

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

**Return of Private Foundation
 or Section 4947(a)(1) Trust Treated as Private Foundation**

OMB No. 1545-0052

2019

Open to Public Inspection

▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2019, or tax year beginning 07-01-2019, and ending 06-30-2020

Name of foundation THE DINAN FAMILY FOUNDATION		A Employer identification number 13-3976827	
% YORK CAPITAL MANAGEMENT		B Telephone number (see instructions) (212) 300-1300	
Number and street (or P.O. box number if mail is not delivered to street address) 767 FIFTH AVENUE 17TH FLOOR	Room/suite	C If exemption application is pending, check here <input type="checkbox"/>	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10153		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>90,365,989</u>		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)	0			
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments	46,661	46,661		
4	Dividends and interest from securities	873,115	873,115		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	2,410,188			
b	Gross sales price for all assets on line 6a 5,334,360				
7	Capital gain net income (from Part IV, line 2)		2,602,464		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	42,332	42,332		
12	Total. Add lines 1 through 11	3,372,296	3,564,572		
13	Compensation of officers, directors, trustees, etc.	0			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule)				
17	Interest	5,526	5,526		
18	Taxes (attach schedule) (see instructions)	91,088	55,193		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)	32,620	31,120		1,500
24	Total operating and administrative expenses. Add lines 13 through 23	129,234	91,839		1,500
25	Contributions, gifts, grants paid	4,450,003			4,450,003
26	Total expenses and disbursements. Add lines 24 and 25	4,579,237	91,839		4,451,503
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	-1,206,941			
b	Net investment income (if negative, enter -0-)		3,472,733		
c	Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	2,075,407	7,062,185	7,062,185
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)	999,773	0	0
	b Investments—corporate stock (attach schedule)	26,696,272	23,930,314	40,046,524
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	34,276,346	31,848,358	43,257,280
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	64,047,798	62,840,857	90,365,989	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds	64,047,798	62,840,857	
29 Total net assets or fund balances (see instructions)	64,047,798	62,840,857		
30 Total liabilities and net assets/fund balances (see instructions) .	64,047,798	62,840,857		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	64,047,798
2 Enter amount from Part I, line 27a	2	-1,206,941
3 Other increases not included in line 2 (itemize) ▶ _____	3	
4 Add lines 1, 2, and 3	4	62,840,857
5 Decreases not included in line 2 (itemize) ▶ _____	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	62,840,857

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a PUBLICLY TRADED SECURITIES			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 5,102,053		2,573,680	2,528,373
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			2,528,373
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	2,602,464
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	4,343,028	85,599,463	0.050737
2017	3,725,907	77,607,632	0.04801
2016	3,372,802	65,197,335	0.051732
2015	3,262,314	63,450,469	0.051415
2014	3,756,975	67,360,062	0.055775

2 Total of line 1, column (d)	2	0.257669
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.051534
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	90,923,376
5 Multiply line 4 by line 3	5	4,685,645
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	34,727
7 Add lines 5 and 6	7	4,720,372
8 Enter qualifying distributions from Part XII, line 4	8	4,451,503

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes questions about exempt foundations, tax under section 511, and tax due. Total tax based on investment income is 64,368.

Part VII-A Statements Regarding Activities

Table with 10 rows for statements regarding activities. Includes questions about political campaigns, political expenditures, and other activities. Columns for Yes/No.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question number, Question text, and Yes/No columns. Rows 11-14.

Located at 767 FIFTH AVENUE 17TH FLOOR NEW Y NEW YORK NY ZIP+4 10153

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here and enter the amount of tax-exempt interest received or accrued during the year 15

Table with 3 columns: Question number, Question text, and Yes/No columns. Row 16.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table for Part VII-B with 3 columns: Question number, Question text, and Yes/No columns. Rows 1a-4b.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to: (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? (2) Influence the outcome of any specific public election... (3) Provide a grant to an individual for travel, study, or other similar purposes? (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? b If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction? 8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

Table with 5 columns: (a) Name and address, (b) Title, and average hours per week devoted to position, (c) Compensation (If not paid, enter -0-), (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. Rows include JAMES G DINAN, ELIZABETH MILLER, ZACHARY M DINAN, KATHERINE A DINAN.

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

Table with 5 columns: (a) Name and address of each employee paid more than \$50,000, (b) Title, and average hours per week devoted to position, (c) Compensation, (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances.

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services. ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 _____	

2 _____	

3 _____	

4 _____	

Part IX-B Summary of Program-Related Investments (see instructions)

	Amount
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	
1 _____	

2 _____	

All other program-related investments. See instructions.	
3 _____	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	43,342,227
b	Average of monthly cash balances.	1b	5,708,489
c	Fair market value of all other assets (see instructions).	1c	43,257,280
d	Total (add lines 1a, b, and c).	1d	92,307,996
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	92,307,996
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,384,620
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	90,923,376
6	Minimum investment return. Enter 5% of line 5.	6	4,546,169

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	4,546,169
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	69,455
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	69,455
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	4,476,714
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	4,476,714
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	4,476,714

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	4,451,503
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	4,451,503
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	4,451,503

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				4,476,714
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			26,172	
b Total for prior years: 2017, 2016, 2015		0		
3 Excess distributions carryover, if any, to 2019:				
a From 2014.				
b From 2015.				
c From 2016.				0
d From 2017.				0
e From 2018.				0
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ <u>4,451,503</u>				
a Applied to 2018, but not more than line 2a			26,172	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2019 distributable amount.				4,425,331
e Remaining amount distributed out of corpus				0
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)				0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions.				
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				51,383
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a.		0		
10 Analysis of line 9:				
a Excess from 2015.				
b Excess from 2016.				
c Excess from 2017.				0
d Excess from 2018.				0
e Excess from 2019.				0

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year				(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test—enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

c "Support" alternative test—enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

JAMES G DINAN ELIZABETH R MILLE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	4,450,003
b <i>Approved for future payment</i>				
Total			▶ 3b	

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

Part XVII

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
a Transfers from the reporting foundation to a noncharitable exempt organization of:
(1) Cash.
(2) Other assets.
b Other transactions:
(1) Sales of assets to a noncharitable exempt organization.
(2) Purchases of assets from a noncharitable exempt organization.
(3) Rental of facilities, equipment, or other assets.
(4) Reimbursement arrangements.
(5) Loans or loan guarantees.
(6) Performance of services or membership or fundraising solicitations.
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation.

Table with 3 columns: Question, Yes, No. Rows 1a(1), 1a(2), 1b(1), 1b(2), 1b(3), 1b(4), 1b(5), 1b(6), 1c.

Table with 4 columns: (a) Line No., (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? [] Yes [x] No

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee: ***** Date: 2021-02-07 Title: *****

May the IRS discuss this return with the preparer shown below (see instr.) [x] Yes [] No

Paid Preparer Use Only

Table with 5 columns: Print/Type preparer's name (Lydia Vercelli CPA), Preparer's Signature, Date (2021-03-15), Check if self-employed, PTIN (P01452056), Firm's name (BDO USA LLP), Firm's address (100 PARK AVENUE, NEW YORK, NY 100175001), Firm's EIN, Phone no. (212) 885-8000.

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Bancroft School 110 Shore Drive Worcester, MA 016059966		PC	General	25,000
The Trustees of The University of Penn Development and Alumni Relations Un 2929 Walnut Street Suite 300 Philadelphia, PA 19104		PC	General	5,000
New York Hall of Science 47-01 111th Street Queens, NY 11368		PC	General	5,000
Total ▶ 3a				4,450,003

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Dana Farber Cancer Institute 10 Brookline Place West 6th Floor Brookline, MA 02445		PC	General	5,000
American Jewish Committee 165 E 56th St New York, NY 10022		PC	General	5,000
Citizens' Committee for Children of NY 14 Wall Street Suite 4E New York, NY 10005		PC	General	500
Total ▶ 3a				4,450,003

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Good Shepherd Services 305 Seventh Ave 9th Floor New York, NY 10001		PC	General	5,000
The Morgan Library & Museum 225 Madison Avenue New York, NY 10016		PC	General	1,000
Central Park Conservancy 1300 L Street NW Suite 201 Washington, DC 20005		PC	General	25,000
Total ▶ 3a				4,450,003

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Washington International Horse Show 3299 K Street NW Suite 600 Washington, DC 20007		PC	General	17,500
Museum of the City of New York Development Office 1220 Fifth Avenue New York, NY 10029		PC	General	100,000
GallopNYC88-03 70th Road Forest Hills, NY 11375		PC	General	10,000
Total			▶ 3a	4,450,003

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
World Wildlife Foundation Ms Rhonda Barnes-Kloth 1250 24th S NW Washington, DC 20037		PC	General	5,000
Navy Seal Foundation 1619 D Street Virginia Virginia Beach, VA 23459		PC	General	250
USOPF1 Olympic Plaza Colorado Springs, CO 80909		PC	General	125,000
Total			▶ 3a	4,450,003

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Feeding America Eastern Wisconsin 1700 W Fond du Lac Avenue Milwaukee, WI 53205		PC	General	4,000
Washington International Horse Show 3299 K Street NW Suite 600 Washington, DC 20007		PC	General	10,000
The Hasty Pudding Club 717 5th Avenue FL 18 New York, NY 10022		PC	General	25,000
Total ▶ 3a				4,450,003

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Devon Horse Show & Country Fair Foundation PO Box 865 Devon, PA 19333		PC	General	350
Museum of the City of New York Development Office 1220 Fifth Avenue New York, NY 10029		PC	General	50,000
Museum of the City of New York Development Office 1220 Fifth Avenue New York, NY 10029		PC	General	25,000
Total ▶ 3a				4,450,003

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Museum of the City of New York Development Office 1220 Fifth Avenue New York, NY 10029		PC	General	1,000
Museum of the City of New York Development Office 1220 Fifth Avenue New York, NY 10029		PC	General	94,250
Friends of APIEN/A New York, NY 10023		PC	General	40,512
Total ▶ 3a				4,450,003

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Lincoln Center for the Performing Arts 70 Lincoln Center Plaza 9th Floor New York, NY 10023		PC	General	190,000
Museum of the City of New York Development Office 1220 Fifth Avenu New York, NY 10029		PC	General	100,000
The Wharton Fund 344 Vance Hall 3733 Spruce Street Philadelphia, PA 191046360		PC	General	25,000
Total ▶ 3a				4,450,003

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Say Yes To Education 320 Park Avenue FL 21 New York, NY 10022		PC	General	50,000
Devon Horse Show & Country Fair Foundation PO Box 865 Devon Devon, PA 19333		PC	General	5,000
John Jay Homestead PO Box 148 - 400 Jay Street Katonah, NY 10536		PC	General	5,000
Total ▶ 3a				4,450,003

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Katonah Art Museum Attn Christina Makrakis 134 Jay St Katonah, NY 10536		PC	General	5,000
Memorial Sloan-Kettering Cancer Center Ms Allyn Rose 633 Third Avenue 28 New York, NY 10017		PC	General	25,000
Nantucket Cottage Hospital Attn Sarah Mason 57 Prospect Stree Nantucket, MA 02554		PC	General	5,000
Total ▶ 3a				4,450,003

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Nantucket Historical Association PO Box 1016 Nantucket, MA 02554		PC	General	5,000
Nantucket Land Council PO Box 502 Nantucket, MA 02554		PC	General	5,000
New Museum Attn Development Office 235 Bowery New York, NY 10002		PC	General	5,000
Total ▶ 3a				4,450,003

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
North Salem Bridle Trails Association PO Box 585 Salem, NY 10560		PC	General	10,000
Parkinson's Disease Foundation 1359 Broadway suite 1509 New York, NY 10018		PC	General	25,000
Perez Art Museum Miami Attn Addy Castellanos 1103 Biscayn Miami, FL 33132		PC	General	10,000
Total ▶ 3a				4,450,003

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Sail to Prevail PO Box 1264 Newport, RI 02840		PC	General	10,000
Tate Americas Foundation Tate Americas Foundation 520 West 2 New York, NY 10001		PC	General	5,000
Wildlife Conservation Society 2300 Southern Blvd Bronx, NY 10460		PC	General	1,000
Total ▶ 3a				4,450,003

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Dubin Breast Center Office of Development/Mount Sinai O Levy Place Box 1049 New York, NY 10029		PC	General	25,000
World Wildlife Foundation Ms Rhonda Barnes-Kloth 1250 24th S NW Washington, DC 20037		PC	General	100,000
Smith College Marea Wexler College Smith/Office o 33 Elm Street Northampton, MA 01063		PC	General	250,064
Total ▶ 3a				4,450,003

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
President and Fellows of Harvard College 124 Mount Auburn Street Cambridge, MA 02138		PC	General	250,000
Harvard Stem Cell 124 Mount Auburn Street Cambridge, MA 02138		PC	General	25,000
Dreamland Nantucket PO Box 989 Nantucket, MA 02554		PC	General	5,000
Total ▶ 3a				4,450,003

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
USOPF1 Olympic Plaza Colorado Springs, CO 80909		PC	General	125,000
USA Wrestling6155 Lehman Drive Colorado Springs, CO 80918		PC	General	52,291
Park Avenue Synagogue 50 East 87th Street New York, NY 10128		PC	General	36,000
Total ▶ 3a				4,450,003

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Trustees of the University of Penn Development and Alumni Relations Un 2929 Walnut Street Suite 300 Philadelphia, PA 19104		PC	General	50,000
St Jude Children's Research Hospital 501 St Jude Place Memphis, TN 38105		PC	General	1,000,000
Hospital for Special Surgery Fund Inc 535 East 70th Street New York, NY 10021		PC	General	1,000,000
Total ▶ 3a				4,450,003

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
The School of American Ballet 70 Lincoln Center Plaza New York, NY 10023		PC	General	10,400
The School of American Ballet 70 Lincoln Center Plaza New York, NY 10023		PC	General	22,500
Lincoln Center for the Performing Arts 70 Lincoln Center Plaza 9th Floor New York, NY 10023		PC	General	343,354
Total ▶ 3a				4,450,003

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount	
Name and address (home or business)					
a <i>Paid during the year</i>					
Lincoln Center for the Performing Arts 70 Lincoln Center Plaza 9th Floor New York, NY 10023					85,029
YSOF AIV XI LP 767 Fifth Avenue 17th Floor New York, NY 10153					3
Total ▶ 3a				4,450,003	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2019 Depreciation Schedule

Name: THE DINAN FAMILY FOUNDATION

EIN: 13-3976827

TY 2019 Investments Corporate Stock Schedule

Name: THE DINAN FAMILY FOUNDATION

EIN: 13-3976827

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AK STEEL	0	0
COMCAST	138,370	584,700
NOVARTIS AG	647,014	1,091,750
NESTLE SA	731,233	1,381,721
JOHNSON & JOHNSON	2,110,350	2,109,450
UNION PACIFIC CORP	426,758	879,164
JOHNSON & JOHNSON	1,249,875	2,390,710
BROWN-FORMAN CL B	1,320,660	2,864,700
NOVARTIS AG BASEL	860,320	1,087,278
NESTLE SA	539,535	829,033
PEPSICO INC	1,025,614	1,653,250
PEPSICO INC	473,840	529,040
NOVARTIS AG AMERICA	870,635	1,091,750
ZOETIS INC CL A	1,389,839	6,166,800
AIR PRODUCTS & CHEMICALS	1,519,650	3,380,440
BROWN FORMAN CL A	1,723,356	3,454,200
DIAGEO PLC-ORD 28 101/108P	968,918	1,010,180
HEINEKEN NV	1,010,594	1,382,485
COTY INC CL A	128,383	29,037
VERSUM MATERIALS INC	0	0
AMERICAN INT'L GROUP	597,300	311,800
AIR PRODUCTS & CHEMICALS INC.	1,633,100	2,414,600
VERSUM MATERIALS INC.	0	0
ALCON INC	330,937	430,023
BROWN-FORMAN CL B	2,000,305	2,681,677
JOHNSON & JOHNSON	1,336,244	1,462,552
UNION PACIFIC CORP	666,284	819,144
CLEVELAND-CLIFF INC	231,200	11,040

TY 2019 Investments - Other Schedule

Name: THE DINAN FAMILY FOUNDATION

EIN: 13-3976827

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
CRESCENDO PARTNERS III, LP		373,825	481,624
YORK SPECIAL OPPORTUNITES		74,419	102,771
YORK EUROPEAN OPPORTUNITIES		3,000,000	4,966,449
DAVIDSON KEMPNER INT'L LTD		2,500,000	3,980,285
TACONIC		1,000,000	1,473,539
YORK GLOBAL CREDIT INCOME FUND		4,000,000	7,394,780
YORK EUROPEAN DISTRESSED			
CREDIT FUND DE LP		306,273	278,557
YORK EUROPEAN FOCUS UNIT TRUST		2,500,000	4,198,981
MASON CAPITAL LTD		3,000,000	2,319,105
YORK INVESTMENT LTD		8,000,000	8,393,423
LEGACY HARMONY LTD		2,034,125	3,149,663
MOV37 INTERNATIONAL LTD		0	0
G2 GLOBAL EQUITY IA OFFSHORE F		0	0
BOLDHAVEN FUND		1,000,000	1,035,763
YORK JAPAN FOCUS FUND LTD		4,000,000	5,445,144
BALANCE POINT CAPITAL PARTNERS			
IV LP		59,716	37,196

TY 2019 Other Expenses Schedule**Name:** THE DINAN FAMILY FOUNDATION**EIN:** 13-3976827**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CRESCENDO PARTNERS III, LP	11,749	11,749		
YORK SPECIAL OPP FUND, LP	1,240	1,240		
YSOF AIV I, LP	5	5		
YSOF AIV IV, LP	69	69		
YSOF AIV VII, LP	133	133		
YSOF AIV VIII, LP	9	9		
YSOF AIV IX, LP	3	3		
YSOF AIV X, LP	3	3		
YSOF AIV XI, LP	1,157	1,157		
YEDCF DE LP	14,749	14,749		

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BOFA - BANK CHARGES	2,003	2,003		
NEW YORK FILING FEE	1,500			1,500

TY 2019 Other Income Schedule**Name:** THE DINAN FAMILY FOUNDATION**EIN:** 13-3976827**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INCOME FROM CRESCENDO PARTNERS III	267	267	
OTHER INCOME FROM YSOF AIV IV, LP	3,759	3,759	
OTHER INCOME FROM YSOF AIV VII, LP	-185	-185	
OTHER INCOME FROM YSOF AIV XI LP	-984	-984	
OTHER INCOME FROM YEDCF DE LP	36,357	36,357	
OTHER INCOME FROM YSOF AIV I, LP	28	28	
other income from JP Morgan	3,090	3,090	

TY 2019 Taxes Schedule**Name:** THE DINAN FAMILY FOUNDATION**EIN:** 13-3976827

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX	55,193	55,193		
US TREASURY	35,895			