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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public

▶ Go to www irs gov/Form990PF for instructions and the latest information

1912

OMB No 1545-0047

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning , and ending

Name of foundation: DRAPER RICHARDS KAPLAN FOUNDATION

A Employer identification number: 91-2172351

Number and street (or P O box number if mail is not delivered to street address): 1600 EL CAMINO REAL

Room/suite: 155

B Telephone number: 650-319-7808

City or town, state or province, country, and ZIP or foreign postal code: MENLO PARK, CA 94025

C If exemption application is pending, check here ▶ ☐

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

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E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 37,376,302.

J Accounting method: ☐ Cash [X] Accrual ☐ Other (specify) (Part I, column (d), must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [X]

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	9,563,984.			
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	841,612.	843,463.	843,463.	STATEMENT 2
	4 Dividends and interest from securities		2,211.	2,211.	
	5a Gross rents	20,000.	20,000.	20,000.	STATEMENT 3
	b Net rental income or (loss) 20,000.				
	6a Net gain or (loss) from sale of assets not on line 10	108,714.			STATEMENT 1
	b Gross sales price for all assets on line 6a 12,037,835.				
	7 Capital gain net income (from Part IV, line 2)		1,920,652.		
	8 Net short-term capital gain			45,096.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	0.	-60.	-60.	STATEMENT 4
	12 Total Add lines 1 through 11	10,534,310.	2,786,266.	910,710.	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	734,924.	0.	734,924.	0.
	14 Other employee salaries and wages	2,860,169.	0.	2,860,169.	0.
	15 Pension plans, employee benefits	1,836,369.	0.	1,836,369.	0.
	16a Legal fees STMT 5	28,554.	0.	28,554.	0.
	b Accounting fees STMT 6	35,400.	0.	35,400.	0.
	c Other professional fees STMT 7	483,181.	63,408.	419,773.	0.
	17 Interest				
	18 Taxes				
	19 Depreciation and depletion	47,627.	0.	0.	
	20 Occupancy	679,894.	0.	679,894.	0.
	21 Travel, conferences, and meetings	494,435.	0.	494,435.	0.
	22 Printing and publications				
	23 Other expenses STMT 8	1,080,383.	8,584.	-6,178,808.	7,259,191.
	24 Total operating and administrative expenses Add lines 13 through 23	8,280,936.	71,992.	910,710.	7,259,191.
	25 Contributions, gifts, grants paid	4,032,500.			4,032,500.
	26 Total expenses and disbursements Add lines 24 and 25	12,313,436.	71,992.	910,710.	11,291,691.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-1,779,126.			
	b Net investment income (if negative, enter -0-)		2,714,274.		
	c Adjusted net income (if negative, enter -0-)			0.	

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Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only)			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	1,617,748.	1,588,556.	1,588,556.
	2	Savings and temporary cash investments	170,298.	109,649.	109,649.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ 9,839,706.			
		Less: allowance for doubtful accounts ▶	9,428,857.	9,839,706.	9,839,706.
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶ 398,954.			
		Less allowance for doubtful accounts ▶ 0.	200,000.	398,954.	398,954.
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	296,404.	319,923.	319,923.
	10a	Investments - U S and state government obligations STMT 9	550,361.	1,789,785.	1,789,785.
	b	Investments - corporate stock			
	c	Investments - corporate bonds STMT 10	23,241,507.	19,465,689.	19,465,689.
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 11	847,271.	757,593.	757,593.
	14	Land, buildings, and equipment: basis ▶ 286,227.			
		Less accumulated depreciation STMT 12▶ 173,654.	74,942.	112,573.	112,573.
	15	Other assets (describe ▶ STATEMENT 13)	2,162,418.	2,993,874.	2,993,874.
	16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	38,589,806.	37,376,302.	37,376,302.
Liabilities	17	Accounts payable and accrued expenses	618,966.	823,792.	
	18	Grants payable	150,000.	50,000.	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)	44,720.	115,841.	
	23	Total liabilities (add lines 17 through 22)	813,686.	989,633.	
Net Assets or Fund Balances		Foundations that follow FASB ASC 958, check here ▶ [X] and complete lines 24, 25, 29, and 30			
	24	Net assets without donor restrictions	28,257,264.	25,551,963.	
	25	Net assets with donor restrictions	9,518,856.	10,834,706.	
		Foundations that do not follow FASB ASC 958, check here ▶ [ ] and complete lines 26 through 30			
	26	Capital stock, trust principal, or current funds			
	27	Paid-in or capital surplus, or land, bldg , and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	Total net assets or fund balances	37,776,120.	36,386,669.	
	30	Total liabilities and net assets/fund balances	38,589,806.	37,376,302.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	37,776,120.
2	Enter amount from Part I, line 27a	2	-1,779,126.
3	Other increases not included in line 2 (itemize) ▶ UNREALIZED GAINS ON INVESTMENTS	3	389,675.
4	Add lines 1, 2, and 3	4	36,386,669.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	36,386,669.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	CAPITAL GAINS FROM PASSTHROUGH	P	04/14/04	12/31/19
b	PUBLICLY TRADED SECURITIES			
c	FUNDS AT MORGAN STANLEY	P	01/01/19	12/31/19
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a	132,988.			132,988.
b	2,342,709.		600,141.	1,742,568.
c	11,904,847.		11,859,751.	45,096.
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				132,988.
b				1,742,568.
c				45,096.
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 1,920,652.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).
If gain, also enter in Part I, line 8, column (c)
If (loss), enter -0- in Part I, line 8 } 3 45,096.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	11,523,534.	28,326,406.	.406812
2017	11,009,276.	30,109,395.	.365643
2016	9,314,139.	30,860,211.	.301817
2015	7,327,640.	27,765,109.	.263915
2014	6,018,824.	23,173,856.	.259725

2	**Total** of line 1, column (d)	2	1.597912
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	.319582
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	26,174,078.
5	Multiply line 4 by line 3	5	8,364,764.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	27,143.
7	Add lines 5 and 6	7	8,391,907.
8	Enter qualifying distributions from Part XII, line 4	8	14,153,185.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b		1	27,143.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3	Add lines 1 and 2		3	27,143.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	27,143.
6	Credits/Payments:			
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a 0.		
b	Exempt foreign organizations - tax withheld at source	6b 0.		
c	Tax paid with application for extension of time to file (Form 8868)	6c 0.		
d	Backup withholding erroneously withheld	6d 0.		
7	Total credits and payments Add lines 6a through 6d		7	0.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	0.
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** SEE FORM 872-B ▶		9	0.
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11	Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ▶	**Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ▶ $ 0. **(2)** On foundation managers ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered See instructions ▶ CA, MA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV If "Yes," complete Part XIV	9	X	
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions STMT 15	11	X	
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.DRKFOUNDATION.ORG

14 The books are in care of ▶ MARY LACHNIT Telephone no ▶(650)-319-7808

Located at ▶1600 EL CAMINO REAL, SUITE 155, MENLO PARK, CA ZIP+4 ▶94025

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year, did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		X
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? ☐ Yes ☒ No			
	If "Yes," list the years ▶ , , ,			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ , , ,			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019) N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a During the year, did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	[ ] Yes [X] No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	[ ] Yes [X] No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	[ ] Yes [X] No			
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions	[X] Yes [ ] No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	[ ] Yes [X] No			
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions		5b		X
Organizations relying on a current notice regarding disaster assistance, check here	▶ [ ]			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? SEE STATEMENT 21	[ ] Yes [X] No			
If "Yes," attach the statement required by Regulations section 53 4945-5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	[ ] Yes [X] No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	[ ] Yes [X] No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year?	[ ] Yes [X] No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 16		582,400.	152,524.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
STEPHANIE FERN CORNELL - 1600 EL CAMINO REAL #155, MENLO PARK, CA	MANAGING DIRECTOR 50.00	233,700.	81,649.	0.
CARTER MITCHELL STEWART - 1600 EL CAMINO REAL #155, MENLO PARK, CA	MANAGING DIRECTOR 50.00	234,200.	80,389.	0.
NATHALIE KATRINA LAIDLER-KYLANDER - 1600 EL CAMINO REAL #155, MENLO	MANAGING DIRECTOR 50.00	212,200.	80,803.	0.
WILLIAM RICHARD RODRIGUEZ - 1600 EL CAMINO REAL #155, MENLO PARK, CA	MANAGING DIRECTOR 50.00	227,390.	38,529.	0.
STEPHANIE KHURANA - 1600 EL CAMINO REAL #155, MENLO PARK, CA 94025	MANAGING DIRECTOR 50.00	221,700.	38,029.	0.
Total number of other employees paid over $50,000			▶	9

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 SEE STATEMENT 17	4,032,500.
2 SEE STATEMENT 18	5,767,396.
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 KINVOLVED INC - TO PROMOTE SCHOOL ATTENDANCE	325,329.
2 UPTRUST - TO ELIMINATE UNNECESSARY INCARCERATION BY MAKING THE CRIMINAL JUSTICE SYSTEM MORE USER-FRIENDLY FOR LOW-INCOME INDIVIDUALS.	233,753.
All other program-related investments. See instructions 3 SEE STATEMENT 23.	2,302,412.
Total. Add lines 1 through 3 ▶	2,861,494.

Form **990-PF** (2019)

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Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes.		
a	Average monthly fair market value of securities	1a	23,561,471.
b	Average of monthly cash balances	1b	1,402,347.
c	Fair market value of all other assets	1c	1,608,850.
d	**Total** (add lines 1a, b, and c)	1d	26,572,668.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	26,572,668.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	398,590.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	26,174,078.
6	**Minimum investment return** Enter 5% of line 5	6	1,308,704.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ [X] and do not complete this part)

1	Minimum investment return from Part X, line 6			1	
2a	Tax on investment income for 2019 from Part VI, line 5	2a			
b	Income tax for 2019 (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	
3	Distributable amount before adjustments Subtract line 2c from line 1			3	
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes:		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	11,291,691.
b	Program-related investments - total from Part IX-B	1b	2,861,494.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	14,153,185.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	27,143.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	14,126,042.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

N/A

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only				
b Total for prior years ______ , ______ , ______				
3 Excess distributions carryover, if any, to 2019:				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f **Total** of lines 3a through e				
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ $				
a Applied to 2018, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2019 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 **Enter the net total of each column as indicated below**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount - see instr				
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7				
9 **Excess distributions carryover to 2020** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶ 12/12/01

b Check box to indicate whether the foundation is a private operating foundation described in section [X] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	0.	0.	0.	0.	0.
b 85% of line 2a	0.	0.	0.	0.	0.
c Qualifying distributions from Part XII, line 4, for each year listed	14,153,185.	11,546,512.	11,025,559.	9,343,186.	46,068,442.
d Amounts included in line 2c not used directly for active conduct of exempt activities	0.	0.	0.	0.	0.
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	14,153,185.	11,546,512.	11,025,559.	9,343,186.	46,068,442.
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter (1) Value of all assets					0.
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0.
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed	872,469.	944,213.	1,003,647.	1,028,674.	3,849,003.
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0.
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0.
(3) Largest amount of support from an exempt organization					0.
(4) Gross investment income					0.

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

SEE STATEMENT 19

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc, to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

SEE STATEMENT 20

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SAFE PASSAGE 250 FRANK OGAWA PLZ STE 6306 OAKLAND, CA 94612		PC	PROJECT: ADVANCE PEACE	50,000.
ARTS FOR INCARCERATED YOUTH NETWORK 830 TRACTION AVE # 3A LOS ANGELES, CA 90013		PC	GENERAL OPERATING SUPPORT	100,000.
BAREFOOT LAW PLOT 1544, KOIRE CLOSE, BUKOTO KAMPALA, UGANDA		NC	GENERAL OPERATING SUPPORT	100,000.
COMMUNITY PARTNERS 1000 N. ALAMEDA ST. SUITE 240 LOS ANGELES, CA 90012		PC	PROJECT· CENTER FOR GOOD FOOD PURCHASING	150,000.
CENTER ON RURAL INNOVATION 2 QUECHEE RD HARTLAND, VT 05048		PC	GENERAL OPERATING SUPPORT	50,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	4,032,500.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Form **990-PF** (2019)

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
COALFIELD DEVELOPMENT P.O. BOX 1133 WAYNE, WV 25570		PC	GENERAL OPERATING SUPPORT	100,000.
COMMON MARKET 428 EAST ERIE AVENUE PHILADELPHIA, PA 19134		PC	GENERAL OPERATING SUPPORT	50,000.
DETROIT JUSTICE CENTER 1420 WASHINGTON BLVD, SUITE 301 DETROIT, MI 48226		PC	GENERAL OPERATING SUPPORT	100,000.
METRO SOLUTIONS CORPORATION 18000 W 9 MILE RD STE 360 SOUTHFIELD, MI 48075		PC	PROJECT: DETROIT LIFE IS VALUABLE (DLIVE)	50,000.
DIGDEEP 3308 DESCANSO DRIVE LOS ANGELES, CA 90026		PC	GENERAL OPERATING SUPPORT	100,000.
DIGITAL NEST 1961 MAIN STREET, #221 WATSONVILLE, CA 95076		PC	GENERAL OPERATING SUPPORT	100,000.
EDUCATION OPENS DOORS P.O. BOX 601971 DALLAS, TX 75360		PC	GENERAL OPERATING SUPPORT	50,000.
EMPOWER SCHOOLS 24 SCHOOL STREET, 3RD FLOOR BOSTON, MA 02108		PC	GENERAL OPERATING SUPPORT	50,000.
ESSIE JUSTICE GROUP 700 BROADWAY STE 200 OAKLAND, CA 94612		PC	GENERAL OPERATING SUPPORT	100,000.
THE TIDES CENTER P.O. BOX 29907 SAN FRANCISCO, CA 94129		PC	PROJECT: EYELLIANCE	50,000.
Total from continuation sheets				3,582,500.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FAST FORWARD 1004A O'REILLY AVE SAN FRANCISCO, CA 94129		PC	GENERAL OPERATING SUPPORT	100,000.
FATHERS' UPLIFT 12 SOUTHERN AVE DORCHESTER, MA 02124		PC	GENERAL OPERATING SUPPORT	50,000.
FEEDING TEXAS 1524 SOUTH IH-35, STE. 342 AUSTIN, TX 78704		PC	GENERAL OPERATING SUPPORT	50,000.
FOOD FOR EDUCATION P.O BOX 65737-00607 NAIROBI, KENYA		NC	GENERAL OPERATING SUPPORT	100,000.
FOOD FORWARD INC. 7412 FULTON AVE. #3 NORTH HOLLYWOOD, CA 91605		PC	GENERAL OPERATING SUPPORT	100,000.
THE TIDES CENTER P.O. BOX 29907 SAN FRANCISCO, CA 94129		PC	PROJECT FOSTER AMERICA	50,000.
FREEFROM 12405 VENICE BLVD SUITE 422 LOS ANGELES, CA 90066		PC	GENERAL OPERATING SUPPORT	50,000.
GLOBALXPLORER 324 COMMONS DR BIRMINGHAM, AL 35209		PC	GENERAL OPERATING SUPPORT	100,000.
GREENWAVE 315 FRONT ST NEW HAVEN, CT 06513		PC	GENERAL OPERATING SUPPORT	100,000.
HEALTHY LEARNERS P.O. BOX 382 BRUNSWICK, ME 04011		PC	GENERAL OPERATING SUPPORT	100,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
INDUS ACTION INITIATIVES B-19 DEFENCE COLONY NEW DELHI, INDIA 110024		NC	GENERAL OPERATING SUPPORT	50,000.
URBAN JUSTICE CENTER 40 RECTOR STREET, 9TH FLOOR NEW YORK CITY, NY 10006		PC	PROJECT. INTERNATIONAL REFUGEE ASSISTANCE PROJECT (IRAP)	100,000.
LABORATORIA 61 GREENPOINT AVE STE 114 BROOKLYN, NY 11222		PC	GENERAL OPERATING SUPPORT	100,000.
AMERICA ACHIEVES 1460 BROADWAY 8TH FLOOR NEW YORK, NY 10036		PC	PROJECT: MERIT AMERICA	100,000.
MORTAR CINCINNATI 1327 VINE ST CINCINNATI, OH 45202		PC	GENERAL OPERATING SUPPORT	50,000.
OCEAN OUTCOMES P.O. BOX 11505 PORTLAND, OR 97211		PC	GENERAL OPERATING SUPPORT	50,000.
OCEANMIND ELECTRON BUILDING, FERMI AVENUE, HARWELL OXFORD, UNITED KINGDOM OX11 0QR		NC	GENERAL OPERATING SUPPORT	100,000.
OPEN DOOR LEGAL 4622 3RD ST SAN FRANCISCO, CA 94124		PC	GENERAL OPERATING SUPPORT	100,000.
PARTNERS FOR JUSTICE 125 LINCOLN PLACE BROOKLYN, NY 11217		PC	GENERAL OPERATING SUPPORT	50,000.
POLLINATE ENERGY LEVEL 2, 4/1, MILLERS TANK BUND RD VASANTH NAGAR, BENGALURU, KARNATAKA, INDIA 560051		NC	GENERAL OPERATING SUPPORT	50,000.
Total from continuation sheets				

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PROPEL AMERICA P.O. BOX 990443 BOSTON, MA 02199		PC	GENERAL OPERATING SUPPORT	50,000.
THE TIDES CENTER P.O. BOX 29907 SAN FRANCISCO, CA 94129		PC	PROJECT: PUBLIC RIGHTS PROJECT	50,000.
REPLATE 1759 ALCATRAZ AVE BERKELEY, CA 94703		PC	GENERAL OPERATING SUPPORT	100,000.
RULING OUR EXPERIENCES 1335 DUBLIN ROAD SUITE 18A COLUMBUS, OH 43215		PC	GENERAL OPERATING SUPPORT	100,000.
SAFI SANA FOUNDATION PO BOX 5064, 1380 GB WEESP, NETHERLANDS		NC	GENERAL OPERATING SUPPORT	50,000.
SAVELIFE FOUNDATION USA 200 E 61ST APT 254B NEW YORK, NY 10065		PC	GENERAL OPERATING SUPPORT	100,000.
SOLUTIONS JOURNALISM NETWORK 79 MADISON AVE, #224 NEW YORK, NY 10016		PC	GENERAL OPERATING SUPPORT	50,000.
TEACHING LAB P.O. BOX 73008 WASHINGTON, DC 20056		PC	GENERAL OPERATING SUPPORT	100,000.
TEACHUNITED 19 OLD TOWN SQ STE 238 FORT COLLINS, CO 80524		PC	GENERAL OPERATING SUPPORT	50,000.
THE GROUNDTRUTH PROJECT 10 GUEST STREET BOSTON, MA 02135		PC	GENERAL OPERATING SUPPORT	50,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE KELSEY, INC. 1161 MISSION ST SAN FRANCISCO, CA 94103		PC	GENERAL OPERATING SUPPORT	50,000.
THE NUDGE FOUNDATION 1227 WILLOWDALE LN IRVING, TX 75063		PC	GENERAL OPERATING SUPPORT	100,000.
THE RENEWAL WORKSHOP 180 HERMAN CREEK LN CASCADE LOCKS, OR 97014		NC	GENERAL OPERATING SUPPORT	50,000.
TINY TOTOS BROOKSIDE DRIVE ROUNDABOUT LOWER KABETE ROAD NAIROBI, KENYA		NC	GENERAL OPERATING SUPPORT	100,000.
TREY ATHLETES 3131 MAIN ST UNIT 1515 DALLAS, TX 75226		PC	GENERAL OPERATING SUPPORT	50,000.
UPTRUST 3981 25TH STREET SAN FRANCISCO, CA 94114		NC	GENERAL OPERATING SUPPORT	50,000.
VOTE.ORG 1270 GROVE STREET #301 SAN FRANCISCO, CA 94117		PC	GENERAL OPERATING SUPPORT	50,000.
WASH CYCLE, INC. 230 S BROAD ST, STE 1700 PHILADELPHIA, PA 19102		NC	GENERAL OPERATING SUPPORT	32,500.
SIMPRINTS TECHNOLOGY 406 CAMBRIDGE SCIENCE PARK MILTON RD MILTON, CAMBRIDGE, UNITED KINGDOM		NC	GENERAL OPERATING SUPPORT	100,000.
Total from continuation sheets				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	841,612.	
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property			16	20,000.	
6 Net rental income or (loss) from personal property					
7 Other investment income	900099	38.			
8 Gain or (loss) from sales of assets other than inventory			18	108,676.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		38.		970,288.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 970,326.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee | Date: 11/15/20 | Title: CHIEF EXECUTIVE OFFICER

May the IRS discuss this return with the preparer shown below? See instr ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
MAGA E. KISRIEV	Maga Kisriev	11/12/2020		P01008919
Firm's name ▶ HOOD & STRONG LLP			Firm's EIN ▶ 94-1254756	
Firm's address ▶ 275 BATTERY ST, STE 900 SAN FRANCISCO, CA 94111			Phone no 415.781.0793	

Form **990-PF** (2019)

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Schedule B

(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2019

Name of the organization	Employer identification number
DRAPER RICHARDS KAPLAN FOUNDATION	91-2172351

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000, or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year ▶ $ ____________

Caution An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
DRAPER RICHARDS KAPLAN FOUNDATION	91-2172351

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ANN AND ANDREW MATHIESON 11 EVERGREEN DRIVE KENTFIELD, CA 94904	$ 7,042.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions)
2	ARTHUR ROCK 415 MISSION STREET, SUITE 5700 SAN FRANCISCO, CA 94105	$ 200,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
3	BARN ROAD FOUNDATION ONE BLACKFIELD DRIVE, #121 TIBURON, CA 94920	$ 100,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
4	CHRISTOPHER BAKE 13 PHILLIMORE GARDENS LONDON, UNITED KINGDOM W87QG	$ 1,000,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
5	DAVID & FRANCIE HORVITZ FAMILY FOUNDATION 401 E. LAS OLAS BLVD. SUITE 2200 FORT LAUDERDALE, FL 33301	$ 200,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
6	DAVID POTTRUCK 201 SPEAR STREET, SUITE 1750 SAN FRANCISCO, CA 94105	$ 253,612.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions)

Name of organization	Employer identification number
DRAPER RICHARDS KAPLAN FOUNDATION	91-2172351

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	ENLIGHT FOUNDATION 954 ROBLE RIDGE ROAD PALO ALTO, CA 94306	$ 1,000,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
8	FIDELITY CHARITABLE PO BOX 770001 CINCINNATI, OH 45277	$ 400,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
9	FORD FOUNDATION 320 EAST 43RD STREET NEW YORK, NY 10017	$ 200,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
10	IMAGO DEI FUND 89 GREEN STREET NEEDHAM, MA 02492	$ 200,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
11	KEN BIRDWELL FOUNDATION 636 SHRADER STREET SAN FRANCISCO, CA 94117	$ 300,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
12	MARIN COMMUNITY FOUNDATION 5 HAMILTON LANDING, SUITE 200 NOVATO, CA 94949	$ 600,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Name of organization: DRAPER RICHARDS KAPLAN FOUNDATION

Employer identification number: 91-2172351

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
13	PERKINS HUNTER FOUNDATION 3565 WASHINGTON STREET SAN FRANCISCO, CA 94118	$ 50,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
14	PHILLIPS FOUNDATION PO BOX 4034 GREENSBORO, NC 27404	$ 1,000,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
15	ROBERT A. FOX CHARITABLE FAMILY FDN 2019 3RD STREET SANTA MONICA, CA 90405	$ 1,000,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
16	RUSSELL & BETH SIEGELMAN 244 SANTA RITA AVENUE PALO ALTO, CA 94301	$ 1,000,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
17	SCHWAB CHARITABLE FUND 211 MAIN STREET, FLOOR 10 SAN FRANCISCO, CA 94105	$ 50,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
18	SUSAN AND NICHOLAS CARTER 59 WESSKUM WOOD ROAD RIVERSIDE, CT 06878	$ 250,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Name of organization: DRAPER RICHARDS KAPLAN FOUNDATION

Employer identification number: 91-2172351

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
19	THE DRAPER FOUNDATION 55 EAST THIRD AVENUE SAN MATEO, CA 94401	$ 200,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
20	THE HORACE W. GOLDSMITH FOUNDATION 375 PARK AVENUE NEW YORK, NY 10152	$ 500,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
21	VANGUARD CHARITABLE PO BOX 9509 WARWICK, RI 02889	$ 150,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
22	MCJ AMELIOR FOUNDATION 310 SOUTH STREET MORRISTOWN, NJ 07960	$ 1,000,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
23	THE COLORADO HEALTH FOUNDATION 1780 PENNSYLVANIA STREET DENVER, CO 80203	$ 900,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Name of organization	Employer identification number
DRAPER RICHARDS KAPLAN FOUNDATION	91-2172351

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	106 SHARES OF CHENIERE ENERGY INC	$ 7,042.	03/06/19
6	1,655 SHARES OF MICROSOFT CORP	$ 253,612.	12/12/19
		$	
		$	
		$	
		$	

Name of organization: DRAPER RICHARDS KAPLAN FOUNDATION

Employer identification number: 91-2172351

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this info. once.) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
CAPITAL GAINS FROM PASSTHROUGH		PURCHASED	04/14/04	12/31/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
132,988.	69,370.	0.	0.	63,618.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
FUNDS AT MORGAN STANLEY		01/01/19	12/31/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
11,904,847.	11,859,751.	0.	0.	45,096.

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	108,714.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST	841,612.	843,463.	843,463.
TOTAL TO PART I, LINE 3	841,612.	843,463.	843,463.

FORM 990-PF RENTAL INCOME STATEMENT 3

KIND AND LOCATION OF PROPERTY	ACTIVITY NUMBER	GROSS RENTAL INCOME
SUBLEASE	1	20,000.
TOTAL TO FORM 990-PF, PART I, LINE 5A		20,000.

FORM 990-PF OTHER INCOME STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
PASSTHROUGH INCOME	0.	-60.	-60.
TOTAL TO FORM 990-PF, PART I, LINE 11	0.	-60.	-60.

FORM 990-PF LEGAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	28,554.	0.	28,554.	0.
TO FM 990-PF, PG 1, LN 16A	28,554.	0.	28,554.	0.

FORM 990-PF ACCOUNTING FEES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	35,400.	0.	35,400.	0.
TO FORM 990-PF, PG 1, LN 16B	35,400.	0.	35,400.	0.

FORM 990-PF — OTHER PROFESSIONAL FEES — STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER PROFESSIONAL FEES	483,181.	63,408.	419,773.	0.
TO FORM 990-PF, PG 1, LN 16C	483,181.	63,408.	419,773.	0.

FORM 990-PF — OTHER EXPENSES — STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DIRECT PROGRAM SUPPORT	709,388.	0.	709,388.	0.
ADVERTISING AND PROMOTION	152,160.	0.	152,160.	0.
OFFICE EXPENSES	128,479.	0.	128,479.	0.
INFORMATION TECHNOLOGY	65,241.	0.	65,241.	0.
INSURANCE	13,241.	0.	13,241.	0.
OTHER EXPENSES	11,874.	0.	11,874.	0.
PASSTHROUGH DEDUCTIONS	0.	8,584.	0.	0.
EXEMPT FUNCTION EXPENSES EXCEEDING INCOME	0.	0.	-7,259,191.	7,259,191.
TO FORM 990-PF, PG 1, LN 23	1,080,383.	8,584.	-6,178,808.	7,259,191.

FORM 990-PF — U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS — STATEMENT 9

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
MS FUND III GOVERNMENT SECURITIES	X		1,425,668.	1,425,668.
MS FUND III MUNICIPAL BONDS		X	364,117.	364,117.
TOTAL U.S. GOVERNMENT OBLIGATIONS			1,425,668.	1,425,668.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			364,117.	364,117.
TOTAL TO FORM 990-PF, PART II, LINE 10A			1,789,785.	1,789,785.

FORM 990-PF	CORPORATE BONDS	STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MS FUND III FIXED INCOME- SEE ATTACHMENT A	19,465,689.	19,465,689.
TOTAL TO FORM 990-PF, PART II, LINE 10C	19,465,689.	19,465,689.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 11

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
LEGACY VENTURES IV, LLC	FMV	757,593.	757,593.
TOTAL TO FORM 990-PF, PART II, LINE 13		757,593.	757,593.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT 12

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
FURNITURE	123,283.	50,797.	72,486.
EQUIPMENT	47,033.	20,612.	26,421.
LEASEHOLD IMPROVEMENTS	42,517.	28,851.	13,666.
INTANGIBLE ASSETS	73,394.	73,394.	0.
TOTAL TO FM 990-PF, PART II, LN 14	286,227.	173,654.	112,573.

FORM 990-PF OTHER ASSETS STATEMENT 13

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DEPOSITS	42,626.	52,847.	52,847.
INTEREST RECEIVABLE	42,381.	79,533.	79,533.
AVANTI	97,363.	97,363.	97,363.
BOXPOWER INC.	0.	150,000.	150,000.
CLOUD TO STREET	75,000.	150,000.	150,000.
FINCH THERAPEUTICS, INC	150,000.	150,000.	150,000.
KANPUR FLOWERCYCLING	155,048.	155,048.	155,048.
KINVOLVED, INC.	150,000.	325,329.	325,329.
LANDED, INC	150,000.	150,000.	150,000.
THE RENEWAL WORKSHOP, INC.	200,000.	200,000.	200,000.
UPTRUST	200,000.	233,754.	233,754.
VIA GLOBAL HEALTH, INC.	0.	150,000.	150,000.
WASH CYCLE LAUNDRY, INC.	150,000.	150,000.	150,000.
COWTRIBE TECHNOLOGY LIMITED	200,000.	200,000.	200,000.
MACRO-EYES, INC.	150,000.	150,000.	150,000.
MAFAMI PROPRIETARY LTD	0.	150,000.	150,000.
MATONTINE, INC.	150,000.	150,000.	150,000.
NUMIDA TECHNOLOGIES, INC.	0.	150,000.	150,000.
SUYO PUBLIC BENEFIT CORP	150,000.	150,000.	150,000.
WATTTIME CORP	100,000.	0.	0.
TO FORM 990-PF, PART II, LINE 15	2,162,418.	2,993,874.	2,993,874.

FORM 990-PF OTHER LIABILITIES STATEMENT 14

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
ACCRUED PAYROLL LIABILITIES	44,720.	51,180.
DEFERRED RENT	0.	64,661.
TOTAL TO FORM 990-PF, PART II, LINE 22	44,720.	115,841.

FORM 990-PF SCHEDULE OF CONTROLLED ENTITIES STATEMENT 15
PART VII-A, LINE 11

NAME OF CONTROLLED ENTITY	EMPLOYER ID NO
STICHTING DRAPER RICHARDS KAPLAN FOUNDATION	

ADDRESS — EXCESS BUSINESS HOLDING [ ] YES [X] NO

RIVIERVISMARKT 3
2513 AM DEN HAAG, NETHERLANDS

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 16

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
WILLIAM H. DRAPER III 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	CO-CHAIR 5.00	0.	0.	0.
ROBIN RICHARDS DONOHOE 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	CO-CHAIR 5.00	0.	0.	0.
ROBERT S. KAPLAN 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	CO-CHAIR 5.00	0.	0.	0.
JAMES BILDNER 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	CHIEF EXECUTIVE OFFICER 50.00	386,200.	84,749.	0.
JARED THEODORE LEIDERMAN 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	CHIEF FINANCIAL OFFICER 50.00	196,200.	67,775.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		582,400.	152,524.	0.

FORM 990-PF SUMMARY OF DIRECT CHARITABLE ACTIVITIES STATEMENT 17

ACTIVITY ONE

IN 2019, THE FOUNDATION FUNDED 54 SOCIAL ENTERPRISE ORGANIZATIONS CREATING SOCIAL CHANGE AROUND THE WORLD THROUGH PROGRAM RELATED INVESTMENTS AND/OR UNRESTRICTED GRANTS.

	EXPENSES
TO FORM 990-PF, PART IX-A, LINE 1	4,032,500.

FORM 990-PF SUMMARY OF DIRECT CHARITABLE ACTIVITIES STATEMENT 18

ACTIVITY TWO

THE FOUNDATION PROVIDES EACH PORTFOLIO ORGANIZATION WITH SIGNIFICANT HUMAN AND OTHER SUPPORT SERVICES INCLUDING BOARD SERVICE, STRATEGIC AND OPERATING SUPPORT, FINANCIAL, ORGANIZATIONAL, GOVERNANCE AND LEADERSHIP TRAINING AND A HOST OF OTHER CRITICAL NETWORK AND OTHER SUPPORT THROUGHOUT THE FOUNDATION'S THREE-YEAR COMMITMENT TO EACH ORGANIZATION. BETWEEN INCEPTION AND DECEMBER 31, 2019, DRK HAS FUNDED AND SUPPORTED 166 SOCIAL IMPACT ORGANIZATIONS AROUND THE WORLD.

	EXPENSES
TO FORM 990-PF, PART IX-A, LINE 2	5,767,396.

FORM 990-PF PART XV - LINE 1A STATEMENT 19
LIST OF FOUNDATION MANAGERS

NAME OF MANAGER

WILLIAM H. DRAPER III
ROBIN RICHARDS DONOHOE
ROBERT S. KAPLAN

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 20
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

JIM BILDNER, CHIEF EXECUTIVE OFFICER
1600 EL CAMINO REAL, SUITE 155
MENLO PARK, CA 94025

TELEPHONE NUMBER	NAME OF GRANT PROGRAM
650-319-7808	ALL PROGRAMS

EMAIL ADDRESS

N/A

FORM AND CONTENT OF APPLICATIONS

ORGANIZATIONS INTERESTED IN REQUESTING A GRANT FROM THE FOUNDATION ARE ENCOURAGED TO REVIEW OUR FUNDING CRITERIA AND OBJECTIVES FIRST AND THEN SUBMIT AN ONLINE APPLICATION THROUGH OUR WEBSITE AT WWW.DRKFOUNDATION.ORG. THE FOUNDATION REVIEWS ALL ONLINE APPLICATIONS AND BASED ON OUR APPRAISAL OF THE FIT OF THE VENTURE WITH OUR INVESTMENT STYLE, A SELECT NUMBER OF APPLICATIONS WILL MOVE TO THE SUBSEQUENT PHASES OF DILIGENCE.

ANY SUBMISSION DEADLINES

THERE ARE NO DEADLINES FOR SUBMISSION; ACCEPTED ALL YEAR

RESTRICTIONS AND LIMITATIONS ON AWARDS

ORGANIZATIONS MUST BE AT THE BEGINNING OF THEIR DEVELOPMENT. WE TYPICALLY PROVIDE THE ORGANIZATION'S FIRST MAJOR INVESTMENT. WE DO NOT FUND ORGANIZATIONS LATER IN THEIR LIFECYCLE. PREFERENCE IS GIVEN TO THOSE ORGANIZATIONS THAT ARE NATIONAL OR GLOBAL IN REACH AND SOCIAL ISSUE.

GENERAL EXPLANATION STATEMENT 21

FORM/LINE IDENTIFIER

FORM 990-PF, PART VII-B, LINE 5C:

EXPLANATION:

THE IRS NOTIFIED THE FOUNDATION ON MAY 23, 2019 (SEE IRS DETERMINATION LETTER ATTACHED) THAT EFFECTIVE 01/01/2019 THE IRS WILL TREAT THE FOUNDATION AS A PUBLIC CHARITY DURING THE 60-MONTH ADVANCE RULING PERIOD. PUBLIC CHARITIES ARE NOT SUBJECT TO PRIVATE FOUNDATION EXPENDITURE RESPONSIBILITY RULES. THE FOUNDATION FULLY EXPECTS THAT IT WILL SATISFY THE PUBLIC CHARITY REQUIREMENTS OF IRC SECTION 507(B)(1)(B) AT THE END OF THE 60-MONTH ADVANCE RULING PERIOD.

GENERAL EXPLANATION STATEMENT 22

FORM/LINE IDENTIFIER

FORM 990-PF, PART VIII, COLUMN (D):

EXPLANATION:

PART VIII, COLUMN (D) INCLUDES 401K, HEALTH AND LIFE INSURANCE, AND HEALTH SAVINGS ACCOUNT DEPOSITS.

GENERAL EXPLANATION STATEMENT 23

FORM/LINE IDENTIFIER

FORM 990-PF, PART IX-B, LINE 3 ALL OTHER PROGRAM-RELATED INVESTMENTS:

EXPLANATION:

OTHER PROGRAM RELATED INVESTMENTS INCLUDE INVESTMENTS IN FINCH THERAPUETICS TO EXPAND SAFE ACCESS TO FECAL MICROBIOTA TRANSPLANTATION FOR PATIENTS SUFFERING FROM RECURRENT CLOSTRIDIUM DIFFICILE INFECTIONS, COWTRIBE TO HELP LIVESTOCK FARMERS IN GHANA TO KEEP THEIR LIVESTOCK HEALTHY, LANDED, INC. TO PROVIDE FINANCIAL ASSISTANCE TO ESSENTIAL WORKERS (POLICE, FIREFIGHTERS, TEACHERS AND THE LIKE) TO ENABLE THEM TO PURCHASE HOMES IN COMMUNITIES WHERE THE ESSENTIAL WORKERS SERVE BUT FACE CONSTRAINTS IN FINDING AFFORDABLE HOUSING, THE RENEWAL WORKSHOP TO REPAIR AND RESELL BRAND-NAME APPAREL TO REDUCE LANDFILL, VIA GLOBAL HEALTH, INC. TO CREATE ACCESS, BUILD PRODUCT AWARENESS, OPTIMIZE DELIVERY LOGISTICS, AND FACILITATE THE FLOW OF CAPITAL TO ELIMINATE THE FRICTION AND INEFFICIENCIES OF SERVING HARD-TO-REACH AND UNDERSERVED MARKETS, AND BOXPOWER TO PROVIDE CLEAN, AFFORDABLE, RELIABLE, AND RAPIDLY DEPLOYABLE ENERGY SOLUTIONS AS THE WORLD STANDARD FOR OFF-GRID ENERGY CONSUMPTION.

DRAPER RICHARDS KAPLAN FOUNCATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN: 912172351
FOR YEAR END 12/31/19

CORPORATE FIXED INCOME

CORPORATE BONDS

Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Unit Price	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
FIFTH THIRD BANCORP	9/21/17	350,000 000	$102 265	$100 416	$357,931 00			$10,063 00	2 86
Coupon Rate 2 875%, Matures 07/27/2020, CUSIP 316773CT5			$100 464		$351,623 30	**$351,456.00**	$(167 30) LT	$4,304 51	

Int Semi-Annually Jan/Jul, Callable $100 00 on 06/27/20, Yield to Call 2 015%, Moody BAA1 S&P BBB+, Issued 07/27/15, Asset Class FI & Pref

DRAPER RICHARDS KAPLAN FOUNCATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN: 912172351
FOR YEAR END 12/31/19

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
GILEAD SCIENCES INC	1/17/19	200,000 000	99 277	100 426	198,554 00			5,100 00	2 53
Coupon Rate 2 550%, Matures 09/01/2020, CUSIP 375558BB8			99 277		198,554 00	**200,852.00**	2,298 00 ST	1,699 99	
Int Semi-Annually Mar/Sep, Yield to Maturity 1 902%, Moody A3 S&P A, Issued 09/14/15, Asset Class FI & Pref									
KREDITANSTALT FUER WIEDERAUFBAU	9/12/18	175,000 000	99 764	100 755	174,587 00			4,813 00	2 72
Coupon Rate 2 750%, Matures 10/01/2020, CUSIP 500769FW9			99 764		174,587 00	**176,321.25**	1,734 25 LT	1,203 12	
Int Semi-Annually Apr/Oct, Yield to Maturity 1 731%, Moody AAA S&P AAA, Issued 09/17/13, Asset Class FI & Pref									
CARNIVAL CORP	5/23/19	200,000 000	101 742	101 500	203,484 00			7,900 00	3 89
Coupon Rate 3 950%, Matures 10/15/2020, CUSIP 143658BA9			100 989		201,977 13	**203,000.00**	1,022 87 ST	1,667 77	
Int Semi-Annually Apr/Oct, Yield to Maturity 2 021%, Moody A3 S&P A-, Issued 10/15/13, Asset Class FI & Pref									
MARRIOTT INTERNATIONAL INC/MD	11/3/17	200,000 000	102 961	100 729	205,924 00			6,750 00	3 35
Coupon Rate 3 375%, Matures 10/15/2020, CUSIP 571903AL7			100 810		201,620 12	**201,458.00**	(162 12) LT	1,424 99	
Int Semi-Annually Apr/Oct, Callable $100 00 on 07/15/20, Yield to Call 2 007%, Moody BAA2 S&P BBB, Issued 09/27/13, Asset Class FI & Pref									
AMERICAN EXPRESS CO	10/24/17	100,000 000	99 870	100 206	99,870 00				
Coupon Rate 2 200%, Matures 10/30/2020, CUSIP 025816BP3			99 870		99,870 00	100,206 00	336 00 LT		
	3/28/18	150,000 000	97 822	100 206	146,733 00				
			97 822		146,733 00	150,309 00	3,576 00 LT		
	Total	250,000 000			246,603 00			5,500 00	2 19
					246,603 00	**250,515 00**	3,912 00 LT	916 66	
Int Semi-Annually Apr/Oct, Callable $100 00 on 09/29/20, Yield to Call 1 918%, Moody A3 S&P BBB+, Issued 10/30/17, Asset Class FI & Pref									
DUPONT DE NEMOURS INC	2/5/19	135,000 000	101 324	101 386	136,787 40			5,084 00	3 71
Coupon Rate 3 766%, Matures 11/15/2020, CUSIP 26078JAA8			100 655		135,884 79	**136,871 10**	986 31 ST	649 63	
Int Semi-Annually May/Nov, Yield to Maturity 2 151%, Moody BAA1 S&P A- (-), Issued 11/28/18, Asset Class FI & Pref									
LOCKHEED MARTIN CORP	2/25/19	200,000 000	99 306	100 527	198,612 00			5,000 00	2 48
Coupon Rate 2 500%, Matures 11/23/2020, CUSIP 539830BF5			99 306		198,612 00	**201,054 00**	2,442 00 ST	527 77	
Int Semi-Annually May/Nov, Callable $100 00 on 10/23/20, Yield to Call 1 841%, Moody A3 S&P A-, Issued 11/23/15, Asset Class FI & Pref									
PROVINCE OF MANITOBA CANADA	2/5/19	250,000 000	98 780	100 170	246,950 00			5,125 00	2 04
Coupon Rate 2 050%, Matures 11/30/2020, CUSIP 563469UH6			98 780		246,950 00	**250,425.00**	3,475 00 ST	427 08	
Int Semi-Annually May/Nov, Yield to Maturity 1 861%, Moody AA2 S&P A+, Issued 11/30/15, Asset Class FI & Pref									
KREDITANSTALT FUER WIEDERAUFBAU	10/3/19	36,000 000	100 292	100 160	36,105 41				
Coupon Rate 1 875%, Matures 12/15/2020, CUSIP 500769HQ0			100 233		36,083 81	36,057 60	(26 21) ST		
	10/3/19	14,000 000	100 331	100 160	14,046 48				
			100 264		14,036 96	14,022 40	(14 56) ST		
	Total	50,000 000			50,151 89			938 00	1 87
					50,120 77	**50,080.00**	(40 77) ST	41 66	
Int Semi-Annually Jun/Dec, Yield to Maturity 1 705%, Moody AAA S&P AAA, Issued 11/15/17, Asset Class FI & Pref									
INTERNATIONAL FINANCE CORP	1/19/18	125,000 000	99 668	100 599	124,584 38			2,813 00	2 23
Coupon Rate 2 250%, Matures 01/25/2021, CUSIP 45950KCM0			99 668		124,584 38	**125,748.75**	1,164 37 LT	1,218 74	

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DRAPER RICHARDS KAPLAN FOUNCATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN: 912172351
FOR YEAR END 12/31/19

Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Unit Price	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
Int Semi-Annually Jan/Jul, Yield to Maturity 1 681%, Moody AAA S&P AAA, Issued 01/25/18, Asset Class FI & Pref									
US BANCORP	5/16/18	225,000 000	98 051	100 552	220,614 75			5,288 00	2 33
Coupon Rate 2 350%, Matures 01/29/2021, CUSIP 91159HHL7			98 051		220,614 75	**226,242.00**	5,627 25 LT	2,232 49	
Int Semi-Annually Jan/Jul, Callable $100 00 on 12/29/20, Yield to Call 1 787%, Moody A1 S&P A+, Issued 01/29/16, Asset Class FI & Pref									
TRUIST FINANCIAL CORP	4/24/18	150,000 000	97 759	100 284	146,638 50			3,225 00	2 14
Coupon Rate 2 150%, Matures 02/01/2021, CUSIP 05531FAZ6			97 759		146,638 50	**150,426 00**	3,787 50 LT	1,343 75	
Int Semi-Annually Feb/Aug, Callable $100 00 on 01/01/21, Yield to Call 1 862%, Moody A3 S&P A-, Issued 10/26/17, Asset Class FI & Pref									
CISCO SYSTEMS INC	3/12/19	107,000 000	99 191	100 585	106,134 37				
Coupon Rate 2 200%, Matures 02/28/2021, CUSIP 17275RBD3			99 191		106,134 37	107,625 95	1,491 58 ST		
	4/25/19	100,000 000	99 481	100 585	99,481 00				
			99 481		99,481 00	100,585 00	1,104 00 ST		
	Total	207,000 000			205,615 37			4,554 00	2 18
					205,615 37	**208,210.95**	2,595 58 ST	1,555 95	
Int Semi-Annually Feb/Aug, Yield to Maturity 1 687%, Moody A1 S&P AA-, Issued 02/29/16, Asset Class FI & Pref									
AIR LEASE CORP	1/19/18	225,000 000	99 087	100 461	222,945 75			5,625 00	2 48
Coupon Rate 2 500%, Matures 03/01/2021, CUSIP 00912XAZ7			99 087		222,945 75	**226,037.25**	3,091 50 LT	1,874 99	
Int Semi-Annually Mar/Sep, Yield to Maturity 2 097%, S&P BBB, Issued 01/16/18, Asset Class FI & Pref									
MARRIOTT INTERNATIONAL INC/MD	5/18/18	125,000 000	99 022	100 919	123,777 50			3,594 00	2 84
Coupon Rate 2 875%, Matures 03/01/2021, CUSIP 571903AN3			99 022		123,777 50	**126,148 75**	2,371 25 LT	1,197 91	
Int Semi-Annually Mar/Sep, Callable $100 00 on 02/01/21, Yield to Call 2 012%, Moody BAA2 S&P BBB, Issued 09/14/15, Asset Class FI & Pref									
JOHN DEERE CAPITAL CORP	3/28/18	125,000 000	99 695	101 239	124,618 75				
Coupon Rate 2 800%, Matures 03/04/2021, CUSIP 24422ESL4			99 695		124,618 75	126,548 75	1,930 00 LT		
	4/25/19	100,000 000	100 422	101 239	100,423 00				
			100 269		100,268 90	101,239 00	970 10 ST		
	Total	225,000 000			225,041 75			6,300 00	2 76
					224,887 65	**227,787.75**	1,930 00 LT 970 10 ST	2,047 50	
Int Semi-Annually Mar/Sep, Yield to Maturity 1 729%, Moody A2 S&P A, Issued 03/04/14, Asset Class FI & Pref									
BEST BUY CO INC	7/24/18	150,000 000	104 435	103 216	156,654 00			8,250 00	5 32
Coupon Rate 5 500%, Matures 03/15/2021, CUSIP 086516AL5			102 072		153,108 36	**154,824.00**	1,715 64 LT	2,429 16	
Int Semi-Annually Mar/Sep, Callable $100 00 on 12/15/20, Yield to Call 2 082%, Moody BAA1 (+) S&P BBB, Issued 03/11/11, Asset Class FI & Pref									
UNITED PARCEL SERVICE INC	7/11/18	175,000 000	97 535	100 268	170,686 25			3,588 00	2 04
Coupon Rate 2 050%, Matures 04/01/2021, CUSIP 911312BP0			97 535		170,686 25	**175,469.00**	4,782 75 LT	896 87	
Int Semi-Annually Apr/Oct, Yield to Maturity 1 831%, Moody A2 S&P A, Issued 11/14/17, Asset Class FI & Pref									
AUTOZONE INC	8/14/18	225,000 000	97 789	100 548	220,025 25			5,625 00	2 48
Coupon Rate 2 500%, Matures 04/15/2021, CUSIP 053332AS1			97 789		220,025 25	**226,233.00**	6,207 75 LT	1,187 49	
Int Semi-Annually Apr/Oct, Callable $100 00 on 03/15/21, Yield to Call 2 036%, Moody BAA1 S&P BBB, Issued 04/29/15, Asset Class FI & Pref									

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Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
BANK OF NEW YORK MELLON CORP/THE	3/25/19	300,000 000	99 753	100 692	299,259 00			7,500 00	2 48
Coupon Rate 2 500%, Matures 04/15/2021, CUSIP 06406FAA1			99 753		299,259 00	**302,076.00**	2,817 00 ST	1,583 33	
Int Semi-Annually Apr/Oct, Callable $100 00 on 03/15/21, Yield to Call 1 915%, Moody A1 S&P A, Issued 02/19/16, Asset Class FI & Pref									
COOPERATIEVE RABOBANK UA/NY	1/16/19	250,000 000	99 736	101 576	249,340 00			7,813 00	3 07
Coupon Rate 3 125%, Matures 04/26/2021, CUSIP 21688AAN2			99 736		249,340 00	**253,940.00**	4,600 00 ST	1,410 59	
Int Semi-Annually Apr/Oct, Yield to Maturity 1 909%, Moody AA3 S&P A+, Issued 04/26/18, Asset Class FI & Pref									
PACCAR FINANCIAL CORP	8/7/18	250,000 000	99 918	101 751	249,795 00			7,750 00	3 04
Coupon Rate 3 100%, Matures 05/10/2021, CUSIP 69371RP26			99 918		249,795 00	**254,377.50**	4,582 50 LT	1,097 91	
Int Semi-Annually May/Nov, Yield to Maturity 1 789%, Moody A1 S&P A+, Issued 05/10/18, Asset Class FI & Pref									
GENERAL DYNAMICS CORP	5/9/18	225,000 000	99 568	101 683	224,028 00			6,750 00	2 95
Coupon Rate 3 000%, Matures 05/11/2021, CUSIP 369550BE7			99 568		224,028 00	**228,786.75**	4,758 75 LT	937 50	
Int Semi-Annually May/Nov, Yield to Maturity 1 743%, Moody A2 S&P A+, Issued 05/11/18, Asset Class FI & Pref									
CATERPILLAR INC	4/30/18	125,000 000	102 296	102 735	127,871 25			4,875 00	3 79
Coupon Rate 3 900%, Matures 05/27/2021, CUSIP 149123BV2			101 074		126,343 07	**128,418 75**	2,075 68 LT	460 41	
Int Semi-Annually May/Nov, Yield to Maturity 1 918%, Moody A3 S&P A, Issued 05/27/11, Asset Class FI & Pref									
NEXTERA ENERGY CAPITAL HOLDINGS INC	5/16/18	120,000 000	103 018	102 841	123,622 80			5,400 00	4 37
Coupon Rate 4 500%, Matures 06/01/2021, CUSIP 65339KAA8			101 442		121,730 10	**123,409 20**	1,679 10 LT	449 99	
Int Semi-Annually Jun/Dec, Callable $100 00 on 03/01/21, Yield to Call 2 022%, Moody BAA1 S&P BBB+, Issued 06/10/11, Asset Class FI & Pref									
TORONTO-DOMINION BANK/THE	9/10/18	225,000 000	100 114	102 132	225,256 50			7,313 00	3 18
Coupon Rate 3 250%, Matures 06/11/2021, CUSIP 89114QBZ0			100 061		225,137 16	**229,797.00**	4,659 84 LT	406 25	
Int Semi-Annually Jun/Dec, Yield to Maturity 1 749%, Moody AA1 S&P AA-, Issued 06/12/18, Asset Class FI & Pref									
KREDITANSTALT FUER WIEDERAUFBAU	11/9/18	125,000 000	96 080	99 770	120,100 00			1,875 00	1 50
Coupon Rate 1 500%, Matures 06/15/2021, CUSIP 500769GZ1			96 080		120,100 00	**124,712 50**	4,612 50 LT	83 33	
Int Semi-Annually Jun/Dec, Yield to Maturity 1 660%, Moody AAA S&P AAA, Issued 05/25/16, Asset Class FI & Pref									
UNILEVER CAPITAL CORP	7/27/18	225,000 000	95 017	99 385	213,788 25			3,094 00	1 38
Coupon Rate 1 375%, Matures 07/28/2021, CUSIP 904764AT4			95 017		213,788 25	**223,616.25**	9,828 00 LT	1,314 84	
Int Semi-Annually Jan/Jul, Yield to Maturity 1 772%, Moody A1 S&P A+, Issued 07/28/16, Asset Class FI & Pref									
AT&T INC	8/8/18	150,000 000	100 985	103 036	151,479 00			5,813 00	3 76
Coupon Rate 3 875%, Matures 08/15/2021, CUSIP 00206RAZ5			100 542		150,812 46	**154,554 00**	3,741 54 LT	2,195 83	
Int Semi-Annually Feb/Aug, Yield to Maturity 1 963%, Moody BAA2 S&P BBB, Issued 08/18/11, Asset Class FI & Pref									
COMCAST CORP	2/25/19	290,000 000	101 335	102 938	293,874 40			10,005 00	3 35
Coupon Rate 3 450%, Matures 10/01/2021, CUSIP 20030NCQ2			100 908		292,633 70	**298,520.20**	5,886 50 ST	2,501 25	
Int Semi-Annually Apr/Oct, Yield to Maturity 1 737%, Moody A3 S&P A-, Issued 10/05/18, Asset Class FI & Pref									
ABBVIE INC	9/13/18	150,000 000	99 828	102 582	149,742 00			5,063 00	3 29
Coupon Rate 3 375%, Matures 11/14/2021, CUSIP 00287YBE8			99 828		149,742 00	**153,873.00**	4,131 00 LT	660 93	
Int Semi-Annually May/Nov, Yield to Maturity 1 961%, Moody BAA2 S&P A- (-), Issued 09/18/18, Asset Class FI & Pref									

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Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Unit Price	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
CITIGROUP INC	10/31/19	215,000 000	105 255	104 849	226,298 25			9,675 00	4 29
Coupon Rate 4 500%, Matures 01/14/2022, CUSIP 172967FT3			104 852		225,431 56	**225,425.35**	(6 21) ST	4,488 12	
Int Semi-Annually Jan/Jul, Yield to Maturity 2 056%, Moody A3 S&P BBB+, Issued 11/01/11, Asset Class FI & Pref									
BANK OF AMERICA CORP	2/27/19	100,000 000	107 600	107 321	107,601 00				
Coupon Rate 5 700%, Matures 01/24/2022, CUSIP 06051GEM7			105 451		105,451 28	107,321 00	1,869 72 ST		
	3/19/19	150,000 000	108 218	107 321	162,327 00				
			106 009		159,012 83	160,981 50	1,968 67 ST		
	Total	250,000 000			269,928 00	-		14,250 00	5 31
					264,464 11	**268,302.50**	3,838 39 ST	6,214 58	
Int Semi-Annually Jan/Jul, Yield to Maturity 2 058%, Moody A2 S&P A-, Issued 01/24/12, Asset Class FI & Pref									
PRAXAIR INC	1/17/19	250,000 000	98 351	101 212	245,877 50			6,125 00	2 42
Coupon Rate 2 450%, Matures 02/15/2022, CUSIP 74005PBA1			98 351		245,877 50	**253,030.00**	7,152 50 ST	2,313 88	
Int Semi-Annually Feb/Aug, Callable $100 00 on 11/15/21, Yield to Call 1 788%, Moody A2 S&P A, Issued 02/06/12, Asset Class FI & Pref									
CATERPILLAR FINANCIAL SERVICES CORP	2/20/19	100,000 000	99 952	102 280	99,952 00			2,950 00	2 88
Coupon Rate 2 950%, Matures 02/26/2022, CUSIP 14913Q2T5			99 952		99,952 00	**102,280 00**	2,328 00 ST	1,024 30	
Int Semi-Annually Feb/Aug, Yield to Maturity 1 864%, Moody A3 S&P A, Issued 02/26/19, Asset Class FI & Pref									
UNION PACIFIC CORP	6/14/19	225,000 000	101 628	102 192	228,663 00			6,638 00	2 88
Coupon Rate 2 950%, Matures 03/01/2022, CUSIP 907818EZ7			101 306		227,937 54	**229,932.00**	1,994 46 ST	2,212 49	
Int Semi-Annually Mar/Sep, Yield to Maturity 1 912%, Moody BAA1 S&P A-, Issued 02/19/19, Asset Class FI & Pref									
MEDTRONIC INC	10/9/19	250,000 000	103 412	102 852	258,530 00			7,875 00	3 06
Coupon Rate 3 150%, Matures 03/15/2022, CUSIP 585055BR6			103 094		257,735 14	**257,130 00**	(605 14) ST	2,318 74	
Int Semi-Annually Mar/Sep, Yield to Maturity 1 824%, Moody A3 S&P A, Issued 03/15/15, Asset Class FI & Pref									
UNITEDHEALTH GROUP INC	3/18/19	100,000 000	100 290	101 953	100,290 00			2,875 00	2 81
Coupon Rate 2 875%, Matures 03/15/2022, CUSIP 91324PBV3			100 216		100,215 75	**101,953.00**	1,737 25 ST	846 52	
Int Semi-Annually Mar/Sep, Callable $100 00 on 12/15/21, Yield to Call 1 853%, Moody A3 S&P A+, Issued 03/08/12, Asset Class FI & Pref									
NOVARTIS CAPITAL CORP	7/16/19	250,000 000	100 711	101 429	251,777 50			6,000 00	2 36
Coupon Rate 2 400%, Matures 05/17/2022, CUSIP 66989HAM0			100 598		251,495 15	**253,572.50**	2,077 35 ST	733 33	
Int Semi-Annually May/Nov, Callable $100 00 on 04/17/22, Yield to Call 1 761%, Moody A1 S&P AA-, Issued 02/17/17, Asset Class FI & Pref									
HONEYWELL INTERNATIONAL INC	11/14/19	115,000 000	100 969	100 921	116,115 50			2,473 00	2 13
Coupon Rate 2 150%, Matures 08/08/2022, CUSIP 438516BT2			100 924		116,062 17	**116,059.15**	(3 02) ST	982.13	
Int Semi-Annually Feb/Aug, Callable $100 00 on 07/08/22, Yield to Call 1 775%, First Coupon 02/08/20, Moody A2 S&P A, Issued 08/08/19, Asset Class FI & Pref									

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	Percentage of Holdings	Face Value	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
CORPORATE FIXED INCOME		8,032,000.000	$8,061,755.74 $8,031,295.53	$8,152,925.45	$76,063 75 LT $45,566.17 ST	$237,247.00 $63,084.28	2 91%
TOTAL CORPORATE FIXED INCOME (includes accrued interest)	95 72%			$8,216,009.73			

Watchlist and CreditWatch Indicators (*) = developing/uncertain (+) = On Watchlist/CreditWatch Upgrade (-) = On Watchlist/CreditWatch Downgrade

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CORPORATE FIXED INCOME

CORPORATE BONDS

Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Unit Price	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
AMERICAN EXPRESS CREDIT CORP	12/15/15	10,000 000	$100 025	$100 368	$10,002 50				
Coupon Rate 2 600%, Matures 09/14/2020, CUSIP 0258M0DX4			$100 004		$10,000 39	$10,036 80	$36 41 LT		
	3/16/16	150,000 000	101 459	100 368	152,190 00				
			100 237		150,355 90	150,552 00	196 10 LT		
	Total	160,000 000			162,192 50			4,160 00	2 59
					160,356 29	**160,588.80**	232 51 LT	1,236 44	

Int Semi-Annually Mar/Sep, Callable $100 00 on 08/14/20, Yield to Call 1 997%, Moody A2 S&P A-, Issued 09/14/15, Asset Class FI & Pref

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Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Unit Price	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
SANTANDER UK GROUP HOLDINGS PLC	1/5/16	200,000 000	99 899	100 855	199,798 00			6,250 00	3 09
Coupon Rate 3 125%, Matures 01/08/2021, CUSIP 80281LAD7			99 899		199,798 00	**201,710 00**	1,912 00 LT	3,003 47	
Int Semi-Annually Jan/Jul, Yield to Maturity 2 272%, Moody BAA1 S&P BBB, Issued 01/08/16, Asset Class FI & Pref									
FORD MOTOR CREDIT CO LLC	12/3/15	200,000 000	111 512	103 229	223,026 00			11,500 00	5 57
Coupon Rate 5 750%, Matures 02/01/2021, CUSIP 345397VR1			102 574		205,147 76	**206,458.00**	1,310 24 LT -	4,791 66	
Int Semi-Annually Feb/Aug, Yield to Maturity 2 703%, Moody BA1 S&P BBB-, Issued 02/07/11, Asset Class FI & Pref									
WELLS FARGO & CO	2/26/16	300,000 000	99 977	100 719	299,931 00			7,500 00	2 48
Coupon Rate 2 500%, Matures 03/04/2021, CUSIP 949746RS2			99 977		299,931 00	**302,157.00**	2,226 00 LT	2,437 50	
Int Semi-Annually Mar/Sep, Yield to Maturity 1 877%, Moody A2 S&P A-, Issued 03/04/16, Asset Class FI & Pref									
BEST BUY CO INC	12/3/15	200,000 000	104 374	103 216	208,750 00			11,000 00	5 32
Coupon Rate 5 500%, Matures 03/15/2021, CUSIP 086516AL5			101 089		202,178 12	**206,432.00**	4,253 88 LT	3,238 88	
Int Semi-Annually Mar/Sep, Callable $100 00 on 12/15/20, Yield to Call 2 082%, Moody BAA1 (+) S&P BBB, Issued 03/11/11, Asset Class FI & Pref									
MASCO CORP	3/17/16	300,000 000	100 773	101 490	302,319 00			10,500 00	3 44
Coupon Rate 3 500%, Matures 04/01/2021, CUSIP 574599BK1			100 204		300,610 85	**304,470 00**	3,859 15 LT	2,625 00	
Int Semi-Annually Apr/Oct, Callable $100 00 on 03/01/21, Yield to Call 2 198%, Moody BAA3 S&P BBB, Issued 03/17/16, Asset Class FI & Pref									
AUTOZONE INC	12/4/15	150,000 000	98 156	100 548	147,234 00			3,750 00	2 48
Coupon Rate 2 500%, Matures 04/15/2021, CUSIP 053332AS1			98 156		147,234 00	**150,822.00**	3,588 00 LT	791 66	
Int Semi-Annually Apr/Oct, Callable $100 00 on 03/15/21, Yield to Call 2 036%, Moody BAA1 S&P BBB, Issued 04/29/15, Asset Class FI & Pref									
BANK OF AMERICA CORP	12/3/15	200,000 000	110 314	104 261	220,630 00			10,000 00	4 79
Coupon Rate 5 000%, Matures 05/13/2021, CUSIP 06051GEH8			102 740		205,480 28	**208,522.00**	3,041 72 LT	1,333 33	
Int Semi-Annually May/Nov, Yield to Maturity 1 827%, Moody A2 S&P A-, Issued 05/13/11, Asset Class FI & Pref									
TORONTO-DOMINION BANK/THE	7/7/16	300,000 000	99 895	100 070	299,685 00			5,400 00	1 79
Coupon Rate 1 800%, Matures 07/13/2021, CUSIP 89114QBL1			99 895		299,685 00	**300,210.00**	525 00 LT	2,520 00	
Int Semi-Annually Jan/Jul, Yield to Maturity 1 753%, Moody AA1 S&P AA-, Issued 07/13/16, Asset Class FI & Pref									
CAPITAL ONE FINANCIAL CORP	12/3/15	200,000 000	108 673	104 145	217,348 00			9,500 00	4 56
Coupon Rate 4 750%, Matures 07/15/2021, CUSIP 14040HAY1			102 522		205,043 80	**208,290.00**	3,246 20 LT	4,380 55	
Int Semi-Annually Jan/Jul, Yield to Maturity 2 001%, Moody BAA1 S&P BBB, Issued 07/19/11, Asset Class FI & Pref									
CITIGROUP INC	10/11/16	255,000 000	100 204	100 617	255,520 20			5,993 00	2 33
Coupon Rate 2 350%, Matures 08/02/2021, CUSIP 172967KV2			100 070		255,177 64	**256,573.35**	1,395 71 LT	2,480 22	
Int Semi-Annually Feb/Aug, Yield to Maturity 1 953%, Moody A3 S&P BBB+, Issued 08/02/16, Asset Class FI & Pref									
AMERICAN TOWER CORP	1/12/17	200,000 000	101 782	102 278	203,566 00			6,900 00	3 37
Coupon Rate 3 450%, Matures 09/15/2021, CUSIP 03027XAE0			100 680		201,359 52	**204,556.00**	3,196 48 LT	2,031 66	
Int Semi-Annually Mar/Sep, Yield to Maturity 2 082%, Moody BAA3 S&P BBB-, Issued 08/07/14, Asset Class FI & Pref									
AERCAP IRELAND CAPITAL DAC / AERCAP GLOBAL AVIATION	5/17/16	300,000 000	99 813	103 324	299,439 00			11,850 00	3 82
TRUST			99 813		299,439 00	**309,972.00**	10,533 00 LT	4,937 50	
Coupon Rate 3 950%, Matures 02/01/2022, CUSIP 00772BAR2									
Int Semi-Annually Feb/Aug, Callable $100 00 on 01/01/22, Yield to Call 2 241%, Moody BAA3 S&P BBB, Issued 05/23/16, Asset Class FI & Pref									

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Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
AT&T INC	2/1/17	230,000 000	99 924	102 375	229,825 20				
Coupon Rate 3 200%, Matures 03/01/2022, CUSIP 00206RDN9			99 924		229,825 20	235,462 50	5,637 30 LT		
	6/27/17	70,000 000	101 596	102 375	71,117 20				
			100 764		70,535 06	71,662 50	1,127 44 LT		
	Total	300,000 000			300,942 40			9,600 00	3 12
					300,360 26	**307,125 00**	6,764 74 LT	3,199 99	
Int Semi-Annually Mar/Sep, Callable $100.00 on 02/01/22, Yield to Call 2 029%, Moody BAA2 S&P BBB, Issued 02/09/17, Asset Class FI & Pref									
METHANEX CORP	2/2/18	230,000 000	105 401	105 124	242,422 30			12,075 00	4 99
Coupon Rate 5 250%, Matures 03/01/2022, CUSIP 59151KAG3			102 970		236,830 72	**241,785 20**	4,954 48 LT	4,024 99	
Int Semi-Annually Mar/Sep, Yield to Maturity 2 794%, Moody BAA3 S&P BB+, Issued 02/28/12, Asset Class FI & Pref									
GENERAL MOTORS FINANCIAL CO INC	12/3/15	200,000 000	96 418	102 274	192,836 00				
Coupon Rate 3 450%, Matures 04/10/2022, CUSIP 37045XAW6			96 418		192,836 00	204,548 00	11,712 00 LT		
	3/16/16	100,000 000	97 031	102 274	97,031 00				
			97 031		97,031 00	102,274 00	5,243 00 LT		
	Total	300,000 000			289,867 00			10,350 00	3 37
					289,867 00	**306,822.00**	16,955 00 LT	2,328 75	
Int Semi-Annually Apr/Oct, Callable $100 00 on 02/10/22, Yield to Call 2 338%, Moody BAA3 S&P BBB, Issued 04/10/15, Asset Class FI & Pref									
METLIFE INC	1/11/17	136,000 000	101 158	103 322	137,576 24				
Coupon Rate 3 048%, Matures 12/15/2022, CUSIP 59156RBF4			100 603		136,819 64	140,517 92	3,698 28 LT		
	1/11/17	89,000 000	101 158	103 322	90,031 51				
			100 603		89,536 38	91,956 58	2,420 20 LT		
	Total	225,000 000			227,607 75			6,858 00	2 95
					226,356 02	**232,474 50**	6,118 48 LT	304 79	
Interest Paid Quarterly Dec, Yield to Maturity 1 887%, Moody A3 S&P A-, Issued 09/15/12, Asset Class FI & Pref									
ECOLAB INC	1/11/16	200,000 000	99 950	103 197	199,900 00			6,500 00	3 14
Coupon Rate 3 250%, Matures 01/14/2023, CUSIP 278865AU4			99 950		199,900 00	**206,394.00**	6,494 00 LT	3,015 27	
Int Semi-Annually Jan/Jul, Callable $100 00 on 11/14/22, Yield to Call 2 096%, Moody BAA1 S&P A-, Issued 01/14/16, Asset Class FI & Pref									
BAIDU INC	3/23/18	300,000 000	99 828	104 290	299,484 00			11,625 00	3 71
Coupon Rate 3 875%, Matures 09/29/2023, CUSIP 056752AK4			99 828		299,484 00	**312,870.00**	13,386 00 LT	2,970 83	
Int Semi-Annually Mar/Sep, Callable $100 00 on 08/29/23, Yield to Call 2 637%, Moody A3, Issued 03/29/18, Asset Class FI & Pref									
COMCAST CORP	1/6/17	100,000 000	99 693	103 651	99,693 00			3,000 00	2 89
Coupon Rate 3 000%, Matures 02/01/2024, CUSIP 20030NBX8			99 693		99,693 00	**103,651.00**	3,958 00 LT	1,250 00	
Int Semi-Annually Feb/Aug, Callable $100 00 on 01/01/24, Yield to Call 2 045%, Moody A3 S&P A-, Issued 01/10/17, Asset Class FI & Pref									
GLENCORE FUNDING LLC REGS	10/17/19	250,000 000	104 799	104 740	261,997 50			10,313 00	3 93
Coupon Rate 4 125%, Matures 03/12/2024, CUSIP U37818AU2			104 799		261,997 50	**261,850.00**	(147 50) ST	3,122 39	
Int Semi-Annually Mar/Sep, Callable $100 00 on 02/12/24, Yield to Call 2 894%, Moody BAA1 S&P BBB+, Issued 03/12/19, Asset Class FI & Pref									

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Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Unit Price	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
KINROSS GOLD CORP	6/19/18	300,000 000	104 164	111 000	312,495 00			17,850 00	5 36
Coupon Rate 5 950%, Matures 03/15/2024, CUSIP 496902AN7			103 164		309,493 38	**333,000.00**	23,506 62 LT	5,255 83	
Int Semi-Annually Mar/Sep, Callable $100 00 on 12/15/23, Yield to Call 2 981%, Moody BA1 S&P BBB-, Issued 09/15/14, Asset Class FI & Pref									
JPMORGAN CHASE & CO FXD TO 042023 VAR THRAFTR 3.5590%	4/16/18	240,000.000	100 000	104 209	240,000 00			8,542 00	3 41
Coupon Rate 3 559%, Matures 04/23/2024, CUSIP 46647PAP1			100 000		240,000 00	**250,101.60**	10,101 60 LT	1,613 41	
Int Semi-Annually Apr/Oct, Callable $100 00 on 04/23/23, Floating Rate, Moody A2 S&P A-, Issued 04/23/18, Asset Class FI & Pref									
BOARDWALK PIPELINES LP	1/28/19	235,000 000	100 923	108 194	237,169 05			11,633 00	4 57
Coupon Rate 4 950%, Matures 12/15/2024, CUSIP 096630AD0			100 794		236,865 01	**254,255.90**	17,390 89 ST	516 99	
Int Semi-Annually Jun/Dec, Callable $100 00 on 09/15/24, Yield to Call 3 066%, Moody BAA3 S&P BBB-, Issued 11/26/14, Asset Class FI & Pref									
DUKE ENERGY PROGRESS LLC	1/16/19	250,000 000	99 098	105 053	247,745 00			8,125 00	3 09
Coupon Rate 3 250%, Matures 08/15/2025, CUSIP 26442UAA2			99 098		247,745 00	**262,632.50**	14,887 50 ST	3,069 44	
Int Semi-Annually Feb/Aug, Callable $100 00 on 05/15/25, Yield to Call 2 246%, Moody AA3 S&P A, Issued 08/13/15, Asset Class FI & Pref									
MICRON TECHNOLOGY INC	7/11/19	245,000 000	99 995	106 738	244,987 75			10,253 00	3 92
Coupon Rate 4 185%, Matures 02/15/2027, CUSIP 595112BP7			99 995		244,987 75	**261,508 10**	16,520 35 ST	4,813 33	
Int Semi-Annually Feb/Aug, Callable $100 00 on 12/15/26, Yield to Call 3 100%, First Coupon 02/15/20, Moody BAA3 S&P BBB-, Issued 07/12/19, Asset Class FI & Pref									
WELLTOWER INC	12/9/19	255,000 000	99 893	100 429	254,727 15			6,885 00	2 68
Coupon Rate 2 700%, Matures 02/15/2027, CUSIP 95040QAK0			99 893		254,727 15	**256,093 95**	1,366 80 ST	286 87	
Int Semi-Annually Feb/Aug, Callable $100 00 on 12/15/26, Yield to Call 2 632%, First Coupon 08/15/20, Moody BAA1 S&P BBB+, Issued 12/16/19, Asset Class FI & Pref									
EQUINIX INC	6/27/19	315,000 000	107 212	108 603	337,717 80			16,931 00	4 94
Coupon Rate 5 375%, Matures 05/15/2027, CUSIP 29444UAR7			106 810		336,452 34	**342,099.45**	5,647 11 ST	2,163 43	
Int Semi-Annually May/Nov, Callable $102 68 on 05/15/22, Yield to Call 2 706%, Moody BA1 S&P BBB-, Issued 03/22/17, Asset Class FI & Pref									

	Percentage of Holdings	Face Value	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
CORPORATE FIXED INCOME		**6,710,000.000**	**$6,836,194.40**			**$254,843.00**	**3.67%**
			$6,766,200.39	**$6,953,424 35**	**$131,558.81 LT**	**$73,744.18**	
					$55,665.15 ST		
TOTAL CORPORATE FIXED INCOME (includes accrued interest)	**98 50%**			**$7,027,168.53**			

Watchlist and CreditWatch Indicators (*) = developing/uncertain (+) = On Watchlist/CreditWatch Upgrade (-) = On Watchlist/CreditWatch Downgrade

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CORPORATE FIXED INCOME

CORPORATE BONDS

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
MICROSOFT CORP	1/30/17	175,000 000	$99 933	$99 996	$174,882 75			$1,619 00	0 92
Coupon Rate 1 850%, Matures 02/06/2020, CUSIP 594918BV5			$99 933		$174,882 75	**$174,993.00**	$110 25 LT	$1,303 99	
Int Semi-Annually Feb/Aug, Yield to Maturity 1 877%, Moody AAA S&P AAA, Issued 02/06/17, Asset Class FI & Pref									
PACCAR FINANCIAL CORP	3/12/19	125,000 000	99 250	99 998	124,062 50			1,219 00	0 97
Coupon Rate 1 950%, Matures 02/27/2020, CUSIP 69371RN69			99 250		124,062 50	**124,997 50**	935 00 ST	839 58	
Int Semi-Annually Feb/Aug, Yield to Maturity 1 950%, Moody A1 S&P A+, Issued 02/27/17, Asset Class FI & Pref									
INTERNATIONAL FINANCE CORP	1/9/18	225,000 000	99 230	99 998	223,267 50			1,969 00	0 87
Coupon Rate 1 750%, Matures 03/30/2020, CUSIP 45950KCL2			99 230		223,267 50	**224,995.50**	1,728 00 LT	984 37	
Int Semi-Annually Mar/Sep, Yield to Maturity 1 750%, Moody AAA S&P AAA, Issued 03/30/17, Asset Class FI & Pref									
UNITED TECHNOLOGIES CORP	12/31/19	125,000 000	100 763	100 752	125,953 75			2,813 00	2 23
Coupon Rate 4 500%, Matures 04/15/2020, CUSIP 913017BR9			100 748		125,935 58	**125,940.00**	4 42 ST	1,187 49	
Int Semi-Annually Apr/Oct, Yield to Maturity 1 865%, Moody BAA1 S&P BBB+ (+), Issued 02/26/10, Asset Class FI & Pref									
NOVARTIS CAPITAL CORP	6/18/19	125,000 000	101 817	100 768	127,272 50			2,750 00	2 18
Coupon Rate 4 400%, Matures 04/24/2020, CUSIP 66989HAD0			100 668		125,834 43	**125,960.00**	125 57 ST	1,023 61	
Int Semi-Annually Apr/Oct, Yield to Maturity 1 923%, Moody A1 S&P AA-, Issued 03/16/10, Asset Class FI & Pref									
UNILEVER CAPITAL CORP	6/19/18	100,000 000	98 198	100 050	98,198 00			900 00	0 89
Coupon Rate 1 800%, Matures 05/05/2020, CUSIP 904764AV9			98 198		98,198 00	**100,050.00**	1,852 00 LT	280 00	
Int Semi-Annually May/Nov, Yield to Maturity 1 649%, Moody A1 S&P A+, Issued 05/05/17, Asset Class FI & Pref									
GENERAL DYNAMICS CORP	2/28/19	100,000 000	100 158	100 347	100,158 00			1,438 00	1 43
Coupon Rate 2 875%, Matures 05/11/2020, CUSIP 369550BA5			100 047		100,047 49	**100,347.00**	299 51 ST	399 30	
Int Semi-Annually May/Nov, Yield to Maturity 1 900%, Moody A2 S&P A+, Issued 05/11/18, Asset Class FI & Pref									
INTEL CORP	5/9/17	175,000 000	99 968	99 996	174,944 00			1,619 00	0 92
Coupon Rate 1 850%, Matures 05/11/2020, CUSIP 458140AZ3			99 968		174,944 00	**174,993 00**	49 00 LT	449 65	
Int Semi-Annually May/Nov, Yield to Maturity 1 856%, Moody A1 S&P A+, Issued 05/11/17, Asset Class FI & Pref									
QUALCOMM INC	4/18/19	50,000 000	99 567	100 110	49,783 50			563 00	1 12
Coupon Rate 2 250%, Matures 05/20/2020, CUSIP 747525AD5			99 567		49,783 50	**50,055 00**	271 50 ST	128 12	
Int Semi-Annually May/Nov, Yield to Maturity 1 958%, Moody A2 S&P A-, Issued 05/20/15, Asset Class FI & Pref									
DISCOVER BANK	2/22/18	250,000 000	100 209	100 372	250,522 50			3,875 00	1 54
Coupon Rate 3 100%, Matures 06/04/2020, CUSIP 25466AAG6			100 040		250,099 37	**250,930.00**	830 63 LT	581 25	
Int Semi-Annually Jun/Dec, Callable $100 00 on 05/04/20, Yield to Call 1 994%, Moody BAA2 S&P BBB, Issued 06/04/15, Asset Class FI & Pref									
PRUDENTIAL FINANCIAL INC	10/4/17	250,000 000	109 000	101 576	272,500 00			6,719 00	2 64
Coupon Rate 5 375%, Matures 06/21/2020, CUSIP 74432QBM6			101 590		253,974 27	**253,940.00**	(34 27) LT	373 26	
Int Semi-Annually Jun/Dec, Yield to Maturity 2 003%, Moody A3 S&P A, Issued 06/21/10, Asset Class FI & Pref									

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JPMORGAN CHASE & CO	10/18/17	150,000 000	101 693	100 288	152,541 00				
Coupon Rate 2 750%, Matures 06/23/2020, CUSIP 46625HLW8			100 307		150,460 34	150,432 00	(28 34) LT		
	1/30/18	150,000 000	100 475	100 288	150,712 50				
			100 096		150,144 36	150,432 00	287 64 LT		
	Total	300,000 000			303,253 50			4,125 00	1 37
					300,604 70	**300,864.00**	259 30 LT	183 33	
Int Semi-Annually Jun/Dec, Callable $100 00 on 05/23/20, Yield to Call 2 008%, Moody A2 S&P A-, Issued 06/23/15, Asset Class FI & Pref									
AT&T INC	11/9/17	150,000 000	100 373	100 340	150,561 00			1,838 00	1 22
Coupon Rate 2 450%, Matures 06/30/2020, CUSIP 00206RCL4			100 072		150,107 76	**150,510.00**	402 24 LT	—	
Int Semi-Annually Jun/Dec, Callable $100 00 on 05/30/20, Yield to Call 1 620%, Moody BAA2 S&P BBB, Issued 05/04/15, Asset Class FI & Pref									
PFIZER INC	5/16/19	30,000 000	103 324	101 946	30,997 20				
Coupon Rate 5 200%, Matures 08/12/2020, CUSIP 717081DR1			101 650		30,494 94	30,583 80	88 86 ST		
	5/16/19	70,000 000	103 324	101 946	72,326 80				
			101 650		71,154 87	71,362 20	207 33 ST		
	Total	100,000 000			103,324 00			5,200 00	5 10
					101,649 81	**101,946.00**	296 19 ST	2,007 77	
Int Semi-Annually Feb/Aug, Yield to Maturity 1 991%, Moody A1 (-) S&P AA- (-), Issued 08/12/15, Asset Class FI & Pref									
EUROPEAN INVESTMENT BANK REGS	12/19/19	150,000 000	99 931	99 955	149,896 35			2,438 00	1 62
Coupon Rate 1 625%, Matures 08/14/2020, CUSIP 298785HL3			99 931		149,896 35	**149,932 50**	36 15 ST	927 60	
Int Semi-Annually Feb/Aug, Yield to Maturity 1 697%, Moody AAA S&P AAA, Issued 05/24/17, Asset Class FI & Pref									
SIMON PROPERTY GROUP LP	3/18/19	50,000 000	99 713	100 245	49,856 50				
Coupon Rate 2 500%, Matures 09/01/2020, CUSIP 828807CU9			99 713		49,856 50	50,122 50	266 00 ST		
	12/20/19	125,000 000	100 314	100 245	125,393 75				
			100 300		125,374 98	125,306 25	(68 73) ST		
	Total	175,000 000			175,250 25			4,375 00	2 49
					175,231 48	**175,428.75**	197 27 ST	1,458 33	
Int Semi-Annually Mar/Sep, Callable $100 00 on 06/01/20, Yield to Call 1 903%, Moody A2 S&P A, Issued 08/17/15, Asset Class FI & Pref									
PRAXAIR INC	4/16/19	50,000 000	99 524	100 420	49,762 00			1,125 00	2 24
Coupon Rate 2 250%, Matures 09/24/2020, CUSIP 74005PBP8			99 524		49,762 00	**50,210 00**	448 00 ST	303 12	
Int Semi-Annually Mar/Sep, Yield to Maturity 1 668%, Moody A2 S&P A, Issued 09/24/15, Asset Class FI & Pref									
CARNIVAL CORP	5/23/19	100,000 000	101 742	101 500	101,742 00			3,950 00	3 89
Coupon Rate 3 950%, Matures 10/15/2020, CUSIP 143658BA9			100 989		100,988 56	**101,500.00**	511 44 ST	833 88	
Int Semi-Annually Apr/Oct, Yield to Maturity 2 021%, Moody A3 S&P A-, Issued 10/15/13, Asset Class FI & Pref									
CITIGROUP INC	4/22/19	75,000 000	99 820	100 546	74,865 00				
Coupon Rate 2 650%, Matures 10/26/2020, CUSIP 172967KB6			99 820		74,865 00	75,409 50	544 50 ST		
	12/20/19	100,000 000	100 553	100 546	100,553 00				
			100 531		100,531 40	100,546 00	14 60 ST		

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Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
	Total	175,000 000			175,418 00 175,396 40	**175,955 50**	559 10 ST	4,638 00 837 32	2 63
Int Semi-Annually Apr/Oct, Yield to Maturity 1 973%, Moody A3 S&P BBB+, Issued 10/26/15, Asset Class FI & Pref									
CHUBB INA HOLDINGS INC Coupon Rate 2 300%, Matures 11/03/2020, CUSIP 00440EAT4	11/14/19	100,000 000	100 347 100 300	100 354	100,347 00 100,299 50	**100,354.00**	54 50 ST	2,300 00 370 55	2 29
Int Semi-Annually May/Nov, Callable $100 00 on 10/03/20, Yield to Call 1 824%, Moody A3 S&P A, Issued 11/03/15, Asset Class FI & Pref									
LOCKHEED MARTIN CORP Coupon Rate 2 500%, Matures 11/23/2020, CUSIP 539830BF5	2/25/19	100,000 000	99 306 99 306	100 527	99,306 00 99,306 00	**100,527.00**	1,221 00 ST	2,500 00 263 88	2 48
Int Semi-Annually May/Nov, Callable $100 00 on 10/23/20, Yield to Call 1 841%, Moody A3 S&P A-, Issued 11/23/15, Asset Class FI & Pref									
TORONTO-DOMINION BANK/THE Coupon Rate 2 500%, Matures 12/14/2020, CUSIP 89114QBC1	12/17/19	125,000 000	100 636 100 609	100 575	125,795 00 125,761 75	**125,718 75**	(43 00) ST	3,125 00 147 56	2 48
Int Semi-Annually Jun/Dec, Yield to Maturity 1 888%, Moody AA1 S&P AA-, Issued 12/14/15, Asset Class FI & Pref									
JOHN DEERE CAPITAL CORP Coupon Rate 2 350%, Matures 01/08/2021, CUSIP 24422ETZ2	11/21/19	50,000 000	100 624 100 562	100 540	50,312 00 50,280 76	50,270 00	(10 76) ST		
	12/3/19	50,000 000	100 502 100 575	100 540	50,251 00 50,287 61	50,270 00	(17 61) ST H		
	Total	100,000 000			100,563 00 100,568 37	**100,540 00**	(28 37) ST	2,350 00 1,129 30	2 33
Int Semi-Annually Jan/Jul, Yield to Maturity 1 813%, Moody A2 S&P A, Issued 01/08/18, Basis Adjustment Due to Wash Sale $48 33, Asset Class FI & Pref									
HONEYWELL INTERNATIONAL INC Coupon Rate 4 250%, Matures 03/01/2021, CUSIP 438516BA3	11/26/19	50,000 000	103 164 102 916	103 047	51,582 50 51,458 16	51,523 50	65 34 ST		
	12/10/19	50,000 000	103 002 103 021	103 047	51,501 00 51,510 53	51,523 50	12 97 ST H		
	12/19/19	50,000 000	103 002 102 912	103 047	51,501 00 51,456 12	51,523 50	67 38 ST		
	Total	150,000 000			154,584 50 154,424 81	**154,570 50**	145 69 ST	6,375 00 2,124 99	4 12
Int Semi-Annually Mar/Sep, Yield to Maturity 1 602%, Moody A2 S&P A, Issued 02/17/11, Basis Adjustment Due to Wash Sale $54 41, Asset Class FI & Pref									
UNITEDHEALTH GROUP INC Coupon Rate 2 125%, Matures 03/15/2021, CUSIP 91324PCU4	11/22/19	100,000 000	100 300 100 275	100 346	100,300 00 100,274 83	**100,346 00**	71 17 ST	2,125 00 625 69	2 11
Int Semi-Annually Mar/Sep, Yield to Maturity 1 833%, Moody A3 S&P A+, Issued 02/25/16, Asset Class FI & Pref									
AFRICAN DEVELOPMENT BANK Coupon Rate 2 625%, Matures 03/22/2021, CUSIP 00828ECZ0	12/18/19	150,000 000	101 086 101 054	101 135	151,630 50 151,580 58	**151,702 50**	121 92 ST	3,938 00 1,082 81	2 59
Int Semi-Annually Mar/Sep, Yield to Maturity 1 684%, Moody AAA S&P AAA, Issued 03/22/18, Asset Class FI & Pref									
NBCUNIVERSAL MEDIA LLC Coupon Rate 4 375%, Matures 04/01/2021, CUSIP 63946BAE0	12/31/19	150,000 000	103 144 103 130	103 136	154,716 00 154,695 24	**154,704 00**	8 76 ST	6,563 00 1,640 62	4 24
Int Semi-Annually Apr/Oct, Yield to Maturity 1 824%, Moody A3 S&P A-, Issued 04/01/11, Asset Class FI & Pref									

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KREDITANSTALT FUER WIEDERAUFBAU	11/22/19	100,000 000	101 243	101 205	101,244 00			2,625 00	2 59
Coupon Rate 2 625%, Matures 04/12/2021, CUSIP 500769HU1			101 145		101,145 26	**101,205 00**	59 74 ST	576 04	
Int Semi-Annually Apr/Oct, Yield to Maturity 1 669%, Moody AAA S&P AAA, Issued 04/05/18, Asset Class FI & Pref									
PEPSICO INC	11/25/19	50,000 000	100 294	100 285	50,147 50				
Coupon Rate 2 000%, Matures 04/15/2021, CUSIP 713448DX3			100 273		50,136 67	50,142 50	5 83 ST		
	12/20/19	100,000 000	100 304	100 285	100,305 00				
			100 297		100,297 35	100,285 00	(12 35) ST		
	Total	150,000 000			150,452 50			3,000 00	1 99
					150,434 02	**150,427.50**	(6 52) ST	633 33	
Int Semi-Annually Apr/Oct, Callable $100 00 on 03/15/21, Yield to Call 1 759%, Moody A1 S&P A+, Issued 10/10/17, Asset Class FI & Pref									
BANK OF AMERICA CORP	12/17/19	125,000 000	100 921	100 916	126,151 25			3,281 00	2 60
Coupon Rate 2 625%, Matures 04/19/2021, CUSIP 06051GFW4			100 893		126,115 72	**126,145.00**	29 28 ST	656 25	
Int Semi-Annually Apr/Oct, Yield to Maturity 1 907%, Moody A2 S&P A-, Issued 04/19/16, Asset Class FI & Pref									
CATERPILLAR FINANCIAL SERVICES CORP	12/23/19	200,000 000	101 088	101 144	202,176 00			5,300 00	2 62
Coupon Rate 2 650%, Matures 05/17/2021, CUSIP 14913Q2W8			101 069		202,137 48	**202,288.00**	150 52 ST	647 77	
Int Semi-Annually May/Nov, Yield to Maturity 1 805%, Moody A3 S&P A, Issued 05/17/19, Asset Class FI & Pref									

	Percentage of Holdings	Face Value	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
CORPORATE FIXED INCOME		**4,450,000.000**	**$4,498,017.85**			**$96,655 00**	**2.16%**
			$4,471,410 01	**$4,482,076 00**	**$5,197 15 LT**	**$24,000 76**	
					$5,468.84 ST		
TOTAL CORPORATE FIXED INCOME (includes accrued interest)	**73.82%**			**$4,506,076.76**			

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UNSETTLED PURCHASES/SALES ACTIVITY

Activity Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Pending Credits/(Debits)
12/31	1/3	Bought	NBCUNIVERSAL MEDI 4375 21AP01	UNSETTLED PURCHASE	150,000 000	$103 1440	($(156,393.08))
12/31	1/3	Bought	UNITED TECH CORP 4500 20AP15	UNSETTLED PURCHASE	125,000 000	100 7630	((127,172 50))
12/31	1/2	Bought	US TSY NOTE 1500 20JL15	UNSETTLED PURCHASE	50,000 000	99 9414	(50,319 21)

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