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Form **990-PF** Department of the Treasury Internal Revenue Service

# Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

2003

OMB No 1545-0047 **2019** Open to Public Inspection

For calendar year 2019 or tax year beginning APR 1, 2019 , and ending MAR 31, 2020

| | |
|---|---|
| Name of foundation: FLORENCE ROGERS CHARITABLE TRUST | A Employer identification number: 56-6074515 |
| Number and street (or P O box number if mail is not delivered to street address): P.O. BOX 36006 Room/suite | B Telephone number: 910-484-2033 |
| City or town, state or province, country, and ZIP or foreign postal code: FAYETTEVILLE, NC 28303 | C If exemption application is pending, check here ▶ ☐ 6 |
| G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change | D 1. Foreign organizations, check here ▶ ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐ |
| 03/04 H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation 04 | E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐ |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 5,015,185. J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d), must be on cash basis.) | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐ |

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

| | Line | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc., received | | | N/A | |
| | 2 Check ▶ [X] if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | 4. | 4. | | STATEMENT 1 |
| | 4 Dividends and interest from securities | 111,043. | 111,043. | | STATEMENT 2 |
| | 5a Gross rents | 173,801. | 173,801. | | STATEMENT 3 |
| | b Net rental income or (loss) 71,351. | | | | STATEMENT 4 |
| | 6a Net gain or (loss) from sale of assets not on line 10 | <22,158.> | | | |
| | b Gross sales price for all assets on line 6a 1,811,014. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 0. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) | | | | |
| | 11 Other income | 86. | 86. | | STATEMENT 5 |
| | 12 **Total.** Add lines 1 through 11 | 262,776. | 284,934. | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | 40,000. | 20,000. | | 20,000. |
| | 14 Other employee salaries and wages | 38,063. | 19,031. | | 19,032. |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees | | | | |
| | b Accounting fees STMT 6 | 18,000. | 4,500. | | 13,500. |
| | c Other professional fees | | | | |
| | 17 Interest | | | | |
| | 18 Taxes STMT 7 | 33,856. | 30,382. | | 1,456. |
| | 19 Depreciation and depletion | 33,873. | 32,672. | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses STMT 8 | 90,353. | 85,051. | | 5,302. |
| | 24 **Total operating and administrative expenses** Add lines 13 through 23 | 254,145. | 191,636. | | 59,290. |
| | 25 Contributions, gifts, grants paid | 214,542. | | | 214,542. |
| | 26 **Total expenses and disbursements** Add lines 24 and 25 | 468,687. | 191,636. | | 273,832. |
| | 27 Subtract line 26 from line 12: | | | | |
| | a Excess of revenue over expenses and disbursements | <205,911.> | | | |
| | b **Net investment income** (if negative, enter -0-) | | 93,298. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | N/A | |

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| Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only | | Beginning of year | End of year | |
|---|---|---|---|---|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash - non-interest-bearing | 250. | 250. | 250. |
| | 2 Savings and temporary cash investments | 60,841. | 46,693. | 46,693. |
| | 3 Accounts receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | 2,660. | 2,020. | 2,020. |
| | 10a Investments - U.S. and state government obligations STMT 9 | 17,990. | 14,025. | 14,727. |
| | b Investments - corporate stock STMT 10 | 395,597. | 420,889. | 591,416. |
| | c Investments - corporate bonds STMT 11 | 197,675. | 169,915. | 146,374. |
| | 11 Investments - land, buildings, and equipment: basis ▶ 1,640,771. | | | |
| | Less accumulated depreciation ▶ 816,710. | 824,851. | 824,061. | 1,813,900. |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other STMT 12 | 2,892,999. | 2,711,393. | 2,399,805. |
| | 14 Land, buildings, and equipment: basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 15 Other assets (describe ▶ ) | | | |
| | 16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I) | 4,392,863. | 4,189,246. | 5,015,185. |
| Liabilities | 17 Accounts payable and accrued expenses | 600. | 590. | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| | 22 Other liabilities (describe ▶ SECURITY DEPOSITS ) | 4,600. | 6,904. | |
| | 23 **Total liabilities** (add lines 17 through 22) | 5,200. | 7,494. | |
| Net Assets or Fund Balances | **Foundations that follow FASB ASC 958, check here** ▶ [X] **and complete lines 24, 25, 29, and 30.** | | | |
| | 24 Net assets without donor restrictions | 4,387,663. | 4,181,752. | |
| | 25 Net assets with donor restrictions | | | |
| | **Foundations that do not follow FASB ASC 958, check here** ▶ [ ] **and complete lines 26 through 30.** | | | |
| | 26 Capital stock, trust principal, or current funds | | | |
| | 27 Paid-in or capital surplus, or land, bldg., and equipment fund | | | |
| | 28 Retained earnings, accumulated income, endowment, or other funds | | | |
| | 29 **Total net assets or fund balances** | 4,387,663. | 4,181,752. | |
| | 30 **Total liabilities and net assets/fund balances** | 4,392,863. | 4,189,246. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) | 1 | 4,387,663. |
| 2 | Enter amount from Part I, line 27a | 2 | <205,911.> |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | 0. |
| 4 | Add lines 1, 2, and 3 | 4 | 4,181,752. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 0. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29 | 6 | 4,181,752. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| | (a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | VARIOUS - SEE ATTACHED | P | VARIOUS | VARIOUS |
| b | CAPITAL GAINS DIVIDENDS | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) ((e) plus (f) minus (g)) |
|---|---|---|---|---|
| a | 1,743,397. | | 1,833,172. | <89,775.> |
| b | 67,617. | | | 67,617. |
| c | | | | |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

| | (i) FMV as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---|---|---|---|---|
| a | | | | <89,775.> |
| b | | | | 67,617. |
| c | | | | |
| d | | | | |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } | 2 | <22,158.> |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 | 3 | N/A |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

*(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)*

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---|---|---|
| 2018 | 279,434. | 5,609,139. | .049818 |
| 2017 | 277,463. | 5,753,905. | .048222 |
| 2016 | 276,038. | 5,552,741. | .049712 |
| 2015 | 254,214. | 5,668,370. | .044848 |
| 2014 | 308,675. | 6,045,705. | .051057 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | .243657 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years | 3 | .048731 |
| 4 | Enter the net value of noncharitable-use assets for 2019 from Part X, line 5 | 4 | 5,541,928. |
| 5 | Multiply line 4 by line 3 | 5 | 270,064. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 933. |
| 7 | Add lines 5 and 6 | 7 | 270,997. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 273,832. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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**Part VI — Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

| | | | | |
|---|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions) | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b | | 1 | 933. |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b) | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) | | 2 | 0. |
| 3 | Add lines 1 and 2 | | 3 | 933. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) | | 4 | 0. |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | | 5 | 933. |
| 6 | Credits/Payments: | | | |
| a | 2019 estimated tax payments and 2018 overpayment credited to 2019 | 6a 2,020. | | |
| b | Exempt foreign organizations - tax withheld at source | 6b 0. | | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c 0. | | |
| d | Backup withholding erroneously withheld | 6d 0. | | |
| 7 | Total credits and payments. Add lines 6a through 6d | | 7 | 2,020. |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached | | 8 | 0. |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | 10 | 1,087. |
| 11 | Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ▶ 936. **Refunded** ▶ | | 11 | 151. |

**Part VII-A — Statements Regarding Activities**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition | 1b | | X |
| | If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | *Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:* (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0. | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0. | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ NC | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |

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## Part VII-A Statements Regarding Activities *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ CONNIE SESSOMS Telephone no. ▶ 910-484-2033

Located at ▶ 804 STAMPER RD, FAYETTEVILLE, NC ZIP+4 ▶ 28303

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the year, did the foundation (either directly or indirectly): | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions N/A | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| a | At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ____ , ____ , ____ , ____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____ , ____ , ____ , ____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.) N/A | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019? | 4b | | X |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

| | | | Yes | No |
|---|---|---|---|---|
| 5a During the year, did the foundation pay or incur any amount to: | | | | |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | ☐ Yes ☒ No | | | |
| (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? | ☐ Yes ☒ No | | | |
| (3) Provide a grant to an individual for travel, study, or other similar purposes? | ☐ Yes ☒ No | | | |
| (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions | ☐ Yes ☒ No | | | |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | ☐ Yes ☒ No | | | |
| b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions | N/A | 5b | | |
| Organizations relying on a current notice regarding disaster assistance, check here | ▶☐ | | | |
| c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A | ☐ Yes ☐ No | | | |
| If "Yes," attach the statement required by Regulations section 53.4945-5(d). | | | | |
| 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | ☐ Yes ☒ No | | | |
| b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | 6b | | X |
| If "Yes" to 6b, file Form 8870. | | | | |
| 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | ☐ Yes ☒ No | | | |
| b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | N/A | 7b | | |
| 8 Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year? | ☐ Yes ☒ No | | | |

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, and foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| JESSIE TALLY<br>PO BOX 36006<br>FAYETTEVILLE, NC 28303 | CO-TRUSTEE<br>10.00 | 20,000. | 0. | 0. |
| J. WILLIAM LAMBERT<br>PO BOX 36006<br>FAYETTEVILLE, NC 28303 | CO-TRUSTEE<br>10.00 | 20,000. | 0. | 0. |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services ▶ | | 0 |

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B Summary of Program-Related Investments**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments. See instructions. 3 | |
| **Total.** Add lines 1 through 3 ▶ | 0. |

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 3,712,031. |
| b | Average of monthly cash balances | 1b | 100,392. |
| c | Fair market value of all other assets | 1c | 1,813,900. |
| d | **Total** (add lines 1a, b, and c) | 1d | 5,626,323. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 5,626,323. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 84,395. |
| 5 | **Net value of noncharitable-use assets** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 5,541,928. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 277,096. |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part.)

| | | | |
|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | 1 | 277,096. |
| 2a | Tax on investment income for 2019 from Part VI, line 5 — 2a: 933. | | |
| b | Income tax for 2019. (This does not include the tax from Part VI.) — 2b: | | |
| c | Add lines 2a and 2b | 2c | 933. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 276,163. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0. |
| 5 | Add lines 3 and 4 | 5 | 276,163. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 276,163. |

**Part XII Qualifying Distributions** (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 273,832. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4 | 4 | 273,832. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 933. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 272,899. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** **Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2018 | (c) 2018 | (d) 2019 |
|---|---|---|---|---|
| 1 Distributable amount for 2019 from Part XI, line 7 | | | | 276,163. |
| 2 Undistributed income, if any, as of the end of 2019 | | | | |
| a Enter amount for 2018 only | | | 0. | |
| b Total for prior years: _____ , _____ , _____ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2019: | | | | |
| a From 2014 — 6,809. | | | | |
| b From 2015 | | | | |
| c From 2016 — 1,191. | | | | |
| d From 2017 | | | | |
| e From 2018 — 3,013. | | | | |
| f **Total** of lines 3a through e | 11,013. | | | |
| 4 Qualifying distributions for 2019 from Part XII, line 4: ▶ $ 273,832. | | | | |
| a Applied to 2018, but not more than line 2a | | | 0. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2019 distributable amount | | | | 273,832. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).) | 2,331. | | | 2,331. |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 8,682. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 | | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | 0. | | | |
| 8 Excess distributions carryover from 2014 not applied on line 5 or line 7 | 4,478. | | | |
| 9 **Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a | 4,204. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2015 | | | | |
| b Excess from 2016 — 1,191. | | | | |
| c Excess from 2017 | | | | |
| d Excess from 2018 — 3,013. | | | | |
| e Excess from 2019 | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2019 | (b) 2018 | (c) 2017 | (d) 2016 | (e) Total |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4, for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon:<br>a "Assets" alternative test - enter:<br>(1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed | | | | | |
| c "Support" alternative test - enter:<br>(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

SEE STATEMENT 13

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information** *(continued)*

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| SCHEDULE ATTACHED | | | | 214,542. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| **Total** | | | ▶ 3a | 214,542. |
| **b** *Approved for future payment* | | | | |
| NONE | | | | |
| | | | | |
| | | | | |
| **Total** | | | ▶ 3b | 0. |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 4. | |
| 4 Dividends and interest from securities | | | 14 | 111,043. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | 16 | 71,351. | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | 14 | 86. | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | <22,158.> | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0. | | 160,326. | 0. |

**13 Total.** Add line 12, columns (b), (d), and (e) 13 160,326.

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). |
|---|---|
| | |

**Part XVII** **Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations**

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 6-3-20 Title: co-trustee

May the IRS discuss this return with the preparer shown below? See instr ☒ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| TONYA R STRICKLAND | [signature] | 5/26/20 | | P00095788 |

Firm's name ▶ HAIGH, BYRD & LAMBERT, LLP — Firm's EIN ▶ 56-0587513

Firm's address ▶ PO BOX 53349
FAYETTEVILLE, NC 28305-3349 — Phone no. (910)483-1437

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE | (A) REVENUE PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|
| VARIOUS | 4. | 4. | |
| TOTAL TO PART I, LINE 3 | 4. | 4. | |

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | (A) REVENUE PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|---|---|
| VARIOUS | 178,660. | 67,617. | 111,043. | 111,043. | |
| TO PART I, LINE 4 | 178,660. | 67,617. | 111,043. | 111,043. | |

FORM 990-PF RENTAL INCOME STATEMENT 3

| KIND AND LOCATION OF PROPERTY | ACTIVITY NUMBER | GROSS RENTAL INCOME |
|---|---|---|
| VARIOUS | 1 | 173,801. |
| TOTAL TO FORM 990-PF, PART I, LINE 5A | | 173,801. |

FORM 990-PF RENTAL EXPENSES STATEMENT 4

| DESCRIPTION | ACTIVITY NUMBER | AMOUNT | TOTAL |
|---|---|---|---|
| DEPRECIATION | | 32,672. | |
| PROPERTY TAXES | | 26,033. | |
| UTILITIES | | 3,366. | |
| INSURANCE | | 9,881. | |
| ASSOCIATION DUES | | 9,000. | |
| MISCELLANEOUS | | 13,948. | |
| REPAIRS AND MAINTENANCE | | 7,550. | |

FLORENCE ROGERS CHARITABLE TRUST 56-6074515

| | | |
|---|---|---|
| - SUBTOTAL - | 1 | 102,450. |
| TOTAL RENTAL EXPENSES | | 102,450. |
| NET RENTAL INCOME TO FORM 990-PF, PART I, LINE 5B | | 71,351. |

FORM 990-PF OTHER INCOME STATEMENT 5

| DESCRIPTION | (A) REVENUE PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|
| MISCELLANEOUS | 86. | 86. | |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | 86. | 86. | |

FORM 990-PF ACCOUNTING FEES STATEMENT 6

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| ACCOUNTING FEES | 18,000. | 4,500. | | 13,500. |
| TO FORM 990-PF, PG 1, LN 16B | 18,000. | 4,500. | | 13,500. |

FORM 990-PF TAXES STATEMENT 7

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| CITY & COUNTY PROPERTY TAXES | 2,893. | 2,893. | | 0. |
| PAYROLL TAXES | 2,912. | 1,456. | | 1,456. |
| EXCISE TAX | 2,018. | 0. | | 0. |
| PROPERTY TAXES | 26,033. | 26,033. | | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 33,856. | 30,382. | | 1,456. |

| FORM 990-PF | OTHER EXPENSES | STATEMENT 8 |
|---|---|---|

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| DUES | 193. | 0. | | 193. |
| INSURANCE | 3,842. | 3,842. | | 0. |
| MISCELLANEOUS | 3,254. | 1,628. | | 1,626. |
| OFFICE EXPENSES | 810. | 405. | | 405. |
| TELEPHONE | 2,578. | 1,289. | | 1,289. |
| UTILITIES | 2,193. | 1,097. | | 1,096. |
| CLEANING | 910. | 455. | | 455. |
| INVESTMENT EXPENSES | 32,353. | 32,353. | | 0. |
| REPAIRS & MAINTENANCE | 475. | 237. | | 238. |
| UTILITIES | 3,366. | 3,366. | | 0. |
| INSURANCE | 9,881. | 9,881. | | 0. |
| ASSOCIATION DUES | 9,000. | 9,000. | | 0. |
| MISCELLANEOUS | 13,948. | 13,948. | | 0. |
| REPAIRS AND MAINTENANCE | 7,550. | 7,550. | | 0. |
| TO FORM 990-PF, PG 1, LN 23 | 90,353. | 85,051. | | 5,302. |

| FORM 990-PF | U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS | STATEMENT 9 |
|---|---|---|

| DESCRIPTION | U.S. GOV'T | OTHER GOV'T | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|---|
| MORGAN STANLEY - SCHEDULE ATTACHED | X | | 14,025. | 14,727. |
| TOTAL U.S. GOVERNMENT OBLIGATIONS | | | 14,025. | 14,727. |
| TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS | | | | |
| TOTAL TO FORM 990-PF, PART II, LINE 10A | | | 14,025. | 14,727. |

| FORM 990-PF | CORPORATE STOCK | STATEMENT 10 |
|---|---|---|

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| MERRILL LYNCH - SCHEDULE ATTACHED | 420,889. | 591,416. |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 420,889. | 591,416. |

| FORM 990-PF | CORPORATE BONDS | STATEMENT 11 |
|---|---|---|

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| MERRILL LYNCH - SCHEDULE ATTACHED | 169,915. | 146,374. |
| TOTAL TO FORM 990-PF, PART II, LINE 10C | 169,915. | 146,374. |

| FORM 990-PF | OTHER INVESTMENTS | STATEMENT 12 |
|---|---|---|

| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|
| MORGAN STANLEY - SCHEDULE ATTACHED | COST | 2,252,491. | 1,974,763. |
| MERRILL LYNCH - SCHEDULE ATTACHED | COST | 458,902. | 425,042. |
| TOTAL TO FORM 990-PF, PART II, LINE 13 | | 2,711,393. | 2,399,805. |

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 13
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

CONNIE SESSOMS AND/OR JOANN STANCIL, THE FLORENCE ROGERS CHARITABLE TRUST
PO BOX 36006
FAYETTEVILLE, NC 28303

TELEPHONE NUMBER

910-484-2033

FORM AND CONTENT OF APPLICATIONS

GRANT APPLICANTS SHOULD SUBMIT A COMPLETE STATEMENT ABOUT THE NECESSITY AND PURPOSE OF THE GRANT. A STATEMENT AS TO THE SIGNIFICANCE OF THE PROJECT AND WHAT IT IS EXPECTED TO ACCOMPLISH. A DETAILED BUDGET SHOWING HOW ALL FUNDS WILL BE SPENT. A STATEMENT THAT THE APPLICANT ORGANIZATION WILL FURNISH A REPORT AT THE COMPLETION OF THE PROJECT, SHOWING THAT ALL FUNDS WERE SPENT TO SATISFY THE PURPOSE OF THE GRANT.

ANY SUBMISSION DEADLINES

APPLICATION ACCEPTED ANYTIME. NOTICE OF APPROVAL, REJECTION, OR REQUESTS FOR INFO WITHIN 30 DAYS

RESTRICTIONS AND LIMITATIONS ON AWARDS

ALL REQUESTS, WHEREVER ORIGINATING OR PROPOSED TO BE ACHIEVED, WILL BE CONSIDERED ON THEIR MERITS; HOWEVER, THE TRUST PLACES PRIMARY EMPHASIS ON GRANT REQUESTS THAT MEET THE FOLLOWING TWO CRITERIA:

1) REQUESTS BY ORGANIZATIONS WITH OPERATIONS IN FAYETTEVILLE AND CUMBERLAND COUNTY, OR SOUTHEAST NORTH CAROLINA

2) REQUESTS FOR "SEED MONEY" TO TRY NEW IDEAS (THE TRUST PREFERS NOT TO BECOME INVOLVED WITH ESTABLISHED PROGRAMS IN SEARCH OF NEW REVENUES).

# YOUR EMA ASSETS

February 29, 2020 - March 31, 2020

| **CASH/MONEY ACCOUNTS** Description | Quantity | Total Cost Basis | Estimated Market Price | Estimated Market Value | Estimated Annual Income | Est. Annual Yield% |
|---|---|---|---|---|---|---|
| CASH | 919.22 | 919.22 | | **919.22** | | |
| +ML BANK DEPOSIT PROGRAM<br>+FDIC INSURED NOT SIPC COVERED | 3,132.00 | 3,132.00 | 1.0000 | **3,132.00** | **3** | .09 |
| +PREFERRED DEPOSIT<br>+FDIC INSURED NOT SIPC COVERED | 28,473.00 | 28,473.00 | 1.0000 | **28,473.00** | **168** | .59 |
| **TOTAL** | | 32,524.22 | | **32,524.22** | **171** | .54 |

| **PREFERRED STOCKS** Description | Acquired | Quantity | Adjusted/Total Cost Basis | Estimated Market Price | Estimated Market Value | Unrealized Gain/(Loss) | Estimated Accrued Interest | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| θ AMERICAN FINCL GROUP INC<br>*SUB DEB 5.125% DUE 2059*<br>*MOODY'S: BAA2 S&P: BBB- CUSIP: 025932880*<br>*ORIGINAL UNIT/TOTAL COST: 25.0000/25,000.00*<br>*CURRENT YIELD 5.79%* | 11/21/19 | 1,000.0000 | 25,000.00 | 22.1200 | **22,120.00** | (2,880.00) | | **1,281** |
| BANK OF NEW YORK MELLON<br>*SERIES C NON-CUM PFD STK 5.20% PERPETUAL*<br>*MOODY'S: BAA1 S&P: BBB CUSIP: 064058209*<br>*CURRENT YIELD 5.35%* | 09/12/12 | 1,000.0000 | 25,000.00 | 24.2600 | **24,260.00** | (740.00) | | **1,300** |
| θ PITNEY BOWES INC<br>*SENIOR NOTES 6.70% DUE 3/07/2043*<br>*MOODY'S: BA3 S&P: BB CUSIP: 724479506*<br>*ORIGINAL UNIT/TOTAL COST: 25.0000/25,000.00*<br>*CURRENT YIELD 18.50%* | 02/26/13 | 1,000.0000 | 25,000.00 | 9.0500 | **9,050.00** | (15,950.00) | | **1,675** |
| θ PRUDENTIAL FINANCIAL INC<br>*JUNIOR SUB NOTES 5.70% DUE 3/15/2053*<br>*MOODY'S: BAA1 S&P: BBB+ CUSIP: 744320706*<br>*ORIGINAL UNIT/TOTAL COST: 25.0000/25,000.00*<br>*CURRENT YIELD 5.86%* | 03/07/13 | 1,000.0000 | 25,000.00 | 24.3000 | **24,300.00** | (700.00) | | **1,425** |

Schedules 9.10.11.12 Attachment

MERRILL
A BANK OF AMERICA COMPANY

THE FLORENCE ROGERS CHARITABLE

Account Number: 790-02079

# YOUR EMA ASSETS

February 29, 2020 - March 31, 2020

| **PREFERRED STOCKS** (continued) Description | Acquired | Quantity | Adjusted/Total Cost Basis | Estimated Market Price | Estimated Market Value | Unrealized Gain/(Loss) | Estimated Accrued Interest | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| PUBLIC STORAGE | 10/07/16 | 2,000.0000 | 50,000.00 | 23.4700 | **46,940.00** | (3,060.00) | | **2,450** |
| *CUM PREFERRED SHARES 4.90% SERIES E PERPETUAL* | | | | | | | | |
| *MOODY'S: A3 S&P. BBB+ CUSIP: 74460W719* | | | | | | | | |
| *CURRENT YIELD 5.21%* | | | | | | | | |
| WELLS FARGO & COMPANY | 05/16/18 | 800.0000 | 19,914.56 | 24.6300 | **19,704.00** | (210.56) | | **1,125** |
| *CLASS A PFD STK,SERIES Y 5.625% NON-CUM PERPETUAL* | | | | | | | | |
| *MOODY'S: BAA2 S&P: BBB- CUSIP: 94988U656* | | | | | | | | |
| *CURRENT YIELD 5.70%* | | | | | | | | |
| **TOTAL** YIELD 6.32% | | 6,800.0000 | 169,914.56 | | **146,374.00** | (23,540.56) | | **9,256** |

**PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.**

| **EQUITIES** Description | Symbol | Acquired | Quantity | Unit Cost Basis | Total Cost Basis | Estimated Market Price | Estimated Market Value | Unrealized Gain/(Loss) | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|---|
| ↓ AMER EXPRESS COMPANY | AXP | 10/28/14 | 300.0000 | 87.3199 | 26,195.97 | 85.6100 | **25,683.00** | (512.97) | **516** |
| *CURRENT YIELD 2.00%* | | | | | | | | | |
| BAXTER INTERNTL INC | BAX | 12/08/10 | 200.0000 | 27.2748 | 5,454.96 | 81.1900 | **16,238.00** | 10,783.04 | **176** |
| *CURRENT YIELD 1.08%* | | | | | | | | | |
| ↓ CATERPILLAR INC DEL | CAT | 09/13/10 | 250.0000 | 72.6590 | 18,164.75 | 116.0400 | **29,010.00** | 10,845 25 | **1,030** |
| *CURRENT YIELD 3.55%* | | | | | | | | | |
| CENTURYLINK INC SHS | CTL | 07/12/11 | 700.0000 | 37.6760 | 26,373.20 | 9.4600 | 6,622.00 | (19,751.20) | 700 |
| *CURRENT YIELD 10.57%* | | 07/12/11 | 300.0000 | 37.6799 | 11,303.97 | 9.4600 | 2,838.00 | (8,465.97) | 300 |
| *Subtotal* | | | *1,000.0000* | 39837.17 | ~~37,677.17~~ | +2160.00 | **9,460.00** | *(28,217.17)* | **1,000** |
| COCA COLA COM | KO | 08/23/12 | 500.0000 | 38.7252 | 19,362.60 | 44.2500 | 22,125.00 | 2,762.40 | 820 |
| *CURRENT YIELD 3.70%* | | 10/02/12 | 3.0000 | 38.5966 | 115.79 | 44.2500 | 132.75 | 16.96 | 5 |
| | | 12/18/12 | 3.0000 | 37.4966 | 112.49 | 44.2500 | 132.75 | 20.26 | 5 |
| | | 04/02/13 | 1.0000 | 38.6600 | 38.66 | 44.2500 | 44.25 | 5.59 | 2 |
| | | 04/02/13 | 3.0000 | 40 5066 | 121.52 | 44.2500 | 132.75 | 11.23 | 5 |
| | | 07/02/13 | 3.0000 | 40.4766 | 121.43 | 44.2500 | 132.75 | 11.32 | 5 |

Schedules 9, 10, 11, 12 Attachment

Schedules 9 10 11 12 Attachment

# YOUR EMA ASSETS

February 29, 2020 - March 31, 2020

| **EQUITIES** (continued) Description | Symbol | Acquired | Quantity | Unit Cost Basis | Total Cost Basis | Estimated Market Price | Estimated Market Value | Unrealized Gain/(Loss) | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|---|
| COCA COLA COM | - KO | 10/02/13 | 1.0000 | 39.8100 | 39.81 | 44.2500 | 44.25 | 4.44 | 2 |
| | | 10/02/13 | 3.0000 | 37.7000 | 113.10 | 44.2500 | 132.75 | 19.65 | 5 |
| | | 12/17/13 | 1.0000 | 38.3200 | 38.32 | 44.2500 | 44.25 | 5.93 | 2 |
| | | 12/17/13 | 3.0000 | 39.1466 | 117.44 | 44.2500 | 132.75 | 15.31 | 5 |
| | | 04/20/16 | 0.2770 | 39.2057 | 10.86 | 44.2500 | 12.26 | 1.40 | 1 |
| *Subtotal* | | | *521.2770* | | *20,192.02* | | ***23,066.51*** | *2,874.49* | ***857*** |
| ↑ COLGATE PALMOLIVE | CL | 12/08/10 | 400.0000 | 39.8171 | 15,926.84 | 66.3600 | **26,544.00** | 10,617.16 | **704** |
| *CURRENT YIELD 2.65%* | | | | | | | | | |
| CSX CORP | CSX | 12/12/11 | 1,000.0000 | 21.3200 | 21,320.00 | 57.3000 | 57,300.00 | 35,980.00 | 1,040 |
| *CURRENT YIELD 1.81%* | | 03/16/12 | 5.0000 | 22.0980 | 110.49 | 57.3000 | 286.50 | 176.01 | 6 |
| | | 06/18/12 | 6.0000 | 22.1583 | 132.95 | 57.3000 | 343.80 | 210.85 | 7 |
| | | 09/17/12 | 6.0000 | 23.1466 | 138.88 | 57.3000 | 343.80 | 204.92 | 7 |
| | | 12/17/12 | 1.0000 | 22.0200 | 22.02 | 57.3000 | 57.30 | 35.28 | 2 |
| | | 12/17/12 | 7.0000 | 19.8771 | 139.14 | 57.3000 | 401.10 | 261.96 | 8 |
| | | 03/18/13 | 6.0000 | 23.8283 | 142.97 | 57.3000 | 343.80 | 200.83 | 7 |
| | | 06/17/13 | 6.0000 | 25.0450 | 150.27 | 57.3000 | 343.80 | 193.53 | 7 |
| | | 09/16/13 | 1.0000 | 25.6400 | 25.64 | 57.3000 | 57.30 | 31.66 | 2 |
| | | 09/16/13 | 5.0000 | 26.4020 | 132.01 | 57.3000 | 286.50 | 154.49 | 6 |
| | | 12/16/13 | 5.0000 | 27.8760 | 139.38 | 57.3000 | 286.50 | 147.12 | 6 |
| | | 03/17/14 | 1.0000 | 27.8000 | 27.80 | 57.3000 | 57.30 | 29.50 | 2 |
| | | 03/17/14 | 0.2757 | 28.5817 | 7.88 | 57.3000 | 15.80 | 7.92 | 1 |
| | | 03/17/14 | 5.0000 | 28.6040 | 143.02 | 57.3000 | 286.50 | 143.48 | 6 |
| *Subtotal* | | | *1,054.2757* | | *22,632.45* | | ***60,410.00*** | *37,777.55* | ***1,107*** |
| DISNEY (WALT) CO COM STK | DIS | 06/04/19 | 100.0000 | 133.3650 | 13,336.50 | 96.6000 | **9,660.00** | (3,676.50) | **176** |
| *CURRENT YIELD 1.82%* | | | | | | | | | |
| EXXON MOBIL CORP COM | XOM | 09/13/10 | 300.0000 | 62.1190 | 18,635.70 | 37.9700 | 11,391.00 | (7,244.70) | 1,044 |
| *CURRENT YIELD 9.16%* | | 05/12/16 | 200.0000 | 89.4461 | 17,889.22 | 37 9700 | 7,594.00 | (10,295.22) | 696 |
| *Subtotal* | | | *500.0000* | | *36,524.92* | | ***18,985.00*** | *(17,539.92)* | ***1,740*** |

# YOUR EMA ASSETS

February 29, 2020 - March 31, 2020

| EQUITIES (continued) Description | Symbol Acquired | Quantity | Unit Cost Basis | Total Cost Basis | Estimated Market Price | Estimated Market Value | Unrealized Gain/(Loss) | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| INTEL CORP | INTC 05/16/18 | 200.0000 | 54.6461 | 10,929.22 | 54.1200 | **10,824.00** | (105.22) | **264** |
| *CURRENT YIELD 2.43%* | | | | | | | | |
| JOHNSON AND JOHNSON COM | JNJ 09/13/10 | 300 0000 | 60.6790 | 18,203.70 | 131.1300 | **39,339.00** | 21,135.30 | **1,140** |
| *CURRENT YIELD 2.89%* | | | | | | | | |
| ↓ JPMORGAN CHASE & CO | JPM 05/12/16 | 250.0000 | 61.5171 | 15,379.28 | 90.0300 | 22,507.50 | 7,128.22 | 901 |
| *CURRENT YIELD 3.99%* | 05/16/18 | 100.0000 | 113.5861 | 11,358.61 | 90.0300 | 9,003.00 | (2,355.61) | 360 |
| *Subtotal* | | *350.0000* | | *26,737.89* | | ***31,510.50*** | *4,772.61* | ***1,261*** |
| KIMBERLY CLARK | KMB 09/13/10 | 250 0000 | 64.5237 | 16,130.94 | 127.8700 | **31,967.50** | 15,836.56 | **1,070** |
| *CURRENT YIELD 3.34%* | | | | | | | | |
| MASTERCARD INC | MA 10/28/14 | 200.0000 | 75.1299 | 15,025.98 | 241.5600 | 48,312.00 | 33,286.02 | 321 |
| *CURRENT YIELD 0.66%* | 05/26/15 | 200.0000 | 92.3800 | 18,476.00 | 241.5600 | 48,312.00 | 29,836.00 | 321 |
| *Subtotal* | | *400.0000* | | *33,501.98* | | ***96,624.00*** | *63,122.02* | ***642*** |
| MERCK AND CO INC SHS | MRK 05/16/11 | 400.0000 | 38.1175 | 15,247.00 | 76.9400 | **30,776.00** | 15,529.00 | **976** |
| *CURRENT YIELD 3.17%* | | | | | | | | |
| MICROSOFT CORP | MSFT 05/16/18 | 100.0000 | 96.9761 | 9,697.61 | 157.7100 | **15,771.00** | 6,073.39 | **204** |
| *CURRENT YIELD 1.29%* | | | | | | | | |
| QUALCOMM INC | QCOM 05/26/15 | 400 0000 | 67.4000 | ~~26,960.00~~ 27628.00 | 67.6500 +668.00 | **27,060.00** | 100.00 | **992** |
| *CURRENT YIELD 3.66%* | | | | | | | | |
| TARGET CORP COM | TGT 06/04/19 | 100.0000 | 85.1012 | 8,510.12 | 92 9700 | 9,297.00 | 786.88 | 264 |
| *CURRENT YIELD 2.83%* | 11/19/19 | 100.0000 | 109.5874 | 10,958.74 | 92.9700 | 9,297.00 | (1,661.74) | 264 |
| *Subtotal* | | *200.0000* | | *19,468.86* | | ***18,594.00*** | *(874.86)* | ***528*** |
| VIACOMCBS INC CL B | VIAC 10/28/14 | 300.0000 | 53.9600 | 16,188.00 | 14.0100 | **4,203.00** | (11,985.00) | **288** |
| *CURRENT YIELD 6.85%* | | | | | | | | |
| XCEL ENERGY INC | XEL 12/12/11 | 800.0000 | 26.3520 | 21,081.60 | 60.3000 | 48,240.00 | 27,158.40 | 1,376 |
| *CURRENT YIELD 2.85%* | 12/12/11 | 100.0000 | 26.3575 | 2,635.75 | 60.3000 | 6,030.00 | 3,394.25 | 172 |
| | 12/12/11 | 100.0000 | 26.3600 | 2,636.00 | 60.3000 | 6,030.00 | 3,394.00 | 172 |
| | 01/23/12 | 9 0000 | 26.6222 | 239.60 | 60.3000 | 542.70 | 303.10 | 16 |
| | 04/23/12 | 1 0000 | 26.6100 | 26.61 | 60.3000 | 60.30 | 33.69 | 2 |
| | 04/23/12 | 9.0000 | 26.5988 | 239 39 | 60.3000 | 542.70 | 303.31 | 16 |

# YOUR EMA ASSETS

February 29, 2020 - March 31, 2020

| **EQUITIES** (continued) Description | Symbol | Acquired | Quantity | Unit Cost Basis | Total Cost Basis | Estimated Market Price | Estimated Market Value | Unrealized Gain/(Loss) | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|---|
| XCEL ENERGY INC | XEL | 07/23/12 | 1.0000 | 27.5400 | 27.54 | 60.3000 | 60.30 | 32.76 | 2 |
| | | 07/23/12 | 9.0000 | 29.1744 | 262.57 | 60.3000 | 542.70 | 280.13 | 16 |
| | | 10/23/12 | 1.0000 | 27.9200 | 27 92 | 60.3000 | 60.30 | 32.38 | 2 |
| | | 10/23/12 | 9.0000 | 27.8211 | 250.39 | 60.3000 | 542.70 | 292.31 | 16 |
| | | 01/23/13 | 10.0000 | 27.3420 | 273.42 | 60.3000 | 603 00 | 329.58 | 18 |
| | | 04/23/13 | 9.0000 | 30.9144 | 278.23 | 60.3000 | 542.70 | 264.47 | 16 |
| | | 07/23/13 | 1.0000 | 29.3600 | 29.36 | 60.3000 | 60.30 | 30.94 | 2 |
| | | 07/23/13 | 9.0000 | 30.0722 | 270.65 | 60.3000 | 542.70 | 272.05 | 16 |
| | | 10/22/13 | 10.0000 | 28.6670 | 286.67 | 60.3000 | 603.00 | 316.33 | 18 |
| | | 01/22/14 | 1.0000 | 29.1000 | 29.10 | 60.3000 | 60.30 | 31.20 | 2 |
| | | 01/22/14 | 10.0000 | 28.4730 | 284.73 | 60.3000 | 603.00 | 318.27 | 18 |
| | | 04/21/16 | 0.3878 | 28.5714 | 11.08 | 60.3000 | 23.38 | 12.30 | 1 |
| *Subtotal* | | | *1,089.3878* | | *28,890.61* | | ***65,690.08*** | *36,799.47* | ***1,881*** |
| **TOTAL** YIELD 2.80% | | | | | ~~418,061.39~~ 420,889.39 | | **591,415.59** | 173,354.20 | **16,552** |

| **MUTUAL FUNDS/CLOSED END FUNDS/UITs/ETPs** Description | Quantity | Total Cost Basis | Estimated Market Price | Estimated Market Value | Unrealized Gain/(Loss) | Total Client Investment | Cumulative Investment Return ($) | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| AMER FUNDS NEW *PERSPECTIVE FUND CL F2 CURRENT YIELD 1 49%* *SYMBOL. ANWFX Initial Purchase:11/16/17* *Equity 100%* | 703.4900 | 31,637.59 | 38.4800 | **27,070.30** | (4,567.29) | 29,984 | **(2,914)** | **405** |
| ↓ CLEARBRIDGE MLP & MDSTRM *FUND INC* *SYMBOL: CEM Initial Purchase:03/13/14* *Equity 100%* | 1,016.6229 | ~~20,492.91~~ 25230.64 | 1.8300 | **1,860.42** +4737.73 | (18,632.49) | 20,135 | **(18,275)** | |
| FIDELITY ADV HEALTH CARE *FD CL I* *SYMBOL: FHCIX Initial Purchase:03/18/15* *Equity 100%* | 422.2970 | 19,999.99 | 54.1000 | **22,846.27** | 2,846.28 | 19,999 | **2,846** | |
| FIRST TR DEVELOPED | 612.0000 | ~~37,852.91~~ 37877.17 +24.26 | 39.8200 | **24,369.84** | (13,483.07) | 37,852 | **(13,483)** | **909** |

## YOUR EMA ASSETS

February 29, 2020 - March 31, 2020

| **MUTUAL FUNDS/CLOSED END FUNDS/UITs/ETPs** (continued) Description | Quantity | Total Cost Basis | Estimated Market Price | Estimated Market Value | Unrealized Gain/(Loss) | Total Client Investment | Cumulative Investment Return ($) | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| *MARKETS EX-US ALPH CURRENT YIELD 3.72%* *SYMBOL: FDT Initial Purchase:03/02/18* *Equity 100%* | | | | | | | | |
| FRT TRT VAL INDX *CURRENT YIELD 2.80%* *SYMBOL: FVD Initial Purchase:05/12/16* *Equity 100%* | 536.5937 | 13,955.87 | 27.6800 | **14,852.91** | 897.04 | 13,720 | **1,132** | **417** |
| GLENMEDE LARGE CAP *GRWTH PORTFOLIO CL INSTL CURRENT YIELD 0.86%* *SYMBOL. GTILX Initial Purchase.05/12/16* *Equity 100%* | 2,070.0794 | 50,141.62 | 24.9800 | **51,710.58** | 1,568.96 | 49,862 | **1,848** | **449** |
| | *0.0006* | *N/A* | *24.9800* | ***.01*** | *N/A* | | | |
| INVESCO QQQ TR SER 1 *CURRENT YIELD 0.85%* *SYMBOL: QQQ Initial Purchase:03/18/15* *Equity 100%* | 461.0000 | 49,855.31 | 190.4000 | **87,774.40** | 37,919.09 | 49,747 | **38,027** | **747** |
| | *0 0560* | *N/A* | *190.4000* | ***10.66*** | *N/A* | | | ***1*** |
| INVESCO OPPENHEIMER *DEVELOPING MRKT FD CL Y CURRENT YIELD 0.67%* *SYMBOL: ODVYX Initial Purchase:03/02/18* *Equity 100%* | 543.6010 | 23,999.98 | 35.1900 | **19,129.32** | (4,870.66) | 23,999 | **(4,870)** | **129** |
| ISHARES CORE S&P SMALL *CAP ETF CURRENT YIELD 2.13%* *SYMBOL: IJR Initial Purchase:06/04/19* *Equity 100%* | 140.0000 | 10,455.19 | 56.1100 | **7,855.40** | (2,599.79) | 10,455 | **(2,599)** | **168** |
| ISHARES CORE HIGH *DIVIDEND ETF CURRENT YIELD 4.61%* *SYMBOL: HDV Initial Purchase:06/04/19* *Equity 100%* | 150.0000 | 13,707.65 | 71.5500 | **10,732.50** | (2,975.15) | 13,707 | **(2,975)** | **496** |
| JOHN HANCOCK INTL GROWTH *FUND CL I CURRENT YIELD 0.81%* | 1,337.9690 | 37,178.96 | 24.6300 | **32,954.18** | (4,224.78) | 36,988 | **(4,034)** | **269** |

# YOUR EMA ASSETS

February 29, 2020 - March 31, 2020

| **MUTUAL FUNDS/CLOSED END FUNDS/UITs/ETPs** (continued) Description | Quantity | Total Cost Basis | Estimated Market Price | Estimated Market Value | Unrealized Gain/(Loss) | Total Client Investment | Cumulative Investment Return ($) | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| *SYMBOL: GOGIX Initial Purchase:11/16/17* | | | | | | | | |
| *Equity 100%* | | | | | | | | |
| MFS INTL INTRINSIC | 294.7910 | 9,728.97 | 41.3200 | **12,180.76** | 2,451.79 | 9,359 | **2,821** | **144** |
| *VALUE FUND CL I CURRENT YIELD 1.18%* | | | | | | | | |
| *SYMBOL: MINIX Initial Purchase:07/24/13* | | | | | | | | |
| *Equity 100%* | | | | | | | | |
| OAKMARK INTL FD CL | 2,042.0060 | 51,450.44 | 15.4200 | **31,487.73** | (19,962.71) | 49,966 | **(18,478)** | **979** |
| *ADV CURRENT YIELD 3.10%* | | | | | | | | |
| *SYMBOL: OAYIX Initial Purchase:08/29/13* | | | | | | | | |
| *Equity 100%* | | | | | | | | |
| PIMCO INCOME FUND CL I2 | 4,087.8070 | 50,764.86 | 10.9600 | **44,802.36** | (5,962.50) | 49,990 | **(5,188)** | **2,670** |
| *CURRENT YIELD 5.95%* | | | | | | | | |
| *SYMBOL: PONPX Initial Purchase:11/16/17* | | | | | | | | |
| *Fixed Income 100%* | | | | | | | | |
| PRINCIPAL MIDCAP FUND | 470.4889 | 9,115.03 | 23.5300 | **11,070.60** | 1,955.57 | 8,503 | **2,567** | **69** |
| *CLASS I CURRENT YIELD 0.61%* | | | | | | | | |
| *SYMBOL. PCBIX Initial Purchase:09/17/13* | | | | | | | | |
| *Equity 100%* | | | | | | | | |
| | *0.0001* | *N/A* | *23.5300* | ***N/A*** | *N/A* | | | |
| TEMPLETON GLBL BOND FD | 1,057.3610 | 11,802.99 | 10.0600 | **10,637.04** | (1,165.95) | 11,493 | **(856)** | **689** |
| *ADV CL CURRENT YIELD 6.47%* | | | | | | | | |
| *SYMBOL: TGBAX Initial Purchase:06/04/19* | | | | | | | | |
| *Alternative Investments 100%* | | | | | | | | |
| VIRTUS KAR SMALL-CAP | 404.9950 | 12,000.00 | 33.8200 | **13,696.93** | 1,696.93 | 12,000 | **1,696** | |
| *GROWTH FUND I* | | | | | | | | |
| *SYMBOL: PXSGX Initial Purchase.03/02/18* | | | | | | | | |
| *Equity 100%* | | | | | | | | |
| *Subtotal (Fixed Income)* | | | | *44,802.36* | | | | |
| *Subtotal (Equities)* | | | | *369,602.81* | | | | |
| *Subtotal (Alternative Investments)* | | 459902.26 | | *10,637.04* | | | | |
| **TOTAL** YIELD 2.01% | | 454,~~140~~.27 | | **425,042.21** | (29,108.73) | | **(22,735)** | **8,541** |

Choice Select Active Assets Account
944-073796-124

FLORENCE ROGERS CHARITABLE TRUST
P.O. BOX 36006

# Account Detail

## GOVERNMENT SECURITIES

### FEDERAL AGENCIES

| Security Description | Trade Date | Face Value | Orig Unit Cost / Adj Unit Cost | Unit Price | Orig Total Cost / Adj Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income / Accrued Interest | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| **GOVERNMENT NATIONAL MTG ASSN POOL 615493** | 1/27/10 | 25,000.000 | $105.356 | $110.903 | $8,014.77 | | | $45.00 | 4.52 |
| Coupon Rate 5.000%; Matures 08/15/2033; CUSIP 36290RXW8 | | | $105.356 | | $943.90 | **$993.60** | $49.70 LT | $3.73 | |
| *Interest Paid Monthly; Factor .0358366; Issued 08/01/03; Current Face 895.916; Asset Class: FI & Pref* | | | | | | | | | |
| **GOVERNMENT NATIONAL MTG ASSN POOL 622610** | 1/27/10 | 35,000.000 | 105.362 | 108.223 | 14,806.37 | | | 78.00 | 4.60 |
| Coupon Rate 5.000%; Matures 10/15/2033; CUSIP 36291AVB2 | | | 105.362 | | 1,649.74 | **1,694.53** | 44.79 LT | 6.52 | |
| *Interest Paid Monthly; Factor .0447365; Issued 11/01/03; Current Face 1,565.780; Asset Class: FI & Pref* | | | | | | | | | |
| **GOVERNMENT NATIONAL MTG ASSN POOL 616213** | 1/27/10 | 41,554.000 | 106.747 | 111.111 | 13,076.79 | | | 45.00 | 4.98 |
| Coupon Rate 5.500%; Matures 01/15/2034; CUSIP 36290SSA0 | | | 106.747 | | 866.48 | **901.91** | 35.43 LT | 3.72 | |
| *Interest Paid Monthly; Factor .0195340; Issued 01/01/04; Current Face 811.716; Asset Class: FI & Pref* | | | | | | | | | |
| **GOVERNMENT NATIONAL MTG ASSN POOL 605429** | 1/21/10 | 30,000.000 | 103.037 | 109.773 | 15,315.12 | | | 80.00 | 4.09 |
| Coupon Rate 4.500%; Matures 05/15/2034; CUSIP 36200NSS1 | | | 103.037 | | 1,833.16 | **1,953.00** | 119.84 LT | 6.67 | |
| *Interest Paid Monthly; Factor .0593043; Issued 05/01/04; Current Face 1,779.129; Asset Class: FI & Pref* | | | | | | | | | |
| **FEDERAL NATIONAL MTG ASSN POOL 813984** | 7/2/09 | 50,000.000 | 102.105 | 106.805 | 30,252.19 | | | | |
| Coupon Rate 4.500%; Matures 06/01/2035; CUSIP 31406MJ56 | | | 102.105 | | 513.11 | 536.73 | 23.62 LT | | |
| | 7/6/09 | 20,000.000 | 102.018 | 106.805 | 12,093.02 | | | | |
| | | | 102.018 | | 205.07 | 214.69 | 9.62 LT | | |
| | **Total** | 70,000.000 | | | 42,345.21 | | | 32.00 | 4.25 |
| | | | | | 718.18 | **751.42** | 33.24 LT | 2.63 | |
| *Interest Paid Monthly; Yield to Maturity 3.901%; Factor .0100506; Issued 06/01/05; Current Face 703.545; Asset Class: FI & Pref* | | | | | | | | | |
| **GOVERNMENT NATIONAL MTG ASSN POOL 003746** | 1/21/10 | 25,000.000 | 102.915 | 106.675 | 15,609.11 | | | 45.00 | 4.22 |
| Coupon Rate 4.500%; Matures 08/20/2035; CUSIP 36202EET2 | | | 102.915 | | 1,028.22 | **1,065.78** | 37.56 LT | 3.74 | |
| *Interest Paid Monthly; Factor .0399637; Issued 08/01/05; Current Face 999.095; Asset Class: FI & Pref* | | | | | | | | | |
| **GOVERNMENT NATIONAL MTG ASSN POOL 003830** | 7/2/09 | 50,000.000 | 104.555 | 112.029 | 33,712.89 | | | 99.00 | 4.91 |
| Coupon Rate 5.500%; Matures 03/20/2036; CUSIP 36202EHF9 | | | 104.555 | | 1,881.35 | **2,015.83** | 134.48 LT | 8.24 | |
| *Interest Paid Monthly; Factor .0359877; Issued 03/01/06; Current Face 1,799.388; Asset Class: FI & Pref* | | | | | | | | | |
| **GOVERNMENT NATIONAL MTG ASSN POOL 599530** | 8/21/09 | 35,000.000 | 106.370 | 113.547 | 21,334.72 | | | 66.00 | 5.28 |
| Coupon Rate 6.000%; Matures 07/15/2037; CUSIP 36200FA73 | | | 106.370 | | 1,170.42 | **1,249.38** | 78.96 LT | 5.50 | |
| *Interest Paid Monthly; Factor .0314378; Issued 07/01/07; Current Face 1,100.325; Asset Class: FI & Pref* | | | | | | | | | |
| **GOVERNMENT NATIONAL MTG ASSN POOL 004261** | 12/17/09 | 75,000.000 | 105.972 | 108.739 | 26,888.41 | | | 8.00 | 6.15 |
| Coupon Rate 6.500%; Matures 10/20/2038; CUSIP 36202EWW5 | | | 105.972 | | 126.73 | **130.04** | 3.31 LT | 0.64 | |
| *Interest Paid Monthly; Factor .0015945; Issued 10/01/08; Current Face 119.588; Asset Class: FI & Pref* | | | | | | | | | |
| **GNMA 2010-24 PM** | 1/28/10 | 25,000.000 | 105.037 | 101.482 | 26,349.00 | | | 32.00 | 4.97 |
| Coupon Rate 5.000%; Matures 12/20/2038, CUSIP 38376WSL0 | | | 105.037 | | 666.24 | **643.69** | (22.55) LT | 2.64 | |
| *Interest Paid Monthly; Yield to Maturity 4.878%; Factor .0253716; Issued 02/01/10; Current Face 634.291; Asset Class: FI & Pref* | | | | | | | | | |

Security Mark at Right

# Account Detail

**Choice Select Active Assets Account**
**944-073796-124**

**FLORENCE ROGERS CHARITABLE TRUST**
**P.O. BOX 36006**

| Security Description | Trade Date | Face Value | Orig Unit Cost / Adj Unit Cost | Unit Price | Orig Total Cost / Adj Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income / Accrued Interest | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| **GOVERNMENT NATIONAL MTG ASSN POOL 782549** | 7/6/09 | 25,000.000 | 101.996 | 107.756 | 25,063.68 | | | 61.00 | 4.16 |
| Coupon Rate 4.500%; Matures 02/20/2039; CUSIP 36241KZN1 | | | 101.996 | | 1,386 21 | **1,464.49** | 78.28 LT | 5.09 | |
| *Interest Paid Monthly; Factor .0543633; Issued 02/01/09; Current Face 1,359.084; Asset Class: FI & Pref* | | | | | | | | | |
| **GOVERNMENT NATIONAL MTG ASSN POOL 004462** | 7/2/09 | 50,000.000 | 103.618 | 106.768 | 51,859.86 | | | 85.00 | 4.70 |
| Coupon Rate 5.000%; Matures 06/20/2039; CUSIP 36202E5X3 | | | 103.618 | | 1,754.21 | **1,807.53** | 53.32 LT | 7.05 | |
| *Interest Paid Monthly; Factor .0338591; Issued 06/01/09; Current Face 1,692.960; Asset Class: FI & Pref* | | | | | | | | | |

| | Percentage of Holdings | Face Value | Orig Total Cost / Adj Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income / Accrued Interest | Current Yield % |
|---|---|---|---|---|---|---|---|
| **GOVERNMENT SECURITIES** | | **486,554.000** | **$294,375.93** | | | **$676.00** | **4.61%** |
| | | | **$14,024.84** | **$14,671.20** | **$646.36 LT** | **$56.17** | |
| **TOTAL GOVERNMENT SECURITIES** (includes accrued interest) | **4.42%** | | | **$14,727.37** | | | |

## MUTUAL FUNDS

*"Total Purchases vs. Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account.*

*"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash; due to but not limited to: investments made prior to addition of this information on statements; securities transfers; timing of recent distributions; and certain adjustments made in your account.*

*"Net Value Increase/ (Decrease)" reflects the difference between your total purchases, and the sum of the current value of the fund's shares, and cash distributions shown. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes.*

*Although share price is displayed only to three decimal places, calculation of Market Value is computed using the full share price in our data base, which may carry out beyond three decimal places.*

### OPEN-END MUTUAL FUNDS

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| **ACAP STRATEGIC INTERVAL COMMON (XCAPX)** | 11/30/17 | 2,999.400 | $16 670 | $19.360 | $50,000.00 | $58,068.38 | $8,068.38 LT | | |
| | **Purchases** | 2,999.400 | | | 50,000.00 | **58,068.38** | 8,068.38 LT | | |
| Long Term Reinvestments | | 214.506 | | | 3,222.58 | 4,152.84 | 930.26 LT | | |
| Short Term Reinvestments | | 114.238 | | | 2,096.27 | 2,211.65 | 115.38 ST | | |
| | **Total** | 3,328.144 | | | 55,318.85 | **64,432.87** | 8,998.64 LT | — | — |
| | | | | | | | 115.38 ST | | |
| **Total Purchases vs Market Value** | | | | | 50,000.00 | 64,432.87 | | | |
| **Net Value Increase/(Decrease)** | | | | | | 14,432.87 | | | |

*Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest; Asset Class: Alt*

# Account Detail

**Choice Select Active Assets Account**
**944-073796-124**

**FLORENCE ROGERS CHARITABLE TRUST**
**P.O. BOX 36006**

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| **GRIFFIN INST ACCESS REAL EST M (GMREX)** | 11/13/17 | 930.752 | 24.925 | 25.080 | 23,199.44 | 23,343.26 | 143.82 LT R | | |
| | **Purchases** | 930.752 | | | 23,199.44 | **23,343.26** | 143.82 LT | | |
| Long Term Reinvestments | | 76.364 | | | 1,935.58 | 1,915.21 | (20.37) LT R | | |
| Short Term Reinvestments | | 54.369 | | | 1,429.00 | 1,363.57 | (65.43) ST R | | |
| | **Total** | 1,061.485 | | | 26,564.02 | **26,622.04** | 123.45 LT<br>(65.43) ST | 1,480.00 | 5.56 |
| **Total Purchases vs Market Value** | | | | | 23,199.44 | 26,622.04 | | | |
| **Net Value Increase/(Decrease)** | | | | | | 3,422.60 | | | |

+343.00 Div Lin ✓
3/24/20

28455.86
~~28112.86~~
~~+1548.84~~
+1891.84

*Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest; Asset Class: Alt*

| | Percentage of Holdings | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|
| **MUTUAL FUNDS** | 27.31% | ~~$81,882.87~~ | $91,054.91 | $9,122.09 LT<br>$49.95 ST | $1,480.00 | 1.63% |

~~83431.71~~

83774.71

Choice Select Active Assets Account 944-073796-124

FLORENCE ROGERS CHARITABLE TRUST
P.O. BOX 36006

# Account Detail

## ALTERNATIVE INVESTMENTS

Your interests in the Alternative Investments recorded in this section, which may have been purchased through us, may not be held here, and are not covered by SIPC. The information provided: 1) is included solely as a service to you, and certain transactions may not be reported; 2) is derived from you or another external source for which we are not responsible, and may have been modified to take into consideration capital calls or distributions to the extent applicable; 3) may not reflect actual shares, share prices or values; 4) may include invested or distributed amounts in addition to a fair value estimate; and 5) should not be relied upon for tax reporting purposes. Alternative Investments are illiquid and may not be valued daily. The estimated valuation provided will be as of the most recent date available and will be included in summaries of your assets. Such valuation may not be the most recent provided by the fund in which you are invested. No representation is made that the valuation is a market value or that the interest could be liquidated at this value. We are not required to take any action with respect to your investment unless valid instructions are received from you in a timely manner. Some positions reflected on your statement, including those with a security description ending in "HLD," may not represent interests in the fund, but rather redemption proceeds withheld by the issuer pending final valuations which are not subject to the investment performance of the fund and may or may not accrue interest for the length of the withholding. There are likely to be restrictions on redemptions; see applicable offering document. Positions with a security description ending in "CPV" represent contributions pending fund valuation inclusive of the activity, while those ending in "DPV" represent distributions or redemptions pending fund valuation inclusive of the activity. These positions should be viewed as a complement to the underlying position with the same description, to ensure a more comprehensive valuation. Information on those investments designated "(RPTG ONLY)" is limited to performance reporting only. No investment advice or research is provided.

For Hedge Funds: 1) "Commitment/Aggregate Investment" is equal to the total investment to date; 2) "Redemptions" are equal to any past redemptions/sales that were reported to us; 3) "Distributions" consist of proceeds distributed from the fund.

For Private Equity and Real Estate: 1) "Commitment" is equal to the obligation of an investor to provide funding to the Partnership from time to time in accordance with the Limited Partnership Agreement; 2) "Contributions to Date" is equal to the total investor funding to date; 3) "Distributions" consist of proceeds distributed from the fund.

### HEDGE FUNDS - SHARES

For Hedge Funds - Shares: 1) "Trade Date" may reflect the date on which the positions were transferred into the current account; 2) "Total Purchases vs. Estimated Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the position; 3) "Net Value Increase/(Decrease)," which reflects the difference between your total purchases and the estimated value of the fund's shares, is for informational purposes and does not reflect your total unrealized gain or loss nor should it be used for tax purposes. Public, non-listed REIT securities are not listed on a national securities exchange, are generally illiquid and, even if you are able to sell the securities, the price received may be less than the per share estimated value provided in the account statement.

| Security Description | Trade Date | Quantity | Unit Cost | Estimated NAV | Total Cost | Estimated Value | Unrealized Gain/(Loss) | | Valuation Date |
|---|---|---|---|---|---|---|---|---|---|
| BLACKSTONE RE INCOME FND I<br>Asset Class: Alt | 7/1/14 | 49 155 | $1,017.180 | $1,033.800 | $50,000.00 | $50,816.45 | $816.45 | F | 2/29/20 |
| BLACKSTONE REIT<br>Asset Class: Alt | 12/1/17 | 2,379.593 | 10.510 | 11.440 | 25,000.00 | 27,229.68 | 2,229.68 | F | 2/29/20 |
| HEDGE FUNDS - SHARES | | | | | $75,000.00 | $78,046.13 | $3,046.13 | | |

### PRIVATE EQUITY

| | Commitment | Contributions to Date | Remaining Commitment | Distributions | Estimated Value | Est Value + Distributions | Valuation Date |
|---|---|---|---|---|---|---|---|
| CPG VINTAGE ACCESS FUND LLC<br>Asset Class: Alt | $50,000.00 | $26,078.90 | $24,000.00 | $955.30 | $25,840.00 | $26,795.30 | 12/31/19 |
| GS MIDDLE MARKET LENDING<br>Asset Class: Alt | 50,000.00 | 47,000.00 | 3,000.00 | 7,320.83 | 45,557.40 | 52,878.23 | 12/31/19 |
| GS MIDDLE MARKET LENDING CPV<br>Asset Class: Alt | 0.00 | 3,000.00 | 0.00 | 0.00 | 3,000.00 | 3,000.00 | 2/14/20 |
| NB CROSSRO MF IV (TE) | 50,000.00 | 32,003.07 | 18,000.00 | 0.00 | 37,440.66 | 37,440.66 | 12/31/19 |

## Account Detail

Choice Select Active Assets Account
944-073796-124

FLORENCE ROGERS CHARITABLE TRUST
P.O. BOX 36006

| | Commitment | Contributions to Date | Remaining Commitment | Distributions | Estimated Value | Est Value + Distributions | Valuation Date |
|---|---|---|---|---|---|---|---|
| *Asset Class: Alt* | | | | | | | |
| TCG BDC II | 50,000.00 | 30,232.85 | 19,940.87 | 2,091.60 | 30,965.83 | 33,057.43 | 12/31/19 |
| *Asset Class: Alt* | | | | | | | |
| TCG BDC II CPV | 0.00 | 7,387.94 | 0.00 | 0.00 | 7,336.36 | 7,336.36 | 3/31/20 |
| *Asset Class: Alt* | | | | | | | |
| TCG BDC II DPV | 0.00 | 0.00 | 0.00 | 709.03 | (709.03) | — | 1/17/20 |
| *Asset Class: Alt* | | | | | | | |
| PRIVATE EQUITY | $200,000.00 | $145,702.76 | $64,940.87 | $11,076.76 | $149,431.22 | $160,507.98 | |

| | Percentage of Holdings | Estimated Value |
|---|---|---|
| ALTERNATIVE INVESTMENTS | 68.22% | $227,477.35 |

| | Percentage of Holdings | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income Accrued Interest | Current Yield % |
|---|---|---|---|---|---|---|
| TOTAL VALUE | | $170,907.71 | $333,377.92 | $12,814.58 LT<br>$49.95 ST | $2,156.02<br>$56.17 | 0.65% |
| TOTAL VALUE (includes accrued interest) | 100.00% | | $333,434.09 | | | |

*Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' or 'Pending Corporate Actions' are not included.*

*F - You will receive a Schedule K-1, 1099, or such other documentation from the fund, for use in preparing your tax return.*

*R - The cost basis was adjusted due to either a return of capital payment and/or a reclassification of income. A return of capital reduces your basis in the security.*

## ALLOCATION OF ASSETS (^includes accrued interest)

| | Cash | Equities | Fixed Income & Preferred Securities | Alternatives | Structured Investments | Other |
|---|---|---|---|---|---|---|
| Cash, BDP, MMFs | $174.46 | — | — | — | — | — |
| Government Securities ^ | — | — | $14,727.37 | — | — | — |
| Mutual Funds | — | — | — | $91,054.91 | — | — |
| Alternative Investments | — | — | — | 227,477.35 | — | — |
| TOTAL ALLOCATION OF ASSETS ^ | $174.46 | — | $14,727.37 | $318,532.26 | — | — |

Security Mark at Right

# Account Detail

**Select UMA Active Assets Account**
**944-078751-124**

**FLORENCE ROGERS CHARITABLE TRUST**
**C/O JESSE C TALLY &**

**Investment Objectives (in order of priority):** Capital Appreciation, Income, Aggressive Income, Speculation

**Investment Advisory Account**

*Inform us if your investment objectives, as defined in the Expanded Disclosures, change.*

**Account Holder Votes Proxy:** No

*The account holder has delegated the authority to vote proxies for the account to Institutional Shareholder Services or a third-party or Morgan Stanley-affiliated portfolio manager, as applicable.*

## HOLDINGS

*This section reflects positions purchased/sold on a trade date basis. "Market Value" and "Unrealized Gain/(Loss)" may not reflect the value that could be obtained in the market. Your actual investment return may differ from the unrealized gain/(loss) displayed. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated Annual Income a) is calculated on a pre-tax basis, b) does not include any reduction for applicable non-US withholding taxes, c) may include return of principal or capital gains which could overstate such estimates, and d) for securities that have a defined maturity date within the next 12 months, is reflected only through maturity date. Actual income or yield may be lower or higher than the estimates. Current Yield reflects the income generated by an investment, and is calculated by dividing the total estimated annual income by the current market value of the entire position. It does not reflect changes in its price. Structured Investments, identified on the Position Description Details line as "Asset Class: Struct Inv," may appear in various statement product categories. When displayed, the accrued interest, annual income and current yield for those with a contingent income feature (e.g., Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and current yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period.*

## CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

*Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis. You have the right to instruct us to liquidate your bank deposit balance(s) or shares of any money market fund balance(s) at any time and have the proceeds of such liquidation remitted to you. Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions.*

| Description | Market Value | 7-Day Current Yield % | Est Ann Income | APY % |
|---|---|---|---|---|
| **MORGAN STANLEY BANK N.A. #** | **$5,491.87** | — | $0.55 | 0.010 |

| | Percentage of Holdings | Market Value | Est Ann Income |
|---|---|---|---|
| **CASH, BDP, AND MMFs** | 0.33% | **$5,491.87** | **$0.55** |

*# Bank Deposits are held at Morgan Stanley Bank, N.A. and/or Morgan Stanley Private Bank, National Association, affiliates of Morgan Stanley Smith Barney LLC and each a national bank and FDIC member.*

## EXCHANGE-TRADED & CLOSED-END FUNDS

*Global Investment Manager Analysis (GIMA) status codes (FL, AL or NL), may be shown for certain exchange-traded funds and are not guarantees of performance. Refer to "GIMA Status in Investment Advisory Programs" in the June or December statement for a description of these status codes.*

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| ISHARES RUSSELL 1000 VALUE ETF (IWD) | 8/3/16 | 658.000 | $104.583 | $99.180 | $68,815.68 | $65,260.44 | $(3,555.24) LT | | |
| | 3/2/20 | 136.000 | 122.920 | 99.180 | 16,717.12 | 13,488.48 | (3,228.64) ST | | |
| | 3/3/20 | 8.000 | 123.171 | 99.180 | 985.37 | 793.44 | (191.93) ST | | |
| | 3/17/20 | 88.000 | 98.300 | 99.180 | 8,650.40 | 8,727.84 | 77 44 ST | | |

Security Mark at Right

## Account Detail

Select UMA Active Assets Account
944-078751-124

FLORENCE ROGERS CHARITABLE TRUST
C/O JESSE C TALLY &

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| | **Total** | 890.000 | | | 95,168.57 | **88,270.20** | (3,555.24) LT<br>(3,343.13) ST | 2,870.00 | 3.25 |

*GIMA Status: AL; Next Dividend Payable 06/2020; Asset Class: Equities*

| | Percentage of Holdings | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|
| **EXCHANGE-TRADED & CLOSED-END FUNDS** | 5.31% | $95,168.57 | $88,270.20 | $(3,555.24) LT<br>$(3,343.13) ST | $2,870.00 | 3.25% |

## MUTUAL FUNDS

*"Total Purchases vs. Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account.*

*"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash; due to but not limited to: investments made prior to addition of this information on statements, securities transfers; timing of recent distributions; and certain adjustments made in your account.*

*"Net Value Increase/ (Decrease)" reflects the difference between your total purchases, and the sum of the current value of the fund's shares, and cash distributions shown. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes.*

*Global Investment Manager Analysis (GIMA) status codes (FL, AL or NL), may be shown for certain mutual funds and are not guarantees of performance. Refer to "GIMA Status in Investment Advisory Programs" in the June or December statement for a description of these codes.*

*Although share price is displayed only to three decimal places, calculation of Market Value is computed using the full share price in our data base, which may carry out beyond three decimal places.*

### OPEN-END MUTUAL FUNDS

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| **BLACKROCK EQUITY DIVIDEND I (MADVX)** | 3/2/20 | 15,298.170 | $19.130 | $15.800 | $292,653.99 | $241,711.09 | $(50,942.90) ST | | |
| | 3/3/20 | 182.110 | 18.670 | 15.800 | 3,399.99 | 2,877.34 | (522.65) ST | | |
| | 3/17/20 | 2,049.149 | 15 300 | 15.800 | 31,351 98 | 32,376 55 | 1,024.57 ST | | |
| | **Total** | 17,529 429 | | | 327,405.96 | **276,964.98** | (50,440.98) ST | 7,170 00 | 2.59 |
| *GIMA Status: FL; Dividend Cash; Capital Gains Cash; Asset Class: Equities* | | | | | | | | | |
| **BLACKROCK EVENT DRIVEN EQ INST (BILPX)** | 3/17/20 | 5,297.847 | 8.830 | 9.200 | 46,779.99 | **48,740.19** | 1,960.20 ST | 293.00 | 0.60 |
| *GIMA Status: FL; Dividend Cash; Capital Gains Cash; Asset Class: Alt* | | | | | | | | | |
| **BLACKROCK LOW DUR BD INV INST (BFMSX)** | 9/16/15 | 3,882.012 | 9.641 | 9.290 | 37,424.75 | 36,063.89 | (1,360.86) LT | | |
| | 11/17/15 | 10,710.776 | 9.631 | 9.290 | 103,150.80 | 99,503.11 | (3,647.69) LT | | |
| | 1/31/17 | 783.870 | 9.591 | 9.290 | 7,517.74 | 7,282.15 | (235.59) LT | | |
| | 12/31/19 | 307.053 | 9.640 | 9.290 | 2,959.99 | 2,852.52 | (107.47) ST | | |
| | 1/2/20 | 23.132 | 9.640 | 9.290 | 222.99 | 214.90 | (8.09) ST | | |
| | 3/3/20 | 164.608 | 9.720 | 9.290 | 1,599.99 | 1,529.21 | (70.78) ST | | |

# Account Detail

**Select UMA Active Assets Account 944-078751-124**

**FLORENCE ROGERS CHARITABLE TRUST**
**C/O JESSE C TALLY &**

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| | Total | 15,871.451 | | | 152,876.26 | 147,445.78 | (5,244.14) LT<br>(186.34) ST | 3,904.00 | 2.65 |
| Total Purchases vs Market Value | | | | | 152,876.26 | 147,445.78 | | | |
| Cumulative Cash Distributions | | | | | | 24,074.81 | | | |
| Net Value Increase/(Decrease) | | | | | | 18,644.33 | | | |
| *GIMA Status: FL; Dividend Cash; Capital Gains Cash; Asset Class: FI & Pref* | | | | | | | | | |
| BLACKROCK TOTAL RET I (MAHQX) | 5/31/16 | 6,022.574 | 11.750 | 11.790 | 70,765.24 | 71,006.15 | 240.91 LT | | |
| | 1/31/17 | 610.448 | 11.580 | 11.790 | 7,068.99 | 7,197.18 | 128.19 LT | | |
| | 12/29/17 | 937.093 | 11.700 | 11.790 | 10,963.99 | 11,048.33 | 84.34 LT | | |
| | 12/31/19 | 164.542 | 11.930 | 11.790 | 1,962.99 | 1,939.95 | (23.04) ST | | |
| | 1/2/20 | 21.171 | 11.950 | 11.790 | 252.99 | 249.61 | (3.38) ST | | |
| | 3/3/20 | 81.036 | 12.340 | 11.790 | 999.99 | 955.41 | (44.58) ST | | |
| | Total | 7,836.864 | | | 92,014.19 | 92,396.63 | 453.44 LT<br>(71.00) ST | 2,837.00 | 3.07 |
| Total Purchases vs Market Value | | | | | 92,014.19 | 92,396.63 | | | |
| Cumulative Cash Distributions | | | | | | 14,814.65 | | | |
| Net Value Increase/(Decrease) | | | | | | 15,197.09 | | | |
| *GIMA Status: FL; Dividend Cash; Capital Gains Cash; Asset Class: FI & Pref* | | | | | | | | | |
| BLACKSTONE ALT MULT-STRAT INST (BXMIX) | 12/28/17 | 4,926.476 | 10.730 | 9.100 | 52,861.08 | 44,830.93 | (8,030.15) LT | | |
| | 12/29/17 | 5.591 | 10.730 | 9.100 | 59.99 | 50.88 | (9.11) LT | | |
| | Total | 4,932.067 | | | 52,921.07 | 44,881.81 | (8,039.26) LT | 1,036.00 | 2.31 |
| Total Purchases vs Market Value | | | | | 52,921.07 | 44,881.81 | | | |
| Cumulative Cash Distributions | | | | | | 4,665.51 | | | |
| Net Value Increase/(Decrease) | | | | | | (3,373.75) | | | |
| *GIMA Status: FL; Dividend Cash; Capital Gains Cash; Asset Class: Alt* | | | | | | | | | |
| CALVERT EMERGING MARKETS EQ I (CVMIX) | 7/5/18 | 2,945.442 | 15.830 | 13.670 | 46,626.35 | 40,264.19 | (6,362.16) LT | | |
| | 3/2/20 | 2,853.373 | 16.600 | 13.670 | 47,365.99 | 39,005.61 | (8,360.38) ST | | |
| | 3/3/20 | 12.232 | 16.350 | 13.670 | 199.99 | 167.21 | (32.78) ST | | |
| | Total | 5,811.047 | | | 94,192.33 | 79,437.01 | (6,362.16) LT<br>(8,393.16) ST | 709.00 | 0.89 |
| Total Purchases vs Market Value | | | | | 94,192.33 | 79,437.01 | | | |
| Cumulative Cash Distributions | | | | | | 989.99 | | | |
| Net Value Increase/(Decrease) | | | | | | (13,765.33) | | | |
| *GIMA Status: FL; Dividend Cash; Capital Gains Cash; Asset Class: Equities* | | | | | | | | | |
| CATALYST MILLBURN HGD STRAT I (MBXIX) | 12/24/19 | 50.985 | 37.910 | 24.760 | 1,932.84 | 1,262.39 | (670.45) ST H | | |
| | 1/7/20 | 1,989.545 | 32.590 | 24.760 | 64,839.27 | 49,261.13 | (15,578.14) ST | | |
| | Total | 2,040.530 | | | 66,772.11 | 50,523.52 | (16,248.59) ST | 3,430.00 | 6.79 |

INTERESTED PARTY COPY

Morgan Stanley

**CLIENT STATEMENT** | For the Period March 1-31, 2020



Select UMA Active Assets Account
944-078751-124

FLORENCE ROGERS CHARITABLE TRUST
C/O JESSE C TALLY &

## Account Detail

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| *GIMA Status: AL; Dividend Cash; Capital Gains Cash; Basis Adjustment Due to Wash Sale: $432.86; Asset Class: Alt* | | | | | | | | | |
| CLEARBRIDGE INTL GROWTH I (LMGNX) | 2/17/20 | 43.103 | 60.930 | 44 950 | 2,626.27 | 1,937.48 | (688.79) ST H | | |
| | 3/2/20 | 3,472.162 | 51.410 | 44.950 | 178,503 87 | 156,073.68 | (22,430.19) ST | | |
| | Total | 3,515.265 | | | 181,130.14 | **158,011.16** | (23,118.98) ST | 605.00 | 0.38 |
| *GIMA Status: FL; Dividend Cash; Capital Gains Cash; Basis Adjustment Due to Wash Sale: $426.29; Asset Class: Equities* | | | | | | | | | |
| CTR COAST BRKFLD MDSTRM FOC Y (CCCNX) | 7/14/15 | 2,041 062 | 7.800 | 2.290 | 15,920.73 | 4,674.03 | (11,246.70) LT H | | |
| | 8/20/15 | 14.394 | 7 316 | 2.290 | 105.31 | 32.96 | (72 35) LT H | | |
| | 9/16/15 | 3,643.597 | 7.120 | 2.290 | 25,943.15 | 8,343.84 | (17,599.31) LT | | |
| | 4/15/16 | 1,392.437 | 5.999 | 2.290 | 8,353 46 | 3,188.68 | (5,164.78) LT | | |
| | 8/29/17 | 1,371 672 | 6.888 | 2.290 | 9,448.31 | 3,141.13 | (6,307.18) LT | | |
| | 12/28/17 | 3,863 319 | 6.996 | 2.290 | 27,028.63 | 8,847.00 | (18,181.63) LT | | |
| | 12/31/18 | 765.178 | 5.830 | 2.290 | 4,460.99 | 1,752.26 | (2,708.73) LT | | |
| | 10/10/19 | 1,342.167 | 5.810 | 2 290 | 7,797.99 | 3,073.56 | (4,724.43) ST | | |
| | 3/2/20 | 1,298.730 | 4.740 | 2.290 | 6,155.98 | 2,974.09 | (3,181.89) ST | | |
| | 3/3/20 | 538 791 | 4.640 | 2.290 | 2,499.99 | 1,233.83 | (1,266.16) ST | | |
| | 3/6/20 | 78 093 | 4.200 | 2.290 | 327.99 | 178.83 | (149.16) ST | | |
| | 3/17/20 | 16.050 | 2.180 | 2.290 | 34.99 | 36.75 | 1.76 ST | | |
| | Total | 16,365.490 | | | ~~[illegible]~~ 108,077.52 | **37,476.97** | (61,280.68) LT | 11,194.00 | 29.87 |
| | | | | | | | (9,319.88) ST | | |
| Total Purchases vs Market Value | | | | | ~~[illegible]~~ 108,077 52 ✓ | 37,476.97 | | | |
| Cumulative Cash Distributions | | | | | | 39,556.21 | | | |
| Net Value Increase/(Decrease) | | | | | | (31,044.34) | | | |
| *GIMA Status: AL; Dividend Cash; Capital Gains Cash; Basis Adjustment Due to Wash Sale: $4,351.05; Asset Class: Alt* | | | | | | | | | |
| PIMCO INCOME I2 (PONPX) | 8/29/17 | 7,989.915 | 12.420 | 10 960 | 99,234.75 | 87,569.47 | (11,665.28) LT | | |
| | 12/28/17 | 6,074 495 | 12.390 | 10 960 | 75,262.99 | 66,576.47 | (8,686.52) LT | | |
| | 12/29/17 | 11 764 | 12.410 | 10.960 | 145.99 | 128.93 | (17.06) LT | | |
| | Total | 14,076.174 | | | 174,643.73 | **154,274.87** | (20,368.86) LT | 9,206.00 | 5.97 |
| Total Purchases vs Market Value | | | | | 174,643.73 | 154,274.87 | | | |
| Cumulative Cash Distributions | | | | | | 28,453.05 | | | |
| Net Value Increase/(Decrease) | | | | | | 8,084.19 | | | |
| *GIMA Status: FL; Dividend Cash; Capital Gains Cash; Asset Class: FI & Pref* | | | | | | | | | |
| PRINCIPAL BLUE CHIP I (PBCKX) | 1/7/20 | 6,504.532 | 27.130 | 23.180 | 176,467.96 | 150,775.05 | (25,692.91) ST | | |
| | 1/13/20 | 154.662 | 27.880 | 23.180 | 4,311.98 | 3,585.07 | (726.91) ST | | |
| | 3/2/20 | 6,497.294 | 27.350 | 23.180 | 177,700.98 | 150,607.27 | (27,093.71) ST | | |
| | 3/17/20 | 657.308 | 22 370 | 23.180 | 14,703.99 | 15,236.40 | 532.41 ST | | |
| | Total | 13,813.796 | | | 373,184.91 | **320,203.79** | (52,981.12) ST | 1,263.00 | 0.39 |
| *GIMA Status: FL; Dividend Cash; Capital Gains Cash; Asset Class: Equities* | | | | | | | | | |

## Account Detail

Select UMA Active Assets Account 944-078751-124

FLORENCE ROGERS CHARITABLE TRUST
C/O JESSE C TALLY &

| Security Description | Trade Date | Quantity | Unit Cost | Share Price | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|---|---|---|
| THORNBURG INTL GROWTH I (TINGX) | 7/5/18 | 2,522.752 | 25.120 | 20.730 | 63,371.52 | 52,296.65 | (11,074.87) LT | | |
| | 12/31/18 | 241 103 | 19.390 | 20.730 | 4,674.99 | 4,998.07 | 323.08 LT | | |
| | 2/17/20 | 42.606 | 27.650 | 20.730 | 1,178.06 | 883 22 | (294.84) ST H | | |
| | 3/2/20 | 4,796.216 | 23.690 | 20.730 | 113,622.35 | 99,425.56 | (14,196.79) ST | | |
| | Total | 7,602.677 | | | 182,846.92 | 157,603.49 | (10,751.79) LT<br>(14,491.63) ST | 549.00 | 0.35 |
| Total Purchases vs Market Value | | | | | 182,846 92 | 157,603 49 | | | |
| Cumulative Cash Distributions | | | | | | 2,911.48 | | | |
| Net Value Increase/(Decrease) | | | | | | (22,331.95) | | | |

126240.99
99997

*GIMA Status: FL; Dividend Cash; Capital Gains Cash; Basis Adjustment Due to Wash Sale: $178.09; Asset Class: Equities*

| | Percentage of Holdings | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income | Current Yield % |
|---|---|---|---|---|---|---|
| MUTUAL FUNDS | 94.36% | $1,852,845.13 | $1,567,960.20 | $(111,593.45) LT<br>$(173,291.48) ST | $42,196.00 | 2.69% |

| | Percentage of Holdings | Total Cost | Market Value | Unrealized Gain/(Loss) | Est Ann Income<br>Accrued Interest | Current Yield % |
|---|---|---|---|---|---|---|
| TOTAL VALUE | 100.00% | $1,948,013.70 | $1,661,722.27 | $(115,148.69) LT<br>$(176,634.61) ST | $45,066.55<br>— | 2.71% |

*Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' or 'Pending Corporate Actions' are not included.*

*H - Wash sale rules apply to this tax lot. The cost basis and acquisition date (trade date) have been adjusted to account for a disallowed loss of a related wash sale transaction. The aggregate amount of the basis adjustment is identified in italics under the Security Description.*

## ALLOCATION OF ASSETS

| | Cash | Equities | Fixed Income & Preferred Securities | Alternatives | Structured Investments | Other |
|---|---|---|---|---|---|---|
| Cash, BDP, MMFs | $5,491.87 | — | — | — | — | — |
| ETFs & CEFs | — | $88,270.20 | — | — | — | — |
| Mutual Funds | — | 992,220 43 | $394,117.28 | $181,622.49 | — | — |
| TOTAL ALLOCATION OF ASSETS | $5,491.87 | $1,080,490.63 | $394,117.28 | $181,622.49 | — | — |

FLORENCE ROGERS CHARITABLE TRUST
SALE OF INVESTMENTS MERRILL LYNCH
3/31/2020

| | DATE SOLD | DATE ACQUIRED | SALES PRICE | COST | GAIN (LOSS) |
|---|---|---|---|---|---|
| MERRILL LYNCH | JUNE 2019 | | 90,445.74 | 80,991.74 | 9,454.00 |
| MERRILL LYNCH | SEPT 2019 | | 50,000 00 | 52,760 00 | (2,760.00) |
| MERRILL LYNCH | | | | | - |
| MERRILL LYNCH | | | | | - |
| MERRILL LYNCH | | | | | - |
| MERRILL LYNCH | | | | | - |
| | | | | | - |
| | | | 140,445.74 | 133,751.74 | 6,694.00 |

Sales Price 140,445.74+
3,790.72+
1,599,161.20+
003
1,743,397.66* 1

Cost 133,751.74+
3,966.01+
1,695,455.30+
003
1,833,173.05* 2

1,743,397.66+ 1
1,833,173.05- 2
002
89,775.39*

Loss on Sale

Part IV Attachment

FLORENCE ROGERS CHARITABLE TRUST
SALE OF INVESTMENTS 073796-124
3/31/2020

| | DATE SOLD | DATE ACQUIRED | SALES PRICE | COST | GAIN (LOSS) |
|---|---|---|---|---|---|
| MORGAN STANLEY | APRIL 2019 | VARIOUS | 235.18 | 202.15 | 33 03 |
| MORGAN STANLEY | MAY 2019 | VARIOUS | 150.87 | 161.34 | (10.47) |
| MORGAN STANLEY | JUNE 2019 | VARIOUS | 267.96 | 284 74 | (16.78) |
| MORGAN STANLEY | JULY 2019 | VARIOUS | 347 69 | 367 80 | (20.11) |
| MORGAN STANLEY | AUG 2019 | VARIOUS | 235 44 | 249 43 | (13 99) |
| MORGAN STANLEY | SEPT 2019 | VARIOUS | 307 28 | 327 83 | (20 55) |
| MORGAN STANLEY | OCT 2019 | VARIOUS | 299 88 | 317 79 | (17 91) |
| MORGAN STANLEY | NOV 2019 | VARIOUS | 619 10 | 653 41 | (34 31) |
| MORGAN STANLEY | DEC 2019 | VARIOUS | 218 72 | 232 34 | (13 62) |
| MORGAN STANLEY | JAN 2020 | VARIOUS | 641.88 | 677.87 | (35 99) |
| MORGAN STANLEY | FEB 2020 | VARIOUS | 201 83 | 212 80 | (10 97) |
| MORGAN STANLEY | MAR 2020 | VARIOUS | 264 89 | 278 51 | (13 62) |
| TOTAL GAIN (LOSS) | | | 3,790 72 | 3,966.01 | **(175.29)** |

Part IV Attachment

FLORENCE ROGERS CHARITABLE TRUST
SALE OF INVESTMENTS 078751-124
3/31/2020

| | DATE SOLD | DATE ACQUIRED | SALES PRICE | COST | GAIN (LOSS) |
|---|---|---|---|---|---|
| MORGAN STANLEY | APRIL 2019 | VARIOUS | 23,735 92 | 24,075 41 | (339 49) |
| MORGAN STANLEY | JULY 2019 | VARIOUS | 43,058 14 | 43,002 07 | 56 07 |
| MORGAN STANLEY | OCT 2019 | VARIOUS | 84,955 59 | 78,003 68 | 6,951 91 |
| MORGAN STANLEY | NOV 2019 | VARIOUS | 49,039 65 | 51,371 26 | (2,331 61) |
| MORGAN STANLEY | DEC 2019 | VARIOUS | 50,535 74 | 56,207 32 | (5,671 58) |
| MORGAN STANLEY | JAN 2020 | VARIOUS | 311,794 57 | 340,124 49 | (28,329 92) |
| MORGAN STANLEY | FEB 2020 | VARIOUS | 2,126 12 | 1,849 67 | 276 45 |
| MORGAN STANLEY | MAR 2020 | VARIOUS | 1,033,915 47 | 1,100,821 40 | (66,905 93) |
| MORGAN STANLEY | | | | | - |
| MORGAN STANLEY | | | | | - |
| MORGAN STANLEY | | | | | - |
| MORGAN STANLEY | | | | | - |
| | | | | | - |
| TOTAL GAIN (LOSS) | | | 1,599,161 20 | 1,695,455 30 | (96,294.10) |

Part IV Attachment

# YOUR EMA TRANSACTIONS

June 01, 2019 - June 28, 2019

**REALIZED GAINS/(LOSSES)**

| Description | Quantity | Acquired Date | Liquidation Date | Sale Amount | Cost Basis | Gains/(Losses)✪ This Statement | Gains/(Losses)✪ Year to Date |
|---|---|---|---|---|---|---|---|
| MASTERCARD INC | 100.0000 | 10/28/14 | 06/04/19 | 24,487.66 | 7,512.99 | 16,974.67 | |
| TCW EMERG MKT INCOME I | .8490 | 03/02/18 | 06/04/19 | 6.89 | 7.08 | (.19) | |
| TCW EMERG MKT INCOME I | 1438.0000 | 03/02/18 | 06/04/19 | 11,662.18 | 11,992.92 | (330.74) | |
| NUVEEN SM CAP VAL FD I | .9360 | 03/02/18 | 06/04/19 | 20.98 | 24.16 | (3.18) | |
| NUVEEN SM CAP VAL FD I | 464.0000 | 03/02/18 | 06/04/19 | 10,398.24 | 11,975.84 | (1,577.60) | |
| THORN GLOBAL OPPS I | 971.0000 | 11/16/17 | 06/04/19 | 25,673.23 | 29,994.19 | (4,320.96) | |
| THORN GLOBAL OPPS I | 3.0000 | 12/22/17 | 06/04/19 | 79.32 | 95.16 | (15.84) | |
| AIG FCSD DIV STRATEGY FD W | 600.0000 | 07/24/13 | 06/04/19 | 9,762.00 | 9,983.96 | (221.96) | |
| AIG FCSD DIV STRATEGY FD W | 1.0000 | 09/25/13 | 06/04/19 | 16.26 | 16.64 | (.38) | |
| AIG FCSD DIV STRATEGY FD W | 3.0000 | 09/25/13 | 06/04/19 | 48.81 | 49.86 | (1.05) | |
| AIG FCSD DIV STRATEGY FD W | 1.0000 | 12/17/13 | 06/04/19 | 16.27 | 16.59 | (.32) | |
| AIG FCSD DIV STRATEGY FD W | 4.0000 | 12/17/13 | 06/04/19 | 65.08 | 66.00 | (.92) | |
| AIG FCSD DIV STRATEGY FD W | 8.0000 | 12/17/13 | 06/04/19 | 130.16 | 132.00 | (1.84) | |
| AIG FCSD DIV STRATEGY FD W | 1.0000 | 12/17/13 | 06/04/19 | 16.27 | 16.49 | (.22) | |
| AIG FCSD DIV STRATEGY FD W | 12.0000 | 12/17/13 | 06/04/19 | 195.24 | 198.00 | (2.76) | |
| AIG FCSD DIV STRATEGY FD W | .1700 | 03/18/15 | 06/04/19 | 2.77 | 2.94 | (.17) | |
| AIG FCSD DIV STRATEGY FD W | 230.0000 | 03/18/15 | 06/04/19 | 3,742 11 | 3,997.40 | (255.29) | |
| *Subtotal (Long-Term)* | | | | | | *10,241.25* | *10,241.25* |
| THORN GLOBAL OPPS I | .9100 | 06/08/18 | 06/04/19 | 24 06 | 28.57 | (4.51) | |
| THORN GLOBAL OPPS I | 155.0000 | 06/08/18 | 06/04/19 | 4,098.21 | 4,880.95 | (782.74) | |
| *Subtotal (Short-Term)* | | | | | | *(787.25)* | *(787.25)* |
| **TOTAL** | | | | **90,445.74** | **80,991.74** | **9,454.00** | **9,454.00** |

✪ - Excludes transactions for which we have insufficient data

**ADVISORY AND OTHER FEES**

| Date | Fee Type | Quantity | Description | Debit | Credit |
|---|---|---|---|---|---|
| 06/04 | Advisory Program Fee | | INV. ADVISORY FEE JUN | 1,145 93 | |
| | **NET TOTAL** | | | **1,145.93** | |

Draft W/ Attachment T

# YOUR EMA TRANSACTIONS

August 31, 2019 - September 30, 2019

**SECURITY TRANSACTIONS**
**TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT**

| Settlement Date | Description | Transaction Type | Quantity | Transaction Amount | Commissions/ Trading Fees | (Debit)/ Credit | Accrued Interest Earned/(Paid) |
|---|---|---|---|---|---|---|---|
| **Other Security Transactions** | | | | | | | |
| 09/03 ■ | BB&T CORPORATION CLD SERIES D NON-CUMULATIVE 5.85% PERPETUAL PAY DATE 09/03/2019 | Redemption | -1,000.0000 | | | 25,000.00 | |
| 09/03 ■ | BB&T CORPORATION CLD SER E NON-CUM PFD STK 5.625% PERPETUAL PAY DATE 09/03/2019 | Redemption | -1,000.0000 | | | 25,000.00 | |
| | ***Subtotal (Other Security Transactions)*** | | | | | **50,000.00** | |
| | **TOTAL** | | | | | **50,000.00** | |
| | **TOTAL SECURITY PURCHASES/(DEBITS)** | | | | | | |
| | **TOTAL SECURITY SALES/CREDITS** | | | | | **50,000.00** | |

**REALIZED GAINS/(LOSSES)**

| Description | Quantity | Acquired Date | Liquidation Date | Sale Amount | Cost Basis | Gains/(Losses)✪ This Statement | Gains/(Losses)✪ Year to Date |
|---|---|---|---|---|---|---|---|
| BB&T CORPORATION CLD | 1000.0000 | 07/17/12 | 09/03/19 | 25,000.00 | 27,160.00 | (2,160.00) | |
| BB&T CORPORATION CLD | 1000.0000 | 08/23/12 | 09/03/19 | 25,000.00 | 25,600.00 | (600.00) | |
| *Subtotal (Long-Term)* | | | | | | (2,760.00) | 7,481.25 |
| *Subtotal (Short-Term)* | | | | | | | (787.25) |
| **TOTAL** | | | | **50,000.00** | **52,760.00** | **(2,760.00)** | **6,694.00** |

✪ - Excludes transactions for which we have insufficient data

**ADVISORY AND OTHER FEES**

| Date | Fee Type | Quantity | Description | Debit | Credit |
|---|---|---|---|---|---|
| 09/04 | Advisory Program Fee | | INV. ADVISORY FEE SEP | 1,181.14 | |
| | **NET TOTAL** | | | **1,181.14** | |

D. 4 IV Attachment

Choice Select Active Assets Account 944-073796-124

FLORENCE ROGERS CHARITABLE TRUST P.O. BOX 36006

# Account Detail

## CASH FLOW ACTIVITY BY DATE (CONTINUED)

| Activity Date | Settlement Date | Activity Type | Description | Comments | Quantity | Price | Credits/(Debits) |
|---|---|---|---|---|---|---|---|
| 4/25 | | Interest Income | FNMA POOL 813984 4500 35JN01 | 4.500% DUE2035-06-01 [31406MJ56] | | | 2.74 |
| 4/25 | | Return of Principal | FNMA POOL 813984 4500 35JN01 | 4.500% DUE2035-06-01 [31406MJ56] | | | 2.38 |
| 4/30 | | Interest Income | MORGAN STANLEY BANK N A | (Period 04/01-04/30) | | | 0.07 |
| NET CREDITS/(DEBITS) | | | | | | | $(2,689.08) |

## MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY

| Activity Date | Activity Type | Description | Credits/(Debits) |
|---|---|---|---|
| 4/1 | Automatic Redemption | BANK DEPOSIT PROGRAM | $(72.34) |
| 4/2 | Automatic Redemption | BANK DEPOSIT PROGRAM | (4,000 00) |
| 4/15 | Automatic Investment | BANK DEPOSIT PROGRAM | 99 39 |
| 4/16 | Automatic Investment | BANK DEPOSIT PROGRAM | 35.00 |
| 4/22 | Automatic Investment | BANK DEPOSIT PROGRAM | 138.37 |
| 4/23 | Automatic Investment | BANK DEPOSIT PROGRAM | 28 67 |
| 4/25 | Automatic Investment | BANK DEPOSIT PROGRAM | 1,079 02 |
| 4/26 | Automatic Investment | BANK DEPOSIT PROGRAM | 2.74 |
| 4/30 | Automatic Investment | BANK DEPOSIT PROGRAM | 0.07 |
| NET ACTIVITY FOR PERIOD | | | $(2,689.08) |

## TRANSFERS, CORPORATE ACTIONS AND ADDITIONAL ACTIVITY

### CORPORATE ACTIONS

| Activity Date | Activity Type | Description | Comments | Quantity |
|---|---|---|---|---|
| 4/9 | Exchange In | TCG BDC II | | 294.400 |
| 4/9 | Exchange In | TCG BDC II | | 230.000 |
| 4/9 | Exchange Out | TCG BDC II DPV | | (230 000) |
| 4/9 | Exchange Out | TCG BDC II DPV | | (294 400) |

## REALIZED GAIN/(LOSS) DETAIL

### LONG-TERM GAIN/(LOSS)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| FNMA POOL 813984 4500 35JN01 | 07/02/09 | 04/01/19 | | $1 71 | $1.80 | $(0.09) | |
| | 07/06/09 | 04/01/19 | | 0 68 | 0.72 | (0 04) | |
| GNMA 2010-24 PM 5000 38DERG | 01/28/10 | 01/20/19 | | 51 73 | 54 76 | (3 03) | |
| | 01/28/10 | 02/20/19 | | 47 04 | 49 80 | (2.76) | |
| | 01/28/10 | 03/20/19 | | 49.26 | 52.13 | (2.87) | |

Security Mark at Right

Part IV Attachment

Choice Select Active Assets Account
944-073796-124

FLORENCE ROGERS CHARITABLE TRUST
P.O. BOX 36006

# Account Detail

## LONG-TERM GAIN/(LOSS) (CONTINUED)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| | 01/28/10 | 04/20/19 | | 52.85 | ~~56.88~~ 41.13 | ~~(3.03)~~ | 11.72 |
| GNMA POOL 003746 4500 35AU20 | 01/21/10 | 01/01/19 | | 5.18 | 5 52 | (0.34) | |
| | 01/21/10 | 02/01/19 | | 44.15 | 45.70 | (1.55) | |
| | 01/21/10 | 03/01/19 | | 38.31 | 39.67 | (1 36) | |
| | 01/21/10 | 04/01/19 | | 6 06 | ~~6.42~~ 3.38 | (0 36) | 2.68 |
| | 07/02/09 | 01/01/19 | | 22.67 | 24.21 | (1.54) | |
| | 07/02/09 | 02/01/19 | | 26.35 | 28 06 | (1.71) | |
| | 07/02/09 | 03/01/19 | | 18.88 | 20 22 | (1.34) | |
| | 07/02/09 | 04/01/19 | | 55 32 | ~~58 40~~ 52.46 | ~~(3.08)~~ | 2.86 |
| | 12/17/09 | 04/01/19 | | 0 25 | ~~0.29~~ | (0.04) | <.04> |
| | 07/02/09 | 01/01/19 | | 4.21 | 4.59 | (0.38) | |
| | 07/02/09 | 02/01/19 | | 4 32 | 4.71 | (0.39) | |
| | 07/02/09 | 03/01/19 | | 4.37 | 4 76 | (0.39) | |
| | 07/02/09 | 04/01/19 | | 4.30 | ~~4.68~~ <.69> | ~~(0.38)~~ | 4.99 |
| | 08/21/09 | 04/01/19 | | 2 80 | ~~3.27~~ 3.26 | ~~(0.47)~~ | <.46> |
| | 01/21/10 | 01/01/19 | | 9.44 | 10.09 | (0 65) | |
| | 01/21/10 | 02/01/19 | | 9.53 | 10 18 | (0.65) | |
| | 01/21/10 | 03/01/19 | | 9.90 | 10.55 | (0.65) | |
| | 01/21/10 | 04/01/19 | | 10.33 | ~~10.99~~ 5.69 | ~~(0.66)~~ | 4.64 |
| | 01/27/10 | 01/01/19 | | 47.84 | 50 98 | (3.14) | |
| | 01/27/10 | 02/01/19 | | 5.39 | 6.04 | (0.65) | |
| | 01/27/10 | 03/01/19 | | 5.83 | 6 51 | (0.68) | |
| | 01/27/10 | 04/01/19 | | 43 10 | ~~45.91~~ 41.24 | ~~(2.81)~~ | 1.86 |
| | 01/27/10 | 01/01/19 | | 6.39 | 7.32 | (0.93) | |
| | 01/27/10 | 02/01/19 | | 9.82 | 10.99 | (1.17) | |
| | 01/27/10 | 03/01/19 | | 6 29 | 7 21 | (0 92) | |
| | 01/27/10 | 04/01/19 | | 6.37 | ~~7.29~~ 5.93 | ~~(0.92)~~ | .44 |
| | 01/27/10 | 01/01/19 | | 16.51 | 18 26 | (1.75) | |
| | 01/27/10 | 02/01/19 | | 15.46 | 17.14 | (1.68) | |
| | 01/27/10 | 03/01/19 | | 79.56 | 84.92 | (5.36) | |
| | 01/27/10 | 04/01/19 | | 36.82 | ~~39.68~~ 34.10 | ~~(2.86)~~ | 2.72 |
| | 07/06/09 | 01/01/19 | | 25.17 | 25.83 | (0.66) | |
| | 07/06/09 | 02/01/19 | | 18.02 | 18.52 | (0.50) | |
| | 07/06/09 | 03/01/19 | | 9.53 | 9.85 | (0.32) | |
| | 07/06/09 | 04/01/19 | | 14.59 | ~~15.01~~ 12.84 | ~~(0.42)~~ | 1.75 |
| Long-Term [illegible] | | | | 235.18 ~~$235.18~~ | ~~$250.34~~ | ~~$(15.16)~~ | |
| **Long-Term Year to Date** | | | | $842.48 | $896.91 | ~~$(64.43)~~ | |
| | | | | ~~235.11~~ | ~~250.05~~ 202.15 | ~~(14.94)~~ 33.03 | |

0·09-
0·04-
11·72+
2·68+
2·86+
0 04-
4·99+
0·46-
4·64+
1·86+
0·44+
2·72+
1·75+
013
33·03*

000488 MSDDT201 011764

Account Detail

Choice Select Active Assets Account 944-073796-124

FLORENCE ROGERS CHARITABLE TRUST P.O. BOX 36006

## CASH FLOW ACTIVITY BY DATE (CONTINUED)

| Activity Date | Settlement Date | Activity Type | Description | Comments | Quantity | Price | Credits/(Debits) |
|---|---|---|---|---|---|---|---|
| 5/28 | | Interest Income | FNMA POOL 813984 4500 35JN01 | 4.500% DUE2035-06-01 [31406MJ56] | | | 2.73 |
| 5/28 | | Return of Principal | FNMA POOL 813984 4500 35JN01 | 4.500% DUE2035-06-01 [31406MJ56] | | | 2.39 |
| 5/31 | | Interest Income | MORGAN STANLEY BANK N.A. | (Period 05/01-05/31) | | | 0.21 |
| NET CREDITS/(DEBITS) | | | | | | | $98.88 |

## MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY

| Activity Date | Activity Type | Description | Credits/(Debits) |
|---|---|---|---|
| 5/1 | Automatic Redemption | BANK DEPOSIT PROGRAM | $(71 44) |
| 5/2 | Automatic Investment | BANK DEPOSIT PROGRAM | 891 30 |
| 5/8 | Automatic Redemption | BANK DEPOSIT PROGRAM | (1,049.81) |
| 5/15 | Automatic Investment | BANK DEPOSIT PROGRAM | 39.88 |
| 5/16 | Automatic Investment | BANK DEPOSIT PROGRAM | 34 59 |
| 5/20 | Automatic Investment | BANK DEPOSIT PROGRAM | 113 40 |
| 5/21 | Automatic Investment | BANK DEPOSIT PROGRAM | 28.31 |
| 5/28 | Automatic Investment | BANK DEPOSIT PROGRAM | 109.71 |
| 5/29 | Automatic Investment | BANK DEPOSIT PROGRAM | 2.73 |
| 5/31 | Automatic Investment | BANK DEPOSIT PROGRAM | 0 21 |
| NET ACTIVITY FOR PERIOD | | | $98.88 |

## TRANSFERS, CORPORATE ACTIONS AND ADDITIONAL ACTIVITY

### CORPORATE ACTIONS

| Activity Date | Activity Type | Description | Comments | Quantity |
|---|---|---|---|---|
| 5/10 | Exchange In | NB CROSSROADS PMF IV (TE) | | 2,500.000 |
| 5/10 | Exchange Out | NB CROSSROADS PMF IV (TE) CPV | | (2,500.000) |

## REALIZED GAIN/(LOSS) DETAIL

### LONG-TERM GAIN/(LOSS)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| FNMA POOL 813984 4500 35JN01 | 07/02/09 | 05/01/19 | | $1 71 | $1.80 | $(0.09) | |
| | 07/06/09 | 05/01/19 | | 0 69 | 0.72 | (0.03) | |
| GNMA 2010-24 PM 5000 38DERG | 01/28/10 | 05/20/19 | | 53 55 | 56.60 | (3.05) | |
| GNMA POOL 003746 4500 35AU20 | 01/21/10 | 05/01/19 | | 4.86 | 5 18 | (0 32) | |
| GNMA POOL 003830 5500 36MH20 | 07/02/09 | 05/01/19 | | 28 16 | 29.93 | (1.77) | |
| GNMA POOL 004261 6500 380C20 | 12/17/09 | 05/01/19 | | 0 25 | 0.29 | (0.04) | |

Security Mark at Right

Part IV Attachment

# Account Detail

Choice Select Active Assets Account
944-073796-124

FLORENCE ROGERS CHARITABLE TRUST
P.O. BOX 36006

## LONG-TERM GAIN/(LOSS) (CONTINUED)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| GNMA POOL 004462 5000 39JN20 | 07/02/09 | 05/01/19 | | 4.36 | 4 75 | (0.39) | |
| GNMA POOL 599530 6000 37JL15 | 08/21/09 | 05/01/19 | | 2 81 | 3.28 | (0.47) | |
| GNMA POOL 605429 4500 34MY15 | 01/21/10 | 05/01/19 | | 10 03 | 10.68 | (0.65) | |
| GNMA POOL 615493 5000 33AU15 | 01/27/10 | 05/01/19 | | 5 73 | 6 39 | (0 66) | |
| GNMA POOL 616213 5500 34JA15 | 01/27/10 | 05/01/19 | | 6 26 | 7.17 | (0.91) | |
| GNMA POOL 622610 5000 33OC15 | 01/27/10 | 05/01/19 | | 15 06 | 16.67 | (1 61) | |
| GNMA POOL 782549 4500 39FB20 | 07/06/09 | 05/01/19 | | 17 40 | 17 88 | (0.48) | |
| Long-Term This Period | | | | $150.87 | $161.34 | $(10.47) | |
| Long-Term Year to Date | | | | $993.35 | $1,058.25 | $(64.90) | |
| Net Realized Gain/(Loss) This Period | | | | $150.87 | $161.34 | $(10.47) | |
| Net Realized Gain/(Loss) Year to Date | | | | $993.35 | $1,058.25 | $(64.90) | |

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**Important Information for Morgan Stanley Choice Select Clients**

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(1)Options are not suitable for all investors

Choice Select Active Assets Account
944-073796-124

FLORENCE ROGERS CHARITABLE TRUST
P.O. BOX 36006

# Account Detail

## CORPORATE ACTIONS (CONTINUED)

| Activity Date | Activity Type | Description | Comments | Quantity |
|---|---|---|---|---|
| 6/6 | Exchange Out | TCG BDC II CPV | | (1,628 190) |
| 6/6 | Exchange Out | TCG BDC II DPV | | (332 760) |
| 6/12 | Exchange In | GS MIDDLE MARKET LENDING | | 4,000.000 |
| 6/12 | Exchange In | GS MIDDLE MARKET LENDING | | 780.400 |
| 6/12 | Exchange Out | GS MIDDLE MARKET LENDING CPV | | (4,000.000) |
| 6/12 | Exchange Out | GS MIDDLE MARKET LENDING DPV | | (780 400) |

## REALIZED GAIN/(LOSS) DETAIL

### LONG-TERM GAIN/(LOSS)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| FNMA POOL 813984 4500 35JN01 | 07/02/09 | 06/01/19 | | $1 72 | $1 81 | $(0.09) | |
| | 07/06/09 | 06/01/19 | | 0.69 | 0.72 | (0 03) | |
| GNMA 2010-24 PM 5000 38DERG | 01/28/10 | 06/20/19 | | 57 48 | 60 71 | (3.23) | |
| GNMA POOL 003746 4500 35AU20 | 01/21/10 | 06/01/19 | | 5.94 | 6.29 | (0 35) | |
| GNMA POOL 003830 5500 36MH20 | 07/02/09 | 06/01/19 | | 38.11 | 40.34 | (2.23) | |
| GNMA POOL 004261 6500 38OC20 | 12/17/09 | 06/01/19 | | 0.25 | 0 29 | (0 04) | |
| GNMA POOL 004462 5000 39JN20 | 07/02/09 | 06/01/19 | | 4 12 | 4 50 | (0.38) | |
| GNMA POOL 599530 6000 37JL15 | 08/21/09 | 06/01/19 | | 2 83 | 3 30 | (0 47) | |
| GNMA POOL 605429 4500 34MY15 | 01/21/10 | 06/01/19 | | 9 98 | 10.63 | (0.65) | |
| GNMA POOL 615493 5000 33AU15 | 01/27/10 | 06/01/19 | | 46.48 | 49.44 | (2.96) | |
| GNMA POOL 616213 5500 34JA15 | 01/27/10 | 06/01/19 | | 6 20 | 7.10 | (0.90) | |
| GNMA POOL 622610 5000 33OC15 | 01/27/10 | 06/01/19 | | 73 16 | 78.05 | (4 89) | |
| GNMA POOL 782549 4500 39FB20 | 07/06/09 | 06/01/19 | | 21.00 | 21.56 | (0 56) | |
| **Long-Term This Period** | | | | **$267.96** | **$284.74** | **$(16.78)** | |
| **Long-Term Year to Date** | | | | **$1,261.31** | **$1,342.99** | **$(81.68)** | |
| **Net Realized Gain/(Loss) This Period** | | | | **$267.96** | **$284.74** | **$(16.78)** | |
| **Net Realized Gain/(Loss) Year to Date** | | | | **$1,261.31** | **$1,342.99** | **$(81.68)** | |

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FLORENCE ROGERS CHARITABLE TRUST

Choice Select Active Assets Account
944-073796-124

FLORENCE ROGERS CHARITABLE TRUST
P.O. BOX 36006

# Account Detail

## CASH FLOW ACTIVITY BY DATE (CONTINUED)

| Activity Date | Settlement Date | Activity Type | Description | Comments | Quantity | Price | Credits/(Debits) |
|---|---|---|---|---|---|---|---|
| 7/29 | | Distribution | BLACKSTONE RE INCOME FND I | | | | 602 15 |
| 7/31 | | Interest Income | MORGAN STANLEY BANK N A. | (Period 07/01-07/31) | | | 0.05 |
| NET CREDITS/(DEBITS) | | | | | | | $1,538.92 |

## MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY

| Activity Date | Activity Type | Description | Credits/(Debits) |
|---|---|---|---|
| 7/1 | Automatic Redemption | BANK DEPOSIT PROGRAM | $(13 39) |
| 7/15 | Automatic Investment | BANK DEPOSIT PROGRAM | 210.87 |
| 7/16 | Automatic Investment | BANK DEPOSIT PROGRAM | 33.84 |
| 7/22 | Automatic Investment | BANK DEPOSIT PROGRAM | 565 16 |
| 7/23 | Automatic Investment | BANK DEPOSIT PROGRAM | 27.78 |
| 7/25 | Automatic Investment | BANK DEPOSIT PROGRAM | 2 42 |
| 7/26 | Automatic Investment | BANK DEPOSIT PROGRAM | 110.04 |
| 7/30 | Automatic Investment | BANK DEPOSIT PROGRAM | 602 15 |
| 7/31 | Automatic Investment | BANK DEPOSIT PROGRAM | 0.05 |
| NET ACTIVITY FOR PERIOD | | | $1,538.92 |

## TRANSFERS, CORPORATE ACTIONS AND ADDITIONAL ACTIVITY

### CORPORATE ACTIONS

| Activity Date | Activity Type | Description | Comments | Quantity |
|---|---|---|---|---|
| 7/11 | Exchange In | NB CROSSROADS PMF IV (TE) | | 2,500.000 |
| 7/11 | Exchange Out | NB CROSSROADS PMF IV (TE) CPV | | (2,500.000) |

## REALIZED GAIN/(LOSS) DETAIL

### LONG-TERM GAIN/(LOSS)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| FNMA POOL 813984 4500 35JN01 | 07/02/09 | 07/01/19 | | $1.73 | $1.82 | $(0.09) | |
| | 07/06/09 | 07/01/19 | | 0 69 | 0.73 | (0 04) | |
| GNMA 2010-24 PM 5000 38DERG | 01/28/10 | 01/01/19 | | 51.73 | 54 77 | (3.04) | |
| | 01/28/10 | 02/01/19 | | 47 04 | 49.81 | (2 77) | |
| | 01/28/10 | 03/01/19 | | 49 26 | 52 14 | (2.88) | |
| | 01/28/10 | 04/01/19 | | 52.85 | 55.90 | (3.05) | |
| | 01/28/10 | 05/01/19 | | 53.55 | 56 61 | (3.06) | |
| | 01/28/10 | 06/01/19 | | 57.48 | 60.72 | (3.24) | |

Part IV ~~Attachment~~

Account Detail

Choice Select Active Assets Account
944-073796-124

FLORENCE ROGERS CHARITABLE TRUST
P.O. BOX 36006

## LONG-TERM GAIN/(LOSS) (CONTINUED)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| | 01/28/10 | 07/01/19 | | 62.19 | 65.66 | (3 47) | |
| GNMA POOL 003746 4500 35AU20 | 01/21/10 | 07/01/19 | | 23 44 | 24 33 | (0 89) | |
| GNMA POOL 003830 5500 36MH20 | 07/02/09 | 07/01/19 | | 22 74 | 24 22 | (1.48) | |
| GNMA POOL 004261 6500 38OC20 | 12/17/09 | 07/01/19 | | 0.25 | 0.30 | (0.05) | |
| GNMA POOL 004462 5000 39JN20 | 07/02/09 | 07/01/19 | | 4 40 | 4.79 | (0.39) | |
| GNMA POOL 599530 6000 37JL15 | 08/21/09 | 07/01/19 | | 2 84 | 3 31 | (0 47) | |
| GNMA POOL 605429 4500 34MY15 | 01/21/10 | 07/01/19 | | 64.72 | 67.11 | (2.39) | |
| GNMA POOL 615493 5000 33AU15 | 01/27/10 | 07/01/19 | | 32 99 | 35 16 | (2.17) | |
| GNMA POOL 616213 5500 34JA15 | 01/27/10 | 07/01/19 | | 49.83 | 53.81 | (3.98) | |
| GNMA POOL 622610 5000 33OC15 | 01/27/10 | 07/01/19 | | 60.52 | 64.65 | (4.13) | |
| GNMA POOL 782549 4500 39FB20 | 07/06/09 | 07/01/19 | | 21 35 | 21.91 | (0 56) | |
| Long-Term This Period | | | | $347.69 | $367.80 | $(20.11) | |
| Long-Term Year to Date | | | | $1,609.00 | $1,710.86 | $(101.86) | |
| Net Realized Gain/(Loss) This Period | | | | $347.69 | $367.80 | $(20.11) | |
| Net Realized Gain/(Loss) Year to Date | | | | $1,609 00 | $1,710.86 | $(101.86) | |

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## MESSAGES

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Choice Select Active Assets Account
944-073796-124

FLORENCE ROGERS CHARITABLE TRUST
P.O. BOX 36006

# Account Detail

Part IV Attachment

## CASH FLOW ACTIVITY BY DATE (CONTINUED)

| Activity Date | Settlement Date | Activity Type | Description | Comments | Quantity | Price | Credits/(Debits) |
|---|---|---|---|---|---|---|---|
| 8/26 | | Return of Principal | FNMA POOL 813984 4500 35JN01 | 4.500% DUE2035-06-01 [31406MJ56] | | | 2.43 |
| 8/30 | | Interest Income | MORGAN STANLEY BANK N A | (Period 08/01-08/31) | | | 0 12 |
| NET CREDITS/(DEBITS) | | | | | | | $(1,120.94) |

## MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY

| Activity Date | Activity Type | Description | Credits/(Debits) |
|---|---|---|---|
| 8/1 | Automatic Redemption | BANK DEPOSIT PROGRAM | $(68.75) |
| 8/2 | Automatic Investment | BANK DEPOSIT PROGRAM | 980.58 |
| 8/14 | Automatic Redemption | BANK DEPOSIT PROGRAM | (2,442.96) |
| 8/15 | Automatic Investment | BANK DEPOSIT PROGRAM | 92.09 |
| 8/16 | Automatic Investment | BANK DEPOSIT PROGRAM | 32.96 |
| 8/20 | Automatic Investment | BANK DEPOSIT PROGRAM | 145.07 |
| 8/21 | Automatic Investment | BANK DEPOSIT PROGRAM | 27 49 |
| 8/26 | Automatic Investment | BANK DEPOSIT PROGRAM | 109.75 |
| 8/27 | Automatic Investment | BANK DEPOSIT PROGRAM | 2.71 |
| 8/30 | Automatic Investment | BANK DEPOSIT PROGRAM | 0.12 |
| NET ACTIVITY FOR PERIOD | | | $(1,120.94) |

## REALIZED GAIN/(LOSS) DETAIL

### LONG-TERM GAIN/(LOSS)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| FNMA POOL 813984 4500 35JN01 | 07/02/09 | 08/01/19 | | $1 74 | $1 83 | $(0.09) | |
| | 07/06/09 | 08/01/19 | | 0 69 | 0 73 | (0 04) | |
| GNMA 2010-24 PM 5000 38DERG | 01/28/10 | 08/01/19 | | 65 22 | 68.83 | (3.61) | |
| GNMA POOL 003746 4500 35AU20 | 01/21/10 | 08/01/19 | | 4 87 | 5.19 | (0.32) | |
| GNMA POOL 003830 5500 36MH20 | 07/02/09 | 08/01/19 | | 20.33 | 21 70 | (1.37) | |
| GNMA POOL 004261 6500 38OC20 | 12/17/09 | 08/01/19 | | 0 26 | 0 30 | (0.04) | |
| GNMA POOL 004462 5000 39JN20 | 07/02/09 | 08/01/19 | | 4 16 | 4 54 | (0 38) | |
| GNMA POOL 599530 6000 37JL15 | 08/21/09 | 08/01/19 | | 2 86 | 3.33 | (0.47) | |
| GNMA POOL 605429 4500 34MY15 | 01/21/10 | 08/01/19 | | 9.82 | 10.45 | (0.63) | |
| GNMA POOL 615493 5000 33AU15 | 01/27/10 | 08/01/19 | | 5 61 | 6 23 | (0 62) | |
| GNMA POOL 616213 5500 34JA15 | 01/27/10 | 08/01/19 | | 5 81 | 6 65 | (0 84) | |
| GNMA POOL 622610 5000 33OC15 | 01/27/10 | 08/01/19 | | 68 01 | 72.53 | (4.52) | |
| GNMA POOL 782549 4500 39FB20 | 07/06/09 | 08/01/19 | | 46.06 | 47 12 | (1.06) | |
| Long-Term This Period | | | | $235.44 | $249.43 | $(13.99) | |

Choice Select Active Assets Account
944-073796-124

FLORENCE ROGERS CHARITABLE TRUST
P.O. BOX 36006

# Account Detail

## LONG-TERM GAIN/(LOSS) (CONTINUED)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| Long-Term Year to Date | | | | $1,844.44 | $1,960.29 | $(115.85) | |
| Net Realized Gain/(Loss) This Period | | | | $235.44 | $249.43 | $(13.99) | |
| Net Realized Gain/(Loss) Year to Date | | | | $1,844.44 | $1,960.29 | $(115.85) | |

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# Account Detail

**Choice Select Active Assets Account**
**944-073796-124**

FLORENCE ROGERS CHARITABLE TRUST
P.O. BOX 36006

## CASH FLOW ACTIVITY BY DATE (CONTINUED)

| Activity Date | Settlement Date | Activity Type | Description | Comments | Quantity | Price | Credits/(Debits) |
|---|---|---|---|---|---|---|---|
| NET CREDITS/(DEBITS) | | | | | | | $(175.19) |

*Purchase and Sale transactions above may have received an average price execution. Details regarding the actual prices are available upon request.*

## MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY

| Activity Date | Activity Type | Description | Credits/(Debits) |
|---|---|---|---|
| 9/3 | Automatic Redemption | BANK DEPOSIT PROGRAM | $(67.34) |
| 9/16 | Automatic Investment | BANK DEPOSIT PROGRAM | 185.44 |
| 9/17 | Automatic Investment | BANK DEPOSIT PROGRAM | 32.57 |
| 9/20 | Automatic Investment | BANK DEPOSIT PROGRAM | 123.29 |
| 9/23 | Automatic Investment | BANK DEPOSIT PROGRAM | 2,527.20 |
| 9/24 | Automatic Redemption | BANK DEPOSIT PROGRAM | (2,892.68) |
| 9/25 | Automatic Investment | BANK DEPOSIT PROGRAM | 3,002.44 |
| 9/26 | Automatic Redemption | BANK DEPOSIT PROGRAM | (3,086.16) |
| 9/30 | Automatic Investment | BANK DEPOSIT PROGRAM | 0.05 |
| NET ACTIVITY FOR PERIOD | | | $(175.19) |

## TRANSFERS, CORPORATE ACTIONS AND ADDITIONAL ACTIVITY

### CORPORATE ACTIONS

| Activity Date | Activity Type | Description | Comments | Quantity |
|---|---|---|---|---|
| 9/4 | Exchange In | CPG VINTAGE ACCESS FUND LLC | | 4,000.000 |
| 9/4 | Exchange Out | CPG VINTAGE ACCESS FUND LL CPV | | (4,000.000) |
| 9/9 | Exchange In | GS MIDDLE MARKET LENDING | | 2,000.000 |
| 9/9 | Exchange In | GS MIDDLE MARKET LENDING | | 891.300 |
| 9/9 | Exchange Out | GS MIDDLE MARKET LENDING CPV | | (2,000.000) |
| 9/9 | Exchange Out | GS MIDDLE MARKET LENDING DPV | | (891.300) |
| 9/13 | Exchange In | NB CROSSROADS PMF IV (TE) | | 2,500.000 |
| 9/13 | Exchange Out | NB CROSSROADS PMF IV (TE) CPV | | (2,500.000) |

## REALIZED GAIN/(LOSS) DETAIL

### LONG-TERM GAIN/(LOSS)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| FNMA POOL 813984 4500 35JN01 | 07/02/09 | 09/01/19 | | $1.74 | $1.83 | $(0.09) | |

Security Mark at Right

Part IV Attachment

Choice Select Active Assets Account
944-073796-124

FLORENCE ROGERS CHARITABLE TRUST
P.O. BOX 36006

# Account Detail

## LONG-TERM GAIN/(LOSS) (CONTINUED)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| | 07/06/09 | 09/01/19 | | 0.70 | 0.73 | (0.03) | |
| GNMA 2010-24 PM 5000 38DERG | 01/28/10 | 09/01/19 | | 63.88 | 67.38 | (3.50) | |
| GNMA POOL 003746 4500 35AU20 | 01/21/10 | 09/01/19 | | 5.70 | 6.04 | (0.34) | |
| GNMA POOL 003830 5500 36MH20 | 07/02/09 | 09/01/19 | | 17.21 | 18.42 | (1.21) | |
| GNMA POOL 004261 6500 38OC20 | 12/17/09 | 09/01/19 | | 0.26 | 0.30 | (0.04) | |
| GNMA POOL 004462 5000 39JN20 | 07/02/09 | 09/01/19 | | 4.45 | 4.83 | (0.38) | |
| GNMA POOL 599530 6000 37JL15 | 08/21/09 | 09/01/19 | | 2.87 | 3.35 | (0.48) | |
| GNMA POOL 605429 4500 34MY15 | 01/21/10 | 09/01/19 | | 10.83 | 11.49 | (0.66) | |
| GNMA POOL 615493 5000 33AU15 | 01/27/10 | 09/01/19 | | 5.76 | 6.38 | (0.62) | |
| GNMA POOL 616213 5500 34JA15 | 01/27/10 | 09/01/19 | | 149.90 | 160.83 | (10.93) | |
| GNMA POOL 622610 5000 33OC15 | 01/27/10 | 09/01/19 | | 16.09 | 17.68 | (1.59) | |
| GNMA POOL 782549 4500 39FB20 | 07/06/09 | 09/01/19 | | 27 89 | 28.57 | (0.68) | |
| Long-Term This Period | | | | $307.28 | $327.83 | $(20.55) | |
| Long-Term Year to Date | | | | $2,151.72 | $2,288.12 | $(136.40) | |
| Net Realized Gain/(Loss) This Period | | | | $307.28 | $327.83 | $(20.55) | |
| Net Realized Gain/(Loss) Year to Date | | | | $2,151.72 | $2,288.12 | $(136.40) | |

*Treasury regulations require that we report on Form 1099-B a) adjusted cost basis on the sale of covered securities acquired on or after 1/1/11 (or the applicable date for the type of security), b) the gain or loss as either long-term or short-term, and c) basis adjustments on covered securities due to wash sales, certain corporate actions and transfers by gift or inheritance. This section may not reflect all the basis adjustments required when filing your tax return. Refer to the Expanded Disclosures.*

## COPIES OF THIS STATEMENT HAVE ALSO BEEN SENT TO:

FLORENCE ROGERS CHARITABLE TRUST

## MESSAGES

**Senior Investor Helpline**
For any inquiries or potential concerns, senior investors or someone acting on their behalf may contact our Firm by calling (800) 280-4534.

**Important Information for Morgan Stanley Choice Select Clients**
Your brokerage account has Choice Select pricing for equities and options(1) trading activity. Morgan Stanley Choice Select pricing is designed for investors who frequently execute small to medium sized equity and options trades. Choice Select pricing is an alternative way to pay commissions on equities and options transactions in a brokerage account. Any investment advice is solely incidental to our business as a broker-dealer. You do not pay for, nor do you receive, a level of advice different from that provided to other full-service brokerage clients who pay on a per-trade basis.
(1)Options are not suitable for all investors.

**Consolidated Statement of Financial Condition (In millions of dollars):**
At June 30, 2019 Morgan Stanley Smith Barney LLC had net capital of $3,361 which exceeded the Securities and Exchange Commission's minimum requirement by $3,219. A copy of the Morgan Stanley Smith Barney LLC Consolidated Statement of Financial Condition at June 30, 2019 can be viewed online at: http://www.morganstanley.com/about-us-ir/shareholder/morganstanley_smithbarney_llc.pdf, or may be mailed to you at no cost by calling 1 (866) 825-1675, after September 15, 2019.

Part IV Attachment

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Choice Select Active Assets Account
944-073796-124

FLORENCE ROGERS CHARITABLE TRUST
P.O. BOX 36006

# Account Detail

## MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY (CONTINUED)

| Activity Date | Activity Type | Description | Credits/(Debits) |
|---|---|---|---|
| 10/16 | Automatic Investment | BANK DEPOSIT PROGRAM | 31.74 |
| 10/21 | Automatic Investment | BANK DEPOSIT PROGRAM | 182.22 |
| 10/22 | Automatic Investment | BANK DEPOSIT PROGRAM | 26.97 |
| 10/23 | Automatic Investment | BANK DEPOSIT PROGRAM | 536.03 |
| 10/24 | Automatic Investment | BANK DEPOSIT PROGRAM | 577.57 |
| 10/25 | Automatic Investment | BANK DEPOSIT PROGRAM | 2.45 |
| 10/28 | Automatic Investment | BANK DEPOSIT PROGRAM | 2.69 |
| 10/29 | Automatic Investment | BANK DEPOSIT PROGRAM | 107.32 |
| 10/30 | Automatic Investment | BANK DEPOSIT PROGRAM | 2,300.00 |
| 10/31 | Automatic Investment | BANK DEPOSIT PROGRAM | 0.02 |
| NET ACTIVITY FOR PERIOD | | | $3,819.45 |

## TRANSFERS, CORPORATE ACTIONS AND ADDITIONAL ACTIVITY

### CORPORATE ACTIONS

| Activity Date | Activity Type | Description | Comments | Quantity |
|---|---|---|---|---|
| 10/16 | Exchange In | TCG BDC II | | 2,451.400 |
| 10/16 | Exchange In | TCG BDC II | | 1,024.590 |
| 10/16 | Exchange Out | TCG BDC II CPV | | (1,024.590) |
| 10/16 | Exchange Out | TCG BDC II CPV | | (2,451.400) |

## REALIZED GAIN/(LOSS) DETAIL

### LONG-TERM GAIN/(LOSS)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| FNMA POOL 813984 4500 35JN01 | 07/02/09 | 10/01/19 | | $1.75 | $1.84 | $(0.09) | |
| | 07/06/09 | 10/01/19 | | 0.70 | 0.74 | (0.04) | |
| GNMA 2010-24 PM 5000 38DERG | 01/28/10 | 10/01/19 | | 64 68 | 68.20 | (3.52) | |
| GNMA POOL 003746 4500 35AU20 | 01/21/10 | 10/01/19 | | 37.70 | 38.99 | (1.29) | |
| GNMA POOL 003830 5500 36MH20 | 07/02/09 | 10/01/19 | | 46.58 | 49.16 | (2.58) | |
| GNMA POOL 004261 6500 38OC20 | 12/17/09 | 10/01/19 | | 0.26 | 0.30 | (0.04) | |
| GNMA POOL 004462 5000 39JN20 | 07/02/09 | 10/01/19 | | 4.42 | 4.80 | (0.38) | |
| GNMA POOL 599530 6000 37JL15 | 08/21/09 | 10/01/19 | | 2.89 | 3.36 | (0.47) | |
| GNMA POOL 605429 4500 34MY15 | 01/21/10 | 10/01/19 | | 9.71 | 10.32 | (0.61) | |
| GNMA POOL 615493 5000 33AU15 | 01/27/10 | 10/01/19 | | 5.38 | 5.98 | (0.60) | |
| GNMA POOL 616213 5500 34JA15 | 01/27/10 | 10/01/19 | | 71.02 | 76.35 | (5.33) | |
| GNMA POOL 622610 5000 33OC15 | 01/27/10 | 10/01/19 | | 29.87 | 32.22 | (2.35) | |

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# Account Detail

Choice Select Active Assets Account
944-073796-124

FLORENCE ROGERS CHARITABLE TRUST
P.O. BOX 36006

## LONG-TERM GAIN/(LOSS) (CONTINUED)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| GNMA POOL 782549 4500 39FB20 | 07/06/09 | 10/01/19 | | 24 92 | 25.53 | (0.61) | |
| **Long-Term This Period** | | | | $299.88 | $317.79 | $(17.91) | |
| **Long-Term Year to Date** | | | | $2,451.60 | $2,605.91 | $(154.31) | |
| **Net Realized Gain/(Loss) This Period** | | | | $299.88 | $317.79 | $(17.91) | |
| **Net Realized Gain/(Loss) Year to Date** | | | | $2,451.60 | $2,605.91 | $(154.31) | |

*Treasury regulations require that we report on Form 1099-B a) adjusted cost basis on the sale of covered securities acquired on or after 1/1/11 (or the applicable date for the type of security), b) the gain or loss as either long-term or short-term, and c) basis adjustments on covered securities due to wash sales, certain corporate actions and transfers by gift or inheritance. This section may not reflect all the basis adjustments required when filing your tax return. Refer to the Expanded Disclosures.*

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(1)Options are not suitable for all investors.

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# Account Detail

Choice Select Active Assets Account
944-073796-124

FLORENCE ROGERS CHARITABLE TRUST
P.O. BOX 36006

## MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY

| Activity Date | Activity Type | Description | Credits/(Debits) |
|---|---|---|---|
| 11/1 | Automatic Redemption | BANK DEPOSIT PROGRAM | $(65.10) |
| 11/4 | Automatic Investment | BANK DEPOSIT PROGRAM | 1,025.70 |
| 11/6 | Automatic Redemption | BANK DEPOSIT PROGRAM | (4,064.11) |
| 11/15 | Automatic Investment | BANK DEPOSIT PROGRAM | 456.71 |
| 11/18 | Automatic Investment | BANK DEPOSIT PROGRAM | 31.22 |
| 11/20 | Automatic Investment | BANK DEPOSIT PROGRAM | 163.34 |
| 11/21 | Automatic Investment | BANK DEPOSIT PROGRAM | 26.49 |
| 11/25 | Automatic Investment | BANK DEPOSIT PROGRAM | 2.46 |
| 11/26 | Automatic Investment | BANK DEPOSIT PROGRAM | 860.00 |
| 11/29 | Automatic Investment | BANK DEPOSIT PROGRAM | 0.08 |
| NET ACTIVITY FOR PERIOD | | | $(1,563.21) |

## TRANSFERS, CORPORATE ACTIONS AND ADDITIONAL ACTIVITY

### CORPORATE ACTIONS

| Activity Date | Activity Type | Description | Comments | Quantity |
|---|---|---|---|---|
| 11/15 | Exchange In | GS MIDDLE MARKET LENDING | | 980.580 |
| 11/15 | Exchange Out | GS MIDDLE MARKET LENDING DPV | | (980.580) |

## REALIZED GAIN/(LOSS) DETAIL

### LONG-TERM GAIN/(LOSS)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| FNMA POOL 813984 4500 35JN01 | 07/02/09 | 11/01/19 | | $1.76 | $1.85 | $(0.09) | |
| | 07/06/09 | 11/01/19 | | 0.70 | 0.74 | (0.04) | |
| GNMA 2010-24 PM 5000 38DERG | 01/28/10 | 11/01/19 | | 68.87 | 72.58 | (3.71) | |
| GNMA POOL 003746 4500 35AU20 | 01/21/10 | 11/01/19 | | 55.36 | 57.17 | (1.81) | |
| GNMA POOL 003830 5500 36MH20 | 07/02/09 | 11/01/19 | | 21.16 | 22.54 | (1.38) | |
| GNMA POOL 004261 6500 38OC20 | 12/17/09 | 11/01/19 | | 0.26 | 0.30 | (0.04) | |
| GNMA POOL 004462 5000 39JN20 | 07/02/09 | 11/01/19 | | 4.39 | 4.78 | (0.39) | |
| GNMA POOL 599530 6000 37JL15 | 08/21/09 | 11/01/19 | | 2.90 | 3.38 | (0.48) | |
| GNMA POOL 605429 4500 34MY15 | 01/21/10 | 11/01/19 | | 84.55 | 87.50 | (2.95) | |
| GNMA POOL 615493 5000 33AU15 | 01/27/10 | 11/01/19 | | 5.82 | 6.45 | (0.63) | |
| GNMA POOL 616213 5500 34JA15 | 01/27/10 | 11/01/19 | | 99.87 | 107.15 | (7.28) | |
| GNMA POOL 622610 5000 33OC15 | 01/27/10 | 11/01/19 | | 263.59 | 278.79 | (15.20) | |
| GNMA POOL 782549 4500 39FB20 | 07/06/09 | 11/01/19 | | 9.87 | 10.18 | (0.31) | |
| Long-Term This Period | | | | $619.10 | $653.41 | $(34.31) | |

Choice Select Active Assets Account
944-073796-124

FLORENCE ROGERS CHARITABLE TRUST
P.O. BOX 36006

# Account Detail

## TRANSFERS, CORPORATE ACTIONS AND ADDITIONAL ACTIVITY

### CORPORATE ACTIONS

| Activity Date | Activity Type | Description | Comments | Quantity |
|---|---|---|---|---|
| 12/23 | Exchange In | CPG VINTAGE ACCESS FUND LLC | | 3,000.000 |
| 12/23 | Exchange Out | CPG VINTAGE ACCESS FUND LL CPV | | (3,000.000) |
| 12/27 | Exchange Delivered Out | GNMA POOL 004462 5000 39JN20 | 5.000% DUE2039-06-20 [36202E5X3] FULLY AMORTIZED | (50,000.000) |
| 12/30 | Exchange Received In | GNMA POOL 004462 5000 39JN20 | 5.000% DUE2039-06-20 [36202E5X3] FULLY AMORTIZED Not Require | 50,000.000 |

## REALIZED GAIN/(LOSS) DETAIL

### LONG-TERM GAIN/(LOSS)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| FNMA POOL 813984 4500 35JN01 | 07/02/09 | 12/01/19 | | $1.77 | $1.86 | $(0.09) | |
| | 07/06/09 | 12/01/19 | | 0.71 | 0.74 | (0.03) | |
| GNMA 2010-24 PM 5000 38DERG | 01/28/10 | 12/01/19 | | 65.94 | 69.48 | (3.54) | |
| GNMA POOL 003746 4500 35AU20 | 01/21/10 | 12/01/19 | | 4.52 | 4.81 | (0.29) | |
| GNMA POOL 003830 5500 36MH20 | 07/02/09 | 12/01/19 | | 35.23 | 37.26 | (2.03) | |
| GNMA POOL 004261 6500 38OC20 | 12/17/09 | 12/01/19 | | 0.26 | 0.30 | (0.04) | |
| GNMA POOL 004462 5000 39JN20 | 07/02/09 | 12/01/19 | | 4.47 | 4.85 | (0.38) | |
| GNMA POOL 699530 6000 37JL15 | 08/21/09 | 12/01/19 | | 2.92 | 3.39 | (0.47) | |
| GNMA POOL 605429 4500 34MY15 | 01/21/10 | 12/01/19 | | 9.59 | 10.19 | (0.60) | |
| GNMA POOL 615493 5000 33AU15 | 01/27/10 | 12/01/19 | | 18.56 | 19.88 | (1.32) | |
| GNMA POOL 616213 5500 34JA15 | 01/27/10 | 12/01/19 | | 6.83 | 7.62 | (0.79) | |
| GNMA POOL 622610 5000 33OC15 | 01/27/10 | 12/01/19 | | 56.54 | 60.24 | (3.70) | |
| GNMA POOL 782549 4500 39FB20 | 07/06/09 | 12/01/19 | | 11.38 | 11.72 | (0.34) | |
| **Long-Term This Period** | | | | **$218.72** | **$232.34** | **$(13.62)** | |
| **Long-Term Year to Date** | | | | **$3,289.42** | **$3,491.66** | **$(202.24)** | |
| **Net Realized Gain/(Loss) This Period** | | | | **$218.72** | **$232.34** | **$(13.62)** | |
| **Net Realized Gain/(Loss) Year to Date** | | | | **$3,289.42** | **$3,491.66** | **$(202.24)** | |

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Security Mark at Right

# Account Detail

Choice Select Active Assets Account
944-073796-124

FLORENCE ROGERS CHARITABLE TRUST
P.O. BOX 36006

## REALIZED GAIN/(LOSS) DETAIL

### LONG-TERM GAIN/(LOSS)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| FNMA POOL 813984 4500 35JN01 | 07/02/09 | 01/01/20 | | $1.77 | $1.86 | $(0.09) | |
| | 07/06/09 | 01/01/20 | | 0 71 | 0 74 | (0.03) | |
| GNMA 2010-24 PM 5000 38DERG | 01/28/10 | 01/01/20 | | 50.53 | 53.26 | (2.73) | |
| GNMA POOL 003746 4500 35AU20 | 01/21/10 | 01/01/20 | | 4.35 | 4.63 | (0.28) | |
| GNMA POOL 003830 5500 36MH20 | 07/02/09 | 01/01/20 | | 35.40 | 37.42 | (2.02) | |
| GNMA POOL 004261 6500 38OC20 | 12/17/09 | 01/01/20 | | 0.26 | 0.31 | (0 05) | |
| GNMA POOL 004462 5000 39JN20 | 07/02/09 | 01/01/20 | | 4.43 | 4.82 | (0.39) | |
| GNMA POOL 599530 6000 37JL15 | 08/21/09 | 01/01/20 | | 2.93 | 3.40 | (0.47) | |
| GNMA POOL 605429 4500 34MY15 | 01/21/10 | 01/01/20 | | 61.55 | 63.74 | (2.19) | |
| GNMA POOL 615493 5000 33AU15 | 01/27/10 | 01/01/20 | | 5.44 | 6.03 | (0.59) | |
| GNMA POOL 616213 5500 34JA15 | 01/27/10 | 01/01/20 | | 55 19 | 59 29 | (4.10) | |
| GNMA POOL 622610 5000 33OC15 | 01/27/10 | 01/01/20 | | 404.92 | 427.58 | (22.66) | |
| GNMA POOL 782549 4500 39FB20 | 07/06/09 | 01/01/20 | | 14.40 | 14.79 | (0.39) | |
| **Long-Term This Period** | | | | **$641.88** | **$677.87** | **$(35.99)** | |
| **Long-Term Year to Date** | | | | **$641.88** | **$677.87** | **$(35.99)** | |
| **Net Realized Gain/(Loss) This Period** | | | | **$641.88** | **$677.87** | **$(35.99)** | |
| **Net Realized Gain/(Loss) Year to Date** | | | | **$641.88** | **$677.87** | **$(35.99)** | |

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Account Detail

Choice Select Active Assets Account 944-073796-124

FLORENCE ROGERS CHARITABLE TRUST
P.O. BOX 36006

## MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY (CONTINUED)

| Activity Date | Activity Type | Description | Credits/(Debits) |
|---|---|---|---|
| 2/20 | Automatic Investment | BANK DEPOSIT PROGRAM | 155.78 |
| 2/21 | Automatic Investment | BANK DEPOSIT PROGRAM | 25.65 |
| 2/25 | Automatic Investment | BANK DEPOSIT PROGRAM | 2.49 |
| 2/26 | Automatic Investment | BANK DEPOSIT PROGRAM | 2.65 |
| 2/27 | Automatic Investment | BANK DEPOSIT PROGRAM | 107.32 |
| 2/28 | Automatic Investment | BANK DEPOSIT PROGRAM | 0.09 |
| NET ACTIVITY FOR PERIOD | | | $(2,693.50) |

## TRANSFERS, CORPORATE ACTIONS AND ADDITIONAL ACTIVITY

### CORPORATE ACTIONS

| Activity Date | Activity Type | Description | Comments | Quantity |
|---|---|---|---|---|
| 2/24 | Exchange In | GS MIDDLE MARKET LENDING | | 1,000.000 |
| 2/24 | Exchange Out | GS MIDDLE MARKET LENDING CPV | | (1,000.000) |
| 2/28 | Exchange In | CPG VINTAGE ACCESS FUND LLC | | 955.300 |
| 2/28 | Exchange Out | CPG VINTAGE ACCESS FUND LL DPV | | (955.300) |

## REALIZED GAIN/(LOSS) DETAIL

### LONG-TERM GAIN/(LOSS)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| FNMA POOL 813984 4500 35JN01 | 07/02/09 | 02/01/20 | | $1.78 | $1.87 | $(0.09) | |
| | 07/06/09 | 02/01/20 | | 0.71 | 0.75 | (0.04) | |
| GNMA 2010-24 PM 5000 38DERG | 01/28/10 | 02/01/20 | | 50.53 | 53.24 | (2.71) | |
| GNMA POOL 003746 4500 35AU20 | 01/21/10 | 02/01/20 | | 59.23 | 61.12 | (1.89) | |
| GNMA POOL 003830 5500 36MH20 | 07/02/09 | 02/01/20 | | 22.69 | 24.11 | (1.42) | |
| GNMA POOL 004261 6500 38OC20 | 12/17/09 | 02/01/20 | | 0.26 | 0.31 | (0.05) | |
| GNMA POOL 004462 5000 39JN20 | 07/02/09 | 02/01/20 | | 4.51 | 4.89 | (0.38) | |
| GNMA POOL 599530 6000 37JL15 | 08/21/09 | 02/01/20 | | 2.95 | 3.42 | (0.47) | |
| GNMA POOL 605429 4500 34MY15 | 01/21/10 | 02/01/20 | | 10.45 | 11.05 | (0.60) | |
| GNMA POOL 615493 5000 33AU15 | 01/27/10 | 02/01/20 | | 21.00 | 22.43 | (1.43) | |
| GNMA POOL 616213 5500 34JA15 | 01/27/10 | 02/01/20 | | 4.35 | 4.94 | (0.59) | |
| GNMA POOL 622610 5000 33OC15 | 01/27/10 | 02/01/20 | | 7.54 | 8.42 | (0.88) | |
| GNMA POOL 782549 4500 39FB20 | 07/06/09 | 02/01/20 | | 15.83 | 16.25 | (0.42) | |
| **Long-Term This Period** | | | | **$201.83** | **$212.80** | **$(10.97)** | |
| **Long-Term Year to Date** | | | | **$843.71** | **$890.67** | **$(46.96)** | |

Account Detail

Choice Select Active Assets Account 944-073796-124

FLORENCE ROGERS CHARITABLE TRUST
P.O. BOX 36006

## MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY

| Activity Date | Activity Type | Description | Credits/(Debits) |
|---|---|---|---|
| 3/2 | Automatic Redemption | BANK DEPOSIT PROGRAM | $(57.77) |
| 3/16 | Automatic Investment | BANK DEPOSIT PROGRAM | 92.41 |
| 3/17 | Automatic Investment | BANK DEPOSIT PROGRAM | 26.51 |
| 3/18 | Automatic Redemption | BANK DEPOSIT PROGRAM | (1,685.18) |
| 3/20 | Automatic Investment | BANK DEPOSIT PROGRAM | 172.51 |
| 3/23 | Automatic Investment | BANK DEPOSIT PROGRAM | 25.24 |
| 3/25 | Automatic Investment | BANK DEPOSIT PROGRAM | 109.82 |
| 3/26 | Automatic Investment | BANK DEPOSIT PROGRAM | 2.64 |
| 3/30 | Automatic Investment | BANK DEPOSIT PROGRAM | 5,000.00 |
| 3/31 | Automatic Investment | BANK DEPOSIT PROGRAM | 0.01 |
| 3/31 | Automatic Redemption | BANK DEPOSIT PROGRAM | (5,702.76) |
| NET ACTIVITY FOR PERIOD | | | $(2,016.57) |

## TRANSFERS, CORPORATE ACTIONS AND ADDITIONAL ACTIVITY

### CORPORATE ACTIONS

| Activity Date | Activity Type | Description | Comments | Quantity |
|---|---|---|---|---|
| 3/10 | Exchange In | NB CROSSROADS PMF IV (TE) | | 2,500.000 |
| 3/10 | Exchange Out | NB CROSSROADS PMF IV (TE) CPV | | (2,500.000) |
| 3/31 | Exchange In | TCG BDC II | | 4,064.110 |
| 3/31 | Exchange In | TCG BDC II | | 1,024.500 |
| 3/31 | Exchange In | TCG BDC II | | 536.030 |
| 3/31 | Exchange Out | TCG BDC II CPV | | (1,024.500) |
| 3/31 | Exchange Out | TCG BDC II CPV | | (4,064.110) |
| 3/31 | Exchange Out | TCG BDC II DPV | | (536.030) |

## REALIZED GAIN/(LOSS) DETAIL

### LONG-TERM GAIN/(LOSS)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| FNMA POOL 813984 4500 35JN01 | 07/02/09 | 03/01/20 | | $1.79 | $1.88 | $(0.09) | |
| | 07/06/09 | 03/01/20 | | 0.72 | 0.75 | (0.03) | |
| GNMA 2010-24 PM 5000 38DERG | 01/28/10 | 03/01/20 | | 50.50 | 53.19 | (2.69) | |
| GNMA POOL 003746 4500 35AU20 | 01/21/10 | 03/01/20 | | 37.09 | 38.32 | (1.23) | |
| GNMA POOL 003830 5500 36MH20 | 07/02/09 | 03/01/20 | | 25.43 | 26.96 | (1.53) | |
| GNMA POOL 004261 6500 380C20 | 12/17/09 | 03/01/20 | | 0.27 | 0.31 | (0.04) | |
| GNMA POOL 004462 5000 39JN20 | 07/02/09 | 03/01/20 | | 4.53 | 4.91 | (0.38) | |

Choice Select Active Assets Account
944-073796-124

FLORENCE ROGERS CHARITABLE TRUST
P.O. BOX 36006

# Account Detail

## LONG-TERM GAIN/(LOSS) (CONTINUED)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| GNMA POOL 599530 6000 37JL15 | 08/21/09 | 03/01/20 | | 2.96 | 3.44 | (0.48) | |
| GNMA POOL 605429 4500 34MY15 | 01/21/10 | 03/01/20 | | 9.65 | 10.24 | (0.59) | |
| GNMA POOL 615493 5000 33AU15 | 01/27/10 | 03/01/20 | | 68.33 | 72.30 | (3.97) | |
| GNMA POOL 616213 5500 34JA15 | 01/27/10 | 03/01/20 | | 4.49 | 5.09 | (0.60) | |
| GNMA POOL 622610 5000 330C15 | 01/27/10 | 03/01/20 | | 6.99 | 7.83 | (0.84) | |
| GNMA POOL 782549 4500 39FB20 | 07/06/09 | 03/01/20 | | 52.14 | 53.29 | (1.15) | |
| **Long-Term This Period** | | | | **$264.89** | **$278.51** | **$(13.62)** | |
| **Long-Term Year to Date** | | | | **$1,108.60** | **$1,169.18** | **$(60.58)** | |
| **Net Realized Gain/(Loss) This Period** | | | | **$264.89** | **$278.51** | **$(13.62)** | |
| **Net Realized Gain/(Loss) Year to Date** | | | | **$1,108.60** | **$1,169.18** | **$(60.58)** | |

*Treasury regulations require that we report on Form 1099-B a) adjusted cost basis on the sale of covered securities acquired on or after 1/1/11 (or the applicable date for the type of security), b) the gain or loss as either long-term or short-term, and c) basis adjustments on covered securities due to wash sales, certain corporate actions and transfers by gift or inheritance. This section may not reflect all the basis adjustments required when filing your tax return. Refer to the Expanded Disclosures.*

## MESSAGES

**Protecting Yourself from Fraudulent Scams—An Important Message For Our Clients**

The COVID 19 crisis is creating opportunities for fraudsters to exploit individuals, especially senior citizens. The safety of our clients is of utmost importance to Morgan Stanley. We are taking this opportunity to alert our clients of the following scams that have been identified by a number of organizations. **Please be reminded that you should never provide your account numbers, passwords, or personal information, including your social security number, to anyone you do not know.** Be aware that as a result of COVID 19, these scams have been identified: **Treatment scams; Supply scams; Provider scams; Charity scams; Phishing scams; App scams; Investment scams; Tech Support scams; Home Sanitation scams; and Government Assistance scams.**

If you have any questions regarding these scams, please immediately contact us.

**Senior Investor Helpline**

For any inquiries or potential concerns, senior investors or someone acting on their behalf may contact our Firm by calling (800) 280-4534.

**Important Information for Morgan Stanley Choice Select Clients**

Your brokerage account has Choice Select pricing for equities and options(1) trading activity. Morgan Stanley Choice Select pricing is designed for investors who frequently execute small to medium sized equity and options trades. Choice Select pricing is an alternative way to pay commissions on equities and options transactions in a brokerage account. Any investment advice is solely incidental to our business as a broker-dealer. You do not pay for, nor do you receive, a level of advice different from that provided to other full-service brokerage clients who pay on a per-trade basis.

(1)Options are not suitable for all investors.

**Consolidated Statement of Financial Condition (in millions of dollars):**

At December 31, 2019 Morgan Stanley Smith Barney LLC had net capital of $3,387 which exceeded the Securities and Exchange Commission's minimum requirement by $3,238. A copy of the Morgan Stanley Smith Barney LLC Consolidated Statement of Financial Condition at December 31, 2019 can be viewed online at: http://www.morganstanley.com/about-us-ir/shareholder/morganstanley_smithbarney_llc.pdf, or may be mailed to you at no cost by calling 1 (866) 825-1675, after March 15, 2020.

Account Detail

Select UMA Active Assets Account 944-078751-124 FLORENCE ROGERS CHARITABLE TRUST C/O JESSE C TALLY &

## REALIZED GAIN/(LOSS) DETAIL

### LONG-TERM GAIN/(LOSS)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| BLACKROCK TOTAL RET I | 05/31/16 | 04/01/19 | 302.000 | $3,463.94 | $3,548.50 | $(84.56) | |
| BLACKSTONE ALT MULT-STRAT INST | 12/28/17 | 04/01/19 | 75.929 | 818.51 | 814 72 | 3 79 | |
| CAUSEWAY EMERGING MKTS INST | 12/28/17 | 04/01/19 | 250 000 | 3,145.00 | 3,530 00 | (385 00) | |
| ISHARES RUSSELL 1000 VALUE ETF | 08/03/16 | 04/01/19 | 18.000 | 2,243.54 | 1,882.49 | 361 05 | |
| JPMORGAN VALUE ADVANTAGE I | 08/03/16 | 04/01/19 | 100 990 | 3,515 46 | 2,973 15 | 542 31 | |
| OAKMARK FUND ADVISOR | 08/29/17 | 04/01/19 | 40 989 | 3,215 59 | 3,243 82 | (28 23) | |
| TOUCHSTONE SANDS CAP SEL GR Y | 08/29/17 | 04/01/19 | 233 985 | 3,378.74 | 3,968 39 | (589 65) | |
| **Long-Term This Period** | | | | **$19,780.78** | **$19,961.07** | **$(180.29)** | |
| **Long-Term Year to Date** | | | | **$74,875.71** | **$77,395.05** | **$(2,519.34)** | |

### SHORT-TERM GAIN/(LOSS)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| CALVERT EMERGING MARKETS EQ I | 07/05/18 | 04/01/19 | 113.953 | $1,889.34 | $1,803.88 | $85 46 | |
| THORNBURG INTL GROWTH I | 07/05/18 | 04/01/19 | 91.977 | 2,065.80 | 2,310 46 | (244.66) | |
| **Short-Term This Period** | | | | **$3,955.14** | **$4,114.34** | **$(159.20)** | |
| **Short-Term Year to Date** | | | | **$7,152.09** | **$7,263.54** | **$(111.45)** | |
| **Net Realized Gain/(Loss) This Period** | | | | **$23,735.92** | **$24,075.41** | **$(339.49)** | |
| **Net Realized Gain/(Loss) Year to Date** | | | | **$82,027.80** | **$84,658.59** | **$(2,630.79)** | |

*Treasury regulations require that we report on Form 1099-B a) adjusted cost basis on the sale of covered securities acquired on or after 1/1/11 (or the applicable date for the type of security), b) the gain or loss as either long-term or short-term, and c) basis adjustments on covered securities due to wash sales, certain corporate actions and transfers by gift or inheritance. This section may not reflect all the basis adjustments required when filing your tax return. Refer to the Expanded Disclosures*

Draft w/ Attachment

COPIES OF THIS STATEMENT HAVE ALSO BEEN SENT TO:

FLORENCE ROGERS CHARITABLE TRUST

## MESSAGES

**Senior Investor Helpline**

For any inquiries or potential concerns, senior Investors or someone acting on their behalf may contact our Firm by calling (800) 280-4534

**Important Information About Advisory Accounts**

Please contact us if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your Investment Advisory accounts, or to reasonably modify existing restrictions

For a copy of the applicable ADV Brochure for Morgan Stanley Smith Barney LLC, or for any investment adviser with whom we contract to manage your investment advisory account, please visit www morganstanley com/ADV These ADV Brochures contain important information about our advisory programs

Security Mark at Right

Select UMA Active Assets Account
944-078751-124

FLORENCE ROGERS CHARITABLE TRUST
C/O JESSE C TALLY &

# Account Detail

## CASH FLOW ACTIVITY BY DATE (CONTINUED)

| Activity Date | Settlement Date | Activity Type | Description | Comments | Quantity | Price | Credits/(Debits) |
|---|---|---|---|---|---|---|---|
| 7/1 | | Dividend | BLACKROCK TOTAL RET I DIV PAYMENT | | | | 350.48 |
| 7/1 | | Dividend | BLACKROCK HI YIELD BD PTF INST DIV PAYMENT | | | | 296 33 |
| 7/16 | | Service Fee Adj | NET PLATFORM CREDIT | PLATFORM FEE ($209.65)<br>PLATFORM CREDIT $215 41 | | | 5.76 |
| 7/19 | | Dividend | CTR COAST BRKFLD MLP FOCUS Y DIV PAYMENT | | | | 861 91 |
| 7/26 | 7/30 | Sold | BLACKROCK HI YIELD BD PTF INST | VSP BY DATE SEE REALIZED G/L | 5,613.838 | 7.6700 | 43,058 14 |
| 7/30 | | Interest Income | MORGAN STANLEY BANK N A | | | | 1.25 |
| 7/30 | | Withdrawal | BRANCH CHECK | PAID TO FLORENCE ROGERS CHARIT | | | (40,000 00) |
| 7/31 | | Interest Income | MORGAN STANLEY BANK N A. | (Period 07/01-07/31) | | | 0 06 |
| 7/31 | | Service Fee Adj | DEPOSIT/WITHDRAWAL ADJ | | | | 28 14 |
| NET CREDITS/(DEBITS) | | | | | | | $5,968.21 |

*Purchase and Sale transactions above may have received an average price execution. Details regarding the actual prices are available upon request*

## MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY

| Activity Date | Activity Type | Description | Credits/(Debits) |
|---|---|---|---|
| 7/1 | Automatic Investment | BANK DEPOSIT PROGRAM | $13 39 |
| 7/2 | Automatic Investment | BANK DEPOSIT PROGRAM | 1,999 56 |
| 7/17 | Automatic Investment | BANK DEPOSIT PROGRAM | 5 76 |
| 7/22 | Automatic Investment | BANK DEPOSIT PROGRAM | 861.91 |
| 7/30 | Automatic Redemption | BANK DEPOSIT PROGRAM | (11,195.23) |
| 7/31 | Automatic Investment | BANK DEPOSIT PROGRAM | 14,254 62 |
| 7/31 | Automatic Investment | BANK DEPOSIT PROGRAM | 0.06 |
| NET ACTIVITY FOR PERIOD | | | $5,940.07 |

## REALIZED GAIN/(LOSS) DETAIL

### LONG-TERM GAIN/(LOSS)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| BLACKROCK HI YIELD BD PTF INST | 09/16/15 | 07/26/19 | 5,239.155 | $40,184.32 | $40,079.54 | $104 78 | |
| | 12/29/17 | 07/26/19 | 374.683 | 2,873.82 | 2,922.53 | (48.71) | |
| BLACKROCK LOW DUR BD INV INST | 07/17/15 | 03/01/19 X | 92.947 | ~~882.07~~ | ~~899.78~~ | (17.71) | |
| | 07/17/15 | 03/25/19 X | 442 977 | ~~4,230.43~~ | ~~4,288.26~~ | (57 83) | |
| CTR COAST BRKFLD MLP FOCUS Y | 07/21/15 | 03/25/19 X | 852.864 | ~~5,790.95~~ | ~~6,788.98~~ | (998.03) | R |

000897 MSPDD191 00660/

# Account Detail

Select UMA Active Assets Account
944-078751-124

FLORENCE ROGERS CHARITABLE TRUST
C/O JESSE C TALLY &

## MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY (CONTINUED)

| Activity Date | Activity Type | Description | Credits/(Debits) |
|---|---|---|---|
| 10/11 | Automatic Redemption | BANK DEPOSIT PROGRAM | (33.72) |
| 10/15 | Automatic Redemption | BANK DEPOSIT PROGRAM | (628.35) |
| 10/16 | Automatic Redemption | BANK DEPOSIT PROGRAM | (197.44) |
| 10/17 | Automatic Investment | BANK DEPOSIT PROGRAM | 5 37 |
| 10/21 | Automatic Investment | BANK DEPOSIT PROGRAM | 938.42 |
| 10/23 | Automatic Redemption | BANK DEPOSIT PROGRAM | (484.75) |
| 10/24 | Automatic Redemption | BANK DEPOSIT PROGRAM | (209.88) |
| 10/30 | Automatic Redemption | BANK DEPOSIT PROGRAM | (2,505.74) |
| 10/31 | Automatic Investment | BANK DEPOSIT PROGRAM | 0 51 |
| NET ACTIVITY FOR PERIOD | | | $(1,316.30) |

## TRANSFERS, CORPORATE ACTIONS AND ADDITIONAL ACTIVITY

### CORPORATE ACTIONS

| Activity Date | Activity Type | Description | Comments | Quantity |
|---|---|---|---|---|
| 10/25 | Exchange Delivered Out | TECHNOPRO HOLDINGS INC | | (66.000) |
| 10/25 | Exchange Received In | TECHNOPRO HLDGS INC ADR | | 66.000 |

## REALIZED GAIN/(LOSS) DETAIL

### LONG-TERM GAIN/(LOSS)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| INVESCO OPP INTL GROWTH Y | 05/31/16 | 10/10/19 | 425 007 | $16,787.78 | $15,406.51 | $1,381.27 | |
| | 08/03/16 | 10/10/19 | 1,432.600 | 56,587.70 | 52,117.99 | 4,469.71 | |
| | 01/31/17 | 10/10/19 | 235.276 | 9,293.40 | 8,356.99 | 936.41 | |
| Long-Term This Period | | | | $82,668.88 | $75,881.49 ✓ | $6,787.39 | |
| Long-Term Year to Date | | | | $200,602.73 | $196,235.04 | $4,367.69 | |

### SHORT-TERM GAIN/(LOSS)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| CHECK POINT SOFTWARE TECH LTD | 10/10/19 | 10/29/19 | 4.000 | $449.44 | $439.28 | $10.16 | |
| | 10/10/19 | 10/30/19 | 6.000 | 671.31 | 658.93 | 12.38 | |
| INVESCO OPP INTL GROWTH Y | 12/31/18 | 10/10/19 | 29.518 | 1,165.96 | 1,023 98 ✓ | 141.98 | |
| Short-Term This Period | | | | $2,286.71 | $2,122.19 | $164.52 | |

P + III Attachment

# Account Detail

**Select UMA Active Assets Account**
**944-078751-124**

FLORENCE ROGERS CHARITABLE TRUST
C/O-JESSE C TALLY &

## SHORT-TERM GAIN/(LOSS) (CONTINUED)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| Short-Term Year to Date | | | | $9,438.80 | $9,385.73 | $53.07 | |
| **Net Realized Gain/(Loss) This Period** | | | | $84,955.59 | $78,003.68 | $6,951.91 | |
| Net Realized Gain/(Loss) Year to Date | | | | $210,041.53 | $205,620.77 | $4,420.76 | |

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## MESSAGES

**Senior Investor Helpline**
For any inquiries or potential concerns, senior investors or someone acting on their behalf may contact our Firm by calling (800) 280-4534.

**Important Tax Information Related To Your International Securities Holdings**
You may be eligible to benefit from a reduction of the amount of foreign taxes you pay on dividends on international securities in your account. These taxes are withheld by foreign tax authorities. Contact us to determine qualification eligibility and requirements.

**Important Information About Advisory Accounts**
Please contact us if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your Investment Advisory accounts, or to reasonably modify existing restrictions.
For a copy of the applicable ADV Brochure for Morgan Stanley Smith Barney LLC, or for any investment adviser with whom we contract to manage your investment advisory account, please visit www.morganstanley.com/ADV. These ADV Brochures contain important information about our advisory programs.

**Information About Your Investment Advisory Account**
The annual rate of 0.040% was used to calculate the October Platform Fee. It is offset by a credit to your account from 100% of the revenue Morgan Stanley collects from investment product providers for administering their funds on our Advisory platforms. There is no impact to your annual Advisory Fee. For more information please review applicable program's ADV Brochure at www.morganstanley.com/adv.

# Account Detail

Select UMA Active Assets Account
944-078751-124

FLORENCE ROGERS CHARITABLE TRUST
C/O JESSE C TALLY &

## MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY (CONTINUED)

| Activity Date | Activity Type | Description | Credits/(Debits) |
|---|---|---|---|
| 11/15 | Automatic Investment | BANK DEPOSIT PROGRAM | 5.97 |
| 11/18 | Automatic Investment | BANK DEPOSIT PROGRAM | 310.10 |
| 11/19 | Automatic Investment | BANK DEPOSIT PROGRAM | 15.88 |
| 11/22 | Automatic Redemption | BANK DEPOSIT PROGRAM | (238.54) |
| 11/25 | Automatic Investment | BANK DEPOSIT PROGRAM | 821.62 |
| 11/26 | Automatic Redemption | BANK DEPOSIT PROGRAM | (868.83) |
| 11/29 | Automatic Investment | BANK DEPOSIT PROGRAM | 0.23 |
| 11/29 | Automatic Redemption | BANK DEPOSIT PROGRAM | (81.55) |
| NET ACTIVITY FOR PERIOD | | | $1,929.69 |

## REALIZED GAIN/(LOSS) DETAIL

### LONG-TERM GAIN/(LOSS)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| BLACKROCK LOW DUR BD INV INST | 07/17/15 | 11/06/19 | 278.297 | $2,682 78 | ~~$2,694.07~~ 3073.36 | ~~$(11.29)~~ | (390.58) |
| BLACKROCK TOTAL RET I | 05/31/16 | 11/06/19 | 390.067 | 4,645.70 | 4,583.28 | 62 42 | |
| CALVERT EMERGING MARKETS EQ I | 07/05/18 | 11/06/19 | 197.003 | 3,406.18 | 3,118.56 | 287.62 | |
| JPMORGAN VALUE ADVANTAGE I | 08/03/16 | 11/06/19 | 134.021 | 4,994.96 | 3,945.58 | 1,049.38 | |
| OAKMARK FUND ADVISOR | 08/29/17 | 11/06/19 | 81.011 | 6,709.33 | 6,411.10 | 298.23 | |
| OAKMARK INTERNATIONAL ADVISOR | 08/29/17 | 11/06/19 | 217.196 | 5,399.49 | 5,942.40 | (542.91) | |
| | 12/28/17 | 11/06/19 | 852.824 | 21,201.21 | 24,296.98 | (3,095.77) | |
| Long-Term This Period | | | | $49,039.65 | ~~$50,991.97~~ 51371.26 | ~~$(1,952.32)~~ | (2331.61) |
| Long-Term Year to Date | | | | $249,642.38 | $247,227.01 | $2,415.37 | |
| Net Realized Gain/(Loss) This Period | | | | $49,039.65 | $50,991.97 | $(1,952.32) | |
| Net Realized Gain/(Loss) Year to Date | | | | $259,081.18 | $256,612.74 | $2,468.44 | |

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## MESSAGES

**Senior Investor Helpline**
For any inquiries or potential concerns, senior investors or someone acting on their behalf may contact our Firm by calling (800) 280-4534.
**Important Tax Information Related To Your International Securities Holdings**
You may be eligible to benefit from a reduction of the amount of foreign taxes you pay on dividends on international securities in your account. These taxes are withheld by foreign tax authorities. Contact us to determine qualification eligibility and requirements.

Part IV Attachment

# Account Detail

Select UMA Active Assets Account
944-078751-124

FLORENCE ROGERS CHARITABLE TRUST
C/O JESSE C TALLY &

## UNSETTLED PURCHASES/SALES ACTIVITY (CONTINUED)

| Activity Date | Settlement Date | Activity Type | Description | Comments | Quantity | Price | Pending Credits/(Debits) |
|---|---|---|---|---|---|---|---|
| 12/31 | 1/3 | Bought | VANGUARD FTSE DEVELOPED MKTS E | UNSETTLED PURCHASE | 181.000 | 43.9099 | (7,947.69) |
| NET UNSETTLED PURCHASES/SALES | | | | | | | $(79,825.64) |

*This section displays transactions that have not settled during this statement period. The Holdings section includes positions purchased and omits positions sold or sold short as of the trade-date. The unit/share price for unsettled fixed income new issues in the Holdings section may be approximate in advance of active market pricing or pricing from third party pricing services.*

## MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY

| Activity Date | Activity Type | Description | Credits/(Debits) |
|---|---|---|---|
| 12/2 | Automatic Investment | BANK DEPOSIT PROGRAM | $79.79 |
| 12/3 | Automatic Investment | BANK DEPOSIT PROGRAM | 1,563.04 |
| 12/4 | Automatic Investment | BANK DEPOSIT PROGRAM | 372.81 |
| 12/5 | Automatic Investment | BANK DEPOSIT PROGRAM | 1,130.71 |
| 12/9 | Automatic Investment | BANK DEPOSIT PROGRAM | 26,295.57 |
| 12/12 | Automatic Redemption | BANK DEPOSIT PROGRAM | (343.12) |
| 12/13 | Automatic Investment | BANK DEPOSIT PROGRAM | 18,337.97 |
| 12/16 | Automatic Investment | BANK DEPOSIT PROGRAM | 23,802.14 |
| 12/17 | Automatic Investment | BANK DEPOSIT PROGRAM | 8.75 |
| 12/18 | Automatic Investment | BANK DEPOSIT PROGRAM | 141.61 |
| 12/20 | Automatic Investment | BANK DEPOSIT PROGRAM | 5,634.55 |
| 12/23 | Automatic Investment | BANK DEPOSIT PROGRAM | 4,141.65 |
| 12/24 | Automatic Redemption | BANK DEPOSIT PROGRAM | (162.15) |
| 12/26 | Automatic Investment | BANK DEPOSIT PROGRAM | 273.26 |
| 12/27 | Automatic Investment | BANK DEPOSIT PROGRAM | 479.93 |
| 12/30 | Automatic Investment | BANK DEPOSIT PROGRAM | 580.55 |
| 12/31 | Automatic Investment | BANK DEPOSIT PROGRAM | 1.52 |
| NET ACTIVITY FOR PERIOD | | | $82,338.58 |

# REALIZED GAIN/(LOSS) DETAIL

## LONG-TERM GAIN/(LOSS)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| OAKMARK INTERNATIONAL ADVISOR | 08/29/17 | 12/10/19 | 1,918.423 | $46,751.97 | $52,487.34 | $(5,735.37) | |
| Long-Term This Period | | | | $46,751.97 | $52,487.34 | $(5,735.37) | |
| Long-Term Year to Date | | | | $296,394.35 | $299,714.35 | $(3,320.00) | |

Security Mark at Right

Part IV Attachment

# Account Detail

Select UMA Active Assets Account
944-078751-124

FLORENCE ROGERS CHARITABLE TRUST
C/O JESSE C TALLY &

## SHORT-TERM GAIN/(LOSS)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| ANHEUSER BUSCH INBEV SA SPON | 10/10/19 | 12/13/19 | 2 000 | $156.10 | $183.88 | $(27.78) | |
| | 10/10/19 | 12/18/19 | 3.000 | 240.73 | 275 83 | (35.10) | |
| | 10/10/19 | 12/19/19 | 3.000 | 240.90 | 275.82 | (34.92) | |
| ICON PLC | 10/10/19 | 12/02/19 | 1.000 | 163.57 | 141.59 | 21.98 | |
| NESTLE SPON ADR REP REG SHR | 10/10/19 | 12/13/19 | 3.000 | 313.66 | 323.07 | (9.41) | |
| RENTOKIL GROUP PLC SP ADR | 10/10/19 | 12/13/19 | 7.000 | 198.68 | 200.48 | (1.80) | |
| ROGERS COMM INC CL B (BC) | 10/10/19 | 12/02/19 | 11.000 | 524 87 | 527.13 | (2.26) | |
| SUNCOR ENERGY INC | 10/10/19 | 12/02/19 | 21.000 | 653.07 | 616.98 | 36.09 | |
| | 10/10/19 | 12/13/19 | 7.000 | 223.13 | 205.66 | 17.47 | |
| | 10/10/19 | 12/17/19 | 7.000 | 226.21 | 205.66 | 20.55 | |
| | 10/10/19 | 12/18/19 | 6.000 | 193.70 | 176.28 | 17.42 | |
| | 10/10/19 | 12/19/19 | 13.000 | 422.94 | 381.94 | 41.00 | |
| | 10/10/19 | 12/20/19 | 7.000 | 226.21 | 205.66 | 20.55 | |
| **Short-Term This Period** | | | | $3,783.77 | $3,719.98 | $63.79 | |
| **Short-Term Year to Date** | | | | $13,222.57 | $13,105.71 | $116.86 | |
| **Net Realized Gain/(Loss) This Period** | | | | $50,535.74 | $56,207.32 | $(5,671.58) | |
| **Net Realized Gain/(Loss) Year to Date** | | | | $309,616.92 | $312,820.06 | $(3,203.14) | |

*Treasury regulations require that we report on Form 1099-B a) adjusted cost basis on the sale of covered securities acquired on or after 1/1/11 (or the applicable date for the type of security), b) the gain or loss as either long-term or short-term, and c) basis adjustments on covered securities due to wash sales, certain corporate actions and transfers by gift or inheritance. This section may not reflect all the basis adjustments required when filing your tax return. Refer to the Expanded Disclosures.*

## MESSAGES

**Senior Investor Helpline**
For any inquiries or potential concerns, senior investors or someone acting on their behalf may contact our Firm by calling (800) 280-4534.

**Important Tax Information Related To Your International Securities Holdings**
You may be eligible to benefit from a reduction of the amount of foreign taxes you pay on dividends on international securities in your account. These taxes are withheld by foreign tax authorities. Contact us to determine qualification eligibility and requirements.

**Important Information About Advisory Accounts**
Please contact us if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your Investment Advisory accounts, or to reasonably modify existing restrictions.
For a copy of the applicable ADV Brochure for Morgan Stanley Smith Barney LLC, or for any investment adviser with whom we contract to manage your investment advisory account, please visit www.morganstanley.com/ADV. These ADV Brochures contain important information about our advisory programs.

**FINRA BrokerCheck**
FINRA has established the public disclosure program, known as BrokerCheck, to provide certain information regarding the disciplinary history of FINRA members and their associated persons. The BrokerCheck Hotline Number is 1-800-289-9999. The FINRA web site address is www.finra.org. An investor brochure that includes information describing FINRA BrokerCheck may be obtained from FINRA.

**Investment Billing Guideline Change**
Effective November 1, 2019, all eligible Cash, Bank Deposit Programs, and Money Market Funds in your account(s) are now included in the calculation of your advisory fee. For more information, please refer to your applicable program's ADV Brochure, which can be found at: www.morganstanley.com/adv.

Part IV Attachment

Select UMA Active Assets Account 944-078751-124

FLORENCE ROGERS CHARITABLE TRUST
C/O JESSE C TALLY &

# Account Detail

## MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY

| Activity Date | Activity Type | Description | Credits/(Debits) |
|---|---|---|---|
| 1/2 | Automatic Investment | BANK DEPOSIT PROGRAM | $61.31 |
| 1/3 | Automatic Redemption | BANK DEPOSIT PROGRAM | (78,095.30) |
| 1/6 | Automatic Redemption | BANK DEPOSIT PROGRAM | (896.97) |
| 1/9 | Automatic Redemption | BANK DEPOSIT PROGRAM | (1,716.46) |
| 1/10 | Automatic Investment | BANK DEPOSIT PROGRAM | 79.70 |
| 1/13 | Automatic Redemption | BANK DEPOSIT PROGRAM | (344.27) |
| 1/15 | Automatic Investment | BANK DEPOSIT PROGRAM | 167.45 |
| 1/16 | Automatic Investment | BANK DEPOSIT PROGRAM | 6.59 |
| 1/21 | Automatic Investment | BANK DEPOSIT PROGRAM | 825.22 |
| 1/22 | Automatic Redemption | BANK DEPOSIT PROGRAM | (852.17) |
| 1/23 | Automatic Redemption | BANK DEPOSIT PROGRAM | (316.62) |
| 1/24 | Automatic Redemption | BANK DEPOSIT PROGRAM | (523.56) |
| 1/31 | Automatic Investment | BANK DEPOSIT PROGRAM | 0.45 |
| **NET ACTIVITY FOR PERIOD** | | | **$(81,604.63)** |

## REALIZED GAIN/(LOSS) DETAIL

### LONG-TERM GAIN/(LOSS)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| BLACKROCK HI YIELD BD PTF INST | 09/16/15 | 01/07/20 | 2,309.079 | $17,987.72 | $17,664.45 ✓ | $323.27 | |
| | 10/15/15 | 01/07/20 | 411.802 | 3,207.94 | 3,104.99 ✓ | 102.95 | |
| CLEARBRIDGE AGGRESSIVE GWTH I | 08/03/16 | 01/07/20 | 830.376 | 166,216.40 | ~~176,928.30~~ 75821.31 | ~~(10,711.90)~~ | <96044.91> |
| | 08/03/16 | 01/07/20 | 85.813 | 17,177.15 | 18,284.14 | (1,106.99) W | |
| *Disallowed Loss Based On Wash Sale: $1,106.99* | | | | | | | |
| CTR COAST BRKFLD MLP FOCUS Y | 07/21/15 | 01/07/20 | 2,041.062 | 11,919.80 | ~~16,247.30~~ 26222.4 | ~~(4,327.50)~~ W | <14302.60> |
| | 08/27/15 | 01/07/20 | 14.393 | 84.06 | 107.61 | (23.55) W | |
| | 08/27/15 | 01/07/20 | 3.966 | 23.16 | 29.65 | (6.49) | |
| | 09/16/15 | 01/07/20 | 1,660.102 | 9,694.99 | 11,820.27 | (2,125.28) | |
| | 10/15/15 | 01/07/20 | 365.580 | 2,134.99 | 2,631.15 | (496.16) | |
| *Disallowed Loss Based On Wash Sale: $4,351.05* | | | | | | | |
| TOUCHSTONE SANDS CAP SEL GR Y | 08/29/17 | 01/07/20 | 1,327.375 | 17,773.55 | 22,512.28 | (4,738.73) W | |
| | 08/29/17 | 01/07/20 | 650.776 | 8,713.89 | ~~11,037.16~~ 6298.43 | ~~(2,323.27)~~ 2415.46 | |
| *Disallowed Loss Based On Wash Sale: $4,738.73* | | | | | | | |
| **Long-Term This Period** | | | | **$254,933.65** | ~~$280,367.30~~ | ~~$(25,433.65)~~ | |
| **Long-Term Year to Date** | | | | **$254,933.65** | ~~$280,367.30~~ 284496.74 | ~~$(25,433.65)~~ <29563.097> | |

# Account Detail

Select UMA Active Assets Account
944-078751-124

FLORENCE ROGERS CHARITABLE TRUST
C/O JESSE C TALLY &

## SHORT-TERM GAIN/(LOSS)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| ASOS PLC SPON ADR | 10/10/19 | 01/21/20 | 2.000 | $77.24 | $60.76 ✓ | $16.48 | |
| | 10/10/19 | 01/22/20 | 5.000 | 198.29 | 151.89 ✓ | 46.40 | |
| RENTOKIL GROUP PLC SP ADR | 10/10/19 | 01/13/20 | 13.000 | 380.19 | 372.32 ✓ | 7.87 | |
| VANGUARD FTSE DEVELOPED MKTS E | 12/10/19 | 01/10/20 | 1,091.000 | 48,207.45 | 47,095.09 ✓ | 1,112.36 | |
| | 12/31/19 | 01/10/20 | 181.000 | 7,997.75 | 7,947.69 ✓ | 50.06 | |
| **Short-Term This Period** | | | | **$56,860.92** | **$55,627.75** | **$1,233.17** | |
| **Short-Term Year to Date** | | | | **$56,860.92** | **$55,627.75** | **$1,233.17** | |
| **Net Realized Gain/(Loss) This Period** | | | | **$311,794.57** | ~~$335,995.05~~ | ~~$(24,200.48)~~ (28329.92) | |
| **Net Realized Gain/(Loss) Year to Date** | | | | **$311,794.57** | ~~$335,995.05~~ 340124.49 | ~~$(24,200.48)~~ | |

***Disallowed Loss Based On Wash Sale This Period: $10,196.77***

***Disallowed Loss Based On Wash Sale Year to Date: $10,196.77***

*Treasury regulations require that we report on Form 1099-B a) adjusted cost basis on the sale of covered securities acquired on or after 1/1/11 (or the applicable date for the type of security), b) the gain or loss as either long-term or short-term, and c) basis adjustments on covered securities due to wash sales, certain corporate actions and transfers by gift or inheritance. This section may not reflect all the basis adjustments required when filing your tax return. Refer to the Expanded Disclosures.*

*H, W, > - The wash sale rule applies to this tax lot. For lots noted with an "H," the cost basis and acquisition date (trade date) have been adjusted to account for disallowed loss of a related wash sale transaction. For lots noted with a "W," the disallowed loss on covered securities will be reported on Form 1099-B for the current tax year. The aggregate basis adjustment and the disallowed loss amount are identified in italics under the Security Description. To take into account disallowed loss adjustments due to wash sales, add the total "Disallowed Loss Based on Wash Sale Year to Date" amount to the total "Net Realized Gain/ (Loss) Year to Date." For lots noted with a ">," both "H" and "W" apply.*

## MESSAGES

**Senior Investor Helpline**

For any inquiries or potential concerns, senior investors or someone acting on their behalf may contact our Firm by calling (800) 280-4534.

**Important Tax Information Related To Your International Securities Holdings**

You may be eligible to benefit from a reduction of the amount of foreign taxes you pay on dividends on international securities in your account. These taxes are withheld by foreign tax authorities. Contact us to determine qualification eligibility and requirements.

**Important Information About Advisory Accounts**

Please contact us if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your Investment Advisory accounts, or to reasonably modify existing restrictions

For a copy of the applicable ADV Brochure for Morgan Stanley Smith Barney LLC, or for any investment adviser with whom we contract to manage your investment advisory account, please visit www.morganstanley.com/ADV. These ADV Brochures contain important information about our advisory programs.

Account Detail

Select UMA Active Assets Account 944-078751-124

FLORENCE ROGERS CHARITABLE TRUST
C/O JESSE C TALLY &

## CASH FLOW ACTIVITY BY DATE (CONTINUED)

| Activity Date | Settlement Date | Activity Type | Description | Comments | Quantity | Price | Credits/(Debits) |
|---|---|---|---|---|---|---|---|

*For trades marked "STEP-OUT TRADE," you may have been assessed trading related costs (mark-ups, mark-downs and/or other fees or charges) by another broker dealer. These costs are in addition to your Morgan Stanley program fees and are included in the net price of the security. For additional information, visit https://www.morganstanley.com/wealth/investmentsolutions/pdfs/adv/sotresponse.pdf*

*Purchase and Sale transactions above may have received an average price execution. Details regarding the actual prices are available upon request.*

## MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY

| Activity Date | Activity Type | Description | Credits/(Debits) |
|---|---|---|---|
| 2/3 | Automatic Investment | BANK DEPOSIT PROGRAM | $1,659.74 |
| 2/6 | Automatic Investment | BANK DEPOSIT PROGRAM | 175.34 |
| 2/7 | Automatic Investment | BANK DEPOSIT PROGRAM | 324.51 |
| 2/10 | Automatic Redemption | BANK DEPOSIT PROGRAM | (1,686.16) |
| 2/12 | Automatic Investment | BANK DEPOSIT PROGRAM | 25.40 |
| 2/13 | Automatic Investment | BANK DEPOSIT PROGRAM | 159.81 |
| 2/21 | Automatic Investment | BANK DEPOSIT PROGRAM | 822.73 |
| 2/27 | Automatic Redemption | BANK DEPOSIT PROGRAM | (196.45) |
| 2/28 | Automatic Investment | BANK DEPOSIT PROGRAM | 0.31 |
| NET ACTIVITY FOR PERIOD | | | $1,285.23 |

## REALIZED GAIN/(LOSS) DETAIL

### SHORT-TERM GAIN/(LOSS)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| ALIBABA GROUP HLDG LTD | 10/10/19 | 02/10/20 | 2.000 | $428.93 | $331.43 | $97.50 | |
| ASML HOLDING NV NY REG NEW | 12/31/19 | 02/24/20 | 1.000 | 292.09 | 295.06 | (2.97) | |
| ASOS PLC SPON ADR | 10/10/19 | 02/05/20 | 1.000 | 43.04 | 30.38 | 12.66 | |
| | 10/10/19 | 02/10/20 | 5.000 | 222.05 | 151.89 | 70.16 | |
| GIVAUDAN-SA ADR | 10/10/19 | 02/05/20 | 5.000 | 332.91 | 280.29 | 52.62 | |
| L OREAL CO ADR | 12/31/19 | 02/03/20 | 2.000 | 112.55 | 118.71 | (6.16) | |
| LVMH MOET HENNESSY LOUIS VUITT | 10/10/19 | 02/04/20 | 5.000 | 453.59 | 415.82 | 37.77 | |
| SHISEIDO LTD SPON ADR | 10/10/19 | 02/03/20 | 1.000 | 62.79 | 80.59 | (17.80) | |
| UMICORE SA ADR | 10/10/19 | 02/10/20 | 15.000 | 178.17 | 145.50 | 32.67 | |
| **Short-Term This Period** | | | | **$2,126.12** | **$1,849.67** | **$276.45** | |
| **Short-Term Year to Date** | | | | **$58,987.04** | **$57,477.42** | **$1,509.62** | |
| **Net Realized Gain/(Loss) This Period** | | | | **$2,126.12** | **$1,849.67** | **$276.45** | |
| **Net Realized Gain/(Loss) Year to Date** | | | | **$313,920.69** | **$337,844.72** | **$(23,924.03)** | |

Security Mark at Right

Account Detail

Select UMA Active Assets Account 944-078751-124

FLORENCE ROGERS CHARITABLE TRUST
C/O JESSE C TALLY &

## MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY (CONTINUED)

| Activity Date | Activity Type | Description | Credits/(Debits) |
|---|---|---|---|
| 3/9 | Automatic Redemption | BANK DEPOSIT PROGRAM | (1,621.75) |
| 3/10 | Automatic Redemption | BANK DEPOSIT PROGRAM | (327.99) |
| 3/19 | Automatic Redemption | BANK DEPOSIT PROGRAM | (1,160.19) |
| 3/20 | Automatic Investment | BANK DEPOSIT PROGRAM | 932.83 |
| 3/30 | Automatic Redemption | BANK DEPOSIT PROGRAM | (5,000.00) |
| 3/31 | Automatic Investment | BANK DEPOSIT PROGRAM | 706.19 |
| 3/31 | Automatic Investment | BANK DEPOSIT PROGRAM | 0.07 |
| NET ACTIVITY FOR PERIOD | | | $(7,281.85) |

## REALIZED GAIN/(LOSS) DETAIL

### LONG-TERM GAIN/(LOSS)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| BLACKROCK LOW DUR BD INV INST | 07/17/15 | 03/02/20 | 2,198.660 | $21,348.99 | $21,284.26 | $64.73 | |
| | 09/16/15 | 03/02/20 | 866.538 | 8,414.08 | 8,353.91 | 60.17 | |
| | 10/15/15 | 03/02/20 | 729.544 | 7,083.87 | 7,047.82 | 36.05 | |
| BLACKROCK TOTAL RET I | 05/31/16 | 03/02/20 | 2,743.775 | 33,748.43 | 32,239.36 | 1,509.07 | |
| BLACKSTONE ALT MULT-STRAT INST | 12/28/17 | 03/17/20 | 2,738.310 | 26,123.47 | 29,382.07 | (3,258.60) | |
| CAUSEWAY EMERGING MKTS INST | 08/29/17 | 03/02/20 | 2,225.742 | 26,775.68 | 29,691.40 | (2,915.72) | |
| | 12/28/17 | 03/02/20 | 1,921.387 | 23,114.28 | 27,129.99 | (4,015.71) | |
| CLEARBRIDGE AGGRESSIVE GWTH I | 07/27/16 | 03/02/20 | 85.813 | 16,319.92 | 18,206.85 | (1,886.93) | H |
| | 08/03/16 | 03/02/20 | 216.107 | 41,099.23 | 46,045.93 | (4,946.70) | |
| | 12/31/18 | 03/02/20 | 173.779 | 33,049.29 | 31,050.92 | 1,998.37 | |
| *Basis Adjustment Due To Wash Sale: $1,106.99* | | | | | | | |
| JPMORGAN VALUE ADVANTAGE I | 09/16/15 | 03/02/20 | 1,975.623 | 66,578.50 | 56,957.22 | 9,621.28 | |
| | 10/15/15 | 03/02/20 | 215.208 | 7,252.50 | 6,197.98 | 1,054.52 | |
| | 08/03/16 | 03/02/20 | 1,259.207 | 42,435.28 | 37,071.06 | 5,364.22 | |
| OAKMARK FUND ADVISOR | 09/16/15 | 03/02/20 | 775.693 | 56,819.51 | 49,454.41 | 7,365.10 | |
| | 10/15/15 | 03/02/20 | 73.814 | 5,406.88 | 4,776.96 | 629.92 | |
| | 08/03/16 | 03/02/20 | 718.873 | 52,657.44 | 47,535.97 | 5,121.47 | |
| | 08/29/17 | 03/02/20 | 843.934 | 61,818.17 | 66,787 88 | (4,969.71) | |
| | 12/31/18 | 03/02/20 | 170.783 | 12,509.85 | 11,655.97 | 853.88 | |
| OAKMARK INTERNATIONAL ADVISOR | 08/29/17 | 03/02/20 | 7,353.545 | 156,851.11 | 201,190.23 | (44,339.12) | |
| | 12/31/18 | 03/02/20 | 1,372.393 | 29,273.15 | 28,037.99 | 1,235.16 | |
| PIMCO INCOME I2 | 08/29/17 | 03/17/20 | 3,094.685 | 34,381.95 | 38,435.99 | (4,054.04) | |
| TOUCHSTONE SANDS CAP SEL GR Y | 08/22/17 | 03/02/20 | 1,327.376 | 17,972.67 | 22,193.72 | (4,221.05) | H |
| | 08/29/17 | 03/02/20 | 3,761.768 | 50,934.34 | 63,799.59 | (12,865.25) | |
| | 12/29/17 | 03/02/20 | 694.093 | 9,398 02 | 10,105.99 | (707.97) | |
| | 12/31/18 | 03/02/20 | 1,292.281 | 17,497.48 | 15,235.99 | 2,261.49 | |

Part IV Attachment

Security Mark at Right

Account Detail

Select UMA Active Assets Account 944-078751-124

FLORENCE ROGERS CHARITABLE TRUST C/O JESSE C TALLY &

## LONG-TERM GAIN/(LOSS) (CONTINUED)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| *Basis Adjustment Due To Wash-Sale: $4,738.73* | | | | | | | |
| **Long-Term This Period** | | | | **$858,864.09** | **$909,869.46** | **$(51,005.37)** | |
| **Long-Term Year to Date** | | | | **$1,113,797.74** | **$1,190,236.76** | **$(76,439.02)** | |

## SHORT-TERM GAIN/(LOSS)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| ADIDAS AG | 10/10/19 | 03/02/20 | 9.000 | $1,236.14 | $1,380.60 | $(144.46) | |
| | 12/31/19 | 03/02/20 | 1.000 | 137.35 | 162.66 | (25.31) | |
| | 01/09/20 | 03/02/20 | 2.000 | 274.70 | 344.27 | (69.57) | |
| ADYEN N V UNSPONSRED ADR | 10/10/19 | 03/02/20 | 14.000 | 244.21 | 192.78 | 51.43 | |
| | 10/10/19 | 03/02/20 | 1.000 | 17.44 | 13.75 | 3.69 | |
| | 10/11/19 | 03/02/20 | 14.000 | 244.20 | 197.44 | 46.76 | |
| | 11/20/19 | 03/02/20 | 1.000 | 17.44 | 14.62 | 2.82 | |
| | 11/21/19 | 03/02/20 | 8.000 | 139.55 | 116.80 | 22.75 | |
| | 12/13/19 | 03/02/20 | 14.000 | 244.20 | 219.88 | 24.32 | |
| | 12/18/19 | 03/02/20 | 13.000 | 226.76 | 211.20 | 15.56 | |
| AIA GROUP LTD SPON ADR | 10/10/19 | 03/02/20 | 43.000 | 1,684.27 | 1,587.47 | 96.80 | |
| | 11/26/19 | 03/02/20 | 2.000 | 78.34 | 81.55 | (3.21) | |
| AIRBUS SE UNSPONSORED ADR | 10/10/19 | 03/03/20 | 24.000 | 737 96 | 777.34 | (39.38) | 1 ADJUSTED 03/04/20 |
| | 11/20/19 | 03/03/20 | 6.000 | 184.49 | 223.92 | (39.43) | 1 ADJUSTED 03/04/20 |
| | 12/31/19 | 03/03/20 | 4.000 | 122.99 | 148.00 | (25.01) | 1 ADJUSTED 03/04/20 |
| ALIBABA GROUP HLDG LTD | 10/10/19 | 03/02/20 | 9.000 | 1,869.11 | 1,491.43 | 377.68 | |
| AMADEUS IT GROUP S.A ADR | 10/10/19 | 03/02/20 | 19.000 | 1,324.33 | 1,373.13 | (48.80) | |
| AMDOCS-LIMITED ORD | 10/10/19 | 03/02/20 | 18.000 | 1,174.41 | 1,177.80 | (3.39) | |
| ASML HOLDING NV NY REG NEW | 10/10/19 | 03/02/20 | 6.000 | 1,693.16 | 1,515.77 | 177.39 | |
| BLACKSTONE ALT MULT-STRAT INST | 12/31/19 | 03/17/20 | 146.441 | 1,397.05 | 1,563.99 | (166.94) | |
| BURBERRY GROUP PLC SPONS ADR | 10/10/19 | 03/02/20 | 78.000 | 1,684.86 | 1,999.14 | (314.28) | |
| CATALYST MILLBURN HGD STRAT I | 01/07/20 | 03/17/20 | 364.686 | 8,788.94 | ~~11,885.13~~ 11452.27 | ~~(3,096.19)~~ | <2663.33> |
| | 01/07/20 | 03/17/20 | 50.985 | 1,228.73 | 1,661.59 | (432.86) | W |
| | 01/13/20 | 03/17/20 | 106.003 | 2,554.67 | 3,460.99 | (906.32) | |
| *Disallowed Loss Based On Wash Sale: $432.86* | | | | | | | |
| CLEARBRIDGE INTL GROWTH I | 03/02/20 | 03/17/20 | 189.169 | 7,854.29 | 9,725.17 | (1,870.88) | |
| | 03/02/20 | 03/17/20 | 43.103 | 1,789.64 | ~~2,215.93~~ 1789.64 | ~~(426.29)~~ | ~~W~~ 0 |
| *Disallowed Loss Based On Wash Sale: $426.29* | | | | | | | |
| CREDIT AGRICOLE SA UNSP ADR | 10/21/19 | 03/02/20 | 31.000 | 179.18 | 203.42 | (24.24) | |
| | 10/22/19 | 03/02/20 | 32.000 | 184.95 | 209.88 | (24.93) | |
| | 10/28/19 | 03/02/20 | 31.000 | 179.18 | 205.74 | (26.56) | |
| | 12/13/19 | 03/02/20 | 48.000 | 277.43 | 351.43 | (74.00) | |
| | 12/31/19 | 03/02/20 | 3.000 | 17.34 | 21.63 | (4.29) | |

# Account Detail

Select UMA Active Assets Account 944-078751-124
FLORENCE ROGERS CHARITABLE TRUST
C/O JESSE C TALLY &

## SHORT-TERM GAIN/(LOSS) (CONTINUED)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| DIAGEO PLC SPON ADR NEW | 10/10/19 | 03/02/20 | 15.000 | 2,149.84 | 2,463.95 | (314.11) | |
| ELASTIC N V | 02/04/20 | 03/02/20 | 2.000 | 142.02 | 139.86 | 2.16 | |
| | 02/05/20 | 03/02/20 | 1.000 | 71.01 | 67.46 | 3.55 | |
| | 02/06/20 | 03/02/20 | 3.000 | 213.03 | 208.59 | 4.44 | |
| | 02/11/20 | 03/02/20 | 2.000 | 142.02 | 143.93 | (1.91) | |
| EPIROC AKTIEBOLAG ADR | 10/10/19 | 03/02/20 | 74.000 | 841.36 | 804.38 | 36.98 | |
| ERSTE GROUP BANK AG SPONS ADR | 10/10/19 | 03/02/20 | 88.000 | 1,488.04 | 1,397.58 | 90.46 | |
| | 10/21/19 | 03/02/20 | 8.000 | 135.28 | 140.36 | (5.08) | |
| FANUC CORPORATION UNSP ADR | 10/10/19 | 03/02/20 | 101.000 | 1,667.47 | 1,789.39 | (121.92) | |
| | 12/31/19 | 03/02/20 | 1.000 | 16.51 | 18.41 | (1.90) | |
| GIVAUDAN SA ADR | 10/10/19 | 03/02/20 | 23.000 | 1,475.50 | 1,289.32 | 186.18 | |
| HDFC BANK LTD ADR | 10/10/19 | 03/02/20 | 21.000 | 1,138.49 | 1,177.71 | (39.22) | |
| HEXAGON AB ADR | 12/13/19 | 03/02/20 | 3.000 | 161.78 | 182.90 | (21.12) | |
| HOYA CORP SPONS ADR | 10/10/19 | 03/02/20 | 9.000 | 814.03 | 736.50 | 77.53 | |
| | 12/31/19 | 03/02/20 | 1.000 | 90.45 | 95.90 | (5.45) | |
| ICON PLC | 10/10/19 | 03/02/20 | 16.000 | 2,585.26 | 2,265.40 | 319.86 | |
| INDUSTRIA DE DISENO TEXTIL IND | 10/10/19 | 03/02/20 | 64.000 | 992.29 | 994.44 | (2.15) | |
| JPMORGAN VALUE ADVANTAGE I | 12/31/19 | 03/02/20 | 83.762 | 2,822.78 | 3,125.98 | (303.20) | |
| KAO CORP | 10/10/19 | 03/02/20 | 102.000 | 1,480.49 | 1,614.25 | (133.76) | |
| KBC GROUP NV UNSPONS ADR | 10/10/19 | 03/02/20 | 43.000 | 1,433.23 | 1,398.70 | 34.53 | |
| | 10/21/19 | 03/02/20 | 4.000 | 133.32 | 140.97 | (7.65) | |
| L OREAL CO ADR | 10/10/19 | 03/02/20 | 29.000 | 1,591.30 | 1,568.08 | 23.22 | |
| | 12/31/19 | 03/02/20 | 1.000 | 54.87 | 59.36 | (4.49) | |
| LEGRAND SA | 10/10/19 | 03/02/20 | 111.000 | 1,694.93 | 1,610.98 | 83.95 | |
| LINDE PLC | 10/10/19 | 03/02/20 | 10.000 | 1,938.77 | 1,917.08 | 21.69 | |
| LONDON STK EXCHANGE GROUP ADR | 10/10/19 | 03/02/20 | 98.000 | 2,481.79 | 2,234.40 | 247.39 | |
| LVMH MOET HENNESSY LOUIS VUITT | 10/10/19 | 03/02/20 | 19.000 | 1,596.83 | 1,580.12 | 16.71 | |
| MONOTARO CO LTD ADR | 10/10/19 | 03/02/20 | 23.000 | 509.66 | 597.95 | (88.29) | |
| NESTLE SPON ADR REP REG SHR | 10/10/19 | 03/02/20 | 28.000 | 2,930.41 | 3,015.32 | (84.91) | |
| NIDEC CORP | 10/10/19 | 03/02/20 | 47.000 | 1,409.02 | 1,603.01 | (193.99) | |
| | 12/31/19 | 03/02/20 | 2.000 | 59.96 | 68.42 | (8.46) | |
| NINTENDO CO LTD ADR NEW | 10/10/19 | 03/02/20 | 42.000 | 1,800.42 | 1,961.69 | (161.27) | |
| NOVARTIS AG ADR | 10/10/19 | 03/02/20 | 28.000 | 2,404.59 | 2,407.29 | (2.70) | |
| NOVO NORDISK A/S ADR | 10/10/19 | 03/02/20 | 31.000 | 1,872.29 | 1,599.60 | 272.69 | |
| OAKMARK FUND ADVISOR | 12/31/19 | 03/02/20 | 166.532 | 12,198.47 | 13,305.93 | (1,107.46) | |
| OAKMARK INTERNATIONAL ADVISOR | 01/10/20 | 03/02/20 | 1,954.398 | 41,687.31 | 48,644.97 | (6,957.66) | |
| | 01/22/20 | 03/02/20 | 29.770 | 634.99 | 726.98 | (91.99) | |
| PIMCO INCOME I2 | 12/31/19 | 03/17/20 | 133.636 | 1,484.69 | 1,608.98 | (124.29) | |
| | 01/02/20 | 03/17/20 | 34.908 | 387.83 | 420.99 | (33.16) | |
| | 03/03/20 | 03/17/20 | 358.034 | 3,977.76 | 4,299.99 | (322.23) | |
| PING AN INSURANCE ADR | 10/10/19 | 03/02/20 | 68.000 | 1,515.34 | 1,597.32 | (81.98) | |
| | 12/31/19 | 03/02/20 | 2.000 | 44.57 | 47.45 | (2.88) | |

Security Mark at Right

# Account Detail

Select UMA Active Assets Account 944-078751-124

FLORENCE ROGERS CHARITABLE TRUST
C/O JESSE C TALLY &

## SHORT-TERM GAIN/(LOSS) (CONTINUED)

| Security Description | Date Acquired | Date Sold | Quantity | Sales Proceeds | Orig / Adj Total Cost | Realized Gain/(Loss) | Comments |
|---|---|---|---|---|---|---|---|
| RENTOKIL GROUP PLC SP ADR | 10/10/19 | 03/02/20 | 58.000 | 1,911.05 | 1,661.12 | 249.93 | |
| ROCHE HOLDINGS ADR | 10/10/19 | 03/02/20 | 66.000 | 2,694 71 | 2,380.49 | 314 22 | |
| | 02/11/20 | 03/02/20 | 2.000 | 81.66 | 87.04 | (5.38) | |
| ROGERS COMM INC CL B (BC) | 10/10/19 | 03/02/20 | 26.000 | 1,227.54 | 1,245.95 | (18.41) | |
| SAP AG | 10/10/19 | 03/02/20 | 24 000 | 2,977.17 | 2,764.50 | 212.67 | |
| SHISEIDO LTD SPON ADR | 10/10/19 | 03/02/20 | 40.000 | 2,361.88 | 3,223.60 | (861.72) | |
| SHOPIFY INC | 10/10/19 | 03/02/20 | 2.000 | 961.10 | 655.81 | 305.29 | |
| SOCIEDAD QUIMICA Y MINERA ADS | 10/10/19 | 03/02/20 | 30.000 | 857.90 | 803.22 | 54.68 | |
| | 12/31/19 | 03/02/20 | 1.000 | 28.60 | 26.71 | 1.89 | |
| SPOTIFY TECHNOLOGY SA | 10/10/19 | 03/02/20 | 8.000 | 1,104.16 | 901.53 | 202.63 | |
| STONECO LTD CL A | 10/10/19 | 03/02/20 | 29.000 | 1,246.73 | 989.82 | 256.91 | |
| | 11/22/19 | 03/02/20 | 3.000 | 128.97 | 118.83 | 10.14 | |
| TAIWAN SMCNDCTR MFG CO LTD ADR | 10/10/19 | 03/02/20 | 20.000 | 1,096.88 | 978.50 | 118.38 | |
| | 12/31/19 | 03/02/20 | 1.000 | 54.84 | 57.94 | (3.10) | |
| TEAMVIEWER AG ADR | 02/05/20 | 03/02/20 | 11.000 | 227.58 | 197.47 | 30.11 | |
| | 02/10/20 | 03/02/20 | 12.000 | 248.28 | 207.84 | 40.44 | |
| | 02/11/20 | 03/02/20 | 13.000 | 268.96 | 230.53 | 38.43 | |
| TECHNOPRO HLDGS INC ADR | 10/10/19 | 03/02/20 | 66.000 | 806.17 | 803.88 | 2.29 | |
| TELEPERFORMANCE SA UNSP ADR | 10/10/19 | 03/02/20 | 11.000 | 1,395.09 | 1,184.39 | 210.70 | |
| TEMENOS AG SPONSORED ADR | 10/10/19 | 03/02/20 | 9.000 | 1,299.66 | 1,486.80 | (187.14) | |
| THOMSON REUTERS CORP | 10/10/19 | 03/02/20 | 11.000 | 821.95 | 739.86 | 82.09 | |
| | 12/02/19 | 03/02/20 | 3.000 | 224.17 | 210.80 | 13.37 | |
| | 02/25/20 | 03/02/20 | 6.000 | 448.34 | 488.54 | (40.20) | |
| THORNBURG INTL GROWTH I | 03/02/20 | 03/17/20 | 490.052 | 9,560.91 | 11,609.32 | (2,048.41) | |
| | 03/02/20 | 03/17/20 | 42.605 | 831.23 | ~~1,009.32~~ 831.23 | ~~(178.09) W~~ ~~0~~ | |
| *Disallowed Loss Based On Wash Sale: $178.09* | | | | | | | |
| TOKYO ELECTRON LTD UNSPON ADR | 01/17/20 | 03/02/20 | 15.000 | 801.28 | 852.17 | (50.89) | |
| | 01/21/20 | 03/02/20 | 7.000 | 373.93 | 393.86 | (19.93) | |
| UMICORE SA ADR | 10/10/19 | 03/02/20 | 90.000 | 953.33 | 873.00 | 80.33 | |
| **Short-Term This Period** | | | | $175,051.38 | ~~$191,989.18~~ | ~~$(16,937.80)~~ (15900.56) | |
| **Short-Term Year to Date** | | | | $234,038.42 | ~~$249,466.60~~ | ~~$(15,428.18)~~ | |
| **Net Realized Gain/(Loss) This Period** | | | | $1,033,915.47 | ~~$1,101,858.64~~ | ~~$(67,943.17)~~ (66905.93) | |
| **Net Realized Gain/(Loss) Year to Date** | | | | $1,347,836.16 | $1,439,703.36 | $(91,867.20) | |

*Disallowed Loss Based On Wash Sale This Period: $1,037.24*

190951.94

1100821.40

| NAME OF RECIPIENT | STATUS | AMOUNT OF GRANT | PURPOSE OF GRANT |
|---|---|---|---|
| | | | |
| Vision Resource Center | Public Charity | 2,370 00 | "Out of Sight" Summer Camp |
| Cross Creek Pipes & Drums | Public Charity | 1,444.50 | (6) Drums & cases |
| Seth's Wish | Public Charity | 1,000.00 | Food Pantry |
| Boy Scouts of America/Occoneechee Council | Public Charity | 5,000.00 | SCOUTStrong |
| Baptist Children's Home of NC, Inc | Public Charity | 2,500.00 | Playground equipment-Odum House |
| USO of North Carolina | Public Charity | 1,518.00 | Story Time/books & crafts |
| JABEZ Youth Foundation | Public Charity | 1,500.00 | Mentoring/tutoring |
| The Jem Project | Public Charity | 1,500.00 | Healthy Cooking & Etiquette Camp |
| Youth First Development Group, Inc | Public Charity | 1,250.00 | Summer Camp & Cultural Arts Program |
| All About Fitness (AAF) Club | Public Charity | 1,250.00 | School supplies |
| Grands to Grown | Public Charity | 1,250.00 | Grandparent support |
| The Armed Services YMCA | Public Charity | 1,500 00 | Operation Hero Program |
| Child Advocacy Center | Public Charity | 2,500 00 | materials-19 Day of Prevention |
| Rape Crisis of Cumberland County | Public Charity | 5,000.00 | Updating & upgrading the crisis hotline equipment |
| Boys & Girls Homes of North Carolina | Public Charity | 5,000.00 | Train & certify more foster parents |
| Ronald McDonald House of Chapel Hill | Public Charity | 5,000.00 | Support Cumberland County families |
| Bicycle Man Community Outreach Projects | Public Charity | 7,807.50 | Christmas- Bicycle Give-a-way |
| Operation Blessing of Fayetteville, Inc. | Public Charity | 11,987.00 | Food Pantry |
| Praise Fellowship Church of God | Religious | 4,500.00 | Christmas for Walker Sprivey Elementary School |
| Fayetteville Urban Ministry | Public Charity | 10,500.00 | Emergency Assistance Food Pantry |
| North Carolina State Ballet | Public Charity | 3,000.00 | "Nutcracker" |
| Unchain Cumberland County | Public Charity | 1,445.00 | Big Fix Food Pantry |
| United Outreach Services, Inc. | Public Charity | 1,300.00 | Christmas-Foster Children |
| Spring Lake Sr Enrichment Center | Public Charity | 800 00 | Christmas for seniors |
| The Soul Harvest Apostolic Church | Religious | 502 50 | Christmas dinner & gift baskets |
| Vision Resource Center | Public Charity | 2,425.00 | Christmas for youth, adults & seniors |
| First in Families of North Carolina | Public Charity | 3,000.00 | Christmas for adults & children |
| Cumberland County Council on Older Adults | Public Charity | 4,500 00 | Christmas Cheer Project |
| Professional Engineers of North Carolina | Public Charity | 2,500 00 | MATHCOUNTS |
| Fayetteville Cumberland Parks & Recreation | Public Charity | 2,110.00 | Expand & update exhibits |
| Fayetteville Academy | Public Charity | 1,000.00 | Lower School Garden Program |
| Fayetteville Symphony Orchestra | Public Charity | 3,000 00 | Childrens Program |
| Cumberland Choral Arts | Public Charity | 1,000 00 | Funding for 2019-2020 year |
| North Carolina Symphony | Public Charity | 3,425 00 | Music education concerts for 5th graders |
| Seth's Wish | Public Charity | 2,000 00 | Food Pantry |
| The Jems Project | Public Charity | 2,150 00 | Summer Camp for students ages 10-16 |
| First in Families of North Carolina | Public Charity | 1,349.55 | Sensory Resource Project |
| Gateway of Hope, Inc | Public Charity | 2,500 00 | Mentoring/intervention Camp for 25 minority boys |
| Better Health of Cumberland County | Public Charity | 7,000 00 | Direct Aid Program |
| Better Health of Cumberland County | Public Charity | 7,000 00 | Diabetes Management Program |
| Vision Resource Center | Public Charity | 7,500.00 | Program for youths w/disabilities |
| Methodist University | Educational | 29,658 23 | PA clinical training & certification |
| Cape Fear Botanical Garden | Public Charity | 10,000.00 | 2nd of (3) pledges-Children's Garden |
| Cape Fear Regional Theatre | Public Charity | 7,000 00 | Provide youths with live performances |
| American Red Cross of Eastern North Carolina | Public Charity | 10,000 00 | Cumberland County programs & projects |
| The Care Clinic | Public Charity | 9,000 00 | Digital x-ray equipment |
| Fayetteville Urban Ministry | Public Charity | 5,000.00 | Emergency Assistance Food Pantry |
| Operation Blessing of Fayetteville, Inc | Public Charity | 5,000.00 | Food Voucher Program |
| Cumberland County Council on Older Adults | Public Charity | 5,000 00 | Meals on Wheels Program |
| | | | |
| Total | | 214,542.28 | |