

EXTENDED TO NOVEMBER 16, 2020

Form **990-PF****Return of Private Foundation**

OMB No 1545-0047

Department of the Treasury
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning

, and ending

Name of foundation GOLDMAN ENVIRONMENTAL FOUNDATION		A Employer identification number 94-3094857
Number and street (or P.O. box number if mail is not delivered to street address) 160 PACIFIC AVENUE	Room/suite 200	B Telephone number 415-249-5800
City or town, state or province, country, and ZIP or foreign postal code SAN FRANCISCO, CA 94111		C If exemption application is pending, check here ☐ 6
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 127,284,111.	J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		3,090.	3,090.		STATEMENT 2
4 Dividends and interest from securities		2,141,138.	2,683,861.		STATEMENT 3
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		3,619,565.			STATEMENT 1
b Gross sales price for all assets on line 6a 20,706,029.					
7 Capital gain net income (from Part IV, line 2)			3,148,235.		
8 Net short-term capital gain					
9 Income modifications Gross sales less returns and allowances					
10a Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income		198.	198.		STATEMENT 4
12 Total. Add lines 1 through 11		5,763,991.	5,835,384.		
13 Compensation of officers, directors, trustees, etc.		290,577.	14,529.		276,048.
14 Other employee salaries and wages		1,316,450.	0.		1,316,450.
15 Pension plans, employee benefits		436,763.	0.		436,763.
16a Legal fees		6,879.	0.		6,879.
b Accounting fees		73,008.	36,504.		36,504.
c Other professional fees		632,827.	480,288.		52,539.
17 Other					
18 Taxes		346,401.	893.		106,330.
19 Depreciation and depletion		62,448.	0.		
20 Occupancy		426,806.	64,021.		362,785.
21 Travel, conferences, and meetings		103,112.	0.		103,112.
22 Printing and publications					
23 Other expenses		3,109,872.	51,232.		3,087,340.
24 Total operating and administrative expenses. Add lines 13 through 23		6,805,143.	647,467.		5,784,750.
25 Contributions, gifts, grants paid		1,397,758.			1,400,000.
26 Total expenses and disbursements. Add lines 24 and 25		8,202,901.	647,467.		7,184,750.
27 Subtract line 26 from line 12		-2,438,910.			
a Excess of revenue over expenses and disbursements			5,187,917.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		810,709.	1,318,358.	1,318,358.
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶ 25,968.		40,078.	25,968.	25,968.
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges		185,611.	244,137.	244,137.
	10a	Investments - U.S. and state government obligations STMT 10		8,725,304.	8,602,207.	8,602,207.
	b	Investments - corporate stock STMT 11		53,764,792.	60,078,593.	60,078,593.
	c	Investments - corporate bonds STMT 12		17,316,364.	17,256,128.	17,256,128.
	11	Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other STMT 13		30,624,893.	39,637,780.	39,637,780.	
14	Land, buildings, and equipment basis ▶ 826,734.					
	Less: accumulated depreciation ▶ 705,794.		111,468.	120,940.	120,940.	
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		111,579,219.	127,284,111.	127,284,111.	
Liabilities	17	Accounts payable and accrued expenses		210,114.	211,527.	
	18	Grants payable		1,200,000.	1,200,000.	
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)		252,456.	465,235.	
	23	Total liabilities (add lines 17 through 22)		1,662,570.	1,876,762.	
Net Assets or Fund Balances		Foundations that follow FASB ASC 958, check here ▶ ☒ and complete lines 24, 25, 29, and 30.				
	24	Net assets without donor restrictions		109,916,649.	125,407,349.	
	25	Net assets with donor restrictions				
		Foundations that do not follow FASB ASC 958, check here ▶ ☐ and complete lines 26 through 30				
	26	Capital stock, trust principal, or current funds				
	27	Paid-in or capital surplus, or land, bldg., and equipment fund				
	28	Retained earnings, accumulated income, endowment, or other funds				
	29	Total net assets or fund balances		109,916,649.	125,407,349.	
30	Total liabilities and net assets/fund balances		111,579,219.	127,284,111.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	109,916,649.
2	Enter amount from Part I, line 27a	2	-2,438,910.
3	Other increases not included in line 2 (itemize) ▶ UNREALIZED GAIN	3	17,929,610.
4	Add lines 1, 2, and 3	4	125,407,349.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	125,407,349.

Part IV Capital Gains and Losses for Tax on Investment Income**SEE ATTACHED STATEMENT**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e	20,706,029.	17,557,794.	3,148,235.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			3,148,235.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	3,148,235.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	6,701,038.	112,643,022.	.059489
2017	5,778,240.	118,529,621.	.048749
2016	6,089,121.	104,812,756.	.058095
2015	5,297,495.	109,696,287.	.048292
2014	5,019,597.	120,633,727.	.041610

2 Total of line 1, column (d)	2	.256235
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	.051247
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	119,373,504.
5 Multiply line 4 by line 3	5	6,117,534.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	51,879.
7 Add lines 5 and 6	7	6,169,413.
8 Enter qualifying distributions from Part XII, line 4	8	7,184,750.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CAP GUARDIAN INT	P		12/31/19
b	CAPITAL GUARDIAN PERSHING	P		12/31/19
c	MONDRIAN	P		12/31/19
d	SCHWAB FUNDING	P		12/31/19
e	SCHWAB APERIO	P		12/31/19
f	AURORA LTD PARTNERSHIP	P		12/31/19
g	SCULPTOR OVERSEAS FUND II, LTD	P		12/31/19
h	TWO SIGMA	P		12/31/19
i	WYLAN ENERGY	P		12/31/19
j	VISTA EQUITY PARTNERS VII	P		12/31/19
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 187,533.			187,533.
b 2,138,403.		1,780,654.	357,749.
c 686,390.		407,068.	279,322.
d 4,009,735.		4,109,930.	-100,195.
e 12,662,308.		11,182,142.	1,480,166.
f 228.			228.
g 912,367.			912,367.
h 31,065.			31,065.
i 78,000.		78,000.	0.
j			0.
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			187,533.
b			357,749.
c			279,322.
d			-100,195.
e			1,480,166.
f			228.
g			912,367.
h			31,065.
i			0.
j			0.
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	3,148,235.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	51,879.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	0.
3 Add lines 1 and 2		3	51,879.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	51,879.
6 Credits/Payments			
a 2019 estimated tax payments and 2018 overpayment credited to 2019	6a	55,950.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	35,000.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments Add lines 6a through 6d	7	90,950.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	0.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	39,071.	
11 Enter the amount of line 10 to be Credited to 2020 estimated tax	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X
Website address ► <u>HTTP://WWW.GOLDMANPRIZE.ORG</u>		
14 The books are in care of ► <u>MELISSA ECKERT, ADMINISTRATOR</u> Telephone no. ► <u>415-249-5800</u>		
Located at ► <u>160 PACIFIC AVENUE, SUITE 200, SAN FRANCISCO, CA</u> ZIP+4 ► <u>94111</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b	X
Organizations relying on a current notice regarding disaster assistance, check here ► ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019?	☐ Yes ☒ No	
If "Yes," list the years ► _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

	Yes	No
5a During the year, did the foundation pay or incur any amount to:		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No	
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes ☒ No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No	
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	N/A	5b
Organizations relying on a current notice regarding disaster assistance, check here	☐	
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A	
If "Yes," attach the statement required by Regulations section 53.4945-5(d)	☐ Yes ☐ No	
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b
If "Yes" to 6b, file Form 8870.		X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No	
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes ☒ No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 17		284,834.	76,616.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LORRAINE ROMINGER - 160 PACIFIC AVE., SUITE 200, SAN FRANCISCO, CA	INTERIM EXEC.	DIR./DEPUTY DIRECTOR		
ILAN KAYATSKY - 160 PACIFIC AVE., SUITE 200, SAN FRANCISCO, CA 94111	37.50	249,759.	59,242.	0.
MELISSA ECKERT - 160 PACIFIC AVE., SUITE 200, SAN FRANCISCO, CA 94111	37.50	166,712.	48,997.	0.
RYAN W. MACK - 160 PACIFIC AVE., SUITE 200, SAN FRANCISCO, CA 94111	37.50	155,199.	48,224.	0.
MYRIAH CORNWELL - 160 PACIFIC AVE., SUITE 200, SAN FRANCISCO, CA 94111	37.50	130,892.	39,477.	0.
	37.50	139,129.	29,782.	0.

Total number of other employees paid over \$50,000

5

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*
3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
MILL VALLEY FILM GROUP - 31 EAST PIER, KAPPAS MARINA, SAUSALITO, CA 94965	VIDEO & TV PRODUCTION	447,578.
SWIRL, INC - 101 MONTGOMERY STREET #200, THE PRESIDIO, SAN FRANCISCO, CA	ADVERTISING	428,225.
ALLISON & PARTNERS, LLC 40 GOLD STREET, SAN FRANCISCO, CA 94133	PUBLIC RELATIONS	299,343.
REGIS MANAGEMENT CO., LLC - 300 HAMILTON AVE., SUITE 400, PALO ALTO, CA 94301	INVESTMENT MANAGEMENT	165,114.
EVENTS MANAGEMENT, INC. (DBA: MCCALL ASSOCIATE) 2525 16TH ST. #311, SAN FRANCISCO, CA 94103	CATERER	145,426.
Total number of others receiving over \$50,000 for professional services		6

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	N/A	
2		
3		
4		

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.		Amount
1	N/A	
2		
All other program-related investments. See instructions.		
3		
Total. Add lines 1 through 3		0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes	1a	91,904,431.
a	Average monthly fair market value of securities	1b	3,577,535.
b	Average of monthly cash balances	1c	25,709,409.
c	Fair market value of all other assets	1d	121,191,375.
d	Total (add lines 1a, b, and c)		
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	121,191,375.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,817,871.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	119,373,504.
6	Minimum investment return. Enter 5% of line 5	6	5,968,675.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	5,968,675.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	51,879.
b	Income tax for 2019 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	51,879.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	5,916,796.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	5,916,796.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	5,916,796.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes	1a	7,184,750.
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1b	0.
b	Program-related investments - total from Part IX-B	2	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes		
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	7,184,750.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	51,879.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	7,132,871.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				5,916,796.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2019				
a From 2014				
b From 2015				
c From 2016	781,615.			
d From 2017	59,737.			
e From 2018	1,119,883.			
f Total of lines 3a through e	1,961,235.			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 7,184,750.				
a Applied to 2018, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				5,916,796.
e Remaining amount distributed out of corpus	1,267,954.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below.	3,229,189.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	3,229,189.			
10 Analysis of line 9:				
a Excess from 2015				
b Excess from 2016	781,615.			
c Excess from 2017	59,737.			
d Excess from 2018	1,119,883.			
e Excess from 2019	1,267,954.			

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
GRANTS TO PRIZE WINNING INDIVIDUALS SEE STATEMENT A 160 PACIFIC AVE., STE 200 SAN FRANCISCO, CA 94111	NONE	I	GENERAL SUPPORT FOR ENVIRONMENTAL ACHIEVEMENT	1,200,000.
JEWISH COMMUNITY FEDERATION 121 STEUART STREET SAN FRANCISCO, CA 94105	NONE	PC	GENERAL SUPPORT	200,000.
Total				1,400,000.
b Approved for future payment				
GRANTS PAYABLE TO PRIZE WINNING INDIVIDUALS - SEE STATEMENT B 160 PACIFIC AVE., STE 200 SAN FRANCISCO 94111	NONE	I	GENERAL SUPPORT FOR ENVIRONMENTAL ACHIEVEMENT	1,200,000.
Total				1,200,000.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	3,090.		
4 Dividends and interest from securities			14	2,141,138.		
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income			14	198.		
8 Gain or (loss) from sales of assets other than inventory	900099	78,000.	18	3,541,565.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		78,000.		5,685,991.		0.
13 Total. Add line 12, columns (b), (d), and (e)					13	5,763,991.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash		X
	(2) Other assets		X
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization		X
	(2) Purchases of assets from a noncharitable exempt organization		X
	(3) Rental of facilities, equipment, or other assets		X
	(4) Reimbursement arrangements		X
	(5) Loans or loan guarantees		X
	(6) Performance of services or membership or fundraising solicitations		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees		X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here  **11/15/2020** **EXECUTIVE DIRECTOR**

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below? See instructions ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name TAMARA L. MCINERNEY	Preparer's signature <i>Tamara L. McInerney</i>	Date 11/12/20	Check ☐ if self-employed	PTIN P00011797
	Firm's name ▶ BPM LLP			Firm's EIN ▶ 81-4234542	
	Firm's address ▶ 4200 BOHANNON DRIVE, SUITE 250 MENLO PARK, CA 94025-1021			Phone no. 650-855-6800	

FORM 990-PF

GAIN OR (LOSS) FROM SALE OF ASSETS

STATEMENT 1

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
CAP GUARDIAN INT	187,533.	0.	0.	0.	187,533.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
CAPITAL GUARDIAN PERSHING	2,138,403.	1,780,654.	0.	0.	357,749.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MONDRIAN	686,390.	0.	0.	0.	686,390.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
SCHWAB FUNDING	4,009,735.	4,109,930.	0.	0.	-100,195.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VISTA EQUITY PARTNERS VII	PURCHASED		12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	13,738.	0.	0.	-13,738.

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	3,619,565.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST ON CHECKING ACCOUNT	3,090.	3,090.	
TOTAL TO PART I, LINE 3	3,090.	3,090.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS/INTEREST SECURITIES	2,141,138.	0.	2,141,138.	2,683,861.	
TO PART I, LINE 4	2,141,138.	0.	2,141,138.	2,683,861.	

FORM 990-PF	OTHER INCOME		STATEMENT 4
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OTHER INVESTMENT INCOME	198.	198.	
TOTAL TO FORM 990-PF, PART I, LINE 11	198.	198.	

FORM 990-PF	LEGAL FEES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	6,879.	0.		6,879.
TO FM 990-PF, PG 1, LN 16A	6,879.	0.		6,879.

FORM 990-PF	ACCOUNTING FEES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	73,008.	36,504.		36,504.
TO FORM 990-PF, PG 1, LN 16B	73,008.	36,504.		36,504.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	580,288.	480,288.		0.
CONSULTING FEES	52,539.	0.		52,539.
TO FORM 990-PF, PG 1, LN 16C	632,827.	480,288.		52,539.

FORM 990-PF

TAXES

STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER TAXES	-2,830.	0.		543.
PAYROLL TAXES	100,728.	0.		100,728.
PROPERTY TAX	5,952.	893.		5,059.
EXCISE TAX - DEFERRED	192,000.	0.		0.
CURRENT EXCISE TAX	50,551.	0.		0.
TO FORM 990-PF, PG 1, LN 18	346,401.	893.		106,330.

FORM 990-PF

OTHER EXPENSES

STATEMENT 9

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ED EXPENSES	13,683.	0.		13,683.
COMPUTER SERVICES	50,490.	7,573.		42,917.
SUPPLIES	33,630.	5,044.		28,586.
TELEPHONE	23,824.	3,574.		20,250.
POSTAGE/SHIPPING	4,971.	746.		4,225.
BUILDING MAINTENANCE	6,930.	1,039.		5,891.
DUES, PUBLICATIONS, SUBSCRIPTIONS	17,354.	0.		17,354.
INSURANCE	27,386.	4,108.		23,278.
PRINTING/PUBLICATION	2,987.	448.		2,539.
ADVERTISEMENT	263,206.	0.		263,206.
AWARDS CEREMONIES	738,013.	0.		738,013.
HONORARIA	303,000.	0.		303,000.
NOMINATOR RELATED EXPENSES	10,275.	0.		10,275.
PHOTOGRAPHY	13,445.	0.		13,445.
OUROBOROS	4,500.	0.		4,500.
NETWORKING - PRIZE WINNERS	116,511.	0.		116,511.
DEFENSE OF PRIZE WINNERS	121,530.	0.		121,530.
PUBLIC RELATIONS	356,358.	0.		356,358.
SPONSORSHIPS	6,522.	0.		6,522.
TELEVISION DEVELOPMENT /PROGRAMS	46,261.	0.		46,261.
TRANSLATION	3,380.	0.		3,380.
TRAVEL/LODGING/WINNERS/ JURORS	119,199.	0.		119,199.
VIDEO PRODUCTION AWARDS	386,641.	0.		386,641.
WEBSITE EXPENSE	15,714.	0.		15,714.
ANNIVERSARY EXPENSES	398,637.	0.		398,637.
EVENT MANAGEMENT SOFTWARE	25,425.	0.		25,425.
K-1 INCOME NET OF REALIZED GAINS/INTEREST/DIVIDENDS	0.	28,700.		0.
TO FORM 990-PF, PG 1, LN 23	3,109,872.	51,232.		3,087,340.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT 10
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
U.S. GOV'T OBLIGATIONS - SEE STATEMENT C	X		8,602,207.	8,602,207.
TOTAL U.S. GOVERNMENT OBLIGATIONS			8,602,207.	8,602,207.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			8,602,207.	8,602,207.

FORM 990-PF	CORPORATE STOCK	STATEMENT 11
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
COMMON STOCK - EQUITIES - SEE STATEMENT C	48,266,524.	48,266,524.
MUTUAL FUNDS INTERNATIONAL EQUITIES	11,812,069.	11,812,069.
TOTAL TO FORM 990-PF, PART II, LINE 10B	60,078,593.	60,078,593.

FORM 990-PF	CORPORATE BONDS	STATEMENT 12
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE OBLIGATIONS - SEE STATEMENT C	17,256,128.	17,256,128.
TOTAL TO FORM 990-PF, PART II, LINE 10C	17,256,128.	17,256,128.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 13
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MONEY MARKET FUNDS	FMV	2,163,626.	2,163,626.
ALTERNATIVE INVESTMENT FUNDS	FMV	30,978,854.	30,978,854.
REAL ESTATE MUTUAL FUNDS	FMV	6,495,300.	6,495,300.
TOTAL TO FORM 990-PF, PART II, LINE 13		39,637,780.	39,637,780.

FORM 990-PF	OTHER LIABILITIES	STATEMENT 14
DESCRIPTION	BOY AMOUNT	EOY AMOUNT
DEFERRED RENT	65,456.	86,235.
EXCISE TAXES PAYABLE	187,000.	379,000.
TOTAL TO FORM 990-PF, PART II, LINE 22	252,456.	465,235.

FORM 990-PF	EXPLANATION CONCERNING PART VII-A, LINE 12 QUALIFYING DISTRIBUTION STATEMENT	STATEMENT 15
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EXPLANATION

GRANTS MADE OUT OF THE RESPECTIVE DONOR ADVISED FUNDS WILL BE MADE IN ACCORDANCE WITH CHARITABLE GIVING GUIDELINES AND RESTRICTIONS SET BY THE NONPROFIT INSTITUTIONS WHERE THE DONOR ADVISED FUNDS RESIDE. THE DISTRIBUTIONS WERE TREATED AS QUALIFYING DISTRIBUTIONS.

FORM 990-PF	EXPLANATION CONCERNING PART VII-A, LINE 12 SECTION 170(C)(2)(B) STATEMENT	STATEMENT 16
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EXPLANATION

GRANTS MADE OUT OF THE RESPECTIVE DONOR ADVISED FUNDS WILL BE MADE IN ACCORDANCE WITH CHARITABLE GIVING GUIDELINES AND RESTRICTIONS SET BY THE NONPROFIT INSTITUTIONS WHERE THE DONOR ADVISED FUNDS RESIDE. THE DISTRIBUTIONS WERE TREATED AS QUALIFYING DISTRIBUTIONS.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 17

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
SUSAN GELMAN 160 PACIFIC AVE., SUITE 200 SAN FRANCISCO, CA 94111	PRESIDENT 2.00	0.	0.	0.
DOUGLAS GOLDMAN 160 PACIFIC AVE., SUITE 200 SAN FRANCISCO, CA 94111	VICE PRESIDENT & TREASURER 2.00	0.	0.	0.
JOHN GOLDMAN 160 PACIFIC AVE., SUITE 200 SAN FRANCISCO, CA 94111	VICE PRESIDENT & SECRETARY 2.00	0.	0.	0.
G. MICHAEL SUTTON 160 PACIFIC AVE., SUITE 200 SAN FRANCISCO, CA 94111	EXECUTIVE DIRECTOR 37.50	284,834.	76,616.	0.
JENNIFER GOLDMAN 160 PACIFIC AVE., SUITE 200 SAN FRANCISCO, CA 94111	VICE PRESIDENT & TREASURER 2.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		284,834.	76,616.	0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 18

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

EXECUTIVE DIRECTOR
160 PACIFIC AVE., STE. 200
SAN FRANCISCO, CA 94111

TELEPHONE NUMBER

415-249-5800

FORM AND CONTENT OF APPLICATIONS

EACH SPRING THE GOLDMAN ENVIRONMENTAL FOUNDATION RECEIVES NOMINATIONS FROM A PRE-SELECTED GROUP OF ENVIRONMENTAL EXPERTS AROUND THE WORLD.

NOMINATORS INCLUDE:

- A DIVERSE GROUP OF ORGANIZATIONS WORKING ON ENVIRONMENTAL ISSUES WORLD-WIDE
- A CONFIDENTIAL PANEL OF OVER 150 ENVIRONMENTAL EXPERTS FROM MORE THAN 70 NATIONS, INCLUDING CITIZEN ACTIVISTS, DISTINGUISHED ENVIRONMENTALISTS WORKING IN THE FIELD, AND PROMINENT POLICYMAKERS.

ANY SUBMISSION DEADLINES

NOMINATIONS ARE RECEIVED IN SPRING. WINNERS ARE SELECTED IN WINTER AND AWARDED IN SPRING.

RESTRICTIONS AND LIMITATIONS ON AWARDS

GOLDMAN ENVIRONMENTAL PRIZE WINNERS ARE CHOSEN FOR RECENT ACHIEVEMENTS THAT HAVE ENHANCED THE ENVIRONMENT AND INSPIRED OTHERS. THE PRIZE IS NOT GIVEN POSTHUMOUSLY OR FOR LIFETIME ACHIEVEMENT. THE GOLDMAN ENVIRONMENTAL FOUNDATION DOES NOT ACCEPT UNSOLICITED NOMINATIONS.

GOLDMAN ENVIRONMENTAL FOUNDATION

94-3094857

YEAR ENDED DECEMBER 31, 2019

SCHEDULE OF SECURITIES

THE DIFFERENCE BETWEEN AMOUNTS SHOWN ON FORM 990-PF STATEMENTS 10, 11, AND 12 AND THE AMOUNTS SHOWN ON STATEMENT C IS ATTRIBUTABLE ON UNREALIZED GAINS/LOSSES AND PENDING SALES AND TRADES.