

EXTENDED TO NOVEMBER 16, 2020

Form **990-PF****Return of Private Foundation**

OMB No 1545-0047

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990PF for instructions and the latest information.

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning

, and ending

Name of foundation THE CADES FOUNDATION		A Employer identification number 99-0285922
Number and street (or P O box number if mail is not delivered to street address) C/O E.G. SCHULL, 1000 BISHOP ST #1500		B Telephone number 808-521-9200
City or town, state or province, country, and ZIP or foreign postal code HONOLULU, HI 96813		C If exemption application is pending, check here ☐ 6
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1 Foreign organizations, check here ☐
H Check type of organization. ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 13,740,633. (Part I, column (d), must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		44,363.	43,520.		STATEMENT 1
4 Dividends and interest from securities		268,830.	268,830.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		604,405.			
b Gross sales price for all assets on line 6a 3,410,224.					
7 Capital gain net income (from Part IV, line 2)			604,405.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		39,430.	39,430.		STATEMENT 3
12 Total. Add lines 1 through 11		957,028.	956,185.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 4		12,136.	0.		12,136.
c Other professional fees STMT 5		71,849.	71,849.		0.
17 Interest					
18 Taxes STMT 6		27,999.	4,968.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 7		30,521.	5,675.		24,846.
24 Total operating and administrative expenses. Add lines 13 through 23		142,505.	82,492.		36,982.
25 Contributions, gifts, grants paid		580,000.			580,000.
26 Total expenses and disbursements. Add lines 24 and 25		722,505.	82,492.		616,982.
27 Subtract line 26 from line 12.					
a Excess of revenue over expenses and disbursements		234,523.			
b Net investment income (if negative, enter -0-)			873,693.		
c Adjusted net income (if negative, enter -0-)				N/A	

SCANNED FEB 08 2021

3/4

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	38,002.	58,781.	58,781.
	2 Savings and temporary cash investments	580,971.	391,900.	391,900.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - US and state government obligations STMT 8	394,053.	754,633.	787,624.
	b Investments - corporate stock STMT 9	4,258,585.	4,416,247.	5,777,484.
	c Investments - corporate bonds STMT 10	74,424.	68,170.	68,424.
	Liabilities	11 Investments - land, buildings, and equipment basis ▶ Less: accumulated depreciation ▶		
12 Investments - mortgage loans				
13 Investments - other STMT 11		6,502,258.	6,377,591.	6,656,420.
14 Land, buildings, and equipment, basis ▶ Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		11,848,293.	12,067,322.	13,740,633.
17 Accounts payable and accrued expenses				
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 12)	15,494.	0.	
23 Total liabilities (add lines 17 through 22)	15,494.	0.		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29, and 30			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions Foundations that do not follow FASB ASC 958, check here ▶ ☒ and complete lines 26 through 30			
	26 Capital stock, trust principal, or current funds	12,635,635.	12,635,635.	
	27 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	28 Retained earnings, accumulated income, endowment, or other funds	-802,836.	-568,313.	
	29 Total net assets or fund balances	11,832,799.	12,067,322.	
	30 Total liabilities and net assets/fund balances	11,848,293.	12,067,322.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	11,832,799.
2 Enter amount from Part I, line 27a	2	234,523.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	12,067,322.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	12,067,322.

Form 990-PF (2019)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENTS				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
a				
b				
c				
d				
e 3,410,224.		2,805,819.	604,405.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e			604,405.	
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	604,405.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	620,901.	13,053,830.	.047565
2017	595,557.	12,720,910.	.046817
2016	599,906.	11,930,445.	.050284
2015	601,430.	12,339,196.	.048741
2014	560,549.	12,423,768.	.045119
2 Total of line 1, column (d)			2 .238526
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years			3 .047705
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			4 13,043,399.
5 Multiply line 4 by line 3			5 622,235.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 8,737.
7 Add lines 5 and 6			7 630,972.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 616,982.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	DODGE & COX BALANCED FD		12/03/18	11/22/19
b	VANGUARD BALANCED INDEX FD ADM		12/04/18	11/22/19
c	ML 145-03157 SUPPLEMENTAL			
d	ML 145-03161			
e	ML 145-03161			
f	ML 145-03162			
g	ML 145-03162			
h	ML 145-03162			
i	ML 145-03162			
j	ML 145-03162 SUPPLEMENTAL			
k	ML 145-03157 SUPPLEMENTAL			
l	ML 145-03163			
m	ML 145-03163			
n	ML 145-03164			
o	ML 145-03164			

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	150,000.		150,000.	0.
b	150,000.		134,180.	15,820.
c	55,955.		55,955.	0.
d	96,009.		85,619.	10,390.
e	130,216.		99,393.	30,823.
f	66,646.		66,295.	351.
g	11,814.		11,814.	0.
h	71,355.		71,338.	17.
i	4,000.		3,998.	2.
j	13,228.		13,228.	0.
k	9,764.		10,052.	-288.
l	70,672.		73,091.	-2,419.
m	180,246.		139,523.	40,723.
n	99,835.		90,913.	8,922.
o	672.		672.	0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FM V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			15,820.
c			0.
d			10,390.
e			30,823.
f			351.
g			0.
h			17.
i			2.
j			0.
k			-288.
l			-2,419.
m			40,723.
n			8,922.
o			0.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ML 145-03164			
b	ML 145-04B64			
c	ML 145-04B64			
d	ML 145-04B65			
e	ML 145-04B65			
f	ML 145-04B65			
g	ML 145-04B65			
h	ML 145-04B66			
i	ML 145-04B66			
j	ML 145-04B66			
k	ML 145-04B66			
l	CAPITAL GAINS DIVIDENDS			
m				
n				
o				

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	156,114.		124,425.	31,689.
b	238,773.		219,752.	19,021.
c	503,208.		298,883.	204,325.
d	737,391.		739,790.	-2,399.
e	117.		117.	0.
f	280,015.		259,707.	20,308.
g	101,110.		103,265.	-2,155.
h	10,818.		10,551.	267.
i	67,606.		39,908.	27,698.
j	5,508.		3,350.	2,158.
k	76.			76.
l	199,076.			199,076.
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			31,689.
b			19,021.
c			204,325.
d			-2,399.
e			0.
f			20,308.
g			-2,155.
h			267.
i			27,698.
j			2,158.
k			76.
l			199,076.
m			
n			
o			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	604,405.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	17,474.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		3	17,474.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		5	17,474.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2019 estimated tax payments and 2018 overpayment credited to 2019	6a 15,680.		
b Exempt foreign organizations - tax withheld at source	6b 0.		
c Tax paid with application for extension of time to file (Form 8868)	6c 10,494.		
d Backup withholding erroneously withheld	6d 0.		
7 Total credits and payments. Add lines 6a through 6d		7	26,174.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	0.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	8,700.
11 Enter the amount of line 10 to be Credited to 2020 estimated tax	8,700. Refunded	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions HI		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Form 990-PF (2019)

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► E. GUNNER SCHULL Telephone no ► 808-521-9200 Located at ► 1000 BISHOP STREET, SUITE 1500, HONOLULU, HI ZIP+4 ► 96813		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)). a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? ☐ Yes ☒ No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		X

Form 990-PF (2019)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

Organizations relying on a current notice regarding disaster assistance, check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?

☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
E. GUNNER SCHULL	DIRECTOR/PRESIDENT			
1000 BISHOP ST, STE 1500				
HONOLULU, HI 96813	6.00	0.	0.	0.
MARC ROUSSEAU	DIRECTOR/VICE PRES/SEC			
1000 BISHOP ST, STE 1500				
HONOLULU, HI 96813	0.00	0.	0.	0.
RHONDA GRISWOLD	DIRECTOR/VP/TREASURER			
1000 BISHOP ST, STE 1500				
HONOLULU, HI 96813	0.00	0.	0.	0.
LARRY TAKUMI	DIRECTOR/VICE PRESIDENT			
1000 BISHOP ST, STE 1500				
HONOLULU, HI 96813	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2019)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	12,842,793.
b	Average of monthly cash balances	1b	399,236.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	13,242,029.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	13,242,029.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	198,630.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	13,043,399.
6	Minimum investment return. Enter 5% of line 5	6	652,170.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	652,170.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	17,474.
b	Income tax for 2019 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	17,474.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	634,696.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	634,696.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	634,696.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	616,982.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	616,982.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	616,982.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2019)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				634,696.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			579,950.	
b Total for prior years.		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ 616,982.				
a Applied to 2018, but not more than line 2a			579,950.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				37,032.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				597,664.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9.				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019				

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ACCESSURF HAWAII INC PO BOX 15152 HONOLULU, HI 96830	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	2,500.
ALOHA HARVEST 3599 WAIALAE AVE #23 HONOLULU, HI 96816	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	10,000.
ALOHA UNITED WAY 200 N. VINEYARD BLVD #700 HONOLULU, HI 96817	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	5,000.
AMERICAN LUNG ASSOCIATION 201 MERCHANT ST STE 901 HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	1,000.
AMERICAN RED CROSS 4155 DIAMOND HEAD RD HONOLULU, HI 96816	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	5,000.
Total SEE CONTINUATION SHEET(S) ▶ 3a				580,000.
b Approved for future payment				
NONE				
Total ▶ 3b				0.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ARTHRITIS FOUNDATION HAWAII CHAPTER 2752 WOODLAWN DRIVE HONOLULU, HI 96822	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	2,500.
ASSETS SCHOOL ONE OHANA NIU WAY HONOLULU, HI 96818	NONE	EDUCATIONAL INSTITUTION	UNRESTRICTED GIFT	10,000.
BALLET HAWAII 777 S HOTEL ST STE 101 HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	50,000.
BAMBOO RIDGE PRESS 80 NORTH KING STREET HONOLULU, HI 96817	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	5,000.
BISHOP MUSEUM 1525 BERETANIA ST HONOLULU, HI 96817	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	3,500.
BLUE PLANET FOUNDATION 55 MERCHANT ST #1700 HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	1,500.
BOY SCOUTS OF AMERICA - ALOHA COUNCIL 42 PUIWA RD HONOLULU, HI 96817	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	10,000.
BOYS AND GIRLS CLUB OF HAWAII 345 QUEEN ST # 900 HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	5,000.
CHAMBER MUSIC HAWAII PO BOX 61939 HONOLULU, HI 96839	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	2,500.
CHILD & FAMILY SERVICES 91-1841 FORT WEAVER RD EWA BEACH, HI 96706	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	5,000.
Total from continuation sheets				556,500.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
DIAMOND HEAD THEATRE 520 MAKAPUU AVE HONOLULU, HI 96816	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	15,000.
FAMILY PROGRAMS HAWAII 250 VINEYARD ST HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	1,000.
FAMILY PROMISE OF HAWAII 245 KUKUI ST HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	5,000.
HANAHAU'OLI SCHOOL 1922 MAKIKI ST HONOLULU, HI 96822	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	25,000.
HAWAII APPLESEED 119 MERCHANT ST, SUITE 605A HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	3,500.
HAWAII FOOD BANK 2611 KILIHOU ST HONOLULU, HI 96819	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	10,000.
HAWAII JUSTICE FOUNDATION PO BOX 1230 HONOLULU, HI 96807	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	7,500.
HAWAII OPERA THEATRE 848 S. BERETANIA ST #301 HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	10,000.
HAWAII PUBLIC RADIO 738 KAHEKA STREET HONOLULU, HI 96814	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	20,000.
HAWAII SYMPHONY ORCHESTRA 1110 UNIVERSITY AVE STE 200 HONOLULU, HI 96826	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	15,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HAWAII THEATRE CENTER 1130 BETHEL ST HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	2,500.
HAWAII YOUTH SYMPHONY ASSOCIATION 1110 UNIVERSITY AVE STE 200 HONOLULU, HI 96826	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	25,000.
HAWAIIAN HUMANE SOCIETY 2700 WAIALAE AVE HONOLULU, HI 96826	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	10,000.
HAWAIIAN ISLANDS LAND TRUST P.O. BOX 965 WAILUKU, HI 96793	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	2,500.
HELPING HANDS HAWAII 2100 N. NIMITZ HWY HONOLULU, HI 96819	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	2,000.
HISTORIC HAWAII FOUNDATION 680 IWILEI RD, STE 690 HONOLULU, HI 96817	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	3,500.
HOKU SCHOLARS 1050 EDMONDS AVE NEW LENOX, IL 60451	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	500.
HONOLULU BIENNIAL FOUNDATION 225 QUEEN ST #24A HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	2,500.
HONOLULU CHAMBER MUSIC SERIES PO BOX 2233 HONOLULU, HI 96804	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	2,500.
HONOLULU CIVIL BEAT 3465 WAIALAE AVE #200 HONOLULU, HI 96816	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	2,500.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HONOLULU MUSEUM OF ART 900 S BERETANIA ST HONOLULU, HI 96814	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	5,000.
HONOLULU THEATRE FOR YOUTH 1149 BETHEL STREET, SUITE 700 HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	5,000.
HUGS 3636 KILAUEA AVE HONOLULU, HI 96816	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	5,000.
INSTITUTE FOR HUMAN SERVICES 546 KA'AAHI ST HONOLULU, HI 96817	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	15,000.
IOLANI SCHOOL 563 KAMOKU ST HONOLULU, HI 96826	NONE	EDUCATIONAL INSTITUTION	SCHOLARSHIP FUND	10,000.
ISLAND SCHOOL 3-1875 KAUMAUALII HWY LIHUE, HI 96766	NONE	EDUCATIONAL INSTITUTION	SCHOLARSHIP FUND	10,000.
KAPI'OLANI HEALTH FOUNDATION 55 MERCHANT ST STE 2600 HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	1,000.
LE JARDIN ACADEMY 917 KALIANAOLE HWY KAILUA, HI 96734	NONE	EDUCATIONAL INSTITUTION	UNRESTRICTED GIFT	20,000.
LEGAL AID SOCIETY OF HAWAII 924 BETHEL ST HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	10,000.
MANOA HERITAGE CENTER 2859 MANOA RD HONOLULU, HI 96822	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	2,500.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MANOA VALLEY THEATRE 2833 E. MANOA RD HONOLULU, HI 96822	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	25,000.
MAUI ECONOMIC DEVELOPMENT BOARD 1305 N HOLOPONO ST #1 KIHEI, HI 96753	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	2,000.
MCKINLEY HIGH SCHOOL FOUNDATION 1039 S. KING ST HONOLULU, HI 96814	NONE	PUBLIC CHARITY	SCHOLARSHIP FUND	10,000.
MID-PACIFIC INSTITUTE 2445 KAALA STREET HONOLULU, HI 96822	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	25,000.
MOTHERS AGAINST DRUNK DRIVING 745 FORT STREET STE 303 HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	1,000.
OHANA ARTS PO BOX 894755 MILILANI, HI 96789	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	1,000.
PARENTS AND CHILDREN TOGETHER 1485 LINAPUNI ST STE 105 HONOLULU, HI 96819	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	2,500.
PBS HAWAII 315 SAND ISLAND ACCESS RD HONOLULU, HI 96819	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	15,000.
TAX FOUNDATION OF HAWAII 126 QUEEN ST, SUITE 304 HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	5,000.
TEACH FOR AMERICA - HAWAII 500 ALA MOANA BLVD SUITE 3-400 HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	10,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE NATURE CONSERVANCY 923 NUUANU AVE HONOLULU, HI 96817	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	2,500.
UNIVERSITY OF HAWAII FOUNDATION - LAW SCHOOL 2444 DOLE ST, #101 HONOLULU, HI 96822	NONE	EDUCATIONAL INSTITUTION	LAW SCHOOL SCHOLARSHIP FUND	47,500.
UNIVERSITY OF HAWAII FOUNDATION 2444 DOLE ST, BACHMAN HALL 105 HONOLULU, HI 96822	NONE	EDUCATIONAL INSTITUTION	SANDI PORTNOY SCHOLARSHIP FUND	50,000.
VOLUNTEER LEGAL SERVICES HAWAII 545 QUEEN ST STE 100 HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	15,000.
YWCA OAHU 1040 RICHARDS ST HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	1,000.
Total from continuation sheets				

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|---|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here
May the IRS discuss this return with the preparer shown below? See instr.

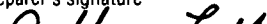
X E. A. Schul 11/12/20 PRESIDENT

Signature of officer or trustee
Date
Title

☒ Yes
☐ No

May the IRS discuss this return with the preparer shown below? See instr

☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name JEFFERY M. LOFF, CPA	Preparer's signature 	Date 11/10/20	Check ☒ if self-employed	PTIN P01705021
	Firm's name ▶ LDDI LLC			Firm's EIN ▶ 84-3565447	
	Firm's address ▶ 1003 BISHOP STREET, SUITE 2155 HONOLULU, HI 96813			Phone no. 808-522-1040	

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
CHARLES SCHWAB #5350	4.	4.	
FIRST HAWAIIAN BANK	7.	7.	
MERRILL LYNCH #145-03157	7,128.	6,820.	
MERRILL LYNCH #145-03157 SUPPLEMENTAL	10,873.	10,873.	
MERRILL LYNCH #145-03161	125.	125.	
MERRILL LYNCH #145-03162	5,773.	5,491.	
MERRILL LYNCH #145-03162 SUPPLEMENTAL	2,089.	2,089.	
MERRILL LYNCH #145-03163	191.	191.	
MERRILL LYNCH #145-03164	331.	331.	
MERRILL LYNCH #145-04B51	162.	162.	
MERRILL LYNCH #145-04B64	309.	309.	
MERRILL LYNCH #145-04B65	17,241.	16,988.	
MERRILL LYNCH #145-04B66	130.	130.	
TOTAL TO PART I, LINE 3	44,363.	43,520.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CHARLES SCHWAB #5350	337,526.	198,422.	139,104.	139,104.	
MERRILL LYNCH #03157	23,235.	0.	23,235.	23,235.	
MERRILL LYNCH #03161	7,321.	0.	7,321.	7,321.	
MERRILL LYNCH #03162	0.	0.	0.	0.	
MERRILL LYNCH #03163	30,653.	222.	30,431.	30,431.	
MERRILL LYNCH #03164	21,125.	0.	21,125.	21,125.	
MERRILL LYNCH #04B64	14,123.	0.	14,123.	14,123.	
MERRILL LYNCH #04B65	7,659.	0.	7,659.	7,659.	
MERRILL LYNCH #04B66	26,264.	432.	25,832.	25,832.	
TO PART I, LINE 4	467,906.	199,076.	268,830.	268,830.	

FORM 990-PF	OTHER INCOME	STATEMENT	3
-------------	--------------	-----------	---

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MERRILL LYNCH OID #145-04B65	1,222.	1,222.	
OIL AND GAS ROYALTIES	11,373.	11,373.	
OTHER INCOME	411.	411.	
MERRILL LYNCH OID #145-03162	144.	144.	
ADJUSTMENT TO BASIS NOT ALLOCABLE TO SPECIFIC SECURITIES	26,280.	26,280.	
TOTAL TO FORM 990-PF, PART I, LINE 11	39,430.	39,430.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	4
-------------	-----------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	12,136.	0.		12,136.
TO FORM 990-PF, PG 1, LN 16B	12,136.	0.		12,136.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
-------------	-------------------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY - ML	65,849.	65,849.		0.
INVESTMENT EXPENSE	6,000.	6,000.		0.
TO FORM 990-PF, PG 1, LN 16C	71,849.	71,849.		0.

FORM 990-PF	TAXES	STATEMENT	6
-------------	-------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	4,968.	4,968.		0.
FORM 990PF FOR 2018	7,351.	0.		0.
2019 ESTIMATED TAXES	15,680.	0.		0.
TO FORM 990-PF, PG 1, LN 18	27,999.	4,968.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	7
-------------	----------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADMINISTRATION FEES	25,131.	0.		25,131.
CONTRIBUTION - UNCLEARED				
CHECKS	-285.	0.		-285.
ACCRUED INTEREST PAID	4,081.	4,081.		0.
ADR FEE	1,594.	1,594.		0.
TO FORM 990-PF, PG 1, LN 23	30,521.	5,675.		24,846.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	8
-------------	--	-----------	---

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
MERRILL LYNCH 03157 - GOVERNMENT SECURITY	X		500,185.	528,214.
MERRILL LYNCH 03162 - GOVERNMENT SECURITY	X		254,448.	259,410.
TOTAL U.S. GOVERNMENT OBLIGATIONS			754,633.	787,624.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			754,633.	787,624.

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
-------------	-----------------	-----------	---

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MERRILL LYNCH 04B64 - CORP STOCK	886,577.	1,291,209.
MERRILL LYNCH 04B65 - CORP STOCK	976,687.	1,090,605.
MERRILL LYNCH 04B66 - CORP STOCK	697,003.	1,088,758.
MERRILL LYNCH 03161 - CORP STOCK	551,570.	734,429.
MERRILL LYNCH 03163 - CORP STOCK	672,933.	783,973.
MERRILL LYNCH 03164 - CORP STOCK	631,477.	788,510.
TOTAL TO FORM 990-PF, PART II, LINE 10B	4,416,247.	5,777,484.

FORM 990-PF	CORPORATE BONDS	STATEMENT	10
-------------	-----------------	-----------	----

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MERRILL LYNCH 03162 - CORP BOND	68,170.	68,424.
TOTAL TO FORM 990-PF, PART II, LINE 10C	68,170.	68,424.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	11
-------------	-------------------	-----------	----

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MERRILL LYNCH 03157 - MUTUAL FUNDS	COST	513,710.	542,475.
CHARLES SCHWAB 3568-5350 - MUTUAL FUNDS	COST	5,836,248.	6,086,312.
OIL & GAS LEASES	COST	27,633.	27,633.
TOTAL TO FORM 990-PF, PART II, LINE 13		6,377,591.	6,656,420.

FORM 990-PF	OTHER LIABILITIES	STATEMENT 12
-------------	-------------------	--------------

DESCRIPTIONBOY AMOUNTEOY AMOUNTADJUSTMENT TO BASIS NOT ALLOCABLE TO
SPECIFIC SECURITIES

15,494.

0.

TOTAL TO FORM 990-PF, PART II, LINE 22

15,494.

0.

Tax Year 2019

The Cades Foundation EIN:99-0285922

Form 990-PF Part II Line 13 (b)

Balance Sheets - Detailed Securities

Charles
SCHWAB

Schwab One® Account of
THE CADES FOUNDATION

Account Number
3568-5350

Statement Period
December 1-31, 2019

Investment Detail - Bank Sweep

Bank Sweep	Starting Balance	Ending Balance	% of Account Assets
CHARLES SCHWAB BANK	1,574.18	1,574.75	<1%
Total Bank Sweep	1,574.18	1,574.75	<1%
Total Bank Sweep		1,574.75	<1%

Investment Detail - Mutual Funds

Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
DODGE & COX BALANCED FD ° SYMBOL: DODBX	29,091.6270	101.60000	2,955,709.30	49%	105.83	3,078,770.96	(123,061.66)
VANGUARD BALANCED INDEX ° FD ADMIRAL CL SHRS SYMBOL: VBIAX	79,801.2330	39.23000	3,130,602.37	51%	34.55	2,757,476.86	373,125.51
Total Equity Funds	108,892.8600		6,086,311.67	100%		5,836,247.82	250,063.85
Total Mutual Funds	108,892.8600		6,086,311.67	100%		5,836,247.82	250,063.85
Total Investment Detail							6,087,886.42
Total Account Value							6,087,886.42
Total Cost Basis							5,836,247.82

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

EMASM Fiscal Statement

Total Account Value As Of 12/31/19 **\$1,365,321.77**

Your Financial Advisor:
THE HOCHULI GROUP
FA # 7380
(808) 525-8313

Your Merrill Office:
1003 BISHOP ST PENTHOUSE
HONOLULU HI 96813-3430

FOR CUSTOMER SERVICE QUESTIONS: 1-800-MERRILL (1-800-637-7455)

MARY DURYEA
FAO THE CADES FOUNDATION
387 KAELEPULU DR APT A
KAILUA HI 96734-3317

ACTIVITY SUMMARY

ANNUAL PORTFOLIO SUMMARY

		Fiscal Year Value 12/19	Fiscal Year Value 12/31/19	Current Portfolio Cost Basis	Unrealized Gains/(Losses)
Credits					
Sales		741,980.61	74,112.73	74,112.73	0.00
Income		14,432.60	0.00	0.00	0.00
Funds Received		0.00	0.00	0.00	0.00
Electronic Trfs		0.00	0.00	0.00	0.00
Other Credits		1.73	1,291,209.04	886,577.48	404,631.56
Total Credits		756,414.94	1,365,321.77	960,690.21	404,631.56
Debits					
Purchases		499,269.03	1,365,321.77	960,690.21	404,631.56
Withdrawals		0.00	0.00	0.00	0.00
Electronic Trfs		0.00	0.00	0.00	0.00
Fees		12,234.44	0.00	0.00	0.00
Checks		0.00	0.00	0.00	0.00
Visa Transactions		0.00	0.00	0.00	0.00
Interest Charged		0.00	0.00	0.00	0.00
Other Debits		239,308.62	0.00	0.00	0.00
Total Debits		750,812.09			
Net Activity		5,602.85			

PLEASE NOTE:

This fiscal year end statement is for information purposes and should be used in conjunction with your monthly statements. This statement does not reflect any pending trades or dividend reclassifications. Please consult your tax advisor regarding any tax planning and reporting requirements.

INCOME SUMMARY

	Current Year (12/19)
Interest	309.36
Dividends	14,123.24
Total	14,432.60
Accrued Interest Earned	0.00
Accrued Interest Paid	0.00



Fiscal Statement

MERRILL
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Cash and Money Funds							
	CASH		90.73	90.73			
74,022.0000	ISA BK OF CHINA		74,022.00	74,022.00			74.02
	Total Cash and Money Funds		74,112.73	74,112.73			74.02

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
42.0000	A N S Y S INC COM	09/27/19	9,083.34	10,811.22	1,727.88	
36.0000	A N S Y S INC COM	09/30/19	7,945.56	9,266.76	1,321.20	
13.0000	A N S Y S INC COM	10/01/19	2,890.38	3,346.33	455.95	
341.0000	AFLAC INC COM CURRENT YIELD 2.04%	12/03/18	15,674.04	18,038.90	2,364.86	369.00
18.0000	AFLAC INC COM	12/04/18	817.35	952.20	134.85	20.00
113.0000	AFLAC INC COM	12/24/18	4,802.45	5,977.70	1,175.25	123.00
24.0000	APTIV PLC SHS CURRENT YIELD 0.92%	08/06/14	1,363.29	2,279.28	915.99	22.00
19.0000	APTIV PLC SHS	11/04/15	1,320.99	1,804.43	483.44	17.00

PLEASE SEE REVERSE SIDE
Statement Period
Year Ending 12/31/19
Account No.
145-04B64

EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
52.0000	APTIV PLC SHS	03/17/16	3,176.03	4,938.44	1,762.41	46.00
181.0000	APTIV PLC SHS	12/18/18	11,590.59	17,189.57	5,598.98	160.00
47.0000	APTIV PLC SHS	12/17/19	4,490.42	4,463.59	(26.83)	42.00
30.0000	APTIV PLC SHS	12/18/19	2,909.72	2,849.10	(60.62)	27.00
111.0000	ALIBABA GROUP HOLDING LT	11/15/18	17,274.89	23,543.10	6,268.21	
23.0000	ALIBABA GROUP HOLDING LT	09/26/19	4,077.67	4,878.30	800.63	
28.0000	AMERICAN WTR WKS CO INC NEW	04/22/15	1,511.53	3,439.80	1,928.27	56.00
	CURRENT YIELD 1.62%					
37.0000	AMERICAN WTR WKS CO INC NEW	06/22/15	1,858.04	4,545.45	2,687.41	74.00
50.0000	AMERICAN WTR WKS CO INC NEW	06/23/15	2,510.22	6,142.50	3,632.28	100.00
62.0000	AMERICAN WTR WKS CO INC NEW	03/30/17	4,761.85	7,616.70	2,854.85	124.00
21.0000	AMERICAN WTR WKS CO INC NEW	03/31/17	1,627.49	2,579.85	952.36	42.00
40.0000	AMERICAN WTR WKS CO INC NEW	04/21/17	3,209.80	4,914.00	1,704.20	80.00
2.0000	APPLE INC	08/24/15	215.54	587.30	371.76	7.00
	CURRENT YIELD 1.04%					

PLEASE SEE REVERSE SIDE
 Statement Period
 Year Ending 12/31/19
 Page 6 of 59
 Account No. 145-04B64



Fiscal Statement

MERRILL LYNCH
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
35.0000	APPLE INC	09/27/16	3,947.56	10,277.75	6,330.19	108.00
12.0000	APPLE INC	12/21/16	1,404.80	3,523.80	2,119.00	37.00
45.0000	APPLE INC	05/02/18	7,987.27	13,214.25	5,226.98	139.00
20.0000	APPLE INC	07/27/18	3,837.04	5,873.00	2,035.96	62.00
15.0000	APPLE INC	12/18/18	2,509.27	4,404.75	1,895.48	47.00
103.0000	BRUKER CORP CURRENT YIELD 0.31%	08/03/16	2,245.76	5,249.91	3,004.15	17.00
143.0000	BRUKER CORP	08/31/16	3,194.94	7,288.71	4,093.77	23.00
12.0000	BRUKER CORP	09/01/16	268.16	611.64	343.48	2.00
43.0000	BRUKER CORP	12/26/18	1,175.84	2,191.71	1,015.87	7.00
103.0000	BRUKER CORP	12/27/18	2,896.21	5,249.91	2,353.70	17.00
34.0000	BRUKER CORP	12/28/18	992.14	1,732.98	740.84	6.00
90.0000	BRUKER CORP	09/27/19	4,033.17	4,587.30	554.13	15.00
24.0000	BRIGHT HORIZONS FAMILY SOLUTIONS INC	06/19/17	1,848.83	3,606.96	1,758.13	
25.0000	BRIGHT HORIZONS FAMILY SOLUTIONS INC	09/26/17	2,092.42	3,757.25	1,664.83	
29.0000	BRIGHT HORIZONS FAMILY SOLUTIONS INC	09/27/17	2,436.66	4,358.41	1,921.75	

PLEASE SEE REVERSE SIDE

Statement Period
Year Ending 12/31/19
Account No.
145-04864

Page
7 of 59





Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
35.0000	BRIGHT HORIZONS FAMILY SOLUTIONS INC	12/06/17	3,149.00	5,260.15	2,111.15	
42.0000	BRIGHT HORIZONS FAMILY SOLUTIONS INC	12/06/17	3,781.27	6,312.18	2,530.91	
25.0000	BRIGHT HORIZONS FAMILY SOLUTIONS INC	12/18/19	3,774.92	3,757.25	(17.67)	
15.0000	BRIGHT HORIZONS FAMILY SOLUTIONS INC	12/19/19	2,272.85	2,254.35	(18.50)	
19.0000	BIO RAD LABS CL A	09/29/16	3,079.62	7,030.57	3,950.95	
8.0000	BIO RAD LABS CL A	09/30/16	1,300.19	2,960.24	1,660.05	
22.0000	BIO RAD LABS CL A	12/21/16	4,006.52	8,140.66	4,134.14	
25.0000	BIO RAD LABS CL A	12/22/16	4,563.42	9,250.75	4,687.33	
17.0000	BIO RAD LABS CL A	02/11/19	4,297.47	6,290.51	1,993.04	
433.0000	COMCAST CORP NEW CL A CURRENT YIELD 1.86%	06/26/19	18,194.06	19,472.01	1,277.95	364.00
154.0000	COMCAST CORP NEW CL A	07/22/19	6,748.18	6,925.38	177.20	130.00
87.0000	CIENA CORP	08/30/19	3,611.83	3,714.03	102.20	
258.0000	CIENA CORP	09/03/19	10,502.39	11,014.02	511.63	
3.0000	CIENA CORP	09/03/19	123.87	128.07	4.20	

PLEASE SEE REVERSE SIDE
Statement Period
Year Ending 12/31/19
Page 8 of 59
Account No. 145-04B64



Fiscal Statement

MARY DURYEA

MERRILL
A BANK OF AMERICA COMPANY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
194.0000	CIENA CORP	09/27/19	7,672.48	8,281.86	609.38	
42.0000	CIENA CORP	11/11/19	1,586.85	1,792.98	206.13	
91.0000	CIENA CORP	11/12/19	3,466.29	3,884.79	418.50	
49.0000	DANAHER CORP DEL COM CURRENT YIELD 0.44%	02/04/16	3,219.52	7,520.52	4,301.00	34.00
37.0000	DANAHER CORP DEL COM	03/17/16	2,639.92	5,678.76	3,038.84	26.00
118.0000	DANAHER CORP DEL COM	12/21/16	9,285.11	18,110.64	8,825.53	81.00
11.0000	DANAHER CORP DEL COM	09/26/17	939.78	1,688.28	748.50	8.00
39.0000	DANAHER CORP DEL COM	07/27/18	4,020.21	5,985.72	1,965.51	27.00
51.0000	DISNEY (WALT) CO COM STK CURRENT YIELD 1.21%	06/16/17	5,359.81	7,376.13	2,016.32	90.00
31.0000	DISNEY (WALT) CO COM STK	05/02/18	3,112.71	4,483.53	1,370.82	55.00
63.0000	DISNEY (WALT) CO COM STK	08/10/18	7,100.11	9,111.69	2,011.58	111.00
102.0000	ECOLAB INC CURRENT YIELD 0.97%	07/20/15	11,534.73	19,684.98	8,150.25	192.00
26.0000	ECOLAB INC	07/20/15	2,940.30	5,017.74	2,077.44	49.00
23.0000	ECOLAB INC	12/21/16	2,756.43	4,438.77	1,682.34	44.00
616.0000	FLEX LTD	10/23/19	6,316.19	7,773.92	1,457.73	

PLEASE SEE REVERSE SIDE

Page 9 of 59
Statement Period Year Ending 12/31/19
Account No. 145-04B64

99999999

X



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
541.0000	FLEX LTD	10/24/19	5,585.05	6,827.42	1,242.37	
749.0000	FLEX LTD	11/11/19	8,880.01	9,452.38	572.37	
67.0000	HEXCEL CORP NEW COM CURRENT YIELD 0.92%	11/18/14	2,922.87	4,911.77	1,988.90	46.00
43.0000	HEXCEL CORP NEW COM	11/19/14	1,864.91	3,152.33	1,287.42	30.00
60.0000	HEXCEL CORP NEW COM	06/03/16	2,614.73	4,398.60	1,783.87	41.00
78.0000	HEXCEL CORP NEW COM	06/06/16	3,405.78	5,718.18	2,312.40	54.00
29.0000	HEXCEL CORP NEW COM	06/09/17	1,504.39	2,125.99	621.60	20.00
37.0000	HEXCEL CORP NEW COM	12/17/19	2,760.84	2,712.47	(48.37)	26.00
19.0000	HEXCEL CORP NEW COM	12/18/19	1,408.54	1,392.89	(15.65)	13.00
38.0000	HOME DEPOT INC CURRENT YIELD 2.49%	08/30/16	5,099.62	8,298.44	3,198.82	207.00
44.0000	HOME DEPOT INC	08/31/16	5,913.00	9,608.72	3,695.72	240.00
27.0000	HOME DEPOT INC	06/16/17	4,217.24	5,896.26	1,679.02	147.00
13.0000	HOME DEPOT INC	12/17/19	2,844.02	2,838.94	(5.08)	71.00
42.0000	ICON PLC	12/22/16	3,229.56	7,233.66	4,004.10	
26.0000	ICON PLC	02/08/17	2,210.48	4,477.98	2,267.50	
39.0000	ICON PLC	02/09/17	3,349.78	6,716.97	3,367.19	

PLEASE SEE REVERSE SIDE
Statement Period
Year Ending 12/31/19
Page 10 of 59
Account No. 145-04B64



Fiscal Statement

MERRILL LYNCH
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
16.0000	ICON PLC	09/26/17	1,784.55	2,755.68	971.13	
16.0000	ICON PLC	06/26/19	2,401.11	2,755.68	354.57	
20.0000	INTERCONTINENTAL EXCHANGE INC CURRENT YIELD 1.18%	05/03/12	515.15	1,851.00	1,335.85	22.00
190.0000	INTERCONTINENTAL EXCHANGE INC	01/04/13	4,911.06	17,584.50	12,673.44	209.00
85.0000	INTERCONTINENTAL EXCHANGE INC	01/24/13	2,284.69	7,866.75	5,582.06	94.00
32.0000	INTERCONTINENTAL EXCHANGE INC	06/22/15	1,478.76	2,961.60	1,482.84	36.00
175.0000	KONINKL PHIL NV SH NEW CURRENT YIELD 1.67%	07/24/19	8,192.64	8,540.00	347.36	143.00
133.0000	KONINKL PHIL NV SH NEW	07/25/19	6,197.59	6,490.40	292.81	109.00
84.0000	KONINKL PHIL NV SH NEW	08/18/19	4,231.35	4,099.20	(132.15)	69.00
7.0000	KONINKL PHIL NV SH NEW	08/19/19	349.19	341.60	(7.59)	6.00
61.0000	KONINKL PHIL NV SH NEW	09/27/19	2,760.11	2,976.80	216.69	50.00
54.0000	KONINKL PHIL NV SH NEW	09/30/19	2,492.94	2,635.20	142.26	45.00
107.0000	KONINKL PHIL NV SH NEW	10/01/19	4,857.50	5,221.60	364.10	88.00
12.0000	KONINKL PHIL NV SH NEW	12/18/19	581.51	585.60	4.09	10.00

PLEASE SEE REVERSE SIDE

Statement Period
Year Ending 12/31/19

Page
11 of 59

Account No.
145-04864



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
137.0000	KONINKL PHIL NV SH NEW	12/19/19	6,616.32	6,685.60	69.28	112.00
119.0000	KEYSIGHT TECHNOLOGIES INC SHS WHEN ISSUE	02/19/19	9,603.98	12,212.97	2,608.99	
30.0000	KEYSIGHT TECHNOLOGIES INC SHS WHEN ISSUE	06/26/19	2,626.70	3,078.90	452.20	
47.0000	LULULEMON ATHLETICA INC	12/17/19	10,487.47	10,888.49	401.02	
44.0000	LULULEMON ATHLETICA INC	12/18/19	9,890.22	10,193.48	303.26	
105.0000	LUMENTUM HOLDINGS INC SHS	04/01/19	6,016.79	8,326.50	2,309.71	
36.0000	LUMENTUM HOLDINGS INC SHS	05/21/19	1,662.73	2,854.80	1,192.07	
64.0000	LUMENTUM HOLDINGS INC SHS	05/22/19	2,958.18	5,075.20	2,117.02	
60.0000	LUMENTUM HOLDINGS INC SHS	06/26/19	3,060.87	4,758.00	1,697.13	
27.0000	MONOLITHIC PWR SYSTEMS INC CURRENT YIELD 0.89%	09/26/17	2,772.64	4,806.54	2,033.90	44.00
15.0000	MONOLITHIC PWR SYSTEMS INC	09/27/17	1,584.54	2,670.30	1,085.76	24.00
45.0000	MONOLITHIC PWR SYSTEMS INC	12/18/18	5,349.33	8,010.90	2,661.57	72.00

PLEASE SEE REVERSE SIDE
Page 12 of 59
Statement Period Year Ending 12/31/19
Account No. 145-04B64



Fiscal Statement

MERRILL LYNCH
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
18.0000	MSCI INC CLASS A CURRENT YIELD 1.05%	10/26/16	1,493.82	4,647.24	3,153.42	49.00
108.0000	MSCI INC CLASS A	10/27/16	8,763.54	27,883.44	19,119.90	294.00
195.0000	MICROSOFT CORP CURRENT YIELD 1.29%	12/21/16	12,340.12	30,751.50	18,411.38	398.00
62.0000	NVIDIA CURRENT YIELD 0.27%	11/21/14	1,226.49	14,588.60	13,362.11	40.00
29.0000	NVIDIA	10/31/18	5,972.79	6,823.70	850.91	19.00
27.0000	NEXTERA ENERGY INC SHS CURRENT YIELD 2.06%	12/06/17	4,245.57	6,538.32	2,292.75	135.00
40.0000	NEXTERA ENERGY INC SHS	03/28/18	6,494.43	9,686.40	3,191.97	200.00
37.0000	NEXTERA ENERGY INC SHS	12/24/18	6,205.75	8,959.92	2,754.17	185.00
20.0000	NEXTERA ENERGY INC SHS	12/17/19	4,795.99	4,843.20	47.21	100.00
60.0000	NXP SEMICONDUCTORS N.V. CURRENT YIELD 1.17%	11/11/19	7,096.69	7,635.60	538.91	90.00
12.0000	NXP SEMICONDUCTORS N.V.	11/11/19	1,467.21	1,527.12	59.91	18.00
8.0000	NXP SEMICONDUCTORS N.V.	11/11/19	981.17	1,018.08	36.91	12.00
83.0000	NXP SEMICONDUCTORS N.V.	11/12/19	9,951.98	10,562.58	610.60	125.00

PLEASE SEE REVERSE SIDE

Page 13 of 59
Statement Period Year Ending 12/31/19
Account No. 145-04864

X 59593939



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
28.0000	NXP SEMICONDUCTORS N.V.	12/17/19	3,548.60	3,563.28	14.68	42.00
28.0000	NESTLE S A REP RG SH ADR CURRENT YIELD 1.87%	05/07/18	2,167.51	3,031.28	863.77	57.00
261.0000	NESTLE S A REP RG SH ADR	12/18/18	21,490.09	28,255.86	6,765.77	531.00
174.0000	NIKE INC CL B CURRENT YIELD 0.96%	07/22/19	14,952.24	17,627.94	2,675.70	171.00
59.0000	NIKE INC CL B	08/01/19	5,097.16	5,977.29	880.13	58.00
51.0000	NIKE INC CL B	11/11/19	4,587.80	5,166.81	579.01	50.00
54.0000	NIKE INC CL B	12/17/19	5,379.16	5,470.74	91.58	53.00
27.0000	PROOFPOINT INC	01/14/19	2,472.30	3,099.06	626.76	
57.0000	PROOFPOINT INC	01/15/19	5,295.24	6,542.46	1,247.22	
9.0000	PROOFPOINT INC	03/15/19	1,117.63	1,033.02	(84.61)	
32.0000	PROOFPOINT INC	03/18/19	3,987.04	3,672.96	(314.08)	
32.0000	PROOFPOINT INC	06/26/19	3,698.19	3,672.96	(25.23)	
21.0000	PROOFPOINT INC	12/17/19	2,433.24	2,410.38	(22.86)	
21.0000	PROOFPOINT INC	12/18/19	2,457.82	2,410.38	(47.44)	
113.0000	PAYPAL HOLDINGS INC SHS	08/22/19	12,247.12	12,223.21	(23.91)	
19.0000	PAYPAL HOLDINGS INC SHS	08/22/19	2,088.91	2,055.23	(33.68)	

PLEASE SEE REVERSE SIDE
 Statement Period
 Year Ending 12/31/19
 Account No. 145-04B64

Page
 14 of 59



Fiscal Statement

MARY DURYEA

MERRILL
A BANK OF AMERICA COMPANY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
39.0000	PAYPAL HOLDINGS INC SHS	09/26/19	4,060.84	4,218.63	157.79	
48.0000	PAYPAL HOLDINGS INC SHS	11/11/19	4,933.87	5,192.16	258.29	
173.0000	PROCTER & GAMBLE CO CURRENT YIELD 2.38%	12/24/18	15,064.08	21,607.70	6,543.62	517.00
41.0000	PROCTER & GAMBLE CO	12/17/19	5,151.53	5,120.90	(30.63)	123.00
93.0000	QIAGEN NV REG SHS	07/25/19	3,517.11	3,143.40	(373.71)	
83.0000	QIAGEN NV REG SHS	07/26/19	3,186.02	2,805.40	(380.62)	
88.0000	QIAGEN NV REG SHS	09/30/19	2,907.21	2,974.40	67.19	
311.0000	QIAGEN NV REG SHS	10/01/19	10,004.50	10,511.80	507.30	
74.0000	ROCKWELL AUTOMATION INC CURRENT YIELD 2.01%	12/21/12	6,113.88	14,997.58	8,883.70	302.00
30.0000	ROCKWELL AUTOMATION INC	06/28/13	2,522.84	6,080.10	3,557.26	123.00
34.0000	ROCKWELL AUTOMATION INC	10/23/19	5,853.34	6,890.78	1,037.44	139.00
9.0000	ROCKWELL AUTOMATION INC	10/24/19	1,556.74	1,824.03	267.29	37.00
26.0000	ROCKWELL AUTOMATION INC	11/11/19	4,687.12	5,269.42	582.30	107.00
168.0000	SCHWAB CHARLES CORP NEW CURRENT YIELD 1.42%	10/26/15	5,077.61	7,990.08	2,912.47	115.00
82.0000	SCHWAB CHARLES CORP NEW	09/26/17	3,491.60	3,899.92	408.32	56.00

PLEASE SEE REVERSE SIDE

Page 15 of 59
Statement Period
Year Ending 12/31/19

Account No.
145-04B64



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
227.0000	SCHWAB CHARLES CORP NEW	12/18/18	9,285.84	10,796.12	1,510.28	155.00
30.0000	SALESFORCE COM INC	04/04/14	1,636.40	4,879.20	3,242.80	
121.0000	SALESFORCE COM INC	11/21/14	7,025.13	19,679.44	12,654.31	
24.0000	SALESFORCE COM INC	10/26/16	1,801.48	3,903.36	2,101.88	
19.0000	SALESFORCE COM INC	12/17/19	3,082.66	3,090.16	7.50	
219.0000	SQUARE INC SHS 1 CL A	06/26/19	15,380.37	13,700.64	(1,679.73)	
32.0000	SQUARE INC SHS CL A	06/26/19	2,161.05	2,001.92	(159.13)	
55.0000	SQUARE INC SHS CL A	09/26/19	3,309.82	3,440.80	130.98	
46.0000	SQUARE INC SHS CL A	11/11/19	2,973.51	2,877.76	(95.75)	
170.0000	STARBUCKS CORP CURRENT YIELD 1.86%	12/17/19	14,941.85	14,946.40	4.55	279.00
61.0000	STARBUCKS CORP	12/18/19	5,397.83	5,363.12	(34.71)	101.00
45.0000	UNITEDHEALTH GROUP INC CURRENT YIELD 1.46%	01/07/16	5,076.42	13,229.10	8,152.68	195.00
22.0000	UNITEDHEALTH GROUP INC	06/16/17	4,006.80	6,467.56	2,460.76	96.00
26.0000	UNITEDHEALTH GROUP INC	04/26/19	6,144.42	7,643.48	1,499.06	113.00
208.0000	V F CORPORATION CURRENT YIELD 1.92%	12/06/17	14,014.38	20,729.28	6,714.90	400.00

PLEASE SEE REVERSE SIDE
 Page 16 of 59
 Statement Period Year Ending 12/31/19
 Account No. 145-04B64

99999999

☒



Fiscal Statement

MERRILL
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
62.0000	V F CORPORATION	02/22/18	4,348.38	6,178.92	1,830.54	120.00
50.0000	V F CORPORATION	12/17/19	4,752.20	4,983.00	230.80	96.00
382.0000	VESTAS WIND SYTS AS ADR CURRENT YIELD 0.68%	04/23/18	8,587.66	12,861.94	4,274.28	89.00
80.0000	VESTAS WIND SYTS AS ADR	05/07/18	1,838.86	2,693.60	854.74	19.00
524.0000	VESTAS WIND SYTS AS ADR	05/08/18	12,156.59	17,643.08	5,486.49	122.00
93.0000	VISA INC CL A SHRS CURRENT YIELD 0.63%	07/17/13	4,396.65	17,474.70	13,078.05	112.00
64.0000	VISA INC CL A SHRS	09/17/13	3,054.21	12,025.60	8,971.39	77.00
41.0000	VISA INC CL A SHRS	12/21/16	3,207.41	7,703.90	4,496.49	50.00
21.0000	ZENDESK INC SHS	07/23/19	1,916.94	1,609.23	(307.71)	
90.0000	ZENDESK INC SHS	07/24/19	8,325.17	6,896.70	(1,428.47)	
27.0000	ZENDESK INC SHS	07/25/19	2,520.03	2,069.01	(451.02)	
73.0000	ZENDESK INC SHS	08/01/19	6,224.35	5,593.99	(630.36)	
50.0000	XYLEM INC SHS ISSUED CURRENT YIELD 1.21%	06/22/15	1,849.28	3,939.50	2,090.22	48.00
75.0000	XYLEM INC SHS ISSUED	06/23/15	2,793.78	5,909.25	3,115.47	72.00

PLEASE SEE REVERSE SIDE

Statement Period
Year Ending 12/31/19

Page
17 of 59

Account No.
145-04B64

99999999

X



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
21.0000	XYLEM INC SHS ISSUED	06/23/15	779.33	1,654.59	875.26	21.00
112.0000	XYLEM INC SHS ISSUED	09/22/15	3,604.15	8,824.48	5,220.33	108.00
122.0000	XYLEM INC SHS ISSUED	12/21/16	6,219.39	9,612.38	3,392.99	118.00
22.0000	XYLEM INC SHS ISSUED	12/22/16	1,123.06	1,733.38	610.32	22.00
35.0000	XYLEM INC SHS ISSUED	06/09/17	1,884.82	2,757.65	872.83	34.00
26.0000	WEST PHARMACTL SVCS INC CURRENT YIELD 0.42%	12/21/16	2,205.64	3,908.58	1,702.94	17.00
30.0000	WEST PHARMACTL SVCS INC	12/22/16	2,534.95	4,509.90	1,974.95	20.00
5.0000	WEST PHARMACTL SVCS INC	09/26/17	476.99	751.65	274.66	4.00
16.0000	WEST PHARMACTL SVCS INC	09/26/17	1,525.52	2,405.28	879.76	11.00
33.0000	WEST PHARMACTL SVCS INC	12/06/17	3,304.66	4,960.89	1,656.23	22.00
69.0000	WEST PHARMACTL SVCS INC	02/22/18	6,007.83	10,372.77	4,364.94	45.00
15.0000	WEST PHARMACTL SVCS INC	12/17/19	2,217.97	2,254.95	36.98	10.00

PLEASE SEE REVERSE SIDE
 Page 18 of 59
 Statement Period Year Ending 12/31/19
 Account No. 145-04864



Fiscal Statement

MERRILL LYNCH
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
10.0000	WEST PHARMACTL SVCS INC	12/18/19	1,483.78	1,503.30	19.52	7.00
Total Equities			886,577.48	1,291,209.04	404,631.56	11,757.00

PLEASE SEE REVERSE SIDE
Page 19 of 59
Statement Period Year Ending 12/31/19
Account No. 145-04B64



Tax Year 2019

The Cades Foundation EIN:99-0285922
Form 990-PF Part II Line 10b (b)
Balance Sheets - Detailed Securities

EMASM Fiscal Statement

Total Account Value As Of 12/31/19

\$1,128,219.35

Your Financial Advisor:
THE HOCHULI GROUP
FA # 7380
(808) 525-8313

Your Merrill Office:
1003 BISHOP ST PENTHOUSE
HONOLULU HI 96813-3430

MARY DURYEA
FAO THE CADES FOUNDATION
387 KAELEPULU DR APT A
KAILUA HI 96734-3317

FOR CUSTOMER SERVICE QUESTIONS: 1-800-MERRILL (1-800-637-7455)

ACTIVITY SUMMARY

ANNUAL PORTFOLIO SUMMARY

	Fiscal Year Value 12/19
Credits	
Sales	1,128,621.57
Income	22,077.01
Funds Received	0.00
Electronic Tfrs	0.00
Other Credits	339.28
Total Credits	1,151,037.86

Debits	
Purchases	1,214,762.50
Withdrawals	0.00
Electronic Tfrs	0.00
Fees	9,252.98
Checks	0.00
Visa Transactions	0.00
Interest Charged	0.00
Other Debits	25,000.00
Total Debits	1,249,015.48
Net Activity	(97,977.62)

	Fiscal Year Value 12/31/19	Current Portfolio Cost Basis	Unrealized Gains/(Losses)
Cash/Money/Accounts	34,359.86	34,359.86	0.00
CD's/Equivalents	0.00	0.00	0.00
Government Securities	0.00	0.00	0.00
Corporate Bonds	0.00	0.00	0.00
Municipal Bonds	0.00	0.00	0.00
Equities	1,090,604.99	976,687.29	113,917.70
Mut Funds/CEF/UIT	0.00	0.00	0.00
Options	0.00	0.00	0.00
Other	0.00	0.00	0.00
Long Market Value	1,124,964.85	1,011,047.15	113,917.70
Short Market Value	0.00	0.00	0.00
Debit Balance	0.00	0.00	0.00
Estimated Accrued Int	3,254.50	0.00	0.00
Net Portfolio Value	1,128,219.35	1,011,047.15	113,917.70

INCOME SUMMARY

	Current Year (12/19)
Interest	15,046.98
Dividends	7,030.03
Total	22,077.01
Accrued Interest Earned	0.00
Accrued Interest Paid	2,585.16

PLEASE NOTE:

- This fiscal year end statement is for information purposes and should be used in conjunction with your monthly statements. This statement does not reflect any pending trades or dividend reclassifications. Please consult your tax advisor regarding any tax planning and reporting requirements.

PLEASE SEE REVERSE SIDE
Page 2 of 61
Statement Period
Year Ending 12/31/19

Account No.
145-04865



Fiscal Statement

MERRILL LYNCH
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Cash and Money Funds							
	CASH		1.86	1.86			
34,358.0000	ISA BK OF CHINA		34,358.00	34,358.00			34.36
	Total Cash and Money Funds		34,359.86	34,359.86			34.36
Corporate Bonds							
10,000.0000	FIREEYE, INC ² PPN 01.625% JUN 01 2035	03/19/19	9,325.00	9,652.20	327.20	13.54	163.00
5,000.0000	FIREEYE, INC ² ORIGINAL UNIT/TOTAL COST: 93.3750/4,668.75	03/26/19	4,668.75	4,826.10	157.35	6.77	82.00
1,000.0000	FIREEYE, INC ² ORIGINAL UNIT/TOTAL COST: 93.4500/934.50	04/09/19	934.50	965.22	30.72	1.35	17.00
15,000.0000	FIREEYE, INC ² ORIGINAL UNIT/TOTAL COST: 94.1250/14,118.75	04/26/19	14,118.75	14,478.30	359.55	20.31	244.00
5,000.0000	SM ENERGY COMPANY ² 1.50% SR NT CONV DUE 7/1/2021	07/17/17	4,855.71	4,733.45	(122.26)	37.50	75.00
1,000.0000	SM ENERGY COMPANY ² ORIGINAL UNIT/TOTAL COST: 92.9548/929.55	07/18/17	971.66	946.69	(24.97)	7.50	15.00

PLEASE SEE REVERSE SIDE

Account No. 145-04B65
Statement Period 12/31/19
Page 5 of 61





Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Corporate Bonds							
4,000.0000	SM ENERGY COMPANY ² ORIGINAL UNIT/TOTAL COST: 93.3503/3,734.01	07/21/17	3,892.66	3,786.76	(105.90)	30.00	60.00
15,000.0000	AKAMAI TECHNOLOGIES INC ² CONV 00.125% MAY 01 2025	09/05/19	17,420.90	16,571.25	(849.65)	3.12	19.00
2,000.0000	ALTERYX INC ² CONV 00.500% JUN 01 2023	12/02/19	4,873.50	4,658.02	(215.48)	0.83	10.00
5,000.0000	ADVANCED MICRO DEVICES ² 2.125% SR NT CONV DUE 09/01/2026	08/03/18	12,048.68	28,972.20	16,923.52	35.42	107.00
4,000.0000	OKTA INC ² 00.250% FEB 15 2023 MOODY'S: *** S&P: ***	11/21/19	10,788.97	9,697.36	(1,091.61)	3.78	10.00
1,000.0000	CHEGG INC ² CONV ZERO% MAY 15 2023	05/17/19	1,504.60	1,518.50	13.90	0.32	3.00
2,000.0000	CHEGG INC ²	08/02/19	3,458.32	3,037.00	(421.32)	0.64	5.00
3,000.0000	CHEGG INC ²	12/02/19	4,600.01	4,555.50	(44.51)	0.96	8.00
15,000.0000	MACQUARIE INFRASTRUCTURE ² 2.00% SR NT CONV DUE 10/1/2023	03/18/19	13,312.50	14,344.50	1,032.00	75.00	300.00

PLEASE SEE REVERSE SIDE
Statement Period
Year Ending 12/31/19
Account No.
145-04B65



Fiscal Statement

MERRILL
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Corporate Bonds							
8,000.0000	HUAZHU GROUP LTD ² CONV GLB 00.375% NOV 01 2022	12/20/19	9,085.59	8,950.40	(135.19)	4.92	30.00
1,000.0000	INSULET CORPORATION ² CONV 01.375% NOV 15 2024	05/29/19	1,320.30	1,899.64	579.34	1.76	14.00
6,000.0000	INSULET CORPORATION ²	05/30/19	8,032.31	11,397.84	3,365.53	10.54	83.00
2,000.0000	INSULET CORPORATION ²	08/07/19	3,290.90	3,799.28	508.38	3.51	28.00
1,000.0000	INSULET CORPORATION ²	08/16/19	1,693.70	1,899.64	205.94	1.76	14.00
7,000.0000	INSMED INC ² CONV 01.750% JAN 15 2025	11/04/19	6,169.04	6,743.17	574.13	56.49	123.00
10,000.0000	INSMED INC ² ORIGINAL UNIT/TOTAL COST: 96.0660/9,606.60	12/12/19	9,606.60	9,633.10	26.50	80.69	175.00
8,000.0000	II-VI INC ² CONV 00.250% SEP 01 2022	11/08/18	9,412.75	8,150.00	(1,262.75)	6.67	20.00
5,000.0000	INPHI THINK FAST ² CONV 00.750% SEP 01 2021	02/25/19	5,277.48	7,066.80	1,789.32	12.50	38.00
7,000.0000	INPHI THINK FAST ²	03/27/19	8,013.02	9,893.52	1,880.50	17.50	53.00

PLEASE SEE REVERSE SIDE

Statement Period
Year Ending 12/31/19

Page
7 of 61

Account No.
145-04B65

99595959

X





Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Corporate Bonds							
8,000.0000	FIVE9 INC ² CONV 00.125% MAY 01 2023	05/23/19	10,835.29	13,492.08	2,656.79	1.67	10.00
2,000.0000	FIVE9 INC ²	08/13/19	3,282.50	3,373.02	90.52	0.42	3.00
3,000.0000	FTI CONSULT INC ² CONV 02.000% AUG 15 2023	08/29/19	3,721.44	3,753.33	31.89	22.67	60.00
8,000.0000	FTI CONSULT INC ²	08/30/19	9,910.00	10,008.88	98.88	60.44	160.00
4,000.0000	FTI CONSULT INC ²	09/03/19	4,984.42	5,004.44	20.02	30.22	80.00
14,000.0000	CTRIPO COM INTERNATIONAL ² CONV GLB 01.000% JUL 01 2020	12/13/18	13,125.00	13,774.46	649.46	69.61	140.00
7,000.0000	CTRIPO COM INTERNATIONAL ² ORIGINAL UNIT/TOTAL COST: 93.5000/6,545.00	12/14/18	6,545.00	6,887.23	342.23	34.81	70.00
8,000.0000	COLONY STARWOOD HOMES ² CONV COMPANY GUARNT 03.500% JAN 15 2022	08/13/19	10,156.87	10,762.48	605.61	129.11	280.00
16,000.0000	CHENIERE ENERGY INC ² CONV 04.250% MAR 15 2045	12/18/18	11,654.12	12,600.80	946.68	200.22	680.00
3,000.0000	DEXCOM INC ² CONV 00.750% MAY 15 2022	06/17/19	4,816.70	6,670.95	1,854.25	2.87	23.00

PLEASE SEE REVERSE SIDE

Page
8 of 61

Statement Period
Year Ending 12/31/19

Account No.
145-04865



Fiscal Statement

MERRILL
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Corporate Bonds							
4,000.0000	DEXCOM INC ²	07/15/19	6,436.97	8,894.60	2,457.63	3.83	30.00
8,000.0000	DOCUSIGN INC ² CONV 00.500% SEP 15 2023	10/16/19	9,495.46	9,933.52	438.06	11.78	40.00
7,000.0000	DOCUSIGN INC ²	11/06/19	8,269.90	8,691.83	421.93	10.31	35.00
5,000.0000	DISH NETWORK CORP ² CONV 03.375% AUG 15 2026	04/23/19	4,485.15	4,809.50	324.35	63.75	169.00
3,000.0000	DISH NETWORK CORP ² ORIGINAL UNIT/TOTAL COST: 92.3218/2,769.65	05/30/19	2,769.65	2,885.70	116.05	38.25	102.00
8,000.0000	GDS HLDGS LTD ² CONV GLB 02.000% JUN 01 2025	06/19/19	7,823.52	9,668.88	2,045.36	12.89	160.00
2,000.0000	GDS HLDGS LTD ² ORIGINAL UNIT/TOTAL COST: 98.4784/1,969.57	06/28/19	1,969.57	2,417.22	447.65	3.22	40.00
5,000.0000	GDS HLDGS LTD ²	08/16/19	5,224.41	6,043.05	818.64	8.06	100.00
3,000.0000	GUIDEWIRE SOFTWARE INC ² CONVERTIBLE SENIOR NOTES 3/15/2025	12/04/19	3,712.10	3,525.36	(186.74)	11.04	38.00
7,000.0000	LUMENTUM HLDGS INC ² CONV 00.250% MAR 15 2024	11/11/19	9,242.16	10,202.50	960.34	5.15	18.00

PLEASE SEE REVERSE SIDE

Statement Period
Page 9 of 61
Year Ending 12/31/19
Account No. 145-04B65





Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Corporate Bonds							
8,000.0000	LIBERTY MEDIA CORP ² CONV 01.000% JAN 30 2023	04/11/18	8,353.16	10,641.60	2,288.44	33.33	80.00
5,000.0000	LIBERTY MEDIA CORP ²	10/09/18	5,671.88	6,651.00	979.12	20.83	50.00
7,000.0000	LIVE NATION ENTERTAINMEN ² CONV 02.500% MAR 15 2023	04/05/19	8,060.08	8,535.80	475.72	51.53	175.00
10,000.0000	LIVE NATION ENTERTAINMEN ²	12/11/19	12,090.65	12,194.00	103.35	73.61	250.00
2,000.0000	MICRON TECHNOLOGY INC ² CONV SER D 03.125% MAY 01 2032	09/10/19	9,819.31	10,766.34	947.03	10.42	63.00
2,000.0000	MICROCHIP TECHNOLOGY INC ² CONV SR SUBORDINATED 01.625% FEB 15 2025	06/10/16	2,326.35	4,302.50	1,976.15	12.28	33.00
2,000.0000	MICROCHIP TECHNOLOGY INC ²	04/20/17	2,994.05	4,302.50	1,308.45	12.28	33.00
14,000.0000	MICROCHIP TECHNOLOGY INC ²	03/26/18	26,866.32	30,117.50	3,251.18	85.94	228.00
1,000.0000	MICROCHIP TECHNOLOGY INC ²	11/16/18	1,477.85	2,151.25	673.40	6.14	17.00
2,000.0000	MICROCHIP TECHNOLOGY INC ²	11/26/18	2,988.60	4,302.50	1,313.90	12.28	33.00
4,000.0000	MICROCHIP TECHNOLOGY INC ²	01/23/19	5,920.53	8,605.00	2,684.47	24.56	65.00
4,000.0000	MERCADOLIBRE ² CONV 02.000% AUG 15 2028	09/09/19	6,076.59	5,961.84	(114.75)	30.00	80.00

PLEASE SEE REVERSE SIDE
Page 10 of 61
Statement Period Year Ending 12/31/19
Account No. 145-04B65



Fiscal Statement

MERRILL
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Corporate Bonds							
2,000.0000	NOVELLUS SYS INC ² CONV COMPANY GUARNT 02.625% MAY 15 2041	01/29/19	10,450.71	18,236.60	7,785.89	6.71	53.00
1,000.0000	NOVELLUS SYS INC ² ORIGINAL UNIT/TOTAL COST: 515.7256/5,157.26	03/07/19	5,240.41	9,118.30	3,877.89	3.35	27.00
1,000.0000	NEUROCRINE BIOSCIENCES ² CONV 02.250% MAY 15 2024	05/07/18	1,321.19	1,531.29	210.10	2.88	23.00
7,000.0000	NEUROCRINE BIOSCIENCES ²	06/18/18	10,448.41	10,719.03	270.62	20.13	158.00
3,000.0000	NEUROCRINE BIOSCIENCES ²	09/05/19	4,387.44	4,593.87	206.43	8.63	68.00
7,000.0000	NEURO CORP ² CONV 01.750% JUN 01 2021	06/26/19	7,255.03	9,439.22	2,184.19	10.21	123.00
1,000.0000	NEURO CORP ²	06/27/19	1,047.40	1,348.46	301.06	1.46	18.00
2,000.0000	NEURO CORP ²	07/02/19	2,098.16	2,696.92	598.76	2.92	35.00
4,000.0000	NEURO CORP ²	07/22/19	4,210.00	5,393.84	1,183.84	5.83	70.00
4,000.0000	Q2 HOLDINGS INC ² CONV 00.750% FEB 15 2023	07/17/19	6,542.45	6,051.84	(490.61)	11.33	30.00
3,000.0000	Q2 HOLDINGS INC ²	09/06/19	5,358.67	4,538.88	(819.79)	8.50	23.00

PLEASE SEE REVERSE SIDE
Page 11 of 61
Statement Period
Year Ending 12/31/19
Account No.
145-04865

☒ 99999999



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Corporate Bonds							
10,000.0000	OSI SYSTEMS, INC ² CONV 01.250% SEP 01 2022	05/13/19	11,255.87	10,905.40	(350.47)	41.67	125.00
1,000.0000	OSI SYSTEMS, INC ²	05/14/19	1,131.79	1,090.54	(41.25)	4.17	13.00
8,000.0000	PRICELINE GROUP INC ² CONV 00.900% SEP 15 2021	09/10/19	9,382.40	9,218.96	(163.44)	21.20	72.00
22,000.0000	PRICELINE GROUP INC ²	09/17/19	26,407.81	25,352.14	(1,055.67)	58.30	198.00
7,000.0000	PATRICK INDUSTRIES INC ² CONV 01.000% FEB 01 2023	03/18/19	6,278.13	6,724.41	446.28	29.17	70.00
5,000.0000	PATRICK INDUSTRIES INC ² ORIGINAL UNIT/TOTAL COST: 88.3689/4,418.45	03/25/19	4,418.45	4,803.15	384.70	20.83	50.00
8,000.0000	RH ² CONV ZERO% JUN 15 2023	10/08/19	8,765.78	10,150.48	1,384.70		
7,000.0000	RH ²	11/18/19	8,251.44	8,881.67	630.23		
15,000.0000	REPLIGEN CORP ² CONV 00.375% JUL 15 2024	10/07/19	15,009.41	16,178.40	1,168.99	25.31	57.00
4,000.0000	RINGCENTRAL INC ² CONV ZERO% MAR 15 2023	03/19/19	5,588.57	8,389.20	2,800.63		

PLEASE SEE REVERSE SIDE
Statement Period
Year Ending 12/31/19
Account No.
145-04B65

Page
12 of 61

x 99999999



Fiscal Statement

MERRILL
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Corporate Bonds							
4,000.0000	RINGCENTRAL INC ²	08/02/19	7,111.09	8,389.20	1,278.11		
3,000.0000	SERVICENOW INC ² CONV ZERO% JUN 01 2022	03/07/19	5,346.15	6,328.98	982.83		
3,000.0000	SERVICENOW INC ²	06/17/19	6,198.52	6,328.98	130.46		
4,000.0000	SUNPOWER CORP ² CONV 04.000% JAN 15 2023	03/21/17	3,180.00	3,266.80	86.80	73.78	160.00
6,000.0000	SUNPOWER CORP ² ORIGINAL UNIT/TOTAL COST: 86.7500/5,205.00	06/27/17	5,205.00	4,900.20	(304.80)	110.67	240.00
3,000.0000	SUNPOWER CORP ² ORIGINAL UNIT/TOTAL COST: 87.5000/2,625.00	07/11/17	2,625.00	2,450.10	(174.90)	55.33	120.00
7,000.0000	SUNPOWER CORP ² ORIGINAL UNIT/TOTAL COST: 84.5000/5,915.00	09/19/18	5,915.00	5,716.90	(198.10)	129.11	280.00
9,000.0000	SUNPOWER CORP ² ORIGINAL UNIT/TOTAL COST: 84.2500/7,582.50	10/03/18	7,582.50	7,350.30	(232.20)	166.00	360.00
5,000.0000	SUNPOWER CORP ² ORIGINAL UNIT/TOTAL COST: 78.0000/3,900.00	02/20/19	3,900.00	4,083.50	183.50	92.22	200.00

PLEASE SEE REVERSE SIDE

Statement Period
Page 13 of 61
Year Ending 12/31/19
Account No. 145-04B65



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Corporate Bonds							
2,000.0000	SUNPOWER CORP ² ORIGINAL UNIT/TOTAL COST: 80.6250/1,612.50	02/28/19	1,612.50	1,633.40	20.90	36.89	80.00
1,000.0000	SUNPOWER CORP ² ORIGINAL UNIT/TOTAL COST: 80.0000/800.00	03/06/19	800.00	816.70	16.70	18.44	40.00
8,000.0000	STARWOOD PROPERTY TRUST ² CONV 04 375% APR 01 2023	04/10/18	8,055.00	8,305.04	250.04	87.50	350.00
7,000.0000	STARWOOD PROPERTY TRUST ²	04/25/18	7,035.00	7,266.91	231.91	76.56	307.00
7,000.0000	STARWOOD PROPERTY TRUST ²	06/20/19	7,105.00	7,266.91	161.91	76.56	307.00
1,000.0000	SQUARE INC ² CONV 00.375% MAR 01 2022	01/07/19	2,634.69	2,729.92	95.23	1.25	4.00
33,000.0000	TESLA, INC. ² CONV SENIOR NOTES 2.000% MAY 15 2024	10/24/19	39,381.51	49,890.06	10,508.55	84.33	660.00
2,000.0000	TWITTER INC ² CONV 00.250% JUN 15 2024	06/20/19	1,978.84	1,932.50	(46.34)	0.22	5.00
8,000.0000	TWITTER INC ²	07/26/19	8,461.10	7,730.00	(731.10)	0.89	20.00
4,000.0000	TWITTER INC ²	08/02/19	4,292.70	3,865.00	(427.70)	0.44	10.00

PLEASE SEE REVERSE SIDE
 Page 14 of 61
 Statement Period Year Ending 12/31/19
 Account No. 145-04B65



Fiscal Statement

MERRILL
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Corporate Bonds							
4,000.0000	TELADOC INC ² CONV 03.000% DEC 15 2022	07/31/19	6,993.84	8,015.60	1,021.76	5.33	120.00
3,000.0000	TELADOC INC ²	11/06/19	5,844.12	6,011.70	167.58	4.00	90.00
9,000.0000	TWITTER INC ² CONV 01.000% SEP 15 2021	08/29/17	8,268.75	8,752.50	483.75	26.50	90.00
6,000.0000	TWITTER INC ² ORIGINAL UNIT/TOTAL COST: 95.3100/5,718.60	02/26/18	5,718.60	5,835.00	116.40	17.67	60.00
12,000.0000	TWITTER INC ² ORIGINAL UNIT/TOTAL COST: 93.7000/11,244.00	04/04/18	11,244.00	11,670.00	426.00	35.33	120.00
9,000.0000	TERADYNE INC ² CONV 01.250% DEC 15 2023	08/03/18	13,233.08	19,738.17	6,505.09	5.00	113.00
1,000.0000	TERADYNE INC ²	12/09/19	2,070.79	2,193.13	122.34	0.56	13.00
22,000.0000	WEIBO CORP ² CONV GLB 01.250% NOV 15 2022	08/13/19	20,558.71	20,583.86	25.15	34.38	275.00
22,000.0000	WEIBO CORP ² ORIGINAL UNIT/TOTAL COST: 94.0625/20,693.75	08/27/19	20,693.75	20,583.86	(109.89)	34.38	275.00

PLEASE SEE REVERSE SIDE
Statement Period
Year Ending 12/31/19
Account No.
145-04865

Page
15 of 61

☒ 95959599



EMATM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Corporate Bonds							
22,000.0000	WESTERN DIGITAL CORP ² CONV COMPANY GUARNT 01.500% FEB 01 2024	09/27/19	21,373.46	21,546.36	172.90	137.50	330.00
8,000.0000	SCORPIO TANKERS INC ² CONV 03.000% MAY 15 2022	04/22/19	7,620.34	9,809.68	2,189.34	30.67	240.00
1,000.0000	SCORPIO TANKERS INC ² ORIGINAL UNIT/TOTAL COST: 97.5198/975.20	04/24/19	975.20	1,226.21	251.01	3.83	30.00
1,000.0000	SCORPIO TANKERS INC ²	06/28/19	1,037.50	1,226.21	188.71	3.83	30.00
8,000.0000	SHIP FINANCE INTL LTD ² CONV 05.750% OCT 15 2021	10/10/17	8,399.30	8,503.04	103.74	95.83	460.00
			816,882.36	915,417.42	98,535.06	3,254.50	11,643.00
² Total Convertible Securities (included in Equities asset allocation) Total Corporate Bonds							

PLEASE SEE REVERSE SIDE
Page 16 of 61
Statement Period Year Ending 12/31/19
Account No. 145-04B65



Fiscal Statement

MERRILL
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
209.0000	AQUA AMERICA, INC TANGIBLE EQUITY UNITS 6% DUE 4/30/2022	07/22/19	11,785.18	13,031.15	1,245.97	640.00
11.0000	BECTON DICKINSON & CO 6.125% DEP SHS REPSTG 1/ PFD CONV SER A	06/05/17	594.92	720.06	125.14	34.00
76.0000	BECTON DICKINSON & CO 6.125% DEP SHS REPSTG 1/ PFD CONV SER A	12/04/17	4,548.15	4,974.96	426.81	233.00
60.0000	BECTON DICKINSON & CO 6.125% DEP SHS REPSTG 1/ PFD CONV SER A	12/14/18	3,544.14	3,927.60	383.46	184.00
79.0000	BECTON DICKINSON & CO 6.125% DEP SHS REPSTG 1/ PFD CONV SER A	07/15/19	4,874.51	5,171.34	296.83	242.00
12.0000	CROWN CASTLE INTL CORP 6.875%PFD MAD CONV SER CURRENT YIELD 5.36%	08/20/18	13,209.91	15,380.88	2,170.97	825.00
2.0000	CROWN CASTLE INTL CORP 6.875%PFD MAD CONV SER	12/14/18	2,175.19	2,563.48	388.29	138.00
293.0000	CENTERPOINT ENERGY INC CONV NEW MONEY SER B 07.000% SEP 01 2021	12/12/18	15,013.09	14,280.82	(732.27)	1,026.00
18.0000	DANAHER CORPORATION 4.75% MANDATORY CONV PFD DUE 4/15/2022	03/05/19	18,284.19	21,222.72	2,938.53	855.00

PLEASE SEE REVERSE SIDE

Statement Period
Page 17 of 61
Year Ending 12/31/19
Account No.
145-04B65





Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
13.0000	DANAHER CORPORATION 4.75% MANDATORY CONV PFD DUE 4/15/2022	04/05/19	13,654.50	15,327.52	1,673.02	618.00
278.0000	NEXTERA ENERGY INC CONV NEW MONEY 04.872% SEP 01 2022	09/06/19	13,501.34	14,255.84	754.50	617.00
160.0000	NEXTERA ENERGY INC CONV NEW MONEY 04.872% SEP 01 2022	10/03/19	7,974.62	8,204.80	230.18	356.00
77.0000	STANLEY BLACK & DECKER EQUITY UNITS CORPORATE EQUITY UNITS	04/12/19	7,924.72	8,403.01	478.29	414.00
54.0000	STANLEY BLACK & DECKER EQUITY UNITS CORPORATE EQUITY UNITS	04/22/19	5,552.40	5,893.02	340.62	291.00
69.0000	STANLEY BLACK & DECKER EQUITY UNITS CORPORATE EQUITY UNITS	09/10/19	7,021.65	7,529.97	508.32	371.00
20.0000	SEMPRA ENERGY CONV NEW MONEY SER A 06.000% JAN 15 2021	10/30/18	1,997.27	2,400.40	403.13	120.00
5.0000	WELLS FARGO & CO NEW 7.5% PERP CONV PFD STK	01/21/16	5,862.50	7,250.00	1,387.50	375.00

PLEASE SEE REVERSE SIDE
Page 18 of 61
Statement Period
Year Ending 12/31/19
Account No.
145-04865



Fiscal Statement

MERRILL
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
4.0000	WELLS FARGO & CO NEW 7.5% PERP CONV PFD STK	03/03/17	4,872.72	5,800.00	927.28	300.00
3.0000	WELLS FARGO & CO NEW 7.5% PERP CONV PFD STK	08/29/17	4,014.83	4,350.00	335.17	225.00
10.0000	WELLS FARGO & CO NEW 7.5% PERP CONV PFD STK	05/29/19	13,399.10	14,500.00	1,100.90	750.00
Total Equities			159,804.93	175,187.57	15,382.64	8,614.00

PLEASE SEE REVERSE SIDE
Page 19 of 61
Statement Period
Year Ending 12/31/19
Account No.
145-04B65

99999999

X



Tax Year 2019
The Cades Foundation EIN: 99-0285922
Form 990-PF Part II Line 10b (b)
Balance Sheets - Detailed Securities

EMASM Fiscal Statement

MARY DURYEA
FAO THE CADES FOUNDATION
387 KAELEPULU DR APT A
KAILUA HI 96734-3317

Total Account Value As Of 12/31/19 **\$1,105,268.25**

Your Financial Advisor:
THE HOCHULI GROUP
FA # 7380
(808) 525-8313

Your Merrill Office:
1003 BISHOP ST PENTHOUSE
HONOLULU HI 96813-3430

FOR CUSTOMER SERVICE QUESTIONS: 1-800-MERRILL (1-800-637-7455)

ACTIVITY SUMMARY

	Fiscal Year Value 12/19
Credits	
Sales	83,824.97
Income	26,394.46
Funds Received	0.00
Electronic Tfrs	0.00
Other Credits	183.65
Total Credits	110,403.08

Debits	
Purchases	142,525.44
Withdrawals	0.00
Electronic Tfrs	0.00
Fees	8,953.90
Checks	0.00
Visa Transactions	0.00
Interest Charged	0.00
Other Debits	0.00
Total Debits	151,479.34
Net Activity	(41,076.26)

ANNUAL PORTFOLIO SUMMARY

	Fiscal Year Value 12/31/19	Current Portfolio Cost Basis	Unrealized Gains/(Losses)
Cash/Money/Accounts	16,509.80	16,509.80	0.00
CD's/Equivalents	0.00	0.00	0.00
Government Securities	0.00	0.00	0.00
Corporate Bonds	0.00	0.00	0.00
Municipal Bonds	0.00	0.00	0.00
Equities	1,088,758.45	697,002.57	391,755.88
Mut Funds/CEF/UIT	0.00	0.00	0.00
Options	0.00	0.00	0.00
Other	0.00	0.00	0.00
Long Market Value	1,105,268.25	713,512.37	391,755.88
Short Market Value	0.00	0.00	0.00
Debit Balance	0.00	0.00	0.00
Estimated Accrued Int	0.00	0.00	0.00
Net Portfolio Value	1,105,268.25	713,512.37	391,755.88

INCOME SUMMARY

	Current Year (12/19)
Interest	130.25
Dividends	26,264.21
Total	26,394.46
Accrued Interest Earned	0.00
Accrued Interest Paid	0.00

PLEASE NOTE:

- o This fiscal year end statement is for information purposes and should be used in conjunction with your monthly statements. This statement does not reflect any pending trades or dividend reclassifications. Please consult your tax advisor regarding any tax planning and reporting requirements.



Fiscal Statement

MERRILL
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Cash and Money Funds							
	CASH		354.80	354.80			
16,155,000	ISA BK OF CHINA		16,155.00	16,155.00			16.16
Total Cash and Money Funds			16,509.80	16,509.80			16.16

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
22.0000	ABBOTT LABS CURRENT YIELD 1.65%	08/16/16	988.67	1,910.92	922.25	32.00
390.0000	ABBOTT LABS	08/17/16	17,432.77	33,875.40	16,442.63	562.00
83.0000	ALLSTATE CORP DEL COM CURRENT YIELD 1.77%	02/23/12	2,624.18	9,333.35	6,709.17	166.00
190.0000	ALLSTATE CORP DEL COM	01/07/13	7,928.07	21,365.50	13,437.43	381.00
742.0000	AMERICAN INTERNATIONAL GROUP INC CURRENT YIELD 2.49%	12/18/18	28,281.28	38,086.86	9,805.58	950.00
72.0000	AT&T INC CURRENT YIELD 5.32%	11/15/10	2,070.52	2,813.76	743.24	150.00

PLEASE SEE REVERSE SIDE

Page 5 of 26
Statement Period
Year Ending 12/31/19

Account No.
145-04B66





Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
143.0000	AT&T INC	07/25/18	4,327.94	5,588.44	1,260.50	298.00
728.0000	AT&T INC	12/18/18	21,706.76	28,450.24	6,743.48	1,515.00
549.0000	ARCHER DANIELS MIDLD CURRENT YIELD 3.02%	01/07/13	15,336.43	25,446.15	10,109.72	769.00
77.0000	ARCHER DANIELS MIDLD	01/07/16	2,691.29	3,568.95	877.66	108.00
109.0000	BROADCOM LTD CURRENT YIELD 4.11%	01/30/19	29,507.46	34,446.18	4,938.72	1,418.00
584.0000	BANK NEW YORK MELLON CORP CURRENT YIELD 2.46%	01/07/13	15,627.50	29,392.72	13,765.22	725.00
9.0000	BANK NEW YORK MELLON CORP	08/16/16	360.72	452.97	92.25	12.00
56.0000	CIGNA CORP REG SHS CURRENT YIELD 0.01%	01/07/16	10,202.36	11,451.44	1,249.08	3.00
11.0000	CIGNA CORP REG SHS	08/16/16	2,004.04	2,249.39	245.35	1.00
38.0000	CIGNA CORP REG SHS	06/21/17	6,923.03	7,770.62	847.59	2.00
42.0000	CIGNA CORP REG SHS	01/03/19	7,851.17	8,588.58	737.41	2.00
351.0000	CARDINAL HEALTH INC OHIO CURRENT YIELD 3.80%	01/07/13	14,871.84	17,753.58	2,881.74	676.00
128.0000	CARDINAL HEALTH INC OHIO	07/25/18	6,331.33	6,474.24	142.91	247.00

PLEASE SEE REVERSE SIDE

Page

6 of 26

Statement Period

Year Ending 12/31/19

Account No.

145-04B66

39395959



Fiscal Statement

MERRILL LYNCH
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
125.0000	CARDINAL HEALTH INC OHIO	01/18/19	6,103.21	6,322.50	219.29	241.00
341.0000	CVS HEALTH CORP CURRENT YIELD 2.69%	01/07/13	17,011.77	25,332.89	8,321.12	683.00
21.0000	CVS HEALTH CORP	11/16/17	1,477.48	1,560.09	82.61	42.00
67.0000	CVS HEALTH CORP	03/07/18	4,511.28	4,977.43	466.15	134.00
370.0000	CONOCOPHILLIPS CURRENT YIELD 2.58%	01/07/16	16,677.49	24,061.10	7,383.61	622.00
64.0000	CONOCOPHILLIPS	08/16/16	2,741.75	4,161.92	1,420.17	108.00
37.0000	CISCO SYSTEMS INC COM CURRENT YIELD 2.91%	01/25/12	733.94	1,774.52	1,040.58	52.00
618.0000	CISCO SYSTEMS INC COM	01/07/13	12,495.90	29,639.28	17,143.38	866.00
163.0000	CONAGRA BRANDS INC CURRENT YIELD 2.48%	09/04/19	4,724.49	5,581.12	856.63	139.00
136.0000	CONAGRA BRANDS INC	09/05/19	3,912.78	4,656.64	743.86	116.00
317.0000	CONAGRA BRANDS INC	09/06/19	9,055.51	10,854.08	1,798.57	270.00
163.0000	CONAGRA BRANDS INC	09/09/19	4,732.60	5,581.12	848.52	139.00
294.0000	CONAGRA BRANDS INC	09/10/19	8,649.99	10,066.56	1,416.57	250.00
130.0000	DUPONT DE NEMOURS INC CURRENT YIELD 1.86%	01/07/13	6,573.84	8,346.00	1,772.16	156.00

PLEASE SEE REVERSE SIDE

Statement Period
Page 7 of 26
Year Ending 12/31/19
Account No. 145-04B66

X 99999999



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
39.0000	DUPONT DE NEMOURS INC	01/18/19	3,338.80	2,503.80	(835.00)	47.00
79.0000	DUPONT DE NEMOURS INC	04/16/19	6,788.02	5,071.80	(1,716.22)	95.00
17.0000	DUPONT DE NEMOURS INC	04/17/19	1,473.91	1,091.40	(382.51)	21.00
77.0000	DUPONT DE NEMOURS INC	06/20/19	5,760.49	4,943.40	(817.09)	93.00
70.0000	DUPONT DE NEMOURS INC	06/21/19	5,291.05	4,494.00	(797.05)	84.00
61.0000	DOLLAR TREE INC	08/31/17	4,849.53	5,737.05	887.52	
156.0000	DOLLAR TREE INC	09/01/17	12,570.72	14,671.80	2,101.08	
74.0000	DOLLAR TREE INC	09/05/17	6,105.22	6,959.70	854.48	
184.0000	EQUITY RESIDENTIAL REIT	01/11/17	11,697.89	14,889.28	3,191.39	418.00
	CURRENT YIELD 2.80%					
238.0000	EQUITY RESIDENTIAL REIT	01/12/17	15,176.83	19,258.96	4,082.13	541.00
88.0000	EQUITY RESIDENTIAL REIT	03/07/18	5,061.57	7,120.96	2,059.39	200.00
386.0000	EDISON INTL CALIF CURRENT YIELD 3.38%	01/02/14	17,852.50	29,108.26	11,255.76	985.00
58.0000	EDISON INTL CALIF	07/09/18	3,795.37	4,373.78	578.41	148.00
216.0000	HALLIBURTON COMPANY CURRENT YIELD 2.94%	01/07/16	7,196.26	5,285.52	(1,910.74)	156.00

PLEASE SEE REVERSE SIDE

Page 8 of 26
Statement Period Year Ending 12/31/19
Account No. 145-04B66



Fiscal Statement

MERRILL
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
760.0000	HALLIBURTON COMPANY	12/18/18	22,156.88	18,597.20	(3,559.68)	548.00
426.0000	HALLIBURTON COMPANY	10/14/19	7,986.28	10,424.22	2,437.94	307.00
572.0000	INTEL CORP CURRENT YIELD 2.10%	01/07/13	12,144.13	34,234.20	22,090.07	721.00
64.0000	JOHNSON AND JOHNSON COM CURRENT YIELD 2.60%	06/29/10	3,783.53	9,335.68	5,552.15	244.00
134.0000	JOHNSON AND JOHNSON COM	01/07/13	9,571.60	19,546.58	9,974.98	510.00
347.0000	LOWE'S COMPANIES INC CURRENT YIELD 1.83%	01/07/13	12,039.86	41,556.72	29,516.86	764.00
1,582.0000	MARATHON OIL CORP CURRENT YIELD 1.47%	01/07/16	17,286.99	21,483.56	4,196.57	317.00
248.0000	MARATHON OIL CORP	04/21/17	3,756.67	3,367.84	(388.83)	50.00
185.0000	MERCK AND CO INC SHS CURRENT YIELD 2.68%	10/03/11	5,945.32	16,825.75	10,880.43	452.00
99.0000	MERCK AND CO INC SHS	01/07/13	4,175.40	9,004.05	4,828.65	242.00
1.0000	MERCK AND CO INC SHS	08/16/16	63.16	90.95	27.79	3.00
64.0000	MERCK AND CO INC SHS	11/16/17	3,535.20	5,820.80	2,285.60	157.00
36.0000	MERCK AND CO INC SHS	03/07/18	1,949.63	3,274.20	1,324.57	88.00

PLEASE SEE REVERSE SIDE

Page 9 of 26
Statement Period
Year Ending 12/31/19
Account No.
145-04B66



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
444.0000	MONDELEZ INTERNATIONAL INC CURRENT YIELD 2.06%	01/07/13	11,578.23	24,455.52	12,877.29	507.00
216.0000	MONDELEZ INTERNATIONAL INC	06/18/13	6,483.05	11,897.28	5,414.23	247.00
330.0000	MARSH & MCLENNAN COS INC CURRENT YIELD 1.63%	01/07/13	11,661.15	36,765.30	25,104.15	601.00
85.0000	NORTHROP GRUMMAN CORP CURRENT YIELD 1.53%	01/07/13	5,843.36	29,237.45	23,394.09	449.00
25.0000	NORTHROP GRUMMAN CORP	01/18/19	6,678.78	8,599.25	1,920.47	132.00
36.0000	OCCIDENTAL PETE CORP CAL CURRENT YIELD 7.66%	09/03/09	2,489.23	1,483.56	(1,005.67)	114.00
281.0000	OCCIDENTAL PETE CORP CAL	01/07/16	18,203.85	11,580.01	(6,623.84)	888.00
17.0000	OCCIDENTAL PETE CORP CAL	08/16/16	1,293.46	700.57	(592.89)	54.00
74.0000	OCCIDENTAL PETE CORP CAL	11/16/17	4,981.63	3,049.54	(1,932.09)	234.00
34.0000	OCCIDENTAL PETE CORP CAL	03/07/18	2,225.27	1,401.14	(824.13)	108.00
319.0000	OCCIDENTAL PETE CORP CAL	10/14/19	12,995.39	13,145.99	150.60	1,009.00
143.0000	ORACLE CORP \$0.01 DEL CURRENT YIELD 1.81%	02/01/17	5,701.86	7,576.14	1,874.28	138.00
412.0000	ORACLE CORP \$0.01 DEL	02/02/17	16,435.64	21,827.76	5,392.12	396.00

PLEASE SEE REVERSE SIDE

Page
10 of 26

Statement Period
Year Ending 12/31/19

Account No.
145-04B66

39395939





Fiscal Statement



MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
309.0000	PFIZER INC CURRENT YIELD 3.87%	11/12/09	5,482.56	12,106.62	6,624.06	470.00
285.0000	PFIZER INC	03/24/10	5,016.00	11,166.30	6,150.30	434.00
52.0000	PFIZER INC	01/07/13	1,350.79	2,037.36	686.57	80.00
32.0000	PFIZER INC	08/16/16	1,115.36	1,253.76	138.40	49.00
136.0000	RAYTHEON CO DELAWARE NEW CURRENT YIELD 1.71%	01/07/13	7,955.25	29,884.64	21,929.39	513.00
43.0000	RAYTHEON CO DELAWARE NEW	01/18/19	7,110.35	9,448.82	2,338.47	163.00
563.0000	TRUIST FINL CORP CURRENT YIELD 3.19%	01/07/16	19,944.95	31,708.16	11,763.21	1,014.00
37.0000	TRUIST FINL CORP	08/16/16	1,389.34	2,083.84	694.50	67.00
307.0000	VERIZON COMMUNICATNS COM CURRENT YIELD 4.00%	01/07/13	13,679.52	18,849.80	5,170.28	756.00
101.0000	VERIZON COMMUNICATNS COM	01/07/16	4,584.65	6,201.40	1,616.75	249.00
3.0000	VERIZON COMMUNICATNS COM	08/16/16	159.02	184.20	25.18	8.00
96.0000	VERIZON COMMUNICATNS COM	11/16/17	4,304.40	5,894.40	1,590.00	237.00
309.0000	WASTE MANAGEMENT INC NEW CURRENT YIELD 1.79%	01/07/13	10,517.28	35,213.64	24,696.36	634.00
Total Equities			697,002.57	1,088,758.45	391,755.88	28,577.00

PLEASE SEE REVERSE SIDE
Statement Period
Page 11 of 26
Year Ending 12/31/19
Account No. 145-04B66

99999999

X



Tax Year 2019

The Cades Foundation EIN:99-0285922
Form 990-PF Part II Line 10a & 13 (b)
Balance Sheets - Detailed Securities



Fiscal Statement

Total Account Value As Of 12/31/19

\$1,132,024.51

Your Financial Advisor:
THE HOCHULI GROUP
FA # 7380
(808) 525-8313

Your Merrill Office:
1003 BISHOP ST PENTHOUSE
HONOLULU HI 96813-3430

FOR CUSTOMER SERVICE QUESTIONS:

1-800-MERRILL (1-800-637-7455)

MARY DURVEA
FAO THE CADES FOUNDATION
387 KAELEPULU DR APT A
KAILUA HI 96734-3317

ACTIVITY SUMMARY

ANNUAL PORTFOLIO SUMMARY

	Fiscal Year Value 12/19
Credits	
Sales	50,733.11
Income	39,331.06
Funds Received	0.00
Electronic Trfs	0.00
Other Credits	0.00
Total Credits	90,064.17

Debits	
Purchases	251,846.47
Withdrawals	0.00
Electronic Trfs	0.00
Fees	10,107.74
Checks	0.00
Visa Transactions	0.00
Interest Charged	0.00
Other Debits	0.00
Total Debits	261,954.21
Net Activity	(171,890.04)

	Fiscal Year Value 12/31/19
Cash/Money/Accounts	58,967.50
CD's/Equivalents	0.00
Government Securities	528,214.22
Corporate Bonds	0.00
Municipal Bonds	0.00
Equities	0.00
Mut Funds/CEF/UIT	542,475.31
Options	0.00
Other	0.00
Long Market Value	1,129,657.03
Short Market Value	0.00
Debit Balance	0.00
Estimated Accrued Int	2,367.48
Net Portfolio Value	1,132,024.51

INCOME SUMMARY

	Current Year (12/19)
Interest	16,096.47
Dividends	23,234.59
Total	39,331.06
Accrued Interest Earned	0.00
Accrued Interest Paid	441.20

	Current Portfolio Cost Basis	Unrealized Gains/(Losses)
	58,967.50	0.00
	500,185.11	25,974.97
	0.00	0.00
	0.00	0.00
	0.00	0.00
	513,710.33	28,764.98
	0.00	0.00
	0.00	0.00
	1,072,862.94	54,739.95
	0.00	0.00
	0.00	0.00
	1,072,862.94	54,739.95

PLEASE NOTE:

- This fiscal year end statement is for information purposes and should be used in conjunction with your monthly statements. This statement does not reflect any pending trades or dividend reclassifications. Please consult your tax advisor regarding any tax planning and reporting requirements.



Fiscal Statement

MERRILL LYNCH
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Cash and Money Funds							
	CASH		1,695.50	1,695.50			
57,272.0000	ML BANK DEPOSIT PROGRAM	01/15/16	57,272.00	57,272.00			126.00
	Total Cash and Money Funds		58,967.50	58,967.50			126.00
Government Securities							
4,000.0000	FHLMC G1 6308 03 50%2032 AMORTIZED FACTOR 0.645700880 AMORTIZED VALUE 2,582	11/13/17	2,689.34	2,687.82	(1.52)	7.53	91.00
100,000.0000	FHLMC G0 8800 03 50%2048 AMORTIZED FACTOR 0.797633420 AMORTIZED VALUE 79,763	01/15/19	79,853.70	83,024.63	3,170.93	232.64	2,792.00
5,000.0000	FNMA PMA3333 04%2048 AMORTIZED FACTOR 0.748340720 AMORTIZED VALUE 3,741	04/26/18	3,809.52	3,922.53	113.01	12.47	150.00
1,000.0000	FNMA PMA3364 03 50%2033 AMORTIZED FACTOR 0.714289070 AMORTIZED VALUE 714	05/30/19	734.66	742.90	8.24	2.08	25.00
75,000.0000	FNMA PAE0392 05 50%2039 AMORTIZED FACTOR 0.037452210 AMORTIZED VALUE 2,808	02/03/15	3,147.74	3,151.37	3.63	12.87	155.00
54,000.0000	FNMA PMA3384 04%2048 AMORTIZED FACTOR 0.723358610 AMORTIZED VALUE 39,061	01/15/19	39,915.83	40,769.83	854.00	130.20	1,563.00

PLEASE SEE REVERSE SIDE

Page: 5 of 66
Statement Period
Year Ending 12/31/19

Account No.
145-03157

x 99999999



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Government Securities							
2,000.0000	FNMA PMA3416 04 50%2048 AMORTIZED FACTOR 0.663825380 AMORTIZED VALUE 1,327	07/15/19	1,398.18	1,398.16	(0.02)	4.98	60.00
24,000.0000	FNMA PAE5471 04 50%2040 AMORTIZED FACTOR 0.127834210 AMORTIZED VALUE 3,068	10/13/11	3,238.68	3,331.97	93.29	11.50	139.00
1.0000	FNMA PMA3444 04 50%2048 AMORTIZED FACTOR 0.656190340 AMORTIZED VALUE 0	08/23/18	0.68	0.69	0.01		1.00
16,000.0000	FNMA PMA3444 04 50%2048 AMORTIZED VALUE 10,499	01/15/19	10,896.86	11,080.66	183.80	39.37	473.00
2,000.0000	FNMA PAE0188 05 50%2037 AMORTIZED FACTOR 0.062274050 AMORTIZED VALUE 124	11/29/16	140.00	140.05	0.05	0.57	7.00
9,000.0000	FNMA PMA3559 03 50%2034 AMORTIZED FACTOR 0.694780670 AMORTIZED VALUE 6,253	01/17/19	6,354.64	6,476.33	121.69	18.24	219.00
2,000.0000	FNMA PMA3615 04%2049 AMORTIZED FACTOR 0.697295560 AMORTIZED VALUE 1,394	05/29/19	1,437.30	1,448.94	11.64	4.65	56.00
6,000.0000	FNMA PMA3686 03 50%2049 AMORTIZED FACTOR 0.881795870 AMORTIZED VALUE 5,290	05/29/19	5,381.71	5,438.34	56.63	15.43	186.00

PLEASE SEE REVERSE SIDE
Page 6 of 66
Statement Period Year Ending 12/31/19
Account No. 145-03157



Fiscal Statement

MERRILL LYNCH
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Government Securities							
2,000.0000	FNMA PMA3685 03%2049 AMORTIZED FACTOR 0.917562180 AMORTIZED VALUE 1,835	05/30/19	1,837.42	1,863.33	25.91	4.59	56.00
9,000.0000	FNMA PAA7681 04 50%2039 AMORTIZED FACTOR 0.045708190 AMORTIZED VALUE 411	10/09/14	446.34	446.37	0.03	1.54	19.00
6,000.0000	FNMA PAI1888 04 50%2041 AMORTIZED FACTOR 0.115044280 AMORTIZED VALUE 690	04/15/14	741.33	749.42	8.09	2.59	32.00
6,000.0000	FNMA PAL0160 04 50%2041 AMORTIZED FACTOR 0.137601360 AMORTIZED VALUE 825	01/14/14	882.76	896.49	13.73	3.10	38.00
15,000.0000	FEDERAL NATL MTGE ASSN BONDS 06.250% MAY 15 2029	12/28/18	18,791.05	20,286.00	1,494.95	119.79	938.00
58,000.0000	FEDERAL NATL MTG ASSOC UNSECURED 01.875% SEP 24 2026	12/28/18	53,867.50	57,896.18	4,028.68	293.02	1,088.00
37,000.0000	U.S. TREASURY BOND 2.875% NOV 15 2046 MOODY'S: AAA S&P: ***	12/28/18	35,842.30	40,685.57	4,843.27	134.43	1,064.00
60,000.0000	U.S. TREASURY NOTE 2.250% AUG 15 2027 MOODY'S: AAA S&P: ***	12/28/18	57,909.38	61,713.60	3,804.22	506.25	1,350.00

PLEASE SEE REVERSE SIDE

Statement Period
Year Ending 12/31/19

Page
7 of 66

Account No.
145-03157



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Government Securities							
7,000.0000	U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 97.3828/6,816.80	02/08/19	6,816.80	7,199.92	383.12	59.06	158.00
1,000.0000	U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 99.3281/993.28	05/22/19	993.28	1,028.56	35.28	8.44	23.00
31,000.0000	U.S. TREASURY BOND 3.125% AUG 15 2044 MOODY'S: AAA S&P: ***	12/28/18	31,518.51	35,341.24	3,822.73	363.28	969.00
60,000.0000	U.S. TREASURY NOTE 2.250% NOV 15 2024 MOODY'S: AAA S&P: ***	12/28/18	58,884.00	61,572.60	2,688.60	170.60	1,350.00
1,000.0000	U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 100.1330/1,001.33	05/22/19	1,001.19	1,026.21	25.02	2.84	23.00
2,000.0000	FHLMC G0 8588 04%2044 AMORTIZED FACTOR 0.268324740 AMORTIZED VALUE 536	02/26/15	573.88	568.98	(4.90)	1.79	22.00
55,000.0000	FNMA P995672 04 50%2039 AMORTIZED FACTOR 0.046695250 AMORTIZED VALUE 2,568	02/03/11	2,610.78	2,784.88	174.10	9.63	116.00
38,000.0000	FNMA P995672 04 50%2039 AMORTIZED VALUE 1,774	04/02/12	1,893.36	1,924.10	30.74	6.65	80.00

PLEASE SEE REVERSE SIDE
 Statement Period
 Year Ending 12/31/19
 Account No.
 145-03157



Fiscal Statement

MERRILL LYNCH
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Government Securities							
3,000.0000	FHLMC G0 8606 04%2044 AMORTIZED FACTOR 0.316143880 AMORTIZED VALUE 948	05/13/15	1,011.27	1,009.19	(2.08)	3.16	38.00
7,000.0000	FNMA PAS3907 04%2044 AMORTIZED FACTOR 0.316581690 AMORTIZED VALUE 2,216	01/20/16	2,365.66	2,348.00	(17.66)	7.39	89.00
4,000.0000	FNMA PAX2501 04%2044 AMORTIZED FACTOR 0.351533430 AMORTIZED VALUE 1,406	04/08/15	1,505.22	1,489.90	(15.32)	4.69	57.00
25,000.0000	FHLMC G0 8624 04%2045 AMORTIZED FACTOR 0.344139190 AMORTIZED VALUE 8,603	01/16/15	9,228.58	9,122.48	(106.10)	28.68	345.00
3,000.0000	FHLMC G0 8624 04%2045 AMORTIZED VALUE 1,032	07/10/15	1,092.10	1,094.70	2.60	3.44	42.00
45,000.0000	FNMA PAD0440 06%2039 AMORTIZED FACTOR 0.050921650 AMORTIZED VALUE 2,291	01/31/11	2,514.53	2,627.75	113.22	11.46	138.00
4,276.0000	FNMA PAA7937 04 50%2037 AMORTIZED FACTOR 0.037905660 AMORTIZED VALUE 162	06/13/11	168.44	175.82	7.38	0.61	8.00
2,000.0000	FNMA PAL6306 04 50%2045 AMORTIZED FACTOR 0.279228290 AMORTIZED VALUE 558	01/22/16	605.84	606.15	0.31	2.09	26.00

PLEASE SEE REVERSE SIDE

Page
9 of 66

Statement Period
Year Ending 12/31/19

Account No.
145-03157

x 99999999



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Government Securities							
2,000.0000	FNMA PAL7579 05 50%2042 AMORTIZED FACTOR 0.348653110 AMORTIZED VALUE 697	05/11/16	786.87	782.69	(4.18)	3.20	39.00
1,000.0000	FHLMC G0 8681 03 50%2045 AMORTIZED FACTOR 0.546821330 AMORTIZED VALUE 546	01/14/16	567.93	571.60	3.67	1.59	20.00
2,000.0000	FNMA PAL8001 03 50%2031 AMORTIZED FACTOR 0.477884670 AMORTIZED VALUE 955	02/08/16	1,015.06	994.53	(20.53)	2.79	34.00
61,000.0000	FHLMC G0 8701 03%2046 AMORTIZED FACTOR 0.661195570 AMORTIZED VALUE 40,332	01/15/19	39,472.70	41,332.86		100.83	1,210.00
2,000.0000	FNMA PMA2670 03%2046 AMORTIZED FACTOR 0.710758270 AMORTIZED VALUE 1,421	07/26/17	1,427.07	1,455.81		3.55	43.00
2,000.0000	FNMA PMA2670 03%2046 AMORTIZED VALUE 1,421	08/23/18	1,383.54	1,455.81		3.55	43.00
1,000.0000	FNMA PMA2705 03%2046 AMORTIZED FACTOR 0.712056510 AMORTIZED VALUE 712	06/07/18	686.58	728.58		1.78	22.00

PLEASE SEE REVERSE SIDE
 Account No. 145-03157
 Statement Period
 Page 10 of 66
 Year Ending 12/31/19



Fiscal Statement

MERRILL
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Government Securities							
1,000.0000	FNMA PMA2806 03%2046 AMORTIZED FACTOR 0.745310370 AMORTIZED VALUE 745	04/26/18	718.99	763.33		1.86	23.00
2,000.0000	FNMA PMA3073 04 50%2047 AMORTIZED FACTOR 0.587773910 AMORTIZED VALUE 1,175	05/09/18	1,224.41	1,249.12	24.71	4.41	53.00
1,000.0000	FNMA PMA3120 03 50%2047 AMORTIZED FACTOR 0.777902880 AMORTIZED VALUE 777	11/27/17	801.60	808.23		2.27	28.00
Total Government Securities			500,185.11	528,214.22	25,974.97	2,367.48	15,501.00

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
----------	----------------------	------------------	----------------------------	-------------------------------	---------------------------------	-------------------------

Mutual Funds/Closed End Funds/UIT

26,009.0000	FIXED INCOME SHARES SERIES C F CL INSTL CURRENT YIELD 3.92%	12/28/18	258,554.83	271,273.87	12,719.04	10,637.00
-------------	---	----------	------------	------------	-----------	-----------

PLEASE SEE REVERSE SIDE

Page 11 of 66
Statement Period
Year Ending 12/31/19

Account No.
145-03157

☒ 959595959



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
25,878.0000	FIXED INCOME SHARES SERIES M F CL INSTL CURRENT YIELD 4.11%	01/11/16	255,155.50	271,201.44	16,045.94	11,152.00
Total Mutual Funds/Closed End Funds/UIT			513,710.33	542,475.31	28,764.98	21,789.00

PLEASE SEE REVERSE SIDE
 Page 12 of 66
 Statement Period Year Ending 12/31/19
 Account No. 145-03157

Tax Year 2019
The Cades Foundation EIN:99-0285922
Form 990-PF Part II Line 10b (b)
Balance Sheets - Detailed Securities

EMASM Fiscal Statement

Total Account Value As Of 12/31/19 **\$752,922.14**

Your Financial Advisor:
THE HOCHULI GROUP
FA # 7380
(808) 525-8313

Your Merrill Office:
1003 BISHOP ST PENTHOUSE
HONOLULU HI 96813-3430

FOR CUSTOMER SERVICE QUESTIONS: **1-800-MERRILL (1-800-637-7455)**

MARY DURYEA
FAO THE CADES FOUNDATION
387 KAELEPULU DR APT A
KAILUA HI 96734-3317

ACTIVITY SUMMARY

	Fiscal Year Value 12/19
Credits	
Sales	226,225.46
Income	7,445.64
Funds Received	0.00
Electronic Trfs	0.00
Other Credits	49.90
Total Credits	233,721.00

Debits	
Purchases	140,796.91
Withdrawals	0.00
Electronic Trfs	0.00
Fees	6,391.77
Checks	0.00
Visa Transactions	0.00
Interest Charged	0.00
Other Debits	75,141.79
Total Debits	222,330.47
Net Activity	11,390.53

ANNUAL PORTFOLIO SUMMARY

	Fiscal Year Value 12/31/19	Current Portfolio Cost Basis	Unrealized Gains/(Losses)
Cash/Money/Accounts	18,492.95	18,492.95	0.00
CD's/Equivalents	0.00	0.00	0.00
Government Securities	0.00	0.00	0.00
Corporate Bonds	0.00	0.00	0.00
Municipal Bonds	0.00	0.00	0.00
Equities	734,429.19	551,570.30	182,858.89
Mut Funds/CEF/UIT	0.00	0.00	0.00
Options	0.00	0.00	0.00
Other	0.00	0.00	0.00
Long Market Value	752,922.14	570,063.25	182,858.89
Short Market Value	0.00	0.00	0.00
Debit Balance	0.00	0.00	0.00
Estimated Accrued Int	0.00	0.00	0.00
Net Portfolio Value	752,922.14	570,063.25	182,858.89

PLEASE NOTE:

o This fiscal year end statement is for information purposes and should be used in conjunction with your monthly statements. This statement does not reflect any pending trades or dividend reclassifications. Please consult your tax advisor regarding any tax planning and reporting requirements.

INCOME SUMMARY

	Current Year (12/19)
Interest	124.97
Dividends	7,320.67
Total	7,445.64
Accrued Interest Earned	0.00
Accrued Interest Paid	0.00

PLEASE SEE REVERSE SIDE
Page 2 of 48
Statement Period Year Ending 12/31/19
Account No. 145-03161



Fiscal Statement

MERRILL LYNCH
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Cash and Money Funds							
	CASH		35.95	35.95			
18,457.0000	ML BANK DEPOSIT PROGRAM	02/28/11	18,457.00	18,457.00			40.60
	Total Cash and Money Funds		18,492.95	18,492.95			40.60

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
67.0000	ADOBE INC SHS	02/23/18	13,765.66	22,097.27	8,331.61	
91.0000	ALEXION PHARMS INC	02/23/18	10,589.90	9,841.65	(748.25)	
9.0000	ALEXION PHARMS INC	03/16/18	1,153.71	973.35	(180.36)	
176.0000	AKAMAI TECHNOLOGIES INC	02/23/18	11,777.00	15,202.88	3,425.88	
21.0000	AMAZON COM INC COM	02/23/18	31,266.43	38,804.64	7,538.21	
3.0000	AMAZON COM INC COM	12/18/18	4,664.30	5,543.52	879.22	
1.0000	AMAZON COM INC COM	12/21/18	1,380.32	1,847.84	467.52	
1.0000	AMAZON COM INC COM	10/15/19	1,757.50	1,847.84	90.34	

PLEASE SEE REVERSE SIDE

Page
5 of 48

Statement Period
Year Ending 12/31/19

Account No.
145-03161

X 99999999



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
2.0000	AMAZON COM INC COM	10/25/19	3,487.20	3,695.68	208.48	
1.0000	AMAZON COM INC COM	11/04/19	1,809.01	1,847.84	38.83	
9.0000	ADVANCE AUTO PARTS INC CURRENT YIELD 0 14%	03/18/19	1,451.24	1,441.44	(9.80)	3.00
4.0000	ADVANCE AUTO PARTS INC	04/10/19	709.39	640.64	(68.75)	1.00
6.0000	ADVANCE AUTO PARTS INC	04/23/19	1,034.45	960.96	(73.49)	2.00
6.0000	ADVANCE AUTO PARTS INC	04/30/19	999.21	960.96	(38.25)	2.00
5.0000	ADVANCE AUTO PARTS INC	05/02/19	830.94	800.80	(30.14)	2.00
5.0000	ADVANCE AUTO PARTS INC	05/06/19	808.69	800.80	(7.89)	2.00
6.0000	ADVANCE AUTO PARTS INC	05/17/19	950.24	960.96	10.72	2.00
7.0000	ADVANCE AUTO PARTS INC	05/22/19	1,169.72	1,121.12	(48.60)	2.00
10.0000	ADVANCE AUTO PARTS INC	05/23/19	1,615.07	1,601.60	(13.47)	3.00
5.0000	ADVANCE AUTO PARTS INC	06/24/19	762.39	800.80	38.41	2.00
6.0000	ADVANCE AUTO PARTS INC	08/15/19	821.80	960.96	139.16	2.00
152.0000	ANHEUSER-BUSCH INBEV ADR CURRENT YIELD 1.81%	12/18/18	10,492.73	12,470.08	1,977.35	227.00
32.0000	ALIBABA GROUP HOLDING LT	12/18/18	4,580.62	6,787.20	2,206.58	
16.0000	ALIBABA GROUP HOLDING LT	03/28/19	2,837.67	3,393.60	555.93	

PLEASE SEE REVERSE SIDE

Statement Period
Year Ending 12/31/19
Page 6 of 48
Account No. 145-03161



Fiscal Statement

MERRILL
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
11.0000	ALIBABA GROUP HOLDING LT	06/10/19	1,739.89	2,333.10	593.21	
31.0000	ALPHABET INC SHS CL A	12/18/18	32,776.80	41,521.09	8,744.29	
113.0000	AMER EXPRESS COMPANY CURRENT YIELD 1.38%	02/23/18	11,062.94	14,067.37	3,004.43	195.00
14.0000	AMER EXPRESS COMPANY	10/15/19	1,647.34	1,742.86	95.52	25.00
32.0000	APPLE INC CURRENT YIELD 1.04%	02/08/18	5,120.44	9,396.80	4,276.36	99.00
8.0000	APPLE INC	06/29/18	1,489.77	2,349.20	859.43	25.00
9.0000	APPLE INC	09/13/18	2,034.29	2,642.85	608.56	28.00
3.0000	APPLE INC	09/26/18	666.40	880.95	214.55	10.00
26.0000	APPLE INC	12/19/18	4,183.00	7,634.90	3,451.90	81.00
5.0000	APPLE INC	11/13/19	1,317.52	1,468.25	150.73	16.00
7.0000	APPLE INC	12/19/19	1,958.84	2,055.55	96.71	22.00
17.0000	BIOMARIN PHARMACEUTICALS	04/30/18	1,435.38	1,437.35	1.97	
17.0000	BIOMARIN PHARMACEUTICALS	05/04/18	1,445.27	1,437.35	(7.92)	
15.0000	BIOMARIN PHARMACEUTICALS	05/10/18	1,343.96	1,268.25	(75.71)	
9.0000	BIOMARIN PHARMACEUTICALS	05/23/18	800.51	760.95	(39.56)	
9.0000	BIOMARIN PHARMACEUTICALS	06/15/18	852.95	760.95	(92.00)	

PLEASE SEE REVERSE SIDE

Page 7 of 48
Statement Period
Year Ending 12/31/19
Account No.
145-03161

99999999

X



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
5.0000	BIOMARIN PHARMACEUTICALS	09/07/18	489.46	422.75	(66.71)	
13.0000	BIOMARIN PHARMACEUTICALS	01/07/19	1,175.34	1,099.15	(76.19)	
15.0000	BIOMARIN PHARMACEUTICALS	05/29/19	1,251.08	1,268.25	17.17	
11.0000	BLACKROCK INC CURRENT YIELD 2.62%	01/15/14	3,433.14	5,529.70	2,096.56	146.00
6.0000	BLACKROCK INC	12/19/18	2,236.50	3,016.20	779.70	80.00
245.0000	COMCAST CORP NEW CL A CURRENT YIELD 1.86%	12/18/18	8,746.16	11,017.65	2,271.49	206.00
98.0000	COMCAST CORP NEW CL A	04/02/19	3,920.91	4,407.06	486.15	83.00
36.0000	COSTCO WHOLESALE CRP DEL CURRENT YIELD 0.88%	02/23/18	6,752.53	10,581.12	3,828.59	94.00
5.0000	COSTCO WHOLESALE CRP DEL	01/04/19	1,028.00	1,469.60	441.60	13.00
19.0000	C.H. ROBINSON WORLDWIDE, INC. NEW CURRENT YIELD 2.60%	05/01/19	1,534.61	1,485.80	(48.81)	39.00
3.0000	C.H. ROBINSON WORLDWIDE, INC. NEW	05/02/19	250.81	234.60	(16.21)	7.00
10.0000	C.H. ROBINSON WORLDWIDE, INC. NEW	05/02/19	837.24	782.00	(55.24)	21.00
5.0000	C.H. ROBINSON WORLDWIDE, INC. NEW	05/08/19	417.76	391.00	(26.76)	11.00

PLEASE SEE REVERSE SIDE

Statement Period
Year Ending 12/31/19

Page
8 of 48

Account No.
145-03161



Fiscal Statement

MERRILL LYNCH
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
8.0000	C.H. ROBINSON WORLDWIDE, INC. NEW	05/09/19	663.53	625.60	(37.93)	17.00
10.0000	C.H. ROBINSON WORLDWIDE, INC. NEW	05/23/19	807.95	782.00	(25.95)	21.00
1.0000	C.H. ROBINSON WORLDWIDE, INC. NEW	08/01/19	81.00	78.20	(2.80)	3.00
13.0000	C.H. ROBINSON WORLDWIDE, INC. NEW	08/05/19	1,051.81	1,016.60	(35.21)	27.00
92.0000	DISNEY (WALT) CO COM STK CURRENT YIELD 1.21%	02/23/18	9,814.22	13,305.96	3,491.74	162.00
31.0000	DISNEY (WALT) CO COM STK	01/22/19	3,425.72	4,483.53	1,057.81	55.00
66.0000	ECOLAB INC CURRENT YIELD 0.97%	02/23/18	8,605.36	12,737.34	4,131.98	125.00
15.0000	EQUINIX INC CURRENT YIELD 1.68%	02/23/18	5,994.83	8,755.50	2,760.67	148.00
2.0000	EQUINIX INC	03/06/18	783.75	1,167.40	383.65	20.00
2.0000	EQUINIX INC	03/13/18	802.97	1,167.40	364.43	20.00
1.0000	EQUINIX INC	05/04/18	402.63	583.70	181.07	10.00
2.0000	EQUINIX INC	06/21/18	837.02	1,167.40	330.38	20.00
2.0000	EQUINIX INC	10/16/18	813.10	1,167.40	354.30	20.00

PLEASE SEE REVERSE SIDE

Page
9 of 48

Statement Period
Year Ending 12/31/19

Account No.
145-03161



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
17.0000	FIDELITY NATL INFO SVCS INC	07/25/19	2,251.01	2,364.53	113.52	24.00
	CURRENT YIELD 1.00%					
13.0000	FIDELITY NATL INFO SVCS INC	07/30/19	1,750.28	1,808.17	57.89	19.00
14.0000	FIDELITY NATL INFO SVCS INC	08/01/19	1,853.42	1,947.26	93.84	20.00
12.0000	FIDELITY NATL INFO SVCS INC	08/13/19	1,613.03	1,669.08	56.05	17.00
8.0000	FIDELITY NATL INFO SVCS INC	09/11/19	1,048.83	1,112.72	63.89	12.00
13.0000	FIDELITY NATL INFO SVCS INC	10/21/19	1,671.69	1,808.17	136.48	19.00
142.0000	FACEBOOK INC CLASS A COMMON STOCK	12/18/18	20,659.24	29,145.50	8,486.26	
16.0000	FACEBOOK INC CLASS A COMMON STOCK	01/04/19	2,185.02	3,284.00	1,098.98	
17.0000	FACEBOOK INC CLASS A COMMON STOCK	01/22/19	2,529.99	3,489.25	959.26	
7.0000	FACEBOOK INC CLASS A COMMON STOCK	04/03/19	1,225.84	1,436.75	210.91	
37.0000	W W GRAINGER INCORP CURRENT YIELD 1.70%	02/23/18	9,780.12	12,525.24	2,745.12	214.00

PLEASE SEE REVERSE SIDE

Statement Period
Year Ending 12/31/19

Page
10 of 48

Account No.
145-03161



Fiscal Statement

MERRILL
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
5.0000	W W GRAINGER INCORP	10/17/18	1,405.53	1,692.60	287.07	29.00
4.0000	W W GRAINGER INCORP	10/19/18	1,105.98	1,354.08	248.10	24.00
2.0000	W W GRAINGER INCORP	10/24/18	548.78	677.04	128.26	12.00
70.0000	HONEYWELL INTL INC DEL CURRENT YIELD 2.03%	02/23/18	10,361.97	12,390.00	2,028.03	252.00
8.0000	HONEYWELL INTL INC DEL	08/23/18	1,213.42	1,416.00	202.58	29.00
15.0000	HONEYWELL INTL INC DEL	02/04/19	2,193.71	2,655.00	461.29	54.00
66.0000	HOME DEPOT INC CURRENT YIELD 2.49%	02/23/18	12,292.49	14,413.08	2,120.59	360.00
12.0000	IHS MARKIT LTD SHS	10/30/18	613.21	904.20	290.99	
1.0000	IHS MARKIT LTD SHS	11/13/18	51.00	75.35	24.35	
26.0000	IHS MARKIT LTD SHS	11/14/18	1,323.51	1,959.10	635.59	
12.0000	IHS MARKIT LTD SHS	11/15/18	621.48	904.20	282.72	
19.0000	IHS MARKIT LTD SHS	11/20/18	949.97	1,431.65	481.68	
13.0000	IHS MARKIT LTD SHS	11/27/18	662.06	979.55	317.49	
13.0000	IHS MARKIT LTD SHS	11/28/18	679.84	979.55	299.71	
12.0000	IHS MARKIT LTD SHS	11/29/18	634.58	904.20	269.62	
13.0000	IHS MARKIT LTD SHS	12/18/18	647.49	979.55	332.06	

PLEASE SEE REVERSE SIDE

Statement Period
Year Ending 12/31/19

Account No.
145-03161

Page
11 of 48

53555553

X



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
20.0000	IHS MARKIT LTD SHS	12/20/18	961.65	1,507.00	545.35	
17.0000	IHS MARKIT LTD SHS	01/04/19	823.45	1,280.95	457.50	
18.0000	IHS MARKIT LTD SHS	04/02/19	986.84	1,356.30	369.46	
57.0000	JOHNSON AND JOHNSON COM CURRENT YIELD 2.60%	02/23/18	7,447.20	8,314.59	867.39	217.00
18.0000	JOHNSON AND JOHNSON COM	05/30/19	2,378.13	2,625.66	247.53	69.00
11.0000	JOHNSON AND JOHNSON COM	06/10/19	1,524.78	1,604.57	79.79	42.00
5.0000	JOHNSON AND JOHNSON COM	08/27/19	652.43	729.35	76.92	19.00
49.0000	LINDE PLC REG SHS CURRENT YIELD 1.64%	02/23/18	8,009.29	10,432.10	2,422.81	172.00
5.0000	MC CORMICK NON VTG CURRENT YIELD 1.46%	02/23/18	530.79	848.65	317.86	13.00
5.0000	MC CORMICK NON VTG	01/28/19	604.82	848.65	243.83	13.00
5.0000	MC CORMICK NON VTG	01/29/19	605.07	848.65	243.58	13.00
5.0000	MC CORMICK NON VTG	01/30/19	615.08	848.65	233.57	13.00
6.0000	MC CORMICK NON VTG	01/31/19	743.08	1,018.38	275.30	15.00
7.0000	MC CORMICK NON VTG	02/05/19	871.49	1,188.11	316.62	18.00
6.0000	MC CORMICK NON VTG	02/13/19	771.30	1,018.38	247.08	15.00

PLEASE SEE REVERSE SIDE

Statement Period
Page 12 of 48
Year Ending 12/31/19
Account No. 145-03161



Fiscal Statement

MERRILL LYNCH
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
245.0000	MICROSOFT CORP CURRENT YIELD 1.29%	12/21/12	6,622.35	38,636.50	32,014.15	500.00
10.0000	NVIDIA CURRENT YIELD 0.27%	11/16/18	1,652.26	2,353.00	700.74	7.00
6.0000	NVIDIA	11/20/18	883.43	1,411.80	528.37	4.00
10.0000	NVIDIA	12/10/18	1,509.51	2,353.00	843.49	7.00
10.0000	NVIDIA	01/30/19	1,353.59	2,353.00	999.41	7.00
8.0000	NVIDIA	04/02/19	1,463.82	1,882.40	418.58	6.00
2.0000	NVIDIA	10/02/19	343.56	470.60	127.04	2.00
10.0000	NVIDIA	11/18/19	2,102.99	2,353.00	250.01	7.00
4.0000	NUTANIX INC	10/09/18	163.10	125.04	(38.06)	
37.0000	NUTANIX INC	10/11/18	1,445.32	1,156.62	(288.70)	
14.0000	NUTANIX INC	10/16/18	582.73	437.64	(145.09)	
25.0000	NUTANIX INC	10/23/18	967.98	781.50	(186.48)	
57.0000	NUTANIX INC	03/01/19	1,946.95	1,781.82	(165.13)	
183.0000	ORACLE CORP \$0.01 DEL CURRENT YIELD 1.81%	02/23/18	9,176.19	9,695.34	519.15	176.00
28.0000	ORACLE CORP \$0.01 DEL	03/20/18	1,320.99	1,483.44	162.45	27.00

PLEASE SEE REVERSE SIDE
Page 13 of 48
Statement Period Year Ending 12/31/19
Account No. 145-03161



Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
44.0000	ORACLE CORP \$0.01 DEL	06/21/18	1,902.23	2,331.12	428.89	43.00
47.0000	PIONEER NATURAL RES CO CURRENT YIELD 1.16%	12/18/18	6,284.79	7,114.39	829.60	83.00
3.0000	PIONEER NATURAL RES CO	05/08/19	457.66	454.11	(3.55)	6.00
6.0000	PIONEER NATURAL RES CO	05/29/19	873.52	908.22	34.70	11.00
51.0000	PALO ALTO NETWORKS INC COM	02/23/18	8,339.52	11,793.75	3,454.23	
33.0000	QUALCOMM INC CURRENT YIELD 2.81%	05/08/18	1,733.94	2,911.59	1,177.65	82.00
55.0000	QUALCOMM INC	05/10/18	3,007.41	4,852.65	1,845.24	137.00
28.0000	QUALCOMM INC	05/23/18	1,630.03	2,470.44	840.41	70.00
26.0000	QUALCOMM INC	08/15/18	1,697.37	2,293.98	596.61	65.00
25.0000	QUALCOMM INC	08/01/19	1,783.37	2,205.75	422.38	62.00
4.0000	QUALCOMM INC	08/02/19	281.76	352.92	71.16	10.00
212.0000	SCHWAB CHARLES CORP NEW CURRENT YIELD 1.42%	12/18/18	8,669.86	10,082.72	1,412.86	145.00
10.0000	SCHWAB CHARLES CORP NEW	01/22/19	475.38	475.60	0.22	7.00
35.0000	SCHWAB CHARLES CORP NEW	03/20/19	1,585.79	1,664.60	78.81	24.00
19.0000	SALESFORCE COM INC	11/06/19	3,018.83	3,090.16	71.33	

PLEASE SEE REVERSE SIDE

Page 14 of 48
Statement Period Year Ending 12/31/19
Account No. 145-03161



Fiscal Statement

MERRILL
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
12.0000	SALESFORCE COM INC	11/11/19	1,936.58	1,951.68	15.10	
11.0000	SALESFORCE COM INC	11/13/19	1,794.73	1,789.04	(5.69)	
10.0000	SALESFORCE COM INC	11/20/19	1,846.45	1,826.40	(20.05)	
6.0000	SALESFORCE COM INC	11/25/19	973.82	975.84	2.02	
75.0000	SPLUNK INC COMMON SHARES	02/23/18	7,078.03	11,232.75	4,154.72	
85.0000	TEXAS INSTRUMENTS CURRENT YIELD 2.80%	12/18/18	8,132.54	10,904.65	2,772.11	306.00
44.0000	THERMO FISHER SCIENTIFIC INC CURRENT YIELD 0.23%	02/23/18	9,230.47	14,294.28	5,063.81	34.00
13.0000	THERMO FISHER SCIENTIFIC INC	08/13/19	3,578.43	4,223.31	644.88	10.00
92.0000	UBER TECHNOLOGIES INC	05/10/19	3,988.15	2,736.08	(1,252.07)	
38.0000	UBER TECHNOLOGIES INC	05/10/19	1,531.40	1,130.12	(401.28)	
41.0000	UBER TECHNOLOGIES INC	06/04/19	1,725.82	1,219.34	(506.48)	
45.0000	UBER TECHNOLOGIES INC	06/27/19	2,009.25	1,338.30	(670.95)	
18.0000	UBER TECHNOLOGIES INC	08/09/19	720.04	535.32	(184.72)	
15.0000	UBER TECHNOLOGIES INC	08/09/19	600.03	446.10	(153.93)	

PLEASE SEE REVERSE SIDE

Page
15 of 48
Statement Period
Year Ending 12/31/19

Account No.
145-03161

93939393

X



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
44.0000	UBER TECHNOLOGIES INC	11/21/19	1,290.53	1,308.56	18.03	
100.0000	UNITED PARCEL SVC CL B CURRENT YIELD 3.28%	12/18/18	9,773.10	11,706.00	1,932.90	384.00
16.0000	UNITED PARCEL SVC CL B	06/26/19	1,588.61	1,872.96	284.35	62.00
13.0000	UNITED PARCEL SVC CL B	08/26/19	1,481.18	1,521.78	40.60	50.00
15.0000	UNITED PARCEL SVC CL B	10/02/19	1,727.89	1,755.90	28.01	58.00
54.0000	UNITEDHEALTH GROUP INC CURRENT YIELD 1.46%	02/23/18	12,263.03	15,874.92	3,611.89	234.00
25.0000	UNITEDHEALTH GROUP INC	04/12/19	5,669.37	7,349.50	1,680.13	108.00
50.0000	VMWARE INC	02/23/18	5,803.54	7,589.50	1,785.96	
5.0000	VMWARE INC	10/02/19	761.24	758.95	(2.29)	
2.0000	VMWARE INC	12/09/19	298.33	303.58	5.25	
6.0000	VMWARE INC	12/10/19	897.27	910.74	13.47	
8.0000	VMWARE INC	12/11/19	1,198.95	1,214.32	15.37	
144.0000	VISA INC CL A SHRS CURRENT YIELD 0.63%	02/23/18	17,591.04	27,057.60	9,466.56	173.00
31.0000	VISA INC CL A SHRS	01/22/19	4,280.13	5,824.90	1,544.77	38.00
3.0000	VISA INC CL A SHRS	06/21/19	521.48	563.70	42.22	4.00

PLEASE SEE REVERSE SIDE

Statement Period
Year Ending 12/31/19

Page
16 of 48

Account No.
145-03161



Fiscal Statement

MERRILL LYNCH
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
147.0000	ZOETIS INC CURRENT YIELD 0.60%	02/23/18	12,055.83	19,455.45	7,399.62	118.00
Total Equities			551,570.30	734,429.19	182,858.89	6,870.00

PLEASE SEE REVERSE SIDE
Page 17 of 48
Statement Period Year Ending 12/31/19
Account No. 145-03161



Tax Year 2019

The Cades Foundation EIN:99-0285922
Form 990-PF Part II Line 10a & 10c (b)
Balance Sheets - Detailed Securities

EMASM Fiscal Statement

Total Account Value As Of 12/31/19

\$359,456.22

Your Financial Advisor:
THE HOCHULI GROUP
FA # 7380
(808) 525-8313

Your Merrill Office:
1003 BISHOP ST PENTHOUSE
HONOLULU HI 96813-3430

MARY DURYEA
FAO THE CADES FOUNDATION
387 KAELEPULU DR APT A
KAILUA HI 96734-3317

FOR CUSTOMER SERVICE QUESTIONS:

1-800-MERRILL (1-800-637-7455)

ACTIVITY SUMMARY

ANNUAL PORTFOLIO SUMMARY

	Fiscal Year Value 12/19	Fiscal Year Value 12/31/19	Current Portfolio Cost Basis	Unrealized Gains/(Losses)
Credits				
Sales	432,980.71	30,468.18	30,468.18	0.00
Income	6,959.74	0.00	0.00	0.00
Funds Received	0.00	259,410.14	254,447.62	4,970.73
Electronic Trfs	0.00	68,423.73	68,169.51	254.22
Other Credits	0.00	0.00	0.00	0.00
Total Credits	439,940.45	358,302.05	353,085.31	5,224.95
Debits				
Purchases	407,932.04	1,154.17	0.00	0.00
Withdrawals	0.00	359,456.22	0.00	0.00
Electronic Trfs	2,900.54	0.00	0.00	0.00
Fees	0.00	0.00	0.00	0.00
Checks	0.00	0.00	0.00	0.00
Visa Transactions	0.00	0.00	0.00	0.00
Interest Charged	0.00	0.00	0.00	0.00
Other Debits	0.00	0.00	0.00	0.00
Total Debits	410,832.58			
Net Activity	29,107.87			

INCOME SUMMARY

PLEASE NOTE:

	Current Year (12/19)
Interest	6,959.74
Dividends	0.00
Total	6,959.74
Accrued Interest Earned	0.00
Accrued Interest Paid	500.12

o This fiscal year end statement is for information purposes and should be used in conjunction with your monthly statements. This statement does not reflect any pending trades or dividend reclassifications. Please consult your tax advisor regarding any tax planning and reporting requirements.

PLEASE SEE REVERSE SIDE

Statement Period
Year Ending 12/31/19

Account No.
145-03162

Page
2 of 52

x 99999999

00

00



EMASM Fiscal Statement

MERRILL LYNCH
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Cash and Money Funds							
	CASH		27.18	27.18			
30,441.0000	ML BANK DEPOSIT PROGRAM	12/12/18	30,441.00	30,441.00			66.97
	Total Cash and Money Funds		30,468.18	30,468.18			66.97
Government Securities							
1,000.0000	FNMA PMA3219 03 50%2032 AMORTIZED FACTOR 0.685787660 AMORTIZED VALUE 685	12/19/17	710.65	712.79	2.14	2.00	24.00
50,000.0000	FNMA PMA3247 03%2033 AMORTIZED FACTOR 0.750549380 AMORTIZED VALUE 37,527	01/17/19	37,477.63	38,571.03	1,093.40	93.82	1,126.00
14,000.0000	FNMA PMA3559 03 50%2034 AMORTIZED FACTOR 0.694780670 AMORTIZED VALUE 9,726	01/17/19	9,884.99	10,074.29	189.30	28.37	341.00
29,000.0000	U.S. TREASURY NOTE 1.125% FEB 28 2021 01.125% FEB 28 2021	12/31/18	28,184.38	28,830.06	645.68	109.35	327.00
1,000.0000	U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 97.3780/973.78	02/26/19	973.78	994.14	20.36	3.77	12.00
8,000.0000	U.S. TRSY INFLATION NTE 0.625% APR 15 2023 INFL ADJ PRIN 8,287	10/15/18	8,148.87	8,416.05	267.18	10.52	52.00

PLEASE SEE REVERSE SIDE
Statement Period
Page 5 of 52
Year Ending 12/31/19
Account No. 145-03162

☒ 99595939



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Government Securities							
23,000.0000	U.S. TREASURY NOTE 1.750% MAR 31 2022 MOODY'S: AAA S&P: ***	12/31/18	22,496.76	23,086.25	589.49	101.17	403.00
1,000.0000	U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 98.0000/980.00	02/26/19	980.00	1,003.75	23.75	4.40	18.00
4,000.0000	U.S. TREASURY NOTE 2.375% MAY 15 2027 MOODY'S: AAA S&P: ***	09/15/17	4,082.44	4,149.24	66.80	12.01	95.00
3,000.0000	U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 94.7851/2,843.55	01/31/18	2,938.01	3,111.93	173.92	9.00	72.00
13,000.0000	U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 97.9210/12,729.73	12/31/18	12,729.73	13,485.03	755.30	39.02	309.00
9,000.0000	U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 98.4726/8,862.54	02/26/19	8,862.54	9,335.79	473.25	27.01	214.00
32,000.0000	U.S. TREASURY NOTE 2.000% MAY 31 2024 MOODY'S: AAA S&P: ***	06/24/19	32,339.23	32,443.84	104.61	54.21	640.00
3,000.0000	U.S. TREASURY NOTE 1.750% JUN 30 2022 MOODY'S: AAA S&P: ***	10/12/17	2,975.74	3,012.42	36.68		53.00

PLEASE SEE REVERSE SIDE

Statement Period
Year Ending 12/31/19
Account No.
145-03162

Page
6 of 52

X 99999999



Fiscal Statement

MERRILL LYNCH
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Government Securities							
9,000.0000	U.S. TREASURY NOTE 2.875% OCT 31 2020 02.875% OCT 31 2020	12/31/18	9,029.52	9,089.64	60.12	43.36	259.00
1,000.0000	U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 100.6050/1,006.05	02/26/19	1,003.03	1,009.96	6.93	4.82	29.00
21,000.0000	U.S. TREASURY NOTE 2.375% FEB 29 2024 MOODY'S: AAA S&P: ***	05/15/19	21,195.08	21,598.92	403.84	167.16	499.00
46,000.0000	U.S. TREASURY NOTE 1.500% NOV 30 2021 021	12/24/19	45,859.84	45,938.82	78.98	58.44	690.00
2,000.0000	FNMA PAS5729 03%2030 AMORTIZED FACTOR 0.425088260 AMORTIZED VALUE 850	10/29/15	886.18	875.40	(10.78)	2.13	26.00
1,000.0000	FNMA PMA2498 03%2031 AMORTIZED FACTOR 0.462657850 AMORTIZED VALUE 462	01/25/16	481.09	476.34	(4.75)	1.16	14.00
1,000.0000	FNMA PAS7712 03%2031 AMORTIZED FACTOR 0.499431190 AMORTIZED VALUE 499	01/25/18	504.35	514.21		1.25	15.00
2,000.0000	FNMA PAL841 03 50%2031 AMORTIZED FACTOR 0.391430300 AMORTIZED VALUE 782	10/31/16	827.39	811.07		2.28	28.00

PLEASE SEE REVERSE SIDE
Page 7 of 52
Statement Period Year Ending 12/31/19
Account No. 145-03162



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Government Securities							
1,000.0000	FNMA PMA3074 03 50%2032 AMORTIZED FACTOR 0.612835550 AMORTIZED VALUE 612	08/22/17	642.33	636.86	(5.47)	1.79	22.00
1,000.0000	FNMA PAL9668 03%2030 AMORTIZED FACTOR 0.490427220 AMORTIZED VALUE 490	08/09/17	506.90	504.44		1.23	15.00
1,000.0000	FNMA PMA3155 03%2032 AMORTIZED FACTOR 0.706084460 AMORTIZED VALUE 706	09/28/17	727.16	727.87		1.77	22.00
Total Government Securities			254,447.62	259,410.14	4,970.73	780.04	5,305.00

Corporate Bonds							
3,000.0000	AT&T INC GLB 02.450% JUN 30 2020	04/19/18	2,966.70	3,010.20	43.50		74.00
4,000.0000	FIFTH THIRD BANCORP GLB 02.875% JUL 27 2020	08/18/15	4,001.24	4,016.64	15.40	49.19	115.00
3,000.0000	CELGENE CORP GLB 03.625% MAY 15 2024	06/25/19	3,131.15	3,155.04	23.89	13.90	109.00
4,000.0000	CVS HEALTH CORP GLB 03.700% MAR 09 2023	10/22/19	4,160.56	4,169.36	8.80	46.04	148.00

PLEASE SEE REVERSE SIDE
Statement Period
Year Ending 12/31/19
Account No.
145-03162

Page
8 of 52

☒ 99595959



Fiscal Statement

MERRILL
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Corporate Bonds							
4,000.0000	GENERAL MOTORS FINL CO COMPANY GUARNT GLB 03.200% JUL 13 2020	03/20/18	3,990.36	4,018.32	27.96	59.73	128.00
3,000.0000	MORGAN STANLEY SUBORDINATED GLB 04.875% NOV 01 2022	04/03/19	3,131.48	3,214.53	83.05	24.38	147.00
4,000.0000	WALGREENS BOOTS ALLIANCE GLB 03.300% NOV 18 2021	09/14/17	4,066.56	4,075.88	9.32	15.77	132.00
2,000.0000	ZIMMER HOLDINGS INC GLB 02.700% APR 01 2020	03/29/16	2,001.31	2,001.30	(0.01)	13.50	54.00
2,000.0000	ZIMMER HOLDINGS INC ORIGINAL UNIT/TOTAL COST: 101.8200/2,036.40	04/01/16	2,002.37	2,001.30	(1.07)	13.50	54.00
1,000.0000	ALLY AUTO RECEI ABS 2018 3 A3 03.000%JAN17 23 AMORTIZED VALUE 1,000	09/20/19	1,009.22	1,007.76	(1.46)	1.33	30.00
5,000.0000	ALLY AUTO RECEI ABS 2019 1 A3 02.910%SEP15 23 AMORTIZED VALUE 5,000	07/19/19	5,075.00	5,061.75	(13.25)	6.47	146.00
4,000.0000	GENERAL ELEC CAP CORP SER MTN 04.375% SEP 16 2020	03/20/18	4,031.42	4,062.00	30.58	51.04	175.00

PLEASE SEE REVERSE SIDE

Statement Period
Page 9 of 52
Year Ending 12/31/19

Account No.
145-03162

☒ 99999999



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Corporate Bonds							
2,000.0000	BMW VEHICLE LEA ABS 2019 1 A3 02.840%NOV22 21 AMORTIZED FACTOR 1.0000000000	07/24/19	2,018.20	2,016.00	(2.20)	1.74	57.00
2,000.0000	CITIBANK CREDIT ABS 2014 A5 A5 02.680%JUN07 23 AMORTIZED VALUE 2,000	07/09/19	2,021.17	2,023.39	2.22	3.57	54.00
1,000.0000	CARMAX AUTO OWN ABS 2016 3 A3 01.390%MAY17 21 AMORTIZED VALUE 93	07/11/17	93.28	93.75	0.47	0.06	2.00
4,000.0000	HONDA AUTO RECE ABS 2016 4 A3 01.210%DEC18 20 AMORTIZED VALUE 318	01/13/17	316.08	318.43	2.35	0.14	4.00
1,000.0000	CARMAX AUTO OWN ABS 2016 4 A3 01.400%AUG15 21 AMORTIZED VALUE 225	03/05/18	222.36	225.00	2.64	0.14	4.00
1,000.0000	ALLYA 2017-2 A3 ABS 2017 2 A3 01.780%AUG16 21 AMORTIZED VALUE 231	04/30/18	229.17	231.43	2.26	0.18	5.00
2,000.0000	CARMAX AUTO OWN ABS 2017 3 A3 01.970%APR15 22 AMORTIZED VALUE 1,279	10/12/18	1,259.85	1,279.11	19.26	1.12	26.00
2,000.0000	ALLY AUTO RECEI ABS 2017 3 A3 01.740%SEP15 21 AMORTIZED VALUE 640	09/11/17	641.27	639.61	(1.66)	0.49	12.00

PLEASE SEE REVERSE SIDE

Page 10 of 52
Statement Period Year Ending 12/31/19
Account No. 145-03162



Fiscal Statement



MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Corporate Bonds							
4,000.0000	FORD CREDIT FLO ABS 2017 2 A1 02.160%SEP15 22 AMORTIZED VALUE 4,000	11/17/17	4,005.16	4,004.46	(0.70)	3.84	87.00
1,000.0000	BMW VEHICLE LEA ABS 2017 2 A3 02.070%OCT20 20 AMORTIZED VALUE 313	02/08/19	312.19	313.65	1.46	0.20	7.00
4,000.0000	NISSAN AUTO REC ABS 2017 C A3 02.120%APR18 22 AMORTIZED VALUE 3,405	01/24/18	3,391.49	3,409.06	17.57	3.21	73.00
5,000.0000	CITIBANK CREDIT ABS 2018 A1 A1 02.490%JAN20 23 AMORTIZED VALUE 5,000	09/18/19	5,038.28	5,034.43	(3.85)	55.68	125.00
7,000.0000	CHASE ISSUANCE ABS 2018 A1 A1 VAR%APR17 23 AMORTIZED VALUE 7,000	07/25/19	7,014.22	7,006.98	(7.24)	6.03	136.00
1,000.0000	CARMAX AUTO OWN ABS 2018 3 A3 03.130%JUN15 23 AMORTIZED VALUE 1,000	07/18/19	1,015.98	1,014.24	(1.74)	1.39	32.00
1,000.0000	CARMAX AUTO OWN ABS 2018 4 A3 03.360%SEP15 23 AMORTIZED VALUE 1,000	10/22/19	1,023.44	1,020.11	(3.33)	1.49	34.00
Total Corporate Bonds			68,169.51	68,423.73	254.22	374.13	1,970.00

PLEASE SEE REVERSE SIDE
Page 11 of 52
Statement Period Year Ending 12/31/19
Account No. 145-03162



Tax Year 2019

The Cades Foundation EIN:99-0285922
Form 990-PF Part II Line 10b (b)
Balance Sheets - Detailed Securities

EMASM Fiscal Statement

MARY DURYEA
FAO THE CADES FOUNDATION
387 KAELEPULU DR APT A
KAILUA HI 96734-3317

Total Account Value As Of 12/31/19

\$810,476.40

Your Financial Advisor:
THE HOCHULI GROUP
FA # 7380
(808) 525-8313

Your Merrill Office:
1003 BISHOP ST PENTHOUSE
HONOLULU HI 96813-3430

FOR CUSTOMER SERVICE QUESTIONS:

1-800-MERRILL (1-800-637-7455)

ACTIVITY SUMMARY

ANNUAL PORTFOLIO SUMMARY

	Fiscal Year Value 12/19	Fiscal Year Value 12/31/19	Current Portfolio Cost Basis	Unrealized Gains/(Losses)
Credits				
Sales	250,168.96	26,503.72	26,503.72	0.00
Income	31,802.67	0.00	0.00	0.00
Funds Received	0.00	0.00	0.00	0.00
Electronic Trfs	0.00	0.00	0.00	0.00
Other Credits	170.55	783,972.68	672,933.45	111,039.23
Total Credits	282,142.18	810,476.40	699,437.17	111,039.23
Debits				
Purchases	222,619.09	0.00	0.00	0.00
Withdrawals	0.00	0.00	0.00	0.00
Electronic Trfs	0.00	0.00	0.00	0.00
Fees	7,057.71	0.00	0.00	0.00
Checks	0.00	0.00	0.00	0.00
Visa Transactions	0.00	0.00	0.00	0.00
Interest Charged	0.00	0.00	0.00	0.00
Other Debits	52,719.42	0.00	0.00	0.00
Total Debits	282,396.22	810,476.40	699,437.17	111,039.23
Net Activity	(254.04)			

INCOME SUMMARY

	Current Year (12/19)
Interest	191.27
Dividends	31,611.40
Total	31,802.67
Accrued Interest Earned	0.00
Accrued Interest Paid	0.00

PLEASE NOTE:

- o This fiscal year end statement is for information purposes and should be used in conjunction with your monthly statements. This statement does not reflect any pending trades or dividend reclassifications. Please consult your tax advisor regarding any tax planning and reporting requirements.

PLEASE SEE REVERSE SIDE

Page
2 of 96

Statement Period
Year Ending 12/31/19

Account No.
145-03163



Fiscal Statement

MERRILL LYNCH
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Cash and Money Funds							
	CASH		77.72	77.72			
26,426.0000	ML BANK DEPOSIT PROGRAM	01/31/11	26,426.00	26,426.00			58.14
Total Cash and Money Funds			26,503.72	26,503.72			58.14

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
79.0000	ALTRIA GROUP INC CURRENT YIELD 6.73%	02/09/15	4,220.74	3,942.89	(277.85)	266.00
1.0000	ALTRIA GROUP INC	10/05/16	61.39	49.91	(11.48)	4.00
11.0000	ALTRIA GROUP INC	10/31/17	710.24	549.01	(161.23)	37.00
96.0000	ALTRIA GROUP INC	02/28/18	6,172.32	4,791.36	(1,380.96)	323.00
13.0000	ALTRIA GROUP INC	03/06/18	841.01	648.83	(192.18)	44.00
13.0000	ALTRIA GROUP INC	04/10/19	718.56	648.83	(69.73)	44.00
15.0000	ALTRIA GROUP INC	05/22/19	786.15	748.65	(37.50)	51.00
6.0000	ALTRIA GROUP INC	12/26/19	303.23	299.46	(3.77)	21.00

PLEASE SEE REVERSE SIDE
Page 5 of 96
Statement Period
Year Ending 12/31/19
Account No.
145-03163

EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
20.0000	AXA -SPONS ADR CURRENT YIELD 4.36%	03/17/15	500.26	562.90	62.64	25.00
21.0000	AXA -SPONS ADR	06/30/15	534.04	591.05	57.01	26.00
41.0000	AXA -SPONS ADR	03/15/16	994.34	1,153.95	159.61	51.00
1.0000	AXA -SPONS ADR	10/05/16	21.96	28.15	6.19	2.00
63.0000	AXA -SPONS ADR	08/01/18	1,603.82	1,773.14	169.32	78.00
347.0000	AXA -SPONS ADR	12/17/18	7,475.68	9,766.32	2,290.64	427.00
36.0000	AXA -SPONS ADR	04/11/19	948.34	1,013.22	64.88	45.00
41.0000	AXA -SPONS ADR	05/22/19	1,032.84	1,153.95	121.11	51.00
12.0000	AMEREN CORP CURRENT YIELD 2.57%	02/09/15	510.68	921.60	410.92	24.00
7.0000	AMEREN CORP	12/28/15	305.48	537.60	232.12	14.00
43.0000	AMEREN CORP	02/28/18	2,372.74	3,302.40	929.66	86.00
147.0000	ASTRAZENECA PLC SPND ADR CURRENT YIELD 2.74%	02/28/18	4,968.15	7,329.42	2,361.27	202.00
48.0000	ALLIANZ SE SPD ADR CURRENT YIELD 3.06%	03/03/15	806.87	1,162.08	355.21	36.00
58.0000	ALLIANZ SE SPD ADR	03/04/15	970.54	1,404.18	433.64	44.00
11.0000	ALLIANZ SE SPD ADR	10/05/16	165.66	266.31	100.65	9.00

PLEASE SEE REVERSE SIDE

Statement Period
Year Ending 12/31/19

Page
6 of 96

Account No.
145-03163

39999999

X



Fiscal Statement



MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
52.0000	ALLIANZ SE SPD ADR	02/21/17	909.04	1,258.92	349.88	39.00
385.0000	ALLIANZ SE SPD ADR	12/17/18	7,665.35	9,320.85	1,655.50	287.00
20.0000	ALLIANZ SE SPD ADR	02/26/19	444.40	484.20	39.80	15.00
42.0000	ALLIANZ SE SPD ADR	05/22/19	951.80	1,016.82	65.02	32.00
11.0000	ABBVIE INC SHS CURRENT YIELD 5.33%	01/07/16	634.76	973.94	339.18	52.00
17.0000	ABBVIE INC SHS	08/16/16	1,134.96	1,505.18	370.22	81.00
29.0000	ABBVIE INC SHS	12/17/18	2,507.05	2,567.66	60.61	137.00
16.0000	ABBVIE INC SHS	02/26/19	1,289.78	1,416.64	126.86	76.00
11.0000	ABBVIE INC SHS	07/01/19	799.82	973.94	174.12	52.00
12.0000	ABBVIE INC SHS	12/26/19	1,077.65	1,062.48	(15.17)	57.00
255.0000	AT&T INC CURRENT YIELD 5.32%	12/17/18	7,614.43	9,965.40	2,350.97	531.00
22.0000	AT&T INC	05/22/19	711.66	859.76	148.10	46.00
5.0000	AT&T INC	12/26/19	195.50	195.40	(0.10)	11.00
20.0000	AMN ELEC POWER CO CURRENT YIELD 2.96%	06/21/17	1,450.54	1,890.20	439.66	56.00
14.0000	AMN ELEC POWER CO	09/28/17	981.27	1,323.14	341.87	40.00

PLEASE SEE REVERSE SIDE
Page 7 of 96
Statement Period Year Ending 12/31/19
Account No. 145-03163

☒ 99999999





Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
38.0000	AMN ELEC POWER CO	02/28/18	2,527.63	3,591.38	1,063.75	107.00
11.0000	AMGEN INC COM CURRENT YIELD 2.65%	08/26/19	2,251.91	2,651.77	399.86	71.00
13.0000	AMGEN INC COM	08/26/19	2,667.39	3,133.91	466.52	84.00
3.0000	AMGEN INC COM	12/13/19	711.95	723.21	11.26	20.00
14.0000	BROADCOM LTD CURRENT YIELD 4.11%	12/24/18	3,380.61	4,424.28	1,043.67	182.00
238.0000	BRITISH AMN TOBACO SPADR CURRENT YIELD 6.07%	12/17/18	7,833.74	10,105.48	2,271.74	615.00
33.0000	BRITISH AMN TOBACO SPADR	02/26/19	1,243.65	1,401.18	157.53	86.00
12.0000	BRITISH AMN TOBACO SPADR	04/10/19	487.56	509.52	21.96	31.00
23.0000	BRITISH AMN TOBACO SPADR	05/22/19	869.08	976.58	107.50	60.00
2.0000	BLACKROCK INC CURRENT YIELD 2.62%	03/09/16	653.13	1,005.40	352.27	27.00
6.0000	BLACKROCK INC	12/17/18	2,327.82	3,016.20	688.38	80.00
1.0000	BLACKROCK INC	12/19/18	372.55	502.70	130.15	14.00
149.0000	BASF SE SPONSORED ADR CURRENT YIELD 3.41%	01/07/16	2,608.47	2,788.54	180.07	96.00
172.0000	BASF SE SPONSORED ADR	12/17/18	2,875.84	3,218.98	343.14	110.00

PLEASE SEE REVERSE SIDE
Statement Period
Year Ending 12/31/19
Account No.
145-03163

Page
8 of 96

X 95959599



Fiscal Statement

MERRILL
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
31.0000	BASF SE SPONSORED ADR	04/11/19	607.13	580.17	(26.96)	20.00
46.0000	BASF SE SPONSORED ADR	07/02/19	819.82	860.89	41.07	30.00
44.0000	BASF SE SPONSORED ADR	09/30/19	766.64	823.46	56.82	29.00
133.0000	BAE SYS PLC SPN ADR CURRENT YIELD 3.66%	01/10/12	2,503.29	4,027.24	1,523.95	148.00
5.0000	BAE SYS PLC SPN ADR	10/05/16	138.20	151.40	13.20	6.00
136.0000	BAE SYS PLC SPN ADR	12/17/18	3,137.52	4,118.08	980.56	152.00
25.0000	BAE SYS PLC SPN ADR	04/11/19	673.43	757.00	83.57	28.00
50.0000	BAE SYS PLC SPN ADR	07/02/19	1,253.23	1,514.00	260.77	56.00
24.0000	BAE SYS PLC SPN ADR	10/28/19	704.89	726.72	21.83	27.00
94.0000	BCE INC CURRENT YIELD 5.19%	01/07/16	3,611.85	4,356.90	745.05	227.00
180.0000	BCE INC	12/17/18	7,481.97	8,343.00	861.03	434.00
109.0000	COMCAST CORP NEW CL A CURRENT YIELD 1.86%	12/13/19	4,720.55	4,901.73	181.18	92.00
20.0000	CHEVRON CORP CURRENT YIELD 3.94%	11/01/18	2,229.56	2,410.20	180.64	96.00
13.0000	CHEVRON CORP	11/01/18	1,436.27	1,566.63	130.36	62.00
8.0000	CHEVRON CORP	02/26/19	964.73	964.08	(0.65)	39.00

PLEASE SEE REVERSE SIDE
Statement Period
Year Ending 12/31/19
Account No.
145-03163

EMATM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
10.0000	CHEVRON CORP	07/01/19	1,246.75	1,205.10	(41.65)	48.00
158.0000	CENTERPOINT ENERGY INC CURRENT YIELD 4.21%	09/11/19	4,748.20	4,308.66	(439.54)	182.00
16.0000	CENTERPOINT ENERGY INC	09/11/19	554.19	436.32	(117.87)	19.00
35.0000	CENTERPOINT ENERGY INC	10/25/19	1,034.87	954.45	(80.42)	41.00
34.0000	CENTERPOINT ENERGY INC	12/26/19	915.46	927.18	11.72	40.00
69.0000	CINEMARK HLDGS INC CURRENT YIELD 4.01%	12/18/19	2,443.48	2,335.65	(107.83)	94.00
68.0000	CINEMARK HLDGS INC	12/20/19	2,379.01	2,301.80	(77.21)	93.00
84.0000	COMMONWEALTH BK AUS-SP ADR CURRENT YIELD 5.22%	12/17/18	4,175.90	4,720.80	544.90	247.00
213.0000	CIE GENERALE DES ETABLISSEMENTS MICHELIN CURRENT YIELD 2.63%	12/17/18	4,155.28	5,206.79	1,051.51	138.00
76.0000	CIE GENERALE DES ETABLISSEMENTS MICHELIN	02/27/19	1,822.48	1,857.82	35.34	50.00
26.0000	CIE GENERALE DES ETABLISSEMENTS MICHELIN	05/22/19	615.33	635.57	20.24	17.00
42.0000	COCA-COLA EUROPEAN PARTNERS PLC SHS CURRENT YIELD 2.71%	08/28/19	2,341.36	2,136.96	(204.40)	58.00

PLEASE SEE REVERSE SIDE

Statement Period
Year Ending 12/31/19

Page
10 of 96

Account No.
145-03163

☒ 99999999



Fiscal Statement

MERRILL LYNCH
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
41.0000	COCA-COLA EUROPEAN PARTNERS PLC SHS	08/30/19	2,307.95	2,086.08	(221.87)	57.00
2.0000	CISCO SYSTEMS INC COM CURRENT YIELD 2.91%	03/09/16	55.51	95.92	40.41	3.00
3.0000	CISCO SYSTEMS INC COM	10/05/16	94.97	143.88	48.91	5.00
32.0000	CISCO SYSTEMS INC COM	11/21/16	962.20	1,534.72	572.52	45.00
112.0000	CISCO SYSTEMS INC COM	02/28/18	5,102.48	5,371.52	269.04	157.00
13.0000	CISCO SYSTEMS INC COM	05/22/19	725.26	623.48	(101.78)	19.00
3.0000	CISCO SYSTEMS INC COM	12/13/19	136.16	143.88	7.72	5.00
36.0000	COCA COLA COM CURRENT YIELD 2.89%	08/17/15	1,484.66	1,992.60	507.94	58.00
3.0000	COCA COLA COM	10/05/16	125.57	166.05	40.48	5.00
42.0000	COCA COLA COM	02/28/18	1,832.99	2,324.70	491.71	68.00
26.0000	COCA COLA COM	10/01/18	1,202.01	1,439.10	237.09	42.00
33.0000	COCA COLA COM	08/26/19	1,792.10	1,826.55	34.45	53.00
2.0000	DOW INC REG SHS CURRENT YIELD 5.11%	09/15/15	85.60	109.46	23.86	6.00
1.0000	DOW INC REG SHS	10/05/16	51.41	54.73	3.32	3.00

PLEASE SEE REVERSE SIDE

Statement Period
Page 11 of 96
Year Ending 12/31/19
Account No. 145-03163

99999999

X



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
14.0000	DOW INC REG SHS	12/17/18	711.84	766.22	54.38	40.00
49.0000	DOW INC REG SHS	04/08/19	2,844.81	2,681.77	(163.04)	138.00
45.0000	DOW INC REG SHS	05/10/19	2,368.79	2,462.85	94.06	126.00
11.0000	DOW INC REG SHS	05/22/19	553.65	602.03	48.38	31.00
29.0000	DOW INC REG SHS	09/27/19	1,368.59	1,587.17	218.58	82.00
15.0000	DOW INC REG SHS	10/25/19	756.91	820.95	64.04	42.00
29.0000	DARDEN RESTAURANTS INC CURRENT YIELD 3.22%	10/01/19	3,396.22	3,161.29	(234.93)	103.00
7.0000	DARDEN RESTAURANTS INC	10/02/19	810.72	763.07	(47.65)	25.00
120.0000	DOMINION ENERGY INC CURRENT YIELD 4.43%	12/17/18	9,069.59	9,938.40	868.81	441.00
8.0000	DOMINION ENERGY INC	05/22/19	616.99	662.56	45.57	30.00
6.0000	DOMINION ENERGY INC	08/26/19	458.75	496.92	38.17	23.00
31.0000	DOMINION ENERGY INC	12/26/19	2,520.22	2,567.42	47.20	114.00

PLEASE SEE REVERSE SIDE

Page 12 of 96
Statement Period Year Ending 12/31/19
Account No. 145-03163



Fiscal Statement

MERRILL LYNCH
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
37.0000	DEUTSCHE POST AG SHS SP ADR CURRENT YIELD 3.28%	01/07/16	978.80	1,399.34	420.54	46.00
37.0000	DEUTSCHE POST AG SHS SP ADR	05/19/16	1,080.88	1,399.34	318.46	46.00
3.0000	DEUTSCHE POST AG SHS SP ADR	10/05/16	93.04	113.46	20.42	4.00
91.0000	DEUTSCHE POST AG SHS SP ADR	12/17/18	2,558.01	3,441.62	883.61	114.00
37.0000	DEUTSCHE POST AG SHS SP ADR	12/19/18	1,006.93	1,399.34	392.41	46.00
29.0000	DEUTSCHE POST AG SHS SP ADR	07/02/19	957.94	1,096.78	138.84	37.00
63.0000	DUKE ENERGY CORP NEW CURRENT YIELD 4.14%	01/07/16	4,497.87	5,746.23	1,248.36	239.00
1.0000	DUKE ENERGY CORP NEW	10/05/16	77.15	91.21	14.06	4.00
77.0000	DUKE ENERGY CORP NEW	02/28/18	5,893.58	7,023.17	1,129.59	292.00
25.0000	ENTERGY CORP NEW CURRENT YIELD 3.10%	01/07/16	1,703.48	2,995.00	1,291.52	93.00
1.0000	ENTERGY CORP NEW	10/05/16	73.53	119.80	46.27	4.00
51.0000	ENTERGY CORP NEW	02/28/18	3,912.33	6,109.80	2,197.47	190.00

PLEASE SEE REVERSE SIDE
Page 13 of 96
Statement Period
Year Ending 12/31/19
Account No.
145-03163



Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
22.0000	ENTERGY CORP NEW	02/26/19	2,033.78	2,635.60	601.82	82.00
3.0000	EXXON MOBIL CORP COM CURRENT YIELD 4.98%	08/12/15	232.96	209.34	(23.62)	11.00
28.0000	EXXON MOBIL CORP COM	01/07/16	2,161.41	1,953.84	(207.57)	98.00
17.0000	EXXON MOBIL CORP COM	08/16/16	1,494.14	1,186.26	(307.88)	60.00
47.0000	EXXON MOBIL CORP COM	02/28/18	3,676.81	3,279.66	(397.15)	164.00
13.0000	EXXON MOBIL CORP COM	05/07/18	1,027.37	907.14	(120.23)	46.00
6.0000	EATON CORP PLC CURRENT YIELD 2.99%	01/27/16	249.37	568.32	318.95	18.00
23.0000	EATON CORP PLC	05/07/18	1,686.29	2,178.56	492.27	66.00
53.0000	EATON CORP PLC	12/17/18	3,715.21	5,020.16	1,304.95	151.00
9.0000	EATON CORP PLC	05/22/19	716.18	852.48	136.30	26.00
21.0000	EMERSON ELEC CO CURRENT YIELD 2.62%	01/07/16	950.88	1,601.46	650.58	42.00
1.0000	EMERSON ELEC CO	10/05/16	53.77	76.26	22.49	2.00
45.0000	EMERSON ELEC CO	02/28/18	3,276.83	3,431.70	154.87	90.00
30.0000	EMERSON ELEC CO	02/26/19	2,062.27	2,287.80	225.53	60.00
38.0000	FIRSTENERGY CORP CURRENT YIELD 3.20%	02/01/18	1,238.35	1,846.80	608.45	60.00

PLEASE SEE REVERSE SIDE

Statement Period
Year Ending 12/31/19

Page
14 of 96

Account No.
145-03163



EMASM Fiscal Statement

MERRILL LYNCH
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
79.0000	FIRSTENERGY CORP	02/28/18	2,606.20	3,839.40	1,233.20	124.00
15.0000	FIRSTENERGY CORP	06/27/18	544.32	729.00	184.68	24.00
62.0000	FIRSTENERGY CORP	01/18/19	2,403.50	3,013.20	609.70	97.00
47.0000	FIRSTENERGY CORP	02/26/19	1,914.67	2,284.20	369.53	74.00
16.0000	FIRSTENERGY CORP	05/22/19	677.14	777.60	100.46	25.00
16.0000	FORTIS INC CONV CLA CURRENT YIELD 3.45%	12/13/19	653.13	664.32	11.19	23.00
100.0000	FORTIS INC CONV CLA	12/16/19	4,151.53	4,152.00	0.47	144.00
11.0000	GLAXOSMITHKLINE PLC ADR CURRENT YIELD 4.25%	12/26/17	390.54	516.89	126.35	22.00
161.0000	GLAXOSMITHKLINE PLC ADR	02/28/18	5,945.28	7,565.39	1,620.11	322.00
235.0000	HANESBRANDS INC CURRENT YIELD 4.04%	12/17/18	3,080.66	3,489.75	409.09	141.00
86.0000	HANESBRANDS INC	08/26/19	1,177.99	1,277.10	99.11	52.00
23.0000	HOME DEPOT INC CURRENT YIELD 2.49%	08/26/19	5,016.02	5,022.74	6.72	126.00
42.0000	INTEL CORP CURRENT YIELD 2.10%	06/13/17	1,505.26	2,513.70	1,008.44	53.00
59.0000	INTEL CORP	02/28/18	2,952.83	3,531.15	578.32	75.00

PLEASE SEE REVERSE SIDE
Statement Period
Year Ending 12/31/19
Account No.
145-03163



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
8.0000	INTEL CORP	12/13/19	462.27	478.80	16.53	11.00
27.0000	INTL BUSINESS MACHINES CORP IBM CURRENT YIELD 4.83%	02/13/19	3,719.47	3,619.08	(100.39)	175.00
5.0000	INTL BUSINESS MACHINES CORP IBM	02/13/19	693.01	670.20	(22.81)	33.00
9.0000	INTL BUSINESS MACHINES CORP IBM	02/26/19	1,263.05	1,206.36	(56.69)	59.00
6.0000	INTL BUSINESS MACHINES CORP IBM	08/26/19	777.83	804.24	26.41	39.00
7.0000	INTL BUSINESS MACHINES CORP IBM	09/27/19	998.35	938.28	(60.07)	46.00
7.0000	INTL BUSINESS MACHINES CORP IBM	10/25/19	947.21	938.28	(8.93)	46.00
7.0000	INTL BUSINESS MACHINES CORP IBM	12/13/19	940.99	938.28	(2.71)	46.00
5.0000	INTL BUSINESS MACHINES CORP IBM	12/26/19	674.36	670.20	(4.16)	33.00
116.0000	IRON MOUNTAIN REIT INC SHS CURRENT YIELD 7.76%	01/07/16	2,934.80	3,696.92	762.12	287.00
89.0000	IRON MOUNTAIN REIT INC SHS	02/28/18	2,779.09	2,836.43	57.34	221.00

PLEASE SEE REVERSE SIDE

Page 16 of 96
Statement Period Year Ending 12/31/19
Account No. 145-03163



Fiscal Statement

MERRILL LYNCH
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
40.0000	IRON MOUNTAIN REIT INC SHS	12/19/18	1,335.60	1,274.80	(60.80)	99.00
329.0000	IMPERIAL BRANDS PLC CURRENT YIELD 10.35%	12/17/18	9,629.83	8,159.20	(1,470.63)	845.00
38.0000	IMPERIAL BRANDS PLC	04/11/19	1,259.28	942.40	(316.88)	98.00
37.0000	IMPERIAL BRANDS PLC	05/22/19	992.45	917.60	(74.85)	96.00
16.0000	JOHNSON AND JOHNSON COM CURRENT YIELD 2.60%	01/27/16	1,639.82	2,333.92	694.10	61.00
1.0000	JOHNSON AND JOHNSON COM	10/05/16	119.12	145.87	26.75	4.00
19.0000	JOHNSON AND JOHNSON COM	02/28/18	2,509.18	2,771.53	262.35	73.00
17.0000	JOHNSON AND JOHNSON COM	08/26/19	2,158.94	2,479.79	320.85	65.00
28.0000	KLA CORP CURRENT YIELD 1.90%	08/05/19	3,541.77	4,988.76	1,446.99	96.00
20.0000	KIMBERLY CLARK CURRENT YIELD 2.99%	02/09/15	2,170.20	2,751.00	580.80	83.00
28.0000	KIMBERLY CLARK	02/28/18	3,163.38	3,851.40	688.02	116.00
3.0000	LOCKHEED MARTIN CORP CURRENT YIELD 2.46%	02/09/15	582.59	1,168.14	585.55	29.00
1.0000	LOCKHEED MARTIN CORP	12/24/15	218.66	389.38	170.72	10.00
8.0000	LOCKHEED MARTIN CORP	12/17/18	2,282.98	3,115.04	832.06	77.00

PLEASE SEE REVERSE SIDE

Statement Period
Year Ending 12/31/19

Account No.
145-03163

Page
17 of 96

X 59595959



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
3.0000	LOCKHEED MARTIN CORP	12/19/18	822.30	1,168.14	345.84	29.00
1,888.0000	LLOYDS BANKING GROUP PLC ADR	12/17/18	4,818.74	6,249.28	1,430.54	308.00
	CURRENT YIELD 4.92%					
177.0000	LLOYDS BANKING GROUP PLC ADR	04/10/19	579.27	585.87	6.60	29.00
52.0000	LLOYDS BANKING GROUP PLC ADR	12/16/19	185.29	172.12	(13.17)	9.00
280.0000	LLOYDS BANKING GROUP PLC ADR	12/17/19	923.35	926.80	3.45	46.00
211.0000	LLOYDS BANKING GROUP PLC ADR	12/26/19	695.56	698.41	2.85	35.00
93.0000	LAS VEGAS SANDS CORP CURRENT YIELD 4.46%	12/17/18	4,989.05	6,420.72	1,431.67	287.00
31.0000	LAS VEGAS SANDS CORP	03/29/19	1,873.48	2,140.24	266.76	96.00
10.0000	LAS VEGAS SANDS CORP	05/22/19	586.78	690.40	103.62	31.00
5.0000	LAS VEGAS SANDS CORP	08/26/19	268.35	345.20	76.85	16.00
16.0000	LAS VEGAS SANDS CORP	12/13/19	1,108.07	1,104.64	(3.43)	50.00
26.0000	LAS VEGAS SANDS CORP	12/26/19	1,815.78	1,795.04	(20.74)	81.00
42.0000	LYONDELLBASELL INDUSTRIE CURRENT YIELD 4.44%	12/17/18	3,535.91	3,968.16	432.25	177.00

PLEASE SEE REVERSE SIDE

Statement Period
Page 18 of 96
Year Ending 12/31/19
Account No. 145-03163



Fiscal Statement



MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
14.0000	LYONDELLBASELL INDUSTRIE	02/26/19	1,228.02	1,322.72	94.70	59.00
6.0000	LYONDELLBASELL INDUSTRIE	04/10/19	536.45	566.88	30.43	26.00
6.0000	LYONDELLBASELL INDUSTRIE	09/27/19	530.88	566.88	36.00	26.00
8.0000	LYONDELLBASELL INDUSTRIE	12/13/19	744.74	755.84	11.10	34.00
108.0000	LEGGETT&PLATT INC PV1CT CURRENT YIELD 3.14%	12/17/18	4,022.21	5,489.64	1,467.43	173.00
32.0000	MICRO FOCUS INTL PLC ADS CURRENT YIELD 8.83%	12/17/18	674.75	448.96	(225.79)	40.00
52.0000	MICRO FOCUS INTL PLC ADS	12/17/18	1,096.47	729.56	(366.91)	65.00
59.0000	MICRO FOCUS INTL PLC ADS	02/26/19	1,778.59	827.77	(950.82)	74.00
172.0000	METLIFE INC COM CURRENT YIELD 3.45%	12/17/18	6,764.49	8,766.84	2,002.35	303.00
26.0000	MUENCHENER RUECK-UNSPON CURRENT YIELD 2.41%	02/09/15	528.58	765.36	236.78	19.00
24.0000	MUENCHENER RUECK-UNSPON	06/30/15	430.14	706.49	276.35	18.00
14.0000	MUENCHENER RUECK-UNSPON	12/28/15	281.87	412.12	130.25	10.00
8.0000	MUENCHENER RUECK-UNSPON	10/05/16	148.48	235.50	87.02	6.00
293.0000	MUENCHENER RUECK-UNSPON	12/17/18	6,217.43	8,625.04	2,407.61	209.00
35.0000	MUENCHENER RUECK-UNSPON	05/22/19	852.84	1,030.30	177.46	25.00

PLEASE SEE REVERSE SIDE

Page 19 of 96
Statement Period Year Ending 12/31/19
Account No. 145-03163

X 99999999



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
12.0000	MERCK AND CO INC SHS CURRENT YIELD 2.68%	01/07/16	624.97	1,091.40	466.43	30.00
1.0000	MERCK AND CO INC SHS	10/05/16	62.91	90.95	28.04	3.00
43.0000	MERCK AND CO INC SHS	02/28/18	2,355.26	3,910.85	1,555.59	105.00
13.0000	MERCK AND CO INC SHS	12/19/18	958.95	1,182.35	223.40	32.00
13.0000	MERCK AND CO INC SHS	07/01/19	1,103.16	1,182.35	79.19	32.00
28.0000	MERCK AND CO INC SHS	08/26/19	2,397.92	2,546.60	148.68	69.00
13.0000	MERCK AND CO INC SHS	09/27/19	1,075.79	1,182.35	106.56	32.00
47.0000	MACQUARIE GROUP LTD SHS ADR CURRENT YIELD 4.35%	06/27/18	4,284.70	4,526.10	241.40	198.00
7.0000	MACQUARIE GROUP LTD SHS ADR	12/19/18	546.09	674.10	128.01	30.00
9.0000	MACQUARIE GROUP LTD SHS ADR	12/27/19	877.50	866.70	(10.80)	38.00
18.0000	MCDONALDS CORP COM CURRENT YIELD 2.53%	02/28/18	2,890.80	3,556.98	666.18	90.00
7.0000	MCDONALDS CORP COM	12/19/18	1,254.33	1,383.27	128.94	35.00
10.0000	MICROSOFT CORP CURRENT YIELD 1.29%	02/09/15	426.15	1,577.00	1,150.85	21.00

PLEASE SEE REVERSE SIDE
Statement Period
Year Ending 12/31/19
Account No.
145-03163



Fiscal Statement

MERRILL LYNCH
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
1.0000	MICROSOFT CORP	10/05/16	57.87	157.70	99.83	3.00
28.0000	MICROSOFT CORP	02/28/18	2,667.98	4,415.60	1,747.62	58.00
2.0000	MICROSOFT CORP	05/22/19	254.52	315.40	60.88	5.00
21.0000	NOVARTIS ADR CURRENT YIELD 1.94%	08/05/16	1,529.14	1,988.49	459.35	39.00
1.0000	NOVARTIS ADR	10/05/16	69.60	94.69	25.09	2.00
18.0000	NOVARTIS ADR	03/22/17	1,174.70	1,704.42	529.72	34.00
44.0000	NOVARTIS ADR	02/28/18	3,266.88	4,166.36	899.48	82.00
16.0000	NOVARTIS ADR	05/10/19	1,309.80	1,515.04	205.24	30.00
9.0000	NOVARTIS ADR	05/22/19	748.59	852.21	103.62	17.00
3.0000	NOVARTIS ADR	09/27/19	261.58	284.07	22.49	6.00
73.0000	NATIONAL GRID PLC SHS ADR CURRENT YIELD 4.88%	02/28/18	3,821.72	4,574.91	753.19	224.00
105.0000	NATIONAL GRID PLC SHS ADR	12/17/18	5,500.03	6,580.35	1,080.32	322.00
16.0000	NATIONAL GRID PLC SHS ADR	05/22/19	825.59	1,002.72	177.13	49.00
15.0000	NUTRIEN LTD REG SHS CURRENT YIELD 3.75%	11/23/15	650.52	718.65	68.13	27.00

PLEASE SEE REVERSE SIDE

Statement Period
Year Ending 12/31/19
Page 21 of 96
Account No. 145-03163





Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
25.0000	NUTRIEN LTD REG SHS	12/04/15	1,106.42	1,197.75	91.33	45.00
4.0000	NUTRIEN LTD REG SHS	12/24/15	166.30	191.64	25.34	8.00
50.0000	NUTRIEN LTD REG SHS	02/28/18	2,501.45	2,395.50	(105.95)	90.00
23.0000	NUTRIEN LTD REG SHS	05/07/18	1,062.14	1,101.93	39.79	42.00
27.0000	NUTRIEN LTD REG SHS	09/27/19	1,333.12	1,293.57	(39.55)	49.00
3.0000	NUTRIEN LTD REG SHS	09/30/19	148.41	143.73	(4.68)	6.00
5.0000	NESTLE S A REP RG SH ADR CURRENT YIELD 1.87%	03/23/17	388.26	541.30	153.04	11.00
44.0000	NESTLE S A REP RG SH ADR	02/28/18	3,538.15	4,763.44	1,225.29	90.00
7.0000	NESTLE S A REP RG SH ADR	12/13/19	732.98	757.82	24.84	15.00
292.0000	ORKLA AS A SHS ADR CURRENT YIELD 2.22%	12/31/13	2,300.96	2,945.40	644.44	66.00
333.0000	ORKLA AS A SHS ADR	12/17/18	2,723.94	3,358.97	635.03	75.00
91.0000	ORKLA AS A SHS ADR	12/21/18	733.75	917.92	184.17	21.00
71.0000	ORKLA AS A SHS ADR	05/23/19	615.26	716.18	100.92	16.00
25.0000	ORKLA AS A SHS ADR	08/29/19	230.89	252.18	21.29	6.00
58.0000	OCCIDENTAL PETE CORP CAL CURRENT YIELD 7.66%	01/07/16	3,757.37	2,390.18	(1,367.19)	184.00

PLEASE SEE REVERSE SIDE

Statement Period
Page 22 of 96
Year Ending 12/31/19
Account No. 145-03163

99999999





Fiscal Statement

MERRILL
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
3.0000	OCCIDENTAL PETE CORP CAL	10/05/16	218.94	123.63	(95.31)	10.00
58.0000	OCCIDENTAL PETE CORP CAL	02/28/18	3,900.91	2,390.18	(1,510.73)	184.00
18.0000	OCCIDENTAL PETE CORP CAL	05/22/19	956.24	741.78	(214.46)	57.00
18.0000	PPL CORPORATION CURRENT YIELD 4.59%	12/26/17	556.22	645.84	89.62	30.00
61.0000	PPL CORPORATION	02/28/18	1,779.78	2,188.68	408.90	101.00
199.0000	PPL CORPORATION	12/17/18	6,023.13	7,140.12	1,116.99	329.00
30.0000	PNC FINCL SERVICES GROUP CURRENT YIELD 2.88%	12/13/19	4,752.71	4,788.90	36.19	138.00
134.0000	PEOPLES UNITED FNL INC CURRENT YIELD 4.20%	02/09/15	1,979.16	2,264.60	285.44	96.00
9.0000	PEOPLES UNITED FNL INC	10/05/16	143.53	152.10	8.57	7.00
134.0000	PEOPLES UNITED FNL INC	12/17/18	2,071.14	2,264.60	193.46	96.00
7.0000	PHILIP MORRIS INTL INC CURRENT YIELD 5.50%	02/09/15	568.74	595.63	26.89	33.00
16.0000	PHILIP MORRIS INTL INC	02/13/15	1,330.21	1,361.44	31.23	75.00
11.0000	PHILIP MORRIS INTL INC	03/19/15	869.60	935.99	66.39	52.00
9.0000	PHILIP MORRIS INTL INC	08/12/15	762.18	765.81	3.63	43.00
67.0000	PHILIP MORRIS INTL INC	12/17/18	5,485.40	5,701.03	215.63	314.00

PLEASE SEE REVERSE SIDE

Statement Period
Year Ending 12/31/19

Account No.
145-03163

Page
23 of 96

59939599

X



EMATM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
8.0000	PHILIP MORRIS INTL INC	04/10/19	686.44	680.72	(5.72)	38.00
9.0000	PHILIP MORRIS INTL INC	05/22/19	770.13	765.81	(4.32)	43.00
6.0000	PHILIP MORRIS INTL INC	12/26/19	515.32	510.54	(4.78)	29.00
4.0000	PEMBINA PIPELINE CORP SHS	11/02/17	133.57	148.24	14.67	8.00
	CURRENT YIELD 4.90%					
3.0000	PEMBINA PIPELINE CORP SHS	11/06/17	106.35	111.18	4.83	6.00
25.0000	PEMBINA PIPELINE CORP SHS	11/07/17	893.29	926.50	33.21	46.00
28.0000	PEMBINA PIPELINE CORP SHS	11/30/17	975.67	1,037.68	62.01	51.00
6.0000	PEMBINA PIPELINE CORP SHS	12/01/17	211.29	222.36	11.07	11.00
77.0000	PEMBINA PIPELINE CORP SHS	02/28/18	2,544.85	2,853.62	308.77	140.00
15.0000	PEMBINA PIPELINE CORP SHS	05/14/18	522.95	555.90	32.95	28.00
33.0000	PEMBINA PIPELINE CORP SHS	05/15/18	1,145.45	1,222.98	77.53	60.00
20.0000	PEMBINA PIPELINE CORP SHS	04/10/19	750.73	741.20	(9.53)	37.00

PLEASE SEE REVERSE SIDE
 Page 24 of 96
 Statement Period Year Ending 12/31/19
 Account No. 145-03163



Fiscal Statement

MERRILL LYNCH
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
8.0000	PHILLIPS 66 SHS CURRENT YIELD 3.23%	05/13/19	686.03	891.28	205.25	29.00
30.0000	PHILLIPS 66 SHS	05/15/19	2,618.46	3,342.30	723.84	108.00
24.0000	PHILLIPS 66 SHS	07/01/19	2,259.14	2,673.84	414.70	87.00
19.0000	PEPSICO INC CURRENT YIELD 2.79%	02/09/15	1,830.37	2,596.73	766.36	73.00
1.0000	PEPSICO INC	10/05/16	105.98	136.67	30.69	4.00
21.0000	PEPSICO INC	02/28/18	2,328.97	2,870.07	541.10	81.00
7.0000	PEPSICO INC	05/07/18	688.87	956.69	267.82	27.00
11.0000	PFIZER INC CURRENT YIELD 3.87%	01/07/16	347.53	430.98	83.45	17.00
56.0000	PFIZER INC	03/22/17	1,925.94	2,194.08	268.14	86.00
28.0000	PFIZER INC	06/21/17	943.08	1,097.04	153.96	43.00
139.0000	PFIZER INC	02/28/18	5,153.49	5,446.02	292.53	212.00
34.0000	PFIZER INC	08/26/19	1,180.05	1,332.12	152.07	52.00
34.0000	PFIZER INC	09/27/19	1,229.16	1,332.12	102.96	52.00
18.0000	PROCTER & GAMBLE CO CURRENT YIELD 2.38%	01/07/16	1,390.61	2,248.20	857.59	54.00
31.0000	PROCTER & GAMBLE CO	02/28/18	2,479.59	3,871.90	1,392.31	93.00

PLEASE SEE REVERSE SIDE
Statement Period
Year Ending 12/31/19
Account No.
145-03163

EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
74.0000	ROYAL DUTCH SHELL PLC SPONS ADR A CURRENT YIELD 5.41%	01/07/16	3,173.27	4,364.52	1,191.25	237.00
5.0000	ROYAL DUTCH SHELL PLC SPONS ADR A	10/05/16	258.05	294.90	36.85	16.00
16.0000	ROYAL DUTCH SHELL PLC SPONS ADR A	06/21/17	842.27	943.68	101.41	52.00
99.0000	ROYAL DUTCH SHELL PLC SPONS ADR A	02/28/18	6,402.99	5,839.02	(563.97)	317.00
51.0000	ROCHE HLDG LTD SPN ADR CURRENT YIELD 1.66%	02/28/18	1,491.14	2,073.66	582.52	35.00
145.0000	ROCHE HLDG LTD SPN ADR	12/17/18	4,513.85	5,895.70	1,381.85	99.00
16.0000	ROGERS COMMUNICATIONS B CURRENT YIELD 3.07%	06/30/15	563.45	794.72	231.27	25.00
10.0000	ROGERS COMMUNICATIONS B	12/28/15	349.12	496.70	147.58	16.00
78.0000	ROGERS COMMUNICATIONS B	02/28/18	3,580.36	3,874.26	293.90	120.00
21.0000	ROYAL BANK CANADA PV\$1 CURRENT YIELD 3.98%	12/16/16	1,440.53	1,663.20	222.67	67.00
20.0000	ROYAL BANK CANADA PV\$1	12/19/16	1,370.76	1,584.00	213.24	64.00
36.0000	ROYAL BANK CANADA PV\$1	12/17/18	2,540.94	2,851.20	310.26	114.00

PLEASE SEE REVERSE SIDE
Page 26 of 96
Statement Period Year Ending 12/31/19
Account No. 145-03163

☒ 99999999



Fiscal Statement



MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
312.0000	SCOR SPONSORED ADR CURRENT YIELD 3.29%	04/20/15	1,117.41	1,334.58	217.17	44.00
72.0000	SCOR SPONSORED ADR	10/05/16	227.98	307.98	80.00	11.00
691.0000	SCOR SPONSORED ADR	02/28/18	2,985.41	2,955.75	(29.66)	98.00
250.0000	SCOR SPONSORED ADR	10/28/19	1,073.96	1,069.38	(4.58)	36.00
4.0000	SIEMENS AG ADR CURRENT YIELD 2.46%	01/07/16	182.67	259.88	77.21	7.00
14.0000	SIEMENS AG ADR	08/16/16	835.63	909.58	73.95	23.00
40.0000	SIEMENS AG ADR	12/17/18	2,256.80	2,598.80	342.00	65.00
38.0000	SIEMENS AG ADR	09/30/19	2,034.75	2,468.86	434.11	61.00
27.0000	SIMON PROPERTY GROUP DEL REIT CURRENT YIELD 5.57%	12/27/19	3,982.61	4,021.92	39.31	225.00
30.0000	SANOFI ADR CURRENT YIELD 2.30%	02/28/18	1,195.38	1,506.00	310.62	35.00
52.0000	SANOFI ADR	03/29/19	2,312.33	2,610.40	298.07	61.00
13.0000	SANOFI ADR	05/10/19	535.39	652.60	117.21	16.00
28.0000	SANOFI ADR	05/13/19	1,143.50	1,405.60	262.10	33.00
16.0000	SANOFI ADR	05/22/19	669.02	803.20	134.18	19.00

PLEASE SEE REVERSE SIDE

Statement Period
Year Ending 12/31/19
Page 27 of 96
Account No. 145-03163

99999999

X



EMATM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
44.0000	SANOFI ADR	10/25/19	2,044.93	2,208.80	163.87	51.00
13.0000	WEC ENERGY GROUP INC SHS CURRENT YIELD 2.74%	01/07/16	673.77	1,198.99	525.22	33.00
39.0000	WEC ENERGY GROUP INC SHS	02/28/18	2,374.15	3,596.97	1,222.82	99.00
60.0000	WELLTOWER INC CURRENT YIELD 4.25%	01/07/16	4,136.55	4,906.80	770.25	209.00
71.0000	WELLTOWER INC	12/17/18	5,117.36	5,806.38	689.02	248.00
10.0000	WELLTOWER INC	05/22/19	797.73	817.80	20.07	35.00
75.0000	TRUIST FINL CORP CURRENT YIELD 3.19%	06/27/18	3,843.72	4,224.00	380.28	135.00
12.0000	TRUIST FINL CORP	12/19/18	519.01	675.84	156.83	22.00
25.0000	TRUIST FINL CORP	07/01/19	1,237.12	1,408.00	170.88	45.00
15.0000	TRUIST FINL CORP	12/13/19	839.45	844.80	5.35	27.00
60.0000	TOTAL S.A. SP ADR CURRENT YIELD 4.31%	01/07/16	2,523.64	3,318.00	794.36	144.00
6.0000	TOTAL S.A. SP ADR	10/05/16	290.95	331.80	40.85	15.00
7.0000	TOTAL S.A. SP ADR	06/21/17	349.18	387.10	37.92	17.00
11.0000	TOTAL S.A. SP ADR	09/28/17	589.31	608.30	18.99	27.00
125.0000	TOTAL S.A. SP ADR	02/28/18	7,252.09	6,912.50	(339.59)	299.00

PLEASE SEE REVERSE SIDE

Statement Period
Year Ending 12/31/19

Page
28 of 96

Account No.
145-03163



Fiscal Statement

MERRILL
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
18.0000	TOTAL S.A. SP ADR	09/27/19	935.38	995.40	60.02	43.00
20.0000	TEXAS INSTRUMENTS CURRENT YIELD 2.80%	09/18/15	961.69	2,565.80	1,604.11	72.00
34.0000	TEXAS INSTRUMENTS	12/17/18	3,182.21	4,361.86	1,179.65	123.00
15.0000	TEXAS INSTRUMENTS	12/19/18	1,356.13	1,924.35	568.22	54.00
43.0000	TOKIO MARINE HOLDINGS INC, TOKYO ADR CURRENT YIELD 3.01%	04/11/19	2,141.24	2,396.82	255.58	73.00
47.0000	TOKIO MARINE HOLDINGS INC, TOKYO ADR	04/16/19	2,404.24	2,619.78	215.54	79.00
13.0000	TOKIO MARINE HOLDINGS INC, TOKYO ADR	07/02/19	663.25	724.62	61.37	22.00
30.0000	TAIWAN S MANUFCTRING ADR CURRENT YIELD 3.30%	08/03/15	653.94	1,743.00	1,089.06	58.00
3.0000	TAIWAN S MANUFCTRING ADR	10/05/16	91.86	174.30	82.44	6.00
53.0000	TAIWAN S MANUFCTRING ADR	12/17/18	1,938.64	3,079.30	1,140.66	102.00
45.0000	TARGET CORP COM CURRENT YIELD 2.05%	02/26/19	3,253.08	5,769.45	2,516.37	119.00
9.0000	TARGET CORP COM	07/01/19	777.28	1,153.89	376.61	24.00
31.0000	TELUS CORP COM CURRENT YIELD 4.56%	01/07/16	827.76	1,200.63	372.87	55.00

PLEASE SEE REVERSE SIDE

Statement Period
Year Ending 12/31/19
Page 29 of 96
Account No. 145-03163



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
2.0000	TELUS CORP COM	10/05/16	64.94	77.46	12.52	4.00
3.0000	TELUS CORP COM	09/27/17	108.85	116.19	7.34	6.00
7.0000	TELUS CORP COM	10/31/17	254.62	271.11	16.49	13.00
77.0000	TELUS CORP COM	02/28/18	2,820.32	2,982.21	161.89	137.00
50.0000	TELUS CORP COM	12/19/18	1,691.02	1,936.50	245.48	89.00
20.0000	TELUS CORP COM	08/26/19	709.46	774.60	65.14	36.00
9.0000	TELUS CORP COM	08/27/19	320.81	348.57	27.76	16.00
15.0000	3M COMPANY CURRENT YIELD 3.26%	02/26/19	3,157.11	2,646.30	(510.81)	87.00
5.0000	3M COMPANY	07/01/19	871.14	882.10	10.96	29.00
8.0000	3M COMPANY	09/27/19	1,315.12	1,411.36	96.24	47.00
184.0000	TAKEDA PHARMACEUTICAL CO LTD SPON ADR CURRENT YIELD 3.22%	03/20/19	3,938.78	3,630.32	(308.46)	118.00
27.0000	TAKEDA PHARMACEUTICAL CO LTD SPON ADR	04/10/19	574.89	532.71	(42.18)	18.00
24.0000	TAKEDA PHARMACEUTICAL CO LTD SPON ADR	05/10/19	475.04	473.52	(1.52)	16.00
75.0000	TAKEDA PHARMACEUTICAL CO LTD SPON ADR	05/13/19	1,461.83	1,479.75	17.92	48.00

PLEASE SEE REVERSE SIDE

Statement Period
Year Ending 12/31/19

Page
30 of 96

Account No.
145-03163



Fiscal Statement

MERRILL LYNCH
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
40.0000	TAKEDA PHARMACEUTICAL CO LTD SPON ADR	05/22/19	699.35	789.20	89.85	26.00
57.0000	TAKEDA PHARMACEUTICAL CO LTD SPON ADR	07/01/19	1,007.84	1,124.61	116.77	37.00
86.0000	TAKEDA PHARMACEUTICAL CO LTD SPON ADR	09/27/19	1,488.08	1,696.78	208.70	55.00
17.0000	TAKEDA PHARMACEUTICAL CO LTD SPON ADR	12/13/19	344.06	335.41	(8.65)	11.00
50.0000	TERNA SPA-UNSPONSORED ADR	02/09/15	637.77	1,005.00	367.23	27.00
25.0000	TERNA SPA-UNSPONSORED ADR	10/05/16	369.24	502.50	133.26	14.00
351.0000	TERNA SPA-UNSPONSORED ADR	12/17/18	6,158.30	7,055.10	896.80	184.00
29.0000	TERNA SPA-UNSPONSORED ADR	05/22/19	555.66	582.90	27.24	16.00
30.0000	UNILEVER PLC NEW ADR CURRENT YIELD 3.14%	02/09/15	1,284.88	1,715.10	430.22	54.00
12.0000	UNILEVER PLC NEW ADR	09/15/15	475.77	686.04	210.27	22.00
7.0000	UNILEVER PLC NEW ADR	10/05/16	329.21	400.19	70.98	13.00
66.0000	UNILEVER PLC NEW ADR	02/28/18	3,435.08	3,773.22	338.14	119.00

PLEASE SEE REVERSE SIDE

Page 31 of 96
Statement Period Year Ending 12/31/19
Account No. 145-03163

99999999

X



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
24.0000	UNILEVER PLC NEW ADR	05/22/19	1,470.82	1,372.08	(98.74)	44.00
9.0000	UNITED PARCEL SVC CL B CURRENT YIELD 3.28%	02/02/17	946.44	1,053.54	107.10	35.00
32.0000	UNITED PARCEL SVC CL B	02/28/18	3,393.97	3,745.92	351.95	123.00
21.0000	UNITEDHEALTH GROUP INC CURRENT YIELD 1.46%	08/26/19	4,860.26	6,173.58	1,313.32	91.00
2.0000	VAIL RESORTS INC CURRENT YIELD 2.93%	12/13/19	485.89	479.66	(6.23)	15.00
17.0000	VAIL RESORTS INC	12/16/19	4,233.82	4,077.11	(156.71)	120.00
67.0000	VERIZON COMMUNICATNS COM CURRENT YIELD 4.00%	01/07/16	3,041.31	4,113.80	1,072.49	165.00
4.0000	VERIZON COMMUNICATNS COM	10/05/16	200.76	245.60	44.84	10.00
20.0000	VERIZON COMMUNICATNS COM	03/22/17	988.91	1,228.00	239.09	50.00
127.0000	VERIZON COMMUNICATNS COM	02/28/18	6,183.01	7,797.80	1,614.79	313.00
19.0000	VERIZON COMMUNICATNS COM	05/22/19	1,123.83	1,166.60	42.77	47.00
51.0000	VINCI SA ADR CURRENT YIELD 1.98%	02/09/15	730.24	1,409.13	678.89	28.00
4.0000	VINCI SA ADR	10/05/16	75.67	110.52	34.85	3.00
112.0000	VINCI SA ADR	12/17/18	2,306.25	3,094.56	788.31	62.00

PLEASE SEE REVERSE SIDE

Page 32 of 96
Statement Period Year Ending 12/31/19
Account No. 145-03163



Fiscal Statement

MERRILL
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
29.0000	VINCI SA ADR	05/22/19	724.70	801.27	76.57	16.00
18.0000	WATSCO INC COM CURRENT YIELD 3.55%	03/20/19	2,552.07	3,242.70	690.63	116.00
9.0000	WATSCO INC COM	03/20/19	1,269.52	1,621.35	351.83	58.00
4.0000	WATSCO INC COM	09/27/19	666.19	720.60	54.41	26.00
5.0000	WELLS FARGO & CO NEW DEL CURRENT YIELD 3.79%	10/01/18	263.70	269.00	5.30	11.00
76.0000	WELLS FARGO & CO NEW DEL	12/17/18	3,569.24	4,088.80	519.56	155.00
19.0000	WELLS FARGO & CO NEW DEL	07/01/19	902.75	1,022.20	119.45	39.00
Total Equities			672,933.45	783,972.68	111,039.23	29,939.00

PLEASE SEE REVERSE SIDE
Page 33 of 96
Statement Period
Year Ending 12/31/19
Account No.
145-03163

x 99999999



Tax Year 2019

The Cades Foundation EIN:99-0285922
Form 990-PF Part II Line 10b (b)
Balance Sheets - Detailed Securities



Fiscal Statement

Total Account Value As Of 12/31/19

\$834,307.77

Your Financial Advisor:
THE HOCHULI GROUP
FA # 7380
(808) 525-8313

Your Merrill Office:
1003 BISHOP ST PENTHOUSE
HONOLULU HI 96813-3430

FOR CUSTOMER SERVICE QUESTIONS:

1-800-MERRILL (1-800-637-7455)

ACTIVITY SUMMARY

ANNUAL PORTFOLIO SUMMARY

	Fiscal Year Value 12/19	Fiscal Year Value 12/31/19	Current Portfolio Cost Basis	Unrealized Gains/(Losses)
Credits				
Sales	256,678.88	45,797.94	45,797.94	0.00
Income	21,454.52	0.00	0.00	0.00
Funds Received	0.00	0.00	0.00	0.00
Electronic Trfs	0.00	0.00	0.00	0.00
Other Credits	172.02	788,509.83	631,477.27	157,032.56
Total Credits	278,305.42	834,307.77	677,275.21	157,032.56
Debits				
Purchases	225,719.83	834,307.77	677,275.21	157,032.56
Withdrawals	0.00	0.00	0.00	0.00
Electronic Trfs	0.00	0.00	0.00	0.00
Fees	7,183.75	0.00	0.00	0.00
Checks	0.00	0.00	0.00	0.00
Visa Transactions	0.00	0.00	0.00	0.00
Interest Charged	0.00	0.00	0.00	0.00
Other Debits	53,393.99	0.00	0.00	0.00
Total Debits	286,297.57	834,307.77	677,275.21	157,032.56
Net Activity	(7,992.15)			

INCOME SUMMARY

PLEASE NOTE:

	Current Year (12/19)
Interest	330.66
Dividends	21,123.86
Total	21,454.52
Accrued Interest Earned	0.00
Accrued Interest Paid	0.00

This fiscal year end statement is for information purposes and should be used in conjunction with your monthly statements. This statement does not reflect any pending trades or dividend reclassifications. Please consult your tax advisor regarding any tax planning and reporting requirements.

PLEASE SEE REVERSE SIDE

Page
2 of 60

Statement Period
Year Ending 12/31/19

Account No.
145-03164



Fiscal Statement

MERRILL LYNCH
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Cash and Money Funds							
	CASH		183.94	183.94			
45,614.0000	ML BANK DEPOSIT PROGRAM	08/31/17	45,614.00	45,614.00			100.35
	Total Cash and Money Funds		45,797.94	45,797.94			100.35

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
5.0000	ALCON SA ACT NOM	02/28/18	254.65	282.85	28.20	
6.0000	ALCON SA ACT NOM	05/30/18	276.95	339.42	62.47	
3.0000	ALCON SA ACT NOM	06/22/18	136.84	169.71	32.87	
3.0000	ALCON SA ACT NOM	06/25/18	135.18	169.71	34.53	
5.0000	ALCON SA ACT NOM	06/29/18	231.69	282.85	51.16	
39.0000	ALCON SA ACT NOM	04/15/19	2,149.31	2,206.23	56.92	
35.0000	ALCON SA ACT NOM	07/01/19	2,174.32	1,979.95	(194.37)	
54.0000	ALCON SA ACT NOM	08/23/19	3,176.93	3,054.78	(122.15)	
74.0000	ALCON SA ACT NOM	12/19/19	4,232.79	4,186.18	(46.61)	

PLEASE SEE REVERSE SIDE
Statement Period
Year Ending 12/31/19
Account No.
145-03164

Page
5 of 60

X 99595959



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
909.0000	AMCOR PLC REG SHS CURRENT YIELD 4.33%	12/18/18	8,662.77	9,853.56	1,190.79	428.00
50.0000	ALLIANZ SE SPD ADR CURRENT YIELD 3.06%	03/09/15	824.15	1,210.50	386.35	38.00
111.0000	ALLIANZ SE SPD ADR	10/23/15	1,920.02	2,687.31	767.29	83.00
79.0000	ALLIANZ SE SPD ADR	04/01/16	1,260.69	1,912.59	651.90	59.00
53.0000	ALLIANZ SE SPD ADR	04/21/16	934.92	1,283.13	348.21	40.00
93.0000	ALLIANZ SE SPD ADR	06/29/16	1,310.92	2,251.53	940.61	70.00
349.0000	ALLIANZ SE SPD ADR	12/18/18	6,934.28	8,449.29	1,515.01	260.00
26.0000	AMADEUS IT GROUP SA ADR - UNSPONSORED CURRENT YIELD 1.22%	02/17/12	492.73	2,140.32	1,647.59	27.00
15.0000	AMADEUS IT GROUP SA ADR - UNSPONSORED	02/21/12	289.88	1,234.80	944.92	16.00
78.0000	AMADEUS IT GROUP SA ADR - UNSPONSORED	02/28/18	5,786.82	6,420.96	634.14	79.00
23.0000	AMADEUS IT GROUP SA ADR - UNSPONSORED	01/10/19	1,642.20	1,893.36	251.16	24.00
31.0000	AMADEUS IT GROUP SA ADR - UNSPONSORED	01/18/19	2,280.93	2,551.92	270.99	32.00

PLEASE SEE REVERSE SIDE
Statement Period
Year Ending 12/31/19
Account No.
145-03164

Page
6 of 60

99999999





Fiscal Statement

MERRILL LYNCH
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
197.0000	AIA GROUP LTD SPONSORED ADR CURRENT YIELD 1.30%	10/11/19	7,348.62	8,291.73	943.11	109.00
65.0000	AIA GROUP LTD SPONSORED ADR	10/28/19	2,570.05	2,735.85	165.80	36.00
39.0000	AIA GROUP LTD SPONSORED ADR	12/24/19	1,591.96	1,641.51	49.55	22.00
513.0000	AMBEV SA SHS ADR CURRENT YIELD 2.18%	04/29/19	2,391.90	2,390.58	(1.32)	53.00
660.0000	AMBEV SA SHS ADR	05/07/19	2,917.89	3,075.60	157.71	68.00
384.0000	AMBEV SA SHS ADR	05/14/19	1,632.31	1,789.44	157.13	40.00
599.0000	AMBEV SA SHS ADR	05/21/19	2,512.54	2,791.34	278.80	62.00
414.0000	AMBEV SA SHS ADR	05/23/19	1,776.12	1,929.24	153.12	43.00
628.0000	AMBEV SA SHS ADR	08/27/19	2,736.54	2,926.48	189.94	65.00
28.0000	ALIBABA GROUP HOLDING LT	10/05/18	4,329.98	5,938.80	1,608.82	
12.0000	ALIBABA GROUP HOLDING LT	10/10/18	1,674.08	2,545.20	871.12	
9.0000	ALIBABA GROUP HOLDING LT	10/16/18	1,323.63	1,908.90	585.27	
14.0000	ALIBABA GROUP HOLDING LT	10/31/18	1,973.20	2,969.40	996.20	
8.0000	ALIBABA GROUP HOLDING LT	12/03/18	1,317.91	1,696.80	378.89	

PLEASE SEE REVERSE SIDE
Statement Period
Year Ending 12/31/19
Page 7 of 60
Account No. 145-03164

☒ 99999999





Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
9,0000	ALIBABA GROUP HOLDING LT	01/28/19	1,414.85	1,908.90	494.05	
11,0000	ALIBABA GROUP HOLDING LT	05/08/19	2,008.69	2,333.10	324.41	
13,0000	ALIBABA GROUP HOLDING LT	11/04/19	2,331.11	2,757.30	426.19	
11,0000	BROADCOM LTD CURRENT YIELD 4.11%	07/24/18	2,383.09	3,476.22	1,093.13	143.00
36,0000	BROADCOM LTD	12/18/18	9,177.72	11,376.72	2,199.00	468.00
9,0000	BROADCOM LTD	02/27/19	2,449.23	2,844.18	394.95	117.00
247,0000	BRITISH AMN TOBACO SPADR CURRENT YIELD 6.07%	12/18/18	8,027.47	10,487.62	2,460.15	638.00
69,0000	BRITISH AMN TOBACO SPADR	12/31/18	2,151.90	2,929.74	777.84	179.00
47,0000	BRITISH AMN TOBACO SPADR	04/01/19	1,934.36	1,995.62	61.26	122.00
7,0000	BANCO BRADESCO S A ADR CURRENT YIELD 2.07%	08/10/17	52.37	62.65	10.28	2.00
427,0000	BANCO BRADESCO S A ADR	07/09/18	2,578.08	3,821.65	1,243.57	80.00
636,0000	BANCO BRADESCO S A ADR	12/18/18	5,133.90	5,692.20	558.30	119.00
273,0000	BEIERSDORF AG SHS CURRENT YIELD 0.42%	05/24/19	6,297.95	6,521.97	224.02	28.00
86,0000	BEIERSDORF AG SHS	07/01/19	2,065.88	2,054.54	(11.34)	9.00

PLEASE SEE REVERSE SIDE
Statement Period
Year Ending 12/31/19
Account No.
145-03164

Page
8 of 60

X 99999999



Fiscal Statement

MERRILL LYNCH
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
92.0000	BANK MANDIRI TBK-UNSPON ADR CURRENT YIELD 2.14%	08/29/13	569.97	1,021.20	451.23	22.00
3.0000	BANK MANDIRI TBK-UNSPON ADR	10/26/15	21.00	33.30	12.30	1.00
404.0000	BANK MANDIRI TBK-UNSPON ADR	01/07/16	2,617.92	4,484.40	1,866.48	97.00
112.0000	BANK MANDIRI TBK-UNSPON ADR	03/02/16	825.05	1,243.20	418.15	27.00
107.0000	BANK MANDIRI TBK-UNSPON ADR	09/08/17	1,066.04	1,187.70	121.66	26.00
94.0000	BANK MANDIRI TBK-UNSPON ADR	10/31/17	980.28	1,043.40	63.12	23.00
586.0000	BANK MANDIRI TBK-UNSPON ADR	12/18/18	5,970.17	6,504.60	534.43	140.00
175.0000	BANK MANDIRI TBK-UNSPON ADR	02/25/19	1,804.58	1,942.50	137.92	42.00
63.0000	BANK MANDIRI TBK-UNSPON ADR	05/22/19	633.17	699.30	66.13	15.00
15.0000	CGI INC CLA	06/30/14	535.42	1,255.65	720.23	
17.0000	CGI INC CLA	07/01/14	612.59	1,423.07	810.48	

PLEASE SEE REVERSE SIDE
Statement Period
Year Ending 12/31/19
Account No.
145-03164

Page
9 of 60

x 59595959





Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
24.0000	CGI INC CL A	08/05/15	914.37	2,009.04	1,094.67	
20.0000	CGI INC CL A	08/06/15	755.87	1,674.20	918.33	
1.0000	CGI INC CL A	10/26/15	36.86	83.71	46.85	
13.0000	CGI INC CL A	09/13/17	673.67	1,088.23	414.56	
14.0000	CGI INC CL A	09/14/17	727.10	1,171.94	444.84	
18.0000	CGI INC CL A	09/15/17	930.46	1,506.78	576.32	
171.0000	CGI INC CL A	02/28/18	10,003.50	14,314.41	4,310.91	
38.0000	CANADIAN NATL RAILWAY CO CURRENT YIELD 1.81%	02/03/11	1,290.39	3,437.10	2,146.71	63.00
30.0000	CANADIAN NATL RAILWAY CO	04/28/11	1,154.81	2,713.50	1,558.69	50.00
57.0000	CANADIAN NATL RAILWAY CO	02/28/18	4,473.73	5,155.65	681.92	94.00
25.0000	CANADIAN NATL RAILWAY CO	02/15/19	2,103.00	2,261.25	158.25	42.00
23.0000	CANADIAN NATL RAILWAY CO	11/14/19	2,135.89	2,080.35	(55.54)	38.00

PLEASE SEE REVERSE SIDE

Page 10 of 60
Statement Period Year Ending 12/31/19
Account No. 145-03164

99999999





Fiscal Statement

MERRILL LYNCH
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
1,120.0000	CIE FINANCIERE RICHEMONT SA SHS CURRENT YIELD 1.47%	12/18/18	7,020.19	8,752.80	1,732.61	129.00
92.0000	CARLSBERG AS SPONSOREDAD CURRENT YIELD 1.23%	12/22/14	1,466.48	2,740.68	1,274.20	34.00
67.0000	CARLSBERG AS SPONSOREDAD	01/23/15	1,015.06	1,995.93	980.87	25.00
6.0000	CARLSBERG AS SPONSOREDAD	10/26/15	97.14	178.74	81.60	3.00
70.0000	CARLSBERG AS SPONSOREDAD	01/07/16	1,213.10	2,085.30	872.20	26.00
278.0000	CARLSBERG AS SPONSOREDAD	12/18/18	5,982.56	8,281.62	2,299.06	103.00
9.0000	CSL LTD SHS ADR CURRENT YIELD 0.84%	12/09/16	320.40	875.25	554.85	8.00
56.0000	CSL LTD SHS ADR	02/28/18	3,567.37	5,446.00	1,878.63	47.00
18.0000	CSL LTD SHS ADR	02/21/19	1,184.88	1,750.50	565.62	15.00
238.0000	CRITEO S A	12/18/18	5,678.56	4,124.54	(1,554.02)	
74.0000	CRITEO S A	04/17/19	1,622.49	1,282.42	(340.07)	
156.0000	COMPASS GROUP PLC SHS ADR CURRENT YIELD 1.89%	02/03/11	1,573.84	3,939.00	2,365.16	75.00
11.0000	COMPASS GROUP PLC SHS ADR	10/26/15	187.37	277.75	90.38	6.00

PLEASE SEE REVERSE SIDE

Page 11 of 60
Statement Period Year Ending 12/31/19

Account No.
145-03164

☒ 99999999



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
354,000	COMPASS GROUP PLC SHS ADR	12/18/18	7,488.16	8,938.50	1,450.34	170.00
424,000	DEUTSCHE BOERSE AG SHS CURRENT YIELD 1.25%	09/18/17	4,768.39	6,605.92	1,837.53	84.00
304,000	DEUTSCHE BOERSE AG SHS	02/28/18	4,050.95	4,736.32	685.37	60.00
13,000	ESSILORLUXOTTICA SA CURRENT YIELD 1.18%	02/08/17	749.03	995.67	246.64	12.00
15,000	ESSILORLUXOTTICA SA	03/29/17	918.68	1,148.85	230.17	14.00
22,000	ESSILORLUXOTTICA SA	08/10/17	1,363.68	1,684.98	321.30	20.00
66,000	ESSILORLUXOTTICA SA	02/28/18	4,365.95	5,054.94	688.99	60.00
250,000	FLUTTER ENTERTAINMENT PLC SHS UNPONSORE ADR CURRENT YIELD 1.47%	12/18/18	10,284.92	15,447.50	5,162.58	229.00
35,000	FLUTTER ENTERTAINMENT PLC SHS UNPONSORE ADR	04/04/19	1,446.54	2,162.65	716.11	32.00
5,000	FOMENTO ECNMCO MEX SPADR SAB DE CV CURRENT YIELD 1.56%	01/12/17	376.40	472.55	96.15	8.00
93,000	FOMENTO ECNMCO MEX SPADR SAB DE CV	02/28/18	8,619.43	8,789.43	170.00	138.00
20,000	FOMENTO ECNMCO MEX SPADR SAB DE CV	05/21/18	1,671.14	1,890.20	219.06	30.00

PLEASE SEE REVERSE SIDE

Page 12 of 60
Statement Period Year Ending 12/31/19
Account No. 145-03164



Fiscal Statement

MERRILL
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
265.0000	FANUC LTD-UNSP CURRENT YIELD 1.90%	12/18/18	3,974.34	4,881.30	906.96	94.00
207.0000	FANUC LTD-UNSP	12/27/18	2,987.07	3,812.94	825.87	73.00
12.0000	GRUPO AERO DELSPON ADR PACIFICO SAB DE CV CURRENT YIELD 3.24%	04/11/19	1,193.60	1,423.32	229.72	47.00
13.0000	GRUPO AERO DELSPON ADR PACIFICO SAB DE CV	04/12/19	1,296.53	1,541.93	245.40	51.00
19.0000	GRUPO AERO DELSPON ADR PACIFICO SAB DE CV	04/15/19	1,900.63	2,253.59	352.96	74.00
5.0000	GRUPO AERO DELSPON ADR PACIFICO SAB DE CV	04/16/19	500.03	593.05	93.02	20.00
9.0000	GRUPO AERO DELSPON ADR PACIFICO SAB DE CV	08/16/19	827.26	1,067.49	240.23	35.00
15.0000	GRUPO AERO DELSPON ADR PACIFICO SAB DE CV	08/19/19	1,382.44	1,779.15	396.71	58.00
171.0000	HOYA CORP ADR CURRENT YIELD 0.67%	06/08/18	10,171.28	16,484.40	6,313.12	112.00
14.0000	HOYA CORP ADR	07/11/18	781.57	1,349.60	568.03	10.00
37.0000	HOYA CORP ADR	02/28/19	2,277.72	3,566.80	1,289.08	25.00
8.0000	ICON PLC	08/09/19	1,250.20	1,377.84	127.64	

PLEASE SEE REVERSE SIDE

Statement Period
Year Ending 12/31/19
Account No.
145-03164



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
4.0000	ICON PLC	08/09/19	602.79	688.92	86.13	
31.0000	ICON PLC	08/12/19	4,828.20	5,339.13	510.93	
10.0000	ICON PLC	11/06/19	1,504.22	1,722.30	218.08	
47.0000	INFORMA PLC SHS ADR CURRENT YIELD 2.25%	12/02/16	772.28	1,084.76	312.48	25.00
110.0000	INFORMA PLC SHS ADR	12/05/16	1,831.65	2,538.80	707.15	58.00
103.0000	INFORMA PLC SHS ADR	02/07/17	1,738.86	2,377.24	638.38	54.00
62.0000	INFORMA PLC SHS ADR	09/18/17	1,140.35	1,430.96	290.61	33.00
270.0000	INFORMA PLC SHS ADR	12/18/18	4,330.80	6,231.60	1,900.80	141.00
111.0000	INFORMA PLC SHS ADR	12/20/19	2,490.62	2,561.88	71.26	58.00
738.0000	ING GP NV SPSPD ADR CURRENT YIELD 5.11%	12/18/18	8,255.78	8,892.90	637.12	455.00
177.0000	ING GP NV SPSPD ADR	04/01/19	2,200.79	2,132.85	(67.94)	110.00
170.0000	ING GP NV SPSPD ADR	04/12/19	2,283.77	2,048.50	(235.27)	105.00
719.0000	JULIUS BAER GROUP ADR	12/18/18	5,194.04	7,375.14	2,181.10	
11.0000	KAO CORP SHS ADR CURRENT YIELD 1.01%	12/18/18	156.26	182.38	26.12	2.00
95.0000	KAO CORP SHS ADR	01/29/19	1,324.03	1,575.10	251.07	17.00

PLEASE SEE REVERSE SIDE

Statement Period
Year Ending 12/31/19
Account No.
145-03164

Page
14 of 60

☒ 99999999



Fiscal Statement

MERRILL LYNCH
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
279.0000	KAO CORP SHS ADR	08/13/19	4,123.42	4,625.82	502.40	48.00
133.0000	KAO CORP SHS ADR	08/26/19	1,931.08	2,205.14	274.06	23.00
254.0000	KOMATSU NEW NEW SPNSDADR CURRENT YIELD 3.70%	07/01/19	6,233.16	6,106.16	(127.00)	227.00
88.0000	KOMATSU NEW NEW SPNSDADR	08/13/19	1,911.15	2,115.52	204.37	79.00
82.0000	KOMATSU NEW NEW SPNSDADR	08/20/19	1,751.43	1,971.28	219.85	74.00
149.0000	KOITO MANUFACTURING ADR CURRENT YIELD 1.59%	11/07/19	8,164.14	7,055.15	(1,108.99)	113.00
34.0000	KOITO MANUFACTURING ADR	12/26/19	1,601.06	1,609.90	8.84	26.00
19.0000	LAS VEGAS SANDS CORP CURRENT YIELD 4.46%	02/09/17	1,009.19	1,311.76	302.57	59.00
38.0000	LAS VEGAS SANDS CORP	10/27/17	2,325.56	2,623.52	297.96	118.00
3.0000	LAS VEGAS SANDS CORP	02/28/18	218.75	207.12	(11.63)	10.00
146.0000	LAS VEGAS SANDS CORP	12/18/18	7,656.29	10,079.84	2,423.55	450.00
1,190.0000	MEDIOBANCA - BANCA DI CREDITO FINANZIARI CURRENT YIELD 3.08%	12/18/18	10,265.20	13,030.50	2,765.30	403.00
94.0000	MONDELEZ INTERNATIONAL INC CURRENT YIELD 2.06%	12/11/17	4,006.23	5,177.52	1,171.29	108.00

PLEASE SEE REVERSE SIDE
Statement Period
Page 15 of 60
Year Ending 12/31/19
Account No. 145-03164

99999999

X



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
78.0000	MONDELEZ INTERNATIONAL INC	02/28/18	3,444.18	4,296.24	852.06	89.00
49.0000	MONDELEZ INTERNATIONAL INC	05/18/18	1,938.22	2,698.92	760.70	56.00
44.0000	MONDELEZ INTERNATIONAL INC	11/14/19	2,318.14	2,423.52	105.38	51.00
1.0000	NOVARTIS ADR CURRENT YIELD 1.94%	09/15/17	75.28	94.69	19.41	2.00
11.0000	NOVARTIS ADR	02/06/18	818.39	1,041.59	223.20	21.00
52.0000	NOVARTIS ADR	02/28/18	3,830.18	4,923.88	1,093.70	96.00
31.0000	NOVARTIS ADR	05/30/18	2,069.41	2,935.39	865.98	58.00
15.0000	NOVARTIS ADR	06/22/18	989.57	1,420.35	430.78	28.00
15.0000	NOVARTIS ADR	06/25/18	977.55	1,420.35	442.80	28.00
24.0000	NOVARTIS ADR	06/29/18	1,594.24	2,272.56	678.32	45.00
71.0000	NEW ORIENTAL EDUCATIO ADR	01/09/19	4,479.02	8,608.75	4,129.73	
318.0000	KUEHNE & NAGEL INTL ADR CURRENT YIELD 2.12%	10/08/19	9,356.38	10,794.51	1,438.13	230.00
144.0000	KUEHNE & NAGEL INTL ADR	10/25/19	4,735.44	4,888.08	152.64	105.00

PLEASE SEE REVERSE SIDE

Page 16 of 60
Statement Period Year Ending 12/31/19
Account No. 145-03164



Fiscal Statement

MERRILL
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
101.0000	NUTRIEN LTD REG SHS CURRENT YIELD 3.75%	07/10/18	5,480.20	4,838.91	(641.29)	182.00
23.0000	NUTRIEN LTD REG SHS	07/11/18	1,230.94	1,101.93	(129.01)	42.00
44.0000	NUTRIEN LTD REG SHS	08/06/18	2,547.66	2,108.04	(439.62)	80.00
39.0000	NUTRIEN LTD REG SHS	10/10/18	2,212.18	1,868.49	(343.69)	71.00
19.0000	NUTRIEN LTD REG SHS	07/31/19	1,036.80	910.29	(126.51)	35.00
240.0000	OPEN TEXT CORP COM CURRENT YIELD 1.58%	12/18/18	7,975.20	10,576.80	2,601.60	168.00
39.0000	OPEN TEXT CORP COM	01/07/19	1,277.77	1,718.73	440.96	28.00
14.0000	OPEN TEXT CORP COM	01/08/19	465.39	616.98	151.59	10.00
64.0000	OPEN TEXT CORP COM	02/05/19	2,409.49	2,820.48	410.99	45.00
24.0000	OPEN TEXT CORP COM	05/02/19	951.81	1,057.68	105.87	17.00
36.0000	OPEN TEXT CORP COM	08/09/19	1,383.30	1,586.52	203.22	26.00
19.0000	OPEN TEXT CORP COM	08/12/19	728.79	837.33	108.54	14.00
82.0000	PHILIP MORRIS INTL INC CURRENT YIELD 5.50%	05/21/18	6,568.36	6,977.38	409.02	384.00
24.0000	PHILIP MORRIS INTL INC	05/30/18	1,926.80	2,042.16	115.36	113.00
41.0000	PHILIP MORRIS INTL INC	06/29/18	3,312.88	3,488.69	175.81	192.00

PLEASE SEE REVERSE SIDE
Page 17 of 60
Statement Period Year Ending 12/31/19
Account No. 145-03164



EMA[®] Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
24.0000	PHILIP MORRIS INTL INC	07/23/18	1,999.40	2,042.16	42.76	113.00
16.0000	PHILIP MORRIS INTL INC	10/19/18	1,418.46	1,361.44	(57.02)	75.00
24.0000	PHILIP MORRIS INTL INC	12/31/18	1,591.15	2,042.16	451.01	113.00
27.0000	PHILIP MORRIS INTL INC	02/14/19	2,209.73	2,297.43	87.70	127.00
41.0000	PHILIP MORRIS INTL INC	07/19/19	3,657.33	3,488.69	(168.64)	192.00
45.0000	PHILIP MORRIS INTL INC	08/06/19	3,650.36	3,829.05	178.69	211.00
29.0000	PERNOD-RICARD SA-UNSPON CURRENT YIELD 1.36%	09/11/17	813.36	1,042.26	228.90	15.00
43.0000	PERNOD-RICARD SA-UNSPON	09/18/17	1,190.62	1,545.42	354.80	22.00
47.0000	PERNOD-RICARD SA-UNSPON	10/30/17	1,410.35	1,689.18	278.83	24.00
182.0000	PERNOD-RICARD SA-UNSPON	12/18/18	6,010.15	6,541.08	530.93	90.00
103.0000	RECKITT BENCKISER GROUP PLC SHS SPONSORE ADR CURRENT YIELD 2.45%	02/28/18	1,829.14	1,705.68	(123.46)	42.00
41.0000	RECKITT BENCKISER GROUP PLC SHS SPONSORE ADR	02/28/18	664.54	678.96	14.42	17.00
477.0000	RECKITT BENCKISER GROUP PLC SHS SPONSORE ADR	12/18/18	7,364.59	7,899.12	534.53	195.00
7.0000	RELX PLC CURRENT YIELD 2.13%	03/01/12	61.10	176.89	115.79	4.00

PLEASE SEE REVERSE SIDE

Page 18 of 60
Statement Period
Year Ending 12/31/19
Account No.
145-03164



Fiscal Statement

MERRILL LYNCH
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
48.0000	RELX PLC	03/02/12	411.84	1,212.96	801.12	26.00
68.0000	RELX PLC	07/30/12	583.85	1,718.36	1,134.51	37.00
74.0000	RELX PLC	07/14/15	1,278.47	1,869.98	591.51	40.00
2.0000	RELX PLC	10/26/15	36.12	50.54	14.42	2.00
16.0000	RELX PLC	06/14/16	274.66	404.32	129.66	9.00
54.0000	RELX PLC	06/15/16	939.88	1,364.58	424.70	30.00
276.0000	RELX PLC	02/28/18	5,743.73	6,974.52	1,230.79	150.00
85.0000	RELX PLC	05/03/19	1,933.86	2,147.95	214.09	46.00
135.0000	RELX PLC	05/06/19	3,047.49	3,411.45	363.96	73.00
14.0000	SAP SE SHS	12/22/14	989.45	1,875.86	886.41	17.00
CURRENT YIELD 0.89%						
2.0000	SAP SE SHS	10/26/15	154.75	267.98	113.23	3.00
11.0000	SAP SE SHS	04/20/16	887.13	1,473.89	586.76	14.00
14.0000	SAP SE SHS	06/14/16	1,062.51	1,875.86	813.35	17.00
83.0000	SAP SE SHS	02/28/18	8,748.72	11,121.17	2,372.45	100.00
19.0000	SAP SE SHS	04/29/19	2,452.37	2,545.81	93.44	23.00
27.0000	SAP SE SHS	07/19/19	3,386.15	3,617.73	231.58	33.00

PLEASE SEE REVERSE SIDE
Statement Period
Year Ending 12/31/19
Account No.
145-03164

Page
19 of 60

X 99999999



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
21.0000	SAP SE SHS	10/22/19	2,779.90	2,813.79	33.89	26.00
19.0000	SCHNEIDER ELEC SE ADR CURRENT YIELD 2.28%	11/16/15	220.17	387.60	167.43	9.00
802.0000	SCHNEIDER ELEC SE ADR	12/18/18	11,060.58	16,360.80	5,300.22	374.00
102.0000	SUNCOR ENERGY INC NEW CURRENT YIELD 3.88%	01/07/16	2,419.27	3,345.60	926.33	131.00
88.0000	SUNCOR ENERGY INC NEW	02/28/18	2,955.61	2,886.40	(69.21)	113.00
68.0000	SUNCOR ENERGY INC NEW	11/06/18	2,320.36	2,230.40	(89.96)	87.00
53.0000	SUNCOR ENERGY INC NEW	12/31/18	1,471.56	1,738.40	266.84	68.00
92.0000	SUNCOR ENERGY INC NEW	08/01/19	2,660.17	3,017.60	357.43	118.00
377.0000	SMC CORP JAPAN SPONSORED ADR CURRENT YIELD 0.60%	09/20/19	7,843.56	8,644.61	801.05	53.00
178.0000	SMC CORP JAPAN SPONSORED ADR	10/08/19	3,878.92	4,081.54	202.62	25.00
41.0000	TAIWAN S MANUFCTRING ADR CURRENT YIELD 3.30%	10/18/13	770.84	2,382.10	1,611.26	79.00
65.0000	TAIWAN S MANUFCTRING ADR	01/08/14	1,113.95	3,776.50	2,662.55	125.00
49.0000	TAIWAN S MANUFCTRING ADR	08/25/15	960.14	2,846.90	1,886.76	95.00
2.0000	TAIWAN S MANUFCTRING ADR	10/26/15	44.46	116.20	71.74	4.00

PLEASE SEE REVERSE SIDE

Statement Period
Year Ending 12/31/19

Account No.
145-03164



Fiscal Statement

MERRILL LYNCH
A BANK OF AMERICA COMPANY

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
47.0000	TAIWAN S MANUFCTRING ADR	05/05/16	1,110.95	2,730.70	1,619.75	91.00
175.0000	TAIWAN S MANUFCTRING ADR	12/18/18	6,451.27	10,167.50	3,716.23	337.00
403.0000	TECHNIPFMC PLC REG SHS CURRENT YIELD 2.42%	12/18/18	7,792.24	8,640.32	848.08	210.00
73.0000	TECHNIPFMC PLC REG SHS	12/31/18	1,398.03	1,565.12	167.09	38.00
72.0000	TECHNIPFMC PLC REG SHS	10/03/19	1,644.86	1,543.68	(101.18)	38.00
39.0000	UNTD OVERSEAS BK SPN ADR CURRENT YIELD 3.86%	05/11/17	1,322.30	1,533.29	210.99	60.00
26.0000	UNTD OVERSEAS BK SPN ADR	08/08/17	929.88	1,022.19	92.31	40.00
262.0000	UNTD OVERSEAS BK SPN ADR	12/18/18	9,324.06	10,300.53	976.47	399.00
596.0000	VINCI SA ADR CURRENT YIELD 1.98%	12/18/18	12,141.07	16,467.48	4,326.41	327.00
395.0000	VIVENDI SHS UNSP ADR CURRENT YIELD 1.44%	12/18/18	9,504.48	11,435.25	1,930.77	166.00
107.0000	VIVENDI SHS UNSP ADR	08/02/19	2,902.12	3,097.65	195.53	45.00
1.0000	WOLTERS KLUWR NV SPN ADR CURRENT YIELD 1.33%	10/14/16	39.81	72.81	33.00	1.00
37.0000	WOLTERS KLUWR NV SPN ADR	11/10/16	1,277.52	2,693.86	1,416.34	37.00
31.0000	WOLTERS KLUWR NV SPN ADR	08/04/17	1,372.81	2,257.02	884.21	31.00

PLEASE SEE REVERSE SIDE

Page 21 of 60
Statement Period Year Ending 12/31/19

Account No. 145-03164



EMASM Fiscal Statement

MARY DURYEA

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/19	Unrealized Gain or (Loss)	Est Annual Income
Equities						
51.0000	WOLTERS KLUWR NV SPN ADR	09/13/17	2,344.95	3,713.16	1,368.21	50.00
144.0000	WOLTERS KLUWR NV SPN ADR	02/28/18	7,350.62	10,484.21	3,133.59	141.00
29.0000	WOLTERS KLUWR NV SPN ADR	02/21/19	1,909.94	2,111.40	201.46	29.00
103.0000	YUM CHINA HOLDINGS INC SHS CURRENT YIELD 0.99%	07/12/18	3,767.35	4,945.03	1,177.68	50.00
50.0000	YUM CHINA HOLDINGS INC SHS	12/11/18	1,760.81	2,400.50	639.69	24.00
48.0000	YUM CHINA HOLDINGS INC SHS	02/21/19	2,003.26	2,304.48	301.22	24.00
48.0000	YUM CHINA HOLDINGS INC SHS	02/27/19	2,007.94	2,304.48	296.54	24.00
65.0000	YUM CHINA HOLDINGS INC SHS	05/01/19	3,032.76	3,120.65	87.89	32.00
51.0000	YUM CHINA HOLDINGS INC SHS	05/14/19	2,179.30	2,448.51	269.21	25.00
Total Equities			631,477.27	788,509.83	157,032.56	16,564.00

PLEASE SEE REVERSE SIDE
Page 22 of 60
Statement Period Year Ending 12/31/19
Account No. 145-03164

THE CADES FOUNDATION

2019 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your tax return

Your Supplemental Tax Information Statement that follows is a summary of the gains and losses on your Widely Held Fixed Investment Trust (WHFIT) securities. As a WHFIT interest holder, you must report your pro-rata share of the securities sold by the WHFIT trust in addition to any gain (loss) from the sales that you have made of your WHFIT securities. This section includes your pro-rata share of principal payments made by Real Estate Mortgage Investment Conduits (REMICs) and Collateralized Debt Obligations (CDOs)

The 2019 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes securities when the purchase date of the security occurred within the following timeline: Equities acquired on or after January 1, 2011; Mutual Funds acquired on or after January 1, 2012; Option Transactions and less complex debt securities acquired on or after January 1, 2014; and more complex debt securities and options issued as part of a debt instrument acquired on or after January 1, 2014. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available) but the adjusted basis will not be transmitted to the IRS.

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID.

Form 1099-B

2019 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost Basis	1f. Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain or Loss
-----------------------------	------------------	--------------------------	-------------	---------------	-----------------------------	------------------------------	--------------

SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 2 - Box 6 Net Proceeds

NONCOVERED TRANSACTIONS-Cost basis not reported to IRS - Form 8949, Part I, (B)

FHLMC G1 6308 03 50%2032	Prin Payment	CUSIP Number 02/15/19	02/15/19	42 48	42 48	0 00	0 00
	Prin Payment	03/15/19	03/15/19	39 50	39 50	0 00	0 00
	Prin Payment	04/15/19	04/15/19	43 43	43 43	0 00	0 00
	Prin Payment	05/15/19	05/15/19	51 08	51 08	0 00	0 00
	Prin Payment	06/17/19	06/17/19	74 53	74 53	0 00	0 00
	Prin Payment	07/15/19	07/15/19	40 81	40 81	0 00	0 00
	Prin Payment	08/15/19	08/15/19	56 13	56 13	0 00	0 00
	Prin Payment	09/16/19	09/16/19	57 80	57 80	0 00	0 00
	Prin Payment	10/15/19	10/15/19	52 92	52 92	0 00	0 00
	Prin Payment	11/15/19	11/15/19	59 50	59 50	0 00	0 00
	Prin Payment	12/16/19	12/16/19	56 70	56 70	0 00	0 00
	Prin Payment	01/15/20	01/15/20	45 23	45 23	0 00	0 00
Security Subtotal				620.11	620.11	0.00	0.00
FHLMC G0 8800 03 50%2048	Prin Payment	CUSIP Number 02/15/19	02/15/19	587.79	587.79	0.00	0.00
	Prin Payment	03/15/19	03/15/19	572.39	572.39	0.00	0.00
	Prin Payment	04/15/19	04/15/19	763.17	763.17	0.00	0.00
	Prin Payment	05/15/19	05/15/19	896.42	896.42	0.00	0.00

2019 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

Form 1099-B

2019 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost Basis	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain or Loss
FHLMC G0 8800 03 50%2048							
Prin Payment	CUSIP Number 06/17/19	3128MJ3J7	983.73	983.73	0.00	0.00	0.00
Prin Payment	07/15/19	07/15/19	1,122.72	1,122.72	0.00	0.00	0.00
Prin Payment	08/15/19	08/15/19	1,415.39	1,415.39	0.00	0.00	0.00
Prin Payment	09/16/19	09/16/19	1,442.61	1,442.61	0.00	0.00	0.00
Prin Payment	10/15/19	10/15/19	1,901.17	1,901.17	0.00	0.00	0.00
Prin Payment	11/15/19	11/15/19	2,341.21	2,341.21	0.00	0.00	0.00
Prin Payment	12/16/19	12/16/19	1,734.91	1,734.91	0.00	0.00	0.00
Prin Payment	01/15/20	01/15/20	1,928.24	1,928.24	0.00	0.00	0.00
Security Subtotal			15,689.75	15,689.75	0.00	0.00	0.00
FNMA PMA3333 04%2048							
Prin Payment	CUSIP Number 02/25/19	31418CV35	33.60	33.60	0.00	0.00	0.00
Prin Payment	03/25/19	03/25/19	45.92	45.92	0.00	0.00	0.00
Prin Payment	04/25/19	04/25/19	51.42	51.42	0.00	0.00	0.00
Prin Payment	05/28/19	05/28/19	69.75	69.75	0.00	0.00	0.00
Prin Payment	06/25/19	06/25/19	67.68	67.68	0.00	0.00	0.00
Prin Payment	07/25/19	07/25/19	70.71	70.71	0.00	0.00	0.00
Prin Payment	08/26/19	08/26/19	97.65	97.65	0.00	0.00	0.00
Prin Payment	09/25/19	09/25/19	119.80	119.80	0.00	0.00	0.00
Prin Payment	10/25/19	10/25/19	152.29	152.29	0.00	0.00	0.00
Prin Payment	11/25/19	11/25/19	146.74	146.74	0.00	0.00	0.00
Prin Payment	12/26/19	12/26/19	115.17	115.17	0.00	0.00	0.00
Prin Payment	01/27/20	01/27/20	103.61	103.61	0.00	0.00	0.00
Security Subtotal			1,074.34	1,074.34	0.00	0.00	0.00
FNMA PMA3364 03 50%2033							
Prin Payment	CUSIP Number 06/25/19	31418CW26	16.47	16.47	0.00	0.00	0.00
Prin Payment	07/25/19	07/25/19	13.98	13.98	0.00	0.00	0.00
Prin Payment	08/26/19	08/26/19	23.30	23.30	0.00	0.00	0.00
Prin Payment	09/25/19	09/25/19	22.36	22.36	0.00	0.00	0.00
Prin Payment	10/25/19	10/25/19	23.68	23.68	0.00	0.00	0.00
Prin Payment	11/25/19	11/25/19	27.06	27.06	0.00	0.00	0.00
Prin Payment	12/26/19	12/26/19	21.79	21.79	0.00	0.00	0.00
Prin Payment	01/27/20	01/27/20	19.93	19.93	0.00	0.00	0.00
Security Subtotal			168.57	168.57	0.00	0.00	0.00
FNMA PAE0392 05 50%2039							
Prin Payment	CUSIP Number 02/25/19	31419ANJ2	39.05	39.05	0.00	0.00	0.00
Prin Payment	03/25/19	03/25/19	39.80	39.80	0.00	0.00	0.00
Prin Payment	04/25/19	04/25/19	44.53	44.53	0.00	0.00	0.00

2019 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

2019 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Form 1099-B

1a Description of Property	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost Basis	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain or Loss
FNMA PAE0392 05 50%2039	CUSIP Number 31419ANJ2						
Prin Payment	05/28/19	05/28/19	44 69	44 69	0 00	0 00	0 00
Prin Payment	06/25/19	06/25/19	42 44	42 44	0 00	0 00	0 00
Prin Payment	07/25/19	07/25/19	34 19	34 19	0 00	0 00	0 00
Prin Payment	08/26/19	08/26/19	62 69	62 69	0 00	0 00	0 00
Prin Payment	09/25/19	09/25/19	38 96	38 96	0 00	0 00	0 00
Prin Payment	10/25/19	10/25/19	36 69	36 69	0 00	0 00	0 00
Prin Payment	11/25/19	11/25/19	69 47	69 47	0 00	0 00	0 00
Prin Payment	12/26/19	12/26/19	36 62	36 62	0 00	0 00	0 00
Prin Payment	01/27/20	01/27/20	49 58	49 58	0 00	0 00	0 00
Security Subtotal			538.71	538.71	0.00	0.00	0.00
FNMA PMA3384 04%2048	CUSIP Number 31418CXN9						
Prin Payment	02/25/19	02/25/19	324 32	324 32	0 00	0 00	0 00
Prin Payment	03/25/19	03/25/19	437 53	437 53	0 00	0 00	0 00
Prin Payment	04/25/19	04/25/19	476 07	476 07	0 00	0 00	0 00
Prin Payment	05/28/19	05/28/19	869 62	869 62	0 00	0 00	0 00
Prin Payment	06/25/19	06/25/19	910 32	910 32	0 00	0 00	0 00
Prin Payment	07/25/19	07/25/19	864 80	864 80	0 00	0 00	0 00
Prin Payment	08/26/19	08/26/19	1 606 84	1 606 84	0 00	0 00	0 00
Prin Payment	09/25/19	09/25/19	1 607 36	1 607 36	0 00	0 00	0 00
Prin Payment	10/25/19	10/25/19	2 070 17	2 070 17	0 00	0 00	0 00
Prin Payment	11/25/19	11/25/19	2 108 81	2 108 81	0 00	0 00	0 00
Prin Payment	12/26/19	12/26/19	1 389 59	1 389 59	0 00	0 00	0 00
Prin Payment	01/27/20	01/27/20	1 324 69	1 324 69	0 00	0 00	0 00
Security Subtotal			13,990.12	13,990.12	0.00	0.00	0.00
FNMA PMA3416 04 50%2048	CUSIP Number 31418CYN8						
Prin Payment	08/26/19	08/26/19	63 60	63 60	0 00	0 00	0 00
Prin Payment	09/25/19	09/25/19	67 04	67 04	0 00	0 00	0 00
Prin Payment	10/25/19	10/25/19	75 12	75 12	0 00	0 00	0 00
Prin Payment	11/25/19	11/25/19	72 77	72 77	0 00	0 00	0 00
Prin Payment	12/26/19	12/26/19	58 29	58 29	0 00	0 00	0 00
Prin Payment	01/27/20	01/27/20	53 55	53 55	0 00	0 00	0 00
Security Subtotal			390.37	390.37	0.00	0.00	0.00
FNMA PAE5471 04 50%2040	CUSIP Number 31419GCH5						
Prin Payment	02/25/19	02/25/19	13 18	13 18	0 00	0 00	0 00
Prin Payment	03/25/19	03/25/19	40 44	40 44	0 00	0 00	0 00
Prin Payment	04/25/19	04/25/19	41 32	41 32	0 00	0 00	0 00
Prin Payment	05/28/19	05/28/19	41 56	41 56	0 00	0 00	0 00

THE CADES FOUNDATION

2019 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

Form 1099-B

2019 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost Basis	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain or Loss
CUSIP Number 31419GCH5							
FNMA PAE5471 04 50%2040							
Prin Payment	06/25/19	06/25/19	40.93	40.93	0.00	0.00	0.00
Prin Payment	07/25/19	07/25/19	34.29	34.29	0.00	0.00	0.00
Prin Payment	08/26/19	08/26/19	40.01	40.01	0.00	0.00	0.00
Prin Payment	09/25/19	09/25/19	53.88	53.88	0.00	0.00	0.00
Prin Payment	10/25/19	10/25/19	51.81	51.81	0.00	0.00	0.00
Prin Payment	11/25/19	11/25/19	42.33	42.33	0.00	0.00	0.00
Prin Payment	12/26/19	12/26/19	58.17	58.17	0.00	0.00	0.00
Prin Payment	01/27/20	01/27/20	45.66	45.66	0.00	0.00	0.00
Security Subtotal			503.58	503.58	0.00	0.00	0.00
CUSIP Number 31418CZJ6							
FNMA PMA3444 04 50%2048							
Prin Payment	02/25/19	02/25/19	225.37	225.37	0.00	0.00	0.00
Prin Payment	03/25/19	03/25/19	279.07	279.07	0.00	0.00	0.00
Prin Payment	04/25/19	04/25/19	319.62	319.62	0.00	0.00	0.00
Prin Payment	05/28/19	05/28/19	439.85	439.85	0.00	0.00	0.00
Prin Payment	06/25/19	06/25/19	443.04	443.04	0.00	0.00	0.00
Prin Payment	07/25/19	07/25/19	419.92	419.92	0.00	0.00	0.00
Prin Payment	08/26/19	08/26/19	547.68	547.68	0.00	0.00	0.00
Prin Payment	09/25/19	09/25/19	549.21	549.21	0.00	0.00	0.00
Prin Payment	10/25/19	10/25/19	559.46	559.46	0.00	0.00	0.00
Prin Payment	11/25/19	11/25/19	583.86	583.86	0.00	0.00	0.00
Prin Payment	12/26/19	12/26/19	431.36	431.36	0.00	0.00	0.00
Prin Payment	01/27/20	01/27/20	427.43	427.43	0.00	0.00	0.00
Security Subtotal			5,225.87	5,225.87	0.00	0.00	0.00
CUSIP Number 31419AF69							
FNMA PAE0188 05 50%2037							
Prin Payment	02/25/19	02/25/19	1.50	1.50	0.00	0.00	0.00
Prin Payment	03/25/19	03/25/19	1.24	1.24	0.00	0.00	0.00
Prin Payment	04/25/19	04/25/19	1.51	1.51	0.00	0.00	0.00
Prin Payment	05/28/19	05/28/19	2.36	2.36	0.00	0.00	0.00
Prin Payment	06/25/19	06/25/19	2.75	2.75	0.00	0.00	0.00
Prin Payment	07/25/19	07/25/19	2.68	2.68	0.00	0.00	0.00
Prin Payment	08/26/19	08/26/19	1.68	1.68	0.00	0.00	0.00
Prin Payment	09/25/19	09/25/19	1.24	1.24	0.00	0.00	0.00
Prin Payment	10/25/19	10/25/19	1.41	1.41	0.00	0.00	0.00
Prin Payment	11/25/19	11/25/19	3.29	3.29	0.00	0.00	0.00
Prin Payment	12/26/19	12/26/19	1.39	1.39	0.00	0.00	0.00
Prin Payment	01/27/20	01/27/20	1.71	1.71	0.00	0.00	0.00
Security Subtotal			22.76	22.76	0.00	0.00	0.00

2019 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

Form 1099-B

2019 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost Basis	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain or Loss
FNMA PMA3559 03 50%2034	CUSIP Number 31418C5V2						
Prin Payment	02/25/19	02/25/19	97.16	97.16	0.00	0.00	0.00
Prin Payment	03/25/19	03/25/19	114.51	114.51	0.00	0.00	0.00
Prin Payment	04/25/19	04/25/19	104.95	104.95	0.00	0.00	0.00
Prin Payment	05/28/19	05/28/19	186.67	186.67	0.00	0.00	0.00
Prin Payment	06/25/19	06/25/19	255.49	255.49	0.00	0.00	0.00
Prin Payment	07/25/19	07/25/19	182.11	182.11	0.00	0.00	0.00
Prin Payment	08/26/19	08/26/19	320.91	320.91	0.00	0.00	0.00
Prin Payment	09/25/19	09/25/19	280.87	280.87	0.00	0.00	0.00
Prin Payment	10/25/19	10/25/19	442.51	442.51	0.00	0.00	0.00
Prin Payment	11/25/19	11/25/19	384.82	384.82	0.00	0.00	0.00
Prin Payment	12/26/19	12/26/19	290.68	290.68	0.00	0.00	0.00
Prin Payment	01/27/20	01/27/20	295.85	295.85	0.00	0.00	0.00
Security Subtotal			2,956.53	2,956.53	0.00	0.00	0.00
FNMA PMA3615 04%2049	CUSIP Number 31418DAR3						
Prin Payment	06/25/19	06/25/19	28.74	28.74	0.00	0.00	0.00
Prin Payment	07/25/19	07/25/19	35.66	35.66	0.00	0.00	0.00
Prin Payment	08/26/19	08/26/19	97.85	97.85	0.00	0.00	0.00
Prin Payment	09/25/19	09/25/19	100.81	100.81	0.00	0.00	0.00
Prin Payment	10/25/19	10/25/19	114.55	114.55	0.00	0.00	0.00
Prin Payment	11/25/19	11/25/19	114.38	114.38	0.00	0.00	0.00
Prin Payment	12/26/19	12/26/19	74.96	74.96	0.00	0.00	0.00
Prin Payment	01/27/20	01/27/20	67.20	67.20	0.00	0.00	0.00
Security Subtotal			634.15	634.15	0.00	0.00	0.00
FNMA PMA3686 03 50%2049	CUSIP Number 31418DCY6						
Prin Payment	06/25/19	06/25/19	24.88	24.88	0.00	0.00	0.00
Prin Payment	07/25/19	07/25/19	37.62	37.62	0.00	0.00	0.00
Prin Payment	08/26/19	08/26/19	56.05	56.05	0.00	0.00	0.00
Prin Payment	09/25/19	09/25/19	66.81	66.81	0.00	0.00	0.00
Prin Payment	10/25/19	10/25/19	109.83	109.83	0.00	0.00	0.00
Prin Payment	11/25/19	11/25/19	229.23	229.23	0.00	0.00	0.00
Prin Payment	12/26/19	12/26/19	184.80	184.80	0.00	0.00	0.00
Prin Payment	01/27/20	01/27/20	191.55	191.55	0.00	0.00	0.00
Security Subtotal			900.77	900.77	0.00	0.00	0.00
FNMA PMA3685 03%2049	CUSIP Number 31418DCX8						
Prin Payment	06/25/19	06/25/19	5.10	5.10	0.00	0.00	0.00
Prin Payment	07/25/19	07/25/19	8.88	8.88	0.00	0.00	0.00
Prin Payment	08/26/19	08/26/19	11.52	11.52	0.00	0.00	0.00

2019 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

Form 1099-B

2019 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e. Cost Basis	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain or Loss
CUSIP Number 31418DCX8							
FNMA PMA3685 03%2049 Prin Payment	09/25/19	09/25/19	13 53	13 53	0 00	0 00	0 00
Prin Payment	10/25/19	10/25/19	24 37	24 37	0 00	0 00	0 00
Prin Payment	11/25/19	11/25/19	52 20	52 20	0 00	0 00	0 00
Prin Payment	12/26/19	12/26/19	49 27	49 27	0 00	0 00	0 00
Prin Payment	01/27/20	01/27/20	40 90	40 90	0 00	0 00	0 00
Security Subtotal			205.77	205.77	0 00	0 00	0 00
CUSIP Number 31416RRB1							
FNMA PAA7681 04 50%2039 Prin Payment	02/25/19	02/25/19	6 33	6 33	0 00	0 00	0 00
Prin Payment	03/25/19	03/25/19	3 12	3 12	0 00	0 00	0 00
Prin Payment	04/25/19	04/25/19	5 10	5 10	0 00	0 00	0 00
Prin Payment	05/28/19	05/28/19	4 42	4 42	0 00	0 00	0 00
Prin Payment	06/25/19	06/25/19	8 72	8 72	0 00	0 00	0 00
Prin Payment	07/25/19	07/25/19	6 27	6 27	0 00	0 00	0 00
Prin Payment	08/26/19	08/26/19	6 91	6 91	0 00	0 00	0 00
Prin Payment	09/25/19	09/25/19	3 83	3 83	0 00	0 00	0 00
Prin Payment	10/25/19	10/25/19	6 73	6 73	0 00	0 00	0 00
Prin Payment	11/25/19	11/25/19	9 80	9 80	0 00	0 00	0 00
Prin Payment	12/26/19	12/26/19	2 92	2 92	0 00	0 00	0 00
Prin Payment	01/27/20	01/27/20	8 57	8 57	0 00	0 00	0 00
Security Subtotal			72.72	72.72	0 00	0 00	0 00
CUSIP Number 3138AFC24							
FNMA PAI1888 04 50%2041 Prin Payment	02/25/19	02/25/19	9 76	9 76	0 00	0 00	0 00
Prin Payment	03/25/19	03/25/19	6 62	6 62	0 00	0 00	0 00
Prin Payment	04/25/19	04/25/19	5 25	5 25	0 00	0 00	0 00
Prin Payment	05/28/19	05/28/19	5 19	5 19	0 00	0 00	0 00
Prin Payment	06/25/19	06/25/19	8 61	8 61	0 00	0 00	0 00
Prin Payment	07/25/19	07/25/19	9 42	9 42	0 00	0 00	0 00
Prin Payment	08/26/19	08/26/19	11 63	11 63	0 00	0 00	0 00
Prin Payment	09/25/19	09/25/19	14 72	14 72	0 00	0 00	0 00
Prin Payment	10/25/19	10/25/19	8 19	8 19	0 00	0 00	0 00
Prin Payment	11/25/19	11/25/19	17 74	17 74	0 00	0 00	0 00
Prin Payment	12/26/19	12/26/19	12 70	12 70	0 00	0 00	0 00
Prin Payment	01/27/20	01/27/20	11 97	11 97	0 00	0 00	0 00
Security Subtotal			121.80	121.80	0 00	0 00	0 00
CUSIP Number 3138EGFA7							
FNMA PAL0160 04 50%2041 Prin Payment	02/25/19	02/25/19	8 19	8 19	0 00	0 00	0 00
Prin Payment	03/25/19	03/25/19	8 62	8 62	0 00	0 00	0 00

2019 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

Form 1099-B

2019 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost Basis	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain or Loss
FNMA PAL0160 04 50%2041	CUSIP Number 3138EGFA7						
Prin Payment	04/25/19	04/25/19	11 13	11 13	0.00	0.00	0.00
Prin Payment	05/28/19	05/28/19	13 45	13 45	0.00	0.00	0.00
Prin Payment	06/25/19	06/25/19	13 98	13 98	0.00	0.00	0.00
Prin Payment	07/25/19	07/25/19	11 40	11 40	0.00	0.00	0.00
Prin Payment	08/26/19	08/26/19	12 57	12 57	0.00	0.00	0.00
Prin Payment	09/25/19	09/25/19	16 18	16 18	0.00	0.00	0.00
Prin Payment	10/25/19	10/25/19	12 88	12 88	0.00	0.00	0.00
Prin Payment	11/25/19	11/25/19	15 57	15 57	0.00	0.00	0.00
Prin Payment	12/26/19	12/26/19	15 84	15 84	0.00	0.00	0.00
Prin Payment	01/27/20	01/27/20	14 79	14 79	0.00	0.00	0.00
Security Subtotal			154.60	154.60	0.00	0.00	0.00
FHLMC GO 8588 04%2044	CUSIP Number 3128MJUN8						
Prin Payment	02/15/19	02/15/19	5 77	5 77	0.00	0.00	0.00
Prin Payment	03/15/19	03/15/19	6 20	6 20	0.00	0.00	0.00
Prin Payment	04/15/19	04/15/19	8 54	8 54	0.00	0.00	0.00
Prin Payment	05/15/19	05/15/19	8 68	8 68	0.00	0.00	0.00
Prin Payment	06/17/19	06/17/19	10 38	10 38	0.00	0.00	0.00
Prin Payment	07/15/19	07/15/19	8 86	8 86	0.00	0.00	0.00
Prin Payment	08/15/19	08/15/19	11 34	11 34	0.00	0.00	0.00
Prin Payment	09/16/19	09/16/19	11 96	11 96	0.00	0.00	0.00
Prin Payment	10/15/19	10/15/19	11 54	11 54	0.00	0.00	0.00
Prin Payment	11/15/19	11/15/19	11 58	11 58	0.00	0.00	0.00
Prin Payment	12/16/19	12/16/19	14 79	14 79	0.00	0.00	0.00
Prin Payment	01/15/20	01/15/20	12 35	12 35	0.00	0.00	0.00
Security Subtotal			121.99	121.99	0.00	0.00	0.00
FNMA P995672 04 50%2039	CUSIP Number 31416CCH7						
Prin Payment	02/25/19	02/25/19	53 80	53 80	0.00	0.00	0.00
Prin Payment	03/25/19	03/25/19	79 58	79 58	0.00	0.00	0.00
Prin Payment	04/25/19	04/25/19	53 16	53 16	0.00	0.00	0.00
Prin Payment	05/28/19	05/28/19	59 05	59 05	0.00	0.00	0.00
Prin Payment	06/25/19	06/25/19	60 98	60 98	0.00	0.00	0.00
Prin Payment	07/25/19	07/25/19	52 82	52 82	0.00	0.00	0.00
Prin Payment	08/26/19	08/26/19	87 20	87 20	0.00	0.00	0.00
Prin Payment	09/25/19	09/25/19	80 38	80 38	0.00	0.00	0.00
Prin Payment	10/25/19	10/25/19	81 88	81 88	0.00	0.00	0.00
Prin Payment	11/25/19	11/25/19	88 36	88 36	0.00	0.00	0.00
Prin Payment	12/26/19	12/26/19	76 78	76 78	0.00	0.00	0.00
Prin Payment	01/27/20	01/27/20	72 37	72 37	0.00	0.00	0.00

THE CADES FOUNDATION

2019 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

Form 1099-B

2019 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost Basis	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain or Loss
FNMA P995672 04 50%2039							
Security Subtotal			846.36	846.36	0.00	0.00	0.00
FNMA G0 8606							
Prin Payment	02/15/19	02/15/19	10 37	10 37	0 00	0 00	0 00
Prin Payment	03/15/19	03/15/19	11 14	11 14	0 00	0 00	0 00
Prin Payment	04/15/19	04/15/19	11 69	11 69	0 00	0 00	0 00
Prin Payment	05/15/19	05/15/19	14 75	14 75	0 00	0 00	0 00
Prin Payment	06/17/19	06/17/19	16 57	16 57	0 00	0 00	0 00
Prin Payment	07/15/19	07/15/19	15 28	15 28	0 00	0 00	0 00
Prin Payment	08/15/19	08/15/19	16 27	16 27	0 00	0 00	0 00
Prin Payment	09/16/19	09/16/19	26 98	26 98	0 00	0 00	0 00
Prin Payment	10/15/19	10/15/19	22 16	22 16	0 00	0 00	0 00
Prin Payment	11/15/19	11/15/19	25 65	25 65	0 00	0 00	0 00
Prin Payment	12/16/19	12/16/19	22 72	22 72	0 00	0 00	0 00
Prin Payment	01/15/20	01/15/20	19 52	19 52	0 00	0 00	0 00
Security Subtotal			213.10	213.10	0.00	0.00	0.00
FNMA PAS3907 04%2044							
Prin Payment	02/25/19	02/25/19	23 88	23 88	0 00	0 00	0 00
Prin Payment	03/25/19	03/25/19	32 86	32 86	0 00	0 00	0 00
Prin Payment	04/25/19	04/25/19	35 22	35 22	0 00	0 00	0 00
Prin Payment	05/28/19	05/28/19	38 73	38 73	0 00	0 00	0 00
Prin Payment	06/25/19	06/25/19	31 54	31 54	0 00	0 00	0 00
Prin Payment	07/25/19	07/25/19	36 60	36 60	0 00	0 00	0 00
Prin Payment	08/26/19	08/26/19	46 42	46 42	0 00	0 00	0 00
Prin Payment	09/25/19	09/25/19	37 90	37 90	0 00	0 00	0 00
Prin Payment	10/25/19	10/25/19	53 64	53 64	0 00	0 00	0 00
Prin Payment	11/25/19	11/25/19	68 01	68 01	0 00	0 00	0 00
Prin Payment	12/26/19	12/26/19	53 05	53 05	0 00	0 00	0 00
Prin Payment	01/27/20	01/27/20	54 15	54 15	0 00	0 00	0 00
Security Subtotal			512.00	512.00	0.00	0.00	0.00
FNMA PAX2501 04%2044							
Prin Payment	02/25/19	02/25/19	25 80	25 80	0 00	0 00	0 00
Prin Payment	03/25/19	03/25/19	9 47	9 47	0 00	0 00	0 00
Prin Payment	04/25/19	04/25/19	16 20	16 20	0 00	0 00	0 00
Prin Payment	05/28/19	05/28/19	18 66	18 66	0 00	0 00	0 00
Prin Payment	06/25/19	06/25/19	8 07	8 07	0 00	0 00	0 00
Prin Payment	07/25/19	07/25/19	30 29	30 29	0 00	0 00	0 00
Prin Payment	08/26/19	08/26/19	10 50	10 50	0 00	0 00	0 00

THE CADES FOUNDATION

2019 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

Form 1099-B

2019 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b Date Acquired	1c Date Sold or Disposed	1d. Proceeds	1e Cost Basis	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain or Loss
CUSIP Number 3138Y3X71							
FNMA PAX2501 04%2044	Prin Payment	09/25/19	21 48	21 48	0.00	0.00	0.00
	Prin Payment	10/25/19	24 30	24 30	0.00	0.00	0.00
	Prin Payment	11/25/19	15 21	15 21	0.00	0.00	0.00
	Prin Payment	12/26/19	32 56	32 56	0.00	0.00	0.00
	Prin Payment	01/27/20	23 02	23 02	0.00	0.00	0.00
			235.56	235.56	0.00	0.00	0.00
Security Subtotal							
FHLMC GO 8624 04%2045	Prin Payment	02/15/19	78 24	78 24	0.00	0.00	0.00
	Prin Payment	03/15/19	132 91	132 91	0.00	0.00	0.00
	Prin Payment	04/15/19	156 34	156 34	0.00	0.00	0.00
	Prin Payment	05/15/19	134 73	134 73	0.00	0.00	0.00
	Prin Payment	06/17/19	211 87	211 87	0.00	0.00	0.00
	Prin Payment	07/15/19	163 78	163 78	0.00	0.00	0.00
	Prin Payment	08/15/19	211 11	211 11	0.00	0.00	0.00
	Prin Payment	09/16/19	185 23	185 23	0.00	0.00	0.00
	Prin Payment	10/15/19	251 72	251 72	0.00	0.00	0.00
	Prin Payment	11/15/19	250 34	250 34	0.00	0.00	0.00
	Prin Payment	12/16/19	198 07	198 07	0.00	0.00	0.00
	Prin Payment	01/15/20	218 65	218 65	0.00	0.00	0.00
			2,192.99	2,192.99	0.00	0.00	0.00
Security Subtotal							
FNMA PAD0440 06%2039	Prin Payment	02/25/19	46 30	46 30	0.00	0.00	0.00
	Prin Payment	03/25/19	33 36	33 36	0.00	0.00	0.00
	Prin Payment	04/25/19	39 46	39 46	0.00	0.00	0.00
	Prin Payment	05/28/19	42 90	42 90	0.00	0.00	0.00
	Prin Payment	06/25/19	40 05	40 05	0.00	0.00	0.00
	Prin Payment	07/25/19	30 45	30 45	0.00	0.00	0.00
	Prin Payment	08/26/19	78 92	78 92	0.00	0.00	0.00
	Prin Payment	09/25/19	15 31	15 31	0.00	0.00	0.00
	Prin Payment	10/25/19	45 10	45 10	0.00	0.00	0.00
	Prin Payment	11/25/19	23 19	23 19	0.00	0.00	0.00
	Prin Payment	12/26/19	19 60	19 60	0.00	0.00	0.00
	Prin Payment	01/27/20	24 27	24 27	0.00	0.00	0.00
			438.91	438.91	0.00	0.00	0.00
Security Subtotal							
FNMA PAA7937 04 50%2037	Prin Payment	02/25/19	3 09	3 09	0.00	0.00	0.00
	Prin Payment	03/25/19	1 84	1 84	0.00	0.00	0.00

2019 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

2019 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Form 1099-B

1a Description of Property	1b. Date Acquired	1c Date Sold or Disposed	1d. Proceeds	1e Cost Basis	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain or Loss
CUSIP Number 31416RZB2							
FNMA PAA7937 04 50%2037	Prin Payment	04/25/19	1 86	1 86	0.00	0.00	0.00
	Prin Payment	05/28/19	0 62	0 62	0.00	0.00	0.00
	Prin Payment	06/25/19	2 26	2 26	0.00	0.00	0.00
	Prin Payment	07/25/19	0 63	0 63	0.00	0.00	0.00
	Prin Payment	08/26/19	3 44	3 44	0.00	0.00	0.00
	Prin Payment	09/25/19	0 62	0 62	0.00	0.00	0.00
	Prin Payment	10/25/19	3 95	3 95	0.00	0.00	0.00
	Prin Payment	11/25/19	4 17	4 17	0.00	0.00	0.00
	Prin Payment	12/26/19	0 57	0 57	0.00	0.00	0.00
	Prin Payment	01/27/20	0 62	0 62	0.00	0.00	0.00
	Security Subtotal		23.67	23.67	0.00	0.00	0.00
CUSIP Number 3138EPAG9							
FNMA PAL6306 04 50%2045	Prin Payment	02/25/19	7 38	7 38	0.00	0.00	0.00
	Prin Payment	03/25/19	8 10	8 10	0.00	0.00	0.00
	Prin Payment	04/25/19	8 56	8 56	0.00	0.00	0.00
	Prin Payment	05/28/19	9 31	9 31	0.00	0.00	0.00
	Prin Payment	06/25/19	11 78	11 78	0.00	0.00	0.00
	Prin Payment	07/25/19	8 67	8 67	0.00	0.00	0.00
	Prin Payment	08/26/19	10 10	10 10	0.00	0.00	0.00
	Prin Payment	09/25/19	14 93	14 93	0.00	0.00	0.00
	Prin Payment	10/25/19	15 09	15 09	0.00	0.00	0.00
	Prin Payment	11/25/19	16 04	16 04	0.00	0.00	0.00
	Prin Payment	12/26/19	9 95	9 95	0.00	0.00	0.00
	Prin Payment	01/27/20	9 12	9 12	0.00	0.00	0.00
	Security Subtotal		129.03	129.03	0.00	0.00	0.00
CUSIP Number 3138EQM58							
FNMA PAL7579 05 50%2042	Prin Payment	02/25/19	10 88	10 88	0.00	0.00	0.00
	Prin Payment	03/25/19	8 28	8 28	0.00	0.00	0.00
	Prin Payment	04/25/19	11 56	11 56	0.00	0.00	0.00
	Prin Payment	05/28/19	12 34	12 34	0.00	0.00	0.00
	Prin Payment	06/25/19	11 36	11 36	0.00	0.00	0.00
	Prin Payment	07/25/19	11 37	11 37	0.00	0.00	0.00
	Prin Payment	08/26/19	13 65	13 65	0.00	0.00	0.00
	Prin Payment	09/25/19	10 52	10 52	0.00	0.00	0.00
	Prin Payment	10/25/19	12 13	12 13	0.00	0.00	0.00
	Prin Payment	11/25/19	11 88	11 88	0.00	0.00	0.00
	Prin Payment	12/26/19	9 46	9 46	0.00	0.00	0.00
	Prin Payment	01/27/20	13 60	13 60	0.00	0.00	0.00

2019 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

Form 1099-B

2019 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost Basis	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain or Loss
FNMA PAL7579 05 50%2042							
Security Subtotal			137.03	137.03	0.00	0.00	0.00
FHLMC GO 8681 03 50%2045							
Prin Payment	02/15/19	02/15/19	4 65	4 65	0 00	0 00	0 00
Prin Payment	03/15/19	03/15/19	5 39	5 39	0 00	0 00	0 00
Prin Payment	04/15/19	04/15/19	6 17	6 17	0 00	0 00	0 00
Prin Payment	05/15/19	05/15/19	7 27	7 27	0 00	0 00	0 00
Prin Payment	06/17/19	06/17/19	8 05	8 05	0 00	0 00	0 00
Prin Payment	07/15/19	07/15/19	8 49	8 49	0 00	0 00	0 00
Prin Payment	08/15/19	08/15/19	9 47	9 47	0 00	0 00	0 00
Prin Payment	09/16/19	09/16/19	10 66	10 66	0 00	0 00	0 00
Prin Payment	10/15/19	10/15/19	11 35	11 35	0 00	0 00	0 00
Prin Payment	11/15/19	11/15/19	12 76	12 76	0 00	0 00	0 00
Prin Payment	12/16/19	12/16/19	12 30	12 30	0 00	0 00	0 00
Prin Payment	01/15/20	01/15/20	11 20	11 20	0 00	0 00	0 00
Security Subtotal			107.76	107.76	0.00	0.00	0.00
FNMA PAL8001 03 50%2031							
Prin Payment	02/25/19	02/25/19	7 44	7 44	0 00	0 00	0 00
Prin Payment	03/25/19	03/25/19	28 68	28 68	0 00	0 00	0 00
Prin Payment	04/25/19	04/25/19	8 50	8 50	0 00	0 00	0 00
Prin Payment	05/28/19	05/28/19	17 21	17 21	0 00	0 00	0 00
Prin Payment	06/25/19	06/25/19	8 37	8 37	0 00	0 00	0 00
Prin Payment	07/25/19	07/25/19	9 30	9 30	0 00	0 00	0 00
Prin Payment	08/26/19	08/26/19	8 54	8 54	0 00	0 00	0 00
Prin Payment	09/25/19	09/25/19	25 51	25 51	0 00	0 00	0 00
Prin Payment	10/25/19	10/25/19	19 30	19 30	0 00	0 00	0 00
Prin Payment	11/25/19	11/25/19	8 09	8 09	0 00	0 00	0 00
Prin Payment	12/26/19	12/26/19	15 15	15 15	0 00	0 00	0 00
Prin Payment	01/27/20	01/27/20	19 86	19 86	0 00	0 00	0 00
Security Subtotal			175.95	175.95	0.00	0.00	0.00
FHLMC GO 8701 03%2046							
Prin Payment	02/15/19	02/15/19	273 59	273 59	0 00	0 00	0 00
Prin Payment	03/15/19	03/15/19	322 24	322 24	0 00	0 00	0 00
Prin Payment	04/15/19	04/15/19	401 51	401 51	0 00	0 00	0 00
Prin Payment	05/15/19	05/15/19	485 67	485 67	0 00	0 00	0 00
Prin Payment	06/17/19	06/17/19	567 63	567 63	0 00	0 00	0 00
Prin Payment	07/15/19	07/15/19	520 59	520 59	0 00	0 00	0 00
Prin Payment	08/15/19	08/15/19	590 83	590 83	0 00	0 00	0 00

2019 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

Form 1099-B

2019 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
FHLMC GO 8701 03%2046							
Prin Payment	09/16/19	09/16/19	585.91	585.91	0.00	0.00	0.00
Prin Payment	10/15/19	10/15/19	763.08	763.08	0.00	0.00	0.00
Prin Payment	11/15/19	11/15/19	791.17	791.17	0.00	0.00	0.00
Prin Payment	12/16/19	12/16/19	563.42	563.42	0.00	0.00	0.00
Prin Payment	01/15/20	01/15/20	534.09	534.09	0.00	0.00	0.00
Security Subtotal			6,399.73	6,399.73	0.00	0.00	0.00
FNMA PMA2670 03%2046							
Prin Payment	02/25/19	02/25/19	18.89	18.89	0.00	0.00	0.00
Prin Payment	03/25/19	03/25/19	19.23	19.23	0.00	0.00	0.00
Prin Payment	04/25/19	04/25/19	28.36	28.36	0.00	0.00	0.00
Prin Payment	05/28/19	05/28/19	31.79	31.79	0.00	0.00	0.00
Prin Payment	06/25/19	06/25/19	37.28	37.28	0.00	0.00	0.00
Prin Payment	07/25/19	07/25/19	34.76	34.76	0.00	0.00	0.00
Prin Payment	08/26/19	08/26/19	43.76	43.76	0.00	0.00	0.00
Prin Payment	09/25/19	09/25/19	43.51	43.51	0.00	0.00	0.00
Prin Payment	10/25/19	10/25/19	41.88	41.88	0.00	0.00	0.00
Prin Payment	11/25/19	11/25/19	46.77	46.77	0.00	0.00	0.00
Prin Payment	12/26/19	12/26/19	34.42	34.42	0.00	0.00	0.00
Prin Payment	01/27/20	01/27/20	36.81	36.81	0.00	0.00	0.00
Security Subtotal			417.46	417.46	0.00	0.00	0.00
FNMA PMA2705 03%2046							
Prin Payment	02/25/19	02/25/19	4.83	4.83	0.00	0.00	0.00
Prin Payment	03/25/19	03/25/19	5.73	5.73	0.00	0.00	0.00
Prin Payment	04/25/19	04/25/19	6.97	6.97	0.00	0.00	0.00
Prin Payment	05/28/19	05/28/19	8.57	8.57	0.00	0.00	0.00
Prin Payment	06/25/19	06/25/19	9.44	9.44	0.00	0.00	0.00
Prin Payment	07/25/19	07/25/19	9.31	9.31	0.00	0.00	0.00
Prin Payment	08/26/19	08/26/19	10.52	10.52	0.00	0.00	0.00
Prin Payment	09/25/19	09/25/19	10.59	10.59	0.00	0.00	0.00
Prin Payment	10/25/19	10/25/19	9.82	9.82	0.00	0.00	0.00
Prin Payment	11/25/19	11/25/19	11.98	11.98	0.00	0.00	0.00
Prin Payment	12/26/19	12/26/19	9.23	9.23	0.00	0.00	0.00
Prin Payment	01/27/20	01/27/20	9.81	9.81	0.00	0.00	0.00
Security Subtotal			106.80	106.80	0.00	0.00	0.00
FNMA PMA2806 03%2046							
Prin Payment	02/25/19	02/25/19	4.74	4.74	0.00	0.00	0.00
Prin Payment	03/25/19	03/25/19	5.21	5.21	0.00	0.00	0.00

2019 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

Form 1099-B

2019 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost Basis	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain or Loss
FNMA PMA2806 03%2046							
Prin Payment	04/25/19	04/25/19	663	663	0.00	0.00	0.00
Prin Payment	05/28/19	05/28/19	778	778	0.00	0.00	0.00
Prin Payment	06/25/19	06/25/19	932	932	0.00	0.00	0.00
Prin Payment	07/25/19	07/25/19	1029	1029	0.00	0.00	0.00
Prin Payment	08/26/19	08/26/19	990	990	0.00	0.00	0.00
Prin Payment	09/25/19	09/25/19	1051	1051	0.00	0.00	0.00
Prin Payment	10/25/19	10/25/19	1019	1019	0.00	0.00	0.00
Prin Payment	11/25/19	11/25/19	1147	1147	0.00	0.00	0.00
Prin Payment	12/26/19	12/26/19	992	992	0.00	0.00	0.00
Prin Payment	01/27/20	01/27/20	872	872	0.00	0.00	0.00
Security Subtotal			104.68	104.68	0.00	0.00	0.00
FNMA PMA3073 04 50%2047							
Prin Payment	02/25/19	02/25/19	1327	1327	0.00	0.00	0.00
Prin Payment	03/25/19	03/25/19	1288	1288	0.00	0.00	0.00
Prin Payment	04/25/19	04/25/19	2129	2129	0.00	0.00	0.00
Prin Payment	05/28/19	05/28/19	2727	2727	0.00	0.00	0.00
Prin Payment	06/25/19	06/25/19	3127	3127	0.00	0.00	0.00
Prin Payment	07/25/19	07/25/19	3559	3559	0.00	0.00	0.00
Prin Payment	08/26/19	08/26/19	4132	4132	0.00	0.00	0.00
Prin Payment	09/25/19	09/25/19	3914	3914	0.00	0.00	0.00
Prin Payment	10/25/19	10/25/19	3210	3210	0.00	0.00	0.00
Prin Payment	11/25/19	11/25/19	4189	4189	0.00	0.00	0.00
Prin Payment	12/26/19	12/26/19	4122	4122	0.00	0.00	0.00
Prin Payment	01/27/20	01/27/20	3954	3954	0.00	0.00	0.00
Security Subtotal			376.78	376.78	0.00	0.00	0.00
FNMA PMA3120 03 50%2047							
Prin Payment	02/25/19	02/25/19	529	529	0.00	0.00	0.00
Prin Payment	03/25/19	03/25/19	497	497	0.00	0.00	0.00
Prin Payment	04/25/19	04/25/19	668	668	0.00	0.00	0.00
Prin Payment	05/28/19	05/28/19	799	799	0.00	0.00	0.00
Prin Payment	06/25/19	06/25/19	862	862	0.00	0.00	0.00
Prin Payment	07/25/19	07/25/19	1016	1016	0.00	0.00	0.00
Prin Payment	08/26/19	08/26/19	1504	1504	0.00	0.00	0.00
Prin Payment	09/25/19	09/25/19	1483	1483	0.00	0.00	0.00
Prin Payment	10/25/19	10/25/19	1917	1917	0.00	0.00	0.00
Prin Payment	11/25/19	11/25/19	2214	2214	0.00	0.00	0.00
Prin Payment	12/26/19	12/26/19	1572	1572	0.00	0.00	0.00
Prin Payment	01/27/20	01/27/20	1408	1408	0.00	0.00	0.00

2019 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

Form 1099-B

2019 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date Acquired	1c Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
<i>FNMA PMA3120 03 50%2047</i>							
<i>Security Subtotal</i>			<i>144.69</i>	<i>144.69</i>	<i>0.00</i>	<i>0.00</i>	<i>0.00</i>
Noncovered Short Term Capital Gains and Losses Subtotal			55,955.01	55,955.01	0.00	0.00	0.00
NET SHORT TERM CAPITAL GAINS AND LOSSES			55,955.01	55,955.01	0.00	0.00	0.00
SALES PROCEEDS AND NET GAINS AND LOSSES			55,955.01	55,955.01	0.00	0.00	0.00
NONCOVERED SHORT TERM GAINS/LOSSES							0.00

2019 INTEREST INCOME

Security Description	Quantity	Date	Transaction Description	Amount	Remarks
----------------------	----------	------	-------------------------	--------	---------

INTEREST

NONCLASSIFIED SECURITIES

FHLMC G1 6308 03 50%2032	4000	02/15/19	Interest	10.75	
	4000	02/15/19	Investment Expenses	(1.54)	
	4000	03/15/19	Interest	10.61	
	4000	03/15/19	Investment Expenses	(1.52)	
	4000	04/15/19	Interest	10.47	
	4000	04/15/19	Investment Expenses	(1.50)	
	4000	05/15/19	Interest	10.32	
	4000	05/15/19	Investment Expenses	(1.48)	
	4000	06/17/19	Interest	10.16	
	4000	06/17/19	Investment Expenses	(1.46)	
	4000	07/15/19	Interest	9.90	
	4000	07/15/19	Investment Expenses	(1.42)	
	4000	08/15/19	Interest	9.77	
	4000	08/15/19	Investment Expenses	(1.41)	
	4000	09/16/19	Interest	9.58	
	4000	09/16/19	Investment Expenses	(1.38)	
	4000	10/15/19	Interest	9.38	
	4000	10/15/19	Investment Expenses	(1.35)	
	4000	11/15/19	Interest	9.19	