

Form **990-PF****Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury  
Internal Revenue Service

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▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information

OMB No. 1545-0047

**2019**

Open to Public Inspection

For calendar year 2019 or tax year beginning **JUL 1, 2019**, and ending **JUN 30, 2020**

Name of foundation <b>GEORGE J &amp; JESSICA HARRIS FOUNDATION</b>		A Employer identification number <b>06-1393429</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>C/O ROBERT MASOTTI, 1100 SUMMER STREET</b>		B Telephone number <b>203-323-1191</b>
City or town, state or province, country, and ZIP or foreign postal code <b>STAMFORD, CT 06905-5534</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply. <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization. <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 5,823,002.</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				<b>N/A</b>	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		<b>55.</b>	<b>55.</b>		<b>STATEMENT 1</b>
4 Dividends and interest from securities		<b>161,760.</b>	<b>161,760.</b>		<b>STATEMENT 2</b>
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		<b>1,008,287.</b>			
b Gross sales price for all assets on line 6a <b>3,646,895.</b>					
7 Capital gain net income (from Part IV, line 2)			<b>1,008,287.</b>		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		<b>1,170,102.</b>	<b>1,170,102.</b>		
13 Compensation of officers, directors, trustees, etc		<b>48,000.</b>	<b>12,000.</b>		<b>36,000.</b>
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees <b>STMT 3</b>		<b>7,962.</b>	<b>7,962.</b>		<b>0.</b>
c Other professional fees <b>STMT 4</b>		<b>59,773.</b>	<b>59,773.</b>		<b>0.</b>
17 Interest					
18 Taxes <b>STMT 5</b>		<b>287.</b>	<b>287.</b>		<b>0.</b>
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses <b>STMT 6</b>		<b>2,219.</b>	<b>1,414.</b>		<b>805.</b>
24 Total operating and administrative expenses. Add lines 13 through 23		<b>118,241.</b>	<b>81,436.</b>		<b>36,805.</b>
25 Contributions, gifts, grants paid		<b>270,000.</b>			<b>270,000.</b>
26 Total expenses and disbursements. Add lines 24 and 25		<b>388,241.</b>	<b>81,436.</b>		<b>306,805.</b>
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<b>781,861.</b>			
b Net investment income (if negative, enter -0-)			<b>1,088,666.</b>		
c Adjusted net income (if negative, enter -0-)				<b>N/A</b>	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		159,424.	157,495.	157,495.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 7		102,957.	24,271.	25,056.
	b	Investments - corporate stock STMT 8		1,847,433.	1,845,496.	2,433,561.
	c	Investments - corporate bonds STMT 9		53,983.	1,095,214.	1,144,139.
	Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less: accumulated depreciation ▶				
12		Investments - mortgage loans				
13		Investments - other STMT 10		2,161,443.	1,984,625.	2,062,751.
14		Land, buildings, and equipment: basis ▶				
		Less: accumulated depreciation ▶				
15		Other assets (describe ▶)				
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		4,325,240.	5,107,101.	5,823,002.
17		Accounts payable and accrued expenses				
18		Grants payable				
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/>					
	and complete lines 24, 25, 29, and 30					
	24	Net assets without donor restrictions				
	25	Net assets with donor restrictions				
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/>					
	and complete lines 26 through 30.					
	26	Capital stock, trust principal, or current funds		0.	0.	
	27	Paid-in or capital surplus, or land, bldg, and equipment fund		0.	0.	
28	Retained earnings, accumulated income, endowment, or other funds		4,325,240.	5,107,101.		
29	Total net assets or fund balances		4,325,240.	5,107,101.		
30	Total liabilities and net assets/fund balances		4,325,240.	5,107,101.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	4,325,240.
2	Enter amount from Part I, line 27a	2	781,861.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	5,107,101.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	5,107,101.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr.)	(d) Date sold (mo, day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e 3,646,895.		2,638,608.	1,008,287.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			
b			
c			
d			
e			1,008,287.

2 Capital gain net income or (net capital loss)	2	1,008,287.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2018	311,093.	5,848,993.	.053187
2017	296,872.	5,913,870.	.050199
2016	305,438.	5,635,569.	.054198
2015	301,793.	5,513,568.	.054736
2014	327,103.	6,062,504.	.053955

2 Total of line 1, column (d)	2	.266275
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.053255
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	5,891,229.
5 Multiply line 4 by line 3	5	313,737.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	10,887.
7 Add lines 5 and 6	7	324,624.
8 Enter qualifying distributions from Part XII, line 4	8	306,805.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	21,773.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	21,773.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	21,773.
6 Credits/Payments:			
a 2019 estimated tax payments and 2018 overpayment credited to 2019	6a	7,221.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	0.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments. Add lines 6a through 6d	7	7,221.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0.	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	14,552.	
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2020 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. <input type="checkbox"/> CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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**Part VII-A** Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► MASOTTI & MASOTTI Telephone no. ► 203-323-1191 Located at ► 1100 SUMMER STREET, 4TH FLOOR, STAMFORD, CT ZIP+4 ► 06905-5534		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.) N/A		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

Organizations relying on a current notice regarding disaster assistance, check here

N/A  
☐

5b

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?

☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT B WISE, ESQ 25 SKYVIEW DRIVE STAMFORD, CT 06902-1509	TRUSTEE 1.00	0.	0.	0.
ROBERT P MASOTTI, CPA 1100 SUMMER STREET, 4TH FLOOR STAMFORD, CT 06905-5534	TRUSTEE 1.00	0.	0.	0.
CHARLOTTE WISE 25 SKYVIEW DRIVE STAMFORD, CT 06902-1509	TRUSTEE 2.00	24,000.	0.	0.
LAURA A MASOTTI 36 KINGSWOOD PLACE RIDGEFIELD, CT 06877-4709	TRUSTEE 2.00	24,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	5,802,568.
b	Average of monthly cash balances	1b	178,375.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	5,980,943.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	5,980,943.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	89,714.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,891,229.
6	Minimum investment return. Enter 5% of line 5	6	294,561.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	294,561.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	21,773.
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	21,773.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	272,788.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	272,788.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	272,788.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	306,805.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	306,805.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	306,805.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				272,788.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014	33,516.			
b From 2015	36,273.			
c From 2016	26,528.			
d From 2017	8,629.			
e From 2018	20,201.			
f Total of lines 3a through e	125,147.			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ 306,805.				
a Applied to 2018, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				272,788.
e Remaining amount distributed out of corpus	34,017.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	159,164.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr			0.	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	33,516.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	125,648.			
10 Analysis of line 9:				
a Excess from 2015	36,273.			
b Excess from 2016	26,528.			
c Excess from 2017	8,629.			
d Excess from 2018	20,201.			
e Excess from 2019	34,017.			



**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
SEE SCHEDULE ATTACHED	NONE	SEE SCHEDULE ATTACHED	SEE SCHEDULE ATTACHED	270,000.
<b>Total</b>			<b>3a</b>	270,000.
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>			<b>3b</b>	0.

Form 990-PF (2019)

**Part XVI-A**      **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	55.	
4	Dividends and interest from securities			14	161,760.	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	1,008,287.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)		0.		1,170,102.	0.
13	Total. Add line 12, columns (b), (d), and (e)				13	1,170,102.

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]



**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	75,000 STAMFORD CT GO 5.5% 8-1-19	P		08/01/19
b	100 ALPHABET INC	P		12/06/19
c	1,000 AMEREN CORP	P		12/06/19
d	500 APPLE INC	P		12/06/19
e	300 CHEVRON CORP	P		12/06/19
f	950 COLGATE-PALMOLIVE CO	P		12/06/19
g	800 CONSOLIDATED EDISON INC	P		12/06/19
h	350 CONSTELLATION BRANDS INC	P		12/06/19
i	225 WALT DISNEY CO	P		12/06/19
j	1,100 EXXON MOBIL CORP	P		12/06/19
k	1,100 GENERAL ELECTRIC CO	P		12/06/19
l	2,000 HEALTHPEAK PPTYS INC	P		12/06/19
m	1,000 HELMERICH & PAYNE INC	P		12/06/19
n	650 HOME DEPOT INC	P		12/06/19
o	950 JOHNSON & JOHNSON	P		12/06/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 75,000.		77,385.	-2,385.
b 13,190.		7,702.	5,488.
c 74,430.		49,235.	25,195.
d 157,105.		38,218.	118,887.
e 35,151.		32,157.	2,994.
f 64,670.		23,832.	40,838.
g 69,409.		34,157.	35,252.
h 64,509.		74,262.	-9,753.
i 33,410.		7,737.	25,673.
j 75,583.		79,847.	-4,264.
k 12,006.		14,694.	-2,688.
l 68,849.		38,250.	30,599.
m 39,416.		77,323.	-37,907.
n 139,262.		25,262.	114,000.
o 132,571.		61,600.	70,971.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-2,385.
b			5,488.
c			25,195.
d			118,887.
e			2,994.
f			40,838.
g			35,252.
h			-9,753.
i			25,673.
j			-4,264.
k			-2,688.
l			30,599.
m			-37,907.
n			114,000.
o			70,971.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

**Part-IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr )	(d) Date sold (mo., day, yr.)
1a	400 JOHNSON CONTROLS INTERNATIONAL PLC	P		12/06/19
b	1,000 LEIDOS HOLDINGS INC	P		12/06/19
c	500 MCDONALDS CORP	P		12/06/19
d	50,000 MICHIGAN BELL TELE CO 7.85% 1-15-22	P		12/06/19
e	985 ONEOK INC	P		12/06/19
f	475 PEPSICO INC	P		12/06/19
g	3,600 PFIZER INC	P		12/06/19
h	1,000 PROGRESSIVE CORP	P		12/06/19
i	1,500 PUBLIC SERVICE ENTERPRISE GROUP INC	P		12/06/19
j	500 STANLEY BLACK & DECKER INC	P		12/06/19
k	2,000 TJX COS INC	P		12/06/19
l	1,200 UNITED TECHNOLOGIES CORP	P		12/06/19
m	300 VERIZON COMMUNICATIONS	P		12/06/19
n	625 VISA INC	P		12/06/19
o	130 WEC ENERGY GROUP INC	P		12/06/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 16,906.		6,346.	10,560.
b 89,873.		41,641.	48,232.
c 97,215.		45,172.	52,043.
d 55,847.		53,983.	1,864.
e 69,917.			69,917.
f 65,166.		30,239.	34,927.
g 137,237.		99,157.	38,080.
h 72,001.		69,584.	2,417.
i 88,517.		47,965.	40,552.
j 76,716.		71,056.	5,660.
k 119,722.		71,346.	48,376.
l 173,708.		69,295.	104,413.
m 18,180.		12,783.	5,397.
n 113,142.		49,679.	63,463.
o 11,587.		6,048.	5,539.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			10,560.
b			48,232.
c			52,043.
d			1,864.
e			69,917.
f			34,927.
g			38,080.
h			2,417.
i			40,552.
j			5,660.
k			48,376.
l			104,413.
m			5,397.
n			63,463.
o			5,539.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

2

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 97 EVERGY INC		P		12/20/19
b 289 JOHNSON CONTROLS INTERNATIONAL PLC		P		12/20/19
c 10 ALPHABET INC		P		03/02/20
d 50 APPLE INC		P		03/02/20
e 1,000 GLAXOSMITHKLINE PLC		P		03/02/20
f 75 HOME DEPOT INC		P		03/02/20
g 200 WEC ENERGY GROUP INC		P		03/02/20
h 200 CHEVRON CORP		P		03/20/20
i 900 ROYAL DUTCH SHELL		P		03/20/20
j 500 CARRIER GLOBAL CORP		P		04/14/20
k 3,000 HUNTINGTON BANCSHARES INC		P		04/14/20
l 53,000 COSTCO WHSL 2.75% 5-18-24		P		02/21/20
m 25,000 WISCONSIN ST GO 5.5% 5-1-29		P		05/01/20
n 800 EVERGY INC		P		05/12/20
o 100 ABBVIE INC		P		06/01/20

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 6,196.		5,065.	1,131.
b 11,755.		4,190.	7,565.
c 13,378.		7,702.	5,676.
d 13,938.		2,454.	11,484.
e 41,882.		56,550.	-14,668.
f 17,184.		2,915.	14,269.
g 19,583.		9,305.	10,278.
h 12,258.		20,086.	-7,828.
i 20,178.		51,242.	-31,064.
j 7,296.		4,876.	2,420.
k 26,958.		44,664.	-17,706.
l 56,822.		54,826.	1,996.
m 25,000.		25,571.	-571.
n 45,556.		41,514.	4,042.
o 9,106.		5,956.	3,150.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			1,131.
b			7,565.
c			5,676.
d			11,484.
e			-14,668.
f			14,269.
g			10,278.
h			-7,828.
i			-31,064.
j			2,420.
k			-17,706.
l			1,996.
m			-571.
n			4,042.
o			3,150.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	



**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	50 APPLE INC	P		06/01/20
b	100 CARDINAL HEALTH INC	P		06/01/20
c	25 HOME DEPOT INC	P		06/01/20
d	100 JOHNSON & JOHNSON	P		06/01/20
e	200 JOHNSON CONTROLS INTERNATIONAL PLC	P		06/01/20
f	50 MERCK & CO INC	P		06/01/20
g	150 RAYTHEON TECHNOLOGIES CORP	P		06/01/20
h	50 3M COMPANY	P		06/01/20
i	25 VISA INC	P		06/01/20
j	100 WEC ENERGY GROUP INC	P		06/01/20
k	60,000 US TREASURY NOTES	P		06/01/20
l	250 OTIS WORLDWIDE CORP	P		06/17/20
m	33 CHUBB LTD	P		07/02/19
n	MORGAN STANLEY - JULY LONG TERM TRANSACTIONS	P		
o	30 CONS STAPLES	P		07/30/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 16,111.		2,454.	13,657.
b 5,502.		5,396.	106.
c 6,197.		972.	5,225.
d 14,790.		6,484.	8,306.
e 6,396.		2,900.	3,496.
f 3,981.		4,435.	-454.
g 10,224.		8,751.	1,473.
h 7,972.		8,454.	-482.
i 4,919.		1,987.	2,932.
j 8,898.		4,653.	4,245.
k 62,689.		60,678.	2,011.
l 13,839.		6,818.	7,021.
m 4,889.		4,548.	341.
n 40,269.		35,910.	4,359.
o 1,826.		1,678.	148.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			13,657.
b			106.
c			5,225.
d			8,306.
e			3,496.
f			-454.
g			1,473.
h			-482.
i			2,932.
j			4,245.
k			2,011.
l			7,021.
m			341.
n			4,359.
o			148.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8 }

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	63 OCCIDENTAL PETROLEUM CORP	P		08/26/19
b	5 OCCIDENTAL PETROLEUM CORP	P		08/26/19
c	MORGAN STANLEY - SEPT LONG TERM TRANSACTIONS	P		
d	MORGAN STANLEY - SEPT SHORT TERM TRANSACTIONS	P		
e	11 LOCKHEED MARTIN CORP	P		10/21/19
f	189 HP INC	P		11/20/19
g	76 HP INC	P		11/20/19
h	MORGAN STANLEY - JAN LONG TERM TRANSACTIONS	P		
i	MORGAN STANLEY - JAN SHORT TERM TRANSACTIONS	P		
j	MORGAN STANLEY - JAN LONG TERM TRANSACTIONS	P		
k	MORGAN STANLEY - MARCH LONG TERM TRANSACTIONS	P		
l	MORGAN STANLEY - MARCH LONG TERM TRANSACTIONS	P		
m	MORGAN STANLEY - MARCH SHORT TERM TRANSACTIONS	P		
n	MORGAN STANLEY - APRIL LONG TERM TRANSACTIONS	P		
o	4 DIGITAL REALTY TRUST INC	P		04/16/20

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,672.		4,618.	-1,946.
b 212.		327.	-115.
c 8,782.		7,988.	794.
d 2,615.		2,128.	487.
e 4,105.		3,652.	453.
f 3,734.		4,699.	-965.
g 1,502.		1,439.	63.
h 3,827.		3,603.	224.
i 13,731.		9,814.	3,917.
j 26,135.		21,834.	4,301.
k 4,353.		3,814.	539.
l 443,673.		441,119.	2,554.
m 11,413.		13,479.	-2,066.
n 34,346.		29,367.	4,979.
o 564.		490.	74.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-1,946.
b			-115.
c			794.
d			487.
e			453.
f			-965.
g			63.
h			224.
i			3,917.
j			4,301.
k			539.
l			2,554.
m			-2,066.
n			4,979.
o			74.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MORGAN STANLEY - MAY LONG TERM TRANSACTIONS	P		
b	MORGAN STANLEY - MAY SHORT TERM TRANSACTIONS	P		
c	MORGAN STANLEY - MAY LONG TERM TRANSACTIONS	P		
d	MORGAN STANLEY - MAY SHORT TERM TRANSACTIONS	P		
e	MORGAN STANLEY - JUNE LONG TERM TRANSACTIONS	P		
f	MORGAN STANLEY - JUNE SHORT TERM TRANSACTIONS	P		
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	15,109.	10,405.	4,704.
b	5,957.	5,255.	702.
c	113,275.	115,098.	-1,823.
d	12,317.	10,872.	1,445.
e	138,230.	135,397.	2,833.
f	4,456.	4,221.	235.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			4,704.
b			702.
c			-1,823.
d			1,445.
e			2,833.
f			235.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	1,008,287.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
KEYBANK NA	37.	37.	
MORGAN STANLEY	18.	18.	
TOTAL TO PART I, LINE 3	55.	55.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MORGAN STANLEY	62,886.	0.	62,886.	62,886.	
NATIONAL FINANCIAL SERVICES - DIVIDENDS	73,977.	0.	73,977.	73,977.	
NATIONAL FINANCIAL SERVICES - BOND INTEREST	24,897.	0.	24,897.	24,897.	
TO PART I, LINE 4	161,760.	0.	161,760.	161,760.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	7,962.	7,962.		0.
TO FORM 990-PF, PG 1, LN 16B	7,962.	7,962.		0.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT ADVISORY FEES	59,773.	59,773.			0.
TO FORM 990-PF, PG 1, LN 16C	59,773.	59,773.			0.

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAX WITHHELD	287.	287.			0.
TO FORM 990-PF, PG 1, LN 18	287.	287.			0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INSURANCE	1,610.	805.			805.
TRANSACTION FEES & BANK CHARGES	609.	609.			0.
TO FORM 990-PF, PG 1, LN 23	2,219.	1,414.			805.

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FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	7
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE SCHEDULE ATTACHED		X	24,271.	25,056.
TOTAL U.S. GOVERNMENT OBLIGATIONS				
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			24,271.	25,056.
TOTAL TO FORM 990-PF, PART II, LINE 10A			24,271.	25,056.

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FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE SCHEDULE ATTACHED	1,845,496.	2,433,561.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,845,496.	2,433,561.

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FORM 990-PF	CORPORATE BONDS	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE SCHEDULE ATTACHED	1,095,214.	1,144,139.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,095,214.	1,144,139.

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FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE SCHEDULE ATTACHED	COST	1,984,625.	2,062,751.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,984,625.	2,062,751.

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**GEORGE J. & JESSICA HARRIS FOUNDATION**  
**SECURITIES VALUATION**  
**FISCAL YEAR ENDED JUNE 30, 2020**  
**Form 990-PF, Balance Sheet Part II, Line 10(a)-(c) & Line 13**

NO. OF SHARES	SECURITIES	COST BASIS	MARKET VALUE
<b>US &amp; STATE GOVERNMENT OBLIGATIONS:</b>			
24,000	UNITED STATE TREASURY NOTES 2 00% 11-30-22	24,271 03	25,056 48
<b>TOTAL US &amp; STATE GOVERNMENT OBLIGATIONS [ Line 10(a) ]</b>		<b>24,271.03</b>	<b>25,056.48</b>
<b>CORPORATE STOCKS:</b>			
1,000	ABBVIE, INC	59,559 70	98,180 00
225	ACCENTURE PLC	44,944 11	48,312 00
80	ALPHABET INC CL A	61,614 84	113,444 00
550	AMERICAN ELECTRIC POWER CO INC	43,809 59	43,802 00
350	APPLE, INC	17,178 07	127,680 00
1,500	ASTRAZENECA ADR	71,216 94	79,335 00
100	BLACKROCK INC	48,724 66	54,409 00
800	CARDINAL HEALTH INC	43,169 60	41,752 00
400	CHEVRON CORP	37,466 96	35,692 00
1,050	COLGATE PALMOLIVE CO	26,338 26	76,923 00
325	CHUBB LIMITED	49,161 87	41,151 50
450	GENUINE PARTS CO	46,809 09	39,132 00
250	GLOBAL PAYMENTS INC	44,104 23	42,405 00
450	HOME DEPOT INC	17,489 16	112,729 50
300	HONEYWELL INTERNATIONAL INC	52,100 94	43,377 00
350	HUBBELL INC	50,984 33	43,876 00
750	JOHNSON & JOHNSON	31,674 86	105,472 50
1,200	JOHNSON CONTROLS INTERNATIONAL PLC	17,398 11	40,968 00
500	MEDTRONIC PLC	56,043 35	45,850 00
800	MERCK & CO INC	68,758 46	61,864 00
1,000	METLIFE INC	48,764 70	36,520 00
375	MICROSOFT CORP	56,198 44	76,316 25
700	MONDELEZ INTERNATIONAL INC	31,588 55	35,791 00
450	NORTHERN TRUST CORP	48,964 50	35,703 00
500	PAYCHEX INC	39,264 60	37,875 00
525	PEPSICO INC	33,421 66	69,436 50
600	PHILIP MORRIS INTERNATIONAL INC	49,833 75	42,036 00
525	PROCTER AND GAMBLE CO	64,016 57	62,774 25
650	RAYTHEON TECHNOLOGIES CORP	23,140 36	40,053 00
350	SAP SE SPON ADR	40,822 92	49,000 00
525	TEXAS INSTRUMENTS INC	61,755 13	66,659 25
300	3M COMPANY	49,095 00	46,797 00
425	UNITED PARCEL SERVICE INC	49,643 53	47,251 50
1,200	VERIZON COMMUNICATIONS	51,134 28	66,156 00
350	VISA INC CL A	27,820 07	67,609 50
475	WALT DISNEY COMPANY	16,332 90	52,967 25
500	WEC ENERGY GROUP INC	7,962 19	43,825 00
250	WILLIS TOWERS WATSON PLC	48,307 55	49,237 50
<b>CORPORATE STOCKS - FIDELITY</b>		<b>1,636,613.83</b>	<b>2,222,362.50</b>
38	AMGEN INC	8,943 20	8,962 68
27	APPLE, INC	4,817 92	9,849 60
124	ARCHER DANIELS MIDLAND	5,164 45	4,947 60
266	AT&T INC	8,506 02	8,041 18
34	AUTOMATIC DATA PROCESSING INC	4,953 26	5,062 26
129	BANK OF NEW YORK MELLON CORP	6,831 82	4,985 85
9	BLACKROCK INC	4,158 01	4,896 81
138	BRISTOL MYERS SQUIBB CO	7,971 36	8,114 40
54	CHEVRON CORP	6,070 43	4,818 42
107	CISCO SYSTEMS INC	4,711 55	4,990 48
177	COCA COLA CO	8,854 21	7,908 36
211	COMCAST CORP	8,709 19	8,224 78

**GEORGE J. & JESSICA HARRIS FOUNDATION**  
**SECURITIES VALUATION**  
**FISCAL YEAR ENDED JUNE 30, 2020**  
**Form 990-PF, Balance Sheet Part II, Line 10(a)-(c) & Line 13**

111	CONOCOPHILLIPS	6,985 03	4,664 22
30	CROWN CASTLE INTL CORP	4,295 85	5,020 50
73	CVS HEALTH CORP	4,488 14	4,742 81
80	EMERSON ELECTRIC CO	5,051 71	4,962 40
107	EXXON MOBIL CORP	6,627 67	4,785 04
34	HOME DEPOT INC	7,141 98	8,517 34
39	INTL BUSINESS MACHINES CORP	5,474 24	4,710 03
57	JOHNSON & JOHNSON	7,479 82	8,015 91
84	JP MORGAN CHASE & CO	5,377 96	7,901 04
13	LOCKHEED MARTIN CORP	4,316 87	4,743 96
45	MC DONALDS CORP	8,246 52	8,301 15
49	MEDTRONIC PLC	4,859 55	4,493 30
27	MICROSOFT CORP	3,193 99	5,494 77
164	MONDELEZ INTL INC	8,821 23	8,385 32
20	NEXTERA ENERGY INC	2,391 50	4,803 40
128	PFIZER INC	4,229 03	4,185 60
66	PHILIP MORRIS INTL INC	5,728 37	4,623 96
72	PROCTER & GAMBLE	8,448 90	8,609 04
72	RAYTHEON TECHNOLOGIES CORP	5,094 85	4,436 64
16	UNITEDHEALTH GROUP INC	3,871 61	4,719 20
89	VERIZON COMMUNICATIONS	4,250 63	4,906 57
40	WALMART INC	3,451 78	4,791 20
179	WELLS FARGO & CO	9,363 89	4,582 40
<b>CORPORATE STOCKS - MORGAN STANLEY</b>		<b>208,882.54</b>	<b>211,198.22</b>
<b>TOTAL CORPORATE STOCK INVESTMENTS [ Line 10(b) ]</b>		<b>1,845,496.37</b>	<b>2,433,560.72</b>
<b>CORPORATE BONDS:</b>			
54,000	GOLDMAN SACHS GROUP INC 2 75% 09-15-20	54,315 92	54,135 54
53,000	WELLS FARGO CO 4 60% 04-1-21	54,798 68	54,639 82
55,000	BB&T CORP SR MEDIUM TERM 2 05% 05-10-21	55,099 20	55,707 30
54,000	STATOIL ASA NOTE 2 45% 01-17-23	54,861 86	56,480 76
52,000	PROCTER AND GAMBLE CO 3 10% 08-15-23	54,340 24	56,730 44
52,000	APPLE INC 3 45% 05-6-24	55,278 32	57,376 28
52,000	JPMORGAN CHASE & CO 3 45% 05-13-24	55,136 36	57,425 68
52,000	ACE INA HLDGS INC 3 15% 03-15-25	54,820 72	57,458 96
51,000	MEDTRONIC INC 3 50% 03-15-25	54,711 38	57,550 95
50,000	UNITEDHEALTH GROUP INC 3 75% 07-15-25	54,043 00	56,966 50
51,000	INTERCONTINENTAL EXCHANGE INC 3 75% 12-15-25	55,190 27	58,111 08
55,000	TFX COS INC 2 25% 09-15-26	55,336 25	58,780 70
54,000	HONEYWELL INTERNATIONAL INC 2 50% 11-1-26	55,177 76	59,484 78
51,000	MICROSOFT CORP 3 30% 02-6-27	54,963 83	58,356 75
52,000	INTEL CORP 3 15% 06-11-27	55,249 72	58,364 28
52,000	HOME DEPOT INC 2 80% 09-14-27	54,453 08	57,728 32
49,000	WALMART INC 3 70% 06-26-28	54,281 13	58,023 84
50,000	MERCK & CO INC 3 40% 03-7-29	54,567 50	57,692 00
53,000	PEPSICO INC 2 625% 07-29-29	54,489 69	58,594 68
54,000	COSTCO WHOLESALE CORP 1 60% 04-20-30	54,099 16	54,530 82
<b>TOTAL CORPORATE BONDS [ Line 10(c) ]</b>		<b>1,095,214.07</b>	<b>1,144,139.48</b>
<b>MUTUAL FUNDS &amp; ETF'S:</b>			
882 000	INDUSTRIAL SEL SEC SPDR FUND	59,640 49	60,593 40
4,287 000	INVESCO DYNAMIC LARGE CAP VALUE FUND	152,696 58	143,785 98
7,580 000	INVESCO VARIABLE RATE PREFERRED FUND	177,948 87	177,599 40
4,958 000	ISHARES 0-5 HIGH YIELD CORP	232,242 84	212,648 62
3,318 000	ISHARES 0-5 IG CORP	165,667 56	172,934 16
901 000	ISHARES GLOBAL HEALTHCARE	54,955 89	61,970 78
1,199 000	ISHARES MSCI EAFE ETF	64,145 30	72,983 13
1,539 000	ISHARES MSCI EMERGING MARKETS ETF	51,707 93	61,544 61
785 000	ISHARES RUSSELL 1000 GRW ETF	108,857 12	150,680 75
1,019 000	ISHARES RUSSELL 2000 VALUE ETF	110,542 91	99,311 74



**GEORGE J. & JESSICA HARRIS FOUNDATION**  
**SECURITIES VALUATION**  
**FISCAL YEAR ENDED JUNE 30, 2020**  
**Form 990-PF, Balance Sheet Part II, Line 10(a)-(c) & Line 13**

1,263 000	ISHARES RUSSELL MIDCAP V ETF	100,167 21	96,657 39
443 000	ISHARES S&P MID-CAP 400 G ETF	92,321 96	99,240 86
590 000	ISHARES SMALL CAP 600 G ETF	97,473 23	100,176 10
431 000	ISHARES TIPS BOND ETF	49,193 28	53,013 00
3,393 000	JPMORGAN ULTRA-SHORT INCOME ETF	170,615 78	172,126 89
659 000	MATERIALS SEL SECT SPDR FUND	35,862 45	37,134 65
2,554 000	THE FINANCIAL SEL SECT SPDR FUND	64,355 59	59,099 56
722 000	VANGUARD FTSE EUROPE ETF	40,851 31	36,338 26
865 000	VANGUARD TOTAL STOCK MARKET ETF	116,384 66	135,398 45
<b>TOTAL MUTUAL FUNDS &amp; ETF'S</b>		<b>1,945,630.96</b>	<b>2,003,237.73</b>
<b>MUTUAL FUNDS &amp; ETF'S:</b>			
130	ISHARE DOW JONES US EFT	13,037 01	19,899 10
64	SPDR S&P 500 ETF TRUST	12,925 29	19,735 04
127	VANGUARD TTL STK MKT ETF	13,032 23	19,879 21
<b>TOTAL MUTUAL FUNDS &amp; ETF'S</b>		<b>38,994.53</b>	<b>59,513.35</b>
<b>TOTAL OTHER INVESTMENTS [ Line 13 ]</b>		<b>1,984,625.49</b>	<b>2,062,751.08</b>
<b>TOTAL - ALL INVESTMENTS</b>		<b>4,949,606.96</b>	<b>5,665,507.76</b>

**FORM 990-PF**

**FISCAL YEAR ENDED JUNE 30, 2020**

**PART XV - SUPPLEMENTARY INFORMATION - GRANTS & CONTRIBUTIONS PAID**

<b>RECIPIENT</b>	<b>STATUS</b>	<b>PURPOSE OF GRANT</b>	<b>AMOUNT</b>
INSPIRICA 141 FRANKLIN STREET STAMFORD, CT 06901	509(a)(1)	TO PROVIDE SHELTER FOR PEOPLE WITH AIDS	\$40,000.00
FAIRFIELD UNIVERSITY QUICK CENTER FOR THE ARTS 1073 NORTH BENSON ROAD FAIRFIELD, CT 06430	501(c)(3)	PERFORMING ARTS	10,000.00
SAFE FUTURES 16 JAY STREET NEW LONDON, CT 06320	501(c)(3)	SERVICES FOR ABUSED WOMEN	20,000.00
MEALS ON WHEELS 25 GILBERT STREET RIDGEFIELD, CT 06877	501(c)(3)	PROVIDES MEALS FOR THOSE NEEDING ASSISTANCE	10,000.00
YALE UNIVERSITY EYE CENTER 40 TEMPLE STREET NEW HAVEN, CT 06510	501 (c)(3)	HELPS PATIENTS WITH VISION PROBLEMS	10,000.00
OPEN DOORS 4 MERRITT STREET NORWALK, CT 06854	501(c)(3)	PROVIDE SHELTER & SUPPORT FOR THE HOMELESS & THOSE IN POVERTY	45,000.00
KIDS IN CRISIS 1 SALEM STREET COS COB, CT 06807	501(c)(3)	HOUSING AND COUNSELING FOR CHILDREN AND FAMILIES	10,000.00
ONE SANDWICH AT A TIME 15 FISHER LANE, SUITE 200 WHITE PLAINS, NY 10603	501(c)(3)	FEED THE HUNGRY IN NEW YORK CITY	5,000.00
RIDGEFIELD ACADEMY 223 WEST MOUNTAIN ROAD RIDGEFIELD, CT 06877	501(c)(3)	EDUCATING AND ENCOURAGING STUDENTS TO BECOME KNOWLEDGEABLE, PASSIONATE, AND CONFIDENT INDIVIDUALS.	10,000.00
STAMFORD HOSPITAL FOUNDATION FOR CANCER RESEARCH 1351 WASHINGTON BOULEVARD STAMFORD, CT 06902	501(c)(3)	MEDICAL RESEARCH	55,000.00
STAMFORD SYMPHONY ORCHESTRA 263 TRESSER BOULEVARD STAMFORD, CT 06901	509(a)(1)	PERFORMING ARTS	2,000.00
THE FOOD BANK OF LOWER FAIRFIELD COUNTY 461 GLENBROOK ROAD STAMFORD, CT 06906	501(c)(3)	FOOD FOR THE NEEDY	20,000.00
SILVER SOURCE INC 2009 SUMMER ST STAMFORD, CT 06905	501(c)(3)	PROVIDES A SAFTY NET FOR OLDER RESIDENTS IN NEED	10,000.00

**FORM 990-PF**

**FISCAL YEAR ENDED JUNE 30, 2020**

**PART XV - SUPPLEMENTARY INFORMATION - GRANTS & CONTRIBUTIONS PAID**

<b>RECIPIENT</b>	<b>STATUS</b>	<b>PURPOSE OF GRANT</b>	<b>AMOUNT</b>
STAMFORD CENTER FOR THE ARTS DEVELOPMENT DEPARTMENT 61 ATLANTIC STREET STAMFORD, CT 06901	501(c)(3)	PERFORMING ARTS	3,000.00
VISITING NURSE & HOSPICE OF FAIRFILED COUNTY 761 MAIN AVENUE NORWALK, CT 06851	501(c)(3)	PROVIDES NURSING CARE	20,000 00
<b>TOTAL GRANTS &amp; CONTRIBUTIONS PAID</b>			<b>\$270,000.00</b>