

EXTENDED TO NOVEMBER 16, 2020

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0047

1912-2019

Open to Public Inspection

Form 990-PF

Department of the Treasury  
Internal Revenue Service

For calendar year 2019 or tax year beginning

, and ending

Name of foundation

HARRISON R. AND DOROTHEA S. COOKE TRUST

A Employer identification number

99-0290717

Number and street (or P.O. box number if mail is not delivered to street address)

P.O. BOX 3170, DEPT 715

Room/suite

B Telephone number

(808) 694-4122

City or town, state or province, country, and ZIP or foreign postal code

HONOLULU, HI 96802-3170

C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

(from Part II, col. (c), line 16)

\$

3,494,720.

J Accounting method

☒ Cash☐ Accrual☐ Other (specify)F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1	Contributions, gifts, grants, etc., received			N/A	
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments	1,447.	1,447.		STATEMENT 1
4	Dividends and interest from securities	79,429.	79,429.		STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	82,853.			
b	Gross sales price for all assets on line 6a	283,323.			
7	Capital gain net income (from Part IV, line 2)		82,853.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss)				
11	Other income				
12	Total. Add lines 1 through 11	163,729.	163,729.		
13	Compensation of officers, directors, trustees, etc.	42,946.	32,209.		10,737.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees	1,500.	450.		1,050.
c	Other professional fees				
17	Interest				
18	Taxes	266.	136.		0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses	13.	13.		0.
24	Total operating and administrative expenses. Add lines 13 through 23	44,725.	32,808.		11,787.
25	Contributions, gifts, grants paid	155,658.			155,658.
26	Total expenses and disbursements. Add lines 24 and 25	200,383.	32,808.		167,445.
27	Subtract line 26 from line 12.				
a	Excess of revenue over expenses and disbursements	-36,654.			
b	Net investment income (if negative, enter -0-)		130,921.		
c	Adjusted net income (if negative, enter -0-)			N/A	

ENVELOPE  
POST-MARK DATE  
SEP 28 2020

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SCANNED MAY 05 2021

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing				1,918.	1,918.
	2 Savings and temporary cash investments			63,339.	105,523.	105,523.
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock					
	c Investments - corporate bonds					
	Liabilities	11 Investments - land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶						
12 Investments - mortgage loans						
13 Investments - other STMT 6		2,618,542.	2,537,786.	3,387,279.		
14 Land, buildings, and equipment: basis ▶						
Less: accumulated depreciation ▶						
15 Other assets (describe ▶)						
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		2,681,881.	2,645,227.	3,494,720.		
17 Accounts payable and accrued expenses						
18 Grants payable						
Net Assets or Fund Balances	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶)					
23 Total liabilities (add lines 17 through 22)	0.	0.				
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/>					
	24 Net assets without donor restrictions					
	25 Net assets with donor restrictions					
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/>					
	and complete lines 26 through 30.					
	26 Capital stock, trust principal, or current funds	2,681,881.	2,645,227.			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.			
	28 Retained earnings, accumulated income, endowment, or other funds	0.	0.			
29 Total net assets or fund balances	2,681,881.	2,645,227.				
30 Total liabilities and net assets/fund balances	2,681,881.	2,645,227.				

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	2,681,881.
2 Enter amount from Part I, line 27a	2	-36,654.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	2,645,227.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	2,645,227.

Form 990-PF (2019)

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a PUBLICLY TRADED SECURITIES</b>	P	VARIOUS	VARIOUS
<b>b PUBLICLY TRADED SECURITIES</b>	P	VARIOUS	VARIOUS
<b>c LITIGATION SETTLEMENT</b>	P	VARIOUS	VARIOUS
<b>d CAPITAL GAINS DIVIDENDS</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
<b>a 16,401.</b>		<b>16,296.</b>	<b>105.</b>
<b>b 258,107.</b>		<b>184,124.</b>	<b>73,983.</b>
<b>c 79.</b>		<b>50.</b>	<b>29.</b>
<b>d 8,736.</b>			<b>8,736.</b>
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			<b>105.</b>
<b>b</b>			<b>73,983.</b>
<b>c</b>			<b>29.</b>
<b>d</b>			<b>8,736.</b>
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss) <span style="float: right;">{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }</span>	<b>2</b>	<b>82,853.</b>
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	<b>3</b>	<b>N/A</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	165,688.	3,306,401.	.050111
2017	154,485.	3,261,133.	.047372
2016	160,961.	3,035,374.	.053028
2015	167,153.	3,191,509.	.052374
2014	161,479.	3,322,815.	.048597

<b>2</b> Total of line 1, column (d)	<b>2</b>	<b>.251482</b>
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	<b>.050296</b>
<b>4</b> Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	<b>4</b>	<b>3,295,186.</b>
<b>5</b> Multiply line 4 by line 3	<b>5</b>	<b>165,735.</b>
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	<b>1,309.</b>
<b>7</b> Add lines 5 and 6	<b>7</b>	<b>167,044.</b>
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.	<b>8</b>	<b>167,445.</b>

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.  
Date of ruling or determination letter: \_\_\_\_\_ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)

5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

a 2019 estimated tax payments and 2018 overpayment credited to 2019

b Exempt foreign organizations - tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments. Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached

9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be: Credited to 2020 estimated tax ☒ 1,591. Refunded ☒ 0.

6a	1,400.
6b	0.
6c	1,500.
6d	0.

**Part VII-A Statements Regarding Activities**

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

1c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:  
(1) On the foundation ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?  
If "Yes," attach a detailed description of the activities.

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?  
If "Yes," attach the statement required by General Instruction T

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:  
• By language in the governing instrument, or  
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV

8a Enter the states to which the foundation reports or with which it is registered. See instructions. ☒ HI

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8b	X	
9		X
10		X

N/A

**Part VII-A Statements Regarding Activities** (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► <b>BANK OF HAWAII</b> Telephone no. ► <b>(808) 694-4122</b> Located at ► <b>130 MERCHANT ST, HONOLULU, HI</b> ZIP+4 ► <b>96813</b>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here ► <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? If "Yes," list the years ► , , ,		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► , , ,		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	X

Form 990-PF (2019)

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

5a During the year, did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?
- (3) Provide a grant to an individual for travel, study, or other similar purposes?
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

☐ Yes ☒ No

☐ Yes ☒ No☐ Yes ☒ No

☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

**Organizations relying on a current notice regarding disaster assistance, check here**

▶ ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A**

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

6b

**X**

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**7b**

**8** Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?

☐ Yes ☒ No

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, and foundation managers and their compensation.**

[illegible]

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total** number of other employees paid over \$50,000

▶	0
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Form 990-PF (2019)



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,275,921.
b	Average of monthly cash balances	1b	69,446.
c	Fair market value of all other assets	1c	
d	<b>Total</b> (add lines 1a, b, and c)	1d	3,345,367.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,345,367.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	50,181.
5	<b>Net value of noncharitable-use assets</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,295,186.
6	<b>Minimum investment return</b> Enter 5% of line 5	6	164,759.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	164,759.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	1,309.
b	Income tax for 2019 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	1,309.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	163,450.
4	Recoveries of amounts treated as qualifying distributions	4	79.
5	Add lines 3 and 4	5	163,529.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount as adjusted</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	163,529.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	167,445.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	167,445.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	1,309.
6	<b>Adjusted qualifying distributions</b> Subtract line 5 from line 4	6	166,136.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2019)



**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				163,529.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2019				
a From 2014				
b From 2015				
c From 2016	4,956.			
d From 2017				
e From 2018	1,743.			
f Total of lines 3a through e	6,699.			
4 Qualifying distributions for 2019 from Part XII, line 4. ► \$ 167,445.				
a Applied to 2018, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				163,529.
e Remaining amount distributed out of corpus	3,916.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	10,615.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount - see instructions			0.	
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	10,615.			
10 Analysis of line 9:				
a Excess from 2015				
b Excess from 2016	4,956.			
c Excess from 2017				
d Excess from 2018	1,743.			
e Excess from 2019	3,916.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling

**b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(1)(3) or ☐ 4942(1)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

**b 85% of line 2a**

**c** Qualifying distributions from Part XII,  
line 4, for each year listed

**d** Amounts included in line 2c not used directly for active conduct of exempt activities

**e** Qualifying distributions made directly for active conduct of exempt activities

Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter.

(1) Value of all assets

**(2) Value of assets qualifying under section 4942(i)(3)(B)(i)**

**b "Endowment" alternative test** - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed

c "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

**Part XV** **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

### 1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

**b The form in which applications should be submitted and information and materials they should include**

**c Any submission deadlines:**

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

**Part XV** Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
BISHOP MUSEUM 1525 BERNICE ST HONOLULU, HI 96817		PC	UNRESTRICTED	19,458.
CENTRAL UNION CHURCH 1660 S. BERETANIA ST HONOLULU, HI 96826		PC	UNRESTRICTED	19,458.
HAWAIIAN MISSION CHILDREN'S SOCIETY 553 S. KING ST HONOLULU, HI 96813		PC	UNRESTRICTED	19,458.
HONOLULU ACADEMY OF ARTS 900 S. BERETANIA ST HONOLULU, HI 96814		PC	UNRESTRICTED	77,826.
PUNAHOU SCHOOL 1601 PUNAHOU ST HONOLULU, HI 96822		PC	SCHOLARSHIPS	19,458.
<b>Total</b>			<b>3a</b>	<b>155,658.</b>
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>			<b>3b</b>	<b>0.</b>





## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BANK OF HAWAII ACCT #115032054	1,447.	1,447.	
TOTAL TO PART I, LINE 3	1,447.	1,447.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BANK OF HAWAII ACCT #115032054	88,165.	8,736.	79,429.	79,429.	
TO PART I, LINE 4	88,165.	8,736.	79,429.	79,429.	

## FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION FEE	1,500.	450.		1,050.
TO FORM 990-PF, PG 1, LN 16B	1,500.	450.		1,050.

## FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES WITHHELD	136.	136.		0.
TAX	130.	0.		0.
TO FORM 990-PF, PG 1, LN 18	266.	136.		0.

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FORM 990-PF	OTHER EXPENSES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADR/ADS FEES	13.	13.		0.
TO FORM 990-PF, PG 1, LN 23	13.	13.		0.

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FORM 990-PF	OTHER INVESTMENTS	STATEMENT	6
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT - INV	COST	2,537,786.	3,387,279.
TOTAL TO FORM 990-PF, PART II, LINE 13		2,537,786.	3,387,279.

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**HARRISON R. AND DOROTHEA S. COOKE TRUST**  
**FORM 990-PF, PART II, LINE 13**  
**FOR YEAR ENDED 12/31/2019**

**99-0290717**

Description	Units	Book Value	Market Value
00206R102 AT&T INC	175	4,981.90	6,839.00
017175100 ALLEGHANY CORP	8	3,895.00	6,396.56
020002101 ALLSTATE CORP	55	1,678.60	6,184.75
02079K305 ALPHABET INC CL A	12	6,636.99	16,072.68
026874784 AMERICAN INTL GROUP	35	1,873.90	1,796.55
032095101 AMPHENOL CORP CL A	95	5,380.45	10,281.85
037833100 APPLE INC	123	6,229.99	36,118.95
046353108 ASTRAZENECA PLC SP ADR	145	3,781.60	7,229.70
053807103 AVNET INC	80	3,225.14	3,395.20
05722G100 BAKER HUGHES CO	95	3,297.20	2,434.85
066922204 ISHARES S&P 500 INDEX FUND	1153.486	282,315.63	441,462.16
084670702 BERKSHIRE HATHAWAY INC CL B	54	4,457.97	12,231.00
09253F879 ISHARES MSCI EAFE INTERNATIONAL INDEX FUND	15520.1	181,378.74	215,263.79
09857L108 BOOKING HOLDINGS INC.	7	6,026.64	14,376.11
099724106 BORGWARNER INC	55	2,171.40	2,385.90
11133T103 BROADRIDGE FINANCIAL SOLUTIONS	25	1,922.56	3,088.50
126650100 CVS/CAREMARK CORP	37	2,920.64	2,748.73
149123101 CATERPILLAR INC	15	1,866.15	2,215.20
17275R102 CISCO SYSTEMS	105	3,434.88	5,035.80
172967424 CITIGROUP INC	90	5,813.81	7,190.10
254687106 DISNEY WALT CO	30	4,129.50	4,338.90
25470F302 DISCOVERY INC C	85	1,796.74	2,591.65
256206103 DODGE & COX INTERNATIONAL STOCK FUND	1490.361	55,640.39	64,979.74
256746108 DOLLAR TREE INC	85	6,719.24	7,994.25
30212P303 EXPEDIA GROUP INC	45	5,496.30	4,866.30
30231G102 EXXON MOBIL CORP	50	3,751.50	3,489.00
30303M102 FACEBOOK INC CL A	56	9,906.96	11,494.00
31428Q101 FEDERATED TOTAL RETURN BOND FUND	21766.74	240,311.48	240,957.81
345370860 FORD MOTOR COMPANY	310	3,355.35	2,883.00
34964C106 FORTUNE BRANDS HOME & SECURITY	90	3,403.65	5,880.60
375558103 GILEAD SCIENCES INC	75	5,242.25	4,873.50
38141G104 GOLDMAN SACHS GROUP INC	12	2,278.92	2,759.16
38144N593 GOLDMAN SACHS INTERNATIONAL SMALL CAP INSIGHTS INSTL	6844.392	75,908.54	81,858.93
40434L105 HP INC.	215	3,261.75	4,418.25
437076102 HOME DEPOT INC	20	3,900.20	4,367.60
44267D107 THE HOWARD HUGHES CORP	40	4,644.31	5,072.00
460146103 INTERNATIONAL PAPER CO	120	4,810.12	5,526.00
46625H100 JP MORGAN CHASE & CO	100	3,883.64	13,940.00
47233W109 JEFFERIES FINL GROUP INC	90	1,629.90	1,923.30
47803W406 JOHN HANCOCK III DISC M/C-IS	6235.733	81,064.53	138,869.77
478160104 JOHNSON & JOHNSON	100	6,266.08	14,587.00
501044101 KROGER CO	165	4,837.45	4,783.35
524660107 LEGGETT & PLATT INC	40	1,694.09	2,033.20

**STATEMENT INV-1**



**HARRISON R. AND DOROTHEA S. COOKE TRUST**  
**FORM 990-PF, PART II, LINE 13**  
**FOR YEAR ENDED 12/31/2019**

**99-0290717**

Description	Units	Book Value	Market Value
559222401 MAGNA INTERNATIONAL INC CL A	90	3,870.97	4,935.60
57636Q104 MASTERCARD INC CLASS A	69	6,893.17	20,602.71
577130834 MATTHEWS PACIFIC TIGER FUND CL INS	1407.645	40,596.00	40,413.49
58155Q103 MCKESSON CORP	22	3,319.40	3,043.04
58933Y105 MERCK & CO INC	100	3,881.78	9,095.00
594918104 MICROSOFT CORP	50	1,230.72	7,885.00
596278101 MIDDLEBY CORPORATION	60	6,380.76	6,571.20
60871R209 MOLSON COORS BEVERAGE CO	50	2,909.50	2,695.00
61945C103 THE MOSAIC COMPANY	130	3,393.00	2,813.20
651639106 NEWMONT CORPORATION	145	3,594.75	6,300.25
655044105 NOBLE ENERGY INC	120	3,321.88	2,980.80
693475105 PNC FINANCIAL SERVICES	30	2,783.40	4,788.90
717081103 PFIZER INC	105	3,266.40	4,113.90
718546104 PHILLIPS 66	85	7,092.90	9,469.85
736508847 PORTLAND GENERAL ELECTRIC CORP	50	2,772.00	2,789.50
74144Q203 T ROWE PRICE INSTITUTIONAL EMERGING MARKETS EQUITY FUND	1077.133	31,659.46	45,842.78
742718109 PROCTER & GAMBLE CO	75	4,540.41	9,367.50
74316J458 CONGRESS MID CAP GROWTH-INS	5288.378	71,472.47	117,243.34
747525103 QUALCOMM INC	70	3,085.51	6,176.10
755111507 RAYTHEON CO	16	1,981.22	3,515.84
780259206 ROYAL DUTCH SHELL PLC ADR A	100	5,056.60	5,898.00
78409V104 S&P GLOBAL INC	34	5,759.49	9,283.70
806857108 SCHLUMBERGER LTD	40	2,644.11	1,608.00
824348106 SHERWIN-WILLIAMS CO	22	6,199.13	12,837.88
826197501 SIEMENS AG SP ADR	30	1,768.35	1,949.10
832696405 JM SMUCKER CO/THE NEW COMMON	20	2,118.80	2,082.60
844741108 SOUTHWEST AIRLINES	40	1,780.80	2,159.20
84790A105 SPECTRUM BRAND HOLDINGS INC	2	97.87	128.58
857477103 STATE STREET CORP COMMON	40	2,440.80	3,164.00
872540109 TJX COMPANIES INC	195	7,406.37	11,906.70
883556102 THERMO FISHER SCIENTIFIC INC	50	6,906.23	16,243.50
902973304 US BANCORP	105	3,108.19	6,225.45
907818108 UNION PACIFIC CORP	45	3,633.75	8,135.55
913017109 UNITED TECHNOLOGIES CORP	40	3,930.80	5,990.40
921909818 VANGUARD TOTAL INTL ST INDEX FUND-ADM	9406.548	233,441.02	280,973.59
921937603 VANGUARD TOTAL BOND MARKET INDEX FUND ADM	45109.35	488,469.91	498,458.32
922908728 VANGUARD TOTL STK MKT IND-AD	8110.978	385,596.60	646,363.84
92556H206 VIACOMCBS INC-CLASS B	110	4,799.46	4,616.70
92826C839 VISA INC CL A SHARES	83	3,143.11	15,595.70
92857W308 VODAFONE GROUP PLC SP ADR	240	5,940.00	4,639.20
931142103 WALMART INC	65	3,592.16	7,724.60
949746101 WELLS FARGO COMPANY	185	9,082.06	9,953.00

**STATEMENT INV-1**

**HARRISON R. AND DOROTHEA S. COOKE TRUST**  
**FORM 990-PF, PART II, LINE 13**  
**FOR YEAR ENDED 12/31/2019**

**99-0290717**

<b>Description</b>	<b>Units</b>	<b>Book Value</b>	<b>Market Value</b>
94984B348 WELLS FARGO ADVANTAGE INTERNATIONAL EQUITY FUND - I	5681.382	61,964.50	67,892.51
98978V103 ZOETIS INC	20	2,194.00	2,647.00
G0177J108 ALLERGAN PLC	30	6,354.02	5,735.10
G16962105 BUNGE LIMITED	25	1,352.91	1,438.75
H84989104 TE CONNECTIVITY LTD	60	3,736.93	5,750.40
<b>TOTAL PORTFOLIO</b>		<b><u>2,537,785.75</u></b>	<b><u>3,387,279.06</u></b>