Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 05-01-2019 , and ending 04-30-2020

Name of foundation
CHU AND CHAN FOUNDATION

A Employer identification number
11-3440906

Number and street (or P O box number if mail is not delivered to street address)
114 W 47TH ST NY8-114-07-07 AFT

Room/suite

B Telephone number (see instructions)
(888) 866-3275

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 100361510

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), *line 16*) ▶$ 1,201,700

J Accounting method ☐ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		Line	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	29,463	29,463		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	94,646			
	b	Gross sales price for all assets on line 6a 572,559				
	7	Capital gain net income (from Part IV, line 2)		94,646		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	1,680			
	12	**Total.** Add lines 1 through 11	125,789	124,109		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)				0
	b	Accounting fees (attach schedule)	1,500	0	0	1,500
	c	Other professional fees (attach schedule)	18,228	10,937		7,291
	17	Interest				0
	18	Taxes (attach schedule) (see instructions)	2,098	279		0
	19	Depreciation (attach schedule) and depletion	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)	268	250		18
	24	**Total operating and administrative expenses.** Add lines 13 through 23	22,094	11,466	0	8,809
	25	Contributions, gifts, grants paid	69,850			69,850
	26	**Total expenses and disbursements.** Add lines 24 and 25	91,944	11,466	0	78,659
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	33,845			
	b	**Net investment income** (if negative, enter -0-)		112,643		
	c	**Adjusted net income** (if negative, enter -0-)			0	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash—non-interest-bearing	203	6,985	6,985
	2	Savings and temporary cash investments	60,828	87,691	87,691
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶		0	0
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	152,838		
	b	Investments—corporate stock (attach schedule)	740,650	819,201	846,887
	c	Investments—corporate bonds (attach schedule)	132,141	212,751	240,387
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			6,985
	13	Investments—other (attach schedule)	19,585	13,490	12,765
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,106,245	1,140,118	1,201,700
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29 and 30.**			
	24	Net assets without donor restrictions			
	25	Net assets with donor restrictions			
		Foundations that do not follow FASB ASC 958, check here ▶ ☑ and complete lines 26 through 30.			
	26	Capital stock, trust principal, or current funds	1,106,245	1,140,118	
	27	Paid-in or capital surplus, or land, bldg , and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	**Total net assets or fund balances** (see instructions)	1,106,245	1,140,118	
	30	**Total liabilities and net assets/fund balances** (see instructions)	1,106,245	1,140,118	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	1,106,245
2	Enter amount from Part I, line 27a	2	33,845
3	Other increases not included in line 2 (itemize) ▶	3	28
4	Add lines 1, 2, and 3	4	1,140,118
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	1,140,118

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	94,646
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	83,718	1,272,762	0 065777
2017	106,289	1,301,669	0 081656
2016	58,686	1,190,942	0 049277
2015	158,691	1,275,965	0 124369
2014	138,917	1,416,620	0 098062

2 Total of line 1, column (d)	2	0 419141
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0 083828
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	1,245,974
5 Multiply line 4 by line 3	5	104,448
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,126
7 Add lines 5 and 6	7	105,574
8 Enter qualifying distributions from Part XII, line 4	8	78,659

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	2,253
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2.	3	2,253
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	2,253
6	Credits/Payments		
a	2019 estimated tax payments and 2018 overpayment credited to 2019 — 6a: 1,804		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d.	7	1,804
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	449
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2020 estimated tax** ▶ 0 **Refunded** ▶	11	0

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶BANK OF AMERICA NA Telephone no ▶(888) 866-3275

Located at ▶114 WEST 47TH STREET NY8-114-07-07 NEW YORK NY ZIP+4 ▶100361510

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

			Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions		1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶	☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?.		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019?. If "Yes," list the years ▶ 20____, 20____, 20____, 20____	☐ Yes ☑ No			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019).*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

				Yes	No
5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions	☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions		5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? *If "Yes," attach the statement required by Regulations section 53 4945–5(d)*	☐ Yes ☐ No			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870*		6b		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
TONY CHU 14616 EDGEBROOK DRIVE FISHERS, IN 46040	PRESIDENT 20	0		
EMILY CHU 14616 EDGEBROOK DRIVE FISHERS, IN 46040	DIRECTOR 20	0		
THOMAS SOBEL 1013 ROCKYSIDE ROAD NEW CUMBERLAND, WV 26047	SECRETARY 0	0		
JEFF SOBEL 53 HAMPTON CIRCLE NICEVILLE, FL 32578	PRESIDENT 0	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities.		1a	1,189,646
b	Average of monthly cash balances.		1b	75,302
c	Fair market value of all other assets (see instructions).		1c	0
d	**Total** (add lines 1a, b, and c).		1d	1,264,948
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e 0		
2	Acquisition indebtedness applicable to line 1 assets.		2	0
3	Subtract line 2 from line 1d.		3	1,264,948
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).		4	18,974
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	1,245,974
6	**Minimum investment return.** Enter 5% of line 5.		6	62,299

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.		1	62,299
2a	Tax on investment income for 2019 from Part VI, line 5.	2a 2,253		
b	Income tax for 2019 (This does not include the tax from Part VI).	2b		
c	Add lines 2a and 2b.		2c	2,253
3	Distributable amount before adjustments Subtract line 2c from line 1.		3	60,046
4	Recoveries of amounts treated as qualifying distributions.		4	0
5	Add lines 3 and 4.		5	60,046
6	Deduction from distributable amount (see instructions).		6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.		7	60,046

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	78,659
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	78,659
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions.	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	78,659

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7					60,046
2 Undistributed income, if any, as of the end of 2019					
a Enter amount for 2018 only.				0	
b Total for prior years 20____ , 20____ , 20____			0		
3 Excess distributions carryover, if any, to 2019					
a From 2014.	70,349				
b From 2015.	96,287				
c From 2016.	856				
d From 2017.	42,991				
e From 2018.	21,883				
f Total of lines 3a through e.		232,366			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ $ 78,659					
a Applied to 2018, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2019 distributable amount.					60,046
e Remaining amount distributed out of corpus		18,613			
5 Excess distributions carryover applied to 2019		0			0
(If an amount appears in column (d), the same amount must be shown in column (a))					
6 Enter the net total of each column as indicated below:					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		250,979			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) . . .		70,349			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a		180,630			
10 Analysis of line 9					
a Excess from 2015. . . .	96,287				
b Excess from 2016. . . .	856				
c Excess from 2017. . . .	42,991				
d Excess from 2018. . . .	21,883				
e Excess from 2019. . . .	18,613				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2019	**(b)** 2018	**(c)** 2017	**(d)** 2016	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

TONY CHU EMILY CHU THOMAS S

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
INDIANA UNIVERSITY FOUNDATION PO BOX 500 BLOOMINGTON, IN 47402	N/A	PC	BECKY SOBEL BREAST CANCER	10,000
HOSPICE OF SOUTHERN ILLINOIS 305 S ILLINOIS STREET BELLEVILLE, IL 62220	N/A	PC	UNRESTRICTED GENERAL	24,850
NATIONAL MARFAN FOUNDATION 22 MANHASSET AVENUE PORT WASHINGTON, NY 11050	N/A	PC	UNRESTRICTED GENERAL	35,000
Total . . . . ▶ 3a				69,850
b *Approved for future payment*				
Total . . . . ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	29,463	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	94,646	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a FEDERAL TAX REFUND			1	1,680	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				125,789	

13 **Total.** Add line 12, columns (b), (d), and (e). 13 125,789

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		No
(2) Other assets.	**1a(2)**		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		No
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		No
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		No
(4) Reimbursement arrangements.	**1b(4)**		No
(5) Loans or loan guarantees.	**1b(5)**		No
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2020-08-03	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr) ☑ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
KAREN J KISER		2020-08-03		P00146417
Firm's name ▶ BANK OF AMERICA				Firm's EIN ▶94-1687665
Firm's address ▶ P O BOX 1802 PROVIDENCE, RI 029011802				Phone no (888) 866-3275

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
49 AES CORP COM		2018-09-19	2020-01-10
41 AES CORP COM		2018-09-19	2020-04-16
52 AES CORP COM		2018-09-20	2020-04-16
57 AES CORP COM		2018-09-20	2020-04-17
2 ALIBABA GROUP HOLDING LT		2019-09-05	2020-01-10
38 ALLY FINANCIAL INC		2018-02-08	2020-03-06
2 ALPHABET INC SHS CL C		2012-06-29	2020-01-10
1 ALPHABET INC SHS CL C		2012-06-29	2020-04-01
2 ALPHABET INC SHS CL A		2012-06-29	2020-01-10
1 ALPHABET INC SHS CL A		2012-06-29	2020-04-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
990		670	320
500		561	-61
634		716	-82
727		785	-58
447		357	90
928		1,053	-125
2,856		576	2,280
1,111		288	823
2,855		578	2,277
1,109		289	820

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			320
			-61
			-82
			-58
			90
			-125
			2,280
			823
			2,277
			820

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2000 AMERICAN EXPRESS CO		2019-02-20	2020-02-20
2000 AMERICAN EXPRESS CO		2019-02-20	2020-04-07
10 APPLE INC		2012-06-29	2019-07-01
2 APPLE INC		2012-06-29	2019-10-29
8 APPLE INC		2015-05-07	2019-10-29
4 APPLE INC		2015-11-18	2019-12-16
11 APPLE INC		2015-06-15	2019-12-16
2 APPLE INC		2015-05-07	2019-12-16
12 APPLE INC		2015-11-18	2020-01-07
7 APPLE INC		2015-11-18	2020-01-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,117		2,003	114
2,081		2,003	78
2,020		829	1,191
487		166	321
1,947		1,007	940
1,121		468	653
3,082		1,398	1,684
560		252	308
3,590		1,404	2,186
2,164		819	1,345

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			114
			78
			1,191
			321
			940
			653
			1,684
			308
			2,186
			1,345

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1000 APPLE INC		2017-02-08	2020-02-20
2000 APPLE INC		2017-02-08	2020-04-07
1000 BB&T CORPORATION		2017-10-25	2020-02-20
2000 BB&T CORPORATION		2017-10-25	2020-04-07
20 BP P L C SPONS ADR		2015-05-07	2020-01-10
3 BP P L C SPONS ADR		2015-08-24	2020-01-10
12 BP P L C SPONS ADR		2014-10-27	2020-01-10
1000 BANK OF NY MELLON CORP		2016-09-23	2020-04-07
7 BAXTER INTERNATIONAL INC		2017-04-17	2019-05-20
17 BAXTER INTERNATIONAL INC		2017-04-13	2019-05-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,085		1,009	76
2,176		2,018	158
1,041		996	45
2,030		1,991	39
777		836	-59
117		95	22
466		503	-37
1,008		996	12
528		370	158
1,282		907	375

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			76
			158
			45
			39
			-59
			22
			-37
			12
			158
			375

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
33 BAXTER INTERNATIONAL INC		2017-04-17	2019-12-10
5 BAXTER INTERNATIONAL INC		2017-05-04	2019-12-11
14 BAXTER INTERNATIONAL INC		2017-04-18	2019-12-11
2 BAXTER INTERNATIONAL INC		2017-04-17	2019-12-11
5 BAXTER INTERNATIONAL INC		2017-05-05	2019-12-20
23 BAXTER INTERNATIONAL INC		2017-05-04	2019-12-20
7 BAXTER INTERNATIONAL INC		2017-10-11	2019-12-23
16 BAXTER INTERNATIONAL INC		2017-10-10	2019-12-23
1 BAXTER INTERNATIONAL INC		2017-10-11	2019-12-24
3 BIOGEN INC		2016-08-30	2019-10-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,709		1,743	966
414		279	135
1,158		739	419
165		106	59
412		280	132
1,897		1,285	612
589		434	155
1,347		986	361
84		62	22
856		848	8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			966
			135
			419
			59
			132
			612
			155
			361
			22
			8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
7 BIOGEN INC		2016-08-24	2019-10-22
2 BIOGEN INC		2016-08-30	2020-01-10
336 BLACKROCK STRATEGIC		2014-03-04	2020-02-19
145 BLACKROCK STRATEGIC		2014-03-04	2020-03-06
119 BLACKROCK STRATEGIC		2014-03-04	2020-04-07
9 CDW CORP/DE		2016-02-23	2019-09-18
18 CDW CORP/DE		2015-09-17	2019-09-18
2 CDW CORP/DE		2015-10-06	2019-09-18
7 CDW CORP/DE		2015-09-17	2019-09-18
14 CDW CORP/DE		2015-10-05	2019-09-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,996		2,044	-48
593		565	28
3,390		3,413	-23
1,454		1,473	-19
1,113		1,209	-96
1,080		351	729
2,186		753	1,433
240		83	157
840		293	547
1,681		580	1,101

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-48
			28
			-23
			-19
			-96
			729
			1,433
			157
			547
			1,101

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 CDW CORP/DE		2016-02-23	2020-01-10
1 CDW CORP/DE		2016-02-24	2020-01-10
12 CDW CORP/DE		2016-02-24	2020-02-03
4 CDW CORP/DE		2016-02-25	2020-02-03
10 CDW CORP/DE		2016-02-25	2020-02-27
5 CDW CORP/DE		2016-02-26	2020-02-27
10 CDW CORP/DE		2016-02-26	2020-03-02
10 CDW CORP/DE		2016-02-29	2020-03-02
3 CDW CORP/DE		2020-02-20	2020-03-03
4 CDW CORP/DE		2016-02-29	2020-03-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
429		117	312
143		39	104
1,595		464	1,131
532		156	376
1,175		391	784
588		197	391
1,182		394	788
1,182		395	787
355		409	-54
474		158	316

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			312
			104
			1,131
			376
			784
			391
			788
			787
			-54
			316

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
33 CARNIVAL CORP		2015-03-02	2019-05-15
14 CARNIVAL CORP		2015-05-07	2019-05-15
6 CARNIVAL CORP		2015-05-07	2019-06-26
40 CARNIVAL CORP		2015-06-10	2019-06-26
7 CARNIVAL CORP		2015-06-10	2019-06-28
35 CARNIVAL CORP		2015-06-11	2019-06-28
1 CARNIVAL CORP		2018-08-10	2019-06-28
29 CARNIVAL CORP		2018-08-10	2019-07-02
13 CARNIVAL CORP		2018-09-06	2019-07-02
21 CARNIVAL CORP		2019-01-08	2019-07-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,770		1,498	272
751		623	128
272		267	5
1,816		1,901	-85
324		333	-9
1,620		1,661	-41
46		60	-14
1,346		1,735	-389
604		803	-199
974		1,092	-118

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			272
			128
			5
			-85
			-9
			-41
			-14
			-389
			-199
			-118

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
21 CARNIVAL CORP		2018-09-06	2019-07-16
5 CARNIVAL CORP		2019-01-10	2019-07-23
10 CARNIVAL CORP		2019-01-09	2019-07-23
11 CARNIVAL CORP		2019-01-08	2019-07-23
6 CARNIVAL CORP		2019-01-11	2019-07-24
23 CARNIVAL CORP		2019-01-10	2019-07-24
38 CENTENE CORP DELAWARE COM		2015-06-15	2019-05-02
39 CENTENE CORP DELAWARE COM		2015-06-16	2019-07-09
15 CENTENE CORP DELAWARE COM		2017-05-31	2019-07-23
5 CENTENE CORP DELAWARE COM		2015-06-16	2019-07-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
974		1,297	-323
231		260	-29
461		519	-58
507		572	-65
280		314	-34
1,074		1,196	-122
1,923		1,474	449
1,961		1,541	420
788		544	244
263		198	65

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-323
			-29
			-58
			-65
			-34
			-122
			449
			420
			244
			65

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
18 CENTENE CORP DELAWARE COM		2015-06-17	2019-07-23
25 CENTENE CORP DELAWARE COM		2017-05-31	2019-07-25
21 CISCO SYSTEMS INCORPORATED		2013-10-10	2020-01-10
5 CITIGROUP INC		2015-05-07	2020-01-10
3 CITIGROUP INC		2012-10-31	2020-01-10
1000 CITIGROUP INC		2011-11-17	2020-02-20
1000 CITIGROUP INC		2011-11-17	2020-04-08
63 COMCAST CORP		2012-10-04	2019-10-14
26 COMCAST CORP		2012-10-04	2020-01-10
DOWDUPONT INC		2019-06-06	2019-06-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
945		714	231
1,308		906	402
994		480	514
398		267	131
239		112	127
1,050		957	93
1,039		957	82
2,849		1,152	1,697
1,172		475	697
9			9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			231
			402
			514
			131
			127
			93
			82
			1,697
			697
			9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 DR HORTON INC		2016-07-13	2020-01-10
23 DR HORTON INC		2016-07-14	2020-01-10
27 DR HORTON INC		2016-07-14	2020-03-10
46 DR HORTON INC		2016-10-18	2020-03-10
17 DR HORTON INC		2016-10-19	2020-03-10
78 DR HORTON INC		2016-10-19	2020-03-13
4 DR HORTON INC		2016-10-20	2020-03-13
18 DR HORTON INC		2017-02-21	2020-03-13
9 DR HORTON INC		2017-03-30	2020-03-13
1 DR HORTON INC		2017-03-30	2020-03-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
540		339	201
1,241		784	457
1,336		920	416
2,276		1,353	923
841		504	337
2,952		2,313	639
151		117	34
681		553	128
341		301	40
34		33	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			201
			457
			416
			923
			337
			639
			34
			128
			40
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
21 DELTA AIR LINES INC		2016-02-22	2020-01-10
18 DELTA AIR LINES INC		2017-01-11	2020-03-09
30 DELTA AIR LINES INC		2016-05-19	2020-03-09
42 DELTA AIR LINES INC		2016-02-22	2020-03-09
49 DELTA AIR LINES INC		2016-02-23	2020-03-09
58 DELTA AIR LINES INC		2017-01-11	2020-03-10
17 DELTA AIR LINES INC		2017-01-12	2020-03-10
11 DELTA AIR LINES INC		2020-02-20	2020-03-10
24 DISH NETWORK CORPORATION CLASS A		2018-08-22	2019-11-07
23 DISH NETWORK CORPORATION CLASS A		2018-08-20	2019-11-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,246		1,019	227
781		924	-143
1,302		1,296	6
1,823		2,037	-214
2,126		2,398	-272
2,535		2,976	-441
743		854	-111
481		644	-163
831		843	-12
796		805	-9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			227
			-143
			6
			-214
			-272
			-441
			-111
			-163
			-12
			-9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
34 DISH NETWORK CORPORATION CLASS A		2018-08-21	2019-11-07
1 41 DISH NETWORK CORPORATION CLASS A		2018-08-23	2020-01-10
13 59 DISH NETWORK CORPORATION CLASS A		2018-08-23	2020-01-10
6 26 DISH NETWORK CORPORATION CLASS A		2018-10-10	2020-02-04
9 74 DISH NETWORK CORPORATION CLASS A		2018-08-23	2020-02-04
1 03 DISH NETWORK CORPORATION CLASS A		2018-10-10	2020-02-05
12 74 DISH NETWORK CORPORATION CLASS A		2018-10-10	2020-02-05
42 23 DISH NETWORK CORPORATION CLASS A		2018-10-11	2020-02-05
77 DISH NETWORK CORPORATION CLASS A		2018-10-11	2020-02-06
2 33 DISH NETWORK CORPORATION CLASS A		2018-10-11	2020-02-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,177		1,214	-37
50		47	3
479		453	26
230		198	32
358		324	34
39		33	6
484		403	81
1,604		1,303	301
29		24	5
87		72	15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-37
			3
			26
			32
			34
			6
			81
			301
			5
			15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
65 DISH NETWORK CORPORATION CLASS A		2018-10-19	2020-02-06
12 DISH NETWORK CORPORATION CLASS A		2018-10-19	2020-02-06
9 26 DISH NETWORK CORPORATION CLASS A		2018-10-22	2020-02-06
2 38 DISH NETWORK CORPORATION CLASS A		2018-10-22	2020-02-10
7 62 DISH NETWORK CORPORATION CLASS A		2018-10-22	2020-02-10
1 08 DISH NETWORK CORPORATION CLASS A		2018-12-19	2020-02-11
20 DISH NETWORK CORPORATION CLASS A		2018-12-19	2020-02-11
39 79 DISH NETWORK CORPORATION CLASS A		2018-12-20	2020-02-11
27 13 DISH NETWORK CORPORATION CLASS A		2018-10-22	2020-02-11
44 DISH NETWORK CORPORATION CLASS A		2019-01-17	2020-02-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
24		21	3
448		396	52
346		306	40
88		79	9
280		252	28
42		30	12
772		554	218
1,536		1,032	504
1,047		897	150
1,809		1,221	588

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3
			52
			40
			9
			28
			12
			218
			504
			150
			588

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 38 DISH NETWORK CORPORATION CLASS A		2019-01-17	2020-02-12
23 DISH NETWORK CORPORATION CLASS A		2019-01-18	2020-02-12
1 24 DISH NETWORK CORPORATION CLASS A		2019-01-18	2020-02-12
2 17 DISH NETWORK CORPORATION CLASS A		2018-12-20	2020-02-12
21 DISH NETWORK CORPORATION CLASS A		2018-12-20	2020-02-12
15 DISH NETWORK CORP RIGHTS		2019-11-22	2019-12-02
DISH NETWORK CORP RIGHTS		2019-12-03	2019-12-03
11 DOLLAR GENERAL CORP		2018-11-21	2019-09-03
5 DOLLAR GENERAL CORP		2018-11-26	2019-12-03
13 DOLLAR GENERAL CORP		2018-11-23	2019-12-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
98		66	32
946		646	300
51		35	16
89		56	33
9		5	4
8			8
1,713		1,161	552
765		535	230
1,990		1,378	612

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			32
			300
			16
			33
			4
			8
			552
			230
			612

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 DOLLAR GENERAL CORP		2018-11-21	2019-12-03
5 DOLLAR GENERAL CORP		2018-11-26	2020-04-13
14 DOLLAR GENERAL CORP		2018-11-26	2020-04-13
3 DOLLAR GENERAL CORP		2018-11-26	2020-04-24
6 DOLLAR GENERAL CORP		2018-11-30	2020-04-24
12 DOW INC		2013-10-23	2019-07-22
1 DOW INC		2019-04-05	2019-07-22
7 DOW INC		2017-01-09	2019-07-22
9 DOW INC		2015-06-15	2019-07-22
3 DOW INC		2017-01-10	2019-07-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
153		106	47
853		535	318
2,388		1,495	893
529		320	209
1,057		662	395
610		482	128
51			51
356		398	-42
458		452	6
153		168	-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			47
			318
			893
			209
			395
			128
			51
			-42
			6
			-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5 DOW INC		2017-01-10	2019-07-23
8 DOW INC		2017-01-12	2019-07-23
7 DOW INC		2017-01-12	2019-07-30
14 DOW INC		2017-01-13	2019-07-30
9 DOW INC		2017-01-17	2019-07-30
1 DOW INC		2017-01-25	2019-07-30
11 DOW INC		2019-01-17	2019-07-31
2 DOW INC		2017-01-25	2019-07-31
33 DUPONT DE NEMOURS INC		2019-05-15	2019-06-06
12 DUPONT DE NEMOURS INC		2013-10-23	2019-06-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
259		279	-20
415		454	-39
338		397	-59
676		790	-114
434		501	-67
48		57	-9
529		603	-74
96		115	-19
24		30	-6
901		736	165

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-20
			-39
			-59
			-114
			-67
			-9
			-74
			-19
			-6
			165

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
9 DUPONT DE NEMOURS INC		2015-06-15	2019-06-14
6 DUPONT DE NEMOURS INC		2017-01-09	2019-06-14
1 DUPONT DE NEMOURS INC		2017-01-09	2019-06-21
8 DUPONT DE NEMOURS INC		2017-01-10	2019-06-21
15 DUPONT DE NEMOURS INC		2017-01-12	2019-06-21
2 DUPONT DE NEMOURS INC		2017-01-13	2019-06-21
12 DUPONT DE NEMOURS INC		2017-01-13	2020-01-27
18 DUPONT DE NEMOURS INC		2019-05-15	2020-01-27
9 DUPONT DE NEMOURS INC		2017-01-17	2020-01-27
11 DUPONT DE NEMOURS INC		2019-01-17	2020-01-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
676		689	-13
451		521	-70
75		87	-12
601		682	-81
1,127		1,299	-172
150		172	-22
692		1,034	-342
1,038		1,225	-187
519		765	-246
634		920	-286

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-13
			-70
			-12
			-81
			-172
			-22
			-342
			-187
			-246
			-286

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 DUPONT DE NEMOURS INC		2017-01-25	2020-01-27
27 E*TRADE FINANCIAL CORP		2017-10-03	2020-01-10
4 E*TRADE FINANCIAL CORP		2017-10-03	2020-01-29
9 E*TRADE FINANCIAL CORP		2017-10-04	2020-01-29
15 E*TRADE FINANCIAL CORP		2017-10-04	2020-01-30
5 E*TRADE FINANCIAL CORP		2017-10-09	2020-01-30
16 E*TRADE FINANCIAL CORP		2017-10-09	2020-01-31
2 E*TRADE FINANCIAL CORP		2017-10-10	2020-01-31
2 EMERSON ELECTRIC CO		2018-10-08	2019-08-02
29 EMERSON ELECTRIC CO		2018-10-05	2019-08-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
173		263	-90
1,254		1,195	59
177		177	
397		399	-2
661		665	-4
220		222	-2
685		710	-25
86		88	-2
123		155	-32
1,790		2,260	-470

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-90
			59
			-2
			-4
			-2
			-25
			-2
			-32
			-470

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
22 EMERSON ELECTRIC CO		2018-10-08	2019-08-05
18 EMERSON ELECTRIC CO		2018-10-16	2019-08-05
16 EMERSON ELECTRIC CO		2018-10-31	2019-08-15
17 EMERSON ELECTRIC CO		2018-10-17	2019-08-15
3 EMERSON ELECTRIC CO		2018-10-16	2019-08-15
12 EMERSON ELECTRIC CO		2018-11-02	2019-08-16
15 EMERSON ELECTRIC CO		2018-11-01	2019-08-16
11 EMERSON ELECTRIC CO		2018-10-31	2019-08-16
4 EMERSON ELECTRIC CO		2018-11-02	2019-08-19
2 FACEBOOK INC		2020-02-04	2020-03-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,319		1,704	-385
1,079		1,318	-239
904		1,099	-195
960		1,249	-289
169		220	-51
694		842	-148
867		1,051	-184
636		755	-119
234		281	-47
360		420	-60

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-385
			-239
			-195
			-289
			-51
			-148
			-184
			-119
			-47
			-60

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2000 FEDERAL NATL MTG ASSOC		2017-06-13	2020-02-20
2000 FEDERAL NATL MTG ASSOC		2017-06-13	2020-04-07
199 FREEPORT-MCMORAN INC		2018-05-02	2019-05-15
63 FREEPORT-MCMORAN INC		2018-05-02	2019-05-30
4 FREEPORT-MCMORAN INC		2018-08-03	2019-05-30
114 FREEPORT-MCMORAN INC		2018-05-11	2019-05-30
122 FREEPORT-MCMORAN INC		2018-08-03	2019-06-14
95 FREEPORT-MCMORAN INC		2018-09-14	2019-06-14
47 FREEPORT-MCMORAN INC		2018-09-14	2019-06-17
28 GILEAD SCIENCES INC		2016-04-13	2019-05-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,075		1,957	118
2,137		1,957	180
2,155		3,005	-850
617		951	-334
39		63	-24
1,116		1,847	-731
1,302		1,922	-620
1,014		1,299	-285
500		643	-143
1,787		2,717	-930

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			118
			180
			-850
			-334
			-24
			-731
			-620
			-285
			-143
			-930

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
9 GILEAD SCIENCES INC		2016-04-13	2019-05-16
31 GILEAD SCIENCES INC		2017-05-31	2019-05-16
6 GILEAD SCIENCES INC		2017-05-31	2019-05-17
1000 GLAXOSMITHKLINE CAPITAL		2018-06-08	2020-02-20
2000 GLAXOSMITHKLINE CAPITAL		2018-06-08	2020-04-07
1000 HOME DEPOT INC		2019-01-11	2020-02-20
2000 HOME DEPOT INC		2019-01-11	2020-04-07
3 HUBBELL INC		2019-02-13	2020-01-10
10 HUMANA INC		2015-08-06	2020-01-09
9 HUMANA INC		2015-08-06	2020-01-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
594		873	-279
2,046		2,004	42
396		388	8
1,050		999	51
2,111		1,998	113
1,141		1,028	113
2,231		2,055	176
434		351	83
3,657		1,860	1,797
3,275		1,674	1,601

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-279
			42
			8
			51
			113
			113
			176
			83
			1,797
			1,601

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5 HUMANA INC		2015-08-06	2020-03-12
2 HUMANA INC		2015-08-06	2020-03-16
8 HUMANA INC		2016-10-18	2020-03-16
6 HUMANA INC		2016-10-19	2020-03-16
12 HUMANA INC		2016-10-19	2020-03-17
4 HUMANA INC		2019-07-23	2020-03-17
19 IAA INC REG SHS WHEN		2018-04-02	2019-08-12
24 IAA INC REG SHS WHEN		2018-04-02	2019-08-13
15 IAA INC REG SHS WHEN		2018-04-02	2019-08-15
5 IAA INC REG SHS WHEN		2018-04-02	2019-08-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,476		930	546
546		372	174
2,185		1,390	795
1,639		1,049	590
3,252		2,097	1,155
1,084		1,128	-44
827		632	195
1,106		798	308
668		499	169
218		166	52

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			546
			174
			795
			590
			1,155
			-44
			195
			308
			169
			52

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
13 IAA INC REG SHS WHEN		2018-05-11	2019-08-16
10 IAA INC REG SHS WHEN		2018-05-11	2019-08-19
5 IAA INC REG SHS WHEN		2018-05-11	2019-09-09
5 IAA INC REG SHS WHEN		2018-05-16	2019-09-10
7 IAA INC REG SHS WHEN		2018-05-11	2019-09-10
11 IAA INC REG SHS WHEN		2018-05-17	2019-09-11
2 IAA INC REG SHS WHEN		2018-05-16	2019-09-11
9 IAA INC REG SHS WHEN		2018-05-18	2019-09-12
2 IAA INC REG SHS WHEN		2018-05-17	2019-09-12
1 IAA INC REG SHS WHEN		2018-06-19	2019-10-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
568		434	134
443		334	109
242		167	75
232		167	65
325		234	91
504		371	133
92		67	25
412		300	112
92		67	25
37		34	3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			134
			109
			75
			65
			91
			133
			25
			112
			25
			3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 IAA INC REG SHS WHEN		2018-05-21	2019-10-11
5 IAA INC REG SHS WHEN		2018-05-18	2019-10-11
10 IAA INC REG SHS WHEN		2018-06-19	2019-10-14
3 IAA INC REG SHS WHEN		2018-06-20	2019-10-14
3 IAA INC REG SHS WHEN		2018-06-21	2019-10-14
11 IAA INC REG SHS WHEN		2018-06-21	2019-10-15
8 IAA INC REG SHS WHEN		2018-06-22	2019-10-15
6 IAA INC REG SHS WHEN		2019-01-17	2019-10-15
3 IAA INC REG SHS WHEN		2019-01-17	2019-10-16
3 IAA INC REG SHS WHEN		2019-01-17	2019-10-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
37		34	3
186		167	19
362		342	20
109		103	6
109		103	6
398		379	19
289		274	15
217		191	26
111		95	16
113		95	18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3
			19
			20
			6
			6
			19
			15
			26
			16
			18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
8 IAA INC REG SHS WHEN		2019-01-18	2019-10-18
6 IAA INC REG SHS WHEN		2019-01-18	2019-10-21
4 IAA INC REG SHS WHEN		2019-01-22	2019-10-21
11 IAA INC REG SHS WHEN		2019-01-22	2019-10-23
1 IAA INC REG SHS WHEN		2019-01-22	2019-10-24
8 IAA INC REG SHS WHEN		2019-02-28	2019-10-24
1 IAA INC REG SHS WHEN		2019-03-01	2019-10-24
6 IAA INC REG SHS WHEN		2019-03-01	2019-10-25
3 IAA INC REG SHS WHEN		2019-03-04	2019-10-28
12 IAA INC REG SHS WHEN		2019-03-01	2019-10-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
302		259	43
234		194	40
156		128	28
420		353	67
38		32	6
307		235	72
38		29	9
229		177	52
115		89	26
459		353	106

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			43
			40
			28
			67
			6
			72
			9
			52
			26
			106

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
23 J P MORGAN CHASE & CO COM		2012-06-15	2020-01-10
3 J P MORGAN CHASE & CO COM		2012-06-15	2020-02-28
12 J P MORGAN CHASE & CO COM		2012-06-25	2020-02-28
10 J P MORGAN CHASE & CO COM		2012-06-26	2020-02-28
2 J P MORGAN CHASE & CO COM		2012-06-26	2020-03-03
11 J P MORGAN CHASE & CO COM		2012-06-27	2020-03-03
8 J P MORGAN CHASE & CO COM		2012-06-28	2020-03-03
1 J P MORGAN CHASE & CO COM		2012-12-17	2020-03-09
3 J P MORGAN CHASE & CO COM		2012-06-28	2020-03-09
12 J P MORGAN CHASE & CO COM		2012-06-29	2020-03-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,136		803	2,333
348		105	243
1,392		422	970
1,160		358	802
234		72	162
1,287		400	887
936		284	652
95		43	52
285		106	179
1,138		432	706

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,333
			243
			970
			802
			162
			887
			652
			52
			179
			706

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2000 JPMORGAN CHASE & CO		2014-09-16	2020-02-20
2000 JPMORGAN CHASE & CO		2014-09-16	2020-04-07
28 KAR AUCTION SERVICES INC		2018-04-02	2020-01-15
35 KAR AUCTION SERVICES INC		2018-04-02	2020-01-16
8 KAR AUCTION SERVICES INC		2018-05-11	2020-01-16
27 KAR AUCTION SERVICES INC		2018-05-11	2020-01-17
7 KAR AUCTION SERVICES INC		2018-05-16	2020-01-17
11 KAR AUCTION SERVICES INC		2018-05-17	2020-01-17
2 KAR AUCTION SERVICES INC		2018-05-17	2020-01-23
11 KAR AUCTION SERVICES INC		2018-05-18	2020-01-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,145		1,993	152
2,132		1,993	139
618		568	50
777		711	66
178		163	15
593		550	43
154		143	11
242		226	16
45		41	4
246		224	22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			152
			139
			50
			66
			15
			43
			11
			16
			4
			22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 KAR AUCTION SERVICES INC		2018-05-18	2020-01-24
11 KAR AUCTION SERVICES INC		2018-06-19	2020-01-24
3 KAR AUCTION SERVICES INC		2018-06-20	2020-01-24
1 KAR AUCTION SERVICES INC		2018-05-21	2020-01-24
14 KAR AUCTION SERVICES INC		2018-06-21	2020-01-24
5 KAR AUCTION SERVICES INC		2018-06-22	2020-01-24
12 KAR AUCTION SERVICES INC		2019-01-17	2020-01-27
14 KAR AUCTION SERVICES INC		2019-01-18	2020-01-27
3 KAR AUCTION SERVICES INC		2018-06-22	2020-01-27
7 KAR AUCTION SERVICES INC		2019-01-22	2020-01-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
66		61	5
242		229	13
66		63	3
22		20	2
308		294	14
110		105	5
263		233	30
307		276	31
66		63	3
154		137	17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5
			13
			3
			2
			14
			5
			30
			31
			3
			17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
9 KAR AUCTION SERVICES INC		2019-01-22	2020-01-28
3 KAR AUCTION SERVICES INC		2019-02-28	2020-01-28
16 KAR AUCTION SERVICES INC		2019-03-01	2020-01-31
5 KAR AUCTION SERVICES INC		2019-02-28	2020-01-31
3 KAR AUCTION SERVICES INC		2019-03-01	2020-02-03
3 KAR AUCTION SERVICES INC		2019-03-04	2020-02-03
37 KAR AUCTION SERVICES INC		2019-07-09	2020-02-03
13 KAR AUCTION SERVICES INC		2019-07-10	2020-02-03
6 KAR AUCTION SERVICES INC		2019-07-10	2020-02-04
15 KAR AUCTION SERVICES INC		2019-07-10	2020-02-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
199		176	23
66		54	12
336		288	48
105		90	15
63		54	9
63		54	9
775		919	-144
272		324	-52
127		149	-22
329		374	-45

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			23
			12
			48
			15
			9
			9
			-144
			-52
			-22
			-45

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 KAR AUCTION SERVICES INC		2019-07-11	2020-02-07
36 KAR AUCTION SERVICES INC		2019-09-18	2020-02-07
9 KAR AUCTION SERVICES INC		2019-09-19	2020-02-07
28 KAR AUCTION SERVICES INC		2019-09-19	2020-02-10
5 KAR AUCTION SERVICES INC		2019-09-20	2020-02-10
3 KAR AUCTION SERVICES INC		2019-10-22	2020-02-10
22 KAR AUCTION SERVICES INC		2019-10-22	2020-02-11
2 KAR AUCTION SERVICES INC		2019-10-22	2020-02-12
27 KAR AUCTION SERVICES INC		2019-10-23	2020-02-12
2 KAR AUCTION SERVICES INC		2019-10-23	2020-02-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
22		24	-2
790		933	-143
198		236	-38
608		735	-127
109		128	-19
65		77	-12
478		563	-85
45		51	-6
602		686	-84
45		51	-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2
			-143
			-38
			-127
			-19
			-12
			-85
			-6
			-84
			-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
12 KAR AUCTION SERVICES INC		2019-10-24	2020-02-13
13 LABORATORY CORP AMER HLDGS COM NEW		2014-12-26	2020-01-10
11 LABORATORY CORP AMER HLDGS COM NEW		2014-12-26	2020-04-28
8 LABORATORY CORP AMER HLDGS COM NEW		2014-12-29	2020-04-28
36 LENNAR CORP		2015-09-23	2019-06-14
23 LENNAR CORP		2015-09-23	2019-06-18
2 LENNAR CORP		2015-09-24	2019-06-18
3 LENNAR CORP		2015-09-24	2019-06-19
16 LENNAR CORP		2017-03-08	2019-06-19
4 LOWES COMPANIES INC COM		2013-06-21	2020-01-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
269		309	-40
2,310		1,411	899
1,944		1,194	750
1,414		868	546
1,927		1,766	161
1,201		1,128	73
104		96	8
153		145	8
814		815	-1
486		158	328

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-40
			899
			750
			546
			161
			73
			8
			8
			-1
			328

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5 LOWES COMPANIES INC COM		2015-05-07	2020-01-22
18 LOWES COMPANIES INC COM		2013-06-21	2020-01-22
4 LOWES COMPANIES INC COM		2015-05-07	2020-04-08
21 LOWES COMPANIES INC COM		2015-05-07	2020-04-16
7 LOWES COMPANIES INC COM		2015-06-15	2020-04-16
172 MARATHON OIL CORP		2018-06-11	2020-03-09
45 MARATHON OIL CORP		2018-06-12	2020-03-09
117 MARATHON OIL CORP		2019-01-16	2020-03-09
170 MARATHON OIL CORP		2019-03-19	2020-03-09
15 MARATHON OIL CORP		2019-03-20	2020-03-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
611		362	249
2,199		709	1,490
378		290	88
1,946		1,521	425
649		483	166
619		3,700	-3,081
162		966	-804
421		1,822	-1,401
612		2,941	-2,329
54		260	-206

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			249
			1,490
			88
			425
			166
			-3,081
			-804
			-1,401
			-2,329
			-206

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
15 MARATHON OIL CORP		2019-03-20	2020-03-10
10 MICROSOFT CORPORATION		2015-06-15	2020-01-10
29 MICROSOFT CORPORATION		2015-11-18	2020-01-10
21 MICROSOFT CORPORATION		2015-11-18	2020-03-06
10 MICROSOFT CORPORATION		2015-11-18	2020-04-01
6 MICROSOFT CORPORATION		2016-01-06	2020-04-16
14 MICROSOFT CORPORATION		2015-11-18	2020-04-16
28 MICROSOFT CORPORATION		2016-01-06	2020-04-24
19 MICROSOFT CORPORATION		2016-01-06	2020-04-28
3 MOLSON COORS BREWING CO CL B		2019-02-04	2019-06-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
57		260	-203
1,620		454	1,166
4,698		1,551	3,147
3,351		1,123	2,228
1,531		535	996
1,052		325	727
2,455		749	1,706
4,844		1,518	3,326
3,250		1,030	2,220
162		198	-36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-203
			1,166
			3,147
			2,228
			996
			727
			1,706
			3,326
			2,220
			-36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
15 MOLSON COORS BREWING CO CL B		2019-02-01	2019-06-14
11 MOLSON COORS BREWING CO CL B		2019-01-31	2019-06-14
8 MOLSON COORS BREWING CO CL B		2019-01-30	2019-06-14
3 NORFOLK SOUTHERN CORP		2017-01-12	2020-01-10
18 NOVARTIS AG ADR		2018-11-16	2019-09-10
7 NOVARTIS AG ADR		2018-11-19	2019-09-11
5 NOVARTIS AG ADR		2018-11-16	2019-09-11
5 NOVARTIS AG ADR		2018-11-19	2020-01-10
9 NOVARTIS AG ADR		2018-11-19	2020-02-06
16 NOVARTIS AG ADR		2018-11-21	2020-02-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
810		995	-185
594		726	-132
432		524	-92
595		332	263
1,594		1,387	207
620		542	78
443		385	58
471		387	84
867		696	171
1,541		1,253	288

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-185
			-132
			-92
			263
			207
			78
			58
			84
			171
			288

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 NOVARTIS AG ADR		2018-11-21	2020-02-20
3 NOVARTIS AG ADR		2018-11-23	2020-02-20
22 NOVARTIS AG ADR		2018-11-26	2020-02-20
14 NOVARTIS AG ADR		2018-11-30	2020-02-20
17 NOVARTIS AG ADR		2019-01-08	2020-02-25
7 NOVARTIS AG ADR		2019-01-09	2020-02-25
7 NOVARTIS AG ADR		2018-11-30	2020-02-25
10 NOVO-NORDISK A S ADR		2017-09-26	2019-09-05
18 NOVO-NORDISK A S ADR		2017-09-27	2019-09-06
3 NOVO-NORDISK A S ADR		2017-09-26	2019-09-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
96		78	18
288		232	56
2,113		1,719	394
1,345		1,123	222
1,498		1,291	207
617		535	82
617		561	56
528		482	46
949		869	80
158		144	14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			18
			56
			394
			222
			207
			82
			56
			46
			80
			14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 NOVO-NORDISK A S ADR		2017-09-29	2019-09-09
18 NOVO-NORDISK A S ADR		2017-09-28	2019-09-09
3 NOVO-NORDISK A S ADR		2017-09-27	2019-09-09
11 NOVO-NORDISK A S ADR		2017-09-29	2019-09-17
7 NOVO-NORDISK A S ADR		2017-10-09	2019-09-17
5 NOVO-NORDISK A S ADR		2017-10-10	2019-09-17
11 NOVO-NORDISK A S ADR		2017-10-11	2019-09-19
6 NOVO-NORDISK A S ADR		2017-10-10	2019-09-19
1 NOVO-NORDISK A S ADR		2017-10-11	2020-01-10
9 NOVO-NORDISK A S ADR		2017-10-12	2020-01-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
152		144	8
914		866	48
152		145	7
561		526	35
357		345	12
255		244	11
561		543	18
306		293	13
59		49	10
529		442	87

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			8
			48
			7
			35
			12
			11
			18
			13
			10
			87

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 NOVO-NORDISK A S ADR		2017-10-13	2020-01-10
2 NOVO-NORDISK A S ADR		2017-10-26	2020-01-10
25 NOVO-NORDISK A S ADR		2017-10-26	2020-04-28
1 NOVO-NORDISK A S ADR		2017-10-27	2020-04-28
9 NOVO-NORDISK A S ADR		2018-01-17	2020-04-29
12 NOVO-NORDISK A S ADR		2017-10-27	2020-04-29
5 O'REILLY AUTOMOTIVE INC		2018-07-09	2020-01-10
1 O'REILLY AUTOMOTIVE INC		2018-07-09	2020-03-16
3 O'REILLY AUTOMOTIVE INC		2018-07-09	2020-03-18
4 O'REILLY AUTOMOTIVE INC		2018-07-10	2020-03-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
59		48	11
118		98	20
1,622		1,228	394
65		50	15
567		506	61
756		598	158
2,180		1,441	739
311		288	23
854		865	-11
1,138		1,148	-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			11
			20
			394
			15
			61
			158
			739
			23
			-11
			-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 O'REILLY AUTOMOTIVE INC		2018-07-19	2020-03-18
1000 ORACLE CORP		2016-10-28	2020-02-20
2000 ORACLE CORP		2016-10-28	2020-04-07
1 PACKAGING CORP OF AMERICA		2013-02-26	2019-12-10
8 PACKAGING CORP OF AMERICA		2013-02-25	2019-12-10
11 PACKAGING CORP OF AMERICA		2013-02-26	2019-12-11
16 PACKAGING CORP OF AMERICA		2013-02-26	2019-12-12
3 PACKAGING CORP OF AMERICA		2013-02-26	2020-02-13
10 PACKAGING CORP OF AMERICA		2015-05-07	2020-02-20
6 PACKAGING CORP OF AMERICA		2015-06-15	2020-02-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
569		598	-29
1,043		990	53
2,063		1,980	83
111		42	69
892		334	558
1,229		458	771
1,799		666	1,133
300		125	175
994		693	301
597		401	196

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-29
			53
			83
			69
			558
			771
			1,133
			175
			301
			196

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5 PACKAGING CORP OF AMERICA		2013-02-26	2020-02-20
17 PACKAGING CORP OF AMERICA		2013-02-27	2020-02-20
1 PACKAGING CORP OF AMERICA		2019-01-02	2020-02-24
1 PACKAGING CORP OF AMERICA		2015-06-15	2020-02-24
9 PACKAGING CORP OF AMERICA		2018-06-19	2020-02-24
3 PACKAGING CORP OF AMERICA		2018-06-20	2020-02-24
16 PACKAGING CORP OF AMERICA		2019-01-02	2020-02-25
2 PACKAGING CORP OF AMERICA		2019-01-03	2020-02-25
1 PACKAGING CORP OF AMERICA		2019-01-03	2020-02-26
30 PFIZER INC		2016-03-31	2020-01-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
497		208	289
1,690		712	978
99		84	15
99		67	32
892		1,053	-161
297		349	-52
1,528		1,349	179
191		166	25
96		83	13
1,187		900	287

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			289
			978
			15
			32
			-161
			-52
			179
			25
			13
			287

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
65 REGIONS FINANCIAL CORP		2017-01-10	2020-01-10
34 REGIONS FINANCIAL CORP		2017-01-10	2020-01-29
7 REGIONS FINANCIAL CORP		2017-01-11	2020-01-29
56 REGIONS FINANCIAL CORP		2017-01-11	2020-01-30
42 REGIONS FINANCIAL CORP		2017-01-11	2020-01-31
3 REGIONS FINANCIAL CORP		2017-01-11	2020-01-31
6 REGIONS FINANCIAL CORP		2017-01-12	2020-01-31
96 REGIONS FINANCIAL CORP		2017-01-12	2020-02-04
60 REGIONS FINANCIAL CORP		2017-01-12	2020-02-05
18 REGIONS FINANCIAL CORP		2017-01-13	2020-02-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,068		948	120
525		496	29
108		101	7
863		807	56
651		606	45
47		43	4
93		86	7
1,559		1,375	184
991		860	131
297		265	32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			120
			29
			7
			56
			45
			4
			7
			184
			131
			32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
70 REGIONS FINANCIAL CORP		2017-01-13	2020-02-07
56 REGIONS FINANCIAL CORP		2017-01-13	2020-02-10
17 REGIONS FINANCIAL CORP		2017-01-13	2020-02-19
10 REGIONS FINANCIAL CORP		2017-12-19	2020-02-19
102 REGIONS FINANCIAL CORP		2017-12-19	2020-02-20
5000 USD ROYAL BK CANADA		2016-04-26	2019-09-23
1000 USD ROYAL BK CANADA		2017-05-04	2019-09-23
1000 SHELL INTERNATIONAL FIN		2013-09-12	2019-09-23
1000 SHELL INTERNATIONAL FIN		2017-05-04	2019-09-23
7000 SHELL INTERNATIONAL FIN		2009-09-17	2019-09-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,141		1,030	111
910		824	86
279		250	29
164		173	-9
1,683		1,762	-79
5,000		5,021	-21
1,000		1,002	-2
1,000		1,000	
1,000		1,000	
7,000		6,964	36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			111
			86
			29
			-9
			-79
			-21
			-2
			36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1000 SHELL INTERNATIONAL FIN		2010-01-19	2019-09-23
2 J M SMUCKER CO		2018-03-14	2019-11-11
1 J M SMUCKER CO		2018-03-08	2019-11-11
8 J M SMUCKER CO		2018-03-07	2019-11-11
10 J M SMUCKER CO		2018-03-13	2019-11-11
3 J M SMUCKER CO		2018-03-14	2019-11-12
5 J M SMUCKER CO		2018-03-23	2019-11-12
7 J M SMUCKER CO		2018-03-23	2019-11-18
2 J M SMUCKER CO		2018-03-26	2019-11-18
2 J M SMUCKER CO		2018-05-17	2019-11-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,000		1,000	
209		265	-56
104		128	-24
834		1,031	-197
1,043		1,315	-272
314		398	-84
524		601	-77
737		841	-104
211		242	-31
208		222	-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-56
			-24
			-197
			-272
			-84
			-77
			-104
			-31
			-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
9 J M SMUCKER CO		2018-05-16	2019-11-19
3 J M SMUCKER CO		2018-03-26	2019-11-19
4 J M SMUCKER CO		2018-05-17	2019-11-20
9 SONY CORP/AMER SHARES NEW/		2019-06-14	2019-10-14
23 SONY CORP/AMER SHARES NEW/		2019-06-14	2019-10-15
15 SONY CORP/AMER SHARES NEW/		2019-06-19	2019-11-11
16 SONY CORP/AMER SHARES NEW/		2019-06-18	2019-11-11
25 SONY CORP/AMER SHARES NEW/		2019-06-17	2019-11-11
15 SONY CORP/AMER SHARES NEW/		2019-06-14	2019-11-11
2 SONY CORP/AMER SHARES NEW/		2019-06-19	2020-01-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
937		1,008	-71
312		364	-52
418		445	-27
521		463	58
1,333		1,183	150
915		791	124
976		836	140
1,525		1,310	215
915		771	144
142		106	36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-71
			-52
			-27
			58
			150
			124
			140
			215
			144
			36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 SONY CORP/AMER SHARES NEW/		2019-06-20	2020-01-10
2 SONY CORP/AMER SHARES NEW/		2019-06-20	2020-04-13
8 SONY CORP/AMER SHARES NEW/		2019-06-26	2020-04-13
22 SONY CORP/AMER SHARES NEW/		2019-06-27	2020-04-13
8 SONY CORP/AMER SHARES NEW/		2019-06-27	2020-04-14
2 SONY CORP/AMER SHARES NEW/		2019-06-28	2020-04-14
6 SONY CORP/AMER SHARES NEW/		2019-06-28	2020-04-16
19 SONY CORP/AMER SHARES NEW/		2019-07-01	2020-04-17
3 SONY CORP/AMER SHARES NEW/		2019-06-28	2020-04-17
11 SONY CORP/AMER SHARES NEW/		2019-07-01	2020-04-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
285		216	69
120		108	12
479		420	59
1,317		1,150	167
491		418	73
123		105	18
373		315	58
1,216		1,012	204
192		158	34
695		590	105

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			69
			12
			59
			167
			73
			18
			58
			204
			34
			105

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 SONY CORP/AMER SHARES NEW/		2019-07-01	2020-04-28
14 SONY CORP/AMER SHARES NEW/		2019-07-01	2020-04-29
8 SONY CORP/AMER SHARES NEW/		2019-07-02	2020-04-29
19 SUNCOR ENERGY INC		2015-05-07	2019-07-02
12 SUNCOR ENERGY INC		2013-02-20	2019-07-02
5 SUNCOR ENERGY INC		2013-01-15	2019-07-02
34 SUNCOR ENERGY INC		2015-06-15	2019-07-03
2 SUNCOR ENERGY INC		2017-04-24	2019-07-03
1 SUNCOR ENERGY INC		2015-05-07	2019-07-03
3 SUNCOR ENERGY INC		2017-04-26	2019-07-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
253		213	40
904		751	153
517		428	89
591		571	20
373		379	-6
155		173	-18
1,059		948	111
62		61	1
31		30	1
94		93	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			40
			153
			89
			20
			-6
			-18
			111
			1
			1
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
13 SUNCOR ENERGY INC		2017-04-24	2019-07-05
22 SUNCOR ENERGY INC		2017-04-25	2019-07-05
11 SUNCOR ENERGY INC		2017-04-26	2019-07-08
7 SUNCOR ENERGY INC		2018-02-05	2019-07-08
18 SUNCOR ENERGY INC		2018-02-06	2019-07-09
16 SUNCOR ENERGY INC		2018-02-05	2019-07-09
22 SUNCOR ENERGY INC		2018-03-05	2019-07-10
6 SUNCOR ENERGY INC		2018-02-06	2019-07-10
33 SUNCOR ENERGY INC		2018-03-05	2019-07-16
1 SUNCOR ENERGY INC		2018-03-06	2019-07-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
409		398	11
692		682	10
346		340	6
220		243	-23
576		606	-30
512		555	-43
711		703	8
194		202	-8
1,042		1,054	-12
32		32	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			11
			10
			6
			-23
			-30
			-43
			8
			-8
			-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 SUNCOR ENERGY INC		2018-03-06	2019-07-17
19 SUNCOR ENERGY INC		2019-01-02	2019-07-17
28 SUNCOR ENERGY INC		2019-01-02	2019-07-26
26 SUNCOR ENERGY INC		2019-01-02	2019-07-29
20 SUNTRUST BANKS INC		2015-08-24	2019-08-15
12 SUNTRUST BANKS INC		2015-05-07	2019-08-15
8 SUNTRUST BANKS INC		2016-06-24	2019-08-15
40 TAIWAN S MANUFCTRING ADR		2018-09-07	2019-09-05
26 TAIWAN S MANUFCTRING ADR		2018-09-07	2019-09-06
12 TAIWAN S MANUFCTRING ADR		2018-09-07	2020-01-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
314		322	-8
596		535	61
832		789	43
760		733	27
1,184		785	399
710		503	207
473		326	147
1,769		1,798	-29
1,141		1,169	-28
708		539	169

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-8
			61
			43
			27
			399
			207
			147
			-29
			-28
			169

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
22 TRUIST FINL CORP		2016-06-24	2019-12-17
15 TRUIST FINL CORP		2016-06-24	2020-01-10
42 TRUIST FINL CORP		2016-06-24	2020-03-09
21 US BANCORP DEL		2012-10-31	2020-01-10
14 US BANCORP DEL		2013-01-14	2020-03-03
24 US BANCORP DEL		2012-10-31	2020-03-03
1000 U S TREASURY BOND		2009-06-24	2020-02-20
1000 U S TREASURY BOND		2010-01-19	2020-04-07
1000 U S TREASURY BOND 3 125% FEB 15 2042		2012-09-10	2020-02-20
2000 U S TREASURY BOND 3 125% FEB 15 2042		2012-02-24	2020-02-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12		7	5
842		472	370
1,439		1,323	116
1,195		699	496
650		469	181
1,114		798	316
1,395		1,010	385
1,526		994	532
1,222		1,051	171
2,443		2,005	438

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5
			370
			116
			496
			181
			316
			385
			532
			171
			438

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3000 U S TREASURY BOND 3 125% FEB 15 2042		2012-09-10	2020-04-07
10000 U S TREASURY BOND		2016-09-23	2019-06-25
2000 U S TREASURY BOND		2017-05-04	2019-06-25
1000 U S TREASURY BOND		2018-03-01	2020-02-20
2000 U S TREASURY BOND		2019-06-25	2020-02-20
2000 U S TREASURY BOND		2019-06-25	2020-04-07
1000 U S TREASURY NOTE		2018-06-08	2020-02-20
1000 U S TREASURY NOTE		2018-06-08	2020-04-07
7000 U S TREASURY NOTE		2019-07-18	2020-02-05
13000 U S TREASURY NOTE		2018-09-12	2019-05-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,078		3,153	925
9,957		10,283	-326
1,991		1,799	192
1,222		975	247
2,407		2,145	262
2,722		2,145	577
1,076		1,000	76
1,119		1,000	119
7,711		7,459	252
13,319		12,935	384

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			925
			-326
			192
			247
			262
			577
			76
			119
			252
			384

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
6000 U S TREASURY NOTE		2018-09-12	2019-07-18
4000 U S TREASURY NOTE		2019-04-12	2020-02-20
4000 U S TREASURY NOTE		2019-04-12	2020-04-07
6000 U S TREASURY NOTE		2019-09-25	2019-12-18
14000 U S TREASURY NOTE		2019-02-20	2019-12-18
2000 U S TREASURY NOTE		2017-03-09	2020-02-20
3000 U S TREASURY NOTE		2017-03-09	2020-04-07
1000 U S TREASURY NOTE		2010-06-11	2020-02-20
2000 U S TREASURY NOTE		2010-06-11	2020-04-07
2000 U S TREASURY NOTE		2017-10-25	2020-02-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,222		5,970	252
4,535		4,182	353
4,795		4,179	616
6,061		6,051	10
14,143		14,031	112
2,094		1,953	141
3,275		2,929	346
1,004		1,001	3
2,007		2,000	7
2,128		1,989	139

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			252
			353
			616
			10
			112
			141
			346
			3
			7
			139

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2000 U S TREASURY NOTE		2017-10-25	2020-04-07
1000 U S TREASURY NOTE		2018-08-23	2020-02-20
1000 U S TREASURY NOTE		2018-08-23	2020-04-07
6000 U S TREASURY NOTE		2018-08-23	2020-04-28
2000 U S TREASURY NOTE		2019-12-18	2020-02-20
3000 U S TREASURY NOTE		2019-12-18	2020-04-07
1000 U S TREASURY NOTE		2019-12-18	2020-02-20
7000 U S TREASURY NOTE		2019-12-18	2020-02-25
1000 U S TREASURY NOTE		2020-02-05	2020-02-20
1000 U S TREASURY NOTE		2020-02-05	2020-04-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,237		1,989	248
1,005		1,000	5
1,008		1,000	8
6,039		6,000	39
2,013		1,977	36
3,134		2,965	169
1,008		998	10
7,089		6,989	100
1,004		1,003	1
1,023		1,003	20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			248
			5
			8
			39
			36
			169
			10
			100
			1
			20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
6000 U S TREASURY NOTE		2020-02-05	2020-04-29
9 UNITEDHEALTH GROUP INC		2014-10-27	2020-01-09
10 UNITEDHEALTH GROUP INC		2014-10-27	2020-01-10
9 UNITEDHEALTH GROUP INC		2014-10-27	2020-02-06
19 VERIZON COMMUNICATIONS INC		2019-03-29	2020-01-10
28 VERIZON COMMUNICATIONS INC		2019-03-29	2020-03-26
33 VERIZON COMMUNICATIONS INC		2019-04-25	2020-04-16
17 VERIZON COMMUNICATIONS INC		2019-03-29	2020-04-16
2 VISA INC		2020-02-13	2020-03-06
18 WALMART INC		2017-01-09	2020-01-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,142		6,018	124
2,649		828	1,821
2,954		920	2,034
2,616		828	1,788
1,122		1,123	-1
1,490		1,656	-166
1,884		1,844	40
971		1,005	-34
363		416	-53
2,097		1,236	861

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			124
			1,821
			2,034
			1,788
			-1
			-166
			40
			-34
			-53
			861

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 WALMART INC		2017-01-09	2020-02-04
24 WALMART INC		2017-01-10	2020-02-04
17 WALMART INC		2017-01-10	2020-02-06
17 WALMART INC		2018-08-03	2020-04-07
23 WALMART INC		2017-08-04	2020-04-07
23 WALMART INC		2017-09-05	2020-04-07
13 WALMART INC		2017-01-10	2020-04-07
26 WALMART INC		2017-08-17	2020-04-07
19 WALMART INC		2017-07-26	2020-04-07
13 WALMART INC		2018-02-26	2020-04-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
116		69	47
2,773		1,646	1,127
1,982		1,166	816
2,127		1,530	597
2,877		1,851	1,026
2,877		1,830	1,047
1,626		891	735
3,253		2,066	1,187
2,377		1,499	878
1,626		1,210	416

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			47
			1,127
			816
			597
			1,026
			1,047
			735
			1,187
			878
			416

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1000 WALMART INC		2018-08-23	2020-02-20
2000 WALMART INC		2018-08-23	2020-04-07
1000 WELLS FARGO & COMPANY		2016-07-26	2020-02-20
1000 WELLS FARGO & COMPANY		2016-07-26	2020-04-07
3 ALCON SA ACT NOM		2018-11-21	2019-07-09
4 ALCON SA ACT NOM		2018-11-19	2019-07-09
4 ALCON SA ACT NOM		2018-11-16	2019-07-09
1 ALCON SA ACT NOM		2018-11-21	2019-07-10
5 ALCON SA ACT NOM		2018-11-26	2019-07-10
4 ALCON SA ACT NOM		2018-11-30	2019-07-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,056		1,010	46
2,131		2,018	113
1,005		1,000	5
1,000		1,000	
182		162	20
242		214	28
242		213	29
61		54	7
305		270	35
244		222	22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			46
			113
			5
			20
			28
			29
			7
			35
			22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 ALCON SA ACT NOM		2019-01-08	2019-07-10
1 ALCON SA ACT NOM		2019-01-08	2019-07-11
2 ALCON SA ACT NOM		2019-01-09	2019-07-11
6 ALCON SA ACT NOM		2019-04-15	2019-07-11
10 ALCON SA ACT NOM		2019-04-15	2019-07-30
14 ALCON SA ACT NOM		2019-04-15	2019-07-31
4 ALCON SA ACT NOM		2019-04-15	2019-08-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
122		105	17
62		53	9
123		106	17
369		331	38
590		552	38
823		773	50
237		221	16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			17
			9
			17
			38
			38
			50
			16

TY 2019 Accounting Fees Schedule

Name: CHU AND CHAN FOUNDATION

EIN: 11-3440906

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE	1,500			1,500

TY 2019 Investments Corporate Bonds Schedule

Name: CHU AND CHAN FOUNDATION

EIN: 11-3440906

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED STATEMENT		
377372AL1 GLAXOSMITHKLINE CAPI	8,989	9,621
931142EK5 WALMART INC	9,081	9,717
05531FBB8 BB&T CORPORATION	8,960	9,484
037833CJ7 APPLE INC	9,080	10,088
06406FAD5 BANK OF NY MELLON CO	4,951	5,125
025816CC1 AMERICAN EXPRESS CO	9,014	9,538
437076BW1 HOME DEPOT INC	9,245	10,406
68389XBM6 ORACLE CORP	8,883	9,581
949746SA0 WELLS FARGO & COMPAN	5,001	5,044
172967FT3 CITIGROUP INC	3,877	4,195
46625HJX9 JPMORGAN CHASE & CO	7,971	8,623
6174468Q5 MORGAN STANLEY	6,046	6,073
035240AV2 ANHEUSER-BUSCH INBEV	6,431	6,429
912810FT0 U.S. TREASURY BOND	7,876	10,832
912810QU5 U.S. TREASURY BOND 3	12,525	17,919
912828ND8 U.S. TREASURY NOTE	7,001	7,009
3135G0K36 FEDERAL NATL MTG ASS	8,805	9,759
912828M56 U.S. TREASURY NOTE	17,637	18,701
912828X88 U.S. TREASURY NOTE	9,943	11,292

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
912828YH7 U.S. TREASURY NOTE	10,872	11,563
9128284R8 U.S. TREASURY NOTE	4,999	5,629
912810SH2 U.S. TREASURY BOND	9,652	12,499
912810SA7 U.S. TREASURY BOND	4,875	7,019
9128285M8 U.S. TREASURY NOTE	21,037	24,241

TY 2019 Investments Corporate Stock Schedule

Name: CHU AND CHAN FOUNDATION

EIN: 11-3440906

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED STATEMENT		
037833100 APPLE INC	23,125	50,827
20030N101 COMCAST CORP	14,475	21,186
20825C104 CONOCOPHILLIPS	12,367	10,230
46625H100 J P MORGAN CHASE & C	12,213	18,194
50540R409 LABORATORY CORP AMER	16,511	18,912
670100205 NOVO NORDISK A/S ADR	10,118	12,349
717081103 PFIZER INC	17,484	19,755
74762E102 QUANTA SERVICES INC	10,048	11,999
754730109 RAYMOND JAMES FINANC	7,105	5,142
192446102 COGNIZANT TECHNOLOGY	18,129	16,246
92826C839 VISA INC	25,024	24,306
09062X103 BIOGEN IDEC INC	12,975	13,357
12508E101 CDK GLOBAL INC	12,578	9,309
166764100 CHEVRONTEXACO CORP	17,337	13,800
37045V100 GENERAL MOTORS CO	11,668	7,735
500472303 KONINKLIJKE PHILIPS	12,825	13,217
02005N100 ALLY FINANCIAL INC	11,922	7,179
172967424 CITIGROUP INC	4,450	3,982
256746108 DOLLAR TREE INC	20,644	16,970
443510607 HUBBELL INC	10,546	10,825
68902V107 OTIS WORLDWIDE CORP	7,656	8,146
770323103 ROBERT HALF INTERNAT	5,883	6,901
778296103 ROSS STORES INC	3,895	4,751
655844108 NORFOLK SOUTHERN COR	7,316	9,924
67103H107 O'REILLY AUTOMOTIVE	9,807	11,590
01609W102 ALIBABA GROUP HOLDIN	13,404	14,795
02079K305 ALPHABET INC SHS	8,720	22,894
023135106 AMAZON COM INC	23,227	32,162
09857L108 BOOKING HLDGS INC	3,773	4,442

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
17275R102 CISCO SYSTEMS INC	14,912	20,385
269246401 E*TRADE FINANCIAL CO	9,799	8,650
60871R209 MOLSON COORS BREWING	9,673	6,562
835699307 SONY CORP ADR AMERN	5,013	5,654
874039100 TAIWAN SEMICONDUCTOR	12,610	16,523
92343V104 VERIZON COMMUNICATIO	18,758	18,786
00130H105 AES CORP/VA	9,156	8,202
23331A109 DR HORTON INC	6,572	7,697
91324P102 UNITEDHEALTH GROUP I	12,165	20,765
02079K107 ALPHABET INC SHS	10,512	22,927
055622104 BP P L C SPONS ADR	13,059	8,592
30303M102 FACEBOOK INC	29,827	29,888
34959J108 FORTIVE CORP	14,407	13,248
548661107 LOWES COMPANIES INC	9,221	10,999
80105N105 SANOFI-SYNTHELABO	13,932	13,768
89832Q109 TRUIST FINL CORP	6,581	7,315
902973304 US BANCORP DEL	8,206	7,921
904784709 UNILEVER NV	16,942	14,727
22052L104 DOWDUPONT INC	17,939	17,207
256677105 DOLLAR GENERAL CORP	10,761	15,777
35137L105 FOX CORP	9,599	7,037
594918104 MICROSOFT CORP COM	21,519	51,612
404998353 HSBC HLDGS ORD USD0.	166,971	86,186
036752103 ANTHEM INC	5,842	5,334

TY 2019 Investments Government Obligations Schedule

Name: CHU AND CHAN FOUNDATION

EIN: 11-3440906

US Government Securities - End of Year Book Value:

US Government Securities - End of Year Fair Market Value:

State & Local Government Securities - End of Year Book Value:

State & Local Government Securities - End of Year Fair Market Value:

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TY 2019 Investments - Other Schedule

Name: CHU AND CHAN FOUNDATION

EIN: 11-3440906

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
09256H286 BLACKROCK STRATEGIC			
09260B382 BLACKROCK STRATEGIC	AT COST	13,490	12,765

TY 2019 Other Expenses Schedule

Name: CHU AND CHAN FOUNDATION

EIN: 11-3440906

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER INVESTMENT FEE	250	250		0
OTHER EXPENSE (NON-DEDUCTIBLE	18	0		18

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TY 2019 Other Income Schedule

Name: CHU AND CHAN FOUNDATION

EIN: 11-3440906

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FEDERAL TAX REFUND	1,680	0	

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TY 2019 Other Increases Schedule

Name: CHU AND CHAN FOUNDATION

EIN: 11-3440906

Description	Amount
ROUNDING	28

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TY 2019 Other Professional Fees Schedule

Name: CHU AND CHAN FOUNDATION

EIN: 11-3440906

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMNT MNGMNT FEES (NON-DED	18,228	10,937		7,291

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TY 2019 Taxes Schedule

Name: CHU AND CHAN FOUNDATION

EIN: 11-3440906

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	279	279		0
EXCISE TAX - PRIOR YEAR	15	0		0
EXCISE TAX ESTIMATES	1,804	0		0