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Form 990

Return of Organization Exempt From Income Tax

OMB No. 1545-0047

2019

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)
Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990 for instructions and the latest information.

A For the 2019 calendar year, or tax year beginning 07-01-2019 , and ending 06-30-2020

B Check if applicable:
☐ Address change
☐ Name change
☐ Initial return
☐ Final return/terminated
☐ Amended return
☐ Application pending

C Name of organization
Yale University

Doing business as

Number and street (or P.O. box if mail is not delivered to street address) Room/suite
PO Box 208239

City or town, state or province, country, and ZIP or foreign postal code
New Haven, CT 065208239

F Name and address of principal officer:
Peter Salovey
PO Box 208229
New Haven, CT 065208229

D Employer identification number

06-0646973

E Telephone number

(203) 432-5530

G Gross receipts \$ 12,923,544,414

I Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) () ◀ (insert no.) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ www.yale.edu

K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶

H(a) Is this a group return for subordinates? ☐ Yes ☒ No

H(b) Are all subordinates included? ☐ Yes ☐ No
If "No," attach a list. (see instructions)

H(c) Group exemption number ▶

L Year of formation: 1701

M State of legal domicile: CT

Part I

Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities:
TO IMPROVE THE WORLD THROUGH RESEARCH, SCHOLARSHIP, EDUCATION, PRESERVATION, AND PRACTICE

2 Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.

3 Number of voting members of the governing body (Part VI, line 1a) 3 19

4 Number of independent voting members of the governing body (Part VI, line 1b) 4 16

5 Total number of individuals employed in calendar year 2019 (Part V, line 2a) 5 31,333

6 Total number of volunteers (estimate if necessary) 6 24,600

7a Total unrelated business revenue from Part VIII, column (C), line 12 7a 40,142,735

b Net unrelated business taxable income from Form 990-T, line 39 7b 0

Revenue

8 Contributions and grants (Part VIII, line 1h) 1,312,238,680 1,356,612,031

9 Program service revenue (Part VIII, line 2g) 2,048,558,762 2,105,693,924

10 Investment income (Part VIII, column (A), lines 3, 4, and 7d) 2,149,370,700 2,097,617,550

11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) 41,366,710 35,253,640

12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12) 5,551,534,852 5,595,177,145

Expenses

13 Grants and similar amounts paid (Part IX, column (A), lines 1–3) 521,810,107 550,939,949

14 Benefits paid to or for members (Part IX, column (A), line 4) 0

15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10) 2,380,601,863 2,576,317,361

16a Professional fundraising fees (Part IX, column (A), line 11e) 0

b Total fundraising expenses (Part IX, column (D), line 25) ▶68,994,455

17 Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e) 1,412,275,089 1,454,079,522

18 Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25) 4,314,687,059 4,581,336,832

19 Revenue less expenses. Subtract line 18 from line 12 1,236,847,793 1,013,840,313

Net Assets or Fund Balances

20 Total assets (Part X, line 16) 40,533,606,066 44,198,683,391

21 Total liabilities (Part X, line 26) 9,618,975,777 13,203,983,383

22 Net assets or fund balances. Subtract line 21 from line 20 30,914,630,289 30,994,700,008

Part II

Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer

Stephen C Murphy VP for Finance and CFO
Type or print name and title

2021-05-17
Date

Paid Preparer Use Only

Print/Type preparer's name
Firm's name ▶
Firm's address ▶

Preparer's signature
Date

Check ☐ if self-employed
Firm's EIN ▶
Phone no.

PTIN

May the IRS discuss this return with the preparer shown above? (see instructions) ☐ Yes ☐ No

For Paperwork Reduction Act Notice, see the separate instructions. Cat. No. 11282Y Form 990 (2019)

Part III Statement of Program Service AccomplishmentsCheck if Schedule O contains a response or note to any line in this Part III ☒**1** Briefly describe the organization's mission:

SEE SCHEDULE O

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a	(Code:) (Expenses \$	1,861,306,611	including grants of \$	48,055,525) (Revenue \$	1,844,588,976)
See Additional Data					

4b	(Code:) (Expenses \$	790,369,891	including grants of \$	421,597,103) (Revenue \$	95,473,274)
See Additional Data					


















4c	(Code:) (Expenses \$	597,805,425	including grants of \$	69,374,078) (Revenue \$	140,401,727)
See Additional Data					

(Code:) (Expenses \$	743,845,491	including grants of \$	11,913,243) (Revenue \$	23,626,806)
OTHER PROGRAM SERVICES INCLUDE ACADEMIC SUPPORT, PUBLIC SERVICE, AND OTHER INSTITUTIONAL SUPPORT.				

4d	Other program services (Describe in Schedule O.)				
(Expenses \$	743,845,491	including grants of \$	11,913,243) (Revenue \$	23,626,806)	

4e	Total program service expenses ▶	3,993,327,418
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Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A 	1 Yes	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)? 	2 Yes	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3	No
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II 	4 Yes	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5	
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I 	6 Yes	
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7	No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III 	8 Yes	
9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV 	9 Yes	
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi endowments? If "Yes," complete Schedule D, Part V 	10 Yes	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI. 	11a Yes	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII 	11b Yes	
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c	No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX	11d	No
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X 	11e Yes	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	11f	No
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII	12a	No
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional 	12b Yes	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E 	13 Yes	
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a Yes	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV 	14b Yes	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV 	15 Yes	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV 	16 Yes	
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)	17	No
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II 	18 Yes	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19	No
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a	No
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II 	21 Yes	

Part IV Checklist of Required Schedules (continued)

		Yes	No	
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		No
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		No
25a	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I	25b		No
26	Did the organization report any amount on Part X, line 5 or 22 for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part II	26	Yes	
27	Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? If "Yes," complete Schedule L, Part III	27	Yes	
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):			
a	A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? If "Yes," complete Schedule L, Part IV	28a		No
b	A family member of any individual described in line 28a? If "Yes," complete Schedule L, Part IV	28b	Yes	
c	A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b? If "Yes," complete Schedule L, Part IV	28c	Yes	
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M	30	Yes	
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I	33	Yes	
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1	34	Yes	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	Yes	
b	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b	Yes	
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O.	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response or note to any line in this Part V ☒

		Yes	No	
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	1a	29,573	
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b	0	
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	Yes	

Part V **Statements Regarding Other IRS Filings and Tax Compliance** (continued)

2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a 31,333			
b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	2b	Yes		
3a Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	Yes		
b If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O	3b	Yes		
4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	Yes		
b HK, IN, EI, JE, LU, MP, NL, SN, SZ, UK, BR, CA, CJ If "Yes," enter the name of the foreign country: ►, CH, UC, ET				
5a Was the organization filing any reportable foreign financial accounts (FBAR)?	5a		No	
b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		No	
c If "Yes," to line 5a or 5b, did the organization file Form 8886-T?	5c			
6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a		No	
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b			
7 Organizations that may receive deductible contributions under section 170(c).				
a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	Yes		
b If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	Yes		
c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c	Yes		
d If "Yes," indicate the number of Forms 8282 filed during the year	7d	1		
e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		No	
f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		No	
g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g			
h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h	Yes		
8 Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?	8		No	
9 Sponsoring organizations maintaining donor advised funds.				
a Did the sponsoring organization make any taxable distributions under section 4966?	9a		No	
b Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	9b		No	
10 Section 501(c)(7) organizations. Enter:				
a Initiation fees and capital contributions included on Part VIII, line 12	10a			
b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b			
11 Section 501(c)(12) organizations. Enter:				
a Gross income from members or shareholders	11a			
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b			
12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?				
b If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	12b			
13 Section 501(c)(29) qualified nonprofit health insurance issuers.				
a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.	13a			
b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b			
c Enter the amount of reserves on hand	13c			
14a Did the organization receive any payments for indoor tanning services during the tax year?	14a		No	
b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	14b			
15 Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? If "Yes," see instructions and file Form 7220, Schedule N.	15	Yes		
16 Is the organization an educational institution subject to the section 4968 excise tax on net investment income? If "Yes," complete Form 4720, Schedule O.	16	Yes		

Part VI

Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI ☒

Section A. Governing Body and Management

		Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year	1a 19		
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.			
b Enter the number of voting members included in line 1a, above, who are independent	1b 16		
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	Yes	
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3		No
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4		No
5 Did the organization become aware during the year of a significant diversion of the organization's assets?	5		No
6 Did the organization have members or stockholders?	6		No
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	Yes	
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b		No
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:			
a The governing body?	8a	Yes	
b Each committee with authority to act on behalf of the governing body?	8b	Yes	
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9		No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a Did the organization have local chapters, branches, or affiliates?	10a	No
b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes
b Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	Yes
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	Yes
13 Did the organization have a written whistleblower policy?	13	Yes
14 Did the organization have a written document retention and destruction policy?	14	Yes
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official	15a	Yes
b Other officers or key employees of the organization	15b	Yes
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	Yes
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	Yes

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed▶

18 Section 6104 requires an organization to make its Form 1023 (or 1024-A if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.

☐ Own website ☐ Another's website ☒ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, address, and telephone number of the person who possesses the organization's books and records:
▶ Stephen C Murphy VP For Finance and CFO 25 Science Park 150 Munson Street New Haven, CT 06511 (203) 436-4488

Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII ☐

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

See instructions for the order in which to list the persons above.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

[illegible]

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
See Additional Data Table										
1b Sub-Total										
c Total from continuation sheets to Part VII, Section A										
d Total (add lines 1b and 1c)								27,494,429	0	2,937,674

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ► 4,616

	Yes	No
3 Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	3 Yes	
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	4 Yes	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>	5	No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
DIMEO CONSTRUCTION COMPANY 75 CHAPMAN STREET PROVIDENCE, RI 02905	CONSTRUCTION & RELATED SERVICES	127,376,626
SKANSKA USA BUILDING INC 389 INTERPACE PARKWAY 5TH FLOOR PARSIPPANY, NJ 07054	CONSTRUCTION & RELATED SERVICES	74,019,190
THE WHITING-TURNER CONTRACTING COMPANY 300 EAST JOPPA ROAD BALTIMORE, MD 21286	CONSTRUCTION & RELATED SERVICES	36,235,796
Shawmut Woodworking & Supply Inc 560 Harrison Avenue Boston, MA 02118	construction & related services	26,261,408
Petra Construction Corporation 98 Rebesch Drive NORTH Haven, CT 06473	CONSTRUCTION & RELATED SERVICES	22,667,649

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ► 394

Form 990 (2019)										Page 9			
Part VIII Statement of Revenue													
Check if Schedule O contains a response or note to any line in this Part VIII <input type="checkbox"/>													
				(A) Total revenue		(B) Related or exempt function revenue		(C) Unrelated business revenue		(D) Revenue excluded from tax under sections 512 - 514			
Contributions, Gifts, Grants and Other Similar Amounts		1a Federated campaigns . . .		1a	1,810,612								
		b Membership dues . . .		1b									
		c Fundraising events . . .		1c	341,080								
		d Related organizations		1d	2,273,536								
		e Government grants (contributions)		1e	610,835,064								
		f All other contributions, gifts, grants, and similar amounts not included above		1f	741,351,739								
		g Noncash contributions included in lines 1a - 1f:\$		1g	134,576,675								
		h Total. Add lines 1a-1f ▶		1,356,612,031									
Program Service Revenue				Business Code									
		2a Medical services		541900		1,090,055,248		1,089,775,969		279,279			
		b Student Income		611310		754,348,203		754,348,203					
		c Contract income Gov & Non-Gov't		541700		145,959,933		145,959,933					
		d Auxiliary Services		611710		52,323,788		52,323,788					
		e Publication income		511130		17,846,936		17,836,954		9,982			
		f All other program service revenue.				45,159,816		43,845,936		1,313,880			
		g Total. Add lines 2a-2f. ▶		2,105,693,924									
Other Revenue		3 Investment income (including dividends, interest, and other similar amounts) ▶			370,913,307				-33,161,326		404,074,633		
		4 Income from investment of tax-exempt bond proceeds ▶											
		5 Royalties ▶			9,228,260						9,228,260		
				(i) Real		(ii) Personal							
		6a Gross rents		6a	20,556,798								
		b Less: rental expenses		6b	17,061,110								
		c Rental income or (loss)		6c	3,495,688		0						
		d Net rental income or (loss) ▶			3,495,688						3,495,688		
				(i) Securities		(ii) Other							
		7a Gross amount from sales of assets other than inventory		7a	6,293,504,245		2,744,116,240						
		b Less: cost or other basis and sales expenses		7b	6,106,562,227		1,204,354,015						
		c Gain or (loss)		7c	186,942,018		1,539,762,225						
		d Net gain or (loss) ▶			1,726,704,243				70,525,332		1,656,178,911		
		8a Gross income from fundraising events (not including \$ 341,080 of contributions reported on line 1c). See Part IV, line 18		8a	200,538								
		b Less: direct expenses		8b	383,603								
		c Net income or (loss) from fundraising events . . . ▶			-183,065						-183,065		
		9a Gross income from gaming activities. See Part IV, line 19		9a	1,680								
		b Less: direct expenses		9b	6,314								
		c Net income or (loss) from gaming activities . . . ▶			-4,634						-4,634		
		10a Gross sales of inventory, less returns and allowances . . .		10a									
b Less: cost of goods sold . . .		10b											
c Net income or (loss) from sales of inventory . . . ▶													
Miscellaneous Revenue		Business Code											
11a Utilities		221000		11,080,186						11,080,186			
b Parking		812930		11,637,205				1,175,588		10,461,617			
c													
d All other revenue				0		0		0		0			
e Total. Add lines 11a-11d ▶			22,717,391										
12 Total revenue. See instructions ▶			5,595,177,145		2,104,090,783		40,142,735		2,094,331,596				

Form 990 (2019)

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	62,427,390	62,427,390		
2 Grants and other assistance to domestic individuals. See Part IV, line 22	474,987,072	474,987,072		
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16.	13,525,487	13,525,487		
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	13,419,721	1,537,608	11,242,810	639,303
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	19,008,207	15,154,018	3,603,337	250,852
7 Other salaries and wages	1,900,878,763	1,721,450,445	143,478,380	35,949,938
8 Pension plan accruals and contributions (include section 401 (k) and 403(b) employer contributions)	200,042,736	174,613,111	20,869,460	4,560,165
9 Other employee benefits	333,925,432	285,882,916	40,537,121	7,505,395
10 Payroll taxes	109,042,502	94,741,595	11,810,375	2,490,532
11 Fees for services (non-employees):				
a Management				
b Legal	11,588,422	626,204	10,961,078	1,140
c Accounting	2,384,538	78,517	2,302,672	3,349
d Lobbying	107,707		107,707	
e Professional fundraising services. See Part IV, line 17				
f Investment management fees	162,684,933		162,684,933	
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	284,061,096	237,885,108	43,830,438	2,345,550
12 Advertising and promotion	6,483,938	4,516,065	1,543,369	424,504
13 Office expenses	33,918,654	29,857,948	1,180,508	2,880,198
14 Information technology	34,707,069	33,958,160		748,909
15 Royalties	2,563,731	2,563,731		
16 Occupancy	78,826,616	75,799,103	1,880,021	1,147,492
17 Travel	47,004,682	43,246,483	2,077,830	1,680,369
18 Payments of travel or entertainment expenses for any federal, state, or local public officials	1,836	1,836		
19 Conferences, conventions, and meetings	17,125,718	15,684,789	806,912	634,017
20 Interest	78,877,592	74,035,644	3,815,948	1,026,000
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	303,165,721	284,596,105	14,615,310	3,954,306
23 Insurance	59,992,661	55,791,216	4,201,082	363
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a Supplies - Medical, Research, Other	122,722,230	120,535,577	1,989,984	196,669
b Collections	45,271,456	45,271,456		
c Building Materials & Equipment	23,285,177	22,945,233	223,737	116,207
d Subsidies & Community Support	35,802,603	20,084,220	15,696,953	21,430
e All other expenses	103,503,142	81,530,381	19,554,994	2,417,767
25 Total functional expenses. Add lines 1 through 24e	4,581,336,832	3,993,327,418	519,014,959	68,994,455
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720).				

Part X Balance SheetCheck if Schedule O contains a response or note to any line in this Part IX ☐

				(A) Beginning of year		(B) End of year
Assets	1	Cash—non-interest-bearing		0	1	0
	2	Savings and temporary cash investments		707,457,906	2	1,008,688,942
	3	Pledges and grants receivable, net		770,395,442	3	989,754,766
	4	Accounts receivable, net		199,344,523	4	233,996,749
	5	Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons		52,479	5	42,243
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B)		0	6	0
	7	Notes and loans receivable, net		134,465,268	7	128,293,610
	8	Inventories for sale or use		13,120,205	8	13,893,854
	9	Prepaid expenses and deferred charges		57,993,916	9	27,642,789
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 8,967,132,413			
	b	Less: accumulated depreciation	10b 3,428,205,863	5,295,719,315	10c	5,538,926,550
	11	Investments—publicly traded securities		5,059,142,057	11	7,103,400,174
	12	Investments—other securities. See Part IV, line 11		27,865,153,889	12	28,671,364,625
	13	Investments—program-related. See Part IV, line 11		36,436,672	13	37,842,656
	14	Intangible assets		0	14	0
	15	Other assets. See Part IV, line 11		394,324,394	15	444,836,433
16	Total assets. Add lines 1 through 15 (must equal line 34)		40,533,606,066	16	44,198,683,391	
Liabilities	17	Accounts payable and accrued expenses		501,224,773	17	584,136,135
	18	Grants payable		4,095,127	18	3,951,815
	19	Deferred revenue		117,413,845	19	171,862,518
	20	Tax-exempt bond liabilities		3,110,011,965	20	3,081,157,786
	21	Escrow or custodial account liability. Complete Part IV of Schedule D		2,542,509	21	3,273,916
	22	Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons		0	22	0
	23	Secured mortgages and notes payable to unrelated third parties		0	23	0
	24	Unsecured notes and loans payable to unrelated third parties		622,989,560	24	2,124,402,173
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17 - 24). Complete Part X of Schedule D		5,260,697,998	25	7,235,199,040
26	Total liabilities. Add lines 17 through 25		9,618,975,777	26	13,203,983,383	
Net Assets or Fund Balances	Organizations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 27, 28, 32, and 33.					
	27	Net assets without donor restrictions		4,775,303,518	27	3,877,614,486
	28	Net assets with donor restrictions		26,139,326,771	28	27,117,085,522
	Organizations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 29 through 33.					
	29	Capital stock or trust principal, or current funds			29	
	30	Paid-in or capital surplus, or land, building or equipment fund			30	
	31	Retained earnings, endowment, accumulated income, or other funds			31	
32	Total net assets or fund balances		30,914,630,289	32	30,994,700,008	
33	Total liabilities and net assets/fund balances		40,533,606,066	33	44,198,683,391	

Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response or note to any line in this Part XI ☒

1	Total revenue (must equal Part VIII, column (A), line 12)	1	5,595,177,145
2	Total expenses (must equal Part IX, column (A), line 25)	2	4,581,336,832
3	Revenue less expenses. Subtract line 2 from line 1	3	1,013,840,313
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	30,914,630,289
5	Net unrealized gains (losses) on investments	5	-584,245,824
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	-349,524,770
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	30,994,700,008

Part XII Financial Statements and ReportingCheck if Schedule O contains a response or note to any line in this Part XII ☐

- 1** Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other _____
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.
- 2a** Were the organization's financial statements compiled or reviewed by an independent accountant?
If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:
☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis
- b** Were the organization's financial statements audited by an independent accountant?
If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:
☐ Separate basis ☒ Consolidated basis ☐ Both consolidated and separate basis
- c** If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?
If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.
- 3a** As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?
- b** If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.

	Yes	No
2a		No
2b	Yes	
2c	Yes	
3a	Yes	
3b	Yes	

Additional Data

Software ID: 19010655
Software Version: 2019v5.0
EIN: 06-0646973
Name: Yale University

Form 990 (2019)

Form 990, Part III, Line 4a:

Instruction: The program service costs include salaries, fringe benefits and supplies of teaching approximately 13,600 students including 6,057 undergraduates, 3,080 graduate students and 4,437 professional school students. A faculty of more than 4,800 teach and administer programs across a range of disciplines in the sciences and engineering, the social sciences, the humanities, and the various learned professions. More than 80 majors are available in the undergraduate college and more than 35 different degree programs are offered by the university. In addition to the graduate school of arts and sciences, the university has professional schools including architecture, art, divinity, drama, engineering and applied science, the environment, law, management, medicine, music, nursing, and public health.

Form 990, Part III, Line 4b:

Student financial aid and services: Yale college admits students for their academic and personal promise without regard to their ability to pay. Yale is committed to an admissions policy that does not consider a student's ability to pay, and a financial aid policy that meets the full need of all students with no loans required. These two principles: need-blind admissions and need-based financial aid, ensure that a Yale education is affordable for everyone, regardless of family background, citizenship, or immigration status. With this policy, Yale strives to create a learning environment accessible to the most talented students from around the world and that incorporates the widest possible range of student backgrounds. In FY2020, 3,267 or 54%, of undergraduates received need-based scholarships or grants from Yale sources of \$54,696 on average. All of Yale's graduate and professional schools provide financial aid to their students based on their individual policies and procedures. This category of expenditures also includes: the operation of dining halls that provided 2,264,743 meals to students, faculty and staff; maintenance of dormitories housing 5,110 undergraduates and 923 graduate and professional school students; operation and maintenance of athletic facilities for the 35 intercollegiate sports, over 25 intramural sports, and 50 club sports teams offered at Yale. Also included are physical education instruction, parking facilities, laundry facilities, student centers, and other facilities and programs (including extracurricular activities and student health services) that provide support, services, and academic/cultural enrichment to the University's students.

Form 990, Part III, Line 4c:

Research: The University engages in extensive research in medicine, science, engineering, the arts, social sciences, and humanities. The University's research activities are aimed at the advancement of knowledge as well as training students in the research process. As integral parts of a unified, innovative, and accessible student-centered research University, Yale's labs and classrooms are turning out groundbreaking medical and scientific discoveries and the next generation of innovators in many areas of research excellence. The University's outstanding facilities devoted to research are comprised of myriad laboratories, centers, libraries, collections and institutes that focus on research in geology, chemistry, biology, engineering and applied science, anthropology, paleontology, psychology, molecular and structural biology, nuclear structure, child development, social policy, biospheric studies and numerous biomedical sciences, to name but a few.

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Benjamin Polak	50.0									
Provost (through 12/31/19)	0.2			X				714,995	0	32,335
Jack F Callahan Jr	50.0									
Sr VP for Operations	0.0			X				755,347	0	70,525
Janet E Lindner	50.0									
VP for HR & Administration	0.0			X				451,819	0	44,324
Joan E O'Neill	50.0									
VP for Alumni Affairs & Development	1.2			X				565,292	0	64,392
John H Bollier	50.0									
VP for Facilities & Campus Development	1.0			X				459,959	0	61,019
Kimberly M Goff-Crews	50.0									
Secretary & VP for University Life	1.0			X				453,164	0	42,978
Nathaniel Nickerson	50.0									
VP for Communications	0.5			X				411,624	0	32,264
Pericles Lewis	50.0									
VP for Global Strategy	0.2			X				463,096	0	77,883
Scott A Strobel	50.0									
Provost (effective 1/1/20)	0.0			X				598,056	0	53,922
Stephen C Murphy	50.0									
VP for Finance & CFO	4.6			X				488,414	0	75,865

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
David F Swensen Chief Investment Officer	50.0 2.6				X			7,542,474	0	510,851
Dean J Takahashi Sr Director, Investments (through 10/9/19)	50.0 2.6				X			3,371,207	0	78,148
Robert J Alpern Dean, School of Medicine (through 1/31/20)	50.0 0.0				X			985,016	0	34,815
Alan Forman Director, Investments	50.0 0.0					X		1,340,488	0	551,222
Khalid M Abbed Director, Minimally Invasive Spine Surgery	50.0 0.0					X		1,603,148	0	76,103
Murat Gunel Chair, Neurosurgery	50.0 0.0					X		1,597,948	0	76,103
Nita Ahuja Professor of Surgery	50.0 0.0					X		1,377,485	0	68,488
Timothy R Sullivan Director, Investments	50.0 0.0					X		1,491,074	0	603,480
Eileen O'Connor Former VP for Communications	50.0 0.0						X	241,063	0	47,987

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
Yale University

Employer identification number
06-0646973

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2 ☒ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990 or 990-EZ).)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state:
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9 ☐ An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture. See instructions. Enter the name, city, and state of the college or university:
- 10 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 11 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
- a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
- b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
- c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
- d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
- e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
- f Enter the number of supported organizations
- g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III.
If the organization failed to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►		(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
1	Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grant.") . . .	963,188,552	1,080,694,163	1,024,899,090	1,312,238,680	1,356,612,031	5,737,632,516
2	Tax revenues levied for the organization's benefit and either paid to or expended on its behalf . . .	0	0	0	0	0	0
3	The value of services or facilities furnished by a governmental unit to the organization without charge. . .	0	0	0	0	0	0
4	Total. Add lines 1 through 3 . . .	963,188,552	1,080,694,163	1,024,899,090	1,312,238,680	1,356,612,031	5,737,632,516
5	The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f). . .						42,900,823
6	Public support. Subtract line 5 from line 4. . .						5,694,731,693

Section B. Total Support

Calendar year (or fiscal year beginning in) ►		(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
7	Amounts from line 4. . .	963,188,552	1,080,694,163	1,024,899,090	1,312,238,680	1,356,612,031	5,737,632,516
8	Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources . . .	639,514,386	470,037,204	465,383,886	525,116,810	433,859,691	2,533,911,977
9	Net income from unrelated business activities, whether or not the business is regularly carried on. . .	0	0	0	0	0	0
10	Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.). . .	0	0	0	0	0	0
11	Total support. Add lines 7 through 10 . . .						8,271,544,493
12	Gross receipts from related activities, etc. (see instructions)					12	9,728,146,665

13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ► ☐

Section C. Computation of Public Support Percentage

14	Public support percentage for 2019 (line 6, column (f) divided by line 11, column (f))	14	68.85 %
15	Public support percentage for 2018 Schedule A, Part II, line 14	15	67.63 %

16a 33 1/3% support test—2019. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ► ☒

b 33 1/3% support test—2018. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ► ☐

17a 10%-facts-and-circumstances test—2019. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ► ☐

b 10%-facts-and-circumstances test—2018. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ► ☐

18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ► ☐

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf. . .						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year.						
c Add lines 7a and 7b. .						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
9 Amounts from line 6. . .						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources. .						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975.						
c Add lines 10a and 10b.						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on.						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) . .						
13 Total support. (Add lines 9, 10c, 11, and 12.) . .						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here. <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2019 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2018 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2019 (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from 2018 Schedule A, Part III, line 17	18	
19a 33 1/3% support tests—2019. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
b 33 1/3% support tests—2018. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions <input type="checkbox"/>		

Part IV Supporting Organizations

(Complete only if you checked a box on line 12 of Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>		
1		
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>		
2		
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer (b) and (c) below.</i>		
3a		
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>		
3b		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>		
3c		
4a Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes" and if you checked 12a or 12b in Part I, answer (b) and (c) below.</i>		
4a		
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>		
4b		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>		
4c		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>		
5a		
b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
5b		
c Substitutions only. Was the substitution the result of an event beyond the organization's control?		
5c		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>		
6		
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ) .</i>		
7		
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
8		
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>		
9a		
b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>		
9b		
c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>		
9c		
10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer line 10b below.</i>		
10a		
b Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings).</i>		
10b		

Part IV

Supporting Organizations (continued)

	Yes	No
11 Has the organization accepted a gift or contribution from any of the following persons?		
a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
b A family member of a person described in (a) above?		
c A 35% controlled entity of a person described in (a) or (b) above? <i>If "Yes" to a, b, or c, provide detail in Part VI.</i>		

Section B. Type I Supporting Organizations

	Yes	No
1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? <i>If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</i>		
2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? <i>If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.</i>		

Section C. Type II Supporting Organizations

	Yes	No
1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? <i>If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</i>		

Section D. All Type III Supporting Organizations

	Yes	No
1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization (s) or (ii) serving on the governing body of a supported organization? <i>If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</i>		
3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? <i>If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.</i>		

Section E. Type III Functionally-Integrated Supporting Organizations

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions):		
a <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.		
b <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.		
c <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions)		
2 Activities Test. Answer (a) and (b) below.		
a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? <i>If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</i>		
b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? <i>If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.</i>		
3 Parent of Supported Organizations. Answer (a) and (b) below.		
a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>Provide details in Part VI.</i>		
b Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? <i>If "Yes," describe in Part VI the role played by the organization in this regard.</i>		

Part V

Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

<div>1</div> <div><input type="checkbox"/></div> <div>Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI). See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.</div>			
Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	Adjusted Net Income (subtract lines 5, 6 and 7 from line 4)	8	
Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):	1	
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	Total (add lines 1a, 1b, and 1c)	1d	
e	Discount claimed for blockage or other factors (explain in detail in Part VI):		
2	Acquisition indebtedness applicable to non-exempt use assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions).	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035	6	
7	Recoveries of prior-year distributions	7	
8	Minimum Asset Amount (add line 7 to line 6)	8	
Section C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3	4	
5	Income tax imposed in prior year	5	
6	Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	
7	<div><input type="checkbox"/></div> Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)		

Part V

Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI). See instructions	
7 Total annual distributions. Add lines 1 through 6.	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions	
9 Distributable amount for 2019 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2019	(iii) Distributable Amount for 2019
1 Distributable amount for 2019 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2019 (reasonable cause required-- explain in Part VI). See instructions.			
3 Excess distributions carryover, if any, to 2019:			
a From 2014.			
b From 2015.			
c From 2016.			
d From 2017.			
e From 2018.			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2019 distributable amount			
i Carryover from 2014 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4 Distributions for 2019 from Section D, line 7:			
\$			
a Applied to underdistributions of prior years			
b Applied to 2019 distributable amount			
c Remainder. Subtract lines 4a and 4b from 4.			
5 Remaining underdistributions for years prior to 2019, if any. Subtract lines 3g and 4a from line 2. If the amount is greater than zero, explain in Part VI. See instructions.			
6 Remaining underdistributions for 2019. Subtract lines 3h and 4b from line 1. If the amount is greater than zero, explain in Part VI. See instructions.			
7 Excess distributions carryover to 2020. Add lines 3j and 4c.			
8 Breakdown of line 7:			
a Excess from 2015.			
b Excess from 2016.			
c Excess from 2017.			
d Excess from 2018.			
e Excess from 2019.			

Additional Data

Software ID: 19010655
Software Version: 2019v5.0
EIN: 06-0646973
Name: Yale University

Part VI

Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**
▶ **Go to www.irs.gov/Form990 for instructions and the latest information.**

OMB No. 1545-0047

2019

Open to Public Inspection

If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of the organization Yale University	Employer identification number 06-0646973
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Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1	Provide a description of the organization's direct and indirect political campaign activities in Part IV (see instructions for definition of "political campaign activities")	
2	Political campaign activity expenditures (see instructions)	▶ \$
3	Volunteer hours for political campaign activities (see instructions)	

Part I-B Complete if the organization is exempt under section 501(c)(3).

1	Enter the amount of any excise tax incurred by the organization under section 4955	▶ \$
2	Enter the amount of any excise tax incurred by organization managers under section 4955	▶ \$
3	If the organization incurred a section 4955 tax, did it file Form 4720 for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No
4a	Was a correction made?	<input type="checkbox"/> Yes <input type="checkbox"/> No
b	If "Yes," describe in Part IV.	

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1	Enter the amount directly expended by the filing organization for section 527 exempt function activities	▶ \$
2	Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities	▶ \$
3	Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b	▶ \$
4	Did the filing organization file Form 1120-POL for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No
5	Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.	

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.
1				
2				
3				
4				
5				
6				

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

A Check ☒ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).

B Check ☐ if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures
(The term "expenditures" means amounts paid or incurred.)

1a Total lobbying expenditures to influence public opinion (grass roots lobbying)

b Total lobbying expenditures to influence a legislative body (direct lobbying)

c Total lobbying expenditures (add lines 1a and 1b)

d Other exempt purpose expenditures

e Total exempt purpose expenditures (add lines 1c and 1d)

f Lobbying nontaxable amount. Enter the amount from the following table in both columns.

If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:
Not over \$500,000	20% of the amount on line 1e.
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.
Over \$17,000,000	\$1,000,000.

(a) Filing organization's totals

(b) Affiliated group totals

7,726 7,726

524,741 524,741

532,467 532,467

4,303,910,976 4,409,572,396

4,304,443,443 4,410,104,863

1,000,000 1,000,000

g Grassroots nontaxable amount (enter 25% of line 1f)

h Subtract line 1g from line 1a. If zero or less, enter -0-

i Subtract line 1f from line 1c. If zero or less, enter -0-

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?

☐ Yes ☐ No

250,000	250,000
0	0
0	0

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) Total
2a Lobbying nontaxable amount	1,000,000	1,000,000	1,000,000	1,000,000	4,000,000
b Lobbying ceiling amount (150% of line 2a, column(e))					6,000,000
c Total lobbying expenditures	491,746	568,736	607,452	532,467	2,200,401
d Grassroots nontaxable amount	250,000	250,000	250,000	250,000	1,000,000
e Grassroots ceiling amount (150% of line 2d, column (e))					1,500,000
f Grassroots lobbying expenditures	10,866	13,471	6,699	7,726	38,762

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

		(a)		(b)
		Yes	No	Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a	Volunteers?			
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c	Media advertisements?			
d	Mailings to members, legislators, or the public?			
e	Publications, or published or broadcast statements?			
f	Grants to other organizations for lobbying purposes?			
g	Direct contact with legislators, their staffs, government officials, or a legislative body?			
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i	Other activities?			
j	Total. Add lines 1c through 1i			
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1	
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3 Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues .	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1. Also, complete this part for any additional information.

Return Reference	Explanation

efile GRAPHIC print - DO NOT PROCESS As Filed Data - DLN: 93493137041041

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

► Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
► Attach to Form 990.
► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
Yale University

Employer identification number
06-0646973

Part I

Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.
Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

1

Total number at end of year

8

9

2

Aggregate value of contributions to (during year)

10,000,000

12,000,000

3

Aggregate value of grants from (during year)

4,575,000

8,632,807

4

Aggregate value at end of year

152,181,271

97,296,711

5

Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?

☒ Yes ☐ No

6

Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?

☒ Yes ☐ No

Part II

Conservation Easements.
Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1

Purpose(s) of conservation easements held by the organization (check all that apply).
☐ Preservation of land for public use (e.g., recreation or education) ☐ Preservation of an historically important land area
☐ Protection of natural habitat ☐ Preservation of a certified historic structure
☐ Preservation of open space

2

Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

2a

2b

2c

2d

Held at the End of the Year

3

Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ►

4

Number of states where property subject to conservation easement is located ►

5

Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

☐ Yes ☐ No

6

Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ►

7

Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ► \$

8

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?

☐ Yes ☐ No

9

In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.
Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a

If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b

If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i)

Revenue included on Form 990, Part VIII, line 1

► \$

(ii)

Assets included in Form 990, Part X

► \$

2

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a

Revenue included on Form 990, Part VIII, line 1

► \$

b

Assets included in Form 990, Part X

► \$

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Cat. No. 52283D

Schedule D (Form 990) 2019

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a

☒ Public exhibition

b

☒ Scholarly research

c

☒ Preservation for future generations

d

☒ Loan or exchange programs

e

☐ Other

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☒ No

Part IV

Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☒ No

b

If "Yes," explain the arrangement in Part XIII and complete the following table:

c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance

	Amount
1c	
1d	
1e	
1f	

2a

Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?

☒ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

☒

Part V

Endowment Funds.

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	30,358,036,252	29,509,378,000	27,441,050,000	25,657,499,000	25,789,811,000
b Contributions	331,706,000	381,186,000	138,040,000	204,249,000	182,188,000
c Net investment earnings, gains, and losses	1,931,965,000	1,629,992,000	3,277,910,000	2,747,729,000	753,230,000
d Grants or scholarships	194,735,352	186,492,442	188,747,483	188,164,318	178,364,898
e Other expenditures for facilities and programs	1,088,897,099	886,059,903	1,072,306,403	896,528,695	808,937,122
f Administrative expenses	93,802,734	89,967,403	86,568,114	83,733,987	80,427,980
g End of year balance	31,244,272,067	30,358,036,252	29,509,378,000	27,441,050,000	25,657,499,000

2

Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

a

Board designated or quasi-endowment

17.52 %

b

Permanent endowment

14.74 %

c

Temporarily restricted endowment

67.74 %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

(i) unrelated organizations

3a(i)

Yes

No

(ii) related organizations

3a(ii)

Yes

b

If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?

3b

Yes

4

Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI

Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		137,052,821		137,052,821
b Buildings	58,171,500	8,189,965,843	3,001,731,444	5,246,405,899
c Leasehold improvements		30,186,987	13,742,978	16,444,009
d Equipment		551,755,262	412,731,441	139,023,821
e Other				
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				5,538,926,550

Schedule D (Form 990) 2019

Part VII

Investments—Other Securities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other _____		
(A) Fixed income	24,895,608	F
(B) Common Stock	3,163,326	F
(C) Equity Investments	28,377,081,994	F
(D) Other Investments	266,223,697	F
(E)		
(F)		
(G)		
(H)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 12.) ▶	28,671,364,625	

Part VIII

Investments—Program Related.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col.(B) line 13.) ▶		

Part IX

Other Assets.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col.(B) line 15.) ▶	

Part X

Other Liabilities.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
See Additional Data Table	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
Total. (Column (b) must equal Form 990, Part X, col.(B) line 25.) ▶	7,235,199,040

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII ☐

Schedule D (Form 990) 2019

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements	1	3,534,152,000
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains (losses) on investments	2a	-584,245,824
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIII.)	2d	-1,297,086,694
e	Add lines 2a through 2d	2e	-1,881,332,518
3	Subtract line 2e from line 1	3	5,415,484,518
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1 :		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	200,799,785
b	Other (Describe in Part XIII.)	4b	-21,107,158
c	Add lines 4a and 4b	4c	179,692,627
5	Total revenue. Add lines 3 and 4c . (This must equal Form 990, Part I, line 12.)	5	5,595,177,145

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements	1	4,043,947,641
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIII.)	2d	32,833,860
e	Add lines 2a through 2d	2e	32,833,860
3	Subtract line 2e from line 1	3	4,011,113,781
4	Amounts included on Form 990, Part IX, line 25, but not on line 1 :		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	200,799,785
b	Other (Describe in Part XIII.)	4b	369,423,266
c	Add lines 4a and 4b	4c	570,223,051
5	Total expenses. Add lines 3 and 4c . (This must equal Form 990, Part I, line 18.)	5	4,581,336,832

Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
See Additional Data Table	

Part XIII Supplemental Information *(continued)*

Return Reference	Explanation

Additional Data

Software ID: 19010655
Software Version: 2019v5.0
EIN: 06-0646973
Name: Yale University

Form 990, Schedule D, Part X, - Other Liabilities

1. (a) Description of Liability	(b) Book Value
Employee benefit obligations	2,023,797,117
Compensated absences	80,422,219
Financial aid grant obligations	67,997,818
Asset retirement obligations	42,380,000
Lease Liabilities	224,021,244
Liabilities under split-interest agreements	140,077,878
Advances from Federal gov't for student loans	15,987,314
Securities sold not yet purchased	1,039,595,522
Due to institutions	1,811,591,749
Other liabilities	1,789,328,179

Supplemental Information	
Return Reference	Explanation
Schedule D, Part V ENDOWMENT FUNDS	ENDOWMENT FUNDS INCLUDE THE VALUE OF THE ENDOWMENT AND UNEXPENDED ENDOWMENT INCOME AT JUNE 30, 2020.

Supplemental Information

Return Reference	Explanation
Schedule D, Part X, Line 2 LIABILITY FOR UNCERTAIN TAX POSITIONS	THE UNIVERSITY WAS NOT REQUIRED TO INCLUDE A FIN 48 (ASC 740), ACCOUNTING FOR UNCERTAINTY IN INCOME TAXES, FOOTNOTE IN ITS FINANCIAL STATEMENTS FOR THE FISCAL YEAR ENDED JUNE 30, 2020.

Supplemental Information

Return Reference	Explanation
Schedule D, Part III, Line 1a Collections of art - financial statement footnote	Yale University Financial Statements - Collections Footnote: Collections at Yale include works of art, literary works, historical treasures, and artifacts that are maintained in the University's museums and libraries. These collections are protected and preserved for public exhibition, education, research, and the furtherance of public service. Collections are not capitalized; purchases of collection items are recorded as expenses in the University's consolidated statement of activities in the period in which the items are acquired.

Supplemental Information

Return Reference	Explanation
Schedule D, Part III, Line 4 Collections of art - description of collections	<p>Yale University collections are an integral part of teaching and learning across the university and include the Yale University Art Gallery, the Yale Center for British Art, the Peabody Museum of Natural History, and the Collection of Musical Instruments. All the collections are open to the public. The Yale University Art Gallery: The Yale University Art Gallery, founded in 1832, is the oldest U.S. college art museum. The gallery houses more than 300,000 objects from all regions of the globe and across time. The collection includes ancient art, Asian art, African art, Indo-Pacific art, prints and drawings, photographs, numismatics, works of European and American masters from virtually every period, and a rich collection of modern and contemporary art. The mission of the Yale University Art Gallery is to encourage appreciation and understanding of art and its role in society through direct engagement with original works of art. The gallery stimulates active learning about art and the creative process through research, teaching, and dialogue among communities of Yale students, faculty, artists, scholars, alumni, and the wider public. The Gallery organizes exhibitions and educational programs to offer enjoyment and encourage inquiry, while building and maintaining its collections in trust for future generations. The Yale Center for British Art: The Yale Center for British Art is a public art museum and research institute for the study of British art and culture. The Yale Center for British Art, which opened in 1977, houses the largest and most comprehensive collection of British art outside the United Kingdom. The collection of paintings, sculpture, prints, drawings, watercolors, rare books, and manuscripts reflects the development of British art and culture from the Elizabethan period onward. The Center offers a year-round schedule of exhibitions and programs, including talks and lectures, conferences, films, concerts, and performances. The Yale Center for British Art oversees an active research program through which it promotes and fosters the scholarship of all aspects of British art and material culture. Academic resources of the center include the Reference Library and Archives, conservation laboratories, a study room for examining works on paper as well as rare books and manuscripts from the collection, and an online catalogue of the collections. Peabody Museum: Yale's Peabody Museum of Natural History, founded in 1866, is one of the oldest and largest university-based natural history museums with worldwide acclaim for its dinosaur skeletons, mammal displays, and natural history collections. The Peabody Museum houses more than 13 million specimens and artifacts representing more than 4 billion years of geological, biological, and human history. These collections are at the heart of university teaching and are a resource for scholars at Yale and around the world. Museums and the expertise that surrounds them are vibrant elements of Yale's culture.</p>

Supplemental Information

Return Reference	Explanation
Schedule D, Part III, Line 4 Collections of art - description of collections	<p>re of scholarship and teaching. The Peabody is a working museum, where public exhibition, research, conservation, teaching, and learning intersect. Its mission is to serve the University by advancing the understanding of earth's history through geological, biological, and anthropological research, and by communicating the results of this research to the widest possible audience through publication, exhibition, and educational programs. Fundamental to this mission is stewardship of the Museum's rich collections, which provide a remarkable record of the history of the earth, its life, and its cultures. Conservation, augmentation and use of these collections become increasingly urgent as modern threats to the diversity of life and culture continue to intensify. The Museum's collections are a major component of the research and teaching activities of the Peabody and Yale. The Yale Peabody Museum Office of Student Programs supports Yale student participation in the activities of the Museum across all of its departments and divisions. In addition, each year the Yale Peabody Museum provides educational programs on biology, paleontology, geology, ancient civilizations, and social studies to more than 25,000 students from Connecticut, Massachusetts, New York, and Rhode Island. All programs draw on the Museum's exhibits to meet the increasingly sophisticated needs of science and social studies education, and most can be adapted to accommodate specific group needs as requested. The Museum's collections are available to legitimate researchers at established institutions for scholarly use.</p> <p>Collection of Musical Instruments: Established in 1900, the Collection of Musical Instruments today comprises nearly one thousand instruments, and has helped scholars document the history of Western art music. It is committed to fostering an understanding and appreciation of musical instruments from all cultures and periods. One of the foremost institutions of its kind, the Collection acquires, preserves, and exhibits musical instruments from antiquity to the present, and showcases restored examples in demonstration and live performance.</p> <p>Yale University Library: In addition to its museums, the Yale University Library provides outstanding support for teaching, learning, research and practice at the University, and for the world-wide scholarly community, through its staff, collections, programs, spaces, and services. The collection of nearly 15 million print and electronic volumes is housed in 15 libraries, including Sterling Memorial, Beinecke, and Bass libraries, the Center for Science and Social Science Information, as well as many other school and departmental libraries. Collections range from ancient papyri and early printed books to digital collections and electronic databases; and they transcend a wide array of formats including text, photographs, video, audio, data, maps, and ephemera. In building the magnificent collections over the last three centuries, Yale made a</p>

Supplemental Information	
Return Reference	Explanation
Schedule D, Part III, Line 4 Collections of art - description of collections	stewardship commitment to assist in the preservation of human knowledge. The Library also has a responsibility to bring digital resources to the Yale community and to address the challenges of digital preservation.

Supplemental Information	
Return Reference	Explanation
Schedule D, Part IV, Line 2b Explanation of escrow agreement	THE AMOUNT REPORTED ON FORM 990, PART X, LINE 21, ESCROW ACCOUNT LIABILITY, CONSISTS OF SECURITY DEPOSITS RELATED TO LEASE AGREEMENTS AND OTHER DEPOSITS.

Supplemental Information

Return Reference	Explanation
Schedule D, Part V, Line 4 Intended uses of endowment funds	<p>Yale's endowment spending and investment policies provide substantial levels of cash flow to the operating budget for current scholars, while preserving endowment purchasing power for future generations. SPENDING FROM THE ENDOWMENT GREW DURING THE LAST DECADE FROM \$1.1 billion TO \$1.4 BILLION, AN ANNUAL GROWTH RATE OF 2.6%. THE YALE ENDOWMENT CONTAINS THOUSANDS OF FUNDS WITH VARIOUS PURPOSES AND RESTRICTIONS. APPROXIMATELY 82% OF FUNDS CONSTITUTE TRUE ENDOWMENT, GIFTS RESTRICTED BY DONORS TO PROVIDE LONG-TERM FUNDING FOR DESIGNATED PURPOSES. THE REMAINING FUNDS REPRESENT QUASI-ENDOWMENT, MONIES THAT THE YALE CORPORATION CHOOSES TO INVEST AND TREAT AS ENDOWMENT. DONORS FREQUENTLY SPECIFY A PARTICULAR PURPOSE FOR GIFTS, CREATING ENDOWMENTS TO FUND PROFESSORSHIPS, TEACHING, AND LECTURESHIPS (24%); SCHOLARSHIPS, FELLOWSHIPS, AND PRIZES (18%); MAINTENANCE (4%); BOOKS (3%); AND MISCELLANEOUS SPECIFIC PURPOSES (27%). TWENTY-FOUR PERCENT OF FUNDS ARE UNRESTRICTED. Eighteen PERCENT OF THE ENDOWMENT BENEFITS THE OVERALL UNIVERSITY, WITH REMAINING FUNDS FOCUSED ON SPECIFIC UNITS, INCLUDING THE FACULTY OF ARTS AND SCIENCES (34%), THE PROFESSIONAL AND ARTS SCHOOLS (25%), THE LIBRARY (7%), AND OTHER ENTITIES (16%).</p>

Supplemental Information

Return Reference	Explanation
Schedule D, Part XI, Line 2(d) Other revenues in audited financial statements not in form 990	Tuition discounts which were netted with student income on the audited financial statements: - -369423266 Non-Yale elimination: - 27737751 Change in funding status of defined benefit plans: - -358488966 Actuarial adjustment for split-interest liability: - 8964193 Non-controlling interest: - -605878000 Other: - 1594

Supplemental Information

Return Reference	Explanation
Schedule D, Part XI, Line 4(b) Other revenues in form 990 not in audited financial statements	Expenses on the audited financial statements that are reclassified for Form 990 purposes and reported on Part VIII, Lines 6B, 7B, 8B, and 9B pursuant to form instructions: - -21107158

Supplemental Information

Return Reference	Explanation
Schedule D, Part XII, Line 2(d) Other expenses in audited financial statements not in form 990	Expenses on the audited financial statements that are reclassified for form 990 purposes and reported on Part VIII, Lines 6B, 7B, 8B, and 9B pursuant to form instructions: - 21107158 Non-Yale eliminations: - 11726702

Supplemental Information

Return Reference	Explanation
Schedule D, Part XII, Line 4(b) Other expenses in form 990 not in audited financial statements	Tuition discounts which were netted with student income on the audited financial statements: - 369423266

SCHEDULE E
(Form 990 or 990-EZ)

Department of the Treasury
Name of the organization
Yale University

Schools

► Complete if the organization answered "Yes" on Form 990, Part IV, line 13, or Form 990-EZ, Part VI, line 48.
► Attach to Form 990 or Form 990-EZ.
► Go to www.irs.gov/Form990EZ for the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Employer identification number
06-0646973

Part I

	YES	NO
1 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	1 Yes	
2 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	2 Yes	
3 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe. If "No," please explain. If you need more space use Part II.	3	No
4 Does the organization maintain the following?		
a Records indicating the racial composition of the student body, faculty, and administrative staff?	4a Yes	
b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?	4b Yes	
c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?	4c Yes	
d Copies of all material used by the organization or on its behalf to solicit contributions? If you answered "No" to any of the above, please explain. If you need more space, use Part II.	4d Yes	
5 Does the organization discriminate by race in any way with respect to:		
a Students' rights or privileges?	5a	No
b Admissions policies?	5b	No
c Employment of faculty or administrative staff?	5c	No
d Scholarships or other financial assistance?	5d	No
e Educational policies?	5e	No
f Use of facilities?	5f	No
g Athletic programs?	5g	No
h Other extracurricular activities? If you answered "Yes" to any of the above, please explain. If you need more space, use Part II.	5h	No
6a Does the organization receive any financial aid or assistance from a governmental agency?	6a Yes	
b Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either line 6a or line 6b, explain on Part II.	6b	No
7 Does the organization certify that it has complied with the applicable requirements of sections 4.01 through 4.05 of Rev. Proc. 75-50, 1975-2 C.B. 587, covering racial nondiscrimination? If "No," explain on Part II.	7 Yes	

Part II Supplemental Information. Provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also provide any other additional information. See instructions.

Return Reference	Explanation
Schedule E, Part I, Line 3 RACIALLY NONDISCRIMINATORY POLICY	The university meets the criteria established in Section 4.03.2(b) of Rev. Proc. 75-50 through admission and financial aid offices outreach programs. THE UNIVERSITY'S EQUAL OPPORTUNITY STATEMENT IS MADE KNOWN TO ALL SEGMENTS OF THE GENERAL COMMUNITY SERVICED BY THE UNIVERSITY. IT IS INCLUDED IN CATALOGUES AND BROCHURES DEALING WITH STUDENT ADMISSIONS, PROGRAMS AND SCHOLARSHIPS OF THE UNIVERSITY AS WELL AS ON ITS WEBSITE WHICH ARE ALL AVAILABLE TO THE GENERAL PUBLIC. YALE UNIVERSITY'S EQUAL OPPORTUNITY STATEMENT: THE UNIVERSITY IS COMMITTED TO BASING JUDGMENTS CONCERNING THE ADMISSION, EDUCATION, AND EMPLOYMENT OF INDIVIDUALS UPON THEIR QUALIFICATIONS AND ABILITIES AND AFFIRMATIVELY SEEKS TO ATTRACT TO ITS FACULTY, STAFF, AND STUDENT BODY QUALIFIED PERSONS OF DIVERSE BACKGROUNDS. IN ACCORDANCE WITH THIS POLICY AND AS DELINEATED BY FEDERAL AND CONNECTICUT LAW, YALE DOES NOT DISCRIMINATE IN ADMISSIONS, EDUCATIONAL PROGRAMS, OR EMPLOYMENT AGAINST ANY INDIVIDUAL ON ACCOUNT OF THAT INDIVIDUAL'S SEX, RACE, COLOR, RELIGION, AGE, DISABILITY, STATUS AS A VETERAN, OR NATIONAL OR ETHNIC ORIGIN; NOR DOES YALE DISCRIMINATE ON THE BASIS OF SEXUAL ORIENTATION OR GENDER IDENTITY OR EXPRESSION. UNIVERSITY POLICY IS COMMITTED TO AFFIRMATIVE ACTION UNDER LAW IN EMPLOYMENT OF WOMEN, MINORITY GROUP MEMBERS, INDIVIDUALS WITH DISABILITIES, AND PROTECTED VETERANS.
Schedule E, Part I, Line 6(a) FINANCIAL AID OR ASSISTANCE FROM A GOVERNMENT	THE UNIVERSITY HAS RECEIVED AND CONTINUES TO RECEIVE STUDENT FINANCIAL AID AND GRANTS FROM GOVERNMENTAL AGENCIES.

SCHEDULE F
(Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

► Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.
► Attach to Form 990.
► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
Yale University

Employer identification number
06-0646973

Part I

General Information on Activities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

- 1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ Yes ☐ No
- 2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.
- 3 Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region
See Add'l Data					
3a Sub-total	5	49			4,493,524,351
b Total from continuation sheets to Part I	2	209			818,872,540
c Totals (add lines 3a and 3b)	7	258			5,312,396,891

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

[illegible]

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter 42

3	Enter total number of other organizations or entities	31
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Part III	Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16.
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Part III can be duplicated if additional space is needed.

[illegible]

Part IV Foreign Forms

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* ☒ Yes ☐ No
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)* ☒ Yes ☐ No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)* ☒ Yes ☐ No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)* . ☒ Yes ☐ No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* ☒ Yes ☐ No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990).* ☒ Yes ☐ No

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

990 Schedule F, Supplemental Information

Return Reference	Explanation
Schedule F, Part I, Line 2 Procedures for monitoring use of grant funds	<p>WITH RESPECT TO SPONSORED PROJECT ACTIVITY SUBCONTRACTED TO OTHER ENTITIES, THE UNIVERSITY'S POLICY AND PROCEDURES FOR MONITORING SUB RECIPIENT AWARDS ("SUBAWARDS") ARE INTENDED TO ESTABLISH APPROPRIATE AND EFFECTIVE MECHANISMS TO PROMOTE COMPLIANCE WITH FEDERAL AND NON-FEDERAL REQUIREMENTS AND CONTRACTUAL ARRANGEMENTS, INCLUDING THE PROPER MONITORING AND STEWARDSHIP OF AWARDED FUNDS. PROPER MONITORING OF A SUBAWARD ENSURES THAT AWARDED FUNDS ARE USED FOR AUTHORIZED PURPOSES, PERFORMANCE GOALS ARE MET AND THE SUB RECIPIENT IS IN COMPLIANCE WITH THE TERMS AND CONDITIONS OF THE SUB RECIPIENT AGREEMENT. SUB RECIPIENT MONITORING PROCEDURES INCLUDE BUT ARE NOT LIMITED TO THE FOLLOWING ACTIVITIES: A FORMAL PRE-QUALIFICATION PROCESS FOR SUB RECIPIENTS, PRE-AWARD AND POST-AWARD MONITORING OF SUB RECIPIENTS TO REVIEW THE FINANCIAL STATUS AND MANAGEMENT CONTROLS, COMPLIANCE CERTIFICATIONS, REVIEW OF COMPLIANCE WITH REGULATIONS RELATIVE TO THE USE OF HUMAN SUBJECTS AND ANIMALS, IDENTIFICATION AND REPORTING OF ANY CONFLICT OF INTEREST WITH A SUB RECIPIENT ENTITY, AND A REVIEW OF PERTINENT OTHER ORGANIZATION INFORMATION. IN ACCORDANCE WITH THESE PROCEDURES, THE UNIVERSITY REVIEWS THE SUB RECIPIENT'S ADMINISTRATION, FINANCIAL STATEMENTS, BILLINGS, SCIENTIFIC PROGRESS, TECHNICAL REPORTS, AND DELIVERABLES OF AN ACTIVE SUBAWARD REGULARLY AND CONDUCTS ON-GOING RISK ASSESSMENTS AS WELL AS QUARTERLY QUALITY ASSURANCE REVIEWS ON RANDOMLY SELECTED SUBAWARDS. IN ADDITION TO A MONTHLY DEPARTMENTAL REVIEW, THE UNIVERSITY MONITORS A SUB RECIPIENT'S COMPLIANCE WITH THE TERMS AND CONDITIONS OF THE SUBAWARD ANNUALLY AND UPON CLOSEOUT OF THE SUB RECIPIENT AGREEMENT. IF ANY OF THESE MONITORING PROCEDURES RESULT IN FINDINGS, THE UNIVERSITY WILL TAKE CORRECTIVE ACTION AS NECESSARY. THE PROCEDURES SERVE TO ENSURE THAT THE SUBAWARD FUNDS ARE USED FOR PROPER PURPOSES AND NOT DIVERTED FROM THEIR INTENDED USE.</p>

990 Schedule F, Supplemental Information

Return Reference	Explanation
Schedule F, Part I, Line 3 BREAKOUT OF INVESTMENTS	PURSUANT TO THE FORM INSTRUCTIONS, THE FOLLOWING IS A BREAKOUT OF AMOUNTS REPORTED AS INVESTMENTS: CENTRAL AMERICA AND THE CARIBBEAN: INVESTMENTS = \$4,438,847,423 EXPENDITURES = \$48,196,926 EAST ASIA AND THE PACIFIC: INVESTMENTS = \$4,650,024 EXPENDITURES = \$116,191 EUROPE (INCLUDING ICELAND AND GREENLAND): INVESTMENTS = \$370,135,973 EXPENDITURES = \$2,468,654 MIDDLE EAST AND NORTH AMERICA: INVESTMENTS = \$0 EXPENDITURES = \$15,934 NORTH AMERICA (CANADA & MEXICO ONLY): INVESTMENTS = \$279,558,772 EXPENDITURES = \$10,531 SOUTH AMERICA: INVESTMENTS = \$0 EXPENDITURES = \$1,781,496 SOUTH ASIA: INVESTMENTS = \$0 EXPENDITURES = \$5,916 SUB-SAHARAN AFRICA: INVESTMENTS = \$126,449,007 EXPENDITURES = \$0

990 Schedule F, Supplemental Information

Return Reference	Explanation
Schedule F, Part II, Line 3 NUMBER OF OTHER ORGANIZATIONS OR ENTITIES	THE TOTAL NUMBER REPORTED ON LINE 3 INCLUDES TAX-EXEMPT ORGANIZATIONS AND PUBLIC INSTITUTIONS. MOST OF THE SUBAWARD RECIPIENTS ARE UNIVERSITIES, HOSPITALS, AND RESEARCH INSTITUTIONS.

990 Schedule F, Supplemental Information

Return Reference	Explanation
Schedule F, Part II SUBAWARD	A SUBAWARD IS AN AWARD OF FINANCIAL SUPPORT FROM THE UNIVERSITY TO A QUALIFIED THIRD PARTY PRIMARILY TO PERFORM RESEARCH AND OTHER RELATED PROGRAMMATIC EFFORTS UNDER A SPONSORED RESEARCH PROJECT.

990 Schedule F, Supplemental Information

Return Reference	Explanation
Schedule F, Part III COLUMNS (C) AND (D), TOTAL AWARDS	THE AMOUNTS REPORTED IN COLUMNS (C) AND (D) REPRESENT AN ESTIMATE OF TOTAL AWARDS FOR THE FISCAL YEAR ENDED JUNE 30, 2020 BASED ON INFORMATION PROVIDED BY THE UNIVERSITY'S UNDERGRADUATE, GRADUATE AND PROFESSIONAL SCHOOLS. SOME STUDENTS RECEIVED MORE THAN ONE AWARD DURING THE FISCAL YEAR ENDED JUNE 30, 2020.

Additional Data

Software ID: 19010655

Software Version: 2019v5.0

EIN: 06-0646973

Name: Yale University

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Central America and the Caribbean	0	0	Program Services	Academic Collaboration	14,263
Central America and the Caribbean	0	0	Program Services	Instruction	16,818

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Central America and the Caribbean	0	1	Program Services	Other Mission Related Activities	24,824
Central America and the Caribbean	0	2	Program Services	Research	121,261

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Central America and the Caribbean	0	0	Program Services	Seminars & Conferences	100,112
Central America and the Caribbean	0	0	Program Services	Student Recruitment	5,603

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Central America and the Caribbean	0	0	Program Services	Study Abroad & Other Educational Programs	14,860
Central America and the Caribbean	0	0	Grantmaking		629,649

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Central America and the Caribbean	0	0	Investments		4,487,044,349
East Asia and the Pacific	1	6	Program Services	Academic Collaboration	482,702

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific	0	0	Program Services	Alumni Relations	8,214
East Asia and the Pacific	0	9	Program Services	Cultural Exchange	17,893

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific	0	2	Program Services	Instruction	70,027
East Asia and the Pacific	2	14	Program Services	Other Mission Related Activities	3,306,535

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific	2	14	Program Services	Research	883,495
East Asia and the Pacific	0	1	Program Services	Seminars & Conferences	783,746

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific	0	0	Program Services	Student Recruitment	209,816
East Asia and the Pacific	0	0	Program Services	Study Abroad & Other Educational Programs	128,858

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific	0	0	Program Services	Training & Clinical Practice	10,126
East Asia and the Pacific	0	0	Fundraising		58,183

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific	0	0	Grantmaking		1,741,940
East Asia and the Pacific	0	0	Investments		4,766,215

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)	0	3	Program Services	Academic Collaboration	315,606
Europe (Including Iceland and Greenland)	0	0	Program Services	Alumni Relations	93,508

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)	0	23	Program Services	Cultural Exchange	100,076
Europe (Including Iceland and Greenland)	0	8	Program Services	Instruction	232,230

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)	0	22	Program Services	Other Mission Related Activities	7,890,784
Europe (Including Iceland and Greenland)	0	15	Program Services	Research	1,393,172

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)	0	0	Program Services	Seminars & Conferences	2,054,792
Europe (Including Iceland and Greenland)	0	0	Program Services	Student Recruitment	103,714

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)	0	5	Program Services	Study Abroad & Other Educational Programs	679,474
Europe (Including Iceland and Greenland)	0	0	Program Services	Training & Clinical Practice	16,718

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)	0	0	Fundraising		112,989
Europe (Including Iceland and Greenland)	0	0	Grantmaking		4,466,716

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)	0	0	Investments		372,604,627
Middle East and North Africa	0	1	Program Services	Academic Collaboration	11,687

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Middle East and North Africa	0	1	Program Services	Instruction	31,979
Middle East and North Africa	0	2	Program Services	Other Mission Related Activities	67,455

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Middle East and North Africa	0	1	Program Services	Research	248,011
Middle East and North Africa	0	0	Program Services	Seminars & Conferences	131,230

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Middle East and North Africa	0	0	Program Services	Student Recruitment	16,914
Middle East and North Africa	0	1	Program Services	Study Abroad & Other Educational Programs	37,259

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Middle East and North Africa	0	1	Program Services	Training & Clinical Practice	12,529
Middle East and North Africa	0	0	Grantmaking		281,071

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Middle East and North Africa	0	0	Investments		15,934
North America (Canada & Mexico only)	0	1	Program Services	Academic Collaboration	29,226

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
North America (Canada & Mexico only)	0	0	Program Services	Alumni Relations	8,543
North America (Canada & Mexico only)	0	6	Program Services	Cultural Exchange	7,643

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
North America (Canada & Mexico only)	0	1	Program Services	Instruction	71,853
North America (Canada & Mexico only)	0	13	Program Services	Other Mission Related Activities	411,366

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
North America (Canada & Mexico only)	0	2	Program Services	Research	128,134
North America (Canada & Mexico only)	0	1	Program Services	Seminars & Conferences	400,477

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
North America (Canada & Mexico only)	0	0	Program Services	Student Recruitment	60,897
North America (Canada & Mexico only)	0	0	Program Services	Study Abroad & Other Educational Programs	42,475

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
North America (Canada & Mexico only)	0	0	Program Services	Training & Clinical Practice	6,800
North America (Canada & Mexico only)	0	0	Grantmaking		735,633

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
North America (Canada & Mexico only)	0	0	Investments		279,569,303
Russia and Neighboring States	0	2	Program Services	Other Mission Related Activities	18,868

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Russia and Neighboring States	0	2	Program Services	Research	123,056
Russia and Neighboring States	0	0	Program Services	Seminars & Conferences	11,991

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Russia and Neighboring States	0	1	Program Services	Study Abroad & Other Educational Programs	96,682
Russia and Neighboring States	0	0	Grantmaking		1,079,489

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South America	0	1	Program Services	Academic Collaboration	27,409
South America	0	2	Program Services	Alumni Relations	11,910

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South America	0	1	Program Services	Instruction	23,280
South America	0	5	Program Services	Other Mission Related Activities	87,489

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South America	0	9	Program Services	Research	319,316
South America	0	0	Program Services	Seminars & Conferences	149,401

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South America	0	0	Program Services	Student Recruitment	56,256
South America	0	1	Program Services	Study Abroad & Other Educational Programs	144,314

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South America	0	0	Program Services	Training & Clinical Practice	7,036
South America	0	0	Fundraising		6,506

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South America	0	0	Grantmaking		1,656,956
South America	0	0	Investments		1,781,496

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South Asia	0	0	Program Services	Academic Collaboration	26,708
South Asia	0	0	Program Services	Instruction	26,366

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South Asia	0	9	Program Services	Other Mission Related Activities	136,868
South Asia	0	11	Program Services	Research	347,380

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South Asia	0	0	Program Services	Seminars & Conferences	89,637
South Asia	0	0	Program Services	Student Recruitment	50,459

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South Asia	0	0	Program Services	Study Abroad & Other Educational Programs	55,508
South Asia	0	0	Fundraising		13,382

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South Asia	0	0	Grantmaking		1,670,637
South Asia	0	0	Investments		5,916

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Sub-Saharan Africa	0	2	Program Services	Academic Collaboration	223,759
Sub-Saharan Africa	0	1	Program Services	Alumni Relations	34,095

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Sub-Saharan Africa	0	0	Program Services	Cultural Exchange	7,951
Sub-Saharan Africa	0	3	Program Services	Instruction	309,996

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Sub-Saharan Africa	0	1	Program Services	Other Mission Related Activities	139,518
Sub-Saharan Africa	2	48	Program Services	Research	2,635,490

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Sub-Saharan Africa	0	0	Program Services	Seminars & Conferences	177,141
Sub-Saharan Africa	0	0	Program Services	Student Recruitment	14,745

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Sub-Saharan Africa	0	3	Program Services	Study Abroad & Other Educational Programs	276,342
Sub-Saharan Africa	0	0	Program Services	Training & Clinical Practice	10,820

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Sub-Saharan Africa	0	0	Grantmaking		1,263,397
Sub-Saharan Africa	0	0	Investments		126,449,007

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Central America and the Caribbean	SUBAWARD - SPONSORED RESEARCH	191,822	WIRE TRANSFER			
		Central America and the Caribbean	SUBAWARD - SPONSORED RESEARCH	6,003	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Central America and the Caribbean	SUBAWARD - SPONSORED RESEARCH	309,571	WIRE TRANSFER			
		East Asia and the Pacific	SUBAWARD - SPONSORED RESEARCH	67,673	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	SUBAWARD - SPONSORED RESEARCH	63,197	WIRE TRANSFER			
		East Asia and the Pacific	SUBAWARD - SPONSORED RESEARCH	33,021	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	SUBAWARD - SPONSORED RESEARCH	40,200	WIRE TRANSFER			
		East Asia and the Pacific	SUBAWARD - SPONSORED RESEARCH	105,184	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	SUBAWARD - SPONSORED RESEARCH	213,628	WIRE TRANSFER			
		East Asia and the Pacific	SUBAWARD - SPONSORED RESEARCH	133,380	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	SUBAWARD - SPONSORED RESEARCH	20,833	WIRE TRANSFER			
		East Asia and the Pacific	SUBAWARD - SPONSORED RESEARCH	13,345	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	SUBAWARD - SPONSORED RESEARCH	38,142	WIRE TRANSFER			
		East Asia and the Pacific	SUBAWARD - SPONSORED RESEARCH	44,225	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe (Including Iceland and Greenland)	SUBAWARD - SPONSORED RESEARCH	19,690	WIRE TRANSFER			
		Europe (Including Iceland and Greenland)	SUBAWARD - SPONSORED RESEARCH	73,442	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe (Including Iceland and Greenland)	SUBAWARD - SPONSORED RESEARCH	31,210	WIRE TRANSFER			
		Europe (Including Iceland and Greenland)	SUBAWARD - SPONSORED RESEARCH	102,440	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe (Including Iceland and Greenland)	SUBAWARD - SPONSORED RESEARCH	8,333	WIRE TRANSFER			
		Europe (Including Iceland and Greenland)	SUBAWARD - SPONSORED RESEARCH	21,466	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe (Including Iceland and Greenland)	SUBAWARD - SPONSORED RESEARCH	39,071	WIRE TRANSFER			
		Europe (Including Iceland and Greenland)	SUBAWARD - SPONSORED RESEARCH	61,131	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe (Including Iceland and Greenland)	SUBAWARD - SPONSORED RESEARCH	125,145	WIRE TRANSFER			
		Europe (Including Iceland and Greenland)	SUBAWARD - SPONSORED RESEARCH	13,023	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe (Including Iceland and Greenland)	SUBAWARD - SPONSORED RESEARCH	70,704	WIRE TRANSFER			
		Europe (Including Iceland and Greenland)	SUBAWARD - SPONSORED RESEARCH	415,000	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe (Including Iceland and Greenland)	SUBAWARD - SPONSORED RESEARCH	54,785	WIRE TRANSFER			
		Europe (Including Iceland and Greenland)	SUBAWARD - SPONSORED RESEARCH	5,847	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe (Including Iceland and Greenland)	SUBAWARD - SPONSORED RESEARCH	32,925	WIRE TRANSFER			
		Europe (Including Iceland and Greenland)	SUBAWARD - SPONSORED RESEARCH	47,632	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe (Including Iceland and Greenland)	SUBAWARD - SPONSORED RESEARCH	111,270	WIRE TRANSFER			
		Europe (Including Iceland and Greenland)	SUBAWARD - SPONSORED RESEARCH	12,202	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe (Including Iceland and Greenland)	SUBAWARD - SPONSORED RESEARCH	79,796	WIRE TRANSFER			
		Europe (Including Iceland and Greenland)	SUBAWARD - SPONSORED RESEARCH	95,182	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe (Including Iceland and Greenland)	SUBAWARD - SPONSORED RESEARCH	10,721	WIRE TRANSFER			
		Europe (Including Iceland and Greenland)	SUBAWARD - SPONSORED RESEARCH	16,179	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Middle East and North Africa	SUBAWARD - SPONSORED RESEARCH	21,217	WIRE TRANSFER			
		Middle East and North Africa	SUBAWARD - SPONSORED RESEARCH	40,632	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Middle East and North Africa	SUBAWARD - SPONSORED RESEARCH	82,317	WIRE TRANSFER			
		North America (Canada & Mexico only)	SUBAWARD - SPONSORED RESEARCH	86,257	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America (Canada & Mexico only)	SUBAWARD - SPONSORED RESEARCH	11,610	WIRE TRANSFER			
		North America (Canada & Mexico only)	SUBAWARD - SPONSORED RESEARCH	44,905	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America (Canada & Mexico only)	SUBAWARD - SPONSORED RESEARCH	28,590	WIRE TRANSFER			
		North America (Canada & Mexico only)	SUBAWARD - SPONSORED RESEARCH	17,711	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Russia and Neighboring States	SUBAWARD - SPONSORED RESEARCH	10,498	WIRE TRANSFER			
		Russia and Neighboring States	SUBAWARD - SPONSORED RESEARCH	122,455	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Russia and Neighboring States	SUBAWARD - SPONSORED RESEARCH	143,810	WIRE TRANSFER			
		Russia and Neighboring States	SUBAWARD - SPONSORED RESEARCH	116,920	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Russia and Neighboring States	SUBAWARD - SPONSORED RESEARCH	69,173	WIRE TRANSFER			
		Russia and Neighboring States	SUBAWARD - SPONSORED RESEARCH	537,292	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South America	SUBAWARD - SPONSORED RESEARCH	53,822	WIRE TRANSFER			
		South America	SUBAWARD - SPONSORED RESEARCH	49,533	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South America	SUBAWARD - SPONSORED RESEARCH	232,681	WIRE TRANSFER			
		South America	SUBAWARD - SPONSORED RESEARCH	40,836	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South America	SUBAWARD - SPONSORED RESEARCH	69,162	WIRE TRANSFER			
		South America	SUBAWARD - SPONSORED RESEARCH	121,435	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South America	SUBAWARD - SPONSORED RESEARCH	72,042	WIRE TRANSFER			
		South America	SUBAWARD - SPONSORED RESEARCH	604,937	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South Asia	SUBAWARD - SPONSORED RESEARCH	23,933	WIRE TRANSFER			
		South Asia	SUBAWARD - SPONSORED RESEARCH	66,565	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South Asia	SUBAWARD - SPONSORED RESEARCH	66,613	WIRE TRANSFER			
		South Asia	SUBAWARD - SPONSORED RESEARCH	782,470	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South Asia	SUBAWARD - SPONSORED RESEARCH	87,181	WIRE TRANSFER			
		South Asia	SUBAWARD - SPONSORED RESEARCH	281,627	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	SUBAWARD - SPONSORED RESEARCH	8,161	WIRE TRANSFER			
		Sub-Saharan Africa	SUBAWARD - SPONSORED RESEARCH	83,363	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	SUBAWARD - SPONSORED RESEARCH	37,193	WIRE TRANSFER			
		Sub-Saharan Africa	SUBAWARD - SPONSORED RESEARCH	127,661	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	SUBAWARD - SPONSORED RESEARCH	50,956	WIRE TRANSFER			
		Sub-Saharan Africa	SUBAWARD - SPONSORED RESEARCH	81,324	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	SUBAWARD - SPONSORED RESEARCH	44,125	WIRE TRANSFER			
		Sub-Saharan Africa	SUBAWARD - SPONSORED RESEARCH	217,699	WIRE TRANSFER			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	SUBAWARD - SPONSORED RESEARCH	117,480	WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
Student stipends and fellowships	Central America and the Caribbean	11	119,130	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
Student stipends and fellowships	East Asia and the Pacific	73	848,102	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
Student stipends and fellowships	Europe (Including Iceland and Greenland)	149	2,689,916	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
Student stipends and fellowships	Middle East and North Africa	10	118,489	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
Student stipends and fellowships	North America (Canada & Mexico only)	26	376,880	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
Student stipends and fellowships	Russia and Neighboring States	5	65,463	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
Student stipends and fellowships	South America	34	348,266	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
Student stipends and fellowships	South Asia	26	312,078	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
Student stipends and fellowships	Sub-Saharan Africa	45	463,148	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
Scholarships	Central America and the Caribbean	1	673	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
Scholarships	East Asia and the Pacific	21	78,792	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
Scholarships	Europe (Including Iceland and Greenland)	56	224,559	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
Scholarships	Middle East and North Africa	12	17,191	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
Scholarships	North America (Canada & Mexico only)	29	145,980	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
Scholarships	Russia and Neighboring States	1	6,528	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
Scholarships	South America	22	50,322	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
Scholarships	South Asia	10	24,445	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
Scholarships	Sub-Saharan Africa	4	14,723	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
Health Premium awards	Central America and the Caribbean	2	2,450	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
Health Premium awards	East Asia and the Pacific	14	42,218	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
Health Premium awards	Europe (Including Iceland and Greenland)	47	103,490	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
Health Premium awards	Middle East and North Africa	1	1,225	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
Health Premium awards	North America (Canada & Mexico only)	11	23,701	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
Health Premium awards	Russia and Neighboring States	3	7,350	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
Health Premium awards	South America	3	6,125	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
Health Premium awards	South Asia	13	25,725	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
Health Premium awards	Sub-Saharan Africa	9	15,925	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding
Fundraising or Gaming Activities

Complete if the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.
▶ Attach to Form 990 or Form 990-EZ.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
Yale University

Employer identification number
06-0646973

Part I Fundraising Activities. Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

- 1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.
- a ☐ Mail solicitations

e ☐ Solicitation of non-government grants

b ☐ Internet and email solicitations

f ☐ Solicitation of government grants

c ☐ Phone solicitations

g ☐ Special fundraising events

d ☐ In-person solicitations
- 2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? ☐ Yes ☐ No
- b If "Yes," list the 10 highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
Total ▶						

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1 Blue Leadership Ball (event type)	(b) Event #2 2019 Football Assoc. Golf Outing (event type)	(c) Other events 11 (total number)	(d) Total events (add col. (a) through col. (c))
Revenue	1 Gross receipts	129,129	90,604	321,885	541,618
	2 Less: Contributions	35,500	75,750	229,830	341,080
	3 Gross income (line 1 minus line 2)	93,629	14,854	92,055	200,538
Direct Expenses	4 Cash prizes				
	5 Noncash prizes	30			30
	6 Rent/facility costs	20,903			20,903
	7 Food and beverages	31,964	35,082	71,767	138,813
	8 Entertainment			9,549	9,549
	9 Other direct expenses	149,510	24,856	39,942	214,308
	10 Direct expense summary. Add lines 4 through 9 in column (d) ▶				383,603
	11 Net income summary. Subtract line 10 from line 3, column (d) ▶				-183,065

Part III Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col.(a) through col.(c))
Revenue	1 Gross revenue				
	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
Direct Expenses	6 Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	
	7 Direct expense summary. Add lines 2 through 5 in column (d) ▶				
	8 Net gaming income summary. Subtract line 7 from line 1, column (d) ▶				

9 Enter the state(s) in which the organization conducts gaming activities: _____

a Is the organization licensed to conduct gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain: _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain: _____

11	Does the organization conduct gaming activities with nonmembers?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
12	Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
13	Indicate the percentage of gaming activity conducted in:		
a	The organization's facility	13a	%
b	An outside facility	13b	%
14	Enter the name and address of the person who prepares the organization's gaming/special events books and records:		
	Name ►		
	Address ►		
15a	Does the organization have a contract with a third party from whom the organization receives gaming revenue?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
b	If "Yes," enter the amount of gaming revenue received by the organization ► \$ and the amount of gaming revenue retained by the third party ► \$		
c	If "Yes," enter name and address of the third party:		
	Name ►		
	Address ►		
16	Gaming manager information:		
	Name ►		
	Gaming manager compensation ► \$		
	Description of services provided ►		
	<input type="checkbox"/> Director/officer	<input type="checkbox"/> Employee	<input type="checkbox"/> Independent contractor
17	Mandatory distributions:		
a	Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? <input type="checkbox"/> Yes <input type="checkbox"/> No		
b	Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ► \$		

Part IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See instructions.

Return Reference	Explanation
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Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

Schedule I
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
Yale University

Grants and Other Assistance to Organizations,
Governments and Individuals in the United States

Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Employer identification number
06-0646973

Part I

General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II

Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) See Additional Data							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶ 308

3 Enter total number of other organizations listed in the line 1 table ▶ 16

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22.

Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of noncash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance
(1) STUDENT STIPENDS AND FELLOWSHIPS	6388	89,406,997			
(2) SCHOLARSHIPS	9308		368,860,053	COST	TUITION REDUCTION
(3) HEALTH PREMIUM AWARDS	3772		12,379,485	COST	HEALTHCARE
(4) PRIZES AND AWARDS	1721	4,340,537			
(4)					
(5)					
(6)					
(7)					

Part IV Supplemental Information. Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

Return Reference	Explanation
Schedule I, Part II SUBAWARD	A SUBAWARD IS AN AWARD OF FINANCIAL SUPPORT FROM THE UNIVERSITY TO A QUALIFIED THIRD PARTY PRIMARILY TO PERFORM RESEARCH AND OTHER RELATED PROGRAMMATIC EFFORTS UNDER A SPONSORED RESEARCH PROJECT.
Schedule I, Part III COLUMN B - NUMBER OF RECIPIENTS	THE AMOUNTS REPORTED IN PART III REPRESENT AN ESTIMATE OF TOTAL AWARDS FOR THE FISCAL YEAR ENDED JUNE 30, 2020 BASED ON INFORMATION PROVIDED BY THE UNIVERSITY'S UNDERGRADUATE, GRADUATE AND PROFESSIONAL SCHOOLS. SOME STUDENTS RECEIVED MORE THAN ONE AWARD DURING THE FISCAL YEAR ENDED JUNE 30, 2020.
Schedule I, Part II, Line 1 COLUMN H - PURPOSE OF GRANT OR ASSISTANCE	SUBAWARDS - SPONSORED RESEARCH
Schedule I, Part I, Line 2 Procedures for monitoring use of grant funds.	WITH RESPECT TO SPONSORED PROJECT ACTIVITY SUBCONTRACTED TO OTHER ENTITIES, THE UNIVERSITY'S POLICY AND PROCEDURES FOR MONITORING SUB RECIPIENT AWARDS ("SUBAWARDS") ARE INTENDED TO ESTABLISH APPROPRIATE AND EFFECTIVE MECHANISMS TO PROMOTE COMPLIANCE WITH FEDERAL AND NON-FEDERAL REQUIREMENTS AND CONTRACTUAL ARRANGEMENTS, INCLUDING THE PROPER MONITORING AND STEWARDSHIP OF AWARDED FUNDS. PROPER MONITORING OF A SUBAWARD ENSURES THAT AWARDED FUNDS ARE USED FOR AUTHORIZED PURPOSES, PERFORMANCE GOALS ARE MET AND THE SUB RECIPIENT IS IN COMPLIANCE WITH THE TERMS AND CONDITIONS OF THE SUB RECIPIENT AGREEMENT. SUB RECIPIENT MONITORING PROCEDURES INCLUDE BUT ARE NOT LIMITED TO THE FOLLOWING ACTIVITIES: A FORMAL PRE-QUALIFICATION PROCESS FOR SUB RECIPIENTS, PRE-AWARD AND POST-AWARD MONITORING OF SUB RECIPIENTS TO REVIEW THE FINANCIAL STATUS AND MANAGEMENT CONTROLS, COMPLIANCE CERTIFICATIONS, REVIEW OF COMPLIANCE WITH REGULATIONS RELATIVE TO THE USE OF HUMAN SUBJECTS AND ANIMALS, IDENTIFICATION AND REPORTING OF ANY CONFLICT OF INTEREST WITH A SUB RECIPIENT ENTITY, AND A REVIEW OF PERTINENT OTHER ORGANIZATION INFORMATION. IN ACCORDANCE WITH THESE PROCEDURES, THE UNIVERSITY REVIEWS THE SUB RECIPIENT'S ADMINISTRATION, FINANCIAL STATEMENTS, BILLINGS, SCIENTIFIC PROGRESS, TECHNICAL REPORTS, AND DELIVERABLES OF AN ACTIVE SUBAWARD REGULARLY AND CONDUCTS ON-GOING RISK ASSESSMENTS AS WELL AS QUARTERLY QUALITY ASSURANCE REVIEWS ON RANDOMLY SELECTED SUBAWARDS. IN ADDITION TO A MONTHLY DEPARTMENTAL REVIEW, THE UNIVERSITY MONITORS A SUB RECIPIENT'S COMPLIANCE WITH THE TERMS AND CONDITIONS OF THE SUBAWARD ANNUALLY AND UPON CLOSEOUT OF THE SUB RECIPIENT AGREEMENT. IF ANY OF THESE MONITORING PROCEDURES RESULT IN FINDINGS, THE UNIVERSITY WILL TAKE CORRECTIVE ACTION AS NECESSARY. THE PROCEDURES SERVE TO ENSURE THAT THE SUBAWARD FUNDS ARE USED FOR PROPER PURPOSES AND NOT DIVERTED FROM THEIR INTENDED USE.

Additional Data

Software ID: 19010655
Software Version: 2019v5.0
EIN: 06-0646973
Name: Yale University

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ADVENTHEALTH CENTRA CARE 2600 WESTHALL LANE BOX 300 MAITLAND, FL 32751	59-3209688	501(C)(3)	6,070				SEE PART IV
AIDS PROJECT NEW HAVEN INC 1302 CHAPEL STREET NEW HAVEN, CT 06511	22-2506184	501(C)(3)	63,229				SEE PART IV

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ALBERT EINSTEIN COLLEGE OF MEDICINE 1300 MORRIS PARK AVENUE BRONX, NY 10461	83-0621846	501(C)(3)	69,163				SEE PART IV
AMERICAN COLLEGE OF CARDIOLOGY 2400 N STREET NW WASHINGTON, DC 20037	31-1781555	501(C)(3)	104,495				SEE PART IV

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
AMERICAN COLLEGE OF EMERGENCY PHYSICIANS PO BOX 619911 DALLAS, TX 752619911	38-1888798	501(C)(3)	159,913				SEE PART IV
AMERICAN NATIONAL RED CROSS 431 18TH STREET NW WASHINGTON, DC 200065009	53-0196605	501(C)(3)	249,618				SEE PART IV

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
AMERICAN UNIVERSITY 4400 MASS AVE NW WASHINGTON, DC 20016	53-0196549	501(C)(3)	10,397				SEE PART IV
AMERICAN UNIVERSITY OF BEIRUT 3 DAG HAMMARSKJOLD PLAZA NEW YORK, NY 100172303	13-5596846	501(C)(3)	61,733				SEE PART IV

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ANN & ROBERT H LURIE CHILDREN'S HOSPITAL OF CHICAGO 225 EAST CHICAGO AVENUE CHICAGO, IL 606112605	36-2170833	501(C)(3)	17,917				SEE PART IV
APT FOUNDATION INC ONE LONG WHARF DRIVE NEW HAVEN, CT 06511	23-7061218	501(C)(3)	153,991				SEE PART IV

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ATLANTA RESEARCH AND EDUCATION FOUNDATION INC 2250 N DRUID HILLS ROAD NE ATLANTA, GA 30329	58-1857346	501(C)(3)	86,358				SEE PART IV
AUGUSTA UNIVERSITY PO BOX 945552 ATLANTA, GA 303945552	58-6002053	501(C)(3)	10,106				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
AUGUSTA UNIVERSITY RESEARCH INSTITUTE INC 1120 15TH STREET AUGUSTA, GA 309124810	58-1418202	501(C)(3)	40,773				SUBAWARD - SPONSORED RESEARCH
BALTIMORE RESEARCH & EDUCATION FOUNDATION INC 10 N GREEN STREET BALTIMORE, MD 212011524	52-1705976	501(C)(3)	6,750				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BATTELLE MEMORIAL INSTITUTE 505 KING AVENUE COLUMBUS, OH 43201	31-4379427	501(C)(3)	86,611				SUBAWARD - SPONSORED RESEARCH
BAYLOR COLLEGE OF MEDICINE ONE BAYLOR PLAZA HOUSTON, TX 77030	74-1613878	501(C)(3)	361,656				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BAYSTATE MEDICAL CENTER INC 759 CHESTNUT STREET SPRINGFIELD, MA 01199	04-2790311	501(C)(3)	120,251				SUBAWARD - SPONSORED RESEARCH
BETH ISRAEL DEACONESS MEDICAL CENTER 330 BROOKLINE AVENUE BOSTON, MA 02215	04-2103881	501(C)(3)	311,754				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BHCARE INC 127 WASHINGTON AVENUE NORTH HAVEN, CT 06473	22-2598799	501(C)(3)	6,025				SUBAWARD - SPONSORED RESEARCH
BOSTON CHILDREN'S HOSPITAL 300 LONGWOOD AVENUE BOSTON, MA 02115	04-2774441	501(C)(3)	465,911				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BOSTON COLLEGE 140 COMMONWEALTH AVENUE CHESTNUT HILL, MA 024673800	04-2103545	501(C)(3)	14,929				SUBAWARD - SPONSORED RESEARCH
BOTANICAL RESEARCH INSTITUTE OF TEXAS 1700 UNIVERSITY DRIVE FORT WORTH, TX 761073400	75-2198196	501(C)(3)	34,550				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BRIDGES HEALTHCARE INC 949 BRIDGEPORT AVENUE MILFORD, CT 06460	06-0867978	501(C)(3)	235,755				SUBAWARD - SPONSORED RESEARCH
BROWN UNIVERSITY 69 BROWN STREET PROVIDENCE, RI 02912	05-0258809	501(C)(3)	742,615				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
C4 INNOVATIONS LLC 200 RESERVOIR STREET SUITE 202 NEEDHAM, MA 02494	87-0763049		326,691				SUBAWARD - SPONSORED RESEARCH
CARNEGIE MELLON UNIVERSITY 5000 FORBES AVENUE PITTSBURGH, PA 15213	25-0969449	501(C)(3)	272,606				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CASE WESTERN RESERVE UNIVERSITY 10900 EUCLID AVENUE CLEVELAND, OH 44106	34-1018992	501(C)(3)	70,808				SUBAWARD - SPONSORED RESEARCH
CEDARS-SINAI MEDICAL CENTER 6500 WILSHIRE SUITE 1151 LOS ANGELES, CA 90048	95-1644600	501(C)(3)	103,218				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CENTER FOR EDUCATIONAL IMPROVEMENT 402 COUNCIL DRIVE NE VIENNA, VA 22180	46-4355307	501(C)(3)	326,582				SUBAWARD - SPONSORED RESEARCH
CHILDREN'S HOSPITAL LOS ANGELES 4650 SUNSET BOULEVARD LOS ANGELES, CA 90027	95-1690977	501(C)(3)	50,640				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CIENCIA PUERTO RICO LLC PO BOX 195289 SAN JUAN, PR 00919	66-0746250		33,617				SUBAWARD - SPONSORED RESEARCH
CITY OF NEW HAVEN 54 MEADOW STREET NEW HAVEN, CT 06519	06-6001876	CITY-NEW HAVEN	160,913				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
COLLABORATIVE FOR ACADEMIC SOCIAL AND EMOTIONAL LEARNING 815 W VAN BUREN STREET SUITE 210 CHICAGO, IL 60607	20-5884201	501(C)(3)	62,954				SUBAWARD - SPONSORED RESEARCH
COLLEGE OF THE HOLY CROSS 1 COLLEGE STREET WORCESTER, MA 016102395	04-2103558	501(C)(3)	9,158				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
COLORADO STATE UNIVERSITY 2002 CAMPUS DELIVERY FORT COLLINS, CO 805232002	84-6000545	STATE OF CO	228,832				SUBAWARD - SPONSORED RESEARCH
CONNECTICUT CHILDREN'S MEDICAL CENTER 282 WASHINGTON STREET HARTFORD, CT 06106	06-0646755	501(C)(3)	18,472				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CONSUMER WELLNESS SOLUTIONS INC 999 3RD AVENUE SUITE 2100 SEATTLE, WA 981041139	20-0231080		21,054				SUBAWARD - SPONSORED RESEARCH
COOPERATIVE EDUCATIONAL SERVICES 40 LINDEMAN DRIVE TRUMBULL, CT 06611	23-7121166	STATE OF CT	20,250				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CORNELL UNIVERSITY 341 PINE TREE ROAD ITHACA, NY 14850	15-0532082	501(C)(3)	122,162				SUBAWARD - SPONSORED RESEARCH
DANA-FARBER CANCER INSTITUTE INC 450 BROOKLINE AVENUE BOSTON, MA 02215	04-2263040	501(C)(3)	448,287				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
DREXEL UNIVERSITY PO BOX 95000 PHILADELPHIA, PA 191950001	23-1352630	501(C)(3)	7,375				SUBAWARD - SPONSORED RESEARCH
DUKE UNIVERSITY 324 BLACKWELL STREET DURHAM, NC 27701	56-0532129	501(C)(3)	1,540,734				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
EAST CAROLINA UNIVERSITY 2200 S CHARLES BLVD GREENVILLE, NC 278584353	56-6000403	STATE OF NC	142,144				SUBAWARD - SPONSORED RESEARCH
EAST TENNESSEE STATE UNIVERSITY BOX 70693 JOHNSON CITY, TN 376140693	62-6021046	STATE OF TN	16,539				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
EDTOGETHER INC 416 COMMONWEALTH AVENUE NO 202 BOSTON, MA 02215	81-2550548	501(C)(3)	25,804				SUBAWARD - SPONSORED RESEARCH
EMORY UNIVERSITY 1599 CLIFTON ROAD ATLANTA, GA 30322	58-0566256	501(C)(3)	380,780				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
FAIR HAVEN COMMUNITY HEALTH CLINIC INC 374 GRAND AVENUE NEW HAVEN, CT 06513	06-0883545	501(C)(3)	167,562				SUBAWARD - SPONSORED RESEARCH
FAIRFIELD UNIVERSITY 1073 NORTH BENSON ROAD FAIRFIELD, CT 068245195	06-0646623	501(C)(3)	9,380				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
FOX CHASE CANCER CENTER FOUNDATION 333 COTTMAN AVENUE PHILADELPHIA, PA 19111	23-2003072	501(C)(3)	39,924				SUBAWARD - SPONSORED RESEARCH
FRED HUTCHINSON CANCER RESEARCH CENTER 1100 FAIRVIEW AVENUE NORTH SEATTLE, WA 981091024	23-7156071	501(C)(3)	105,766				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
GEORGETOWN UNIVERSITY PO BOX 571164 WASHINGTON, DC 200571164	53-0196603	501(C)(3)	132,859				SUBAWARD - SPONSORED RESEARCH
GEORGIA TECH RESEARCH CORPORATION 505 10TH ST NW ATLANTA, GA 303320415	58-0603146	501(C)(3)	15,902				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
GLOBAL HEALTHY LIVING FOUNDATION INC 515 NORTH MIDLAND AVENUE UPPER NYACK, NY 10960	20-4039120	501(C)(3)	20,016				SUBAWARD - SPONSORED RESEARCH
GRIFFIN HOSPITAL 130 DIVISION STREET DERBY, CT 06418	06-0647014	501(C)(3)	382,134				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
H LEE MOFFITT CANCER CENTER AND RESEARCH INSTITUTE HOSPITAL INC 12902 MAGNOLIA DRIVE TAMPA, FL 33612	59-3238634	501(C)(3)	64,518				SUBAWARD - SPONSORED RESEARCH
HARTFORD HOSPITAL 80 SEYMOUR STREET HARTFORD, CT 06102	06-0646668	501(C)(3)	1,485,978				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HASKINS LABORATORIES INC 300 GEORGE STREET NEW HAVEN, CT 06511	13-1628174	501(C)(3)	50,507				SUBAWARD - SPONSORED RESEARCH
HEALTH RESEARCH INC 150 BROADWAY NO 560 MENANDS, NY 12204	14-1402155	501(C)(3)	145,407				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HEART CENTER RESEARCH LLC 105 RANDS AVENUE HUNTSVILLE, AL 35801	27-1088752		5,000				SUBAWARD - SPONSORED RESEARCH
HENNEPIN HEALTHCARE RESEARCH INSTITUTE 701 PARK AVENUE MINNEAPOLIS, MN 55415	41-1677920	501(C)(3)	144,775				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HENRY FORD HEALTH SYSTEM ONE FORD PLACE DETROIT, MI 48202	38-1357020	501(C)(3)	82,276				SUBAWARD - SPONSORED RESEARCH
HENRY M JACKSON FOUNDATION FOR THE ADVANCEMENT OF MILITARY MEDICINE 6720A ROCKLEDGE DRIVE SUITE 100 BETHESDA, MD 20817	52-1317896	501(C)(3)	103,703				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HYPERTROPHIC CARDIOMYOPATHY ASSOCIATION 18 E MAIN STREET SUITE 202 DENVER, NJ 07834	41-1855605	501(C)(3)	20,574				SUBAWARD - SPONSORED RESEARCH
ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI ONE GUSTAVE L LEVY PLACE NEW YORK, NY 10029	13-6171197	501(C)(3)	329,888				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
IHC HEALTH SERVICES INC PO BOX 57828 SALT LAKE CITY, UT 84157	94-2854057	501(C)(3)	12,000				SUBAWARD - SPONSORED RESEARCH
INDIANA UNIVERSITY 400 EAST 7TH STREET BLOOMINGTON, IN 47405	35-6001673	501(C)(3)	38,511				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
INDIANA UNIVERSITY HEALTH BLOOMINGTON INC 950 N MERIDIAN STREET SUITE 800 INDIANAPOLIS, IN 46204	35-1720796	501(C)(3)	6,950				SUBAWARD - SPONSORED RESEARCH
INDIANA UNIVERSITY HEALTH INC 951 N MERIDIAN STREET SUITE 800 INDIANAPOLIS, IN 46204	35-1955872	501(C)(3)	5,275				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
INNOVATIONS FOR POVERTY ACTION 101 WHITNEY AVENUE NEW HAVEN, CT 06510	06-1660068	501(C)(3)	1,403,227				SUBAWARD - SPONSORED RESEARCH
INOVA HEALTHCARE SERVICES 8095 INNOVATION PARK DRIVE FAIRFAX, VA 22031	54-0620889	501(C)(3)	20,750				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
INSTITUTE FOR CLINICAL RESEARCH INC PO BOX 29545 WASHINGTON, DC 200170745	52-1336656	501(C)(3)	107,249				SUBAWARD - SPONSORED RESEARCH
INSTITUTE FOR COMMUNITY RESEARCH INC 2 HARTFORD SQUARE WEST SUITE 100 HARTFORD, CT 061065128	06-0653116	501(C)(3)	61,694				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
JOHNS HOPKINS UNIVERSITY 3910 KESWICK ROAD BALTIMORE, MD 21211	52-0595110	501(C)(3)	1,517,223				SUBAWARD - SPONSORED RESEARCH
JOSLIN DIABETES CENTER INC ONE JOSLIN PLACE BOSTON, MA 022155306	04-2203836	501(C)(3)	186,052				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
KAISER FOUNDATION HOSPITALS 1800 HARRISON STREET 16TH FLOOR OAKLAND, CA 946123433	94-1105628	501(C)(3)	256,385				SUBAWARD - SPONSORED RESEARCH
KIDANGO INC 44000 OLD WARM SPRINGS BLVD FREMONT, CA 94538	94-2581686	501(C)(3)	27,423				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
L2 DIAGNOSTICS LLC PO BOX 8175 NEW HAVEN, CT 065300175	06-1509946		354,206				SUBAWARD - SPONSORED RESEARCH
LANKENAU INSTITUTE FOR MEDICAL RESEARCH 100 LANCASTER AVENUE WYNNEWOOD, PA 190963450	23-2175659	501(C)(3)	5,750				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
LEHIGH UNIVERSITY 526 BRODHEAD AVENUE BETHLEHEM, PA 180153008	24-0795445	501(C)(3)	70,001				SUBAWARD - SPONSORED RESEARCH
LIBERTY COMMUNITY SERVICES 129 CHURCH STREET MEZZANINE NEW HAVEN, CT 06510	22-2849124	501(C)(3)	233,018				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
LOUISIANA STATE UNIVERSITY AND A&M COLLEGE 204 THOMAS BOYD HALL BATON ROUGE, LA 70803	72-6000848	501(C)(3)	44,018				SUBAWARD - SPONSORED RESEARCH
MASSACHUSETTS GENERAL HOSPITAL 55 FRUIT STREET BOSTON, MA 02114	04-2697983	501(C)(3)	409,086				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE CAMBRIDGE, MA 021394307	04-2103594	501(C)(3)	513,307				SUBAWARD - SPONSORED RESEARCH
MAYO CLINIC 200 FIRST STREET SW ROCHESTER, MN 55905	41-6011702	501(C)(3)	1,432,391				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MEDICAL UNIVERSITY OF SOUTH CAROLINA 1 SOUTH PARK CIRCLE CHARLESTON, SC 29407	57-6000722	STATE OF SC	681,497				SUBAWARD - SPONSORED RESEARCH
MIAMI UNIVERSITY 501 HIGH STREET 107 ROUDEBUSH OXFORD, OH 45056	31-6402089	501(C)(3)	9,707				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MICHIGAN HEART PC 5325 ELLIOTT DRIVE SUITE 201 YPSILANTI, MI 48197	38-2631601		6,750				SUBAWARD - SPONSORED RESEARCH
MICHIGAN STATE UNIVERSITY 426 AUDITORIUM ROAD EAST LANSING, MI 48824	38-6005984	STATE OF MI	264,172				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MIDWESTERN CONNECTICUT COUNCIL ON ALCOHOLISM INC 38 OLD RIDGEBURY ROAD DANBURY, CT 06810	06-0890278	501(C)(3)	62,509				SUBAWARD - SPONSORED RESEARCH
MIRAGEN THERAPEUTICS INC 6200 LOOKOUT ROAD SUITE 100 BOULDER, CO 80301	20-4362468		348,680				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MONTANA STATE UNIVERSITY PO BOX 172470 BOZEMAN, MT 597172470	81-6010045	STATE OF MT	252,448				SUBAWARD - SPONSORED RESEARCH
MONTANA TECHNOLOGICAL UNIVERSITY 1300 WEST PARK STREET BUTTE, MT 59701	81-6001654	STATE OF MT	37,790				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MONUMENT HEALTH RAPID CITY HOSPITAL INC 735 MEADE STREET RAPID CITY, SD 577015333	46-0319070	501(C)(3)	5,000				SUBAWARD - SPONSORED RESEARCH
NARROWS INSTITUTE FOR BIOMEDICAL RESEARCH INC 800 POLY PLACE BROOKLYN, NY 11209	11-3059470	501(C)(3)	147,319				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NATIONAL CENTER FOR HEALTH RESEARCH INC 1001 CONNECTICUT AVENUE SUITE 1100 WASHINGTON, DC 20036	52-2169212	501(C)(3)	114,236				SUBAWARD - SPONSORED RESEARCH
NATIONAL OPINION RESEARCH CENTER 55 EAST MONROE STREET 20TH FLOOR CHICAGO, IL 60603	36-2167808	501(C)(3)	37,649				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NEW HANOVER REGIONAL MEDICAL CENTER 2511 DELANEY AVENUE WILMINGTON, NC 28403	56-0887181	501(C)(3)	11,500				SUBAWARD - SPONSORED RESEARCH
NEW YORK UNIVERSITY PO BOX 5166 NEW YORK, NY 10087	13-5562308	501(C)(3)	601,251				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NORTH CAROLINA STATE UNIVERSITY CAMPUS BOX 7214 RALEIGH, NC 276957214	56-6000756	STATE OF NC	298,396				SUBAWARD - SPONSORED RESEARCH
NORTHEASTERN UNIVERSITY 360 HUNTINGTON AVE BOSTON, MA 02115	04-1679980	501(C)(3)	249,206				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NORTHWESTERN UNIVERSITY 633 CLARK STREET EVANSTON, IL 602080001	36-2167817	501(C)(3)	360,598				SUBAWARD - SPONSORED RESEARCH
NOVA SOUTHEASTERN UNIVERSITY INC 3301 COLLEGE AVENUE FORT LAUDERDALE, FL 33314	59-1083502	501(C)(3)	51,601				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
OCEAN STATE RESEARCH INSTITUTE INC 830 CHALKSTONE AVENUE PROVIDENCE, RI 029084734	05-0440574	501(C)(3)	35,369				SUBAWARD - SPONSORED RESEARCH
OCHSNER CLINIC FOUNDATION PO BOX 54996 NEW ORLEANS, LA 701544996	72-0502505	501(C)(3)	8,750				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
OREGON HEALTH & SCIENCE UNIVERSITY 3181 SW SAM JACKSON PARK ROAD PORTLAND, OR 97239	93-1176109	STATE OF OR	88,527				SUBAWARD - SPONSORED RESEARCH
OREGON STATE UNIVERSITY 312 KERR ADMINISTRATION BLDG CORVALLIS, OR 97331	61-1730890	STATE OF OR	123,798				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PACIFIC INSTITUTE FOR RESEARCH AND EVALUATION 11721 BELTSVILLE DRIVE SUITE 900 BELTSVILLE, MD 20705	94-2243283	501(C)(3)	91,203				SUBAWARD - SPONSORED RESEARCH
PALO ALTO VETERANS INSTITUTE FOR RESEARCH 3801 MIRANDA AVENUE PALO ALTO, CA 943040038	77-0207331	501(C)(3)	16,084				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PATH 2201 WESTLAKE AVENUE NO 200 SEATTLE, WA 98121	91-1157127	501(C)(3)	185,040				SUBAWARD - SPONSORED RESEARCH
PENNSYLVANIA STATE UNIVERSITY 227 WEST BEAVER AVENUE SUITE 401 STATE COLLEGE, PA 168014819	24-6000376	STATE OF PA	490,058				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PHILIPS RESEARCH NORTH AMERICA 3 CANAL PARK 3RD FLOOR CAMBRIDGE, MA 02141	13-3429115		41,888				SUBAWARD - SPONSORED RESEARCH
PORTLAND STATE UNIVERSITY PO BOX 751 PORTLAND, OR 97207	48-1278529	STATE OF OR	40,157				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PORTLAND VA RESEARCH FOUNDATION PO BOX 19832 PORTLAND, OR 972800832	94-3090170	501(C)(3)	147,932				SUBAWARD - SPONSORED RESEARCH
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 1033 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138	04-2103580	501(C)(3)	2,297,842				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PROJECT ACCESS OF NEW HAVEN INC PO BOX 9293 NEW HAVEN, CT 06533	26-4379967	501(C)(3)	8,147				SUBAWARD - SPONSORED RESEARCH
PROPAGENIX INC 9605 MEDICAL CENTER DRIVE SUITE 325 ROCKVILLE, MD 20850	46-2913183		21,667				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PURDUE UNIVERSITY 1281 WIN HENTSCHEL BLVD SUITE 1100 WEST LAFAYETTE, IN 47906	35-6002041	501(C)(3)	164,637				SUBAWARD - SPONSORED RESEARCH
REGENTS OF THE UNIVERSITY MICHIGAN G395 WOLVERINE TOWER LOW RISE ANN ARBOR, MI 481091279	38-6006309	501(C)(3)	285,326				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
REGENTS OF THE UNIVERSITY OF COLORADO PO BOX 910238 DENVER, CO 802910238	84-6000555	501(C)(3)	251,292				SUBAWARD - SPONSORED RESEARCH
REGIONAL DATA COOPERATIVE OF GREATER NEW HAVEN INC 129 CHURCH STREET SUITE 605 NEW HAVEN, CT 06510	06-1567201	501(C)(3)	7,500				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
RENSSELAER POLYTECHNIC INSTITUTE 110 EIGHTH STREET TROY, NY 121803590	14-1340095	501(C)(3)	108,983				SUBAWARD - SPONSORED RESEARCH
RESEARCH FOUNDATION FOR MENTAL HYGIENE INC 150 BROADWAY NO 301 MENANDS, NY 12204	14-1410842	501(C)(3)	771,739				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
RESEARCH TRIANGLE INSTITUTE PO BOX 900002 RALEIGH, NC 276759000	56-0686338	501(C)(3)	189,234				SUBAWARD - SPONSORED RESEARCH
RHODE ISLAND HOSPITAL ONE HOPPIN STREET PROVIDENCE, RI 02903	05-0258954	501(C)(3)	111,077				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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RICE UNIVERSITY PO BOX 1892 HOUSTON, TX 772511892	74-1109620	501(C)(3)	255,595				SUBAWARD - SPONSORED RESEARCH
ROSALIND FRANKLIN UNIVERSITY OF MEDICINE AND SCIENCE 3333 GREEN BAY ROAD NORTH CHICAGO, IL 60064	36-2181973	501(C)(3)	11,650				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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RUSH UNIVERSITY MEDICAL CENTER 1700 W VAN BUREN STREET SUITE 277 CHICAGO, IL 60612	36-2174823	501(C)(3)	122,508				SUBAWARD - SPONSORED RESEARCH
SALK INSTITUTE FOR BIOLOGICAL STUDIES 10010 N TORREY PINES ROAD LA JOLLA, CA 92037	95-2160097	501(C)(3)	612,955				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SAN FRANCISCO STATE UNIVERSITY 1600 HOLLOWAY AVENUE SAN FRANCISCO, CA 94132	93-1137247	STATE OF CA	6,012				SUBAWARD - SPONSORED RESEARCH
SCHOOL-BASED HEALTH ALLIANCE 1010 VERMONT AVENUE NW SUITE 600 WASHINGTON, DC 20005	54-1752058	501(C)(3)	38,325				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SCIENCE COMMUNICATION LAB INC 600 16TH STREET SAN FRANCISCO, CA 94143	47-2404616	501(C)(3)	58,688				SUBAWARD - SPONSORED RESEARCH
SEATTLE CHILDREN'S HOSPITAL PO BOX 24728 SEATTLE, WA 981240728	91-0564748	501(C)(3)	762,573				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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SENTARA HOSPITALS 600 GRESHAM DRIVE NORFOLK, VA 23507	54-1547408	501(C)(3)	5,600				SUBAWARD - SPONSORED RESEARCH
SIEMENS MEDICAL SOLUTIONS USA INC 810 INNOVATION DRIVE KNOXVILLE, TN 37932	22-2417778		58,181				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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SLOAN KETTERING INSTITUE FOR CANCER RESEARCH PO BOX 27084 NEW YORK, NY 10087	13-1624182	501(C)(3)	607,982				SUBAWARD - SPONSORED RESEARCH
SMITHSONIAN INSTITUTION 1000 JEFFERSON DRIVE S W WASHINGTON, DC 20560	53-0206027	501(C)(3)	15,104				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SOUTH DAKOTA STATE UNIVERSITY BOX 2202 BROOKINGS, SD 57007	46-6000364	STATE OF SD	10,000				SUBAWARD - SPONSORED RESEARCH
SOUTHERN CONNECTICUT STATE UNIVERSITY 501 CRESCENT STREET NEW HAVEN, CT 065151330	06-1363115	STATE OF CT	84,566				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SPACE TELESCOPE SCIENCE INSTITUTE 3700 SAN MARTIN DRIVE BALTIMORE, MD 21218	86-0138043	501(C)(3)	11,672				SUBAWARD - SPONSORED RESEARCH
ST JUDE CHILDREN'S RESEARCH HOSPITAL INC PO BOX 1000 MEMPHIS, TN 381480949	62-0646012	501(C)(3)	121,372				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
STANFORD UNIVERSITY PO BOX 44253 SAN FRANCISCO, CA 941444253	94-1156365	501(C)(3)	618,090				SUBAWARD - SPONSORED RESEARCH
STATE OF CONNECTICUT 410 CAPITOL AVENUE HARTFORD, CT 061340308	06-6000798	STATE OF CT	228,872				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
TEMPLE UNIVERSITY PO BOX 824242 PHILADELPHIA, PA 191824242	23-1365971	501(C)(3)	32,561				SUBAWARD - SPONSORED RESEARCH
TEXAS A&M ENGINEERING EXPERIMENT STATION 400 HARVEY MITCHELL PKWY SOUTH COLLEGE STATION, TX 778454375	74-1974733	STATE OF TX	40,766				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
TEXAS STATE UNIVERSITY 601 UNIVERSITY DRIVE SAN MARCOS, TX 78666	74-6002248	STATE OF TX	72,137				SUBAWARD - SPONSORED RESEARCH
THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS 28395 NETWORK PLACE CHICAGO, IL 606731283	37-6000511	501(C)(3)	7,686				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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THE BRIGHAM AND WOMEN'S HOSPITAL INC PO BOX 3887 BOSTON, MA 022413887	04-2312909	501(C)(3)	1,055,724				SUBAWARD - SPONSORED RESEARCH
THE BROAD INSTITUTE INC 415 MAIN STREET CAMBRIDGE, MA 02142	26-3428781	501(C)(3)	549,660				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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THE BRONX VETERANS MEDICAL RESEARCH FOUNDATION INC 130 W KINGSBRIDGE ROAD BRONX, NY 10468	13-3699250	501(C)(3)	53,793				SUBAWARD - SPONSORED RESEARCH
THE CDM GROUP INC 7500 OLD GEORGETOWN ROAD SUITE 900 BETHESDA, MD 20814	52-1506410		81,014				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE CLEVELAND CLINIC FOUNDATION PO BOX 931562 CLEVELAND, OH 441935012	34-0714585	501(C)(3)	56,791				SUBAWARD - SPONSORED RESEARCH
THE CONNECTICUT CENTER FOR PRIMARY CARE INC 3 FARM GLEN BLVD FARMINGTON, CT 06032	03-0441188	501(C)(3)	6,203				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE FEINSTEIN INSTITUTE FOR MEDICAL RESEARCH 972 BRUSH HOLLOW ROAD 5TH FLOOR WESTBURY, NY 11590	11-2673595	501(C)(3)	7,750				SUBAWARD - SPONSORED RESEARCH
THE GEORGE WASHINGTON UNIVERSITY 45155 RESEARCH PLACE ASHBURN, VA 201474198	53-0196584	501(C)(3)	104,979				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE HOWARD UNIVERSITY 525 BRYANT STREET NW WASHINGTON, DC 20059	53-0204707	501(C)(3)	34,069				SUBAWARD - SPONSORED RESEARCH
THE JACKSON LABORATORY 610 MAIN STREET BAR HARBOR, ME 046091500	01-0211513	501(C)(3)	260,295				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE JOHN B PIERCE LABORATORY INC 290 CONGRESS AVENUE NEW HAVEN, CT 06519	06-0646780	501(C)(3)	214,474				SUBAWARD - SPONSORED RESEARCH
THE MCLEAN HOSPITAL CORPORATION 399 REVOLUTION DRIVE NO 645 SOMERVILLE, MA 021451446	04-2697981	501(C)(3)	80,011				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE MEDICAL COLLEGE OF WISCONSIN INC 8701 WATERTOWN PLANK ROAD MILWAUKEE, WI 53226	39-0806261	501(C)(3)	15,857				SUBAWARD - SPONSORED RESEARCH
THE MIRIAM HOSPITAL ONE HOPPIN STREET PROVIDENCE, RI 029034141	05-0258905	501(C)(3)	68,355				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE NATURE OF CITIES INC 415 E 52ND STREET 1DC NEW YORK, NY 10022	46-1668772	501(C)(3)	137,282				SUBAWARD - SPONSORED RESEARCH
THE OHIO STATE UNIVERSITY 1960 KENNY ROAD COLUMBUS, OH 432101063	31-6025986	STATE OF OH	62,990				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE PRESBYTERIAN HOSPITAL 1719 EAST 4TH STREET SUITE 304 CHARLOTTE, NC 28204	56-0554230	501(C)(3)	11,500				SUBAWARD - SPONSORED RESEARCH
THE RAND CORPORATION 1776 MAIN ST PO BOX 2138 SANTA MONICA, CA 904072138	95-1958142	501(C)(3)	45,794				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA SAN FRANCISCO 1855 FOLSOM STREET SAN FRANCISCO, CA 94143	94-6036493	501(C)(3)	654,954				SUBAWARD - SPONSORED RESEARCH
THE RESEARCH FOUNDATION FOR THE STATE UNIVERSITY OF NEW YORK PO BOX 9 ALBANY, NY 122010009	14-1368361	501(C)(3)	800,149				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE RESEARCH INSTITUTE AT NATIONWIDE CHILDREN'S HOSPITAL 700 CHILDRENS DRIVE COLUMBUS, OH 432052664	31-6056230	501(C)(3)	7,276				SUBAWARD - SPONSORED RESEARCH
THE SCRIPPS RESEARCH INSTITUTE 10550 NORTH TORREY PINES ROAD LA JOLLA, CA 92037	33-0435954	501(C)(3)	578,375				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE TRANSLATIONAL GENOMICS RESEARCH INSTITUTE 445 NORTH FIFTH STREET SUITE 600 PHOENIX, AZ 85004	75-3065445	501(C)(3)	66,993				SUBAWARD - SPONSORED RESEARCH
THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK PO BOX 29789 NEW YORK, NY 100879789	13-5598093	501(C)(3)	1,913,943				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE TRUSTEES OF PRINCETON UNIVERSITY 701 CARNEGIE CENTER SUITE 443 PRINCETON, NJ 08540	21-0634501	501(C)(3)	70,280				SUBAWARD - SPONSORED RESEARCH
THE UNIVERSITY OF ARIZONA 1303 E UNIVERSITY BLVD BOX 3 TUCSON, AZ 857190521	74-2652689	STATE OF AZ	75,314				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE UNIVERSITY OF OKLAHOMA HEALTH SCIENCES CENTER PO BOX 26901 OKLAHOMA CITY, OK 731260901	73-1563627	STATE OF OK	81,490				SUBAWARD - SPONSORED RESEARCH
THE UNIVERSITY OF RHODE ISLAND 70 LOWER COLLEGE ROAD KINGSTON, RI 028810811	05-6000522	501(C)(3)	575,646				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE UNIVERSITY OF SOUTH CAROLINA 1600 HAMPTON STREET COLUMBIA, SC 29208	57-6001153	STATE OF SC	19,028				SUBAWARD - SPONSORED RESEARCH
THE UNIVERSITY OF TENNESSEE 210 STUDENT SVCS BLDG KNOXVILLE, TN 379960105	62-6001636	STATE OF TN	15,727				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE UNIVERSITY OF TEXAS AT AUSTIN PO BOX 7159 AUSTIN, TX 787137159	74-6000203	STATE OF TX	784,239				SUBAWARD - SPONSORED RESEARCH
THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER PO BOX 841765 DALLAS, TX 752841765	75-6002868	STATE OF TX	850,511				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THOMAS JEFFERSON UNIVERSITY 125 S 9TH STREET SHERIDAN BLDG PHILADELPHIA, PA 19107	23-1352651	501(C)(3)	6,000				SUBAWARD - SPONSORED RESEARCH
TRUSTEES OF BOSTON UNIVERSITY 881 COMMONWEALTH AVENUE BOSTON, MA 022151303	04-2103547	501(C)(3)	250,379				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
TRUSTEES OF DARTMOUTH COLLEGE 11 ROPE FERRY ROAD HANOVER, NH 037551404	02-0222111	501(C)(3)	153,961				SUBAWARD - SPONSORED RESEARCH
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET PHILADELPHIA, PA 19104	23-1352685	501(C)(3)	1,256,941				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
TUFTS MEDICAL CENTER INC 800 WASHINGTON STREET BOSTON, MA 02111533	04-3400617	501(C)(3)	56,464				SUBAWARD - SPONSORED RESEARCH
TUFTS UNIVERSITY 169 HOLLAND STREET SOMERVILLE, MA 02144	04-2103634	501(C)(3)	109,059				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
TULANE UNIVERSITY 1555 POYDRAS STREET SUITE 805 NEW ORLEANS, LA 70112	72-0423889	501(C)(3)	12,069				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY CORPORATION OF ATMOSPHERIC RESEARCH PO BOX 3000 BOULDER, CO 803073000	84-0412668	501(C)(3)	10,784				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF ALABAMA AT BIRMINGHAM 701 20TH STREET S BIRMINGHAM, AL 352940111	63-6005396	501(C)(3)	252,065				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF CALIFORNIA BERKELEY 2195 HEARST AVENUE BERKELEY, CA 947201103	94-6002123	501(C)(3)	292,257				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF CALIFORNIA LOS ANGELES 405 HILGARD AVENUE LOS ANGELES, CA 900959000	95-6006143	501(C)(3)	832,113				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF CALIFORNIA DAVIS ONE SHIELD AVENUE DAVIS, CA 95616	94-6036494	501(C)(3)	200,075				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF CALIFORNIA IRVINE 120 THEORY SUITE 200 IRVINE, CA 926971050	95-2226406	501(C)(3)	50,224				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF CALIFORNIA RIVERSIDE 900 UNIVERSITY AVENUE RIVERSIDE, CA 92521	95-6006142	501(C)(3)	10,003				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF CALIFORNIA SAN DIEGO 9500 GILMAN DRIVE LA JOLLA, CA 920930009	95-6006144	501(C)(3)	244,180				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF CALIFORNIA SANTA BARBARA 1212 SAASB SANTA BARBARA, CA 931062003	95-6006145	501(C)(3)	13,042				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF CHICAGO 6054 SOUTH DREXEL AVENUE CHICAGO, IL 60637	36-2177139	501(C)(3)	275,731				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF CINCINNATI 51 GOODMAN DRIVE CINCINNATI, OH 452210641	31-6000989	STATE OF OH	748,444				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF CONNECTICUT 438 WHITNEY ROAD STORRS, CT 062691133	06-0772160	STATE OF CT	1,167,648				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF FLORIDA PO BOX 113001 GAINESVILLE, FL 326113001	59-6002052	STATE OF FL	303,440				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF GEORGIA RESEARCH FOUNDATION INC 310 EAST CAMPUS ROAD ATHENS, GA 30602	58-1353149	501(C)(3)	534,541				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF IOWA 118 S CLINTON STREET IOWA CITY, IA 52242	42-6004813	STATE OF IA	264,530				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION 301 PETERSON SERVICE BUILDING LEXINGTON, KY 40506	61-6033693	501(C)(3)	77,330				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF LOUISVILLE 300 EAST MARKET STREET SUITE 300 LOUISVILLE, KY 402021959	61-1014882	STATE OF KY	15,173				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF MARYLAND BALTIMORE 220 ARCH STREET ROOM 02-148 BALTIMORE, MD 21201	52-6002033	STATE OF MD	123,552				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF MASSACHUSETTS 100 MORRISSEY BLVD BOSTON, MA 021253393	04-3167352	STATE OF MA	1,406,735				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF MIAMI PO BOX 248106 CORAL GABLES, FL 331242912	59-0624458	501(C)(3)	512,651				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF MINNESOTA PO BOX 1450 MINNEAPOLIS, MN 554855957	41-6007513	STATE OF MN	59,838				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF NEBRASKA 985045 NEBRASKA MEDICAL CENTER OMAHA, NE 681985045	47-0049123	501(C)(3)	17,133				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL 104 AIRPORT DRIVE CHAPEL HILL, NC 275991270	56-6001393	501(C)(3)	630,719				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF NOTRE DAME 836A GRACE HALL NOTRE DAME, IN 465565612	35-0868188	501(C)(3)	31,584				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF OREGON PO BOX 3237 EUGENE, OR 97403	46-4727800	STATE OF OR	58,349				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF PITTSBURGH 500 ROSS STREET PITTSBURGH, PA 152620001	25-0965591	501(C)(3)	298,547				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF PUERTO RICO PO BOX 365067 SAN JUAN, PR 009365067	66-0433760	PUERTO RICO	157,561				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF ROCHESTER 910 GENESSEE STREET SUITE 200 ROCHESTER, NY 14611	16-0743209	501(C)(3)	285,311				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF SOUTH FLORIDA PO BOX 864568 ORLANDO, FL 328864568	59-3102112	STATE OF FL	45,207				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF SOUTHERN CALIFORNIA 3500 SOUTH FIGUEROA STREET LOS ANGELES, CA 900898001	95-1642394	501(C)(3)	258,600				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON PO BOX 301418 DALLAS, TX 753031418	74-1761309	STATE OF TX	40,696				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO PO BOX 1898 SAN ANTONIO, TX 782971898	74-1586031	STATE OF TX	14,253				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON PO BOX 660120 DALLAS, TX 752660120	74-6000949	STATE OF TX	50,301				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF THE VIRGIN ISLANDS 2 JOHN BREWERS BAY ST THOMAS, VI 00802	66-0432514	501(C)(3)	19,398				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF UTAH 201 SOUTH PRESIDENTS CIRCLE 411 SALT LAKE CITY, UT 841129023	87-6000525	501(C)(3)	36,574				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF VERMONT AND STATE AGRICULTURAL COLLEGE 85 SOUTH PROSPECT STREET BURLINGTON, VT 054050160	03-0179440	501(C)(3)	205,841				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF VIRGINIA PO BOX 400195 CHARLOTTESVILLE, VA 229044195	54-6001796	501(C)(3)	247,622				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF WASHINGTON 4300 ROOSEVELT WAY NE BOS 354965 SEATTLE, WA 98105	91-6001537	STATE OF WA	571,568				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF WISCONSIN SYSTEM 780 REGENT STREET MADISON, WI 53706	39-1805963	STATE OF WI	35,805				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
URBAN COMMUNITY ALLIANCE INC 446A BLAKE STREET NEW HAVEN, CT 06515	06-1324343	501(C)(3)	161,283				SUBAWARD - SPONSORED RESEARCH
UTAH STATE UNIVERSITY PO BOX 410027 SALT LAKE CITY, UT 841410027	87-6000528	501(C)(3)	36,409				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
VA CONNECTICUT RESEARCH AND EDUCATION FOUNDATION 950 CAMPBELL AVENUE NO 151 WEST HAVEN, CT 06516	20-2206467	501(C)(3)	238,309				SUBAWARD - SPONSORED RESEARCH
VANDERBILT UNIVERSITY 2301 VANDERBILT PLACE NASHVILLE, TN 372401591	62-0476822	501(C)(3)	366,850				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
VANDERBILT UNIVERSITY MEDICAL CENTER 1161 21ST AVENUE S NASHVILLE, TN 37232	35-2528741	501(C)(3)	216,276				SUBAWARD - SPONSORED RESEARCH
VETERANS EDUCATION AND RESEARCH ASSOCIATION OF NORTHERN NEW ENGLAND INC PO BOX 4655 WHITE RIVER JUNCTION, VT 05001	22-3091219	501(C)(3)	122,810				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
VIRGINIA COMMONWEALTH UNIVERSITY 800 EAST LEIGH STREET SUITE 3200 RICHMOND, VA 232980568	54-6001758	STATE OF VA	129,444				SUBAWARD - SPONSORED RESEARCH
VIRGINIA POLYTECHNIC INSTITUTE AND STATE UNIVERSITY 300 TURNER ST NW BLACKSBURG, VA 24061	54-6001805	STATE OF VA	49,539				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
VIRSCIO INC 4 SCIENCE PARK 4TH FLOOR NEW HAVEN, CT 06511	04-3682460		31,000				SUBAWARD - SPONSORED RESEARCH
VISAGE IMAGING INC 12625 HIGH BUFF DRIVE SUITE 205 SAN DIEGO, CA 92130	37-1551308		46,934				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WASHINGTON UNIVERSITY 700 ROSEDALE AVENUE ST LOUIS, MO 631121408	43-0653611	501(C)(3)	121,744				SUBAWARD - SPONSORED RESEARCH
WAYNE STATE UNIVERSITY PO BOX 02788 DETROIT, MI 48202	38-6028429	501(C)(3)	148,423				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WEILL MEDICAL COLLEGE OF CORNELL UNIVERSITY 575 LEXINGTON AVENUE 9TH FLOOR NEW YORK, NY 10022	13-1623978	501(C)(3)	329,539				SUBAWARD - SPONSORED RESEARCH
WELLSPAN HEALTH 1001 SOUTH GEORGE STREET YORK, PA 17403	22-2517863	501(C)(3)	6,650				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WEST CHESTER UNIVERSITY OF PENNSYLVANIA 201 CARTER DRIVE SUITE 200 WEST CHESTER, PA 19383	23-2417773	STATE OF PA	13,600				SUBAWARD - SPONSORED RESEARCH
WISTAR INSTITUTE OF ANATOMY AND BIOLOGY 3601 SPRUCE STREET PHILADELPHIA, PA 19104	23-6434390	501(C)(3)	123,436				SUBAWARD - SPONSORED RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
DOROT INC 171 WEST 85TH STREET NEW YORK, NY 10024	13-3264005	501(C)(3)	50,000				DAF DISTRIBUTION
INSTITUTE OF JUSTICE 901 NORTH GLEBE ROAD SUITE 900 ARLINGTON, VA 22203	52-1744337	501(C)(3)	60,000				DAF DISTRIBUTION

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNITED JEWISH APPEAL-FEDERATION OF JEWISH PHILANTHROPIES OF NEW YORK INC 130 EAST 59TH STREET NEW YORK, NY 10022	51-0172429	501(C)(3)	50,000				DAF DISTRIBUTION
UNIVERSITY OF CHICAGO 6054 SOUTH DREXEL AVENUE CHICAGO, IL 60637	36-2177139	501(C)(3)	100,000				DAF DISTRIBUTION

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
YES PREP PUBLIC SCHOOL INC 5515 SOUTH LOOP EAST HOUSTON, TX 77033	76-0563835	501(C)(3)	205,000				DAF DISTRIBUTION
ACHIEVEMENT FIRST INC 370 JAMES STREET SUITE 404 NEW HAVEN, CT 06513	65-1203744	501(C)(3)	126,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ALL OUR KIN INC PO BOX 8477 NEW HAVEN, CT 06530	06-1539280	501(C)(3)	30,500				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
ARTS COUNCIL OF GREATER NEW HAVEN INC 70 AUDUBON STREET NEW HAVEN, CT 06510	06-6082782	501(C)(3)	17,500				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ARTSPACE INC 50 ORANGE STREET NEW HAVEN, CT 06510	22-2533535	501(C)(3)	6,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
AURELIAN HONOR SOCIETY INC YALE STATION PO BOX 206040 NEW HAVEN, CT 06520	06-6071632	501(C)(3)	17,863				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BELIEVE IN ME EMPOWERMENT CORPORATION 423 DIXWELL AVENUE NEW HAVEN, CT 06511	84-1707447	501(C)(3)	25,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
BEULAH LAND DEVELOPMENT CORP 774 ORCHARD STREET NEW HAVEN, CT 06511	06-1419774	501(C)(3)	15,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BOYS AND GIRLS CLUB OF NEW HAVEN 253 COLUMBUS AVENUE NEW HAVEN, CT 06519	06-0646935	501(C)(3)	20,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
CENTRAL CONNECTICUT COAST YOUNG MEN'S CHRISTIAN ASSOCIATION INC 1240 CHAPEL STREET NEW HAVEN, CT 06511	06-0662195	501(C)(3)	15,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CHABAD AT YALE UNIVERSITY INC 36 LYNWOOD PLACE NEW HAVEN, CT 06511	45-2786550	501(C)(3)	73,481				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
CHAPEL WEST SPECIAL SERVICES DISTRICT 1205 CHAPEL STREET NEW HAVEN, CT 06511	06-1205893	City-New Haven	10,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CHRIST THE BREAD OF LIFE PARISH CORPORATION 322 CIRCULAR AVENUE HAMDEN, CT 06514	06-0679114	501(C)(3)	11,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
CHURCH OF GOD AND SAINTS OF CHRIST 109 BEERS STREET NEW HAVEN, CT 06511	06-1129297	501(C)(3)	10,350				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CITY OF NEW HAVEN 200 ORANGE STREET NEW HAVEN, CT 06510	06-6001876	City-New Haven	13,200				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
CITY SEED INC 817 GRAND AVENUE NO 101 NEW HAVEN, CT 06511	83-0397621	501(C)(3)	10,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
COLUMBUS HOUSE INC 586 ELLA T GRASSO BOULEVARD NEW HAVEN, CT 06519	22-2511873	501(C)(3)	19,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
COMMUNICARE 85 WILLOW STREET BUILDING A SUITE 3 NEW HAVEN, CT 06511	61-1466714	501(C)(3)	36,800				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
COMMUNITY SOUP KITCHEN INCORPORATED 84 BROADWAY NEW HAVEN, CT 06511	06-1071804	501(C)(3)	30,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
CONNECTICUT CENTER FOR ARTS & TECHNOLOGY 4 SCIENCE PARK NEW HAVEN, CT 06511	45-1257955	501(C)(3)	50,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CONNECTICUT VIOLENCE INTERVENTION PROGRAM INC 230 ASHMUN STREET NEW HAVEN, CT 06511	83-2350328	501(C)(3)	10,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
CONTINUUM OF CARE INC 109 LEGION AVENUE NEW HAVEN, CT 06519	06-0836524	501(C)(3)	22,500				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CORNELL SCOTT-HILL HEALTH CORPORATION 400 COLUMBUS AVENUE NEW HAVEN, CT 06519	06-0870990	501(C)(3)	10,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
DELTA SIGMA THETA SORORITY INC PO BOX 9477 NEW HAVEN, CT 06534	53-0215218	501(C)(7)	15,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ECONOMETRIC SOCIETY INC 30 HILLHOUSE AVENUE NEW HAVEN, CT 06511	32-0402835	501(C)(3)	28,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
EMERGE CONNECTICUT INC 830 GRAND AVENUE NEW HAVEN, CT 06512	45-3789523	501(C)(3)	10,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
FAMILY CENTERED SERVICES OF CT INC 235 NICOLL STREET NEW HAVEN, CT 06511	06-0972684	501(C)(3)	32,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
FELLOWSHIP PLACE INC 441 ELM STREET NEW HAVEN, CT 06513	06-0947027	501(C)(3)	14,200				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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FIRST CALVARY BAPTIST CHURCH 605 DIXWELL AVENUE NEW HAVEN, CT 06511	06-1173497	501(C)(3)	10,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
FISH OF GREATER NEW HAVEN INC PO BOX 8552 NEW HAVEN, CT 06516	23-7090083	501(C)(3)	10,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
GATEWAY COMMUNITY COLLEGE FOUNDATION INC 20 CHURCH STREET NEW HAVEN, CT 06510	22-3135128	501(C)(3)	27,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
GRACE CHAPEL CHURCH OF THE LIVING GOD PO BOX 6465 HAMDEN, CT 06517	06-1321142	501(C)(3)	7,400				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
GREATER NEW HAVEN BUSINESS & PROFESSIONAL ASSOC INC 192 DIXWELL AVENUE NEW HAVEN, CT 06511	06-0847657	501(C)(3)	10,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
HAVENLY INC 67 HIGH STREET NEW HAVEN, CT 06511	83-1094860	501(C)(3)	10,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HAVEN'S HARVEST 225 E GRAND AVENUE NEW HAVEN, CT 06513	22-3148570	501(C)(3)	15,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
HIGHVILLE CHARTER SCHOOL ONE SCIENCE PARK NEW HAVEN, CT 06511	26-0858723	501(C)(3)	15,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
INSPIRED COMMUNITIES INC 31 LANDER STREET NEW HAVEN, CT 06511	84-2633563	501(C)(3)	30,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
INTEGRATED REFUGEE & IMMIGRANT SERVICES INC 235 NICOLL STREET FLOOR 2 NEW HAVEN, CT 06511	06-0653044	501(C)(3)	30,250				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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JOSEPH SLIFKA CENTER FOR JEWISH LIFE AT YALE 80 WALL STREET NEW HAVEN, CT 06511	06-1257354	501(C)(3)	328,875				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
JUNTA FOR PROGRESSIVE ACTION INC 169 GRAND AVENUE NEW HAVEN, CT 06513	23-7066862	501(C)(3)	25,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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LEADERSHIP EDUCATION AND ATHLETICS IN PARTNERSHIP INC 31 JEFFERSON STREET NEW HAVEN, CT 06511	22-2906547	501(C)(3)	32,500				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
LIBERTY COMMUNITY SERVICES 129 CHURCH STREET MEZZANINE NEW HAVEN, CT 06510	22-2849124	501(C)(3)	18,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

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(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
LITERARY VOLUNTEERS OF GREATER NEW HAVEN INC 5 SCIENCE PARK NEW HAVEN, CT 06511	06-0968986	501(C)(3)	12,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
LONG WHARF THEATRE 222 SARGENT DRIVE NEW HAVEN, CT 06511	06-6073063	501(C)(3)	6,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MARKET NEW HAVEN INC 157 CHURCH STREET NEW HAVEN, CT 06510	06-1578847	501(C)(6)	137,500				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
MARRAKECH INC 6 LUNAR DRIVE WOODBIDGE, CT 06525	23-7148533	501(C)(3)	13,250				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MORY'S PRESERVATION INC 306 YORK STREET NEW HAVEN, CT 06511	26-4794593	501(C)(3)	303,934				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
MT CALVARY HOLY CHURCH REVIVAL CENTER INCORPORATED 392 LEGION AVENUE NEW HAVEN, CT 06519	06-1524330	501(C)(3)	10,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NATIONAL COUNCIL OF NEGRO WOMEN INC PO BOX 9315 NEW HAVEN, CT 06533	53-0173054	501(C)(3)	15,100				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
NEIGHBORHOOD HOUSING SERVICES OF NEW HAVEN INC 333 SHERMAN AVENUE NEW HAVEN, CT 06511	06-1021268	501(C)(3)	10,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NEW HAVEN INTERNATIONAL FESTIVAL OF ARTS & IDEAS 195 CHURCH STREET 12TH FLOOR NEW HAVEN, CT 06510	06-1444222	501(C)(3)	200,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
NEW HAVEN LEGAL ASSISTANCE ASSOCIATION INC 205 ORANGE STREET NEW HAVEN, CT 06510	06-0793269	501(C)(3)	50,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NEW HAVEN PEARLS OF EXCELLENCE FOUNDATION PO BOX 3665 WOODBIDGE, CT 06525	83-3452368	501(C)(3)	11,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
NEW HAVEN PRIDE CENTER 84 ORANGE STREET NEW HAVEN, CT 06510	06-1458869	501(C)(3)	10,300				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NEW HAVEN PROMISE INC 28 LINCOLN WAY NEW HAVEN, CT 06510	81-1267064	501(C)(3)	15,263				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
NEW HAVEN READS COMMUNITY BOOK BANK INC 45 BRISTOL STREET NEW HAVEN, CT 06511	76-0807330	501(C)(3)	15,750				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NEW HAVEN SYMPHONY ORCHESTRA INC 4 HAMILTON STREET NEW HAVEN, CT 06511	06-6000592	501(C)(3)	6,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
NEW HAVEN WORKS INC 205 WHITNEY AVENUE NO 106 NEW HAVEN, CT 06511	46-2662124	501(C)(3)	147,806				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NEW HAVEN-URBAN RESOURCES INITIATIVE INC 195 PROSPECT STREET NEW HAVEN, CT 06511	06-1343983	501(C)(3)	8,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
NEW HYTES INC PO BOX 2928 NEW HAVEN, CT 06515	27-0772846	501(C)(3)	35,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NEW REACH INC 153 EAST STREET NO 200 NEW HAVEN, CT 06511	22-3037451	501(C)(3)	10,750				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
PERFECT BLEND INC PO BOX 2955 NEW HAVEN, CT 06515	27-2254688	501(C)(3)	10,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PHENOMENAL I AM INC PO BOX 8733 NEW HAVEN, CT 06531	47-1366578	501(C)(3)	5,400				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
R KIDS INC 45 DIXWELL AVENUE NEW HAVEN, CT 06511	06-1453694	501(C)(3)	6,400				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
READ TO GROW INC 53 SCHOOL GROUND ROAD BRANFORD, CT 06405	06-1572185	501(C)(3)	15,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
RONALD MCDONALD HOUSE CHARITIES OF CONNECTICUT AND WESTERN MASSACHUSETTS 860 HOWARD AVENUE NEW HAVEN, CT 06519	04-2971480	501(C)(3)	9,093				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SICKLE CELL DISEASE ASSOC OF AMERICA OF SO CT INC 545 WHALLEY AVENUE NEW HAVEN, CT 06511	22-2699876	501(C)(3)	10,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
SOLAR YOUTH INC 53 WAYFARER STREET NEW HAVEN, CT 06515	06-1600471	501(C)(3)	10,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SQUASH HAVEN INC 70 TOWER PARKWAY NEW HAVEN, CT 06520	20-5500876	501(C)(3)	84,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
TEACH FOR AMERICA INC 370 JAMES STREET NEW HAVEN, CT 06513	13-3541913	501(C)(3)	100,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE COMMUNITY FOUNDATION FOR GREATER NEW HAVEN 70 AUDUBON STREET NEW HAVEN, CT 06510	06-6032106	501(C)(3)	350,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
THE FOUNDATION OF THE GREATER NEW HAVEN CHAMBER OF COMMERCE INC 900 CHAPEL STREET NEW HAVEN, CT 06510	06-1142015	501(C)(3)	7,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE JEWISH FEDERATION OF GREATER NEW HAVEN INC 360 AMITY ROAD WOODBIDGE, CT 06525	06-0647025	501(C)(3)	27,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
THE NEW HAVEN ECOLOGY PROJECT INC 358 SPRINGSIDE AVENUE NEW HAVEN, CT 06515	22-3171185	501(C)(3)	16,750				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE TOWERS FOUNDATION INC 18 TOWER LANE NEW HAVEN, CT 06519	06-1331831	501(C)(3)	25,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
THOMAS CHAPEL CHURCH OF CHRIST DISCIPLES 30 WHITE STREET NEW HAVEN, CT 06519	06-1618810	501(C)(3)	10,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNITED WAY OF GREATER NEW HAVEN INC 370 JAMES STREET NO 403 NEW HAVEN, CT 06513	06-0646761	501(C)(3)	307,500				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
URBAN COMMUNITY ALLIANCE INC 446A BLAKE STREET NEW HAVEN, CT 06515	06-1324343	501(C)(3)	10,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WALTER CAMP FOOTBALL FOUNDATION INC PO BOX 1663 NEW HAVEN, CT 06507	06-6099383	501(C)(4)	10,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
WEST HAVEN BOARD OF EDUCATION 25 MELOY ROAD WEST HAVEN, CT 06516	06-6002479	City-West Haven	50,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WILLIAM F BUCKLEY JR PROGRAM AT YALE INC 234 CHURCH STREET 7TH FLOOR NEW HAVEN, CT 06510	27-5131268	501(C)(3)	88,177				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
WLM MINISTRIES INC PO BOX 8853 NEW HAVEN, CT 06532	42-1710596	501(C)(3)	10,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WORLD CENTRAL KITCHEN INC 1342 FLORIDA AVENUE NW WASHINGTON, DC 20009	27-3521132	501(C)(3)	100,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT
YOUTH CONTINUUM INC 141 VALLEY STREET NEW HAVEN, CT 06515	06-0848949	501(C)(3)	15,000				CHARITABLE CONTRIBUTION AND COMMUNITY SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NEW HAVEN PROMISE INC 28 LINCOLN WAY NEW HAVEN, CT 06510	81-1267064	501(C)(3)	4,000,000				SCHOLARSHIPS

Schedule J (Form 990)	Compensation Information	OMB No. 1545-0047
		2019
		Open to Public Inspection
Department of the Treasury Internal Revenue Service	For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23. ▶ Attach to Form 990. ▶ Go to www.irs.gov/Form990 for instructions and the latest information.	
Name of the organization Yale University		Employer identification number 06-0646973

Part I Questions Regarding Compensation		Yes	No
1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.			
<input checked="" type="checkbox"/> First-class or charter travel	<input checked="" type="checkbox"/> Housing allowance or residence for personal use		
<input type="checkbox"/> Travel for companions	<input type="checkbox"/> Payments for business use of personal residence		
<input checked="" type="checkbox"/> Tax indemnification and gross-up payments	<input type="checkbox"/> Health or social club dues or initiation fees		
<input type="checkbox"/> Discretionary spending account	<input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)		
b If any of the boxes on Line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain		1b Yes	
2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked on Line 1a?		2 Yes	
3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.			
<input checked="" type="checkbox"/> Compensation committee	<input type="checkbox"/> Written employment contract		
<input checked="" type="checkbox"/> Independent compensation consultant	<input checked="" type="checkbox"/> Compensation survey or study		
<input checked="" type="checkbox"/> Form 990 of other organizations	<input checked="" type="checkbox"/> Approval by the board or compensation committee		
4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:			
a Receive a severance payment or change-of-control payment?		4a	No
b Participate in, or receive payment from, a supplemental nonqualified retirement plan?		4b Yes	
c Participate in, or receive payment from, an equity-based compensation arrangement?		4c	No
If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.			
Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.			
5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:			
a The organization?		5a	No
b Any related organization?		5b	No
If "Yes," on line 5a or 5b, describe in Part III.			
6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:			
a The organization?		6a	No
b Any related organization?		6b	No
If "Yes," on line 6a or 6b, describe in Part III.			
7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described in lines 5 and 6? If "Yes," describe in Part III.		7 Yes	
8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.		8 Yes	
9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?		9 Yes	

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

[illegible]

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
Schedule J, Part I, Line 1a Benefits provided	EACH ONE OF THE BENEFITS THAT WAS CHECKED WAS PROVIDED PURSUANT TO A WRITTEN POLICY.
Schedule J, Part II, Column (D) Nontaxable Benefits	IN ADDITION TO THE EMPLOYER COST OF HEALTH, DENTAL AND OTHER NONTAXABLE BENEFITS AVAILABLE ON A NONDISCRIMINATORY BASIS, COLUMN (D) INCLUDES AMOUNTS AWARDED AS SCHOLARSHIP GRANTS TO CHILDREN OF EMPLOYEES UNDER THE UNIVERSITY'S NONDISCRIMINATORY QUALIFIED TUITION REDUCTION PLAN COVERING ALL ELIGIBLE FACULTY AND STAFF WITH SIX OR MORE YEARS OF SERVICE.
Schedule J, Part II, Column (F) COMPENSATION REPORTED IN PRIOR FORM 990	THIS AMOUNT IS ALSO INCLUDED IN COLUMN (E). IT IS, THEREFORE, DOUBLE REPORTED IN PART II AND DOES NOT REPRESENT ADDITIONAL COMPENSATION.
Schedule J, Part I, Line 1a First-class or charter travel	ON OCCASION DURING THE CALENDAR YEAR 2019, THE UNIVERSITY CHARTERED PRIVATE AIRCRAFT WHEN DEEMED NECESSARY FOR THE CONDUCT OF UNIVERSITY BUSINESS, PURSUANT TO A CORPORATION POLICY. TWO OFFICERS TRAVELED ON SUCH FLIGHTS IN 2019 IN ACCORDANCE WITH THE POLICY. IN ADDITION, one OFFICER TRAVELED FIRST CLASS ON a COMMERCIAL FLIGHT and two officers traveled first class on a train DURING 2019 DUE TO SPECIAL CIRCUMSTANCES and PERMITTED PURSUANT TO UNIVERSITY POLICY. UNDER APPLICABLE RULES, AMOUNTS FOR SUCH TRAVEL WERE NOT REPORTED AS TAXABLE COMPENSATION TO THE LISTED INDIVIDUALS.
Schedule J, Part I, Line 1a Tax indemnification and gross-up payments	Three OFFICERS RECEIVED TAX GROSS-UP PAYMENTS DURING THE CALENDAR YEAR 2019 FOR CERTAIN LIMITED TAXABLE BENEFITS BASED ON THEIR ESTIMATED RELATED TAX OBLIGATIONS. THESE PAYMENTS WERE TREATED AS TAXABLE COMPENSATION TO THE LISTED INDIVIDUALS.
Schedule J, Part I, Line 1a Housing allowance or residence for personal use	AS A CONDITION OF HIS EMPLOYMENT AND FOR THE CONVENIENCE OF THE UNIVERSITY, THE PRESIDENT IS REQUIRED TO LIVE ON CAMPUS IN AN APARTMENT WITHIN THE PRESIDENT'S HOUSE. THE ESTIMATED FAIR MARKET RENTAL VALUE OF THIS HOUSING IS INCLUDED AS A NONTAXABLE BENEFIT IN SCHEDULE J, PART II, COLUMN (D). IN ADDITION, ONE OFFICER RECEIVED A HOUSING ALLOWANCE WHICH WAS TREATED AS TAXABLE COMPENSATION TO THE INDIVIDUAL DURING THE CALENDAR YEAR 2019.
Schedule J, Part I, Line 4b Supplemental nonqualified retirement plan	CERTAIN LISTED PERSONS PARTICIPATED IN SUPPLEMENTAL NON-QUALIFIED RETIREMENT PLANS DURING THE CALENDAR YEAR 2019 AS DESCRIBED BELOW: THE UNIVERSITY HAS AGREED TO SUPPLEMENT THE PRESIDENT'S RETIREMENT INCOME BY MAKING AN ANNUAL CONTRIBUTION TO A NON-QUALIFIED DEFERRED COMPENSATION PLAN, SUBJECT TO VESTING CONDITIONS BASED ON CONTINUING SERVICE TO THE UNIVERSITY AND ADJUSTED FOR EARNINGS BASED ON POSITIVE PERFORMANCE OF THE ENDOWMENT. THE CURRENT YEAR ACCRUAL OF COMPENSATION ASSOCIATED WITH THIS PLAN IS INCLUDED IN SCHEDULE J, PART II, COLUMN (C). A PORTION OF THIS BENEFIT VESTED IN 2019 AND IS REFLECTED IN SCHEDULE J, PART II, COLUMN (B)(III). THE UNIVERSITY PREVIOUSLY AGREED TO SUPPLEMENT THE RETIREMENT INCOME FOR one KEY EMPLOYEE IN THE INVESTMENTS OFFICE BY PROVIDING AN ADDITIONAL RETIREMENT BENEFIT EQUAL IN VALUE TO A PERCENTAGE OF SALARY AT VESTING, FOR A TERM OF 20 YEARS, SUBJECT TO VESTING CONDITIONS BASED ON CONTINUING SERVICE TO THE UNIVERSITY. AN ANNUAL ACCRUAL FOR THE ADDITIONAL RETIREMENT BENEFIT IS INCLUDED IN SCHEDULE J, PART II, COLUMN (C). A portion of this additional benefit vested and was paid in 2019 and is reflected in Schedule J, part II, Column (B)(III). IN ADDITION, THE UNIVERSITY AWARDED BONUSES TO TWO key EMPLOYEES IN THE INVESTMENTS OFFICE THAT WERE TAXED IN 2019 AND SUBJECT TO DEFERRED PAYMENT WITH ADJUSTMENTS UPWARD OR DOWNWARD DEPENDING ON PERFORMANCE OF THE ENDOWMENT. THESE BONUSES FOR 2019 ARE INCLUDED IN SCHEDULE J, PART II, COLUMN B(III). For both employees, the earnings associated with these previously awarded deferred bonuses paid in 2019 are reflected in Schedule J, Part II, Column (B)(III).
Schedule J, Part I, Line 7 Non-fixed payments	THE UNIVERSITY PAID TWO KEY EMPLOYEES IN THE INVESTMENTS OFFICE BONUSES AWARDED UNDER A LONG TERM INCENTIVE COMPENSATION PLAN WHICH PROVIDES FOR PAYMENTS WHICH ARE BASED ON QUALITATIVE AND QUANTITATIVE PERFORMANCE FACTORS. THE PAYMENTS ARE REPORTED ON SCHEDULE J, PART II, COLUMN (B)(II). THE UNIVERSITY PAID TWO HIGHLY COMPENSATED EMPLOYEES BONUSES UNDER A LONG TERM INCENTIVE COMPENSATION PLAN WHICH PROVIDES FOR DEFERRED VESTING CONTINGENT ON THE PERFORMANCE OF FUTURE SERVICES AND MAY CHANGE UPWARD OR DOWNWARD DEPENDING ON PERFORMANCE OF THE ENDOWMENT PRIOR TO VESTING AND PAYMENT. THE CURRENT YEAR PAYMENT THAT WAS AWARDED IN PREVIOUS YEARS IS REPORTED ON SCHEDULE J, PART II, COLUMN (B)(II). THE AMOUNT AWARDED IN THE CURRENT YEAR BUT SUBJECT TO DEFERRED VESTING IS INCLUDED AS DEFERRED COMPENSATION ON SCHEDULE J, PART II, COLUMN (C). THE UNIVERSITY ALSO PAID two HIGHLY COMPENSATED EMPLOYEES ADDITIONAL COMPENSATION PURSUANT TO CLINICAL INCENTIVE PLANS. THE PAYMENTS ARE REPORTED ON SCHEDULE J, PART II, COLUMN (B)(II).
Schedule J, Part I, Line 8 Payments on contract that is subject to the initial contract exception	THERE WERE four OFFICERS WITH RESPECT TO WHOM AMOUNTS WERE REPORTED IN PART VII WHERE THOSE AMOUNTS WERE PAID OR ACCRUED PURSUANT TO A CONTRACT THAT WAS SUBJECT TO THE INITIAL CONTRACT EXCEPTION DESCRIBED IN REGS. SECTION 53.4958-4(A)(3). THE COMPENSATION WAS ALSO APPROVED PURSUANT TO PROCESSES CONSISTENT WITH THE REBUTTABLE PRESUMPTION PROCEDURE DESCRIBED IN REGS. SECTION 53.4958-6(C).

Additional Data

Software ID: 19010655
Software Version: 2019v5.0
EIN: 06-0646973
Name: Yale University

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1Peter Salovey Trustee & President	(i)	1,281,400	0	529,284	211,678	55,841	2,078,203	180,000
	(ii)	0	0	0	0	0	0	0
1Alexander E Dreier Sr VP for Institutional Affairs	(i)	671,184	0	100,892	31,678	35,773	839,527	0
	(ii)	0	0	0	0	0	0	0
2Jack F Callahan Jr Sr VP for Operations	(i)	674,609	0	80,738	31,678	38,847	825,872	0
	(ii)	0	0	0	0	0	0	0
3Benjamin Polak Provost (through 12/31/19)	(i)	695,995	0	19,000	31,678	657	747,330	0
	(ii)	0	0	0	0	0	0	0
4Scott A Strobel Provost (effective 1/1/20)	(i)	559,157	0	38,899	31,678	22,244	651,978	0
	(ii)	0	0	0	0	0	0	0
5Joan E O'Neill VP for Alumni Affairs & Development	(i)	514,324	0	50,968	31,678	32,714	629,684	0
	(ii)	0	0	0	0	0	0	0
6Stephen C Murphy VP for Finance & CFO	(i)	469,414	0	19,000	31,678	44,187	564,279	0
	(ii)	0	0	0	0	0	0	0
7Pericles Lewis VP for Global Strategy	(i)	444,096	0	19,000	31,678	46,205	540,979	0
	(ii)	0	0	0	0	0	0	0
8John H Bollier VP for Facilities & Campus Development	(i)	459,959	0	0	31,678	29,341	520,978	0
	(ii)	0	0	0	0	0	0	0
9Janet E Lindner VP for HR & Administration	(i)	442,219	0	9,600	31,678	12,646	496,143	0
	(ii)	0	0	0	0	0	0	0
10Kimberly M Goff-Crews Secretary & VP for University Life	(i)	434,164	0	19,000	31,678	11,300	496,142	0
	(ii)	0	0	0	0	0	0	0
11Nathaniel Nickerson VP for Communications	(i)	374,874	0	36,750	31,678	586	443,888	0
	(ii)	0	0	0	0	0	0	0
12David F Swensen Chief Investment Officer	(i)	872,289	2,706,345	3,963,840	434,340	76,511	8,053,325	1,891,069
	(ii)	0	0	0	0	0	0	0
13Dean J Takahashi Sr Director, Investments (through 10/9/19)	(i)	522,865	2,010,428	837,914	31,678	46,470	3,449,355	0
	(ii)	0	0	0	0	0	0	0
14Robert J Alpern Dean, School of Medicine (through 1/31/20)	(i)	966,016	0	19,000	31,678	3,137	1,019,831	0
	(ii)	0	0	0	0	0	0	0
15Timothy R Sullivan Director, Investments	(i)	686,487	785,587	19,000	545,011	58,469	2,094,554	463,333
	(ii)	0	0	0	0	0	0	0
16Alan Forman Director, Investments	(i)	653,479	668,009	19,000	512,745	38,477	1,891,710	373,334
	(ii)	0	0	0	0	0	0	0
17Khalid M Abbed Director, Minimally Invasive Spine Surgery	(i)	1,603,148	0	0	31,678	44,425	1,679,251	0
	(ii)	0	0	0	0	0	0	0
18Murat Gunel Chair, Neurosurgery	(i)	1,081,148	475,000	41,800	31,678	44,425	1,674,051	0
	(ii)	0	0	0	0	0	0	0
19Nita Ahuja Professor of Surgery	(i)	1,120,769	212,500	44,216	31,678	36,810	1,445,973	0
	(ii)	0	0	0	0	0	0	0

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

[illegible]

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

Schedule K
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
Yale University

Supplemental Information on Tax-Exempt Bonds

► Complete if the organization answered "Yes" to Form 990, Part VI, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
► Attach to Form 990.
►Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Employer identification number
06-0646973

Part I Bond Issues											
(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
						Yes	No	Yes	No	Yes	No
A STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774LZC2	01-08-2003	250,000,000	SEE PART VI		X		X		X
B STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774UU60	02-24-2010	579,984,034	SEE PART VI		X		X		X
C STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774YPD3	07-02-2013	100,000,000	SEE PART VI		X		X		X
D STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774YRW9	07-23-2014	250,000,000	SEE PART VI		X		X		X

Part II		Proceeds							
		A		B		C		D	
1	Amount of bonds retired	125,000,000		247,456,317		0		0	
2	Amount of bonds legally defeased	0		0		0		0	
3	Total proceeds of issue	252,221,323		580,160,339		100,000,000		250,108,651	
4	Gross proceeds in reserve funds	0		0		0		0	
5	Capitalized interest from proceeds	0		0		0		0	
6	Proceeds in refunding escrows	0		0		0		0	
7	Issuance costs from proceeds	0		0		0		0	
8	Credit enhancement from proceeds	0		0		0		0	
9	Working capital expenditures from proceeds	0		0		0		0	
10	Capital expenditures from proceeds	252,221,323		490,643,522		0		250,108,651	
11	Other spent proceeds	0		89,516,817		100,000,000		0	
12	Other unspent proceeds	0		0		0		0	
13	Year of substantial completion	2009		2012		2007		2017	
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue of tax-exempt bonds (or, if issued prior to 2018, a current refunding issue)?		X	X		X			X
15	Were the bonds issued as part of an advance refunding issue of taxable bonds (or, if issued prior to 2018, an advance refunding issue)?		X		X		X		X
16	Has the final allocation of proceeds been made?	X		X		X		X	
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

Part III Private Business Use									
		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		X
2	Are there any lease arrangements that may result in private business use of bond-financed property?	X		X			X		X

Part III Private Business Use (Continued)		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?	X		X		X		X	
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?		X		X		X		X
c	Are there any research agreements that may result in private business use of bond-financed property?	X		X		X			X
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?		X		X		X		
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶	0.1 %		0 %		0 %		0 %	
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶	0.1 %		0.6 %		0 %		0 %	
6	Total of lines 4 and 5	0.2 %		0.6 %		0 %		0 %	
7	Does the bond issue meet the private security or payment test? . . .		X		X		X		X
8a	Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X		X		X		X
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of. . .								
c	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?								
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?	X		X		X		X	

Part IV Arbitrage		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate? . . .		X		X		X		X
2	If "No" to line 1, did the following apply?								
a	Rebate not due yet?		X		X		X		X
b	Exception to rebate?	X			X	X			X
c	No rebate due?	X		X		X		X	
	If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?	X		X		X		X	
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		X
b	Name of provider								
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was the hedge terminated?								

Part IV Arbitrage (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
5a Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		X
b Name of provider								
c Term of GIC								
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6 Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
7 Has the organization established written procedures to monitor the requirements of section 148?	X		X		X		X	

Part V Procedures To Undertake Corrective Action

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X		X		X	

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K. (See instructions).

Return Reference	Explanation
Schedule K, Part II, Line 3 PROCEEDS	TOTAL PROCEEDS OF ISSUE REPRESENT THE ISSUE PRICE (PART I, COLUMN (E)) PLUS ANY INVESTMENT EARNINGS.

Return Reference	Explanation
Schedule K, Part III, Line 3b PRIVATE BUSINESS USE	DURING THE 12-MONTH PERIOD USED TO REPORT ON THE BOND ISSUES, THE ORGANIZATION ROUTINELY REVIEWED ANY MANAGEMENT OR SERVICE CONTRACTS RELATING TO THE FINANCED PROPERTY FOR PRIVATE BUSINESS USE, USING EITHER IN-HOUSE COUNSEL, OUTSIDE COUNSEL, OR PROFESSIONAL CONTRACT REVIEWERS TRAINED BY COUNSEL.

Return Reference	Explanation
Schedule K, Part III, Line 3d PRIVATE BUSINESS USE	DURING THE 12-MONTH PERIOD USED TO REPORT ON THE BOND ISSUES, THE ORGANIZATION ROUTINELY REVIEWED RESEARCH AGREEMENTS RELATING TO THE FINANCED PROPERTY FOR PRIVATE BUSINESS USE, USING EITHER IN-HOUSE COUNSEL, OUTSIDE COUNSEL, OR PROFESSIONAL CONTRACT REVIEWERS TRAINED BY COUNSEL.

Return Reference	Explanation
Schedule K, Part III, Line 4 PRIVATE BUSINESS USE	IN CERTAIN CASES, A BOND ISSUE WITH AN ENTRY OF .00% MAY HAVE FINANCED PROPERTY WITH SOME PRIVATE BUSINESS USE. IN EACH OF THESE CASES, THE PRIVATE BUSINESS USE PERCENTAGE OF THE BOND ISSUE WAS LESS THAN 0.05% AS THE AMOUNTS ARE ROUNDED TO THE NEAREST TENTH OF A PERCENTAGE POINT, PURSUANT TO THE INSTRUCTIONS.

Return Reference	Explanation
Schedule K, Part III, Line 5 PRIVATE BUSINESS USE	IN CERTAIN CASES, A BOND ISSUE WITH AN ENTRY OF .00% MAY HAVE FINANCED PROPERTY WITH SOME PRIVATE BUSINESS USE. IN EACH OF THESE CASES, THE PRIVATE BUSINESS USE PERCENTAGE OF THE BOND ISSUE WAS LESS THAN 0.05% AS THE AMOUNTS ARE ROUNDED TO THE NEAREST TENTH OF A PERCENTAGE POINT, PURSUANT TO THE INSTRUCTIONS.

Return Reference	Explanation
Schedule K, Part III PRIVATE BUSINESS USE, LINES 4-6	CHEFA BONDS ISSUED 02/24/10 (CUSIP# 20774UU60): A PORTION OF THE BOND ISSUE WAS A CURRENT REFUNDING OF A PRE-2003 ISSUE; ONLY THE PRIVATE BUSINESS USE PERTAINING TO THE NEW MONEY PORTION OF THE 02/24/10 ISSUE IS REPORTED.

Return Reference	Explanation
Schedule K, Part III PRIVATE BUSINESS USE, LINES 4-6	CHEFA BONDS ISSUED 06/07/17 (CUSIP# 20774YX69): A PORTION OF THE BOND ISSUE WAS A CURRENT REFUNDING OF A PRE-2003 ISSUE; ONLY THE PRIVATE BUSINESS USE PERTAINING TO THE PORTION OF THE 06/07/17 ISSUE THAT REFUNDED A POST-2002 ISSUE IS REPORTED.

Return Reference	Explanation
Schedule K, Part IV, Line 2c ARBITRAGE CALCULATION	CHEFA BONDS ISSUED 02/24/10 (CUSIP# 20774UU60): A PORTION OF THE BOND ISSUE RELATING TO THE CONSTRUCTION FUND IS SUBJECT TO REBATE. THE REBATE LIABILITY COMPUTED AT FEBRUARY 24, 2020 WAS NEGATIVE.

Return Reference	Explanation
Schedule K, Part I, Column (f) DESCRIPTION OF PURPOSE	CHEFA BONDS ISSUED 1/8/2003 (CUSIP# 20774LZC2) - TO FINANCE FACILITIES, ADDITIONS, IMPROVEMENTS, AND RENOVATIONS

Return Reference	Explanation
Schedule K, Part I, Column (f) DESCRIPTION OF PURPOSE	CHEFA BONDS ISSUED 2/24/2010 (CUSIP# 20774UU60) - TO FINANCE FACILITIES, ADDITIONS, IMPROVEMENTS, AND RENOVATIONS, AND TO FULLY REDEEM CHEFA SERIES W BONDS ISSUED 4/2002

Return Reference	Explanation
Schedule K, Part I, Column (f) DESCRIPTION OF PURPOSE	CHEFA BONDS ISSUED 7/2/2013 (CUSIP# 20774YPD3) - TO FULLY REDEEM CHEFA SERIES X1 BONDS ISSUED 1/2003

Return Reference	Explanation
Schedule K, Part I, Column (f) DESCRIPTION OF PURPOSE	CHEFA BONDS ISSUED 7/23/2014 (CUSIP# 20774YRW9) - TO FINANCE FACILITIES, ADDITIONS, IMPROVEMENTS, AND RENOVATIONS

Return Reference	Explanation
Schedule K, Part I, Column (f) DESCRIPTION OF PURPOSE	CHEFA BONDS ISSUED 7/1/2015 (CUSIP# 20774YWE3) - TO FULLY REDEEM CHEFA SERIES Y1, Y2, AND Y3 BONDS ISSUED 10/2005

Return Reference	Explanation
Schedule K, Part I, Column (f) DESCRIPTION OF PURPOSE	CHEFA BONDS ISSUED 7/1/2016 (CUSIP# 20774YJ99) - TO FULLY REDEEM CHEFA SERIES Z1 BONDS ISSUED 10/2007

Return Reference	Explanation
Schedule K, Part I, Column (f) DESCRIPTION OF PURPOSE	CHEFA BONDS ISSUED 6/7/2017 (CUSIP# 20774YX44) - TO FULLY REDEEM CHEFA SERIES Z2 AND Z3 BONDS ISSUED 10/2007

Return Reference	Explanation
Schedule K, Part I, Column (f) DESCRIPTION OF PURPOSE	CHEFA BONDS ISSUED 6/7/2017 (CUSIP# 20774YX69) - TO FULLY REDEEM CHEFA SERIES T1 AND X3 BONDS ISSUED 11/1997 AND 1/2003, RESPECTIVELY

Return Reference	Explanation
Schedule K, Part I, Column (f) DESCRIPTION OF PURPOSE	CHEFA BONDS ISSUED 12/22/2017 (CUSIP# 20774Y3D7) - TO FINANCE FACILITIES, ADDITIONS, IMPROVEMENTS, AND RENOVATIONS, AND TO FULLY REDEEM CHEFA SERIES 2010A-2 BONDS ISSUED 2/2010

Return Reference	Explanation
Schedule K, Part I, Column (f) DESCRIPTION OF PURPOSE	CHEFA BONDS ISSUED 07/02/2018 (CUSIP# 20774Y4X2) - TO FULLY REDEEM CHEFA SERIES 2010A-1 BONDS ISSUED 2/2010

Return Reference	Explanation
Schedule K, Part III Private Business Use, Lines 4-6	CHEFA BONDS ISSUED 07/02/18 (CUSIP# 20774Y4X2): THE BOND ISSUE WAS A CURRENT REFUNDING OF A PRE-2003 ISSUE; NO PRIVATE BUSINESS USE OF THE 07/02/18 ISSUE IS REPORTED.

Return Reference	Explanation
Schedule K, Part IV, Line 2c ARBITRAGE CALCULATION	CHEFA BONDS ISSUED 12/22/17 (CUSIP# 20774Y3D7): A PORTION OF THE BOND ISSUE IS SUBJECT TO REBATE. THE REBATE LIABILITY COMPUTED AT JULY 1, 2018 WAS NEGATIVE.

Return Reference	Explanation
Schedule K, Part IV, Line 2c ARBITRAGE CALCULATION	CHEFA BONDS ISSUED 01/08/03 (CUSIP# 20774LZC2): We are acknowledging that we are aware of the computation requirement even though no funds were subject to rebate. The rebate liability computed at 01/08/2018 resulted in no liability.

Return Reference	Explanation
Schedule K, Part IV, Line 2c ARBITRAGE CALCULATION	CHEFA BONDS ISSUED 07/02/13 (CUSIP# 20774YPD3): We are acknowledging that we are aware of the computation requirement even though no funds were subject to rebate. The rebate liability computed at 07/02/2018 resulted in no liability.

Return Reference	Explanation
Schedule K, Part IV, Line 2c ARBITRAGE CALCULATION	CHEFA BONDS ISSUED 7/23/2014 (CUSIP# 20774YRW9): A PORTION OF THE BOND ISSUE IS SUBJECT TO REBATE. THE REBATE LIABILITY COMPUTED AT JULY 23, 2019 WAS NEGATIVE.

Return Reference	Explanation
Schedule K, Part IV, Line 2c COLUMN A	Issuer name: STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY The calculation for computing no rebate due was performed on 01/08/2018

Return Reference	Explanation
Schedule K, Part IV, Line 2c COLUMN B	Issuer name: STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY The calculation for computing no rebate due was performed on 02/24/2020

Return Reference	Explanation
Schedule K, Part IV, Line 2c COLUMN C	Issuer name: STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY The calculation for computing no rebate due was performed on 07/02/2018

Return Reference	Explanation
Schedule K, Part IV, Line 2c COLUMN D	Issuer name: STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY The calculation for computing no rebate due was performed on 07/23/2019

Return Reference	Explanation
Schedule K, Part IV, Line 2c COLUMN A	Issuer name: STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY The calculation for computing no rebate due was performed on 07/01/2018

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

Schedule K
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
Yale University

Supplemental Information on Tax-Exempt Bonds

► Complete if the organization answered "Yes" to Form 990, Part VI, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
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OMB No. 1545-0047

2019

Open to Public Inspection

Employer identification number
06-0646973

Part I Bond Issues											
(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
						Yes	No	Yes	No	Yes	No
A STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774YWE3	07-01-2015	300,000,000	SEE PART VI		X		X		X
B STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774YJ99	07-01-2016	399,995,657	SEE PART VI		X		X		X
C STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774YX44	06-07-2017	199,998,620	SEE PART VI		X		X		X
D STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774YX69	06-07-2017	249,989,726	SEE PART VI		X		X		X

Part II		Proceeds							
		A		B		C		D	
1	Amount of bonds retired	0		0		0		0	
2	Amount of bonds legally defeased	0		0		0		0	
3	Total proceeds of issue	300,000,000		399,995,657		199,998,620		249,989,726	
4	Gross proceeds in reserve funds	0		0		0		0	
5	Capitalized interest from proceeds	0		0		0		0	
6	Proceeds in refunding escrows	0		0		0		0	
7	Issuance costs from proceeds	0		0		0		0	
8	Credit enhancement from proceeds	0		0		0		0	
9	Working capital expenditures from proceeds	0		0		0		0	
10	Capital expenditures from proceeds	0		0		0		0	
11	Other spent proceeds	300,000,000		399,995,657		199,998,620		249,989,726	
12	Other unspent proceeds	0		0		0		0	
13	Year of substantial completion	2012		2012		2012		2009	
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue of tax-exempt bonds (or, if issued prior to 2018, a current refunding issue)?	X		X		X		X	
15	Were the bonds issued as part of an advance refunding issue of taxable bonds (or, if issued prior to 2018, an advance refunding issue)?		X		X		X		X
16	Has the final allocation of proceeds been made?	X		X		X		X	
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

Part III Private Business Use									
		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		X
2	Are there any lease arrangements that may result in private business use of bond-financed property?	X		X		X		X	

Part III Private Business Use (Continued)		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?	X		X		X		X	
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?		X		X		X		X
c	Are there any research agreements that may result in private business use of bond-financed property?	X		X		X		X	
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?		X		X		X		X
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government	0.1 %		0 %		0.1 %		0.1 %	
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government	0 %		0 %		0 %		0.1 %	
6	Total of lines 4 and 5	0.1 %		0 %		0.1 %		0.2 %	
7	Does the bond issue meet the private security or payment test? . . .		X		X		X		X
8a	Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X		X		X		X
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of. . .								
c	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?								
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?	X		X		X		X	

Part IV Arbitrage		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		X		X		X		X
2	If "No" to line 1, did the following apply?								
a	Rebate not due yet?	X		X		X		X	
b	Exception to rebate?	X		X		X		X	
c	No rebate due?		X		X		X		X
	If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?	X		X		X		X	
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		X
b	Name of provider								
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was the hedge terminated?								

Part IV Arbitrage (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
5a Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		X
b Name of provider								
c Term of GIC								
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6 Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
7 Has the organization established written procedures to monitor the requirements of section 148? . . .	X		X		X		X	

Part V Procedures To Undertake Corrective Action

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X		X		X	

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K. (See instructions).

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

Schedule K
(Form 990)

Supplemental Information on Tax-Exempt Bonds

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OMB No. 1545-0047

2019

Open to Public Inspection

Department of the Treasury
Internal Revenue Service
Name of the organization
Yale University

Employer identification number
06-0646973

Part I Bond Issues											
(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
						Yes	No	Yes	No	Yes	No
A STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774Y3D7	12-22-2017	452,759,255	SEE PART VI		X		X		X
B STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774Y4X2	07-02-2018	79,969,108	See Part VI		X		X		X

Part II		Proceeds							
		A		B		C		D	
1	Amount of bonds retired	0		0					
2	Amount of bonds legally defeased	0		0					
3	Total proceeds of issue	454,448,840		79,969,108					
4	Gross proceeds in reserve funds	0		0					
5	Capitalized interest from proceeds	3,846		0					
6	Proceeds in refunding escrows	0		0					
7	Issuance costs from proceeds	0		0					
8	Credit enhancement from proceeds	0		0					
9	Working capital expenditures from proceeds	0		0					
10	Capital expenditures from proceeds	301,692,656		0					
11	Other spent proceeds	152,139,095		79,969,108					
12	Other unspent proceeds	0		0					
13	Year of substantial completion	2019		2002					
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue of tax-exempt bonds (or, if issued prior to 2018, a current refunding issue)?		X	X					
15	Were the bonds issued as part of an advance refunding issue of taxable bonds (or, if issued prior to 2018, an advance refunding issue)?	X			X				
16	Has the final allocation of proceeds been made?	X		X					
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X					

Part III Private Business Use												
					A		B		C		D	
					Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?					X						
2	Are there any lease arrangements that may result in private business use of bond-financed property?				X							

Part III Private Business Use (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
3a Are there any management or service contracts that may result in private business use of bond-financed property?	X							
b If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?		X						
c Are there any research agreements that may result in private business use of bond-financed property?	X							
d If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?		X						
4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government	0 %							
5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government	0.2 %							
6 Total of lines 4 and 5	0.2 %		0 %					
7 Does the bond issue meet the private security or payment test?		X						
8a Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X						
b If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of. . .								
c If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?								
9 Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?	X							

Part IV Arbitrage

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		X		X				
2 If "No" to line 1, did the following apply?								
a Rebate not due yet?		X	X					
b Exception to rebate?		X	X					
c No rebate due?	X			X				
If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3 Is the bond issue a variable rate issue?	X			X				
4a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X				
b Name of provider								
c Term of hedge								
d Was the hedge superintegrated?								
e Was the hedge terminated?								

Part IV Arbitrage (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
5a Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X				
b Name of provider								
c Term of GIC								
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6 Were any gross proceeds invested beyond an available temporary period?		X		X				
7 Has the organization established written procedures to monitor the requirements of section 148?	X		X					

Part V Procedures To Undertake Corrective Action

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X					

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K. (See instructions).

Schedule L
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Transactions with Interested Persons

▶ Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.
▶ Attach to Form 990 or Form 990-EZ.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
Yale University

Employer identification number
06-0646973

Part I Excess Benefit Transactions (section 501(c)(3), section 501(c)(4), and section 501(c)(29) organizations only).
Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b.

1	(a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected?	
				Yes	No

2 Enter the amount of tax incurred by the organization managers or disqualified persons during the year under section 4958. ▶ \$

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization ▶ \$

Part II Loans to and/or From Interested Persons.
Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26; or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) Written agreement?	
			To	From			Yes	No	Yes	No	Yes	No
(1) SCOTT STROBEL	OFFICER	HOUSING		X	100,000	42,243		No		No	Yes	
Total						42,243						

Part III Grants or Assistance Benefiting Interested Persons.
Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance
(1)		16,500	scholarships	See part V

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1) STEFANIE MARKOVITS	SPOUSE OF BENJAMIN POLAK, AN OFFICER	194,286	COMPENSATION AND BENEFITS, PROFESSOR OF ENGLISH		No
(2) MARTA MORET	SPOUSE OF PETER SALOVEY, AN OFFICER	76,455	COMPENSATION AND BENEFITS, ASSOCIATE		No
(3) PATRICIA PREISIG	SPOUSE OF ROBERT ALPERN, A KEY EMPLOYEE	213,445	COMPENSATION AND BENEFITS, PROFESSOR, MEDICINE (NEPHROLOGY) AND CELLULAR AND MOLECULAR PHYSIOLOGY		No
(4) WENDY SHARP	SPOUSE OF DEAN TAKAHASHI, A KEY EMPLOYEE	114,143	COMPENSATION AND BENEFITS, DIRECTOR OF CHAMBER MUSIC, Assistant Professor Adjunct		No
(5) HILLHOUSE CAPITAL ADVISORS LTD	A TRUSTEE OWNS > 35%	45,209,751	MANAGEMENT and incentive FEES PAID IN THE ORDINARY COURSE OF BUSINESS		No
(6) Ricecan Realty LP	A trustee and family members own >35%	108,000	Yale received Income distributions from a partnership interest gifted to the university		No

Part V Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions).

Return Reference	Explanation
Schedule L, Part II, Column (h) LOAN APPROVAL	THE LOAN REPORTED ON SCHEDULE L, PART II WAS MADE AND APPROVED PURSUANT TO UNIVERSITY COMPENSATION POLICIES.
Schedule L, Part III, Column (e) Purpose of assistance	The scholarship grants represent amounts awarded to interested persons under the university's nondiscriminatory qualified tuition reduction plan covering all eligible faculty and staff with six or more years of service.

SCHEDULE M
(Form 990)

Department of the Treasury
Internal Revenue Service

Noncash Contributions

►Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
►Attach to Form 990.
►Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
Yale University

Employer identification number
06-0646973

Part I

Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art	X	87	0	Other - NONE
2 Art—Historical treasures	X	2	0	Other - none
3 Art—Fractional interests				
4 Books and publications	X		0	Other
5 Clothing and household goods	X		0	Other
6 Cars and other vehicles	X	1	0	Other - NONE
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded	X	1,086	126,210,227	Market value
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests	X	6	4,993,303	Other - Sale of Comp. Property
12 Securities—Miscellaneous	X	4	46,679	Other - SALE OF COMP. PROPERTY
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential	X	3	3,326,466	Other - SALE OR APPRAISED VALUES
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles	X	6	0	Other - NONE
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ► (<u>Equipment</u>)	X	2	0	Other - NONE
26 Other ► (<u>MUSICAL INSTRUMENT</u>)	X	1	0	Other - NONE
27 Other ► (_____)				
28 Other ► (_____)				

29

Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement

29

74

30a

During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period?

30a

Yes

No

b

If "Yes," describe the arrangement in Part II.

31

Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?

31

Yes

32a

Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?

32a

Yes

b

If "Yes," describe in Part II.

33

If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.

Part II Supplemental Information. Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
Schedule M, Part I, Line 33 REVENUE RECOGNITION	PURSUANT TO ACCOUNTING STANDARDS CODIFICATION 958 (ASC 958), THE UNIVERSITY DOES NOT CAPITALIZE ITS COLLECTIONS, INCLUDING WORKS OF ART, LITERARY WORKS, HISTORICAL TREASURES AND ARTIFACTS THAT ARE MAINTAINED IN ITS MUSEUMS AND LIBRARIES. NON-CASH CONTRIBUTIONS OF THIS NATURE ARE, THEREFORE, NOT RECOGNIZED AS REVENUE FOR FINANCIAL STATEMENT PURPOSES. THESE COLLECTIONS ARE PROTECTED AND PRESERVED FOR PUBLIC EXHIBITION, EDUCATION, RESEARCH AND THE FURTHERANCE OF PUBLIC SERVICE. OTHER NON-CASH CONTRIBUTIONS WITH NO READILY ASCERTAINABLE FAIR VALUE OR WHICH ARE DEEMED IMMATERIAL ARE NOT RECOGNIZED AS REVENUE FOR FINANCIAL STATEMENT PURPOSES.
Schedule M, Part I, Line 32b Third parties used to solicit, process, or sell noncash contributions	OCCASIONALLY, THE UNIVERSITY ENGAGES THIRD PARTIES (E.G., REAL ESTATE BROKERS, AUCTION HOUSES, INVESTMENT BROKERS) TO SELL CERTAIN NON-CASH CONTRIBUTIONS.
Schedule M, Part I Explanations of reporting method for number of contributions	Art - Works of art - AMOUNTS REPRESENT THE NUMBER OF NON-CASH CONTRIBUTIONS RECEIVED AND RECORDED IN THE CENTRAL CONTRIBUTION DATABASE OF THE UNIVERSITY. Books and publications - AMOUNTS REPRESENT THE NUMBER OF NON-CASH CONTRIBUTIONS RECEIVED AND RECORDED IN THE CENTRAL CONTRIBUTION DATABASE OF THE UNIVERSITY. Securities - Publicly traded - AMOUNTS REPRESENT THE NUMBER OF NON-CASH CONTRIBUTIONS RECEIVED AND RECORDED IN THE CENTRAL CONTRIBUTION DATABASE OF THE UNIVERSITY. Securities - Miscellaneous - AMOUNTS REPRESENT THE NUMBER OF NON-CASH CONTRIBUTIONS RECEIVED AND RECORDED IN THE CENTRAL CONTRIBUTION DATABASE OF THE UNIVERSITY. Collectibles - AMOUNTS REPRESENT THE NUMBER OF NON-CASH CONTRIBUTIONS RECEIVED AND RECORDED IN THE CENTRAL CONTRIBUTION DATABASE OF THE UNIVERSITY. Other - Equipment AMOUNTS REPRESENT THE NUMBER OF NON-CASH CONTRIBUTIONS RECEIVED AND RECORDED IN THE CENTRAL CONTRIBUTION DATABASE OF THE UNIVERSITY. Other - MUSICAL INSTRUMENT AMOUNTS REPRESENT THE NUMBER OF NON-CASH CONTRIBUTIONS RECEIVED AND RECORDED IN THE CENTRAL CONTRIBUTION DATABASE OF THE UNIVERSITY. Clothing and household goods - AMOUNTS REPRESENT THE NUMBER OF NON-CASH CONTRIBUTIONS RECEIVED AND RECORDED IN THE CENTRAL CONTRIBUTION DATABASE OF THE UNIVERSITY. Real estate - Residential - AMOUNTS REPRESENT THE NUMBER OF NON-CASH CONTRIBUTIONS RECEIVED AND RECORDED IN THE CENTRAL CONTRIBUTION DATABASE OF THE UNIVERSITY. Art - Historical treasures - AMOUNTS REPRESENT THE NUMBER OF NON-CASH CONTRIBUTIONS RECEIVED AND RECORDED IN THE CENTRAL CONTRIBUTION DATABASE OF THE UNIVERSITY. Securities - Partnership, LLC, or trust interests - AMOUNTS REPRESENT THE NUMBER OF NON-CASH CONTRIBUTIONS RECEIVED AND RECORDED IN THE CENTRAL CONTRIBUTION DATABASE OF THE UNIVERSITY. Cars and other vehicles - AMOUNTS REPRESENT THE NUMBER OF NON-CASH CONTRIBUTIONS RECEIVED AND RECORDED IN THE CENTRAL CONTRIBUTION DATABASE OF THE UNIVERSITY.

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury

Name of the organization
Yale University

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2019

**Open to Public
Inspection**

Employer identification number

06-0646973

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part I, Line 6 TOTAL NUMBER OF VOLUNTEERS	VOLUNTEERS SUPPORT AND BENEFIT THE UNIVERSITY IN A WIDE VARIETY OF ACTIVITIES, PROGRAMS, AND SERVICES. WHILE YALE UNIVERSITY DOES NOT TRACK THE NUMBER OF ITS VOLUNTEERS, THE UNIVERSITY ESTIMATES THAT THE NUMBER OF VOLUNTEERS WAS APPROXIMATELY 24,600 DURING FYE 6/30/20.

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 1 DESCRIPTION OF ORGANIZATION MISSION	YALE IS COMMITTED TO IMPROVING THE WORLD TODAY AND FOR FUTURE GENERATIONS THROUGH OUTSTANDING RESEARCH AND SCHOLARSHIP, EDUCATION, PRESERVATION, AND PRACTICE. YALE EDUCATES ASPIRING LEADERS WORLDWIDE WHO SERVE ALL SECTORS OF SOCIETY. WE CARRY OUT THIS MISSION THROUGH THE FREE EXCHANGE OF IDEAS IN AN ETHICAL, INTERDEPENDENT, AND DIVERSE COMMUNITY OF FACULTY, STAFF, STUDENTS, AND ALUMNI.

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4d Description of other program services	(Expenses \$ 743,845,491 including grants of \$ 11,913,243)(Revenue \$ 23,626,806) OTHER PROG RAM SERVICES INCLUDE ACADEMIC SUPPORT, PUBLIC SERVICE, AND OTHER INSTITUTIONAL SUPPORT.

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Line 1b NUMBER OF VOTING MEMBERS WHO ARE INDEPENDENT	THERE ARE TWO EX-OFFICIO TRUSTEES, THE GOVERNOR OF CONNECTICUT AND THE LIEUTENANT GOVERNOR OF CONNECTICUT. HISTORICALLY, THESE INDIVIDUALS DO NOT PARTICIPATE IN GOVERNANCE ACTIVITIES AT THE UNIVERSITY AND THEY ARE REPORTED AS INDEPENDENT.

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Line 2 Family/business relationships amongst interested persons	CATHARINE B. HILL AND PAUL L. JOSKOW - Business relationship, E. John Rice, Jr. and Michael Warren - Business relationship

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Line 7a Members or stockholders electing members of governing body	THE GOVERNING BODY INCLUDES SIX ALUMNI TRUSTEES WHO ARE ELECTED BY THE ALUMNI.

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Line 11b Review of form 990 by governing body	THE FORM 990 WAS REVIEWED BY SENIOR MANAGEMENT. IN ADDITION, THE UNIVERSITY DISTRIBUTED A DRAFT COPY OF FORM 990 TO THE CORPORATION AUDIT COMMITTEE, COMPRISED OF three MEMBERS OF THE UNIVERSITY'S GOVERNING BODY. MEMBERS OF SENIOR MANAGEMENT MET WITH CERTAIN UNIVERSITY OFFICERS AND THE CORPORATION AUDIT COMMITTEE TO DISCUSS THE DRAFT FORM 990 AND ANSWER ANY QUESTIONS PRIOR TO FILING.

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Line 12c Conflict of interest policy	<p>THE YALE UNIVERSITY CONFLICT OF INTEREST POLICY OBLIGATES EACH OFFICER, TRUSTEE, AND KEY EMPLOYEE TO PROMPTLY DISCLOSE ANY ACTUAL OR POTENTIAL CONFLICT OF INTEREST AS DEFINED IN THE POLICY. EACH SUCH PERSON MUST SIGN A STATEMENT ANNUALLY AFFIRMING THAT HE OR SHE HAS RECEIVED A COPY OF THE CONFLICT OF INTEREST POLICY, HAS READ AND UNDERSTANDS THE POLICY, AGREES TO COMPLY WITH THE POLICY, AND UNDERSTANDS THAT THE CORPORATION IS CHARITABLE AND IN ORDER TO MAINTAIN ITS EXEMPTION IT MUST ENGAGE PRIMARILY IN ACTIVITIES WHICH ACCOMPLISH ONE OR MORE OF ITS TAX-EXEMPT PURPOSES. IN CONNECTION WITH ANY ACTUAL OR POSSIBLE CONFLICT OF INTEREST, AN INTERESTED PERSON MUST DISCLOSE THE EXISTENCE OF HIS OR HER FINANCIAL INTEREST AND BE GIVEN THE OPPORTUNITY TO DISCLOSE ALL MATERIAL FACTS TO THE DIRECTOR AND MEMBERS OF THE COMMITTEE WITH GOVERNING BOARD DELEGATED POWERS CONSIDERING THE PROPOSED TRANSACTION OR ARRANGEMENT. AFTER DISCLOSURE OF A POTENTIAL CONFLICT OF INTEREST, THE INTERESTED PERSON IS EXCUSED FROM THE GOVERNING BOARD OR COMMITTEE MEETING WHILE THE DETERMINATION OF A CONFLICT OF INTEREST IS MADE, AND IS RECUSED FROM PARTICIPATING IN ANY VOTE ON THE MATTER.</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Line 15a Process to establish compensation of top management official	THE PROCESS FOR DETERMINING THE COMPENSATION OF THE PRESIDENT INCLUDED INITIAL REVIEW BY THE COMMITTEE ON COMPENSATION (THE COMPENSATION COMMITTEE) OF THE YALE CORPORATION (YALE UNIVERSITY'S GOVERNING BOARD), A RECOMMENDATION VOTED BY THE COMMITTEE TO THE YALE CORPORATION, AND FULL REVIEW AND VOTE BY THE YALE CORPORATION. BOTH REVIEWS INCLUDED REVIEW OF THE COMPENSATION OF SIMILARLY QUALIFIED INDIVIDUALS IN COMPARABLE POSITIONS AT OTHER UNIVERSITIES, AS COMPILED BY A PROFESSIONAL COMPENSATION CONSULTANT RETAINED BY THE COMPENSATION COMMITTEE FOR THE PURPOSE, AND REVIEW OF INFORMATION ON SUCH COMPARABLE POSITIONS FROM IRS FORMS 990 FOR SIMILAR ORGANIZATIONS; BOTH REVIEWS CONSIDERED SUCH DATA IN LIGHT OF THE PERFORMANCE OF THE PRESIDENT, WHICH WAS REVIEWED BY THE COMPENSATION COMMITTEE AND BY THE YALE CORPORATION. THE PROCEEDINGS OF THE COMPENSATION COMMITTEE, AND THE PROCEEDINGS OF THE YALE CORPORATION, EACH WERE DOCUMENTED IN MINUTES OF THE RESPECTIVE BODY, CONTEMPORANEOUSLY ADOPTED AND RETAINED IN THE RECORDS OF THE RESPECTIVE BODY, ALONG WITH THE DATA ON COMPARABLE COMPENSATION THAT WAS RELIED UPON.

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Line 15b Process to establish compensation of other employees	<p>THE PROCESS FOR DETERMINING COMPENSATION OF THE OFFICERS CONSISTED OF REVIEW BY THE COMPENSATION COMMITTEE OF THE RECOMMENDATIONS MADE BY THE PRESIDENT, CONSIDERING THE PERFORMANCE OF EACH OFFICER, AND REVIEW OF DATA ON COMPENSATION OF SIMILARLY QUALIFIED INDIVIDUALS IN COMPARABLE POSITIONS AT SIMILAR ORGANIZATIONS, AS COLLECTED BY A PROFESSIONAL COMPENSATION CONSULTANT THROUGH SURVEYS; THE COMPENSATION COMMITTEE VOTED RECOMMENDATIONS ON COMPENSATION OF SUCH INDIVIDUALS TO THE YALE CORPORATION. THE YALE CORPORATION RECEIVED THE RECOMMENDATIONS OF THE COMPENSATION COMMITTEE, AND DISCUSSED THESE WITH THE PRESIDENT, WHO REVIEWED THE PERFORMANCE OF EACH OFFICER WITH THE YALE CORPORATION, AND ALSO THE COMPARABLE COMPENSATION INFORMATION. THE YALE CORPORATION THEN VOTED ON THE COMPENSATION OF EACH OFFICER. THE PROCEEDINGS OF THE COMPENSATION COMMITTEE AND OF THE CORPORATION EACH WERE DOCUMENTED IN MINUTES CONTEMPORANEOUSLY ADOPTED AND RETAINED IN THE RECORDS OF THE RESPECTIVE BODY, ALONG WITH THE DATA ON COMPARABLE COMPENSATION THAT WAS RELIED UPON. OF THE KEY EMPLOYEES, THE COMPENSATION OF THE DEAN, SCHOOL OF MEDICINE WAS RECOMMENDED BY THE PRESIDENT TO THE COMPENSATION COMMITTEE, AND REVIEWED BY THAT COMMITTEE IN CONJUNCTION WITH ITS REVIEW OF THE PERFORMANCE OF THE DEAN AND CONSIDERATION OF DATA ON THE COMPENSATION OF SIMILARLY QUALIFIED INDIVIDUALS IN COMPARABLE POSITIONS AT OTHER INSTITUTIONS COMPILED BY AN INDEPENDENT COMPENSATION CONSULTANT. THE COMPENSATION COMMITTEE VOTED TO APPROVE THE COMPENSATION, AND CONTEMPORANEOUSLY DOCUMENTED ITS PROCEEDINGS IN MINUTES RETAINED IN ITS RECORDS ALONG WITH THE COMPENSATION DATA RELIED UPON. WITH RESPECT TO THE CHIEF INVESTMENT OFFICER AND SENIOR DIRECTOR, INVESTMENTS, THE COMPENSATION COMMITTEE VOTED TO APPROVE THE COMPENSATION AFTER CONSIDERATION OF THE RECOMMENDATIONS OF THE PRESIDENT AND OF THE FOLLOWING: REVIEW OF THE PERFORMANCE OF EACH, INVESTMENT PERFORMANCE OF THE YALE ENDOWMENT OVER DESIGNATED PERIODS, OTHER PERFORMANCE-RELATED INFORMATION, AND AN ANALYSIS OF THE COMPENSATION OF SIMILARLY QUALIFIED INDIVIDUALS IN COMPARABLE POSITIONS AT TAXABLE AND TAX-EXEMPT ORGANIZATIONS PREPARED BY AN INDEPENDENT COMPENSATION CONSULTANT RETAINED FOR THE PURPOSE. THE COMPENSATION COMMITTEE CONTEMPORANEOUSLY DOCUMENTED ITS PROCEEDINGS IN MINUTES RETAINED IN ITS RECORDS ALONG WITH THE COMPENSATION DATA RELIED UPON. NO MEMBER OF THE YALE CORPORATION WHO HAD A CONFLICT OF INTEREST WITH RESPECT TO A COMPENSATION ARRANGEMENT DESCRIBED ABOVE (I.E., BY VIRTUE OF A FAMILY OR BUSINESS RELATIONSHIP) PARTICIPATED IN THE COMPENSATION COMMITTEE REVIEW OR THE VOTE OF THE YALE CORPORATION WITH RESPECT TO SUCH COMPENSATION ARRANGEMENT.</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Line 19 Required documents available to the public	THE UNIVERSITY'S GOVERNING DOCUMENTS, INCLUDING ANY AMENDMENTS, FINANCIAL STATEMENTS AND STANDARDS OF BUSINESS CONDUCT ARE AVAILABLE ON THE UNIVERSITY'S WEBSITE OR UPON REQUEST.

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VII, Section A, Line 1a, Column (B) AVERAGE HOURS PER WEEK	THE NUMBER OF HOURS REPORTED FOR EACH LISTED PERSON IS AN ESTIMATE. THE ESTIMATE FOR TRUSTEES INCLUDES MEETING TIME AND PREPARATION, AS WELL AS ATTENDANCE AT CERTAIN OFFICIAL UNIVERSITY FUNCTIONS DURING THE YEAR, BUT DOES NOT INCLUDE TRAVEL TIME OR ATTENDANCE AT OTHER EVENTS AS TRUSTEES. IN MANY CASES, THE ACTUAL AVERAGE HOURS PER WEEK FOR LISTED PERSONS, OTHER THAN TRUSTEES, EXCEEDED 50 HOURS.

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VII, Section A, Line 1a, Column (A) Continuation of titles for each listed person	Dean Takahashi: Executive Director Yale Carbon Containment Lab (effective 10/10/19) PETER SALOVEY: PROFESSOR OF PSYCHOLOGY KHALID M. ABBED: ASSOCIATE PROFESSOR OF NEUROSURGERY; Director, SPINE TUMOR SURGERY Murat Gunel: Professor of Neurosurgery & Professor of Genetics & of Neuroscience Nita Ahuja: Chair, Department of Surgery ROBERT J. ALPERN: PROFESSOR OF MEDICINE (NEPHROLOGY) & professor of cellular & molecular physiology Alexander E. Dreier: General Counsel & Sr Counselor to the President BENJAMIN POLAK: PROFESSOR OF ECONOMICS; PROFESSOR, SCHOOL OF MANAGEMENT SCOTT A. STROBEL: VP for West Campus Planning & Program Development (through 12/31/19); vice PROVOST FOR TEACHING & LEARNING; PROFESSOR OF MOLECULAR BIOPHYSICS & BIOCHEMISTRY; PROFESSOR OF CHEMISTRY; HOWARD HUGHES MEDICAL INSTITUTE PROFESSOR PERICLES LEWIS: Vice PROVOST FOR academic initiatives; professor of comparative literature

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VIII, Line 2f Other Program Service Revenue	Misc 1 - Total Revenue: 9031964, Related or Exempt Function Revenue: 7718084, Unrelated Business Revenue: 1313880, Revenue Excluded from Tax Under Sections 512, 513, or 514: ; Misc 2 - Total Revenue: 9031963, Related or Exempt Function Revenue: 9031963, Unrelated Business Revenue: , Revenue Excluded from Tax Under Sections 512, 513, or 514: ; Misc 3 - Total Revenue: 9031963, Related or Exempt Function Revenue: 9031963, Unrelated Business Revenue: , Revenue Excluded from Tax Under Sections 512, 513, or 514: ; Misc 4 - Total Revenue: 9031963, Related or Exempt Function Revenue: 9031963, Unrelated Business Revenue: , Revenue Excluded from Tax Under Sections 512, 513, or 514: ; Misc 5 - Total Revenue: 9031963, Related or Exempt Function Revenue: 9031963, Unrelated Business Revenue: , Revenue Excluded from Tax Under Sections 512, 513, or 514: ;

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part IX, Line 24 UNRELATED BUSINESS INCOME TAX	OTHER EXPENSES INCLUDE \$1,249,094 OF STATE UNRELATED BUSINESS INCOME TAX.

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part XI, Line 9 Other changes in net assets or fund balances	Change in funding status of defined benefit plans - -358488966; Actuarial adjustments for split interest liability - 8964193; rounding - 3;

990 Schedule O, Supplemental Information

Return Reference	Explanation
Schedule F, Part I, Line 2 PROCEDURES FOR MONITORING USE OF GRANT FUNDS	<p>WITH RESPECT TO SPONSORED PROJECT ACTIVITY SUBCONTRACTED TO OTHER ENTITIES, THE UNIVERSITY'S POLICY AND PROCEDURES FOR MONITORING SUB RECIPIENT AWARDS ("SUBAWARDS") ARE INTENDED TO ESTABLISH APPROPRIATE AND EFFECTIVE MECHANISMS TO PROMOTE COMPLIANCE WITH FEDERAL AND NON-FEDERAL REQUIREMENTS AND CONTRACTUAL ARRANGEMENTS, INCLUDING THE PROPER MONITORING AND STEWARDSHIP OF AWARDED FUNDS. PROPER MONITORING OF A SUBAWARD ENSURES THAT AWARDED FUNDS ARE USED FOR AUTHORIZED PURPOSES, PERFORMANCE GOALS ARE MET AND THE SUB RECIPIENT IS IN COMPLIANCE WITH THE TERMS AND CONDITIONS OF THE SUB RECIPIENT AGREEMENT. SUB RECIPIENT MONITORING PROCEDURES INCLUDE BUT ARE NOT LIMITED TO THE FOLLOWING ACTIVITIES: A FORMAL PRE-QUALIFICATION PROCESS FOR SUB RECIPIENTS, PRE-AWARD AND POST-AWARD MONITORING OF SUB RECIPIENTS TO REVIEW THE FINANCIAL STATUS AND MANAGEMENT CONTROLS, COMPLIANCE CERTIFICATIONS, REVIEW OF COMPLIANCE WITH REGULATIONS RELATIVE TO THE USE OF HUMAN SUBJECTS AND ANIMALS, IDENTIFICATION AND REPORTING OF ANY CONFLICT OF INTEREST WITH A SUB RECIPIENT ENTITY, AND A REVIEW OF PERTINENT OTHER ORGANIZATION INFORMATION. IN ACCORDANCE WITH THESE PROCEDURES, THE UNIVERSITY REVIEWS THE SUB RECIPIENT'S ADMINISTRATION, FINANCIAL STATEMENTS, BILLINGS, SCIENTIFIC PROGRESS, TECHNICAL REPORTS, AND DELIVERABLES OF AN ACTIVE SUBAWARD REGULARLY AND CONDUCTS ON-GOING RISK ASSESSMENTS AS WELL AS QUARTERLY QUALITY ASSURANCE REVIEWS ON RANDOMLY SELECTED SUBAWARDS. IN ADDITION TO A MONTHLY DEPARTMENTAL REVIEW, THE UNIVERSITY MONITORS A SUB RECIPIENT'S COMPLIANCE WITH THE TERMS AND CONDITIONS OF THE SUBAWARD ANNUALLY AND UPON CLOSEOUT OF THE SUB RECIPIENT AGREEMENT. IF ANY OF THESE MONITORING PROCEDURES RESULT IN FINDINGS, THE UNIVERSITY WILL TAKE CORRECTIVE ACTION AS NECESSARY. THE PROCEDURES SERVE TO ENSURE THAT THE SUBAWARD FUNDS ARE USED FOR PROPER PURPOSES AND NOT DIVERTED FROM THEIR INTENDED USE.</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VII, SECTION B, COLUMN(C) COMPENSATION	THE AMOUNT REPORTED REPRESENTS DIRECT CASH PAYMENTS MADE DURING THE CALENDAR YEAR 2019 TO INDEPENDENT CONTRACTORS FOR SERVICES. FOR CONSTRUCTION and related SERVICES, THE FEES REPRESENT THE ENTIRE CONTRACT COST.

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Schedule B, Part I, Column D Contributors No. 1 through 3	PORTIONS OF THESE CONTRIBUTIONS REPRESENT PLEDGES. IT IS UNKNOWN AT THIS TIME WHETHER THE PLEDGES WILL BE SATISFIED WITH CASH OR NON-CASH PROPERTY.

eFile GRAPHIC print - DO NOT PROCESS		As Filed Data -		DLN: 93493137041041	
SCHEDULE R (Form 990)	Related Organizations and Unrelated Partnerships				OMB No. 1545-0047
					2019
	▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37. ▶ Attach to Form 990. ▶ Go to www.irs.gov/Form990 for instructions and the latest information.				Open to Public Inspection
Name of the organization Yale University				Employer identification number 06-0646973	

Part I Identification of Disregarded Entities. Complete if the organization answered "Yes" on Form 990, Part IV, line 33.					
See Additional Data Table					
(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.							
See Additional Data Table							
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No

Part III Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

See Additional Data Table

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

See Additional Data Table

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of- year assets	(h) Percentage ownership	(i) Section 512(b) (13) controlled entity?	
								Yes	No

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a Receipt of **(i)** interest, **(ii)** annuities, **(iii)** royalties, or **(iv)** rent from a controlled entity

b Gift, grant, or capital contribution to related organization(s)

c Gift, grant, or capital contribution from related organization(s)

d Loans or loan guarantees to or for related organization(s)

e Loans or loan guarantees by related organization(s)

f Dividends from related organization(s)

g Sale of assets to related organization(s)

h Purchase of assets from related organization(s)

i Exchange of assets with related organization(s)

j Lease of facilities, equipment, or other assets to related organization(s)

k Lease of facilities, equipment, or other assets from related organization(s)

l Performance of services or membership or fundraising solicitations for related organization(s)

m Performance of services or membership or fundraising solicitations by related organization(s)

n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)

o Sharing of paid employees with related organization(s)

p Reimbursement paid to related organization(s) for expenses

q Reimbursement paid by related organization(s) for expenses

r Other transfer of cash or property to related organization(s)

s Other transfer of cash or property from related organization(s)

Yes

No

1a

No

1b

Yes

1c

Yes

1d

No

1e

No

1f

No

1g

Yes

1h

Yes

1i

No

1j

No

1k

No

1l

Yes

1m

No

1n

No

1o

No

1p

Yes

1q

Yes

1r

Yes

1s

Yes

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

See Additional Data Table

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved

Schedule R (Form 990) 2019

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

Part VII **Supplemental Information**

Provide additional information for responses to questions on Schedule R. (see instructions).

Return Reference	Explanation
SCHEDULE R, PARTS I-V:	NAME, ADDRESS AND EIN: THE FORM 990, SCHEDULE R, LISTS THE NAME OF EACH ENTITY AS OF THE DATE OF THE FORM 990 FILING.

Return Reference	Explanation
Schedule R, Part III, Column (h)	DISPROPORTIONATE ALLOCATIONS INDICATED IN COLUMN (H) OF PART III GENERALLY RELATE TO I.) A GENERAL PARTNER'S TYPICAL CARRIED INTEREST WITH RESPECT TO PRIVATE FUND INVESTMENTS OR II.) ALLOCATIONS AMONG 501(C)(3) ORGANIZATIONS THAT ARE PARTNERS OR MEMBERS IN AN INVESTMENT ENTITY.

Return Reference	Explanation
Schedule R, Part IV CHARITABLE REMAINDER TRUSTS	AS OF JUNE 30, 2020, YALE UNIVERSITY HELD A GREATER THAN 50% BENEFICIAL INTEREST IN 43 CHARITABLE REMAINDER TRUSTS (CRTS). THE UNIVERSITY WAS THE TRUSTEE OF 36 OF THESE CRTS, OF WHICH 34 WERE DOMICILED IN CONNECTICUT, ONE IN PENNSYLVANIA AND ONE IN MASSACHUSETTS.

Return Reference	Explanation
Schedule R, Part V, Line 2 TRANSFERS	THIS SCHEDULE REFLECTS ALL TRANSFERS BY YALE UNIVERSITY TO CORPORATIONS, PARTNERSHIPS, OR OTHER ENTITIES CONTROLLED BY YALE AS DEFINED IN IRC SECTION 512(B)(13) AND RELATED TAX-EXEMPT ORGANIZATIONS NOT DESCRIBED IN IRC SECTION 501(C)(3). IN SOME INSTANCES, THESE ENTITIES MADE FURTHER TRANSFERS TO OTHER ENTITIES CONTROLLED BY THEM OR YALE UNIVERSITY. IN ADDITION, THIS SCHEDULE REFLECTS ALL TRANSFERS TO YALE UNIVERSITY BY CORPORATIONS, PARTNERSHIPS, OR OTHER ENTITIES CONTROLLED BY YALE AS DEFINED IN IRC SECTION 512(B)(13) AND RELATED TAX-EXEMPT ORGANIZATIONS NOT DESCRIBED IN IRC SECTION 501(C)(3). IN SOME INSTANCES, THESE ENTITIES RECEIVED TRANSFERS FROM OTHER ENTITIES CONTROLLED BY THEM OR YALE UNIVERSITY.

Additional Data

Software ID: 19010655
Software Version: 2019v5.0
EIN: 06-0646973
Name: Yale University

Form 990, Schedule R, Part I - Identification of Disregarded Entities

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Total income	(e) End-of-year assets	(f) Direct Controlling Entity
Boylston LLC C/O Yale University PO Box 208239 New Haven, CT 065208239 46-3324804	Investment	DE	84,701,731	4,971,298,777	Yale University
Investment Holdco LLC C/O Yale University PO Box 208239 New Haven, CT 065208239 81-4546058	Investment	DE	3,339,392	3,126,216,199	Yale University
WE 150 Munson LLC C/O Yale University PO Box 208239 New Haven, CT 065208239 26-0233373	Investment	DE	0	32,429,884	Yale University
Fenix I LLC C/O Yale University PO Box 208239 New Haven, CT 065208239 26-2863129	Investment	DE	0	32,412,592	Yale University
Harbor Hills Investments LLC C/O Yale University PO Box 208239 New Haven, CT 065208239 20-0099423	Investment	DE	411,424	20,812,395	Yale University
Renaissance I LLC C/O Yale University PO Box 208239 New Haven, CT 065208239 26-2863298	Investment	DE	0	13,406,162	Yale University
WE 2 Church Street South LLC C/O Yale University PO Box 208239 New Haven, CT 065208239 80-0066065	Investment	CT	600,000	12,720,514	Yale University
WE 135 College Street LLC C/O Yale University PO Box 208239 New Haven, CT 065208239 30-0893138	Investment	DE	104,277	8,858,541	Yale University
ESV Holdings LLC C/O Yale University PO Box 208239 New Haven, CT 065208239 20-3980473	Investment	DE	7,390,945	8,403,840	Yale University
Yale Global Enterprises LLC C/O Yale University PO Box 208239 New Haven, CT 065208239 27-0859961	Supports Higher Education	CT	0	4,581,934	Yale University
Alpha 9 LLC C/O Yale University PO Box 208239 New Haven, CT 065208239 27-0982227	Investment	CT	0	3,451,348	Yale University
S Property Holding LLC C/O Yale University PO Box 208239 New Haven, CT 065208239 27-3717440	Investment	CT	397	2,725,640	Yale University
OME Holdings LLC C/O Yale University PO Box 208239 New Haven, CT 065208239	Investment	DE	0	2,413,170	Yale University
Overlea LLC C/O Yale University PO Box 208239 New Haven, CT 065208239 82-1445515	Investment	DE	0	1,201,239	Yale University
Passive Holding LLC C/O Yale University PO Box 208239 New Haven, CT 065208239 22-3783661	Investment	NJ	0	893,610	Yale University
Amistad Partners LLC C/O Yale University PO Box 208239 New Haven, CT 065208239 73-1683601	Investment	CT	0	599,975	Yale University
Yale SOM Student Investment Fund LLC C/O Yale University PO Box 208239 New Haven, CT 065208239 83-4065716	Investment	DE	174	544,733	Yale University
YD SMA LLC C/O Yale University PO Box 208239 New Haven, CT 065208239 46-3365267	Investment	DE	57,995,287	527,691	Yale University
Yale Student Investment Fund LLC C/O Yale University PO Box 208239 New Haven, CT 065208239 46-3851735	Investment	DE	8,688	407,868	Yale University
41 North LLC C/O Yale University PO Box 208239 New Haven, CT 065208239 06-1594874	Investment	DE	0	4,292	Yale University

Form 990, Schedule R, Part I - Identification of Disregarded Entities

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Total income	(e) End-of-year assets	(f) Direct Controlling Entity
Beta 14 LLC C/O Yale University PO Box 208239 New Haven, CT 065208239 27-0982129	Investment	CT	0	0	Yale University
Bluefield Timber LLC C/O Yale University PO Box 208239 New Haven, CT 065208239 02-0520556	Investment	DE	0	0	41 North LLC
GSWM Investments LLC C/O Yale University PO Box 208239 New Haven, CT 065208239 27-3717358	Investment	CT	0	0	Yale University
Medical Practice Management LLC C/O Yale University PO Box 208239 New Haven, CT 065208239 27-1545102	Administrative Services	CT	0	0	Yale University
Moms Partnership LLC C/O Yale University PO Box 208239 New Haven, CT 065208239	Supports Wellness Initiatives	CT	0	0	Yale University
Sardous LLC C/O Yale University PO Box 208239 New Haven, CT 065208239 02-0580383	Investment	DE	0	0	Yale University
The UK Friends of Yale University Limited C/O Yale University PO Box 208239 New Haven, CT 065208239 98-0552738	Accepts charitable contributions	UK	761,119	0	Yale University
Yale Educational Consulting (Beijing) LLC C/O Yale University PO Box 208239 New Haven, CT 065208239 98-1006940	Supports Higher Education	CH	0	0	Yale Global Enterprises LLC
Yale Leadership (Beijing) Educational Consulting Co Ltd C/O Yale University PO Box 208239 New Haven, CT 065208239 98-1200465	Supports Higher Education	CH	0	0	Yale Global Enterprises LLC
Endurance LLC C/O Yale University PO Box 208239 New Haven, CT 065208239 06-1606540	Investment	DE	44,977	-6,756,995	Yale University

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations							
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512 (b)(13) controlled entity?	
						Yes	No
c/o Yale University PO Box 208239 New Haven, CT 065208239 13-6331695	Supports higher education	IL	501(c)(3)	PF	Yale University		No
c/o Yale University PO Box 208239 New Haven, CT 065208239 41-2046529	Investment	DC	501(c)(3)	Type I	Yale University	Yes	
c/o Yale University PO Box 208239 New Haven, CT 065208239 20-0763050	Investment	DC	501(c)(3)	Type I	Yale University	Yes	
c/o Yale University PO Box 208239 New Haven, CT 065208239 06-6078326	Encourages and solicits contributions to or for Yale University	CT	501(c)(3)	Type I	Yale University	Yes	
c/o Yale University PO Box 208239 New Haven, CT 065208239 06-1532073	Inactive	CT	501(c)(2)		Yale University	Yes	
c/o Yale University PO Box 208239 New Haven, CT 065208239 06-6034841	Supports higher education	CT	501(c)(3)	Type III-O	Yale University		No
c/o Yale University PO Box 208239 New Haven, CT 065208239 84-6150096	Supports higher education	CO	501(c)(3)	PF	Yale University		No
c/o Yale University PO Box 208239 New Haven, CT 065208239 38-6194019	Supports higher education	MI	501(c)(3)	Type III-O	NA		No
c/o Yale University PO Box 208239 New Haven, CT 065208239 94-6216169	Supports higher education	NC	501(c)(3)	PF	Yale University		No
c/o Yale University PO Box 208239 New Haven, CT 065208239 22-2365602	Supports and facilitates publications	NJ	501(c)(3)	Type I	NA		No
c/o Yale University PO Box 208239 New Haven, CT 065208239 45-1540594	Supports higher education	DE	501(c)(3)	Type I	Yale University	Yes	
c/o Yale University PO Box 208239 New Haven, CT 065208239 06-1559155	Investment	CT	501(c)(2)		Yale University	Yes	
c/o Yale University PO Box 208239 New Haven, CT 065208239 06-1341463	investment	CT	501(c)(2)		Yale University	Yes	
c/o Yale University PO Box 208239 New Haven, CT 065208239 06-6084676	Supports Higher Education	CT	501(c)(3)	Type III-FI	Yale University		No
c/o Yale University PO Box 208239 New Haven, CT 065208239 22-3783661	Promotes and supports study of British art and architecture	UK	501(c)(3)		Yale University	Yes	
c/o Yale University PO Box 208239 New Haven, CT 065208239 06-6366026	Supports higher education	CT	501(c)(3)	PF	Yale University		No
c/o Yale University PO Box 208239 New Haven, CT 065208239 06-6366024	Supports higher education	CT	501(c)(3)	PF	Yale University		No
c/o Yale University PO Box 208239 New Haven, CT 065208239 38-2456949	Supports education	MI	501(c)(3)	Type III-O	NA		No
c/o Yale University PO Box 208239 New Haven, CT 065208239 06-0768741	Supports education	CT	501(c)(3)	Type III-FI	NA		No
c/o Yale University PO Box 208239 New Haven, CT 065208239 06-1559156	Investment	CT	501(c)(2)		Yale University	Yes	

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations							
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512 (b)(13) controlled entity?	
						Yes	No
c/o Yale University PO Box 208239 New Haven, CT 065208239 06-0653108	Supports artistic programs	CT	501(c)(3)	Type III-FI	Yale University		No
c/o Yale University PO Box 208239 New Haven, CT 065208239 06-6032565	Promotes the study of the physical, natural and mathematical sciences	CT	501(c)(3)	Type II	Yale University	Yes	
c/o Yale University PO Box 208239 New Haven, CT 065208239 58-2617787	Provides research and peer-driven edu. programs to businesses	DE	501(c)(3)	Type I	Yale University	Yes	
c/o Yale University PO Box 208239 New Haven, CT 065208239 13-6073358	Supports education	NY	501(c)(3)	Type III-O	NA		No
c/o Yale University PO Box 208239 New Haven, CT 065208239 34-1218706	Supports education	OH	501(c)(3)	Type III-FI	NA		No
c/o Yale University PO Box 208239 New Haven, CT 065208239 06-0646970	Distributes alumni magazine	CT	501(c)(3)	Type III-FI	NA		No
c/o Yale University PO Box 208239 New Haven, CT 065208239 34-6523145	Funds scholarships	OH	501(c)(3)	Type III-FI	NA		No
c/o Yale University PO Box 208239 New Haven, CT 065208239 22-6048686	Funds scholarships	NJ	501(c)(3)	Type III-O	NA		No
c/o Yale University PO Box 208239 New Haven, CT 065208239 01-0516058	Supports higher education	ME	501(c)(3)	Type III-O	NA		No
c/o Yale University PO Box 208239 New Haven, CT 065208239 06-1045553	Promotes and Supports Activities Between Yale Alumni and Yale University	CT	501(c)(3)	Type III-O	NA		No
c/o Yale University PO Box 208239 New Haven, CT 065208239 22-2377083	Supports higher education	NJ	501(c)(3)	Type III-O	NA		No
c/o Yale University PO Box 208239 New Haven, CT 065208239 35-2672947	To provide outpatient medical care, to conduct research and support educational activities.	CT	501(c)(3)	10	Yale University	Yes	
c/o Yale University PO Box 208239 New Haven, CT 065208239 23-7046816	Funds scholarships	PA	501(c)(3)	Type III-FI	Yale University		No
c/o Yale University PO Box 208239 New Haven, CT 065208239 06-0332975	Promotes appreciation of literature among membership and community	CT	501(c)(3)	Type III-FI	Yale University	Yes	
c/o Yale University PO Box 208239 New Haven, CT 065208239	Publishes serious works	UK	501(c)(3)		Yale University	Yes	
c/o Yale University PO Box 208239 New Haven, CT 065208239 06-6414276	501(a) Trust that Supports Yale University	CT	501(c)(3)	Type II	Yale University	Yes	
c/o Yale University PO Box 208239 New Haven, CT 065208239 39-6065573	Funds scholarships	WI	501(c)(3)	Type III-O	NA		No
c/o Yale University PO Box 208239 New Haven, CT 065208239 23-7440891	Supports joint programs of Yale-New Haven Hospital and Yale University	CT	501(c)(3)	Type III-FI	NA		No

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership												
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproprrtionate allocations?		(i) Code V-UBI amount in Box 20 of Schedule K-1 (Form 1065)	(j) General or Managing Partner?		(k) Percentage ownership
							Yes	No		Yes	No	
FOURTH CENTURY LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 41-2046537	Investment	DE	Yale University	Excluded	815,210,086	10,904,753,221	Yes		-6,595,333		No	99.80 %
FIFTH CENTURY LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-0763076	Investment	NV	Yale University	Excluded	798,886,096	5,719,150,273	Yes		49,180,916		No	99.80 %
LAUREL 2008 LP c/o Yale University PO Box 208239 New Haven, CT 065208239 26-1649501	Investment	NV	Fifth Century LP	Excluded	178,639,518	1,929,189,717	Yes		25,267,199		No	99.60 %
667 LP c/o Yale University PO Box 208239 New Haven, CT 065208239 06-1609184	Investment	DE	BBF I Holdings LP	Excluded	78,857,983	1,448,065,719	Yes		2,333,504		No	79.93 %
BBF I HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-8497574	Investment	NV	Fifth Century LP	Excluded	267,232,382	1,448,065,719	Yes		2,333,504		No	99.60 %
LONG TERM VALUE FUND LP c/o Yale University PO Box 208239 New Haven, CT 065208239 98-0623624	Investment	CJ	Laurel 2008 LP	Excluded	92,250,557	1,384,847,067		No			No	98.74 %
Wingspan LP c/o Yale University PO Box 208239 New Haven, CT 065208239 98-1541518	Investment	CJ	Yale University	Excluded	0	1,229,274,150		No			No	80.18 %
CYR HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 26-0788802	Investment	NV	Fifth Century LP	Excluded	47,607,015	1,200,396,440	Yes		682,223		No	99.60 %
CRS MASTER FUND LP c/o Yale University PO Box 208239 New Haven, CT 065208239 45-2658870	Investment	CJ	CYR Holdings LP	Excluded	27,888,210	1,192,362,073	Yes		682,223		No	89.35 %
Farallon Capital Institutional Partners II LP c/o Yale University PO Box 208239 New Haven, CT 065208239 94-3106692	Investment	CA	Yale University	Excluded	91,855,179	1,020,034,174	Yes				No	90.05 %
YELLOW WARBLER LP c/o Yale University PO Box 208239 New Haven, CT 065208239 26-0342603	Investment	TX	Fourth Century LLC	Excluded	-69,632,349	1,016,845,751	Yes		-177,619		No	96.66 %
YHG INVESTMENT LP c/o Yale University PO Box 208239 New Haven, CT 065208239	Investment	CJ	Gaoling Yali Feeder Ltd	Excluded	53,794,008	1,006,296,966	Yes				No	72.94 %
YB Institutional LP c/o Yale University PO Box 208239 New Haven, CT 065208239 04-3431530	Investment	DE	Yale University	Excluded	14,954,546	790,091,623	Yes		-1,186,529		No	97.26 %
Foxway LP c/o Yale University PO Box 208239 New Haven, CT 065208239 46-3794906	Investment	DE	Yale University	Excluded	32,214,835	584,024,657		No			No	99.81 %
MORRIS ISLAND PARTNERS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 46-4538290	Investment	DE	Fourth Century LLC	Excluded	18,454,160	518,935,144		No			No	98.45 %

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership												
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in Box 20 of Schedule K-1 (Form 1065)	(j) General or Managing Partner?		(k) Percentage ownership
							Yes	No		Yes	No	
Long Meadow LP c/o Yale University PO Box 208239 New Haven, CT 065208239 82-1918656	Investment	DE	Yale University	Excluded	-711,712	357,943,526		No			No	94.24 %
Bluebart LP c/o Yale University PO Box 208239 New Haven, CT 065208239 38-3979963	Investment	DE	Yale University	Excluded	25,895,330	338,231,752	Yes				No	99.18 %
High Street Partners Ltd c/o Yale University PO Box 208239 New Haven, CT 065208239 98-1332371	Investment	CJ	Yale University	Excluded	24,025,373	337,861,561		No			No	92.90 %
Y2K PARTNERS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 71-1007459	Investment	DE	Fourth Century LLC	Excluded	3,548,578	293,852,682		No			No	99.65 %
EASTON 2007 LP c/o Yale University PO Box 208239 New Haven, CT 065208239 26-0627974	Investment	NV	Fifth Century LP	Excluded	27,849,230	274,466,362	Yes		13,253,567		No	99.60 %
NIFL HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-8973999	Investment	NV	Fifth Century LP	Excluded	33,203,355	273,294,058		No			No	99.60 %
Strategic Residential Fund II LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 04-3584406	Investment	DE	Yale University	Excluded	-2,881,682	150,114,197		No			No	95.00 %
M&H REALTY PARTNERS VI LP c/o Yale University PO Box 208239 New Haven, CT 065208239 57-1182074	Investment	CA	Fourth Century LLC	Excluded	-1,157,622	140,888,805		No			No	52.56 %
CYR FUND LP c/o Yale University PO Box 208239 New Haven, CT 065208239 26-0270646	Investment	DE	CYR Holdings LP	Excluded	19,715,978	129,125,232		No			No	86.11 %
Wen Yuan Ltd c/o Yale University PO Box 208239 New Haven, CT 065208239 98-1498670	Investment	CJ	Yale University	Unrelated	16,961,173	128,010,843		No			No	99.88 %
KTOWN LP c/o Yale University PO Box 208239 New Haven, CT 065208239 27-4278453	Investment	DE	Fourth Century LLC	Excluded	3,208,023	126,281,702		No			No	97.09 %
Panco Strategic Real Estate Fund IV LP c/o Yale University PO Box 208239 New Haven, CT 065208239 83-1481792	Investment	DE	Yale University	Excluded	-42,585,452	107,796,056		No			No	64.57 %
RCP II HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 26-0444200	Investment	NV	Fifth Century LP	Excluded	2,936,271	86,302,445		No			No	99.60 %
SEDGWICK LP c/o Yale University PO Box 208239 New Haven, CT 065208239 26-0483594	Investment	NV	Fifth Century LP	Excluded	2,353,724	69,180,318		No			No	79.84 %
MANASLU FUND II LP c/o Yale University PO Box 208239 New Haven, CT 065208239 98-1158285	Investment	CJ	Fourth Century LLC	Excluded	8,534,494	63,935,632		No			No	49.66 %

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							Yes	No		Yes	No	
Bayroot LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 20-0390410	Investment	DE	Fourth Century LLC	Excluded	2,353,506	55,100,072		No			No	98.81 %
Motus Fund Ltd c/o Yale University PO Box 208239 New Haven, CT 065208239 98-1253737	Investment	CJ	Yale University	Excluded	3,269,230	53,896,291		No		Yes		95.34 %
RAMSGATE VIII LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 20-5259910	Investment	DE	Fourth Century LLC	Excluded	0	52,356,168		No			No	79.84 %
RCP HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-2008465	Investment	NV	Fifth Century LP	Excluded	59,748	51,149,420		No			No	99.60 %
TDRC II-A LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-5025534	Investment	NV	Fifth Century LP	Excluded	0	49,089,760		No			No	99.60 %
VERNON LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 80-0904292	Investment	DE	Fourth Century LLC	Excluded	7,356,966	46,865,097	Yes		24,195		No	79.84 %
RAMSGATE XI LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 46-5209548	Investment	DE	Fourth Century LLC	Excluded	0	41,196,336		No			No	79.84 %
Itiner VI Victrix LP c/o Yale University PO Box 208239 New Haven, CT 065208239 84-2824716	Investment	DE	Yale University	Excluded	17,411	40,576,797		No			No	100.00 %
RIDGEFIELD IX LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 90-1007364	Investment	DE	Fourth Century LLC	Excluded	4,608,391	37,825,568		No			No	79.84 %
The Lyme Forest Fund V TE LP c/o Yale University PO Box 208239 New Haven, CT 065208239 83-2527383	Investment	DE	Yale University	Unrelated	-152,089	36,841,485		No	-123,472		No	86.16 %
OMAHA LP c/o Yale University PO Box 208239 New Haven, CT 065208239	Investment	CA	OM Holdings LP	Excluded	-2,250,060	36,212,316		No			No	98.60 %
HERNDON LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 37-1659934	Investment	DE	Fourth Century LLC	Excluded	828,306	33,242,387		No			No	79.84 %
KENWOOD 2014 LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 46-5704870	Investment	DE	Fourth Century LLC	Excluded	2,796,212	33,203,939	Yes		10,884		No	79.84 %
JPS HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-8909921	Investment	NV	Fifth Century LP	Excluded	0	32,804,014		No			No	99.60 %
GLOSTER LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 20-1263980	Investment	DE	Fourth Century LLC	Excluded	3,572	32,182,142		No			No	79.84 %

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							Yes	No		Yes	No	
HERNDON IV LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 47-1698457	Investment	DE	Fourth Century LLC	Unrelated	-112,046	30,952,037	Yes		-254,137		No	79.84 %
ABINGDON LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 61-1707283	Investment	DE	Fourth Century LLC	Excluded	15,653,367	28,982,459	Yes		749,209		No	79.84 %
MACOMB XI LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 80-0958889	Investment	DE	Fourth Century LLC	Excluded	892,645	28,006,999	Yes		-439,668		No	79.84 %
SP 8 HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 26-0558478	Investment	NV	Fifth Century LP	Excluded	12,322,503	27,518,941		No			No	99.60 %
FULTON LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 26-0165041	Investment	DE	Fourth Century LLC	Excluded	-1,798,076	25,956,524		No			No	79.84 %
UHLE LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 27-3981909	Investment	DE	Fourth Century LLC	Excluded	24,956,891	24,760,378	Yes		13,082		No	79.84 %
WINDOM LP c/o Yale University PO Box 208239 New Haven, CT 065208239 26-1768804	Investment	NV	Fifth Century LP	Unrelated	5,261,317	24,180,486	Yes		4,462,602		No	79.84 %
KENWOOD LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 38-3863347	Investment	DE	Fourth Century LLC	Excluded	988,098	23,661,649		No			No	79.84 %
CORBIN VII LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 47-2456807	Investment	DE	Fourth Century LLC	Excluded	307,282	23,605,581		No			No	79.84 %
TYPHOON LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 01-0685132	Investment	DE	Fourth Century LLC	Excluded	-126,914	20,950,833		No			No	73.02 %
MERRIWEATHER LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 04-3664410	Investment	DE	Fourth Century LLC	Excluded	57,049	19,580,134		No			No	98.80 %
VEITCH LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 45-0999084	Investment	DE	Fourth Century LLC	Excluded	-2,919,833	19,412,773	Yes		-169,014		No	79.84 %
Harbor Hills Development LP c/o Yale University PO Box 208239 New Haven, CT 065208239 16-1453802	Investment	DE	Harbor Hills Holdings LP	Unrelated	1,183,091	18,019,287	Yes		1,176,202		No	99.00 %
WHITEHAVEN XI LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 37-1738599	Investment	DE	Fourth Century LLC	Excluded	12	17,964,378		No			No	79.84 %
TILDEN III LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 46-2024260	Investment	DE	Fourth Century LLC	Excluded	5,101,961	17,230,228		No			No	79.84 %

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							Yes	No		Yes	No	
Farallon Capital Institutional Partners 25 LP c/o Yale University PO Box 208239 New Haven, CT 065208239 94-3388317	Investment	DE	Yale University	Excluded	-1,924,303	16,686,846	Yes		-506,811		No	87.26 %
HEVP II HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 26-1828824	Investment	NV	Fifth Century LP	Excluded	-6,281,432	16,580,314		No			No	99.60 %
CAUTHEN VII LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 26-3653027	Investment	DE	Fourth Century LLC	Excluded	1,050,055	16,556,986	Yes		77,933		No	79.84 %
FILLMORE LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 45-3783115	Investment	DE	Fourth Century LLC	Excluded	2,118,322	15,923,606		No			No	79.84 %
SANGAMORE III LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 26-0753284	Investment	DE	Fourth Century LLC	Excluded	18,085	14,680,001	Yes		14		No	79.84 %
KENWOOD 2014-A LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 46-5722301	Investment	DE	Fourth Century LLC	Excluded	209,438	14,664,858	Yes		-146,800		No	79.84 %
CAUTHEN VI LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 20-8202030	Investment	DE	Fourth Century LLC	Excluded	948,406	14,299,274	Yes		92,930		No	79.84 %
WYNNWOOD VIII LP c/o Yale University PO Box 208239 New Haven, CT 065208239 26-3294405	Investment	NV	Fifth Century LP	Excluded	3,145,155	13,688,288	Yes		179,147		No	79.84 %
CALVERT VI LP c/o Yale University PO Box 208239 New Haven, CT 065208239 26-1603346	Investment	NV	Fifth Century LP	Excluded	-1,636,196	13,648,366	Yes		337,162		No	79.84 %
IRIS 2009 LP c/o Yale University PO Box 208239 New Haven, CT 065208239 26-4100777	Investment	NV	Fifth Century LP	Excluded	-253,351	13,363,165		No			No	99.60 %
CLEVELAND LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 90-0743297	Investment	DE	Fourth Century LLC	Excluded	122,429	13,147,948		No			No	79.84 %
Manaslu Fund III LP c/o Yale University PO Box 208239 New Haven, CT 065208239 98-1556897	Investment	CJ	Yale University	Excluded	31	13,020,886	Yes				No	57.64 %
BARTON LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 35-2497887	Investment	DE	Fourth Century LLC	Excluded	-6,955	12,734,788		No			No	79.84 %
RIDGEFIELD VIII LP c/o Yale University PO Box 208239 New Haven, CT 065208239 26-1603389	Investment	NV	Fifth Century LP	Excluded	-1,020,902	12,521,500	Yes		449,135		No	79.84 %
NLVF II HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 26-1545222	Investment	NV	Fifth Century LP	Excluded	36,301,233	12,381,424		No			No	99.60 %

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							Yes	No		Yes	No	
POE LP c/o Yale University PO Box 208239 New Haven, CT 065208239 26-2765485	Investment	NV	Fifth Century LP	Unrelated	654,111	12,273,570	Yes		363,620		No	79.84 %
WOODWAY LP c/o Yale University PO Box 208239 New Haven, CT 065208239 26-1206761	Investment	NV	Fifth Century LP	Unrelated	900,178	12,219,911	Yes		2,736,483		No	79.84 %
ODE IV LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 61-1724296	Investment	DE	Fourth Century LLC	Excluded	0	10,903,832		No			No	79.84 %
Freshet Wind Energy LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 33-1200160	Investment	DE	FWEB LLC	Excluded	649,583	10,729,752		No			No	79.84 %
YADKIN IV LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 46-1569715	Investment	DE	Fourth Century LLC	Excluded	3,444,832	10,675,334	Yes		-359		No	79.84 %
CAUTHEN IX LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 46-5376519	Investment	DE	Fourth Century LLC	Excluded	381,102	9,856,856	Yes		-237		No	79.84 %
CALVERT LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-3960036	Investment	NV	Fifth Century LP	Excluded	-1,483,346	9,814,722	Yes		-227,346		No	79.84 %
MEP IX LP c/o Yale University PO Box 208239 New Haven, CT 065208239 75-2537935	Investment	DE	Fourth Century LLC	Excluded	-334,750	9,492,166		No			No	99.80 %
JBG INVESTMENT FUND IV LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 01-0815265	Investment	DE	Fourth Century LLC	Unrelated	-6,066	9,476,084	Yes		-14,393		No	59.88 %
FIF IV (CFA) HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-4764198	Investment	NV	Fifth Century LP	Unrelated	-18,050	9,381,275	Yes		-201,247		No	99.60 %
BCVF 2007 HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-5965183	Investment	NV	Fifth Century LP	Excluded	1,907,244	9,355,641		No			No	99.60 %
ARLINGTON LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 38-3909979	Investment	DE	Fourth Century LLC	Excluded	0	9,339,980		No			No	79.84 %
Harbor Hills Holdings LP c/o Yale University PO Box 208239 New Haven, CT 065208239 02-0729602	Investment	DE	Yale University	Unrelated	445,047	9,133,015	Yes		439,729		No	100.00 %
WHITEHAVEN X LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 27-5205346	Investment	DE	Fourth Century LLC	Excluded	114	8,947,997		No			No	79.84 %
HUIDIKOPER LP c/o Yale University PO Box 208239 New Haven, CT 065208239 26-4196504	Investment	NV	Fifth Century LP	Excluded	-169,238	8,926,621		No			No	79.84 %

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							Yes	No		Yes	No	
ECP II HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 26-0151337	Investment	NV	Fifth Century LP	Excluded	26,819,272	8,604,314		No			No	99.60 %
RAMSGATE X LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 27-3616177	Investment	DE	Fourth Century LLC	Excluded	2,251,117	8,024,389		No			No	79.84 %
KCF HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-8861865	Investment	NV	Fifth Century LP	Excluded	-40,144	7,935,862		No			No	99.60 %
JPF V HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-2008388	Investment	NV	Fifth Century LP	Excluded	2,668,450	7,901,257		No			No	99.60 %
PEPF IV HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 26-0290250	Investment	NV	Fifth Century LP	Excluded	1,361	7,871,373		No			No	99.60 %
HEVP HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-4982016	Investment	NV	Fifth Century LP	Excluded	5,453,994	7,529,905		No			No	99.60 %
ALAMANCE VII LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 45-2046522	Investment	DE	Fourth Century LLC	Excluded	17,401,476	7,050,916		No			No	79.84 %
VOLTA IV LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 27-0914710	Investment	DE	Fourth Century LLC	Excluded	138,932	6,894,237	Yes		-130,976		No	79.84 %
GLOSTER III LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 20-8833953	Investment	DE	Fourth Century LLC	Excluded	0	6,804,159		No			No	79.84 %
RADFORD LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 45-5470954	Investment	DE	Fourth Century LLC	Excluded	5,336,610	6,772,318		No			No	79.84 %
WYNNWOOD II LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-5548320	Investment	NV	Fifth Century LP	Excluded	2,659,391	6,613,244	Yes		-405,033		No	79.84 %
ALDERBROOK LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-2939768	Investment	NV	Fifth Century LP	Excluded	250,192	6,562,731	Yes		-29,085		No	79.84 %
ANACONDA LP c/o Yale University PO Box 208239 New Haven, CT 065208239 98-0596408	Investment	CA	Fifth Century LP	Excluded	-1,414,423	6,398,432		No			No	98.80 %
AEF 6 US HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 26-2867531	Investment	NV	Fifth Century LP	Excluded	43,565	6,209,143		No			No	99.60 %
FESSENDEN LP c/o Yale University PO Box 208239 New Haven, CT 065208239 26-2270383	Investment	NV	Fifth Century LP	Excluded	3,114,297	5,361,776		No			No	79.84 %

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							Yes	No		Yes	No	
LORTON LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 45-4238865	Investment	DE	Fourth Century LLC	Excluded	5,412,142	5,157,666	Yes		-10,488		No	79.84 %
CAUTHEN VIII LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 45-2750613	Investment	DE	Fourth Century LLC	Excluded	-647,048	5,067,641	Yes		-109,082		No	79.84 %
AVON LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-4056103	Investment	NV	Fifth Century LP	Excluded	132,848	4,724,802	Yes		11,655		No	79.84 %
BARTON-A LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 61-1732894	Investment	DE	Fourth Century LLC	Excluded	2,717,654	4,620,709		No			No	79.84 %
AEF 5 US HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-4076676	Investment	NV	Fifth Century LP	Excluded	973,493	4,339,481		No			No	99.60 %
CORBIN LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 20-1263962	Investment	DE	Fourth Century LLC	Unrelated	32,998	4,312,656	Yes		-133,749		No	79.84 %
TILDEN II LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 27-4210952	Investment	DE	Fourth Century LLC	Excluded	0	3,829,195		No			No	79.84 %
Luxiver LP c/o Yale University PO Box 208239 New Haven, CT 065208239 45-1504333	Investment	DE	Yale University	Unrelated	-671,151	3,779,623		No	4,596,583		No	99.58 %
YADKIN LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 20-2707395	Investment	DE	Fourth Century LLC	Excluded	55,721	3,758,907	Yes		-2,844		No	79.84 %
OSCEOLA LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-2008431	Investment	NV	Fifth Century LP	Excluded	1,258,222	3,725,693		No			No	79.84 %
BE III HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-5495967	Investment	NV	Fifth Century LP	Excluded	0	3,623,673		No			No	99.60 %
MEP IV HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 26-0646713	Investment	NV	Fifth Century LP	Excluded	1,064,854	3,531,318		No			No	99.60 %
CHANDLER LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 32-0438514	Investment	DE	Fourth Century LLC	Excluded	8,743,883	3,083,211	Yes		137,566		No	79.84 %
ODE LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 37-1567347	Investment	DE	Fourth Century LLC	Excluded	0	2,950,335		No			No	79.84 %
WHITEHAVEN LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 26-3915778	Investment	DE	Fourth Century LLC	Excluded	4,624,018	2,682,534		No			No	79.84 %

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							Yes	No		Yes	No	
WILSON LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-2232279	Investment	NV	Fifth Century LP	Excluded	65	2,667,366		No			No	79.84 %
GARFIELD LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-4592367	Investment	NV	Fifth Century LP	Excluded	-891,869	2,534,487		No			No	79.84 %
QUINN LP c/o Yale University PO Box 208239 New Haven, CT 065208239 26-3879664	Investment	NV	Fifth Century LP	Excluded	11,125	2,117,324		No			No	79.84 %
WYNNWOOD LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-1363230	Investment	NV	Fifth Century LP	Excluded	562,612	2,030,644	Yes		-32,687		No	79.84 %
DENT VI LP c/o Yale University PO Box 208239 New Haven, CT 065208239 26-2867610	Investment	NV	Fifth Century LP	Excluded	13,069	1,846,367		No			No	79.84 %
RAMSGATE IX LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 20-8833887	Investment	DE	Fourth Century LLC	Excluded	0	1,836,150		No			No	79.84 %
LCPF V HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-2776532	Investment	NV	Fifth Century LP	Excluded	120,030	1,813,216		No			No	99.60 %
ALAMANCE VI LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 20-8558864	Investment	DE	Fourth Century LLC	Excluded	-1,606,974	1,797,809		No			No	79.84 %
YUMA LP c/o Yale University PO Box 208239 New Haven, CT 065208239 26-1584783	Investment	NV	Fifth Century LP	Excluded	282	1,639,456		No			No	79.84 %
LORTON-A LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 45-4239136	Investment	DE	Fourth Century LLC	Excluded	2,171,193	1,461,765		No			No	79.84 %
Kilimanjaro Fund LP c/o Yale University PO Box 208239 New Haven, CT 065208239 98-1288980	Investment	CJ	Yale University	Excluded	344	1,324,910	Yes				No	79.79 %
KHP FUND II LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-5882068	Investment	CA	Fourth Century LLC	Excluded	1,665,137	1,253,056	Yes		-416,642		No	50.07 %
BOF HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-5923569	Investment	NV	Fifth Century LP	Excluded	-599,680	1,239,249		No			No	99.60 %
College Street Innovation Fund LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 36-4773553	Investment	CT	Yale University	Excluded	-45,058	1,148,321	Yes				No	50.85 %
DENT LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-4202230	Investment	NV	Fifth Century LP	Excluded	206,442	919,416		No			No	79.84 %

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership												
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproprrtionate allocations?		(i) Code V-UBI amount in Box 20 of Schedule K-1 (Form 1065)	(j) General or Managing Partner?		(k) Percentage ownership
							Yes	No		Yes	No	
Roseland Property Partners LP c/o Yale University PO Box 208239 New Haven, CT 065208239 22-3283867	Investment	NJ	Yale University	Excluded		895,375		No			No	60.00 %
IVA II HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-2652041	Investment	NV	Fifth Century LP	Excluded	-104,957	829,419		No			No	99.60 %
EDMUNDS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-4257832	Investment	NV	Fifth Century LP	Excluded	3,789	824,360	Yes		120		No	79.84 %
IVP VI HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-8699381	Investment	NV	Fifth Century LP	Excluded	3,442,994	808,613		No			No	99.60 %
SCOTT LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 27-3616316	Investment	DE	Fourth Century LLC	Excluded	-162,640	729,947	Yes		20,342		No	79.84 %
RAMSGATE IX A LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 26-1797786	Investment	DE	Fourth Century LLC	Excluded	0	667,692		No			No	79.84 %
WESTPATH LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 20-2297672	Investment	DE	Fourth Century LLC	Excluded	76,494	523,175		No			No	79.84 %
KLINGLE LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-4592461	Investment	NV	Fifth Century LP	Excluded	-697,289	502,623		No			No	79.84 %
VOLTA LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-3738749	Investment	NV	Fifth Century LP	Excluded	0	413,490		No			No	79.84 %
NEWINGTON LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-0763113	Investment	NV	Fifth Century LP	Excluded	0	358,580		No			No	79.84 %
CAUTHEN LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 20-2776143	Investment	DE	Fourth Century LLC	Excluded	-5,342	352,505	Yes		16		No	79.84 %
Luxiver Nawab LP c/o Yale University PO Box 208239 New Haven, CT 065208239 45-3117734	Investment	DE	Yale University	Excluded	0	344,984		No			No	100.00 %
4620 Associates LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 13-4036667	Investment	DE	Yale University	Excluded	102,190	276,259		No			No	83.99 %
DENT A LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-8725065	Investment	NV	Fifth Century LP	Excluded	221,125	239,059		No			No	79.84 %
CHICAGO LP c/o Yale University PO Box 208239 New Haven, CT 065208239 95-0602525	Investment	CA	Fifth Century LP	Excluded	140,852	197,052		No			No	98.80 %

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership												
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							Yes	No		Yes	No	
MACOMB VIII LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-5681131	Investment	NV	Fifth Century LP	Excluded	4,225	186,919	Yes		-103		No	79.84 %
ORDWAY LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-5189347	Investment	NV	Fifth Century LP	Excluded	6,692,569	174,635		No			No	79.84 %
HAWTHORNE III LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 26-0854590	Investment	DE	Fourth Century LLC	Excluded	0	142,072		No			No	79.84 %
RAMSGATE LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 20-0419757	Investment	DE	Fourth Century LLC	Excluded	0	81,090		No			No	79.84 %
Starfar I Partners LP c/o Yale University PO Box 208239 New Haven, CT 065208239 94-3205101	Investment	DE	Yale University	Unrelated	-7,498	56,758		No	-7,498		No	60.83 %
GOLDSBORO LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-1996058	Investment	NV	Fifth Century LP	Excluded	-5,835	42,054		No			No	79.84 %
OGDEN LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-1212114	Investment	NV	Fifth Century LP	Excluded	-2,128,856	39,937		No			No	79.84 %
YADKIN III LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 26-2477930	Investment	DE	Fourth Century LLC	Excluded	209,992	14,162	Yes		-1,326		No	79.84 %
TILDEN LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 20-8558781	Investment	DE	Fourth Century LLC	Excluded	0	7,651		No			No	79.84 %
WELBORN LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-1212127	Investment	NV	Fifth Century LP	Excluded	-319,733	6,941		No			No	79.84 %
CRANBROOK LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 20-3115503	Investment	DE	Fourth Century LLC	Excluded	116,268	5,626		No			No	79.84 %
Manaslu Fund LP c/o Yale University PO Box 208239 New Haven, CT 065208239 98-0615593	Investment	CJ	MP-MF Holdings LP	Excluded	8,943,011	3		No			No	95.29 %
100 Howe Street Associates LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 06-1386762	Investment	CT	Yale University	Excluded	5,692,106	0	Yes				No	97.08 %
94 Howe Street Associates LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 06-1428914	Investment	CT	Yale University	Excluded	1,587,460	0	Yes				No	91.93 %
BCAF HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-8453177	Investment	NV	Fifth Century LP	Excluded	-4,450,720	0		No			No	99.60 %

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership												
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproprrtionate allocations?		(i) Code V-UBI amount in Box 20 of Schedule K-1 (Form 1065)	(j) General or Managing Partner?		(k) Percentage ownership
							Yes	No		Yes	No	
CORBIN VI LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 27-0773197	Investment	DE	Fourth Century LLC	Excluded	-17,511	0		No			No	79.84 %
CRS MASTER FUND LP c/o Yale University PO Box 208239 New Haven, CT 065208239 45-2658870	Investment	CJ	CRS Fund Ltd	Excluded	3,040,350	0		No			No	84.79 %
DANVILLE LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 45-3531407	Investment	DE	Fourth Century LLC	Excluded	9,947,088	0	Yes		169,531		No	79.84 %
GEF II HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 26-0348232	Investment	NV	Fifth Century LP	Excluded	2,244,038	0		No			No	99.60 %
GHEP III HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-3853985	Investment	NV	Fifth Century LP	Excluded	-528,790	0		No			No	99.60 %
MACOMB IX LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-5025458	Investment	NV	Fifth Century LP	Excluded	135,514	0		No			No	79.84 %
MP-MF HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 26-2099979	Investment	NV	Fifth Century LP	Excluded	-21,191,779	0		No			No	99.60 %
Prospect Street Partners Ltd c/o Yale University PO Box 208239 New Haven, CT 065208239 59-3041479	Investment	FL	Yale University	Excluded	33,000,704	0		No			No	75.36 %
Sail NH LP c/o Yale University PO Box 208239 New Haven, CT 065208239 84-4561633	Investment	DE	Yale University	Excluded	0	0		No			No	88.65 %
SP II HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 26-0697863	Investment	NV	Fifth Century LP	Excluded	31,912,838	0		No			No	99.60 %
Strategic Residential Fund I LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 04-3398268	Investment	DE	Yale University	Excluded	-476,011	0	Yes				No	100.00 %
FRC II HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 26-1670120	Investment	NV	Fifth Century LP	Excluded	133,405,134	-752,458		No			No	99.60 %
OM HOLDINGS LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-5073267	Investment	NV	Fifth Century LP	Excluded	-19,730	-2,849,633		No			No	99.60 %
Harbor Hills Country Club LP c/o Yale University PO Box 208239 New Haven, CT 065208239 16-1453797	Investment	DE	Harbor Hills Holdings LP	Unrelated	-606,577	-8,886,273		No	-606,577		No	99.00 %
JBG Investment Fund III LP c/o Yale University PO Box 208239 New Haven, CT 065208239 52-2384738	Investment	DE	Fourth Century LLC	Excluded	2,531,745	-11,757,323	Yes		57,627		No	57.03 %

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership												
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in Box 20 of Schedule K-1 (Form 1065)	(j) General or Managing Partner?		(k) Percentage ownership
							Yes	No		Yes	No	
GREENFIELD LAND PARALLEL PARTNERS I LP c/o Yale University PO Box 208239 New Haven, CT 065208239 20-4411467	Investment	DE	Fourth Century LLC	Excluded	-2,477,299	-13,026,661	Yes		-5,633		No	98.80 %
SANGAMORE II LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 20-2672293	Investment	DE	Fourth Century LLC	Excluded	140,652	-19,647,823	Yes		-842		No	79.84 %

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust									
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512 (b)(13) controlled entity?	
								Yes	No
New FYI Ltd c/o Yale University PO Box 208239 New Haven, CT 065208239	Investment	CJ	Wingspan LP	C Corporation	6,736,258	1,300,607,840	80.18 %	Yes	
Gaoling Yali Feeder Ltd c/o Yale University PO Box 208239 New Haven, CT 065208239	Investment	CJ	Fourth Century LLC	C Corporation	43,273,494	915,583,102	99.8 %	Yes	
The Caeruleus Fund c/o Yale University PO Box 208239 New Haven, CT 065208239	Investment	CJ	Yale University	C Corporation	97,354,044	473,158,115	100 %	Yes	
Fourth Century Trust c/o Yale University PO Box 208239 New Haven, CT 065208239 20-6699631	Investment	CT	Yale University	Trust	14,752,455	230,440,399	100 %	Yes	
PWEB LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 27-3304430	Investment	DE	Fourth Century LLC	C Corporation	9,511,879	128,627,793	99.8 %	Yes	
LTR Focus Fund c/o Yale University PO Box 208239 New Haven, CT 065208239	Investment	MP	Yale University	C Corporation	-23,977,909	67,394,544	100 %	Yes	
YB Cayman Ltd c/o Yale University PO Box 208239 New Haven, CT 065208239	Investment	CJ	YB Institutional LP	C Corporation	-364,155	50,937,748	97.26 %	Yes	
NW UK REGIONAL II (NO 1) LP c/o Yale University PO Box 208239 New Haven, CT 065208239 98-1208249	Investment	UK	Fourth Century LLC	C Corporation	1,966,672	18,372,156	99.8 %	Yes	
LP Value Ltd c/o Yale University PO Box 208239 New Haven, CT 065208239	Investment	VQ	Yale University	C Corporation	-476,579	7,600,665	95.17 %	Yes	
3313148 Nova Scotia Limited c/o Yale University PO Box 208239 New Haven, CT 065208239	Investment	CA	OM Holdings LP	C Corporation	3,518,967	5,393,764	98.6 %	Yes	
FWEB LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 27-3304506	Investment	DE	Fourth Century LLC	C Corporation	649,583	3,252,376	99.8 %	Yes	
Connecticut Boola Inc c/o Yale University PO Box 208239 New Haven, CT 065208239 06-0798544	Investment	CT	Yale University	C Corporation	15,012	2,412,056	100 %	Yes	
NCH Investors Fund (YU) Corp c/o Yale University PO Box 208239 New Haven, CT 065208239	Investment	CJ	Fourth Century LLC	C Corporation	-38,326	2,090,199	99.8 %	Yes	
Manaslu Partners Ltd c/o Yale University PO Box 208239 New Haven, CT 065208239	Investment	CJ	MP-MF Holdings LP	C Corporation	2,253,079	250,369	99.6 %	Yes	
CRS Fund Ltd c/o Yale University PO Box 208239 New Haven, CT 065208239 90-0314487	Investment	CJ	CYR Holdings LP	C Corporation	15,632	107,503	99.6 %	Yes	

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of- year assets	(h) Percentage ownership	(i) Section 512 (b)(13) controlled entity?	
								Yes	No
Harbor Hills Country Club Inc c/o Yale University PO Box 208239 New Haven, CT 065208239 16-1452585	Investment	DE	Harbor Hills Holdings LP	C Corporation	-48	32,815	100 %	Yes	
Harbor Hills Sales Inc c/o Yale University PO Box 208239 New Haven, CT 065208239 59-3343340	Investment	FL	Harbor Hills Holdings LP	C Corporation	0	1,000	100 %	Yes	
Yale University Hong Kong Trust c/o Yale University PO Box 208239 New Haven, CT 065208239	Accepts charitable contributions	HK	Yale University	Trust	2,273,536	250	100 %	Yes	
Drama Productions Inc c/o Yale University PO Box 208239 New Haven, CT 065208239 06-0851103	Performing Arts	CT	Yale University	C Corporation	0	0	100 %	Yes	
New FYI Ltd c/o Yale University PO Box 208239 New Haven, CT 065208239	Investment	CJ	Yale University	C Corporation	81,676,946	0	74.17 %	Yes	
Charitable Pooled Income Funds (3) c/o Yale University PO Box 208239 New Haven, CT 065208239	Investment	CT	Various	Trust					No
Charitable Remainder Trusts (43) c/o Yale University PO Box 208239 New Haven, CT 065208239	Investment	CT	Yale University	Trust					No

Form 990, Schedule R, Part V - Transactions With Related Organizations

(a) Name of related organization	(b) Transaction type(a-s)	(c) Amount Involved	(d) Method of determining amount involved
3C Corporation	B	2,138,215	CASH VALUE
3C Corporation	S	6,036,182	CASH VALUE
4620 Associates	S	105,417	CASH VALUE
5C Corporation	B	550,537	CASH VALUE
5C Corporation	S	2,167,222	CASH VALUE
Bluebart LP	S	25,000,000	CASH VALUE
Caeruleus Fund	B	21,713,984	CASH VALUE
Drama Productions Inc	P	710,040	CASH VALUE
Farallon Capital Institutional Partners 25 LP	B	420,926	CASH VALUE
Farallon Capital Institutional Partners II LP	B	10,004,913	CASH VALUE
Farallon Capital Institutional Partners II LP	S	25,689,000	CASH VALUE
Fifth Century LP	B	138,304,498	CASH VALUE
Fifth Century LP	S	558,304,771	CASH VALUE
Fourth Century LLC	B	1,066,969,396	CASH VALUE
Fourth Century LLC	S	2,413,602,212	CASH VALUE
Foxway LP	B	50,000,000	CASH VALUE
Harbor Hills Holdings LP	S	300,000	CASH VALUE
High Street Partners Ltd	B	30,000,000	CASH VALUE
Itiner VI Victrix LP	B	40,368,116	CASH VALUE
Kilimanjaro Fund LP	B	182,670	CASH VALUE
LTR Focus Fund	S	78,013,758	CASH VALUE
Luxiver LP	S	29,775,000	CASH VALUE
Manaslu Fund III LP	B	12,158,786	CASH VALUE
Manaslu Fund III LP	S	219,069	CASH VALUE
Motus Fund Ltd	S	29,685,544	CASH VALUE

Form 990, Schedule R, Part V - Transactions With Related Organizations

(a) Name of related organization	(b) Transaction type(a-s)	(c) Amount Involved	(d) Method of determining amount involved
New FYI Ltd	S	1,194,735,186	CASH VALUE
Panco Strategic Real Estate Fund IV LP	B	24,546,971	CASH VALUE
Panco Strategic Real Estate Fund IV LP	S	2,478,773	CASH VALUE
Paul Mellon Centre for Studies in British Art	B	7,013,222	CASH VALUE
Staff Retirement Holdings Inc	S	172,698	CASH VALUE
Sail NH LP	B	6,875,000	CASH VALUE
Strategic Residential Fund I LLC	S	564,016	CASH VALUE
Strategic Residential Fund II LLC	S	1,377,500	CASH VALUE
The Board of Trustees of The Sheffield Scientific School	S	20,083,960	CASH VALUE
The Chief Executive Leadership Institute of the Yale School of Management Inc	S	538,367	CASH VALUE
The Lyme Forest Fund V TE LP	B	28,350,000	CASH VALUE
Yale University Elizabethan Club Corp	R	687,721	CASH VALUE
Yale University Hong Kong Trust	C	2,273,536	CASH VALUE
Yale University Press London	S	3,112,370	CASH VALUE
Yale University Press London	R	2,266,945	CASH VALUE
Yale University Press London	Q	96,464	CASH VALUE
Yale University Press London	H	1,155,403	CASH VALUE
Yale University Press London	G	1,457,676	CASH VALUE
Yale University Press London	B	218,876	CASH VALUE
Yale University Press London	L	222,800	CASH VALUE
Yale University Retiree Health Benefits Coverage Trust	Q	28,832,068	CASH VALUE
Yale University Retiree Health Benefits Coverage Trust	B	41,486,000	CASH VALUE
YB Institutional Limited Partnership	S	125,000,000	CASH VALUE
Wingspan LP	R	1,194,735,186	CASH VALUE
Wen Yuan Ltd	B	90,000,000	CASH VALUE