Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No. 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 05-01-2019 , and ending 04-30-2020

Name of foundation
THE DONNA AND MARVIN SCHWARTZ
FOUNDATION

A Employer identification number
13-7114848

Number and street (or P.O. box number if mail is not delivered to street address)
1290 AVE OF THE AMERICAS NO FL 4

Room/suite

B Telephone number (see instructions)
(212) 509-6700

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10104

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here............ ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here .... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16*) ▶$ 108,482,269

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here .... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	11,100,540			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	847	847		
	4	Dividends and interest from securities . . .	1,647,099	1,668,577		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	575,503			
	b	Gross sales price for all assets on line 6a 42,960,348				
	7	Capital gain net income (from Part IV, line 2) . . .		10,063,434		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	602,932	33,143		
	12	**Total.** Add lines 1 through 11	13,926,921	11,766,001		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	0	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	58,750	0		58,750
	c	Other professional fees (attach schedule)				
	17	Interest	9,376	9,376		0
	18	Taxes (attach schedule) (see instructions) . . .	323,534	3,534		0
	19	Depreciation (attach schedule) and depletion . . .				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	5,642	2,957		1,500
	24	**Total operating and administrative expenses.** Add lines 13 through 23	397,302	15,867		60,250
	25	Contributions, gifts, grants paid	2,040,521			2,040,521
	26	**Total expenses and disbursements.** Add lines 24 and 25	2,437,823	15,867		2,100,771
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	11,489,098			
	b	**Net investment income** (if negative, enter -0-)		11,750,134		
	c	**Adjusted net income** (if negative, enter -0-) . . .				

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash—non-interest-bearing	209,899	1,694,890	1,694,890
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	68,284,241	78,359,316	106,757,904
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)	100,443	29,475	29,475
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	68,594,583	80,083,681	108,482,269
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29 and 30.**			
	24	Net assets without donor restrictions			
	25	Net assets with donor restrictions			
		Foundations that do not follow FASB ASC 958, check here ▶ ☑ and complete lines 26 through 30.			
	26	Capital stock, trust principal, or current funds	0	0	
	27	Paid-in or capital surplus, or land, bldg., and equipment fund	0	0	
	28	Retained earnings, accumulated income, endowment, or other funds	68,594,583	80,083,681	
	29	**Total net assets or fund balances** (see instructions)	68,594,583	80,083,681	
	30	**Total liabilities and net assets/fund balances** (see instructions)	68,594,583	80,083,681	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	68,594,583
2	Enter amount from Part I, line 27a	2	11,489,098
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	80,083,681
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	80,083,681

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	10,063,434
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	4,175,620	114,484,893	0.036473
2017	6,267,812	114,766,804	0.054613
2016	5,626,959	104,173,272	0.054015
2015	4,636,459	90,502,394	0.051230
2014	5,420,932	107,277,232	0.050532

2 Total of line 1, column (d)	2	0.246863
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.049373
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	113,913,361
5 Multiply line 4 by line 3	5	5,624,244
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	117,501
7 Add lines 5 and 6	7	5,741,745
8 Enter qualifying distributions from Part XII, line 4 , ...	8	2,100,771

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	235,003
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0
3	Add lines 1 and 2.			3	235,003
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	235,003
6	Credits/Payments:				
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	94,674		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	155,000		
d	Backup withholding erroneously withheld	6d	0		
7	Total credits and payments. Add lines 6a through 6d.			7	249,674
8	Enter any **penalty** for underpayment of estimated tax. Check here ☑ if Form 2220 is attached.			8	2,285
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	12,386
11	Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ▶ 12,386	**Refunded** ▶		11	0

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year?*If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10	Yes	

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12	Yes	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶FREEDMAN CO CPA PC Telephone no. ▶(212) 509-6700

Located at ▶61 BROADWAY SUITE 1602 NEW YORK NY ZIP+4 ▶100062704

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

			Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions		1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?.		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019?. If "Yes," list the years ▶ 20___ , 20___ , 20___ , 20___	☐ Yes ☑ No			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20___ , 20___ , 20___ , 20___				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)*.		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No *If "Yes," attach the statement required by Regulations section 53.4945–5(d).*			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870.*	6b		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
MARVIN C SCHWARTZ 2 EAST 88TH ST NEW YORK, NY 10128	TRUSTEE 0.00	0	0	0
DONNA SCHWARTZ 2 EAST 88TH ST NEW YORK, NY 10128	TRUSTEE 0.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶	0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
FREEDMAN & CO CPA PC 61 BROADWAY NEW YORK, NY 10006	PROFESSIONAL SERVICES	58,750
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. **3**	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities.			1a	114,847,968
b	Average of monthly cash balances.			1b	1,005,580
c	Fair market value of all other assets (see instructions).			1c	69,705
d	**Total** (add lines 1a, b, and c).			1d	115,923,253
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0		
2	Acquisition indebtedness applicable to line 1 assets.			2	275,171
3	Subtract line 2 from line 1d.			3	115,648,082
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).			4	1,734,721
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	113,913,361
6	**Minimum investment return.** Enter 5% of line 5.			6	5,695,668

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.			1	5,695,668
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	235,003		
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	3,924		
c	Add lines 2a and 2b.			2c	238,927
3	Distributable amount before adjustments. Subtract line 2c from line 1.			3	5,456,741
4	Recoveries of amounts treated as qualifying distributions.			4	0
5	Add lines 3 and 4.			5	5,456,741
6	Deduction from distributable amount (see instructions).			6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.			7	5,456,741

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	2,100,771
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,100,771
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	2,100,771

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7					5,456,741
2 Undistributed income, if any, as of the end of 2019:					
a Enter amount for 2018 only.				0	
b Total for prior years: 20___ , 20___ , 20___			0		
3 Excess distributions carryover, if any, to 2019:					
a From 2014.					
b From 2015.					
c From 2016.					
d From 2017.	500,249				
e From 2018.					
f Total of lines 3a through e.		500,249			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ $ 2,100,771					
a Applied to 2018, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2019 distributable amount.					2,100,771
e Remaining amount distributed out of corpus		0			
5 Excess distributions carryover applied to 2019. *(If an amount appears in column (d), the same amount must be shown in column (a).)*		500,249			500,249
6 Enter the net total of each column as indicated below:					
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		0			
b Prior years' undistributed income. Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b. Taxable amount —see instructions			0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions				0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020					2,855,721
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)		0			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a		0			
10 Analysis of line 9:					
a Excess from 2015.					
b Excess from 2016.					
c Excess from 2017.					
d Excess from 2018.					
e Excess from 2019.					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2019	**(b)** 2018	**(c)** 2017	**(d)** 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				2,040,521
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments	523000	3	14	844	
4 Dividends and interest from securities. . . .	523000	6,714	14	1,618,304	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.	523000	3,688	14	621,325	
8 Gain or (loss) from sales of assets other than inventory	523000	-3,000	18	578,503	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e). .		7,405		2,818,976	0

13 Total. Add line 12, columns (b), (d), and (e). 13 2,826,381

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash.	1a(1)		No
	(2) Other assets.	1a(2)		No
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
	(3) Rental of facilities, equipment, or other assets.	1b(3)		No
	(4) Reimbursement arrangements.	1b(4)		No
	(5) Loans or loan guarantees.	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

******	2021-03-14	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr.) ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
PAUL J FREEDMAN		2021-03-10		P00725388
Firm's name ▶ FREEDMAN & CO CPA PC				Firm's EIN ▶13-3598907
Firm's address ▶ 61 BROADWAY NEW YORK, NY 10006				Phone no. (212) 509-6700

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1000 SHS ACTIVISION BLIZZARD INC	P	2018-03-20	2020-03-12
4625 SHS ACTIVISION BLIZZARD INC	P	2018-08-20	2020-03-12
382 SHS ACTIVISION BLIZZARD INC	P	2018-08-20	2020-03-12
2908 SHS ACTIVISION BLIZZARD INC	P	2018-08-20	2020-03-12
110 SHS ACTIVISION BLIZZARD INC	P	2018-08-20	2020-03-12
975 SHS ACTIVISION BLIZZARD INC	P	2018-08-20	2020-03-12
800 SHS ACTIVISION BLIZZARD INC	P	2018-04-10	2020-03-12
4500 SHS AMERICAN AIRLINES GROUP INCCOM	P	2012-08-01	2019-08-15
500 SHS AMERICAN AIRLINES GROUP INCCOM	P	2012-08-01	2019-08-15
30000 SHS AMERICAN AIRLINES GROUP INCCOM	P	2012-09-17	2019-08-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
54,914		71,279	-16,365
253,976		319,703	-65,727
21,028		26,406	-5,378
163,992		201,016	-37,024
6,084		7,604	-1,520
53,899		67,397	-13,498
45,115		52,974	-7,859
114,990		49,216	65,774
12,777		5,468	7,309
766,603		315,867	450,736

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-16,365
			-65,727
			-5,378
			-37,024
			-1,520
			-13,498
			-7,859
			65,774
			7,309
			450,736

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
25000 SHS AMERICAN AIRLINES GROUP INCCOM	P	2013-07-09	2019-08-15
25000 SHS AMERICAN AIRLINES GROUP INCCOM	P	2012-08-01	2019-08-15
6300 SHS ATHENE HOLDING LTD-CLASS A	P	2017-09-06	2019-08-15
30000 SHS ATHENE HOLDING LTD-CLASS A	P	2017-08-17	2019-08-15
5209 SHS ATHENE HOLDING LTD-CLASS A	P	2018-06-14	2019-08-15
10000 SHS ATHENE HOLDING LTD-CLASS A	P	2018-06-15	2019-08-15
6300 SHS BOEING CO	P	2019-05-08	2020-03-12
7800 SHS CHENIERE ENERGY INCCOM NEW	P	2019-01-29	2020-02-06
460 SHS CIGNA CORP	P	2019-01-29	2019-09-26
195 SHS CIGNA CORP	P	2019-01-29	2019-09-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
638,835		432,160	206,675
638,835		273,420	365,415
237,575		335,779	-98,204
1,131,310		1,579,763	-448,453
196,433		242,508	-46,075
377,103		463,888	-86,785
1,062,153		2,241,838	-1,179,685
442,943		513,641	-70,698
71,157		90,611	-19,454
29,169		38,411	-9,242

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			206,675
			365,415
			-98,204
			-448,453
			-46,075
			-86,785
			-1,179,685
			-70,698
			-19,454
			-9,242

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo., day, yr.)	**(d)** Date sold (mo., day, yr.)
600 SHS CIGNA CORP	P	2019-01-29	2019-09-26
445 SHS CIGNA CORP	P	2019-01-29	2019-09-26
3500 SHS CIGNA CORP	P	2019-01-29	2019-09-26
1500 SHS CONCHO RESOURCES INC	P	2016-02-24	2019-08-15
900 SHS CONCHO RESOURCES INC	P	2015-11-10	2019-08-15
550 SHS CONCHO RESOURCES INC	P	2015-11-10	2019-08-15
3100 SHS CONCHO RESOURCES INC	P	2015-12-15	2019-08-15
6000 SHS CONCHO RESOURCES INC	P	2015-12-29	2019-08-15
1875 SHS CONCHO RESOURCES INC	P	2016-04-12	2019-08-15
7875 SHS CONCHO RESOURCES INC	P	2015-11-10	2019-08-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
92,814		119,858	-27,044
67,003		87,657	-20,654
541,413		701,666	-160,253
104,846		136,704	-31,858
62,908		99,312	-36,404
38,444		60,553	-22,109
216,682		326,875	-110,193
419,385		555,494	-136,109
131,058		201,635	-70,577
550,443		863,066	-312,623

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col. (i) over col. (j), if any	
			-27,044
			-20,654
			-160,253
			-31,858
			-36,404
			-22,109
			-110,193
			-136,109
			-70,577
			-312,623

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
175 SHS CONCHO RESOURCES INC	P	2015-11-10	2019-08-15
250 SHS CONCHO RESOURCES INC	P	2015-11-10	2019-08-14
3425 SHS CONCHO RESOURCES INC	P	2016-04-12	2019-08-15
1250 SHS CONCHO RESOURCES INC	P	2015-11-10	2019-08-14
20945 SHS CVS HEALTH CORP	P	2018-11-29	2019-05-20
5000 SHS EOG RESOURCES INC	P	2011-01-07	2020-03-06
4700 SHS EOG RESOURCES INC	P	2016-11-08	2020-02-27
4100 SHS EOG RESOURCES INC	P	2015-05-22	2020-02-27
900 SHS EOG RESOURCES INC	P	2014-10-21	2020-02-27
14000 SHS EOG RESOURCES INC	P	2011-01-07	2020-03-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12,232		19,303	-7,071
17,097		27,786	-10,689
239,399		362,043	-122,644
85,486		137,933	-52,447
1,111,948		1,681,255	-569,307
270,189		233,605	36,584
297,528		437,786	-140,258
259,546		372,170	-112,624
56,973		86,382	-29,409
756,529		654,093	102,436

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-7,071
			-10,689
			-122,644
			-52,447
			-569,307
			36,584
			-140,258
			-112,624
			-29,409
			102,436

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1000 SHS EOG RESOURCES INC	P	2011-01-07	2020-03-06
2400 SHS FEDEX CORP	P	2015-07-09	2019-06-25
4600 SHS FEDEX CORP	P	2015-07-13	2019-06-25
450 SHS FEDEX CORP	P	2015-07-09	2019-06-25
1450 SHS FEDEX CORP	P	2015-07-09	2019-06-25
500 SHS FEDEX CORP	P	2015-07-09	2019-06-25
1125 SHS FEDEX CORP	P	2014-12-22	2019-06-25
17000 SHS LENNAR CORPCL A	P	2014-12-22	2020-03-12
26200 SHS LENNAR CORPCL A	P	2014-02-04	2020-03-12
19000 SHS LINCOLN NATIONAL CORP-IND	P	2017-04-06	2019-10-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
54,038		46,721	7,317
376,772		407,108	-30,336
722,145		784,824	-62,679
70,645		76,308	-5,663
227,633		245,234	-17,601
78,494		84,843	-6,349
176,612		198,565	-21,953
768,685		731,363	37,322
1,184,679		1,024,833	159,846
1,048,902		485,133	563,769

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			7,317
			-30,336
			-62,679
			-5,663
			-17,601
			-6,349
			-21,953
			37,322
			159,846
			563,769

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
28000 SHS LINCOLN NATIONAL CORP-IND	P	2011-08-03	2020-02-28
6300 SHS LYONDELLBASELL INDUSTRIESN V CL A	P	2015-03-11	2020-04-30
3300 SHS LYONDELLBASELL INDUSTRIESN V CL A	P	2015-04-17	2020-03-11
900 SHS LYONDELLBASELL INDUSTRIESN V CL A	P	2015-04-17	2020-03-11
1000 SHS PHILLIPS 66COM	P	2019-01-29	2020-03-12
1380 SHS PHILLIPS 66COM	P	2019-01-29	2020-03-12
100 SHS PHILLIPS 66COM	P	2019-01-29	2020-03-12
2820 SHS PHILLIPS 66COM	P	2019-01-29	2020-03-12
9500 SHS UNITED CONTINENTAL HLDGS INC	P	2013-07-15	2020-04-07
15000 SHS UNITED CONTINENTAL HLDGS INC	P	2013-02-13	2020-04-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,250,042		1,475,844	-225,802
364,364		533,948	-169,584
178,985		314,062	-135,077
48,814		85,653	-36,839
46,559		94,476	-47,917
64,252		131,364	-67,112
4,656		9,519	-4,863
131,297		265,754	-134,457
232,519		304,498	-71,979
367,136		392,091	-24,955

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-225,802
			-169,584
			-135,077
			-36,839
			-47,917
			-67,112
			-4,863
			-134,457
			-71,979
			-24,955

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
3100 SHS UNITED CONTINENTAL HLDGS INC	P	2013-07-15	2020-04-07
14000 SHS WALT DISNEY CO HOLDING CO	P	2019-05-08	2020-03-12
4400 SHS WALT DISNEY CO HOLDING CO	P	2020-01-21	2020-03-12
8200 SHS WALT DISNEY CO HOLDING CO	P	2019-04-24	2020-03-12
7400 SHS WPX ENERGY INC	P	2016-12-23	2019-10-04
60000 SHS WPX ENERGY INC	P	2017-06-22	2020-03-12
22600 SHS WPX ENERGY INC	P	2017-02-27	2020-03-06
17600 SHS WPX ENERGY INC	P	2016-12-23	2019-10-03
7400 SHS WPX ENERGY INC	P	2017-02-27	2020-03-12
79000 SHS WPX ENERGY INC	P	2017-02-08	2020-03-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
75,875		99,362	-23,487
1,319,668		1,898,425	-578,757
414,753		633,718	-218,965
772,948		1,112,677	-339,729
74,779		110,913	-36,134
190,246		535,196	-344,950
152,155		298,325	-146,170
179,129		263,794	-84,665
23,464		97,681	-74,217
250,490		1,002,410	-751,920

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-23,487
			-578,757
			-218,965
			-339,729
			-36,134
			-344,950
			-146,170
			-84,665
			-74,217
			-751,920

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
3000 SHS ANADARKO PETROLEUM CORP	P	2003-06-09	2019-05-08
10000 SHS ANADARKO PETROLEUM CORP	P	2003-07-15	2019-05-08
48000 SHS ANADARKO PETROLEUM CORP	P	2003-08-20	2019-05-14
30000 SHS ANADARKO PETROLEUM CORP	P	2003-08-13	2019-05-08
2000 SHS ANADARKO PETROLEUM CORP	P	2003-08-20	2019-05-08
10000 SHS ANADARKO PETROLEUM CORP	P	2003-08-13	2019-05-08
194810 SHS CABOT OIL & GAS CORP	D	2000-08-23	2020-01-29
45190 SHS CABOT OIL & GAS CORP	D	2000-08-23	2020-01-30
208800 SHS CABOT OIL & GAS CORP	D	2004-03-29	2020-01-30
112597 SHS CABOT OIL & GAS CORP	D	2000-06-12	2020-02-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
227,424		67,194	160,230
758,079		219,427	538,652
3,497,920		1,047,382	2,450,538
2,274,237		656,634	1,617,603
151,616		43,641	107,975
758,079		218,878	539,201
2,821,272		330,127	2,491,145
647,384		76,579	570,805
2,991,230		522,000	2,469,230
1,682,957		217,312	1,465,645

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			160,230
			538,652
			2,450,538
			1,617,603
			107,975
			539,201
			2,491,145
			570,805
			2,469,230
			1,465,645

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
3525 SHS CABOT OIL & GAS CORP	D	2000-06-12	2020-02-24
11878 SHS CABOT OIL & GAS CORP	D	2000-06-12	2020-02-24
2503 SHS CABOT OIL & GAS CORP	D	2001-01-05	2020-02-24
57497 SHS CABOT OIL & GAS CORP	D	2001-01-05	2020-02-24
123800 SHS CABOT OIL & GAS CORP	D	2004-03-24	2020-02-24
7500 SHS PIONEER NATURAL RESOURCES CO	P	2009-09-10	2020-03-09
17500 SHS PIONEER NATURAL RESOURCES CO	P	2009-09-10	2020-03-09
AIG LITIGATION SETTLEMENT	P	2007-08-14	2020-01-03
BNY MELLON LITIGATION SETTLEMENT	P	2010-06-15	2019-07-02
COMPUTER SCIENCE LITIGATION SETTLEMENT	P	2010-12-27	2019-07-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
47,303		6,803	40,500
163,918		22,925	140,993
36,441		5,667	30,774
793,467		130,186	663,281
1,850,405		301,009	1,549,396
521,613		237,715	283,898
1,217,098		554,668	662,430
53			53
35			35
18,267			18,267

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			40,500
			140,993
			30,774
			663,281
			1,549,396
			283,898
			662,430
			53
			35
			18,267

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000).

MARVIN C SCHWARTZ
DONNA SCHWARTZ

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
A BROADER WAY FOUNDATION 420 LEXINGTON AVE SUITE 2520 NEW YORK, NY 10170	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	12,000
AMERICAN CANCER SOCIETY 250 WILLIAMS STREET NW ATLANTA, GA 30303	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	3,000
AMERICAN FOLK ART MUSEUM 2 LINCOLN SQUARE NEW YORK, NY 10023	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	46,500
Total . . . . ▶ **3a**				2,040,521

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AMERICAN FRIENDS OF THE ISRAEL MUSEUM 545 FIFTH AVE SUITE 920 NEW YORK, NY 10017	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	15,000
ARTS CONNECTION 520 EIGHTH AVE SUITE 321 NEW YORK, NY 10018	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	15,000
BEDFORD HISTORICAL SOCIETY 612 OLD POST ROAD PO BOX 491 BEDFORD, NY 10506	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	2,500
Total . . . . ▶ **3a**				2,040,521

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BEDFORD PLAYHOUSE 633 OLD POST ROAD BEDFORD, NY 10506	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
BLYTHEDALE CHILDRENS HOSPITAL 95 BRADHURST AVE VALHALLA, NY 10595	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	12,000
BOCA WEST COMMUNITY CHARITABLE FOUNDATION INC PO BOX 3070 BOCA RATON, FL 33431	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
Total . . . . ▶ **3a**				2,040,521

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CANCER RESEARCH AND TREATMENT FUND INC 500 EAST 77TH STREET NEW YORK, NY 10162	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	15,000
PARTNERSHIP TO END ADDICTION 633 THIRD AVE 19TH FLOOR NEW YORK, NY 10017	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	20,000
COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK TRUSTEES OF 622 WEST 113 ST NEW YORK, NY 10025	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	25,000
Total . . . . ▶ **3a**				2,040,521

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CONGREGATION EMANU-EL OF THE CITY OF NEW YORK 1 EAST 65TH STREET NEW YORK, NY 10065	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	2,200
CANCER RESEARCH FUND OF THE DAMON RUNYON-WALTER WINCHELL FOUNDATION 55 BROADWAY SUITE 302 NEW YORK, NY 10006	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	6,056
EAST END HOSPICE 481 WESTHAMPTON-RIVERHEAD ROAD PO BOX 1048 WESTHAMPTON BEACH, NY 11978	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	3,000
Total . . . . ▶ **3a**				2,040,521

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
EASTPORT CHEMICAL FIRE ENGINE CO #1 INC 21 UNION AVE EASTPORT, NY 11941	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	200
ENDEAVOR THERAPEUTIC HORSEMANSHIP INC 1 SUCCABONE ROAD MT KISCO, NY 10549	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	12,000
FAMILIES FIGHTING FLU INC 4201 WILSON BLVD SUITE 110-702 ARLINGTON, VA 22203	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
Total . . . . ▶ **3a**				2,040,521

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FIDELITY INVESTMENTS CHARITABLE GIFT FUND PO BOX 770001 CINCINNATI, OH 45277	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	1,350,000
FRIENDS OF THE NEUBERGER MUSEUM OF ART 735 ANDERSON HILL ROAD PURCHASE, NY 10577	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
HORTICULTURAL SOCIETY OF NEW YORK 148 WEST 37TH STREET 13 NEW YORK, NY 10018	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
Total . . . . ▶ **3a**				2,040,521

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HUMANE SOCIETY OF NEW YORK 306 EAST 59TH STREET NEW YORK, NY 10022	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	2,000
JUPITER ISLAND PUBLIC SAFETY DEPARTMENT 103 BUNKER HILL ROAD HOBE SOUND, FL 33455	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	6,000
JUVENILE DIABETES RESEARCH FDN - JDRF INTERNATIONAL 26 BROADWAY NEW YORK, NY 10004	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
Total . . . . ▶ **3a**				2,040,521

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LET'S GET READY INC 50 BROADWAY 25TH FLOOR NEW YORK, NY 10004	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	12,500
MIANUS RIVER GORGE INC 167 MIANUS RIVER ROAD BEDFORD, NY 10506	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	3,000
MOVE AMERICA FORWARD 3105 FITE CIRCLE SUITE 108 SACRAMENTO, CA 95827	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
Total . . . . ▶ **3a**				2,040,521

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NEW YORK HISTORICAL SOCIETY 170 CENTRAL PARK WEST NEW YORK, NY 10024	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	36,300
NORTHERN WESTCHESTER HOSPITAL CENTER FOUNDATION INC 972 BRUSH HOLLOW RD WESTBURY, NY 11590	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	15,000
NORTHERN WESTCHESTER HOSPITAL ASSOCIATION 972 BRUSH HOLLOW RD WESTBURY, NY 11590	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
Total . . . . ▶ **3a**				2,040,521

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
READE STREET PREP77 READE STREET NY, NY 10007	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	25,000
SAFEGUARD THE SEAS INC 784 PARK AVE APT 17A NEW YORK, NY 100213553	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
SAN JOSE MUSEUM OF ART 110 SOUTH MARKET STREET SAN JOSE, CA 95113	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
Total . . . . ▶ **3a**				2,040,521

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SCAN-HARBOR INC345 EAST 102ND ST NEW YORK, NY 10029	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
UNIVERSITY OF MIAMI 1475 NW 12TH AVE MIAMI, FL 33136	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
THE BARUCH COLLEGE FUND ONE BERNARD BARUCH WAY NEW YORK, NY 10010	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
Total . . . . ▶ **3a**				2,040,521

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
JEWISH MUSEUM 1109 FIFTH AVE AT 92ND ST NEW YORK, NY 10128	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
THE MICHAEL J FOX FOUNDATION GRAND CENTRAL STATION PO BOX 4777 NEW YORK, NY 10163	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
UNITED JEWISH APPEAL FEDERATION OF JEWISH PHILANTHROPIES OF NY INC 130 EAST 59TH STREET NEW YORK, NY 10022	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	1,250
Total . . . . ▶ **3a**				2,040,521

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UNION SETTLEMENT ASSOC 237 EAST 104TH STREET NEW YORK, NY 10029	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
WALL STREET SYNAGOGUE INC 47 BEEKMAN ST NEW YORK, NY 10038	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	500
WHITNEY MUSEUM OF AMERICAN ART 945 MADISON AVE NEW YORK, NY 10021	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	14,515
Total . . . . ▶ **3a**				2,040,521

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
WOUNDED WARRIOR PROJECT INC 4899 BELFORT ROAD SUITE 300 JACKSONVILLE, FL 32256	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
THE NEUBERGER BERMAN FOUNDATION 1290 AVENUE OF THE AMERICAS 27TH FL NEW YORK, NY 10104	NONE	PRIVATE FOUNDATION	CHARITABLE CONTRIBUTION TO PRIVATE FOUNDATION	250,000
Total . . . . ▶ **3a**				2,040,521

TY 2019 Accounting Fees Schedule

Name: THE DONNA AND MARVIN SCHWARTZ FOUNDATION

EIN: 13-7114848

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROFESSIONAL FEES	58,750	0		58,750

TY 2019 Investments Corporate Stock Schedule

Name: THE DONNA AND MARVIN SCHWARTZ FOUNDATION

EIN: 13-7114848

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
12500 SHS AIR PRODUCTS & CHEM	2,885,119	2,819,750
62000 SHS AIRBUS GROUP	1,247,499	982,638
20300 ALIBABA GROUP	3,771,053	4,114,201
4600 ALPHABET INC CAP STK CL C	3,833,155	6,203,836
3250 AMAZON.COM INC	5,773,502	8,040,500
25000 SHS ANALOG DEVICES INC COM	2,347,005	2,740,000
10500 SHS ANTHEM INC	693,950	2,947,665
46700 SHS AON CORP	3,365,568	8,063,689
12200 SHS APPLE INC COM	2,458,846	3,584,360
20000 SHS ASSURANT INC	626,430	2,124,800
74500 SHS BLACKSTONE GROUP INC COM CL A	3,509,218	3,891,880
130600 SHS CARLYLE GROUP INC COM	3,477,797	3,061,264
28000 SHS C S X CORP	1,552,589	1,854,440
100000 SHS CISCO SYSTEMS INC	2,359,530	4,238,000
150000 SHS D R HORTON INC	1,841,527	7,083,000
90000 SHS DELTA AIR LINES INC DEL COM NEW	871,121	2,331,900
13000 SHS DISNEY WALT CO	1,734,123	1,405,950
7000 GENERAL DYNAMICS CORP	1,427,054	914,340
3500 SHS GOLDMAN SACHS GROUP INC	575,597	641,970
55500 SHS JPMORGAN CHASE & CO	2,147,240	5,314,680
40000 SHS LENNAR CORP	593,741	2,002,800
31500 SHS LYONDELLBASELL INDUSTRIES	2,477,959	1,825,425
14100 SHS L3HARRIS TECHNOLOGIES INC COM	2,783,542	2,731,170
22600 MICROSOFT CORP	2,898,871	4,050,146
30500 MOTOROLA SOLUTIONS INC	3,593,457	4,386,205
12100 SHS NEXTERA ENERGY INC COM	2,623,664	2,796,552
35022 RAYTHEON CO COM NEW	2,302,057	2,269,776
29500 SHS T-MOBILE US INC COM	2,373,912	2,590,100
12300 SHS TRAVELERS COMPANIES INC	520,623	1,244,883
17200 SHS UNION PACIFIC CORP	2,199,840	2,748,388

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
93500 SHS VISTRA ENERGY CORP COM	2,172,389	1,826,990
INSTITUTIONAL DIRECT LENDING FUND THREE LTD	1,918,514	2,131,512
NB LOAN ADVISERS HLDGS CAYMAN LP	4,139,732	2,429,581
APOLLO EPF III PRI INV LP	1,263,092	1,365,513

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TY 2019 Other Assets Schedule

Name: THE DONNA AND MARVIN SCHWARTZ FOUNDATION

EIN: 13-7114848

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED DIVIDENDS	100,443	29,475	29,475

TY 2019 Other Expenses Schedule

Name: THE DONNA AND MARVIN SCHWARTZ FOUNDATION

EIN: 13-7114848

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FILING FEE	1,500	0		1,500
BUSINESS EXPENSES	1,185	0		0
INVESTMENT EXPENSES	2,957	2,957		0

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TY 2019 Other Income Schedule

Name: THE DONNA AND MARVIN SCHWARTZ FOUNDATION

EIN: 13-7114848

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PFIC - NB LOAN ADVISER HLD (CAYMAN) LP	533,615	0	533,615
UNRELATED BUSINESS INCOME	0	-76	0
THE CARLYLE GROUP	1,365	1,365	1,365
PFIC - AEPF PRIVATE INVESTORS OFFSHORE III LP	67,952	31,854	67,952

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TY 2019 Substantial Contributors Schedule

Name: THE DONNA AND MARVIN SCHWARTZ FOUNDATION

EIN: 13-7114848

Name	Address
MARVIN SCHWARTZ	2 EAST 88TH ST NEW YORK, NY 10128

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TY 2019 Taxes Schedule

Name: THE DONNA AND MARVIN SCHWARTZ FOUNDATION

EIN: 13-7114848

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	310,000	0		0
FOREIGN TAX	3,534	3,534		0
STATE TAX	10,000	0		0

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Go to *www.irs.gov/Form990* for the latest information.

OMB No. 1545-0047

2019

Name of the organization	Employer identification number
THE DONNA AND MARVIN SCHWARTZ FOUNDATION	13-7114848

Organization type (check one):

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
THE DONNA AND MARVIN SCHWARTZ FOUNDATION	13-7114848

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MARVIN SCHWARTZ 2 E 88TH ST NEW YORK, NY 10128	$ 11,100,540	☐ Person ☐ Payroll ☑ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
THE DONNA AND MARVIN SCHWARTZ FOUNDATION	13-7114848

Part II **Noncash Property**

(see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	208,800 SHARES CABOT OIL & GAS CORP	$ 3,040,128	2020-01-29

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	240,000 SHARES CABOT OIL & GAS CORP	$ 3,494,400	2020-01-29

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	188,000 SHARES CABOT OIL & GAS CORP	$ 2,728,820	2020-02-10

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	123,800 SHARES CABOT OIL & GAS CORP	$ 1,837,192	2020-02-24

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

Name of organization	Employer identification number
THE DONNA AND MARVIN SCHWARTZ FOUNDATION	13-7114848

Part III *Exclusively* **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of** *exclusively* **religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $** ____________

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
-	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
-	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
-	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
-	