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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No. 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 06-01-2019 , and ending 05-31-2020

Name of foundation
THE BALLMANN FAMILY PRIVATE FOUNDATION

A Employer identification number
43-6466750

Number and street (or P.O. box number if mail is not delivered to street address) PO BOX 0634 | Room/suite

B Telephone number (see instructions)
(314) 418-2643

City or town, state or province, country, and ZIP or foreign postal code
MILWAUKEE, WI 532010634

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here............ ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here .... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 31,356,819

J Accounting method: ☐ Cash ☐ Accrual ☐ Other (specify) ________
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here .... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	703,393	699,390		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-430,739			
	b	Gross sales price for all assets on line 6a 6,478,861				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	78,942	78,942		
	12	**Total.** Add lines 1 through 11	351,596	778,332		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	177,871	88,936		88,936
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)				0
	b	Accounting fees (attach schedule)	16,140	0	0	16,140
	c	Other professional fees (attach schedule)	11,025	9,923		1,103
	17	Interest				0
	18	Taxes (attach schedule) (see instructions)	35,748	10,058		0
	19	Depreciation (attach schedule) and depletion	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)	4,311	4,311		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	245,095	113,228	0	106,179
	25	Contributions, gifts, grants paid	1,727,150			1,727,150
	26	**Total expenses and disbursements.** Add lines 24 and 25	1,972,245	113,228	0	1,833,329
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-1,620,649			
	b	**Net investment income** (if negative, enter -0-)		665,104		
	c	**Adjusted net income** (if negative, enter -0-)			0	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	265,479	624,368	624,368
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶		0	0
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	7,252,392	7,576,912	16,187,478
	c	Investments—corporate bonds (attach schedule)	13,347,233	1,984,366	1,938,042
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	193,041	9,196,911	12,606,931
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	21,058,145	19,382,557	31,356,819
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29 and 30.**			
	24	Net assets without donor restrictions			
	25	Net assets with donor restrictions			
		Foundations that do not follow FASB ASC 958, check here ▶ ☑ and complete lines 26 through 30.			
	26	Capital stock, trust principal, or current funds	21,058,145	19,382,557	
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	**Total net assets or fund balances** (see instructions)	21,058,145	19,382,557	
	30	**Total liabilities and net assets/fund balances** (see instructions)	21,058,145	19,382,557	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	21,058,145
2	Enter amount from Part I, line 27a	2	-1,620,649
3	Other increases not included in line 2 (itemize) ▶	3	21,302
4	Add lines 1, 2, and 3	4	19,458,798
5	Decreases not included in line 2 (itemize) ▶	5	76,241
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	19,382,557

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-430,739
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	1,839,127	31,500,378	0.058384
2017	1,457,570	31,853,782	0.045758
2016	1,513,787	29,329,735	0.051613
2015	1,494,517	28,581,583	0.05229
2014	1,582,350	30,039,751	0.052675

2	**Total** of line 1, column (d)	2	0.26072
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.052144
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	31,492,139
5	Multiply line 4 by line 3	5	1,642,126
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	6,651
7	Add lines 5 and 6	7	1,648,777
8	Enter qualifying distributions from Part XII, line 4	8	1,833,329

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ____ (attach copy of letter if necessary–see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	6,651
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0
3	Add lines 1 and 2			3	6,651
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	6,651
6	Credits/Payments:				
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	45,170		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	0		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	45,170
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	38,519
11	Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ▶ 6,652	**Refunded** ▶		11	31,867

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition)	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ____ **(2)** On foundation managers. ▶ $ ____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MO			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities (continued)

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶US BANK NA Telephone no. ▶(314) 418-2643

Located at ▶PO BOX 0634 MILWAUKEE WI ZIP+4 ▶532010634

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

		Line	Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions		1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019?	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☑ Yes ☐ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	**5b**		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
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2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	31,597,704
b	Average of monthly cash balances.	1b	374,011
c	Fair market value of all other assets (see instructions).	1c	0
d	**Total** (add lines 1a, b, and c).	1d	31,971,715
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e 0		
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	31,971,715
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	479,576
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	31,492,139
6	**Minimum investment return.** Enter 5% of line 5.	6	1,574,607

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,574,607
2a	Tax on investment income for 2019 from Part VI, line 5. 2a 6,651		
b	Income tax for 2019. (This does not include the tax from Part VI.). 2b		
c	Add lines 2a and 2b.	2c	6,651
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,567,956
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	1,567,956
6	Deduction from distributable amount (see instructions).	6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,567,956

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	1,833,329
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,833,329
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	6,651
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	1,826,678

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7					1,567,956
2 Undistributed income, if any, as of the end of 2019:					
a Enter amount for 2018 only.				0	
b Total for prior years: 20___ , 20___ , 20___			0		
3 Excess distributions carryover, if any, to 2019:					
a From 2014.	108,072				
b From 2015.	78,580				
c From 2016.	63,784				
d From 2017.	0				
e From 2018.	303,068				
f Total of lines 3a through e.		553,504			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ $ 1,833,329					
a Applied to 2018, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2019 distributable amount.					1,567,956
e Remaining amount distributed out of corpus		265,373			
5 Excess distributions carryover applied to 2019. *(If an amount appears in column (d), the same amount must be shown in column (a).)*		0			0
6 Enter the net total of each column as indicated below:					
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		818,877			
b Prior years' undistributed income. Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b. Taxable amount —see instructions			0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions				0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) . . .		108,072			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a		710,805			
10 Analysis of line 9:					
a Excess from 2015. . . .	78,580				
b Excess from 2016. . . .	63,784				
c Excess from 2017. . . .	0				
d Excess from 2018. . . .	303,068				
e Excess from 2019. . . .	265,373				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2019	**(b)** 2018	**(c)** 2017	**(d)** 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				1,727,150
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities.			14	703,393	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	-430,739	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a PARTNERSHIP/S-CORP			1	78,842	
b OTHER INCOME			1	100	
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e).				351,596	

13 Total. Add line 12, columns (b), (d), and (e). **13** 351,596

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

******	2020-10-07	******	May the IRS discuss this return with the preparer shown below (see instr.) ☑ Yes ☐ No
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☑	PTIN
JOSEPH J CASTRIANO		2020-10-07		P01251603
Firm's name ▶ PRICEWATERHOUSECOOPERS LLP				Firm's EIN ▶13-4008324
Firm's address ▶ 600 GRANT STREET PITTSBURGH, PA 15219				Phone no. (412) 355-6000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
69. FIVE BELOW		2017-06-28	2019-06-04
401. RADIAN GROUP INC		2014-06-24	2019-06-05
207. BROOKS AUTOMATION INC		2017-09-21	2019-06-07
869. XPERI CORP		2015-04-23	2019-06-07
5500. SPDR GOLD TRUST		2000-01-01	2019-06-11
938. VANDA PHARMACEUTICALS INC		2018-07-10	2019-06-13
630. VONAGE HLDGS CORP		2018-08-16	2019-06-13
5. VONAGE HLDGS CORP		2018-04-20	2019-06-13
636. LEGACYTEXAS FINANCIAL GROUP		2017-03-20	2019-06-18
205. ETSY INC		2018-05-24	2019-06-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,632		3,322	5,310
9,186		6,207	2,979
8,242		5,901	2,341
18,259		29,240	-10,981
221		195	26
13,673		21,120	-7,447
7,328		9,060	-1,732
58		56	2
24,602		24,274	328
12,650		7,650	5,000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			5,310
			2,979
			2,341
			-10,981
			26
			-7,447
			-1,732
			2
			328
			5,000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
126. ETSY INC		2018-08-09	2019-07-02
205. ETSY INC		2018-05-24	2019-07-02
5500. SPDR GOLD TRUST		2000-01-01	2019-07-09
993. VANDA PHARMACEUTICALS INC		2018-08-27	2019-07-09
62. CACI INTERNATIONAL INC CL A		2016-12-19	2019-07-15
281. MAXLINEAR INC CLASS A		2016-10-06	2019-07-22
488. B & G FOODS INC NEW		2018-10-18	2019-07-26
122. DIODES INC		2018-03-22	2019-07-26
367. MAXLINEAR INC CLASS A		2016-10-03	2019-07-26
11. B & G FOODS INC NEW		2018-10-18	2019-08-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,810		5,434	2,376
12,706		7,004	5,702
225		189	36
13,367		19,453	-6,086
13,186		7,758	5,428
7,061		5,924	1,137
8,690		13,768	-5,078
5,200		3,988	1,212
8,692		7,557	1,135
223		284	-61

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2,376
			5,702
			36
			-6,086
			5,428
			1,137
			-5,078
			1,212
			1,135
			-61

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
781. B & G FOODS INC NEW		2017-12-19	2019-08-05
201. MAXLINEAR INC CLASS A		2016-09-26	2019-08-06
113. MASTEC INC COM		2016-12-08	2019-08-07
179. ENTEGRIS INC		2010-12-14	2019-08-08
31. HELEN OF TROY LTD NEW		2017-02-14	2019-08-08
107. MAXLINEAR INC CLASS A		2016-09-26	2019-08-09
424. MAXLINEAR INC CLASS A		2019-02-04	2019-08-09
5500. SPDR GOLD TRUST		2000-01-01	2019-08-13
163. APOGEE ENTERPRISES INC COM		2016-06-30	2019-08-14
970. CALLON PETE CO DEL		2017-06-01	2019-08-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
15,830		24,705	-8,875
3,997		3,970	27
6,769		4,621	2,148
7,443		1,281	6,162
4,571		2,965	1,606
2,198		2,062	136
8,709		8,244	465
240		187	53
5,815		7,814	-1,999
4,395		11,077	-6,682

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-8,875
			27
			2,148
			6,162
			1,606
			136
			465
			53
			-1,999
			-6,682

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
2264. CALLON PETE CO DEL		2016-10-20	2019-08-19
787. CALLON PETE CO DEL		2018-08-31	2019-08-19
98. FIVE BELOW		2019-07-02	2019-08-29
149. MASTEC INC COM		2016-12-01	2019-09-05
5500. SPDR GOLD TRUST		2000-01-01	2019-09-05
124. AARONS INC		2017-09-28	2019-09-06
288. BUILDERS FIRSTSOURCE INC		2016-12-09	2019-09-06
406. GRAPHIC PACKAGING HLDG CO		2016-10-31	2019-09-06
5000. AMERICAN CAMPUS COMMUNITIES		2016-11-08	2019-09-09
350. AMERICAN TOWER CORP		2010-09-08	2019-09-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,258		31,976	-21,718
3,566		7,182	-3,616
12,403		12,068	335
9,599		5,923	3,676
251		192	59
7,771		5,404	2,367
5,522		3,403	2,119
5,585		5,156	429
236,752		211,363	25,389
78,337		39,626	38,711

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-21,718
			-3,616
			335
			3,676
			59
			2,367
			2,119
			429
			25,389
			38,711

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
118. APOGEE ENTERPRISES INC COM		2016-05-31	2019-09-09
500. PROLOGIS INC		2018-05-07	2019-09-09
38377.193 MAINSTAY FLOATING RATE I		2018-10-29	2019-09-16
2000. PROLOGIS INC		2018-05-07	2019-09-16
81. MERCURY COMPUTER SYS INC		2017-01-31	2019-09-17
365. APOGEE ENTERPRISES INC COM		2016-05-23	2019-09-19
497. ORMAT TECHNOLOGIES INC		2017-09-07	2019-09-19
818. CAMBREX CORP COM		2018-11-01	2019-09-27
54. FINISAR CORPORATION		2017-11-02	2019-09-27
238. FINISAR CORPORATION		2017-12-12	2019-09-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,484		5,429	-945
42,240		32,690	9,550
350,384		356,524	-6,140
170,038		130,760	39,278
6,684		3,100	3,584
15,563		16,417	-854
36,276		30,116	6,160
48,735		40,524	8,211
1,291		1,087	204
5,690		4,668	1,022

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-945
			9,550
			-6,140
			39,278
			3,584
			-854
			6,160
			8,211
			204
			1,022

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
24. FINISAR CORPORATION		2017-12-19	2019-09-27
158. FINISAR CORPORATION		2018-01-04	2019-09-27
172. FINISAR CORPORATION		2018-01-10	2019-09-27
278. FINISAR CORPORATION		2018-04-11	2019-09-27
97. FINISAR CORPORATION		2018-09-21	2019-09-27
500. ABBVIE INC		2016-09-16	2019-10-01
50. BOOKING HOLDINGS INC		2010-09-08	2019-10-01
500. JP MORGAN CHASE & CO COM		2006-03-10	2019-10-01
14270.033 MAINSTAY FLOATING RATE I		2018-10-29	2019-10-01
750. VISA INC		2010-09-08	2019-10-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
574		538	36
3,778		3,405	373
4,112		3,733	379
6,647		4,510	2,137
2,319		1,803	516
37,231		31,691	5,540
98,463		16,250	82,213
58,524		20,590	37,934
130,000		132,569	-2,569
129,853		12,917	116,936

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			36
			373
			379
			2,137
			516
			5,540
			82,213
			37,934
			-2,569
			116,936

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
500. ACCENTURE PLC CL A		2018-08-03	2019-10-01
1000. CHUBB LTD		2018-06-18	2019-10-01
175. HOME BANCSHARES INC		2018-10-12	2019-10-03
676. HOME BANCSHARES INC		2016-08-26	2019-10-03
5500. SPDR GOLD TRUST		2000-01-01	2019-10-10
1236. HOME BANCSHARES INC		2016-08-02	2019-10-15
334. HOME BANCSHARES INC		2019-01-22	2019-10-15
.1066 II-VI INC		2019-09-09	2019-10-16
151. AMN HEALTHCARE SERVICES INC		2017-06-06	2019-10-24
16. FIVE BELOW		2019-07-02	2019-10-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
95,792		80,427	15,365
159,927		132,529	27,398
3,151		3,576	-425
12,170		16,092	-3,922
249		195	54
23,317		26,413	-3,096
6,301		6,090	211
4		4	
8,662		5,498	3,164
2,103		1,970	133

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			15,365
			27,398
			-425
			-3,922
			54
			-3,096
			211
			3,164
			133

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
128. FIVE BELOW		2017-06-28	2019-10-28
480. HUDSON PACIFIC PROPERTIES INC		2017-06-19	2019-10-28
250. AMERICAN TOWER CORP		2010-09-08	2019-10-29
50. BOOKING HOLDINGS INC		2010-09-08	2019-10-29
5000. CISCO SYS INC		2019-04-17	2019-10-29
1000. PROLOGIS INC		2018-10-26	2019-10-29
500. LINDE PLC		2010-09-08	2019-10-29
54424.779 MAINSTAY FLOATING RATE I		2018-11-02	2019-10-30
1127. WRIGHT MEDICAL GROUP NV		2017-10-10	2019-11-05
773. TRI POINTE GROUP INC		2016-07-20	2019-11-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16,822		6,163	10,659
16,427		16,997	-570
53,847		12,317	41,530
102,654		16,250	86,404
235,356		284,846	-49,490
86,607		64,470	22,137
100,243		81,728	18,515
492,000		500,760	-8,760
32,781		28,533	4,248
11,899		11,342	557

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			10,659
			-570
			41,530
			86,404
			-49,490
			22,137
			18,515
			-8,760
			4,248
			557

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
725. PARTY CITY HOLDCO INC		2019-07-02	2019-11-08
2263. PARTY CITY HOLDCO INC		2018-08-10	2019-11-08
504. AARONS INC		2017-09-28	2019-11-13
5500. SPDR GOLD TRUST		2000-01-01	2019-11-13
615. BROOKS AUTOMATION INC		2018-11-30	2019-11-27
431. BROOKS AUTOMATION INC		2017-09-21	2019-11-27
8577.964 CAMBIAR INTL EQUITY FUND INS		2016-02-01	2019-12-04
23299.161 COLUMBIA INCOME FD CL Z		2013-04-10	2019-12-04
27985.075 DOUBLELINE TOTAL RET BD I		2019-10-30	2019-12-04
38849.007 MAINSTAY FLOATING RATE I		2018-10-29	2019-12-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,635		5,063	-3,428
5,103		32,771	-27,668
28,908		20,220	8,688
270		215	55
27,534		19,074	8,460
19,296		11,780	7,516
226,630		202,130	24,500
249,301		246,738	2,563
299,440		300,560	-1,120
352,360		360,907	-8,547

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-3,428
			-27,668
			8,688
			55
			8,460
			7,516
			24,500
			2,563
			-1,120
			-8,547

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
16508.85 MAINSTAY FLOATING RATE I		2018-12-12	2019-12-04
21008.403 VANGUARD INTRM TRM BD IDX AD		2019-10-01	2019-12-04
5500. SPDR GOLD TRUST		2000-01-01	2019-12-10
17713.215 DOUBLELINE TOTAL RET BD I		2019-10-30	2019-12-17
3725.656 VANGUARD INTRM TRM BD IDX AD		2019-10-01	2019-12-17
26. CALL ON SPX 12/20/19 @ 3500.000		2019-06-12	2019-12-20
26. PUT ON SPX 12/20/19 @ 2075.000		2019-06-12	2019-12-20
26. PUT ON SPX 12/20/19 @ 2500.000		2019-06-12	2019-12-20
26. CALL ON SPX 12/20/19 @ 2925.000		2019-06-12	2019-12-23
46554.935 COLUMBIA INCOME FD CL Z		2013-04-10	2019-12-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
149,735		149,240	495
249,370		250,630	-1,260
254		203	51
189,000		190,240	-1,240
44,037		44,447	-410
		2,890	-2,890
37,098			37,098
		162,816	-162,816
138,576		795,784	-657,208
500,466		490,106	10,360

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			495
			-1,260
			51
			-1,240
			-410
			-2,890
			37,098
			-162,816
			-657,208
			10,360

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1787. COLUMBIA PROPERTY TRUST INC		2016-02-03	2020-01-21
140. GRANITE CONSTR INC COM		2019-05-08	2020-02-14
850. GRANITE CONSTR INC COM		2015-07-31	2020-02-14
291. HORIZON THERAPEUTICS PLC		2018-10-11	2020-02-20
482. PENN NATL GAMING INC COM W/RTS ATTACHED		2018-06-20	2020-02-21
1606.5 CALLON PETE CO DEL		2017-07-06	2020-03-02
1802.5 CALLON PETE CO DEL		2015-05-13	2020-03-02
742. CALLON PETE CO DEL		2019-03-18	2020-03-02
203. NETGEAR INC RESTR		2019-04-03	2020-03-10
916. NETGEAR INC RESTR		2017-10-16	2020-03-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
39,684		37,479	2,205
3,918		6,325	-2,407
23,791		37,465	-13,674
10,400		5,244	5,156
18,109		16,885	1,224
3,341		14,958	-11,617
3,749		24,400	-20,651
1,543		5,236	-3,693
3,751		7,046	-3,295
16,925		29,388	-12,463

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2,205
			-2,407
			-13,674
			5,156
			1,224
			-11,617
			-20,651
			-3,693
			-3,295
			-12,463

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
179. PROPETRO HLDG CORP		2019-07-02	2020-03-16
1405. PROPETRO HLDG CORP		2017-09-26	2020-03-16
594. DAVE & BUSTERS ENTMT INC		2017-09-07	2020-03-17
413. U.S. CONCRETE INC		2015-04-20	2020-03-17
250. PEBBLEBROOK HOTEL TRUST		2019-04-09	2020-03-18
1279. PEBBLEBROOK HOTEL TRUST		2017-02-09	2020-03-18
921. TIVITY HEALTH INC		2017-11-15	2020-03-19
496. TIVITY HEALTH INC		2019-03-21	2020-03-19
896. TIVITY HEALTH INC		2017-06-02	2020-03-19
6676.428 CAMBIAR INTL EQUITY FUND INS		2016-02-01	2020-04-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
423		3,687	-3,264
3,316		21,739	-18,423
4,124		30,402	-26,278
4,574		17,977	-13,403
1,756		7,835	-6,079
8,985		38,336	-29,351
2,738		25,409	-22,671
1,474		8,613	-7,139
2,664		33,910	-31,246
135,331		156,162	-20,831

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-3,264
			-18,423
			-26,278
			-13,403
			-6,079
			-29,351
			-22,671
			-7,139
			-31,246
			-20,831

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
5809.45 CAMBIAR INTL EQUITY FUND INS		2019-09-17	2020-04-14
1500. CARRIER GLOBAL CORPORATION COM		2010-09-08	2020-04-14
750. OTIS WORLDWIDE CORP		2010-09-08	2020-04-14
425. LUMINEX CORP DEL		2018-09-14	2020-05-08
315. HORIZON THERAPEUTICS PLC		2018-10-26	2020-05-08
50. BOOKING HOLDINGS INC		2010-09-08	2020-05-15
1535.155 VANGUARD INTL GRWTH FD CL ADM		2015-12-23	2020-05-15
152. HEALTHEQUITY INC		2019-09-09	2020-05-20
232. NEOGENOMICS INC		2019-05-07	2020-05-20
171. HORIZON THERAPEUTICS PLC		2017-06-08	2020-05-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
117,758		150,000	-32,242
20,873		17,657	3,216
33,938		26,474	7,464
14,354		12,618	1,736
13,451		5,581	7,870
69,186		16,250	52,936
150,215		137,461	12,754
8,679		8,762	-83
6,514		5,383	1,131
8,116		1,980	6,136

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-32,242
			3,216
			7,464
			1,736
			7,870
			52,936
			12,754
			-83
			1,131
			6,136

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
			2,948

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MISSOURI STATE UNIVERSITY 901 S NATIONAL AVE SPRINGFIELD, MO 65897	NONE	I	SCHOLARSHIPS	12,000
SOUTHERN ILLINOIS UNIV - EDWARDSVILLE 2308 RENDLEMAN HALL EDWARDSVILLE, IL 62026	NONE	I	SCHOLARSHIPS	14,000
UNIVERSITY OF MISSOURI - KANSAS CITY 5115 OAK ST KANSAS CITY, MO 64112	NONE	I	SCHOLARSHIPS	7,000
Total . . . . ▶ **3a**				1,727,150

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UNIVERSITY OF KANSAS PO BOX 414680 KANSAS CITY, MO 641414680	NONE	I	SCHOLARSHIPS	7,000
COLORADO COLLEGE 14 EAST CACHE LA POUNDRE ST COLORADO SPRINGS, CO 80903	NONE	I	SCHOLARSHIPS	7,000
UNIVERSITY OF ALABAMA 203 STUDENT SERVICES CENTER TUSCALOOSA, AL 35401	NONE	I	SCHOLARSHIPS	7,000
Total . . . . ▶ **3a**				1,727,150

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
WASHIHNGTON UNIVERSITY ONE BROOKINGS DRIVE ST LOUIS, MO 63130	NONE	I	SCHOLARSHIPS	14,000
UNIVERSITY OF DALLAS 1845 EAST NORTHGATE DRIVE IRVING, TX 750624736	NONE	I	SCHOLARSHIPS	3,500
FONTBONE UNIVERSITY 6800 WYDOWN BLVD ST LOUIS, MO 63105	NONE	I	SCHOLARSHIPS	3,500
Total . . . . ▶ **3a**				1,727,150

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
THE DEACONESS FOUNDATION 1000 N VANDEVENTER AVE ST LOUIS, MO 63113	NONE	PC	GENERAL OPERATING	34,360
GREAT CIRCLE 13160 COUNTY ROAD 3610 ST JAMES, MO 65559	NONE	PC	GENERAL OPERATING	34,360
FAMILY FORWARD 1167 CORPORATE LAKE DR STG LOUIS, MO 63132	NONE	PC	GENERAL OPERATING	85,900
Total . . . . ▶ **3a**				1,727,150

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ST LOUIS UNIVERSITY SCHOOL OF NURSING 221 N GRAND BLVD ROOM 304 ST LOUIS, MO 63103	NONE	I	SCHOLARSHIPS	51,540
UNIVERSITY OF MISSOURI - ST LOUIS ONE UNIVERSITY BLVD ST LOUIS, MO 63121	NONE	I	SCHOLARSHIPS	3,500
BARNES-JEWISH HOSPITAL FOUNDATION WEST 1001 HIGHLANDS PLAZA DR 140 ST LOUIS, MO 63110	NONE	PC	GENERAL OPERATING	51,540
Total . . . . ▶ **3a**				1,727,150

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ST LOUIS COLLEGE OF PHARMACY 4588 PARKVIEW PLACE ST LOUIS, MO 631101029	NONE	I	SCHOLARSHIPS	85,900
RANKEN TECHNICAL COLLEGE 4431 FINNEY AVE ST LOUIS, MO 631132811	NONE	PC	GENERAL OPERATING	85,900
HUMANE SOCIETY OF MISSOURI 1201 MACKLIND AVE ST LOUIS, MO 631101431	NONE	PC	GENERAL OPERATING	85,900
Total . . . . ▶ **3a**				1,727,150

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MISSOURI COUNCIL FOR THE BLIND ATTN ROBERT VAUGHN TREASURER SAINT LOUIS, MO 631091635	NONE	PC	GENERAL OPERATING	85,900
VISITING NURSE ASSOCIATION 2029 WOODLAND PARKWAY-STE 105 ST LOUIS, MO 63146	NONE	PC	GENERAL OPERATING	85,900
INDEPENDENCE CENTER 4245 FOREST PARK AVE ST LOUIS, MO 631082810	NONE	PC	GENERAL OPERATING	34,360
Total . . . . ▶ **3a**				1,727,150

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BEREA COLLEGE101 CHESTNUT ST BEREA, KY 40403	NONE	PC	SCHOLARSHIPS	34,360
MIRIAM FOUNDATION501 BACON AVE Webster Groves, MO 631191512	NONE	PC	GENERAL OPERATING	34,360
ST ANDREW AT HOME SERVICES 1001 CRAIG ROAD SUITE 200 ST LOUIS, MO 63146	NONE	PC	GENERAL OPERATING	85,900
Total . . . . ▶ **3a**				1,727,150

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UNIVERSITY OF MISSOURI-COLUMBIA 118 UNIVERSITY MALL COLUMBIA, MO 65211	NONE	I	SCHOLARSHIP	24,500
ST LOUIS UNIVERSITY ONE GRAND BLVD ST LOUIS, MO 63103	NONE	I	SCHOLARSHIPS	21,000
TRUMAN STATE UNIVERSITY 100 EAST NORMAL KIRKSVILLE, MO 63501	NONE	I	SCHOLARSHIPS	7,000
Total . . . . ▶ **3a**				1,727,150

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ANNIE MALONE CHILDREN'S HOME 2612 ANNIE MALONE DR ST LOUIS, MO 63113	NONE	PC	GENERAL OPERATING	34,360
ST LOUIS ALTENHEIM 5408 SOUTH BROADWAY ST LOUIS, MO 631112023	NONE	PC	GENERAL OPERATING	34,360
PEREGRINE SOCIETY INC OF ST LOUIS 2343 HAMPTON AVE ST LOUIS, MO 631392908	NONE	PC	GENERAL OPERATING	34,360
Total . . . . ▶ **3a**				1,727,150

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
GOOD SAMARITAN HOME 5714 S LINDBERGH BLVD 11 ST LOUIS, MO 63123	NONE	PC	GENERAL OPERATING	128,850
RANKEN JORDAN HOME FOR CHILDREN 11365 DORSETT ROAD MARYLAND HEIGHTS, MO 63043	NONE	PC	GENERAL OPERATING	34,360
EPWORTH CHILDREN'S HOME ATTN MR CHRIS JONES CFO ST LOUIS, MO 63119	NONE	PC	GENERAL OPERATING	34,360
Total . . . . ▶ **3a**				1,727,150

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SOUTHEAST MISSOURI STATE UNIVERSITY ONE UNIVERSITY PLAZA CAPE GIRARDEAU, MO 63701	NONE	I	SCHOLARSHIP	7,000
GOOD SHEPHERD CHILDREN & FAMILY SVCS 1340 PARTRIDGE AVENUE ST LOUIS, MO 63130	NONE	PC	GENERAL OPERATING	34,360
MEALS ON WHEELS OF GREATER ST LOUIS 25 BEAVER DR ST LOUIS, MO 631417901	NONE	PC	GENERAL OPERATING	85,900
Total . . . . ▶ **3a**				1,727,150

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
YOUTHBRIDGE COMMUNITY FOUNDATION 12977 N FORTY DR SUITE 368 ST LOUIS, MO 63141	NONE	PC	GENERAL OPERATING	85,900
UNITY SCHOOL OF CHRISTIANITY 1901 NW BLUE PARKWAY Lees Summit, MO 640650001	NONE	PC	GENERAL OPERATING	34,360
SHRINERS HOSPITALS FOR CHILDREN 2900 N ROCKY POINT DRIVE TAMPA, FL 336071435	NONE	PC	GENERAL OPERATING	85,900
Total . . . . ▶ **3a**				1,727,150

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
THE SALVATION ARMY ATTN LEGAL DEPARTMENT HOFFMAN ESTATES, IL 60192	NONE	PC	GENERAL OPERATING	85,900
Total . . . . ▶ **3a**				1,727,150

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TY 2019 Accounting Fees Schedule

Name: THE BALLMANN FAMILY PRIVATE FOUNDATION

EIN: 43-6466750

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	16,140			16,140

TY 2019 Investments Corporate Bonds Schedule

Name: THE BALLMANN FAMILY PRIVATE FOUNDATION

EIN: 43-6466750

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
19765N518 COLUMBIA INCOME FD C		
258620103 DOUBLELINE TOTAL RET		
464288687 ISHARES S P U S PRE	578,660	527,100
72369L107 PIONEER ILS INTERVAL		
CAMBIAR INTL EQUITY FUND INS		
ISHARES MSCI EMERGING MARKETS		
ISHARES MSCI EAFE ETF		
ISHARES CORE S P SMALL CAP ETF		
ISHARES IBOXX HIGH YIELD ETF		
ISHARES TRUST ISHARES PREFERRE		
MAINSTAY FLOATING RATE I		
SPDR GOLD SHARES ETF		
SPDR S&P MIDCAP 400 ETF		
VANGUARD INTERNATIONAL GROWTH		
464288513 ISHARES IBOXX HIGH Y	650,783	618,150
921937801 VANGUARD INTRM TRM B	754,923	792,792

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TY 2019 Investments Corporate Stock Schedule

Name: THE BALLMANN FAMILY PRIVATE FOUNDATION

EIN: 43-6466750

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
57636Q104 MASTERCARD INC	34,279	526,558
G29183103 EATON CORP PLC	47,307	84,900
001744101 AMN HEALTHCARE SERVI	10,967	21,736
388689101 GRAPHIC PACKAGING HL	23,009	38,997
65336K103 NEXSTAR BROADCASTING	47,472	49,736
037598109 APOGEE ENTERPRISES I		
163731102 CHEMICAL FINANCIAL C		
904214103 UMPQUA HOLDINGS CORP	46,994	30,377
103304101 BOYD GAMING CORP COM	52,895	48,062
46625H100 J P MORGAN CHASE CO	41,180	97,310
458140100 INTEL CORP	35,760	125,860
913017109 UNITED TECHNOLOGIES		
094235108 BLOOMIN BRANDS INC		
48123V102 J2 GLOBAL INC	23,279	41,108
387328107 GRANITE CONSTRUCTION		
691497309 OXFORD INDUSTRIES IN	32,802	20,799
278865100 ECOLAB INC	161,942	318,870
90333L201 U.S. CONCRETE INC		
12008R107 BUILDERS FIRSTSOURCE	25,163	45,241
957638109 WESTERN ALLIANCE BAN	39,704	50,930
144577103 CARRIZO OIL & GAS IN		
29362U104 ENTEGRIS INC	12,608	53,952
28176E108 EDWARDS LIFESCIENCES	15,030	112,360
03073E105 AMERISOURCEBERGEN CO	28,220	95,340
88579Y101 3M CO	41,636	78,220
64110L106 NETFLIX.COM INC	189,120	629,595
02079K107 ALPHABET INC CL C	72,104	357,230
78463V107 SPDR GOLD TRUST	607,169	896,005
478160104 JOHNSON JOHNSON	56,212	148,750
576323109 MASTEC INC	31,375	31,829

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
267475101 DYCOM INDUSTRIES INC	44,747	22,608
50189K103 LCI INDUSTRIES	23,104	30,075
31428X106 FED EX CORP	42,242	65,280
92826C839 VISA INC	34,445	390,480
03076C106 AMERIPRISE FINL INC	47,069	140,070
025816109 AMERICAN EXPRESS CO	80,042	190,140
580135101 MCDONALDS CORP	73,226	186,320
57776J100 MAXLINEAR INC CLASS		
09739D100 BOISE CASCADE CO	25,000	28,381
575385109 MASONITE INTERNATION	41,054	42,350
198287203 COLUMBIA PROPERTY TR		
589378108 MERCURY COMPUTER SYS	12,991	35,025
127190304 CACI INTERNATIONAL I	28,755	54,419
29084Q100 EMCOR GROUP INC	31,079	34,190
03027X100 AMERICAN TOWER CORP	49,268	258,170
023135106 AMAZON.COM INC	111,709	1,953,896
024835100 AMERICAN CAMPUS COMM		
037833100 APPLE INC	59,761	1,978,541
717081103 PFIZER INC	43,283	95,475
87265H109 TRI POINTE GROUP INC	27,567	31,389
90984P303 UNITED COMMUNITY BAN	33,589	24,027
302520101 FNB CORP	62,931	35,160
98421B100 XPERI CORP		
97650W108 WINTRUST FINANCIAL C	36,385	31,092
868459108 SUPERNUS PHARMACEUTI	40,863	30,246
G1151C101 ACCENTURE PLC CL A	187,896	504,050
166764100 CHEVRON CORPORATION	96,870	137,550
00287Y109 ABBVIE INC	73,213	139,005
02079K305 ALPHABET INC CL A	93,107	430,056
H1467J104 CHUBB LTD	363,886	487,760

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
254687106 DISNEY WALT CO	72,556	351,900
70509V100 PEBBLEBROOK HOTEL TR		
13123X102 CALLON PETE CO DEL		
589889104 MERIT MEDICAL SYSTEM	40,952	47,284
52471Y106 LEGACYTEXAS FINANCIA		
444097109 HUDSON PACIFIC PROPE	45,533	31,880
29275Y102 ENERSYS	25,748	38,043
G4388N106 HELEN OF TROY LTD	17,889	32,564
44930G107 ICU MEDICAL INC	28,372	33,536
436893200 HOME BANCSHARES INC		
750236101 RADIAN GROUP INC	33,002	33,951
74340W103 PROLOGIS INC	246,850	366,000
431571108 HILLENBRAND INC	51,709	36,705
09857L108 BOOKING HOLDINGS INC	65,001	327,884
320867104 FIRST MIDWEST BANCOR	41,401	22,055
33829M101 FIVE BELOW		
24665A103 DELEK HOLDCO INC	22,613	14,143
576485205 MATADOR RESOURCES CO	40,087	10,490
000361105 A A R CORP	31,790	15,027
002535300 AARONS INC		
095229100 BLUCORA INC	24,694	12,429
131193104 CALLAWAY GOLF CO	34,587	35,772
238337109 DAVE & BUSTERS ENTMT		
315405100 FERRO CORPORATION	46,740	27,766
31787A507 FINISAR CORPORATION		
254543101 DIODES INC	31,282	47,132
64111Q104 NETGEAR INC RESTR		
713448108 PEPSICO INC	109,032	131,550
87305R109 TTM TECHNOLOGIES	56,033	42,601
114340102 BROOKS AUTOMATION IN		

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
686688102 ORMAT TECHNOLOGIES I	13,889	16,965
74347M108 PROPETRO HLDG CORP		
05508R106 B & G FOODS INC NEW		
29977A105 EVERCORE PARTNERS IN	48,564	33,617
88870R102 TIVITY HEALTH INC		
92343X100 VERINT SYS INC COM	37,996	41,826
00191U102 ASGN INC	37,327	39,479
92886T201 VONAGE HLDGS CORP	48,088	43,817
073685109 BEACON ROOFING SUPPL	54,239	29,963
ATLAS AIR WORLDWIDE HOLDINGS		
CAMBREX CORP		
CANTEL MEDICAL CORP		
CIENA CORP		
CISCO SYSTEMS INC		
COMMVAULT SYSTEMS INC		
ENERGIZER HLDGS INC		
ETSY INC		
EVOLENT HEALTH INC A		
FIREEYE INC		
HANNON ARMSTRONG SUSTAINABLE		
HEALTHEQUITY INC		
HOSTESS BRANDS INC		
IBERIABANK CORP		
LUMINEX CORP		
NEOGENOMICS INC		
NUVASIVE INC		
OUTFRONT MEDIA INC		
PARTY CITY HOLDCO INC		
PENN NATIONAL GAMING INC		
PROLOGIS INC		

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
R1 RCM INC		
REXNORD CORP		
SPS COMMERCE INC		
SALESFORCE COM INC		
SUPERNUS PHARMACEUTICALS INC		
SYNEOS HEALTH INC		
VANDA PHARMACEUTICALS INC		
VOCERA COMMUNICATIONS INC		
ACCENTURE PLC CL A		
EATON CORP PLC		
HELEN OF TROY CORP LTD		
HORIZON THERAPEUTICS PLC		
LINDE PLC		
CHUBB LTD		
WRIGHT MEDICAL GROUP NV		
G5494J103 LINDE PLC	262,118	303,510
437076102 HOME DEPOT INC	206,892	248,480
42226A107 HEALTHEQUITY INC	48,889	46,106
64049M209 NEOGENOMICS INC	31,233	39,208
30050B101 EVOLENT HEALTH INC A	41,538	27,173
039653100 ARCOSA INC	36,296	34,353
75513E101 RAYTHEON TECHNOLOGIE	58,905	96,780
736508847 PORTLAND GENERAL ELE	45,794	39,243
410120109 HANCOCK HLDG CO	41,823	23,739
171779309 CIENA CORPORATION NE	42,263	58,576
69007J106 OUTFRONT MEDIA INC	38,771	26,367
670704105 NUVASIVE INC	33,404	34,360
529043101 LEXINGTON REALTY TRU	33,744	30,978
872307103 TCF FINANCIAL CORP	42,866	37,018
87166B102 SYNEOS HEALTH INC	33,853	42,876

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
450828108 I B E R I A B A N K	66,430	34,013
827048109 SILGAN HLDGS INC	20,820	22,906
76169B102 REXNORD CORP	54,737	55,474
79466L302 SALESFORCE COM INC	75,109	436,975
887389104 TIMKEN CO	39,432	34,075
95082P105 WESCO INTL INC	36,111	24,076
92857F107 VOCERA COMMUNICATION	50,661	27,168
55027E102 LUMINEX CORP DEL	15,518	17,668
043436104 ASBURY AUTOMOTIVE GR	31,265	27,611
689648103 OTTER TAIL CORP	23,611	18,065
902104108 II-VI INC	40,576	52,473
749397105 R1 RCM INC	42,708	42,398
828730200 SIMMONS 1ST NATIONAL	32,803	23,153
G46188101 HORIZON THERAPEUTICS	14,234	66,355
78463M107 SPS COMMERCE INC	37,356	47,848
29272W109 ENERGIZER HLDGS INC	49,345	47,741
41068X100 HANNON ARMSTRONG SUS	30,887	39,454
049164205 ATLAS AIR INC	60,303	50,036
03076K108 AMERIS BANCORP	38,734	23,745
464288448 ISHARES INTERNATIONA	770,782	617,000
44109J106 HOSTESS BRANDS INC	36,873	35,875
640491106 NEOGEN CORP	35,564	38,957
138098108 CANTEL MEDICAL CORP	39,989	22,597
204166102 COMMVAULT SYSTEMS IN	58,367	39,974
31816Q101 FIREEYE INC	52,511	38,251
74112D101 PRESTIGE BRANDS HLDG	27,731	33,802
707569109 PENN NATL GAMING INC	49,783	57,122

TY 2019 Investments - Other Schedule

Name: THE BALLMANN FAMILY PRIVATE FOUNDATION

EIN: 43-6466750

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
98MSCFFU1 IDR CORE EQUITY RE S	AT COST	193,041	364,576
464287804 I SHARES S P SMALLC	AT COST	562,587	1,322,800
921910501 VANGUARD INTL GRWTH	AT COST	612,539	975,207
78467Y107 MIDCAP SPDR TRUST SE	AT COST	728,804	2,411,475
258620103 DOUBLELINE TOTAL RET	AT COST	2,969,200	2,986,399
72369L107 PIONEER ILS INTERVAL	AT COST	500,000	448,203
464287465 ISHARES MSCI EAFE ET	AT COST	1,013,151	1,336,831
464287234 ISHARES MSCI EMERGIN	AT COST	896,018	909,104
19765N518 COLUMBIA INCOME FD C	AT COST	1,721,571	1,852,336

TY 2019 Other Decreases Schedule

Name: THE BALLMANN FAMILY PRIVATE FOUNDATION

EIN: 43-6466750

Description	Amount
PARTNERSHIP INCOME ADJUSTMENT	76,241

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TY 2019 Other Expenses Schedule

Name: THE BALLMANN FAMILY PRIVATE FOUNDATION

EIN: 43-6466750

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT EXPENSES-INTEREST I	1,710	1,710		0
FROM PARTNERSHIP/S-CORP	2,601	2,601		0

TY 2019 Other Income Schedule

Name: THE BALLMANN FAMILY PRIVATE FOUNDATION

EIN: 43-6466750

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INCOME	100	100	
FROM PARTNERSHIP/S-CORP	78,842	78,842	

TY 2019 Other Increases Schedule

Name: THE BALLMANN FAMILY PRIVATE FOUNDATION

EIN: 43-6466750

Description	Amount
PRIOR YEAR SALES SETTLED IN CY	509
COST BASIS ADJUSTMENT	218
PARTNERSHIP DISTRIBUTIONS	20,575

TY 2019 Other Professional Fees Schedule

Name: THE BALLMANN FAMILY PRIVATE FOUNDATION

EIN: 43-6466750

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER EXPENSE (NON-DEDUCTIBLE	11,025	9,923		1,103

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TY 2019 Taxes Schedule

Name: THE BALLMANN FAMILY PRIVATE FOUNDATION

EIN: 43-6466750

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	8,379	8,379		0
FEDERAL ESTIMATES - PRINCIPAL	25,690	0		0
FOREIGN TAXES ON NONQUALIFIED	1,679	1,679		0