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Form **990-PF**Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0047

**2019**Department of the Treasury  
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

Open to Public Inspection

For calendar year 2019 or tax year beginning , and ending

Name of foundation <b>HARKEY FAMILY FOUNDATION, INC.</b>		A Employer identification number <b>90-0796600</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>12200 N STEMMONS FWY., SUITE 100</b>		B Telephone number (see instructions) <b>972-888-8199</b>
City or town, state or province, country, and ZIP or foreign postal code <b>DALLAS TX 75234</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <b>10,623,978</b>		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	-57,661	56,834		
	4 Dividends and interest from securities	133,789	132,525		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	64,165			
	b Gross sales price for all assets on line 6a <b>9,578,724</b>				
	7 Capital gain net income (from Part IV, line 2)		64,165		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule) <b>STMT 1</b>	-5,057	59,308	-64,365		
12 Total. Add lines 1 through 11	250,558	312,832	-64,365		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) <b>SEE STMT 2</b>	4,539	4,539		4,539
	b Accounting fees (attach schedule) <b>STMT 3</b>	6,940	6,940		6,940
	c Other professional fees (attach schedule)				
	17 Interest	4,834	4,834		
	18 Taxes (attach schedule) (see instructions) <b>STMT 4</b>	352	352		
	19 Depreciation (attach schedule) and depletion	60,320	60,320		
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (att. sch) <b>STMT 5</b>	54,629	51,046	3,583	32,784
	24 Total operating and administrative expenses. Add lines 13 through 23	131,614	128,031	3,583	44,263
	25 Contributions, gifts, grants paid <b>SEE STATEMENT 6</b>	185,550			185,550
26 Total expenses and disbursements. Add lines 24 and 25	317,164	128,031	3,583	229,813	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-66,606				
b Net investment income (if negative, enter -0-)		184,801			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2019)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash – non-interest-bearing		1,026,410	147,669	147,669
	2	Savings and temporary cash investments		501,176	515,214	515,214
	3	Accounts receivable ▶	20,510		20,510	20,510
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (att. schedule) ▶	0			
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges		8,532	8,532	
	10a	Investments – U S and state government obligations (attach schedule)				
	b	Investments – corporate stock (attach schedule) <b>SEE STMT 7</b>		6,493,323	2,288,962	1,978,093
	c	Investments – corporate bonds (attach schedule) <b>SEE STMT 8</b>		427,450	1,027,842	1,102,000
	Liabilities	11	Investments – land, buildings, and equipment, basis ▶			
		Less accumulated depreciation (attach sch) ▶				
12		Investments – mortgage loans				
13		Investments – other (attach schedule) <b>SEE STATEMENT 9</b>		2,310,050	6,364,461	6,851,960
14		Land, buildings, and equipment, basis ▶				
		Less accumulated depreciation (attach sch) ▶				
15		Other assets (describe ▶)				
16		<b>Total assets</b> (to be completed by all filers – see the instructions. Also, see page 1, item I)		10,758,409	10,373,190	10,623,978
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
	23	<b>Total liabilities</b> (add lines 17 through 22)		0	0	
Net Assets or Fund Balances		<b>Foundations that follow FASB ASC 958, check here and complete lines 24, 25, 29, and 30.</b> ▶ <input checked="" type="checkbox"/>				
	24	Net assets without donor restrictions		10,758,409	10,373,190	
	25	Net assets with donor restrictions				
		<b>Foundations that do not follow FASB ASC 958, check here and complete lines 26 through 30.</b> ▶ <input type="checkbox"/>				
	26	Capital stock, trust principal, or current funds				
	27	Paid-in or capital surplus, or land, bldg, and equipment fund				
	28	Retained earnings, accumulated income, endowment, or other funds				
	29	<b>Total net assets or fund balances</b> (see instructions)		10,758,409	10,373,190	
	30	<b>Total liabilities and net assets/fund balances</b> (see instructions)		10,758,409	10,373,190	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	10,758,409
2	Enter amount from Part I, line 27a	2	-66,606
3	Other increases not included in line 2 (itemize) ▶ <b>SEE STATEMENT 10</b>	3	44,641
4	Add lines 1, 2, and 3	4	10,736,444
5	Decreases not included in line 2 (itemize) ▶ <b>SEE STATEMENT 11</b>	5	363,254
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 29	6	10,373,190

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a SEE WORKSHEET</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

<b>2</b> Capital gain net income or (net capital loss) <span style="border-left: 1px solid black; padding-left: 10px;">If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7</span>	<b>2</b>	<b>64,165</b>
<b>3</b> Net short term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8 <span style="border-left: 1px solid black; padding-left: 10px;"></span>	<b>3</b>	<b>40,056</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	<b>68,022</b>	<b>6,526,196</b>	<b>0.010423</b>
2017			
2016			
2015			
2014			

  

<b>2</b> Total of line 1, column (d)	<b>2</b>	<b>0.010423</b>
<b>3</b> Average distribution ratio for the 5-year base period – divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	<b>0.010423</b>
<b>4</b> Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	<b>4</b>	<b>10,038,890</b>
<b>5</b> Multiply line 4 by line 3	<b>5</b>	<b>104,635</b>
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	<b>1,848</b>
<b>7</b> Add lines 5 and 6	<b>7</b>	<b>106,483</b>
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	<b>8</b>	<b>229,813</b>

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	1,848
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	0
<b>3</b>	Add lines 1 and 2	3	1,848
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	5	1,848
<b>6</b>	<b>Credits/Payments</b>		
<b>a</b>	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	8,532
<b>b</b>	Exempt foreign organizations – tax withheld at source	6b	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	6c	
<b>d</b>	Backup withholding erroneously withheld	6d	
<b>7</b>	Total credits and payments. Add lines 6a through 6d	7	8,532
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	6,684
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2020 estimated tax</b> <b>6,684</b> <b>Refunded</b>	11	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		<input checked="" type="checkbox"/>
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		<input checked="" type="checkbox"/>
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		<input checked="" type="checkbox"/>
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <b>\$</b> _____ (2) On foundation managers <b>\$</b> _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <b>\$</b> _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		<input checked="" type="checkbox"/>
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		<input checked="" type="checkbox"/>
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?	<input checked="" type="checkbox"/>	
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	<input checked="" type="checkbox"/>	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by <i>General Instruction T</i>		<input checked="" type="checkbox"/>
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<input checked="" type="checkbox"/>	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	<input checked="" type="checkbox"/>	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered. See instructions <b>TX</b>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	<input checked="" type="checkbox"/>	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See instructions for Part XIV. If "Yes," complete Part XIV		<input checked="" type="checkbox"/>
<b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		<input checked="" type="checkbox"/>

**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions		<b>X</b>
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions		<b>X</b>
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <b>N/A</b>	<b>X</b>	
14 The books are in care of ► <b>JOHN D HARKEY JR (FOUNDING DIRECTOR)</b> Telephone no ► <b>972-888-8199</b> <b>12200 STEMMONS FWY., SUITE 100</b> Located at ► <b>DALLAS</b> TX ZIP+4 ► <b>75234</b>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – check here and enter the amount of tax-exempt interest received or accrued during the year		<b>15</b>
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ►		<b>X</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days )	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	<b>N/A</b> ► <input type="checkbox"/>	<b>1b</b>
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		<b>1c</b> <b>X</b>
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? If "Yes," list the years ► 20 , 20 , 20 , 20	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions )	<b>N/A</b>	<b>2b</b>
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019 )	<b>N/A</b>	<b>3b</b>
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		<b>4a</b> <b>X</b>
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		<b>4b</b> <b>X</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

<b>5a</b>	During the year did the foundation pay or incur any amount to		<b>Yes</b>	<b>No</b>
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here	<b>N/A</b>	<input checked="" type="checkbox"/>	<b>5b</b>
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d)	<b>N/A</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870			<b>6b</b> <b>X</b>
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
<b>b</b>	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		<b>N/A</b>	<b>7b</b>
<b>8</b>	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12				

**2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)***3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
3 All other program-related investments. See instructions.	
Total. Add lines 1 through 3	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	<b>5,637,055</b>
<b>b</b>	Average of monthly cash balances	<b>1b</b>	<b>1,630,006</b>
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	<b>2,924,705</b>
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	<b>10,191,766</b>
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	<b>0</b>
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	<b>0</b>
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	<b>10,191,766</b>
<b>4</b>	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	<b>4</b>	<b>152,876</b>
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	<b>10,038,890</b>
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	<b>501,945</b>

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	<b>501,945</b>
<b>2a</b>	Tax on investment income for 2019 from Part VI, line 5	<b>2a</b>	<b>1,848</b>
<b>b</b>	Income tax for 2019 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	<b>1,848</b>
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	<b>500,097</b>
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	<b>500,097</b>
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	<b>500,097</b>

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	<b>1a</b>	<b>229,813</b>
<b>b</b>	Program-related investments – total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	<b>229,813</b>
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	<b>5</b>	<b>1,848</b>
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	<b>227,965</b>

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
<b>1</b> Distributable amount for 2019 from Part XI, line 7				<b>500,097</b>
<b>2</b> Undistributed income, if any, as of the end of 2019				
<b>a</b> Enter amount for 2018 only			<b>37,872</b>	
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2019				
<b>a</b> From 2014				
<b>b</b> From 2015				
<b>c</b> From 2016				
<b>d</b> From 2017				
<b>e</b> From 2018				
<b>f</b> <b>Total</b> of lines 3a through e				
<b>4</b> Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ <b>229,813</b>				
<b>a</b> Applied to 2018, but not more than line 2a			<b>37,872</b>	
<b>b</b> Applied to undistributed income of prior years (Election required – see instructions)				
<b>c</b> Treated as distributions out of corpus (Election required – see instructions)				
<b>d</b> Applied to 2019 distributable amount				<b>191,941</b>
<b>e</b> Remaining amount distributed out of corpus				
<b>5</b> Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5				
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b Taxable amount – see instructions				
<b>e</b> Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount – see instructions				
<b>f</b> Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				<b>308,156</b>
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
<b>8</b> Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)				
<b>9</b> <b>Excess distributions carryover to 2020.</b> Subtract lines 7 and 8 from line 6a				
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2015				
<b>b</b> Excess from 2016				
<b>c</b> Excess from 2017				
<b>d</b> Excess from 2018				
<b>e</b> Excess from 2019				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling  

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year (a) 2019	(b) 2018	Prior 3 years (c) 2017 (d) 2016		(e) Total
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4, for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test – enter					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
<b>c</b> "Support" alternative test – enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)  
**JDH LIFE SCIENCES, INC. (J. D. HARKEY, JR., PRES.)**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest  
**N/A**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed  
**N/A**

**b** The form in which applications should be submitted and information and materials they should include  
**N/A**

**c** Any submission deadlines  
**N/A**

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors  
**N/A**

**Part XV** Supplementary Information *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year				
CIRCLE TEN COUNCIL 8605 HARRY HINES DALLAS TX 75235	SUMMIT BECHTEL NAT'L SCOUT RESERVE	501 (C) (3)		150,000
GEORGE W. BUSH PRESIDENTIAL CENTER 2943 SMU BLVD. DALLAS TX 75205		501 (C) (3)	GENERAL OPERATIONS	20,000
SMU STUDENT FOUNDATION P O BOX 750436 DALLAS TX 75235		501 (C) (3)	TATE LECTURE SERIES	6,000
UNIVERSITY OF TEXAS P O BOX 7458 AUSTIN TX 78713	TEXAS MEN'S GOLF CAPITAL PROJECT	501 (C) (3)		5,000
STATE FAIR OF TEXAS P O BOX 150009 DALLAS TX 75315		501 (C) (3)	GENERAL OPERATIONS	2,500
PARK CITIES QUAIL COALITION 25 HIGHLAND PARK VILLAGE DALLAS TX 75205		501 (C) (3)	GENERAL OPERATIONS	600
DALLAS FOUNDATION 25 HIGHLAND PARK VILLAGE DALLAS TX 75205		501 (C) (3)	AWARE ACTIVITY FUND	1,200
TRIUMPH OVER KID CANCER 703 COLEMAN AVENUE CORPUS CHRISTI TX 78401		501 (C) (3)	GENERAL OPERATIONS	250
<b>Total</b>			▶ 3a	185,550
<b>b</b> Approved for future payment N/A				
<b>Total</b>			▶ 3b	





Form <b>990-PF</b>	<b>Capital Gains and Losses for Tax on Investment Income</b>	<b>2019</b>
For calendar year 2019, or tax year beginning _____, and ending _____		

Name <b>HARKEY FAMILY FOUNDATION, INC.</b>	Employer Identification Number <b>90-0796600</b>
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(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) 100,000 ASIA BKT STEP-UP ISS BONDS	P	07/26/18	09/27/19
(2) 50,000 NIKKEI ARN (ISSUER B OF A)	P	05/24/18	07/19/19
(3) 3,098 SHS. - METLIFE, INC.	P	05/31/18	01/07/19
(4) 5,800 SHS. - FACEBOOK, INC.	P	07/31/18	03/08/19
(5) 10,500 SHS. - INTEL CORP.	P	07/27/18	03/08/19
(6) 8,100 SHS. - QUALCOMM, INC.	P	06/07/18	05/17/19
(7) 12,000 SHS. - UBER TECHNOLOGIES, INC	P	05/13/19	05/15/19
(8) 10,000 SHS. - COMPASS MINERALS INT'L	P	02/12/19	06/20/19
(9) 45,000 SHS. - PETROLEO BRASIL ADR	P	05/21/19	06/06/19
(10) 8,100 SHS. - LAS VEGAS SANDS CORP.	P	09/06/18	07/11/19
(11) 14,000 SHS. - FIRST REPUBLIC BANK	P	06/05/18	08/12/19
(12) 8,000 SHS. - ADAPTIVE BIOTECH. CORP.	P	09/30/19	10/31/19
(13) 9,500 SHS. - BRISTOL-MYERS CORP.	P	06/07/18	10/31/19
(14) 31,500 SHS. - THE CONTAINER STORE	P	05/15/19	10/31/19
(15) 31,500 SHS. - THE CONTAINER STORE	P	07/09/19	10/31/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 951,728		1,000,000	-48,272
(2) 476,980		500,000	-23,020
(3) 77,449		77,450	-1
(4) 979,226		993,864	-14,638
(5) 549,038		502,781	46,257
(6) 651,469		495,854	155,615
(7) 469,425		440,992	28,433
(8) 575,857		525,856	50,001
(9) 665,661		627,269	38,392
(10) 505,555		496,556	8,999
(11) 363,992		350,000	13,992
(12) 202,921		244,400	-41,479
(13) 548,339		499,638	48,701
(14) 130,137		248,938	-118,801
(15) 130,137		240,471	-110,334

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
(1)			-48,272
(2)			-23,020
(3)			-1
(4)			-14,638
(5)			46,257
(6)			155,615
(7)			28,433
(8)			50,001
(9)			38,392
(10)			8,999
(11)			13,992
(12)			-41,479
(13)			48,701
(14)			-118,801
(15)			-110,334

Form <b>990-PF</b>	<b>Capital Gains and Losses for Tax on Investment Income</b>	<b>2019</b>
For calendar year 2019, or tax year beginning , and ending		

Name <b>HARKEY FAMILY FOUNDATION, INC.</b>	Employer Identification Number <b>90-0796600</b>
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(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) 4,200 SHS. - GUARDANT HEALTH, INC.	P	10/09/19	10/31/19
(2) 17,500 SHS. - MODERNA, INC.	P	07/12/19	10/31/19
(3) 14,000 UNITS - MPLX, LP	P	08/21/18	10/31/19
(4) 13,700 SHS. - OCCIDENTAL PETR. CORP.	P	06/06/19	10/31/19
(5) 8,500 SHOCKWAVE MEDICAL, INC.	P	09/30/19	10/31/19
(6) 17,500 UNITS - A-B HOLDINGS, LP	P	08/06/18	10/31/19
(7) ALLIANCE-BERNSTEIN HOLDINGS, LP (ST)	P	01/01/19	10/31/19
(8) ALLIANCE-BERNSTEIN HOLDINGS, LP (LT)	P	08/06/18	10/31/19
(9) MPLX, LP (ST)	P	01/01/19	10/31/19
(10) DORCHESTER MINERALS, LP (LT)	P	08/06/18	12/31/19
(11) A-B HOLDINGS, LP (SEC. 1231 GAIN)	P	08/06/18	10/31/19
(12) ENERGY TRANSFER, LP (SEC. 1231 LOSS)	P	06/28/18	12/31/19
(13) MPLX, LP (SEC. 1231 LOSS)	P	08/31/18	10/31/19
(14)			
(15)			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 297,026		250,611	46,415
(2) 295,424		251,996	43,428
(3) 370,421		371,412	-991
(4) 552,499		664,823	-112,324
(5) 273,439		252,875	20,564
(6) 508,026		476,743	31,283
(7)		1,851	-1,851
(8) 2,064			2,064
(9) 1,380			1,380
(10) 12			12
(11) 519			519
(12)		134	-134
(13)		45	-45
(14)			
(15)			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
(1)			46,415
(2)			43,428
(3)			-991
(4)			-112,324
(5)			20,564
(6)			31,283
(7)			-1,851
(8)			2,064
(9)			1,380
(10)			12
(11)			519
(12)			-134
(13)			-45
(14)			
(15)			

## Federal Statements

## Statement 1 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
A B HOLDING LP (OTHER)	\$ 103	\$ 103	\$
DORCHESTER MINERALS, LP	59,205	59,205	-12,396
ALLIANCE BERNSTEIN HOLDING LP	-12,396		34,221
ALLIANCE BERNSTEIN HOLDING LP	34,221		=136,786
ENERGY TRANSFER LP	=136,786		-42,106
MPLX LP	-42,106		-44,084
MPLX, LP (SUSP. PAL FROM '18)	-44,084		136,786
NONDEDUCTIBLE PTP LOSS	136,786		
TOTAL	\$ -5,057	\$ 59,308	\$ -64,365

## Statement 2 - Form 990-PF, Part I, Line 16a - Legal Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
LAN, SMITH, SOSOLIK, PLLC	\$ 4,539	\$ 4,539	\$	\$ 4,539
TOTAL	\$ 4,539	\$ 4,539	\$ 0	\$ 4,539

## Statement 3 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
RHAME & PERNA, PLLC	\$ 6,940	\$ 6,940	\$	\$ 6,940
TOTAL	\$ 6,940	\$ 6,940	\$ 0	\$ 6,940

## Statement 4 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
MERRILL LYNCH (X2477)-FOREIGN TA	\$ 352	\$ 352	\$	\$
TOTAL	\$ 352	\$ 352	\$ 0	\$ 0



## Federal Statements

## Statement 5 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
EXPENSES	\$	\$	\$	\$
BANK CHARGES	537	537		537
INVESTMENT ADVISORY FEES (X24	35,830	32,247	3,583	32,247
AB HOLDING, LP (CHARITABLE)	324	324		
AB HOLDING, LP (OTHER PORTFOL	229	229		
AB HOLDING, LP (NONDEDUCTIBLE	6,661	6,661		
DORCHESTER MINERALS, LP (ROYA	10,417	10,417		
ENERGY TRANSFER, LP (CHARITAB	134	134		
ENERGY TRANSFER, LP (NONDEDUC	380	380		
MPLX, LP (CHARITABLE)	9	9		
MPLX, LP (NONDEDUCTIBLE)	108	108		
TOTAL	\$ 54,629	\$ 51,046	\$ 3,583	\$ 32,784

## Statement 6 - Form 990-PF, Part I, Line 25 - Noncash Contributions, Gifts, Grants

Amount	Noncash Description	FMV Explanation	Book Value Amount	Book Value Explanation	Date
150,000					2/12/19
20,000					3/20/19
6,000					8/05/19
5,000					9/12/19
600					11/01/19
1,200					11/20/19

## Statement 7 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
MERRILL LYNCH (X2468)	\$ 2,500,000		COST	\$
MERRILL LYNCH (X2477)	3,993,323	2,288,962	COST	1,978,093
TOTAL	\$ 6,493,323	\$ 2,288,962		\$ 1,978,093

## Federal Statements

Statement 8 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
MERRILL LYNCH (X2468)	\$ 427,450	\$ 1,027,842	COST	\$ 1,102,000
TOTAL	\$ 427,450	\$ 1,027,842		\$ 1,102,000

Statement 9 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
ALLIANCE BERNSTEIN HOLDING, LP	\$ 495,602	\$	COST	\$ 526,770
DORCHESTER MINERALS LP	477,897	412,258	COST	6,325,190
ENERGY TRANSFER LP	888,043	5,952,203	COST	
MPLX LP	448,508		COST	
TOTAL	\$ 2,310,050	\$ 6,364,461		\$ 6,851,960

**Federal Statements****Statement 10 - Form 990-PF, Part III, Line 3 - Other Increases**

Description	Amount
PRIOR PERIOD ADJUSTMENT	\$ 557
REVERSE SUSPENDED PAL FROM 2018	44,084
TOTAL	<u>\$ 44,641</u>

**Statement 11 - Form 990-PF, Part III, Line 5 - Other Decreases**

Description	Amount
2018 EXCISE TAX ON NET INVESTMENT INCOME	\$ 226,468
REVERSE DISALLOWED PASSIVE LOSSES	136,786
TOTAL	<u>\$ 363,254</u>

90-0796600

## Federal Statements

Statement 12 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees,  
Etc.

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
JOHN D. HARKEY (FOUNDING DIRECTOR) 14 CANYON CREEK BROWNWOOD TX 76801	PRESIDENT	0.00	0	0	0
LUCY L. HARKEY (FOUNDING DIRECTOR) 14 CANYON CREEK BROWNWOOD TX 76801	SECRETARY	0.00	0	0	0
JOHN D HARKEY JR (FOUNDING DIRECTOR) 12200 STEMMONS FWY., SUITE 100 DALLAS TX 75234	V P AND T	0.00	0	0	0
PENI BARFIELD (OUTSIDE DIRECTOR) 12200 STEMMEONS FWY., SUITE 100 DALLAS TX 75234		0.00	0	0	0
MICHAEL D GINSBERG (OUTSIDE DIRECTOR) 917 WEST LYNN AUSTIN TX 78703		0.00	0	0	0

**Federal Statements****Form 990-PF, Part XV, Line 1a - Managers Who Contributed Over 2% or \$5,000**

<u>Name of Manager</u>	<u>Amount</u>
JDH LIFE SCIENCES, INC. (J. D. HARKEY, JR., PRES.)	\$ <u>                    </u>
TOTAL	\$ <u>                    0</u>

**Statement 13 - Form 990-PF, Part XVI-A, Line 11 - Other Revenue**

<u>Description</u>	<u>Business Code</u>	<u>Unrelated Amount</u>	<u>Exclusion Code</u>	<u>Exclusion Amount</u>	<u>Related Income</u>
ALLIANCE BERNSTEIN HOLDING		\$		\$	\$ -12,396
ALLIANCE BERNSTEIN HOLDING	211110	34,221			
ENERGY TRANSFER LP	211110	-136,786			
MPLX LP	211110	-42,106			
MPLX, LP (SUSP. PAL FROM '1	211110	-44,084			
NONDEDUCTIBLE PTP LOSS	211110	136,786			
TOTAL		\$ <u>-51,969</u>		\$ <u>0</u>	\$ <u>-12,396</u>

# Underdistribution and Excess Distributions for Part XIII

Form **990-PF**

**2019**

For calendar year 2019, or tax year beginning , ending

Name

**HARKEY FAMILY FOUNDATION, INC.**

Employer Identification Number  
**90-0796600**

## Undistributed Income Carryovers

Form 990-PF, Part XIII

Tax Year	Prior Undistributed Income			Current Year Decreases	Next Year Carryover	
	Nontaxable or Previously Taxed	Taxable in 2019	Total per Year		Nontaxable or Previously Taxed	Taxable in 2020
Years prior						
20 <b>15</b>						
20 <b>16</b>						
20 <b>17</b>						
2018		<b>37,872</b>	<b>37,872</b>	<b>37,872</b>		
2019			<b>500,097</b>	<b>191,941</b>		<b>308,156</b>
Total Carryover to Next Year						<b>308,156</b>

\* Carryover amount includes 4942(a) amounts

## Excess Distribution Carryovers

Form 990-PF, Part XIII

Preceding Tax Year Excess Distributions	Current Year	Next Year
	Decreases	Carryover
2014		
2015		
2016		
2017		
2018		
Current Year Excess Distribution Generated (2019)		<b>0</b>
Total Carryover to Next Year		<b>0</b>