

Form **990-PF****Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0047

2019Form **990-PF**
Department of the Treasury
Internal Revenue Service

- Do not enter social security numbers on this form as it may be made public.
► Go to www.irs.gov/Form990PF for instructions and the latest information.

Open to Public Inspection

For calendar year 2019 or tax year beginning , 2019, and ending , 20

Name of foundation **BLEY STEIN FOUNDATION** A Employer identification number **95-6200100**

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite B Telephone number (see instructions)

1624 W OLIVE AVENUE SUITE A **(818) 843-1744**

City or town, state or province, country, and ZIP or foreign postal code

BURBANK, CA 91506

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation **04**
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ► \$ **2,547,146** J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____ (Part I, column (d) must be on cash basis)

C If exemption application is pending, check here ☐ **6**

D 1. Foreign organizations, check here . . . ☐
 2. Foreign organizations meeting the 85% test, check here and attach computation . . . ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here . . . ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . . . ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	90,111			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	26,442	26,442	26,442	
	5a Gross rents	17,291	17,291	17,291	
	b Net rental income or (loss) (85,089)				
	6a Net gain or (loss) from sale of assets not on line 10	248,319			
	b Gross sales price for all assets on line 6a 562,263				
	7 Capital gain net income (from Part IV, line 2)		248,319		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	382,163	292,052	43,733		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) STM108	800			
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STM110	(1,466)	35	35	
	19 Depreciation (attach schedule) and depletion STM126	4,262			
	20 Occupancy				
	21 Travel, conferences, and meetings	1,300	1,300	1,300	1,300
	22 Printing and publications				
	23 Other expenses (attach schedule) STM103	104,554	104,554	104,554	
	24 Total operating and administrative expenses. Add lines 13 through 23	109,450	105,889	105,889	1,300
	25 Contributions, gifts, grants paid	331,300			331,300
26 Total expenses and disbursements. Add lines 24 and 25	440,750	105,889	105,889	332,600	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	(58,587)				
b Net investment income (if negative, enter -0-)		186,163			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	90,168	24,524	24,524
	2 Savings and temporary cash investments			
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) STM137	1,016,092	1,043,126	1,972,622
	c Investments - corporate bonds (attach schedule)			
	11 Investments - land, buildings, and equipment basis			
Liabilities	Less accumulated depreciation (attach schedule)			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule)			
	14 Land, buildings, and equipment basis	216,230		
	Less accumulated depreciation (attach schedule) STM139	107,439		
	15 Other assets (describe)			
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	1,219,313	1,176,441	2,547,146
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe STM121)		9,997	
	23 Total liabilities (add lines 17 through 22)	0	9,997	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here and complete lines 24, 25, 29, and 30. <input checked="" type="checkbox"/>			
	24 Net assets without donor restrictions	1,219,313	1,166,444	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here and complete lines 26 through 30. <input type="checkbox"/>			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg, and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances (see instructions)	1,219,313	1,166,444	
	30 Total liabilities and net assets/fund balances (see instructions)	1,219,313	1,176,441	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	1,219,313
2	Enter amount from Part I, line 27a	2	(58,587)
3	Other increases not included in line 2 (itemize) STM115	3	5,718
4	Add lines 1, 2, and 3	4	1,166,444
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	1,166,444

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a CHARLES SCHWAB-SEE SCHEDULE 127L	P	VARIOUS	VARIOUS
b CHARLES SCHWAB-SEE STATEMENT 127S	P	VARIOUS	VARIOUS
c 450 SHARES OF APPLE STOCK	D	08-26-2015	11-19-2019
d 4640 BANK OF AMERICA STOCK	P	09-20-2012	11-19-2019
e 300 SHARES OF BECTON DICKINSON	P	07-29-2013	11-19-2019

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 190,393		165,945	24,448
b 24,188		30,717	(6,529)
c 120,579		42,641	77,938
d 153,719		43,740	109,979
e 73,384		30,901	42,483

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			24,448
b			(6,529)
c			77,938
d			109,979
e			42,483

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	248,319
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8	{ }	3	(6,529)

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	293,800	1,878,395	0.15641
2017		2,089,713	0.0
2016		1,740,518	0.0
2015	117,550	1,746,923	0.06729
2014	134,650	1,443,542	0.093278

2 Total of line 1, column (d)	2	0.316977
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.063395
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	2,574,514
5 Multiply line 4 by line 3	5	163,211
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,862
7 Add lines 5 and 6	7	165,073
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	332,600

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	1,862
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	0
3 Add lines 1 and 2		3	1,862
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,862
6 Credits/Payments			
a 2019 estimated tax payments and 2018 overpayment credited to 2019	6a	1,918	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,918	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	56	
11 Enter the amount of line 10 to be Credited to 2020 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	56	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions▶ CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See instructions for Part XIV? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X
Website address ▶ N/A		
14 The books are in care of ▶ RONALD B STEIN Telephone no ▶ 818-843-1744		
Located at ▶ 1624 W OLIVE AVENUE SUITE A, BURBANK, CA ZIP+4 ▶ 91506		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here ▶ <input type="checkbox"/>		
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	X
See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b	
Organizations relying on a current notice regarding disaster assistance, check here ▶ <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years ▶ _____, _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ _____, _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year, did the foundation pay or incur any amount to		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b	
	Organizations relying on a current notice regarding disaster assistance check here	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RONALD B STEIN 1624 W OLIVE AVENUE SUITE A, CA 91506	TRUSTEE 0.00	0	0	0
THOMAS D SICILIANO JR. 1624 W OLIVE AVENUE SUITE A, CA 91506	TRUSTEE 0.00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1	
2	
All other program-related investments. See instructions	
3	

Total Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,972,620
b	Average of monthly cash balances	1b	91,100
c	Fair market value of all other assets (see instructions)	1c	550,000
d	Total (add lines 1a, b, and c)	1d	2,613,720
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	2,613,720
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	39,206
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,574,514
6	Minimum investment return. Enter 5% of line 5	6	128,726

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	128,726
2a	Tax on investment income for 2019 from Part VI, line 5	2a	1,862
b	Income tax for 2019 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	1,862
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	126,864
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	126,864
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	126,864

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	332,600
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	332,600
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	1,862
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	330,738

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				126,864
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only				
b Total for prior years				
3 Excess distributions carryover, if any, to 2019				
a From 2014				
b From 2015				15,589
c From 2016				
d From 2017				
e From 2018				202,490
f Total of lines 3a through e	218,079			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 332,600				
a Applied to 2018, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2019 distributable amount				126,864
e Remaining amount distributed out of corpus	205,736			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	423,815			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	423,815			
10 Analysis of line 9				
a Excess from 2015				15,589
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				202,490
e Excess from 2019				205,736

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year				(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities . . .					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

RONALD B STEIN

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

- a** The name, address, and telephone number or email address of the person to whom applications should be addressed

- b** The form in which applications should be submitted and information and materials they should include

- c** Any submission deadlines

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
JEWISH NATIONAL FUND 42 EAST 60TH STREET NEW YORK, NY 10021	NONE		DONATION	75,000
JEWISH FEDERATION 6905 WILSHIRE BLVD LOS ANGELES, CA 90048	NONE		DONATION	80,000
AMERICAN JEWISH COMMITTEE 9911 W PICO BLVD SUITE 1602 LOS ANGELES, CA 90035	NONE		DONATION	35,000
SKIRBALL CULTURAL CENTER 2701 N SEPULVEDA BLVD LOS ANGELES, CA 90049	NONE		DONATION	28,000
UCSF FOUNDATION 500 PARNASSUS SAN FRANCISCO, CA 94122	NONE		DONATION	25,000
ANTI DEFAMATION LEAGUE 605 THIRD AVEUE NEW YORK, NY 10158	NONE		DONATION	30,000
MAZON JEWISH RESPONSE 10495 SANTA MONICA BLVD SUITE 100 LOS ANGELES, CA 90025	NONE		DONATION	5,000
PLANNED PARENTHOOD 434 WEST 33RD STREET NEW YORK, NY 10001	NONE		DONATION	8,000
Total			3a	
b Approved for future payment				
Total			3b	

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
PACIFIC LEGAL FOUNDATION 3900 LENANE DRIVE SUITE 300 SACRAMENTO, CA 95834	NONE		DONATION	1,500
JEWISH BIG BROTHERS 6505 WILSHIRE BLVD SUITE 600 LOS ANGELES, CA 90048	NONE		DONATION	500
SIGMA ALPHA MU 9245 N MERIDIAN SUITE 105 INDIANAPOLIS, IN 46260	NONE		DONATION	500
UC BERKELEY FOUNDATION BOX 774 BERKELEY, CA 94701	NONE		DONATION	5,300
AFRICAN WILDLIFE 1400 16TH STREET NW SUITE 120 WASHINGTON, DC 20036	NONE		DONATION	1,000
HEAL THE BAY 1444 9TH STREET SANTA MONICA, CA 90401	NONE		DONATION	300
LA PHILHARMONIC 151 SOUTH GRAND LOS ANGELES, CA 90012	NONE		DONATION	350
MIDNIGHT MISSION 601 SOUTH SAN PEDRO ST LOS ANGELES, CA 90014	NONE		DONATION	500
Total			3a	
b Approved for future payment				
Total			3b	.

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
MUSIC CENTER 135 N GRAND AVENUE SUITE 201 LOS ANGELES, CA 90012	NONE		DONATION	350
STAND WITH US BOX 341069 LOS ANGELES, CA 90034	NONE		DONATION	300
THE JEWISH FOUNDATION FOR RIGHTEOUS 305 7TH AVENUE NEW YORK, NY 10001	NONE		DONATION	350
PHYSICIAN AID ASSOC 1840 S GAFFEY STREET SUITE 467 SAN PEDRO, CA 90731	NONE		DONATION	350
US HOLOCAUST MUSEUM 9911 W PICO BLVD SUITE 1490 LOS ANGELES, CA 90035	NONE		DONATION	8,000
AMERICAN SOCIETY OF TECHNION 501 S BEVERLY DRIVE BEVERLY HILLS, CA 90212	NONE		DONATION	15,000
LOS ANGELES MISSION 303 E 5TH STREET LOS ANGELES, CA 90013	NONE		DONATION	500
LOS ANGELES COUNTY MUSEUM OF ART 5905 WILSHIRE BLVD LOS ANGELES, CA 90036	NONE		DONATION	400
Total			3a	
b Approved for future payment				
Total			3b	

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
BURBANK HIGH SCHOOL AQUATICS 902 N. THIRD ST. BURBANK, CA 91502	NONE		DONATION	100
PROVIDENCE ST. JOSEPH FOUNDATION 501 S. BUENA VISTA ST. BURBANK, CA 91505	NONE		DONATION	10,000
Total			3a	331,300
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies . . .					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments . .			14		
4	Dividends and interest from securities			14		
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property			16		
6	Net rental income or (loss) from personal property . .					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory .			18		
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e)					
13	Total. Add line 12, columns (b), (d), and (e)					

(See worksheet in line 13 instructions to verify calculations)

[illegible]

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2019

Name of the organization

BLEY STEIN FOUNDATION

Employer identification number

95-6200100

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

BLEY STEIN FOUNDATION

Employer identification number

95-6200100

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RONALD B. STEIN 1624 W. OLIVE AVENUE, SUITE A BURBANK, CA 91506	\$ 90,111	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Federal Supporting Statements

2019 PG01

Name(s) as shown on return

Tax ID Number

BLEY STEIN FOUNDATION

95-6200100

FORM 990PF-GENERAL EXPLANATION ATTACHMENT (1)

Statement #127

SEE ATTACHED SCHEDULES 127L & 127S FOR CAPITAL GAINS DETAILS

FORM 990PF - PART III - LINE 3 OTHER INCREASES SCHEDULE

PG01
STATEMENT #115

ADJ B OF A STK BOOK BASIS VS ETRADE	5,680
FOREIGN TAX CREDIT	35
ROUNDING	3
TOTAL	5,718

FORM 990PF - PART II - LINE 22 OTHER LIABILITIES SCHEDULE

PG01
STATEMENT #121

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
NEW WAVE TOYS SECURITY DEPOSIT		9,997
TOTAL		9,997

Federal Supporting Statements

2019 PG01

Name(s) as shown on return

Tax ID Number

BLEY STEIN FOUNDATION

95-6200100

FORM 990PF - PART II - LINE 10(B)
INVESTMENTS: CORPORATE STOCK SCHEDULE

STATEMENT #137

<u>CATEGORY</u>	<u>BOY</u>	<u>BOOK VALUE</u>	<u>EOY FMV</u>
BANK OF AMERICA	38,060		
APPLE INC.	68,552	86,789	176,190
CORNING, INC.	40,677	40,677	40,754
CHURCH & DWIGHT	25,670	25,670	56,272
MICROSOFT, INC.	12,405	12,405	78,850
ORACLE CORPORATION	20,783	20,783	90,066
CITIBANK	20,514	20,514	31,956
BECTON DIXON ET	61,860	30,959	81,591
MASTERCARD	35,948	35,948	179,154
EQUIFAX	3,264	3,264	23,820
WELLS FARGO	74,531	74,531	80,700
COSTCO		29,234	73,480
3 M CORPORATION	54,149	54,149	74,096
ADOBE	10,636	10,636	31,992
ALLERGAN, INC.	28,282		
APPLE, INC.	12,275	12,275	48,159
ARAMARK	23,495	23,495	26,387
BECTON DICKINSON	26,261	26,261	42,155
CHURCH & DWIGHT	21,247	14,997	37,210
ALPHABET	20,940	20,940	34,824
CONCHO RESOURCES	8,877		
AVERY DENNISON	23,542	20,232	32,705
COSTCO WHSL	13,732	13,732	27,922
BIOGEN	20,016		
BROADRIDGE	16,603	22,532	29,650
ECOLAB INC	13,941	10,546	30,492
THE CHARLES SCHWAB CO	7,742	14,363	31,865
STARBUCKS CORP	10,539	8,141	28,046
HEALTHCARE SVC GROUP	10,616		
SALESFORCE		31,218	33,504
MASTERCARD	8,093	6,258	38,220
PEPSICO INC.	22,742	22,742	34,851
DIAMONDBACK ENERGY	9,812	9,812	9,657
FEDEX	24,624		
LAB CO OF AMER HLDG	24,763	30,810	36,541
MICROSOFT	24,739	31,871	46,048
PAYPAL	11,234	8,422	30,612
SCHEIN HENRY	28,114	21,929	22,485
SS&C TECH	20,906		
TELEFLEX INC		19,790	19,951
MCCORMICK & CO	26,391	22,444	33,776
SYNPOSYS	8,774	6,023	17,678
ZOETIS INC.	7,816	7,816	34,014
3M COMPANY	8,053		
WASTE MGMT		28,377	31,909

Federal Supporting Statements

2019 PG02

Name(s) as shown on return

Tax ID Number

BLEY STEIN FOUNDATION

95-6200100

FORM 990PF - PART II - LINE 10(B)
INVESTMENTS: CORPORATE STOCK SCHEDULE

STATEMENT #137

<u>CATEGORY</u>	<u>BOY</u>	<u>BOOK VALUE</u>	<u>EOY FMV</u>
WALGREENS	23,334		
WEST PHARM	15,723	13,269	19,242
WALT DISNEY	25,817	18,811	26,178
BALL CORP		30,319	31,753
BRIGHT HORIZON FAM		18,644	18,486
CINTAS		17,924	21,257
CONSTELLATION BRANDS		23,520	24,857
KANSAS CITY SOUTHERN		17,820	22,208
LAMB WESTERN		22,234	31,059
TOTALS	<u>1,016,092</u>	<u>1,043,126</u>	<u>1,972,622</u>

Federal Supporting Statements

2019 PG01

Name(s) as shown on return

Tax ID Number

BLEY STEIN FOUNDATION

95-6200100

STATEMENT #103~

FORM 990PF - PART I - LINE 23 - OTHER EXPENSES SCHEDULE

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
AMI FEES	6,435	6,435	6,435	0
BANK FEES	0	0	0	0
PROPERTY INSURANCE	3,179	3,179	3,179	0
LICENSES & PERMITS	173	173	173	0
MANAGEMENT FEES	865	865	865	0
REAL ESTATE TAXES	6,493	6,493	6,493	0
REPAIRS	63,161	63,161	63,161	0
UTILITIES	847	847	847	0
RUBBISH	543	543	543	0
ALARM FEES	200	200	200	0
COMMISSIONS EXPENSE	22,658	22,658	22,658	0
TOTALS	104,554	104,554	104,554	0

PG01

STATEMENT #108~

FORM 990PF - PART I - LINE 16(B) - ACCOUNTING FEES SCHEDULE

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
ACCOUNTING FEES	800	0	0	0
TOTALS	800	0	0	0

Federal Supporting Statements

2019 PG01

Name(s) as shown on return

Tax ID Number

BLEY STEIN FOUNDATION

95-6200100

STATEMENT #110~

FORM 990PF - PART I - LINE 18 - TAXES SCHEDULE

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
STATE TAXES	70	0	0	0
FEDERAL TAXES	(1,571)	0	0	0
FOREIGN	35	35	35	0
TOTALS	(1,466)	35	35	0

PG01

STATEMENT #119~

FORM 990PF - PART II - LINE 14 - LAND ETC SCHEDULE

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	END OF YEAR BOOK VALUE	FMV
LAND	50,000		50,000	100,000
BUILDING	166,230	107,439	58,791	450,000
TOTAL	216,230	107,439	108,791	550,000

Federal Supporting Statements

2019 PG01

Name(s) as shown on return

BLEY STEIN FOUNDATION

Tax ID Number

95-6200100

FORM 990PF - PART I - LINE 19 - DEPRECIATION SCHEDULE

STATEMENT #126

DESCRIPTION	DATE ACQUIRED	COST OR OTHER BASIS	PRIOR YEAR DEPRECIATION	COMPUTATION METHOD	RATE	LIFE	CURRENT YEAR DEPRECIATION	NET INVESTMENT INCOME	ADJUSTED NET INCOME
BUILDING	10-14-1994	166,230	103,177	SL	2 564	39	4,262	0	0
TOTALS		166,230	103,177				4,262		

SCHEDULE 127 L, Long Term Gains Part IV, Line 1A

Stock Name	Sale Date	Purchase Date	Quantity	Gross Proceeds	Cost Basis	Gain (Loss)
AVERY DENNISON CORP	11/19/2019	9/25/2018	30	\$3,941	\$3,310	\$631
WEST PHARM SRVC INC	9/24/2019	7/27/2017	33	\$4,760	\$3,019	\$1,741
WEST PHARM SRVC INC	9/24/2019	4/28/2017	30	\$4,327	\$2,766	\$1,561
WEST PHARM SRVC INC	9/24/2019	5/16/2017	16	\$2,308	\$1,540	\$768
SS&C TECHNOLOGS HLDG	9/18/2019	3/5/2018	5	\$251	\$258	(\$7)
SS&C TECHNOLOGS HLDG	9/18/2019	4/3/2018	112	\$5,618	\$5,554	\$65
SS&C TECHNOLOGS HLDG	9/18/2019	3/2/2018	91	\$4,565	\$4,543	\$22
SS&C TECHNOLOGS HLDG	9/17/2019	3/5/2018	113	\$5,859	\$5,830	\$29
HEALTHCARE SVC GROUP	7/29/2019	3/19/2013	11	\$268	\$271	(\$3)
HEALTHCARE SVC GROUP	7/29/2019	10/10/2012	85	\$2,074	\$1,954	\$120
HEALTHCARE SVC GROUP	7/26/2019	3/19/2013	92	\$2,290	\$2,269	\$21
HEALTHCARE SVC GROUP	7/25/2019	3/19/2013	115	\$2,792	\$2,836	(\$45)
HEALTHCARE SVC GROUP	7/24/2019	11/21/2016	49	\$1,150	\$1,874	(\$724)
HEALTHCARE SVC GROUP	7/24/2019	3/19/2013	38	\$892	\$937	(\$45)
CONCHO RESOURCES INC	7/23/2019	9/26/2013	50	\$5,081	\$5,454	(\$373)
CONCHO RESOURCES INC	7/23/2019	11/21/2016	8	\$813	\$1,098	(\$285)
STARBUCKS CORP	7/9/2019	3/19/2013	18	\$1,568	\$508	\$1,060
STARBUCKS CORP	7/9/2019	3/19/2013	65	\$5,662	\$1,835	\$3,827
STARBUCKS CORP	7/9/2019	11/7/2016	1	\$87	\$55	\$32
WALT DISNEY CO	7/1/2019	1/29/2018	31	\$4,377	\$3,472	\$905
WALT DISNEY CO	7/1/2019	7/7/2016	15	\$2,118	\$1,472	\$646
WALT DISNEY CO	7/1/2019	11/21/2016	21	\$2,965	\$2,063	\$902
MC CORMICK & CO INC	6/4/2019	1/3/2018	36	\$5,519	\$3,652	\$1,867
CHURCH & DWIGHT CO	6/4/2019	3/19/2013	76	\$5,688	\$2,340	\$3,347
MC CORMICK & CO INC	6/4/2019	9/12/2017	3	\$460	\$295	\$165
BROADRIDGE FINL SOLU	5/29/2019	9/26/2016	68	\$8,386	\$4,738	\$3,648
FEDEX CORP	5/21/2019	11/21/2016	8	\$1,346	\$1,505	(\$159)
3M CO	5/21/2019	11/21/2016	6	\$1,001	\$1,035	(\$34)
3M CO	5/21/2019	3/19/2013	49	\$8,175	\$5,149	\$3,026
3M CO	5/21/2019	10/10/2012	20	\$3,337	\$1,868	\$1,469
FEDEX CORP	5/21/2019	6/15/2017	14	\$2,356	\$2,941	(\$585)
FEDEX CORP	5/21/2019	9/26/2016	49	\$8,245	\$8,613	(\$367)
FEDEX CORP	5/21/2019	2/24/2017	25	\$4,207	\$4,817	(\$610)
ALLERGAN PLC F	5/16/2019	9/22/2015	1	\$141	\$297	(\$156)
ALLERGAN PLC F	5/16/2019	3/17/2015	27	\$3,798	\$8,235	(\$4,437)
ALLERGAN PLC F	5/16/2019	4/27/2017	17	\$2,391	\$4,121	(\$1,730)
ALLERGAN PLC F	5/16/2019	2/8/2016	1	\$141	\$272	(\$131)
ALLERGAN PLC F	5/16/2019	3/19/2015	15	\$2,110	\$4,730	(\$2,620)
ALLERGAN PLC F	5/16/2019	4/14/2016	19	\$2,673	\$4,121	(\$1,448)
ALLERGAN PLC F	5/16/2019	11/21/2016	10	\$1,407	\$1,918	(\$511)
SYNOPSIS INC	5/16/2019	9/22/2015	22	\$2,713	\$1,044	\$1,669
SYNOPSIS INC	5/16/2019	9/22/2015	36	\$4,439	\$1,707	\$2,732
ECOLAB INC	5/6/2019	3/19/2013	43	\$7,896	\$3,395	\$4,500
MASTERCARD INC CLASS A	4/29/2019	3/19/2013	36	\$8,913	\$1,834	\$7,078
WALGREENS BOOTS ALLI	4/11/2019	11/21/2016	20	\$1,071	\$1,696	(\$625)
WALGREENS BOOTS ALLI	4/11/2019	11/30/2016	24	\$1,285	\$2,044	(\$759)
WALGREENS BOOTS ALLI	4/11/2019	9/8/2016	128	\$6,855	\$10,850	(\$3,996)
WALGREENS BOOTS ALLI	4/11/2019	2/24/2017	37	\$1,981	\$3,240	(\$1,258)
BIOGEN INC	3/21/2019	11/21/2016	7	\$1,589	\$2,063	(\$475)
BIOGEN INC	3/21/2019	1/5/2015	42	\$9,531	\$13,105	(\$3,574)
COVETRUS INC	2/20/2019	1/3/2018	19 6291	\$708	\$777	(\$69)
COVETRUS INC	2/20/2019	9/29/2017	20 0297	\$723	\$899	(\$177)
COVETRUS INC	2/20/2019	4/26/2017	63 2938	\$2,283	\$3,001	(\$718)
CHURCH & DWIGHT CO	1/25/2019	3/19/2013	127	\$8,083	\$3,911	\$4,172
PAYPAL HOLDINGS INCORPOR	1/24/2019	11/18/2015	78	\$7,179	\$2,812	\$4,366
Totals				\$190,393	\$165,945	\$24,448

SCHEDULE 127 S, Short Term Gains Part IV, Line 1B

Stock Name	Sale Date	Purchase Date	Quantity	Gross Proceeds	Cost Basis	Gain (Loss)
SS&C TECHNOLOGS HLDG	9/18/2019	11/13/2018	99	\$4,966	\$4,722	\$245
HEALTHCARE SVC GROUP	7/24/2019	11/13/2018	11	\$258	\$474	(\$216)
CONCHO RESOURCES INC	7/23/2019	11/13/2018	18	\$1,829	\$2,324	(\$495)
FEDEX CORP	5/21/2019	11/13/2018	30	\$5,048	\$6,749	(\$1,701)
ALLERGAN PLC F	5/16/2019	11/13/2018	28	\$3,939	\$4,588	(\$650)
WALGREENS BOOTS ALLI	4/11/2019	11/13/2018	67	\$3,588	\$5,504	(\$1,916)
BIOGEN INC	3/21/2019	11/13/2018	15	\$3,404	\$4,847	(\$1,443)
COVETRUS INC	2/20/2019	11/13/2018	32 0475	\$1,156	\$1,507	(\$351)
Totals				\$24,188	\$30,717	(\$6,528)