

Form **990-PF**  
 Department of the Treasury  
 Internal Revenue Service

**Return of Private Foundation**  
**or Section 4947(a)(1) Trust Treated as Private Foundation**  
 ▶ Do not enter social security numbers on this form as it may be made public.  
 ▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No. 1545-0052  
**2019**  
**Open to Public Inspection**

**For calendar year 2019, or tax year beginning 02-01-2019 , and ending 01-31-2020**

Name of foundation THE ROBERT GOELET FOUNDATION XXXXX1001		<b>A Employer identification number</b> 13-6146654	
Number and street (or P.O. box number if mail is not delivered to street address) 10 S DEARBORN IL1-0111	Room/suite	<b>B Telephone number</b> (see instructions) (800) 496-2583	
City or town, state or province, country, and ZIP or foreign postal code CHICAGO, IL 60603		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here..... <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here ..... <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>3,044,520</u>	<b>J</b> Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis.)</i>	<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... <input type="checkbox"/>	

<b>Part I Analysis of Revenue and Expenses</b> <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</i>		<b>(a)</b> Revenue and expenses per books	<b>(b)</b> Net investment income	<b>(c)</b> Adjusted net income	<b>(d)</b> Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)				
	<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B				
	<b>3</b> Interest on savings and temporary cash investments				
	<b>4</b> Dividends and interest from securities	66,876	66,876		
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	46,487			
	<b>b</b> Gross sales price for all assets on line 6a <u>680,087</u>				
	<b>7</b> Capital gain net income (from Part IV, line 2)		46,487		
	<b>8</b> Net short-term capital gain			0	
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less: Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)	6,039				
<b>12 Total.</b> Add lines 1 through 11	119,402	113,363			
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc.	41,994	22,393		19,601
	<b>14</b> Other employee salaries and wages		0	0	0
	<b>15</b> Pension plans, employee benefits		0	0	
	<b>16a</b> Legal fees (attach schedule)				0
	<b>b</b> Accounting fees (attach schedule)	8,700	4,350	0	4,350
	<b>c</b> Other professional fees (attach schedule)				0
	<b>17</b> Interest				0
	<b>18</b> Taxes (attach schedule) (see instructions)	1,580	1,580		0
	<b>19</b> Depreciation (attach schedule) and depletion	0	0		
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings			0	0
	<b>22</b> Printing and publications			0	0
	<b>23</b> Other expenses (attach schedule)	250			250
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	52,524	28,323	0	24,201
	<b>25</b> Contributions, gifts, grants paid	126,000			126,000
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	178,524	28,323	0	150,201	
<b>27</b> Subtract line 26 from line 12:					
<b>a Excess of revenue over expenses and disbursements</b>	-59,122				
<b>b Net investment income</b> (if negative, enter -0-)		85,040			
<b>c Adjusted net income</b> (if negative, enter -0-)			0		

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .	115	8,212	8,212
	<b>2</b> Savings and temporary cash investments . . . . .	101,216	52,641	52,641
	<b>3</b> Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	0
	<b>4</b> Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____ 0			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	1,641,723	1,546,877	2,345,156
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .	522,680	598,943	638,511
	<b>11</b> Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .			0
	<b>14</b> Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
<b>15</b> Other assets (describe ▶ _____)				
<b>16 Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	2,265,734	2,206,673	3,044,520	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .		0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow FASB ASC 958, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24, 25, 29 and 30.</b>			
	<b>24</b> Net assets without donor restrictions . . . . .			
	<b>25</b> Net assets with donor restrictions . . . . .			
	<b>Foundations that do not follow FASB ASC 958, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 26 through 30.</b>			
	<b>26</b> Capital stock, trust principal, or current funds . . . . .	2,265,734	2,206,673	
	<b>27</b> Paid-in or capital surplus, or land, bldg., and equipment fund			
	<b>28</b> Retained earnings, accumulated income, endowment, or other funds			
<b>29 Total net assets or fund balances</b> (see instructions) . . . . .	2,265,734	2,206,673		
<b>30 Total liabilities and net assets/fund balances</b> (see instructions) .	2,265,734	2,206,673		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	2,265,734
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	-59,122
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	61
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	2,206,673
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	0
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	<b>6</b>	2,206,673

**Part IV Capital Gains and Losses for Tax on Investment Income**

	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
<b>(a)</b> List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			
<b>1a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69		
<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	46,487
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{ }	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.  Yes  No

**1** Enter the appropriate amount in each column for each year; see instructions before making any entries.

<b>(a)</b> Base period years Calendar year (or tax year beginning in)	<b>(b)</b> Adjusted qualifying distributions	<b>(c)</b> Net value of noncharitable-use assets	<b>(d)</b> Distribution ratio (col. (b) divided by col. (c))
2018	132,563	2,887,888	0.045903
2017	101,181	2,816,184	0.035928
2016	84,223	2,540,571	0.033151
2015	88,623	2,514,903	0.035239
2014	91,299	2,585,988	0.035305
<b>2</b> Total of line 1, column (d)			0.185526
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			0.037105
<b>4</b> Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			2,889,819
<b>5</b> Multiply line 4 by line 3			107,227
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			850
<b>7</b> Add lines 5 and 6			108,077
<b>8</b> Enter qualifying distributions from Part XII, line 4			150,201

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes questions about exempt foundations, tax under section 511, and tax due. Total tax due is 282, with 282 refunded.

Part VII-A Statements Regarding Activities

Table with 10 rows for statements regarding activities. Questions include: Did the foundation attempt to influence legislation? Did it spend more than \$100 for political purposes? Did it file Form 1120-POL? Has the foundation engaged in new activities? Total of 10 questions.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address n/a
14 The books are in care of JPMORGAN CHASE BANK NA Telephone no. (800) 496-2583
Located at 10 S DEARBORN IL1-0111 CHICAGO IL ZIP+4 60603
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -check here and enter the amount of tax-exempt interest received or accrued during the year. 15
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?



**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

<b>3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".</b>		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . . ▶		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 . . . . . ▶	

<b>Part X Minimum Investment Return</b> (All domestic foundations must complete this part. Foreign foundations, see instructions.)		
<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b> 2,886,814
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b> 47,012
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b> 0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b> 2,933,826
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b> 0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b> 0
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b> 2,933,826
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b> 44,007
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b> 2,889,819
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b> 144,491

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b> 144,491
<b>2a</b>	Tax on investment income for 2019 from Part VI, line 5. . . . .	<b>2a</b> 850
<b>b</b>	Income tax for 2019. (This does not include the tax from Part VI.). . . . .	<b>2b</b>
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b> 850
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	<b>3</b> 143,641
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b> 0
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b> 143,641
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b> 0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b> 143,641

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .	<b>1a</b> 150,201
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b> 0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	<b>2</b> 0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:	
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b> 0
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b> 0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b> 150,201
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . .	<b>5</b> 850
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b> 149,351

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
<b>1</b> Distributable amount for 2019 from Part XI, line 7				143,641
<b>2</b> Undistributed income, if any, as of the end of 2019:				
<b>a</b> Enter amount for 2018 only. . . . .			125,901	
<b>b</b> Total for prior years: 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2019:				
<b>a</b> From 2014. . . . .	0			
<b>b</b> From 2015. . . . .	0			
<b>c</b> From 2016. . . . .	0			
<b>d</b> From 2017. . . . .	0			
<b>e</b> From 2018. . . . .	0			
<b>f</b> Total of lines 3a through e. . . . .	0			
<b>4</b> Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ <u>150,201</u>				
<b>a</b> Applied to 2018, but not more than line 2a			125,901	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2019 distributable amount. . . . .				24,300
<b>e</b> Remaining amount distributed out of corpus	0			
<b>5</b> Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 . . . . .				119,341
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions). . . . .	0			
<b>9</b> Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a . . . . .	0			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2015. . . . .	0			
<b>b</b> Excess from 2016. . . . .	0			
<b>c</b> Excess from 2017. . . . .	0			
<b>d</b> Excess from 2018. . . . .	0			
<b>e</b> Excess from 2019. . . . .	0			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year	Prior 3 years			<b>(e) Total</b>
	<b>(a) 2019</b>	<b>(b) 2018</b>	<b>(c) 2017</b>	<b>(d) 2016</b>	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					

**3** Complete 3a, b, or c for the alternative test relied upon:

**a** "Assets" alternative test—enter:

**(1)** Value of all assets . . . . .

**(2)** Value of assets qualifying under section 4942(j)(3)(B)(i)

**b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .

**c** "Support" alternative test—enter:

**(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .

**(2)** Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .

**(3)** Largest amount of support from an exempt organization

**(4)** Gross investment income

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).) NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest. NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:  
JPMorgan Chase Bank NA C Berozsky  
270 Park Ave Fl 18  
New York, NY 10017  
(212) 464-1949

**b** The form in which applications should be submitted and information and materials they should include:  
no application form

**c** Any submission deadlines:  
none

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:  
limited to IRC Section 501 C 3 organization chosen by the trustee

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total . . . . .</b>			▶ <b>3a</b>	126,000
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b>			▶ <b>3b</b>	





**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
2. GILEAD SCIENCES INC		2018-04-04	2019-02-01
1853.225 DODGE & COX INCOME FUND			2019-02-08
330. VANGUARD BD INDEX FD INC		2018-12-21	2019-02-08
7. ANALOG DEVICES INC		2018-09-13	2019-02-19
3. ANALOG DEVICES INC		2018-09-13	2019-02-19
11. ANALOG DEVICES INC		2018-09-13	2019-02-19
9. ANALOG DEVICES INC			2019-02-19
15. ANALOG DEVICES INC		2017-06-15	2019-02-19
4. ANALOG DEVICES INC		2017-06-15	2019-02-19
1867.906 MFS EMERG MRKTS DEBT-R5		2016-07-25	2019-02-19

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
140		147	-7
25,000		25,083	-83
26,033		25,895	138
733		645	88
313		276	37
1,154		1,014	140
939		828	111
1,565		1,199	366
420		320	100
26,506		27,981	-1,475

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-7
			-83
			138
			88
			37
			140
			111
			366
			100
			-1,475

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
11. ANALOG DEVICES INC		2017-06-15	2019-02-20
4. ADOBE SYS INC		2016-11-03	2019-03-07
1. ALPHABET INC-CL C		2008-11-14	2019-03-07
2. ALPHABET INC-CL C		2018-05-07	2019-03-07
1. ALPHABET INC-CL A		2008-11-14	2019-03-07
2. AMAZON COM INC		2018-04-04	2019-03-07
4. AMERICAN EXPRESS CO		2018-07-18	2019-03-07
14. APPLE COMPUTER INC			2019-03-07
4. AUTOMATIC DATA PROCESSING INC		2018-12-03	2019-03-07
58. BANK OF AMERICA CORPORATION		2018-02-21	2019-03-07

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
1,165		880	285
1,020		428	592
1,138		151	987
2,276		2,105	171
1,145		152	993
3,254		2,724	530
431		412	19
2,422		1,611	811
602		589	13
1,644		1,850	-206

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			285
			592
			987
			171
			993
			530
			19
			811
			13
			-206

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
7. BERKSHIRE HATHAWAY INC DEL CL B		2018-09-11	2019-03-07
1. BIOGEN IDEC INC		2018-10-08	2019-03-07
29. BOSTON SCIENTIFIC CORP		2018-04-04	2019-03-07
14. BRISTOL MYERS SQUIBB CO		2017-11-14	2019-03-07
6. BROADCOM INC		2016-04-14	2019-03-07
4. CELGENE CORP.		2008-01-30	2019-03-07
3. CHARTER COMMUNICATIONS INC-A		2017-06-15	2019-03-07
20. CITIGROUP INC NEW		2017-11-14	2019-03-07
13. COCA-COLA CO		2018-04-16	2019-03-07
23. COMCAST CORP NEW CL A		2019-01-29	2019-03-07

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
1,389		1,498	-109
315		342	-27
1,147		782	365
719		861	-142
1,591		948	643
342		108	234
1,020		1,021	-1
1,229		1,428	-199
588		581	7
883		831	52

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-109
			-27
			365
			-142
			643
			234
			-1
			-199
			7
			52

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
9. DELTA AIR LINES INC DEL NEW		2018-01-19	2019-03-07
5. DIAMONDBACK ENERGY INC		2017-11-14	2019-03-07
14. DISNEY WALT CO		2017-11-14	2019-03-07
6. DOLLAR TREE INC		2018-01-19	2019-03-07
22. DOWDUPONT INC		2017-06-15	2019-03-07
12. EOG RESOURCES INC		2016-11-03	2019-03-07
10. ELECTRONIC ARTS		2018-09-20	2019-03-07
6. FACEBOOK INC-A		2016-04-14	2019-03-07
5. FIDELITY NATL INFORMATION SVCS INC		2018-04-04	2019-03-07
3. FIDELITY NATL INFORMATION SVCS INC		2016-11-03	2019-03-07

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
443		533	-90
491		544	-53
1,594		1,448	146
615		678	-63
1,193		1,412	-219
1,100		1,097	3
982		1,139	-157
1,009		666	343
528		471	57
317		225	92

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-90
			-53
			146
			-63
			-219
			3
			-157
			343
			57
			92

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
7. FISERV INC			2019-03-07
5. GENERAL DYNAMICS CORP		2017-11-14	2019-03-07
7. HOME DEPOT INC		2016-04-14	2019-03-07
11. HONEYWELL INTL INC		2011-05-17	2019-03-07
5. INTERCONTINENTALEXCHANGE GROUP, INC		2018-02-21	2019-03-07
40. KEYCORP NEW		2018-10-08	2019-03-07
8. LOWES COS INC		2010-02-26	2019-03-07
14. MARATHON PETROLEUM CORPORATION			2019-03-07
8. MASTERCARD INC - CLASS A		2017-06-15	2019-03-07
11. MERCK & CO INC		2017-11-14	2019-03-07

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
594		592	2
829		999	-170
1,275		932	343
1,674		620	1,054
369		365	4
664		819	-155
805		191	614
825		1,142	-317
1,773		973	800
884		605	279

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			2
			-170
			343
			1,054
			4
			-155
			614
			-317
			800
			279

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
36. MICROSOFT CORP		2002-12-27	2019-03-07
19. MONDELEZ INTERNATIONAL-W/I		2012-02-07	2019-03-07
33. MORGAN STANLEY DEAN WITTER & CO NEW		2018-04-04	2019-03-07
1. NETFLIX.COM INC		2018-09-13	2019-03-07
4. NEXTERA ENERGY INC		2018-07-18	2019-03-07
18. NISOURCE INC		2017-06-15	2019-03-07
7. NORFOLK SOUTHN CORP			2019-03-07
1. NORTHROP GRUMMAN CORP		2017-11-14	2019-03-07
2. NORTHROP GRUMMAN CORP		2018-04-04	2019-03-07
5. NVIDIA CORP		2018-10-08	2019-03-07

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
3,967		963	3,004
891		465	426
1,349		1,724	-375
352		371	-19
752		678	74
490		468	22
1,247		1,054	193
276		299	-23
551		687	-136
746		1,341	-595

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			3,004
			426
			-375
			-19
			74
			22
			193
			-23
			-136
			-595

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1. O'REILLY AUTOMOTIVE INC		2017-11-14	2019-03-07
20. OCCIDENTAL PETE CORP			2019-03-07
2. PARKER HANNIFIN CORP		2018-01-19	2019-03-07
11. PAYPAL HOLDINGS INC		2019-01-23	2019-03-07
6. PEPSICO INC		2018-04-04	2019-03-07
5. PEPSICO INC		2016-06-14	2019-03-07
39. PFIZER INC			2019-03-07
10. PHILIP MORRIS INTERNATIONAL		2018-10-24	2019-03-07
5. PIONEER NAT RES CO		2018-01-19	2019-03-07
6. PRUDENTIAL FINL INC			2019-03-07

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
370		219	151
1,316		1,532	-216
340		422	-82
1,054		995	59
696		655	41
580		515	65
1,615		1,610	5
868		891	-23
683		918	-235
561		480	81

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			151
			-216
			-82
			59
			41
			65
			5
			-23
			-235
			81

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
1. REGENERON PHARMACEUTICALS INC		2019-02-01	2019-03-07
7. ROSS STORES INC		2018-12-11	2019-03-07
2. SVB FINANCIAL GROUP		2018-04-30	2019-03-07
7. SALESFORCE.COM INC		2018-10-08	2019-03-07
11. SCHWAB CHARLES CORP NEW		2017-11-14	2019-03-07
6. STANLEY BLACK & DECKER, INC.		2016-11-03	2019-03-07
12. TEXAS INSTRS INC		2019-02-19	2019-03-07
8. UNION PAC CORP		2018-04-04	2019-03-07
4. UNITED TECHNOLOGIES CORP		2018-01-19	2019-03-07
10. UNITEDHEALTH GROUP INC		2017-06-15	2019-03-07

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
411		430	-19
646		564	82
476		609	-133
1,088		1,060	28
480		488	-8
785		682	103
1,259		1,291	-32
1,318		1,050	268
498		541	-43
2,373		1,807	566

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-19
			82
			-133
			28
			-8
			103
			-32
			268
			-43
			566

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
3. VERTEX PHARMACEUTICALS INC		2018-01-19	2019-03-07
5. VISA INC CLASS A SHARES		2018-04-04	2019-03-07
6. WASTE CONNECTIONS INC		2018-01-19	2019-03-07
23. WELLS FARGO & CO NEW		2011-02-03	2019-03-07
3. WEX INC		2017-06-15	2019-03-07
4. YUM BRANDS INC			2019-03-07
10. ZIMMER HLDGS INC			2019-03-07
6. ALLEGION PLC		2018-01-19	2019-03-07
6. ACCENTURE PLC		2016-11-03	2019-03-07
4. LINDE PLC		2018-12-11	2019-03-07

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
534		472	62
732		584	148
508		431	77
1,142		755	387
517		318	199
385		368	17
1,215		1,149	66
527		508	19
969		709	260
669		643	26

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			62
			148
			77
			387
			199
			17
			66
			19
			260
			26

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
9. MEDTRONIC PLC		2018-07-18	2019-03-07
7. APTIV PLC			2019-03-07
7. CHUBB LTD			2019-03-07
6. NXP SEMICONDUCTORS NV			2019-03-07
8. BIOGEN IDEC INC			2019-03-14
84. BRISTOL MYERS SQUIBB CO		2017-11-14	2019-03-14
19. DISNEY WALT CO		2017-11-14	2019-03-14
. BANK OF AMERICA CORPORATION			2019-03-20
1127.493 JPMORGAN CORE BOND FUND ULTRA		2019-02-08	2019-03-29
3416.856 AMER CENT EQTY INC-Y		2017-08-07	2019-04-01

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
820		792	28
574		610	-36
928		306	622
559		565	-6
2,561		2,353	208
4,133		5,166	-1,033
2,173		1,965	208
49			49
13,000		12,831	169
30,000		32,255	-2,255

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			28
			-36
			622
			-6
			208
			-1,033
			208
			49
			169
			-2,255

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
729.395 DODGE & INTERNATIONAL STOCK FUND		2011-02-03	2019-04-01
. PFIZER INC			2019-04-03
2. DOW INC		2018-04-09	2019-04-08
14. DOW INC		2017-06-15	2019-04-08
2. DOW INC		2018-04-09	2019-04-08
.667 DOW INC		2018-04-09	2019-04-08
.333 DOW INC		2017-06-15	2019-04-08
20. DOW INC			2019-04-08
10. DOW INC			2019-04-08
3. DOW INC		2018-04-04	2019-04-09

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
30,000		26,798	3,202
195			195
114		129	-15
800		905	-105
114		129	-15
38		43	-5
19		21	-2
1,145		1,287	-142
573		629	-56
170		187	-17

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			3,202
			195
			-15
			-105
			-15
			-5
			-2
			-142
			-56
			-17

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
36. OCCIDENTAL PETE CORP			2019-04-25
36. OCCIDENTAL PETE CORP		2017-06-15	2019-04-25
8. OCCIDENTAL PETE CORP		2017-06-15	2019-05-01
2. OCCIDENTAL PETE CORP		2017-06-15	2019-05-01
5. REGENERON PHARMACEUTICALS INC			2019-05-01
1. REGENERON PHARMACEUTICALS INC		2019-01-23	2019-05-01
23. OCCIDENTAL PETE CORP		2017-06-15	2019-05-02
2. OCCIDENTAL PETE CORP		2017-06-15	2019-05-02
1. OCCIDENTAL PETE CORP		2017-06-15	2019-05-02
4. OCCIDENTAL PETE CORP		2017-06-15	2019-05-02

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
2,236		2,310	-74
2,235		2,123	112
461		472	-11
116		118	-2
1,705		2,090	-385
340		400	-60
1,319		1,357	-38
115		118	-3
57		59	-2
230		236	-6

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-74
			112
			-11
			-2
			-385
			-60
			-38
			-3
			-2
			-6

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
2. OCCIDENTAL PETE CORP		2017-06-15	2019-05-02
3. OCCIDENTAL PETE CORP		2017-06-15	2019-05-03
8. OCCIDENTAL PETE CORP		2017-06-15	2019-05-03
1. PRUDENTIAL FINL INC		2018-12-21	2019-05-14
17. PRUDENTIAL FINL INC		2018-12-21	2019-05-14
8. PRUDENTIAL FINL INC		2018-12-21	2019-05-14
3. PRUDENTIAL FINL INC		2018-12-21	2019-05-14
4. PRUDENTIAL FINL INC		2018-12-21	2019-05-14
26. SCHWAB CHARLES CORP NEW		2017-11-14	2019-05-15
23. SCHWAB CHARLES CORP NEW		2017-11-14	2019-05-16

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
115		118	-3
174		177	-3
465		472	-7
98		80	18
1,673		1,354	319
791		637	154
293		239	54
396		319	77
1,112		1,155	-43
1,002		1,021	-19

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-3
			-3
			-7
			18
			319
			154
			54
			77
			-43
			-19

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
6. SCHWAB CHARLES CORP NEW		2017-11-14	2019-05-16
14. SCHWAB CHARLES CORP NEW		2017-11-14	2019-05-16
18. MEDTRONIC PLC			2019-05-16
2. MEDTRONIC PLC		2018-04-10	2019-05-16
17. MEDTRONIC PLC			2019-05-16
7. MEDTRONIC PLC			2019-05-17
12. MEDTRONIC PLC			2019-05-17
5. PARKER HANNIFIN CORP		2018-01-19	2019-05-20
7. PARKER HANNIFIN CORP		2018-01-19	2019-05-20
3. PARKER HANNIFIN CORP			2019-05-20

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
261		266	-5
608		622	-14
1,587		1,585	2
176		159	17
1,502		1,347	155
616		553	63
1,060		932	128
816		1,054	-238
1,142		1,476	-334
489		543	-54

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-5
			-14
			2
			17
			155
			63
			128
			-238
			-334
			-54

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
. PFIZER INC			2019-06-03
23. DELTA AIR LINES INC DEL NEW		2018-01-19	2019-06-05
9. DELTA AIR LINES INC DEL NEW		2018-01-19	2019-06-05
2. PHILIP MORRIS INTERNATIONAL		2002-12-27	2019-06-05
26. PHILIP MORRIS INTERNATIONAL			2019-06-05
1. DELTA AIR LINES INC DEL NEW		2018-01-19	2019-06-06
7. DELTA AIR LINES INC DEL NEW		2018-01-19	2019-06-06
8. DELTA AIR LINES INC DEL NEW			2019-06-06
1. DELTA AIR LINES INC DEL NEW		2018-04-04	2019-06-06
1. DELTA AIR LINES INC DEL NEW		2018-04-04	2019-06-07

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
16			16
1,263		1,361	-98
495		533	-38
154		44	110
2,008		2,315	-307
55		59	-4
384		414	-30
436		430	6
55		53	2
55		53	2

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			16
			-98
			-38
			110
			-307
			-4
			-30
			6
			2
			2

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
1. DELTA AIR LINES INC DEL NEW		2018-04-04	2019-06-07
2. DELTA AIR LINES INC DEL NEW		2018-04-04	2019-06-07
1. DELTA AIR LINES INC DEL NEW		2018-04-04	2019-06-07
69. BANK OF AMERICA CORPORATION		2018-02-21	2019-06-10
15. DOLLAR TREE INC		2018-01-19	2019-06-10
55. WELLS FARGO & CO NEW		2010-12-17	2019-06-10
5. DOLLAR TREE INC		2018-12-17	2019-06-11
17. DOLLAR TREE INC		2018-01-19	2019-06-11
6. DOLLAR TREE INC		2018-12-17	2019-06-11
5. ADOBE SYS INC		2016-11-03	2019-06-27

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
55		53	2
111		106	5
55		53	2
1,946		2,201	-255
1,557		1,695	-138
2,552		1,648	904
533		432	101
1,813		1,922	-109
640		518	122
1,469		535	934

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			2
			5
			2
			-255
			-138
			904
			101
			-109
			122
			934

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
4120.88 JPM SHORT DURATION BOND FD - R6		2016-09-14	2019-08-09
5. SVB FINANCIAL GROUP		2018-04-30	2019-08-14
3. SVB FINANCIAL GROUP		2018-04-30	2019-08-14
8. APTIV PLC			2019-08-14
4. APTIV PLC		2018-04-26	2019-08-14
11. APTIV PLC			2019-08-14
7. SVB FINANCIAL GROUP		2018-04-30	2019-08-15
22. APTIV PLC		2017-11-14	2019-08-15
1000. VANGUARD BD INDEX FD INC			2019-09-26
27. DISNEY WALT CO			2019-10-25

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
44,959		44,918	41
954		1,523	-569
570		914	-344
636		696	-60
321		348	-27
878		921	-43
1,307		2,132	-825
1,744		1,802	-58
80,711		79,039	1,672
3,546		2,766	780

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			41
			-569
			-344
			-60
			-27
			-43
			-825
			-58
			1,672
			780

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
7. ACCENTURE PLC		2016-11-03	2019-10-25
8. ACCENTURE PLC		2016-11-03	2019-10-28
4. ABBVIE INC		2019-09-16	2019-11-06
2. ADOBE SYS INC		2016-11-03	2019-11-06
2. ALEXION PHARMACEUTICALS INC		2019-03-14	2019-11-06
1. ALPHABET INC-CL C		2019-10-25	2019-11-06
1. ALPHABET INC-CL A		2008-11-14	2019-11-06
1. AMAZON COM INC		2019-10-25	2019-11-06
7. AMERICAN EXPRESS CO		2019-06-10	2019-11-06
10. APPLE COMPUTER INC		2012-02-07	2019-11-06

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
1,282		827	455
1,470		945	525
325		283	42
576		214	362
215		269	-54
1,291		1,260	31
1,291		152	1,139
1,795		1,720	75
834		861	-27
2,566		669	1,897

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			455
			525
			42
			362
			-54
			31
			1,139
			75
			-27
			1,897

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
4. AUTOMATIC DATA PROCESSING INC		2019-08-14	2019-11-06
33. BANK OF AMERICA CORPORATION			2019-11-06
4. BERKSHIRE HATHAWAY INC DEL CL B		2018-09-11	2019-11-06
18. BOSTON SCIENTIFIC CORP		2018-04-04	2019-11-06
3. BROADCOM INC		2016-04-14	2019-11-06
11. CELGENE CORP.			2019-11-06
2. CHARTER COMMUNICATIONS INC-A		2017-06-15	2019-11-06
13. CITIGROUP INC NEW		2017-11-14	2019-11-06
9. COCA-COLA CO			2019-11-06
25. COMCAST CORP NEW CL A		2019-05-14	2019-11-06

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
638		657	-19
1,084		1,025	59
886		856	30
734		485	249
931		474	457
1,193		1,054	139
933		681	252
969		928	41
475		402	73
1,099		1,075	24

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-19
			59
			30
			249
			457
			139
			252
			41
			73
			24

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
11. CORTEVA INC		2017-06-15	2019-11-06
4. DIAMONDBACK ENERGY INC		2017-11-14	2019-11-06
4. DISNEY WALT CO		2016-12-07	2019-11-06
6. DUPONT DE NEMOURS INC-WI		2017-06-15	2019-11-06
8. EOG RESOURCES INC		2016-11-03	2019-11-06
5. ELECTRONIC ARTS			2019-11-06
3. FACEBOOK INC-A		2016-04-14	2019-11-06
7. FIDELITY NATL INFORMATION SVCS INC		2019-08-14	2019-11-06
11. FISERV INC			2019-11-06
3. GENERAL DYNAMICS CORP		2017-11-14	2019-11-06

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
287		364	-77
307		436	-129
525		403	122
425		569	-144
572		732	-160
494		569	-75
576		333	243
917		917	
1,148		1,152	-4
544		600	-56

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-77
			-129
			122
			-144
			-160
			-75
			243
			-4
			-56

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
4. HOME DEPOT INC		2016-04-14	2019-11-06
7. HONEYWELL INTL INC		2011-05-17	2019-11-06
2. INTERCONTINENTALEXCHANGE GROUP, INC		2018-02-21	2019-11-06
25. KEYCORP NEW			2019-11-06
16. LILLY ELI & CO		2017-06-15	2019-11-06
5. LOWES COS INC		2010-02-26	2019-11-06
13. MARATHON PETROLEUM CORPORATION		2018-07-26	2019-11-06
5. MASTERCARD INC - CLASS A		2017-06-15	2019-11-06
2. MCDONALDS CORP		2019-06-10	2019-11-06
10. MERCK & CO INC		2019-03-14	2019-11-06

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
932		532	400
1,266		394	872
182		146	36
477		479	-2
1,824		1,314	510
563		119	444
836		1,044	-208
1,350		608	742
388		402	-14
836		814	22

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			400
			872
			36
			-2
			510
			444
			-208
			742
			-14
			22

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
23. MICROSOFT CORP		2002-12-27	2019-11-06
13. MONDELEZ INTERNATIONAL-W/I		2012-02-07	2019-11-06
20. MORGAN STANLEY DEAN WITTER & CO NEW		2018-04-04	2019-11-06
1. NETFLIX.COM INC		2018-09-13	2019-11-06
3. NEXTERA ENERGY INC			2019-11-06
11. NISOURCE INC		2017-06-15	2019-11-06
4. NORFOLK SOUTHN CORP		2018-05-15	2019-11-06
3. NORTHROP GRUMMAN CORP		2019-05-20	2019-11-06
3. NVIDIA CORP		2018-10-08	2019-11-06
1. O'REILLY AUTOMOTIVE INC		2017-11-14	2019-11-06

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
3,310		615	2,695
680		318	362
970		1,045	-75
289		371	-82
682		508	174
298		286	12
770		601	169
1,025		939	86
622		805	-183
440		219	221

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			2,695
			362
			-75
			-82
			174
			12
			169
			86
			-183
			221

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
6. PAYPAL HOLDINGS INC		2019-01-23	2019-11-06
7. PEPSICO INC		2016-06-14	2019-11-06
25. PFIZER INC		2018-04-04	2019-11-06
3. PHILIP MORRIS INTERNATIONAL		2002-12-27	2019-11-06
4. PIONEER NAT RES CO		2018-01-19	2019-11-06
6. PROCTER & GAMBLE CO		2019-06-05	2019-11-06
3. QUALCOMM INC		2019-05-01	2019-11-06
5. ROSS STORES INC		2018-12-11	2019-11-06
6. SALESFORCE.COM INC		2018-10-08	2019-11-06
4. STANLEY BLACK & DECKER, INC.		2016-11-03	2019-11-06

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
602		542	60
940		721	219
941		885	56
253		65	188
530		735	-205
721		640	81
254		259	-5
561		403	158
952		908	44
632		454	178

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			60
			219
			56
			188
			-205
			81
			-5
			158
			44
			178

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
2. TEXAS INSTRS INC		2016-11-03	2019-11-06
6. TEXAS INSTRS INC		2019-02-19	2019-11-06
6. UNION PAC CORP		2018-04-04	2019-11-06
2. UNITED TECHNOLOGIES CORP		2018-01-19	2019-11-06
6. UNITEDHEALTH GROUP INC		2017-06-15	2019-11-06
1. VERTEX PHARMACEUTICALS INC		2018-01-19	2019-11-06
4. VISA INC CLASS A SHARES		2018-04-04	2019-11-06
5. WASTE CONNECTIONS INC		2018-01-19	2019-11-06
10. WELLS FARGO & CO NEW		2010-12-17	2019-11-06
2. WEX INC		2017-06-15	2019-11-06

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
239		137	102
718		646	72
1,055		788	267
295		270	25
1,505		1,084	421
198		157	41
710		467	243
452		359	93
537		300	237
393		212	181

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			102
			72
			267
			25
			421
			41
			243
			93
			237
			181

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
3. YUM BRANDS INC		2018-11-29	2019-11-06
6. ZIMMER HLDGS INC			2019-11-06
3. ALLEGION PLC		2018-01-19	2019-11-06
2. ACCENTURE PLC		2016-11-03	2019-11-06
7. LINDE PLC		2019-08-14	2019-11-06
5. CHUBB LTD		2009-02-06	2019-11-06
4. NXP SEMICONDUCTORS NV			2019-11-06
16. NISOURCE INC		2017-06-15	2019-11-11
21. NISOURCE INC		2017-06-15	2019-11-11
16. NISOURCE INC		2017-06-15	2019-11-12

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
301		275	26
859		688	171
349		254	95
377		236	141
1,408		1,303	105
754		219	535
464		376	88
420		416	4
548		547	1
417		416	1

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			26
			171
			95
			141
			105
			535
			88
			4
			1
			1

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
41. NISOURCE INC			2019-11-12
4. NISOURCE INC		2018-04-04	2019-11-12
4. NISOURCE INC		2018-04-04	2019-11-13
7333.718 JPM GL RES ENH IDX FD - USD - R6 ISIN US48129C2070			2019-11-14
29. CELGENE CORP.		2008-01-30	2019-11-21
67. CELGENE CORP.			2019-11-21
37. RTS BRISTOL-MYERS SQUIBB-CVR		2019-11-21	2019-11-25
4. RTS BRISTOL-MYERS SQUIBB-CVR		2019-11-21	2019-11-25
2. RTS BRISTOL-MYERS SQUIBB-CVR		2019-11-21	2019-11-25
46. RTS BRISTOL-MYERS SQUIBB-CVR		2019-11-21	2019-11-26

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,067		1,024	43
104		97	7
105		97	8
165,669		157,267	8,402
3,133		781	2,352
7,238		6,238	1,000
78		85	-7
8		9	-1
4		5	-1
98		106	-8

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			43
			7
			8
			8,402
			2,352
			1,000
			-7
			-1
			-1
			-8

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
7. RTS BRISTOL-MYERS SQUIBB-CVR		2019-11-21	2019-11-27
10. CORTEVA INC			2020-01-13
8. CORTEVA INC		2017-06-15	2020-01-13
9. CORTEVA INC			2020-01-14
10. CORTEVA INC			2020-01-14
3. CORTEVA INC		2018-04-04	2020-01-14
1. CORTEVA INC		2018-04-04	2020-01-14
2. CORTEVA INC		2019-06-27	2020-01-14
1. CORTEVA INC		2019-06-27	2020-01-14
12. CORTEVA INC			2020-01-15

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
15		16	-1
284		331	-47
228		264	-36
257		296	-39
286		323	-37
86		96	-10
29		32	-3
57		59	-2
28		30	-2
345		357	-12

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-1
			-47
			-36
			-39
			-37
			-10
			-3
			-2
			-2
			-12

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
14. CORTEVA INC			2020-01-15
6. CORTEVA INC			2020-01-15
1. CORTEVA INC		2019-04-08	2020-01-15
7. CORTEVA INC		2019-04-08	2020-01-15
8. CORTEVA INC			2020-01-15
1. CORTEVA INC		2019-06-28	2020-01-15
3. CORTEVA INC		2019-06-28	2020-01-16
3. CORTEVA INC		2019-06-28	2020-01-16
1. CORTEVA INC		2019-06-28	2020-01-16
29. QUALCOMM INC		2019-05-01	2020-01-23

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
401		415	-14
172		178	-6
29		30	-1
201		207	-6
228		236	-8
29		30	-1
87		89	-2
86		89	-3
29		30	-1
2,665		2,508	157

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-14
			-6
			-1
			-6
			-8
			-1
			-2
			-3
			-1
			157

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
14. EOG RESOURCES INC		2016-11-03	2020-01-28
2. EOG RESOURCES INC		2016-11-03	2020-01-28
1. EOG RESOURCES INC		2016-11-03	2020-01-29
1. EOG RESOURCES INC		2016-11-03	2020-01-29
1. EOG RESOURCES INC		2016-11-03	2020-01-29
11. EOG RESOURCES INC		2016-11-03	2020-01-29
11. EOG RESOURCES INC		2016-11-03	2020-01-29
11. EOG RESOURCES INC		2016-11-03	2020-01-29
14. EOG RESOURCES INC		2016-11-03	2020-01-30
3. EOG RESOURCES INC		2016-11-03	2020-01-30

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
1,079		1,280	-201
154		183	-29
77		91	-14
77		91	-14
77		91	-14
830		1,006	-176
843		1,006	-163
832		1,006	-174
1,041		1,280	-239
225		274	-49

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-201
			-29
			-14
			-14
			-14
			-176
			-163
			-174
			-239
			-49

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
			6,543

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
BALTIMORE SYMPHONY ORCHESTRA 1212 CATHEDRAL STREET BALTIMORE, MD 21201	NONE	PC	GENERAL	10,000
NEW YORK CITY HEMOPHILIA CHAPTER 315 WEST 36TH STREET 2ND FLR NEW YORK, NY 10018	NONE	PC	GENERAL	10,000
METROPOLITAN OPERA ASSOCIATION INC 30 LINCOLN CENTER NEW YORK, NY 10023	NONE	PC	PROGRAM SUPPORT	25,000
<b>Total . . . . .</b> ▶ <b>3a</b>				126,000

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i>				
NEW YORK CITY BALLET INC 20 LINCOLN CENTER NEW YORK, NY 10025	NONE	PC	PROGRAM SUPPORT	10,000
CALVARY FUND1740EASTCHESTER RD BRONX, NY 10461	NONE	PC	GENERAL	15,000
SISTERS SERVANTS OF MARY MINISTERS TO T 3305 COUNTRY CUB RD BRONX, NY 10465	NONE	PC	GENERAL	3,500
<b>Total . . . . .</b> ▶ <b>3a</b>				126,000

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
AMERICA NEEDS YOU 589 8TH AVE 5TH FL NEW YORK, NY 10018	NONE	PC	GENERAL	3,500
CORNELL UNIVERSITY 130 E SENECA STREET SUITE 400 ITHACA, NY 14850	NONE	PC	GENERAL	1,000
THE MANOR CLUB 1023 ESPLANADE PELHAM MANOR, NY 10803	NONE	PC	GENERAL	3,000
<b>Total . . . . . ▶ 3a</b>				126,000

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
PELHAM ART CENTER 155 FIFTH AVE PELHAM, NY 10803	NONE	PC	PROGRAM SUPPORT	3,000
METROPOLITAN MUSEUM OF ART 1000 5TH AVE NEW YORK, NY 10028	NONE	PC	GENERAL	20,000
THE PICTURE HOUSE REGIONAL FILM CENTER 175 WOLFS LANE PELHAM, NY 10803	NONE	PC	GENERAL	1,000
<b>Total . . . . . ▶ 3a</b>				126,000

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
NEW ROCHELLE COUNCIL ON THE ARTS 515 NORTH AVENUE NEW YORK, NY 10801	NONE	PC	GENERAL	5,000
LARCHMONT FRIENDS OF THE FAMILY 1 SUMMIT AVE LARCHMONT, NY 10538	NONE	PC	GENERAL	1,000
CHOICE OF NEW ROCHELLE INC 71 NORTH AVE NEW ROCHELLE, NY 10801	NONE	PC	GENERAL	5,000
<b>Total . . . . .</b> ▶ <b>3a</b>				126,000

<b>Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment</b>				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
INNER-CITY SCHOLARSHIP FUND 1011 1ST AVENUE 1400 NEW YORK, NY 10022	NONE	PC	GENERAL	10,000
<b>Total</b> . . . . .			<b>▶ 3a</b>	126,000

**TY 2019 Accounting Fees Schedule****Name:** THE ROBERT GOELET FOUNDATION XXXXX1001**EIN:** 13-6146654

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
AUDIT & ACCOUNTING FEES (ALLOC	8,700	4,350		4,350

**TY 2019 Investments Corporate Bonds Schedule****Name:** THE ROBERT GOELET FOUNDATION XXXXX1001**EIN:** 13-6146654**Investments Corporate Bonds Schedule**

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
256210105 DODGE & COX INCOME	109,138	116,885
4812C0100 JPM CORE BOND FD - U	190,781	209,666
4812C0167 JPM SHRT DURAT BND F	43,732	44,231
552746364 MFS EMERGING MKTS DE		
92203J308 VANGUARD TTL INTL BN	197,321	206,527
921937827 VANGUARD SHORT-TERM		
464287432 ISHARES 20+ YEAR TRE	29,934	30,639
464287440 ISHARES 7-10 YEAR TR	28,037	30,563

**TY 2019 Investments Corporate Stock Schedule****Name:** THE ROBERT GOELET FOUNDATION XXXXX1001**EIN:** 13-6146654**Investments Corporation Stock Schedule**

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
23135106 AMAZON.COM INC		
37833100 APPLE INC		
60505104 BANK OF AMERICA CORP		
101137107 BOSTON SCIENTIFIC CO	3,476	6,867
151020104 CELGENE CORP		
172967424 CITIGROUP INC	6,746	8,557
191216100 COCA-COLA CO/THE	3,518	4,614
254687106 WALT DISNEY CO/THE	3,726	5,117
256206103 DODGE & COX INTL STC	47,017	56,354
437076102 HOME DEPOT INC	5,190	8,896
438516106 HONEYWELL INTERNATIO	3,605	11,086
464287465 ISHARES MSCI EAFE ET	201,863	228,082
464287507 ISHARES CORE S&P MID	41,860	96,421
548661107 LOWE'S COS INC	1,098	5,347
594918104 MICROSOFT CORP	5,481	34,897
609207105 MONDELEZ INTERNATIONAL	2,668	6,254
617446448 MORGAN STANLEY	5,773	9,720
713448108 PEPSICO INC	6,112	8,521
717081103 PFIZER INC	7,790	8,305
718172109 PHILIP MORRIS INTERN	677	2,564
723787107 PIONEER NATURAL RESO	5,446	4,455
854502101 STANLEY BLACK & DECK	4,090	5,736
882508104 TEXAS INSTRUMENTS IN	6,188	9,652
913017109 UNITED TECHNOLOGIES	2,973	3,304
949746101 WELLS FARGO & CO	2,547	3,990
00724F101 ADOBE INC	1,820	5,969
0075W0759 EDGEWOOD GROWTH FD-I	32,387	141,755
02079K107 ALPHABET INC-CL C	2,118	20,079
02079K305 ALPHABET INC-CL A	911	8,597
20030N101 COMCAST CORP-CLASS A	7,944	9,718

## Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
26875P101 EOG RESOURCES INC		
30303M102 FACEBOOK INC-CLASS A	7,500	9,894
31620M106 FIDELITY NATIONAL IN	4,056	7,327
57636Q104 MASTERCARD INC - A	4,428	14,217
78462F103 SPDR S&P 500 ETF TRU	470,269	781,804
91324P102 UNITEDHEALTH GROUP I	7,666	15,530
G1151C101 ACCENTURE PLC-CL A	2,126	3,694
H1467J104 CHUBB LTD	1,880	6,536
00171C403 AMG MG PICTET INTL-Z	80,794	90,179
023135106 AMAZON.COM INC	9,769	24,105
02508F810 AMER CENT EQTY INC-Y	61,446	80,590
32654105 ANALOG DEVICES INC		
037833100 APPLE INC	5,822	26,927
04314H857 ARTISAN INTL VAL-INS	55,500	83,693
060505104 BANK OF AMERICA CORP	3,845	8,601
84670702 BERKSHIRE HATHAWAY IN		
110122108 BRISTOL-MYERS SQUIBB	5,415	6,043
16119P108 CHARTER COMMUNICATIO	6,260	9,832
247361702 DELTA AIR LINES INC		
25278X109 DIAMONDBACK ENERGY I	5,837	4,687
256746108 DOLLAR TREE INC		
26078J100 DOWDUPONT INC		
369550108 GENERAL DYNAMICS COR	5,088	4,737
48129C207 JPMORGAN GL RES ENH		
493267108 KEYCORP	4,115	4,266
532457108 ELI LILLY & CO	4,258	7,401
58933Y105 MERCK & CO. INC.	5,617	7,860
65339F101 NEXTERA ENERGY INC	4,026	6,705
65473P105 NISOURCE INC		
666807102 NORTHROP GRUMMAN COR	5,995	7,491

## Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
67066G104 NVIDIA CORP	6,084	6,147
67103H107 O'REILLY AUTOMOTIVE	1,750	3,249
674599105 OCCIDENTAL PETROLEUM		
701094104 PARKER HANNIFIN CORP		
808513105 SCHWAB (CHARLES) COR		
907818108 UNION PACIFIC CORP	5,784	8,612
92532F100 VERTEX PHARMACEUTICA	2,674	3,860
92826C839 VISA INC-CLASS A SHA	3,289	6,566
94106B101 WASTE CONNECTIONS IN	2,803	3,756
96208T104 WEX INC	1,906	3,905
98956P102 ZIMMER BIOMET HOLDIN	6,461	8,578
G0176J109 ALLEGION PLC	2,881	4,397
G6095L109 APTIV PLC		
25816109 AMERICAN EXPRESS CO		
53015103 AUTOMATIC DATA PROCES		
285512109 ELECTRONIC ARTS INC	4,973	5,828
337738108 FISERV INC	9,696	13,047
375558103 GILEAD SCIENCES INC		
464286509 ISHARES MSCI CANADA	59,124	62,040
655844108 NORFOLK SOUTHERN COR	5,744	8,537
744320102 PRUDENTIAL FINANCIAL		
778296103 ROSS STORES INC	3,446	4,824
988498101 YUM] BRANDS INC	2,174	2,538
09062X103 BIOGEN INC		
11135F101 BROADCOM INC	5,213	10,070
45866F104 INTERCONTINENTAL EXC	1,887	2,593
56585A102 MARATHON PETROLEUM C	7,988	6,322
64110L106 NETFLIX INC	5,144	4,831
70450Y103 PAYPAL HOLDINGS INC	5,010	6,947
75886F107 REGENERON PHARMACEUT		

## Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
78486Q101 SVB FINANCIAL GROUP		
79466L302 SALESFORCE.COM INC	7,188	9,662
G5494J103 LINDE PLC	10,106	11,985
G5960L103 MEDTRONIC PLC		
00287Y109 ABBVIE INC	6,129	6,320
015351109 ALEXION PHARMACEUTIC	2,290	1,690
025816109 AMERICAN EXPRESS CO	6,159	7,143
053015103 AUTOMATIC DATA PROCE	4,673	5,484
084670702 BERKSHIRE HATHAWAY I	7,178	8,528
26614N102 DUPONT DE NEMOURS IN	5,336	2,968
315911750 FIDELITY 500 INDEX-I	120,000	124,618
46434G822 ISHARES MSCI JAPAN E	41,229	43,313
580135101 MCDONALD'S CORP	3,821	4,065
742718109 PROCTER & GAMBLE CO/	5,337	6,231
883556102 THERMO FISHER SCIENT	4,318	4,071
N6596X109 NXP SEMICONDUCTORS N	4,346	5,455

**TY 2019 Other Expenses Schedule****Name:** THE ROBERT GOELET FOUNDATION XXXXX1001**EIN:** 13-6146654**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ATTORNEY GENERAL FEE	250	0		250

**TY 2019 Other Income Schedule****Name:** THE ROBERT GOELET FOUNDATION XXXXX1001**EIN:** 13-6146654**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FEDERAL TAX REFUND	6,039	0	

**TY 2019 Other Increases Schedule****Name:** THE ROBERT GOELET FOUNDATION XXXXX1001**EIN:** 13-6146654

Description	Amount
COST BASIS ADJUSTMENT	49
ROUNDING	12

**TY 2019 Taxes Schedule****Name:** THE ROBERT GOELET FOUNDATION XXXXX1001**EIN:** 13-6146654

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
FOREIGN TAXES	44	44		0
FOREIGN TAXES ON QUALIFIED FOR	1,473	1,473		0
FOREIGN TAXES ON NONQUALIFIED	63	63		0