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Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information. 2005

OMB No. 1545-0047

**2019**

Open to Public Inspection

For calendar year 2019 or tax year beginning June 1, 2019, and ending May 31, 20

Name of foundation: Joyce S Mudd Foundation

A Employer identification number: 72-1485643

Number and street (or P O box number if mail is not delivered to street address): 10003 Cristincoates Court | Room/suite:

B Telephone number (see instructions): ( 318 ) 687 - 1081

City or town, state or province, country, and ZIP or foreign postal code: Shreveport, Louisiana 71118

C If exemption application is pending, check here ▶ ☐ 6

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☐ Section 501(c)(3) exempt private foundation 04
☐ Section 4947(a)(1) nonexempt charitable trust ☑ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 2,782,285.98

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d), must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I** **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

| | Line | Description | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income NOT APPLICABLE | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc., received (attach schedule) | 1,503.54 | | | |
| | 2 | Check ▶ ☐ if the foundation is not required to attach Sch. B | | | | |
| | 3 | Interest on savings and temporary cash investments | 10.20 | 10.20 | | |
| | 4 | Dividends and interest from securities | 62,534.11 | 62,534.11 | | |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | | | | |
| | b | Gross sales price for all assets on line 6a | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | 23,163.57 | | |
| | 8 | Net short-term capital gain | | | | |
| | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns and allowances | | | | |
| | b | Less: Cost of goods sold | | | | |
| | c | Gross profit or (loss) (attach schedule) | | | | |
| | 11 | Other income (attach schedule) | | | | |
| | 12 | **Total.** Add lines 1 through 11 | 64,047.85 | 85,707.88 | | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc. | | | | |
| | 14 | Other employee salaries and wages | | | | |
| | 15 | Pension plans, employee benefits | | | | |
| | 16a | Legal fees (attach schedule) | | | | |
| | b | Accounting fees (attach schedule) | | | | |
| | c | Other professional fees (attach schedule) | | | | |
| | 17 | Interest | | | | |
| | 18 | Taxes (attach schedule) (see instructions) * | 1,692.67 | 199.13 | | |
| | 19 | Depreciation (attach schedule) and depletion | | | | |
| | 20 | Occupancy | | | | |
| | 21 | Travel, conferences, and meetings | | | | |
| | 22 | Printing and publications | 141.07 | | | |
| | 23 | Other expenses (attach schedule) ** | 79.58 | 69.58 | | |
| | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 1,913.32 | 268.71 | | |
| | 25 | Contributions, gifts, grants paid | 135,000.00 | | | 135,000.00 |
| | 26 | **Total expenses and disbursements.** Add lines 24 and 25 | 136,913.32 | 268.71 | | 135,000.00 |
| | 27 | Subtract line 26 from line 12: | | | | |
| | a | **Excess of revenue over expenses and disbursements** | <72,865.47> | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 85,439.17 | | |
| | c | **Adjusted net income** (if negative, enter -0-) | | | | |

03/04

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* Federal Excise Tax = $ 1,493 54
Foreign Taxes = $ 199.13

** ADR fees = $ 69 58
State filing fees = $ 10.00

P

Joyce S Mudd Foundation EIN # 72-1485643



**Part II** **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

| | | | Beginning of year | End of year | |
|---|---|---|---|---|---|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 | Cash—non-interest-bearing | 300.62 | 6,532.96 | 6,532.96 |
| | 2 | Savings and temporary cash investments | | | |
| | 3 | Accounts receivable ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10a | Investments—U.S. and state government obligations (attach schedule) | | | |
| | b | Investments—corporate stock (attach schedule) | 920,523.98 | 864,589.74 | 2,775,753.02 |
| | c | Investments—corporate bonds (attach schedule) | | | |
| | 11 | Investments—land, buildings, and equipment basis ▶ | | | |
| | | Less: accumulated depreciation (attach schedule) ▶ | | | |
| | 12 | Investments—mortgage loans | | | |
| | 13 | Investments—other (attach schedule) | | | |
| | 14 | Land, buildings, and equipment: basis ▶ | | | |
| | | Less: accumulated depreciation (attach schedule) ▶ | | | |
| | 15 | Other assets (describe ▶ ) | | | |
| | 16 | **Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I) | 920,824.60 | 871,122.70 | 2,782,285.98 |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶ ) | | | |
| | 23 | **Total liabilities** (add lines 17 through 22) | 0 | 0 | |
| Net Assets or Fund Balances | | **Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29, and 30.** | | | |
| | 24 | Net assets without donor restrictions | | | |
| | 25 | Net assets with donor restrictions | | | |
| | | **Foundations that do not follow FASB ASC 958, check here ▶ ☑ and complete lines 26 through 30.** | | | |
| | 26 | Capital stock, trust principal, or current funds | 920,824.60 | 871,122.70 | |
| | 27 | Paid-in or capital surplus, or land, bldg., and equipment fund | | | |
| | 28 | Retained earnings, accumulated income, endowment, or other funds | | | |
| | 29 | **Total net assets or fund balances** (see instructions) | 920,824.60 | 871,122.70 | |
| | 30 | **Total liabilities and net assets/fund balances** (see instructions) | 920,824.60 | 871,122.70 | |

**Part III** **Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) | 1 | 920,824.60 |
| 2 | Enter amount from Part I, line 27a | 2 | <72,865.47> |
| 3 | Other increases not included in line 2 (itemize) ▶ capital gain net income | 3 | 23,163.57 |
| 4 | Add lines 1, 2, and 3 | 4 | 871,122.70 |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 0 |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 | 6 | 871,122.70 |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | 1,500 shares of ATT (see attached schedule for how acquired & dates) | P | various | 05/19/2020 |
| b | 500 Coca-Cola | | various | 05/28/2020 |
| c | 200 Gentex | P | various | 05/19/2020 |
| d | 1,900 Pfizer | | various | 05/19/2020 |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) ((e) plus (f) minus (g)) |
|---|---|---|---|---|
| a | 42,419.06 | | 42,351.74 | 67.32 |
| b | 23,654.48 | | 12,040.89 | 11,613.59 |
| c | 4,793.89 | | 3,411.19 | 1,382.70 |
| d | 72,122.41 | | 62,022.45 | 10,099.96 |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) FMV as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col. (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } | 2 | 23,163.57 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 | 3 | |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col (c)) |
|---|---|---|---|
| 2018 | | | |
| 2017 | | | |
| 2016 | | | |
| 2015 | | | |
| 2014 | | | |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | |
| 3 | Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years | 3 | |
| 4 | Enter the net value of noncharitable-use assets for 2019 from Part X, line 5 | 4 | |
| 5 | Multiply line 4 by line 3 | 5 | |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | |
| 7 | Add lines 5 and 6 | 7 | |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ **(attach copy of letter if necessary—see instructions)** | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | 1 | 1,708.78 |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) | 2 | 0 |
| 3 | Add lines 1 and 2 | 3 | 1,708.78 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-) | 4 | 0 |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | 5 | 1,708.78 |
| 6 | Credits/Payments: | | |
| a | 2019 estimated tax payments and 2018 overpayment credited to 2019 — 6a | | |
| b | Exempt foreign organizations—tax withheld at source — 6b | | |
| c | Tax paid with application for extension of time to file (Form 8868) — 6c | | |
| d | Backup withholding erroneously withheld — 6d | | |
| 7 | Total credits and payments. Add lines 6a through 6d | 7 | 0 |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached | 8 | 0 |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | 9 | 1,708.78 |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | |
| 11 | Enter the amount of line 10 to be: **Credited to 2020 estimated tax ▶** **Refunded ▶** | 11 | |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | ✓ |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the *instructions for the definition* | 1b | | ✓ |
| | If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | ✓ |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ______ **(2)** On foundation managers. ▶ $ ______ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. | 2 | | ✓ |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | 3 | | ✓ |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | ✓ |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T.* | 5 | | ✓ |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By *language in the governing instrument, or* • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | ✓ | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV | 7 | ✓ | |
| 8a | Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ **Louisiana** | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G?* If "No," attach explanation | 8b | ✓ | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV | 9 | | ✓ |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | ✓ |

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## Part VII-A Statements Regarding Activities *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions | 11 | | ✓ |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions | 12 | | ✓ |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | ✓ | |
| | Website address ▶ | | | |
| 14 | The books are in care of ▶ John Mudd Telephone no. ▶ ( 318 ) 687 - 1081<br>Located at ▶ 10003 Cristincoates Court, Shreveport, LA 71118 ZIP+4 ▶ 71118 | | | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—check here ▶ ☐<br>and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 | | | |
| 16 | At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | ✓ |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

| | **File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.** | | Yes | No |
|---|---|---|---|---|
| 1a | During the year, did the foundation (either directly or indirectly): | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No | | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No | | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No | | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No | | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No | | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No | | | |
| b | If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? * | 1c | | ✓ |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| a | At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? ☐ Yes ☑ No<br>If "Yes," list the years ▶ 20___ , 20___ , 20___ , 20___ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.) | 2b | ✓ | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.<br>▶ 20___ , 20___ , 20___ , 20___ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No | | | |
| b | If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.) | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | ✓ |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019? | 4b | | ✓ |



*The Joyce S Mudd Foundation has NEVER engaged in any of the acts described in 1a and has NEVER made an investment that could jeopardize its charitable purpose.

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 5a | During the year, did the foundation pay or incur any amount to: | | | |
| | (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No | | | |
| | (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No | | | |
| | (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No | | | |
| | (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☑ No | | | |
| | (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No | | | |
| b | If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions | 5b | | |
| | Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐ | | | |
| c | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No | | | |
| | If "Yes," attach the statement required by Regulations section 53.4945-5(d). | | | |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No | | | |
| b | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | ✓ |
| | If "Yes" to 6b, file Form 8870. | | | |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No | | | |
| b | If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | 7b | | |
| 8 | Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year? ☐ Yes ☑ No | | | |

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| 1) Linda Turley, 8627 Turning Leaf, Boerne, TX 78015 | Director, minimal hours | 0 | 0 | 0 |
| 2) John Mudd, 10003 Cristincoates Ct, Shreveport, LA | director&registered agent,minimal hrs | 0 | 0 | 0 |
| 3)Susan Holland,631 Pickett's Mill,Shreveport, LA 71115 | Director, minimal hours | 0 | 0 | 0 |
| 4)Carlene Mudd,2006 Pine Ridge Way,Benton, LA 71006 | Director, minimal hours | 0 | 0 | 0 |

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| 5) Christian Mudd, 2006 Pine Ridge Way, Benton, LA 71006 | Director, minimal hours | 0 | 0 | 0 |
| 6) Emilie Mudd, 2006 Pine Ridge Way, Benton, LA 71006 | Director, minimal hours | 0 | 0 | 0 |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services ▶ | | 0 |

## Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| 1 | |
| 2 | |
| 3 NOT APPLICABLE | |
| 4 | |

## Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|---|
| 1 NOT APPLICABLE | |
| 2 | |
| All other program-related investments See instructions | |
| 3 NOT APPLICABLE | |
| **Total.** Add lines 1 through 3 ▶ | |

Joyce S Mudd Foundation EIN # 72-1485643



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 2,892,321 |
| b | Average of monthly cash balances | 1b | 687 |
| c | Fair market value of all other assets (see instructions) | 1c | 0 |
| d | **Total** (add lines 1a, b, and c) | 1d | 2,893,008 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0 | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0 |
| 3 | Subtract line 2 from line 1d | 3 | 2,893,008 |
| 4 | Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions) | 4 | 43,395 |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 2,849,613 |
| 6 | ***Minimum investment return.*** Enter 5% of line 5 | 6 | 142,480 |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 142,480 |
| 2a | Tax on investment income for 2019 from Part VI, line 5 | 2a | 1,709 | | |
| b | Income tax for 2019. (This does not include the tax from Part VI.) | 2b | 0 | | |
| c | Add lines 2a and 2b | | | 2c | 1,709 |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | | 3 | 140,771 |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | 0 |
| 5 | Add lines 3 and 4 | | | 5 | 140,771 |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | 0 |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | | 7 | 140,771 |

**Part XII Qualifying Distributions** (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26 | 1a | 135,000 |
| b | Program-related investments—total from Part IX-B | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | 0 |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | 0 |
| b | Cash distribution test (attach the required schedule) | 3b | 0 |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 135,000 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions | 5 | 0 |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 135,000 |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

| | | (a) Corpus | (b) Years prior to 2018 | (c) 2018 | (d) 2019 |
|---|---|---|---|---|---|
| 1 | Distributable amount for 2019 from Part XI, line 7 | | | | 140,771 |
| 2 | Undistributed income, if any, as of the end of 2019: | | | | |
| a | Enter amount for 2018 only | | | 134,927.46 | |
| b | Total for prior years: 20 ___, 20 ___, 20 ___ | | 0 | | |
| 3 | Excess distributions carryover, if any, to 2019: | | | | |
| a | From 2014 | | | | |
| b | From 2015 | | | | |
| c | From 2016 | | | | |
| d | From 2017 | | | | |
| e | From 2018 | | | | |
| f | **Total** of lines 3a through e | 0 | | | |
| 4 | Qualifying distributions for 2019 from Part XII, line 4: ▶ $ 135,000 | | | | |
| a | Applied to 2018, but not more than line 2a | | | 134,927.46 | |
| b | Applied to undistributed income of prior years (Election required—see instructions) | | 0 | | |
| c | Treated as distributions out of corpus (Election required—see instructions) | 0 | | | |
| d | Applied to 2019 distributable amount | | | | 72.54 |
| e | Remaining amount distributed out of corpus | 0 | | | |
| 5 | Excess distributions carryover applied to 2019 (*If an amount appears in column (d), the same amount must be shown in column (a).*) | 0 | | | 0 |
| 6 | **Enter the net total of each column as indicated below:** | | | | |
| a | Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | 0 | | | |
| b | Prior years' undistributed income. Subtract line 4b from line 2b | | 0 | | |
| c | Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0 | | |
| d | Subtract line 6c from line 6b. Taxable amount—see instructions | | 0 | | |
| e | Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions | | | 0 | |
| f | Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 | | | | 140,698.46 |
| 7 | Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions) | 0 | | | |
| 8 | Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) | 0 | | | |
| 9 | **Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a | 0 | | | |
| 10 | Analysis of line 9: | | | | |
| a | Excess from 2015 . . . . 0 | | | | |
| b | Excess from 2016 . . . . 0 | | | | |
| c | Excess from 2017 . . . . 0 | | | | |
| d | Excess from 2018 . . . . 0 | | | | |
| e | Excess from 2019 . . . . 0 | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling . . . . . . ▶ **PART XIV NOT APPLICABLE**

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2019 | (b) 2018 | (c) 2017 | (d) 2016 | (e) Total |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4, for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon. | | | | | |
| a "Assets" alternative test—enter: (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed | | | | | |
| c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

**None**

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**None**

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

**Not applicable**

b The form in which applications should be submitted and information and materials they should include:

**Not applicable**

c Any submission deadlines:

**Not applicable**

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Not applicable**

**Part XV Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year**

## *Charitable Activities of The Joyce S. Mudd Foundation*

June 1, 2019 to May 31, 2020

| Recipient | Status of Recipient | Purpose of Contribution | Amount Distributed |
|---|---|---|---|
| **Centenary College**<br>2911 Centenary Blvd, Shreveport, LA 71104<br>*cash donation* | education | to provide the equipment & software that is required for remote learning | $50,000 00 |
| **Children's Miracle Network UK**<br>800 Rose Street, Lexington, KY 40536<br>*cash donation* | 501(c)(3) | to fund the Pediatric High BMI Clinic | $5,000.00 |
| **Crossroads Christian Church**<br>311 Roosevelt Street, La Porte, IN 46350<br>*cash donation* | Religious | to fund the Share In Asia programs for the building of churches in India | $5,000 00 |
| **Cystic Fibrosis Foundation**<br>4550 Montgomery Ave, Bethesda, MD 20814<br>*cash donation* | 501(c)(3) corp | to fund therapeutic research | $20,000 00 |
| **Dream Hunt Foundation**<br>315 Deer Crossing, Stonewall, LA 71078<br>*cash donation* | 501(c)(3) corp | to fund Guided Fishing and Hunting trips for disabled and terminally ill children | $15,000 00 |
| **Lexington Humane Society**<br>1600 Old Frankfort Pike, Lexington, KY 40504<br>*cash donation* | 501(c)(3) corp | to fund the Spay'sTheWay program | $5,000 00 |
| **Making A New Way**<br>1732 Spencer Circle, Benton, LA 71006<br>*cash donation* | 501(c)(3) corp | helping children suffering from trauma with the help of horses & other farm animals | $5,000 00 |
| **Military Family Assistance Fund % LA Dept of Veterans Affairs**<br>P. O. Box 94095, Baton Rouge, LA 70804<br>*cash donation* | government agency | to help LA veterans who are experiencing financial hardships | $5,000 00 |
| **Ronald McDonald House Charities of the Bluegrass**<br>1300 Sports Center Drive, Lexington, KY 40502<br>*cash donation* | 501(c)(3) corp | to fund the mobile clinic that provides dental services for needy kids in eastern KY | $5,000 00 |
| **Special Olympics Louisiana**<br>46 Louis Prima Drive, Covington, LA 70433<br>*cash donation* | 501(c)(3) corp | to fund the Camp Shriver event in Baton Rouge, LA | $20,000.00 |
| **TOTAL** | | | **$135,000.00** |

**Part XVI-A Analysis of Income-Producing Activities**

| Enter gross amounts unless otherwise indicated. | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions.) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c The Joyce S Mudd Foundation has | | | | | |
| d INVESTMENT INCOME only | | | | | |
| e and has NO UNRELATED BUSINESS income | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | | | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | | | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | | | | |

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.) |
|---|---|
| | NOT APPLICABLE |

**Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations**

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | ✓ |
| | (2) Other assets | 1a(2) | | ✓ |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | ✓ |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | ✓ |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | ✓ |
| | (4) Reimbursement arrangements | 1b(4) | | ✓ |
| | (5) Loans or loan guarantees | 1b(5) | | ✓ |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | ✓ |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | ✓ |

**d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

| (a) Line no. | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

**b** If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

John A Model | 6/10/2020 | Director & registered agent

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below? See instructions. ☐ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| | | | | |
| Firm's name ▶ | | | Firm's EIN ▶ | |
| Firm's address ▶ | | | Phone no. | |

Form 990-PF (2019) Joyce S Mudd Foundation EIN # 72-1485643

## Part II Balance Sheet : ASSETS *(10b) INVESTMENTS (corporate stock)*

| Shares | Security | Method of Acquisition [] Date Acquired | Book Value May 31, 2020 | Ind Fair Market Value |
|---|---|---|---|---|
| 500 | **Amgen** | [P] 3/19/01 | $ 32,658 20 | $ 114,850 00 |
| 882 | Amgen | [D] 12/28/01 | $ 52,090 92 | $ 202,595 40 |
| 2155 7623 | Amgen | [D] 7/11/05 | $ 147,685 26 | $ 495,178 60 |
| 835 | Amgen | [D] 6/26/07 | $ 46,008 50 | $ 191,799 50 |
| 22 7919 | Amgen | 9/09/11 [r] | $ 1,242 36 | $ 5,235 30 |
| 21 3429 | Amgen | 12/09/11 [r] | $ 1,248 74 | $ 4,902 46 |
| 23 8566 | Amgen | 3/08/12 [r] | $ 1,613 21 | $ 5,479 86 |
| 36 8248 | Amgen | 6/10/19 [r] | $ 6,439 09 | $ 8,458 66 |
| 32 1924 | Amgen | 9/10/19 [r] | $ 6,492 49 | $ 7,394 59 |
| 27 917 | Amgen | 12/09/19 [r] | $ 6,539 17 | $ 6,412 53 |
| 36 3066 | Amgen | 3/09/20 [r] | $ 7,260 30 | $ 8,339 63 |
| 4573 9945 | | | | |
| 66 7758 | **ATT** | [P] Bell South merger | $ 1,359 20 | $ 2,060 70 |
| 27 6781 | ATT | 05/04/20 [r] | $ 814 72 | $ 854 15 |
| 94 4539 | | | | |
| 920 | **Biogen Idec Inc** | [D] 12/28/01 | $ 43,529 90 | $ 282,522 80 |
| 85 4423 | **Bristol Meyers** | [D] 2/21/06 | $ 1,972 01 | $ 5,102 61 |
| 2 9969 | Bristol Meyers | 8/04/08 [r] | $ 64 00 | $ 178 97 |
| 3 0928 | Bristol Meyers | 11/04/08 [r] | $ 64 93 | $ 184 70 |
| 2 9776 | Bristol Meyers | 2/03/09 [r] | $ 65 88 | $ 177 82 |
| 3 4336 | Bristol Meyers | 5/04/09 [r] | $ 66 81 | $ 205 05 |
| 3 094 | Bristol Meyers | 8/04/09 [r] | $ 67 87 | $ 184 77 |
| 3 1652 | Bristol Meyers | 11/03/09 [r] | $ 68 83 | $ 189 03 |
| 1 3715 | Bristol Meyers | 2/02/10 [r] | $ 33 34 | $ 81 91 |
| 1 3291 | Bristol Meyers | 5/04/10 [r] | $ 33 78 | $ 79 37 |
| 1 3324 | Bristol Meyers | 8/03/10 [r] | $ 34 21 | $ 79 57 |
| 1 2834 | Bristol Meyers | 11/02/10 [r] | $ 34 64 | $ 76 64 |
| 1 4241 | Bristol Meyers | 2/02/11 [r] | $ 36 14 | $ 85 05 |
| 1 2763 | Bristol Meyers | 5/03/11 [r] | $ 36 61 | $ 76 22 |
| 1 2857 | Bristol Meyers | 8/02/11 [r] | $ 37 03 | $ 76 78 |
| 1 2013 | Bristol Meyers | 11/02/11 [r] | $ 37 46 | $ 71 74 |
| 1 2025 | Bristol Meyers | 2/02/12 [r] | $ 39 00 | $ 71 81 |
| 1 1748 | Bristol Meyers | 5/02/12 [r] | $ 39 41 | $ 70 16 |
| 1 1961 | Bristol Meyers | 8/02/12 [r] | $ 39 81 | $ 71 43 |
| 1 1949 | Bristol Meyers | 11/02/12 [r] | $ 40 22 | $ 71 36 |
| 1 1438 | Bristol Meyers | 2/02/13 [r] | $ 41 82 | $ 68 31 |
| 1 0591 | Bristol Meyers | 5/02/13 [r] | $ 42 22 | $ 63 25 |
| 0 9652 | Bristol Meyers | 8/02/13 [r] | $ 42 59 | $ 57 64 |
| 0 8087 | Bristol Meyers | 11/04/13 [r] | $ 42 92 | $ 48 30 |
| 0 9099 | Bristol Meyers | 2/04/14 [r] | $ 44 44 | $ 54 34 |
| 0 9013 | Bristol Meyers | 5/02/14 [r] | $ 44 77 | $ 53 83 |
| 0 969 | Bristol Meyers | 11/02/18 [r] | $ 50 11 | $ 57 87 |
| 1 0337 | Bristol Meyers | 2/04/19 [r] | $ 51 75 | $ 61 73 |
| 1 1101 | Bristol Meyers | 5/02/19 [r] | $ 52 18 | $ 66 30 |
| 1 1619 | Bristol Meyers | 8/02/19 [r] | $ 52 63 | $ 69 39 |
| 0 9313 | Bristol Meyers | 11/04/19 [r] | $ 53 11 | $ 55 62 |
| 0 9079 | Bristol Meyers | 2/04/20 [r] | $ 58 71 | $ 54 22 |
| 0 9864 | Bristol Meyers | 5/04/20 [r] | $ 59 12 | $ 58 91 |
| 132 3628 | | | | |
| 526 | **Berkshires** | [P] 2/16/10 | $ 42,148 38 | $ 97,815 08 |

| Shares | Security | Method of Acquisition [] Date Acquired | Book Value May 31, 2020 | Ind Fair Market Value |
|---|---|---|---|---|
| 500 | **Cisco** | [P] 03/19/01 | $ 10,330 26 | $ 23,910 00 |
| 3465 | Cisco | [D] 12/28/01 | $ 64,241 10 | $ 165,696 30 |
| 14 0274 | Cisco | 4/21/11 [r] | $ 237 90 | $ 670 79 |
| 14 8681 | Cisco | 7/28/11 [r] | $ 238 74 | $ 710 99 |
| 13 145 | Cisco | 10/27/11 [r] | $ 239 63 | $ 628 59 |
| 12 0694 | Cisco | 1/26/12 [r] | $ 240 42 | $ 577 16 |
| 16 5226 | Cisco | 4/26/12 [r] | $ 321 53 | $ 790 11 |
| 20 6713 | Cisco | 7/26/12 [r] | $ 322 85 | $ 988 50 |
| 32 8447 | Cisco | 10/25/12 [r] | $ 567 88 | $ 1,570 63 |
| 28 274 | Cisco | 12/20/12 [r] | $ 572 48 | $ 1,352 06 |
| 33 9514 | Cisco | 4/25/13 [r] | $ 699 96 | $ 1,623 56 |
| 27 6847 | Cisco | 7/25/13 [r] | $ 705 73 | $ 1,323 88 |
| 31 8741 | Cisco | 10/24/13 [r] | $ 710 44 | $ 1,524 22 |
| 31 6272 | Cisco | 1/23/14 [r] | $ 715 86 | $ 1,512 41 |
| 34 3117 | Cisco | 4/24/14 [r] | $ 806 09 | $ 1,640 79 |
| 100 | Cisco | [P] 05/22/14 | $ 2,444 45 | $ 4,782 00 |
| 100 | Cisco | [P] 09/14/18 | $ 4,731 95 | $ 4,782 00 |
| 32 6833 | Cisco | 10/25/18 [r] | $ 1,477 37 | $ 1,562 92 |
| 32 6781 | Cisco | 1/24/19 [r] | $ 1,488 15 | $ 1,562 67 |
| 28 287 | Cisco | 4/25/19 [r] | $ 1,589 78 | $ 1,352 68 |
| 28 0968 | Cisco | 7/25/19 [r] | $ 1,599 68 | $ 1,343 59 |
| 34 6123 | Cisco | 10/24/19 [r] | $ 1,609 52 | $ 1,655 16 |
| 33 095 | Cisco | 1/23/20 [r] | $ 1,621 63 | $ 1,582 60 |
| 39 7859 | Cisco | 4/23/20 [r] | $ 1,679 88 | $ 1,902 56 |
| 4706 11 | | | | |
| 41 505 | **Coca Cola** | [D] 06/21/04 | $ 532 34 | $ 1,937 45 |
| 303 3087 | **Conoco** | [D] 12/29/06 | $ 16,803 76 | $ 12,793 56 |
| 1 9001 | Conoco | 3/02/07 [r] | $ 95 75 | $ 80 15 |
| 1 5872 | Conoco | 6/04/07 [r] | $ 96 35 | $ 66 95 |
| 1 5246 | Conoco | 9/05/07 [r] | $ 96 85 | $ 64 31 |
| 1 5756 | Conoco | 12/04/07 [r] | $ 97 33 | $ 66 46 |
| 1 7602 | Conoco | 3/04/08 [r] | $ 112 15 | $ 74 25 |
| 1 5821 | Conoco | 6/03/08 [r] | $ 112 78 | $ 66 73 |
| 1 8593 | Conoco | 9/03/08 [r] | $ 113 35 | $ 78 43 |
| 2 9937 | Conoco | 12/02/08 [r] | $ 114 03 | $ 126 27 |
| 4 1941 | Conoco | 3/03/09 [r] | $ 115 11 | $ 176 91 |
| 3 1362 | Conoco | 6/02/09 [r] | $ 116 63 | $ 132 28 |
| 3 445 | Conoco | 9/02/09 [r] | $ 117 77 | $ 145 31 |
| 3 1622 | Conoco | 12/02/09 [r] | $ 126 61 | $ 133 38 |
| 3 3536 | Conoco | 3/02/10 [r] | $ 127 82 | $ 141 45 |
| 3 6266 | Conoco | 6/02/10 [r] | $ 142 03 | $ 152 97 |
| 3 4242 | Conoco | 9/02/10 [r] | $ 143 57 | $ 144 43 |
| 2 9804 | Conoco | 12/02/10 [r] | $ 145 02 | $ 125 71 |
| 2 9068 | Conoco | 3/02/11 [r] | $ 175 53 | $ 122 61 |
| 3 158 | Conoco | 6/02/11 [r] | $ 177 01 | $ 133 20 |
| 3 5003 | Conoco | 9/02/11 [r] | $ 178 62 | $ 147 64 |
| 3 2463 | Conoco | 12/02/11 [r] | $ 180 40 | $ 136 93 |
| 3 0371 | Conoco | 3/02/12 [r] | $ 182 05 | $ 128 10 |
| 4 6598 | Conoco | 6/04/12 [r] | $ 238 43 | $ 196 55 |
| 4 3421 | Conoco | 9/05/12 [r] | $ 241 51 | $ 183 15 |
| 4 2886 | Conoco | 12/04/12 [r] | $ 244 37 | $ 180 89 |
| 4 2657 | Conoco | 3/04/13 [r] | $ 247 20 | $ 179 93 |
| 4 0357 | Conoco | 6/04/13 [r] | $ 250 02 | $ 170 23 |
| 3 9221 | Conoco | 9/04/13 [r] | $ 264 17 | $ 165 43 |
| 3 6535 | Conoco | 12/03/13 [r] | $ 266 88 | $ 154 10 |
| 4 0392 | Conoco | 3/04/14 [r] | $ 269 40 | $ 170 37 |

| | | | | | | |
|---|---|---|---|---|---|---|
| 100 | Conoco | [P] 09/14/18 | $ | 7,245 95 | $ | 4,218 00 |
| 2 1692 | Conoco | 12/04/18 [r] | $ | 150 81 | $ | 91 50 |
| 2 1673 | Conoco | 3/04/19 [r] | $ | 151 47 | $ | 91 42 |
| 2 5551 | Conoco | 6/04/19 [r] | $ | 152 14 | $ | 107 77 |
| 2 8911 | Conoco | 9/04/19 [r] | $ | 152 91 | $ | 121 95 |
| 3 5977 | Conoco | 12/03/19 [r] | $ | 211 79 | $ | 151 75 |
| 4 3058 | Conoco | 3/03/20 [r] | $ | 213 30 | $ | 181 62 |
| 512 1552 | | | | | | |
| | | | | | | |
| 180 | **PHILLIPS 66** | [spin] 5/01/12 | $ | 5,845 87 | $ | 14,086 80 |
| 0 8565 | Phillips 66 | 6/04/13 [r] | $ | 56 25 | $ | 67 03 |
| 0 9702 | Phillips 66 | 9/04/13 [r] | $ | 56 52 | $ | 75 93 |
| 0 9982 | Phillips 66 | 12/04/13 [r] | $ | 70 91 | $ | 78 12 |
| 0 9454 | Phillips 66 | 3/04/14 [r] | $ | 71 30 | $ | 73 99 |
| 1 58 | Phillips 66 | 12/04/18 [r] | $ | 147 02 | $ | 123 65 |
| 1 5243 | Phillips 66 | 3/04/19 [r] | $ | 148 28 | $ | 119 29 |
| 2 013 | Phillips 66 | 6/04/19 [r] | $ | 168 19 | $ | 157 54 |
| 1 6954 | Phillips 66 | 9/04/19 [r] | $ | 170 00 | $ | 132 68 |
| 1 5158 | Phillips 66 | 12/03/19 [r] | $ | 171 52 | $ | 118 63 |
| 2 281 | Phillips 66 | 3/03/20 [r] | $ | 172 89 | $ | 178 51 |
| 194 3798 | | | | | | |
| | | | | | | |
| 600 | **CSX** | [D] 12/29/06 | $ | 6,886 00 | $ | 42,948 00 |
| 1200 | CSX | 6/16/11 [split] | $ | 13,772 00 | $ | 85,896 00 |
| 1 8783 | CSX | 3/16/07 [r] | $ | 24 00 | $ | 134 45 |
| 1 6117 | CSX | 6/18/07 [r] | $ | 24 08 | $ | 115 37 |
| 2 3175 | CSX | 9/17/07 [r] | $ | 30 17 | $ | 165 89 |
| 2 1395 | CSX | 12/17/07 [r] | $ | 30 29 | $ | 153 15 |
| 1 86 | CSX | 3/17/08 [r] | $ | 30 40 | $ | 133 14 |
| 1 6889 | CSX | 6/16/08 [r] | $ | 36 59 | $ | 120 89 |
| 2 3506 | CSX | 9/16/08 [r] | $ | 44 84 | $ | 168 26 |
| 4 2392 | CSX | 12/16/08 [r] | $ | 45 02 | $ | 303 44 |
| 5 4685 | CSX | 3/16/09 [r] | $ | 45 33 | $ | 391 44 |
| 3 8981 | CSX | 6/16/09 [r] | $ | 45 73 | $ | 279 03 |
| 2 9834 | CSX | 9/16/09 [r] | $ | 46 01 | $ | 213 55 |
| 2 7981 | CSX | 12/16/09 [r] | $ | 46 23 | $ | 200 29 |
| 2 9563 | CSX | 3/16/10 [r] | $ | 50 60 | $ | 211 61 |
| 2 8334 | CSX | 6/16/10 [r] | $ | 50 90 | $ | 202 81 |
| 2 7967 | CSX | 9/16/10 [r] | $ | 51 12 | $ | 200 19 |
| 2 6461 | CSX | 12/16/10 [r] | $ | 55 62 | $ | 189 41 |
| 2 2402 | CSX | 3/16/11 [r] | $ | 55 86 | $ | 160 35 |
| 93 | CSX | 6/16/11 [s] | $ | 1,425 75 | $ | 6,656 94 |
| | sold .413 sh of CSX | sold 6/17/11 @ 10 18 | | | | |
| | | cost basis 6/16/08 @ 8 94 | | | | |
| 9 4986 | CSX | 6/16/11 [r] | $ | 232 81 | $ | 679 91 |
| 11 0853 | CSX | 9/16/11 [r] | $ | 233 90 | $ | 792 05 |
| 11 5366 | CSX | 12/16/11 [r] | $ | 235 23 | $ | 825 79 |
| 10 7165 | CSX | 3/16/12 [r] | $ | 236 62 | $ | 767 09 |
| 12 472 | CSX | 6/18/12 [r] | $ | 277 55 | $ | 892 75 |
| 12 0928 | CSX | 9/17/12 [r] | $ | 279 30 | $ | 865 60 |
| 14 0708 | CSX | 12/17/12 [r] | $ | 280 99 | $ | 1,007 19 |
| 11 8471 | CSX | 3/18/13 [r] | $ | 282 96 | $ | 848 02 |
| 12 2768 | CSX | 6/17/13 [r] | $ | 304.95 | $ | 878 77 |
| 11 599 | CSX | 9/16/13 [r] | $ | 306 79 | $ | 830 26 |
| 11 0586 | CSX | 12/16/13 [r] | $ | 308 53 | $ | 791 57 |
| 10 772 | CSX | 3/17/14 [r] | $ | 310 19 | $ | 771 08 |
| 6 2179 | CSX | 9/17/18 [r] | $ | 457 32 | $ | 445 08 |
| 7 1191 | CSX | 12/17/18 [r] | $ | 458 68 | $ | 509 59 |

| | | | | | | |
|---|---|---|---|---|---|---|
| 6 8372 | CSX | 3/18/19 [r] | $ | 502 09 | $ | 489 41 |
| 6 5296 | CSX | 6/17/19 [r] | $ | 503 73 | $ | 467 39 |
| 6 9913 | CSX | 9/16/19 [r] | $ | 505 30 | $ | 500 44 |
| 6 9235 | CSX | 12/16/19 [r] | $ | 506 98 | $ | 495 58 |
| 11 0049 | CSX | 3/16/20 [r] | $ | 551 03 | $ | 787 73 |
| 2130 3361 | | | | | | |
| | | | | | | |
| 200 | **Disney** | [D] 6/21/04 | $ | 5,000 00 | $ | 23,460 00 |
| 800 | Disney | [P] 2/04/05 | $ | 22,955 95 | $ | 93,840 00 |
| 10 8648 | Disney | 1/09/06 [r] | $ | 270 00 | $ | 1,274 44 |
| 8 9198 | Disney | 1/16/07 [r] | $ | 313 37 | $ | 1,046 29 |
| 11 753 | Disney | 1/14/08 [r] | $ | 356 92 | $ | 1,378 63 |
| 17 1089 | Disney | 1/21/09 [r] | $ | 361 04 | $ | 2,006 87 |
| 11 7884 | Disney | 1/20/10 [r] | $ | 367 03 | $ | 1,382 78 |
| 10 7987 | Disney | 1/19/11 [r] | $ | 424 17 | $ | 1,266 69 |
| 16 4184 | Disney | 1/19/12 [r] | $ | 642 74 | $ | 1,925 88 |
| 16 5287 | Disney | 12/31/12 [r] | $ | 815 74 | $ | 1,938 82 |
| 12 7719 | Disney | 1/17/14 [r] | $ | 949 60 | $ | 1,498 14 |
| 8 7442 | Disney | 1/11/19 [r] | $ | 982 92 | $ | 1,025 69 |
| 6 8519 | Disney | 7/26/19 [r] | $ | 990 61 | $ | 803 73 |
| 6 8709 | Disney | 1/17/20 [r] | $ | 998 64 | $ | 805 96 |
| 1139 4196 | | | | | | |
| | | | | | | |
| 1000 | **Emcor** | [P] 3/19/01 | $ | 7,692 13 | $ | 63,550 00 |
| 500 | Emcor | [D] 6/21/04 | $ | 5,563 75 | $ | 31,775 00 |
| 1500 | Emcor | 2/13/06 [split] | $ | 13,255 88 | $ | 95,325 00 |
| 3000 | Emcor | 7/10/07 [s] | $ | 26,511 75 | $ | 190,650 00 |
| 13 1435 | Emcor | 10/26/11 [r] | $ | 300 00 | $ | 835 27 |
| 10 3416 | Emcor | 2/01/12 [r] | $ | 300 66 | $ | 657 21 |
| 10 2823 | Emcor | 5/01/12 [r] | $ | 301 17 | $ | 653 44 |
| 11 4912 | Emcor | 7/31/12 [r] | $ | 301 69 | $ | 730 27 |
| 9 3666 | Emcor | 10/31/12 [r] | $ | 302 26 | $ | 595 25 |
| 10 6319 | Emcor | 12/31/12 [r] | $ | 363 28 | $ | 675 66 |
| 9 9462 | Emcor | 5/01/13 [r] | $ | 363 92 | $ | 632 08 |
| 8 7686 | Emcor | 7/29/13 [r] | $ | 364 51 | $ | 557 24 |
| 9 7974 | Emcor | 10/31/13 [r] | $ | 365 04 | $ | 622 62 |
| 11 5139 | Emcor | 2/03/14 [r] | $ | 487 50 | $ | 731 71 |
| 10 7466 | Emcor | 5/01/14 [r] | $ | 488 42 | $ | 682 95 |
| 6 7422 | Emcor | 10/31/18 [r] | $ | 489 28 | $ | 428 47 |
| 7 5204 | Emcor | 1/31/19 [r] | $ | 489 82 | $ | 477 92 |
| 5 8209 | Emcor | 5/01/19 [r] | $ | 490 42 | $ | 369 92 |
| 5 7681 | Emcor | 7/31/19 [r] | $ | 490 89 | $ | 366 56 |
| 5 4229 | Emcor | 10/31/19 [r] | $ | 491 35 | $ | 344 63 |
| 5 8931 | Emcor | 2/03/20 [r] | $ | 491 78 | $ | 374 51 |
| 8 4022 | Emcor | 5/01/20 [r] | $ | 492 26 | $ | 533 96 |
| 6161 5996 | | | | | | |
| | | | | | | |
| 300 | **Exxon** | [P] 2/04/05 | $ | 15,796 95 | $ | 13,641 00 |
| 1 3261 | Exxon | 3/11/05 [r] | $ | 81 00 | $ | 60 30 |
| 1 5085 | Exxon | 6/13/05 [r] | $ | 87 38 | $ | 68 59 |
| 1 3969 | Exxon | 9/13/05 [r] | $ | 87 82 | $ | 63 52 |
| 1 5026 | Exxon | 12/12/05 [r] | $ | 88 23 | $ | 68 32 |
| 1 6387 | Exxon | 3/13/06 [r] | $ | 97.83 | $ | 74 51 |
| 1 6601 | Exxon | 6/12/06 [r] | $ | 98 36 | $ | 75 48 |
| 1 5217 | Exxon | 9/12/06 [r] | $ | 98 89 | $ | 69 19 |
| 1 3089 | Exxon | 12/12/06 [r] | $ | 99 38 | $ | 59 52 |

## Part II Balance Sheet : ASSETS (10b) INVESTMENTS (corporate stock)

| | | | | |
|---|---|---|---|---|
| 1 4075 | Exxon | 3/12/07 [r] | $ 99 80 | $ 64 00 |
| 1 3289 | Exxon | 6/12/07 [r] | $ 109 64 | $ 60 43 |
| 1 2929 | Exxon | 9/11/07 [r] | $ 110 11 | $ 58 79 |
| 1 1953 | Exxon | 12/11/07 [r] | $ 110 56 | $ 54 35 |
| 1 3091 | Exxon | 3/11/08 [r] | $ 110 98 | $ 59 52 |
| 1 4362 | Exxon | 6/11/08 [r] | $ 127 36 | $ 65 30 |
| 1 7185 | Exxon | 9/11/08 [r] | $ 127 93 | $ 78 14 |
| 1 5903 | Exxon | 12/11/08 [r] | $ 128 62 | $ 72 31 |
| 1 9101 | Exxon | 3/11/09 [r] | $ 129 26 | $ 86 85 |
| 1 8347 | Exxon | 6/11/09 [r] | $ 136 52 | $ 83 42 |
| 1 9404 | Exxon | 9/11/09 [r] | $ 137 29 | $ 88 23 |
| 1 8979 | Exxon | 12/11/09 [r] | $ 138 11 | $ 86 30 |
| 2 0665 | Exxon | 3/11/10 [r] | $ 138 90 | $ 93 96 |
| 2 3847 | Exxon | 6/11/10 [r] | $ 146 43 | $ 108 43 |
| 2 4278 | Exxon | 9/13/10 [r] | $ 147 48 | $ 110 39 |
| 2 0532 | Exxon | 12/14/10 [r] | $ 148 55 | $ 93 36 |
| 1 8225 | Exxon | 3/11/11 [r] | $ 149 45 | $ 82 87 |
| 1 994 | Exxon | 6/13/11 [r] | $ 160 50 | $ 90 67 |
| 2 2739 | Exxon | 9/12/11 [r] | $ 161 43 | $ 103 39 |
| 2 0188 | Exxon | 12/12/11 [r] | $ 162 50 | $ 91 79 |
| 1 9279 | Exxon | 3/12/12 [r] | $ 163 45 | $ 87 66 |
| 2 455 | Exxon | 6/12/12 [r] | $ 199 33 | $ 111 63 |
| 2 2335 | Exxon | 9/11/12 [r] | $ 200 73 | $ 101 56 |
| 2 2898 | Exxon | 12/11/12 [r] | $ 202 00 | $ 103 21 |
| 2 2633 | Exxon | 3/12/13 [r] | $ 203 29 | $ 102 91 |
| 2 4886 | Exxon | 6/11/13 [r] | $ 228 12 | $ 113 16 |
| 2 581 | Exxon | 9/11/13 [r] | $ 227 69 | $ 117 36 |
| 2 4055 | Exxon | 12/11/13 [r] | $ 229 31 | $ 109 38 |
| 2 4296 | Exxon | 3/12/14 [r] | $ 230 83 | $ 110 47 |
| 4 9191 | Exxon | 12/11/18 [r] | $ 302 27 | $ 223 67 |
| 3 8035 | Exxon | 3/12/19 [r] | $ 305 65 | $ 172 95 |
| 4 3374 | Exxon | 6/11/19 [r] | $ 327 59 | $ 197 22 |
| 4 5705 | Exxon | 9/11/19 [r] | $ 332 24 | $ 207 82 |
| 4 8297 | Exxon | 12/11/19 [r] | $ 336 21 | $ 219 61 |
| 7 9981 | Exxon | 3/11/20 [r] | $ 340 41 | $ 363 67 |
| 399 2792 | | | | |
| 500 Gentex | | [D] 6/21/04 | $ 4,578 75 | $ 13,220 00 |
| 500 | Gentex | 5/09/05 [split] | $ 4,578 75 | $ 13,220 00 |
| 2 8628 | Gentex | 10/24/05 [r] | $ 26 53 | $ 75 69 |
| 6 094 | Gentex | 4/24/06 [r] | $ 45 43 | $ 161 13 |
| 6 7854 | Gentex | 7/23/06 [r] | $ 45 70 | $ 179 41 |
| 6 6987 | Gentex | 10/24/06 [r] | $ 48 56 | $ 177 11 |
| 6 1415 | Gentex | 1/22/07 [r] | $ 48 88 | $ 162.38 |
| 7 5842 | Gentex | 1/22/08 [r] | $ 55 13 | $ 200 53 |
| 6 7803 | Gentex | 4/21/08 [r] | $ 55 53 | $ 179 27 |
| 7 228 | Gentex | 7/21/08 [r] | $ 55 88 | $ 191 11 |
| 10 2988 | Gentex | 10/20/08 [r] | $ 58 94 | $ 272 30 |
| 13 8922 | Gentex | 1/21/09 [r] | $ 59 51 | $ 367 31 |
| 10 3122 | Gentex | 4/20/09 [r] | $ 60 28 | $ 272 65 |
| 9 8237 | Gentex | 7/20/09 [r] | $ 60 84 | $ 259 74 |
| 8 4793 | Gentex | 10/19/09 [r] | $ 61 38 | $ 224 19 |
| 6 7685 | Gentex | 1/21/10 [r] | $ 61 85 | $ 178 96 |
| 9 0569 | Gentex | 10/22/12 [r] | $ 77 12 | $ 239 46 |
| 1236 | Gentex | 1/02/15 [s] | $ 11,378 82 | $ 32,679 84 |
| 2354 8065 | | | | |

| | | | | |
|---|---|---|---|---|
| 1537 Intel | | [D] 6/21/04 | $ 42,282 87 | $ 96,723 41 |
| 4 8653 | Intel | 9/09/05 [r] | $ 122 96 | $ 306 17 |
| 4 5298 | Intel | 12/02/05 [r] | $ 123 35 | $ 285 06 |
| 7 4519 | Intel | 3/02/06 [r] | $ 154 64 | $ 468 95 |
| 8 6322 | Intel | 6/30/06 [r] | $ 155 38 | $ 543 22 |
| 7 9104 | Intel | 9/05/06 [r] | $ 156 25 | $ 497 80 |
| 7 4829 | Intel | 12/04/06 [r] | $ 157 04 | $ 470 90 |
| 9 1278 | Intel | 3/02/07 [r] | $ 177 51 | $ 574 41 |
| 7 9592 | Intel | 6/04/07 [r] | $ 178 54 | $ 500 87 |
| 6 917 | Intel | 9/05/07 [r] | $ 179 43 | $ 435 29 |
| 6 8301 | Intel | 12/04/07 [r] | $ 180 21 | $ 429 82 |
| 10 3503 | Intel | 3/04/08 [r] | $ 205 11 | $ 651 34 |
| 9 6188 | Intel | 6/03/08 [r] | $ 226 67 | $ 605 31 |
| 10 2287 | Intel | 9/03/08 [r] | $ 228 01 | $ 643 69 |
| 17 9737 | Intel | 12/03/08 [r] | $ 229 45 | $ 1,131 08 |
| 18 6309 | Intel | 3/03/09 [r] | $ 231 96 | $ 1,172 44 |
| 14 2367 | Intel | 6/02/09 [r] | $ 234 57 | $ 895 92 |
| 11 9918 | Intel | 9/02/09 [r] | $ 236 56 | $ 754 64 |
| 12 0561 | Intel | 12/02/09 [r] | $ 238 24 | $ 758 69 |
| 12 9079 | Intel | 3/02/10 [r] | $ 269 92 | $ 812 29 |
| 12 7757 | Intel | 6/02/10 [r] | $ 271 96 | $ 803 97 |
| 14 9823 | Intel | 9/02/10 [r] | $ 273 97 | $ 942 84 |
| 12 7319 | Intel | 12/02/10 [r] | $ 276 33 | $ 801 22 |
| 14 8347 | Intel | 3/02/11 [r] | $ 320 22 | $ 933 55 |
| 14 5569 | Intel | 6/02/11 [r] | $ 322 90 | $ 916 07 |
| 18 9648 | Intel | 9/02/11 [r] | $ 377 28 | $ 1,193 45 |
| 15 2305 | Intel | 12/02/11 [r] | $ 381 27 | $ 958 46 |
| 14 2812 | Intel | 3/02/12 [r] | $ 384 46 | $ 898 72 |
| 15 3868 | Intel | 6/04/12 [r] | $ 387 46 | $ 968 29 |
| 17 1104 | Intel | 9/05/12 [r] | $ 418 60 | $ 1,076 76 |
| 21 2318 | Intel | 12/04/12 [r] | $ 422 45 | $ 1,336 12 |
| 20 2864 | Intel | 3/04/13 [r] | $ 427 23 | $ 1,276 62 |
| 16 9186 | Intel | 6/04/13 [r] | $ 431 79 | $ 1,064 69 |
| 19 3256 | Intel | 9/04/13 [r] | $ 435 60 | $ 1,216 16 |
| 18 6301 | Intel | 12/04/13 [r] | $ 439 95 | $ 1,172 39 |
| 17 9436 | Intel | 3/04/14 [r] | $ 444 14 | $ 1,129 19 |
| 12 1312 | Intel | 12/04/18 [r] | $ 597 57 | $ 763 42 |
| 11 7366 | Intel | 3/04/19 [r] | $ 631 27 | $ 738 58 |
| 14 404 | Intel | 6/04/19 [r] | $ 634 96 | $ 906 44 |
| 13 2273 | Intel | 9/04/19 [r] | $ 639 50 | $ 832 39 |
| 11 4115 | Intel | 12/03/19 [r] | $ 643 67 | $ 718 13 |
| 11 6068 | Intel | 3/03/20 [r] | $ 678 09 | $ 730 42 |
| 2066 4102 | | | | |
| 100 ITT | | [P] 5/12/06 | $ 2,178 22 | $ 5,770 00 |
| 0 2248 | ITT | 7/05/06 [r] | $ 4 21 | $ 12 97 |
| 0 2177 | ITT | 10/03/06 [r] | $ 4 22 | $ 12 56 |
| 0 1959 | ITT | 1/03/07 [r] | $ 4 23 | $ 11 30 |
| 0 22955 | ITT | 4/03/07 [r] | $ 5 39 | $ 13 25 |
| 0 20315 | ITT | 7/03/07 [r] | $ 5 40 | $ 11 72 |
| 0 2033 | ITT | 10/02/07 [r] | $ 5 41 | $ 11 73 |
| 0 21985 | ITT | 1/03/08 [r] | $ 5 43 | $ 12 69 |
| 0 3303 | ITT | 4/02/08 [r] | $ 6 80 | $ 19 06 |
| 0 2838 | ITT | 7/02/08 [r] | $ 6 82 | $ 16 38 |
| 0 3359 | ITT | 7/02/08 [r] | $ 6 84 | $ 19 38 |
| 0 36175 | ITT | 1/05/09 [r] | $ 6 86 | $ 20 87 |
| 0 0871 | ITT | 4/02/09 [r] | $ 8 36 | $ 5 03 |
| 0 50515 | ITT | 7/02/09 [r] | $ 8 41 | $ 29 15 |

| | | | | |
|---|---|---|---|---|
| 0 4489 | ITT | 10/02/09 [r] | $ 8 45 | $ 25 90 |
| 0 45045 | ITT | 1/05/10 [r] | $ 8 49 | $ 25 99 |
| 0 48205 | ITT | 4/05/10 [r] | $ 10 03 | $ 27 81 |
| 0 5859 | ITT | 7/02/10 [r] | $ 10 07 | $ 33 81 |
| 0 5629 | ITT | 10/04/10 [r] | $ 10 13 | $ 32 48 |
| 0 50475 | ITT | 1/04/11 [r] | $ 10 18 | $ 29 12 |
| 0 441 | ITT | 4/04/11 [r] | $ 10 27 | $ 25 45 |
| 0 45135 | ITT | 7/05/11 [r] | $ 10 23 | $ 26 04 |
| 0 67445 | ITT | 10/04/11 [r] | $ 10 32 | $ 38 92 |
| 0 4965 | ITT | 1/04/12 [r] | $ 9 83 | $ 28 65 |
| 0 4286 | ITT | 4/03/12 [r] | $ 9 87 | $ 24 73 |
| 0 551 | ITT | 7/03/12 [r] | $ 9 91 | $ 31 79 |
| 0 498 | ITT | 10/02/12 [r] | $ 9 96 | $ 28 73 |
| 0 4135 | ITT | 01/02/13 [r] | $ 10 01 | $ 23 86 |
| 0 3917 | ITT | 4/02/13 [r] | $ 11 04 | $ 22 60 |
| 0 3672 | ITT | 7/01/13 [r] | $ 11 08 | $ 21 19 |
| 0 3076 | ITT | 10/02/13 [r] | $ 11 11 | $ 17 75 |
| 0 2586 | ITT | 1/02/14 [r] | $ 11 15 | $ 14 92 |
| 0 2788 | ITT | 4/02/14 [r] | $ 12 29 | $ 16 09 |
| 0 2488 | ITT | 10/02/18 [r] | $ 15 01 | $ 14 36 |
| 0 3158 | ITT | 12/31/18 [r] | $ 15 04 | $ 18 22 |
| 0 2783 | ITT | 4/02/19 [r] | $ 16 55 | $ 16 06 |
| 0 2535 | ITT | 7/02/19 [r] | $ 16 59 | $ 14 63 |
| 0 2879 | ITT | 10/01/19 [r] | $ 16 62 | $ 16 61 |
| 0 2235 | ITT | 12/31/19 [r] | $ 16 67 | $ 12 90 |
| 0 3808 | ITT | 4/07/20 [r] | $ 19 20 | $ 21 97 |
| 113 9801 | | | | |
| 216 *XYL* | | [ITT SPINOFF] 11/01/12 | $ 7,053 91 | $ 14,329 44 |
| 0 5567 | *XYL* | 09/21/18 [r] | $ 45 36 | $ 36 93 |
| 0 6911 | *XYL* | 12/10/18 [r] | $ 45 48 | $ 45 85 |
| 0 6758 | *XYL* | 3/15/19 [r] | $ 52 14 | $ 44 83 |
| 0 6354 | *XYL* | 6/28/19 [r] | $ 52 30 | $ 42 15 |
| 0 6573 | *XYL* | 9/27/19 [r] | $ 52 45 | $ 43 61 |
| 0 6951 | *XYL* | 12/06/19 [r] | $ 52 61 | $ 46 11 |
| 0.8831 | *XYL* | 3/27/20 [r] | $ 57 18 | $ 58 58 |
| 220 7945 | | | | |
| 123 **CIGNA** | | [Merger] 12/24/18 | $ - | $ 24,270 36 |
| 0 0295 | CIGNA | 4/11/19 [r] | $ 4 92 | $ 5 82 |
| 0 0279 | CIGNA | 4/13/20 [r] | $ 4 92 | $ 5 51 |
| 123 0574 | | | | |
| 31 9833 **Pfizer Inc** | | 3/04/09 [r] | $ 386 90 | $ 1,221 44 |
| 300 **Roche** | | [P] 5/12/06 | $ 6,009 99 | $ 13,005 00 |
| 300 | Roche | 10/09/09 [s] | $ 6,009 99 | $ 13,005 00 |
| 600 | Roche | 02/27/14 [s] | $ 12,019 98 | $ 26,010 00 |
| 1200 | | | | |
| 7 **Silverbow resources** | | [bankrupt] 5/10/16 | $ - | $ 26 46 |

| | | | | |
|---|---|---|---|---|
| 236 744 | *USAA Aggressive Growth* | [D] 3/14/06 | $ 7,587 65 | $ 9,765 69 |
| 0 579 | *USAA Aggressive Growth* | 12/27/07 [r] | $ 22 03 | $ 23 88 |
| 1 757 | *USAA Aggressive Growth* | 12/18/08 [r] | $ 38 38 | $ 72 48 |
| 1 053 | *USAA Aggressive Growth* | 12/21/09 [r] | $ 29 40 | $ 43 44 |
| 0 07 | *USAA Aggressive Growth* | 12/20/10 [r] | $ 2 30 | $ 2 89 |
| 25 212 | *USAA Aggressive Growth* | 12/07/12 [r] | $ 822 93 | $ 1,040 00 |
| 0 644 | *USAA Aggressive Growth* | 12/18/12 [r] | $ 21 34 | $ 26 57 |
| 19 454 | *USAA Aggressive Growth* | 12/06/13 [r] | $ 773 30 | $ 802 48 |
| 6 592 | *USAA Aggressive Growth* | 12/18/13 [r] | $ 253 66 | $ 271 92 |
| 36 293 | *USAA Aggressive Growth* | 12/16/14 [r] | $ 1,451 04 | $ 1,497 09 |
| 19 063 | *USAA Aggressive Growth* | 12/08/15 [r] | $ 766 32 | $ 786 35 |
| 2 946 | *USAA Aggressive Growth* | 12/15/15 [r] | $ 115 47 | $ 121 52 |
| 25 77 | *USAA Aggressive Growth* | 12/08/16 [r] | $ 963 15 | $ 1,063 01 |
| 34 788 | *USAA Aggressive Growth* | 12/10/17 [r] | $ 1,518 92 | $ 1,435 01 |
| 72 191 | *USAA Aggressive Growth* | 12/10/18 [r] | $ 2,823 46 | $ 2,977 88 |
| 99 083 | *USAA Aggressive Growth* | 12/10/19 [r] | $ 3,697 12 | $ 4,087 17 |
| 582 239 | | | | |
| 545 134 | *USAA Extended Market* | [D] 3/14/06 | $ 6,994 07 | $ 9,834 22 |
| 20 404 | *USAA Extended Market* | 12/13/06 [r] | $ 275 25 | $ 368 09 |
| 5 919 | *USAA Extended Market* | 12/27/06 [r] | $ 79 32 | $ 106 78 |
| 28 18 | *USAA Extended Market* | 12/13/07 [r] | $ 378 46 | $ 508 37 |
| 5 561 | *USAA Extended Market* | 12/27/07 [r] | $ 73 52 | $ 100 32 |
| 26 892 | *USAA Extended Market* | 12/11/08 [r] | $ 189 32 | $ 485 13 |
| 9 437 | *USAA Extended Market* | 12/29/08 [r] | $ 67 00 | $ 170 24 |
| 3 672 | *USAA Extended Market* | 12/29/09 [r] | $ 37 93 | $ 66 24 |
| 17 481 | *USAA Extended Market* | 12/09/10 [r] | $ 217 12 | $ 315 36 |
| 5 502 | *USAA Extended Market* | 12/29/10 [r] | $ 69 77 | $ 99 26 |
| 25 133 | *USAA Extended Market* | 12/08/11 [r] | $ 287 77 | $ 453 40 |
| 5 473 | *USAA Extended Market* | 12/28/11 [r] | $ 62 88 | $ 98 73 |
| 4 951 | *USAA Extended Market* | 12/07/12 [r] | $ 65 35 | $ 89 32 |
| 9 954 | *USAA Extended Market* | 12/28/12 [r] | $ 131 09 | $ 179 57 |
| 21 747 | *USAA Extended Market* | 12/06/13 [r] | $ 374 04 | $ 392 32 |
| 5 24 | *USAA Extended Market* | 12/27/13 [r] | $ 91 96 | $ 94 53 |
| 36 023 | *USAA Extended Market* | 12/27/14 [r] | $ 645 43 | $ 649 85 |
| 54 615 | *USAA Extended Market* | 12/09/15 [r] | $ 886 95 | $ 985 25 |
| 7 415 | *USAA Extended Market* | 12/29/15 [r] | $ 121 02 | $ 133 77 |
| 58 309 | *USAA Extended Market* | 12/09/16 [r] | $ 1,036 98 | $ 1,051 89 |
| 67 69 | *USAA Extended Market* | 12/11/17 [r] | $ 1,284 14 | $ 1,221 13 |
| 59 682 | *USAA Extended Market* | 12/11/18 [r] | $ 1,016 80 | $ 1,076 66 |
| 32 701 | *USAA Extended Market* | 12/11/19 [r] | $ 656 02 | $ 589 93 |
| 1057 115 | | | | |
| 375 466 | *USAA World Growth* | [D] 3/14/06 | $ 7,152 63 | $ 8,778 40 |
| 31 763 | *USAA World Growth* | 12/13/06 [r] | $ 643 52 | $ 742 62 |
| 8 524 | *USAA World Growth* | 12/27/06 [r] | $ 170 48 | $ 199 29 |
| 27 02 | *USAA World Growth* | 12/13/07 [r] | $ 560 12 | $ 631 73 |
| 3 879 | *USAA World Growth* | 12/27/07 [r] | $ 78 90 | $ 90 69 |
| 11 025 | *USAA World Growth* | 12/11/08 [r] | $ 136 49 | $ 257 76 |
| 7 901 | *USAA World Growth* | 12/29/08 [r] | $ 98 29 | $ 184 73 |
| 2 31 | *USAA World Growth* | 12/29/09 [r] | $ 39 16 | $ 54 01 |
| 1 165 | *USAA World Growth* | 3/29/10 [r] | $ 20 06 | $ 27 24 |
| 2 798 | *USAA World Growth* | 12/29/10 [r] | $ 52 54 | $ 65 42 |
| 4 439 | *USAA World Growth* | 12/28/11 [r] | $ 77 69 | $ 103 78 |
| 0 208 | *USAA World Growth* | 12/07/12 [r] | $ 4 46 | $ 4 86 |
| 4 369 | *USAA World Growth* | 12/27/12 [r] | $ 94 32 | $ 102 15 |
| 7 978 | *USAA World Growth* | 12/06/13 [r] | $ 209 66 | $ 186 53 |

## Part II Balance Sheet : ASSETS *(10b) INVESTMENTS (corporate stock)*

| | | | | | | |
|---|---|---|---|---|---|---|
| 3 375 | *USAA World Growth* | 12/27/13 [r] | $ | 90 76 | $ | 78 91 |
| 12 678 | *USAA World Growth* | 12/29/14 [r] | $ | 353 11 | $ | 296 41 |
| 10 614 | *USAA World Growth* | 12/08/15 [r] | $ | 282 98 | $ | 248 16 |
| 3 793 | *USAA World Growth* | 12/15/15 [r] | $ | 98 88 | $ | 88 68 |
| 14 747 | *USAA World Growth* | 12/08/16 [r] | $ | 404 78 | $ | 344 78 |
| 23 84 | *USAA World Growth* | 12/08/17 [r] | $ | 762 64 | $ | 557 38 |
| 38 836 | *USAA World Growth* | 12/11/18 [r] | $ | 1,097 28 | $ | 907 99 |
| 248 313 | *USAA World Growth* | 12/11/19 [r] | $ | 6,320 36 | $ | 5,805 56 |
| 845 041 | | | | | | |
| | | | | | | |
| 148 | **Zimmer Holdings** | [D] 2/25/04 | $ | 11,459 64 | $ | 18,698 32 |
| 9 | Zimmer | [D] 2/21/06 | $ | 628 20 | $ | 1,137 06 |
| 0 4497 | Zimmer | 4/30/12 [r] | $ | 28 26 | $ | 56 82 |
| 0 4819 | Zimmer | 7/30/12 [r] | $ | 28 34 | $ | 60 88 |
| 0 4444 | Zimmer | 10/31/12 [r] | $ | 28 43 | $ | 56 15 |
| 0 3791 | Zimmer | 1/28/13 [r] | $ | 28 51 | $ | 47 90 |
| 0 4196 | Zimmer | 4/29/13 [r] | $ | 31 75 | $ | 53 01 |
| 0 3818 | Zimmer | 7/29/13 [r] | $ | 31 83 | $ | 48 24 |
| 0 3665 | Zimmer | 10/28/13 [r] | $ | 31 91 | $ | 46 30 |
| 0 3466 | Zimmer | 2/03/14 [r] | $ | 31 98 | $ | 43 79 |
| 0 3552 | Zimmer | 4/29/14 [r] | $ | 35 26 | $ | 44 88 |
| 0 3349 | Zimmer | 11/01/18 [r] | $ | 38 55 | $ | 42 31 |
| 0 3308 | Zimmer | 2/01/19 [r] | $ | 38 63 | $ | 41 79 |
| 0 3187 | Zimmer | 5/01/19 [r] | $ | 38 71 | $ | 40 26 |
| 0 2825 | Zimmer | 8/01/19 [r] | $ | 38 79 | $ | 35 69 |
| 0 2792 | Zimmer | 11/01/19 [r] | $ | 38 85 | $ | 35 27 |
| 0 2597 | Zimmer | 2/03/20 [r] | $ | 38 92 | $ | 32 81 |
| 0 3325 | Zimmer | 2/03/20 [r] | $ | 38 98 | $ | 42 01 |
| 162 7631 | | | | | | |
| | | | | total book value | | total FMV |
| | | | $ | 864,589.74 | $ | 2,775,753.02 |

## Part IV Capital Gains and Losses for Tax on Investment Income

# Sale of 1,500 shares of ATT stock

| # of shares | | Date PURCHASED | SALES PRICE | COST | Individual Gain or (Loss) |
|---|---|---|---|---|---|
| 639 2242 | ATT | [P] Bell South merger | $ 18,077.26 | $ 13,008.21 | $ 5,069 05 |
| 6 6342 | ATT | 2/02/07 [r] | $ 187 62 | $ 250 63 | $ (63 01) |
| 6 4775 | ATT | 5/02/07 [r] | $ 183 18 | $ 252 99 | $ (69 81) |
| 200 | ATT | [P] 7/10/07 | $ 5,656 00 | $ 8,238 83 | $ (2,582 83) |
| 9 8919 | ATT | 8/02/07 [r] | $ 279.74 | $ 397 28 | $ (117.54) |
| 9.917 | ATT | 11/02/07 [r] | $ 280.45 | $ 400.80 | $ (120.35) |
| 11.917 | ATT | 2/04/08 [r] | $ 337 01 | $ 455 57 | $ (118.56) |
| 11.5239 | ATT | 5/02/08 [r] | $ 325 90 | $ 460.34 | $ (134 44) |
| 15 389 | ATT | 8/04/08 [r] | $ 435 20 | $ 464 94 | $ (29 74) |
| 16 2193 | ATT | 11/04/08 [r] | $ 458 68 | $ 471 10 | $ (12.42) |
| 19.3977 | ATT | 2/03/09 [r] | $ 548.57 | $ 489.53 | $ 59 04 |
| 18.8913 | ATT | 5/04/09 [r] | $ 534.25 | $ 497.48 | $ 36.77 |
| 19.1868 | ATT | 8/04/09 [r] | $ 542 60 | $ 505 23 | $ 37.37 |
| 20 1764 | ATT | 11/03/09 [r] | $ 570 59 | $ 513 09 | $ 57.50 |
| 20 9634 | ATT | 2/02/10 [r] | $ 592 84 | $ 534 08 | $ 58.76 |
| 20 8259 | ATT | 5/04/10 [r] | $ 588 02 | $ 542 89 | $ 45 13 |
| 20 585 | ATT | 8/03/10 [r] | $ 582 14 | $ 551 63 | $ 30 51 |
| 19.2907 | ATT | 11/01/10 [r] | $ 545 54 | $ 560 28 | $ (14 74) |
| 20.9166 | ATT | 2/02/11 [r] | $ 591 52 | $ 581.91 | $ 9.61 |
| 18 786 | ATT | 5/03/11 [r] | $ 531 27 | $ 590.91 | $ (59.64) |
| 20.4393 | ATT | 8/02/11 [r] | $ 578 02 | $ 598 99 | $ (20 97) |
| 20 795 | ATT | 11/02/11 [r] | $ 588 08 | $ 607.77 | $ (19.69) |
| 21 1185 | ATT | 2/02/12 [r] | $ 597.23 | $ 631.06 | $ (33.83) |
| 19 4129 | ATT | 5/02/12 [r] | $ 549 00 | $ 640 35 | $ (91.35) |
| 17.2951 | ATT | 8/02/12 [r] | $ 489 11 | $ 648 89 | $ (159.78) |
| 18.6024 | ATT | 11/02/12 [r] | $ 526 08 | $ 656 50 | $ (130 42) |
| 19 3584 | ATT | 2/04/13 [r] | $ 547.46 | $ 679.79 | $ (132 33) |
| 18 3291 | ATT | 5/02/13 [r] | $ 518 35 | $ 688 51 | $ (170 16) |
| 19.5611 | ATT | 8/02/13 [r] | $ 553 19 | $ 696.75 | $ (143 56) |
| 19.3669 | ATT | 11/04/13 [r] | $ 547.70 | $ 705.56 | $ (157 86) |
| 22.6142 | ATT | 2/04/14 [r] | $ 639.53 | $ 730.14 | $ (90 61) |
| 20.7586 | ATT | 5/02/14 [r] | $ 587 05 | $ 740.55 | $ (153 50) |
| 23 2136 | ATT | 11/02/18 [r] | $ 656 48 | $ 715 32 | $ (58 84) |
| 24.8688 | ATT | 2/04/19 [r] | $ 703 29 | $ 741 47 | $ (38.18) |
| 24 4069 | ATT | 5/02/19 [r] | $ 690.23 | $ 754.15 | $ (63 92) |
| 22.4107 | ATT | 8/02/19 [r] | $ 633.77 | $ 766.60 | $ (132.83) |
| 19 8273 | ATT | 11/04/19 [r] | $ 560.72 | $ 778.03 | $ (217.31) |
| 21 4074 | ATT | 02/04/20 [r] | $ 605 40 | $ 803 59 | $ (198 19) |
| 1,500. | | | $ 42,419.06 | | $ 67.32 |

**Part IV Capital Gains and Losses for Tax on Investment Income**

# Sale of 500 shares of COCA-COLA stock

| # of shares | | [D] = donated<br>[r] = purchased | | SALES PRICE | | COST | | Individual Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| 158 495 | **Coca Cola** | [D] 06/21/04 | $ | 7,498 40 | $ | 2,036 66 | $ | 5,461 74 |
| 1 2883 | Coca Cola | 10/04/05 [r] | $ | 60 95 | $ | 28 00 | $ | 32 95 |
| 1.3596 | Coca Cola | 12/16/05 [r] | $ | 64 32 | $ | 28.18 | $ | 36 14 |
| 1 5043 | Coca Cola | 4/04/06 [r] | $ | 71.17 | $ | 31.41 | $ | 39 76 |
| 1.4606 | Coca Cola | 7/05/06 [r] | $ | 69.10 | $ | 31 65 | $ | 37 45 |
| 1 4239 | Coca Cola | 10/05/06 [r] | $ | 67 36 | $ | 31 87 | $ | 35 49 |
| 1 3095 | Coca Cola | 12/18/06 [r] | $ | 61 95 | $ | 32 09 | $ | 29.86 |
| 1.4464 | Coca Cola | 4/03/07 [r] | $ | 68 43 | $ | 35.42 | $ | 33 01 |
| 1 3502 | Coca Cola | 7/03/07 [r] | $ | 63.88 | $ | 35.67 | $ | 28.21 |
| 1.2376 | Coca Cola | 10/02/07 [r] | $ | 58.55 | $ | 35 90 | $ | 22.65 |
| 1 1526 | Coca Cola | 12/18/07 [r] | $ | 54.53 | $ | 36 11 | $ | 18.42 |
| 1.3365 | Coca Cola | 4/02/08 [r] | $ | 63 23 | $ | 40 57 | $ | 22.66 |
| 1 5979 | Coca Cola | 7/02/08 [r] | $ | 75.60 | $ | 40 83 | $ | 34 77 |
| 1 5331 | Coca Cola | 10/02/08 [r] | $ | 72 53 | $ | 41.13 | $ | 31.40 |
| 1.8027 | Coca Cola | 12/16/08 [r] | $ | 85 29 | $ | 41.42 | $ | 43.87 |
| 1.9873 | Coca Cola | 4/02/09 [r] | $ | 94 02 | $ | 45.06 | $ | 48 96 |
| 1 8447 | Coca Cola | 7/02/09 [r] | $ | 87.27 | $ | 45 47 | $ | 41 80 |
| 1.7096 | Coca Cola | 10/02/09 [r] | $ | 80.88 | $ | 45.85 | $ | 35.03 |
| 1.5621 | Coca Cola | 12/16/09 [r] | $ | 73 90 | $ | 46.20 | $ | 27.70 |
| 1 8048 | Coca Cola | 4/05/10 [r] | $ | 85 39 | $ | 49.92 | $ | 35 47 |
| 1.998 | Coca Cola | 7/02/10 [r] | $ | 94 53 | $ | 50.32 | $ | 44 21 |
| 1 7207 | Coca Cola | 10/04/10 [r] | $ | 81 41 | $ | 50 76 | $ | 30 65 |
| 1.579 | Coca Cola | 12/16/10 [r] | $ | 74.70 | $ | 51.14 | $ | 23 56 |
| 1.6298 | Coca Cola | 4/04/11 [r] | $ | 77.11 | $ | 54 99 | $ | 22.12 |
| 1.618 | Coca Cola | 7/05/11 [r] | $ | 76.03 | $ | 55.38 | $ | 20.65 |
| 1 753 | Coca Cola | 10/04/11 [r] | $ | 82 93 | $ | 55 76 | $ | 27 17 |
| 1.663 | Coca Cola | 12/16/11 [r] | $ | 78 68 | $ | 56.17 | $ | 22.51 |
| 1.6599 | Coca Cola | 4/03/12 [r] | $ | 78.53 | $ | 61.37 | $ | 17 16 |
| 1.5653 | Coca Cola | 7/03/12 [r] | $ | 74.05 | $ | 61.80 | $ | 12 25 |
| 243. | Coca Cola | **8/13/12 [split]** | $ | 11,496.33 | $ | 6,358 37 | $ | 5,137 96 |
| 3 2295 | Coca Cola | 10/02/12 [r] | $ | 152 79 | $ | 124.16 | $ | 28 63 |
| 3 3424 | Coca Cola | 12/18/12 [r] | $ | 158.13 | $ | 124.98 | $ | 33 15 |
| 3.3966 | Coca Cola | 4/02/13 [r] | $ | 160 69 | $ | 138 17 | $ | 22.52 |
| 3 4187 | Coca Cola | 7/02/13 [r] | $ | 161 74 | $ | 139 12 | $ | 22.62 |
| 3 7404 | Coca Cola | 10/02/13 [r] | $ | 176 96 | $ | 140 08 | $ | 36 88 |
| 3 6198 | Coca Cola | 12/16/13 [r] | $ | 171 25 | $ | 141.13 | $ | 30.12 |
| 4.026 | Coca Cola | 4/02/14 [r] | $ | 190.47 | $ | 154 83 | $ | 35 64 |
| 4 3141 | Coca Cola | 10/02/18 [r] | $ | 204 10 | $ | 199 55 | $ | 4.55 |
| 4 0896 | Coca Cola | 12/17/18 [r] | $ | 193.48 | $ | 201.23 | $ | (7 75) |
| 4 4416 | Coca Cola | 4/02/19 [r] | $ | 210.13 | $ | 208 03 | $ | 2.10 |
| 4.039 | Coca Cola | 7/02/19 [r] | $ | 191.09 | $ | 209.81 | $ | (18 72) |
| 3.9272 | Coca Cola | 10/02/19 [r] | $ | 185 80 | $ | 211.42 | $ | (25 62) |
| 3 9113 | Coca Cola | 12/17/19 [r] | $ | 185 04 | $ | 212 99 | $ | (27 95) |
| 5 1104 | Coca Cola | 4/02/20 [r] | $ | 241 77 | $ | 219.92 | $ | 21.85 |
| 500. | | | $ | 23,654 48 | | | $ | 11,613.59 |

**Part IV Capital Gains and Losses for Tax on Investment Income**

# Sale of 200 shares of GENTEX stock

| # of shares | | Date PURCHASED | SALES PRICE | COST | Individual Gain or (Loss) |
|---|---|---|---|---|---|
| 1 9909 | Gentex | 10/24/05 [r] | $ 47 72 | $ 18.46 | $ 29.27 |
| 4 6338 | Gentex | 1/23/06 [r] | $ 111 07 | $ 45.22 | $ 65 85 |
| 5 1744 | Gentex | 4/23/07 [r] | $ 124 03 | $ 49 17 | $ 74.86 |
| 4 5157 | Gentex | 7/23/07 [r] | $ 108.24 | $ 49.42 | $ 58 82 |
| 5.2044 | Gentex | 10/22/07 [r] | $ 124.75 | $ 54 86 | $ 69 89 |
| 5.7363 | Gentex | 4/19/10 [r] | $ 137 50 | $ 62 22 | $ 75 28 |
| 6 6365 | Gentex | 7/19/10 [r] | $ 159.08 | $ 62 54 | $ 96 54 |
| 5.8675 | Gentex | 10/18/10 [r] | $ 140 64 | $ 62 90 | $ 77.74 |
| 4 1556 | Gentex | 1/24/11 [r] | $ 99 61 | $ 63 22 | $ 36 39 |
| 4 6034 | Gentex | 4/25/11 [r] | $ 110.34 | $ 69 22 | $ 41 12 |
| 4 4854 | Gentex | 7/25/11 [r] | $ 107.52 | $ 69.49 | $ 38 03 |
| 4 7159 | Gentex | 10/24/11 [r] | $ 113 04 | $ 69 77 | $ 43 27 |
| 4 4948 | Gentex | 1/23/12 [r] | $ 107.74 | $ 70.05 | $ 37 69 |
| 7 2624 | Gentex | 4/23/12 [r] | $ 174.08 | $ 76.18 | $ 97.90 |
| 7 1402 | Gentex | 7/23/12 [r] | $ 171.15 | $ 76.65 | $ 94.50 |
| 8.0947 | Gentex | 1/22/13 [r] | $ 194 03 | $ 77 70 | $ 116.33 |
| 8.176 | Gentex | 4/22/13 [r] | $ 195 98 | $ 84 24 | $ 111.74 |
| 7 2469 | Gentex | 7/22/13 [r] | $ 173.71 | $ 84 82 | $ 88 89 |
| 6 3728. | Gentex | 10/21/13 [r] | $ 152.76 | $ 85.33 | $ 67 43 |
| 5 0535 | Gentex | 1/21/14 [r] | $ 121 02 | $ 85 77 | $ 35.25 |
| 5.8377 | Gentex | 4/22/14 [r] | $ 139 93 | $ 86.12 | $ 53.81 |
| 14.4138 | Gentex | 10/18/18 [r] | $ 345.50 | $ 271 94 | $ 73 56 |
| 12 1358 | Gentex | 1/24/19 [r] | $ 290 90 | $ 273 53 | $ 17.37 |
| 12.4572 | Gentex | 4/25/19 [r] | $ 298 60 | $ 287 36 | $ 11 24 |
| 10.633 | Gentex | 7/25/19 [r] | $ 254 87 | $ 288 79 | $ (33 92) |
| 10.3273 | Gentex | 10/24/19 [r] | $ 247 55 | $ 290.01 | $ (42 46) |
| 9 5833 | Gentex | 1/23/20 [r] | $ 229.71 | $ 291.20 | $ (61.49) |
| 13.0508 | Gentex | 4/23/20 [r] | $ 312 83 | $ 305.01 | $ 7.82 |
| 200. | | | $ 4,793 89 | | $ 1,382 70 |

**Part IV Capital Gains and Losses for Tax on Investment Income**

# Sale of 1,900 shares of PFIZER stock

| # of shares | | [D] = donated<br>[r] = purchased | SALES PRICE | COST | Individual Gain or (Loss) |
|---|---|---|---|---|---|
| 1,000 | **Pfizer Inc** | [D] 2/25/04 | $ 37,954.00 | $ 37,250.00 | $ 704 00 |
| 246 | Pfizer Inc | [D] 6/21/04 | $ 9,336 68 | $ 8,710.86 | $ 625 82 |
| 9 0593 | Pfizer Inc | 9/07/05 [r] | $ 343 84 | $ 236 74 | $ 107 10 |
| 11 2854 | Pfizer Inc | 12/07/05 [r] | $ 428 33 | $ 238.46 | $ 189 87 |
| 11 6562 | Pfizer Inc | 3/08/06 [r] | $ 442.40 | $ 303 92 | $ 138 48 |
| 12.7329 | Pfizer Inc | 6/07/06 [r] | $ 483 26 | $ 306 72 | $ 176 54 |
| 11 0718 | Pfizer Inc | 9/06/06 [r] | $ 420.22 | $ 309 78 | $ 110 44 |
| 12 6001 | Pfizer Inc | 12/06/06 [r] | $ 478.22 | $ 312.43 | $ 165.79 |
| 15.0068 | Pfizer Inc | 3/07/07 [r] | $ 569.57 | $ 381 18 | $ 188 39 |
| 14.2365 | Pfizer Inc | 6/06/07 [r] | $ 540 33 | $ 385 53 | $ 154.80 |
| 15.7547 | Pfizer Inc | 9/06/07 [r] | $ 597 95 | $ 389.66 | $ 208.29 |
| 16.5501 | Pfizer Inc | 12/05/07 [r] | $ 628 14 | $ 394 23 | $ 233 91 |
| 19 718 | Pfizer Inc | 3/05/08 [r] | $ 748 38 | $ 440.31 | $ 308 07 |
| 23 4707 | Pfizer Inc | 6/04/08 [r] | $ 890.81 | $ 446 61 | $ 444.20 |
| 23.7232 | Pfizer Inc | 9/04/08 [r] | $ 900.39 | $ 454 13 | $ 446 26 |
| 28.3503 | Pfizer Inc | 12/03/08 [r] | $ 1,076 01 | $ 461.72 | $ 614 29 |
| 6.9406 | Pfizer Inc | 3/04/09 [r] | $ 263 42 | $ 83.91 | $ 179.51 |
| 16 4225 | Pfizer Inc | 6/03/09 [r] | $ 623 30 | $ 241 62 | $ 381.68 |
| 15.0795 | Pfizer Inc | 9/03/09 [r] | $ 572 33 | $ 244.25 | $ 328 08 |
| 13 0579 | Pfizer Inc | 12/02/09 [r] | $ 495.60 | $ 246 66 | $ 248.94 |
| 15.9528 | Pfizer Inc | 3/03/10 [r] | $ 605 47 | $ 279.85 | $ 325 62 |
| 18 8245 | Pfizer Inc | 6/03/10 [r] | $ 714.47 | $ 282 72 | $ 431.75 |
| 17.452 | Pfizer Inc | 9/02/10 [r] | $ 662 37 | $ 286 11 | $ 376 26 |
| 17.2386 | Pfizer Inc | 12/02/10 [r] | $ 654.27 | $ 289 25 | $ 365.02 |
| 16.9354 | Pfizer Inc | 3/02/11 [r] | $ 642 77 | $ 324.83 | $ 317 94 |
| 15 7971 | Pfizer Inc | 6/08/11 [r] | $ 599.56 | $ 328 22 | $ 271 34 |
| 17 5065 | Pfizer Inc | 9/07/11 [r] | $ 664 44 | $ 331 38 | $ 333 06 |
| 16 4253 | Pfizer Inc | 12/07/11 [r] | $ 623.41 | $ 334 88 | $ 288 53 |
| 17.2483 | Pfizer Inc | 3/07/12 [r] | $ 654.64 | $ 371.98 | $ 282.66 |
| 17.2604 | Pfizer Inc | 6/06/12 [r] | $ 664 91 | $ 375.78 | $ 289 13 |
| 15.6485 | Pfizer Inc | 9/06/12 [r] | $ 593.92 | $ 379 57 | $ 214.35 |
| 15.1141 | Pfizer Inc | 12/05/12 [r] | $ 573.64 | $ 383 02 | $ 190 62 |
| 14.9515 | Pfizer Inc | 3/06/13 [r] | $ 567 47 | $ 421.46 | $ 146 01 |
| 15 2373 | Pfizer Inc | 6/06/13 [r] | $ 578.32 | $ 425 05 | $ 153.27 |
| 15.1182 | Pfizer Inc | 9/05/13 [r] | $ 573.80 | $ 428 71 | $ 145.09 |
| 13.8286 | Pfizer Inc | 12/04/13 [r] | $ 524 85 | $ 432.34 | $ 92 51 |
| 14 4154 | Pfizer Inc | 3/06/14 [r] | $ 547.12 | $ 471.96 | $ 75 16 |
| 13 4178 | Pfizer Inc | 12/04/18 [r] | $ 509 26 | $ 622.08 | $ (112 82) |
| 15 2676 | Pfizer Inc | 3/04/19 [r] | $ 579 47 | $ 663 51 | $ (84.04) |
| 15 6236 | Pfizer Inc | 6/10/19 [r] | $ 592.98 | $ 669.00 | $ (76 02) |
| 18.7439 | Pfizer Inc | 9/04/19 [r] | $ 711 41 | $ 674.63 | $ 36 78 |
| 17.9047 | Pfizer Inc | 12/03/19 [r] | $ 679 55 | $ 681.37 | $ (1 82) |
| 21.3714 | Pfizer Inc | 3/09/20 [r] | $ 811.13 | $ 726 03 | $ 85.10 |
| 1,900. | | | $ 72,122.41 | | $ 10,099 96 |