

For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

Name of foundation EPSTEINROTH FOUNDATION		A Employer identification number 94-3357645	
Number and street (or P O box number if mail is not delivered to street address) 618 SANTA BARBARA ROAD		Room/suite	B Telephone number (see instructions) (510) 205-5945
City or town, state or province, country, and ZIP or foreign postal code BERKELEY, CA 947071718		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return</div> <div><input type="checkbox"/> Initial return of a former public charity</div> <div><input type="checkbox"/> Final return</div> <div><input type="checkbox"/> Amended return</div> <div><input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 4,035,319		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis )			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B . . . . .				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . . .	106,093	106,093		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	287,028			
	b Gross sales price for all assets on line 6a _____ 1,173,293				
	7 Capital gain net income (from Part IV, line 2) . . . .		287,028		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 Total. Add lines 1 through 11 . . . . .	393,121	393,121		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .				
	b Accounting fees (attach schedule) . . . . .	6,075	608		5,467
	c Other professional fees (attach schedule) . . . . .				
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions) . . . .	2,811	2,811		0
	19 Depreciation (attach schedule) and depletion . . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	20,484	20,424		0
	24 Total operating and administrative expenses. Add lines 13 through 23 . . . . .	29,370	23,843		5,467
	25 Contributions, gifts, grants paid . . . . .	240,000			240,000
	26 Total expenses and disbursements. Add lines 24 and 25	269,370	23,843		245,467
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	123,751			
	b Net investment income (if negative, enter -0-)		369,278		
c Adjusted net income (if negative, enter -0-) . . . .					

<b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .			
	<b>2</b> Savings and temporary cash investments . . . . .	37,465	40,300	40,300
	<b>3</b> Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>4</b> Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U S and state government obligations (attach schedule)	794,011	853,475	865,438
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	2,012,225	2,002,404	2,550,527
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .	477,412	564,179	579,054
	<b>11</b> Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .			
	<b>14</b> Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
<b>15</b> Other assets (describe ▶ _____)				
<b>16 Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	3,321,113	3,460,358	4,035,319	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .	0	0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow FASB ASC 958, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24, 25, 29 and 30.</b>			
	<b>24</b> Net assets without donor restrictions . . . . .			
	<b>25</b> Net assets with donor restrictions . . . . .			
	<b>Foundations that do not follow FASB ASC 958, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 26 through 30.</b>			
	<b>26</b> Capital stock, trust principal, or current funds . . . . .	3,321,113	3,460,358	
	<b>27</b> Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	<b>28</b> Retained earnings, accumulated income, endowment, or other funds	0	0	
	<b>29 Total net assets or fund balances</b> (see instructions) . . . . .	3,321,113	3,460,358	
<b>30 Total liabilities and net assets/fund balances</b> (see instructions) .	3,321,113	3,460,358		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	3,321,113
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	123,751
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	16,508
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	3,461,372
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	1,014
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	<b>6</b>	3,460,358

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
<b>1a</b> See Additional Data Table				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	<b>2</b>	287,028
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	227,254	3,203,148	0 070947
2017	225,130	3,462,346	0 065022
2016	201,344	3,368,532	0 059772
2015	208,919	3,616,477	0 057769
2014	231,726	3,820,252	0 060657

<b>2</b> Total of line 1, column (d)	<b>2</b>	0 314167
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0 062833
<b>4</b> Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	<b>4</b>	3,732,770
<b>5</b> Multiply line 4 by line 3	<b>5</b>	234,541
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	3,693
<b>7</b> Add lines 5 and 6	<b>7</b>	238,234
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	245,467

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	3,693
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	3,693
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	0
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	3,693
<b>6</b>	Credits/Payments		
<b>a</b>	2019 estimated tax payments and 2018 overpayment credited to 2019	<b>6a</b>	1,222
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	0
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	0
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	1,222
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	<b>8</b>	0
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	<b>9</b>	2,471
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	<b>10</b>	
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2020 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	<b>1b</b>	No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<b>1c</b>	No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year <b>(1)</b> On the foundation <input type="checkbox"/> \$ 0 <b>(2)</b> On foundation managers <input type="checkbox"/> \$ 0		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities</i>	<b>2</b>	No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<b>3</b>	No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>	No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<b>4b</b>	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T</i>	<b>5</b>	No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	Yes
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> . . . . .	<b>7</b>	Yes
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CA		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	<b>8b</b>	Yes
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>	No
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> . . . . .	<b>10</b>	No

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions. . . . .	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>►</b> N/A	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of <b>►</b> ROBERT EPSTEIN TREASURER Telephone no <b>►</b> (510) 207-5945			

Located at **►** 618 SANTA BARBARA ROAD BERKELEY CA ZIP+4 **►** 947071718

<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . . <b>►</b> <input type="checkbox"/>	<b>15</b>		
<b>16</b>	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country <b>►</b>	<b>16</b>	<b>Yes</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

<b>1a</b>	During the year did the foundation (either directly or indirectly)		<b>Yes</b>	<b>No</b>
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. . . . . Organizations relying on a current notice regarding disaster assistance check here. <b>►</b> <input type="checkbox"/>	<b>1b</b>		
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? . . . . .	<b>1c</b>		<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
<b>a</b>	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? . . . . . If "Yes," list the years <b>►</b> 20____, 20____, 20____, 20____ <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions). . . . .	<b>2b</b>		
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here <b>►</b> 20____, 20____, 20____, 20____			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019). . . . .	<b>3b</b>		
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>		<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	<b>4b</b>		<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

		Yes	No
<b>5a</b>	During the year did the foundation pay or incur any amount to		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.	<b>5b</b>	
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>	
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870	<b>6b</b>	No
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b>	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	<b>7b</b>	
<b>8</b>	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

<b>1 List all officers, directors, trustees, foundation managers and their compensation. See instructions</b>				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
<b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b>				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
<b>Total number of other employees paid over \$50,000.</b>				0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . . ►		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 . . . . . ►	0

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	3,747,674
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	41,940
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	3,789,614
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	3,789,614
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	56,844
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	3,732,770
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	186,639

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	186,639
<b>2a</b>	Tax on investment income for 2019 from Part VI, line 5.	<b>2a</b>	3,693
<b>b</b>	Income tax for 2019 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	3,693
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	182,946
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	0
<b>5</b>	Add lines 3 and 4.	<b>5</b>	182,946
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	182,946

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	245,467
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	245,467
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	<b>5</b>	3,693
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	241,774

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
<b>1</b> Distributable amount for 2019 from Part XI, line 7				182,946
<b>2</b> Undistributed income, if any, as of the end of 2019				
<b>a</b> Enter amount for 2018 only. . . . .			0	
<b>b</b> Total for prior years 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2019				
<b>a</b> From 2014. . . . .	44,061			
<b>b</b> From 2015. . . . .	31,457			
<b>c</b> From 2016. . . . .	35,329			
<b>d</b> From 2017. . . . .	54,053			
<b>e</b> From 2018. . . . .	68,689			
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	233,589			
<b>4</b> Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 245,467				
<b>a</b> Applied to 2018, but not more than line 2a			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2019 distributable amount. . . . .				182,946
<b>e</b> Remaining amount distributed out of corpus	62,521			
<b>5</b> Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a) )	0			0
<b>6 Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	296,110			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions). . . . .	44,061			
<b>9 Excess distributions carryover to 2020.</b> Subtract lines 7 and 8 from line 6a . . . . .	252,049			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2015. . . . .	31,457			
<b>b</b> Excess from 2016. . . . .	35,329			
<b>c</b> Excess from 2017. . . . .	54,053			
<b>d</b> Excess from 2018. . . . .	68,689			
<b>e</b> Excess from 2019. . . . .	62,521			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

<b>1a</b> If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . ▶					
<b>b</b> Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

<b>1 Information Regarding Foundation Managers:</b>	
<b>a</b> List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) ) See Additional Data Table	
<b>b</b> List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest	
<b>2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:</b>	
Check here <input checked="" type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.	
<b>a</b> The name, address, and telephone number or email address of the person to whom applications should be addressed	
<b>b</b> The form in which applications should be submitted and information and materials they should include	
<b>c</b> Any submission deadlines	
<b>d</b> Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors	

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total</b> . . . . .			<b>3a</b>	240,000
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b> . . . . .			<b>3b</b>	0

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .					
<b>4</b> Dividends and interest from securities. . . .			14	106,093	
<b>5</b> Net rental income or (loss) from real estate					
<b>a</b> Debt-financed property. . . . .					
<b>b</b> Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income. . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .			18	287,028	
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue <b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal Add columns (b), (d), and (e). .		0		393,121	0
<b>13 Total.</b> Add line 12, columns (b), (d), and (e). . . . . (See worksheet in line 13 instructions to verify calculations )			<b>13</b>		<b>393,121</b>

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

### Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN  P01277711
	PATRICK BURKE				
	Firm's name ▶ BAKER STREET ADVISORS LLC				Firm's EIN ▶ 76-0747069
	Firm's address ▶ 575 MARKET STREET SUITE 600 SAN FRANCISCO, CA 94105				Phone no (415) 344-6180

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
12,000 PV MEDTRONIC PLC	P	2019-05-13	2019-07-12
25,000 PV US TREASURY NT	P	2018-11-26	2019-03-29
15,000 PV ANHEUSER-BUSCH	P	2019-04-29	2019-02-11
20,000 PV CISCO SYSTEMS	P	2016-09-13	2019-02-25
15,000 PV CITIGROUP INC	P	2016-09-30	2019-05-17
25,000 PV MEDTRONIC PLC	P	2017-04-05	2019-03-11
25,000 PV MICROSOFT CORP	P	2017-01-30	2019-03-20
15,000 PV MORGAN STANLEY	P	2016-10-03	2019-05-17
30,000 PV PEPSICO INC	P	2016-01-19	2019-12-11
20,000 PV THE GOLDMAN SACHS	P	2016-01-22	2019-03-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12,335		12,181	154
24,370		23,273	1,097
14,539		15,634	-1,095
19,823		20,001	-178
14,965		15,024	-59
24,990		25,149	-159
24,822		25,002	-180
14,959		15,018	-59
30,050		30,032	18
19,942		19,959	-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			154
			1,097
			-1,095
			-178
			-59
			-159
			-180
			-59
			18
			-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
30,000 PV UNITEDHEALTH GROUP	P	2016-01-22	2019-10-01
20,000 US TREASURY NT	P	2017-08-17	2019-10-04
20,000 US TREASURY NT	P	2017-08-17	2019-12-19
15,000 US TREASURY NT	P	2017-08-15	2019-03-29
988 SHS AMALGAMATED BANK	P	2018-12-21	2019-05-06
52 SHS AMALGAMATED BANK	P	2018-12-21	2019-05-06
2,430 SHS AMALGAMATED BANK	P	2006-11-06	2019-05-02
2,870 68 SHS AMALGAMATED BANK	P	2006-11-06	2019-05-03
4,043 38 SHS AMALGAMATED BANK	P	2006-11-06	2019-05-03
5,427 94 SHS AMALGAMATED BANK	P	2006-11-06	2019-05-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
30,147		30,109	38
19,933		19,992	-59
19,955		19,992	-37
14,990		15,126	-136
17,478		15,683	1,795
920		825	95
42,574		38,572	4,002
50,388		45,568	4,820
70,972		64,182	6,790
95,274		86,160	9,114

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			38
			-59
			-37
			-136
			1,795
			95
			4,002
			4,820
			6,790
			9,114

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1,384 56 SHS AMALGAMATED BANK	P	2006-11-06	2019-05-06
2,803 44 SHS AMALGAMATED BANK	P	2006-11-06	2019-05-06
100 SHS ANAPLAN INC	P	2011-12-19	2019-07-05
400 SHS ANAPLAN INC	P	2011-12-19	2019-07-05
4,179 SHS ANAPLAN INC	P	2011-12-19	2019-07-05
3,636 364 DFA COMMODITY STRAT	P	2013-05-09	2019-12-13
103 SHS AGNC INV CORP REIT	P	2018-12-11	2019-10-31
103 SHS AGNC INV CORP REIT	P	2019-07-11	2019-10-31
17 SHS ADOBE	P	2019-05-07	2019-07-11
1 SHS AKBANK TURK	P	2019-01-30	2019-01-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
24,493		21,978	2,515
49,594		44,500	5,094
5,312		56	5,256
21,249		225	21,024
221,898		2,354	219,544
19,985		32,159	-12,174
1,750		1,851	-101
1,954		1,968	-14
5,240		4,686	554
134			134

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,515
			5,094
			5,256
			21,024
			219,544
			-12,174
			-101
			-14
			554
			134



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
8 SHS ALCON INC	P	2018-12-11	2019-04-09
208 SHS ANNALY CAP MGMT	P	2018-12-11	2019-10-31
208 SHS ANNALY CAP MGMT	P	2019-07-11	2019-10-31
624 SHS AVIVA PLC	P	2019-05-07	2019-07-11
55 SHS BANCO DE CHILE	P	2019-07-11	2019-10-31
47 SHS BANK OF MONTREAL	P	2019-07-11	2019-10-31
114 SHS BAYERISCHE MOTR	P	2019-05-07	2019-10-31
5 SHS BECTON DICKINSON	P	2019-05-07	2019-07-11
34 SHS BNP PARIBAS	P	2019-07-05	2019-07-11
17 SHS BRISTOL-MYERS SQUIBB	P	2019-11-21	2019-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
45		43	2
1,864		2,111	-247
681		700	-19
6,739		6,792	-53
1,403		1,614	-211
3,475		3,604	-129
2,902		3,038	-136
1,272		1,163	109
813		827	-14
50		39	11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			2
			-247
			-19
			-53
			-211
			-129
			-136
			109
			-14
			11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
58 SHS BURBERRY GROUP	P	2019-07-05	2019-10-31
15 SHS BURBERRY GROUP	P	2019-07-11	2019-10-31
30 SHS CDN IMPERIAL BK	P	2019-07-11	2019-10-31
28 SHS CHURCH & DWIGHT	P	2018-12-11	2019-07-11
8 SHS CLOROX	P	2019-07-05	2019-07-11
20 SHS CORE LABS	P	2019-07-05	2019-07-11
15 SHS CROWN CASTLE	P	2019-07-11	2019-10-31
32 SHS DR HORTON CO	P	2019-07-05	2019-07-11
44 SHS DAIMLER AG	P	2018-08-28	2019-07-05
28 SHS DAIMLER AG	P	2018-12-11	2019-07-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,558		1,430	128
403		369	34
2,552		2,377	175
2,098		1,916	182
1,237		1,236	1
1,084		1,066	18
2,080		2,018	62
1,414		1,389	25
2,420		2,906	-486
1,540		1,490	50

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			128
			34
			175
			182
			1
			18
			62
			25
			-486
			50

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
19 SHS DOMINION ENERGY	P	2019-07-11	2019-10-31
15 SHS ECOLAB INC	P	2019-07-11	2019-10-31
98 SHS ERSTE GROUP BANK	P	2019-07-05	2019-07-11
17 SHS EXTRA SPACE STORAGE	P	2019-07-05	2019-07-11
1594 SHS FERROVIAL SA	P	2018-08-28	2019-06-21
81 SHS FERROVIAL SA	P	2018-08-28	2019-07-11
43 SHS FIDELITY NATL	P	2019-07-11	2019-10-31
6666 SHS FOX CORP	P	2019-03-19	2019-03-20
60 SHS GAMING & LEISURE	P	2019-07-11	2019-10-31
24 SHS GEA GROUP	P	2019-07-05	2019-07-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,559		1,472	87
2,875		2,965	-90
1,861		1,878	-17
1,872		1,843	29
4		3	1
2,094		1,687	407
1,965		1,752	213
26		27	-1
2,417		2,372	45
599		638	-39

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			87
			-90
			-17
			29
			1
			407
			213
			-1
			45
			-39

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
33 SHS GENERAL MILLS	P	2019-07-11	2019-10-31
14 SHS HOWARD HUGHES	P	2019-07-11	2019-10-31
6 SHS IBM CORP	P	2019-07-05	2019-10-31
11 SHS IBM	P	2019-07-11	2019-10-31
85 SHS INDUSTRIAL & COMM	P	2019-05-07	2019-07-11
13 SHS KLX ENERGY	P	2018-09-17	2019-07-11
74 SHS KIMCO REALTY	P	2018-08-28	2019-07-11
505 SHS LLOYDS BANKING GROUP	P	2019-03-19	2019-07-11
10 SH MARKS & SPENCER GROUP	P	2019-06-21	2019-06-21
21 SHS MULTICHOICE GROUP	P	2019-03-04	2019-07-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,672		1,773	-101
1,559		1,816	-257
801		847	-46
1,469		1,550	-81
1,190		1,219	-29
240		362	-122
1,358		1,277	81
1,449		1,727	-278
40			40
202		139	63

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-101
			-257
			-46
			-81
			-29
			-122
			81
			-278
			40
			63

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
68 SHS PATTERSON UTI ENERGY	P	2018-08-28	2019-07-05
27 SHS PROLOGIS INC	P	2019-07-11	2019-10-31
9 SHS RED HAT INC	P	2019-03-19	2019-07-10
9 SHS RED HAT INC	P	2019-05-07	2019-07-10
76 SHS RENTOKIL	P	2019-07-11	2019-10-31
2 SHS ROYAL GOLD INC	P	2019-07-05	2019-10-31
13 SHS ROYAL GOLD INC	P	2019-07-11	2019-10-31
10 SH SAMPO OYJ	P	2019-09-06	2019-09-06
56 SHS SCHLUMBERGER LTD	P	2018-12-11	2019-07-05
68 SEKISUI HOUSE LTD	P	2018-08-28	2019-06-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
776		1,184	-408
2,367		2,186	181
1,710		1,639	71
1,710		1,658	52
2,263		1,993	270
228		207	21
1,482		1,407	75
67			67
2,202		2,313	-111
1,160		1,136	24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-408
			181
			71
			52
			270
			21
			75
			67
			-111
			24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
15 SHS SHERWIN WILLIAMS	P	2019-05-07	2019-07-11
185 SHS SOCIETE GENERALE	P	2019-07-05	2019-07-11
13 SHS STRYKER CORP	P	2019-05-07	2019-10-31
120 SHS SWISS RE LTD	P	2019-07-11	2019-10-31
401 SH TAKEDA PHARMA	P	2019-01-10	2019-01-11
16 SHS TE CONNECTIVITY	P	2019-05-07	2019-07-11
27 SHS TE CONNECTIVITY	P	2019-05-07	2019-10-31
206 SHS TELEFONICA SA	P	2019-07-05	2019-07-11
159 SHS ELIA CO	P	2018-08-28	2019-07-11
23 SHS TRIBUNE MEDIA	P	2019-07-05	2019-09-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
6,895		6,687	208
932		944	-12
2,809		2,433	376
3,140		2,947	193
8		8	0
1,490		1,471	19
2,404		2,482	-78
1,727		1,725	2
1,466		1,431	35
1,074		1,066	8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			208
			-12
			376
			193
			0
			19
			-78
			2
			35
			8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
123 SHS TWO HARBORS INV	P	2018-12-11	2019-07-11
35 SHS UPM-KYMMENE CORP	P	2018-08-28	2019-07-11
60 SHS UPM-KYMMENE CORP	P	2019-07-05	2019-07-11
65 SHS WABTEC WAB	P	2019-02-28	2019-02-26
11 SHS WASTE CONNECTIONS	P	2019-05-07	2019-07-05
45 SHS WASTE CONNECTIONS	P	2019-05-07	2019-07-11
16 SHS WELLTOWER INC	P	2018-12-11	2019-07-11
73 SHS WEYERHAEUSER	P	2019-03-19	2019-07-05
38 SHS WOLTER KLUWER N V	P	2019-07-05	2019-07-11
12 SHS XILINX	P	2019-03-19	2019-07-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,596		1,755	-159
879		1,328	-449
1,507		1,582	-75
48		51	-3
1,063		1,004	59
4,345		4,105	240
1,362		1,178	184
1,936		1,868	68
2,731		2,716	15
1,414		1,524	-110

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-159
			-449
			-75
			-3
			59
			240
			184
			68
			15
			-110

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1 SH ABB LTD	P	2016-01-15	2019-03-19
2 SHS ACADIA HEALTHCARE CO	P	2017-02-23	2019-07-11
1184 SHS ACS ACTIV DE CONSTRUC	P	2017-09-13	2019-02-27
76 SHS ACS ACTIV DE CONSTRUC	P	2017-09-13	2019-07-26
6 SHS ADIENT PL	P	2016-10-31	2019-07-11
2 SHS AFFILIATED MANAGERS AMG	P	2016-01-15	2019-07-05
50 SHS AIA GROUP	P	2016-01-15	2019-03-19
367 SHS AKBANK TURK	P	2017-12-06	2019-07-05
100 SHS ALLIANZ SOCIETAS	P	2016-01-15	2019-03-19
21 SHS AMERIPRISE FINL	P	2016-01-15	2019-07-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,409		1,157	252
67		85	-18
1		1	0
6		5	1
132		280	-148
182		256	-74
2,011		1,079	932
967		1,686	-719
2,264		1,624	640
3,135		1,946	1,189

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			252
			-18
			0
			1
			-148
			-74
			932
			-719
			640
			1,189



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
10 SHS ASML HLDGS NV	P	2016-01-15	2019-07-11
324 SHS BANCO BILBAO	P	2016-01-15	2019-07-11
676 SHS BANCO SANTANDER	P	2016-01-15	2019-07-11
10 SHS BANCO SANTANDER	P	2016-11-14	2019-07-11
32 SHS BANK OF NY MELLON	P	2016-12-06	2019-07-11
46 SHS BANKUNITED INC	P	2017-06-01	2019-07-05
536 SH BARRICK GOLD CORP	P	2016-12-06	2019-01-04
54 SHS BARRICK GOLD	P	2016-06-13	2019-07-05
56 SHS BNP PARIBAS	P	2016-01-15	2019-07-11
7 SHS BRIGHTHOUSE FINL	P	2016-01-15	2019-07-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
2,084		778	1,306
1,793		2,095	-302
3,172		2,903	269
47		43	4
1,394		1,537	-143
1,544		1,536	8
7		6	1
850		1,083	-233
1,339		1,382	-43
264		344	-80

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1,306
			-302
			269
			4
			-143
			8
			1
			-233
			-43
			-80

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
17 SHS CELGENE CORP	P	2016-01-15	2019-11-21
15 SHS CENTENE CORP	P	2016-06-13	2019-07-05
52 SHS CHINA MOBILE LTD	P	2016-01-15	2019-07-11
26 SHS CISCO SYSTEMS INC	P	2016-01-15	2019-03-19
26 SHS CITIGROUP INC	P	2016-01-15	2019-03-19
17 SHS CITIZENS FINL GP	P	2017-06-01	2019-07-05
3 SHS CLOROX CO	P	2016-01-15	2019-07-11
120 SHS CNH INDUSTRIAL NV	P	2016-06-13	2019-07-05
450 SHS COMPAGNIE FINANCIERE	P	2016-01-15	2019-07-05
20 SHS CORE LABS	P	2016-01-15	2019-07-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,848		1,738	110
774		508	266
2,334		2,633	-299
1,388		614	774
1,713		1,101	612
611		587	24
464		381	83
1,272		876	396
3,742		2,866	876
1,084		1,913	-829

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			110
			266
			-299
			774
			612
			24
			83
			396
			876
			-829

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
100 SHS CREDIT SUISSE GROUP	P	2016-02-18	2019-07-11
133 SHS DAIWA SECURITIES	P	2016-01-15	2019-07-05
6462 SH DELL TECHNOLOGIES	P	2016-01-15	2019-01-04
2 SHS DEUTSCHE BK	P	2016-01-15	2019-07-05
37 SHS DEUTSCHE BK	P	2016-01-15	2019-07-05
20 SHS DOMINION ENERGY	P	2017-02-23	2019-10-31
2 SHS EDISON INTERNTNL	P	2018-05-07	2019-07-11
46 SHS EMERSON ELECTRIC	P	2016-01-15	2019-07-11
7141 SH ENEL AMERICAS	P	2016-01-15	2019-07-03
12 SHS ENEL AMERICAS	P	2016-01-15	2019-07-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,216		1,324	-108
590		777	-187
29		17	12
16		42	-26
298		774	-476
1,641		1,519	122
141		127	14
2,943		1,981	962
			0
2			2

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-108
			-187
			12
			-26
			-476
			122
			14
			962
			0
			2

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
76 SHS ENEL GENERACION CHIL	P	2016-01-15	2019-07-11
4375 SH ENSCO ROWAN PLC	P	2016-01-15	2019-04-12
10 SHS FANUC LTD JAPAN	P	2017-12-06	2019-07-11
120 SHS FIAT CHRYSLER AUTO	P	2016-06-13	2019-07-05
28 SHS FISERV INC	P	2016-01-15	2019-03-19
31 SHS FRONTIER COMM	P	2017-02-23	2019-07-05
25 SHS GEA GROUP	P	2016-01-15	2019-07-11
14 SHS GLAXOSMITHKLINE PLC	P	2016-01-15	2019-03-19
22 SHS GLAXOSMITHKLINE PLC	P	2016-01-15	2019-03-19
26 SHS GRUPO TELEVISA	P	2016-01-15	2019-07-11

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,425		1,797	-372
7		8	-1
178		239	-61
1,697		799	898
2,371		1,220	1,151
56		1,461	-1,405
624		949	-325
566		545	21
889		856	33
247		680	-433

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-372
			-1
			-61
			898
			1,151
			-1,405
			-325
			21
			33
			-433

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
18 SHS HCA HEALTHCARE INC	P	2016-01-15	2019-07-11
64 SHS HEICO CORP	P	2017-09-13	2019-07-05
40 SHS HEINEKEN N V	P	2016-12-06	2019-07-11
7 SHS HOME DEPOT	P	2016-01-15	2019-03-19
3 SH INTERCONTL HTLS	P	2016-12-06	2019-01-15
32 SHS INTERCONTL HTLS	P	2016-12-06	2019-07-11
6 SHS JOHNSON & JOHNSON	P	2016-01-15	2019-03-19
12 SHS JOHNSON & JOHNSON	P	2016-01-15	2019-03-19
30 SHS JOHNSON CONTROLS	P	2016-09-06	2019-07-11
123 SHS KINGFISHER PLC	P	2017-02-23	2019-07-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,480		1,148	1,332
8,638		3,493	5,145
2,230		1,475	755
1,291		832	459
17		14	3
2,190		1,455	735
830		581	249
1,659		1,163	496
1,237		1,371	-134
680		1,005	-325

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,332
			5,145
			755
			459
			3
			735
			249
			496
			-134
			-325

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
33 SHS KRAFT HEINZ CO	P	2016-01-15	2019-07-05
2 SHS KROGER CO	P	2016-12-06	2019-07-11
18 SHS L BRANDS INC	P	2017-02-23	2019-07-05
9 SHS L BRANDS INC	P	2017-02-23	2019-07-11
8 SHS LINDE PLC	P	2016-01-15	2019-10-31
18 SHS LUXOTTICA GROUP	P	2016-01-15	2019-03-25
20 SHS LVMH MOET HENNESSY	P	2016-01-15	2019-03-19
161 SHS MARKS & SPENCER	P	2017-02-23	2019-07-05
35 SHS MARKS & SPENCER	P	2017-02-23	2019-07-11
25 SH MICRO FOCUS INTERNTNL	P	2016-01-15	2019-05-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,019		2,224	-1,205
43		66	-23
501		877	-376
227		438	-211
1,577		1,308	269
1,006		1,105	-99
1,449		598	851
853		1,345	-492
179		292	-113
6		9	-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-1,205
			-23
			-376
			-211
			269
			-99
			851
			-492
			-113
			-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
15 SHS MICROSOFT CORP	P	2016-01-15	2019-03-19
24 SHS MOBILE TELESYSTEMS	P	2017-09-13	2019-07-11
32 SHS MYLAN NV	P	2016-06-13	2019-07-05
149 SHS NABORS INDUSTRIES	P	2017-09-13	2019-07-05
17 SHS NORFOLK SOUTHERN	P	2016-06-13	2019-07-05
26 SHS ORACLE CORP	P	2016-01-15	2019-03-19
19 SHS PACCAR	P	2016-01-15	2019-03-19
40 SHS PANDORA A/S	P	2016-06-13	2019-07-05
25 SHS PARKER-HANNIFIN CORP	P	2016-01-15	2019-07-05
7 SHS PERSPCTA INC	P	2016-01-15	2019-07-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,764		762	1,002
207		264	-57
622		1,431	-809
397		1,077	-680
3,418		1,427	1,991
1,406		886	520
1,296		862	434
368		1,404	-1,036
4,175		2,206	1,969
168		66	102

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1,002
			-57
			-809
			-680
			1,991
			520
			434
			-1,036
			1,969
			102

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
18 SHS PPG INDUSTRIES	P	2016-01-15	2019-07-11
31 SHS PRINCIPAL FINL	P	2016-01-15	2019-03-19
120 SHS PROSIEBENSAT 1	P	2016-06-13	2019-07-05
19 SHS PRUDENTIAL FINL	P	2016-01-15	2019-03-19
154 SHS RED ELECTRICA	P	2018-05-07	2019-07-11
28 SHS ROYAL MAIL PLC	P	2016-12-16	2019-07-05
154 SHS ROYAL MAIL PLC	P	2017-02-23	2019-07-05
81 SHS SCHLUMBERGER LTD	P	2016-01-15	2019-07-05
325 SHS SCHNEIDER ELECTRIC	P	2016-01-15	2019-07-05
12 SHS SHIRE PLC	P	2016-01-15	2019-01-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
2,071		1,653	418
1,621		1,174	447
460		1,414	-954
1,847		1,331	516
1,513		1,599	-86
137		311	-174
812		1,585	-773
3,185		5,100	-1,915
5,653		3,122	2,531
2,241		2,123	118

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			418
			447
			-954
			516
			-86
			-174
			-773
			-1,915
			2,531
			118



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
11 SHS SIMON PPTY	P	2018-05-07	2019-07-11
27 SHS SMITH & NEPHEW GROUP	P	2018-05-07	2019-07-05
135 SHS SOCIETE GENERALE	P	2016-02-18	2019-07-11
12 SHS STANLEY BLACK & DECK	P	2016-01-15	2019-03-19
16 SHS STANLEY BLACK & DECK	P	2016-01-15	2019-07-11
27 SHS STATE STREET CORP	P	2016-01-15	2019-07-11
20 SHS SUMITOMO MITSUI	P	2016-12-06	2019-07-11
42 SHS TAL EDUCATION GROUP	P	2016-01-15	2019-03-19
3 SHS TARGET CORP	P	2016-01-15	2019-07-11
47 SHS TELEFONICA SA	P	2016-01-15	2019-07-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,780		1,757	23
1,166		968	198
680		930	-250
1,604		1,149	455
2,289		1,532	757
1,483		1,487	-4
74		75	-1
1,496		292	1,204
260		211	49
394		463	-69

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			23
			198
			-250
			455
			757
			-4
			-1
			1,204
			49
			-69

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
13 SHS TELEFONICA SA	P	2016-12-09	2019-07-11
221 SHS TESCO PLC	P	2016-06-13	2019-07-05
40 SHS TEVA PHARMA	P	2016-12-06	2019-07-05
9 SHS TEVA PHARMA	P	2017-02-23	2019-07-05
14 SHS TEXAS INSTRUMENTS	P	2017-12-06	2019-03-19
131 SHS THE BRITISH LAND CO	P	2016-01-15	2019-07-05
7 SHS TRANSDIGM GROUP	P	2016-01-15	2019-07-05
13 SHS UPS	P	2016-01-15	2019-03-19
76 SHS UNITED UTILITIES	P	2016-12-06	2019-07-11
38 SHS VENTAS	P	2018-05-07	2019-07-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
109		117	-8
1,982		1,399	583
387		1,399	-1,012
87		325	-238
1,539		1,358	181
909		1,368	-459
3,457		1,367	2,090
1,435		1,166	269
1,501		1,708	-207
2,639		2,030	609

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-8
			583
			-1,012
			-238
			181
			-459
			2,090
			269
			-207
			609

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
13 SHS VERSUM MATERIALS	P	2016-01-15	2019-10-07
2952 SH WALT DISNEY CO	P	2016-01-15	2019-03-21
252 SHS WEATHERFORD INTL	P	2016-01-15	2019-09-18
24 SHS WELLTOWER	P	2017-02-23	2019-07-11
15 SHS WPP PLC NEW	P	2016-01-15	2019-07-05
1 SHS WPP PLC NEW	P	2016-01-15	2019-07-11
7349 SH 21ST CENT FOX	P	2016-01-15	2019-03-19
77 SHS 21ST CENT FOX	P	2016-01-15	2019-03-19
CAPITAL GAINS DIVIDENDS	P		

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
689		262	427
32		17	15
7		1,548	-1,541
2,043		1,639	404
936		1,535	-599
60		102	-42
36		14	22
1,046		531	515
158			158

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			427
			15
			-1,541
			404
			-599
			-42
			22
			515
			158

**Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation**


<b>(a) Name and address</b>	<b>Title, and average hours per week (b) devoted to position</b>	<b>(c) Compensation (If not paid, enter -0-)</b>	<b>(d) Contributions to employee benefit plans and deferred compensation</b>	<b>Expense account, (e) other allowances</b>
AMY ROTH  618 SANTA BARBARA ROAD BERKELEY, CA 94707	PRESIDENT 0 25	0	0	0
ROBERT EPSTEIN  618 SANTA BARBARA ROAD BERKELEY, CA 94707				
COLIN EPSTEIN  520 61ST STREET OAKLAND, CA 94609	DIRECTOR 0 25	0	0	0
HARRIS EPSTEIN  208 SURREY STREET SAN FRANCISCO, CA 94131				
ABBIE COBURN  208 SURREY STREET SAN FRANCISCO, CA 94131	DIRECTOR 0 25	0	0	0

**Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).**

AMY ROTH
ROBERT EPSTEIN

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
PLANTING JUSTICE319 105TH AVE OAKLAND, CA 94603	NONE	PUBLIC CHARITY	GENERAL SUPPORT	20,500
FOOD CORPS1140 SE 7TH AVE PORTLAND, OR 97214	NONE	PUBLIC CHARITY	GENERAL SUPPORT	25,000
HOMELESS PRENATAL PROGRAM 2500 18TH ST SAN FRANCISCO, CA 94110	NONE	PUBLIC CHARITY	GENERAL SUPPORT	20,000
<b>Total . . . . . ▶ 3a</b>				240,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SEACOLOGY1623 SOLANO AVENUE BERKELEY, CA 94707	NONE	PUBLIC CHARITY	GENERAL SUPPORT	25,000
UC BERKELEY-THE BERKELEY FOOD INSTITUTE FUND 2080 ADDISON STREET BERKELEY, CA 947204200	NONE	PUBLIC CHARITY	GENERAL SUPPORT	100,000
WELLS MOUNTAIN FOUNDATION 25D MAIN STREET BRISTOL, VT 05443	NONE	PUBLIC CHARITY	GENERAL SUPPORT	14,500
<b>Total . . . . .</b> ► <b>3a</b>				240,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
BERKELEY PUBLIC SCHOOL FUND 2020 BONAR ST SUITE 130 BERKELEY, CA 94702	NONE	PUBLIC CHARITY	GENERAL SUPPORT	35,000
<b>Total</b> . . . . .  <b>3a</b>				240,000



**TY 2019 Accounting Fees Schedule****Name:** EPSTEINROTH FOUNDATION**EIN:** 94-3357645

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
ACCOUNTING FEES	6,075	608		5,467

## TY 2019 Investments Corporate Bonds Schedule

**Name:** EPSTEINROTH FOUNDATION

**EIN:** 94-3357645

### Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE BONDS	564,179	579,054

**TY 2019 Investments Corporate Stock Schedule****Name:** EPSTEINROTH FOUNDATION**EIN:** 94-3357645**Investments Corporation Stock Schedule**

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
STOCK/MUTUAL FUNDS/ETFS	2,002,404	2,550,527

**TY 2019 Investments Government Obligations Schedule****Name:** EPSTEINROTH FOUNDATION**EIN:** 94-3357645**US Government Securities - End  
of Year Book Value:**

556,255

**US Government Securities - End  
of Year Fair Market Value:**

566,355

**State & Local Government  
Securities - End of Year Book  
Value:**

297,220

**State & Local Government  
Securities - End of Year Fair  
Market Value:**

299,083

**TY 2019 Other Decreases Schedule**

**Name:** EPSTEINROTH FOUNDATION

**EIN:** 94-3357645

Description	Amount
MISC ADJUSTMENT TO BASIS	1,014

**TY 2019 Other Expenses Schedule****Name:** EPSTEINROTH FOUNDATION**EIN:** 94-3357645**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STATE FILING FEES	60	0		0
INVESTMENT ADVISORY FEES	18,282	18,282		0
ABP/ADR FEES	2,142	2,142		0

**TY 2019 Other Increases Schedule**

**Name:** EPSTEINROTH FOUNDATION

**EIN:** 94-3357645

Description	Amount
TRANSFER IN 20,000 SHS AMAL	16,508

**TY 2019 Taxes Schedule****Name:** EPSTEINROTH FOUNDATION**EIN:** 94-3357645

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
FOREIGN TAXES PAID	2,811	2,811		0