Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No. 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 01-01-2019 , and ending 12-31-2019

Name of foundation
PRESTON-WERNER FOUNDATION

A Employer identification number
83-2899018

Number and street (or P.O. box number if mail is not delivered to street address)
314 LYTTON AVE
Room/suite

B Telephone number (see instructions)
(650) 804-7100

City or town, state or province, country, and ZIP or foreign postal code
PALO ALTO, CA 94301

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here............. ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here .... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16*) ▶$ 32,074,932

J Accounting method: ☐ Cash ☑ Accrual
☐ Other (specify) ____________
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here .... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	32,262,146			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities . . .	70,190	70,190		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	75,083			
	b	Gross sales price for all assets on line 6a 32,349,459				
	7	Capital gain net income (from Part IV, line 2) . . .		30,828,678		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	32,407,419	30,898,868		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	0	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	21,686	10,843		6,631
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions) . . .	309,000	0		0
	19	Depreciation (attach schedule) and depletion . . .				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	35	0		35
	24	**Total operating and administrative expenses.** Add lines 13 through 23	330,721	10,843		6,666
	25	Contributions, gifts, grants paid	355,000			355,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	685,721	10,843		361,666
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	31,721,698			
	b	**Net investment income** (if negative, enter -0-)		30,888,025		
	c	**Adjusted net income** (if negative, enter -0-) . . .				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1 Cash—non-interest-bearing			
2 Savings and temporary cash investments	50,016	27,685,165	27,685,165
3 Accounts receivable ▶			
Less: allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less: allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7 Other notes and loans receivable (attach schedule) ▶			
Less: allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments—U.S. and state government obligations (attach schedule)	0	254,476	254,476
b Investments—corporate stock (attach schedule)	0	3,481,118	3,481,118
c Investments—corporate bonds (attach schedule)	0	651,293	651,293
11 Investments—land, buildings, and equipment: basis ▶			
Less: accumulated depreciation (attach schedule) ▶			
12 Investments—mortgage loans			
13 Investments—other (attach schedule)	3	2,880	2,880
14 Land, buildings, and equipment: basis ▶			
Less: accumulated depreciation (attach schedule) ▶			
15 Other assets (describe ▶)			
16 **Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	50,019	32,074,932	32,074,932
Liabilities 17 Accounts payable and accrued expenses		8,425	
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable (attach schedule)			
22 Other liabilities (describe ▶)	0	309,000	
23 **Total liabilities**(add lines 17 through 22)	0	317,425	
Net Assets or Fund Balances **Foundations that follow FASB ASC 958, check here ▶** ☑ **and complete lines 24, 25, 29 and 30.**			
24 Net assets without donor restrictions	50,019	31,757,507	
25 Net assets with donor restrictions			
Foundations that do not follow FASB ASC 958, check here ▶ ☐ **and complete lines 26 through 30.**			
26 Capital stock, trust principal, or current funds			
27 Paid-in or capital surplus, or land, bldg., and equipment fund			
28 Retained earnings, accumulated income, endowment, or other funds			
29 **Total net assets or fund balances** (see instructions)	50,019	31,757,507	
30 **Total liabilities and net assets/fund balances** (see instructions)	50,019	32,074,932	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	50,019
2	Enter amount from Part I, line 27a	2	31,721,698
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	31,771,717
5	Decreases not included in line 2 (itemize) ▶	5	14,210
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	31,757,507

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	32,349,459		1,520,781	30,828,678
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				30,828,678
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	30,828,678
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	0	2,056	0.000000
2017			
2016			
2015			
2014			

2	**Total** of line 1, column (d)	2	0.000000
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.000000
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	3,179,607
5	Multiply line 4 by line 3	5	0
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	308,880
7	Add lines 5 and 6	7	308,880
8	Enter qualifying distributions from Part XII, line 4	8	361,666

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary–see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	308,880
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	308,880
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	308,880
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019 — 6a: 0		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 325,000		
d	Backup withholding erroneously withheld — 6d: 0		
7	Total credits and payments. Add lines 6a through 6d.	7	325,000
8	Enter any **penalty** for underpayment of estimated tax. Check here ☑ if Form 2220 is attached.	8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	16,120
11	Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ▶ 16,120 **Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶APERCEN PARTNERS LLC Telephone no. ▶(650) 804-7100

Located at ▶314 LYTTON AVE PALO ALTO CA ZIP+4 ▶94301

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

			Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.). ☐ Yes ☑ No

			Yes	No
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?.	1c		No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

a At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019?. ☐ Yes ☑ No
If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____

			Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.
▶ 20____ , 20____ , 20____ , 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No

			Yes	No
b	If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)*.	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
THERESA PRESTON-WERNER 314 LYTTON AVE PALO ALTO, CA 94301	CO-PRESIDENT/DIRECTOR 0.40	0	0	0
THOMAS PRESTON-WERNER 314 LYTTON AVE PALO ALTO, CA 94301	CO-PRESIDENT/DIRECTOR 0.40	0	0	0
AUDREY K SCOTT 314 LYTTON AVE PALO ALTO, CA 94301	SECRETARY 0.10	0	0	0
TOM VAN LOBEN SELS 314 LYTTON AVE PALO ALTO, CA 94301	CHIEF FINANCIAL OFFICER 0.10	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				
Total number of other employees paid over $50,000 ▶				0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities.		1a	2,859,573
b	Average of monthly cash balances.		1b	365,574
c	Fair market value of all other assets (see instructions).		1c	2,880
d	**Total** (add lines 1a, b, and c).		1d	3,228,027
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e 0		
2	Acquisition indebtedness applicable to line 1 assets.		2	0
3	Subtract line 2 from line 1d.		3	3,228,027
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).		4	48,420
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	3,179,607
6	**Minimum investment return.** Enter 5% of line 5.		6	158,980

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.		1	158,980
2a	Tax on investment income for 2019 from Part VI, line 5.	2a 308,880		
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b		
c	Add lines 2a and 2b.		2c	308,880
3	Distributable amount before adjustments. Subtract line 2c from line 1.		3	0
4	Recoveries of amounts treated as qualifying distributions.		4	0
5	Add lines 3 and 4.		5	0
6	Deduction from distributable amount (see instructions).		6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.		7	0

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	361,666
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	361,666
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	308,880
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	52,786

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				0
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			15	
b Total for prior years: 20____ , 20____ , 20____		0		
3 Excess distributions carryover, if any, to 2019:				
a From 2014.				
b From 2015.				
c From 2016.				
d From 2017.				
e From 2018.				
f **Total** of lines 3a through e.	0			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ $ 361,666				
a Applied to 2018, but not more than line 2a			15	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				0
e Remaining amount distributed out of corpus	361,651			
5 Excess distributions carryover applied to 2019. *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	361,651			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount —see instructions		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) . . .	0			
9 **Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a	361,651			
10 Analysis of line 9:				
a Excess from 2015. . . .				
b Excess from 2016. . . .				
c Excess from 2017. . . .				
d Excess from 2018. . . .				
e Excess from 2019. . . . 361,651				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2019	**(b)** 2018	**(c)** 2017	**(d)** 2016	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				355,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	70,190	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	75,083	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e). .		0		145,273	0

13 Total. Add line 12, columns (b), (d), and (e). 13 145,273

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash.	1a(1)		No
	(2) Other assets.	1a(2)		No
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
	(3) Rental of facilities, equipment, or other assets.	1b(3)		No
	(4) Reimbursement arrangements.	1b(4)		No
	(5) Loans or loan guarantees.	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☑ Yes ☐ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship
PRESTON-WERNER INITIATIVES INC	501(C)(4)	COMMON CONTROL

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee	Date	Title
******	2020-11-09	******

May the IRS discuss this return with the preparer shown below (see instr.) ☑ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
WENDY CAMPOS		2020-11-09		P00448102

Firm's name ▶ MOSS ADAMS LLP — Firm's EIN ▶91-0189318

Firm's address ▶ 975 OAK STREET SUITE 500
EUGENE, OR 97401 — Phone no. (541) 686-1040

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000).

THERESA PRESTON-WERNER
THOMAS PRESTON-WERNER

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LIVING GOODS 220 HALLECK STREET SUITE 200 SAN FRANCISCO, CA 94129		PC	EXPANSION OF COMMUNITY HEALTH WORKERS TO REACH LAST MILE COMMUNITIES	175,000
MOTHER HEALTH INTERNATIONAL PO BOX 234 GULU UG		PC	WORK SUPPORTING WOMEN IN ATIAK	60,000
PARTNERS IN HEALTH 800 BOYLSTON STREET SUITE 300 BOSTON, MA 02199		PC	STRENGTHENING HEALTH SYSTEMS TO IMPROVE GLOBAL HEALTH	50,000
Total . . . . ▶ **3a**				355,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PERIPHERAL VISION 12 PARK STREET SUITE 302 BROOKLYN, NY 11206		PC	LOVE & WEALTH PROGRAM	50,000
WISER INTERNATIONALPO BOX 3186 DURHAM, NC 27715		PC	IMPROVE GIRLS' LIVES IN THE MUHURU BAY COMMUNITY	20,000
Total . . . . ▶ **3a**				355,000

TY 2019 Accounting Fees Schedule

Name: PRESTON-WERNER FOUNDATION

EIN: 83-2899018

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	21,686	10,843		6,631

TY 2019 Investments Corporate Bonds Schedule

Name: PRESTON-WERNER FOUNDATION

EIN: 83-2899018

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CALVERT HIGH YIELD BOND FUND	285,287	285,287
FEDERATED HERMES INSTITUTIONAL HIGH YIELD BOND FUND	285,104	285,104
MEAD JOHNSON NUTRITION COMPANY	25,222	25,222
ROYAL BANK OF CANADA	30,057	30,057
UNION PACIFIC CORP	25,623	25,623

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TY 2019 Investments Corporate Stock Schedule

Name: PRESTON-WERNER FOUNDATION

EIN: 83-2899018

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
3M COMPANY	10,762	10,762
ABB LTD	1,469	1,469
ABBOTT LABORATORIES	16,156	16,156
ABBVIE INC	13,812	13,812
ABN AMRO BANK NV	819	819
ACCENTURE PLC	14,108	14,108
ADIDAS AG	2,277	2,277
ADOBE INC	16,820	16,820
ADVANCE AUTO PARTS INC	1,121	1,121
AEGON NV	856	856
AES CORP	1,333	1,333
AFLAC INCORPORATED	4,020	4,020
AGILENT TECHNOLOGIES INC	2,815	2,815
AIR LIQUIDE SA	2,267	2,267
AIR PRODUCTS & CHEMICALS INC	5,405	5,405
AKAMAI TECHNOLOGIES INC	1,468	1,468
AKTIEBOLAGET ELECTROLUX	688	688
AKZO NOBEL NV	712	712
ALASKA AIR GROUP INC	881	881
ALBEMARLE CORP	803	803
ALCON INC	1,301	1,301
ALEXANDRIA REAL ESTATE EQUITIES INC	1,939	1,939
ALFA LAVAL AB	1,109	1,109
ALLERGAN PUBLIC LIMITED COMPANY	6,691	6,691
ALLIANCE DATA SYSTEMS CORPORATION	449	449
ALLIANT ENERGY CORPORATION	1,259	1,259
ALLIANZ SE	2,697	2,697
ALLSTATE CORPORATION	3,823	3,823
ALPHABET INC	42,785	42,785
ALPHABET INC	41,521	41,521

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AMADEUS IT GROUP SA	1,471	1,471
AMAZON.COM INC	81,305	81,305
AMCOR PLC	1,832	1,832
AMEREN CORPORATION	2,074	2,074
AMERICAN AIRLINES GROUP INC	1,262	1,262
AMERICAN ELECTRIC POWER INC	4,820	4,820
AMERICAN EXPRESS CO	8,963	8,963
AMERICAN INTL GROUP INC	4,825	4,825
AMERICAN TOWER CORPORATION	10,802	10,802
AMERICAN WATER WORKS CO INC	2,211	2,211
AMERIPRISE FINANCIAL INC	2,832	2,832
AMGEN INC	15,187	15,187
ANHEUSER-BUSCH INBEV	3,200	3,200
ANTHEM INC	8,155	8,155
AON PLC	5,207	5,207
APPLE INC	130,968	130,968
APPLIED MATERIALS INC	5,921	5,921
APTIV PLC	2,564	2,564
ARCELORMITTAL SA	667	667
ARCHER-DANIELS-MIDLAND COMPANY	2,688	2,688
ASHAI KASEI CORP	2,044	2,044
ASML HOLDING NV	4,439	4,439
ASSA ABLOY AB	2,035	2,035
ASTELLAS PHARMA INC	2,770	2,770
ASTRAZENECA PLC	5,285	5,285
AT&T INC	30,053	30,053
ATLANTIA SPA	980	980
ATLAS COPCO AB	1,340	1,340
AUSTRALIA & NEW ZEALAND BANKING GROUP LTD	1,749	1,749
AUTODESK INC	4,220	4,220

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AVALONBAY COMMUNITIES INC	3,146	3,146
AVERY DENNISON CORPORATION	1,177	1,177
AXA-UAP	1,748	1,748
BAKER HUGHES CO	1,692	1,692
BALL CORPORATION	2,134	2,134
BANCO BILBAO VIZCAYA SA	2,098	2,098
BANCO SANTANDER SA	3,163	3,163
BANK OF AMERICA CORP	31,064	31,064
BANK OF MONTREAL	2,558	2,558
BANK OF NOVA SCOTIA	3,389	3,389
BARCLAYS PLC	3,161	3,161
BARRICK GOLD CORPORATION	1,617	1,617
BASF SE	1,966	1,966
BAXTER INTERNATIONAL INC	4,515	4,515
BAYER AG	2,615	2,615
BAYERISCHE MOTOREN WERKE AKTIE	578	578
BCE INC	556	556
BECTON DICKINSON AND COMPANY	7,615	7,615
BEST BUY CO INC	2,107	2,107
BHP BILLITON PLC	3,620	3,620
BHP GROUP LTD	4,322	4,322
BIOGEN INC	5,638	5,638
BLACKBERRY LTD	263	263
BLACKROCK INC	7,038	7,038
BNP PARIBAS	2,669	2,669
BORGWARNER INC	868	868
BOSTON PROPERTIES INC	2,344	2,344
BRAMBLES LIMITED	1,434	1,434
BRIDGESTONES CORP	1,760	1,760
BRISTOL-MYERS SQUIBB COMPANY	15,855	15,855

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BROWN FORMAN CORP	1,217	1,217
CAE INC	714	714
CAMPBELL SOUP CO	988	988
CANADIAN IMPERIAL BANK OF COMMERCE	2,080	2,080
CANADIAN NATIONAL RAILWAY CO	3,618	3,618
CANADIAN PACIFIC RAILWAY LTD	2,295	2,295
CANON INC	2,297	2,297
CAP GEMINI	1,345	1,345
CAPITAL ONE FINANCIAL CORP	5,146	5,146
CARDINAL HEALTH INC	1,619	1,619
CARMAX INC	1,490	1,490
CARNIVAL CORPORATION	2,084	2,084
CARNIVAL PLC	915	915
CATERPILLAR INC	8,713	8,713
CBOE GLOBAL MARKETS INC	1,320	1,320
CBRE GROUP INC	2,145	2,145
CENTRAL JAPAN RAILWAY CO	3,441	3,441
CENTURYLINK INC	1,308	1,308
CGI INC	1,256	1,256
CHARLES SCHWAB CORPORATION	6,230	6,230
CHIPOTLE MEXICAN GRILL INC	2,511	2,511
CHUBB LIMITED	7,627	7,627
CIGNA CORP	8,180	8,180
CISCO SYSTEMS INC	21,390	21,390
CITIGROUP INC	18,934	18,934
CLP HOLDINGS LTD	305	305
CMS ENERGY CORPORATION	2,137	2,137
CNH INDUSTRIAL NV	693	693
COCA-COLA EUROPEAN PARTNERS PLC	356	356
COGNIZANT TECHNOLOGY SOLUTIONS CORP	3,597	3,597

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
COLGATE-PALMOLIVE CO	6,333	6,333
COLOPLAST AS	1,117	1,117
COMCAST CORPORATION	21,361	21,361
COMERICA INCORPORATED	1,076	1,076
COMMONWEALTH BANK OF AUSTRALIA	5,223	5,223
COMPAGNIE GENERALE DES ETABLIS	1,347	1,347
CONAGRA BRANDS INC	1,746	1,746
CONTINENTAL AKTIENGESELLSCHAFT	259	259
CORTEVA INC	2,306	2,306
CREDIT SUISSE GROUP	1,601	1,601
CRH PLC	1,896	1,896
CSL LIMITED	4,652	4,652
CSX CORPORATION	6,006	6,006
CUMMINS INC	2,863	2,863
CVS HEALTH CORP	10,178	10,178
DAI NIPPON PRINTING LTD	2,315	2,315
DAIKIN INDUSTRIES LTD	3,696	3,696
DAIMLER AG	2,217	2,217
DAIWA HOUSE IND LTD	3,681	3,681
DANONE	1,410	1,410
DARDEN RESTAURANTS INC	1,417	1,417
DASSAULT SYSTEMES	823	823
DAVITA INC	675	675
DBS GROUP HOLDINGS	3,849	3,849
DEERE & COMPANY	5,718	5,718
DELTA AIR LINES INC	3,567	3,567
DENSO CORP	3,244	3,244
DENTSU INC	1,112	1,112
DEUTSCHE BANK AG	1,050	1,050
DEUTSCHE BOERSE AG	1,416	1,416

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
DEUTSCHE LUFTHANSA AG	516	516
DEUTSCHE POST AG	1,527	1,527
DEUTSCHE TELEKOM AG	867	867
DIAGEO PLC	5,053	5,053
DIGITAL REALTY TRUST INC	2,634	2,634
DISCOVER FINANCIAL SERVICES	2,969	2,969
DNB ASA	1,530	1,530
DOMINION ENERGY INC	7,288	7,288
DOW INC	4,269	4,269
DR HORTON INC	1,846	1,846
DTE ENERGY COMPANY	2,338	2,338
DUKE ENERGY CORPORATION	7,114	7,114
DUKE REALTY CORPORATION	1,421	1,421
DUPONT DE NEMOURS INC	5,008	5,008
DXC TECHNOLOGY COMPANY	1,015	1,015
E*TRADE FINANCIAL CORPORATION	1,406	1,406
EAST JAPAN RAILWAY COMPANY	3,266	3,266
EASTMAN CHEMICAL COMPANY	1,189	1,189
EATON CORP PLC	4,168	4,168
EBAY INC	2,997	2,997
ECOLAB INC	4,825	4,825
EDWARDS LIFESCIENCES CORPORATION	5,132	5,132
EISAI CO LTD	1,812	1,812
ELI LILLY & CO	11,697	11,697
EMERSON ELECTRIC CO	4,957	4,957
ENBRIDGE INC	4,136	4,136
ENERGIAS DE PORTUGAL SA	1,431	1,431
ENGIE	1,277	1,277
ENTERGY CORPORATION	2,636	2,636
EON AG	599	599

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EQUINIX INC REIT	5,253	5,253
EQUITY RESIDENTIAL	3,156	3,156
ERICSSON	1,273	1,273
ESSILOR INTERNATIONAL SA	1,524	1,524
ESSITY AKTIEBOLAG	1,419	1,419
EVERSOURCE ENERGY	3,063	3,063
EXELON CORPORATION	4,605	4,605
EXPEDIA GROUP INC	1,622	1,622
EXPEDITORS INTERNATIONAL OF WA	1,248	1,248
FAST RETAILING CO LTD	2,392	2,392
FEDERAL REALTY INVESTMENT TRUST SBI	1,030	1,030
FEDEX CORPORATION	3,780	3,780
FERRARI NV	1,159	1,159
FERROVIAL SA	1,302	1,302
FIAT CHRYSLER AUTOMOBILES NV	837	837
FIFTH THIRD BANCORP	2,275	2,275
FIRST REPUBLIC BANK	352	352
FLEX LTD	581	581
FLOWSERVE CORPORATION	647	647
FMC CORPORATION	1,397	1,397
FORD MOTOR COMPANY	3,822	3,822
FORTESCUE METALS GROUP LTD	661	661
FOX CORPORATION	619	619
FOX CORPORATION	1,372	1,372
FRANKLIN RESOURCES INC	1,065	1,065
FREEPORT-MCMORAN INC	2,007	2,007
FUJIFILM HOLDINGS CORPORATION	1,781	1,781
FUJITSU LTD	1,512	1,512
GAP INC	389	389
GARMIN LTD	1,366	1,366

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GEBERIT AG	1,122	1,122
GENERAL ELECTRIC CO	10,267	10,267
GENERAL MILLS INC	3,481	3,481
GENERAL MOTORS COMPANY	4,831	4,831
GILDAN ACTIVEWEAR INC	413	413
GLAXOSMITHKLINE PLC	4,417	4,417
GLOBE LIFE INC	842	842
H & R BLOCK INC	423	423
HALLIBURTON COMPANY	2,276	2,276
HANESBRANDS INC	564	564
HANG LUNG PROPERTIES LIMITED	3,358	3,358
HARLEY-DAVIDSON INC	595	595
HARTFORD FINANCIAL SERVICES GROUP	2,248	2,248
HASBRO INC	1,373	1,373
HEALTHPEAK PROPERTIES INC	1,827	1,827
HEIDELBERGCEMENT AG	1,094	1,094
HEINEKEN NV	320	320
HENKEL AG AND CO	894	894
HEWLETT PACKARD ENTERPRISE CO	2,189	2,189
HEXAGON AB	1,066	1,066
HILTON WORLDWIDE HOLDINGS INC	3,327	3,327
HITACHI LTD	3,065	3,065
HOLLYFRONTIER CORP	761	761
HONDA MTR LTD	3,878	3,878
HONG KONG EXCHANGES & CLEARING	3,279	3,279
HORMEL FOODS CORPORATION	1,173	1,173
HOST HOTELS & RESORTS INC	1,410	1,410
HOWMET AEROSPACE INC	1,231	1,231
HP INC	3,165	3,165
HSBC HOLDINGS PLC	5,981	5,981

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
HUMANA INC	5,131	5,131
HUNTINGTON BANCSHARES INC	1,568	1,568
IBERDROLA SOCIEDAD ANONIMA	2,143	2,143
IHS MARKIT LTD	3,089	3,089
ILLUMINA INC	5,308	5,308
INCYTE CORPORATION	1,659	1,659
INDUSTRIA DE DISENO TEXTIL	1,553	1,553
INFINEON TECHNOLOGIES AG	1,368	1,368
ING GROEP NV	2,555	2,555
INGERSOLL-RAND PLC	3,323	3,323
INTEL CORPORATION	27,770	27,770
INTERCONTINENTAL HOTELS GROUP	2,747	2,747
INTERNATIONAL PAPER CO	1,934	1,934
INTERPUBLIC GROUP COS	947	947
INTESA SANPAOLO SPA	1,582	1,582
INTL BUSINESS MACHINES CORP	12,600	12,600
INTL.FLAVORS & FRAGRANCE	1,419	1,419
INVESCO LTD	1,115	1,115
IRON MOUNTAIN INCORPORATED	988	988
ISHARES RUSSELL 2000 SMALL-CAP INDEX FUND	366,536	366,536
ISUZU MOTORS LTD	1,506	1,506
ITOCHU CORP	3,312	3,312
J B HUNT TRANSPORTATION SERVICES INC	1,051	1,051
JAPAN AIRLINES CO LTD	813	813
JOHNSON & JOHNSON	40,552	40,552
JOHNSON CONTROLS INTERNATIONAL	3,338	3,338
JPMORGAN CHASE & CO	46,838	46,838
KANSAS CITY SOUTHERN	1,532	1,532
KAO CORPORATION	2,741	2,741
KBC GROUP NV	1,882	1,882

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
KDDI CORPORATION	3,412	3,412
KELLOGG COMPANY	1,867	1,867
KERING	1,971	1,971
KEYCORP	2,064	2,064
KEYSIGHT TECHNOLOGIES INC	1,950	1,950
KIMBERLY-CLARK CORPORATION	4,952	4,952
KIMCO REALTY CORPORATION	994	994
KINROSS GOLD CORPORATION	450	450
KLA CORP	3,029	3,029
KOHL'S CORP	815	815
KOMATSU LTD	2,212	2,212
KONE OYJ	1,112	1,112
KONINKLIJKE AHOLD NV	901	901
KONINKLIJKE PHILIPS NV	1,610	1,610
KUBOTA CORP	1,668	1,668
L BRANDS INC	453	453
LAFARGEHOLCIM LTD	887	887
LAM RESEARCH CORPORATION	4,386	4,386
LEGRAND SA	1,386	1,386
LINCOLN NATIONAL CORP INC	1,357	1,357
LINDE PLC	12,135	12,135
LLOYDS BANKING GROUP PLC	3,211	3,211
LOREAL CO	2,667	2,667
LOWES COMPANIES INC	9,701	9,701
LVMH MOET HENNESSY LOUIS VUITTON SA	4,649	4,649
MACERICH COMPANY	323	323
MACQUARIE GROUP LIMITED	2,035	2,035
MACY'S INC	544	544
MANULIFE FINANCIAL CORP	1,988	1,988
MARATHON PETROLEUM CORPORATION	4,157	4,157

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MARRIOTT INTERNATIONAL INC	4,391	4,391
MARSH & MCLENNAN CO INC	5,905	5,905
MCCORMICK & CO	2,037	2,037
MCDONALDS CORP	15,809	15,809
MCKESSON CORPORATION	2,766	2,766
MEDTRONIC PLC	15,996	15,996
MERCK	355	355
MERCK & CO INC	24,375	24,375
METLIFE INC	4,332	4,332
METTLER-TOLEDO INTERNATIONAL	1,587	1,587
MGM RESORTS INTERNATIONAL	1,830	1,830
MICROCHIP TECHNOLOGY INC	2,618	2,618
MICRON TECHNOLOGY INC	6,238	6,238
MICROSOFT CORPORATION	126,791	126,791
MID-AMERICA APARTMENT COMMUNITIES INC	1,582	1,582
MITSUBISHI CORP	3,576	3,576
MITSUBISHI ESTATE LTD	4,614	4,614
MITSUBISHI UFJ FINL GROUP INC	4,246	4,246
MIZUHO FINANCIAL GROUP INC	2,725	2,725
MOHAWK INDUSTRIES INC	818	818
MOLSON COORS BEVERAGE CO	1,078	1,078
MONDELEZ INTERNATIONAL INC	8,317	8,317
MOODY'S CORPORATION	4,273	4,273
MORGAN STANLEY	7,515	7,515
MOTOROLA SOLUTIONS INC	2,739	2,739
MS&AD INSURANCE GROUP HOLDINGS	1,163	1,163
MSCI INC	2,582	2,582
MTR CORPORATION LIMITED	550	550
MUENCHENER RUECKVERSICHERUNGS	2,657	2,657
MURATA MANUFACTURING CO LTD	3,228	3,228

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
NASDAQ INC	1,392	1,392
NATIONAL GRID PLC	5,014	5,014
NESTE OIL OYJ	662	662
NESTLE SA	11,362	11,362
NEWELL BRANDS INC	769	769
NEWMONT CORP	3,737	3,737
NEWS CORPORATION	509	509
NEXTERA ENERGY INC	12,350	12,350
NIDEC CORPORATION	3,176	3,176
NIELSEN HLDGS PLC	792	792
NIKE	13,272	13,272
NINTENDO CO LTD	2,832	2,832
NIPPON TELEGRAPH & TELEPHONE	1,674	1,674
NISOURCE INC	1,169	1,169
NISSAN MOTOR CO LTD	925	925
NN GROUP NV	1,367	1,367
NOKIA CORPORATION	950	950
NOMURA HOLDINGS INC	2,141	2,141
NORDSTROM INC	491	491
NORFOLK SOUTHERN CORP	5,242	5,242
NORTHERN TRUST CORP	2,975	2,975
NORTONLIFELOCK INC	1,506	1,506
NORWEGIAN CRUISE LINE HOLDING LTD	1,227	1,227
NOVARTIS AG	8,617	8,617
NOVO-NORDISK AS	5,093	5,093
NOVOZYMES AS	1,028	1,028
NRG ENERGY INC	1,034	1,034
NTT DOCOMO INC	1,621	1,621
NUTRIEN LTD	1,437	1,437
NVIDIA CORPORATION	15,059	15,059

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
NXP SEMICONDUCTORS NV	1,273	1,273
OMNICOM GROUP	1,782	1,782
OMRON CORP	2,068	2,068
ONEOK INC	3,254	3,254
ORANGE	2,437	2,437
PEARSON PLC	666	666
PEPSICO INC	20,227	20,227
PERNOD RICARD	1,789	1,789
PERRIGO CO PLC	775	775
PFIZER INC	22,607	22,607
PHILLIPS 66	5,236	5,236
PINNACLE WEST CAPITAL CORP	989	989
PNC FINANCIAL SERVICES GROUP	7,343	7,343
PRINCIPAL FINANCIAL GROUP INC	1,540	1,540
PROLOGIS INC	5,972	5,972
PRUDENTIAL FINANCIAL INC	4,125	4,125
PRYSMIAN SPA	917	917
PUBLIC SERVICE ENTERPRISE GROUP HOLDING CO	2,893	2,893
PUBLICIS GROUPE SA	680	680
PVH CORP	841	841
QUALCOMM INC	10,588	10,588
QUEST DIAGNOSTICS INC	1,495	1,495
RALPH LAUREN CORP	586	586
RANDSTAD HOLDING NV	917	917
RBC EMERGING MARKETS EQUITY FUND	165,662	165,662
REED ELSEVIER PLC	3,942	3,942
REGENCY CENTERS CORPORATION	1,262	1,262
REGENERON PHARMACEUTICAL INC	3,004	3,004
REGIONS FINANCIAL CORPORATION	1,767	1,767
REPUBLIC SERVICES INC	1,793	1,793

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
RIO TINTO PLC	4,215	4,215
ROCHE HOLDING AG	6,810	6,810
ROCKWELL AUTOMATION INC	2,432	2,432
ROGERS COMMUNICATIONS INC	1,093	1,093
ROSS STORES INC	4,424	4,424
ROYAL BANK OF CANADA	5,782	5,782
ROYAL BANK OF SCOTLAND GROUP	1,455	1,455
ROYAL CARIBBEAN CRUISES LTD	2,403	2,403
ROYAL DSM NV	521	521
RWE AG AKTIENGESELLSCHAF	921	921
S&P GLOBAL INC	7,372	7,372
SALESFORCE.COM INC	14,963	14,963
SAND VIK	761	761
SANDS CHINA LTD	1,817	1,817
SANOFI	4,518	4,518
SAP SE	5,360	5,360
SCHLUMBERGER LTD	5,869	5,869
SCHNEIDER ELECTRIC SE	1,849	1,849
SEAGATE TECHNOLOGY PLC	1,488	1,488
SEMPRA ENERGY	4,696	4,696
SHIN-ETSU CHEMICAL CO LTD	3,329	3,329
SHISEIDO CO LTD	2,148	2,148
SIEMENS AKTIENGESELLSCHAFT	3,663	3,663
SIMON PROPERTY GROUP INC	4,916	4,916
SKF AB	749	749
SL GREEN REALTY CORP	735	735
SMITH & NEPHEW PLC	1,779	1,779
SMURFIT KAPPA GROUP PLC	885	885
SODEXO	474	474
SONY CORPORATION	5,304	5,304

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SOUTHWEST AIRLINES CO	2,753	2,753
SPARK NEW ZEALAND LTD	1,139	1,139
STANLEY BLACK & DECKER INC	2,652	2,652
STARBUCKS CORP	11,078	11,078
STATE STREET CORPORATION	3,560	3,560
STATE STREET HEDGED INTERNATIONAL DEVELOPED EQUITY INDEX FUND	483,747	483,747
STORA ENSO OYJ	15	15
SUBARU CORP	1,523	1,523
SUN HUNG KAI PROPERTY LTD	5,788	5,788
SUN LIFE FINANCIAL INC	1,413	1,413
SWEDBANK AB	1,236	1,236
SWISS RE LTD	449	449
SWISSCOM	1,059	1,059
SYSMEX CORPORATION	1,508	1,508
T ROWE PRICE GROUP INC	3,533	3,533
TAKEDA PHARMACEUTICAL CO LTD	3,630	3,630
TAPESTRY INC	836	836
TARGET CORPORATION	6,923	6,923
TC ENERGY CORP	2,239	2,239
TE CONNECTIVITY LTD	3,354	3,354
TECHNIPFMC PLC	879	879
TECK RESOURCES LIMITED	504	504
TELEFONICA SA	1,673	1,673
TELENOR ASA	1,165	1,165
TELSTRA CORP	958	958
TENARIS SA	747	747
TERNA RETE ELETTRICA NAZIONALE	3,368	3,368
TEXAS INSTRUMENTS INC	12,572	12,572
THE BANK OF NY MELLON CORP	5,033	5,033
THE CLOROX CO	1,842	1,842

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
THE COCA-COLA COMPANY	22,472	22,472
THE HERSHEY COMPANY	2,205	2,205
THE HOME DEPOT INC	25,114	25,114
THE J M SMUCKER COMPANY	1,145	1,145
THE MOSAIC COMPANY	822	822
THE PROCTER & GAMBLE COMPANY	32,849	32,849
THE TRAVELERS COMPANIES INC	3,698	3,698
THE WALT DISNEY COMPANY	27,335	27,335
THERMO FISHER SCIENTIFIC INC	13,645	13,645
TIFFANY & CO	1,604	1,604
TJX COMPANIES INC	7,694	7,694
TOKIO MARINE HOLDINGS INC	1,971	1,971
TOKYO ELECTRON LIMITED	3,302	3,302
TORAY INDUSTRIES INC	2,073	2,073
TORONTO DOMINION BANK	5,276	5,276
TOYOTA MOTOR CORPORATION	9,697	9,697
TRACTOR SUPPLY COMPANY	1,121	1,121
TRIPADVISOR INC	304	304
UBS GROUP AG	1,925	1,925
UDR INC	1,588	1,588
UMICORE GROUP	779	779
UNILEVER NV	2,816	2,816
UNILEVER PLC	3,316	3,316
UNION PACIFIC CORP	13,378	13,378
UNITED AIRLINES HOLDINGS INC	2,026	2,026
UNITED PARCEL SERVICE INC	8,780	8,780
UNITED RENTALS INC	1,334	1,334
UNITEDHEALTH GROUP INC	29,104	29,104
UNUM GROUP	642	642
UPM-KYMMENE OYJ	1,249	1,249

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
US BANCORP	9,249	9,249
VALERO ENERGY CORPORATION	4,027	4,027
VARIAN MEDICAL SYSTEMS INC	1,278	1,278
VENTAS INC	2,310	2,310
VEOLIA ENVIRONNEMENT	1,304	1,304
VERIZON COMMUNICATIONS INC	26,586	26,586
VESTAS WIND SYSTEMS AS	1,214	1,214
VF CORP	3,388	3,388
VINCI SA	2,667	2,667
VISA INC	34,010	34,010
VIVENDI	1,246	1,246
VODAFONE GROUP PLC	2,957	2,957
VOLKSWAGEN AKTIENGESELLSCHAFT	1,579	1,579
VOLVO AB	1,508	1,508
VULCAN MATERIALS CO	2,016	2,016
WALMART INC	17,707	17,707
WASTE MANAGEMENT INC	4,558	4,558
WEC ENERGY GROUP INC	3,044	3,044
WELLS FARGO & CO	22,757	22,757
WELLTOWER INC	3,517	3,517
WESFARMERS LIMITED	3,085	3,085
WESTPAC BANKING CORP	4,670	4,670
WESTROCK COMPANY	1,159	1,159
WEYERHAEUSER COMPANY	2,416	2,416
WHIRLPOOL CORP	1,033	1,033
WILLIS TOWERS WATSON PLC	2,827	2,827
WOLTERS KLUWER NV	730	730
WPP PLC	2,179	2,179
WW GRAINGER INC	1,693	1,693
WYNN RESORTS LIMITED	1,389	1,389

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
XCEL ENERGY INC	3,682	3,682
XEROX HOLDINGS CORP	701	701
XYLEM INC	1,339	1,339
YARA INTERNATIONAL ASA	956	956
YASKAWA ELECTRIC CORP	1,919	1,919
YUM BRANDS INC	3,123	3,123
ZURICH INS GROUP LTD	1,640	1,640

TY 2019 Investments Government Obligations Schedule

Name:	PRESTON-WERNER FOUNDATION
EIN:	83-2899018
US Government Securities - End of Year Book Value:	254,476
US Government Securities - End of Year Fair Market Value:	254,476
State & Local Government Securities - End of Year Book Value:	0
State & Local Government Securities - End of Year Fair Market Value:	0

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491317034500

TY 2019 Investments - Other Schedule

Name: PRESTON-WERNER FOUNDATION

EIN: 83-2899018

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ACCRUED INTEREST	FMV	2,880	2,880

TY 2019 Other Decreases Schedule

Name: PRESTON-WERNER FOUNDATION

EIN: 83-2899018

Description	Amount
UNREALIZED LOSS ON INVESTMENTS	14,210

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TY 2019 Other Expenses Schedule

Name: PRESTON-WERNER FOUNDATION

EIN: 83-2899018

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LICENSES AND FEES	35	0		35

TY 2019 Other Liabilities Schedule

Name: PRESTON-WERNER FOUNDATION

EIN: 83-2899018

Description	Beginning of Year - Book Value	End of Year - Book Value
CURRENT EXCISE TAX PAYABLE	0	309,000

TY 2019 Taxes Schedule

Name: PRESTON-WERNER FOUNDATION

EIN: 83-2899018

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAX	309,000	0		0

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Go to *www.irs.gov/Form990* for the latest information.

OMB No. 1545-0047

2019

Name of the organization	Employer identification number
PRESTON-WERNER FOUNDATION	83-2899018

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
PRESTON-WERNER FOUNDATION	83-2899018

Part I Contributors

Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	THERESA AND THOMAS PRESTON-WERNER 314 LYTTON AVE PALO ALTO, CA 94103	$ 32,262,146	☑ Person ☐ Payroll ☑ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)

Name of organization
PRESTON-WERNER FOUNDATION

Employer identification number
83-2899018

Part II **Noncash Property**
(see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	PUBLICLY TRADED SECURITIES	$ 32,259,096	2019-12-16

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

Name of organization	Employer identification number
PRESTON-WERNER FOUNDATION	83-2899018

Part III *Exclusively* **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.)** ▶ $ ____________

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee