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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No. 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 05-01-2019 , and ending 04-30-2020

Name of foundation
BERGSTROM INC CHARITABLE FOUNDATION XXXXX2008

A Employer identification number
36-6692339

Number and street (or P.O. box number if mail is not delivered to street address)
10 S DEARBORN IL1-0111

Room/suite

B Telephone number (see instructions)
(800) 496-2583

City or town, state or province, country, and ZIP or foreign postal code
CHICAGO, IL 60603

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 2,120,112

J Accounting method: ☐ Cash ☐ Accrual ☐ Other (specify) ________
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	16,743			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	57,815	56,967		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	53,645			
	b	Gross sales price for all assets on line 6a 1,726,877				
	7	Capital gain net income (from Part IV, line 2)		53,645		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	128,203	110,612		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	23,665	12,632		11,033
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)				0
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				0
	17	Interest				0
	18	Taxes (attach schedule) (see instructions)	8,993	1,355		0
	19	Depreciation (attach schedule) and depletion	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)	30			30
	24	**Total operating and administrative expenses.** Add lines 13 through 23	32,688	13,987	0	11,063
	25	Contributions, gifts, grants paid	569,500			569,500
	26	**Total expenses and disbursements.** Add lines 24 and 25	602,188	13,987	0	580,563
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-473,985			
	b	**Net investment income** (if negative, enter -0-)		96,625		
	c	**Adjusted net income** (if negative, enter -0-)			0	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	43,135	4,082	4,082
	2	Savings and temporary cash investments	80,251	75,301	75,301
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶		0	0
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1,606,849	1,187,788	1,118,134
	c	Investments—corporate bonds (attach schedule)	491,152	615,232	639,504
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	448,005	312,152	283,091
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	2,669,392	2,194,555	2,120,112
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29 and 30.**			
	24	Net assets without donor restrictions			
	25	Net assets with donor restrictions			
		Foundations that do not follow FASB ASC 958, check here ▶ ☑ and complete lines 26 through 30.			
	26	Capital stock, trust principal, or current funds	2,669,392	2,194,555	
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	**Total net assets or fund balances** (see instructions)	2,669,392	2,194,555	
	30	**Total liabilities and net assets/fund balances** (see instructions)	2,669,392	2,194,555	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	2,669,392
2	Enter amount from Part I, line 27a	2	-473,985
3	Other increases not included in line 2 (itemize) ▶	3	1
4	Add lines 1, 2, and 3	4	2,195,408
5	Decreases not included in line 2 (itemize) ▶	5	853
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	2,194,555

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	53,645
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	586,601	2,635,166	0.222605
2017	625,109	2,677,629	0.233456
2016	550,230	2,416,691	0.227679
2015	553,734	2,597,197	0.213204
2014	698,057	2,456,691	0.284145

2 Total of line 1, column (d)	2	1.181089
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.236218
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	2,414,522
5 Multiply line 4 by line 3	5	570,354
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	966
7 Add lines 5 and 6	7	571,320
8 Enter qualifying distributions from Part XII, line 4	8	580,563

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	966
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	966
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	966
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019 — **6a** 4,748		
b	Exempt foreign organizations—tax withheld at source — **6b**		
c	Tax paid with application for extension of time to file (Form 8868) — **6c** 0		
d	Backup withholding erroneously withheld — **6d**		
7	Total credits and payments. Add lines 6a through 6d.	7	4,748
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	3,782
11	Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ▶ 968 **Refunded** ▶	11	2,814

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ______ **(2)** On foundation managers. ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities (continued)

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶JPMORGAN CHASE BANK NA Telephone no. ▶(800) 496-2583

Located at ▶10 S DEARBORN IL1-0111 CHICAGO IL ZIP+4 ▶60603

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

		Line	Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions		1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶	☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?.		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019?.	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.).*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		4b		No

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
JPMORGAN CHASE BANK NA 10 S DEARBORN IL1-0111 CHICAGO, IL 60603	TRUSTEE 2	23,665		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	2,401,592
b	Average of monthly cash balances.	1b	49,699
c	Fair market value of all other assets (see instructions).	1c	0
d	**Total** (add lines 1a, b, and c).	1d	2,451,291
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e 0		
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	2,451,291
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	36,769
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,414,522
6	**Minimum investment return.** Enter 5% of line 5.	6	120,726

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	120,726
2a	Tax on investment income for 2019 from Part VI, line 5. 2a 966		
b	Income tax for 2019. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b.	2c	966
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	119,760
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	119,760
6	Deduction from distributable amount (see instructions).	6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	119,760

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	580,563
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	580,563
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	966
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	579,597

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				119,760
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			0	
b Total for prior years: 20___ , 20___ , 20___		0		
3 Excess distributions carryover, if any, to 2019:				
a From 2014. 0				
b From 2015. 412,321				
c From 2016. 411,058				
d From 2017. 494,940				
e From 2018. 464,335				
f **Total** of lines 3a through e.	1,782,654			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ $ 580,563				
a Applied to 2018, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				119,760
e Remaining amount distributed out of corpus	460,803			
5 Excess distributions carryover applied to 2019. *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	2,243,457			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount —see instructions		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) . . .	0			
9 **Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a	2,243,457			
10 Analysis of line 9:				
a Excess from 2015. . . . 412,321				
b Excess from 2016. . . . 411,058				
c Excess from 2017. . . . 494,940				
d Excess from 2018. . . . 464,335				
e Excess from 2019. . . . 460,803				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test—enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

DAVE RYDELL BERGSTROM INC
2390 BLACKHAWK ROAD
ROCKFORD, IL 61109
(815) 394-4655
NONE@JPMORGAN.COM

b The form in which applications should be submitted and information and materials they should include:

OUTLINE PURPOSE OF PROPOSED GRANTS OR FUNDS INCLUDE COPY OF 501(C)(3) LETTER

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

PREFERENCE TO IL RESIDENTS AND CHARITABLE ORGANIZATIONS OTHERS CONSIDERED FOR RELIGIOUS, CHARITABLE OR EDUCATIONAL PURPOSE

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				569,500
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions.)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	57,815	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	53,645	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e). .				111,460	

13 Total. Add line 12, columns (b), (d), and (e). **13** 111,460

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

******	2021-01-25	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr.) ☑ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
JACOB ZEHNDER		2021-01-25		P01564049
Firm's name ▶ ERNST & YOUNG US LLP				Firm's EIN ▶34-6565596
Firm's address ▶ 155 N WACKER DRIVE CHICAGO, IL 60606				Phone no. (312) 879-2000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
155.796 DODGE & INTERNATIONAL STOCK FUND		2015-05-22	2019-05-06
244.868 FIDELITY INTL INDX-INST PRM		2017-11-30	2019-05-06
242.452 FIDELITY 500 INDEX-INST PRM		2018-03-27	2019-05-06
26. INVESCO QQQ TRUST SERIES 1		2018-11-28	2019-05-06
110. ISHARES MSCI INDIA ETF		2016-05-23	2019-05-06
25. SPDR TR UNIT SER 1		2017-07-20	2019-05-06
319. ISHARES MSCI JAPAN ETF		2018-08-30	2019-05-07
81. ISHARES MSCI JAPAN ETF		2018-02-14	2019-05-07
63. ISHARES MSCI JAPAN ETF		2018-12-17	2019-05-07
566.75 OAKMARK INTERNATIONAL-INST		2016-12-07	2019-05-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,514		6,527	-13
9,988		10,681	-693
24,723		22,238	2,485
4,938		4,369	569
3,855		2,882	973
7,320		6,180	1,140
17,324		17,544	-220
4,399		4,829	-430
3,425		3,328	97
12,758		13,114	-356

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-13
			-693
			2,485
			569
			973
			1,140
			-220
			-430
			97
			-356

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1561.47 NB GREATER CHINA EQUITY-INST		2015-02-18	2019-05-15
139. SCHWAB U.S. TIPS ETF		2017-08-02	2019-05-15
1905.89 VANGUARD TOT BD MKT IDX-ADM		2016-05-23	2019-05-15
2508.139 JPM MANAGED INCOME - INSTL FUND 2119		2018-05-29	2019-06-17
32.402 JPM MANAGED INCOME - INSTL FUND 2119		2018-08-31	2019-06-17
223.418 PARNASSUS EQTY INCOME FD-INS		2015-04-17	2019-06-17
264.825 PRIMECAP ODYSSEY STOCK FUND		2016-04-21	2019-06-17
427. SCHWAB U.S. TIPS ETF		2017-08-02	2019-06-17
423.922 CRM LNG/SHRT OPPORT-INST		2018-01-30	2019-07-15
99.996 DIAMOND HILL LONG/SHORT-Y		2017-10-18	2019-07-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14,522		18,577	-4,055
7,692		7,673	19
20,412		20,755	-343
25,182		25,106	76
325		324	1
10,367		9,010	1,357
8,543		6,335	2,208
23,744		23,572	172
4,388		4,527	-139
2,744		2,644	100

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-4,055
			19
			-343
			76
			1
			1,357
			2,208
			172
			-139
			100

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
387.661 DODGE & INTERNATIONAL STOCK FUND		2016-04-21	2019-07-15
1587.006 OAKMARK FUND-INST		2018-05-21	2019-07-15
201. ISHARES CORE MSCI EMERGING		2018-09-19	2019-07-15
602.324 MATTHEWS ASIA DIVIDEND-INS		2015-12-10	2019-07-15
518.842 NEUBERGER BERMAN LONG SH-INS		2017-10-18	2019-07-15
72. SPDR TR UNIT SER 1		2017-07-20	2019-07-15
248. VANECK GOLD MINERS		2018-12-20	2019-07-15
99. VANGUARD MID-CAP VIPERS		2018-06-15	2019-07-15
415. WOODWARD GOVERNOR CO		2013-06-13	2019-07-15
1566.183 MATTHEWS ASIA DIVIDEND-INS		2015-12-10	2019-07-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16,173		14,343	1,830
128,167		138,260	-10,093
10,387		10,324	63
10,143		9,070	1,073
7,560		7,378	182
21,653		17,898	3,755
6,489		5,140	1,349
16,887		15,964	923
48,150		16,743	31,407
26,296		24,254	2,042

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,830
			-10,093
			63
			1,073
			182
			3,755
			1,349
			923
			31,407
			2,042

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
256.28 FIDELITY 500 INDEX-INST PRM		2018-03-27	2019-07-25
88. SPDR TR UNIT SER 1		2017-09-11	2019-07-25
325. ISHARES MSCI CHINA ETF		2019-04-11	2019-08-13
183.395 THE ARBITRAGE FUND-I		2015-08-03	2019-08-14
1137.702 BLACKSTONE ALT MULTI-STRAT-Y		2017-10-18	2019-08-14
344.705 CRM LNG/SHRT OPPORT-INST		2018-01-30	2019-08-14
88.213 DIAMOND HILL LONG/SHORT-Y		2017-10-18	2019-08-14
43.727 DODGE & INTERNATIONAL STOCK FUND		2016-04-21	2019-08-14
366.539 DODGE & COX INCOME FUND		2017-01-11	2019-08-14
82.576 FIDELITY 500 INDEX-INST PRM		2018-03-27	2019-08-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
26,768		23,506	3,262
26,398		22,968	3,430
17,917		20,890	-2,973
2,459		2,406	53
12,208		12,435	-227
3,523		3,681	-158
2,319		2,332	-13
1,670		1,618	52
5,172		5,000	172
8,168		7,574	594

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			3,262
			3,430
			-2,973
			53
			-227
			-158
			-13
			52
			172
			594

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
17. INVESCO QQQ TRUST SERIES 1		2018-11-28	2019-08-14
482. ISHARES GOLD TRUST		2018-03-16	2019-08-14
55. ISHARES MSCI JAPAN ETF		2018-12-17	2019-08-14
1503.023 JPM MANAGED INCOME - INSTL FUND 2119		2018-08-31	2019-08-14
254.335 MTRPLTN WST TTL RTRN BND-PLN		2017-07-06	2019-08-14
232.605 NEUBERGER BERMAN LONG SH-INS		2017-10-18	2019-08-14
393.655 PIMCO TOTAL RETURN FIND		2019-01-28	2019-08-14
195.253 PARNASSUS EQTY INCOME FD-INS		2015-04-17	2019-08-14
216.802 PRIMECAP ODYSSEY STOCK FUND		2016-04-21	2019-08-14
80. SCHWAB U.S. TIPS ETF		2017-08-02	2019-08-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,110		2,856	254
6,978		6,067	911
2,884		2,905	-21
15,105		15,045	60
2,655		2,543	112
3,338		3,308	30
4,137		3,913	224
9,001		7,875	1,126
6,799		5,373	1,426
4,571		4,416	155

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			254
			911
			-21
			60
			112
			30
			224
			1,126
			1,426
			155

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
144. VANECK GOLD MINERS		2018-12-20	2019-08-14
36. VANGUARD MID-CAP VIPERS		2018-06-15	2019-08-14
1529.364 VANGUARD TOT BD MKT IDX-ADM		2016-05-23	2019-08-15
294.757 BLACKSTONE ALT MULTI-STRAT-Y		2017-10-18	2019-10-24
101.315 DIAMOND HILL LONG/SHORT-Y		2017-10-18	2019-10-24
931.942 DODGE & INTERNATIONAL STOCK FUND		2016-04-21	2019-10-24
143.815 DODGE & COX INCOME FUND		2017-01-11	2019-10-24
903.156 DOUBLELINE TTL RTRN BND-I		2015-08-24	2019-10-24
222.138 FIDELITY INTL INDX-INST PRM		2017-11-30	2019-10-24
83.196 FIDELITY 500 INDEX-INST PRM		2018-03-27	2019-10-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,100		2,984	1,116
5,783		5,805	-22
17,114		16,655	459
3,175		3,222	-47
2,802		2,679	123
39,505		35,011	4,494
2,028		1,962	66
9,691		9,935	-244
9,359		9,690	-331
8,710		7,631	1,079

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,116
			-22
			459
			-47
			123
			4,494
			66
			-244
			-331
			1,079

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1781.06 OAKMARK INTERNATIONAL-INST		2016-12-07	2019-10-24
17. INVESCO QQQ TRUST SERIES 1		2018-11-28	2019-10-24
80. ISHARES MSCI ASIAN EX JAPAN		2015-12-10	2019-10-24
60. ISHARES MSCI CHINA ETF		2019-04-11	2019-10-24
125. ISHARES CORE MSCI EMERGING		2018-11-28	2019-10-24
45. ISHARES MSCI JAPAN ETF		2018-12-17	2019-10-24
764.477 MTRPLTN WST TTL RTRN BND-PLN		2017-07-06	2019-10-24
111.3 NEUBERGER BERMAN LONG SH-INS		2017-10-18	2019-10-24
204.823 PIMCO TOTAL RETURN FIND		2019-01-28	2019-10-24
117.502 PARNASSUS EQTY INCOME FD-INS		2015-04-17	2019-10-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
42,728		42,479	249
3,294		2,856	438
5,494		4,316	1,178
3,475		3,857	-382
6,373		6,244	129
2,614		2,377	237
7,943		7,645	298
1,634		1,583	51
2,145		2,036	109
5,641		4,739	902

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			249
			438
			1,178
			-382
			129
			237
			298
			51
			109
			902

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
292.529 PRIMECAP ODYSSEY STOCK FUND		2016-09-13	2019-10-24
89.605 PGIM GLOBAL REAL ESTATE-Q		2017-11-17	2019-10-24
98. VANGUARD FINANCIALS VIPERS		2019-04-11	2019-10-24
21. VANGUARD MID-CAP VIPERS		2018-06-15	2019-10-24
742.87 JPM MANAGED INCOME - INSTL FUND 2119		2019-07-15	2019-11-19
1723.682 JPM MANAGED INCOME - INSTL FUND 2119		2018-09-05	2019-11-19
1847.021 THE ARBITRAGE FUND-I		2015-08-03	2020-01-09
2390.882 CRM LNG/SHRT OPPORT-INST		2018-01-30	2020-01-09
1622.164 PRIMECAP ODYSSEY STOCK FUND		2016-09-13	2020-01-09
642.73 CRM LNG/SHRT OPPORT-INST		2019-02-28	2020-01-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,914		7,316	2,598
2,442		2,211	231
6,958		6,551	407
3,546		3,386	160
7,466		7,458	8
17,323		17,254	69
24,713		24,223	490
24,124		25,535	-1,411
56,451		42,611	13,840
6,453		6,421	32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2,598
			231
			407
			160
			8
			69
			490
			-1,411
			13,840
			32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1772.079 CRM LNG/SHRT OPPORT-INST		2018-01-30	2020-01-16
152.579 PGIM GLOBAL REAL ESTATE-Q		2020-01-16	2020-02-10
889.503 PGIM GLOBAL REAL ESTATE-Q		2017-11-17	2020-02-10
930.833 BLACKSTONE ALT MULTI-STRAT-Y		2017-10-18	2020-02-18
55.29 CAUSEWAY INTERNATIONAL VALUE FUND		2019-10-24	2020-02-18
217.516 COHEN & STEERS REAL ESTATE-F		2020-02-10	2020-02-18
78.07 DIAMOND HILL LONG/SHORT-Y		2017-10-18	2020-02-18
46.279 DODGE & INTERNATIONAL STOCK FUND		2017-01-05	2020-02-18
173.307 DODGE & COX INCOME FUND		2017-01-11	2020-02-18
153.97 DOUBLELINE TTL RTRN BND-I		2015-08-24	2020-02-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
17,792		19,035	-1,243
3,839		3,755	84
22,380		21,980	400
10,006		10,174	-168
838		848	-10
4,122		4,022	100
2,082		2,064	18
1,973		2,103	-130
2,477		2,364	113
1,661		1,694	-33

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-1,243
			84
			400
			-168
			-10
			100
			18
			-130
			113
			-33

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
82.933 FIDELITY INTL INDX-INST PRM		2017-11-30	2020-02-18
218.514 FIDELITY 500 INDEX-INST PRM		2018-03-27	2020-02-18
51.022 OAKMARK INTERNATIONAL-INST		2017-05-04	2020-02-18
73. INVESCO QQQ TRUST SERIES 1		2018-11-28	2020-02-18
298. ISHARES GOLD TRUST		2018-03-16	2020-02-18
82. ISHARES MSCI ASIAN EX JAPAN		2015-12-10	2020-02-18
95. ISHARES MSCI INDIA ETF		2016-05-23	2020-02-18
51. ISHARES MSCI CHINA ETF		2019-04-11	2020-02-18
61. ISHARES CORE MSCI EMERGING		2018-11-28	2020-02-18
18. ISHARES MSCI JAPAN ETF		2018-12-17	2020-02-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,530		3,618	-88
25,597		20,875	4,722
1,219		1,338	-119
17,058		12,266	4,792
4,560		3,751	809
5,944		4,424	1,520
3,319		2,480	839
3,298		3,170	128
3,205		3,047	158
1,037		951	86

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-88
			4,722
			-119
			4,792
			809
			1,520
			839
			128
			158
			86

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
280.277 TORTOISE MLP & PIPELINE-INST		2016-11-23	2020-02-18
167.222 MTRPLTN WST TTL RTRN BND-PLN		2017-07-06	2020-02-18
211.445 NEUBERGER BERMAN LONG SH-INS		2017-10-18	2020-02-18
177.955 PIMCO TOTAL RETURN FIND		2019-01-28	2020-02-18
269.6 PARNASSUS EQTY INCOME FD-INS		2015-04-17	2020-02-18
42. SCHWAB U.S. TIPS ETF		2017-08-02	2020-02-18
36. AMEX CONSUMER SVC SELECT SPD		2019-11-19	2020-02-18
29. VANECK GOLD MINERS		2018-12-20	2020-02-18
326.544 VANGUARD TOT BD MKT IDX-ADM		2016-05-23	2020-02-18
100. VANGUARD FINANCIALS VIPERS		2019-04-11	2020-02-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,394		3,619	-225
1,749		1,672	77
3,248		3,007	241
1,877		1,769	108
13,307		10,873	2,434
2,425		2,319	106
3,716		3,523	193
841		601	240
3,674		3,556	118
7,648		6,685	963

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-225
			77
			241
			108
			2,434
			106
			193
			240
			118
			963

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
73. VANGUARD MID-CAP VIPERS		2018-06-15	2020-02-18
107. ISHARES MSCI ASIAN EX JAPAN		2015-12-10	2020-02-20
300. ISHARES MSCI ASIAN EX JAPAN		2015-12-10	2020-02-20
100. ISHARES MSCI JAPAN ETF		2018-12-17	2020-02-20
1. ISHARES MSCI JAPAN ETF		2019-10-30	2020-02-20
299. ISHARES MSCI JAPAN ETF		2018-12-17	2020-02-20
200. ISHARES MSCI INDIA ETF		2016-05-23	2020-02-28
479. ISHARES MSCI INDIA ETF		2016-05-23	2020-02-28
109.721 TORTOISE MLP & PIPELINE-INST		2020-02-10	2020-03-02
1693.442 TORTOISE MLP & PIPELINE-INST		2016-11-23	2020-03-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
13,492		11,772	1,720
7,690		5,773	1,917
21,561		21,497	64
5,699		5,282	417
57		58	-1
17,058		15,792	1,266
6,406		5,220	1,186
15,310		12,509	2,801
1,210		1,305	-95
18,679		21,686	-3,007

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,720
			1,917
			64
			417
			-1
			1,266
			1,186
			2,801
			-95
			-3,007

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
436.15 PRIMECAP ODYSSEY STOCK FUND		2017-01-30	2020-03-04
2136.059 TORTOISE MLP & PIPELINE-INST		2020-02-10	2020-03-10
876. SPDR S&P OIL & GAS EXP & PR		2017-03-13	2020-03-13
126. SPDR S&P OIL & GAS EXP & PR		2019-07-15	2020-03-13
52. VANGUARD MID-CAP VIPERS		2018-06-15	2020-03-13
1552.779 DODGE & COX INCOME FUND		2017-01-11	2020-03-26
125. ISHARES MSCI ASIAN EX JAPAN		2019-07-22	2020-03-26
205. ISHARES MSCI ASIAN EX JAPAN		2018-08-30	2020-03-26
474. ISHARES CORE MSCI EMERGING		2018-11-28	2020-03-26
452. VANGUARD MID-CAP VIPERS		2018-06-15	2020-03-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
13,721		11,532	2,189
17,345		25,398	-8,053
7,664		28,270	-20,606
1,102		3,273	-2,171
7,090		8,385	-1,295
21,087		21,180	-93
7,667		8,712	-1,045
12,574		14,201	-1,627
19,897		24,150	-4,253
61,004		72,640	-11,636

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2,189
			-8,053
			-20,606
			-2,171
			-1,295
			-93
			-1,045
			-1,627
			-4,253
			-11,636

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
617.724 PRIMECAP ODYSSEY STOCK FUND		2017-01-30	2020-04-02
119.078 DIAMOND HILL LONG/SHORT-Y		2020-01-16	2020-04-08
1664.525 DIAMOND HILL LONG/SHORT-Y		2017-10-18	2020-04-08
1358.946 PRIMECAP ODYSSEY STOCK FUND		2019-07-15	2020-04-09
637. PRIMECAP ODYSSEY STOCK FUND		2018-02-08	2020-04-09
1370.45 DODGE & COX INCOME FUND		2017-01-11	2020-04-24
3730.367 DOUBLELINE TTL RTRN BND-I		2015-08-24	2020-04-24
3526.915 MTRPLTN WST TTL RTRN BND-PLN		2017-07-06	2020-04-24
1887.875 PIMCO TOTAL RETURN FIND		2019-01-28	2020-04-24
666.779 PIMCO TOTAL RETURN FIND		2019-07-15	2020-04-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
15,332		17,585	-2,253
2,564		3,251	-687
35,837		43,811	-7,974
37,004		45,334	-8,330
17,346		19,747	-2,401
19,447		18,693	754
39,281		40,575	-1,294
37,667		35,400	2,267
20,125		18,765	1,360
7,108		6,881	227

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-2,253
			-687
			-7,974
			-8,330
			-2,401
			754
			-1,294
			2,267
			1,360
			227

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
			27,791

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ALIGNMENT ROCKFORD 330 SPRING CREEK RD ROCKFORD, IL 61107	NONE	PC	GENERAL	5,000
ONE BODY COLLABORATIVES INC PO BOX 1633 ROCKFORD, IL 61110	NONE	PC	GENERAL	12,500
BRAVEHEARTS THERAPEUTIC RIDING 7319 MAXON RD HARVARD, IL 60033	NONE	PC	GENERAL	5,000
Total . . . . ▶ **3a**				569,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UNIVERSITY OF NOTRE DAME 724 GRACE HALL CONTROLLERS OFFICE NOTRE DAME, IN 46556	NONE	PC	GENERAL	2,500
REGIONAL ACCESS MOBILIZATION PROJECT INC202 MARKET ST ROCKFORD, IL 61107	NONE	PC	GENERAL	2,500
DISCOVERY CENTER MUSEUM OF ROCKFORD INC711 N MAIN STREET ROCKFORD, IL 61103	NONE	PC	GENERAL	5,000
Total . . . . ▶ **3a**				569,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
KLEHM ARBORETUM AND BOTANICAL GARDEN INC2715 S MAIN STREET ROCKFORD, IL 61102	NONE	PC	GENERAL	5,000
ROCKFORD ROTARY CHARITABLE PO BOX 1323 ROCKFORD, IL 61105	NONE	PC	GENERAL	3,000
KIWANIS CHARITIES OF ROCKFORD PO BOX 8472 ROCKFORD, IL 61126	NONE	PC	GENERAL	1,250
Total . . . . ▶ **3a**				569,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LAURENT FOUNDATION INC 4949 HARRISON AVENUE ROCKFORD, IL 61108	NONE	PC	GENERAL	5,000
ALPINE KIWANIS CHARITIES FUND PO BOX 5132 ROCKFORD, IL 61125	NONE	PC	GENERAL	1,250
YOUTH SERVICES NETWORK INC 15501 SAN FERNANDO MISSION BLV SUIT MISSION HILLS, CA 91345	NONE	PC	GENERAL	5,000
Total . . . . ▶ **3a**				569,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LUTHERAN OUTDOOR MINISTRIES CENTER1834 S IL ROUTE 2 OREGON, IL 61061	NONE	PC	GENERAL	2,500
AMERICAN RED CROSS ROCK 727 NORTH CHURCH STREET ROCKFORD, IL 61103	NONE	PC	GENERAL	2,500
CRUSADERS HEALTH FOUNDATION 1200 W STATE ST ROCKFORD, IL 22112	NONE	PC	GENERAL	5,000
Total . . . . ▶ **3a**				569,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MUSCULAR DYSTROPHY ASSOCIATION 3300 E SUNRISE DRIVE TUCSON, AZ 85718	NONE	PC	GENERAL	3,500
WINNEBAGO COUNTY CASA 211 S COURT ST RM 258 ROCKFORD, IL 61101	NONE	PC	GENERAL	2,500
CLUB BLUE ROCKFORD INC 2224 PRINCETON AVE ROCKFORD, IL 73255	NONE	PC	GENERAL	2,500
Total . . . . ▶ **3a**				569,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SWEDISHAMERICAN FOUNDATION 1415 E STATE ST ROCKFORD, IL 61104	NONE	PC	GENERAL	10,000
AMERICAN HEART ASSOCIATION 5192 HARRISON AVENUE ROCKFORD, IL 61108	NONE	PC	GENERAL	2,500
GIGI'S PLAYHOUSE INC 2350 W HIGGINS RD HOFFMAN ESTATES, IL 60169	NONE	PC	GENERAL	5,000
Total . . . . ▶ **3a**				569,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SOUNDS OF GOOD NEWS INC 2627 WOODMAR RD ROCKFORD, IL 61114	NONE	PC	GENERAL	10,000
AGRACE HOSPICE7290 ARGUS DR ROCKFORD, IL 61107	NONE	PC	GENERAL	5,000
HARRY AND DELLA BURPEE MUSEUM ASSOCIATION737 N MAIN ST ROCKFORD, IL 611036971	NONE	PC	GENERAL	5,000
Total . . . . ▶ **3a**				569,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
THE UNIVERSITY OF ILLINOIS COLLEGE OF MEDICINE1835 W POLK ST CHICAGO, IL 60612	NONE	PC	GENERAL	20,000
ROCKFORD SYMPHONY ORCHESTRA 711 N MAIN STREET ROCKFORD, IL 61103	NONE	PC	GENERAL	10,000
ROCKFORD RESCUE MISSION MINISTRIES PO BOX 4083 ROCKFORD, IL 61110	NONE	PC	GENERAL	15,000
Total . . . . ▶ **3a**				569,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ROCKFORD AREA ARTS COUNCIL 713 E STATE STREET ROCKFORD, IL 61104	NONE	PC	GENERAL	3,500
ROCKFORD PARK DISTRICT 401 S MAIN ST ROCKFORD, IL 61101	NONE	PC	GENERAL	10,000
ROSECRANCE FOUNDATION 3815 HARRISON AVENUE ROCKFORD, IL 61108	NONE	PC	GENERAL	10,000
Total . . . . ▶ **3a**				569,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BOY SCOUTS OF AMERICA - BLACKHAWK AREA COUNCILPO BOX 4085 ROCKFORD, IL 61110	NONE	PC	GENERAL	2,500
MILESTONE INC 4060 MCFARLAND ROAD ROCKFORD, IL 61111	NONE	PC	GENERAL	5,000
AUGUSTANA COLLEGE 639 - 39TH STREET ROCK ISLAND, IL 61201	NONE	PC	GENERAL	30,000
Total . . . . ▶ **3a**				569,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NORTHERN ILLINOIS FOOD BANK 320 S AVON STREET ROCKFORD, IL 61102	NONE	PC	GENERAL	5,000
MOSAIC225 S THIRD STREET ROCKFORD, IL 61104	NONE	PC	GENERAL	30,000
LUTHERAN SOCIAL SERVICE OF ILLINOIS1001 E TOUHY DES PLAINES, IL 60018	NONE	PC	GENERAL	10,000
Total . . . . ▶ **3a**				569,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
GOLDEN APPLE FOUNDATION OF ROCKFORD7210 E STATE STREET ROCKFORD, IL 61108	NONE	PC	GENERAL	15,000
PATRIOTS GATEWAY COMMUNITY CENTER615 S FIFTH STREET ROCKFORD, IL 61104	NONE	PC	GENERAL	30,000
FRIENDS OF THE CORONADO 314 N MAIN STREET ROCKFORD, IL 61101	NONE	PC	GENERAL	5,000
Total . . . . ▶ **3a**				569,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MIDWAY VILLAGE AND MUSEUM CENTER 6799 GUILFORD ROAD ROCKFORD, IL 61107	NONE	PC	GENERAL	10,000
KIDS AROUND THE WORLD INC 2424 CHARLES STREET ROCKFORD, IL 61108	NONE	PC	GENERAL	7,500
ILLINOIS GROWTH ENTERPRISES 6151 MONTAGUE ROAD ROCKFORD, IL 61102	NONE	PC	GENERAL	5,000
Total . . . . ▶ **3a**				569,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BARBARA OLSON CENTER OF HOPE 3206 N CENTRAL AVENUE ROCKFORD, IL 61101	NONE	PC	GENERAL	5,000
GOLDIE FLOBERG CENTER FOR CHILDREN 58 W ROCKTON ROAD ROCKFORD, IL 61072	NONE	PC	GENERAL	5,000
YMCA OF ROCK RIVER VALLEY 200 Y BOULEVARD ROCKFORD, IL 61107	NONE	PC	GENERAL	5,000
Total . . . . ▶ **3a**				569,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ALZHEIMERS ASSOCIATION 4777 E STATE ST ROCKFORD, IL 61108	NONE	PC	GENERAL	2,500
CARPENTERS PLACE 1149 RAILROAD AVE ROCKFORD, IL 61104	NONE	PC	GENERAL	10,000
CENTER FOR SIGHT AND HEARING 8038 MACINTOSH LANE ROCKFORD, IL 61103	NONE	PC	GENERAL	7,500
Total . . . . ▶ **3a**				569,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
COMMUNITY FOUNDATION OF NORTHERN ILLINOIS946 NORTH SECOND STREET ROCKFORD, IL 61107	NONE	PC	GENERAL	5,000
CHILDRENS HOME AND AID SOCIETY 910 2ND AVE 103 ROCKFORD, IL 61104	NONE	PC	GENERAL	5,000
PHANTOM REGIMENT DRUM & BUGLE CORPS5050 E STATE STREET ROCKFORD, IL 61108	NONE	PC	GENERAL	1,000
Total . . . . ▶ **3a**				569,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ROCKFORD UNIVERSITY 5050 E STATE ST ROCKFORD, IL 61108	NONE	PC	GENERAL	60,000
ROCKFORD DANCE CO SPECIAL GIFT FOR STUDIO PROJECT 711 N MAIN ST Rockford, IL 61103	NONE	PC	GENERAL	3,500
FAMILY COUNSELING SERVICES OF NORTHERN ILLINOIS 210 N LONGWOOD ST Rockford, IL 61107	NONE	PC	GENERAL	2,500
Total . . . . ▶ **3a**				569,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
INTERNATIONAL WOMENS BASEBALL CENTER PO BOX 1253 CATEDRAL CITY, CA 92235	NONE	PC	GENERAL	10,000
THE ALS ASSOCIATION 220 WEST HURON STREET- SUITE 4003 CHICAGO, IL 60654	NONE	PC	GENERAL	1,000
REMEDIES RENEWING LIVES 516 GREEN ST Rockford, IL 61102	NONE	PC	GENERAL	10,000
Total . . . . ▶ **3a**				569,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
OSF HEALTHCARE SYSTEM FOR GENERAL SUPPORT OF ST ANTHONY HOSPI 800 NE GLEN OAK Avenue Peoria, IL 61603	NONE	PC	GENERAL	3,000
ROCKFORD AREA CONVENTION AND VISITORS BUREAU CHARITABLE FOUNDATION 102 N MAIN ST Rockford, IL 61101	NONE	PC	GENERAL	1,000
THE LITERCAY COUNCIL 982 N MAIN STREET ROCKFORD, IL 61103	NONE	PC	GENERAL	2,500
Total . . . . ▶ **3a**				569,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
GIRL SCOUTS OF THE UNITED STATES OF AMERICA420 5TH AVE New York, NY 10018	NONE	PC	GENERAL	1,000
NORTHERN ILLINOIS HOSPICE ASSOCIATION4215 NEWBURG ROAD ROCKFORD, IL 61111	NONE	PC	GENERAL	2,500
SHELTER CARE MINISTRIES 412 N CHURCH ST ROCKFORD, IL 61103	NONE	PC	GENERAL	2,500
Total . . . . ▶ **3a**				569,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ANDERSON GARDENS 318 SPRING CREEK RD ROCKFORD, IL 61107	NONE	PC	GENERAL	4,000
ROCK VALLEY COLLEGE FOUNDATION 3301 N MULFORD ROAD ROCKFORD, IL 61111	NONE	PC	GENERAL	55,000
SALVATION ARMY 220 S MADISON STREET ROCKFORD, IL 61104	NONE	PC	GENERAL	10,000
Total . . . . ▶ **3a**				569,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
YOUTH FOR CHRIST USA INC 232 MAIN STREET NW BOURBONNAIS, IL 60914	NONE	PC	GENERAL	10,000
GOODWILL INDUSTRIES OF NORTHERN ILLINOIS INC 615 N LONGWOOD STREET Rockford, IL 61110	NONE	PC	GENERAL	2,500
ZION DEVELOPMENT CORPORATION 502 SEVENTH STREET ROCKFORD, IL 61104	NONE	PC	GENERAL	10,000
Total . . . . ▶ **3a**				569,500

TY 2019 Investments Corporate Bonds Schedule

Name: BERGSTROM INC CHARITABLE FOUNDATION XXXXX2008

EIN: 36-6692339

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
256210105 DODGE & COX INCOME	39,819	41,462
258620103 DOUBLELINE TTL RTRN	36,995	36,375
921937603 VANGUARD TOT BD MKT	362,172	377,858
592905764 MTRPLTN WST TTL RTRN	38,985	41,528
808524870 SCHWAB U.S. TIPS ETF	45,653	48,851
48121A415 JPM MANAGED INCOME F		
693390700 PIMCO TOTAL RETURN F	55,305	57,127
722005816 PIMCO INV GRD CRD BN	36,303	36,303

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TY 2019 Investments Corporate Stock Schedule

Name: BERGSTROM INC CHARITABLE FOUNDATION XXXXX2008

EIN: 36-6692339

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
46429B598 ISHARES MSCI INDIA E	19,826	18,340
64122Q465 NEUBERGER BER GR CHI		
256206103 DODGE & COX INTL STC	61,994	45,207
464288182 ISHARES MSCI ALL COU	39,119	36,538
46429B671 ISHARES MSCI CHINA E	21,845	22,073
577130750 MATTHEWS ASIA DIVIDE		
413838723 OAKMARK INTERNATIONA	66,040	42,557
701769408 PARNASSUS CORE EQUIT	132,080	129,553
74160Q301 PRIMECAP ODYSSEY STO		
78462F103 SPDR S&P 500 ETF TRU		
78464A730 SPDR S&P OIL & GAS E		
315911727 FIDELITY INTL INDX-I	95,745	78,289
315911750 FIDELITY 500 INDEX-I	225,948	241,299
922908629 VANGUARD MID-CAP ETF	34,649	34,139
46434G822 ISHARES MSCI JAPAN E	24,264	21,480
413838780 OAKMARK FUND-INST		
46090E103 INVESCO QQQ TRUST SE	76,779	93,037
46434G103 ISHARES CORE MSCI EM	69,503	57,893
92189F106 VANECK GOLD MINERS	17,449	27,163
92204A405 VANGUARD FINANCIALS	77,953	65,465
0075W0759 EDGEWOOD GROWTH FUND	20,429	21,820
14949P208 CAUSEWAY INTERNATL V	59,725	45,271
464288372 ISHARES GLOBAL INFRA	22,833	18,673
77956H286 T ROWE PR JAPAN FUND	23,845	22,925
77956H385 T ROWE PRICE NEW ASI	29,807	27,000
81369Y209 HEALTH CARE SELECT S	67,955	69,412

TY 2019 Investments - Other Schedule

Name: BERGSTROM INC CHARITABLE FOUNDATION XXXXX2008

EIN: 36-6692339

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
744336876 PGIM GLOBAL REAL EST			
03875R205 THE ARBITRAGE FD-I			
56166Y404 TORTOISE MLP & PIPEL			
464285105 ISHARES GOLD TRUST	AT COST	52,790	64,053
09257V508 BLACKSTONE ALT MULTI	AT COST	144,191	122,224
12628J881 CRM LONG/SHORT OPPOR			
64128R608 NEUBERGER BERMAN LON	AT COST	43,437	45,147
72201P175 PIMCO COMMODITYPL ST	AT COST	25,389	15,774
25264S650 DIAMOND HILL LONG/SH			
191912708 COHEN & STEERS REAL	AT COST	46,345	35,893

TY 2019 Other Decreases Schedule

Name: BERGSTROM INC CHARITABLE FOUNDATION XXXXX2008

EIN: 36-6692339

Description	Amount
RETURN OF CAPITAL ADJUSTMENTS	853

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TY 2019 Other Expenses Schedule

Name: BERGSTROM INC CHARITABLE FOUNDATION XXXXX2008

EIN: 36-6692339

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ATTORNEY GENERAL FEE	30	0		30

TY 2019 Other Increases Schedule

Name: BERGSTROM INC CHARITABLE FOUNDATION XXXXX2008

EIN: 36-6692339

Description	Amount
ROUNDING	1

TY 2019 Taxes Schedule

Name: BERGSTROM INC CHARITABLE FOUNDATION XXXXX2008

EIN: 36-6692339

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAX PAYMENT - PRIOR YE	5,300	0		0
FEDERAL ESTIMATES - INCOME	2,338	0		0
FOREIGN TAXES ON QUALIFIED FOR	1,159	1,159		0
FOREIGN TAXES ON NONQUALIFIED	196	196		0

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Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**
▶ **Go to *www.irs.gov/Form990* for the latest information.**

OMB No. 1545-0047

2019

Name of the organization	**Employer identification number**
BERGSTROM INC CHARITABLE FOUNDATION XXXXX2008	36-6692339

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . ▶ $ ______________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
BERGSTROM INC CHARITABLE FOUNDATION XXXXX2008	36-6692339

Part I **Contributors** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	BERGSTROM INC 2390 BLACKHAWK ROAD ROCKFORD, IL 61109	$ 16,743	☐ Person ☐ Payroll ☑ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
BERGSTROM INC CHARITABLE FOUNDATION XXXXX2008	36-6692339

Part II **Noncash Property**

(see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	415 SHARES OF WOODWARD INC CHARLES SCHWAB & CO INC	$ 45,409	2019-05-15

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

Name of organization	Employer identification number
BERGSTROM INC CHARITABLE FOUNDATION XXXXX2008	36-6692339

Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns **(a)** through **(e) and** the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $ ____________

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
-	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
-	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
-	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
-	