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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

Name of foundation
ROSENBERG FOUNDATION

A Employer identification number
94-1186182

Number and street (or P.O. box number if mail is not delivered to street address)
131 STEUART STREET NO 650

Room/suite

B Telephone number (see instructions)
(415) 644-9777

City or town, state or province, country, and ZIP or foreign postal code
SAN FRANCISCO, CA 94105

C If exemption application is pending, check here ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here..... ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 60,137,267

J Accounting method: ☐ Cash ☒ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I

Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue

1 Contributions, gifts, grants, etc., received (attach schedule)

2 Check ☐ if the foundation is not required to attach Sch. B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

5b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

6b Gross sales price for all assets on line 6a

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

10b Less: Cost of goods sold

10c Gross profit or (loss) (attach schedule)

11 Other income (attach schedule)

12 Total. Add lines 1 through 11

Operating and Administrative Expenses

13 Compensation of officers, directors, trustees, etc.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

16b Accounting fees (attach schedule)

16c Other professional fees (attach schedule)

17 Interest

18 Taxes (attach schedule) (see instructions)

19 Depreciation (attach schedule) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid

26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements








b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)


For Paperwork Reduction Act Notice, see instructions.

Cat. No. 11289X

Form 990-PF (2019)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	538,243	217,291	217,291
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable	560,000	905,000	905,000
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	45,635	48,892	48,892
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	39,045,966 	34,303,840	34,303,840
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	13,240,896 	23,243,962	23,243,962
	14 Land, buildings, and equipment: basis ▶ _____ 133,470 Less: accumulated depreciation (attach schedule) ▶ 109,830	8,494	23,640	23,640
15 Other assets (describe ▶ _____)	 118,518 	 1,394,642 	 1,394,642	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	53,557,752	60,137,267	60,137,267	
Liabilities	17 Accounts payable and accrued expenses	180,538	218,459	
	18 Grants payable	2,687,333	1,814,000	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	2,867,871	2,032,459	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions	50,362,536	57,171,880	
	25 Net assets with donor restrictions	327,345	932,928	
	Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances (see instructions)	50,689,881	58,104,808	
30 Total liabilities and net assets/fund balances (see instructions) .	53,557,752	60,137,267		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	50,689,881
2 Enter amount from Part I, line 27a	2	3,728,333
3 Other increases not included in line 2 (itemize) ▶ _____ 	3	3,686,594
4 Add lines 1, 2, and 3	4	58,104,808
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	58,104,808

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a PUBLICLY TRADED SECURITIES			
b PARTNERSHIP INVESTMENT	P		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 41,891,097		38,368,773	3,522,324
b			206,429
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			3,522,324
b			206,429
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	3,728,753
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{ }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	3,677,868	55,665,350	0.066071
2017	3,820,206	42,468,405	0.089954
2016	3,711,006	54,296,779	0.068347
2015	3,442,983	57,118,935	0.060277
2014	3,551,634	59,602,124	0.059589

2 Total of line 1, column (d)	2	0.344238
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.068848
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	55,016,713
5 Multiply line 4 by line 3	5	3,787,791
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	55,376
7 Add lines 5 and 6	7	3,843,167
8 Enter qualifying distributions from Part XII, line 4	8	3,856,783

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	55,376
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	55,376
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	55,376
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	163,494
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d.	7	163,494
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached.	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	108,118
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax ▶ 108,118 Refunded ▶	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
c Did the foundation file Form 1120-POL for this year?		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ 0 (2) On foundation managers. ▶ \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		No
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.ROSENBERGFOUND.ORG	13	Yes	
14	The books are in care of LINDA MOLL Telephone no. (415) 644-9777			

Located at **131 STEUART STREET SUITE 650 SAN FRANCISCO CA**ZIP+4 **94105**

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year 15		
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶	16	Yes No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	1b	No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:			Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No
	<i>If "Yes" to 6b, file Form 8870.</i>			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LINDA MOLL 131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105	BUS./GRANTS MANAGER 40.00	111,342	35,197	0
NAOMI BRILEY 131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105	PROGRAM MANAGER 40.00	85,956	21,710	0
JONATHAN MOORE 131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105	OFFICE MANAGER AND E 40.00	99,180	7,553	0
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
CHANGE CONSULTING BILEN MESFIN 214 GRANT AVENUE APT 48 OAKLAND, CA 94610	COMMUNICATIONS SUPPORT	123,909

Total number of others receiving over \$50,000 for professional services. **0**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 THE MOST COMMON FORMS OF DCA IN 2019 INCLUDED: THE LEADING EDGE FUND; THE LOS ANGELES CRIMINAL JUSTICE SUMMIT; LEVERAGING FUNDS FROM CALIFORNIA-BASED AND NATIONAL FOUNDATIONS FOR OUR GRANTEEES' WORK; ORGANIZING CONVENINGS, CONFERENCES AND BRIEFINGS, AND; PROVIDING TECHNICAL ASSISTANCE, PROGRAM PLANNING, AND STRATEGIC COMMUNICATIONS SUPPORT FOR INDIVIDUAL GRANTEEES AND GRANTEE COLLABORATIVES.	660,981
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	49,191,664
b	Average of monthly cash balances.	1b	679,399
c	Fair market value of all other assets (see instructions).	1c	5,983,468
d	Total (add lines 1a, b, and c).	1d	55,854,531
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	55,854,531
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	837,818
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	55,016,713
6	Minimum investment return. Enter 5% of line 5.	6	2,750,836

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	2,750,836
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	55,376
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	55,376
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	2,695,460
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	2,695,460
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	2,695,460

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	3,856,783
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,856,783
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	55,376
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	3,801,407

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				2,695,460
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2019:				
a From 2014. 611,984				
b From 2015. 634,368				
c From 2016. 1,035,537				
d From 2017. 1,773,662				
e From 2018. 931,750				
f Total of lines 3a through e.	4,987,301			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ 3,856,783				
a Applied to 2018, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				2,695,460
e Remaining amount distributed out of corpus	1,161,323			
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	6,148,624			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).	611,984			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a.	5,536,640			
10 Analysis of line 9:				
a Excess from 2015. 634,368				
b Excess from 2016. 1,035,537				
c Excess from 2017. 1,773,662				
d Excess from 2018. 931,750				
e Excess from 2019. 1,161,323				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test—enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

c "Support" alternative test—enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

BUSINESS AND GRANT MANAGER
131 STEUART STREET SUITE 650
SAN FRANCISCO, CA 94105
(415) 644-9777

b The form in which applications should be submitted and information and materials they should include:

THE FOUNDATION ENCOURAGES LETTERS OF INQUIRY FOR PUBLIC-INTEREST ADVOCACY EFFORTS THAT WILL HELP MAKE CALIFORNIA A JUST AND ECONOMICALLY INCLUSIVE STATE AS ALIGNED WITH OUR GRANTMAKING PRIORITIES. LETTERS OF INQUIRY FOR PRELIMINARY RESEARCH AND PLANNING ALSO WILL BE ACCEPTED. LETTERS OF INQUIRY SHOULD BE LIMITED TO ONE TO TWO PAGES AND SHOULD INCLUDE THE FOLLOWING INFORMATION: 1) DESCRIPTION OF PROJECT AND ITS OBJECTIVES 2) DESCRIPTION OF SPONSORING ORGANIZATION 3) TOTAL REQUEST AMOUNT, PROJECT AMOUNT, AND SIZE OF AGENCY BUDGET. APPLICANTS SELECTED FROM THE LETTER OF INQUIRY PROCESS TO APPLY FOR A GRANT WILL BE CONTACTED AND GIVEN INSTRUCTIONS FOR SUBMITTING A FULL PROPOSAL. PROPOSALS ARE REVIEWED BY FOUNDATION STAFF AND ACTED UPON BY THE BOARD OF DIRECTORS AT MEETINGS THROUGHOUT THE YEAR. THE FOUNDATION WILL ACT SELECTIVELY TO ENSURE THAT THE FOUNDATION'S RESOURCES ARE USED MOST EFFECTIVELY.

c Any submission deadlines:

NO DEADLINES.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

IN ITS SOLE PROGRAM AREA, ECONOMIC INCLUSION AND HUMAN RIGHTS, THE ROSENBERG FOUNDATION CURRENTLY MAKES GRANTS TO FURTHER POLICY REFORM IN THREE PRIORITY AREAS: JUSTICE AND PUBLIC SAFETY, IMMIGRANT RIGHTS AND INTEGRATION, AND JUSTICE FOR FARM WORKERS. PLEASE VISIT OUR GRANT PROGRAMS FOR MORE INFORMATION ON EACH OF THESE AREAS. FIT AND ELIGIBILITY - APPLICANTS MUST MEET THE FOLLOWING CRITERIA: 1) NONPROFIT ORGANIZATIONS THAT ARE TAX-EXEMPT UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE SERVICE CODE AND NOT CLASSIFIED AS A PRIVATE FOUNDATION UNDER SECTION 509(A) OF THE CODE; OR PUBLIC AGENTS. 2)FOR ALL PROGRAM AREAS, BE BASED IN OR MANAGING SIGNIFICANT ACTIVITIES IN CALIFORNIA.

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	2,116,583
b <i>Approved for future payment</i> See Additional Data Table				
Total			▶ 3b	386,667

Part XVII

	Yes	No
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1a(1)	No
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1a(2)	No
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1b(1)	No
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1b(2)		No
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1b(3)		No
--------------	--	-----------

1b(4)		No
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1b(5)		No
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1b(6)		No
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1c		No
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value
ue

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

<p>Sign Here</p> <p>*****</p> <p>_____</p> <p>Signature of officer or trustee</p>	<p>2020-11-12</p> <p>_____</p> <p>Date</p>	<p>*****</p> <p>_____</p> <p>Title</p>
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May the IRS discuss this return with the preparer shown below (see instr.) ☐ **Yes** ☐ **No**

Paid Preparer Use Only	JOUA LO				
	Firm's name ► BAKER TILLY US LLP				Firm's EIN ► 39-0859910
	Firm's address ► 135 MAIN STREET 9TH FLOOR SAN FRANCISCO, CA 94105				Phone no. (415) 781-2500

May the IRS discuss this return with the preparer shown below

(see instr.) ☐ Yes ☐ No

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
CLARA SHIN	BOARD MEMBER 1.00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
SARAH STEIN	BOARD MEMBER 1.00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
MICK HELLMAN	CHAIR 1.50	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
ROBERT FRIEDMAN	TREASURER 1.00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
PHYLLIS COOK	BOARD MEMBER 1.00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
DANIEL GROSSMAN	BOARD MEMBER 1.00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
KATE KENDELL	BOARD MEMBER 1.00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
BILL LANN LEE	BOARD MEMBER 1.00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
SHAUNA MARSHALL	BOARD MEMBER 1.00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
HUGO MORALES	BOARD MEMBER 1.00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
ALBERT MORENO	BOARD MEMBER 1.00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
TIMOTHY SILARD	PRESIDENT 40.00	269,548	45,405	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
LATEEFAH SIMON	SECOND VICE CHAIR 1.00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
BENJAMIN TODD JEALOUS	VICE CHAIR/SECRETARY 1.00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ALAMEDA COUNTY PROBATION DEPARTMENT 1111 JACKSON STREET 8TH FLOOR OAKLAND, CA 94607		PC	GENERAL SUPPORT	5,000
ALAMEDA COUNTY PUBLIC DEFENDER 1401 LAKESIDE DR 400 OAKLAND, CA 94612		PC	GENERAL SUPPORT	75,000
ALLIANCE FOR JUSTICE 11 DUPONT CIRCLE NW SUITE 200 WASHINGTON, DC 20036		PC	GENERAL SUPPORT	25,000
Total ▶ 3a				2,116,583

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ALLIANCE SAN DIEGOPO BOX 12266 SAN DIEGO, CA 92112		PC	GENERAL SUPPORT	30,000
ASIAN AMERICANS PACIFIC ISLANDERS IN PHILANTHROPY 300 FRANK H OGAWA PLAZA 256 OAKLAND, CA 94612		PC	GENERAL SUPPORT	500
ASSOCIATION OF BLACK FOUNDATION EXECUTIVES 42 BROADWAY 20TH FLOOR NEW YORK, NY 10004		PC	GENERAL SUPPORT	500
Total ▶ 3a				2,116,583

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BEND THE ARC A JEWISH PARTNERSHIP FOR JUSTICE 332 PINE STREET SAN FRANCISCO, CA 94104		PC	GENERAL SUPPORT	30,000
CALIFORNIA CALLS EDUCATION FUND 4801 WEST EXPOSITION BOULEVARD LOS ANGELES, CA 90016		PC	GENERAL SUPPORT	50,000
CALIFORNIA IMMIGRANT POLICY CENTER 1225 8TH ST SUITE 590 SACRAMENTO, CA 95814		PC	GENERAL SUPPORT	20,000
Total ▶ 3a				2,116,583

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CALIFORNIA RURAL LEGAL ASSISTANCE FOUNDATION 2210 K STREET SUITE 200 SACRAMENTO, CA 95816		PC	GENERAL SUPPORT	40,000
CENTRAL VALLEY IMMIGRANT INTEGRATION COLLABORATIVE 516 VILLA AVENUE SUITE 28 CLOVIS, CA 93612		PC	GENERAL SUPPORT	30,000
CHINESE FOR AFFIRMATIVE ACTION 17 WALTER U LUM PLACE SAN FRANCISCO, CA 94108		PC	GENERAL SUPPORT	10,000
Total ▶ 3a				2,116,583

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHINESE PROGRESSIVE ASSOCIATION 1042 GRANT AVENUE 5TH FLOOR SAN FRANCISCO, CA 94133		PC	GENERAL SUPPORT	40,000
COMPASSPOINT 500 12TH STREET SUITE 320 OAKLAND, CA 94607		PC	GENERAL SUPPORT	5,000
DIGNITY AND POWER NOW 3655 S GRAND AVE LOS ANGELES, CA 90007		PC	GENERAL SUPPORT	83,334
Total ▶ 3a				2,116,583

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ELLA BAKER CENTER FOR HUMAN RIGHTS 1419 34TH AVE SUITE 202 OAKLAND, CA 94601		PC	GENERAL SUPPORT	40,000
EQUAL JUSTICE SOCIETY 1939 HARRISON STREET SUITE 818 OAKLAND, CA 94612		PC	GENERAL SUPPORT	15,000
ESSIE JUSTICE GROUP 1700 BROADWAY SUITE 200 OAKLAND, CA 94612		PC	GENERAL SUPPORT	83,333
Total ▶ 3a				2,116,583

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FARMWORKER JUSTICE 1126 16TH STREET NW SUITE 270 WASHINGTON, DC 20036		PC	GENERAL SUPPORT	50,000
FOUNDATION CENTER 32 OLD SLIP 24TH FLOOR NEW YORK, NY 10005		PC	GENERAL SUPPORT	1,000
FUNDERS FOR LGBTQ ISSUES 45 WEST 36TH ST NEW YORK, NY 10018		PC	GENERAL SUPPORT	500
Total ▶ 3a				2,116,583

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GENDER HEALTH CENTER 2020 29TH STREET SUITE 201 SACRAMENTO, CA 95817		PC	GENERAL SUPPORT	1,750
GRANTMAKERS CONCERNED WITH IMMIGRANTS AND REFUGEES 777 TILTON ROAD SEBASTOPOL, CA 95472		PC	GENERAL SUPPORT	5,000
HISPANICS IN PHILANTHROPY 414 - 13TH STREET SUITE 200 OAKLAND, CA 94612		PC	GENERAL SUPPORT	500
Total ▶ 3a				2,116,583

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KHMER GIRLS IN ACTION 1355 REDONDO AVE LONG BEACH, CA 90804		PC	GENERAL SUPPORT	83,333
LIBERTY HILL FOUNDATION 6420 WILSHIRE BLVD 700 LOS ANGELES, CA 90048		PC	GENERAL SUPPORT	100,000
MEDIA JUSTICE (FORMERLY CENTER FOR MEDIA JUSTICE) 436 14TH STREET 5TH FLOOR OAKLAND, CA 94612		PC	GENERAL SUPPORT	3,000
Total ▶ 3a				2,116,583

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
MEXICAN AMERICAN LEGAL DEFENSE & ED FUND 634 S SPRING STREET LOS ANGELES, CA 90014		PC	GENERAL SUPPORT	50,000
MEXICAN AMERICAN LEGAL DEFENSE & ED FUND 634 S SPRING STREET LOS ANGELES, CA 90014		PC	GENERAL SUPPORT	5,000
MIGRATION DIALOGUE 1004 EAGLE PLACE DAVIS, CA 95616		PC	GENERAL SUPPORT	2,500
Total ▶ 3a				2,116,583

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NATIONAL COMMITTEE FOR RESPONSIVE PHILANTHROPY 1900 L STREET SUITE 825 WASHINGTON, DC 20036		PC	GENERAL SUPPORT	500
NATIONAL QUEER ASIAN PACIFIC ISLANDER PO BOX 1277 OLD CHELSEA STATION NEW YORK, NY 10113		PC	GENERAL SUPPORT	2,500
NATIVE AMERICANS IN PHILANTHROPY 1000 ALAMEDA ST SUITE 116 LOS ANGELES, CA 90012		PC	GENERAL SUPPORT	500
Total ▶ 3a				2,116,583

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NEIGHBORHOOD FUNDERS GROUP 300 FRANK OGAWA PLAZA SUITE 700 OAKLAND, CA 94612		PC	GENERAL SUPPORT	750
NEW BREATH FOUNDATION 1999 HARRISON STREET SUITE 1800 OAKLAND, CA 94612		PC	GENERAL SUPPORT	83,334
NEW VENTURE FUND 1201 CONNECTICUT NW 3RD FLOOR WASHINGTON, DC 20036		PC	GENERAL SUPPORT	33,333
Total ▶ 3a				2,116,583

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NEW VENTURE FUND 1201 CONNECTICUT NW 3RD FLOOR WASHINGTON, DC 20036		PC	GENERAL SUPPORT	50,000
NORTHERN CALIFORNIA GRANTMAKERS 160 SPEAR ST SUITE 360 SAN FRANCISCO, CA 94105		PC	GENERAL SUPPORT	2,000
NORTHERN CALIFORNIA GRANTMAKERS 160 SPEAR ST SUITE 360 SAN FRANCISCO, CA 94105		PC	GENERAL SUPPORT	5,000
Total ▶ 3a				2,116,583

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
OPPORTUNITY INSIDE 2001 CENTER STREET 5TH FLOOR BERKELEY, CA 94704		PC	GENERAL SUPPORT	5,000
PARTNERSHIP FOR THE ADVANCEMENT OF NEW AMERICANS 4089 FAIRMOUNT AVE SAN DIEGO, CA 92105		PC	GENERAL SUPPORT	83,333
PEAK GRANTMAKING (FORMERLY GRANT MANAGERS NETWORK) 1666 K STREET NW SUITE 440 WASHINGTON, DC 20006		PC	GENERAL SUPPORT	750
Total ▶ 3a				2,116,583

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
POLICY LINK1438 WEBSTER ST 303 OAKLAND, CA 94612		PC	GENERAL SUPPORT	15,000
ROCKEFELLER PHILANTHROPY ADVISORS 575 MARKET STREET SUITE 3625 SAN FRANCISCO, CA 94105		PC	GENERAL SUPPORT	10,000
SARGENT SHRIVER NATIONAL CENTER ON POVERTY LAW 67 E MADISON ST 2000 CHICAGO, IL 60603		PC	GENERAL SUPPORT	5,000
Total ▶ 3a				2,116,583

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SHOWING UP FOR RACIAL JUSTICE PO BOX 1053 NEW YORK, NY 14205		PC	GENERAL SUPPORT	10,000
SIERRA HEALTH FOUNDATION CENTER FOR HEALTH PROGRAM MA 1321 GARDEN HIGHWAY SACRAMENTO, CA 95833		PC	GENERAL SUPPORT	10,000
SIERRA HEALTH FOUNDATION CENTER FOR HEALTH PROGRAM MANAGEMENT 1321 GARDEN HIGHWAY SACRAMENTO, CA 95833		PC	GENERAL SUPPORT	35,000
Total ▶ 3a				2,116,583

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SIERRA HEALTH FOUNDATION CENTER FOR HEALTH PROGRAM MANAGEMENT 1321 GARDEN HIGHWAY SACRAMENTO, CA 95833		PC	GENERAL SUPPORT	15,000
SOCIAL AND ENVIRONMENTAL ENTREPRENEURS 23532 CALABASAS RD SUITE A CALABASAS, CA 91302		PC	GENERAL SUPPORT	83,333
SOCIAL GOOD FUND 12651 SAN PABLO AVE RICHMOND, CA 94805		PC	GENERAL SUPPORT	83,334
Total ▶ 3a				2,116,583

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SOCIAL GOOD FUND 12651 SAN PABLO AVE RICHMOND, CA 94805		PC	GENERAL SUPPORT	10,000
SOUTHEAST CONSORTIUM FOR EQUITABLE PARTNERSHIPS 1485 BAYSHORE BOULEVARD SUITE 190 SAN FRANCISCO, CA 94124		PC	GENERAL SUPPORT	10,000
SOUTHERN CALIFORNIA GRANTMAKERS 1000 ALAMEDA ST 230 LOS ANGELES, CA 90012		PC	GENERAL SUPPORT	1,000
Total ▶ 3a				2,116,583

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
STARTING OVER INC 1390 W 6TH ST STE 100 CORONA, CA 92882		PC	GENERAL SUPPORT	83,333
TIDES CENTERPO BOX 29903 SAN FRANCISCO, CA 94129		PC	GENERAL SUPPORT	350,000
TIDES FOUNDATIONPO BOX 29903 SAN FRANCISCO, CA 94129		PC	GENERAL SUPPORT	15,000
Total ▶ 3a				2,116,583

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TIDES FOUNDATION PO BOX 29903 SAN FRANCISCO, CA 94129		PC	GENERAL SUPPORT	65,000
TIDES FOUNDATION PO BOX 29903 SAN FRANCISCO, CA 94129		PC	GENERAL SUPPORT	10,000
UNITED FARM WORKER FOUNDATION 3002 WHITTIER BLVD LOS ANGELES, CA 90023		PC	GENERAL SUPPORT	25,000
Total ▶ 3a				2,116,583

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
WAREHOUSE WORKER RESOURCE CENTER 521 N EUCLID AVE ONTARIO, CA 91762		PC	GENERAL SUPPORT	45,000
YOUNG WOMENS FREEDOM CENTER 832 FOLSOM ST SUITE 700 SAN FRANCISCO, CA 94107		PC	GENERAL SUPPORT	83,333
Total ▶ 3a				2,116,583

TY 2019 Accounting Fees Schedule**Name:** ROSENBERG FOUNDATION**EIN:** 94-1186182

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING AND AUDIT	67,000	12,250		54,450

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2019 Gain/Loss from Sale of Other Assets Schedule

Name: ROSENBERG FOUNDATION

EIN: 94-1186182

Gain Loss Sale Other Assets Schedule

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
INCOME FROM PARTNERSHIP		PURCHASED						0	306	

TY 2019 Investments Corporate Stock Schedule**Name:** ROSENBERG FOUNDATION**EIN:** 94-1186182**Investments Corporation Stock Schedule**

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CASH RESERVE PREMIUM IN SCHWAB ACCT	8,853	8,853
VANGUARD FTSE SOCIAL INDEX INST	8,649,827	8,649,827
VANGUARD INTERNATIONAL GROWTH ADM	4,413,450	4,413,450
VANGUARD INTERNATIONAL VALUE FUND	4,187,449	4,187,449
VANGUARD PRIMECAP FUND ADMIRAL	4,328,710	4,328,710
VANGUARD TOT INTL STOCK IX INST	8,394,051	8,394,051
VANGUARD WINDSOR FUND ADMIRAL	4,321,500	4,321,500

TY 2019 Investments - Other Schedule

Name: ROSENBERG FOUNDATION

EIN: 94-1186182

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
AMERICAN CENTURY GIFTTRUST INVESTMENTS	FMV	2,928	2,928
HRJ CAPITAL VC VI (INT'L)	FMV	675,230	675,230
HRJ CAPITAL VC VI (U.S.)	FMV	892,734	892,734
HRJ GLOBAL BUY-OUT III (ASIA)	FMV	14,241	14,241
HRJ GLOBAL BUY-OUT III (EUROPE)	FMV	39,797	39,797
HRJ GLOBAL BUY-OUT III (U.S.)	FMV	80,498	80,498
HRJ SPECIAL OPPORTUNITIES II (INT'L)	FMV	28,559	28,559
HRJ SPECIAL OPPORTUNITIES II (U.S.)	FMV	138,915	138,915
METROPOLITAN REAL ESTATE PARTNERS II	FMV	49,584	49,584
METROPOLITAN REAL ESTATE PARTNERS V	FMV	80,497	80,497
VANGUARD INTER-TERM INVEST-GR ADM	FMV	3,213,333	3,213,333
VANGUARD LONG-TERM INVEST-GR ADM	FMV	994,128	994,128
VANGUARD SHORT-TERM INVEST-GR ADM	FMV	3,224,427	3,224,427
VANGUARD TOT INTL BOND IX INSTITL	FMV	6,340,240	6,340,240
VANGUARD TOTAL BOND MKT INDEX INST	FMV	7,468,851	7,468,851

TY 2019 Legal Fees Schedule**Name:** ROSENBERG FOUNDATION**EIN:** 94-1186182

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL SERVICES	55,579	0		55,579

TY 2019 Other Assets Schedule**Name:** ROSENBERG FOUNDATION**EIN:** 94-1186182**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PROGRAM RELATED INVESTMENTS	112,500	0	0
DEPOSITS	6,018	6,018	6,018
DISTRIBUTION RECEIVABLE	0	1,374,809	1,374,809
OTHER RECEIVABLE	0	13,815	13,815

TY 2019 Other Expenses Schedule**Name:** ROSENBERG FOUNDATION**EIN:** 94-1186182**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OFFICE SUPPLIES	16,103	805		10,407
TELEPHONE/POSTAGE/DELIVERY	9,843	492		9,134
COMPUTER SOFTWARE	7,083	354		6,728
BOARD MEETING EXPENSES	3,610	361		3,636
INSURANCE	19,138	1,914		15,018
LEADING EDGE PROGRAM EXPENSES	0	0		30,000
PROFESSIONAL DEVELOPMENT	4,000	0		5,275
PUBLICATIONS AND MEMBERSHIPS	103	0		87
OTHER K1 DEDUCTIONS	45,382	45,427		0
INVESTMENT FEE	131,206	107,670		0

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FURNITURE AND EQUIPMENT EXPENSE	17,766	888		8,931
TEMPORARY HELP	1,989	0		1,989
FELLOWSHIP TECHNICAL ASSISTANCE	214,324	0		214,324
OTHER	66	0		66

TY 2019 Other Income Schedule**Name:** ROSENBERG FOUNDATION**EIN:** 94-1186182**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INCOME FROM PARTNERSHIP	34,108	34,108	34,108
OTHER INCOME FROM PARTNERSHIP (UNRELATED BUSINESS INCOME)	-782	0	-782

TY 2019 Other Increases Schedule**Name:** ROSENBERG FOUNDATION**EIN:** 94-1186182

Description	Amount
UNREALIZED GAIN FROM INVESTMENT	2,964,209
BOOK TO TAX DIFFERENCE - PARTNERSHIPS	722,385

TY 2019 Other Professional Fees Schedule**Name:** ROSENBERG FOUNDATION**EIN:** 94-1186182

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
IT/COMPUTER CONSULTING	8,150	0		8,150
WEBSITE DESIGN	6,197	0		6,197
OTHER CONSULTING FEES	175,050	0		165,156
PAYROLL PROCESSING FEES	1,991	0		1,991

TY 2019 Taxes Schedule**Name:** ROSENBERG FOUNDATION**EIN:** 94-1186182

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAXES	123,848	0		0
TAXES PER SCHEDULE K-1S	3,732	3,732		0
FOREIGN TAXES	2,041	2,029		0

efile GRAPHIC print - DO NOT PROCESS		As Filed Data -		DLN: 93491321050370	
Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service		Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Go to www.irs.gov/Form990 for the latest information.			OMB No. 1545-0047 2019
Name of the organization ROSENBERG FOUNDATION				Employer identification number 94-1186182	

Organization type (check one):

Filers of:	Section:
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)() (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
ROSENBERG FOUNDATION

Employer identification number
94-1186182

Part I

Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	HELLMAN FOUNDATION 1714 STOCKTON STREET 400 SAN FRANCISCO, CA 94133	\$ 500,000	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
<u>2</u>	HEISING SIMONS 400 MAIN STREET SUITE 200 LOS ALTOS, CA 94022	\$ 50,000	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
<u>3</u>	TIDES NOVO FOUNDATION 1012 TORNEY AVENUE SAN FRANCISCO, CA 94129	\$ 500,000	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
<u>4</u>	AKONADI FOUNDATION 436 14TH STREET OAKLAND, CA 94612	\$ 75,000	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
<u>5</u>	SILICON VALLEY COMMUNITY FOUNDATION 2440 WEST EL CAMINO REAL SUITE 300 MOUNTAIN VIEW, CA 94040	\$ 150,000	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
<u>6</u>	CAL WELLNESS 499 14TH STREET SUITE 300 OAKLAND, CA 94612	\$ 75,000	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)

Name of organization ROSENBERG FOUNDATION	Employer identification number 94-1186182
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Part II Noncash Property			
(a) No. from Part I	(b) Description of noncash property given <small>(see instructions). Use duplicate copies of Part II if additional space is needed.</small>	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	

Name of organization ROSENBERG FOUNDATION	Employer identification number 94-1186182
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Part III

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____**

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
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	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
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	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
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