

Form **990-PF****Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0047

2019

Open to Public Inspection

Department of the Treasury
Internal Revenue Service► Do not enter social security numbers on this form as it may be made public.
► Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2019 or tax year beginning

May 1

, 2019, and ending

April 30

, 2020

Name of foundation

Andrew J. & Joyce D. Mandell Family Foundation, Inc.

A Employer identification number

22 - 2536600

Number and street (or P O box number if mail is not delivered to street address)

240 Hartford Avenue

Room/suite

B Telephone number (see instructions)

860 - 666-0399

City or town, state or province, country, and ZIP or foreign postal code

Newington, CT 06111C If exemption application is pending, check here ☐G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐I Fair market value of all assets at end of year (from Part II, col (c), line 16) ► \$ **16,815,057**
J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d), must be on cash basis)F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Schedule 1					
1	Contributions, gifts, grants, etc., received (attach schedule)	543,123			
2	Check ☐ if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	335,339			
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 6b	1,319,916			
b	Gross sales price for all assets on line 6a	1,319,916			
7	Capital gain net income (from Part IV, line 2)		1,319,916		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	45			
12	Total. Add lines 1 through 11	2,198,423	1,319,916	0	
13	Compensation of officers, directors, trustees, etc.	0	0	0	0
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule)	74,135			
17	Interest				
18	Taxes (attach schedule) (see instructions)	5,250			
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)				
24	Total operating and administrative expenses. Add lines 13 through 23	79,385	0	0	0
25	Contributions, gifts, grants paid	3,486,671			3,486,671
26	Total expenses and disbursements. Add lines 24 and 25	3,566,056	0	0	3,486,671
27	Subtract line 26 from line 12	(1,367,633)			
a	Excess of revenue over expenses and disbursements		1,319,916		
b	Net investment income (if negative, enter -0-)				
c	Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form **990-PF** (2019)

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	1,566,287	457,215	457,215
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule) Schedule 7	8,926,564	8,572,387	12,013,547
	c Investments—corporate bonds (attach schedule) Schedule 7	4,121,849	4,217,465	4,344,295
	11 Investments—land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule) Schedule 8	590,249	590,249	
	14 Land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach schedule) ▶			
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	15,204,949	13,837,316	16,815,057	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0	0		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29, and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☒ and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	15,204,949	13,837,316	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances (see instructions)	15,204,949	13,837,316	
30 Total liabilities and net assets/fund balances (see instructions)	15,204,949	13,837,316		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	15,204,949
2 Enter amount from Part I, line 27a	2	(1,367,633)
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	13,837,316
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	13,837,316

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Capital Gain Distributions				
b Long Term Capital Gains				
c Short Term Capital Gains				
d Short Term Capital Gain Distributions				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 611,015			611,015
b 693,167			693,167
c 5,249			5,249
d 10,485			10,485
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			611,015
b			693,167
c			5,249
d			10,485
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,319,916
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8.	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	2,144,835	20,335,543	10.55%
2017	3,207,040	21,084,187	15.21%
2016	2,361,811	19,840,614	11.90%
2015	1,278,900	19,299,410	6.63%
2014	989,250	18,905,107	5.23%

2 Total of line 1, column (d)	2	49.52%
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	9.90%
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	18,859,116
5 Multiply line 4 by line 3	5	1,867,807
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	13,199
7 Add lines 5 and 6	7	1,881,006
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	3,486,671

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter. (attach copy of letter if necessary—see instructions)		1	13,199
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		2	0
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)		3	13,199
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	0
3 Add lines 1 and 2		5	13,199
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		6a	6,530
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		6b	
6 Credits/Payments.		6c	
a 2019 estimated tax payments and 2018 overpayment credited to 2019		6d	
b Exempt foreign organizations—tax withheld at source		7	6,530
c Tax paid with application for extension of time to file (Form 8868)		8	
d Backup withholding erroneously withheld		9	6,669
7 Total credits and payments. Add lines 6a through 6d		10	0
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		11	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2020 estimated tax Refunded			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		
c Did the foundation file Form 1120-POL for this year?		N/A
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ (2) On foundation managers ► \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by <i>General Instruction T</i> .		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions ► Connecticut		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions Schedule	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X
14 The books are in care of ▶ Joyce D. Mandell Telephone no ▶ 860-666-0399 Located at ▶ 240 Hartford Ave., Newington, CT ZIP+4 ▶ 06111-2077		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—check here. and enter the amount of tax-exempt interest received or accrued during the year ▶ 15		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	N/A
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? ☐ Yes ☒ No If "Yes," list the years ▶ 20 , 20 , 20 , 20		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

- | | | Yes | No |
|-----------|--|------------------------------|--|
| 5a | During the year, did the foundation pay or incur any amount to: | | |
| | (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | ☐ Yes | ☒ No |
| | (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? | ☐ Yes | ☒ No |
| | (3) Provide a grant to an individual for travel, study, or other similar purposes? | ☐ Yes | ☒ No |
| | (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions | ☐ Yes | ☒ No |
| | (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | ☐ Yes | ☒ No |
| b | If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions | | |
| | Organizations relying on a current notice regarding disaster assistance, check here | ☐ | |
| c | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? | ☐ Yes | ☐ No |
| | If "Yes," attach the statement required by Regulations section 53.4945-5(d). | | |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | ☐ Yes | ☒ No |
| b | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | |
| | If "Yes" to 6b, file Form 8870 | | |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | ☐ Yes | ☒ No |
| b | If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | | |
| 8 | Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? | ☐ Yes | ☒ No |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Joyce D. Mandell 51 Mountain Farms Rd, W.Hartford CT 06117	Pres / As Req	0	0	0
Andrew J. Mandell 51 Mountain Farms Rd, W.Hartford CT 06117	V.P. / As Req.	0	0	0
Bruce Mandell 30 Lois Drive, Woodbridge, CT 06525	Sec / As Req.	0	0	0
Mark Mandell 110 Sunset Farm Rd, W. Hartford, CT 06107	Dir. / As Req.	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		0	0	0
Total number of other employees paid over \$50,000				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Fierston Financial Group, Inc. 29 South Main Street, West Hartford, CT 06107	Investment Advisory	74,135

Total number of others receiving over \$50,000 for professional services**0****Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 None	0
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 None	0
2	
All other program-related investments. See instructions	
3 None	0

Total. Add lines 1 through 3

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	17,495,264
b	Average of monthly cash balances	1b	1,060,798
c	Fair market value of all other assets (see instructions)	1c	590,249
d	Total (add lines 1a, b, and c)	1d	19,146,311
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	19,146,311
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	287,195
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	18,859,116
6	Minimum investment return. Enter 5% of line 5	6	942,956

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	942,956
2a	Tax on investment income for 2019 from Part VI, line 5	2a	13,199
b	Income tax for 2019. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	13,199
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	929,757
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	929,757
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	929,757

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	3,486,671
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	3,486,671
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	13,199
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	3,473,472

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				929,757
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only				
b Total for prior years 20 <u>20</u> , 20 <u> </u> , 20 <u> </u>				
3 Excess distributions carryover, if any, to 2019.				
a From 2014	68,439			
b From 2015	344,049			
c From 2016	1,386,408			
d From 2017	2,191,999			
e From 2018	1,139,202			
f Total of lines 3a through e	5,130,097			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ <u>3,486,671</u>				
a Applied to 2018, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2019 distributable amount				929,757
e Remaining amount distributed out of corpus	2,556,914			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	7,687,011			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)	68,439			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	7,618,572			
10 Analysis of line 9:				
a Excess from 2015	344,049			
b Excess from 2016	1,386,408			
c Excess from 2017	2,191,999			
d Excess from 2018	1,139,202			
e Excess from 2019	2,556,914			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling
- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year				(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	N/A	N/A	N/A	N/A	N/A
b 85% of line 2a	N/A	N/A	N/A	N/A	N/A
c Qualifying distributions from Part XII, line 4, for each year listed	N/A	N/A	N/A	N/A	N/A
d Amounts included in line 2c not used directly for active conduct of exempt activities					N/A
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	N/A	N/A	N/A	N/A	N/A
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					N/A
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					N/A
b "Endowment" alternative test—enter $\frac{2}{3}$ of minimum investment return shown in Part X, line 6, for each year listed	N/A	N/A	N/A	N/A	N/A
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					N/A
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					N/A
(3) Largest amount of support from an exempt organization					N/A
(4) Gross investment income					N/A

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

Schedule Attached

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

- a** The name, address, and telephone number or email address of the person to whom applications should be addressed

N/A

- b** The form in which applications should be submitted and information and materials they should include

N/A

- c** Any submission deadlines

N/A

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV **Supplementary Information** *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>				
Various (See Schedule Attached)	N/A	Public	Support	3,486,671
Total			▶ 3a	3,486,671
b <i>Approved for future payment</i>				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	335,339	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					45
8	Gain or (loss) from sales of assets other than inventory			18		1,319,916
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue: a					
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)		0		335,339	1,319,961
13	Total. Add line 12, columns (b), (d), and (e)				13	1,655,300

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B	Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII **Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations**

- | | | | |
|------------|---|--------------|-----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | Yes | No |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| (1) | Cash | 1a(1) | X |
| (2) | Other assets | 1a(2) | X |
| b | Other transactions. | | |
| (1) | Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| (2) | Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| (3) | Rental of facilities, equipment, or other assets | 1b(3) | X |
| (4) | Reimbursement arrangements | 1b(4) | X |
| (5) | Loans or loan guarantees | 1b(5) | X |
| (6) | Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? N/A
☐ Yes ☐ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: [Signature] Date: 8/6/20 Title: PRESIDENT

May the IRS discuss this return with the preparer shown below? See instructions ☐ Yes ☒ No

May the IRS discuss this return with the preparer shown below?
See instructions ☐ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	Firm's name ▶			Firm's EIN ▶ -- - - - -	
	Firm's address ▶			Phone no - - - - -	

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2019

Name of the organization

Andrew J. & Joyce D. Mandell Family Foundation, Inc.

Employer identification number

22 - 2536600**Organization type** (check one):**Filers of:****Section:**

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 $\frac{1}{3}$ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Schedule B (Form 990, 990-EZ, or 990-PF) (2019)

Name of organization Andrew J. & Joyce D. Mandell Family Foundation, Inc.	Employer identification number 22 - 2536600
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Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Joyce Mandell 51 Mountain Farms Road West Hartford, CT 06117	\$ 543,123	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Employer identification number

22 - 2536600

[illegible]

Attachments to IRS Form 990-PF
 Andrew J. & Joyce D. Mandell Family Foundation, Inc.
 22-2536600
 Tax Year 2019

Schedule 1: Part I, Line 1 - Contributions, Gifts, Grants, etc. Received

Description	Amount
1 Marketable Securities	543,123
2	
Total	543,123

Schedule 2: Part I, Line 6a - Net Gain or (Loss) from Sale of Assets Not on Line 10

Description	Date Acquired	How Acquired	Gross Sales Price	Cost, Other basis, or Donated Value		To Part XVI-A Line 8 (See Note)
				Amount	Which One	
1 Capital Gain Distributions			611,015			
2 Long Term Capital Gains			693,167			
3 Short Term Capital Gains			5,249			
4 S/T Capital Gain Distributions			10,485			
Total			1,319,916	0		

	Date Sold	To Whom Sold	Expense of Sale and Cost of Improvements	Depreciation Since Acquisition	Gain or (Loss)
1					611,015
2					693,167
3					5,249
4					10,485
Total			0	0	1,319,916

To Part XVI-A line 8						
Amounts Carried	Gross Sales Price	Cost or Other Basis	Expense of Sale and Cost of Improvements	Depreciation Since Acquisition	Gain or (Loss)	
Unrelated Business Income (Col B)	0	0	0	0	0	0
Excluded by Sec 512, 513, or 514 (Col D)	0	0	0	0	0	0
Related / Exempt Function Income (Col E)	1,319,916	0	0	0	1,319,916	
Total	1,319,916	0	0	0	1,319,916	

Note Numenc codes used above are

1 - if Unrelated Business Income (Part XVI-A, Column B),

2 - if Excluded by Sec 512, 513, or 514 (Part XVI-A, Column D)

Balance is Related / Exempt Function Income (Part XVI-A, Column E)

Attachments to IRS Form 990-PF
 Andrew J. & Joyce D. Mandell Family Foundation, Inc.
 22-2536600
 Tax Year 2019

Schedule 3: Part I, Line 11 - Other Income

Description	From Part XVI-A			Total to Part I, Line 11
	Unrelated Business Income (Column (b))	Excluded by Section 512, 513, or 514 (Column (d))	Related or exempt function income (Column (e))	
1 Program Service Revenue (total of lines 1a through 1g)	0	0	0	0
2 Membership dues and assessments	0	0	0	0
7 Other investment income	0	0	45	45
9 Gross revenue from special events	0	0	0	0
11 Total Other Revenue (listed separately on line 11)				
a	0	0	0	0
b	0	0	0	0
c	0	0	0	0
d	0	0	0	0
e	0	0	0	0
Total line 11	0	0	0	0
Total	0	0	45	45

Schedule 4: Part I, Line 16c - Other Professional Fees

Type of service	Amount
1 Investment Management Fees	74,135
Total	74,135

Schedule 5: Part I, Line 18 - Taxes

Type of tax	Amount
1 Income & Entity	5,250
Total	5,250

Attachments to IRS Form 990-PF
Andrew J. & Joyce D. Mandell Family Foundation, Inc.
22-2536600
Tax Year 2019

Schedule 6: Part I, Line 25 - Contributions, Gifts, Grants Paid

From detail below:		Cash Amount	Property Other than Cash	Total
Paid during the year		3,486,671	0	3,486,671
Approved for future payment		0	0	0
Total		3,486,671	0	3,486,671

Paid During the Year:

Class of Activity	Donee Name	Donee Address	Cash Amount	Property Other than Cash (1)	Relationship to Disqualified Persons (2)	Organizational Status of Donee (3)
1	See Attached		3,486,671			
Total			3,486,671	0		

Total amount paid for which the foundation exercised expenditure responsibility

Total Paid During the Year	3,486,671	0
-----------------------------------	------------------	----------

- (1) Additional information for property other than cash included on continuation sheet
 (2) Relationship of donee if related by blood, marriage, adoption or employment (including children of employees) to any disqualified person
 (3) The organizational status of donee (e.g., public charity—an organization described in section 509(a)(1), (2), or (3))

Schedule 7: Part II, Line 10 - Investments—Government Obligations, Corporate Stocks and Bonds

		End of Year	
Total U S Government obligations			
Total		0	0

Line 10b - Investments - Corporate Stock			
1	Common Stock	663,987	765,001
2	Mutual Funds	7,908,400	11,248,546
Total		8,572,387	12,013,547

Line 10c - Investments - Corporate Bonds			
1	Mutual Funds	4,217,465	4,344,295
Total		4,217,465	4,344,295

Schedule 8: Part II, Line 13 - Investments—Other

		End of Year	
Description	Valued at Cost or Market Value	Book Value	Fair Market Value
1 Michael King Joint Venture (Film)		590,249	
Total		590,249	0

ANDREW J. AND JOYCE D. MANDELL FAMILY FOUNDATION, INC.
FYE 04/30/2020
#22-2536600

SCHEDULE OF SUBSTANTIAL CONTRIBUTORS PART VII-A / LINE 10

JOYCE MANDEL
51 MOUNTAIN FARMS ROAD
WEST HARTFORD, CT 06117

SCHEDULE OF OFFICERS, DIRECTORS
CONTINUED FROM PART VIII / LINE 1

<u>(A) NAME AND ADDRESS</u>	<u>(B) TITLE (C) COMPNSTN HOURS</u>	<u>(D) CONTRBTNS TO PLANS</u>	<u>(E) EXPENSE ACCOUNTS</u>
MERYL MANDELL BRAUNSTEIN 136 WOODFORD HILLS DRIVE AVON, CT 06001	DIRECTOR AS REQ	0	0

SCHEDULE OF SUPPLEMENTARY INFORMATION PART XV / LINE 1A

JOYCE D MANDELL
ANDREW J. MANDELL
BRUCE MANDELL
MARK MANDELL
MERYL MANDELL BRAUNSTEIN

ANDREW J AND JOYCE D MANDELL FAMILY FOUNDATION, INC
SCHEDULE OF CONTRIBUTIONS PAID
FOR THE YEAR ENDED APRIL 30, 2020
#22-2536600

RECIPIENT	LOCATION	STATUS	PURPOSE	AMOUNT
ANTIDEFAMATION LEAGUE	NEW YORK, NY	PUBLIC	SUPPORT	40,000
ARTHRITIS FOUNDATION	ROCKY HILL, CT	PUBLIC	SUPPORT	10,000
BABSON COLLEGE	WELLESLEY, MA	PUBLIC	SUPPORT	50,000
BETH EL TEMPLE	WEST HARTFORD, CT	PUBLIC	SUPPORT	35,800
BOYS AND GIRLS CLUB OF HARTFORD	HARTFORD, CT	PUBLIC	SUPPORT	180,000
BURTON & PHYLLIS HOFFMAN FOUNDATION	HARTFORD, CT	PUBLIC	SUPPORT	3,000
BUSHNELL	HARTFORD, CT	PUBLIC	SUPPORT	35,000
CENTRAL CONNECTICUT STATE UNIVERSITY FOUNDATION	NEW BRITAIN, CT	PUBLIC	SUPPORT	50,000
CHARTER OAK CULTURAL CENTER	HARTFORD, CT	PUBLIC	SUPPORT	305,000
CONNECTICUT CHILDREN'S MEDICAL CENTER	HARTFORD, CT	PUBLIC	SUPPORT	350,000
CONNECTICUT SCIENCE CENTER	HARTFORD, CT	PUBLIC	SUPPORT	405,000
HARTFORD PUBLIC LIBRARY	HARTFORD, CT	PUBLIC	SUPPORT	25,000
HARTFORD RIB OFF	HARTFORD, CT	PUBLIC	SUPPORT	3,000
HARTFORD STAGE COMPANY	HARTFORD, CT	PUBLIC	SUPPORT	25,000
HARTFORD YOUTH SCHOLARS FOUNDATION	HARTFORD, CT	PUBLIC	SUPPORT	100,000
HEBREW HIGH SCHOOL OF NEW ENGLAND	WEST HARTFORD, CT	PUBLIC	SUPPORT	10,000
JEWISH FEDERATION OF GREATER HARTFORD	WEST HARTFORD, CT	PUBLIC	SUPPORT	212,500
JEWISH FEDERATION OF SOUTH PALM BEACH	BOCA RATON, FL	PUBLIC	SUPPORT	25,000
JUVENILE DIABETES RESEARCH FOUNDATION	NEW YORK, NY	PUBLIC	SUPPORT	15,000
KINGSWOOD OXFORD SCHOOL	WEST HARTFORD, CT	PUBLIC	SUPPORT	201,750
MALTA HOUSE OF CARE	HARTFORD, CT	PUBLIC	SUPPORT	5,000
MANDELL CENTER FOR MULTIPLE SCLEROSIS	HARTFORD, CT	PUBLIC	SUPPORT	2,000
MANDELL JEWISH COMMUNITY CENTER	WEST HARTFORD, CT	PUBLIC	SUPPORT	657,521
MERCY MEDICAL CENTER FOUNDATION	SPRINGFIELD, MA	PUBLIC	SUPPORT	5,000
MT SINAI REHABILITATION HOSPITAL	HARTFORD, CT	PUBLIC	SUPPORT	10,000
NATIONAL CONFERENCE FOR COMMUNITY & JUSTICE	HARTFORD, CT	PUBLIC	SUPPORT	5,000
NATIONAL MULTIPLE SCLEROSIS SOCIETY	NEW YORK, NY	PUBLIC	SUPPORT	45,000
PMC JIMMY FUND	BROOKLINE, MA	PUBLIC	SUPPORT	2,500
RENBROOK SCHOOL	WEST HARTFORD, CT	PUBLIC	SUPPORT	10,000
SOLOMON SCHECHTER DAY SCHOOL	WEST HARTFORD, CT	PUBLIC	SUPPORT	10,000
SOUTH ASIAN YOUTH ACTION	ELMHURST, NY	PUBLIC	SUPPORT	10,000
ST FRANCIS AUXILIARY	HARTFORD, CT	PUBLIC	SUPPORT	10,000
ST FRANCIS FOUNDATION	HARTFORD, CT	PUBLIC	SUPPORT	525,000
ST MARYS HOSPITAL FOUNDATION	WATERBURY, CT	PUBLIC	SUPPORT	50,000
VOICES OF HOPE	NEW YORK, NY	PUBLIC	SUPPORT	3,600
WADSWORTH ANTHENEUM	HARTFORD, CT	PUBLIC	SUPPORT	55,000
TOTAL				<u>3,486,671</u>