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Form **990-PF****Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0047

2019

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.

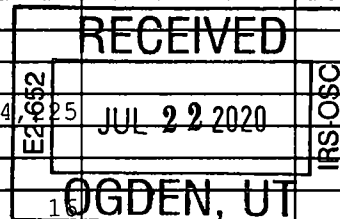
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2019 or tax year beginning 04/01/19, and ending 03/31/20

Name of foundation TR U/W VIVIAN S WEST - WEST MEMORIAL FUND		A Employer identification number 56-6520255
Number and street (or P.O. box number if mail is not delivered to street address) 4242 SIX FORKS RD, 17TH FLOOR US TR	Room/suite	B Telephone number (see instructions) 919-829-6771
City or town, state or province, country, and ZIP or foreign postal code RALEIGH NC 27601-1754		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 6,275,680	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	161,497	161,497		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	436,880			
	b Gross sales price for all assets on line 6a	1,652,427			
	7 Capital gain net income (from Part IV, line 2)		436,880		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule) Stmt 1	-173	-173			
12 Total. Add lines 1 through 11	598,204	598,204	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	148,853	91,357		57,496
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) Stmt 2	8,450	4,225		4,225
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) Stmt 3	2,031			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (att sch) Stmt 4	3,011	1,271		1,740
	24 Total operating and administrative expenses. Add lines 13 through 23	162,345	96,869	0	63,461
	25 Contributions, gifts, grants paid	402,000			402,000
26 Total expenses and disbursements. Add lines 24 and 25	564,345	96,869	0	465,461	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	33,859				
b Net investment income (if negative, enter -0-)		501,335			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2019)

Part II Balance Sheets		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash – non-interest-bearing				
	2 Savings and temporary cash investments	84,460	124,202	124,202	
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶				
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7 Other notes and loans receivable (att schedule) ▶ Less allowance for doubtful accounts ▶	0			
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments – U S and state government obligations (attach schedule)				
	b Investments – corporate stock (attach schedule) See Stmt 5	4,612,629	4,603,419	5,877,678	
	c Investments – corporate bonds (attach schedule)				
	11 Investments – land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶ Stmt 6	237,410	237,410	273,800	
	12 Investments – mortgage loans				
	13 Investments – other (attach schedule) See Statement 7	-38,654	-35,327		
	14 Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶				
15 Other assets (describe ▶)					
16 Total assets (to be completed by all filers – see the instructions Also, see page 1, item I)	4,895,845	4,929,704	6,275,680		
Liabilities	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable (attach schedule)				
	22 Other liabilities (describe ▶)				
	23 Total liabilities (add lines 17 through 22)	0	0		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here and complete lines 24, 25, 29, and 30. ▶ <input type="checkbox"/>				
	24 Net assets without donor restrictions				
	25 Net assets with donor restrictions				
	Foundations that do not follow FASB ASC 958, check here and complete lines 26 through 30. ▶ <input checked="" type="checkbox"/>				
	26 Capital stock, trust principal, or current funds				
	27 Paid-in or capital surplus, or land, bldg, and equipment fund				
	28 Retained earnings, accumulated income, endowment, or other funds	4,895,845	4,929,704		
	29 Total net assets or fund balances (see instructions)	4,895,845	4,929,704		
	30 Total liabilities and net assets/fund balances (see instructions)	4,895,845	4,929,704		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	4,895,845
2 Enter amount from Part I, line 27a	2	33,859
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	4,929,704
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 29	6	4,929,704

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES	P	Various	Various
b PUBLICLY TRADED SECURITIES	P	Various	Various
c CAPITAL GAIN DISTRIBUTIONS			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 339,752		341,854	-2,102
b 1,309,605		873,693	435,912
c 3,070			3,070
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			-2,102
b			435,912
c			3,070
d			
e			

2 Capital gain net income or (net capital loss)

If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7

2

436,880

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in
Part I, line 8

3**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	358,780	7,270,291	0.049349
2017	328,354	7,236,872	0.045372
2016	319,482	6,640,170	0.048114
2015	370,766	6,773,813	0.054735
2014	352,098	7,264,192	0.048470

2 Total of line 1, column (d)**2**

0.246040

3 Average distribution ratio for the 5-year base period – divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years**3**

0.049208

4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5**4**

7,351,726

5 Multiply line 4 by line 3**5**

361,764

6 Enter 1% of net investment income (1% of Part I, line 27b)**6**

5,013

7 Add lines 5 and 6**7**

366,777

8 Enter qualifying distributions from Part XII, line 4**8**

465,461

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the
Part VI instructions

3

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	5,013
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	0
3	Add lines 1 and 2	3	5,013
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	5,013
6	Credits/Payments		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	3,772
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	3,772
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,241
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2020 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions <input type="checkbox"/> NC		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

2

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► JAMES G SULLIVAN 1100 CONFERENCE DR Located at ► GREENVILLE NC ZIP+4 ► 27858 Telephone no ► 252-756-8888		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – check here and enter the amount of tax-exempt interest received or accrued during the year ► 15		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ► N/A		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? N/A		
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? If "Yes," list the years ► 20 , 20 , 20 , 20	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions) N/A		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019) N/A		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

	Yes	No
5a During the year did the foundation pay or incur any amount to		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here	N/A	5b
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d)	N/A <input type="checkbox"/> Yes <input type="checkbox"/> No	
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870		6b X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 8				

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3



Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	7,003,656
b	Average of monthly cash balances	1b	186,225
c	Fair market value of all other assets (see instructions)	1c	273,800
d	Total (add lines 1a, b, and c)	1d	7,463,681
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	7,463,681
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	111,955
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,351,726
6	Minimum investment return. Enter 5% of line 5	6	367,586

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	367,586
2a	Tax on investment income for 2019 from Part VI, line 5	2a	5,013
b	Income tax for 2019 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	5,013
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	362,573
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	362,573
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	362,573

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	1a	465,461
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	465,461
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	5,013
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	460,448

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				362,573
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			351,439	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2019				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f Total of lines 3a through e				
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 465,461				
a Applied to 2018, but not more than line 2a			351,439	
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2019 distributable amount				114,022
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions				
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount – see instructions				
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				248,551
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
HOOVER MEMORIAL CHRISTIAN 1111 GREENVILLE BLVD GREENVILLE NC 27858	NONE	501c3	CHARITABLE PURPOSES	40,000
THE ECU FOUNDATION INC 2200 S CHARLES BLVD GREENVILLE NC 27858	NONE	501c3	CHARITABLE PURPOSES	60,000
GREENVILLE CHORAL SOCIETY PO BOX 1357 GREENVILLE NC 27835	NONE	501c3	CHARITABLE PURPOSES	5,000
BOYS & GIRLS CLUBS OF COASTAL PLAIN 621 WEST FIRE TOWER ROAD WINTERVILLE NC 28590	N/A	501c3	501c3 PURPOSES	25,000
FOOD BANK OF CENTRAL & EASTERN NC 1712 UNION STREET GREENVILLE NC 27834	N/A	501c3	501c3 PURPOSES	15,000
HOPE OF GLORY MINISTRIES 103 E ARLINGTON BLVD GREENVILLE NC 27858	N/A	501c3	501c3 PURPOSES	
THE LITTLE WILLIE CENTER 807 W 5TH STREET GREENVILLE NC 27834	N/A	501c3	501c3 PURPOSES	
GREENVILLE MUSEUM OF ART 802 SOUTH EVANS STREET GREENVILLE NC 27834	N/A	501c3	501c3 PURPOSES	
CARENET COUNSELING EAST 108 OAKMONT DRIVE GREENVILLE NC 27858	N/A	501c3	501c3 PURPOSES	
JESUS OTHERS & YOU INC 107 LOUIS STREET GREENVILLE NC 27858	N/A	501c3	501c3 PURPOSES	
Total			3a	402,000
b Approved for future payment N/A				
Total			3b	

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
EAST CAROLINA VILLAGE & FARM MUSEUM PO BOX 2740 GREENVILLE NC 27836-0740	N/A	501c3	501c3 PURPOSES	
GREENVILLE COMMUNITY SHELTERS 207 MANHATTAN AVENUE GREENVILLE NC 27834	N/A	501C3	501C3 PURPOSES	30,000
A TIME FOR SCIENCE 729 DICKINSON AVENUE GREENVILLE NC 27834	N/A	501C3	501C3 PURPOSES	10,000
OPERATION SUNSHINE PO BOX 3412 GREENVILLE NC 27836	N/A	501C3	501C3 PURPOSES	17,000
PITT COUNTY COUNCIL ON AGING 4551 COUNTY HOME ROAD GREENVILLE NC 27858	N/A	501C3	501C3 PURPOSES	200,000
Total			3a	
b Approved for future payment				
N/A				
Total			3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	161,497	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			14	3,070	433,810
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a					
b	WEST REALTY COMPANY			16	-173	
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)		0		164,394	433,810
13	Total. Add line 12, columns (b), (d), and (e)				13	598,204

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- a** Transfers from the reporting foundation to a noncharitable exempt organization of
- (1) Cash
- (2) Other assets
- b** Other transactions
- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising solicitations
- c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees
- d** If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

- b If "Yes," complete the following schedule**

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

BANK OF AMERICA BY:

May the IRS discuss this return with the preparer shown below?

See instructions ☐ Yes ☐ No

Signature of officer or trustee

Date _____

Title

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ if self-employed

JAMES G. SULLIVAN

06/22/20

Firm's name ► Sullivan, Shearin & Co

PTIN P00238015

Firm's address ► 1100 Conference Dr
Greenville, NC 27858

Firm's EIN ▶ 56-1350306

Phone no 252-756-8888

Federal Statements

6/22/2020 10:21 AM

Statement 1 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
WEST REALTY COMPANY	\$ -173	\$ -173	\$
Total	\$ -173	\$ -173	\$ 0

Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
SULLIVAN, SHEARIN & COMPANY	\$ 8,450	\$ 4,225	\$	\$ 4,225
Total	\$ 8,450	\$ 4,225	\$ 0	\$ 4,225

Statement 3 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
REAL ESTATE PROPERTY TAX	\$ 16	\$ 16	\$	\$
990-PF EXCISE TX - EST PMTS	2,015			
Total	\$ 2,031	\$ 16	\$ 0	\$ 0

Federal Statements

Statement 4 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Expenses	\$	\$	\$	\$
INSURANCE - DISTRIBUTION COMM	1,740			1,740
INSURANCE - REAL ESTATE	1,240	1,240		
MISCELLANEOUS FEES	31	31		
Total	<u>3,011</u>	<u>1,271</u>	<u>0</u>	<u>1,740</u>

Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
SEE SCHEDULE	\$ 4,612,629	\$ 4,603,419		\$ 5,877,678
Total	<u>\$ 4,612,629</u>	<u>\$ 4,603,419</u>		<u>\$ 5,877,678</u>

Statement 6 - Form 990-PF, Part II, Line 11 - Land, Building, and Equipment Investments

Description	Beginning Net Book	End Cost / Basis	End Accumulated Depreciation	Net FMV
LAND - STATESVILLE, NC	\$ 237,410	\$ 237,410	\$	\$ 273,800
Total	<u>\$ 237,410</u>	<u>\$ 237,410</u>	<u>0</u>	<u>\$ 273,800</u>

Federal Statements

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Statement 7 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
WEST REALTY CO PARTNERSHIP	\$ 38,029	\$ 41,356		\$ -
PINE RIDGE INVESTORS PARTNERSHIP	-76,683	-76,683		
Total	\$ -38,654	\$ -35,327		\$ 0

Statement 8 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc.

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
DANNY MCNALLY 502 BREMERTON DRIVE GREENVILLE NC 27858	DIRECTOR	0.50	4,920	0	0
JAMES G SULLIVAN 1100 CONFERENCE DR GREENVILLE NC 27858	CHAIRMAN	2.50	22,125	0	0
JAMES G SULLIVAN 1100 CONFERENCE DR GREENVILLE NC 27858	CO-TRUSTEE	4.30	39,054	0	0
DAVID EMSWILER ONE HANOVER SQUARE RALEIGH NC 27601	COMMITTEE	1.00	0	0	0
BANK OF AMERICA 4242 SIX FORKS ROAD 17TH FLOOR US TR RALEIGH NC 27601	CO-TRUSTEE	9.00	82,754	0	0

Federal Statements**Form 990-PF, Part XV, Line 2b - Application Format and Required Contents**Description

APPLICATION FORMS AVAILABLE AT ABOVE ADDRESS

Form 990-PF, Part XV, Line 2c - Submission DeadlinesDescription

NONE

Form 990-PF, Part XV, Line 2d - Award Restrictions or LimitationsDescription

501(C)(3) CHARITIES

TR UMW VIVIAN S WEST - WEST MEMORIAL FUND
EIN 58-6520255

FORM 990-PF PART II LINE 10
YEAR ENDED 3-31-2020

UNITS	DESCRIPTION	MARKET VALUE	BOOK VALUE
<u>CORPORATE STOCK</u>			
63	ABBOTT LABS	4,971 33	5,194 72
14	ABIOMED INC	2,032 24	2,647 89
300	ACCENTURE PLC	48,978 00	22,404 68
69	ACCENTURE PLC	9,795 60	9,443 51
149	ACI WORLDWIDE INC	3,598 35	3,662 20
80	ACTIVISION BLIZZARD INC	4,758 40	3,741 56
31	ADOBE INC	9,865 44	7,952 30
44	ADVANCED ENERGY INDS	2,133 56	1,487 80
5	ADYEN N V	4,227 71	3,808 77
767	AIA GROUP LTD	6,941 78	7,392 82
200	AMMUNE THERAPEUTICS INC	2,884 00	4,356 19
33	AIRBUS SE	2,148 66	4,197 07
15	ALCON INC	762 30	641 60
65	ALEXION PHARMACEUTICALS INC	5,836 35	7,581 05
48	ALIBABA GROUP HLDG LTD	9,335 04	8,364 62
54	ALIBABA GROUP HLDG LTD	10,501 92	10,616 75
28	ALIGN TECHNOLOGY INC	4,870 60	6,124 42
148	ALLSTATE CORP	13,578 04	15,372 14
100	ALPHABET INC CLASS C	116,281 00	60,964 25
60	ALPHABET INC CLASS A	69,717 00	32,977 24
40	AMAZON COM INC	77,988 80	8,382 15
6	AMAZON COM INC	11,698 32	10,268 29
59	AMDOCS LTD	3,243 23	4,000 19
18	AMEDISYS INC	3,303 72	2,493 93
101	AMEREN CORP	7,355 83	6,030 63
104	AMERICAN ELEC PWR INC	8,317 92	5,252 53
700	AMERICAN EXPRESS CO	59,927 00	28,183 54
236	AMKOR TECHNOLOGY INC	1,838 44	2,249 16
249	ANGLO AMERICAN PLC	4,372 48	6,580 54
175	ANHEUSER BUSCH INBEV	7,721 00	17,433 05
21	AON PLC	3,465 84	2,976 47
125	APERGY CORP	718 75	4,536 11
44	APOLLO COML REAL ESTATE FIN INC	326 48	220 40
273	APPLE HOSPITALITY REIT INC	2,503 41	2,693 39
375	APPLE INC	95,358 75	37,184 22
86	APPLE INC	21,868 94	6,466 72
50	APPLIED INDL TECHNOLOGIES INC	2,286 00	2,787 51
128	APPLIED MATLS INC	5,864 96	6,043 92
16	ARGENX SE	2,107 68	2,516 34
171	ARROWHEAD PHARMACEUTICALS INC	4,919 67	2,241 61
34	ASML HOLDINGS NV	8,895 76	6,197 77
113	ASTRAZENCA PLC	5,046 58	4,651 83
26	ATLASSIAN CORP PLC	3,568 76	3,701 93
20	ATLASSIAN CORP PLC	2,745 20	1,436 79
256	AT&T INC	7,462 40	8,528 83
67	AUTOMATIC DATA PROCESSING INC	9,157 56	3,924 05
25	AVALONBAY CMNTYS INC	3,679 25	5,689 59
20	AVNET INC	502 00	496 90
76	BANCORPSOUTH BK	1,437 92	2,279 87
36	BANK HAWAII CORP	1,988 64	2,807 97
179	BAUSCH HEALTH COS INC	2,774 50	5,234 77
85	BAXTER INTL INC	6,901 15	5,696 43
133	BP PLC	3,243 87	5,556 13
24	BEIGENE LTD	2,954 64	4,124 43
29	BELDEN INC	1,046 32	1,696 16
58	BERKLEY W R CORP	3,025 86	2,931 93
500	BERKSHIRE HATHAWAY INC	91,415 00	39,692 64
158	BERRY GLOBAL GROUP INC	5,326 18	6,986 84
118	BIOGEN IDEC INC	37,332 84	12,841 70
71	BIOMARIN PHARMACEUTICAL INC	5,999 50	5,656 95
36	BLACKLINE INC	1,893 96	2,223 34
150	BLACKROCK INC	65,995 50	49,107 95
19	BLACKROCK INC	8,359 43	4,283 94
20492	519 BLACKSTONE ALTERNATIVE MULTI-STRATEGY FUND	186,481 92	216,000 00
58	BLUEBIRD BIO INC	2,665 68	6,641 20
95	BLUCORA INC	1,144 75	2,033 44
178	BMC STK HLDGS INC	3,155 94	4,615 90
5	BOOKING HLDGS INC	6,726 60	10,210 48
4679	175 BOSTON PARTNERS LONG/SHORT RESH FUND	60,548 52	70,000 00
132	BOSTON SCIENTIFIC CORP	4,307 16	4,191 49
30	BRIGHT HORIZONS FAMILY SOLUTIONS	3,060 00	3,937 31
375	BRISTOL MYERS SQUIBB CO	20,902 50	16,476 14
50	BRISTOL MYERS SQUIBB CO	190 00	117 80
249	BRISTOL MYERS SQUIBB CO	13,879 26	13,537 82
158	BRISTOL MYERS SQUIBB CO	8,806 92	8,145 76
21	BROADCOM INC	4,979 10	5,948 56
58	BROADCOM INC	13,751 80	14,400 80
64	BROADRIDGE FINL SOLUTIONS INC	6,069 12	3,874 83
33	BROADRIDGE FINL SOLUTIONS INC	3,129 39	3,658 19
118	BRUKER CORP	4,231 48	4,801 02
5	CABLE ONE INC	8,220 05	3,772 87
9	CACI INTL INC	1,900 35	1,784 19
95	CANADIAN PAC RY LTD	20,861 05	12,720 03

TR UAW VIVIAN S WEST - WEST MEMORIAL FUND
EIN 56-6520255

FORM 990-PF PART II LINE 10
YEAR ENDED 3-31-2020

UNITS	DESCRIPTION	MARKET VALUE	BOOK VALUE
28	CAPGEMINI SA	2,365 67	3,253 84
13	CARLISLE COS INC	1,628 64	1,647 04
26	CARMAX INC	1,399 58	2,508 97
33	CATALENT INC	1,714 35	1,738 11
6	CHEMED CORP	2,599 20	2,565 48
750	CHEVRON CORP	54,345 00	26,595 90
241	CHEVRON CORP	17,462 86	27,160 20
121	CHUBB LTD	13,514 49	12,421 42
27	CHURCHILL DOWNS INC	2,779 65	2,507 59
19	CIMPRESS	1 010 80	2,769 82
596	CISCO SYS INC	23,428 76	19,799 93
405	CITIGROUP INC	17,058 60	17,050 97
74	CITY OFFICE REIT INC	535 02	724 21
68	CME GROUP INC	11,757 88	6,840 05
12241 376	CMG ULTRA SHORT TERM BOND FUND	108,581 01	110,049 98
105	CMS ENERGY CORP	6,168 75	2,884 33
400	COCA COLA CO	17,700 00	8,929 85
23	COGENT COMMUNICATIONS HLDGS INC	1,885 31	1,872 81
88	COGNEX CORP	3,715 36	4,504 17
7182 246	COHEN & STEERS PFD SECS & INCOME FUND	87,551 58	97,942 70
37	COLOPLAST A/S - B	5,367 63	3,642 99
0 838	COLUMBIA DIVIDEND INCOME FUND	16 70	8 22
32	COLUMBIA PPTY TR INC	400 00	391 75
0 558	COLUMBIA SELECT LARGE CAP GROWTH FUND	6 60	5 65
800	COMCAST CORP	27,504 00	18,565 76
536	COMCAST CORP	18,427 68	15,501 10
89	COMFORT SYS USA INC	3,252 95	3,847 28
94	COMMERCIAL METALS CO	1,484 26	1,596 39
25	COMTECH TELECOMMUNICATIONS	332 25	894 00
251	CONOCOPHILLIPS	7,730 80	17,102 92
10	COOPER COS INC	2,756 70	2,327 26
64	COPART INC	4,385 28	1,991 84
23	CORE MARK HLDG CO INC	657 11	703 69
13	CORELOGIC INC	397 02	610 84
13	COREENERGY INFRASTRUCTURE TR INC	238 94	607 02
32	CORNERSTONE BLDG BRANDS INC	145 92	191 84
108	CORTEVA INC	2,538 00	4,001 02
91	COSTAMARE INC	411 32	584 18
6	COSTAR GROUP INC	3,523 26	3,252 25
5	COSTAR GROUP INC	2,936 05	2,055 07
7	CRA INTL INC	233 87	303 66
48	CRANE CO	2,360 64	3,974 59
44	CROWN CASTLE INTL CORP	6,353 60	4,120 16
31	CROWN CASTLE INTL CORP	4,476 40	3,181 02
108	CSG SYS INTL INC	4,436 10	5,640 77
900	CSX CORP	51,570 00	15,435 16
37	CUMMINS INC	5,006 84	5,806 21
50	CVS HEALTH CORP	2,966 50	4,883 70
61	DAIFUKU CO LTD	3,870 59	3,127 59
56	DAIKIN KOGYO INDUSTRIES	6,831 74	6,161 82
125	DANAHER CORP	17,301 25	10 158 61
38	DASSAULT SYSTEMES	5,628 89	5,622 17
40	DECKERS OUTDOOR CORP	5,360 00	5,501 86
36	DEERE & CO	4,973 76	6,032 13
119	DELEK US HLDGS INC	1,875 44	3,833 28
36	DELUXE CORP	933 48	1,742 40
103	DESIGNER BRANDS INC	512 94	1,281 02
297	DEUTSCHE TELEKOM	3,849 98	4,946 90
153	DEUTSCHE WOHNEN AG	5,827 09	7,023 41
89	DIGITAL RLTY TR INC	12,362 99	9,628 56
750	DISNEY WALT CO	72,450 00	64,185 31
74	DISNEY WALT CO	7,148 40	7,884 25
92	DOLBY LABORATORIES INC	4,987 32	4,574 03
65	DOMINION ENERGY INC	4 692 35	4,119 26
108	DOW INC	3,157 92	7,813 38
116	DOW INC	3,391 84	6,150 75
8	DSP GROUP INC	107 20	96 73
38	DTE ENERGY CO	3,608 86	3,149 42
65	DUNKIN BRANDS GROUP INC	3,451 50	3,384 34
42	DUNKIN BRANDS GROUP INC	2,230 20	2 867 44
108	DUPONT DE NEMOURS INC	3,682 80	11,466 03
16	DYNEX CAP INC	167 04	176 49
80	EATON CORP PLC	6,215 20	7,594 72
14023 745	EATON VANCE FLTG RATE FUND	108,123 07	124,903 07
227	EBAY INC	6,823 62	4,498 95
200	ECOLAB INC	31,166 00	24,553 70
28	EDWARDS LIFESCIENCES CORP	5,281 36	4,281 25
54	EMCOR GROUP INC	3,311 28	3,860 75
73	EMCOR GROUP INC	4,476 36	4,608 67
113	ENTEGRIS INC	5,059 01	964 10
64	ENVESTNET INC	3,441 92	3,821 60
250	EOG RES INC	8 980 00	22,960 00
385	EON SE	3,988 27	4,643 70
117	ETSY INC	4,497 48	5,439 11
35	EVERBRIDGE INC	3,722 60	3,164 12

TR UWW VIVIAN S WEST - WEST MEMORIAL FUND
EIN 56-6520255

FORM 990-PF PART II LINE 10
YEAR ENDED 3-31-2020

UNITS	DESCRIPTION	MARKET VALUE	BOOK VALUE
91	EVERSOURCE ENERGY	7,117 11	4,935 49
189	EVERTEC INC	4,295 97	6,222 56
81	EXACT SCIENCES CORP	4,698 00	5,511 86
339	EXELIXIS INC	5,837 58	7,201 23
79	EXPERIAN GROUP LTD	2,204 01	2,433 62
500	EXXON MOBIL CORP	18,985 00	16,865 00
60	FACEBOOK INC	10,008 00	11,209 97
28	FANUC CORP	3,801 03	5,064 17
12	FARMERS NATL BANC CORP	139 56	178 38
10	FEDERAL AGRIC MTG CORP	556 30	788 78
30	FEDERATED INVS INC	571 50	1,085 04
116	FERROVIAL SA	2,783 64	3,512 19
83	FIBROGEN INC	2,884 25	4,380 42
19	FIDELITY NATL INFORMATION SVCS	2,311 16	2,038 35
19	FINANCIAL INSTNS INC	344 66	597 96
10	FIRST CTZNS BANCSHARES INC	3,328 70	4,558 73
218	FLEXTRONICS	1,825 75	2,782 94
446	FNB CORP	3,287 02	4,789 27
58	FORTINET INC	5,867 86	1,974 17
22	FRONTDOOR INC	765 16	849 91
32	FTI CONSULTING INC	3,832 64	3,544 38
352	FULTON FINL CORP	4,044 48	5,929 93
46	GENERAC HLDGS INC	4,285 82	3,740 61
74	GILDAN ACTIVEWEAR INC	944 24	2,149 97
500	GILEAD SCIENCES INC	37,380 00	17,067 78
78	GILEAD SCIENCES INC	5,831 28	5,584 68
1526	GLENCORE XSTRATA PLC	2,342 12	5,438 27
29	GLOBAL PMTS INC	4,182 67	3,353 02
54	GODADDY INC	3,083 94	3,848 22
200	GOLDMAN SACHS GROUP INC	30,918 00	39,817 96
98	GOLD RESOURCE CORP	269 50	358 19
112	GRACO INC	5,457 76	4,803 95
42	GUARDANT HEALTH INC	2,923 20	2,769 13
41	GUIDEWIRE SOFTWARE INC	3,251 71	2,262 01
15	HAMILTON LANE INC	829 65	921 00
109	HANCOCK HLDG CO	2,127 68	4,297 61
37	HEICO CORP	2,364 30	3,152 81
50	HELEN OF TROY LTD	7,201 50	7,780 63
212	HELIX ENERGY SOLUTIONS GROUP INC	347 68	2,211 85
36	HENRY JACK & ASSOC INC	5,588 64	4,751 63
40	HERSHEY CO	5,300 00	4,182 44
174	HOME DEPOT INC	32,487 54	8,044 29
102	HOME DEPOT INC	19,044 42	7,151 74
580	HONEYWELL INTL INC	77,598 20	15,993 10
116	HONEYWELL INTL INC	15,519 64	7,439 11
134	HORIZON THERAPEUTICS PUB LTD CO	3,969 08	3,584 58
104	IAA INC	3,115 84	3,286 48
26	IAC / INTERACTIVECORP	4,659 98	1,089 17
444	ICIC BK LTD	3,774 00	4,342 32
32	IDEXX LABS INC	7,751 68	6,973 97
26	ILLUMINA INC	7,101 12	7,519 57
314	IMMUNOMEDICS INC	4,232 72	5,522 16
48	INDUSTRIAL LOGISTICS PPTYS TR	841 92	1,041 56
158	INFINEON TECHNOLOGY	2,328 30	2,823 60
422	ING GROEP NV	2,173 30	5,302 27
0 945	INGERSOLL-RAND CO LTD	23 44	18 12
3	INPHI CORP	237 51	252 23
12	INSIGHT ENTERPRISES INC	505 56	645 15
29	INSPIRITY INC	1,081 70	3,714 44
41	INTEGER HLDGS CORP	2,577 26	3,786 85
18	INTEGRA LIFESCIENCES HLDGS CORP	804 06	1,109 49
331	INTEL CORP	17,913 72	9,996 11
125	INTERNATIONAL BUSINESS MACHS	13,866 25	10,447 07
151	INTERNATIONAL BUSINESS MACHS	16,750 43	21,586 67
20	INTUIT	4,600 00	5,346 13
25	IQVIA HLDGS INC	2,696 50	2,588 61
49	IRHYTHM TECHNOLOGIES INC	3,986 15	3,747 96
1775	ISHARES CORE MSCI EAFE ETF	88,554 75	106,011 88
208	ISHARES EDGE MSCI MIN VOL EAFE ETF	12,906 40	14,917 24
1000	ISHARES RUSSELL 2000 ETF	114,460 00	68,653 00
500	ISHARES TR S&P GLOBAL INFO TECHNOLOGY	91,180 00	30,072 17
80	J2 GLOBAL INC	5,988 00	7,124 22
135	JABIL INC	3,318 30	4,219 29
50	JAMES RIVER GROUP HLDGS LTD	1,812 00	2,185 35
0 589	JANUS ENTERPRISE FUND	63 75	47 96
5414 528	JOHN HANCOCK FDS III DISCIPLINED VALUE MID CAP FUND	84,358 35	100,000 00
10	JOHNSON OUTDOORS INC	627 00	619 17
725	JOHNSON & JOHNSON	95,069 25	26,490 00
242	JOHNSON & JOHNSON	31,733 46	21,738 10
25893 836	JPMORGAN STRATEGIC INCOME OPPTYS FUND	284,571 06	297,000 00
325	J P MORGAN CHASE & CO	29,259 75	20,168 94
172	K12 INC	3,243 92	3,117 61
300	KANSAS CITY SOUTHERN	38,154 00	16,311 41
67	KBC GROEP NV	3,089 13	4,864 88
105	KEMPER CORP	7,808 85	8,124 23

TR UAW VIVIAN S WEST - WEST MEMORIAL FUND
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FORM 990-PF PART II LINE 10
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UNITS	DESCRIPTION	MARKET VALUE	BOOK VALUE
22	KEYENCE CORP	7,097 96	6,422 62
24	KFORCE INC	613 68	826 99
79	KIMBERLY CLARK CORP	10,101 73	7,572 59
49	KION GROUP AG	2,120 50	2,978 43
79	KLA-TENCOR CORP	11,355 46	8,170 15
26	KLA-TENCOR CORP	3,737 24	3,026 32
150	KRAFT HEINZ CORP	3,711 00	5,699 26
51	LAKELAND FINL CORP	1,874 25	2,287 28
41	LAMAR ADVERTISING CO	2,102 48	2,913 15
56	LAM RESEARCH CORP	13,440 00	8,981 73
9	LAM RESEARCH CORP	2,160 00	1,635 54
49	LANDSTAR SYS INC	4,697 14	5,500 31
41	LANTHEUS HLDGS INC	523 16	1,066 00
94	LIBERTY FORMULA ONE	2,559 62	3,368 80
98	LILLY ELI & CO	13,594 56	8,995 67
83	LIVE NATION ENTERTAINMENT INC	3,773 18	3,381 91
51	LPL FINL HLDGS INC	2,775 93	3,588 42
125	LOCKHEED MARTIN CORP	42,368 75	17,724 37
69	LOCKHEED MARTIN CORP	23,387 55	17,336 31
24	LONDON STOCK EXCHANGE GROUP PLC	2,165 85	2,098 68
8	LONZA GROUP AG	3,325 51	2,313 41
8	LVMH MOET HENNESSY	2,970 92	2,712 24
37	MACQUARIE GROUP LTD	1,941 88	3,390 54
22	MARCUS & MILICHAP INC	596 20	818 30
27	MARINEMAXX INC	281 34	563 18
153	MARSH & MCLENNAN COS INC	13,228 38	6,985 51
22	MASONITE INTL CORP	1,043 90	1,272 97
184	MASTEC INC	6,022 32	10,709 31
86	MAXIM INTEGRATED PRODS INC	4,180 46	4,592 33
42	MCDONALDS CORP	6,944 70	2,412 12
75	MEDPACE HLDGS INC	5,503 50	6,302 39
150	MEDTRONIC PLC	13,527 00	13,195 64
138	MEDTRONIC PLC	12,444 84	12,129 98
5	MERCODOLIBIRE INC	2,442 90	2,951 96
31	MERCK KGAA	3,184 46	3,388 40
349	MERCK & CO INC	26,852 06	17,769 77
30	MERITOR INC	397 50	571 51
49	MICROCHIP TECHNOLOGY INC	3,322 20	4,817 59
600	MICROSOFT CORP	94,626 00	15,506 25
208	MICROSOFT CORP	32,803 68	5,565 23
36	MOLINA HEALTHCARE INC	5,029 56	4,878 52
500	MONDELEZ INTL INC	25,040 00	12,794 62
136	MONDELEZ INTL INC	6,810 88	5,998 95
24	MONMOUTH REAL ESTATE INVT CORP	289 20	283 18
28	MSCI INC	8,090 88	4,831 19
7	MSCI INC	2,022 72	1,207 80
39	MURPHY USA INC	3,290 04	3,395 14
60	NATIONAL INSTRS CORP	1,984 80	2,570 85
112	NESTLE	11,511 96	10,263 34
150	NESTLE	15,417 75	9,537 96
18	NEUROCRINE BIOSCIENCES INC	1,557 90	1,784 30
69	NEW ORIENTAL ED & TECHNOLOGY	7,468 56	5,530 16
159	NEW YORK TIMES CO	4,882 89	5,165 83
36	NEXTERA ENERGY INC	8,662 32	2,392 19
32	NICE LTD	4,593 92	3,460 32
17	NIDEC CORP	1,856 50	2,060 33
400	NIKE INC	33,096 00	6,660 80
113	NIKE INC	9,349 62	8,683 63
36	NORDSON CORP	4,862 52	1,890 74
67	NORTHERN TR CORP	5,055 82	7,019 01
21	NORTHROP GRUMMAN CORP	6,353 55	7,265 28
75	NOVARTIS A G	6,183 75	4,610 30
50	NOVOCURE LTD	3,367 00	4,342 21
150	NOVO-NORDISK	9,030 00	4,704 26
41	NVIDIA CORP	10,807 60	8,263 95
300	OCCIDENTAL PETE CORP	3,474 00	24,350 07
34	OFFICE PPTYS INCOME TR	926 50	851 14
34	OKTA INC	4,156 84	4,175 96
20	ONE GAS INC	1,672 40	1,405 77
185	ON SEMICONDUCTOR CORP	2,301 40	4,326 58
11	OTTER TAIL CORP	489 06	436 37
77	OUTFRONT MEDIA INC	1,037 96	2,131 58
26	PACKAGING CORP	2,257 58	2,802 70
41	PARKER HANNIFIN CORP	5,318 93	3,618 51
12	PARTNERS GROUP NPV	8,304 69	8,552 52
16	PAYCOM SOFTWARE INC	3,232 16	4,043 69
300	PAYPAL HOLDINGS INC	28,722 00	9,767 12
80	PAYPAL HOLDINGS INC	7,659 20	7,062 13
14	PEAPACK-GLADSTONE FINL CORP	251 30	247 78
475	PEPSICO INC	57,047 50	16,832 30
115	PEPSICO INC	13,811 50	11,403 73
53	PERSPECTA INC	966 72	1,316 03
35	PERKINELMER INC	2,634 80	2,800 87
139	PHILIP MORRIS INTL INC	10,141 44	5,507 20
150	PHILLIPS 66	8,047 50	8,306 88

TR U/W VIVIAN S WEST - WEST MEMORIAL FUND
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FORM 990-PF PART II LINE 10
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UNITS	DESCRIPTION	MARKET VALUE	BOOK VALUE
87	PIEDMONT OFFICE RLTY TR INC	1,536 42	1,366 34
16608 226	PIMCO INCOME FUND	182,026 16	198,769 75
756	PING AN INSURANCE GROUP CO	7,427 44	7,619 64
122	PNC FINL SVCS GROUP INC	11,677 84	10,844 95
33	POLYONE CORP	626 01	604 41
141	PORSCHE AG	5,984 27	10,083 53
44	PORTLAND GEN ELEC CO	2,109 36	2,180 47
212	PORTOLA PHARMACEUTICALS INC	1,511 56	5,352 95
40	POWER INTEGRATIONS INC	3,533 20	2,536 29
20	PRA HEALTH SCIENCES INC	1,660 80	1,609 03
74	PRICE T ROWE GROUP INC	7,226 10	4,725 56
17	PRIMERICA INC	1,504 16	2,141 00
19554 209	PRINCIPAL PFD SECS FUND	176,378 97	200,000 00
170	PROCTER & GAMBLE CO	18,700 00	14,162 71
44	PROGRESS SOFTWARE CORP	1,408 00	1 778 62
55	PROSUS NV	3,811 02	4,295 90
1350	QUALCOMM INC	91,327 50	29,111 00
64	QUALYS INC	5,567 36	5,832 15
44	QUANTA SVCS INC	1,396 12	1,679 70
212	QURATE RETAIL INC QVC GROUP	1,294 26	2,070 48
21	RAVEN INDS INC	445 83	728 23
104	RECKITT BENCKISER GROUP PLC	7,929 43	7,990 33
40	REINSURANCE GROUP AMER INC	3,365 60	5,031 95
64	RENT A CTR INC	904 96	1,776 40
109	REXNORD CORP	2,471 03	3,200 38
76	RITCHIE BROS AUCTIONEERS INC	2,597 68	2,716 76
7	RMR GROUP INC	188 79	325 78
147	ROCHE HLDG LTD	5,964 23	5,175 91
750	ROCHE HLDG LTD	30,429 75	23,654 33
24	ROKU INC	2,099 52	3,544 63
30	RUTHS HOSPITALITY GROUP INC	200 40	594 15
24	RYANIAR HLDGS PLC	1,274 16	2,582 48
22	SAFRAN SA	1,934 54	2,904 31
60	SALESFORCE COM INC	8,638 80	8,324 00
106	SANMINA CORP	2,891 68	3,157 90
40	SAREPTA THERAPEUTICS INC	3,912 80	4,858 68
200	SCHLUMBERGER LTD	2,698 00	14,313 07
65	SEALED AIR CORP	1,606 15	2,799 18
141	SEAWORLD ENTMT INC	1,553 82	4,277 64
54	SELECT MED HLDGS CORP	810 00	924 60
72	SEMTECH CORP	2,700 00	3,947 79
79	SENSATA TECHNOLOGIES HLDG PLC	2,285 47	4,282 72
44	SERVICE CORP INTL	1,720 84	2,186 63
49	SERVICEMASTER GLOBAL HLDGS INC	1,323 00	1,936 85
33	SERVICENOW INC	9,457 14	5,968 37
7	SHERWIN WILLIAMS CO	3,216 64	3,155 20
5	SHOPIFY INC	2,084 65	1,782 56
44	SIMPLY GOOD FOODS CO	847 44	1,162 99
41	SINCLAIR BROADCAST GROUP INC	659 28	1,837 47
24	SLEEP NUMBER CORP	459 84	1,227 20
87	SMITH A O	3,289 47	4,574 90
122	SOFTBANK GROUP CORP	4,280 82	5,593 14
102	SONOCO PRODS CO	4,727 70	5,259 69
36	SOUTHWEST AIRLS CO	1 281 96	1 945 26
16	SOUTHWEST GAS HLDGS INC	1,112 96	973 93
23	SP PLUS CORP	477 25	859 42
37	SPLUNK INC	4,670 51	1,878 94
53	SPLUNK INC	6,690 19	6,242 34
103	SQUARE INC	5,395 14	7,427 49
82	SS&C TECHNOLOGIES HLDGS INC	3,593 24	4,132 30
600	STARBUCKS CORP	39,444 00	17,084 55
46	STERIS PLC	6,438 62	5,640 59
28	STERIS PLC	3,919 16	3,018 38
99	SUNCOR ENERGY INC	1,564 20	3,404 11
190	SUNNY OPTICAL TECH GROUP CO LTD	2,559 19	2,548 02
200	SUNSTONE HOTEL INVS INC	1,742 00	1,691 78
55	SUPERNUS PHARMACEUTICALS INC	989 45	1,581 92
27	SYNNEX CORP	1,973 70	3,287 36
98	TARGET CORP	9,111 06	9,620 26
0 63	TCW SELECT EQUITIES FUND	15 16	15 18
83	TD AMERITRADE HLDG CORP	2,876 78	4,630 84
37	TECH DATA CORP	4,841 45	4,164 09
43	TE CONNECTIVITY LTD	2,708 14	4,192 38
41	TELADOC HEALTH INC	6,355 41	2,560 86
9	TELEDYNE TECHNOLOGIES INC	2,675 43	3,120 58
9	TELEFLEX INC	2,635 74	2,556 99
196	TENCENT HOLDINGS LTD	9,614 26	8,606 59
74	TEXAS INSTRS INC	7,394 82	2,701 07
151	TEXAS INSTRS INC	15,089 43	7,579 96
43	THALES SA	3,603 74	5,250 55
350	THERMO FISHER SCIENTIFIC CORP	99,260 00	17,091 26
300	3M CO	40 953 00	24 721 57
112	TJX COS INC	5,354 72	4,659 31
14	TOPBUILD CORP	1,002 96	1,557 97
141	TORONTO DOMINION BK	5,976 99	8 154 36

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FORM 990-PF PART II LINE 10
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UNITS	DESCRIPTION	MARKET VALUE	BOOK VALUE
151	TOTAL SA	5,623 24	8,532 80
23	TOWNEBANK	416 07	651 25
58	TRACTOR SUPPLY CO	4,903 90	4,366 97
129	TRANE TECH PUB LTD CO	10,654 11	9 250 84
7	TREX INC	580 98	608 53
130	TRIP COM GROUP LTD	3 048 50	5,289 37
68	TRISTATE CAP HLDGS INC	657 56	1,484 74
332	TRUIST FINL CORP	10,238 88	15,329 66
133	UNILEVER PLC	6,720 22	7,305 29
1148	UNION PAC CORP	161,913 92	41,657 40
148	UNION PAC CORP	20,873 92	18,522 42
48	UNITEDHEALTH GROUP INC	11,970 24	9,717 53
31	UNITEDHEALTH GROUP INC	7,730 78	7,718 20
76	UNITED PARCEL SVC INC	7,099 92	8,268 37
690	UNITED TECHNOLOGIES CORP	65,087 70	23,884 35
5	UNITIL CORP	261 60	307 53
18	UNIVERSAL DISPLAY CORP	2,372 04	2,714 00
362	US BANCORP	12,470 90	15,045 81
118	VALERO ENERGY CORP	5,352 48	9,361 01
18	VARIAN MED SYS INC	1,847 88	2,221 26
32	VEEVA SYS INC	5,003 84	847 12
93	VERACYTE INC	2,260 83	2,285 56
34	VERISK ANALYTICS INC	4,738 92	3,834 01
300	VERIZON COMMUNICATIONS INC	16,119 00	8,450 82
239	VERIZON COMMUNICATIONS INC	12,841 47	14,290 28
450	VIACOMCBS INC	6,304 50	19,446 86
160	VIKING THERAPEUTICS INC	748 80	2,257 88
700	VISA INC	112,784 00	25,360 45
43	VISA INC	6,928 16	5,816 13
11	VISHAY PRECISION GROUP INC	220 88	396 89
18	VISTEON CORP	863 64	1,334 31
146	VIVENDI SA	3,127 88	4,014 87
106	VONOVIA SE	5,217 60	5,675 07
42	WABTEC CORP	2,021 46	3,056 34
101	WALMART INC	11,475 62	7,598 69
40	WASHINGTON FED INC	1,038 40	1,334 40
109	WASTE MGMT INC	10,089 04	5,817 63
11	WATERS CORP	2,002 55	2,281 63
103	WEC ENERGY GROUP INC	9,077 39	5,211 74
373	WELLS FARGO & CO	10,705 10	14,513 05
58	WESCO INTL INC	1,325 30	2,747 67
105	WESTERN ALLIANCE BANCORP	3,214 05	5,176 72
20	WEX INC	2,091 00	3,804 12
27	WOODWARD INC	1,604 88	3,468 05
21	WORTHINGTON INDS INC	551 25	831 52
203	WPX ENERGY INC	619 15	3,299 35
329	W&T OFFSHORE INC	559 30	1 543 22
134	XCEL ENERGY INC	8,080 20	6,611 13
128	XENIA HOTELS & RESORTS INC	1,318 40	2,419 62
21	XILINX INC	1,636 74	1,493 14
29	ZENDESK INC	1,856 29	2,295 48
17	ZURICH INSURANCE GROUP AG	6,033 59	5,718 85
703	ZYNGA INC	4,815 55	4,145 54
		<u>5,877,678 29</u>	<u>4,603,419 12</u>