EXTENDED TO NOVEMBER 16, 2020

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www irs gov/Form990PF for instructions and the latest information

1912

OMB No 1545-0047

2019

Open to Public Inspection

29491009059001 1

For calendar year 2019 or tax year beginning , and ending

Name of foundation: KRISHNAN-SHAH FAMILY FOUNDATION, INC.

A Employer identification number: 94-3288599

Number and street (or P O box number if mail is not delivered to street address): 20380 TOWN CENTER LANE, SUITE #175

Room/suite:

B Telephone number: 415-362-5990

City or town, state or province, country, and ZIP or foreign postal code: CUPERTINO, CA 95014

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

04

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

03/04

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 41,880,903.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d), must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received	6,512,628.		N/A	
	2	Check ▶ ☐ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	456,520.	456,520.		STATEMENT 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	2,362,831.			STATEMENT 1
	b	Gross sales price for all assets on line 6a 8,982,060.				
	7	Capital gain net income (from Part IV, line 2)		8,645,751.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income	266,093.	266,093.		STATEMENT 3
	12	**Total.** Add lines 1 through 11	9,598,072.	9,368,364.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0.	0.		0.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees				
	b	Accounting fees STMT 4	40,520.	40,520.		0.
	c	Other professional fees STMT 5	36,741.	36,741.		0.
	17	Interest	27,175.	27,175.		0.
	18	Taxes STMT 6	58,447.	7,887.		0.
	19	Depreciation and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses STMT 7	171,290.	171,290.		0.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	334,173.	283,613.		0.
	25	Contributions, gifts, grants paid	1,333,500.			1,333,500.
	26	**Total expenses and disbursements.** Add lines 24 and 25	1,667,673.	283,613.		1,333,500.
	27	Subtract line 26 from line 12.				
	a	Excess of revenue over expenses and disbursements	7,930,399.			
	b	**Net investment income** (if negative, enter -0-)		9,084,751.		
	c	**Adjusted net income** (if negative, enter -0-)			N/A	

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923501 12-17-19 LHA For Paperwork Reduction Act Notice, see instructions. Form **990-PF** (2019)

08041113 783820 2326.0 2019.05000 KRISHNAN-SHAH FAMILY FOUNDA 2326_0_1

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing	7,979,757.	4,297,072.	4,297,072.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	15,357,747.	18,632,667.	20,144,591.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 9	7,657,286.	15,013,758.	17,081,359.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶ STATEMENT 10)	4,233.	357,881.	357,881.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	30,999,023.	38,301,378.	41,880,903.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 11)	642,347.	14,303.	
	23 Total liabilities (add lines 17 through 22)	642,347.	14,303.	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29, and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☒ and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	6,226,819.	6,226,819.	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	28 Retained earnings, accumulated income, endowment, or other funds	24,129,857.	32,060,256.	
	29 Total net assets or fund balances	30,356,676.	38,287,075.	
	30 Total liabilities and net assets/fund balances	30,999,023.	38,301,378.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	30,356,676.
2	Enter amount from Part I, line 27a	2	7,930,399.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	38,287,075.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	38,287,075.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a				
b				
c				
d				
e	8,982,060.		336,309.	8,645,751.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				8,645,751.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	8,645,751.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	1,245,506.	28,080,459.	.044355
2017	942,675.	24,441,146.	.038569
2016	771,582.	19,649,866.	.039267
2015	666,961.	18,647,406.	.035767
2014	694,641.	18,020,233.	.038548

2 **Total** of line 1, column (d)	2	.196506
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.039301
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	31,427,179.
5 Multiply line 4 by line 3	5	1,235,120.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	90,848.
7 Add lines 5 and 6	7	1,325,968.
8 Enter qualifying distributions from Part XII, line 4	8	1,333,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	438 SHS ALTERYX INC COM CL A		03/24/17	08/16/19
b	1,965 SHS COUPA SOFTWARE INC C OM		10/06/16	11/05/19
c	296 SHS ALTERYX INC COM CL A		08/13/19	08/16/19
d	1,062 SHS FASTLY INC CL A		11/15/19	12/02/19
e	85 SHS GLOBANT S A COM ISIN #LU0974299876		07/27/07	08/22/19
f	1,915 SHS GLOBANT S A COM ISIN #LU0974299876		07/27/07	08/22/19
g	1,797 SHS GLOBANT S A COM ISIN #LU0974299876		07/27/07	08/22/19
h	203 SHS GLOBANT S A COM ISIN #LU0974299876		07/27/07	08/22/19
i	20 SHS ADYEN B V PARTS SOCI ALES ISIN#NL00129691		09/17/15	08/22/19
j	130 SHS ADYEN B V PARTS SOCI ALES ISIN#NL00129691		09/17/15	08/22/19
k	68 SHS ADYEN B V PARTS SOCI ALES ISIN#NL00129691		09/17/15	12/31/19
l	75 SHS ALTERYX INC COM CL A		09/22/15	08/16/19
m	224 SHS ALTERYX INC COM CL A		09/22/15	08/16/19
n	43 SHS ALTERYX INC COM CL A		09/22/15	08/16/19
o	296 SHS ALTERYX INC COM CL A		09/22/15	08/16/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	58,208.		6,132.	52,076.
b	253,721.		35,370.	218,351.
c	39,337.		4,043.	35,294.
d	20,815.		6,850.	13,965.
e	8,124.		129.	7,995.
f	183,032.		2,905.	180,127.
g	171,296.		2,726.	168,570.
h	19,351.		308.	19,043.
i	14,000.		1,506.	12,494.
j	91,000.		9,790.	81,210.
k	52,848.		5,121.	47,727.
l	9,967.		1,025.	8,942.
m	29,769.		3,060.	26,709.
n	5,715.		587.	5,128.
o	39,337.		4,043.	35,294.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				52,076.
b				218,351.
c				35,294.
d				13,965.
e				7,995.
f				180,127.
g				168,570.
h				19,043.
i				12,494.
j				81,210.
k				47,727.
l				8,942.
m				26,709.
n				5,128.
o				35,294.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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04-01-19

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	497 SHS ALTERYX INC COM CL A		10/05/15	08/16/19
b	161 SHS ALTERYX INC COM CL A		03/24/17	08/16/19
c	1,086 SHS CHEGG INC COM		12/09/08	08/22/19
d	1,381 SHS CHEGG INC COM		12/09/08	08/22/19
e	1,082 SHS CHEGG INC COM		12/09/08	08/22/19
f	4,451 SHS CHEGG INC COM		02/28/12	08/22/19
g	25,800 SHS CHEGG INC COM		02/28/12	08/22/19
h	1,201 SHS CHEGG INC COM		02/28/12	08/23/19
i	11,000 SHS CHEGG INC COM		02/28/12	08/26/19
j	4,799 SHS CHEGG INC COM		02/28/12	08/26/19
k	438 SHS COUPA SOFTWARE INC C OM		05/26/15	08/16/19
l	3,164 SHS COUPA SOFTWARE INC C OM		05/26/15	08/16/19
m	536 SHS COUPA SOFTWARE INC C OM		05/26/15	08/16/19
n	97 SHS COUPA SOFTWARE INC C OM		10/06/16	08/16/19
o	1,632 SHS COUPA SOFTWARE INC C OM		05/26/15	11/05/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	66,049.		5,910.	60,139.
b	21,396.		2,293.	19,103.
c	44,362.		4,789.	39,573.
d	56,413.		1,795.	54,618.
e	44,199.		1,407.	42,792.
f	181,820.		4,983.	176,837.
g	1,040,441.		28,883.	1,011,558.
h	49,349.		1,345.	48,004.
i	444,140.		12,315.	431,825.
j	193,156.		5,372.	187,784.
k	60,564.		7,323.	53,241.
l	437,498.		52,902.	384,596.
m	74,115.		8,962.	65,153.
n	13,413.		1,746.	11,667.
o	210,724.		27,287.	183,437.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				60,139.
b				19,103.
c				39,573.
d				54,618.
e				42,792.
f				176,837.
g				1,011,558.
h				48,004.
i				431,825.
j				187,784.
k				53,241.
l				384,596.
m				65,153.
n				11,667.
o				183,437.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	810 SHS FASTLY INC CL A		07/24/15	12/02/19
b	4,200 SHS PENUMBRA INC COM		12/29/04	01/04/19
c	2,275 SHS PENUMBRA INC COM		12/29/04	01/08/19
d	1,925 SHS PENUMBRA INC COM		11/23/10	01/08/19
e	4,075 SHS PENUMBRA INC COM		11/23/10	01/15/19
f	125 SHS PENUMBRA INC COM		11/23/10	01/15/19
g	5,000 SHS PENUMBRA INC COM		02/28/06	08/22/19
h	5,500 SHS PENUMBRA INC COM		02/28/06	08/22/19
i	2,500 SHS PENUMBRA INC COM		02/28/06	08/23/19
j	2,500 SHS PENUMBRA INC COM		02/28/06	08/26/19
k	2,083 SHS PENUMBRA INC COM		02/28/06	12/18/19
l	3,242 SHS PENUMBRA INC COM		02/28/06	12/19/19
m	LEGACY VENTURE VIII, LLC			
n	LEGACY VENTURE VIII, LLC			
o	LEGACY VENTURE IV, LLC			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	15,876.		5,225.	10,651.
b	511,553.		6,857.	504,696.
c	281,864.		3,714.	278,150.
d	238,500.		19.	238,481.
e	535,096.		41.	535,055.
f	16,414.		1.	16,413.
g	719,985.		15,950.	704,035.
h	797,978.		17,545.	780,433.
i	359,868.		7,975.	351,893.
j	356,118.		7,975.	348,143.
k	329,977.		6,645.	323,332.
l	514,735.		10,342.	504,393.
m			862.	<862.>
n	12,206.			12,206.
o			2,189.	<2,189.>

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				10,651.
b				504,696.
c				278,150.
d				238,481.
e				535,055.
f				16,413.
g				704,035.
h				780,433.
i				351,893.
j				348,143.
k				323,332.
l				504,393.
m				<862.>
n				12,206.
o				<2,189.>

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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04-01-19

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	LEGACY VENTURE IV, LLC			
b	ICONIQ STRATEGIC PARTNERS III-B-, LP			
c	ICONIQ STRATEGIC PARTNERS III-B, LP			
d	LEGACY VENTURE IX, LLC			
e	DAFNA FUND, LP - SERIES A			
f	DAFNA FUND, LP - SERIES A			
g	ICONIQ STRATEGIC PARTNERS II-B, LP			
h	VECTOR CAPITAL CREDIT OPP-PFIC			
i	FOURTHSTONE OFFSHORE OPP- PFIC			
j	ADJ FOR CONTRIBUTED STOCK			
k	CAPITAL GAINS DIVIDENDS			
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	91,859.			91,859.
b	45,443.			45,443.
c	12,635.			12,635.
d			62.	<62.>
e	13,684.			13,684.
f	21,878.			21,878.
g	23,830.			23,830.
h	25,258.			25,258.
i	23,896.			23,896.
j				0.
k	99,248.			99,248.
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				91,859.
b				45,443.
c				12,635.
d				<62.>
e				13,684.
f				21,878.
g				23,830.
h				25,258.
i				23,896.
j				0.
k				99,248.
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	8,645,751.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

923591
04-01-19

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Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b			1	90,848.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)			2	0.
3 Add lines 1 and 2			3	90,848.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	90,848.
6 Credits/Payments:				
a 2019 estimated tax payments and 2018 overpayment credited to 2019	6a	107,761.		
b Exempt foreign organizations - tax withheld at source	6b	0.		
c Tax paid with application for extension of time to file (Form 8868)	6c	0.		
d Backup withholding erroneously withheld	6d	0.		
7 Total credits and payments. Add lines 6a through 6d			7	107,761.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	107.
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	16,806.
11 Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ▶ 16,806.	**Refunded** ▶		11	0.

Part VII-A **Statements Regarding Activities**

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
If "Yes," attach a detailed description of the activities.			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
If "Yes," attach the statement required by *General Instruction T*			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ CA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses STMT 12	10	X	

2

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13		X
	Website address ▶ N/A			
14	The books are in care of ▶ REALIZE CPA, LLP Telephone no. ▶ 415-362-5990			
	Located at ▶ 50 CALIFORNIA STREET, SUITE 3550, SAN FRANCISCO, ZIP+4 ▶ 94111			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During tne year, did the foundation (either directly or indirectly).			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions N/A	1b		
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).			
a	At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.) N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a During the year, did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	Yes [X] No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	Yes [X] No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	Yes [X] No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	Yes [X] No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	Yes [X] No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	N/A	5b		
Organizations relying on a current notice regarding disaster assistance, check here	▶ [ ]			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	Yes No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	Yes [X] No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	Yes [X] No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year?	Yes [X] No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LATA K. SHAH 27241 ALTAMONT ROAD LOS ALTOS HILLS, CA 94022	PRESIDENT/DIRECTOR 1.00	0.	0.	0.
AJAY B. SHAH 27241 ALTAMONT ROAD LOS ALTOS HILLS, CA 94022	SECRETARY/DIRECTOR 1.00	0.	0.	0.
RAJ KRISHNAN 27241 ALTAMONT ROAD LOS ALTOS HILLS, CA 94022	DIRECTOR 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	16,340,817.
b	Average of monthly cash balances		1b	5,547,770.
c	Fair market value of all other assets		1c	10,017,178.
d	**Total** (add lines 1a, b, and c)		1d	31,905,765.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	31,905,765.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	478,586.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	31,427,179.
6	**Minimum investment return.** Enter 5% of line 5		6	1,571,359.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	1,571,359.
2a	Tax on investment income for 2019 from Part VI, line 5	2a 90,848.		
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	90,848.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	1,480,511.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	1,480,511.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	1,480,511.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,333,500.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	1,333,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	90,848.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,242,652.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				1,480,511.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			1,169,098.	
b Total for prior years: ____ , ____ , ____		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2019 from Part XII, line 4. ▶$ 1,333,500.				
a Applied to 2018, but not more than line 2a			1,169,098.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				164,402.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				1,316,109.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

SEE STATEMENT 13

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AAIC 2400 MOORPARK AVE STE 300 SAN JOSE, CA 95128	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	5,000.
AIM UNIT #467, TOWER B-1, SPAZE TECH PARK SECTOR 49 SOHNA RD HARYANA, GURGAON, INDIA 122018	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	5,000.
AMERICAN INDIA FOUNDATION 580 CALIFORNIA STREET, STE 1200 SAN FRANCISCO, CA 94104	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	100,000.
AMERICAN LEADERSHIP FORUM 30 E. SANTA CLARA STREET, SUITE 360 SAN JOSE, CA 95113	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	2,000.
ASIAN ART MUSEUM 200 LARKIN ST SAN FRANCISCO, CA 94102	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	10,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	1,333,500.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Form **990-PF** (2019)

923611 12-17-19

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
AUDUBON SOCIETY 220 MONTGOMERY STREET SAN FRANCISCO, CA 94104	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	175,000.
BENETECH 480 CALIFORNIA AVENUE #201 PALO ALTO, CA 94306	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	10,000.
BILL WILSON CENTER 3490 THE ALAMEDA SANTA CLARA, CA 95050	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	2,000.
BRIGHTER CHILDREN, INC 23 BRENNAN ST HUNTINGTON, NY 11743	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	10,000.
CAREMESSAGE 300 BRANNAN STREET #405 SAN FRANCISCO, CA 94107	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	25,000.
DOWNTOWN COLLEGE PREP 1460 THE ALAMEDA SAN JOSE, CA 95126	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	25,000.
EASTSIDE SCHOOL 1041 MYRTLE STREET EAST PALO ALTO, CA 94303	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	25,000.
FLY - FRESH LIFELINES FOR YOUTH 568 VALLEY WAY MILPITAS, CA 95035	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	100,000.
FRIENDS OF MADERA ANIMAL SHELTER 14269 RD 28 MADERA, CA 93638	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	500.
GLOBAL FUND FOR WOMEN 222 SUTTER STREET, SUITE 500 SAN FRANCISCO, CA 94108	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	2,500.
Total from continuation sheets				1,211,500.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GLOBAL SCHOOL LEADERS 12329 CULVER BLVD LOS ANGELES, CA 90066	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	25,000.
HEART TO HEART FOUNDATION 3561 HOMESTEAD ROAD #3146 SANTA CLARA, CA 95051	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	1,000.
HINDU COMMUNITY AND CULTURAL CENTER 1232 ARROWHEAD AVENUE LIVERMORE, CA 94551	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	5,000.
IFRE INC - ASHOKA UNIVERSITY 250 W 55TH ST 25TH FL NEW YORK, NY 10019	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	100,000.
INDIASPORA (SANJEEV JOSHIPURA) 3450 SACRAMENTO ST, #615 SAN FRANCISCO, CA 94118	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	12,500.
JEENA 1510 CENTRE POINTE DRIVE MILPITAS, CA 95035	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	10,000.
KIPP BAY AREA PUBLIC SCHOOLS 1000 BROADWAY, STE 460 OAKLAND, CA 94607	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	2,500.
KQED 50 W SAN FERNANDO STREET, SUITE 110 SAN JOSE, CA 95113	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	2,500.
MAITRI 401 DUBOCE AVE SAN FRANCISCO, CA 94117	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	1,000.
NARIKA P.O. BOX 14014 BERKELEY, CA 94712	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	10,000.
Total from continuation sheets				

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NCIP 500 EL CAMINO REAL SANTA CLARA, CA 95053	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	5,000.
NURU 5405 ALTON PARKWAY IRVINE, CA 92604	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	100,000.
RYAN NECE FOUNDATION 200 N PIERCE ST #300 TAMPA, FL 33602	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	2,000.
SACHI P.O. BOX 60542 PALO ALTO, CA 94306	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	2,000.
SAHAJ MARG #3/246 BABUJI MEMORIAL ASHRAM SRCM ROAD MANAPAKKAM, CHENNAI, INDONESIA 600125	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	15,000.
SAN MARGA IRAIVAN TEMPLE 107 KAHOLALELE ROAD KAPAA, HI 96746	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	5,000.
STANFORD HEALTH CARE PO BOX 20466 STANFORD, CA 94309	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	2,000.
STANFORD UNIVERSITY 450 SERRA MALL STANFORD, CA 94305	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	400,000.
TED CONFERENCE 250 HUDSON ST., STE 1002 NEW YORK, NY 10013	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	20,000.
THE 1947 PARTITION ARCHIVE PO BOX 9505 BERKELEY, CA 94709	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	10,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE BOYS AND GIRLS CLUB 401 PIERCE ROAD MENLO PARK, CA 94025	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	6,000.
THE COMMONWEALTH CLUB OF CALIFORNIA 578 VALLEY WAY MILPITAS, CA 95035	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	17,500.
THE OPPORTUNITY FUND 111 W ST JOHN ST STE 800 SAN JOSE, CA 95113	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	20,000.
THORN 6806 LEXINGTON AVE LOS ANGELES, CA 90038	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	50,000.
VILLAGE ENTERPRISE 1161 CHERRY STREET #G SAN CARLOS, CA 94070	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	2,500.
WANDA 300 FRANK H. OGAWA PLAZA, SUITE 420 OAKLAND, CA 94612	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	5,000.
WORLD AFFAIRS COUNCIL 312 SUTTER STREET, STE 200, SAN FRANCISCO, CA 94108	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	5,000.
Total from continuation sheets				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	456,520.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	266,093.	
8 Gain or (loss) from sales of assets other than inventory			14	2,362,831.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		3,085,444.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 3,085,444.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII **Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) *(other than section 501(c)(3) organizations) or in section 527, relating to political organizations?*			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | 11-16-2020 Date | PRESIDENT Title

May the IRS discuss this return with the preparer shown below? See instr ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
KYLE M. VINEYARD	Kyle M Vineyard	11/16/20		P00653810

Firm's name ▶ REALIZE CPA, LLP — Firm's EIN ▶ 94-3145806

Firm's address ▶ 50 CALIFORNIA STREET, SUITE 3550 SAN FRANCISCO, CA 94111 — Phone no. (415) 362-5990

Form **990-PF** (2019)

Schedule B (Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2019

Name of the organization	Employer identification number
KRISHNAN-SHAH FAMILY FOUNDATION, INC.	94-3288599

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000, or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year ▶ $ ____________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization: KRISHNAN-SHAH FAMILY FOUNDATION, INC.

Employer identification number: 94-3288599

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	AJAY & LATA SHAH 27241 ALTAMONT ROAD LOS ALTOS HILLS, CA 94022	$ 2,284,313.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions)
2	AJAY & LATA SHAH 27241 ALTAMONT ROAD LOS ALTOS HILLS, CA 94022	$ 493,821.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions)
3	AJAY & LATA SHAH 27241 ALTAMONT ROAD LOS ALTOS HILLS, CA 94022	$ 2,010,917.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions)
4	AJAY & LATA SHAH 27241 ALTAMONT ROAD LOS ALTOS HILLS, CA 94022	$ 402,060.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions)
5	AJAY & LATA SHAH 27241 ALTAMONT ROAD LOS ALTOS HILLS, CA 94022	$ 479,768.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions)
6	AJAY & LATA SHAH 27241 ALTAMONT ROAD LOS ALTOS HILLS, CA 94022	$ 841,749.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions)

Name of organization	Employer identification number
KRISHNAN-SHAH FAMILY FOUNDATION, INC.	94-3288599

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
1	15,500 SHS OF PENUMBRA	$ 2,284,313.	08/15/19
2	3,700 SHARES OF COUPA	$ 493,821.	08/15/19
3	50,800 SHARES OF CHEGG	$ 2,010,917.	08/15/19
4	4,000 SHARES OF GLOBANT	$ 402,060.	08/15/19
5	3,597 SHARES OF COUPA	$ 479,768.	10/28/19
6	5,325 SHARES OF PENUMBRA	$ 841,749.	12/17/19

Name of organization: KRISHNAN-SHAH FAMILY FOUNDATION, INC.

Employer identification number: 94-3288599

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this info. once.) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
438 SHS ALTERYX INC COM CL A		03/24/17	08/16/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
58,208.	6,132.	0.	0.	52,076.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
1,965 SHS COUPA SOFTWARE INC C OM		10/06/16	11/05/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
253,721.	35,370.	0.	0.	218,351.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
296 SHS ALTERYX INC COM CL A		08/13/19	08/16/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
39,337.	4,043.	0.	0.	35,294.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
1,062 SHS FASTLY INC CL A		11/15/19	12/02/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
20,815.	6,850.	0.	0.	13,965.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
85 SHS GLOBANT S A COM ISIN #LU0974299876		07/27/07	08/22/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
8,124.	129.	0.	0.	7,995.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
1,915 SHS GLOBANT S A COM ISIN #LU0974299876		07/27/07	08/22/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
183,032.	2,905.	0.	0.	180,127.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
1,797 SHS GLOBANT S A COM ISIN #LU0974299876		07/27/07	08/22/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
171,296.	2,726.	0.	0.	168,570.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
203 SHS GLOBANT S A COM ISIN #LU0974299876		07/27/07	08/22/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
19,351.	308.	0.	0.	19,043.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
20 SHS ADYEN B V PARTS SOCI ALES ISIN#NL00129691 82		09/17/15	08/22/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
14,000.	1,506.	0.	0.	12,494.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
130 SHS ADYEN B V PARTS SOCI ALES ISIN#NL00129691 82		09/17/15	08/22/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
91,000.	9,790.	0.	0.	81,210.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
68 SHS ADYEN B V PARTS SOCI ALES ISIN#NL00129691 82		09/17/15	12/31/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
52,848.	5,121.	0.	0.	47,727.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
75 SHS ALTERYX INC COM CL A		09/22/15	08/16/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
9,967.	1,025.	0.	0.	8,942.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
224 SHS ALTERYX INC COM CL A		09/22/15	08/16/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
29,769.	3,060.	0.	0.	26,709.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
43 SHS ALTERYX INC COM CL A		09/22/15	08/16/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
5,715.	587.	0.	0.	5,128.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
296 SHS ALTERYX INC COM CL A		09/22/15	08/16/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
39,337.	4,043.	0.	0.	35,294.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
497 SHS ALTERYX INC COM CL A		10/05/15	08/16/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
66,049.	5,910.	0.	0.	60,139.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
161 SHS ALTERYX INC COM CL A		03/24/17	08/16/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
21,396.	2,293.	0.	0.	19,103.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
1,086 SHS CHEGG INC COM		12/09/08	08/22/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
44,362.	4,789.	0.	0.	39,573.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
1,381 SHS CHEGG INC COM		12/09/08	08/22/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
56,413.	1,795.	0.	0.	54,618.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
1,082 SHS CHEGG INC COM		12/09/08	08/22/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
44,199.	1,407.	0.	0.	42,792.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
4,451 SHS CHEGG INC COM		02/28/12	08/22/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
181,820.	4,983.	0.	0.	176,837.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
25,800 SHS CHEGG INC COM		02/28/12	08/22/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,040,441.	28,883.	0.	0.	1,011,558.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
1,201 SHS CHEGG INC COM		02/28/12	08/23/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
49,349.	1,345.	0.	0.	48,004.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
11,000 SHS CHEGG INC COM		02/28/12	08/26/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
444,140.	12,315.	0.	0.	431,825.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
4,799 SHS CHEGG INC COM		02/28/12	08/26/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
193,156.	5,372.	0.	0.	187,784.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
438 SHS COUPA SOFTWARE INC C OM		05/26/15	08/16/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
60,564.	7,323.	0.	0.	53,241.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
3,164 SHS COUPA SOFTWARE INC C OM		05/26/15	08/16/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
437,498.	52,902.	0.	0.	384,596.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
536 SHS COUPA SOFTWARE INC C OM		05/26/15	08/16/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
74,115.	8,962.	0.	0.	65,153.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
97 SHS COUPA SOFTWARE INC C OM		10/06/16	08/16/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
13,413.	1,746.	0.	0.	11,667.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
1,632 SHS COUPA SOFTWARE INC C OM		05/26/15	11/05/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
210,724.	27,287.	0.	0.	183,437.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
810 SHS FASTLY INC CL A		07/24/15	12/02/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
15,876.	5,225.	0.	0.	10,651.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
4,200 SHS PENUMBRA INC COM		12/29/04	01/04/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
511,553.	6,857.	0.	0.	504,696.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
2,275 SHS PENUMBRA INC COM		12/29/04	01/08/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
281,864.	3,714.	0.	0.	278,150.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
1,925 SHS PENUMBRA INC COM		11/23/10	01/08/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
238,500.	19.	0.	0.	238,481.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
4,075 SHS PENUMBRA INC COM		11/23/10	01/15/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
535,096.	41.	0.	0.	535,055.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
125 SHS PENUMBRA INC COM		11/23/10	01/15/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
16,414.	1.	0.	0.	16,413.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
5,000 SHS PENUMBRA INC COM		02/28/06	08/22/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
719,985.	15,950.	0.	0.	704,035.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
5,500 SHS PENUMBRA INC COM		02/28/06	08/22/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
797,978.	17,545.	0.	0.	780,433.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
2,500 SHS PENUMBRA INC COM		02/28/06	08/23/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
359,868.	7,975.	0.	0.	351,893.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
2,500 SHS PENUMBRA INC COM		02/28/06	08/26/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
356,118.	7,975.	0.	0.	348,143.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
2,083 SHS PENUMBRA INC COM		02/28/06	12/18/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
329,977.	6,645.	0.	0.	323,332.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
3,242 SHS PENUMBRA INC COM		02/28/06	12/19/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
514,735.	10,342.	0.	0.	504,393.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LEGACY VENTURE VIII, LLC			

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	862.	0.	0.	<862.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LEGACY VENTURE VIII, LLC			

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
12,206.	0.	0.	0.	12,206.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LEGACY VENTURE IV, LLC			

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	2,189.	0.	0.	<2,189.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LEGACY VENTURE IV, LLC			

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
91,859.	0.	0.	0.	91,859.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
ICONIQ STRATEGIC PARTNERS III-B, LP			

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
45,443.	0.	0.	0.	45,443.

(A) DESCRIPTION OF PROPERTY				MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
ICONIQ STRATEGIC PARTNERS III-B, LP						

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
12,635.	0.	0.	0.	12,635.

(A) DESCRIPTION OF PROPERTY				MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LEGACY VENTURE IX, LLC						

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	62.	0.	0.	<62.>

(A) DESCRIPTION OF PROPERTY				MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
DAFNA FUND, LP - SERIES A						

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
13,684.	0.	0.	0.	13,684.

(A) DESCRIPTION OF PROPERTY				MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
DAFNA FUND, LP - SERIES A						

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
21,878.	0.	0.	0.	21,878.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
ICONIQ STRATEGIC PARTNERS II-B, LP			

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
23,830.	0.	0.	0.	23,830.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
VECTOR CAPITAL CREDIT OPP-PFIC			

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
25,258.	0.	0.	0.	25,258.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
FOURTHSTONE OFFSHORE OPP- PFIC			

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
23,896.	0.	0.	0.	23,896.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
ADJ FOR CONTRIBUTED STOCK			

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	6,282,920.	0.	0.	<6,282,920.>

CAPITAL GAINS DIVIDENDS FROM PART IV	99,248.
TOTAL TO FORM 990-PF, PART I, LINE 6A	2,362,831.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
DAFNA FUND LP	6,921.	0.	6,921.	6,921.	
FIRST REPUBLIC BANK #12385	102,531.	0.	102,531.	102,531.	
FIRST REPUBLIC BANK #18234	441,024.	99,248.	341,776.	341,776.	
FIRST REPUBLIC BANK #18234 - TRANSFERRED	0.	0.	0.	0.	
ICONIQ STRATEGIC PARTNERS II-B, LP	4.	0.	4.	4.	
ICONIQ STRATEGIC PARTNERS III-B, LP	6.	0.	6.	6.	
LEGACY VENTURE IX, LLC	94.	0.	94.	94.	
LEGACY VENTURES IV, LLC	4,062.	0.	4,062.	4,062.	
LEGACY VENTURES VIII, LLC	1,126.	0.	1,126.	1,126.	
TO PART I, LINE 4	555,768.	99,248.	456,520.	456,520.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
LEGACY VENTURES VIII OTHER INCOME	<500.>	<500.>	
LEGACY VENTURES IV OTHER INCOME	<22.>	<22.>	
VECTOR CAPITAL CREDIT OTHER INCOME	200,664.	200,664.	
FOURTHSTONE OFFSHORE OPP OTHER EXPENSES	65,951.	65,951.	
TOTAL TO FORM 990-PF, PART I, LINE 11	266,093.	266,093.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	40,520.	40,520.		0.
TO FORM 990-PF, PG 1, LN 16B	40,520.	40,520.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FIRST REPUBLIC #006155 FEE	0.	0.		0.
FIRST REPUBLIC #018234 FEE	34,414.	34,414.		0.
FIRST REPUBLIC #012385 FEE	2,327.	2,327.		0.
FIRST REPUBLIC #6855 FEE	0.	0.		0.
TO FORM 990-PF, PG 1, LN 16C	36,741.	36,741.		0.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	7,887.	7,887.		0.
FEDERAL TAXES	50,560.	0.		0.
TO FORM 990-PF, PG 1, LN 18	58,447.	7,887.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GAUGE PARALLEL FUND, LP EXPENSES	9,224.	9,224.		0.
GAUGE CAPITAL PARALLEL II, LP EXPENSES	4,249.	4,249.		0.
LEGACY VENTURE VIII, LLC EXPENSES	64,139.	64,139.		0.
LEGACY VENTURES IV, LLC EXPENSES	7,386.	7,386.		0.
ICONIQ STRATEGIC PARTNERS III-B, LP EXPENSES	31,568.	31,568.		0.
LEGACY VENTURE IX, LLC	13,015.	13,015.		0.
DAFNA FUND, LP EXPENSES	13,352.	13,352.		0.
FORD FINANCIAL FUND III	204.	204.		0.
SKY ISLAND MSC INVESTMENT LP	11,733.	11,733.		0.
ICONIQ STRATEGIC PARTNERS II-B, LP EXPENSES	15,935.	15,935.		0.
OPERATING AND ADMIN EXPENSES	60.	60.		0.
FILING EXPENSES	425.	425.		0.
TO FORM 990-PF, PG 1, LN 23	171,290.	171,290.		0.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	18,632,667.	20,144,591.
TOTAL TO FORM 990-PF, PART II, LINE 10B	18,632,667.	20,144,591.

FORM 990-PF OTHER INVESTMENTS STATEMENT 9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
OTHER INVESTMENTS	COST	15,013,758.	17,081,359.
TOTAL TO FORM 990-PF, PART II, LINE 13		15,013,758.	17,081,359.

FORM 990-PF OTHER ASSETS STATEMENT 10

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
CONTRIBUTION RECEIVABLE	4,233.	0.	0.
DISTRIBUTION RECEIVABLE	0.	357,881.	357,881.
TO FORM 990-PF, PART II, LINE 15	4,233.	357,881.	357,881.

FORM 990-PF OTHER LIABILITIES STATEMENT 11

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
GRANT PAYABLE	624,000.	2,500.
DISTRIBUTION PAYABLE	1.	1.
ACCRUED DIVIDEND	18,346.	11,802.
TOTAL TO FORM 990-PF, PART II, LINE 22	642,347.	14,303.

FORM 990-PF LIST OF SUBSTANTIAL CONTRIBUTORS STATEMENT 12
PART VII-A, LINE 10

NAME OF CONTRIBUTOR	ADDRESS
AJAY SHAH	27241 ALTAMONT RD LOS ALTOS HILLS, CA 94022
LATA SHAH	27241 ALTAMONT RD LOS ALTOS HILLS, CA 94022

FORM 990-PF PART XV - LINE 1A STATEMENT 13
LIST OF FOUNDATION MANAGERS

NAME OF MANAGER

LATA K. SHAH
AJAY B. SHAH