

Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

- Do not enter social security numbers on this form as it may be made public.
- Go to www.irs.gov/Form990PF for instructions and the latest information.

2019

Open to Public
Inspection

For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

Name of foundation THE GREENBAUM FOUNDATION		A Employer identification number 87-6217358	
Number and street (or P.O. box number if mail is not delivered to street address) 8424 SANTA MONICA BLVD A-806		B Telephone number (see instructions) (858) 759-8835	
City or town, state or province, country, and ZIP or foreign postal code WEST HOLLYWOOD, CA 90069		C If exemption application is pending, check here ► <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here ► <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... ► <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 47,318,734		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis.)</i>	

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)		12,313,533		
	2 Check ► <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	479,190	479,190		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	2,364,036			
	b Gross sales price for all assets on line 6a	7,013,384			
	7 Capital gain net income (from Part IV, line 2)		2,364,036		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	289,943	-290,955		
	12 Total. Add lines 1 through 11	14,866,816	2,552,271		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	24,250	24,250		
	c Other professional fees (attach schedule)	114,215			
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	4,366	4,356		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	472			
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	143,303	28,606		0
	25 Contributions, gifts, grants paid	3,664,000			3,664,000
	26 Total expenses and disbursements. Add lines 24 and 25	3,807,303	28,606		3,664,000
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	11,059,513			
	b Net investment income (if negative, enter -0-)		2,523,665		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets					
1	Cash—non-interest-bearing				
2	Savings and temporary cash investments	303,074	539,067	539,067	
3	Accounts receivable ►				
	Less: allowance for doubtful accounts ►				
4	Pledges receivable ►				
	Less: allowance for doubtful accounts ►				
5	Grants receivable				
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
7	Other notes and loans receivable (attach schedule) ►				
	Less: allowance for doubtful accounts ►				
8	Inventories for sale or use				
9	Prepaid expenses and deferred charges				
10a	Investments—U.S. and state government obligations (attach schedule)	61,377	47,903	47,903	
b	Investments—corporate stock (attach schedule)	17,918,409	34,900,296	34,900,296	
c	Investments—corporate bonds (attach schedule)	2,948,998	2,396,553	2,396,553	
11	Investments—land, buildings, and equipment: basis ►				
	Less: accumulated depreciation (attach schedule) ►				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)	11,009,067	9,434,915	9,434,915	
14	Land, buildings, and equipment: basis ►				
	Less: accumulated depreciation (attach schedule) ►				
15	Other assets (describe ►)				
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	32,240,925	47,318,734	47,318,734	
Liabilities					
17	Accounts payable and accrued expenses				
18	Grants payable				
19	Deferred revenue				
20	Loans from officers, directors, trustees, and other disqualified persons				
21	Mortgages and other notes payable (attach schedule)				
22	Other liabilities (describe ►)	48,879			
23	Total liabilities (add lines 17 through 22)	48,879	0	0	
Net Assets or Fund Balances					
	Foundations that follow FASB ASC 958, check here ► <input checked="" type="checkbox"/>				
	and complete lines 24, 25, 29 and 30.				
24	Net assets without donor restrictions	32,192,046	47,318,734		
25	Net assets with donor restrictions				
	Foundations that do not follow FASB ASC 958, check here ► <input type="checkbox"/>				
	and complete lines 26 through 30.				
26	Capital stock, trust principal, or current funds				
27	Paid-in or capital surplus, or land, bldg., and equipment fund				
28	Retained earnings, accumulated income, endowment, or other funds				
29	Total net assets or fund balances (see instructions)	32,192,046	47,318,734		
30	Total liabilities and net assets/fund balances (see instructions)	32,240,925	47,318,734		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	32,192,046
2	Enter amount from Part I, line 27a	2	11,059,513
3	Other increases not included in line 2 (itemize) ►	3	4,067,175
4	Add lines 1, 2, and 3	4	47,318,734
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	47,318,734

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a PUBLICLY TRADED SECURITIES		P		
b PUBLICLY TRADED SECURITIES		P		
c JP SCOPES		P	2008-11-20	2019-12-31
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 1,525,105		1,479,469	45,636	
b 5,488,279		3,015,894	2,472,385	
c		153,985	-153,985	
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h)) gain minus col. (k), but not less than -0- or Losses (from col.(h))	
a			45,636	
b			2,472,385	
c			-153,985	
d				
e				
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	2,364,036
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	2,518,021

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	3,430,459	38,156,054	0.089906
2017	2,993,949	36,264,847	0.082558
2016	3,472,753	36,520,788	0.095090
2015	2,779,579	37,449,147	0.074223
2014	2,609,548	35,332,149	0.073858
2 Total of line 1, column (d)			0.415635
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			0.083127
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			36,863,327
5 Multiply line 4 by line 3			3,064,338
6 Enter 1% of net investment income (1% of Part I, line 27b)			25,237
7 Add lines 5 and 6			3,089,575
8 Enter qualifying distributions from Part XII, line 4			3,664,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1.	1	25,237
	Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	25,237
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	25,237
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.	5	25,237
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	41,596
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	120,000
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	161,596
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	136,359
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax ► 136,359	Refunded ►	11

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	<input type="checkbox"/>	Yes	No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).	<input type="checkbox"/>	Yes	No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
1c	Did the foundation file Form 1120-POL for this year?	<input type="checkbox"/>	Yes	No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:			
(1)	On the foundation. ► \$ _____	(2)	On foundation managers. ► \$ _____	
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	<input type="checkbox"/>	Yes	No
	<i>If "Yes," attach a detailed description of the activities.</i>			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	<input type="checkbox"/>	Yes	No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	<input type="checkbox"/>	Yes	No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	<input type="checkbox"/>	Yes	No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	<input type="checkbox"/>	Yes	No
	<i>If "Yes," attach the statement required by General Instruction T.</i>			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:			
	• By language in the governing instrument, or			
	• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?			
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	<input type="checkbox"/>	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)			
	► CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	<input type="checkbox"/>	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	<input type="checkbox"/>	Yes	No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	<input type="checkbox"/>	Yes	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12	No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.GREENBAUMFOUNDATION.ORG	13	Yes
14	The books are in care of ► <u>JAMES R GREENBAUM JR</u>	Telephone no. ► (858) 759-8835	
Located at ► <u>8424 SANTA MONICA BLVD SUITE A-806 WEST HOLLYWOOD CA</u>			ZIP+4 ► <u>90069</u>
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here.	► 15	<input type="checkbox"/>
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►	16	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance check here. ►	1b	<input type="checkbox"/>
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? If "Yes," list the years ► 20____, 20____, 20____, 20____	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	<input type="checkbox"/> No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	<input type="checkbox"/> No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:			Yes	No
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		<input type="checkbox"/>	<input checked="" type="checkbox"/>		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?		<input type="checkbox"/>	<input checked="" type="checkbox"/>		
(3) Provide a grant to an individual for travel, study, or other similar purposes?		<input type="checkbox"/>	<input checked="" type="checkbox"/>		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.		<input type="checkbox"/>	<input checked="" type="checkbox"/>		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		<input type="checkbox"/>	<input checked="" type="checkbox"/>		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance check here.			5b	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>	<input type="checkbox"/>	<input type="checkbox"/>		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	6b	
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	7b	
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/>	<input checked="" type="checkbox"/>		

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1. List all officers, directors, trustees, foundation managers and their compensation. See instructions.

List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMES R GREENBAUM JR 8424 SANTA MONICA BLVD SUITE A-806 WEST HOLLYWOOD, CA 90069	TRUSTEE 40.00	0	0	0

2. Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.	►	

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1		
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.		Amount
1	N/A	
2		
3		
4		
All other program-related investments. See instructions.		
Total. Add lines 1 through 3	►	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	37,091,395
b	Average of monthly cash balances.	1b	333,302
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	37,424,697
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	37,424,697
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	561,370
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	36,863,327
6	Minimum investment return. Enter 5% of line 5.	6	1,843,166

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign**Part XI** organizations check here ► and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,843,166
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	25,237
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	25,237
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,817,929
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	1,817,929
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,817,929

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	3,664,000
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,664,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	25,237
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	3,638,763
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.			

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				1,817,929
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2019:				
a From 2014.	889,751			
b From 2015.	969,930			
c From 2016.	1,671,970			
d From 2017.	1,214,713			
e From 2018.	1,662,674			
f Total of lines 3a through e.	6,409,038			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ <u>3,664,000</u>				
a Applied to 2018, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2019 distributable amount.				1,817,929
e Remaining amount distributed out of corpus	1,846,071			
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:	8,255,109			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)	889,751			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a.	7,365,358			
10 Analysis of line 9:				
a Excess from 2015.	969,930			
b Excess from 2016.	1,671,970			
c Excess from 2017.	1,214,713			
d Excess from 2018.	1,662,674			
e Excess from 2019.	1,846,071			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ►			
b	Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)			
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.			
b	85% of line 2a.			
c	Qualifying distributions from Part XII, line 4 for each year listed.			
d	Amounts included in line 2c not used directly for active conduct of exempt activities.			
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.			
3	Complete 3a, b, or c for the alternative test relied upon:			
a	"Assets" alternative test—enter:			
(1)	Value of all assets.			
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i).			
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.			
c	"Support" alternative test—enter:			
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).			
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).			
(3)	Largest amount of support from an exempt organization.			
(4)	Gross investment income.			

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
JAMES R GREENBAUM JR

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
JAMES R GREENBAUM JR

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i> See Additional Data Table				
Total			► 3a	3,664,000
<i>b Approved for future payment</i>				
Total			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities.			14	479,190	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	2,364,036	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a OTHER INCOME			14	1,012	
b MS 026607 - OTHER LOSS			14	-77,959	
c MS 114274 - OTHER LOSS			14	-4,816	
d MS 011803 - OTHER LOSS			14	-9,394	
e PARTNERSHIP INCOME (LOSS)			14	-198,786	
12 Subtotal. Add columns (b), (d), and (e).				2,553,283	
13 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations.)			13		2,553,283

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
CRISIS ACTION 708 THIRD AVE STE 1820 NEW YORK, NY 10017	N/A	PUBLIC	GENERAL FUND	150,000
PUBLIC JUSTICE1620 L STREET NW SUITE 630 WASHINGTON, DC 20036	N/A	PUBLIC	GENERAL FUND	150,000
A WELL-FED WORLD 1380 MONROE ST NW 222 WASHINGTON, DC 20010	N/A	PUBLIC	GENERAL FUND	100,000
Total			► 3a	3,664,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
CDP127 WEST 26TH STREET SUITE 300 NEW YORK, NY 10001	N/A	PUBLIC	GENERAL FUND	100,000
ETHICAL CHOICES PROGRAM 2785 CRUSE RD NW SUITE9 - 4404 LAWRENCEVILLE, GA 30043	N/A	PUBLIC	GENERAL FUND	100,000
FREEDOM FOR ALLPO BOX 536 NEW YORK, NY 10014	N/A	PUBLIC	GENERAL FUND	100,000
Total			► 3a	3,664,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
LIBERTY ASIAPO BOX 23526 WANCHAI POST OFFICE HONG KONG, HONG KONG HK	N/A	PUBLIC	GENERAL FUND	100,000
OPERATION FISTULA 401 CONGRESS AVE NO 1540 AUSTIN, TX 78701	N/A	PUBLIC	GENERAL FUND	100,000
RESCUE FOUNDATION PLOT NO 39 FATIMADEVI RD POISUR KANDIVALI MUMBAI, KANDIVALI MUMBAI IN	N/A	PUBLIC	GENERAL FUND	100,000
Total			► 3a	3,664,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
TRUCKERS AGAINST TRAFFICKING PO BOX 816 ENGLEWOOD, CO 80151	N/A	PUBLIC	GENERAL FUND	100,000
MONTEFIORE MEDICAL CENTER 111 E 210TH ST THE BRONX, NY 10467	N/A	PUBLIC	GENERAL FUND	88,000
AMERICAN COLLEGE OF LIFESTYLE MED PO BOX 6432 CHESTERFIELD, MO 63006	N/A	PUBLIC	GENERAL FUND	75,000
Total			► 3a	3,664,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AFRICA COLLEGE FUND 2004 RADIANCE CIRCLE FAIRFIELD, IA 52556	N/A	PUBLIC	GENERAL FUND	50,000
CENTRE FOR EFFECTIVE ALTRUISM SUITE 2 LITTLEGATE HOUSE 16-17 ST EBBES STREET OXFORD OX PT, OXFORD OX1 1PT UK	N/A	PUBLIC	GENERAL FUND	50,000
NET IMPACT1333 BROADWAY SUITE 250 OAKLAND, CA 94612	N/A	PUBLIC	GENERAL FUND	50,000
Total			► 3a	3,664,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
POLARIS PROJECT PO BOX 65323 WASHINGTON, DC 20035	N/A	PUBLIC	GENERAL FUND	50,000
STRONG MINDS 515 VALLEY STREET SUITE 6 MAPLEWOOD, NJ 07040	N/A	PUBLIC	GENERAL FUND	50,000
THE GIVING BACK FUND 5757 W CENTURY BLVD SUITE 410 LOS ANGELES, CA 90045	N/A	PUBLIC	GENERAL FUND	50,000
Total			► 3a	3,664,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
IN OUR BACKYARDPO BOX 669 REDMOND, OR 97756	N/A	PUBLIC	GENERAL FUND	45,000
WOMEN'S CRISIS CARE INTERNATIONAL HARBOUR GATE UNIT 3082 3RD FLOOR MANAMA, MANAMA BA	N/A	PUBLIC	GENERAL FUND	45,000
FREE TO THRIVE1050 UNIVERSITY AVE STE E107 84 SAN DIEGO, CA 92103	N/A	PUBLIC	GENERAL FUND	40,000
Total			► 3a	3,664,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
THE POLLINATION PROJECT 1569 SOLANO AVENUE 643 BERKELEY, CA 94707	N/A	PUBLIC	GENERAL FUND	40,000
YOUNG LOVE FOUNDATION PLOT 6789 EXTENSION 21 GABORONE, GABORONE BC	N/A	PUBLIC	GENERAL FUND	25,000
LA CONSCIENCE EQUIVALENCY DETERMINATION EQUIV DETERM ON FILE, EQUIV. DETERM. ON TO	N/A	PUBLIC	GENERAL FUND	24,000
Total			► 3a	3,664,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
HEALTH RESEARCH INSTITUTE PO BOX 642 DEER ISLE, ME 04627	N/A	PUBLIC	GENERAL FUND	16,000
SOLSTICE INSTITUTE 255 CANYON BLVD SUITE 200 BOULDER, CO 80302	N/A	PUBLIC	GENERAL FUND	15,000
THE INSTITUTE OF SEXUAL MEDICINE 5555 RESERVOIR DRIVE SUITE 300 SAN DIEGO, CA 92103	N/A	PUBLIC	GENERAL FUND	12,000
Total			► 3a	3,664,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ALTRUISM IN MEDICINE INSTITUTE 2524 E CHOLLA ST PHOENIX, AZ 85028	N/A	PUBLIC	GENERAL FUND	10,000
GOOD FOOD INSTITUTE 1380 MONROE ST NW 229 WASHINGTON, DC 20010	N/A	PUBLIC	GENERAL FUND	175,000
ANIMAL CHARITY EVALUATORS PO BOX 348 BERKELEY, CA 94701	N/A	PUBLIC	GENERAL FUND	139,000
Total			► 3a	3,664,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
PROVEGGENTHINER STRABE 48 10785 BERLIN, BERLIN GM	N/A	PUBLIC	GENERAL FUND	130,000
BEYOND CARNISM INC700 N VALLEY ST SUITE 73867 ANAHEIM, CA 95406	N/A	PUBLIC	GENERAL FUND	120,000
AUM FILMS & MEDIAPO BOX 6105 SANTA ROSA, CA 95406	N/A	PUBLIC	GENERAL FUND	115,000
Total			► 3a	3,664,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ANIMAL DEFENSE PARTNERSHIP INC 3 WEST MAIN STREET SUITE 201-E IRVINGTON, NY 10533	N/A	PUBLIC	GENERAL FUND	100,000
BALANCED1350 14TH AVE 4 GRAFTON, WI 53024	N/A	PUBLIC	GENERAL FUND	100,000
PHYSICIANS COMMITTEE FOR RESP MED 5100 WISCONSIN AVE NW SUITE 400 WASHINGTON, DC 20016	N/A	PUBLIC	GENERAL FUND	100,000
Total			► 3a	3,664,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
STOP ANIMAL EXPLOITATION NOW 1081-B STATE ROUTE 28 280 MILFORD, OH 45150	N/A	PUBLIC	GENERAL FUND	100,000
THE NONHUMAN RIGHTS PROJECT 5195 NW 112TH TERRACE CORAL SPRINGS, FL 33076	N/A	PUBLIC	GENERAL FUND	100,000
WHITE COAT WASTE PROJECT PO BOX 26029 WASHINGTON, DC 20001	N/A	PUBLIC	GENERAL FUND	100,000
Total			► 3a	3,664,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
PLANT BASED FOOD ASSOCIATION 4 EMBARCADERO CENTER SUITE 1400 SAN FRANCISCO, CA 94111	N/A	PUBLIC	GENERAL FUND	65,000
JANEUNCHAINED NEWS NETWORK 4712 ADMIRALTY WAY NO949 MARINA DEL REY, CA 90292	N/A	PUBLIC	GENERAL FUND	55,000
ANIMAL EQUALITY 8581 SANTA MONICA BLVD 350 LOS ANGELES, CA 90069	N/A	PUBLIC	GENERAL FUND	50,000
Total			► 3a	3,664,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
COMPASSION IN WORLD FARMING 125 EAST TRINITY PLACE SUITE 206 DECATUR, GA 30030	N/A	PUBLIC	GENERAL FUND	50,000
INTERNATIONAL ANTI-POACHING FDN 1655 N FORT MYER DRIVE 700 ARLINGTON, VA 22209	N/A	PUBLIC	GENERAL FUND	50,000
SWITCH4GOOD 7111 SANTA MONICA BLVD SUITE B-442 WEST HOLLYWOOD, CA 90046	N/A	PUBLIC	GENERAL FUND	50,000
Total			► 3a	3,664,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
VEGAN OUTREACHPO BOX 1916 DAVIS, CA 95617	N/A	PUBLIC	GENERAL FUND	50,000
FOOD EMPOWERMENT PROJECT PO BOX 7322 COTATI, CA 94931	N/A	PUBLIC	GENERAL FUND	35,000
ONE PROTEST 221 NORTH HOGAN STREET 312 JACKSONVILLE, FL 32202	N/A	PUBLIC	GENERAL FUND	30,000
Total			► 3a	3,664,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
THE HUMANE LEAGUE PO BOX 10476 ROCKVILLE, MD 20849	N/A	PUBLIC	GENERAL FUND	30,000
PLANT DINING PARTNERSHIPS PO BOX 56037 WASHINGTON, DC 20016	N/A	PUBLIC	GENERAL FUND	25,000
REDUCETARIAN FOUNDATION 216 EAST 83RD STREET NO 4D NEW YORK, NY 10028	N/A	PUBLIC	GENERAL FUND	25,000
Total			► 3a	3,664,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SUPRSEED INC DBA SUPRMARKET 900 N LA BREA AVE SUITE 56 LOS ANGELES, CA 90302	N/A	PUBLIC	GENERAL FUND	25,000
LA RAZA PARA LIBERACION 5655 SILVER CREEK VALLEY RD 211 SAN JOSE, CA 95138	N/A	PUBLIC	GENERAL FUND	10,000
Total			► 3a	3,664,000

TY 2019 Accounting Fees Schedule

Name: THE GREENBAUM FOUNDATION**EIN:** 87-6217358

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROFESSIONAL FEES	24,250	24,250		

TY 2019 Investments Corporate Bonds Schedule

Name: THE GREENBAUM FOUNDATION

EIN: 87-6217358

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE BONDS	2,396,553	2,396,553

TY 2019 Investments Corporate Stock Schedule

Name: THE GREENBAUM FOUNDATION

EIN: 87-6217358

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CORPORATE STOCK	34,900,296	34,900,296

TY 2019 Investments Government Obligations Schedule

Name: THE GREENBAUM FOUNDATION

EIN: 87-6217358

**US Government Securities - End
of Year Book Value:** 47,903

**US Government Securities - End
of Year Fair Market Value:** 47,903

**State & Local Government
Securities - End of Year Book
Value:**

**State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2019 Investments - Other Schedule

Name: THE GREENBAUM FOUNDATION

EIN: 87-6217358

Investments Other Schedule 2

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
PARTNERSHIPS AND CORPORATIONS	FMV	2,134,915	2,134,915
MANAGED FUTURES	FMV	7,300,000	7,300,000

TY 2019 Other Income Schedule

Name: THE GREENBAUM FOUNDATION

EIN: 87-6217358

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INCOME	1,012		
MS 026607 - OTHER LOSS	-77,959	-77,959	
MS 114274 - OTHER LOSS	-4,816	-4,816	
MS 011803 - OTHER LOSS	-9,394	-9,394	
PARTNERSHIP INCOME (LOSS)	-198,786	-198,786	

TY 2019 Other Increases Schedule

Name: THE GREENBAUM FOUNDATION

EIN: 87-6217358

Description	Amount
CHANGE IN UNREALIZED GAINS AND LOSSES	4,067,175

TY 2019 Other Liabilities Schedule

Name: THE GREENBAUM FOUNDATION**EIN:** 87-6217358

Description	Beginning of Year - Book Value	End of Year - Book Value
EXCISE TAX PAYABLE	48,879	

TY 2019 Other Professional Fees Schedule

Name: THE GREENBAUM FOUNDATION

EIN: 87-6217358

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CONTRACTOR EXPENSE	114,215			

TY 2019 Taxes Schedule

Name: THE GREENBAUM FOUNDATION**EIN:** 87-6217358

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	4,356	4,356		
CA TAXES	10			

Schedule B
(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No. 1545-0047

► Attach to Form 990, 990-EZ, or 990-PF.
► Go to www.irs.gov/Form990 for the latest information.

2019Name of the organization
THE GREENBAUM FOUNDATIONEmployer identification number
87-6217358**Organization type** (check one):**Filers of:****Section:**

Form 990 or 990-EZ

501(c)() (enter number) organization
 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
 527 political organization

Form 990-PF

501(c)(3) exempt private foundation
 4947(a)(1) nonexempt charitable trust treated as a private foundation
 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33^{1/3}% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ► \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
THE GREENBAUM FOUNDATIONEmployer identification number
87-6217358

Part I		Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.		
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution	
1	JAMES R GREENBAUM JR 8424 SANTA MONICA BLVD A-806 WEST HOLLYWOOD, CA 90069	\$ 180,000	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash	(Complete Part II for noncash contributions.)
2	JAMES R GREENBAUM 2012 JR TR UA DTD 12/20/2012 8424 SANTA MONICA BLVD A-806 WEST HOLLYWOOD, CA 90069	\$ 3,984,508	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash	(Complete Part II for noncash contributions.)
3	JAMES R GREENBAUM 2012 JR TR B UA DTD 12/20/2012 8424 SANTA MONICA BLVD A-806 WEST HOLLYWOOD, CA 90069	\$ 8,125,025	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash	(Complete Part II for noncash contributions.)
4	LOIS Q WHITMAN CHASE MANHATTAN PLAZA NEW YORK, NY 10005	\$ 24,000	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash	(Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash	(Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash	(Complete Part II for noncash contributions.)

Name of organization
THE GREENBAUM FOUNDATIONEmployer identification
number

87-6217358

Part II **Noncash Property** (see Instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
—	See Additional Data Table	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
—		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
—		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
—		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
—		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
—		\$ _____	_____

Name of organization
THE GREENBAUM FOUNDATION

Employer identification number

87-6217358

Part II

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ► \$ _____
Use duplicate copies of Part III if additional space is needed.

Use duplicate copies of Part III if additional space is needed.

Additional Data

Software ID:

Software Version:

EIN: 87-6217358

Name: THE GREENBAUM FOUNDATION

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
2	98 SHS OF ALPHABET INC	\$ 123,946	2019-10-25
2	1345 SHS OF CHARLES SCHWAB NEW	\$ 55,118	2019-10-25
2	590 SHS OF HOME DEPOT INC	\$ 138,284	2019-10-25
2	1075 SHS OF ISHARES SMALL CAP	\$ 195,908	2019-10-25
2	1030 SHS OF MARSH & MCLENNAN COS	\$ 100,497	2019-10-25
2	605 SHS OF STRYKER CORP	\$ 128,030	2019-10-25
2	975 SHS OF VANGUARD FTSE DEV	\$ 41,135	2019-10-25
3	1440 SHS OF APPLE INC	\$ 355,075	2019-10-25
3	4440 SHS OF COMCAST CORP	\$ 202,686	2019-10-25
3	1164 SHS OF HOME DEPOT	\$ 272,818	2019-10-25

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
3	510 SHS OF LOCKHEED MARTIN CORP	\$ 189,072	2019-10-25
3	3306 SHS OF ORACLE CORP	\$ 179,086	2019-10-25
3	610 SHS OF TECHNOLOGY SPDR FD	\$ 50,581	2019-10-25
3	18082 SHS OF MSIF ULTRA-SHORT	\$ 181,186	2019-10-25
2	60 SHS OF AMAZON COM INC	\$ 105,680	2019-10-25
2	425 SHS OF CLOROX CO	\$ 64,345	2019-10-25
2	750 SHS OF HONEYWELL INTL INC	\$ 128,723	2019-10-25
2	150 SHS OF ISHARES US AEROSPACE	\$ 32,748	2019-10-25
2	1370 SHS OF MICROSOFT CORP	\$ 192,800	2019-10-25
2	470 SHS OF TARGET CORP	\$ 51,239	2019-10-25

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
2	515 SHS OF VISA INC	\$ 91,593	2019-10-25
3	3300 SHS OF AT&T INC	\$ 121,803	2019-10-25
3	960 SHS OF COSTCO WHOLESALE CORP	\$ 284,640	2019-10-25
3	1350 SHS OF HONEYWELL INTL	\$ 231,701	2019-10-25
3	2400 SHS OF MARSH & MCLENNAN	\$ 234,168	2019-10-25
3	2850 SHS OF PAYPAL HOLDINGS INC	\$ 305,492	2019-10-25
3	948 SHS OF THERMO FISHER SCIEN	\$ 281,253	2019-10-25
3	1000 SHS OF SOUTHERN CO	\$ 1,005	2019-10-25
2	560 SHS OF AMERICAN TOWER REIT	\$ 121,335	2019-10-25
2	1850 SHS OF COMCAST CORP	\$ 84,453	2019-10-25

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
2	845 SHS OF ISHARES MSCI PAC	\$ 38,802	2019-10-25
2	600 SHS OF JOHNSON & JOHNSON	\$ 77,010	2019-10-25
2	365 SHS OF NEXTERA ENERGY INC	\$ 86,461	2019-10-25
2	2670 SHS OF FINANCIAL SPDR FD	\$ 76,469	2019-10-25
2	710 SHS OF WALT DISNEY CO	\$ 92,939	2019-10-25
3	7030 SHS OF BANK OF AMERICA	\$ 222,992	2019-10-25
3	3860 SHS OF ENERGY SEL SECT SPDR	\$ 229,747	2019-10-25
3	350 SHS OF ISHARES US AEROSPACE	\$ 76,412	2019-10-25
3	46 SHS OF MCDONALDS CORP	\$ 8,952	2019-10-25
3	1482 SHS OF PNC FINL SVCS	\$ 217,365	2019-10-25

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
3	1236 SHS OF UNITED TECHNOLOGIES	\$ 176,699	2019-10-25
2	690 SHS OF APPLE INC	\$ 170,140	2019-10-25
2	460 SHS OF COSTCO WHOLESALE CORP	\$ 136,390	2019-10-25
2	800 SHS OF ISHARES S&P MID-CAP	\$ 180,944	2019-10-25
2	1085 SHS OF JPMORGAN CHASE & CO	\$ 136,743	2019-10-25
2	1600 SHS OF ORACLE GROUP	\$ 86,672	2019-10-25
2	510 SHS OF THERMO FISHER SCIEN	\$ 151,307	2019-10-25
3	264 SHS OF ALPHABET	\$ 333,830	2019-10-25
3	1000 SHS OF BAXTER INTL INC	\$ 77,280	2019-10-25
3	1190 SHS OF FACEBOOK	\$ 223,589	2019-10-25

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
3	500 SHS OF JOHNSON & JOHNSON	\$ 64,175	2019-10-25
3	1220 SHS OF MERCK & CO INC	\$ 100,357	2019-10-25
3	24000 SHS OF SOUTHERN CO	\$ 24,105	2019-10-25
3	1164 SHS OF UNITEDHEALTH GP	\$ 285,075	2019-10-25
2	1120 SHS OF AT&T INC	\$ 41,339	2019-10-25
2	355 SHS OF FACEBOOK INC	\$ 66,701	2019-10-25
2	975 SHS OF ISHARES S&P MID-CAP	\$ 158,330	2019-10-25
2	390 SHS OF KIMBERLY CLARK CORP	\$ 52,338	2019-10-25
2	925 SHS OF PAYPAL HLDGS INC COM	\$ 99,151	2019-10-25
2	640 SHS OF UNITED TECHNOLOGIES	\$ 91,494	2019-10-25

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
3	125 SHS OF AMAZON COM INC	\$ 220,166	2019-10-25
3	390 SHS OF BLACKROCK	\$ 179,322	2019-10-25
3	804 SHS OF FIDELITY NATL	\$ 103,973	2019-10-25
3	2400 SHS OF JPMORGAN CHASE	\$ 302,472	2019-10-25
3	2958 SHS OF MICROSOFT CORP	\$ 416,279	2019-10-25
3	1498 SHS OF SPDR S&P REGIONAL	\$ 81,491	2019-10-25
3	2010 SHS OF VISA INC	\$ 357,479	2019-10-25
2	320 SHS OF BAXTER INTL INC	\$ 24,730	2019-10-25
2	435 SHS OF FIDELITY NATL	\$ 56,254	2019-10-25
2	1120 SHS OF ISHARES S&P SMLL-CAP	\$ 172,211	2019-10-25

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
2	205 SHS OF LOCKHEED MARTIN CORP	\$ 76,000	2019-10-25
2	620 SHS OF PNC FINL SVCS GP	\$ 90,935	2019-10-25
2	675 SHS OF UNITED HEALTH GP INC	\$ 165,314	2019-10-25
3	1392 SHS OF AMERICAN TOWER REIT	\$ 301,605	2019-10-25
3	3808 SHS OF CHARLES SCHWAB NEW	\$ 156,052	2019-10-25
3	1400 SHS OF FT ENHANCED SHORT	\$ 84,224	2019-10-25
3	1170 SHS OF KIMBERLY CLARK CORP	\$ 157,014	2019-10-25
3	978 SHS OF NEXTERA ENERGY INC	\$ 231,669	2019-10-25
3	1362 SHS OF STRYKER CORP	\$ 288,226	2019-10-25
3	1260 SHS OF WALT DISNEY CO	\$ 164,934	2019-10-25