

Form **990-PF**

OMB No 1545-0047

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

2005

2019Department of the Treasury
Internal Revenue Service

- ▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

Open to Public Inspection

For calendar year 2019 or tax year beginning 6/01, 2019, and ending 5/31, 2020

The DEKA Foundation
340 Commercial Street
Manchester, NH 03101**A** Employer identification number
27-1188399**B** Telephone number (see instructions)
603-669-5139**C** If exemption application is pending, check here ☐ **6****D** 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation **04**
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)
\$ 14,386,111.

J Accounting method ☐ Cash ☒ Accrual
☐ Other (specify) _____
(Part I, column (d), must be on cash basis) ---

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)-(see instructions).)

(a) Revenue and expenses per books**(b)** Net investment income**(c)** Adjusted net income**(d)** Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received (attach schedule)

2 Check ☒ if the foundation is not required to attach Sch B

3 Interest on savings and temporary cash investments.

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10.

b Gross sales price for all assets on line 6a 13,837,068.

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss) (attach schedule)

11 Other income (attach schedule)

See Statement 1

12 Total Add lines 1 through 11

13 Compensation of officers, directors, trustees, etc

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach sch)

c Other professional fees (attach sch) See St 2

17 Interest

18 Taxes (attach schedule)(see instrs) See Stm 3

19 Depreciation (attach schedule) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid Part XV

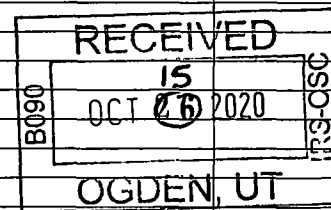
26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter 0-)

c Adjusted net income (if negative, enter 0-)



2 g20

SCANNED FEB 4 2021

03/04

Revenue

Operating and Administrative Expenses

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	1,750,242.	1,171,765.	1,171,765.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch.) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule) Statement 4	10,798,214.	12,519,113.	12,519,113.
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule) Statement 5	956,638.	693,968.	693,968.
	14 Land, buildings, and equipment basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶ See Statement 6)	1,263.	1,265.	1,265.
	16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	13,506,357.	14,386,111.	14,386,111.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
Liabilities	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here and complete lines 24, 25, 29, and 30. <input type="checkbox"/>			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here and complete lines 26 through 30. <input checked="" type="checkbox"/>			
	26 Capital stock, trust principal, or current funds	13,506,357.	14,386,111.	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances (see instructions)	13,506,357.	14,386,111.	
	30 Total liabilities and net assets/fund balances (see instructions)	13,506,357.	14,386,111.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	13,506,357.
2 Enter amount from Part I, line 27a	2	-128,666.
3 Other increases not included in line 2 (itemize) ▶ See Statement 7	3	1,008,420.
4 Add lines 1, 2, and 3	4	14,386,111.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 29	6	14,386,111.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a Publicly Traded Securities - Long Term		P	Various	Various
b Publicly Traded Securities - Short-Term		P	Various	Various
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 10,866,168.		11,336,549.	-470,381.
b 2,970,900.		2,291,548.	679,352.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			-470,381.
b			679,352.
c			
d			
e			

2 Capital gain net income or (net capital loss).	<div style="border: 1px solid black; padding: 2px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div>		2	208,971.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	<div style="border: 1px solid black; padding: 2px;"> If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8 </div>		3	679,352.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	663,060.	14,377,640.	0.046117
2017	911,126.	13,763,507.	0.066199
2016	595,000.	11,814,094.	0.050364
2015	465,000.	10,604,153.	0.043851
2014	25,000.	10,656,222.	0.002346

2 Total of line 1, column (d)	2	0.208877
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.041775
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	14,270,599.
5 Multiply line 4 by line 3	5	596,154.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,964.
7 Add lines 5 and 6	7	600,118.
8 Enter qualifying distributions from Part XII, line 4	8	520,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. <input type="checkbox"/> and enter 1% of Part I, line 27b		1	7,928.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	0.
3 Add lines 1 and 2		3	7,928.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	7,928.
6 Credits/Payments			
a 2019 estimated tax pymts and 2018 overpayment credited to 2019	6 a	8,623.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	8,623.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	695.	
11 Enter the amount of line 10 to be credited to 2020 estimated tax <input type="checkbox"/> 695. <input checked="" type="checkbox"/> Refunded	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by <i>General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered. See instructions. <input type="checkbox"/> DE		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

BAA

Form 990-PF (2019)

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule. See instructions.		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement. See instructions.		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	X	
14 The books are in care of <u>Deka Research & Devel. Corp.</u> Telephone no <u>603-669-5139</u> Located at <u>340 Commercial Street Manchester NH</u> ZIP + 4 <u>03101</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — check here and enter the amount of tax-exempt interest received or accrued during the year <u>N/A</u>		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country <u></u>		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is 'Yes' to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here <u></u>		N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement — see instructions.)		N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If 'Yes,' did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)		N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		X

BAA

Form 990-PF (2019)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions**5b** N/A

Organizations relying on a current notice regarding disaster assistance, check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6b** X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b**8** Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1 a	12,788,347.
b	Average of monthly cash balances	1 b	1,699,571.
c	Fair market value of all other assets (see instructions)	1 c	
d	Total (add lines 1a, b, and c)	1 d	14,487,918.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	14,487,918.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	217,319.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	14,270,599.
6	Minimum investment return. Enter 5% of line 5	6	713,530.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	713,530.
2a	Tax on investment income for 2019 from Part VI, line 5	2 a	7,928.
b	Income tax for 2019 (This does not include the tax from Part VI.)	2 b	
c	Add lines 2a and 2b	2 c	7,928.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	705,602.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	705,602.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	705,602.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	520,000.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	520,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	520,000.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				705,602.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			0.	
b Total for prior years 20 __, 20 __, 20 __		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014				
b From 2015				
c From 2016				
d From 2017	240,270.			
e From 2018				
f Total of lines 3a through e	240,270.			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 520,000.				
a Applied to 2018, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2019 distributable amount				520,000.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)	185,602.			185,602.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	54,668.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions.		0.		
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount — see instructions.			0.	
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions).	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	54,668.			
10 Analysis of line 9.				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017	54,668.			
d Excess from 2018				
e Excess from 2019				

BAA

Form 990-PF (2019)

N/A

4942(u)(5)

[illegible]

~~(4)~~ Gross investment income

3 Grants and Contributions Paid During the Year or Approved for Future Payment

9 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Statement 9				
Total			► 3a	520,000.
b Approved for future payment				
Total			► 3b	

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash	1 a (1)	X
	(2) Other assets	1 a (2)	X
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization	1 b (1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1 b (2)	X
	(3) Rental of facilities, equipment, or other assets	1 b (3)	X
	(4) Reimbursement arrangements	1 b (4)	X
	(5) Loans or loan guarantees	1 b (5)	X
	(6) Performance of services or membership or fundraising solicitations	1 b (6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c	X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

[illegible]

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Robert M. Tuttle

10/14/20

► Trustee

May the IRS discuss this return with the preparer shown below? See instructions.

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date	
------	--

Check	<input checked="" type="checkbox"/>
self employed	<input type="checkbox"/>

PTIN	
------	--

Firm's name

Firm's address

Firm's EIN ▶

Phone no

BAA

Form 990-PF (2019)

2019

Federal Statements

Page 1

Client FOUNDATI

The DEKA Foundation

27-1188399

10/12/20

01 29PM

Statement 1
Form 990-PF, Part I, Line 11
Other Income

	(a) Revenue per Books	(b) Net Investment Income	(c) Adjusted Net Income
SCHEDULE K-1: AMOSKEAG MEDICAL LLC			
Total	\$ -45.	\$ 0.	\$ 0.

Statement 2
Form 990-PF, Part I, Line 16c
Other Professional Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Investment Fees				
Total	\$ 64,057.	\$ 64,057.	\$ 0.	\$ 0.

Statement 3
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
2019 Estimate for Excise Tax on Invest.				
Foreign Withholding Tax	\$ 5,000.			
Total	\$ 7,265.	\$ 2,265.	\$ 0.	\$ 0.

Statement 4
Form 990-PF, Part II, Line 10b
Investments - Corporate Stocks

Corporate Stocks	Valuation Method	Book Value	Fair Market Value
See Attached Statement	Mkt Val	\$ 12,519,113.	\$ 12,519,113.
	Total	\$ 12,519,113.	\$ 12,519,113.

2019

Federal Statements

Page 2

Client FOUNDATI

The DEKA Foundation

27-1188399

10/12/20

01 31PM

Statement 5
Form 990-PF, Part II, Line 13
Investments - Other

	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
<u>Other Publicly Traded Securities</u>			
See Attached Schedule	Mkt Val	\$ 693,968.	\$ 693,968.
	Total	<u>\$ 693,968.</u>	<u>\$ 693,968.</u>

Statement 6
Form 990-PF, Part II, Line 15
Other Assets

	<u>Book Value</u>	<u>Fair Market Value</u>
Schedule K-1: Amoskeag Medical LLC	\$ 1,265.	\$ 1,265.
Total	<u>\$ 1,265.</u>	<u>\$ 1,265.</u>

Statement 7
Form 990-PF, Part III, Line 3
Other Increases

Net Unrealized Gains or Losses on Investments		\$ 1,008,420.
	Total	<u>\$ 1,008,420.</u>

Statement 8
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, and Key Employees

<u>Name and Address</u>	<u>Title and Average Hours Per Week Devoted</u>	<u>Compen- sation</u>	<u>Contri- bution to EBP & DC</u>	<u>Expense Account/ Other</u>
Dean Kamen 340 Commercial Street Manchester, NH 03101	Director 0.10	\$ 0.	\$ 0.	\$ 0.
Robert Tuttle 340 Commercial Street Manchester, NH 03101	Director 0.20	0.	0.	0.
Steve Hazard 340 Commercial Street Manchester, NH 03101	Director 0.01	0.	0.	0.

2019

Federal Statements

Page 3

Client FOUNDATI

The DEKA Foundation

27-1188399

10/12/20

01 31PM

Statement 8 (continued)
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, and Key Employees

Name and Address	Title and Average Hours Per Week Devoted	Compensation	Contribution to EBP & DC	Expense Account/ Other
Maureen Toohey 340 Commercial Street Manchester, NH 03101	Director 0.01	\$ 0.	\$ 0.	\$ 0.
Brendan Duffy 340 Commercial Street Manchester, NH 03101	Asst. Secretary 0.20	0.	0.	0.
Total		\$ 0.	\$ 0.	\$ 0.

Statement 9
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee Relationship	Found- ation Status	Purpose of Grant	Amount
FIRST 200 Bedford Street Manchester NH 03101	N/A	509(a) (1)	To assist FIRST in their efforts to teach children the value of technology and invention.	\$ 340,000.
INTERNATIONAL FIRST COMMITTEE ASSOCIATIO 340 COMMERCIAL STREET MANCHESTER NH 03101	N/A	509(a) (1)	To assist International FIRST Committee Association in their efforts to teach children the value of technology and invention.	100,000.
New England Science and Sailing Foundati 72 Water Street Stonington CT 06378	N/A		To assist New England Science and Sailing Foundation in their efforts to bring STEM-based education programs on and off the water, for students from all walks of life.	5,000.

2019

Federal Statements

Page 4

Client FOUNDATI

The DEKA Foundation

27-1188399

10/12/20

01 32PM

Statement 9 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
Social Impact Fund 750 W. 7th Street, Box #811026 Los Angeles CA 90081	N/A		To assist i.am.angel Foundation in their efforts to bring STEAM programs to underserved communities and enable youth to deveop skills for future jobs.	\$ 25,000.
University of New Hampshire The University of New Hampshire Durham NH 03824	N/A		To support the University's goal of education.	50,000.
Total \$				<u>520,000.</u>

Statement 10

Form 990-PF, Part XV, Line 1a

Dean Kamen owns, directly and indirectly, 100% of Deka Products Limited Partnership ("DPLP"). DPLP did not make any contributions to the

Foundation during the current year, but it did become a substantial contributor during the tax year ended 5/31/10.

Dean Kamen also owns 100% of HHD, LLC ("HHD"), a single-member LLC. HHD did not make any contributions to the foundation during the current tax

year, but it did become a substantial contributor during the tax year ended 5/31/12.

The DEKA Foundation

EIN: 27-1188399

2019 Form 990-PF

Part II - Line 10b, Investments in Corporate Stock

Security Name	Number of Shares	Fair Market Value
ADVANSIX INC	14	165 76
AFLAC INC	3,382	\$123,341 54
AIR PRODUCTS & CHEMICALS INC	69	16,673 85
AMEREN CORP	231	17,262 63
ANALOG DEVICES INC	1,120	126,504 00
AT & T INC	3,061	94,462 46
BEST BUY CO INC	1,096	85,586 64
BRUNSWICK CORP	956	52,589 56
CANADIAN PACIFIC RAILWAY LTD	223	55,671 95
CARRIER GLOBAL CORP	290	5,936 30
CELANESE CORPORATION	565	50,799 15
CENTENE CORP	723	47,898 75
CISCO SYSTEMS INC	884	42,272 88
COCA-COLA COMPANY	2,391	111,611 88
COCA-COLA EUROPEAN PARTNERS PLC	2,920	110,084 00
COMMUNITY HEALTHCARE TR INC	606	22,070 52
CONOCOPHILLIPS	1,195	50,405 10
CRANE COMPANY	984	54,828 48
CVS HEALTH CORPORATION	721	47,275 97
ENTERGY CORP NEW	1,111	113,122.02
FIRST AMERICAN FINL CORP	1,057	53,367 93
GARMIN LTD	202	18,214 34
HANNON ARMSTRONG SUSTAINABLE INFRASTRCTR	499	14,475 99
HARTFORD FINL SVCS GROUP INC	1,404	53,759 16
HONEYWELL INTERNATIONAL INC	365	53,235 25
ISTAR INC	1,220	13,334 60
JOHNSON & JOHNSON	436	64,855.00
JPMORGAN CHASE & CO	886	86,216 66
KELLOGG COMPANY	677	44,214 87
KIMBERLY-CLARK CORP	311	43,987 84
LINCOLN NATL CORP IND	865	32,809 45
LOCKHEED MARTIN CORP	221	85,845 24
LYONDELLBASELL INDUSTRIES	1,103	70,327 28
MAGNA INTL INC CL A	1,193	50,320 74
MEDICAL PROPERTIES TRUST INC	735	13,288 80
MERCK & CO INC NEW	202	16,305
NEXTERA ENERGY INC	676	172,758.56
OMNICOM GROUP	595	32,600 05
OTIS WORLDWIDE CORP	145	7,634 25
PACKAGING CORP OF AMER	260	26,366 60
PHILLIPS 66	424	33,182 24

PINNACLE WEST CAP CORP	638	49,700 20
POPULAR INC	1,241	49,007 09
PUBLIC SVC ENTERPRISE GROUP INC	521	26,591 84
RAYTHEON TECHNOLOGIES CORP	290	18,710 80
SCHLUMBERGER LTD	1,404	25,931 88
SEMPRA ENERGY	996	125,804 76
STATE STR CORP	564	34,381 44
STRYKER CORP	687	134,466 51
SYNOVUS FINANCIAL CORP	3,757	72,096 83
T ROWE PRICE GROUP INC	392	47,392 80
TARGET CORP	545	66,669 85
TEXAS INSTRUMENTS INC	1,518	180,247 32
TRADE DESK INC/THE	203	63,246 68
TYSON FOODS INC CL A	1,196	73,482 24
UNION PACIFIC CORP	755	128,244 30
VERIZON COMMUNICATIONS	692	39,706 96
WALGREENS BOOTS ALLIANCE INC	702	30,143 88
WILLIAMS SONOMA INC	699	58,163 79

ADVANSIX INC	46	544 64
AIR PRODUCTS & CHEMICALS INC	814	196,703 10
ALIBABA GRP HOLDING ADR	1,614	334,727 46
AMETEK INC NEW	2,206	202,312 26
ANTHEM INC	1,121	329,697 31
AT & T INC	6,335	195,498 10
BIOGEN INC	811	249,049 99
CARLISLE COMPANIES INC	1,893	226,894 98
CHEMED CORP	1,034	494,779 34
CIENA CORP	5,126	283,262 76
EDWARDS LIFESCIENCE CORP	850	191,012 00
FORTINET INC	3,996	556,243 20
GARRETT MOTION INC	170	878 90
HONEYWELL INTERNATIONAL INC	2,005	292,429 25
INGERSOLL RAND INC	2,264	63,844 80
INTUIT INC	1,290	374,512 80
L3HARRIS TECHNOLOGIES INC	1,684	335,873 80
MASTERCARD INC CL A	1,344	404,396 16
MOLINA HEALTHCARE INC	2,040	379,072 80
MONSTER BEVERAGE CORP	2,875	206,741 25
NORTHROP GRUMMAN CORP	904	303,020 80
PARKER-HANNIFIN CORP	1,644	295,870 68
PROCTER & GAMBLE CO	2,564	297,218 88
REPUBLIC SVCS INC	2,288	195,532 48
RESIDEO TECHNOLOGIES	194	1,369 64
SONY CORP ADR	2,881	186,573 56
T-MOBILE US INC	4,423	442,476 92
TRANE TECHNOLOGIES PLC	3,168	285,785 28

UNITEDHEALTH GROUP INC	1,095	333,810 75
VERIZON COMMUNICATIONS	5,350	306,983 00
WASHINGTON FED INC	10,143	262,297 98
ZEBRA TECHNOLOGIES CORP	1,642	429,087 44
ZOETIS INC	3,020	420,957 80

12,519,113.01

The DEKA Foundation

EIN: 27-1188399

2019 Form 990-PF

Part II - Line 13, Investments - Other

Security Name	Number of Shares	Fair Market Value
ALEXANDRIA REAL ESTATE	382	58,721 04
CORESITE REALTY CORP	108	13,480.56
EASTGROUP PPTYS INC	208	24,180 00
EQUITY LIFESTYLE PROP	225	14,017 50
ISHARES MSCI ETF NETHERLANDS	358	10,997 76
ISHARES MSCI ETF AUSTRALIA	3,347	60,446 82
ISHARES MSCI ETF HONG KONG	822	16,308 48
ISHARES MSCI AUSTRIA ETF	684	10,041.12
ISHARES MSCI CANADA ETF	490	12,318 60
ISHARES MSCI FRANCE ETF	4,211	109,191 23
ISHARES MSCI GERMANY ETF	2,780	71,696 20
ISHARES MSCI ITALY ETF	956	21,471 76
ISHARES MSCI NEW ZEALAND ETF	116	5,958 92
ISHARES MSCI POLAND ETF	807	13,234 80
ISHARES MSCI SPAIN ETF	1,664	36,142 08
ISHARES MSCI SWEDEN ETF	641	19,351 79
ISHARES MSCI UNITED KINGDOM ETF	3,801	97,799 73
NATIONAL RETAIL PPTYS	428	13,434 92
NATIONAL STORAGE AFFILIATES TR	843	25,298 43
QTS REALTY TRUST INC	99	6,791 40
REALTY INCOME CORP	305	16,869 55
REXFORD IND REALTY INC	312	12,417 60
SUN COMMUNITIES INC	91	12,484 29
TERRENO REALTY CORP	221	11,312 99
Investments in Non-Corporate Securities		693,967.57