/20/18	12/1/19	100,000	99,924	76	-
ALLY FINL INC. 3.75XXX **MATURED**	100,000	3/20/18	11/18/19	100,000	100,500	(500)	-
BECTON, DICKINS 2.675%19 DUE 12/15/19	72,000	5/22/18	9/27/19	72,091	71,680	411	-
YUM! BRANDS, INC. 5 3XXX **MATURED**	100,000	6/5/18	9/15/19	100,000	102,907	(2,907)	-
YUM! BRANDS, INC. 5 3XXX **MATURED**	75,000	11/25/15	9/15/19	75,000	78,668	(3,668)	-
ICAHN ENTERPRISES L 6%20 **CALLED** @100 EFF:							
08/01/2019	100,000	7/21/17	8/1/19	100,000	102,984	(2,984)	-
HCA HEALTHCARE, I 6 5%20 **CALLED** @102.3395831 EFF:	100,000	8/19/16	7/5/19	102,340	110,875	(8,535)	-
HCA HEALTHCARE, 4 25%19 **CALLED** @100.4398866 EFF	100,000	3/21/18	7/5/19	100,440	101,475	(1,035)	-
GAIN ATTRIBUTED TO FIXED INC BASIS ADJUSTMENTS	129,213			129,213	-	129,213	-
Total for Schwab Acct. No. 1821				2,960,427	3,159,295	86,821	(285,689)

* COOKE FOUNDATION, LIMITED

EIN 23-7120804

FORM 990-PF

TAX YEAR. 2019

PART IV, CAPITAL GAINS AND LOSSES FOR TAX

ON INVESTMENT INCOME

CHARLES SCHWAB

Security	Quantity	Opened Date	Closed Date	Proceeds	Unadjusted Cost Basis	Gain / Loss	
						Long Term Gain/Loss	Short Term Gain/Loss
<u>Schwab Account 5638-4780</u>							
FHLB 1 75XXX **MATURED**	500,000	1/2/19	6/12/20	500,000	494,206	5,794	-
US TREASUR NT 2.5%05XXX							
MATURED	500,000	1/2/19	5/31/20	500,000	499,733	267	-
TELEFONICA EMISS 134%20F DUE							
04/27/20	100,000	12/10/13	4/27/20	100,000	106,672	(6,672)	-
TELEFONICA EMISS 134%20F DUE							
04/27/20	50,000	1/18/19	4/27/20	50,000	51,205	(1,205)	-
BANK OF AMERICA 2 25XXX							
MATURED	90,000	12/31/18	4/21/20	90,000	89,142	858	-
BANK OF AMERICA 2.25XXX							
MATURED	60,000	12/31/18	4/21/20	60,000	59,421	579	-
KINDER MORGAN EN 6.85%20 DUE							
02/15/20	50,000	2/21/14	2/15/20	50,000	60,007	(10,007)	-
KINDER MORGAN EN 6 85%20 DUE							
02/15/20	100,000	12/28/18	2/15/20	100,000	103,980	(3,980)	-
SCHWAB S&P 500 INDEX FD	139.312	12/20/19	1/28/20	6,985	5,352	-	1,633
SCHWAB S&P 500 INDEX FD	14.212	12/20/19	1/28/20	712	546	-	166
SCHWAB S&P 500 INDEX FD	1,171.509	2/20/19	1/28/20	58,739	45,005	-	13,734
SCHWAB S&P 500 INDEX FD	6,680.919	1/3/19	1/28/20	334,981	256,655	78,326	-
US TREASUR NT 1.5%11XXX							
MATURED	100,000	2/20/19	11/30/19	100,000	99,232	-	768
Total for Schwab Acct. No. 4780				1,951,417	1,871,156	63,960	16,301
Grand total				4,911,844	5,030,451	150,781	(269,388)
Total net capital gain (loss)						(118,607)	

COOKE FOUNDATION, LIMITED

EIN: 23-7120804

FORM 990-PF

TAX YEAR: 2019

PART VII-A, STATEMENTS REGARDING ACTIVITIES

QUESTION 10 - SUBSTANTIAL CONTRIBUTORS

<u>NAME</u>	<u>ADDRESS</u>
NATASHA AND CHARLES SPALDING, JR.	PO BOX 73160 SAN CLEMENTE, CA 92673

COOKE FOUNDATION, LIMITED
FORM 990-PF
EIN: 23-7120804
TAX YEAR: 2019
PART XV, SUPPLEMENTARY INFORMATION
SECTION 2 – INFORMATION REGARDING
CONTRIBUTION, GRANT, GIFT, LOAN,
SCHOLARSHIP, ETC. PROGRAMS

Cooke Foundation, Limited
Centennial Awards

2020 FYE GRANT AWARDS STATEMENT

The *Cooke Foundation Centennial Awards* are a 1-time award in honor of the Foundation's Centennial. The Foundation seeks to honor and recognize 101 charitable organizations in the State of Hawaii who have contributed substantially to improving the quality of life for the people of Hawaii in a meaningful way. The award consists of a commemorative koa plaque and an unrestricted monetary grant award in the amount of \$10,000 for a total disbursement of \$1,010,000 in the year 2020.

CRITERIA

- Recognized by the IRS as a public charity under section 501(c)(3)
- Is headquartered in Hawaii, doing work in Hawaii
- Is a prior Cooke Foundation grant recipient, including annual grantees
- Is a leader in its field in one of the following areas:
 - Arts, Culture & Humanities
 - Education
 - Environment
 - Human Services
- Has contributed substantially to improving the quality of life for the people of Hawaii.
- Provides exceptional services
- Priority can be considered for organizations that have a close history or alignment with the Cooke Foundation
- Departments of the University of Hawaii can be considered for an award, understanding that the grant would go through the University of Hawaii Foundation

AWARDS

All awards solely at the Discretion of Cooke Foundation Trustees and final as made.

FORM 990-PF

TAX YEAR: 2019

PART XV, SUPPLEMENTARY INFORMATION

SECTION 3 - GRANTS AND CONTRIBUTIONS PAID DURING
THE YEAR OR APPROVED FOR FUTURE PAYMENT

Organization	Address	Project Description	Payment Amount
After-School All-Stars Hawaii	1523 Kalakaua Avenue Suite 204 Honolulu, HI 96826-2446	Centennial Award - unrestricted	10,000
Aloha Harvest	3599 Waiialae Avenue Suite 23 Honolulu, HI 96816-2759	Centennial Award - unrestricted	10,000
American Red Cross - National Headquarters	Post Office Box 37243 Washington, DC 20013-7243	For Australia Bushfire Relief Efforts	5,000
Assets School	1 Ohana Nui Way Honolulu, HI 96818-4414	Centennial Award - unrestricted	10,000
Awaiaulu	2667 Anuu Place Honolulu, HI 96819	Centennial Award - unrestricted	10,000
Ballet Hawaii	777 South Hotel Street Suite 101 Honolulu, HI 96813-2591	Centennial Award - unrestricted	10,000
Bishop Museum	1525 Bernice Street Honolulu, HI 96817-2704	Centennial Award - unrestricted	10,000
Boy Scouts of America Aloha Council	42 Puiwa Road Honolulu, HI 96817-1127	Centennial Award - unrestricted	10,000
Boys & Girls Club of Hawaii	345 Queen Street Suite 900 Honolulu, HI 96813-4724	Centennial Award - unrestricted	10,000
Camp Hale Koa Association	Post Office Box 735 Lawai, HI 96765-0735	Centennial Award - unrestricted	10,000
Cathedral Church of St. Andrew	229 Queen Emma Square Honolulu, HI 96813	Centennial Award - To support music program	10,000
Chamber Music Hawaii	Post Office Box 61939 Honolulu, HI 96839-1939	Centennial Award - unrestricted	10,000
Coalition for Drug-Free Hawaii	1130 North Nimitz Highway Room A259, Honolulu, HI 96817-5783	Centennial Award - unrestricted	10,000
Common Cause Education Fund	805 15th Street NW, Floor 8 Washington, DC 20005	Centennial Award - to Common Cause Hawaii	10,000
Daughters of Hawaii	Queen Emma Summer Palace 2913 Pali Highway Honolulu, HI 96817-1417	Centennial Award - unrestricted	10,000
Diamond Head Theatre	520 Makapuu Avenue Honolulu, HI 96816-2319	Centennial Award - unrestricted	10,000
East-West Center	1601 East West Road Honolulu, HI 96848-1601	Centennial Award - unrestricted	10,000
Family Promise of Hawaii	245 North Kukui Street Suite 101 Honolulu, HI 96817-3921	Centennial Award - unrestricted	10,000
Friends of Hawaii Volcanoes National Park	Post Office Box 653 Volcano, HI 96785-0653	Centennial Award - unrestricted	10,000
Friends of Honolulu Botanical Gardens, Inc.	180 North Vineyard Boulevard Honolulu, HI 96817-3937	Centennial Award - unrestricted	10,000
Friends of Iolani Palace	Post Office Box 2259 Honolulu, HI 96804-2259	Centennial Award - unrestricted	10,000

FORM 990-PF

TAX YEAR. 2019

PART XV, SUPPLEMENTARY INFORMATION

SECTION 3 - GRANTS AND CONTRIBUTIONS PAID DURING
THE YEAR OR APPROVED FOR FUTURE PAYMENT

Organization	Address	Project Description	Payment Amount
Friends of the Children's Justice Centers of Oahu	3019 Pali Highway Honolulu, HI 96817-1418	Centennial Award - unrestricted	10,000
Friends of the Library of Hawaii	99-1132 Iwaena Street Aiea, HI 96701-3258	Centennial Award - unrestricted	10,000
Friends of Waikiki Aquarium	2777 Kalakaua Avenue Honolulu, HI 96815-4027	Centennial Award - In support of Waikiki Aquarium	10,000
Girl Scouts of Hawaii	410 Atkinson Drive Suite 2E1 # 3 Honolulu, HI 96814-4730	Centennial Award - unrestricted	10,000
Goodwill Industries of Hawaii, Inc	2610 Kili Hau Street Honolulu, HI 96819-2020	Centennial Award - unrestricted	10,000
Hale Kipa, Inc.	615 Piko Street Suite 203 Honolulu, HI 96814-3139	Centennial Award - unrestricted	10,000
Hana Arts	Post Office Box 686 Hana, HI 96713-0686	Centennial Award - unrestricted	10,000
Hanahauoli School	1922 Makiki Street Honolulu, HI 96822-2032	Centennial Award - unrestricted	10,000
Hawai'i Public Radio	738 Kaheka St. Honolulu, HI 96814-3726	Centennial Award - unrestricted	10,000
Hawaii Alliance for Arts in Education	Post Office Box 3948 Honolulu, HI 96812-3948	Centennial Award - unrestricted	10,000
Hawaii Children's Action Network	850 Richards Street Suite 201 Honolulu, HI 96813	Centennial Award - unrestricted	10,000
Hawaii Community Foundation	827 Fort Street Mall Honolulu, HI 96813-4317	Hunger Coalition	10,000
Hawaii Community Foundation	827 Fort Street Honolulu, HI 96813-4317	Hawaii Resilience Fund	10,000
Hawaii Community Foundation	827 Fort Street Honolulu, HI 96813-4317	Centennial Award - unrestricted	10,000
Hawaii Craftsmen	1110 Nuuanu Avenue Honolulu, HI 96817-5119	Centennial Award - unrestricted	10,000
Hawaii Foodbank	2611 Kili Hau Street # A Honolulu, HI 96819-2021	Centennial Award - unrestricted	10,000
Hawaii Forest Institute	Post Office Box 66 Ookala, HI 96774-0066	Centennial Award - unrestricted	10,000
Hawaii Institute for Public Affairs	1003 Bishop Street Suite 765 Honolulu, HI 96813-6421	Centennial Award - unrestricted	10,000
Hawaii Island Community Development Corporation	100 Paua HI Street Suite 204 Hilo, HI 96720-3046	Centennial Award - unrestricted	10,000
Hawaii Meals on Wheels, Inc.	Post Office Box 61194 Honolulu, HI 96839-1194	Centennial Award - unrestricted	10,000
Hawaii Nature Center Inc	2131 Makiki Heights Drive Honolulu, HI 96822-2520	Centennial Award - unrestricted	10,000

COOKE FOUNDATION, LIMITED

EIN 23-7120804

FORM 990-PF

TAX YEAR 2019

PART XV, SUPPLEMENTARY INFORMATION

SECTION 3 - GRANTS AND CONTRIBUTIONS PAID DURING
THE YEAR OR APPROVED FOR FUTURE PAYMENT

Organization	Address	Project Description	Payment Amount
Hawaii Opera Theatre	848 South Beretania Street Suite 301, Honolulu, HI 96813-2587	Centennial Award - unrestricted	10,000
Hawaii Performing Arts Company, Ltd	2833 East Manoa Road Honolulu, HI 96822-1823	Centennial Award - unrestricted	10,000
Hawaii Public Television	315 Sand Island Access Road Honolulu, HI 96819-2295	Centennial Award - unrestricted	10,000
Foundation dba PBS Hawaii	3610 Waialae Avenue Suite 101 Honolulu, HI 96816-3271	Centennial Award - unrestricted	10,000
Hawaii Symphony Orchestra	P.O. Box 22304 Honolulu, HI 96823	Centennial Award - unrestricted	10,000
Hawaii Youth Opera Chorus	1110 University Avenue Suite 200 Honolulu, HI 96826-1598	Centennial Award - unrestricted	10,000
Hawaii Youth Symphony	2700 Waialae Avenue Honolulu, HI 96826-1806	Centennial Award - unrestricted	10,000
Hawaiian Humane Society	Post Office Box 5053 Kahului, HI 96733-5053	Centennial Award - unrestricted	10,000
Hawaiian Kamalii Inc.	553 South King Street Honolulu, HI 96813-3002	Centennial Award - unrestricted	10,000
Hawaiian Mission Children's Society	2100 North Nimitz Highway Honolulu, HI 96819-2218	Centennial Award - unrestricted	10,000
Helping Hands Hawaii	680 Iwilei Road Suite 690 Honolulu, HI 96817-5392	Centennial Award - unrestricted	10,000
Historic Hawaii Foundation	900 South Beretania Street Honolulu, HI 96814-1495	Centennial Award - unrestricted	10,000
Honolulu Academy of Arts	1149 Bethel Street Suite 700 Honolulu, HI 96813-2214	Centennial Award - unrestricted	10,000
Honolulu Theatre for Youth	Post Office Box 22551 Honolulu, HI 96823-2551	Centennial Award - unrestricted	10,000
Hoola Na Pua	Post Office Box 280 Kualapuu, HI 96757-0280	Hui Hoolana's Native Sanctuary and Seed Bank	15,000
Hui Hoolana	2841 Baldwin Avenue Makawao, HI 96768-9642	Centennial Award - unrestricted	10,000
Hui Noeau	546 Kaaa HI Street Honolulu, HI 96817-4630	Centennial Award - unrestricted	10,000
IHS, The Institute for Human Services, Inc.	161 South Wakea Avenue Kahului, HI 96732-1343	Centennial Award - unrestricted	10,000
Imua Family Services	3-1875 Kaunualii Highway Lihue, HI 96766-9597	Centennial Award - unrestricted	10,000
Island School	3285 Waapa Road Suite A Lihue, HI 96766-8614	Centennial Award - unrestricted	10,000
Kauai Food Bank Inc.	Post Office Box 28 Eleele, HI 96705-0028	Centennial Award - unrestricted	10,000
Kauai Habitat for Humanity	957 Punchbowl Street Honolulu, HI 96813-5042	Centennial Award - unrestricted	10,000
Kawaiahao Church			

COOKE FOUNDATION, LIMITED

EIN 23-7120804

FORM 990-PF

TAX YEAR 2019

PART XV, SUPPLEMENTARY INFORMATION

SECTION 3 - GRANTS AND CONTRIBUTIONS PAID DURING
THE YEAR OR APPROVED FOR FUTURE PAYMENT

Organization	Address	Project Description	Payment Amount
KCAA Preschools of Hawaii	2707 South King Street Honolulu, HI 96826-3325	Centennial Award - unrestricted	10,000
Kokua Kalihi Valley Comprehensive Family Services	2239 North School Street Honolulu, HI 96819-2539	Centennial Award - unrestricted	10,000
Kupu	677 Ala Moana Boulevard Suite 1200, Honolulu, HI 96813-5412	Green Jobs Training Center	30,000
Kupu	677 Ala Moana Boulevard Suite 1200, Honolulu, HI 96813-5412	Centennial Award - unrestricted	10,000
La Pietra - Hawaii School for Girls	2933 Ponī Moi Road Honolulu, HI 96815-4740	Centennial Award - unrestricted	10,000
Lyman House Memorial Museum	276 Haili Street Hilo, HI 96720-2927	Centennial Award - unrestricted	10,000
Lyon Arboretum Association	3860 Manoa Road Honolulu, HI 96822-1139	Centennial Award - unrestricted	10,000
Ma Ka Hana Ka Ike Building Program	Post Office Box 968 Hana, HI 96713-0968	Hoi la Haloa	20,000
Malama Maunalua	7192 Kalanianaʻole Highway Suite A143, Honolulu, HI 96825-1849	Centennial Award - unrestricted	10,000
Manoa Heritage Center	2856 Oahu Avenue Honolulu, HI 96822-1726	Centennial Award - unrestricted	10,000
Moanalua Gardens Foundation, Inc.	1414 Dillingham Boulevard Suite 211, Honolulu, HI 96817-4891	Centennial Award - unrestricted	10,000
Moiiliili Community Center	2535 South King Street Honolulu, HI 96826-3101	Centennial Award - unrestricted	10,000
Molokai General Hospital	Post Office Box 408 Kaunakakai, HI 96748-0408	Centennial Award - unrestricted	10,000
Molokai Land Trust	Post Office Box 1884 Kaunakakai, HI 96748-1884	Centennial Award - unrestricted	10,000
National Tropical Botanical Garden	3530 Papalina Road Kalaheo, HI 96741-9599	Centennial Award - unrestricted	10,000
North Kohala Community Resource Center	Post Office Box 519 Hawi, HI 96719-0519	Centennial Award - unrestricted	10,000
Oahu Choral Society	4348 Waialae Avenue # 214 Honolulu, HI 96816-5767	Centennial Award - unrestricted	10,000
Pacific HI storic Parks	94-1187 Ka Uka Boulevard Waipahu, HI 96797-4484	Centennial Award - unrestricted	10,000
Paepae o He'eia	Post Office Box 6355 Kaneohe, HI 96744-9173	Centennial Award - unrestricted	10,000
Paia Youth Council, Inc	65 Puna Road Post Office Box 790999	Centennial Award - unrestricted	10,000
Palama Settlement	810 North Vineyard Boulevard Building A	Centennial Award - unrestricted	10,000

FORM 990-PF

TAX YEAR 2019

PART XV, SUPPLEMENTARY INFORMATION

SECTION 3 - GRANTS AND CONTRIBUTIONS PAID DURING
THE YEAR OR APPROVED FOR FUTURE PAYMENT

Organization	Address	Project Description	Payment Amount
Punahou School	1601 Punahou Street Honolulu, HI 96822-3336	Centennial Award - unrestricted	10,000
Rehabilitation Hospital of the Pacific Foundation	226 North Kuakini Street Floor 3 Honolulu, HI 96817-2488	Centennial Award - unrestricted	10,000
Re-use Hawaii	200 Keawe street Honolulu, HI 96816	Centennial Award - unrestricted	10,000
Shriners Hospital for Children	2900 North Rocky Point Drive Tampa, FL 33607-1435	Centennial Award - unrestricted to Shriners Hospitals for Children - Honolulu	10,000
St. Andrew's Schools	224 Queen Emma Square Honolulu, HI 96813-2304	Centennial Award - unrestricted	10,000
Storybook Theatre of Hawaii	Post Office Box 820 Hanapepe, HI 96716-0820	Centennial Award - unrestricted	10,000
The Nature Conservancy of Hawaii	923 Nuuanu Avenue Honolulu, HI 96817-5115	Centennial Award - unrestricted	10,000
The North Shore Community Land Trust	Post Office Box 1179 Haleiwa, HI 96712-1179	Centennial Award - unrestricted	10,000
The Outdoor Circle	1314 South King Street Suite 306 Honolulu, HI 96814-2004	Centennial Award - unrestricted	10,000
The Trust for Public Land	1003 Bishop Street Suite 740 Honolulu, HI 96813-6416	Centennial Award - unrestricted to the Trust For Public Land Hawaii	10,000
ThinkTech Hawaii	900 Fort Street Mall Suite 888 Honolulu, HI 96813-3794	Centennial Award - unrestricted	10,000
University of Hawaii Foundation	Post Office Box 11270 Honolulu, HI 96828-0270	John Young Museum of Art Capital Request	25,000
University of Hawaii Foundation	1314 South King Street Suite B Honolulu, HI 96814	Urgent Student Relief Fund	10,000
University of Hawaii Foundation	1314 South King Street Suite B Honolulu, HI 96814	Centennial Award - In support of the Art Department at UH Manoa	10,000
University of Hawaii Foundation	1314 South King Street Suite B Honolulu, HI 96814	Centennial Award - In support of the Culinary Institute of the Pacific at Diamond Head	10,000
University of Hawaii Foundation	1314 South King Street Suite B Honolulu, HI 96814	Centennial Award - In support of the Glass Art Studio at UH Manoa	10,000
University of Hawaii Foundation	1314 South King Street Suite B Honolulu, HI 96814	Centennial Award - In support of the John Young Art Museum	10,000
University of Hawaii Foundation	1314 South King Street Suite B Honolulu, HI 96814	Centennial Award - In support of the Uehiro Academy for Philosophy & Ethics in Education	10,000
Volcano Art Center	Post Office Box 129 Volcano, HI 96785-0129	Centennial Award - unrestricted	10,000
Waikiki Health	277 Ohua Avenue Honolulu, HI 96815-6612	Centennial Award - unrestricted	10,000

COOKE FOUNDATION, LIMITED

EIN 23-7120804

FORM 990-PF

TAX YEAR. 2019

PART XV, SUPPLEMENTARY INFORMATION

SECTION 3 - GRANTS AND CONTRIBUTIONS PAID DURING
THE YEAR OR APPROVED FOR FUTURE PAYMENT

Organization	Address	Project Description	Payment Amount
Waioli Corporation	Post Office Box 1631 Lihue, HI 96766-5631	Centennial Award - unrestricted	10,000
Waipa Foundation	Post Office Box 1189 Hanalei, HI 96714-1189	Centennial Award - unrestricted	10,000
YMCA of Honolulu, Metropolitan Office	1441 Pali HI ghway Honolulu, HI 96813-2050	Centennial Award - unrestricted	10,000
YWCA of Oahu	1040 Richards Street Honolulu, HI 96813-2920	Centennial Award - unrestricted	10,000
Total grants and contributions paid			<u>1,135,000</u>