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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public. 2003

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning April 1, 2019, and ending March 31, 2020

Name of foundation: Alvin I. and Peggy S. Brown Family Charitable Foundation

A Employer identification number: 52-6041735

Number and street (or P.O. box number if mail is not delivered to street address): 8180 Wisconsin Avenue | Room/suite:

B Telephone number (see instructions): 301-841-6751

City or town, state or province, country, and ZIP or foreign postal code: Bethesda, Maryland 20814-3633

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☐ Section 501(c)(3) exempt private foundation 04 ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 17,097,870

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d), must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	6,000,083			
	2	Check ▶ ☐ if the foundation is not required to attach Sch. B				
	3	Interest on savings and temporary cash investments	20,795	20,795	20,795	
	4	Dividends and interest from securities	293,311	293,311	293,311	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	704,509			
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)		704,509		
	8	Net short-term capital gain			-0-	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	8,653	8,653	8,653	
	12	**Total.** Add lines 1 through 11	7027351	1,027,268	322,759	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)	44,426	44,426	44,426	44,426
	17	Interest	2,009	2,009	2,009	2,009
	18	Taxes (attach schedule) (see instructions)	6,455	6,455	6,455	6,455
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	78,126	78,126	78,126	78,126
	24	**Total operating and administrative expenses.** Add lines 13 through 23	131,016	131,016	131,016	131,016
	25	Contributions, gifts, grants paid	1,857,000			1,857,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	1,988,016	131,016	131,016	1,988,016
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	5,039,335			
	b	**Net investment income** (if negative, enter -0-)		896,252		
	c	**Adjusted net income** (if negative, enter -0-)			191,743	

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Part II Balance Sheets

	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	538,057	523,491	523,491
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges Federal Income TAX	8,199	4,021	4,021
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	918,099	980,581	1,970,314
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	7,898,743	12,877,960	14,587,842
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶ Accrued Interest Receivable)		12,202	12,202
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	9,363,098	14,398,255	17,097,870
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)			
Net Assets or Fund Balances	**Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29, and 30.**			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☐ and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances (see instructions)	9,363,098	14,398,255	
	30 Total liabilities and net assets/fund balances (see instructions)	9,363,098	14,398,255	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	9,363,098
2	Enter amount from Part I, line 27a	2	5,039,335
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	14,402,433
5	Decreases not included in line 2 (itemize) ▶ Federal Income TAxes FYE 3/31/19	5	4,178
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	14,398,255

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c	VArious Stocks			
d				
e	(See Schedule Attached)			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	704,509
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8	3	(33,448)

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	980,901	15,713,896	.0624
2017	999,011	15,612,760	.0640
2016	464,994	14,640,344	.0318
2015	532,805	14,167,401	.0376
2014	691,527	14,151,960	.0489

2	**Total** of line 1, column (d)	2	.2447
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.0489
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	16,093,876
5	Multiply line 4 by line 3	5	786,991
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	8,963
7	Add lines 5 and 6	7	795,954
8	Enter qualifying distributions from Part XII, line 4	8	1,988,016

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	8,963
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	
3	Add lines 1 and 2	3	8,963
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	8,963
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019 — 6a: 4,021		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	4,021
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	4,942
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		✓
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		✓
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		✓
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ______ **(2)** On foundation managers. ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		✓
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		✓
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		✓
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T.*	5		✓
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		✓
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	✓	
8a	Enter the states to which the foundation reports or with which it is registered. See instructions. ▶			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G?* If "No," attach explanation	8b	✓	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV	9		✓
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		✓

2

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	N/A	

Website address ▶

14 The books are in care of ▶ the Foundation Telephone no. ▶ 301-841-6751
Located at ▶ 8180 Wisconsin Ave Bethesda, MD ZIP+4 ▶ 20814-3633

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		✓
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year, did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☐ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b	N/A	
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c		✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? ☐ Yes ☐ No If "Yes," list the years ▶ 20___ , 20___ , 20___ , 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		✓
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20___ , 20___ , 20___ , 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☐ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a	During the year, did the foundation pay or incur any amount to:		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No		
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☑ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No		
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	**5b**		
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945-5(d).		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☐ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		
	If "Yes" to 6b, file Form 8870.		
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year? ☐ Yes ☑ No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Patricia Brown - P.O. Box 32 Mendocino, California 95460	President 3 hours	0	None	None
Donna Brown - 817 E Zia Road Santa Fe, New Mexico 87505	Treasurer 3 hours	0	None	None
Laura Cat Engel-Brown - 1504 Calle Preciosa, Santa Fe New Mexico 87505	Secretary 3 hours	0	None	None
Gabriel Gunther - Brown - 235 West 56th St Apt 27F, New York, NY 10019	3 hours	0	None	None

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
	None			
	N/A			

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
None		

Total number of others receiving over $50,000 for professional services . . . . ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 Donations made to various charitable organizations as listed within	1,857,000
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,116,966
b	Average of monthly cash balances	1b	530,774
c	Fair market value of all other assets (see instructions)	1c	13,691,220
d	**Total** (add lines 1a, b, and c)	1d	16,338,960
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	16,338,960
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	245,084
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	16,093,876
6	**Minimum investment return.** Enter 5% of line 5	6	804,694

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	804,694
2a	Tax on investment income for 2019 from Part VI, line 5	2a			
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	4178		
c	Add lines 2a and 2b			2c	4,178
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	800,516
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	800,516
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	800,516

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	1,988,016
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	1,988,016
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,988,016

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1	Distributable amount for 2019 from Part XI, line 7				800,516
2	Undistributed income, if any, as of the end of 2019:				
a	Enter amount for 2018 only			0	
b	Total for prior years: 20 ___, 20 ___, 20 ___		0		
3	Excess distributions carryover, if any, to 2019:				
a	From 2014 . . . 0				
b	From 2015 . . . 0				
c	From 2016 . . . 0				
d	From 2017 . . . 0				
e	From 2018 . . . 0				
f	**Total** of lines 3a through e	0			
4	Qualifying distributions for 2019 from Part XII, line 4: ▶ $ 1,988,016				
a	Applied to 2018, but not more than line 2a			0	
b	Applied to undistributed income of prior years (Election required—see instructions)		0		
c	Treated as distributions out of corpus (Election required—see instructions)	1,187,500			
d	Applied to 2019 distributable amount				
e	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	1,187,500			
b	Prior years' undistributed income. Subtract line 4b from line 2b		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d	Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e	Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f	Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8	Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)				
9	**Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a	1,187,500			
10	Analysis of line 9:				
a	Excess from 2015 . . . 0				
b	Excess from 2016 . . . 0				
c	Excess from 2017 . . . 0				
d	Excess from 2018 . . . 0				
e	Excess from 2019 . . . 1,187,500				

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,116,966
b	Average of monthly cash balances	1b	530,774
c	Fair market value of all other assets (see instructions)	1c	13,691,220
d	**Total** (add lines 1a, b, and c)	1d	16,338,960
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	16,338,960
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	245084
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	16,093876
6	**Minimum investment return.** Enter 5% of line 5	6	804,694

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	804,694
2a	Tax on investment income for 2019 from Part VI, line 5	2a			
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	4178		
c	Add lines 2a and 2b			2c	4,178
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	800,516
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	800,516
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	800,516

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	1,988,016
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	1,988,016
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,988,016

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1	Distributable amount for 2019 from Part XI, line 7				800,516
2	Undistributed income, if any, as of the end of 2019:				
a	Enter amount for 2018 only			0	
b	Total for prior years: 20___ , 20___ , 20___		0		
3	Excess distributions carryover, if any, to 2019:				
a	From 2014 . . . 0				
b	From 2015 . . . 0				
c	From 2016 . . . 0				
d	From 2017 . . . 0				
e	From 2018 . . . 0				
f	**Total** of lines 3a through e	0			
4	Qualifying distributions for 2019 from Part XII, line 4: ▶ $ 1,988,016				
a	Applied to 2018, but not more than line 2a			0	
b	Applied to undistributed income of prior years (Election required—see instructions)		0		
c	Treated as distributions out of corpus (Election required—see instructions)	1,187,500			
d	Applied to 2019 distributable amount				
e	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	1,187,500			
b	Prior years' undistributed income. Subtract line 4b from line 2b		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d	Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e	Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f	Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8	Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)				
9	**Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a	1,187,500			
10	Analysis of line 9:				
a	Excess from 2015 . . . 0				
b	Excess from 2016 . . . 0				
c	Excess from 2017 . . . 0				
d	Excess from 2018 . . . 0				
e	Excess from 2019 . . . 1,187,500				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter: (1) Value of all assets			N/A		
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

none

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

Contributions are made only to preselected charitable organizations. Unsolicited applications for funds are not accepted

b The form in which applications should be submitted and information and materials they should include:

see 2A

c Any submission deadlines:

none

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

non

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
	See Attached schedule			
Total . . . . ▶ **3a**				1,857,000.00
b *Approved for future payment*				
Columbia University - "EPIC" Study on Failure				400,000.00
Total . . . . ▶ **3b**				400,000.00

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f			N/A		
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events			N/A		
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)					

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . 13 ______

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	N/A

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		✓
	(2) Other assets	1a(2)		✓
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		✓
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		✓
	(3) Rental of facilities, equipment, or other assets	1b(3)		✓
	(4) Reimbursement arrangements	1b(4)		✓
	(5) Loans or loan guarantees	1b(5)		✓
	(6) Performance of services or membership or fundraising solicitations	1b(6)		✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		✓

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Laura Pat Engel Brown — 5/1/20 — Secretary

Signature of officer or trustee — Date — Title

May the IRS discuss this return with the preparer shown below? See instructions. ☐Yes ☐No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no.	

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Go to *www.irs.gov/Form990* for the latest information.**

OMB No. 1545-0047

2019

Name of the organization: Alvin Z. and Peggy S. Brown Family Charitable Foundation

Employer identification number: 52-6041735

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33$^{1}/_{3}$% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization: Alvin I. and Peggy S. Brown Family Charitable Foundation

Employer identification number: 52-6041735

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Estate of Peggy S. Brown 8180 Wisconsin Ave. Bethesda, Maryland 20814	$ 6,000,000.00	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
2	Miscellaneous Cash Contributions	$ 83.00	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization: Alvin I. and Peggy S. Brown Family Charitable Foundation

Employer identification number: 52-6041735

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
	— None —	$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		$	

Alvin I. and Peggy S. Brown Charitable Foundation
Summary of Charitable Contributions
Fiscal Year Ended March 31, 2020

		If Individual Relationship	Foundation Status of Recipient	Purpose of Grant/Contribution
Columbia University - Pledge	$ 400,000.00	N/A	Qual. 501c3 Recip	Donation
St. Jude Children's Hospital	$ 200,000.00	N/A	Qual. 501c3 Recip	Donation
New Mexico Alive	$ 175,000.00	N/A	Qual. 501c3 Recip	Donation
Remy's Good Day Fund	$ 100,000.00	N/A	Qual. 501c3 Recip	Donation
Doctors Without Boarders	$ 64,000.00	N/A	Qual. 501c3 Recip	Donation
Creativity For Peace	$ 50,000.00	N/A	Qual. 501c3 Recip	Donation
Mendocino Children's Fund	$ 50,000.00	N/A	Qual. 501c3 Recip	Donation
Santa Fe Ketamine Clinic	$ 50,000.00	N/A	Qual. 501c3 Recip	Donation
The Street Homeless	$ 50,000.00	N/A	Qual. 501c3 Recip	Donation
Amnesty International	$ 44,000.00	N/A	Qual. 501c3 Recip	Donation
Coalition for Integrity	$ 44,000.00	N/A	Qual. 501c3 Recip	Donation
DUI HUA Foundation	$ 44,000.00	N/A	Qual. 501c3 Recip	Donation
Food Bank for New York	$ 44,000.00	N/A	Qual. 501c3 Recip	Donation
Human Rights Watch	$ 44,000.00	N/A	Qual. 501c3 Recip	Donation
Oxfam America	$ 44,000.00	N/A	Qual. 501c3 Recip	Donation
Southern Bronx Comm. Charity School	$ 44,000.00	N/A	Qual. 501c3 Recip	Donation
USA Shaolin Temple	$ 44,000.00	N/A	Qual. 501c3 Recip	Donation
ACLU	$ 40,000.00	N/A	Qual. 501c3 Recip	Donation
Red Cloud Renewal	$ 30,000.00	N/A	Qual. 501c3 Recip	Donation
Cornerstones Community	$ 25,000.00	N/A	Qual. 501c3 Recip	Donation
In Good Company	$ 25,000.00	N/A	Qual. 501c3 Recip	Donation
Mendocino Coast District Hospice Fund	$ 25,000.00	N/A	Qual. 501c3 Recip	Donation
Union of Concerned Scientists	$ 25,000.00	N/A	Qual. 501c3 Recip	Donation
United Farm Works (UFW	$ 22,000.00	N/A	Qual. 501c3 Recip	Donation
United Farm Workers Foundation	$ 22,000.00	N/A	Qual. 501c3 Recip	Donation
Fortune Society	$ 20,000.00	N/A	Qual. 501c3 Recip	Donation
Heifer International	$ 20,000.00	N/A	Qual. 501c3 Recip	Donation
Women for Women	$ 20,000.00	N/A	Qual. 501c3 Recip	Donation
Compassionate Touch	$ 15,000.00	N/A	Qual. 501c3 Recip	Donation
Beit Tikva	$ 10,000.00	N/A	Qual. 501c3 Recip	Donation
Jewish Federation of PB	$ 10,000.00	N/A	Qual. 501c3 Recip	Donation
Santa Fe Community	$ 10,000.00	N/A	Qual. 501c3 Recip	Donation
The Carter Center	$ 10,000.00	N/A	Qual. 501c3 Recip	Donation
The Eye Contact Found.	$ 10,000.00	N/A	Qual. 501c3 Recip	Donation
B'nai Israel	$ 5,000.00	N/A	Qual. 501c3 Recip	Donation
The American Indian	$ 5,000.00	N/A	Qual. 501c3 Recip	Donation
All Other Charities (See Attached List)	$ 17,000.00	N/A	Qual. 501c3 Recip	Donation
TOTAL	**$ 1,857,000.00**			

All Other Charities

Childresn's Defense Fund	$	200.00
Comm. For Missing Children	$	150.00
Environmental Defense Fund	$	500.00
Florida Science Museum	$	500.00
Hospice of Palm Beach	$	2,000.00
Jewish Family and Children	$	1,000.00
Mothers Against Drunk Driving	$	500.00
Mandel JCC	$	500.00
NCRC	$	500.00
Norton Museum of Art	$	500.00
Palm Beach Habilitation Center	$	1,000.00
Palm Beach Fire and Rescue	$	1,000.00
Southern Law Poverty Center	$	2,000.00
Tempel Israel	$	500.00
The Nature Conservancy	$	250.00
WPBT Channel 2	$	1,000.00
Alzheimers Foundation	$	1,000.00
Breast Cancer Research	$	200.00
PS1 PTA Inc.	$	3,700.00
TOTAL	**$**	**17,000.00**

Alvin and Peggy Brown Family
Charitable Foundation
Charitable Contributions
Fiscal Year Ended 3/31/2020

4/2/2019	1	Red Cloud Renewal	5150	$20,000.00
5/2/2019	2	Amnesty International	5152	$22,000.00
5/2/2019	3	Coalition For Ingegrity	5153	$22,000.00
5/2/2019	4	Doctors Without Boarders	5154	$22,000.00
5/2/2019	5	DUI HUA Foundation	5155	$22,000.00
5/2/2019	6	Food Bank For New York	5156	$22,000.00
5/2/2019	7	Human Rights Watch	5157	$22,000.00
5/2/2019	8	Oxfam America	5158	$22,000.00
5/2/2019	9	USA Shaolin Temple	5159	$22,000.00
5/2/2019	10	Southern Bronx Comm Char Sch	5160	$22,000.00
5/2/2019	11	United Farm Workers	5161	$11,000.00
5/2/2019		United Farm Workers	5162	$11,000.00
5/7/2019		Childrens' Defense Fund	5165	$200.00
5/7/2019		Comm for Missing Children	5166	$150.00
5/7/2019		Environmental Defense Fund	5167	$500.00
5/7/2019		Florida Science Museum	5168	$500.00
5/7/2019		Hospice of Palm Beach	5169	$2,000.00
5/7/2019		Jewish Family and Children	5170	$1,000.00
5/7/2019		Jewish Federation of PB	5171	$10,000.00
5/7/2019		Mothers Against Drunk Driving	5172	$500.00
5/7/2019		Mandel JCC	5173	$500.00
5/7/2019		NCRC	5174	$500.00
5/7/2019		Norton Museum of Art	5175	$500.00
5/7/2019		Palm Beach Habilitation Center	5176	$1,000.00
5/7/2019		Palm Beach Fire and Rescue	5177	$1,000.00
5/7/2019		Southern Law Poverty Ctr	5178	$2,000.00
5/7/2019		Temple Israel	5179	$500.00
5/7/2019		The Nature Conservancy	5180	$250.00
5/7/2019		WPBT Channel 2	5181	$1,000.00
5/7/2019		Alzheimers Foundation	5182	$1,000.00
5/7/2019		Breast Cancer Research	5183	$200.00
		Balance @ 5/31/19		$263,300.00
6/4/2019		Creativity For Peace	5185	$50,000.00
6/4/2019	12	In Good Company	5186	$10,000.00
6/4/2019	13	The Street Homeless	5187	$25,000.00
		Balance @ 6/30/19		$348,300.00
7/25/2019		ST. Jude Children's Hospital	5191	$200,000.00
7/26/2019		Columbia University- pledge	wire	$200,000.00
		Balance @ 7/31/19		$748,300.00
8/20/2019		Union of Concerned Scientists	5195	$25,000.00

Schedule 4

Alvin and Peggy Brown Family
Charitable Foundation
Charitable Contributions
Fiscal Year Ended 3/31/2020

	Balance @ 8/31/2019		$773,300.00
9/10/2019	PS1 PTA Inc.	5197	$3,700.00
9/17/2019	Remy's Good Day Fund	5198	$100,000.00
9/19/2019	In Good Company	5199	$5,000.00
	Balance @ 9/30/2019		$882,000.00
10/1/2019	Mendocino Coast Hospice Fund	5202	$25,000.00
10/1/2019	Mendocino Childrens' Fund	5203	$50,000.00
	Balance @ 10/31/19		$957,000.00
12/3/2019	ACLU	5208	$40,000.00
12/3/2019	Cornerstones Community	5209	$25,000.00
12/3/2019	Compassionate Touch	5210	$15,000.00
12/3/2019	Doctors Without Borders	5211	$20,000.00
12/3/2019	Fortune Society	5212	$20,000.00
12/3/2019	Heifer International	5213	$20,000.00
12/3/2019	Red Cloud Renewable	5214	$10,000.00
12/3/2019	Women for Women	5215	$20,000.00
12/3/2019	The Eye Contact Found	5216	$10,000.00
12/12/2019	The Carter Center	5217	$10,000.00
12/12/2019	The American Indian	5219	$5,000.00
	Balance @ 12/31/2019		$1,152,000.00
1/14/2020	Amnesty international	5221	$22,000.00
1/14/2020	Coalition for Integrity	5222	$22,000.00
1/14/2020	Doctors Without Borders	5223	$22,000.00
1/14/2020	Dua Hua Foundation	5224	$22,000.00
1/14/2020	Food Bank For New York	5225	$22,000.00
1/14/2020	Human Rights Watch	5226	$22,000.00
1/14/2020	Oxfam America	5227	$22,000.00
1/14/2020	USA Shaolin Temple	5228	$22,000.00
1/14/2020	South Bronx	5229	$22,000.00
1/14/2020	United Farmm Workers	5230	$11,000.00
1/14/2020	United Farmm Workers	5231	$11,000.00
1/21/2020	In Good Company	5232	$10,000.00
1/21/2020	New Mexico Alive	5233	$175,000.00
10/1/2019	Void- Mendocino Coast Hosp.	5202	($25,000.00)
1/21/2020	The Street Homeless	5235	$25,000.00
1/21/2020	Santa Fe Ketamine Cl	5234	$50,000.00
2/14/2020	Beit Tikva	5239	$10,000.00
3/6/2020	B'nai Israel	5241	$5,000.00
3/10/2020	Mendocino Coast Distr	5242	$25,000.00
3/26/2020	Santa Fe Community	5244	$10,000.00
2/13/2020	Pledge Columbia University		$200,000.00
	Balance @ 3/31/20		$1,857,000.00

Schedule 4

Al[illegible] and Peggy S. Brown Family Charitable Fou[illegible]tion
Schedule of Short and Long Term Capital Gains and Losses
Fiscal Year Ended March 31, 2020

Page 3- Part IV-Lines 2 and 3- Capital Gains and Losses

	Proceeds	Cost	Gain (Loss)	Purchase Date	Sale Date
Short-Term Capital Gains and Losses					
Grandfield and Dodd Charles Schwab Account	Various	Various	$4,048.00	Various	Various
Semper Vic Partners Flowthrough Investments	Various	Various	($37,496.00)	Various	Various
Total Net Short-Term Losses Page 3 Part IV- Line 3			($33,448.00)		
Long -Term Capital Gains and Losses					
Grandfield and Dodd Charles Schwab Account	Various	Various	$0.00		
Semper Vic Partners- Flowthrough Investment	Various	Various	$688,291.00	Various	Various
Pershing Stocks- (See Schedule Attached)	$183,398.00	$133,732.00	$49,666.00	Various	Various
Total Net Long-Term Gains	$183,398.00	$133,732.00	$737,957.00		
Total Short and Long-Term Gains Page 3-PartIV-Line 2			$704,509.00		

Part II- Line 10B
Investments-Corporate Stocks and Bonds

	A Beginning of the Year Book Value	B End of the Year Book Value	C Year End Fair Market Value
Stocks Held in Pershing Investment	$918,099.00	$980,581.00	$1,970,314.00
Totals	$918,099.00	$980,581.00	$1,970,314.00

Part II-Line 13- Investments- Other Grandfield and Dodd	$0.00	$4,159,534.00	$3,643,781.00
Semper Vic Partners	$7,898,743.00	$8,718,426.00	$10,944,061.00
Totals:	$7,898,743.00	$12,877,960.00	$14,587,842.00

. . .n I. and Peggy S. Brown Family Char le Foundation
Contributions Received and Other Income
Fiscal Year Ended March 31, 2020

Part I-Line 1- Page 1

Contributions Received	
Cash-Estate of Peggy Brown	$6,000,000.00
Cash - Miscellaneous	$83.00
Total Contributions Received	$6,000,083.00

Part I-Line II- Other Income (Loss)

Other Portfolio Income	$8,653.00
Total Other Income	$8,653.00

..in I. and Peggy S. Brown Family Char le Foundation
Schedule of Other Expenses
Fiscal Year Ended March 31, 2020

Part I-Line 23- Other Expenses

Licenses	$169.00
Administrative Expenses- Management Fees	$35,422.00
Portfolio Deductions	$41,743.00
Office Expense	$97.00
Custody and ADR Fees	$695.00
Total	$78,126.00

Part I-Line 18- Taxes

Foreign Taxes	$6,455.00

Part 1- Line 16(b) Accounting Fees and Legal Fees

Charles Harab CPA- Accounting Fees	$42,000.00
Venable LLP- Legal Fees	$2,426.00
Total	$44,426.00