

For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

Name of foundation The Anna and John J Sie Foundation		A Employer identification number 83-6058353	
Number and street (or P O box number if mail is not delivered to street address) 3239 E 2nd Ave		Room/suite	B Telephone number (see instructions) (303) 468-6060
City or town, state or province, country, and ZIP or foreign postal code Denver, CO 80206		C If exemption application is pending, check here ▶ ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ▶ ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 44,509,162		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . .	420,071	420,071		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	2,118,437			
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2) . . .		2,118,437		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	261,213	261,213		
	12 Total. Add lines 1 through 11	2,799,721	2,799,721		
	13 Compensation of officers, directors, trustees, etc	131,240	120		131,120
	14 Other employee salaries and wages	141,046	0		141,046
	15 Pension plans, employee benefits	64,470	0		64,470
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	5,799	0		5,799
	c Other professional fees (attach schedule)	95,300	95,000		300
	17 Interest				
	18 Taxes (attach schedule) (see instructions) . . .	132,000	0		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings	3,654	0		3,654
	22 Printing and publications				
	23 Other expenses (attach schedule)	55,197	8,265		46,932
	24 Total operating and administrative expenses. Add lines 13 through 23	628,706	103,385		393,321
	25 Contributions, gifts, grants paid	8,240,629			8,240,629
	26 Total expenses and disbursements. Add lines 24 and 25	8,869,335	103,385		8,633,950
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-6,069,614			
	b Net investment income (if negative, enter -0-)		2,696,336		
c Adjusted net income (if negative, enter -0-) . . .					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	9,569,288	6,801,922	6,801,922
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ 990,000 Less allowance for doubtful accounts ▶ _____ 0	1,000,000	990,000	990,000
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	8,007,846	8,426,405	12,602,408
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	21,911,433	18,200,626	24,111,707
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)	3,125	3,125	3,125	
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	40,491,692	34,422,078	44,509,162	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule).			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☒ and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	0	0	
	27 Paid-in or capital surplus, or land, bldg, and equipment fund	0	0	
	28 Retained earnings, accumulated income, endowment, or other funds	40,491,692	34,422,078	
	29 Total net assets or fund balances (see instructions)	40,491,692	34,422,078	
30 Total liabilities and net assets/fund balances (see instructions) .	40,491,692	34,422,078		

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	40,491,692
2 Enter amount from Part I, line 27a	2	-6,069,614
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	34,422,078
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	34,422,078

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	2,118,437
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	6,724,529	50,882,086	0 132159
2017	6,962,454	56,003,715	0 124321
2016	11,767,540	59,185,426	0 198825
2015	17,367,399	75,197,675	0 230957
2014	5,755,166	86,270,634	0 066711

2 Total of line 1, column (d)	2	0 752973
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0 150595
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	44,197,098
5 Multiply line 4 by line 3	5	6,655,862
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	26,963
7 Add lines 5 and 6	7	6,682,825
8 Enter qualifying distributions from Part XII, line 4	8	8,633,950

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	26,963
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	26,963
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	26,963
6	Credits/Payments		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	33,878
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	25,000
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d.	7	58,878
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	31,915
11	Enter the amount of line 10 to be Credited to 2020 estimated tax ☐ 31,915 Refunded ☐	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0 (2) On foundation managers ☐ \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ CO		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	Yes	
14	The books are in care of ▶ Mary Beth Wallingford Telephone no ▶ (303) 468-6060			

Located at ▶ 3239 E 2nd Ave Denver CO

ZIP+4 ▶ 80206

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ▶ ☐			
	and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions.	1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a	During the year did the foundation pay or incur any amount to		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No	
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	☐ Yes ☒ No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d)	☐ Yes ☐ No	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870		6b No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?		7b
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☒ No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Anna M Sie 3239 E 2nd Ave Denver, CO 80206	Trustee 9 00	0	0	0
John J Sie 3239 E 2nd Ave Denver, CO 80206	Trustee 24 00	12,000	28,249	0
Michelle S Whitten 3239 E 2nd Ave Denver, CO 80206	Executive Director 20 00	119,240	8,458	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Monticello Associates 1800 Larimer St Ste 2100 Denver, CO 80202	Investment advisor	75,000
Total number of others receiving over \$50,000 for professional services. ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 _____	

2 _____	

3 _____	

4 _____	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 _____	

2 _____	

All other program-related investments. See instructions.	
3 _____	
Total. Add lines 1 through 3 ►	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	35,174,396
b	Average of monthly cash balances.	1b	8,705,754
c	Fair market value of all other assets (see instructions).	1c	990,000
d	Total (add lines 1a, b, and c).	1d	44,870,150
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	44,870,150
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	673,052
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	44,197,098
6	Minimum investment return. Enter 5% of line 5.	6	2,209,855

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	2,209,855
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	26,963
b	Income tax for 2019 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	26,963
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	2,182,892
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	2,182,892
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	2,182,892

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	8,633,950
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	8,633,950
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	26,963
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	8,606,987

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				2,182,892
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2019				
a From 2014.	1,510,490			
b From 2015.	13,724,341			
c From 2016.	8,966,922			
d From 2017.	4,202,233			
e From 2018.	4,312,506			
f Total of lines 3a through e.	32,716,492			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 8,633,950				
a Applied to 2018, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				2,182,892
e Remaining amount distributed out of corpus	6,451,058			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	39,167,550			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).	1,510,490			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	37,657,060			
10 Analysis of line 9				
a Excess from 2015.	13,724,341			
b Excess from 2016.	8,966,922			
c Excess from 2017.	4,202,233			
d Excess from 2018.	4,312,506			
e Excess from 2019.	6,451,058			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:	
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2)) See Additional Data Table	
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest	
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:	
Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.	
a The name, address, and telephone number or email address of the person to whom applications should be addressed	
b The form in which applications should be submitted and information and materials they should include	
c Any submission deadlines	
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors	

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	8,240,629
b <i>Approved for future payment</i> See Additional Data Table				
Total			▶ 3b	5,182,175

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	420,071	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			14	261,213	
8 Gain or (loss) from sales of assets other than inventory			18	2,118,437	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e). . .		0		2,799,721	0
13 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations)			13	2,799,721	2,799,721

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- a** Transfers from the reporting foundation to a noncharitable exempt organization of
- (1) Cash.
- (2) Other assets.
- b** Other transactions
- (1) Sales of assets to a noncharitable exempt organization.
- (2) Purchases of assets from a noncharitable exempt organization.
- (3) Rental of facilities, equipment, or other assets.
- (4) Reimbursement arrangements.
- (5) Loans or loan guarantees.
- (6) Performance of services or membership or fundraising solicitations.
- c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees.
- d** If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	*****	2020-09-01	*****
	_____ Signature of officer or trustee	_____ Date	_____ Title

May the IRS discuss this return with the preparer shown below
 (see instr.) ☒ **Yes** ☐ **No**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
	Laurie Anderson		2020-08-18		P01416697
	Firm's name ► Kundinger Corder & Engle PC				Firm's EIN ►
	Firm's address ► 475 Lincoln St Ste 200 Denver, CO 80203				Phone no (303) 534-5953

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Publicly traded securities			
Wellington Equity Research	P		
Newport Asia	P		
Highclere	P		
Fortress Opportunity Fund	P		
Convexity	P		
Eaton Park	P		
Maverick	P		
Highfields Capital	P		
Farallon Capital Institutional Partners	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
			229,484
			305,335
			54,581
			27,319
			23,419
			537,687
			-5,660
			425,436
			464,924
			55,912

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			229,484
			305,335
			54,581
			27,319
			23,419
			537,687
			-5,660
			425,436
			464,924
			55,912

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

Anna M Sie
John J Sie

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Adam's Camp 6767 South Spruce Street Suite 102 Centennial, CO 80112		PC	Sponsorship and scholarship support for camp for children with special needs	5,000
Alzheimers Association 225 N Michigan Ave Floor 17 Chicago, IL 60601		PC	For advancement of research on Alzheimer's disease and down syndrome	25,000
Arc ThriftMetropolitan Assoc for RC 12345 W Alameda Pkwy Ste 111 Lakewood, CO 80228		PC	General operating support	25,000
Total ▶ 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Cancer League of Colorado PO Box 5373 Englewood, CO 801555373		PC	General operating support	25,000
Cancer League of Colorado PO Box 5373 Englewood, CO 801555373		PC	General operating support	5,000
Cancer Support Community 734 15th St NW Suite 300 Washington, DC 20005		PC	General operating support	2,000
Total ▶ 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CESASC1277 Hillside Street Monterey Park, CA 91754		PC	General operating support	2,500
Children's Diabetes Foundation 4380 S Syracuse St 430 Denver, CO 80237		PC	General operating support	4,000
Children's Hospital Colorado Foundation Anschutz Medical Campus 13123 E 16th Ave B045 Aurora, CO 80045		PC	General operating support	10,000
Total ▶ 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Children's Hospital Colorado Foundation Anschutz Medical Campus 13123 E 16th Ave B045 Aurora, CO 80045		PC	General operating support	25,000
Children's Hospital Colorado Foundation Anschutz Medical Campus 13123 E 16th Ave B045 Aurora, CO 80045		PC	General operating support	13,766
Children's Hospital Colorado Foundation Anschutz Medical Campus 13123 E 16th Ave B045 Aurora, CO 80045		PC	General operating support	170,000
Total ▶ 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Colorado Ballet1075 Santa Fe Drive Denver, CO 80204		PC	General operating support	15,500
Colorado Symphony Association Boettcher Concert Hall 1000 14th St Unit 15 Denver, CO 80202		PC	General operating support	5,000
Denver Academy4400 East Iliff Avenue Denver, CO 80222		PC	General operating support	2,500
Total ▶ 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Denver Art Museum 100 West 14th Avenue Parkway Denver, CO 80204		PC	Captial campaign	2,400,000
Denver Art Museum 100 West 14th Avenue Parkway Denver, CO 80204		PC	General operating support	100,000
Denver Art Museum 100 West 14th Avenue Parkway Denver, CO 80204		PC	General operating support	2,400,000
Total ► 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Denver Art Museum 100 West 14th Avenue Parkway Denver, CO 80204		PC	General operating support	7,600
Denver Biennial of the Americas Corp 1550 Wewatta Street 950 Denver, CO 80202		PC	To support the economics of early education and brain development workshop that inspires critical thinking and promote action through ideas, art and culture	50,000
Denver Film Society 1510 York St 3rd Floor Denver, CO 80206		PC	General operating support	1,000
Total ► 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Denver Film Society 1510 York St 3rd Floor Denver, CO 80206		PC	General operating support	1,000
Denver Film Society 1510 York St 3rd Floor Denver, CO 80206		PC	General operating support	20,000
Denver Health and Hospitals Foundation 601 Broadway MC 0111 Denver, CO 80203		PC	Gold-level sponsor of 2019 Night Shine gala for the Child and Adolescent Behavioral Health Endowment	25,000
Total ► 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Denver Health and Hospitals Foundation 601 Broadway MC 0111 Denver, CO 80203		PC	Denver Health and the Global Down Syndrome Foundation are piloting a clinic that will provide quality medical care to Colorado adults with Down syndrome	25,000
Denver Health and Hospitals Foundation 601 Broadway MC 0111 Denver, CO 80203		PC	Denver Health and the Global Down Syndrome Foundation are piloting a clinic that will provide quality medical care to Colorado adults with Down syndrome	25,000
Denver School of Science and Technology 3401 Quebec St Suite 2000 Denver, CO 80207		PC	To Support the 2019 Slice of Pi	49,700
Total ► 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Down Syndrome Affiliates in Action 7200 E Hampden Ave Suite 301 Denver, CO 80224		PC	General operating support	5,000
Down Syndrome Community 4616 25th Ave NE Box 321 Seattle, WA 98105		PC	General operating support	2,500
Down Syndrome Medical Interest Group-USA 505 Sycamore Lane N Plymouth, MN 55441		PC	General operating support	1,000
Total ► 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Easterseals Colorado393 S Harlan St Lakewood, CO 80226		PC	General operating support	5,000
Friends of the Haven 3610 W Princeton Circle Denver, CO 80236		PC	General operating support	5,000
Global Down Syndrome Foundation 3239 E 2nd Avenue Denver, CO 80206		PC	General operating support	100,000
Total ▶ 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Global Down Syndrome Foundation 3239 E 2nd Avenue Denver, CO 80206		PC	General operating support	5,000
Global Down Syndrome Foundation 3239 E 2nd Avenue Denver, CO 80206		PC	General operating support	6,000
Global Down Syndrome Foundation 3239 E 2nd Avenue Denver, CO 80206		PC	General operating support	5,000
Total ► 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Global Down Syndrome Foundation 3239 E 2nd Avenue Denver, CO 80206		PC	General operating support	5,000
Global Down Syndrome Foundation 3239 E 2nd Avenue Denver, CO 80206		PC	General operating support	10,512
Global Down Syndrome Foundation 3239 E 2nd Avenue Denver, CO 80206		PC	General operating support	25,000
Total ► 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Global Down Syndrome Foundation 3239 E 2nd Avenue Denver, CO 80206		PC	General operating support	50,000
Global Down Syndrome Foundation 3239 E 2nd Avenue Denver, CO 80206		PC	General operating support	11,500
Global Down Syndrome Foundation 3239 E 2nd Avenue Denver, CO 80206		PC	General operating support	500
Total ► 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Global Down Syndrome Foundation 3239 E 2nd Avenue Denver, CO 80206		PC	General operating support	500
Global Down Syndrome Foundation 3239 E 2nd Avenue Denver, CO 80206		PC	General operating support	2,000
Global Down Syndrome Foundation 3239 E 2nd Avenue Denver, CO 80206		PC	General operating support	2,750
Total ▶ 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Global Down Syndrome Foundation 3239 E 2nd Avenue Denver, CO 80206		PC	General operating support	250
Global Down Syndrome Foundation 3239 E 2nd Avenue Denver, CO 80206		PC	General operating support	7,500
Global Down Syndrome Foundation 3239 E 2nd Avenue Denver, CO 80206		PC	General operating support	525,571
Total ► 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Global Livingston Institute 3001 Brighton Blvd Suite 2662 Denver, CO 80216		PC	General operating support	15,000
In-Colorado Initiative for IHE Inclusive Higher Education 6500 S Quebec St Suite 300 Centennial, CO 80111		PC	General operating support	2,000
ICON Foundation 3600 South Beeler Street Suite 100 Denver, CO 80237		PC	General operating support	900
Total ▶ 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Judaism Your Way950 S Cherry Street Denver, CO 80246		PC	General operating support	50,000
Judi's House1741 Gaylord St Denver, CO 80206		PC	General operating support	5,000
Junior Achievement USA 1445 Market St Suite 200 Denver, CO 80202		PC	General operating support	44,400
Total ▶ 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Kroenke Sports Charities Inc 1000 Chopper Circle Denver, CO 80204		PC	General operating support	1,000
Kroenke Sports Charities Inc 1000 Chopper Circle Denver, CO 80204		PC	General operating support	1,000
Make-a-Wish Foundation - Colorado Chapter 7951 E Maplewood Ave Ste 126 Greenwood Village, CO 80111		PC	General operating support	9,287
Total ► 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Mayo Clinic200 1st St SW Rochester, MN 55905		PC	General operating support	5,000
Memorial Sloan Kettering Cancer Center PO Box 27106 New York, NY 10087		PC	General operating support	5,000
Mile High Montessori Early Learning Cntrs 1799 Pennsylvania Street 4th Floor Denver, CO 80203		PC	General operating support	15,000
Total ▶ 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Mizel Institute dba Lion GlobalFoundation 4350 S Monaco Street 5th Floor Denver, CO 80237		PC	General operating support	25,000
Museum of Contemporary Art 1485 Delgany Street Denver, CO 80202		PC	General operating support	5,000
National Down Syndrome Congress 30 Mansell Ct Suite 108 Roswell, GA 30076		PC	General operating support	15,000
Total ▶ 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
National Football Foundation & College HOF 1042 Southbury Place Littleton, CO 80129		PC	Supporting Ed McCaffrey Football Camp for children and young adults with Down Syndrome	1,200
NEWSED Community Development Corp Inc 2120 West 7th Avenue Denver, CO 80204		PC	General operating support	3,500
Parent Project for Muscular Dystrophy 401 Hackensack Ave 9th Floor Hackensack, NJ 07601		PC	General operating support	5,000
Total ▶ 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Project McManus Inc10635 Ladera Point Lone Tree, CO 801245376		PC	General operating support	10,000
Regis University University Advancement 3333 Regis Blvd - Mail Stop B-16 Denver, CO 802211099		PC	General operating support	1,500
Rocky Mountain Down Syndrome Association 7200 E Hampden Ave Ste 301 Denver, CO 80224		PC	General operating support	10,000
Total ▶ 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Rocky Mountain Down Syndrome Association 7200 E Hampden Ave Ste 301 Denver, CO 80224		PC	General operating support	5,000
Rocky Mountain Human Services-Den Options 9900 East Iliff Avenue Denver, CO 80231		PC	General operating support	5,000
Smithsonian InstitutionPO Box 3701 Washington, DC 20013		PC	Tracing American Journeys exhibit	60,000
Total ▶ 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Special Olympics Inc1133 19th St NW Washington, DC 20036		PC	General operating support	2,500
Special Olympics Inc1133 19th St NW Washington, DC 20036		PC	General operating support	2,500
Stanley British Primary School 350 Quebec Street Denver, CO 802306809		PC	To support the Inclusion School Study benefiting children's education & 2019 Teacher support	25,000
Total ▶ 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Stanley British Primary School 350 Quebec Street Denver, CO 802306809		PC	To support the Inclusion School Study benefiting children's education & 2019 Teacher support	50,853
Take Note Colorado 1550 Wewatta Street Ste 950 Denver, CO 80202		PC	General operating support	4,920
The 1990 InstituteP O Box 383 San Francisco, CA 941040383		PC	China's Green Student Forum which promotes solidarity of the youth to save China's environment	5,000
Total ▶ 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
The Cable Center2000 Buchtel Blvd Denver, CO 80210		PC	General operating support	15,000
The CELL - The Mizel Institute 4350 S Monaco Street 5th Floor Denver, CO 80237		PC	General operating support	25,000
The Chosen & Dearly Loved Founder 1400 Wewatta Street Suite 900 Denver, CO 80202		PC	General operating support	10,000
Total ▶ 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
The Joshua School dba Joshua Inc 2303 E Dartmouth Avenue Englewood, CO 80113		PC	General operating support	5,000
The Rise School of Denver 4901 E Eastman Avenue Denver, CO 80222		PC	General operating support	5,000
The University of Texas Foundation PO Box 250 Austin, TX 787670250		PC	General operating support	100,000
Total ▶ 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
The Women's Foundation of Colorado 1901 East Asbury Ave Denver, CO 80208		PC	General operating support	24,700
Third Sector New England Inc 1705 17th Street ste 200 Denver, CO 80202		PC	General operating support	10,000
University of Colorado Foundation 1800 Grant St Suite 725 Denver, CO 80203		PC	Linda Crnic Institute	500,000
Total ▶ 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
University of Colorado Foundation 1800 Grant St Suite 725 Denver, CO 80203		PC	Linda Crnic Institute	150,000
University of Denver - 2101 2101 S University Blvd Denver, CO 802088921		PC	Support Daniels College of Business - Rizzuto Chair for Excellence program	500,000
University of Denver - 2190 2190 E Asbury Ave Denver, CO 80208		PC	Founder's Day Celebration	30,000
Total ▶ 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
University of Denver - 2201 2201 S Gaylord St Denver, CO 80208		PC	Center for China-United States Cooperation General Fund Program	3,000
University of Denver - 2201 2201 S Gaylord St Denver, CO 80208		PC	2019 Josef Korbel School of International Studies	20,000
University of Denver - 2201 2201 S Gaylord St Denver, CO 80208		PC	Rebecca Chopp Scholarship Endowment Program	50,000
Total ▶ 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
University of Denver - CEMMP 2101 South University Blvd Denver, CO 80208		PC	Chinese Executive Management Fund Program	9,020
University of Denver - Fisher ELC 1899 E Evans Ave Denver, CO 80208		PC	Fisher Early Learning Center Scholarship Fund Program	5,000
University of Washington Foundation Box 357925 Seattle, WA 981987925		PC	Funding for Experimental Education Unit (EEU), the Applied Research Unit, and the Professional Development Unit	15,000
Total ► 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Volunteers of America-Colorado Branch 2660 Larimer Street Denver, CO 80205		PC	General operating support	48,200
Volunteers of America-Colorado Branch 2660 Larimer Street Denver, CO 80205		PC	General operating support	5,000
Von's Vision Foundation Inc 59 Cavalier Blvd Ste 310 Florence, KY 41042		PC	General operating support	5,000
Total ▶ 3a				8,240,629

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Wings Over the Rockies Air & Space Museum 7711 E Academy Blvd Denver, CO 80230		PC	General operating support	100,000
Total  3a				8,240,629

TY 2019 Accounting Fees Schedule**Name:** The Anna and John J Sie Foundation**EIN:** 83-6058353

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Hanson & Co CPAs	110	0		110
Kundinger, Corder & Engle, PC	3,700	0		3,700
CLA	1,989	0		1,989

TY 2019 Investments Corporate Stock Schedule**Name:** The Anna and John J Sie Foundation**EIN:** 83-6058353**Investments Corporation Stock Schedule**

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Longleaf Small Cap Fund	3,946,806	3,417,293
Dodge & Cox International Equity Fund	2,996,187	3,713,108
AT&T	327,512	610,977
Liberty Media Corporation - FWONA	35,654	182,123
Liberty Media Corporation - FWONK	68,799	382,475
Liberty Broadband Corporation - LBRDA	80,456	518,170
Liberty Broadband Corporation - LBRDK	159,609	1,046,366
Liberty Broadband Corporation - LSXMA	191,370	804,523
Liberty Broadband Corporation - LSXMK	370,337	1,602,436
Liberty Broadband Corporation - BATRA	17,217	49,338
Liberty Broadband Corporation - BATRK	27,330	98,309
Liberty Broadband Corporation - LGF.B	205,128	177,290

TY 2019 Investments - Other Schedule**Name:** The Anna and John J Sie Foundation**EIN:** 83-6058353**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Wellington Trust Company, CTF Research Equity Fund	AT COST	8,260,080	9,363,444
Newport Asia Institutional Fund	AT COST	1,749,863	2,601,895
Highclere Investment Fund	AT COST	2,982,445	2,815,953
Viking Global Equities	AT COST	1,556,907	5,515,607
Maverick Fund LDC	AT COST	363,048	446,854
Highfields Capital	AT COST	71,125	114,201
Fortress Credit Opportunities Fund	AT COST	308,292	282,292
CampCap Energy Offshore Fund	AT COST	94,038	29,449
Sowood Alpha Fund	AT COST	74,511	5,188
Eaton Park Overseas Fund	AT COST	7,758	2,368
Farallon	AT COST	2,732,559	2,934,456

TY 2019 Other Assets Schedule

Name: The Anna and John J Sie Foundation

EIN: 83-6058353

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Public service videos	1,000	1,000	1,000
Deposits	2,125	2,125	2,125

TY 2019 Other Expenses Schedule**Name:** The Anna and John J Sie Foundation**EIN:** 83-6058353**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Contract labor	31,245	0		31,245
Payroll processing fee	5,923	0		5,923
Subscriptions	6,641	0		6,641
Investment expense	8,265	8,265		0
Other	3,123	0		3,123

TY 2019 Other Income Schedule**Name:** The Anna and John J Sie Foundation**EIN:** 83-6058353**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Wellington Equity Research	133,113	133,113	133,113
Newport Asia Institutional Fund	15,239	15,239	15,239
Highclere Investment Fund	106,275	106,275	106,275
Fortress Credit Opportunities Fund	6,586	6,586	6,586

TY 2019 Other Professional Fees Schedule**Name:** The Anna and John J Sie Foundation**EIN:** 83-6058353

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Monticello Associates	75,000	75,000		0
Paul Comstock Partners	20,000	20,000		0
Dennis McGuire	300	0		300

TY 2019 Taxes Schedule**Name:** The Anna and John J Sie Foundation**EIN:** 83-6058353

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Federal excise tax	132,000	0		0