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Form **990**

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public.

▶ **Go to *www.irs.gov/Form990* for instructions and the latest information.**

OMB No. 1545-0047

2019

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

A For the 2019 calendar year, or tax year beginning 04-01-2019 , and ending 03-31-2020

B Check if applicable:
☐ Address change
☐ Name change
☐ Initial return
☐ Final return/terminated
☐ Amended return
☐ Application pending

C Name of organization
ASPEN CENTER FOR PHYSICS

Doing business as

Number and street (or P.O. box if mail is not delivered to street address) | Room/suite
700 WEST GILLESPIE STREET

City or town, state or province, country, and ZIP or foreign postal code
ASPEN, CO 81611

D Employer identification number
84-6059504

E Telephone number
(970) 925-2585

G Gross receipts $ 2,371,709

F Name and address of principal officer:
AMANDA JENKINS
700 WEST GILLESPIE STREET
ASPEN, CO 81611

H(a) Is this a group return for subordinates? ☐ Yes ☑ No

H(b) Are all subordinates included? ☐ Yes ☐ No
If "No," attach a list. (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status: ☑ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no.) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ WWW.ASPENPHYS.ORG

K Form of organization: ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation: 1962

M State of legal domicile: CO

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities:
THE CENTER IS A SCIENTIFIC ORGANIZATION WHICH PROMOTES ORGANIZED RESEARCH IN PHYSICS, ASTROPHYSICS, AND RELATED FIELDS.

2 Check this box ▶ ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.

3	Number of voting members of the governing body (Part VI, line 1a)	**3**	75
4	Number of independent voting members of the governing body (Part VI, line 1b)	**4**	75
5	Total number of individuals employed in calendar year 2019 (Part V, line 2a)	**5**	5
6	Total number of volunteers (estimate if necessary)	**6**	43
7a	Total unrelated business revenue from Part VIII, column (C), line 12	**7a**	0
b	Net unrelated business taxable income from Form 990-T, line 39	**7b**	

			Prior Year	Current Year
Revenue	**8**	Contributions and grants (Part VIII, line 1h)	717,866	806,511
	9	Program service revenue (Part VIII, line 2g)	1,160,906	1,080,271
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	85,469	124,984
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	44,600	106,991
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	2,008,841	2,118,757
Expenses	**13**	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	240,028	226,239
	14	Benefits paid to or for members (Part IX, column (A), line 4)		0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	254,821	277,910
	16a	Professional fundraising fees (Part IX, column (A), line 11e)		0
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶2,779		
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	1,408,194	1,595,250
	18	Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25)	1,903,043	2,099,399
	19	Revenue less expenses. Subtract line 18 from line 12	105,798	19,358

			Beginning of Current Year	End of Year
Net Assets or Fund Balances	**20**	Total assets (Part X, line 16)	6,154,885	5,406,411
	21	Total liabilities (Part X, line 26)	442,466	29,809
	22	Net assets or fund balances. Subtract line 21 from line 20	5,712,419	5,376,602

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

****** | 2021-01-29
Signature of officer | Date

AMANDA JENKINS ADMIN VICE PRESIDENT
Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
		2021-02-09		P01389203

Firm's name ▶ TAYLOR ROTH AND COMPANY | Firm's EIN ▶ 20-3746583

Firm's address ▶ 800 GRANT ST STE 205
DENVER, CO 802032944 | Phone no. (303) 830-8109

May the IRS discuss this return with the preparer shown above? (see instructions) ☑ Yes ☐ No

Part III **Statement of Program Service Accomplishments**

Check if Schedule O contains a response or note to any line in this Part III . . . . ☑

1 Briefly describe the organization's mission:

ASPEN CENTER FOR PHYSICS IS A SCIENTIFIC ORGANIZATION WHICH PROMOTES ORGANIZED RESEARCH IN PHYSICS, ASTROPHYSICS, AND RELATED FIELDS THROUGH PROGRAMS OF INDIVIDUAL AND COLLABORATIVE RESEARCH, WORKSHOPS, AND CONFERENCES, AS WELL AS PROMOTES THE EDUCATION OF THE GENERAL PUBLIC THROUGH PUBLIC LECTURES AND OTHER ACTIVITIES.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses $ 1,787,022 including grants of $ 226,239) (Revenue $ 1,080,271)

See Additional Data

4b (Code:) (Expenses $ 35,993 including grants of $) (Revenue $)

See Additional Data

4c (Code:) (Expenses $ including grants of $) (Revenue $)

4d Other program services (Describe in Schedule O.)

(Expenses $ including grants of $) (Revenue $)

4e **Total program service expenses ▶** 1,823,015

Part IV **Checklist of Required Schedules**

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	Yes	
2	Is the organization required to complete *Schedule B, Schedule of Contributors* (see instructions)?	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		No
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II*	4		No
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III*	5		No
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D,*Part I	6		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D,* Part III	8		No
9	Did the organization report an amount in Part X, line 21 for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		No
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi endowments? *If "Yes," complete Schedule D, Part V*	10	Yes	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.			
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D,* Part VI.	11a	Yes	
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII*	11b		No
c	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII*	11c		No
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX*	11d		No
e	Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X*	11e		No
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X*	11f	Yes	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI and XII*	12a	Yes	
b	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional*	12b		No
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		No
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		No
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Parts I and IV*	14b	Yes	
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or other assistance to or for any foreign organization? *If "Yes," complete Schedule F, Parts II and IV*	15		No
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or other assistance to or for foreign individuals? *If "Yes," complete Schedule F, Parts III and IV*	16	Yes	
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I*(see instructions)	17		No
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18		No
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		No
20a	Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H*	20a		No
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		
21	Did the organization report more than $5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21		No

Part IV Checklist of Required Schedules *(continued)*

			Yes	No
22	Did the organization report more than $5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23		No
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a*	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L,* Part I	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L,* Part I	25b		No
26	Did the organization report any amount on Part X, line 5 or 22 for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons? *If "Yes," complete Schedule L, Part II*	26		No
27	Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? *If "Yes," complete Schedule L,*Part III	27	Yes	
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):			
a	A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? *If "Yes," complete Schedule L, Part IV*	28a		No
b	A family member of any individual described in line 28a? *If "Yes," complete Schedule L, Part IV*	28b		No
c	A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b? *If "Yes," complete Schedule L, Part IV*	28c		No
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29		No
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? *If "Yes," complete Schedule R, Part I*	33		No
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1*	34		No
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		No
b	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35b		
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? **Note.** All Form 990 filers are required to complete Schedule O.	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V ☐

					Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	1a	36			
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b	0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			1c	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance *(continued)*

				Yes	No
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	**2a** 5			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? **Note.** If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)		**2b**	Yes	
3a	Did the organization have unrelated business gross income of $1,000 or more during the year?		**3a**		No
b	If "Yes," has it filed a Form 990-T for this year? *If "No" to line 3b, provide an explanation in Schedule O*		**3b**		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		**4a**		No
b	If "Yes," enter the name of the foreign country: ▶ See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).				
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		**5a**		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		**5b**		No
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?		**5c**		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?		**6a**		No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		**6b**		
7	**Organizations that may receive deductible contributions under section 170(c).**				
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?		**7a**		No
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?		**7b**		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		**7c**		No
d	If "Yes," indicate the number of Forms 8282 filed during the year	**7d**			
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		**7e**		No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**7f**		No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		**7g**		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?		**7h**		
8	**Sponsoring organizations maintaining donor advised funds.** Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?		**8**		
9	**Sponsoring organizations maintaining donor advised funds.**				
a	Did the sponsoring organization make any taxable distributions under section 4966?		**9a**		
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?		**9b**		
10	**Section 501(c)(7) organizations.** Enter:				
a	Initiation fees and capital contributions included on Part VIII, line 12	**10a**			
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	**10b**			
11	**Section 501(c)(12) organizations.** Enter:				
a	Gross income from members or shareholders	**11a**			
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	**11b**			
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?		**12a**		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	**12b**			
13	**Section 501(c)(29) qualified nonprofit health insurance issuers.**				
a	Is the organization licensed to issue qualified health plans in more than one state? **Note.** See the instructions for additional information the organization must report on Schedule O.		**13a**		
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	**13b**			
c	Enter the amount of reserves on hand	**13c**			
14a	Did the organization receive any payments for indoor tanning services during the tax year?		**14a**		No
b	If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O*		**14b**		
15	Is the organization subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year? If "Yes," see instructions and file Form 4720, Schedule N.		**15**		No
16	Is the organization an educational institution subject to the section 4968 excise tax on net investment income? If "Yes," complete Form 4720, Schedule O.		**16**		No

Part VI **Governance, Management, and Disclosure** *For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*

Check if Schedule O contains a response or note to any line in this Part VI . . . . . ☑

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.	**1a** 75			
b	Enter the number of voting members included in line 1a, above, who are independent	**1b** 75			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		**2**		No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? .		**3**		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? .		**4**		No
5	Did the organization become aware during the year of a significant diversion of the organization's assets? .		**5**		No
6	Did the organization have members or stockholders?		**6**		No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		**7a**		No
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		**7b**		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:				
a	The governing body?		**8a**	Yes	
b	Each committee with authority to act on behalf of the governing body?		**8b**	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O*		**9**		No

Section B. Policies *(This Section B requests information about policies not required by the Internal Revenue Code.)*

			Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	**10a**		No
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	**10b**		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	**11a**	Yes	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.			
12a	Did the organization have a written conflict of interest policy? *If "No," go to line 13*	**12a**	Yes	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	**12b**		No
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this was done*	**12c**		No
13	Did the organization have a written whistleblower policy?	**13**	Yes	
14	Did the organization have a written document retention and destruction policy?	**14**	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	**15a**	Yes	
b	Other officers or key employees of the organization	**15b**	Yes	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	**16a**		No
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	**16b**		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed▶

18 Section 6104 requires an organization to make its Form 1023 (or 1024-A if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.

☐ Own website ☐ Another's website ☑ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, address, and telephone number of the person who possesses the organization's books and records:

▶AMANDA JENKINS 700 WEST GILLESPIE STREET ASPEN, CO 81611 (970) 925-2585

Part VII Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII . . . . ☐

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations.

See instructions for the order in which to list the persons above.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position: Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(1) GIUSEPPINA FABBIANO TRUSTEE	0.50	X						0	0	0
(2) ALEXANDER GROSBERG TRUSTEE	0.50	X						0	0	0
(3) VASSILIKI KALOGERA TRUSTEE	0.50	X						0	0	0
(4) ZOLTAN LIGETI TRUSTEE	0.50	X						0	0	0
(5) DAVID R MORRISON TRUSTEE	0.50	X						0	0	0
(6) CHETAN NAYAK TRUSTEE	0.50	X						0	0	0
(7) STEINN SIGURDSSON TRUSTEE	0.50	X						0	0	0
(8) MATTHIAS TROYER VICE PRESIDE	1.00	X		X				0	0	0
(9) KARIN RABE CHAIR	1.00	X		X				0	0	0
(10) HIROSI OOGURI PRESIDENT	1.00	X		X				0	0	0
(11) JOSHUA FRIEMAN PRESIDENT	1.00	X		X				0	0	0
(12) CLARE YU CORPORATE SE	1.00	X		X				0	0	0
(13) WASHINGTON TAYLOR TRUSTEE	0.50	X						0	0	0
(14) FRED RASIO SCIENTIFIC S	1.00	X		X				0	0	0
(15) SCOTT DODELSON TREASURER	1.00	X		X				0	0	0
(16) JONATHAN FENG TREASURER	1.00	X		X				0	0	0
(17) GIL REFAEL SCIENTIFIC S	1.00	X		X				0	0	0

Part VII **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position: Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(18) QIMIAO SI ASST TREASUR	1.00	X		X				0	0	0
(19) ILONA NEMETH TRUSTEE	0.50	X						0	0	0
(20) CSAKI CSABA ASST SCIENTI	0.50	X		X				0	0	0
(21) AMANDA JENKINS ADMIN VICE P	40.00			X				103,333	0	6,200

	(D)	(E)	(F)
1b Sub-Total . . . . ▶			
c Total from continuation sheets to Part VII, Section A . . . ▶			
d Total (add lines 1b and 1c) . . . ▶	103,333		6,200

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶ 1

		Yes	No
3 Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual* . . .	3		No
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual* . . .	4		No
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization?*If "Yes," complete Schedule J for such person* . . .	5		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization ▶

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII . . . . . ☐

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512 - 514
Contributions, Gifts, Grants and Other Similar Amounts						
1a Federated campaigns	1a					
b Membership dues	1b					
c Fundraising events	1c					
d Related organizations	1d					
e Government grants (contributions)	1e	525,600				
f All other contributions, gifts, grants, and similar amounts not included above	1f	280,911				
g Noncash contributions included in lines 1a - 1f:$	1g					
h **Total.** Add lines 1a-1f ▶			806,511			
Program Service Revenue	Business Code					
2a PARTICIPANT HOUSING REIMBURSE	541700		557,022	557,022		
b REGISTRATION FEES - SUMMER	541700		287,225	287,225		
c REGISTRATION FEES - WINTER	541700		173,600	173,600		
d OTHER SUMMER PARTICIPANTS	541700		49,642	49,642		
e OTHER WINTER PARTICIPANTS	541700		12,782	12,782		
f All other program service revenue.						
g **Total.** Add lines 2a–2f. ▶			1,080,271			
Other Revenue						
3 Investment income (including dividends, interest, and other similar amounts) ▶			91,144			91,144
4 Income from investment of tax-exempt bond proceeds ▶						
5 Royalties ▶						
	(i) Real	(ii) Personal				
6a Gross rents	6a 104,309					
b Less: rental expenses	6b					
c Rental income or (loss)	6c 104,309					
d Net rental income or (loss) ▶			104,309			104,309
	(i) Securities	(ii) Other				
7a Gross amount from sales of assets other than inventory	7a 286,792					
b Less: cost or other basis and sales expenses	7b 252,952					
c Gain or (loss)	7c 33,840					
d Net gain or (loss) ▶			33,840	33,840		
8a Gross income from fundraising events (not including $ ______ of contributions reported on line 1c). See Part IV, line 18	8a					
b Less: direct expenses	8b					
c Net income or (loss) from fundraising events ▶						
9a Gross income from gaming activities. See Part IV, line 19	9a					
b Less: direct expenses	9b					
c Net income or (loss) from gaming activities ▶						
10a Gross sales of inventory, less returns and allowances	10a					
b Less: cost of goods sold	10b					
c Net income or (loss) from sales of inventory ▶						
Miscellaneous Revenue	Business Code					
11a OTHER REVENUE AND REFUNDS	541700		2,682	2,682		
b						
c						
d All other revenue						
e **Total.** Add lines 11a–11d ▶			2,682			
12 **Total revenue.** See instructions ▶			2,118,757	1,116,793		195,453

Part IX **Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX . . . . ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	**(A)** Total expenses	**(B)** Program service expenses	**(C)** Management and general expenses	**(D)** Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21				
2 Grants and other assistance to domestic individuals. See Part IV, line 22	117,477	117,477		
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16.	108,762	108,762		
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	105,698	68,704	35,937	1,057
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	143,534	95,731	46,367	1,436
8 Pension plan accruals and contributions (include section 401 (k) and 403(b) employer contributions)	7,763	5,181	2,505	77
9 Other employee benefits	15,027	9,918	4,959	150
10 Payroll taxes	5,888	3,886	1,943	59
11 Fees for services (non-employees):				
a Management				
b Legal				
c Accounting	10,550		10,550	
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees	13,529		13,529	
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	24,169	24,169		
12 Advertising and promotion	871	871		
13 Office expenses	44,155	33,378	10,777	
14 Information technology	9,384	4,129	5,255	
15 Royalties . .				
16 Occupancy	103,266	45,395	57,871	
17 Travel				
18 Payments of travel or entertainment expenses for any federal, state, or local public officials .				
19 Conferences, conventions, and meetings	84,793	84,793		
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization . .	128,040	56,337	71,703	
23 Insurance . . .	21,801	9,592	12,209	
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a HOUSING RENTS & CLEANING	1,080,730	1,080,730		
b PARTCPNT EXP--REIMBURSED	62,773	62,773		
c PUBLIC LECTURES-FILMING	5,400	5,400		
d PUBLIC LECTURES-OUTREACH	4,877	4,877		
e All other expenses	912	912		
25 **Total functional expenses.** Add lines 1 through 24e	2,099,399	1,823,015	273,605	2,779
26 **Joint costs.** Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ▶ ☑ if following SOP 98-2 (ASC 958-720).				

Part X **Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part IX . . . . . ☐

				(A) Beginning of year		(B) End of year
Assets	1	Cash–non-interest-bearing		538,347	1	280,561
	2	Savings and temporary cash investments		103,474	2	103,575
	3	Pledges and grants receivable, net		812,643	3	619,501
	4	Accounts receivable, net		20,104	4	52,566
	5	Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons			5	
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B)			6	
	7	Notes and loans receivable, net			7	
	8	Inventories for sale or use			8	
	9	Prepaid expenses and deferred charges		20,119	9	20,010
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 5,922,155			
	b	Less: accumulated depreciation	10b 4,796,784	1,210,745	10c	1,125,371
	11	Investments—publicly traded securities		3,449,453	11	3,204,827
	12	Investments—other securities. See Part IV, line 11			12	
	13	Investments—program-related. See Part IV, line 11			13	
	14	Intangible assets			14	
	15	Other assets. See Part IV, line 11			15	
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)		6,154,885	16	5,406,411
Liabilities	17	Accounts payable and accrued expenses		26,426	17	19,509
	18	Grants payable			18	
	19	Deferred revenue		416,040	19	10,300
	20	Tax-exempt bond liabilities			20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D			21	
	22	Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons			22	
	23	Secured mortgages and notes payable to unrelated third parties			23	
	24	Unsecured notes and loans payable to unrelated third parties			24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17 - 24). Complete Part X of Schedule D			25	
	26	**Total liabilities.** Add lines 17 through 25		442,466	26	29,809
Net Assets or Fund Balances		**Organizations that follow FASB ASC 958, check here ▶ ☑ and complete lines 27, 28, 32, and 33.**				
	27	Net assets without donor restrictions		4,792,814	27	4,644,609
	28	Net assets with donor restrictions		919,605	28	731,993
		Organizations that do not follow FASB ASC 958, check here ▶ ☐ and complete lines 29 through 33.				
	29	Capital stock or trust principal, or current funds			29	
	30	Paid-in or capital surplus, or land, building or equipment fund			30	
	31	Retained earnings, endowment, accumulated income, or other funds			31	
	32	Total net assets or fund balances		5,712,419	32	5,376,602
	33	Total liabilities and net assets/fund balances		6,154,885	33	5,406,411

Part XI **Reconcilliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI . . . ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	2,118,757
2	Total expenses (must equal Part IX, column (A), line 25)	2	2,099,399
3	Revenue less expenses. Subtract line 2 from line 1	3	19,358
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	5,712,419
5	Net unrealized gains (losses) on investments	5	-355,175
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	5,376,602

Part XII **Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII . . . ☐

			Yes	No
1	Accounting method used to prepare the Form 990: ☐ Cash ☑ Accrual ☐ Other ______ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		No
	If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
b	Were the organization's financial statements audited by an independent accountant?	2b	Yes	
	If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: ☑ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	Yes	
	If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a		No
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.	3b		

Additional Data

Software ID:

Software Version:

EIN: 84-6059504

Name: ASPEN CENTER FOR PHYSICS

Form 990 (2019)

Form 990, Part III, Line 4a:

SINCE ITS INCEPTION IN 1962, ASPEN CENTER FOR PHYSICS HAS PROVIDED A UNIQUE PHYSICAL AND SCIENTIFIC ENVIRONMENT IDEALLY SUITED FOR STIMULATING INTERACTIONS, COLLABORATIONS, AND INNOVATION IN PHYSICS RESEARCH. FOR 16 WEEKS EACH SUMMER, MORE THAN 500 LEADING INTERNATIONAL RESEARCHERS, FREED FROM THE USUAL CONSTRAINTS AND DISTRACTIONS OF THEIR HOME INSTITUTIONS, COME TO ASPEN TO ENGAGE IN RESEARCH AT THE FOREFRONT OF THEIR FIELDS. RESEARCH IS CONDUCTED: (A) IN THE CONTEXT OF WORKSHOPS IN AREAS AT THE FOREFRONT OF PHYSICS RESEARCH; (B) IN SMALL GROUPS FOCUSED ON SPECIFIC PROJECTS; OR, (C) BY INDIVIDUAL INVESTIGATORS CARRYING OUT THEIR OWN RESEARCH. THE SCIENTIFIC PROGRAMS EMPHASIZE OPEN PROBLEMS AT THE CUTTING EDGE AND ARE DESIGNED TO MAXIMIZE INFORMAL INTERACTIONS WITHIN EACH AREA AND CROSS-FERTILIZATION BETWEEN DIFFERENT AREAS. WHILE CONTINUING TO PROVIDE LEADERSHIP IN THE CENTRAL AREAS OF PHYSICS (PARTICLE PHYSICS, ASTROPHYSICS, AND CONDENSED MATTER PHYSICS), ASPEN CENTER FOR PHYSICS HAS BEEN OPEN TO NEW DIRECTIONS IN PHYSICS AS WELL AS TO SCIENCE AT THE INTERFACE BETWEEN PHYSICS AND OTHER DISCIPLINES (BIOLOGY, CHEMISTRY, ENGINEERING, MATERIAL SCIENCE, AND MATHEMATICS). IN ADDITION, 8 TO 9 ONE- WEEK WINTER CONFERENCES BRING TOGETHER 60 TO 100 RESEARCHERS PER WEEK IN AREAS OF CURRENT INTEREST IN PHYSICS AND INTER-DISCIPLINARY PHYSICS RESEARCH. THE CENTER PROVIDES OFFICE SPACE, OFFICE SUPPLIES, MEETING SPACE, LIBRARY FACILITIES, AND NETWORK ACCESS TO ITS PARTICIPANTS. SUPPORT IS PROVIDED TO ALL INDIVIDUALS DURING THE SUMMER SESSION FOR WHOM LODGING IS ARRANGED BY THE CENTER. PHYSICISTS' SUMMER BILLS ARE REDUCED BY THE AMOUNT OF SUPPORT; OVERPAYMENTS ARE REFUNDED BY CHECK. WINTER SUPPORT IS USUALLY AWARDED TO JUNIOR SCIENTISTS AND THOSE WITH A DEMONSTRATED NEED IN ORDER TO ATTEND. DURING THE FISCAL YEAR ENDED MARCH 31, 2020, THERE WERE 565 SUMMER PARTICIPANTS (SUMMER 2019) AND 395 WINTER PARTICIPANTS (IN JANUARY, FEBRUARY, AND MARCH 2020).

Form 990, Part III, Line 4b:

ASPEN CENTER FOR PHYSICS OUTREACH PROGRAM INCLUDES MANY OPTIONS AND AVENUES FOR THE PUBLIC TO BECOME INFORMED ABOUT CUTTING-EDGE PHYSICS RESEARCH, AND TO ENGAGE CHILDREN AND YOUTH IN OPPORTUNITIES FOR FURTHER EDUCATION IN THE SCIENCES. (1) PUBLIC LECTURES. A CORE OUTREACH ACTIVITY FOR THE CENTER. RENOWNED PHYSICISTS DELIVER WINTER AND SUMMER LECTURES IN ASPEN VENUES DELINEATING THEIR CURRENT RESEARCH OR CURRENT SCIENTIFIC TOPICS OF GENERAL INTEREST. SUMMER ATTENDANCE AT 12 LECTURES WAS 998 PEOPLE. WINTER ATTENDANCE AT 6 LECTURES WAS 570, WHILE TWO ADDITIONAL LECTURES WERE CANCELLED DUE TO COVID-19. (2) SUMMER CHILDREN'S PICNICS. IN CONJUNCTION WITH THE ASPEN SCIENCE CENTER, THE ASPEN CENTER FOR PHYSICS HOSTS CHILDREN'S WEEKLY SUMMER PICNICS THAT INCLUDE A PRESENTATION BY A PARTICIPATING PHYSICIST. THIS AFFORDS CHILDREN THE CHANCE TO MEET WORKING PHYSICISTS, TO LEARN ABOUT THE PROFESSION, AND TO LEARN SOME SCIENCE IN FUN AND ENTERTAINING WAYS. SIX PICNICS WERE HELD DURING SUMMER 2019. (3) HIGH SCHOOL MENTOR PROGRAM. ACP HIRES RISING SENIOR PHYSICS STUDENTS FROM 3-5 VALLEY HIGH SCHOOLS TO WORK FOR A SUMMER WEEK AT THE CENTER TO SEE WHAT THEORETICAL PHYSICISTS DO AND TO CHAT WITH 2 OR 3 PHYSICISTS ABOUT COLLEGE, CAREERS, AND/OR SCIENCE. ABOUT 19 PHYSICISTS AND STUDENTS PARTICIPATED IN 2019. (4) HIGH SCHOOL MONTHLY RADIO SHOW--RADIO PHYSICS. VALLEY HIGH SCHOOL PHYSICS STUDENTS FROM 3-5 SCHOOLS INTERVIEW PHYSICISTS ON A MONTHLY RADIO SHOW. THIS INTRODUCES THE STUDENTS TO SCIENCE, PUBLIC SPEAKING, AND INFORMS THE LISTENING PUBLIC ABOUT CURRENT PHYSICS TOPICS. THERE WERE 20 STUDENT AND PHYSICIST PARTICIPANTS FOR THIS FISCAL YEAR. (5) PHYSICS CAFES. CO-HOSTED WITH ASPEN SCIENCE CENTER, BEFORE EACH PUBLIC LECTURE 2 OR 3 PHYSICISTS MEET WITH STUDENTS AND THE PUBLIC TO DISCUSS PHYSICS OR CAREERS IN SCIENCE, OR WHATEVER TOPICS THE PUBLIC MIGHT BRING UP. FROM JANUARY TO MARCH 2020, 224 PEOPLE ATTENDED 6 CAFES, WHILE AN ADDITIONAL TWO WERE CANCELLED DUE TO COVID-19. (6) ALL PUBLIC LECTURES ARE RECORDED AND ALL VIDEOS ARE AVAILABLE FOR VIEWING ON THE ASPEN CENTER FOR PHYSICS YOUTUBE CHANNEL AND WITH LINKS FROM THE ACP WEBSITE. THERE ARE OVER 300 ACP SCIENTIFIC LECTURES AND INTERVIEWS AVAILABLE AT NO CHARGE ONLINE. (7) ASPEN CENTER FOR PHYSICS WEBSITE. IN AN ON-GOING PROJECT TO SHARE THE SCIENCE DONE AT THE CENTER, ACP HAS A SECTION OF ITS WEBSITE TITLED, "THE SCIENCE," WHICH LISTS PUBLICATIONS GENERATED AT THE CENTER AND INCLUDES SLIDES FROM SCIENTIFIC COLLOQUIA ATTENDED BY SUMMER PARTICIPANTS, AS WELL AS OTHER SCIENTIFIC INFORMATION. TOTAL NUMBER OF PUBLIC LISTENING TO RADIO BROADCASTS OR VIEWING VIDEOS ON TELEVISION OR ONLINE IS UNKNOWN.

SCHEDULE A
(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ.
▶ Go to *www.irs.gov/Form990* for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
ASPEN CENTER FOR PHYSICS

Employer identification number
84-6059504

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990 or 990-EZ).)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state:

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170 (b)(1)(A)(iv).** (Complete Part II.)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☑ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)

9 ☐ An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture. See instructions. Enter the name, city, and state of the college or university:

10 ☐ An organization that normally receives: (1) more than 331/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 331/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)

11 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**

12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.

a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**

b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**

c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**

d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**

e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.

f Enter the number of supported organizations . . . .

g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 10 above (see instructions))	(iv) Is the organization listed in your governing document? Yes	No	(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
Total						

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization failed to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	**(a)** 2015	**(b)** 2016	**(c)** 2017	**(d)** 2018	**(e)** 2019	**(f)** Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grant.")	562,895	703,392	1,487,551	717,866	806,511	4,278,215
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf.						
3 The value of services or facilities furnished by a governmental unit to the organization without charge..						
4 **Total.** Add lines 1 through 3	562,895	703,392	1,487,551	717,866	806,511	4,278,215
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f).						1,011,827
6 **Public support.** Subtract line 5 from line 4.						3,266,388

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	**(a)** 2015	**(b)** 2016	**(c)** 2017	**(d)** 2018	**(e)** 2019	**(f)** Total
7 Amounts from line 4.	562,895	703,392	1,487,551	717,866	806,511	4,278,215
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources.	83,561	111,348	113,319	126,072	195,453	629,753
9 Net income from unrelated business activities, whether or not the business is regularly carried on.						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.).						
11 **Total support.** Add lines 7 through 10						4,907,968

12 Gross receipts from related activities, etc. (see instructions) 12 5,420,991

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** . . . . . ▶ ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2019 (line 6, column (f) divided by line 11, column (f)) . . . . . 14 66.550 %

15 Public support percentage for 2018 Schedule A, Part II, line 14 . . . . . 15 68.280 %

16a **33 1/3% support test—2019.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . . . ▶ ☑

b **33 1/3% support test—2018.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . . . ▶ ☐

17a **10%-facts-and-circumstances test—2019.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . . . ▶ ☐

b **10%-facts-and-circumstances test—2018.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . . . ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions . . . . . ▶ ☐

Part III **Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	**(a)** 2015	**(b)** 2016	**(c)** 2017	**(d)** 2018	**(e)** 2019	**(f)** Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf. . .						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year.						
c Add lines 7a and 7b. .						
8 **Public support.** (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	**(a)** 2015	**(b)** 2016	**(c)** 2017	**(d)** 2018	**(e)** 2019	**(f)** Total
9 Amounts from line 6. . .						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources. .						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975.						
c Add lines 10a and 10b.						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on.						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) . .						
13 **Total support.** (Add lines 9, 10c, 11, and 12.). .						

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here**. . . . . ▶ ☐

Section C. Computation of Public Support Percentage

15	Public support percentage for 2019 (line 8, column (f) divided by line 13, column (f))	**15**	
16	Public support percentage from 2018 Schedule A, Part III, line 15	**16**	

Section D. Computation of Investment Income Percentage

17	Investment income percentage for **2019** (line 10c, column (f) divided by line 13, column (f))	**17**	
18	Investment income percentage from **2018** Schedule A, Part III, line 17	**18**	

19a **331/3% support tests—2019.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . . ▶ ☐

b **33 1/3% support tests—2018.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . . ▶ ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions . . . . ▶ ☐

Part IV Supporting Organizations

(Complete only if you checked a box on line 12 of Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

			Yes	No
1	Are all of the organization's supported organizations listed by name in the organization's governing documents? *If "No," describe in* ***Part VI*** *how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.*	**1**		
2	Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? *If "Yes," explain in* ***Part VI*** *how the organization determined that the supported organization was described in section 509(a)(1) or (2).*	**2**		
3a	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? *If "Yes," answer (b) and (c) below.*	**3a**		
b	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? *If "Yes," describe in* ***Part VI*** *when and how the organization made the determination.*	**3b**		
c	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? *If "Yes," explain in* ***Part VI*** *what controls the organization put in place to ensure such use.*	**3c**		
4a	Was any supported organization not organized in the United States ("foreign supported organization")? *If "Yes" and if you checked 12a or 12b in Part I, answer (b) and (c) below.*	**4a**		
b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? *If "Yes," describe in* ***Part VI*** *how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.*	**4b**		
c	Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? *If "Yes," explain in* ***Part VI*** *what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.*	**4c**		
5a	Did the organization add, substitute, or remove any supported organizations during the tax year? *If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in* ***Part VI,*** *including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).*	**5a**		
b	**Type I or Type II only.** Was any added or substituted supported organization part of a class already designated in the organization's organizing document?	**5b**		
c	**Substitutions only.** Was the substitution the result of an event beyond the organization's control?	**5c**		
6	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? *If "Yes," provide detail in* ***Part VI.***	**6**		
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? *If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ) .*	**7**		
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? *If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).*	**8**		
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? *If "Yes," provide detail in* ***Part VI.***	**9a**		
b	Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? *If "Yes," provide detail in* ***Part VI.***	**9b**		
c	Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? *If "Yes," provide detail in* ***Part VI.***	**9c**		
10a	Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? *If "Yes," answer line 10b below.*	**10a**		
b	Did the organization have any excess business holdings in the tax year? *(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings).*	**10b**		

Part IV **Supporting Organizations** (continued)

			Yes	No
11	Has the organization accepted a gift or contribution from any of the following persons?			
a	A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?	**11a**		
b	A family member of a person described in (a) above?	**11b**		
c	A 35% controlled entity of a person described in (a) or (b) above? *If "Yes" to a, b, or c, provide detail in* ***Part VI***.	**11c**		

Section B. Type I Supporting Organizations

			Yes	No
1	Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? *If "No," describe in* ***Part VI*** *how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.*	**1**		
2	Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? *If "Yes," explain in* ***Part VI*** *how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.*	**2**		

Section C. Type II Supporting Organizations

			Yes	No
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? *If "No," describe in* ***Part VI*** *how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).*	**1**		

Section D. All Type III Supporting Organizations

			Yes	No
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?	**1**		
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization (s) or (ii) serving on the governing body of a supported organization? *If "No," explain in* ***Part VI*** *how the organization maintained a close and continuous working relationship with the supported organization(s).*	**2**		
3	By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? *If "Yes," describe in* ***Part VI*** *the role the organization's supported organizations played in this regard.*	**3**		

Section E. Type III Functionally-Integrated Supporting Organizations

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year **(see instructions)**:

- **a** ☐ The organization satisfied the Activities Test. Complete **line 2** below.
- **b** ☐ The organization is the parent of each of its supported organizations. Complete **line 3** below.
- **c** ☐ The organization supported a governmental entity. Describe in **Part VI** how you supported a government entity (see instructions)

2 Activities Test. **Answer (a) and (b) below.**

			Yes	No
a	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? *If "Yes," then in* ***Part VI identify those supported organizations and explain*** *how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.*	**2a**		
b	Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? *If "Yes," explain in* ***Part VI*** *the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.*	**2b**		

3 Parent of Supported Organizations. **Answer (a) and (b) below.**

			Yes	No
a	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? *Provide details in* ***Part VI.***	**3a**		
b	Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? *If "Yes," describe in* ***Part VI.*** *the role played by the organization in this regard.*	**3b**		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

1 ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI). **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

	Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1		
2	Recoveries of prior-year distributions	2		
3	Other gross income (see instructions)	3		
4	Add lines 1 through 3	4		
5	Depreciation and depletion	5		
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6		
7	Other expenses (see instructions)	7		
8	**Adjusted Net Income** (subtract lines 5, 6 and 7 from line 4)	8		

	Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):	1		
a	Average monthly value of securities	1a		
b	Average monthly cash balances	1b		
c	Fair market value of other non-exempt-use assets	1c		
d	**Total** (add lines 1a, 1b, and 1c)	1d		
e	**Discount** claimed for blockage or other factors (explain in detail in Part VI):			
2	Acquisition indebtedness applicable to non-exempt use assets	2		
3	Subtract line 2 from line 1d	3		
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions).	4		
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5		
6	Multiply line 5 by .035	6		
7	Recoveries of prior-year distributions	7		
8	**Minimum Asset Amount** (add line 7 to line 6)	8		

	Section C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1		
2	Enter 85% of line 1	2		
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3		
4	Enter greater of line 2 or line 3	4		
5	Income tax imposed in prior year	5		
6	**Distributable Amount.** Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6		

7 ☐ Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)

Part V **Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations** (continued)

Section D - Distributions	**Current Year**
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in **Part VI**). See instructions	
7 **Total annual distributions.** Add lines 1 through 6.	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in **Part VI**). See instructions	
9 Distributable amount for 2019 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	**(i)** **Excess Distributions**	**(ii)** **Underdistributions Pre-2019**	**(iii)** **Distributable Amount for 2019**
1 Distributable amount for 2019 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2019 (reasonable cause required-- explain in **Part VI**). See instructions.			
3 Excess distributions carryover, if any, to 2019:			
a From 2014.			
b From 2015.			
c From 2016.			
d From 2017.			
e From 2018.			
f **Total** of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2019 distributable amount			
i Carryover from 2014 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4 Distributions for 2019 from Section D, line 7: $			
a Applied to underdistributions of prior years			
b Applied to 2019 distributable amount			
c Remainder. Subtract lines 4a and 4b from 4.			
5 Remaining underdistributions for years prior to 2019, if any. Subtract lines 3g and 4a from line 2. If the amount is greater than zero, explain in **Part VI**. See instructions.			
6 Remaining underdistributions for 2019. Subtract lines 3h and 4b from line 1. If the amount is greater than zero, explain in **Part VI**. See instructions.			
7 **Excess distributions carryover to 2020.** Add lines 3j and 4c.			
8 Breakdown of line 7:			
a Excess from 2015.			
b Excess from 2016.			
c Excess from 2017.			
d Excess from 2018.			
e Excess from 2019.			

Additional Data

Software ID:
Software Version:
EIN: 84-6059504
Name: ASPEN CENTER FOR PHYSICS

Part VI **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

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SCHEDULE D (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990.
▶ Go to *www.irs.gov/Form990* for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
ASPEN CENTER FOR PHYSICS

Employer identification number
84-6059504

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.

Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate value of contributions to (during year)		
3	Aggregate value of grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

Part II Conservation Easements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

☐ Preservation of land for public use (e.g., recreation or education)
☐ Preservation of an historically important land area
☐ Protection of natural habitat
☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

			Held at the End of the Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶

4 Number of states where property subject to conservation easement is located ▶

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ $

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included on Form 990, Part VIII, line 1 ▶ $

(ii) Assets included in Form 990, Part X ▶ $

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenue included on Form 990, Part VIII, line 1 ▶ $

b Assets included in Form 990, Part X ▶ $

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a ☐ Public exhibition

b ☐ Scholarly research

c ☐ Preservation for future generations

d ☐ Loan or exchange programs

e ☐ Other ....

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?. . . ☐ Yes ☐ No

Part IV **Escrow and Custodial Arrangements.**
Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? . . . ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

			Amount
c	Beginning balance	1c	
d	Additions during the year	1d	
e	Distributions during the year	1e	
f	Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? . . . ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII . . . . ☐

Part V **Endowment Funds.**
Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	**(b)** Prior year	**(c)** Two years back	**(d)** Three years back	**(e)** Four years back
1a Beginning of year balance	4,201,526	4,301,788	2,978,590	2,655,861	2,767,697
b Contributions	728,640	644,380	1,701,611	779,757	537,120
c Net investment earnings, gains, and losses	-225,367	99,430	297,446	266,146	-38,661
d Grants or scholarships	-9,000	-8,300	-10,500	-6,600	-8,500
e Other expenditures for facilities and programs	-907,252	-835,772	-665,359	-716,574	-601,795
f Administrative expenses					
g End of year balance	3,788,547	4,201,526	4,301,788	2,978,590	2,655,861

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

a Board designated or quasi-endowment ▶ 80.680 %

b Permanent endowment ▶ ....

c Temporarily restricted endowment ▶ 19.320 %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

		Yes	No
(i) unrelated organizations	3a(i)		No
(ii) related organizations	3a(ii)		No
b If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI **Land, Buildings, and Equipment.**
Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	**(a)** Cost or other basis (investment)	**(b)** Cost or other basis (other)	**(c)** Accumulated depreciation	**(d)** Book value
1a Land		20		20
b Buildings		3,824,810	2,760,738	1,064,072
c Leasehold improvements				
d Equipment		237,912	234,938	2,974
e Other		1,859,413	1,801,108	58,305
Total. Add lines 1a through 1e. *(Column (d) must equal Form 990, Part X, column (B), line 10(c).)* ▶				1,125,371

Part VII Investments—Other Securities.
Complete if the organization answered "Yes" on Form 990, Part IV, line 11b.See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3)Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. *(Column (b) must equal Form 990, Part X, col. (B) line 12.)* ▶		

Part VIII Investments—Program Related.
Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. *(Column (b) must equal Form 990, Part X, col.(B) line 13.)* ▶		

Part IX Other Assets.
Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. *(Column (b) must equal Form 990, Part X, col.(B) line 15.)* ▶	

Part X Other Liabilities.
Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f.See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. *(Column (b) must equal Form 990, Part X, col.(B) line 25.)* ▶	

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII ☑

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return. Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements			1	1,750,053
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:				
a	Net unrealized gains (losses) on investments	2a	-355,175		
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIII.)	2d			
e	Add lines **2a** through **2d**			2e	-355,175
3	Subtract line **2e** from line **1**			3	2,105,228
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	13,529		
b	Other (Describe in Part XIII.)	4b			
c	Add lines **4a** and **4b**			4c	13,529
5	Total revenue. Add lines **3** and **4c.** (This must equal Form 990, Part I, line 12.)			5	2,118,757

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return. Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements			1	2,085,870
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIII.)	2d			
e	Add lines **2a** through **2d**			2e	
3	Subtract line **2e** from line **1**			3	2,085,870
4	Amounts included on Form 990, Part IX, line 25, but not on line **1:**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	13,529		
b	Other (Describe in Part XIII.)	4b			
c	Add lines **4a** and **4b**			4c	13,529
5	Total expenses. Add lines **3** and **4c.** (This must equal Form 990, Part I, line 18.)			5	2,099,399

Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
See Additional Data Table	

Part XIII **Supplemental Information** ***(continued)***

Return Reference	Explanation

Additional Data

Software ID:

Software Version:

EIN: 84-6059504

Name: ASPEN CENTER FOR PHYSICS

Supplemental Information

Return Reference	Explanation
SCHEDULE D, PAGE 2, PART V, LINE 4	BOARD-DESIGNATED ENDOWMENT IS TO BE USED FOR CONTINGENCIES. NET ASSETS WITH DONOR RESTRICT IONS ARE SPENT IN ACCORDANCE WITH DONORS' DESIGNATIONS.

Supplemental Information

Return Reference	Explanation
SCHEDULE D, PAGE 3, PART X	THE CENTER IS EXEMPT FROM FEDERAL AND STATE INCOME TAXES UNDER THE PROVISIONS OF INTERNAL REVENUE CODE SECTION 501(C)3. ACCORDINGLY, NO PROVISION OR LIABILITY FOR INCOME TAXES HAS BEEN PROVIDED IN THE ACCOMPANYING FINANCIAL STATEMENTS.

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SCHEDULE F (Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.
▶ Attach to Form 990.
▶ Go to *www.irs.gov/Form990* for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
ASPEN CENTER FOR PHYSICS

Employer identification number
84-6059504

Part I **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

1 **For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . ☑ **Yes** ☐ **No**

2 **For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

3 Activites per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	**(b)** Number of offices in the region	**(c)** Number of employees, agents, and independent contractors in the region	**(d)** Activities conducted in region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	**(e)** If activity listed in (d) is a program service, describe specific type of service(s) in the region	**(f)** Total expenditures for and investments in the region
See Add'l Data					
3a Sub-total					61,716
b Total from continuation sheets to Part I . . .					
c **Totals** (add lines 3a and 3b)					61,716

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than $5,000. Part II can be duplicated if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . ▶

3 Enter total number of other organizations or entities . . . . ▶

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of noncash assistance	(g) Description of noncash assistance	(h) Method of valuation (book, FMV, appraisal, other)
See Add'l Data							

Part IV Foreign Forms

1	Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)*	☐ Yes	☑ No
2	Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)*	☐ Yes	☑ No
3	Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)*	☐ Yes	☑ No
4	Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)* .	☐ Yes	☑ No
5	Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)*	☐ Yes	☑ No
6	Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990).*	☐ Yes	☑ No

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

990 Schedule F, Supplemental Information

Return Reference	Explanation
SCHEDULE F, PAGE 1, PART I, LINE 3	EAST ASIA 6,039 0 SOUTH AMERICA 2,923 0 SOUTH ASIA 6,000 0 NORTH AMERICA 756 0 EUROPA 44,499 0 MIDDLE EAST 1,499 0

990 Schedule F, Supplemental Information

Return Reference	Explanation
SCHEDULE F, PAGE 5, PART V	PART I, LINE 2. ASPEN CENTER FOR PHYSICS AWARDS TRAVEL SUPPORT TO QUALIFIED PHYSICISTS FROM COUNTRIES WITH LIMITED SCIENTIFIC FUNDING, PREFERABLY FROM THE DEVELOPING WORLD. FUNDING IS PROVIDED BY A SIMONS FOUNDATION GRANT FOR THESE UNDERFUNDED SCIENTISTS. PHYSICISTS MUST PROVIDE RECEIPTS FOR TRAVEL, AN EXPLANATION OF THEIR FULL TRIP PLANS, AND BOARDING PASSES FOR THEIR TRAVEL SEGMENTS TO THE U. S. ONCE THESE DOCUMENTS HAVE BEEN REVIEWED IN THE ASPEN, COLORADO OFFICE, CHECKS ARE ISSUED IN THE U. S. TO THE INDIVIDUAL OR TO THE INDIVIDUAL'S INSTITUTION TO REIMBURSE THE PHYSICIST FOR ACP'S PORTION OF TRAVEL. PART I, COLUMN (F). CASH BASIS, PAID UPON RECEIPT OF TRAVEL DOCUMENTATION. PART III, COLUMN (E). MOST ASSISTANCE PROVIDED BY ASPEN CENTER FOR PHYSICS IS APPLIED TOWARD THE PHYSICIST'S OUTSTANDING BILL AT THE CENTER. MOST PARTICIPANTS PAY AN ADDITIONAL BALANCE DUE TO THE CENTER SINCE ASSISTANCE AMOUNTS LISTED HERE AVERAGE 650, WHICH IS THE MINIMUM COST FOR ATTENDING A CENTER PROGRAM. OCCASIONALLY THE AWARDEE WILL RECEIVE A CASH REIMBURSEMENT, IN THE FORM OF A CHECK DRAWN ON THE CENTER'S BANK, AFTER THE AWARDEE PROVIDES RECEIPTS AND DOCUMENTATION PROVING THAT THE REIMBURSEMENT IS FOR HOUSING OR TRAVEL EXPENSES REQUIRED FOR WINTER OR SUMMER ATTENDANCE AT ASPEN CENTER FOR PHYSICS.

Additional Data

Software ID:
Software Version:
EIN: 84-6059504
Name: ASPEN CENTER FOR PHYSICS

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EAST ASIA			PROGRAM SERVICES	TRAVEL SUPPORT TO US	6,039
SOUTH AMERICA			PROGRAM SERVICES	TRAVEL SUPPORT TO US	2,923

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH ASIA			PROGRAM SERVICES	TRAVEL SUPPORT TO US	6,000
NORTH AMERICA			PROGRAM SERVICES	TRAVEL SUPPORT TO US	756

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EUROPA			PROGRAM SERVICES	TRAVEL SUPPORT TO US	44,499
MIDDLE EAST			PROGRAM SERVICES	TRAVEL SUPPORT TO US	1,499

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
HSG, REGISTRATION, TRAVEL	EAST ASIA	13	8,439	SEE PART V.			
HSG, REGISTRATION, TRAVEL	EUROPE	114	82,731	SEE PART V.			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
HSG, REGISTRATION, TRAVEL	SOUTH AMERICA	3	2,923	SEE PART V.			
HSG, REGISTRATION, TRAVEL	SOUTH ASIA	7	6,000	SEE PART V.			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
HSG,REGISTRATION, TRAVEL	NORTH AMERICA	7	4,220	SEE PART V.			
HSG. REGISTRATION, TRAVEL	MIDDLE EAST	8	4,449	SEE PART V.			

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Schedule I (Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.
▶ Go to *www.irs.gov/Form990* for the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
ASPEN CENTER FOR PHYSICS

Employer identification number
84-6059504

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . ☑ **Yes** ☐ **No**

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.

Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

	(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC section (if applicable)	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of noncash assistance	**(h)** Purpose of grant or assistance
(1)								
(2)								
(3)								
(4)								
(5)								
(6)								
(7)								
(8)								
(9)								
(10)								
(11)								
(12)								

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . ▶

3 Enter total number of other organizations listed in the line 1 table . . . . ▶

Part III **Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of noncash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance
(1) HSG, REGISTRATION, TRAVEL	141	98,994			
(2) GIFTS AND GRANTS	31	18,483			
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					

Part IV **Supplemental Information.** Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

Return Reference	Explanation
SCHEDULE I, PAGE 4, PART IV	ALL PARTICIPANTS IN ACP HOUSING WERE FUNDED BY ONE OF 2 DIFFERENT SOURCES. THOSE SUPPORTED BY NSF RECEIVED 375 PER WEEK. THE 57 PHYSICISTS SUPPORTED BY THE SIMONS FOUNDATION RECEIVED 525 PER WEEK FOR HOUSING, PLUS REGISTRATION AND TRAVEL SUPPORT. PHYSICISTS' SUMMER BILLS ARE REDUCED BY THE AMOUNT OF SUPPORT; ACCOUNT OVERPAYMENTS ARE REFUNDED BY CHECK. WINTER SUPPORT IS NORMALLY AWARDED TO JUNIOR SCIENTISTS AND THOSE SCIENTISTS WITH A DEMONSTRATED NEED IN ORDER TO ATTEND. THIS SUPPORT IS USED TO REDUCE THE PHYSICIST'S WINTER BILL OR IS REFUNDED BY CHECK.

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Schedule L (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Transactions with Interested Persons

▶ Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.
▶ Attach to Form 990 or Form 990-EZ.
▶Go to *www.irs.gov/Form990* for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
ASPEN CENTER FOR PHYSICS

Employer identification number
84-6059504

Part I **Excess Benefit Transactions** (section 501(c)(3), section 501(c)(4), and section 501(c)(29) organizations only).
Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b.

1 (a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected? Yes	No

2 Enter the amount of tax incurred by the organization managers or disqualified persons during the year under section 4958. . . . . ▶ $

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization . . . . ▶ $

Part II **Loans to and/or From Interested Persons.**
Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26; or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization? To	From	(e) Original principal amount	(f) Balance due	(g) In default? Yes	No	(h) Approved by board or committee? Yes	No	(i) Written agreement? Yes	No
Total . . . . ▶ $												

Part III **Grants or Assistance Benefiting Interested Persons.**
Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance
See Additional Data Table				

Part IV Business Transactions Involving Interested Persons.
Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues? Yes	No

Part V Supplemental Information
Provide additional information for responses to questions on Schedule L (see instructions).

Return Reference	Explanation
SCHEDULE L, PART III	HULET, RANDALL GENERAL MEMBER 750 2 WEEKS HOUSING KAPUSTIN, ANTON GENERAL MEMBER 750 2 WEEKS HOUSING KETTERLE, WOLFGANG GENERAL MEMBER 750 2 WEEKS HOUSING KIM, YONG-BAEK GENERAL MEMBER 1,125 3 WEEKS HOUSING KRAUS, PER GENERAL MEMBER 750 2 WEEKS HOUSING LIGETI, ZOLTAN TRUSTEE 1,125 3 WEEKS HOUSING LISANTI, MARIANGELA GENERAL MEMBER 750 2 WEEKS HOUSING MARTIN, CRYSTAL GENERAL MEMBER 375 1 WEEK HOUSING MITRA, ADITI GENERAL MEMBER 750 2 WEEKS HOUSING MOORE, JOEL GENERAL MEMBER 750 2 WEEKS HOUSING MORRISON, DAVID R TRUSTEE 1,875 5 WEEKS HOUSING MURRAY, NORMAN GENERAL MEMBER 1,875 5 WEEKS HOUSING NAJITA, JOAN GENERAL MEMBER 1,125 3 WEEKS HOUSING NELSON, PHIL GENERAL MEMBER 750 2 WEEKS HOUSING RABE, KARIN CHAIR 1,500 4 WEEKS HOUSING REFAEL, GIL SCIENTIFIC SECRETARY 1,875 5 WEEKS HOUSING SHAEVITZ, JOSHUA GENERAL MEMBER 1,125 3 WEEKS HOUSING SI, QIMIAO ASSISTANT TREASURER 1,875 5 WEEKS HOUSING TAIT, TIM GENERAL MEMBER 1,125 3 WEEKS HOUSING TAYLOR, WASHINGTON GENERAL MEMBER 750 2 WEEKS HOUSING TODADRI, SENTHILL GENERAL MEMBER 750 2 WEEKS HOUSING TROYER, MATHIAS VICE PRESIDENT 1,125 3 WEEKS HOUSING WECHSLER, RISA GENERAL MEMBER 1,125 3 WEEKS HOUSING YACOBY, AMIR GENERAL MEMBER 750 2 WEEKS HOUSING YU, CLARE CORPORATE SECRETARY 1,875 5 WEEKS HOUSING ZUREK, KATHRYN GENERAL MEMBER 1,125 3 WEEKS HOUSING
SCHEDULE L, PART V	HOUSING AND REGISTRATION ASSISTANCE WAS PROVIDED TO INDIVIDUALS WHO PARTICIPATED IN CENTER PROGRAMMING. SOME INDIVIDUALS ARE PAID DIRECT REIMBURSEMENTS BY THE CENTER FOR COSTS INCURRED (UP TO A STANDARD, MAXIMUM AMOUNT), AND SOME INDIVIDUALS BENEFIT FROM INDIRECT SUPPORT BY HAVING HOUSING AND/OR REGISTRATION COSTS PAID FOR ON THEIR BEHALF BY GRANT AND/OR CONTRIBUTION FUNDING RECEIVED BY THE CENTER. ALL MEMBERS, TRUSTEES, AND OFFICERS WHO RECEIVE ANY DIRECT REIMBURSEMENTS FROM THE CENTER, OR WHO INDIRECTLY BENEFIT BY HAVING COSTS PAID FOR ON THEIR BEHALF, ARE INCLUDED IN THIS SCHEDULE. THE AMOUNTS INCLUDED IN SCHEDULE L MAY NOT RECONCILE TO EXPENSES IN PT. IX, LINES 2 AND 3 BECAUSE OF THE FOLLOWING REASONS: 1) REGISTRATION FEES ARE PAID TO THE CENTER BY ALL PARTICIPANTS TO COVER COSTS ASSOCIATED WITH PROGRAMMING, WHICH TAKES PLACE AT THE CENTER. BECAUSE THIS EXPENSE IS NOT PAID TO A THIRD PARTY, IT IS NOT INCLUDED IN THE SCHEDULE OF FUNCTIONAL EXPENSE. THE REGISTRATION EXPENSE IS INTENDED TO COVER THE COSTS OF THE CENTER, INCLUDING EXPENSES SUCH AS UTILITIES, MAINTENANCE/REPAIRS, SUPPLIES, STAFFING, ETC. 2) HOUSING UNITS ARE RESERVED BY THE CENTER FOR LONG-TERM PERIODS IN ORDER TO ENSURE HOUSING IS AVAILABLE FOR PHYSICISTS. THE COSTS OF THE HOUSING IS PAID FOR BY THE CENTER TO VARIOUS LANDLORDS OR MANAGEMENT COMPANIES. PERSONS WHO RECEIVE HOUSING ASSISTANCE HAVE HOUSING FEES WAIVED OR REDUCED. THE COSTS OF HOUSING PROVIDED TO THE PHYSICISTS IS INCLUDED IN "HOUSING RENTS" IN PT. IX, LINE 24A.

Additional Data

Software ID:
Software Version:
EIN: 84-6059504
Name: ASPEN CENTER FOR PHYSICS

Form 990, Schedule L, Part III - Grants or Assistance Benefiting Interested Persons

(a) Name of interested person	**(b)** Relationship between interested person and the organization	**(c)** Amount of grant or type of assistance
(1) BALASUBRAMANIAN VIJAY	GENERAL MEMBER	1,500
(2) BHANOT GYAN	GENERAL MEMBER	750
(3) CSAKI CSABA	GENERAL MEMBER	750
(4) ELVANG HENRIETTE	GENERAL MEMBER	750
(5) ELVIS MARTIN	GENERAL MEMBER	1,125
(6) FABBIANO GIUSEPPINA	TRUSTEE	1,125
(7) GOLDBART PAUL	GENERAL MEMBER	1,125
(8) GROSBERG ALEXANDER Y	TRUSTEE	1,500
(9) HAN TAO	GENERAL MEMBER	750
(10) HUANG KERWYN CASEY	GENERAL MEMBER	750
(11) HULET RANDALL	GENERAL MEMBER	750
(12) KAPUSTIN ANTON	GENERAL MEMBER	750
(13) KETTERLE WOLFGANG	GENERAL MEMBER	750
(14) KIM YONG-BAEK	GENERAL MEMBER	1,125
(15) KRAUS PER	GENERAL MEMBER	750
(16) LIGETI ZOLTAN	TRUSTEE	1,125
(17) LISANTI MARIANGELA	GENERAL MEMBER	750
(18) MARTIN CRYSTAL	GENERAL MEMBER	375
(19) MITRA ADITI	GENERAL MEMBER	750
(20) MOORE JOEL	GENERAL MEMBER	750
(21) MORRISON DAVID R	TRUSTEE	1,875
(22) MURRAY NORMAN	GENERAL MEMBER	1,875
(23) NAJITA JOAN	GENERAL MEMBER	1,125
(24) NELSON PHIL	GENERAL MEMBER	750
(25) RABE KARIN	CHAIR	1,500
(26) REFAEL GIL	SCIENTIFIC SECRETARY	1,875
(27) SHAEVITZ JOSHUA	GENERAL MEMBER	1,125
(28) SI QIMIAO	ASSISTANT TREASURER	1,875
(29) TAIT TIM	GENERAL MEMBER	1,125
(30) TAYLOR WASHINGTON	GENERAL MEMBER	750
(31) TODADRI SENTHILL	GENERAL MEMBER	750
(32) TROYER MATHIAS	VICE PRESIDENT	1,125
(33) WECHSLER RISA	GENERAL MEMBER	1,125
(34) YACOBY AMIR	GENERAL MEMBER	750
(35) YU CLARE	CORPORATE SECRETARY	1,875
(36) ZUREK KATHRYN	GENERAL MEMBER	1,125

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SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.
▶ Go to *www.irs.gov/Form990* for the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
ASPEN CENTER FOR PHYSICS

Employer identification number
84-6059504

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Return Reference	Explanation
FORM 990 - ORGANIZATION'S MISSION	ASPEN CENTER FOR PHYSICS IS A SCIENTIFIC ORGANIZATION WHICH PROMOTES ORGANIZED RESEARCH IN PHYSICS, ASTROPHYSICS, AND RELATED FIELDS THROUGH PROGRAMS OF INDIVIDUAL AND COLLABORATIV E RESEARCH, WORKSHOPS, AND CONFERENCES, AS WELL AS PROMOTES THE EDUCATION OF THE GENERAL P UBLIC THROUGH PUBLIC LECTURES AND OTHER ACTIVITIES.

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Return Reference	Explanation
FORM 990, PAGE 1, PART I, LINE 6	VOLUNTEER BOARD MEMBERS PERFORM ALL OF THE SCIENTIFIC MANAGEMENT AND ADMINISTRATION OF THE CENTER. THEY ALSO MAKE DECISIONS ON THE NON- SCIENTIFIC MANAGEMENT OF THE CENTER. OTHER V OLUNTEERS: -- 36 LECTURERS, DIALOGUE SPEAKERS, AND SUMMER AND WINTER KIDS SPEAKERS OFFERED FREE-OF-CHARGE PUBLIC LECTURES TO LOCAL AND VISITING ASPEN AUDIENCES. -- 16 COLLOQUIUM SP EAKERS DELIVERED TALKS ABOUT THEIR FIELDS FOR ALL PHYSICISTS IN RESIDENCE, PARTICULARLY FO R THOSE WHO WORK IN FIELDS OTHER THAN THE SPEAKER'S. -- 22 HIGH SCHOOL MENTORS MET WITH AC P'S HIGH SCHOOL INTERNS TO ANSWER STUDENTS' QUESTIONS ABOUT COLLEGE AND CAREERS. -- 73 SUM MER AND WINTER ORGANIZERS PROPOSE WORKSHOP OR CONFERENCE TOPICS AND THEN MANAGE THE EVENT. -- 13 HIGH SCHOOL INTERNS INTERVIEW 11 RADIO SHOW SPEAKERS.

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Return Reference	Explanation
FORM 990, PAGE 2, PART III, LINE 4A	SINCE ITS INCEPTION IN 1962, ASPEN CENTER FOR PHYSICS HAS PROVIDED A UNIQUE PHYSICAL AND S CIENTIFIC ENVIRONMENT IDEALLY SUITED FOR STIMULATING INTERACTIONS, COLLABORATIONS, AND INN OVATION IN PHYSICS RESEARCH. FOR 16 WEEKS EACH SUMMER, MORE THAN 500 LEADING INTERNATIONAL RESEARCHERS, FREED FROM THE USUAL CONSTRAINTS AND DISTRACTIONS OF THEIR HOME INSTITUTIONS , COME TO ASPEN TO ENGAGE IN RESEARCH AT THE FOREFRONT OF THEIR FIELDS. RESEARCH IS CONDUC TED: (A) IN THE CONTEXT OF WORKSHOPS IN AREAS AT THE FOREFRONT OF PHYSICS RESEARCH; (B) IN SMALL GROUPS FOCUSED ON SPECIFIC PROJECTS; OR, (C) BY INDIVIDUAL INVESTIGATORS CARRYING O UT THEIR OWN RESEARCH. THE SCIENTIFIC PROGRAMS EMPHASIZE OPEN PROBLEMS AT THE CUTTING EDGE AND ARE DESIGNED TO MAXIMIZE INFORMAL INTERACTIONS WITHIN EACH AREA AND CROSS-FERTILIZATI ON BETWEEN DIFFERENT AREAS. WHILE CONTINUING TO PROVIDE LEADERSHIP IN THE CENTRAL AREAS OF PHYSICS (PARTICLE PHYSICS, ASTROPHYSICS, AND CONDENSED MATTER PHYSICS), ASPEN CENTER FOR PHYSICS HAS BEEN OPEN TO NEW DIRECTIONS IN PHYSICS AS WELL AS TO SCIENCE AT THE INTERFACE BETWEEN PHYSICS AND OTHER DISCIPLINES (BIOLOGY, CHEMISTRY, ENGINEERING, MATERIAL SCIENCE, AND MATHEMATICS). IN ADDITION, 8 TO 9 ONE- WEEK WINTER CONFERENCES BRING TOGETHER 60 TO 10 0 RESEARCHERS PER WEEK IN AREAS OF CURRENT INTEREST IN PHYSICS AND INTER-DISCIPLINARY PHYS ICS RESEARCH. THE CENTER PROVIDES OFFICE SPACE, OFFICE SUPPLIES, MEETING SPACE, LIBRARY FA CILITIES, AND NETWORK ACCESS TO ITS PARTICIPANTS. SUPPORT IS PROVIDED TO ALL INDIVIDUALS D URING THE SUMMER SESSION FOR WHOM LODGING IS ARRANGED BY THE CENTER. PHYSICISTS' SUMMER BI LLS ARE REDUCED BY THE AMOUNT OF SUPPORT; OVERPAYMENTS ARE REFUNDED BY CHECK. WINTER SUPPO RT IS USUALLY AWARDED TO JUNIOR SCIENTISTS AND THOSE WITH A DEMONSTRATED NEED IN ORDER TO ATTEND. DURING THE FISCAL YEAR ENDED MARCH 31, 2020, THERE WERE 565 SUMMER PARTICIPANTS (S UMMER 2019) AND 395 WINTER PARTICIPANTS (IN JANUARY, FEBRUARY, AND MARCH 2020).

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Return Reference	Explanation
FORM 990, PAGE 2, PART III, LINE 4B	ASPEN CENTER FOR PHYSICS OUTREACH PROGRAM INCLUDES MANY OPTIONS AND AVENUES FOR THE PUBLIC TO BECOME INFORMED ABOUT CUTTING-EDGE PHYSICS RESEARCH, AND TO ENGAGE CHILDREN AND YOUTH IN OPPORTUNITIES FOR FURTHER EDUCATION IN THE SCIENCES. (1) PUBLIC LECTURES. A CORE OUTREA CH ACTIVITY FOR THE CENTER. RENOWNED PHYSICISTS DELIVER WINTER AND SUMMER LECTURES IN ASPE N VENUES DELINEATING THEIR CURRENT RESEARCH OR CURRENT SCIENTIFIC TOPICS OF GENERAL INTERE ST. SUMMER ATTENDANCE AT 12 LECTURES WAS 998 PEOPLE. WINTER ATTENDANCE AT 6 LECTURES WAS 5 70, WHILE TWO ADDITIONAL LECTURES WERE CANCELLED DUE TO COVID-19. (2) SUMMER CHILDREN'S PI CNICS. IN CONJUNCTION WITH THE ASPEN SCIENCE CENTER, THE ASPEN CENTER FOR PHYSICS HOSTS CH ILDREN'S WEEKLY SUMMER PICNICS THAT INCLUDE A PRESENTATION BY A PARTICIPATING PHYSICIST. T HIS AFFORDS CHILDREN THE CHANCE TO MEET WORKING PHYSICISTS, TO LEARN ABOUT THE PROFESSION, AND TO LEARN SOME SCIENCE IN FUN AND ENTERTAINING WAYS. SIX PICNICS WERE HELD DURING SUMM ER 2019. (3) HIGH SCHOOL MENTOR PROGRAM. ACP HIRES RISING SENIOR PHYSICS STUDENTS FROM 3-5 VALLEY HIGH SCHOOLS TO WORK FOR A SUMMER WEEK AT THE CENTER TO SEE WHAT THEORETICAL PHYSI CISTS DO AND TO CHAT WITH 2 OR 3 PHYSICISTS ABOUT COLLEGE, CAREERS, AND/OR SCIENCE. ABOUT 19 PHYSICISTS AND STUDENTS PARTICIPATED IN 2019. (4) HIGH SCHOOL MONTHLY RADIO SHOW--RADIO PHYSICS. VALLEY HIGH SCHOOL PHYSICS STUDENTS FROM 3-5 SCHOOLS INTERVIEW PHYSICISTS ON A M ONTHLY RADIO SHOW. THIS INTRODUCES THE STUDENTS TO SCIENCE, PUBLIC SPEAKING, AND INFORMS T HE LISTENING PUBLIC ABOUT CURRENT PHYSICS TOPICS. THERE WERE 20 STUDENT AND PHYSICIST PART ICIPANTS FOR THIS FISCAL YEAR. (5) PHYSICS CAFES. CO-HOSTED WITH ASPEN SCIENCE CENTER, BEF ORE EACH PUBLIC LECTURE 2 OR 3 PHYSICISTS MEET WITH STUDENTS AND THE PUBLIC TO DISCUSS PHY SICS OR CAREERS IN SCIENCE, OR WHATEVER TOPICS THE PUBLIC MIGHT BRING UP. FROM JANUARY TO MARCH 2020, 224 PEOPLE ATTENDED 6 CAFES, WHILE AN ADDITIONAL TWO WERE CANCELLED DUE TO COV ID-19. (6) ALL PUBLIC LECTURES ARE RECORDED AND ALL VIDEOS ARE AVAILABLE FOR VIEWING ON TH E ASPEN CENTER FOR PHYSICS YOUTUBE CHANNEL AND WITH LINKS FROM THE ACP WEBSITE. THERE ARE OVER 300 ACP SCIENTIFIC LECTURES AND INTERVIEWS AVAILABLE AT NO CHARGE ONLINE. (7) ASPEN C ENTER FOR PHYSICS WEBSITE. IN AN ON-GOING PROJECT TO SHARE THE SCIENCE DONE AT THE CENTER, ACP HAS A SECTION OF ITS WEBSITE TITLED, "THE SCIENCE," WHICH LISTS PUBLICATIONS GENERATE D AT THE CENTER AND INCLUDES SLIDES FROM SCIENTIFIC COLLOQUIA ATTENDED BY SUMMER PARTICIPA NTS, AS WELL AS OTHER SCIENTIFIC INFORMATION. TOTAL NUMBER OF PUBLIC LISTENING TO RADIO BR OADCASTS OR VIEWING VIDEOS ON TELEVISION OR ONLINE IS UNKNOWN.

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Return Reference	Explanation
FORM 990, PART VI	GENERAL MEMBERS-- THE GENERAL MEMBERS SHALL DETERMINE THE GENERAL POLICIES OF THE CENTER, INCLUDING ITS SCIENTIFIC PROGRAM AND ADMISSIONS POLICY. THEY SHALL NOMINATE AND ELECT THE TRUSTEES. GENERAL MEMBERS MUST APPROVE FINANCIAL COMMITMENTS GREATER THAN 250,000, THE SAL E OF BUILDINGS OR PROPERTY, CHANGES IN THE MODE OF OPERATIONS OF THE CORPORATION THAT AFFE CT ITS PROGRAM, AND CHANGES IN ITS OVERALL POLICIES OR PURPOSE. FINANCIAL COMMITMENTS INCL UDE: (A) SALE OR PURCHASE OF PROPERTY OR BUILDINGS; (B) ENCUMBRANCES ON PROPERTY OR BUILDI NGS; (C) CONSTRUCTION CONTRACTS, LOANS FOR NEW CONSTRUCTION; (D) LONG-TERM LEASES; (E) COM MITMENTS WHICH WOULD MATERIALLY CHANGE THE PROGRAM OR OPERATIONS. FINANCIAL TRANSACTIONS W HICH ARE EXCLUDED FROM THIS PROVISION INCLUDE SHORT-TERM LEASES FOR PARTICIPANTS' HOUSING MADE BY THE ADMINISTRATIVE STAFF WITH THE APPROVAL OF THE PRESIDENT AND TREASURER AND ROUT INE, REVOCABLE TRANSFERS OF FUNDS BETWEEN ACCOUNTS. TRUSTEES AND OFFICERS-- THE AFFAIRS OF THE CORPORATION SHALL BE MANAGED BY ITS BOARD OF TRUSTEES WHO NEED NOT BE RESIDENTS OF TH E STATE OF COLORADO. THE MANAGEMENT OF THE AFFAIRS OF THE CORPORATION SHALL BE WITHIN THE GENERAL GUIDELINES SET BY THE GENERAL MEMBERS AND SHALL BE CONSISTENT WITH THE BYLAWS AND ARTICLES OF INCORPORATION. CONVEYANCES OF ENCUMBRANCES OF THE PROPERTY OF THE CORPORATION SHALL BE AUTHORIZED BY THE BOARD OF TRUSTEES AND EXECUTED BY THOSE PERSONS DESIGNATED BY T HE BOARD SUBJECT TO THE APPROVAL OF THE GENERAL MEMBERS WHEN SO REQUIRED BY THE BYLAWS.

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Return Reference	Explanation
FORM 990, PAGE 6, PART VI, LINE 11B	APPROXIMATELY A WEEK PRIOR TO FILING THE FORM 990, A DRAFT IN PDF FORMAT IS EMAILED TO OFF ICERS AND TRUSTEES. COMMENTS AND CORRECTIONS ARE STRONGLY ENCOURAGED. IN ADDITION, THE ADM INISTRATIVE VICE PRESIDENT AND FINANCE MANAGER DISCUSS THE ENTIRE DRAFT FORM WITH THE PREP ARER IN DETAIL IN ADVANCE OF FILING.

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Return Reference	Explanation
FORM 990, PAGE 6, PART VI, LINE 15A	THE BOARD OF TRUSTEES REVIEWS AND APPROVES THE ADMINISTRATIVE VICE PRESIDENT'S ANNUAL SALA RY AND BENEFITS, WITH NO PARTICIPATION BY THE ADMINISTRATIVE VICE PRESIDENT OR OTHER INTER ESTED PERSONS. THE SALARY AND BENEFITS ARE ESTABLISHED USING COMPARABLE DATA FOR SIMILARLY QUALIFIED PERSONS IN FUNCTIONALLY COMPARABLE POSITIONS AT SIMILAR NONPROFITS, CONSIDERATI ON OF ROLES AND RESPONSIBILITIES OF THE ADMINISTRATIVE VICE PRESIDENT, AND COST OF LIVING DATA. COMPARABLE MARKET DATA ARE OBTAINED FROM SALARY SURVEYS AND FORM 990S FILED BY COMPA RABLE NOT -FOR-PROFIT ORGANIZATIONS. THE CENTER HAS A TRAVEL POLICY THAT CAPS REIMBURSEMEN T LEVELS AND REQUIRES LOW-BUDGET TRAVEL.

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Return Reference	Explanation
FORM 990, PAGE 6, PART VI, LINE 15B	A LINE ITEM BUDGET IS APPROVED BY THE GENERAL MEMBERS ANNUALLY. THEY APPROVE THE OVERALL S ALARIES AND BENEFITS EXPENSES. DISCUSSIONS AND DECISIONS REGARDING THE BUDGET ARE DOCUMENT ED IN BOARD MEETING MINUTES. THE BOARD OF TRUSTEES REVIEW AND APPROVE THE SALARIES AND BEN EFITS OF THE ADMINISTRATIVE VICE PRESIDENT AND THE FINANCIAL MANAGER, WITH NO PARTICIPATIO N BY THE INTERESTED PERSONS, IN ACCORDANCE WITH THE ANNUAL BUDGET. SALARIES AND BENEFITS A RE ESTABLISHED USING COMPARABLE DATA FOR SIMILARLY QUALIFIED PERSONS IN FUNCTIONALLY COMPA RABLE POSITIONS AT SIMILAR NONPROFITS, CONSIDERATION OF ROLES AND RESPONSIBILITIES OF THE OFFICER OR KEY EMPLOYEE, AND COST OF LIVING DATA. COMPARABLE MARKET DATA ARE OBTAINED FROM SALARY SURVEYS AND FORM 990S FILED BY COMPARABLE NOT-FOR-PROFIT ORGANIZATIONS.

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Return Reference	Explanation
FORM 990, PAGE 6, PART VI, LINE 19	WE CONSIDER REQUESTS ON A CASE-BY-CASE BASIS.