Form **990-PF**

For calendar year 2019 or tax year beginning

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public. 9 12

Go to www irs gov/Form990PF for instructions and the latest information

, and ending

OMB No 1545-0047

Nar	ne of	foundation					A Employer	identification	ı number	
к	KRISHNAN-SHAH FAMILY FOUNDATION, INC.						94-3288599			
							B Telephone			
2	20380 TOWN CENTER LANE, SUITE #175							362-59	90 .	
City	City or town, state or province, country, and ZIP or foreign postal code						ending, check here			
		ERTINO, CA	95014				}		, , , , , , , , , , , , , , , , , , ,	
G (heck	all that apply:	Initial return		ormer public charity		D 1. Foreigr	organizations	s, check here	
			Final return	Amended return			2. Foreign	organizations me	eting the 85% test.	
			Address change	Name change			check h	ere and attach co	eting the 85% test, imputation	
H (X Section 501(c)(3) e		<i>[</i>	14			tus was terminated	
<u></u>		ction 4947(a)(1) nonexemarket value of all assets at a		Other taxable private founda		<u> </u>	1		(A), check here	
		arket value of all assets at t Part II, col. (c), line 16)	· 1 —						60-month termination	
•	·\$	41.886) 903 (Part I. colu	nn (d), must be on cash bas	ıs.)		under sed	11011 307 (0)(1)	(B), check here	
		Analysis of Revenue an	nd Expenses	(a) Revenue and	(b) Net investm	ent	(c) Adıı	usted net	-(d) Disbursements	
75.25	, - <u>x</u> -	The total of amounts in colu- necessarily equal the amoun	mns (b), (c), and (d) may not	expenses per books	income	0		ome	for charitable purposes (cash basis only)	
_	1	Contributions, gifts, grant	ts, etc., received	6,512,628.	07/2024/5/97		i N	A		
	2	Check I if the foundation	n is not required to attach Sch. B							
	3	Interest on savings and tempo cash investments	orary					_	24 P. C.	
	4	Dividends and interest fro	om secunties	456,520.	456,5	20.			STATEMENT 2	
	5a	Gross rents	-							
	b	Net rental income or (loss)								
ē	6a	Net gain or (loss) from sale of Gross sales price for all		2,362,831.					STATEMENT: 1	
Revenue	b Gross sales price for all 8,982,060.			0 6 4 5 7	76.033 7 E 1	888 S S S S S S S S S S S S S S S S S S				
ě	′	Capital gain net income (from			8,645,7	ST •	6.000 4.1.9.4.5			
	֓֞֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓	Net short-term capital gai Income modifications	ın		\$\$\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	893578 893578	F	DE)EIVED	
	102	Gross sales less returns	1				SE 25/27/25/26/26/26/26/26/26/26/26/26/26/26/26/26/			
	Ι.	and allowances Less Cost of goods sold					3000000	5 NOV		
	•	Gross profit or (loss)		\$20000000000 NC NS 1000 100 410 400 410	653 653 E FF 1880			SI NOV	23 2020	
	11	Other income	_	266,093.	266,0	93.			STATEMENT 3	
	12	Total, Add lines 1 throug	jh 11	9,598,072.	9,368,3	64.		OGI	DENSUTS	
	13	Compensation of officers, dire	ectors, trustees, etc	0.		0.			0.	
	14	Other employee salaries a	-							
so.	15 Pension plans, employee benefits		·					ļ		
Expenses		Legal fees	amım 4		40.5					
ĝ	ı	Accounting fees	STMT 4 STMT 5	40,520.	40,5		<u> </u>		0.	
_	1	Other professional fees	STMT 5	36,741.	36,7 27,1				0.	
ativ.	17 18	Interest Taxes	STMT 6	27,175. 58,447.	7 8	87.	<u> </u>		0.	
str	19	Depreciation and depletion		30,117.	,,,		 			
ž.	20	Occupancy	,,,,						39 WARRING SECURITION STATES A SAFE SAFE SAFE SECURITION OF SECURITION SECURITICAL SECURITION SECURITION SECURITION SECURITION SECURITION SECUR	
Adr	21	Travel, conferences, and	meetings							
p	22	Printing and publications	_							
ğ	23	Other expenses	STMT 7	171,290.	171,2	290.			0.	
— LJ Operating and Administrative	24	Total operating and adm								
ğ	1 ° & .	.expenses. Add lines 13 t	_	334,173.	283,6	13.			0.	
, 0	,	Contributions, gifts, gran		1,333,500.					1,333,500.	
•	26	Total expenses and disb	oursements.	1 667 673	202 (112	1		1 222 500	
_	07	Add lines 24 and 25	. 10	1,667,673.	283,6	.⊥J.	10000000000000000000000000000000000000	\$2008.A070.000000	1,333,500.	
	l	Subtract line 26 from line		7,930,399.						
	ſ	Net investment income (1,330,333.	9,084,7	धा <i>वस्त्रद्धाः</i> 7 5 1		<u> </u>		
	i	Adjusted net income (if n					N/	<u>*************************************</u>		
0235			work Reduction Act Notic	e. see instructions.	ASSESSMENT OF THE SUPPRESSER IN 1829	CODENTIC INST			Form 990-PF (2019)	



Form 990-PF (2019)

KRISHNAN-SHAH FAMILY FOUNDATION, INC.

94-3288599

Page 2

ΧÐ	××. »	Ralance Shoots Attached schedules and amounts in the description	Beginning of year	Ena o	it year		
ίľ,	art 🏻	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value		
_	1	Cash - non-interest-bearing	7,979,757.	4,297,072.	4,297,072.		
		Savings and temporary cash investments					
			#25/4/38X4####################################				
	3	Accounts receivable					
		Less; allowance for doubtful accounts		**************************************	8062336638638738653366336634		
	4	Pledges receivable >					
		Less: allowance for doubtful accounts					
		Grants receivable					
	6	Receivables due from officers, directors, trustees, and other		,			
		disqualified persons		4444	110 - COVA 118 - AN AN - COMMON S NA - AN AND		
	7	Other notes and loans receivable					
		Less; allowance for doubtful accounts			<u> </u>		
ts	8	Inventories for sale or use					
Assets	9	Prepaid expenses and deferred charges					
Ä	10a	Investments - U.S. and state government obligations					
		Investments - corporate stock STMT 8	15,357,747.	18,632,667.	20,144,591.		
		Investments - corporate bonds					
		Investments - land, buildings, and equipment basis	3/4/4/4/2019/00/2019/00/4/2019		5/4003679************************************		
	' '	Less accumulated depreciation			**************************************		
•	12	Investments - mortgage loans					
		Investments - other STMT 9	7,657,286.	15,013,758.	17,081,359.		
			P%: 35808\ft. 4.88% 868 66	300000000000000000000000000000000000000			
	14	Land, buildings, and equipment basis					
	١	Less accumulated depreciation Other assets (describe STATEMENT 10)	4,233.	357,881.	357,881.		
	ı		4,233.	337,001.	337,001.		
	16	Total assets (to be completed by all filers - see the	20 000 022	20 201 270	41 000 002		
_	<u> </u>	instructions. Also, see page 1, item I)	30,999,023.	38,301,378.	41,880,903.		
	17	Accounts payable and accrued expenses		·	2 - TO SECOND 1 200 7 - 200 1		
	18	Grants payable					
es	-19	Deferred revenue					
Ħ	20	Loans from officers, directors, trustees, and other disqualified persons					
Liabilities	21	Mortgages and other notes payable					
_	22	Other liabilities (describe STATEMENT 11)	642,347.	14,303.			
		-					
	23	Total liabilities (add lines 17 through 22)	642,347.	14,303.			
		Foundations that follow FASB ASC 958, check here					
ý		and complete lines 24, 25, 29, and 30.					
ဦ	24	Net assets without donor restrictions					
Balances		Net assets with donor restrictions					
		Foundations that do not follow FASB ASC 958, check here	· · · · · · · · · · · · · · · · · · ·				
Fund		and complete lines 26 through 30.					
Net Assets or Fu	26	Capital stock, trust principal, or current funds	6,226,819.	6,226,819.			
	ı	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	500 100 100 100 100 100 100 100 100 100		
	27		24,129,857.	32,060,256.			
As	28	Retained earnings, accumulated income, endowment, or other funds	30,356,676.		\$2573532772666555X4632X47474		
det	29	Total net assets or fund balances	30,330,070.	30,201,013.	2004/2002/2007/2007/2007/2007/2007/2007/		
_			30,999,023.	38,301,378.			
_	30	Total liabilities and net assets/fund balances	1 30,301,370.				
Partilli Analysis of Changes in Net Assets or Fund Balances							
1	1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29						
	(mu:	30,356,676.					
2	Ente	2	7,930,399.				
		r increases not included in line 2 (itemize)		3	0.		
		lines 1, 2, and 3		4	38,287,075.		
		reases not included in line 2 (itemize)		5	0.		
		I net assets or fund balances at end of year (line 4 minus line 5) - Part II, c	olumn (b), line 29	6	38,287,075.		

Part IV Capital Gains a	nd Losses for Tax on In	vestment	Income						
(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)					low ac - Purch - Dona	iase į '	c) Date a (mo., da		(d) Date sold (mo., day, yr.)
1a									
b SEE ATTACHED	STATEMENTS			_					ļ
<u>C</u>			·	├					
d	· · · · · · · · · · · · · · · · · · ·			├			-		
e	40.0	() ()		┖			(1) (2)		<u>L</u>
(e) Gross sales price	(f) Depreciation allowed (or allowable)	10,	t or other basis xpense of sale					ain or (loss (f) minus	
a									
b									
C				+					
e 8,982,060.			336,30	 					8,645,751.
	gain in column (h) and owned by	he foundation				41)	Corne /C	ol. (h) gaın	
Complete only for assets showing					-	col.	(k), but n	ot less tha	n -0-) or
(i) FMV as of 12/31/69 (j) Adjusted basis as of 12/31/69			(k) Excess of col. (i) col. (k), but not less than -0-) over col. (j), if any Losses (from col. (h))			(h)) ´			
_a									
b	······								
C									
d									0 645 751
e				_ -			-		8,645,751.
2 Capital gain net income or (net cap	If gain, also enter (If (loss), enter -0	in Part I, line in Part I, line	7 7	}[2			<u> </u>	8,645,751.
3 Net short-term capital gain or (loss	as defined in sections 1222(5) an	id (6):		ι. Ι					
If gain, also enter in Part I, line 8, c	olumn (c).			M				27/2	
If (loss), enter -0- in Part I, line 8		N	Tana an Mas		3			N/A	<u> </u>
	nder Section 4940(e) for					ient inco	Jille		
(For optional use by domestic private f	foundations subject to the section 4	1940(a) tax on	net investment in	icome	:.)				
If section 4940(d)(2) applies, leave this	s part blank.								
									Yes X No
Was the foundation liable for the section			•	LIOQ					Yes _A No
If "Yes," the foundation doesn't qualify 1 Enter the appropriate amount in ea				ntrioc					
(a)		isu uctions de	lore making any e				1		(d)
Base period years Calendar year (or tax year beginning	(b) Adjusted qualifying dis	tributions	Net value of no	(c) onchar		use-assets		Distrit (col. (b) do	(d) bution ratio vided by col. (c))
2018	y "') , , , , , , , , , , , , , , , , , ,	5,506.	-	28	0.8	0,459		(001. (0) 011	• 044355
2017		2,675.				$\frac{3,135}{1,146}$.038569
2016		$\frac{2,573}{1,582}$				9,866			.039267
2015		6,961.				7,406			.035767
2014		4,641.				0,233			.038548
2014		1,0111			, , ,	0,200	1		
2 Total of line 1, column (d)							2		.196506
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years						H			
the foundation has been in existence if less than 5 years						3		.039301	
					H				
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5					4	3	31,427,179.		
									1 005 100
5 Multiply line 4 by line 3					5		1,235,120.		
									00 040
6 Enter 1% of net investment income	e (1% of Part I, line 27b)						6		90,848.
									1 205 000
7 Add lines 5 and 6							7		1,325,968.
8 Enter qualifying distributions from	Part XII, line 4						8		1,333,500.
If line 8 is equal to or greater than		1b, and comp	lete that part usin	ng a 1º	% tax r	rate.			· · · · · · · · · · · · · · · · · · ·
See the Part VI instructions.									

KRISHNAN-SHAH FAMILY FOUNDATION, INC. Part IV Capital Gains and Losses for Tax on Investment Income

Part IV Capital Gains and Los	ses for Tax on Investment Income							
(a) List and	describe the kind(s) of property solo	d, e.g., real estate,	(b) How acquired (c) Date acquired (d) Date sold					
2-story bri	ck warehouse; or common stock, 20	00 shs. MLC Co.	D - Donation (mo., day, yr.) (mo., day, yr.)					
1a 438 SHS ALTERYX	TNC COM CL A	-	03/24/17/08/16/19					
b 1,965 SHS COUPA		OM	10/06/16/11/05/19-					
		OM						
c 296 SHS ALTERY			08/13/1908/16/19					
d 1,062 SHS FASTI			11/15/19/12/02/19					
e 85 SHS GLOBANT	S A COM ISIN #L	U0974299876	07/27/0708/22/19					
1 1,915 SHS GLOBA	ANT S A COM ISIN	#LU0974299876	07/27/0708/22/19					
g 1,797 SHS GLOBA	ANT S A COM ISTN	#LU0974299876	07/27/0708/22/19					
	S A COM ISIN #		07/27/07/08/22/19					
		ES ISIN#NL001296						
130 SHS ADYEN I		LES ISIN#NL00129						
k 68 SHS ADYEN B	V PARTS SOCI AL	ES ISIN#NL001296	91 09/17/1512/31/19					
75 SHS ALTERYX			09/22/1508/16/19					
m 224 SHS ALTERYX INC COM CL A 09/22/15/08/16/19								
			09/22/15/08/16/19					
₀ 296 SHS ALTERY	X INC COM CL A		09/22/15 08/16/19					
(e) Gross sales price	(f) Depreciation allowed	(g) Cost or other basis	(h) Gain or (loss)					
(e) Gloss sales price	(or allowable)	plus expense of sale	(e) plus (f) minus (g)					
a 58,208.		6,132.	52,076.					
050 501		35,370.	218,351.					
c 39,337.		4,043.	35,294.					
d 20,815.		6,850.	13,965.					
e 8,124.		129.	7,995.					
183,032.		2,905.	180,127.					
171 206	· ***	2,726.	168,570.					
40 254		308.	19,043.					
14,000.		1,506.	12,494.					
91,000.		9,790.	81,210.					
k 52,848.		5,121.	47,727.					
9,967.		1,025.	8,942.					
m 29,769.		3,060.	26,709.					
F 94 F		587.	5,128.					
20 227		4,043.	35,294.					
Complete only for assets showin	g gain in column (h) and owned by	the foundation on 12/31/69	(I) Losses (from col. (h))					
(I) F M V(10/01/00	(j) Adjusted basis	(k) Excess of col. (i)	Gains (excess of col. (h) gain over col. (k), but not less than "-0-")					
(i) F.M.V. as of 12/31/69	as of 12/31/69	over col. (j), if any	טטנ ווטנ ופא נוומוו -ט-)					
a			52,076.					
 			218,351.					
<u>b</u>			35,294.					
С								
d			13,965.					
e			7,995					
f			180,127.					
9	· · · · · · · · · · · · · · · · · · ·		168,570.					
y h			19,043.					
"			12,494.					
1	<u></u>							
1			81,210.					
k			47,727.					
1			8,942.					
m			26,709.					
		 	5,128.					
<u>n</u>		ļ						
0		l	35,294.					
	. If now also enter	r in Part I, line 7						
2 Capital gain net income or (net ca	pital loss) { if (loss), enter "-(or in Part I, line 7 D-" in Part I, line 7	2					
2. Not about targe sental sain as the	• • •							
3 Net short-term capital gain or (los If gain, also enter in Part I, line 8,	s) as defined in sections 1222(5) ar	iu (<i>u)</i> .						
If (loss), enter "-0-" in Part I, line 8		ſ	3					
n (1000), onto: O in raiti, line c	<u>-</u>		<u> </u>					

KRISHNAN-SHAH FAMILY FOUNDATION, INC.
Part IV Capital Gains and Losses for Tax on Investment Income

b 19,103. c 39,573. d 54,618. e -42,792. f 176,837. g 1,011,558. h 48,004. c 431,825. J 187,784. k 53,241.	Part IV Capital Gains and Losses for Tax on Investment Income									
1 1 161 SHS ALTERYX INC COM CL A		P - Purchase (What day we')								
C 1,086 SHS CHEGG INC COM	1a 497 SHS ALTERYX	INC COM CL A		10/05/1508/16/19						
1,381 SHS CHEGG INC COM										
1,082 SHS CHEGG INC COM	c 1,086 SHS CHEGO	INC COM								
1	d 1,381 SHS CHEGO	INC COM								
9 25, 800 SHS CHEGG INC COM										
1, 201 SHS CHEGG INC COM										
11,000 SHS CHEGG INC COM										
1 4,799 SHS CHEGG INC COM										
R 438 SHS COUPA SOFTWARE INC C OM										
1 3,164 SHS COUPA SOFTWARE INC C OM										
m 536 SHS COUPA SOFTWARE INC C OM										
n 97 SHS COUPA SOFTWARE INC C OM										
Complete only for assets showing garn in column (b) and owned by the foundation of the column (c) (f) Depreciation allowed (g) Cost or other basis plus expense of sale (e) plus (f) minus (g) (h) Garn or (loss) (e) plus (f) minus (g) (h) Garn or (loss) (e) plus (f) minus (g) (h) Garn or (loss) (e) plus (f) minus (g) (h) Garn or (loss) (e) plus (f) minus (g) (h) Garn or (loss) (e) plus (f) minus (g) (h) Garn or (loss) (e) plus (f) minus (g) (h) Garn or (loss) (e) plus (f) minus (g) (h) Garn or (loss) (e) plus (f) minus (g) (h) Garn or (loss) (e) plus (f) minus (g) (h) Garn or (loss) (e) plus (f) minus (g) (h) Garn or (loss) (e) plus (f) minus (g) (h) Garn or (loss) (e) plus (f) minus (g) (h) Garn or (loss) (e) plus (f) minus (g) (h) Garn or (loss) (e) plus (f) minus (g) (h) Garn or (loss) (loss (loss) (loss) (loss) (loss (loss) (los										
(e) Gross sales price (f) Depreciation allowed (or allowable) (g) Cost or other basis plus expense of sale (h) Gain or (loss) a 66, 049. 5, 910. 60, 139. b 21, 396. 2, 293. 19, 103. c 44, 362. 4, 789. 39, 573. d 56, 413. 1, 795. 54, 618. e 44, 199. - 1, 407. 42, 792. f 181, 820. 4, 983. 176, 837. g 1, 040, 441. 28, 883. 1, 011, 558. h 49, 349. 1, 345. 48, 004. i 444, 140. 12, 315. 431, 825. j 193, 156. 5, 372. 187, 784. k 60, 564. 7, 323. 53, 241. i 437, 498. 52, 902. 384, 596. m 74, 115. 8, 962. 65, 153. n 13, 413. 1, 746. 11, 667. c 210, 724. 27, 287. 183, 437. Gains (excess of col. (h) gain over col. (k), but not less th										
Complete only for assist showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assist showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assist showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assist showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assist showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assist showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assist showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assist showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assist showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assist showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assist showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assist showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assist showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assist showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assist showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assist showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assist showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assist showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assist showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assist showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assist showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assist showing gain in column (h) and owned by the f	0 1,632 SHS COUPA									
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c 39,573. d 54,618. e -42,792. f 176,837. g 1,011,558. h 48,004. I 187,784. k 53,241. I 384,596.	a			60,139.						
d 54,618. e -42,792. f 176,837. g 1,011,558. h 48,004. I 187,784. k 53,241. I 384,596.	b									
e -42,792. f 176,837. g 1,011,558. h 48,004. i 431,825. j 187,784. k 53,241. i 384,596.	C									
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1 431,825. 187,784. k 53,241. 384,596.	g									
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53,241. 1 384,596.	1									
384,596.	<u>J</u>									
	k									
1 I EE 163	<u> </u>			65,153.						
11 667				11,667.						
193 437			 	183,437.						
		, If nam also ente	er in Part I. line 7							
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 } 2	- , ,		, i	2						
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	If gain, also enter in Part I, line 8,	column (c).	na (6):	3						

<u>94-3288599</u>

	CONTINUATION	FOR 90-P
KRISHNAN-SHAH FAMILY FOUNDATION, INC.	94-3288599	PAGE
Part IV Capital Gains and Losses for Tax on Investment Income		

Part IV Capital Gains and Losses for Tax on Investment Income										
(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co. (b) How acquired P - Purchase D - Donation (mo., day, yr.)										
1a 810 SHS FASTLY	INC CL A		07/24/1512/02/19							
b 4,200 SHS PENU			12/-29/04/01/04/19							
	MBRA INC COM		12/29/04/01/08/19							
d 1,925 SHS PENU			11/23/10/01/08/19							
e 4,075 SHS PENU			11/23/10/01/15/19							
125 SHS PENUMB			11/23/10/01/15/19							
g 5,000 SHS PENU			02/28/0608/22/19							
h 5,500 SHS PENU			02/28/06/08/22/19							
+ 2,500 SHS PENU			02/28/0608/23/19							
1 2,500 SHS PENU			02/28/0608/25/19							
k 2,083 SHS PENU		· · · · · · · · · · · · · · · · · · ·	02/28/0608/20/1							
1 3,242 SHS PENU			02/28/0612/19/19							
			02/28/0012/19/1							
m LEGACY VENTURE VIII, LLC										
n LEGACY VENTURE VIII, LLC										
0 LEGACY VENTURE	, 									
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)							
a 15,876.		5,225.	10,651							
b 511,553.		6,857.	504,696							
c 281,864.		3,714.	278,150							
d 238,500.		19.	238,481							
e 535,096.		41.	535,055							
f 16,414.		1.	16,413							
g 719,985.		15,950.	704,035							
h 797,978.		17,545.	780,433							
359,868.		7,975.	351,893							
356,118.		7,975.	348,143							
k 329,977.		6,645.	323,332							
1 514,735.		10,342.	504,393							
m		862.	<862							
n 12,206.										
2,189.										
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col. (h))										
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (excess of col. (h) gain over col. (k), but not less than "-0-")							
<u>a</u>			10,651							
b			504,696							
C			278,150							
d			238,481							
e			535,055							
f			16,413							
0		<u> </u>	704,035							
<u>g</u>			780,433							
<u>h</u>			351,893							
<u> </u>			348,143							
<u> </u>		<u> </u>	323,332							
<u>K</u>		<u> </u>								
<u> </u>		<u> </u>	504,393							
<u>m</u>			<862 12, 206							
<u>n</u>		<u> </u>	12,206							
0	L		<2,189							
2 Capital gain net income or (net ca	apital loss) { If gain, also enter If (loss), enter "-0	in Part I, line 7 I-" in Part I, line 7	2							
3 Net short-term capital gain or (lo	ss) as defined in sections 1222(5) an	, I								
If gain, also enter in Part I, line 8, If (loss), enter "-0-" in Part I, line	column (c).	}	3							
			<u></u>							

KRISHNAN-SHAH FAMILY FOUNDATION, Part IV | Capital Gains and Losses for Tax on Investment Income (b) How acquired P - Purchase (a) List and describe the kind(s) of property sold, e.g., real estate, (c) Date acquired (d) Date sold 2-story brick warehouse; or common stock, 200 shs. MLC Co. (mo., day, yr.) (mo., day, yr.) D - Donation 1a LEGACY VENTURE IV, LLC b ICONIO STRATEGIC PARTNERS III-B-, c ICONIQ STRATEGIC PARTNERS III-B, LP d LEGACY VENTURE IX, LLC e DAFNA FUND, LP SERIES f DAFNA FUND, LP SERIES g ICONIQ STRATEGIC PARTNERS II-B, LP h VECTOR CAPITAL CREDIT OPP-PFIC | FOURTHSTONE OFFSHORE OPP-ADJ FOR CONTRIBUTED STOCK k CAPITAL GAINS DIVIDENDS m n 0 (f) Depreciation allowed (g) Cost or other basis (h) Gain or (loss) (e) Gross sales price (e) plus (f) minus-(g) plus expense of sale (or allowable) 91,859. 91,859 a 45,443. 45,443. b 12,635. 12,635. C 62. <62.> d 13,684. 13,684. е 21,878. 21,878. 23,830. 23,830. g 25,258. 25,258. h 23,896. 23,896. 0. 99,248. 99,248 m 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), (j) Adjusted basis (k) Excess of col. (i) but not less than "-0-") (i) F.M.V. as of 12/31/69 as of 12/31/69 over col. (j), if any 91,859. 45,443. b 12,635. C <62.> d 13,684. e 21,878. 23,830. 25,258. h 23,896. О. 99,248. m Π 0

 $\{\begin{array}{l} \mbox{If gain, also enter in Part I, line 7} \\ \mbox{If (loss), enter "-0-" in Part I, line 7} \end{array}\}$

2

8,645,751.

N/A

If (loss), enter "-0-" in Part I, line 8

2 Capital gain net income or (net capital loss)

If gain, also enter in Part I, line 8, column (c).

Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):



KRISHNAN-SHAH FAMILY FOUNDATION, INC. 94-3288599 Form 990-PF (2019) Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions 1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. (attach copy of letter if necessary-see instructions) Date of ruling or determination letter: 90,848. b Domestic foundations that meet the section 4940(e) requirements in Part V, check here 🕒 💹 and enter 1% of Part I, line 27b c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b) 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) 90,848. 3 3 Add lines 1 and 2 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) 90,848 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-107,761 a 2019 estimated tax payments and 2018 overpayment credited to 2019 0 **b** Exempt foreign organizations - tax withheld at source 0 c Tax paid with application for extension of time to file (Form 8868) 6с 0. d Backup withholding erroneously withheld 107,761. 7 Total credits and payments. Add lines 6a through 6d 107. 8 Enter any penalty for underpayment of estimated tax. Check here L if Form 2220 is attached R 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 9 16,806. 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 16,806 . Refunded 11 Enter the amount of line 10 to be; Credited to 2020 estimated tax Part VII: A Statements Regarding Activities Yes No 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition 1b If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. c Did the foundation file Form 1120-POL for this year? d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: 0 • (2) On foundation managers. ▶ \$ (1) On the foundation. ▶ \$ e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. > \$ 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4a 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? N/A 4b b If "Yes," has it filed a tax return on Form 990-T for this year? 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T . 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or By state legislation that-effectively amends the governing instrument so that no mandatory directions-that conflict with the state law remain in the governing instrument? 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV 8a Enter the states to which the foundation reports or with which it is registered. See instructions. CA b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV 10 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses STMT

Form **990-PF** (2019)

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orm 990-PF (2019) KRISHNAN-SHAH FAMILY FOUNDATION, INC.	94

-3288599 Page 5

11 At any time during the year, did the foundation, directly or indirectly, own a coinfolded entity within the meaning of section 512(h) (13)? If "Yes," aftech schedule. See instructions 12 (b) of the foundation make a distribution to a General advised fund over which the foundation or a desqualided person had advisory privileges? 12 X 17 The books are in care of ₱ PREALTZE CPA, LLP Templation or a desqualided person had advisory privileges? 13 The books are in care of ₱ PREALTZE CPA, LLP Templation or the privileges of the control of the control of the count of the count interest received or accred during the year. The control 437(4)(1) noniversing characteristic fining from 990-PF in law of Form 1041 - check here and enter the amount of tax-eventif interest received or accred during the year. 15 Section 437(4)(1) noniversing characteristic fining from 990-PF in law of Form 1041 - check here and enter the amount of tax-eventif interest received or accred during the year. 16 All any time during celendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other instructions for exceptions and fining requirements for FinCRF Form 1141. If Yes, enter the name of the foreign country Personal Personal Country Personal C	ĮŖ;	art VII A Statements Regarding Activities (continued)		_	
section 512(b)(13)? If Yes, attach schedule. See instructions 10 of the foundation make a distribution to a donor advised fund over which the foundation or a dequalified person had advisory privileges? 11 Yes, attach statement. See instructions 12		,		Yes	No
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Webster address > N/A 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Webster address > N/A 14 The books are on our of > REALIZE CPA, LLP Telephone no. > 415-362-5990 Located at > 50 CALIFORNIA STREET, SUITE 3550, SAN FRANCISCO, Section 4947(41) nonexempt charatible trusts fing form 509-Fir in the of Form 1441-toths kere and enter the amount of tax-exempt interest received or accrued during the year All any firm during cleandary are 2019, due the foundation have an interest in or a signature or other authority over a bank. Yes No sections, or other financial account in a foreign country? See the interturbions for exceptions and fining requirements for FinCEN Form 114, iif Yes, enter the name of the foreign country Yes No See the interturbion for exceptions and fining requirements for FinCEN Form 114, iif Yes, enter the name of the foreign country Yes No See the interturbion for exceptions and fining requirements for FinCEN Form 114, iif Yes, enter the name of the foreign country Yes No See the interturbion for exceptions and fining requirements for FinCEN Form 114, iif Yes, enter the name of the foreign country Yes No See the interturbion for exceptions and fining requirements for FinCEN Form 114, iif Yes, enter the name of the foreign country Yes No See the interturbion foreign of the sack section of the foreign of the property of the sack section of the foreign of the foreign of the sack section of the foreign of the foreign of the foreign of the sack	11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of			
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Website address ▶ N/A 11 The books are in care of ▶ REALIZE CPA LLP 12 The books are in care of ▶ REALIZE CPA LLP 13 Section 4947(a)(1) nonexempt chantable trusts fling Form 990-PF in like of Form 1941 - Check here and either the amount of tax-eventy interest received or accrued during the year 16 At any time during calendary year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 17 See the instructions for exceptions and fining requirements for FinCEN Form 114. If Yes, "enter the name of the fooreign country. 18 Part.VII-B3] Statements Regarding Activities for Which Form 4720 May Be Required 19 File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 10 During the year, did the foundation (effect directly or indirectly). 11 Engage in the sale or exchange, or leasing of property with a disqualified person? 12 Borrow money from, lend money to, or otherwise extend credit to (or accept if from) a disqualided person? 13 Furnish goods, services, or facilities to (or accept them from) a disqualified person? 14 File State or pay or cerebruse the expenses of, a disqualified person? 15 File foundation appears to make a girant to or to employ the official for a period after termutation of powerments except, efficient and within 90 days. 16 Agree to pay money or property to a government official? (Exception. Check 'No' 18 the foundation engage in a pror year in any of the acts fail to quality under the exceptions described in Regulations section 9404(a) of an activation of advanced to the section of the payman of the provisions of section 4942(a) of the payman of the payman of t		, , , , , , , , , , , , , , , , , , ,	\rightarrow		
14. The books are in care of ▶ RRALIZE CPA, LIP relephone no. ▶ 415 – 362 – 5990 Located at ▶ 50 CALIFORNIA STREET, SUITE 3550, SAN FRANCISCO, 2(P+4 ▶ 94111 15. Section 497(a)(1) nonexempt charitable trusts filing Form 990-PF in Neu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year. 16. All any time during clainedar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16. All any time during clainedar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16. All any time during clained year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial financi	13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13		<u>X</u>
Located at \$ 50 CALTFORNITA STREET, SUITE 3550, SAN FRANCISCO, IP-4 \$94111 Section 4947(a)(1) nonexempt charable trusts flings form 990-PF in lev of Form 1041 - check here and enter the amount of tax-exempt interest received or accreed during the year 16. At any time during calendary year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial cacciount in a foreign country? See the instructions for exceptions and fling requirements for FinCEN Form 114, If Yes, enter the name of the foreign country. Part VII:B) Statements Regarding Activities for Which Form 4720 May Be Required. File Form 4720 if any Item is checked in the "Yes" column, unless an exception applies. 1a During they say, did the foundation (either directly or ordinectly). (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or remburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or see of a disqualified person (or make any of either available for the benefit or see of a disqualified person (or make any of either available for the person of operation of operation of operation of section 4942(a) of the acts discribed in 1a, other than excepted acts, that were not corrected before the first day of the tax year of 942 (a) of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year of 942 (a) of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year of 942 (a) of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year of 942 (a) of the acts d					
Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in Neu of Form 1041 - check here and enter the amount of tax-exempt included or accrued during the year and enter the amount of tax-exempt included or accrued during the year 1. At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and fining requirements for FinCEN Form 114. If Yes, enter the name of the foreign country? See the instructions for exceptions and fining requirements for FinCEN Form 147.07 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year, did the foundation (either directly or indirectly). (1) Engage in the sale or exclanage, or leasing of property with a dequalified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or remburse the expenses of, a disqualified person? (5) Transfer any income or assets to a desqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation argaed in or make a grant to or the employ the official for a period after termination of government service, if terminating within 90 days.) If any answer is 'Yes' to 14(1)-6, did any of the acts fail to qualify under the exceptions described in Regulations section \$3.4941(a)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here 1b Are there any years listed of the xyear beginning in 2019 as a resul	14			3 90	
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See the instructions for exceptions and filing requirements for FinCEN Form 114. If Yes, "enter the name of the foreign country Part VIIES Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year, did the foundation (either directly or indirectly). (1) Engage in the sale or exchange, or lessing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or remburse the expenses of, a disqualified person? (5) Transler any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the disqualified person) (or make any of either available for the disqualified person) (or make any of either available for the disqualified person and state as a state of a disqualified person (or make any of either available for the disqualified person (or make any of either available for a person disqualified person (or make any of either available person disqualified person disqualified person disqualified person disqualified person disqualified person disq	16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank,	$\overline{}$	Yes	
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File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year, did the foundation (either directly) or indirectly). (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person) or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation argent to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b-if any answer is Yes' to 1a(1)-(6), vid. any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance, check here c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? 2 Taxes-on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(a) or 4942(h)(3) or 4942(h)(3).) a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? b) Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach by the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach by the ye		foreign country	\$50000 E	***	\$5,883
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a disqualified person?		(.,			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?					
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government-service, if terminating within 90 days.) b-If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first sday of the tax year beginning in 2019? Taxes-on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5)). a At the end of tax year(s) beginning before 2019? If "Yes," list the years b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (if applying section 4942(a)(2) to all years listed, answer 'No' and attach statement- see instructions.) If "Yes," did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. About the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? If yes (3) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or beq					
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Form 990-PF (2019) KRISHNAN-SHAH FAMILY FOU			94-32003	33 Page 6
Rart VILE Statements Regarding Activities for Which F	orm 4/20 May Be F	required (continu	ued)	N SAIR 1
5a During the year, did the foundation pay or incur any amount to:				Yes No
(1) Carry on propaganda, or otherwise attempt to influence legislation (section	1 4945(e))?	Ye	es 🗶 No 💈	
(2) Influence the outcome of any specific public election (see section 4955); or	r to carry on, directly or indire	ctly,	 	
any voter registration drive?		Ye	es 🗓 No 🕌	
-(3) Provide a grant to an individual for travel, study, or other similar purposes	7		s 🗓 No 🖔	
(4) Provide a grant to an organization other than a charitable, etc., organization		•	-	
	i described in section		s X No	
4945(d)(4)(A)? See instructions			ട ചെസ് 🎇	
(5) Provide for any purpose other than religious, charitable, scientific, literary,	or educational purposes, or to		[37] (8)	
the prevention of cruelty to children or animals?			es 🛣 No 📳	
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify und		n Regulations		
section 53.4945 or in a current notice regarding disaster assistance? See instru	uctions		N/A	5b
Organizations relying on a current notice regarding disaster assistance, check h	nere			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption fr	om the tax because it maintai	ned		
expenditure responsibility for the grant?		/A 🗀 Ye	es 🗔 No 🛭	
If "Yes," attach the statement required by Regulations section 53.4945-5(d).	•			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to j	nav premiume on		[2]	
	bay premiums on		es 🗶 No 🖔	
a personal benefit contract?			2 LXX 110 . 3	6b X
b Did the foundation, during the year, pay premiums, directly or indirectly, on a p	ersonal benefit contract?		<u> </u>	7.0.5 36.8880 2885 (1)
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax s		Ye	es 🗓 No	
b If "Yes," did the foundation receive any proceeds or have any net income attribu	table to the transaction?		N/A	7b
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$	1,000,000 in remuneration or		333	
excess parachute payment(s) during the year?		Ye	es 🗶 No 🦠	
Part VIII Information About Officers, Directors, Trust	ees, Foundation Ma	nagers, Highly	 _	
Paid Employees, and Contractors	,			
1 List all officers, directors, trustees, and foundation managers and t	heir compensation.	•	•	
	(b) Title, and average	(c) Compensation	(d) Contributions to	(e) Expense account, other
(a) Name and address	hours per week devoted to position	(If not paid, enter -0-)	employee benefit plans and deferred	account, other allowances
LATA K. SHAH	PRESIDENT/DIR		compensation	4.10.11.000
27241 ALTAMONT ROAD	EKEDIDENI/DIK 	ECTOR		Ì
	1.00	0.	0.	0.
LOS ALTOS HILLS, CA 94022			, 0.	
	SECRETARY/DIR	ECTOR -		1
27241 ALTAMONT ROAD				ا،
LOS ALTOS HILLS, CA 94022	1.00	0.	0.	0.
RAJ KRISHNAN	DIRECTOR			
27241 ALTAMONT ROAD			١.	
LOS ALTOS HILLS, CA 94022	1.00	0.	0.	0.
			·	
				}
2 Compensation of five highest-paid employees (other than those inc	luded on line 1). If none,	enter "NONE."		• • • • • • • • • • • • • • • • • • • •
	(b) Title, and average		(d) Contributions to employee benefit plans	(e) Expense account, other
(a) Name and address of each employee paid more than \$50,000	hours per week devoted to position	(c) Compensation	and deterred	account, other allowances
NONE	devoted to position		compensation	unowanices
NONE				İ
		-		
,	•	1		1
				
	1			
			ļ	-
	Ι,			
•	·	<u> </u>	<u> </u>	ļ .
	<u> </u>	<u>-</u>	<u></u>	
Total number of other employees paid over \$50,000			>	0

Paid Employees, and Contractors (continued)	· · · · · · · · · · · · · · · · · · ·	
3 Five highest-paid independent contractors for professional services. If none, enter "NC (a) Name and address of each person paid more than \$50,000		L (a) Companyation
	(b) Type of service	(c) Compensation
NÖNE		
		D 0
Total number of others receiving over \$50,000 for professional services Part IX-A Summary of Direct Charitable Activities		<u>▶</u> [0
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical in number of organizations and other beneficiaries served, conferences convened, research papers produced, 1 N/A	oformation such as the etc.	Expenses
2		
3		
4		
Part IX-B Summary of Program-Related Investments Describe the two largest program-related investments made by the foundation during the tax year on lines	1 and 2	Amount
1 N/A	1 4110 2.	Aniount
2		
All other program-related investments. See instructions.		
3		

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Total. Add lines 1 through 3

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P	art X Minimum Investment Return (All domestic foundations	must complete this pa	art Foreign four	ndations	s, see instructions)
1	Fair market value of assets not used (or held for use) directly in carrying out charitat	ole, etc., purposes:			
а	Average monthly fair market value of securities			1a	16,340,817.
	Average of monthly cash balances			1b	5,547,770.
	Fair market value of all other assets			1c	10,017,178.
	Total (add lines 1a, b, and c)			1d	31,905,765.
	Reduction claimed for blockage or other factors reported on lines 1a and				
	1c (attach detailed explanation)	1e	0.		
2	Acquisition indebtedness applicable to line 1 assets		·	2	0.
3	Subtract line 2 from line 1d			3	31,905,765.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amoun	t, see instructions)		4	478,586.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and c	on Part V, line 4		5	31,427,179.
6	Minimum investment return. Enter 5% of line 5			6	1,571,359.
P	art XI Distributable Amount (see instructions) (Section 4942(j)(3) a foreign organizations, check here and do not complete this part		ng foundations an	d certair	n
1	Minimum investment return from Part X, line 6		·	1	1,571,359.
2a		2a	90,848.		
Ь		2b			
C				2c	90,848.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	90,848. 1,480,511.
4	Recoveries of amounts-treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	1,480,511.
6	Deduction from distributable amount (see instructions)			6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Par	t XIII, line 1		7	1,480,511.
P	art XII Qualifying Distributions (see instructions)				
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., pu	rposes:			1 222 500
а				1a	1,333,500.
b				1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charita	able, etc., purposes		2	
3	Amounts set aside for specific charitable projects that satisfy the:				
	Suitability test (prior IRS approval required)			3a	
b	Cash distribution test (attach the required schedule)	-		3b	1 222 500
4	Qualifying distributions. Add lines 1a.through 3b. Enter here and on Part V, line 8;			4	1,333,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net inv	restment			00.040
	income. Enter 1% of Part I, line 27b			5	90,848. 1,242,652.
6	Adjusted qualifying distributions. Subtract line 5 from line 4			6	
	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years 4940(e) reduction of tax in those years.	when calculating whether	er the foundation (qualifies	for the section

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 ,Distributable amount for 2019 from Part XI,				1 400 511
line 7			<u> </u>	1,480,511.
2 Undistributed income, if any, as of the end of 2019			1,169,098.	
a Enter amount for 2018 only b Total for prior years:		<u> </u>	***************************************	
protein prior years.		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2019 from				
Part XII, line 4. \blacktriangleright \$ 1,333,500.			1 160 000	
a Applied to 2018, but not more than line 2a			1,169,098.	
b Applied to undistributed income of prior		0.		
years (Election required - see instructions)		V •		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				164,402.
e Remaining amount distributed out of corpus	0.	2.7		
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount				
must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.		2000/10.00/2000/2000/2000/2000/2000/2000	
b Prior years' undistributed income. Subtract		,		
line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously		0.		
assessed d Subtract line 6c from line 6b. Taxable	3653 C 5 242	0.		
amount - see instructions		l . o.		
e Undistributed income for 2018. Subtract line			2007, 400, 7600, 204, 204, 204, 204, 204, 204, 204, 2	
4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2019. Subtract				
lines 4d and 5 from line 1. This amount must				
be distributed in 2020				1,316,109.
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by	,			
section 170(b)(1)(F) or 4942(g)(3) (Election	_			
may be required - see instructions)	0.		**************************************	4
8 Excess distributions carryover from 2014	0.			
not applied on line 5 or line 7 9 Excess distributions carryover to 2020.	•			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019				

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		LY FOUNDATI		94-3 N/A	288599 Page 10
Part XIV Private Operating Fo	<u>.</u>		A, question 9)	N/A	
1 a If the foundation has received a ruling or					
foundation, and the ruling is effective for	1		• • • • • • • • • • • • • • • • • • •	4040(1)(2) 05	1040/.\/E\
b Check box to indicate whether the found		ig foundation described i		4942(j)(3) or4	4942(j)(5)
2 a Enter the lesser of the adjusted net	Tax year (a) 2019	(b) 2018	Prior 3 years (c) 2017	(d) 2016	(e) Total
income from Part I or the minimum	(a) 20 Pg	(0) 20 10	(0) 2017	(0)2010	(6) 10(4)
investment return from Part X for					
each year listed		<u></u>			<u> </u>
b 85% of line 2a					
c Qualifying distributions from Part XII,					
line 4, for each year listed					
d Amounts included in line 2c not					
used directly for active conduct of					
exempt activities					
e Qualifying distributions made directly					
for active conduct of exempt activities.		\ 			
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:				İ	
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying					
under section 4942(j)(3)(B)(i)				\	1
b "Endowment" alternative test - enter 2/3 of minimum investment return					
shown in Part X, line 6, for each year					
listed					
c "Support" alternative test - enter:					1
(1) Total support other than gross					
investment income (interest, dividends, rents, payments on					
securities loans (section					
512(a)(5)), or royalties)					
(2) Support from general public					
and 5 or more exempt organizations as provided in					
section 4942(j)(3)(B)(III)					<u></u>
(3) Largest amount of support from					
an exempt organization					
(4) Gross investment income		<u> </u>		1 105 000	
Part XV- Supplementary Info	rmation (Comple	ete this part only	if the foundation	on nad \$5,000 or n	nore in assets
at any time during t		ructions.)			
1 Information Regarding Foundatio	_				
a List any managers of the foundation who year (but only if they have contributed m			ributions received by t	ne toungation before the c	lose of any tax
, , , ,	iore man 45,000). (566 3	(u)(2).)			
SEE STATEMENT 13	400/			4 (4b	
b List any managers of the foundation who other entity) of which the foundation has			or an equally large po	rtion of the ownership of a	partnership or
	a 1070 or groater intere				
NONE	0	Cabalanabia ata Da			
2 Information Regarding Contribution Check here ► X if the foundation o				n not accept wecolicited re	quaete for funde. If
the foundation makes gifts, grants, etc.,					quests for funds. If
a The name, address, and telephone num					
a the name, address, and telephone num	Jei Oi emiali addiess oi ti	ne person to whom applic	ations should be addi	63360.	
b The form in which applications should b	e submitted and informa	tion and materials they st	ionly include.		
• The form in which applications should be	o soomaca and mornia	and the charteness they St	.caia moiduo,		
c Any submission deadlines:		· · · · · · · · · · · · · · · · · · ·	·		
,					
d Any restrictions or limitations on awards	s, such as by geographic	al areas, charitable fields,	kinds of institutions, c	or other factors:	

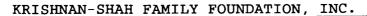
3 Grants and Contributions Paid During the Y		Payment	 _	
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year	Of Substantial Contributor	Toopion		
		<u> </u>		
AAIC	NONE	501(C)(3)	TO SUPPORT CHARITABLE	
2400 MOORPARK AVE STE 300			PURPOSES	E 00
SAN JOSE, CA 95128	-			5,00
NTV.	VOLUM CONTRACTOR OF THE PROPERTY OF THE PROPER	E01/01/31	TO CURRORS CURRITARIES	
AIM UNIT #467, TOWER B-1, SPAZE TECH PARK	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	
SECTOR 49 SOHNA RD HARYANA, GURGAON,			-	
INDIA 122018		<u> </u>		5,00
AMERICAN INDIA FOUNDATION	, NONE	501(C)(3)	TO SUPPORT CHARITABLE	
580 CALIFORNIA STREET, STE 1200	NONE		PURPOSES	
SAN FRANCISCO, CA 94104				100,00
AMERICAN LEADERSHIP FORUM 30 E. SANTA CLARA STREET, SUITE 360	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	
SAN JOSE, CA 95113				2,00
ASIAN ART MUSEUM 200 LARKIN ST	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	
SAN FRANCISCO, CA 94102		L	J. C.	10,00
	NTINUATION SHEE	er(s)	▶ 3a	1,333,50
b Approved for future payment				
NONE				
NONE				
				
Total			▶ 3b	
1,0101	··			m 990-PF (20



Part XV Supplementary Informati				
3 Grants and Contributions Paid During the		·		
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
- Name and address (Name of Sasmoss)	or substantial contributor	recipient		
AUDUBON SOCIETY	NONE	501(C)(3)	TO SUPPORT CHARITABLE	
220 MONTGOMERY STREET		1	PURPOSES	
SAN FRANCISCO, CA 94104			-	175,000
BENETECH	NONE	501(C)(3)	TO SUPPORT CHARITABLE	
480 CALIFORNIA AVENUE #201			PURPOSES	
PALO ALTO, CA 94306		<u> </u>		10,000
D		E01/0)/2)	TO GUNDONE GUNDAMINA	
BILL WILSON CENTER 3490 THE ALAMEDA	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	
SANTA CLARA, CA 95050				2,000
BRIGHTER CHILDREN, INC	NONE	501(C)(3)	TO SUPPORT CHARITABLE	
23 BRENNAN ST HUNTINGTON, NY 11743			PURPOSES	10,000
MONITAGION, NI 11/13		 		
CAREMESSAGE	NONE	501(C)(3)	TO SUPPORT CHARITABLE	
300 BRANNAN STREET #405			PURPOSES	
SAN FRANCISCO, CA 94107				25,000
DOWNTOWN COLLEGE PREP	NONE	501(C)(3)	TO SUPPORT CHARITABLE	
1460 THE ALAMEDA			PURPOSES	
SAN JOSE, CA 95126		 		25,000
EASTSIDE SCHOOL	NONE	501(C)(3)	TO SUPPORT CHARITABLE	
1041 MYRTLE STREET			PURPOSES	
EAST PALO ALTO, CA 94303		ļ <u>.</u>	<u> </u>	25,000
FLY - FRESH LIFELINES FOR YOUTH	NONE	501(C)(3)	TO SUPPORT CHARITABLE	
568 VALLEY WAY		[PURPOSES	
MILPITAS, CA 95035		-		100,000
PRIDATE OF MARRIES ANTWOOD OF THE	NOME	501/01/21	TO SUPPORT CHARITABLE	
FRIENDS OF MADERA ANIMAL SHELTER 14269 RD 28	NONE	501(C)(3)	PURPOSES	
MADERA, CA 93638				500
GLOBAL FUND FOR WOMEN	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	
222 SUTTER STREET, SUITE 500 SAN FRANCISCO, CA 94108		1	LOREOSES	2,500
Total from continuation sheets				1,211,500



3 Grants and Contributions Paid During the '	Year (Continuation)			
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CLODAL COMOOL LEADEDS	NONE	501(C)(3)	TO SUPPORT CHARITABLE	
GLOBAL SCHOOL LEADERS 12329 CULVER BLVD LOS ANGELES, CA 90066	NONE	501(0)(3)	PURPOSES	25,000
HEART TO HEART FOUNDATION 3561 HOMESTEAD ROAD #3146 SANTA CLARA, CA 95051	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	1,000
HINDU COMMUNITY AND CULTURAL CENTER 1232 ARROWHEAD AVENUE LIVERMORE, CA 94551	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES.	5,000
IFRE INC - ASHOKA UNIVERSITY 250 W 55TH ST 25TH FL NEW YORK, NY 10019	NONE -	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	100,000
INDIASPORA (SANJEEV JOSHIPURA) 3450 SACRAMENTO ST, #615 SAN FRANCISCO, CA 94118	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	12,500
JEENA 1510 CENTRE POINTE DRIVE MILPITAS, CA 95035	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	10,000
KIPP BAY AREA PUBLIC SCHOOLS 1000 BROADWAY, STE 460 OAKLAND, CA 94607	NONE	501(C)(3)	TO SUPPORT CHARITABLE _ PURPOSES	2,500
KQED 50 W SAN FERNANDO STREET, SUITE 110 SAN JOSE, CA 95113	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	2,500
MAITRI 401 DUBOCE AVE SAN FRANCISCO, CA 94117	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	1,000
NARIKA P.O. BOX 14014 BERKELEY, CA 94712	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	10,000



3 Grants and Contributions Paid During the Y	ear (Continuation)			
Recipient	If recipient is an individual, show any relationship to	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	any foundation manager or substantial contributor	recipient	Contribution	
NCIP	NONE	501(C)(3)	TO SUPPORT CHARITABLE	
500 EL CAMINO REAL			PURPOSES	
SANTA CLARA, CA 95053		1		5,00
	VONT	E01/C)/3)	TO SUPPORT CHARITABLE	
NURU 5405 ALTON PARKWAY	NONE	501(C)(3)	PURPOSES	
IRVINE, CA 92604				100,000
RYAN NECE FOUNDATION	NONE	501(C)(3)	TO SUPPORT CHARITABLE PURPOSES	
200 N PIERCE ST #300 TAMPA, FL 33602			FURPUSES	2,000
SACHI	NONE	501(C)(3)	TO SUPPORT CHARITABLE	
P.O. BOX 60542 PALO ALTO, CA 94306			PURPOSES	2,00
TABLE ALITO, CA 94500				
SAHAJ MARG	NONE	501(C)(3)	TO SUPPORT CHARITABLE	
#3/246 BABUJI MEMORIAL ASHRAM SRCM ROAD MANAPAKKAM, CHENNAI, INDONESIA		ŀ	PURPOSES	
600125		ļ		15,00
SAN MARGA IRAIVAN TEMPLE	NONE	501(C)(3)	TO SUPPORT CHARITABLE	
107 KAHOLALELE ROAD KAPAA, HI 96746			PURPOSES	5,00
maran, III 30740				
STANFORD HEALTH CARE	NONE	501(C)(3)	TO SUPPORT CHARITABLE	
PO BOX 20466			PURPOSES	
STANFORD, CA 94309				2,00
STANFORD UNIVERSITY	NONE	501(C)(3)	TO SUPPORT CHARITABLE	
450 SERRA MALL			PURPOSES	
STANFORD, CA 94305	-	 		400,00
TED CONFERENCE	NONE	501(C)(3)	TO SUPPORT CHARITABLE	
250 HUDSON ST., STE 1002			PURPOSES	
NEW YORK, NY 10013				20,00
MUD 1047 DADMIMION ADOUTUR	NONE	501(0)(3)	TO SUPPORT CHARITABLE	
THE 1947 PARTITION ARCHIVE PO BOX 9505	NONE	501(C)(3)	PURPOSES	
BERKELEY, CA 94709				10,00
Total from continuation sheets		•		

Name and address (home or business) of substantial confibutor of subport charitable purposes The commonwealth club of california none 501(C)(3) To support charitable purposes The opportunity fund none 501(C)(3) To support charitable purposes of substantial confibutor of subport charitable purposes The opportunity fund none 501(C)(3) To support charitable purposes of substantial confibutor of subport charitable purposes	
THE BOYS AND GIRLS CLUB AND PERCE ROAD MENLO PARK, CA 94025 THE COMMONWEALTH CLUB OF CALIFORNIA NONE THE OPPORTUNITY FUND THE OPPORTUNITY FUND THOU PROSES THE OPPORTUNITY FUND THOU ST JOHN ST STE 800 SAN JOSE, CA 95113 THORN HONE THORN NONE THORN NONE TO SUPPORT CHARITABLE PURPOSES NONE TO SUPPORT CHARITABLE PURPOSES AND CARLOS, CA 94070 WANDA 300 FRANK H. OGAMA PLAZA, SUITE 420 ANDAL APPAIRS COUNCIL NONE TO SUPPORT CHARITABLE PURPOSES	mount
MENIO PARK, CA 94025 THE COMMONWEALTH CLUB OF CALIFORNIA NONE 501(C)(3) TO SUPPORT CHARITABLE PURPOSES THE OPPORTUNITY FUND NONE 501(C)(3) TO SUPPORT CHARITABLE PURPOSES THE OPPORTUNITY FUND NONE 501(C)(3) TO SUPPORT CHARITABLE PURPOSES THORN NONE 501(C)(3) TO SUPPORT CHARITABLE PURPOSES THORN NONE 501(C)(3) TO SUPPORT CHARITABLE PURPOSES NONE S01(C)(3) TO SUPPORT CHARITABLE PURPOSES NONE WANDA 300 FRANK H. OGAWA PLAZA, SUITE 420 OAKLAND, CA 94612 WORLD APFAIRS COUNCIL NONE 501(C)(3) TO SUPPORT CHARITABLE PURPOSES	
MENLO PARK, CA 94025 THE COMMONWEALTH CLUB OF CALIFORNIA NONE 501(C)(3) TO SUPPORT CHARITABLE PURPOSES MILPITAS, CA 95035 THE OPPORTUNITY FUND NONE 501(C)(3) TO SUPPORT CHARITABLE PURPOSES THE OPPORTUNITY FUND NONE 501(C)(3) TO SUPPORT CHARITABLE PURPOSES THORN NONE 501(C)(3) TO SUPPORT CHARITABLE PURPOSES THORN NONE 501(C)(3) TO SUPPORT CHARITABLE PURPOSES WANDA 300 FRANK H. OGAWA PLAZA, SUITE 420 OAKLAND, CA 94612 WORLD APFAIRS COUNCIL NONE 501(C)(3) TO SUPPORT CHARITABLE PURPOSES	
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### PURPOSES ##################################	6,00
### PURPOSES ##################################	
MILPITAS, CA 95035 THE OPPORTUNITY FUND 111 W ST JOHN ST STE 800 SAN JOSE, CA 95113 THORN 6806 LEXINGTON AVE LOS ANGELES, CA 90038 VILLAGE ENTERPRISE 1161 CHERRY STREET #G SAN CARLOS, CA 94070 WANDA 300 FRANK H. OGAWA PLAZA, SUITE 420 OAKLAND, CA 94612 WORLD AFFAIRS COUNCIL WORLD AFFAIRS COUNCIL NONE 501(C)(3) TO SUPPORT CHARITABLE PURPOSES TO SUPPORT CHARITABLE PURPOSES TO SUPPORT CHARITABLE PURPOSES TO SUPPORT CHARITABLE PURPOSES	
THE OPPORTUNITY FUND 111 W ST JOHN ST STE 800 SAN JOSE, CA 95113 THORN 6806 LEXINGTON AVE LOS ANGELES, CA 90038 VILLAGE ENTERPRISE 1161 CHERRY STREET #G SAN CARLOS, CA 94070 WANDA 300 FRANK H. OGAWA PLAZA, SUITE 420 OAKLAND, CA 94612 WORLD AFFAIRS COUNCIL WORLD AFFAIRS COUNCIL NONE 501(C)(3) TO SUPPORT CHARITABLE PURPOSES TO SUPPORT CHARITABLE PURPOSES TO SUPPORT CHARITABLE PURPOSES TO SUPPORT CHARITABLE PURPOSES	
PURPOSES SAN JOSE, CA 95113 THORN NONE 501(C)(3) TO SUPPORT CHARITABLE PURPOSES VILLAGE ENTERPRISE NONE 501(C)(3) TO SUPPORT CHARITABLE PURPOSES VILLAGE ENTERPRISE 1161 CHERRY STREET #G SAN CARLOS, CA 94070 WANDA 300 FRANK H. OGAWA PLAZA, SUITE 420 OAKLAND, CA 94612 WORLD AFFAIRS COUNCIL NONE 501(C)(3) TO SUPPORT CHARITABLE PURPOSES TO SUPPORT CHARITABLE PURPOSES	17,50
PURPOSES SAN JOSE, CA 95113 THORN FOR STEER STREET, STE 200, PURPOSES	
THORN 6806 LEXINGTON AVE LOS ANGELES, CA 90038 VILLAGE ENTERPRISE 1161 CHERRY STREET #G SAN CARLOS, CA 94070 WANDA 300 FRANK H. OGAWA PLAZA, SUITE 420 OAKLAND, CA 94612 WORLD AFFAIRS COUNCIL 312 SUTTER STREET, STE 200,	
THORN 6806 LEXINGTON AVE LOS ANGELES, CA 90038 VILLAGE ENTERPRISE 1161 CHERRY STREET #G SAN CARLOS, CA 94070 WANDA 300 FRANK H. OGAWA PLAZA, SUITE 420 OAKLAND, CA 94612 WORLD AFFAIRS COUNCIL 312 SUTTER STREET, STE 200,	
6806 LEXINGTON AVE LOS ANGELES, CA 90038 VILLAGE ENTERPRISE 1161 CHERRY STREET #G SAN CARLOS, CA 94070 WANDA 300 FRANK H. OGAWA PLAZA, SUITE 420 OAKLAND, CA 94612 WORLD AFFAIRS COUNCIL 312 SUTTER STREET, STE 200,	20,00
6806 LEXINGTON AVE LOS ANGELES, CA 90038 VILLAGE ENTERPRISE 1161 CHERRY STREET #G SAN CARLOS, CA 94070 WANDA 300 FRANK H. OGAWA PLAZA, SUITE 420 OAKLAND, CA 94612 WORLD AFFAIRS COUNCIL 312 SUTTER STREET, STE 200,	
LOS ANGELES, CA 90038 VILLAGE ENTERPRISE 1161 CHERRY STREET #G SAN CARLOS, CA 94070 WANDA 300 FRANK H. OGAWA PLAZA, SUITE 420 OAKLAND, CA 94612 WORLD AFFAIRS COUNCIL 312 SUTTER STREET, STE 200,	
VILLAGE ENTERPRISE 1161 CHERRY STREET #G SAN CARLOS, CA 94070 WANDA 300 FRANK H. OGAWA PLAZA, SUITE 420 OAKLAND, CA 94612 WORLD AFFAIRS COUNCIL NONE 501(C)(3) TO SUPPORT CHARITABLE PURPOSES 501(C)(3) TO SUPPORT CHARITABLE PURPOSES	
PURPOSES PURPOSES PURPOSES PURPOSES PURPOSES PURPOSES FINANCIA PURPOSES FOR STREET #G PURPOSES	50,00
PURPOSES PURPOSES PURPOSES PURPOSES PURPOSES PURPOSES FINANCIA PURPOSES FOR STREET #G PURPOSES	
WANDA 300 FRANK H. OGAWA PLAZA, SUITE 420 OAKLAND, CA 94612 WORLD AFFAIRS COUNCIL 312 SUTTER STREET, STE 200,	
WANDA 300 FRANK H. OGAWA PLAZA, SUITE 420 OAKLAND, CA 94612 WORLD AFFAIRS COUNCIL 312 SUTTER STREET, STE 200,	
300 FRANK H. OGAWA PLAZA, SUITE 420 OAKLAND, CA 94612 WORLD AFFAIRS COUNCIL 312 SUTTER STREET, STE 200, PURPOSES FURPOSES PURPOSES	2,50
300 FRANK H. OGAWA PLAZA, SUITE 420 OAKLAND, CA 94612 WORLD AFFAIRS COUNCIL 312 SUTTER STREET, STE 200, PURPOSES FURPOSES PURPOSES	
OAKLAND, CA 94612 WORLD AFFAIRS COUNCIL NONE 501(C)(3) TO SUPPORT CHARITABLE	
WORLD AFFAIRS COUNCIL NONE 501(C)(3) TO SUPPORT CHARITABLE PURPOSES	
312 SUTTER STREET, STE 200, PURPOSES	5,00
312 SUTTER STREET, STE 200, PURPOSES	
1	
SAN FRANCISCO, CA 94108	5,00
	3,00

Tall Attal Allaysis of fileonic floodcoming Activities	Part XVI-A	Analysis of Income-Producing Activiti	es
--	------------	---------------------------------------	----

Enter gross amounts unless otherwise indicated.	Unrelated	business income		ed by section 512, 513, or 514	(e)
•	(a) Business	(b)	(C) Exclu- sion	(d)	Related or exempt
1 Program service revenue:	code	Amount	code	Amount	function income
a					
b			\bot		
c	ļ		\perp		
d					
e			\perp		
f			$\perp \perp \perp$		
g Fees and contracts from government agencies					
2 Membership dues and assessments					·
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	456,520.	
5 Net rental income or (loss) from real estate:					1
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	266,093.	
8 Gain or (loss) from sales of assets other than inventory			14	2,362,831.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a _					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)			0.	3,085,444.	
13 Total. Add line 12, columns (b), (d), and (e)				13	3,085,44
(See worksheet in line 13 instructions to verify calculations.)					

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).					
	<u> </u>					
-+						
23621 12-17-	Form 990-PF (20					

Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations Part XVIII

1 Did the c	organization directly or indi	rectly engage in any of the follows	ng with any other organization	described in section 501(c)	Yes No
(other th	nan section 501(c)(3) organ	nizations) or in section 527, relatir	ng to political organizations?		
a Transfer	s from the reporting founda	ation to a noncharitable exempt of	rganization of:		A STATE OF THE STA
(1) Cas	h				1a(1) X
(2) Oth	er assets				1a(2) X
b Other tra	ansactions				
(1) Sale	es of assets to a noncharital	ible exempt organization			1b(1) X
(2) Pur	chases of assets from a noi	ncharitable exempt organization			1b(2) X
(3) Ren	ital of facilities, equipment,	or other assets			1b(3) X
(4) Reir	mbursement arrangements				1b(4) X
(5) Loa	ns or loan guarantees				1b(5) X
(6) Perf	formance of services or me	embership or fundraising solicitati	ons		1b(6) X
c Sharing	of facilities, equipment, mai	uling lists, other assets, or paid en	nployees		1c X
d If the ans	swer to any of the above is	"Yes," complete the following sch	edule. Column (b) should alwa	ays show the fair market value o	of the goods, other assets,
	• •	oundation. If the foundation receiv		in any transaction or sharing ar	rrangement, show in
		other assets, or services received		· · · · · · · · · · · · · · · · · · ·	
(a)Line no	(b) Amount involved	(c) Name of noncharitabl	e exempt organization	(d) Description of transfers, tra	ensactions, and sharing arrangements
		N/A		<u> </u>	
				<u> </u>	
	····	ļ		ļ	
		·		ļ	
					
		,,,			
					·
				<u></u>	
				 	
				 	
2a Is the fou	Indation directly or indirect	tly affiliated with, or related to, one	or more tax-exempt organization	tions described	
	•	n 501(c)(3)) or in section 527?	, or more tax exempt organization		Yes X No
	complete the following sche	` ' ' ' '			
	(a) Name of orga		(b) Type of organization	(c) Description	n of relationship
	N/A				
		······································			
	·—·				
					
		that I have examined this return, includir inplete. Declaration of preparer (other tha			May the IRS discuss this
Sign	Jeneralia Vae, Conaci, and Com	iplete Declaration of preparer (other tha		N	shown below? See instr
Here	47/VI		11-16-2020	PRESIDENT	No
Sıg	nature of officer or trustee		Date	Title	<u> </u>
	Print/Type preparer's nai	me Preparer's s	ignature , D	Date Check	If PTIN
D=:=!		11/	n .	self- employe	
Paid		NEYARD	111 / Long	1 11/16/2	P00653810
Preparer	Firm's name ► REA	LIZE CPA, LI		Firm's EIN	▶94-3145806
Use Only	L	ON THORUTA COS	DEM GETTER OF		
				1	/415\ 260 5000
	SAI	N FRANCISCO, CA	74111	Phone no.	(415) 362-5990
					Form 990-PF (2019)

Schedule B

(Form 990, 990-EZ, or 990-PF)
Department of the Treasury Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, Form 990-EZ, or Form 990-PF. ► Go to www.irs.gov/Form990 for the latest information. OMB No 1545-0047

Name of the organization

Employer identification number

K	RISHNAN-SHAH FAMILY FOUNDATION, INC.	94-3288599
Organization type (check	cone)	
Filers of:	Section:	
Form 990 or 990-EZ	501(c)() (enter number) organization	
	4947(a)(1) nonexempt charitable trust not treated as a private foundation	
	527 political organization	
Form 990-PF	X 501(c)(3) exempt private foundation	
	4947(a)(1) nonexempt charitable trust treated as a private foundation	
	501(c)(3) taxable private foundation	
	n is covered by the General Rule or a Special Rule . (c)(7), (8), or (10) organization can check boxes for both the General Rule and a Speci	al Rule See instructions
•	tion-filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions to my one-contributor. Complete Parts I and II. See instructions for determining a contrib	
Special Rules		
sections 509(a)(any one contribu	tion described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% sup 1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, utor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the a EZ, line 1. Complete Parts I and II	16a, or 16b, and that received from
year, total contri	tion described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received ibutions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or uelty to children or animals Complete Parts I, II, and III	
year, contributio is checked, ente purpose Don't o	tion described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received one exclusively for religious, charitable, etc., purposes, but no such contributions total er here the total contributions that were received during the year for an exclusively relicomplete any of the parts unless the General Rule applies to this organization becausable, etc., contributions totaling \$5,000 or more during the year	led more than \$1,000 If this box gious, charitable, etc,
but it must answer "No"	that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on et the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)	

Name of organization

Employer identification number

KRISHNAN-SHAH FAMILY FOUNDATION, INC.

Part I	Contributors (see instructions) Use duplicate copies of Part I if additional	space is needed	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	AJAY & LATA SHAH 27241 ALTAMONT ROAD LOS ALTOS HILLS, CA 94022	\$2,284,313.	Person Payroll Noncash X (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
2	AJAY & LATA SHAH 27241 ALTAMONT ROAD LOS ALTOS HILLS, CA 94022	\$ 493,821.	Person Payroll Noncash X (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
3	AJAY & LATA SHAH 27241 ALTAMONT ROAD LOS ALTOS HILLS, CA 94022	\$2,010,917.	Person Payroli Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
4	AJAY & LATA SHAH 27241 ALTAMONT ROAD LOS ALTOS HILLS, CA 94022	\$\$	Person Payroll Noncash X (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZiP + 4	(c) Total contributions	(d) _Type of contribution
5	AJAY & LATA SHAH 27241 ALTAMONT ROAD LOS ALTOS HILLS, CA 94022	\$ <u>479,768</u> .	Person Payroll Noncash X (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
6	AJAY & LATA SHAH 27241 ALTAMONT ROAD LOS ALTOS HILLS, CA 94022	\$ <u>841,749</u> .	Person Payroll Noncash X (Complete Part II for noncash contributions)

Name of organization

KRISHNAN-SHAH FAMILY FOUNDATION, INC.

94-3288599

Employer identification number

	NAN-SHAH FAMILY FOUNDATION, INC.	, 34-	-3200399
Part II	Noncash Property (see instructions). Use duplicate copies of F	Part II if additional space is needed	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	15,500 SHS OF PENUMBRA		
1			
		\$ 2,284,313.	08/15/19
(a) No.	(b)	(c) FMV (or estimate)	(d)
from Part I	Description of noncash property given	(See instructions.)	Date received
	3,700 SHARES OF COUPA		
2			
		\$\$	08/15/19
(a) No:	(b)	(c)	(d)
from Part I	Description of noncash property given	FMV (or estimate) (See instructions.)	Date received
	50,800 SHARES OF CHEGG		··
3		 .	
		\$ 2,010,917.	08/15/19
(a)		(c)	
No. from Part I	(b) Description of noncash property given	FMV (or estimate) (See instructions)	(d) Date received
	4,000 SHARES OF GLOBANT		
4			
		\$ 402,060.	08/15/19
(a) No.	(b)	(c)	(d)
from Part I	Description of noncash property given	FMV (or estimate) (See instructions)	Date received
	3,597 SHARES OF COUPA		~
<u>5</u>			
		\$\$	10/28/19
(a) No.	/6.)	(c)	(4)
from Part I	(b) Description of noncash property given	FMV (or estimate) (See instructions)	(d) Date received
	5,325 SHARES OF PENUMBRA		
6			
		\\$841,749.	12/17/19
3453 11-0	6-19	Schedule B (Form 9	90, 990-EZ, or 990-PF) (20

Scn	egule t	3 (Form	990, 990	·EZ, Or	990.27)	(2018

Name of organization

Employer identification number

RISHNAN	-SHAH FAMILY FOUNDAT	ION, INC.	94-3288599
Part III Ex	clusively religious, charitable, etc., contribut om any one contributor. Complete columns (al impleting Part III, enter the total of exclusively religious, se duplicate copies of Part III if additional	nons to organizations described in section through (e) and the following line entry. For charitable, etc., contributions of \$1,000 or less for	n 501(c)(7), (8), or (10) that total more than \$1,000 for the yer organizations or the year (Enterthis info once) \$
a) No. from	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_			
_ _			
		(e) Transfer of gift	
<u> </u>	Transferee's name, address, a	nd ZIP + 4	Relationship of transferor to transferee
a) No. from	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
Part I			
_			
		(e) Transfer of gift	
	Transferee's name, address, a	nd ZIP + 4	Relationship of transferor to transferee
(a) No.			T
from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
		(e) Transfer of gift	
	Transferee's name, address, a	nd ZIP + 4	Relationship of transferor to transferee
_			
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_			
		(e) Transfer of gift	
	Transferee's name, address, a	nd ZIP + 4	Relationship of transferor to transferee
-			

FORM 99	0-PF G	AIN OR (LOSS) F	ROM SALE	OF	ASSETS		STA	TEMENT	1
DESCRIP	(A) TION OF PROPERTY				MANNER ACQUIRED	DA:		DATE SO)LD
438 SHS	ALTERYX INC COM	CL A				03/24	1/17	08/16/	19
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	c.	GAIN	(F) OR LOSS	;
	58,208.	6,132.		0	•	0.		52,07	6.
DESCRIP	(A) TION OF PROPERTY				MANNER ACQUIRED	DA! ACQU		DATE SO)LD
1,965 S	HS COUPA SOFTWAR	E INC C OM				10/0	5/16	11/05/	19
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	c.	GAIN	(F) OR LOSS	5
	253,721.	35,370.		0	•	0.		218,35	1.
DESCRIP	(A) TION OF PROPERTY				MANNER ACQUIRED	DA'.		DATE SO)LD
296 SHS	ALTERYX INC COM	CL A				08/13	3/19	08/16/	19
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	С.	GAIN	(F)	3
	39,337.	4,043.		0		0.		35,29	

DESCRI	(A) PTION OF PROPERTY				MANNER CQUIRED	DATE ACQUIF	
1,062	SHS FASTLY INC CL	A				11/15/	/19 12/02/19
	(B) GROSS	(C) COST OR	(D) EXPENSE	OF	(E)		(F)
	SALES PRICE	OTHER BASIS	SALE		DEPRE	C. G	GAIN OR LOSS
	20,815.	6,850.		0.		0.	13,965.
DESCRI	(A) PTION OF PROPERTY				MANNER CQUIRED	DATE ACQUIF	
85 SHS	GLOBANT S A COM	 ISIN #LU0974299	9876			07/27/	08/22/19
	(B)	(C)	(D)	077	(E)		(F)
	GROSS SALES PRICE	COST OR OTHER BASIS	EXPENSE SALE	OF.	DEPRE	c. G	SAIN OR LOSS
	8,124.	129.		0.		0.	7,995.
DESCRI	(A) PTION OF PROPERTY				MANNER CQUIRED	DATE ACQUIF	
1,915	SHS GLOBANT S A C	 OM ISIN #LU0974	1299876			07/27/	08/22/19
	(B)	(C)	(D)		(E)		(F)
	GROSS SALES PRICE	COST OR OTHER BASIS	EXPENSE SALE	OF	DEPRE	c. G	SAIN OR LOSS
	183,032.	2,905.		0.		0.	180,127.
DESCRI	(A) PTION OF PROPERTY				MANNER CQUIRED	DATE ACQUIF	
1,797	SHS GLOBANT S A C	 OM ISIN #LU0974	1299876			07/27/	08/22/19
	(B)	(C)	(D)	0.5	(E)		(F)
	GROSS SALES PRICE	COST OR OTHER BASIS	EXPENSE SALE	OF	DEPRE	c. e	GAIN OR LOSS
	171,296.	2,726.		0.		0.	168,570.

	A) OF PROPERTY				ANNER QUIRED	DATE ACQUIE		DATE SOLD
203 SHS GLO	BANT S A COM	 : ISIN #LU097429	9876			07/27/	/07	08/22/19
	(B) GROSS	(C) COST OR	(D) EXPENSE	OF	(E)			(F)
SAI ————	LES PRICE	OTHER BASIS	SALE		DEPRE	C. (GAIN	OR LOSS
	19,351.	308.		0.		0.		19,043.
	A) OF PROPERTY				ANNER QUIRED	DATE ACQUIE		DATE SOLD
20 SHS ADYE	N B V PARTS 9691 82	SOCI ALES				09/17/	/15	08/22/19
SA	(B) GROSS LES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE		GAIN	(F) OR LOSS
	14,000.	1,506.		0.		0.	<u></u>	12,494.
	A) OF PROPERTY				ANNER QUIRED	DATI ACQUII		DATE SOLD
130 SHS ADY	EN B V PARTS 9691 82	S SOCI ALES				09/17	/15	08/22/19
SA	(B) GROSS LES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE		GAIN	(F) OR LOSS
	91,000.	9,790.		0.		0.		81,210.
	A) OF PROPERTY				ANNER QUIRED	DATI ACQUII		DATE SOLD
68 SHS ADYE	N B V PARTS 9691 82	SOCI ALES				09/17	/15	12/31/19
SA	(B) GROSS LES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE		GAIN	(F) I OR LOSS

							•	
DESCRIP'	(A) FION OF PROPERTY			P	MANNER ACQUIRED	DAT ACQUI		DATE SOLD
75 SHS 1	ALTERYX INC COM	CL A				09/22	/15	08/16/19
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	C.		(F)
-	9,967.	1,025.		0.	•	0.		8,942.
DESCRIP'	(A) TION OF PROPERTY			I	MANNER ACQUIRED	DAT ACQUI		DATE SOLD
224 SHS	ALTERYX INC COM	CL A				09/22	/15	08/16/19
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E)	c.	GAIN	(F) OR LOSS
-	29,769.	3,060.	"	0.	•	0.		26,709.
DESCRIP	(A) TION OF PROPERTY			1	MANNER ACQUIRED	DAT ACQUI		DATE SOLD
43 SHS	ALTERYX INC COM	CL A				09/22	/15	08/16/19
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	c.	GAIN	(F)
-	5,715.	587.		0 .		0.		5,128.
DESCRIP	(A) TION OF PROPERTY			7	MANNER ACQUIRED	DAT ACQUI		DATE SOLD
296 SHS	ALTERYX INC COM	CL A				09/22	1/15	08/16/19
		(0)	(D)		(E)			(F)
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	EXPENSE SALE	OF	DEPRE	c.	GAIN	OR LOSS

							•	
DESCRIPT	(A) ION OF PROPERTY				MANNER CQUIRED	DA' ACQU		DATE SOLD
497 SHS 2	ALTERYX INC COM	CL A				10/0	5/15	08/16/19
	(B) GROSS	(C) COST OR	(D) EXPENSE	OF	(E)			(F)
	SALES PRICE	OTHER BASIS	SALE	_	DEPRE(C. 	GAIN	OR LOSS
	66,049.	5,910.		0.		0.		60,139.
DESCRIPT	(A) ION OF PROPERTY			A	MANNER ACQUIRED	DA ACQU		DATE SOLD
161 SHS A	ALTERYX INC COM	CL A				03/2	4/17	08/16/19
	(B) GROSS	(C) COST OR	(D) EXPENSE	OF	(E)			(F)
	SALES PRICE	OTHER BASIS	SALE		DEPRE	C.	GAIN	OR LOSS
	21,396.	2,293.		0.		0.		19,103.
DESCRIPT	(A) ION OF PROPERTY			I	MANNER ACQUIRED	DA ACQU		DATE SOLD
1,086 SH	S CHEGG INC COM	_				12/0	9/08	08/22/19
	(B) GROSS	(C) COST OR	(D) EXPENSE	OF	(E)			(F)
	SALES PRICE	OTHER BASIS	SALE	OI .	DEPRE	с.	GAIN	OR LOSS
	44,362.	4,789.		0.	•	0.		39,573.
DESCRIPT	(A) ION OF PROPERTY			7	MANNER ACQUIRED	DA ACQU	TE IRED	DATE SOLD
1,381 SH	S CHEGG INC COM	_				12/0	9/08	08/22/19
	(B) GROSS	(C) COST OR	(D) EXPENSE	OF	(E)			(F)
	SALES PRICE	OTHER BASIS	SALE		DEPRE	C.	GAIN	OR LOSS

DESCRIPT	(A) ION OF PROPERTY			į	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
1,082 SH	S CHEGG INC COM	_				12/09/08	08/22/19
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	C. GAI	(F) N OR LOSS
_	44,199.	1,407.		0		0.	42,792.
DESCRIPT	(A) TION OF PROPERTY			,	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
4,451 SH	S CHEGG INC COM	_				02/28/12	08/22/19
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	C. GAI	(F) N OR LOSS
_	181,820.	4,983.		0	•	0.	176,837.
DESCRIPT	(A) TION OF PROPERTY			,	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
25,800 S	SHS CHEGG INC CO	<u>—</u> М				02/28/12	08/22/19
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	C. GAI	(F) N OR LOSS
	1,040,441.	28,883.		0	•	0.	1.,011,558.
DESCRIPT	(A) TION OF PROPERTY				MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
1,201 SH	IS CHEGG INC COM	_		_		02/28/12	08/23/19
	(B)	(C)	(D) EXPENSE	OΕ	(E)		(F)
	GROSS SALES PRICE	COST OR OTHER BASIS	SALE	Or	DEPRE	C. GAI	N OR LOSS

(A) DESCRIPTION OF PROPERTY				MANNER CQUIRED		TE JIRED	DATE SOLD
11,000 SHS CHEGG INC CO	m				02/2	8/12	08/26/19
(B) GROSS	(C) COST OR	(D) ⁻ EXPENSE	OF	(E)			(F)
SALES PRICE	OTHER BASIS	SALE		DEPRE	С.	GAIN	OR LOSS
444,140.	12,315.		0.		0.		431,825.
(A) DESCRIPTION OF PROPERTY				MANNER CQUIRED		TE JIRED	DATE SOLD
4,799 SHS CHEGG INC COM					02/2	28/12	08/26/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	c.	GAIN	(F)
193,156.	5,372.		0.		0.		187,784.
(A) DESCRIPTION OF PROPERTY				MANNER CQUIRED		TE JIRED	DATE SOLD
438 SHS COUPA SOFTWARE	INC C OM				05/2	26/15	08/16/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	c.	GAIN	(F)
60,564.	7,323.		0.		0.		53,241.
(A) DESCRIPTION OF PROPERTY				MANNER CQUIRED		TE JIRED	DATE SOLD
3,164 SHS COUPA SOFTWAR	E INC C OM				05/2	26/15	08/16/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	c.	GAIN	(F)
437,498.	52,902.		0.		0.		384,596.

DESCRIP	(A) TION OF PROPERTY			2	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
536 SHS	COUPA SOFTWARE	INC C OM				05/26/15	08/16/19
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	C. GAIN	(F) I OR LOSS
-	74,115.	8,962.		0	•	0.	65,153.
DESCRIP	(A) TION OF PROPERTY			2	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
97 SHS	COUPA SOFTWARE I	NC C OM				10/06/16	08/16/19
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	C. GAIN	(F) I OR LOSS
•	13,413.	1,746.		0	•	0.	11,667.
DESCRIP	(A) TION OF PROPERTY			1	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
1,632 S	HS COUPA SOFTWAR	E INC C OM				05/26/15	11/05/19
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	C. GAIN	(F) 1 OR LOSS
	210,724.	27,287.	 	0	•	0.	183,437.
DESCRIP	(A) TION OF PROPERTY			j	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
810 SHS	FASTLY INC CL A					07/24/15	12/02/19
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE		(F) OR LOSS
	15,876.	5,225.		0	•	0.	10,651.

DESCRIP	(A) PTION OF PROPERTY			2	MANNER ACQUIRED		TE IRED	DATE SOLD
4,200 S	SHS PENUMBRA INC	COM				12/2	9/04	01/04/19
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	c.	GAIN	(F)
	511,553.	6,857.		0 .	•	0.		504,696.
DESCRIP	(A) PTION OF PROPERTY			7	MANNER ACQUIRED		TE IRED	DATE SOLD
2,275 S	SHS PENUMBRA INC	COM				12/2	9/04	01/08/19
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	c.	GAIN	(F) OR LOSS
	281,864.	3,714.		0 .	•	0.		278,150.
DESCRIP	(A) PTION OF PROPERTY			2	MANNER ACQUIRED		TE IRED	DATE SOLD
1,925 S	SHS PENUMBRA INC	COM				11/2	3/10	01/08/19
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	2.	GAIN	(F) OR LOSS
	238,500.	19.		0_		0.		238,481.
DESCRIP	(A) PTION OF PROPERTY			1	MANNER ACQUIRED		TE IRED	DATE SOLD
4,075 S	SHS PENUMBRA INC	COM		_		11/2	3/10	01/15/19
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	C.	GAIN	(F) OR LOSS
		41.		0		0.		

(A) DESCRIPTION OF PROPERTY				MANNER CQUIRED	DA' ACQU		DATE SOLD
125 SHS PENUMBRA INC CO	m M		-		11/2	3/10	01/15/19
(B)	(C)	(D)	O.E.	(E)			(F)
GROSS SALES PRICE	COST OR OTHER BASIS	EXPENSE SALE	OF	DEPRE	c.	GAIN	OR LOSS
16,414.	1.		0.		0.		16,413.
(A) DESCRIPTION OF PROPERTY				MANNER CQUIRED	DA'		DATE SOLD
5,000 SHS PENUMBRA INC	COM				02/2	8/06	08/22/19
(B ⁻) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	С.	GAIN	(F)
719,985.	15,950.		0.		0.		704,035.
(A) DESCRIPTION OF PROPERTY				MANNER CQUIRED	DA' ACQU		DATE SOLD
5,500 SHS PENUMBRA INC	COM				02/2	8/06	08/22/19
(B) GROSS	(C) COST OR	(D) EXPENSE	OF	(E)			(F)
SALES PRICE	OTHER BASIS	SALE		DEPRE		GAIN	OR LOSS
797,978.	17,545.		0.		0.		780,433.
(A) DESCRIPTION OF PROPERTY	•			MANNER CQUIRED	DA ACQU		DATE SOLD
2,500 SHS PENUMBRA INC	COM			_	02/2	8/06	08/23/19
(B) GROSS	(C) COST OR	(D) EXPENSE	OF	(E)	_		(F)
SALES PRICE	OTHER BASIS	SALE		DEPRE	C• 	GAIN	OR LOSS
359,868.	7,975.		0.		0.		351,893.

DESCRIPT	(A) FION OF PROPERTY				ANNER QUIRED	DATE ACQUIR	
2,500 SF	HS PENUMBRA INC	COM				02/28/	06 08/26/19
	(B) GROSS	(C) COST OR	(D) EXPENSE	OF	(E)		(F)
_	SALES PRICE	OTHER BASIS	SALE		DEPRE	C. G	AIN OR LOSS
	356,118.	7,975.		0.		0.	348,143.
DESCRIPT	(A) FION OF PROPERTY				IANNER CQUIRED	DATE ACQUIR	
2,083 SF	HS PENUMBRA INC	COM				02/28/	06 12/18/19
	(B) GROSS	(C) COST OR	(D) EXPENSE	OF	(E)		(F) SAIN OR LOSS
	SALES PRICE 329,977.	OTHER BASIS 6,645.	SALE	0.	DEPRE	0.	323,332.
DESCRIPT	(A) FION OF PROPERTY				IANNER CQUIRED	DATE ACQUIR	
3,242 SI	HS PENUMBRA INC	COM				02/28/	06 12/19/19
	(B) GROSS	(C) COST OR	(D) EXPENSE	OF	(E)	_	(F)
_	SALES PRICE	OTHER BASIS	SALE		DEPRE		GAIN OR LOSS
	514,735.	10,342.		0.		0.	504,393.
	(A) TION OF PROPERTY				IANNER CQUIRED	DATE ACQUIR	
DESCRIPT	IION OF PROPERTI						
	VENTURE VIII, LI	c					
		C (C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E)		(F)

DESCRIE	(A) PTION OF	PROPERTY				MANNER CQUIRED	DATE ACQUIRED	DATE SOLD
LEGACY	VENTURE	VIII, LL	- C					
	(E GRO SALES	SS	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E [.]) DEPRE	C. GAI	(F) N OR LOSS
		12,206.	0.		0.	-	0.	12,206.
DESCRIE	(A) PTION OF	PROPERTY	_			MANNER CQUIRED	DATE ACQUIRED	DATE SOLD
LEGACY	VENTURE	IV, LLC						
	(E GRC SALES	SS	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E). DEPRE		(F) N OR LOSS
		0.	2,189.		0.		0.	<2,189.
DESCRII	(A) PTION OF	PROPERTY	_			MANNER CQUIRED	DATE ACQUIRED	DATE SOLD
LEGACY	VENTURE	IV, LLC						
	(F GRO SALES	SS	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF.	(E) DEPRE	C. GAI	(F) N OR LOSS
		91,859.	0.		0.		0.	91,859.
DESCRI	(A) PTION OF	PROPERTY			A	MANNER CQUIRED	DATE ACQUIRED	DATE SOLD
ICONIQ	STRATEGI	C PARTNE	RS III-B, LP					
	GRO	3) DSS PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE		(E) DEPRE		(F) N OR LOSS
		45,443.	0.		0.		0.	45,443.

							
DESCRIE	(A) PTION OF PROPERTY				-MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
ICONIQ	STRATEGIC PARTNE	- RS III-B, LP					
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	C. GAI	(F) N OR LOSS
	12,635.	0.		0	•	0.	12,635.
DESCRIE	(A) PTION OF PROPERTY				MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LEGACY	VENTURE IX, LLC	_					
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	C. GAI	(F) N OR LOSS
	0.	62.		0	•	0.	<62.>
DESCRIE	(A) PTION OF PROPERTY	_			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
DAFNA I	FUND, LP - SERIES	A					
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	C. GAI	(F) N OR LOSS
	13,684.	0.		0	•	0.	13,684.
DESCRI	(A.) PTION OF PROPERTY				MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
DAFNA I	FUND, LP - SERIES	 A					
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE		(F) N OR LOSS
	21,878.	0.		0		0.	21,878.

ICONIQ S		_			ANNER QUIRED		TE IRED	DATE	SOLD
	STRATEGIC PARTNE	RS II-B, LP							
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	c.	GAIN	(F) OR LO	oss
	23,830.	0.		0.		0.		23	,830.
DESCRIPI	(A) FION OF PROPERTY				ANNER QUIRED	DA ACQU		DATE	SOLD
VECTOR C	CAPITAL CREDIT O	PP-PFIC							
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E)	c.	GAIN	(F)	oss
	25,258.	0.		0.		0.		25	,258.
	(A) FION OF PROPERTY FONE OFFSHORE OP	P- PFIC	(D)		EQUIRED	DA ACQU	TE IRED	DATE (F)	SOLD
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	EXPENSE- SALE	OF	DEPRE	С.	GAIN	ORLO	oss
	-23,896.	0.		0.		0.		23	,896.
DESCRIPT	(A) FION OF PROPERTY				IANNER QUIRED	DA ACQU		DATE	SOLD
ADJ FOR	CONTRIBUTED STO	CK							
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	c.	GAIN	(F)	oss
_	0.	6,282,920.		0.		0.	<	6,282	,920.>
CAPITAL	GAINS DIVIDENDS	FROM PART IV						99	,248.
TOTAL TO	O FORM 990-PF, P.	ART I, LINE 6A				•		2,362	,831.

FORM 990-PF	DIVIDENDS	AND INT	EREST	FROM SECUR	ITIES S'	PATEMENT	2
SOURCE	GROSS AMOUNT	CAPIT GAIN DIVIDE	S	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTI NET INCO	
DAFNA FUND LP	6,921.		0.	6,921.	6,921.		
FIRST REPUBLIC BANK #12385	102,531.		0.	102,531.	102,531.		
FIRST REPUBLIC BANK #18234 FIRST REPUBLIC BANK #18234 -	441,024.	99,	248.	341,776.	341,776.		
TRANSFERRED ICONIQ STRATEGIC	0.		0.	0.	0.		
PARTNERS II-B, LP	4.		0.	4.	4.		
ICONIQ STRATEGIC PARTNERS III-B, LP	6.		0.	6.	6.		
LEGACY VENTURE IX,	94.		0.	94.	94.		
LEGACY VENTURES IV, LLC	4,062.		0.	4,062.	4,062.		
LEGACY VENTURES VIII, LLC	1,126.		0.	1,126.	1,126.		
TO PART I, LINE 4	555,768.	99,	248.	456,520.	456,520.		
							
FORM 990-PF		OTHER	INCO	ME 	S	TATEMENT	3
DESCRIPTION			RE		(B) ET INVEST- ENT INCOME	(C) ADJUSTE NET INCO	
LEGACY VENTURES VIII OTHER INCOME LEGACY VENTURES IV OTHER INCOME VECTOR CAPITAL CREDIT OTHER INCOME FOURTHSTONE OFFSHORE OPP OTHER EXPENSES				<500.> <22.> 200,664.	<500.> <22.> 200,664. 65,951.		
TOTAL TO FORM 990-P	F, PART I,	LINE 11		266,093.	266,093.		
				 =			

ACCOUNTI	NG FEES	S	PATEMENT	4
(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITAI PURPOSI	
40,520.	40,520.			0.
40,520.	40,520.			0.
OTHER PROFES	SIONAL FEES	S'	PATEMENT	5
(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITA PURPOSI	
	34,414.			0. 0. 0.
36,741.	36,741.			0.
TAX	ES	S	TATEMENT	6
(A) EXPENSES PER BOOKS	-(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITA PURPOS	
				0.
EO 447	7 007			0.
	(A) EXPENSES PER BOOKS 40,520. 40,520. OTHER PROFES (A) EXPENSES PER BOOKS 0. 34,414. 2,327. 0. 36,741. TAX (A) EXPENSES PER BOOKS 7,887. 50,560.	EXPENSES NET INVEST- PER BOOKS MENT INCOME 40,520. 40,520. 40,520. 40,520. OTHER PROFESSIONAL FEES (A) (B) EXPENSES NET INVEST- PER BOOKS MENT INCOME 0. 0. 34,414. 34,414. 2,327. 0. 0. 0. 36,741. 36,741. TAXES (A) (B) EXPENSES NET INVEST- 0. 0. 0. 0. 36,741. 36,741.	(A) (B) (C) EXPENSES NET INVEST- PER BOOKS MENT INCOME 40,520. 40,520. 40,520. 40,520. OTHER PROFESSIONAL FEES (A) (B) (C) EXPENSES NET INVEST- PER BOOKS MENT INCOME 0. 0. 34,414. 34,414. 2,327. 2,327. 0. 0. 36,741. 36,741. TAXES (A) (B) (C) ADJUSTED NET INCOME TAXES (C) ADJUSTED NET INCOME (C) ADJUSTED NET INCOME 7,887. 7,887. 50,560. 0.	(A) (B) (C) (D) EXPENSES NET INVEST- ADJUSTED CHARITAL PER BOOKS MENT INCOME NET INCOME PURPOS: 40,520. 40,520. 40,520. 40,520. OTHER PROFESSIONAL FEES STATEMENT (A) (B) (C) (D) EXPENSES NET INVEST- ADJUSTED CHARITAL PER BOOKS MENT INCOME NET INCOME PURPOS: 0. 0. 34,414. 34,414. 2,327. 2,327. 0. 0. 0. 36,741. 36,741. TAXES STATEMENT (A) (B) (C) (D) EXPENSES NET INVEST- ADJUSTED CHARITAL PER BOOKS MENT INCOME NET INCOME PURPOS 7,887. 7,887.

FORM 990-PF	OTHER E	XPENSES		STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOM		
GAUGE PARALLEL FUND, LP	9,224.	9,224			0.
EXPENSES GAUGE CAPITAL PARALLEL II,	9,224.	3,444	•		0.
LP EXPENSES	4,249.	4,249			0.
LEGACY VENTURE VIII, LLC	4,245.	1,215	•		•
EXPENSES	64,139.	64,139	•		0.
LEGACY VENTURES IV, LLC	01,1000	0 - 7 - 0 5	•		
EXPENSES	7,386.	7,386	•		0.
ICONIQ STRATEGIC PARTNERS	,	,			
III-B, LP EXPENSES	31,568.	31,568	•		0.
LEGACY VENTURE IX, LLC	13,015.				0.
DAFNA FUND, LP EXPENSES	13,352.				0.
FORD FINANCIAL FUND III	204.	204	•		0.
SKY ISLAND MSC INVESTMENT LP	11,733.	11,733	•		0.
ICONIQ STRATEGIC PARTNERS					
II-B, LP EXPENSES	15,935.	15,935	•		0.
OPERATING AND ADMIN EXPENSES	60.				0.
FILING EXPENSES	425.	425	•		0.
TO FORM 990-PF, PG 1, LN 23	171,290.	171,290	•		0.
FORM 990-PF	CORPORAT	E STOCK		STATEMENT	8
DESCRIPTION		В	OOK VALUE	FAIR MARKE' VALUE	T
CORPORATE STOCK			18,632,667.	20,144,5	91.
TOTAL TO FORM 990-PF, PART II	, LINE 10B		18,632,667.	20,144,5	91.
FORM 990-PF	OTHER INV	ESTMENTS		STATEMENT	9
DESCRIPTION		LUATION ETHOD B	OOK VALUE	FAIR MARKE	T
				17,081,359	
OTHER INVESTMENTS		COST	15,013,758.	17,081,3	59.

FORM 990-PF	OTHER ASSETS		STATEMENT	10	
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE		
CONTRIBUTION RECEIVABLE DISTRIBUTION RECEIVABLE	4,233.	0. 357,881.	357,881		
TO FORM 990-PF, PART II, LINE 15	4,233.	357,881.	357,883	1.	
FORM 990-PF OT	HER LIABILITIES		STATEMENT	11	
DESCRIPTION		BOY AMOUNT	EOY AMOUNT		
GRANT PAYABLE		624,000.	2,50	0.	
DISTRIBUTION PAYABLE ACCRUED DIVIDEND-		1. 18,346.		1. 2.	
TOTAL TO FORM 990-PF, PART II, LI	NE 22	642,347.	14,30	3.	
	STANTIAL CONTRIE VII-A, LINE 10	BUTORS	STATEMENT	12	
NAME OF CONTRIBUTOR-	ADDRESS				
AJAY SHAH	27241 ALTAMON	NT RD LLS, CA 94022			
LATA SHAH	27241 ALTAMON LOS ALTOS HII	NT RD LLS, CA 94022			
	XV - LINE 1A		STATEMENT	13	

LIST OF FOUNDATION MANAGERS

NAME OF MANAGER

LATA K. SHAH AJAY B. SHAH