

For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

Name of foundation CARIS CHARITABLE TRUST II DTD 09162004		A Employer identification number 82-3380217	
Number and street (or P.O. box number if mail is not delivered to street address) PO BOX 803878		B Telephone number (see instructions)	
City or town, state or province, country, and ZIP or foreign postal code CHICAGO, IL 60680		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 35,790,283		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . .	916,548	916,548		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	573,379			
	b Gross sales price for all assets on line 6a				
		8,408,797			
	7 Capital gain net income (from Part IV, line 2) . . .		573,379		
	8 Net short-term capital gain			0	
	9 Income modifications				
Operating and Administrative Expenses	10a Gross sales less returns and allowances				
	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	183,156			
	12 Total. Add lines 1 through 11	1,673,083	1,489,927		
	13 Compensation of officers, directors, trustees, etc.	143,865	32,861		111,004
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)	37,890	0	0	37,890
	b Accounting fees (attach schedule)	2,500	1,250	0	1,250
	c Other professional fees (attach schedule)	180,076			180,076
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	14,753	14,753		0
	19 Depreciation (attach schedule) and depletion	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings	5,508	0	0	5,508
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)	15			15
	24 Total operating and administrative expenses. Add lines 13 through 23	384,607	48,864	0	335,743
	25 Contributions, gifts, grants paid	2,050,475			2,050,475
	26 Total expenses and disbursements. Add lines 24 and 25	2,435,082	48,864	0	2,386,218
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-761,999			
	b Net investment income (if negative, enter -0-)		1,441,063		
c Adjusted net income (if negative, enter -0-)				0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	1,699,189	121,774	121,774
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	31,368,599	31,583,552	34,438,500
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	777,795	1,160,575	1,230,009
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	33,845,583	32,865,901	35,790,283	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	33,845,583	32,865,901	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances (see instructions)	33,845,583	32,865,901	
30 Total liabilities and net assets/fund balances (see instructions) .	33,845,583	32,865,901		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	33,845,583
2 Enter amount from Part I, line 27a	2	-761,999
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	33,083,584
5 Decreases not included in line 2 (itemize) ▶ _____	5	217,683
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	32,865,901

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	573,379
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	2,181,458	35,017,395	0.062296
2017	6,125	28,256,547	0.000217
2016			
2015			
2014			

2 Total of line 1, column (d)	2	0.062513
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.031257
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	35,500,255
5 Multiply line 4 by line 3	5	1,109,631
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	14,411
7 Add lines 5 and 6	7	1,124,042
8 Enter qualifying distributions from Part XII, line 4	8	2,386,218

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	14,411
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	14,411
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	14,411
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	0
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8	547
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	14,958
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax ▶ 0 Refunded ▶	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
c Did the foundation file Form 1120-POL for this year?		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		No
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		No
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IL _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► _____	13		No
14	The books are in care of ► <u>THE NORTHERN TRUST COMPANY</u> Telephone no. ► <u>(312) 630-6000</u>			

Located at ► PO BOX 803878 CHICAGO ILZIP+4 ► 60680

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here	<input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year	► 15		
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ► _____			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b	No
	Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/>		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			
	<i>If "Yes" to 6b, file Form 8870.</i>			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NORTHERN TRUST COMPANY PO BOX 803878 CHICAGO, IL 60680	INSTITUTIONAL TRUSTEE 40	143,865		
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
CATHERINE ANN CARIS PO BOX 14315 SCOTTSDALE, AZ 852674315	TRUST PROTECTOR	90,038
SHERRY COWAN 13456 N HWY 87 PAYSON, AZ 855413873		
Total number of others receiving over \$50,000 for professional services. ►		2

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ►	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	36,040,868
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	36,040,868
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	36,040,868
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	540,613
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	35,500,255
6	Minimum investment return. Enter 5% of line 5.	6	1,775,013

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,775,013
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	14,411
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	14,411
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,760,602
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	1,760,602
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,760,602

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	2,386,218
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,386,218
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	14,411
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	2,371,807

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				1,760,602
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2019:				
a From 2014.	0			
b From 2015.	0			
c From 2016.	0			
d From 2017.	0			
e From 2018.	230,662			
f Total of lines 3a through e.	230,662			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ 2,386,218				
a Applied to 2018, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				1,760,602
e Remaining amount distributed out of corpus	625,616			
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	856,278			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a.	856,278			
10 Analysis of line 9:				
a Excess from 2015.	0			
b Excess from 2016.	0			
c Excess from 2017.	0			
d Excess from 2018.	230,662			
e Excess from 2019.	625,616			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	2,050,475
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

		Yes	No
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--	--	--

1a(1)		No
1a(2)		No

--	--	--

1b(1)	No
--------------	-----------

1b(2)		No
--------------	--	-----------

1b(3)		No
--------------	--	-----------

1b(4)		No
--------------	--	-----------

1b(5)	No
--------------	-----------

1b(6)		No
--------------	--	-----------

1c		No
----	--	----

value
ue

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

<p>Sign Here</p> <p>*****</p> <p>_____</p> <p>Signature of officer or trustee</p>	<p>2020-08-18</p> <p>_____</p> <p>Date</p>	<p>*****</p> <p>_____</p> <p>Title</p>
--	--	--

May the IRS discuss this return with the preparer shown below (see instr.) ☐ **Yes** ☐ **No**

Paid Preparer Use Only					
	Firm's name ▶				Firm's EIN ▶
	Firm's address ▶				Phone no.

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
30274.99 MFO AQR TM LARGE CAP MOMENTUM STYLE R6		2016-08-09	2019-03-01
15000. AT&T INC 3.4% DUE 05-15-2025		2018-01-24	2019-01-29
7000. ABBVIE INC 3.75% DUE 11-14-2023		2018-11-13	2019-02-12
13000. ACTAVIS FDG SCS 3% DUE 03-12-2020		2018-07-30	2019-12-09
2000. ACTAVIS FDG SCS 3.45% DUE 03-15-2022		2019-10-16	2019-10-25
4000. ACTAVIS FDG SCS 3.45% DUE 03-15-2022		2019-10-16	2019-10-28
5000. ACTAVIS FDG SCS 3.45% DUE 03-15-2022		2019-10-16	2019-10-28
2000. ACTAVIS FDG SCS 3.45% DUE 03-15-2022		2019-10-16	2019-10-28
7000. ACTAVIS FDG SCS 3.45% DUE 03-15-2022		2019-10-16	2019-10-29
150. ADOBE SYS INC		2016-08-09	2019-04-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
672,408		517,400	155,008
14,570		14,633	-63
7,052		6,934	118
13,015		12,993	22
2,045		2,049	-4
4,088		4,099	-11
5,112		5,123	-11
2,044		2,049	-5
7,157		7,173	-16
40,217		14,827	25,390

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			155,008
			-63
			118
			22
			-4
			-11
			-11
			-5
			-16
			25,390

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
160. ADOBE SYS INC		2017-06-29	2019-06-26
10000. AIR LEASE CORP 3.625% DUE 04-01-2027		2018-03-06	2019-10-22
5000. AIRCASTLE LTD 4.25% DUE 06-15-2026		2019-07-23	2019-10-24
15000. AIRCASTLE LTD 4.25% DUE 06-15-2026		2019-08-15	2019-10-25
13000. ALBEMARLE CORP 4.15% DUE 12-01-2024 12-01-2024		2019-01-18	2019-04-16
10000. ALEXANDRIA REAL ESTATE EQUITIES 4.6 DUE 04-01-2022 REG		2017-05-19	2019-01-10
15000. ALEXANDRIA REAL 3.95% DUE 01-15-2028		2017-02-28	2019-04-12
5000. ALEXANDRIA REAL 4.7% DUE 07-01-2030		2019-01-10	2019-03-08
10000. AMERN CAMPUS 3.35% DUE 10-01-2020		2018-10-16	2019-09-11
5000. AMERN CAMPUS 3.35% DUE 10-01-2020		2018-03-22	2019-09-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
46,596		18,830	27,766
10,356		9,635	721
5,186		5,069	117
15,504		15,261	243
13,390		13,066	324
10,280		10,739	-459
15,141		15,131	10
5,212		5,053	159
10,106		9,979	127
5,053		5,015	38

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			27,766
			721
			117
			243
			324
			-459
			10
			159
			127
			38

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
25000. AMERN EXPRESS CR A/C MASTER TR FLTG RT SER 2014-4 CL A 12-15-20		2017-02-15	2019-05-15
10000. AMERN INTL GROUP 3.375% DUE 08-15-2020 SNR NTS DUE 08-15-2020 R		2018-04-11	2019-09-11
20000. AMERN TOWER CORP 3.8% DUE 08-15-2029		2019-08-07	2019-10-23
15000. AMGEN INC 2.2 DUE 05-22-2019		2018-01-24	2019-02-22
28000. AMGEN INC 2.2 DUE 05-22-2019		2017-08-23	2019-05-22
15000. BA CR CARD TR 1.95% DUE 08-15-2022		2017-03-23	2019-05-15
40000. BA CR CARD TR 1.95% DUE 08-15-2022		2017-03-23	2019-07-23
15000. BANK OF AMERICA CORP 2.25% DUE 04-21-2020		2018-11-29	2019-09-11
15000. BANK AMER CORP 4.45% DUE 03-03-2026		2018-02-12	2019-02-25
15000. BANK OF AMERICA CORP 3.55% 03-05-2024 03-05-2024		2018-04-20	2019-06-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
25,000		25,090	-90
10,108		10,059	49
21,249		21,289	-40
14,985		14,982	3
28,000		28,202	-202
14,935		14,996	-61
39,925		39,988	-63
15,015		14,903	112
15,296		15,494	-198
15,531		14,912	619

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-90
			49
			-40
			3
			-202
			-61
			-63
			112
			-198
			619

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
10000. BK NEW YORK MELLON FLTG RT 2.661% DUE 05-16-2023		2017-12-28	2019-04-09
6450. MFC IPATH SELECT MLP ETN MLP ETNS		2017-01-13	2019-07-16
18. BOOKING HLDGS INC COM		2016-11-11	2019-04-18
15000. CMO COMM MTG TR 2015-CCRE23 SER 15-CR23 CL A4 3.497% DUE 05-10-		2019-01-17	2019-02-08
5000. CAP 1 FINL CORP 4.2% DUE 10-29-2025		2018-09-05	2019-01-31
18000. CAP 1 FINL CORP 3.75% DUE 07-28-2026		2019-02-20	2019-08-01
30000. CAP 1 FINL CORP 3.8% DUE 01-31-2028		2019-01-23	2019-03-08
15000. CAP 1 FINL CORP 3.8% DUE 01-31-2028		2019-08-01	2019-10-01
15000. CAP 1 FINL CORP 3.45% DUE 04-30-2021		2018-04-26	2019-09-11
25000. CAP 1 MULTI-ASSET 1.34% DUE 04-15-2022		2017-02-22	2019-06-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,921		10,002	-81
131,239		149,422	-18,183
33,270		26,403	6,867
15,217		15,057	160
5,002		4,916	86
18,477		17,073	1,404
28,828		28,183	645
15,824		15,595	229
15,270		14,987	283
25,000		24,738	262

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-81
			-18,183
			6,867
			160
			86
			1,404
			645
			229
			283
			262

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
7000. CARDINAL HLTH INC 2.616% DUE 06-15-2022		2018-08-29	2019-01-09
5000. CARDINAL HLTH INC 3.41% DUE 06-15-2027		2019-01-09	2019-04-01
10000. CARDINAL HLTH INC 3.41% DUE 06-15-2027		2019-01-10	2019-04-26
1529.67 CARMAX AUTO OWNER TRUST SER 17-1 CL A3 2.06% 11-15-2021		2017-02-02	2019-01-15
1274.73 CARMAX AUTO OWNER TRUST SER 17-1 CL A3 2.06% 11-15-2021		2018-02-23	2019-01-15
1325.97 CARMAX AUTO OWNER TRUST SER 17-1 CL A3 2.06% 11-15-2021		2018-02-23	2019-02-15
1591.16 CARMAX AUTO OWNER TRUST SER 17-1 CL A3 2.06% 11-15-2021		2017-02-02	2019-02-15
2719.27 CARMAX AUTO OWNER TRUST SER 17-1 CL A3 2.06% 11-15-2021		2018-02-23	2019-03-15
2931.33 CARMAX AUTO OWNER TRUST SER 17-1 CL A3 2.06% 11-15-2021		2018-02-23	2019-04-15
2657.02 CARMAX AUTO OWNER TRUST SER 17-1 CL A3 2.06% 11-15-2021		2018-02-23	2019-05-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,729		6,729	
4,702		4,528	174
9,495		9,063	432
1,530		1,530	
1,275		1,264	11
1,326		1,314	12
1,591		1,591	
2,719		2,709	10
2,931		2,920	11
2,657		2,647	10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			174
			432
			11
			12
			10
			11
			10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
2746.17 CARMAX AUTO OWNER TRUST SER 17-1 CL A3 2.06% 11-15-2021		2018-02-23	2019-06-17
2499.85 CARMAX AUTO OWNER TRUST SER 17-1 CL A3 2.06% 11-15-2021		2018-02-23	2019-07-15
31222.19 CARMAX AUTO OWNER TRUST SER 17-1 CL A3 2.06% 11-15-2021		2018-02-23	2019-07-23
.01 CARMAX AUTO OWNER TRUST SER 17-1 CL A3 2.06% 11-15-2021		2018-02-23	2019-07-26
206. CELGENE CORP		2016-12-28	2019-06-26
13000. CELGENE CORP 3.25% DUE 02-20-2023		2018-08-06	2019-02-08
14000. CENOVUS ENERGY INC FIXED 4.25% DUE 04-15-2027		2019-01-10	2019-02-27
15000. CENOVUS ENERGY INC FIXED 4.25% DUE 04-15-2027		2019-06-11	2019-12-05
656. CISCO SYS INC		2016-08-09	2019-02-28
15000. CISCO SYS INC 4.45% DUE 01-15-2020		2018-01-24	2019-02-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,746		2,736	10
2,500		2,490	10
31,172		31,101	71
19,267		23,805	-4,538
12,975		12,810	165
13,329		13,138	191
15,770		15,145	625
33,974		20,364	13,610
15,238		15,881	-643

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			10
			10
			71
			-4,538
			165
			191
			625
			13,610
			-643

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
15000. CITIGROUP INC 4.45% DUE 09-29-2027		2018-10-18	2019-03-08
20000. CITIGROUP INC 2.7% DUE 03-30-2021		2018-02-14	2019-06-13
10000. CMO CITIGROUP COML MTG TR SER 2013-GC17 CL A-S 4.544% 11-10-204		2018-11-08	2019-02-19
306. COCA COLA CO		2016-08-09	2019-06-26
10000. CONTL RES INC FIXED 3.8% DUE 06-01-2024		2018-06-05	2019-02-26
10000. CUBESMART L P 3.125% DUE 09-01-2026		2019-04-04	2019-05-22
15000. CUBESMART L P 3.125% DUE 09-01-2026		2017-07-20	2019-05-22
15000. CUBESMART L P 4.375% DUE 02-15-2029		2019-01-24	2019-04-04
35171.1 MFO DFA INVT DIMENSIONS GROUP INC EMERGING MKTS CORE EQUITY PO		2016-08-09	2019-11-06
10000. ECOPETROL S A 5.875 DUE 09-18-2023		2017-12-27	2019-05-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
15,139		14,645	494
20,050		19,866	184
10,530		10,281	249
15,756		13,313	2,443
9,890		9,819	71
9,581		9,484	97
14,371		14,392	-21
15,279		14,903	376
740,000		652,776	87,224
10,890		11,099	-209

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			494
			184
			249
			2,443
			71
			97
			-21
			376
			87,224
			-209

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
15000. ENERGY TRANSFER 3.6% DUE 02-01-2023 DUE 02-01-2023/01-22-2013 R		2017-12-27	2019-02-06
15000. EXPRESS SCRIPTS 3.5% DUE 06-15-2024		2019-04-15	2019-10-01
444.19 FEDERAL HOME LN MTG CORP POOL #G08775 4%08-01-2047 BEO		2017-09-11	2019-01-02
438.57 FEDERAL HOME LN MTG CORP POOL #G08775 4%08-01-2047 BEO		2017-09-11	2019-02-01
478.17 FEDERAL HOME LN MTG CORP POOL #G08775 4%08-01-2047 BEO		2017-09-11	2019-03-01
589.16 FEDERAL HOME LN MTG CORP POOL #G08775 4%08-01-2047 BEO		2017-09-11	2019-04-01
789.31 FEDERAL HOME LN MTG CORP POOL #G08775 4%08-01-2047 BEO		2017-09-11	2019-05-01
950. FEDERAL HOME LN MTG CORP POOL #G08775 4%08-01-2047 BEO		2017-09-11	2019-06-03
930.03 FEDERAL HOME LN MTG CORP POOL #G08775 4%08-01-2047 BEO		2017-09-11	2019-07-01
1438.68 FEDERAL HOME LN MTG CORP POOL #G08775 4%08-01-2047 BEO		2017-09-11	2019-08-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14,917		15,035	-118
15,651		15,088	563
444		470	-26
439		464	-25
478		478	
589		589	
789		836	-47
950		950	
930		930	
1,439		1,526	-87

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-118
			563
			-26
			-25
			-47
			-87

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1462.2 FEDERAL HOME LN MTG CORP POOL #G08775 4%08-01-2047 BEO		2017-09-11	2019-09-03
1790.72 FEDERAL HOME LN MTG CORP POOL #G08775 4%08-01-2047 BEO		2017-09-11	2019-10-01
1861.89 FEDERAL HOME LN MTG CORP POOL #G08775 4%08-01-2047 BEO		2017-09-11	2019-11-01
1427.71 FEDERAL HOME LN MTG CORP POOL #G08775 4%08-01-2047 BEO		2017-09-11	2019-12-02
168.85 FHLMC POOL #SB8015 2.5% 10-01-2034		2019-10-21	2019-11-01
232.23 FHLMC POOL #SB8015 2.5% 10-01-2034		2019-10-21	2019-12-02
15000. FANNIE MAE 1.5% DUE 06-22-2020		2016-12-19	2019-09-16
95000. FNMA TRANCHE 00653 1.25 05-06-2021 REG		2016-12-19	2019-10-01
281.7 FNMA POOL #BM2007 4% 09-01-2048 BEO		2019-02-28	2019-04-01
431.53 FNMA POOL #BM2007 4% 09-01-2048 BEO		2019-02-28	2019-05-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,462		1,551	-89
1,791		1,791	
1,862		1,862	
1,428		1,521	-93
169		170	-1
232		234	-2
14,951		14,951	
94,360		93,905	455
282		282	
432		432	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-89
			-93
			-1
			-2
			455

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
454.92 FNMA POOL #BM2007 4% 09-01-2048 BEO		2019-02-28	2019-06-03
444.08 FNMA POOL #BM2007 4% 09-01-2048 BEO		2019-02-28	2019-07-01
739.88 FNMA POOL #BM2007 4% 09-01-2048 BEO		2019-02-28	2019-08-01
21488.3 FNMA POOL #BM2007 4% 09-01-2048 BEO		2019-02-28	2019-08-14
923.79 FNMA POOL #BM5246 3.5% 11-01-2048 BEO		2019-04-04	2019-05-01
867.87 FNMA POOL #BM5246 3.5% 11-01-2048 BEO		2019-04-04	2019-06-03
710.02 FNMA POOL #BM5246 3.5% 11-01-2048 BEO		2019-04-04	2019-07-01
1601.28 FNMA POOL #BM5246 3.5% 11-01-2048 BEO		2019-04-04	2019-08-01
1354.87 FNMA POOL #BM5246 3.5% 11-01-2048 BEO		2019-04-04	2019-09-03
2186.6 FNMA POOL #BM5246 3.5% 11-01-2048 BEO		2019-04-04	2019-10-01

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
455		455	
444		444	
740		740	
22,371		21,984	387
924		924	
868		868	
710		710	
1,601		1,601	
1,355		1,355	
2,187		2,187	

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
55586.97 FNMA POOL #BM5246 3.5% 11-01-2048 BEO		2019-04-04	2019-10-16
282.91 FNMA POOL CA2622 3.5% 11-01-2048		2019-03-25	2019-04-01
174.55 FNMA POOL CA2622 3.5% 11-01-2048		2019-03-25	2019-05-01
215.56 FNMA POOL CA2622 3.5% 11-01-2048		2019-03-25	2019-06-03
303.1 FNMA POOL CA2622 3.5% 11-01-2048		2019-03-25	2019-07-01
330.92 FNMA POOL CA2622 3.5% 11-01-2048		2019-03-25	2019-08-01
688.9 FNMA POOL CA2622 3.5% 11-01-2048		2019-03-25	2019-09-03
794.09 FNMA POOL CA2622 3.5% 11-01-2048		2019-03-25	2019-10-01
1192.4 FNMA POOL CA2622 3.5% 11-01-2048		2019-03-25	2019-11-01
843.9 FNMA POOL CA2622 3.5% 11-01-2048		2019-03-25	2019-12-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
57,098		56,316	782
283		287	-4
175		177	-2
216		219	-3
303		307	-4
331		336	-5
689		699	-10
794		805	-11
1,192		1,209	-17
844		856	-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			782
			-4
			-2
			-3
			-4
			-5
			-10
			-11
			-17
			-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
192.98 FNMA 3.5% MBS 01/08/2048 USD'CA2182F' POOL #CA2182 3.5% DUE 08-		2019-03-20	2019-04-01
507.28 FNMA 3.5% MBS 01/08/2048 USD'CA2182F' POOL #CA2182 3.5% DUE 08-		2019-03-20	2019-05-01
693.07 FNMA 3.5% MBS 01/08/2048 USD'CA2182F' POOL #CA2182 3.5% DUE 08-		2019-03-20	2019-06-03
287.99 FNMA 3.5% MBS 01/08/2048 USD'CA2182F' POOL #CA2182 3.5% DUE 08-		2019-03-20	2019-07-01
1603.36 FNMA 3.5% MBS 01/08/2048 USD'CA2182F' POOL #CA2182 3.5% DUE 08		2019-03-20	2019-08-01
1513.12 FNMA 3.5% MBS 01/08/2048 USD'CA2182F' POOL #CA2182 3.5% DUE 08		2019-03-20	2019-09-03
1206.02 FNMA 3.5% MBS 01/08/2048 USD'CA2182F' POOL #CA2182 3.5% DUE 08		2019-03-20	2019-10-01
42415.87 FNMA 3.5% MBS 01/08/2048 USD'CA2182F' POOL #CA2182 3.5% DUE 0		2019-03-20	2019-10-23
.01 FNMA 3.5% MBS 01/08/2048 USD'CA2182F' POOL #CA2182 3.5% DUE 08-01-		2019-03-20	2019-10-28
279.45 FNMA POOL #MA3522 4.5% 11-01-2048 BEO		2018-11-29	2019-01-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
193		193	
507		507	
693		693	
288		288	
1,603		1,603	
1,513		1,513	
1,206		1,206	
43,488		42,928	560
279		288	-9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			560
			-9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
433.87 FNMA POOL #MA3522 4.5% 11-01-2048 BEO		2018-11-29	2019-02-01
628.46 FNMA POOL #MA3522 4.5% 11-01-2048 BEO		2018-11-29	2019-03-01
1035.71 FNMA POOL #MA3522 4.5% 11-01-2048 BEO		2018-11-29	2019-04-01
1649.52 FNMA POOL #MA3522 4.5% 11-01-2048 BEO		2018-11-29	2019-05-01
1808.11 FNMA POOL #MA3522 4.5% 11-01-2048 BEO		2018-11-29	2019-06-03
1440.34 FNMA POOL #MA3522 4.5% 11-01-2048 BEO		2018-11-29	2019-07-01
1962.38 FNMA POOL #MA3522 4.5% 11-01-2048 BEO		2018-11-29	2019-08-01
55192. FNMA POOL #MA3522 4.5% 11-01-2048 BEO		2018-11-29	2019-08-07
824.9 FNMA POOL #MA2896 3.5% 02-01-2047 BEO		2017-01-31	2019-01-02
780.18 FNMA POOL #MA2896 3.5% 02-01-2047 BEO		2017-01-31	2019-02-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
434		434	
628		628	
1,036		1,036	
1,650		1,650	
1,808		1,808	
1,440		1,440	
1,962		1,962	
58,167		57,056	1,111
825		845	-20
780		780	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,111
			-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1092.67 FNMA POOL #MA2896 3.5% 02-01-2047 BEO		2017-01-31	2019-03-01
1080.92 FNMA POOL #MA2896 3.5% 02-01-2047 BEO		2017-01-31	2019-04-01
1136.16 FNMA POOL #MA2896 3.5% 02-01-2047 BEO		2017-01-31	2019-05-01
1367.13 FNMA POOL #MA2896 3.5% 02-01-2047 BEO		2017-01-31	2019-06-03
1411.87 FNMA POOL #MA2896 3.5% 02-01-2047 BEO		2017-01-31	2019-07-01
1746.72 FNMA POOL #MA2896 3.5% 02-01-2047 BEO		2017-01-31	2019-08-01
1835.25 FNMA POOL #MA2896 3.5% 02-01-2047 BEO		2017-01-31	2019-09-03
2011.12 FNMA POOL #MA2896 3.5% 02-01-2047 BEO		2017-01-31	2019-10-01
1967.72 FNMA POOL #MA2896 3.5% 02-01-2047 BEO		2017-01-31	2019-11-01
1969.38 FNMA POOL #MA2896 3.5% 02-01-2047 BEO		2017-01-31	2019-12-02

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,093		1,093	
1,081		1,081	
1,136		1,136	
1,367		1,367	
1,412		1,412	
1,747		1,747	
1,835		1,835	
2,011		2,011	
1,968		1,968	
1,969		1,969	

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
614.84 FNMA POOL #MA3120 3.5% 09-01-2047 BEO		2017-10-18	2019-01-02
661.45 FNMA POOL #MA3120 3.5% 09-01-2047 BEO		2017-10-18	2019-02-01
621.12 FNMA POOL #MA3120 3.5% 09-01-2047 BEO		2017-10-18	2019-03-01
835.2 FNMA POOL #MA3120 3.5% 09-01-2047 BEO		2017-10-18	2019-04-01
998.58 FNMA POOL #MA3120 3.5% 09-01-2047 BEO		2017-10-18	2019-05-01
1077.38 FNMA POOL #MA3120 3.5% 09-01-2047 BEO		2017-10-18	2019-06-03
1269.46 FNMA POOL #MA3120 3.5% 09-01-2047 BEO		2017-10-18	2019-07-01
1880.38 FNMA POOL #MA3120 3.5% 09-01-2047 BEO		2017-10-18	2019-08-01
1854.25 FNMA POOL #MA3120 3.5% 09-01-2047 BEO		2017-10-18	2019-09-03
2396.6 FNMA POOL #MA3120 3.5% 09-01-2047 BEO		2017-10-18	2019-10-01

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
615		636	-21
661		661	
621		621	
835		835	
999		999	
1,077		1,077	
1,269		1,269	
1,880		1,880	
1,854		1,854	
2,397		2,397	

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
2767.8 FNMA POOL #MA3120 3.5% 09-01-2047 BEO		2017-10-18	2019-11-01
1964.97 FNMA POOL #MA3120 3.5% 09-01-2047 BEO		2017-10-18	2019-12-02
480.12 FNMA POOL #MA3149 4% 10-01-2047 BEO		2018-01-18	2019-01-02
410.84 FNMA POOL #MA3149 4% 10-01-2047 BEO		2018-01-18	2019-02-01
483.07 FNMA POOL #MA3149 4% 10-01-2047 BEO		2018-01-18	2019-03-01
668.02 FNMA POOL #MA3149 4% 10-01-2047 BEO		2018-01-18	2019-04-01
914.31 FNMA POOL #MA3149 4% 10-01-2047 BEO		2018-01-18	2019-05-01
918.24 FNMA POOL #MA3149 4% 10-01-2047 BEO		2018-01-18	2019-06-03
933.44 FNMA POOL #MA3149 4% 10-01-2047 BEO		2018-01-18	2019-07-01
1173.57 FNMA POOL #MA3149 4% 10-01-2047 BEO		2018-01-18	2019-08-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,768		2,768	
1,965		1,965	
480		499	-19
411		411	
483		483	
668		668	
914		914	
918		918	
933		933	
1,174		1,174	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
50589.82 FNMA POOL #MA3149 4% 10-01-2047 BEO		2018-01-18	2019-08-14
108.73 FNMA POOL #MA3306 4% 03-01-2048 BEO		2018-06-19	2019-01-02
90.02 FNMA POOL #MA3306 4% 03-01-2048 BEO		2018-06-19	2019-02-01
114.18 FNMA POOL #MA3306 4% 03-01-2048 BEO		2018-06-19	2019-03-01
121.43 FNMA POOL #MA3306 4% 03-01-2048 BEO		2018-06-19	2019-04-01
183.86 FNMA POOL #MA3306 4% 03-01-2048 BEO		2018-06-19	2019-05-01
207.62 FNMA POOL #MA3306 4% 03-01-2048 BEO		2018-06-19	2019-06-03
211.46 FNMA POOL #MA3306 4% 03-01-2048 BEO		2018-06-19	2019-07-01
252.22 FNMA POOL #MA3306 4% 03-01-2048 BEO		2018-06-19	2019-08-01
12715.6 FNMA POOL #MA3306 4% 03-01-2048 BEO		2018-06-19	2019-08-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
52,756		52,825	-69
109		111	-2
90		90	
114		114	
121		121	
184		184	
208		208	
211		211	
252		252	
13,286		12,985	301

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) (l)
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-69
			-2
			301

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
226.92 FNMA POOL #MA3332 3.5% 04-01-2048 BEO		2018-12-19	2019-01-02
246.53 FNMA POOL #MA3332 3.5% 04-01-2048 BEO		2018-12-19	2019-02-01
261.65 FNMA POOL #MA3332 3.5% 04-01-2048 BEO		2018-12-19	2019-03-01
392.69 FNMA POOL #MA3332 3.5% 04-01-2048 BEO		2018-12-19	2019-04-01
437.3 FNMA POOL #MA3332 3.5% 04-01-2048 BEO		2018-12-19	2019-05-01
473.55 FNMA POOL #MA3332 3.5% 04-01-2048 BEO		2018-12-19	2019-06-03
420.32 FNMA POOL #MA3332 3.5% 04-01-2048 BEO		2018-12-19	2019-07-01
764.26 FNMA POOL #MA3332 3.5% 04-01-2048 BEO		2018-12-19	2019-08-01
706.52 FNMA POOL #MA3332 3.5% 04-01-2048 BEO		2018-12-19	2019-09-03
1015.67 FNMA POOL #MA3332 3.5% 04-01-2048 BEO		2018-12-19	2019-10-01

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
227		226	1
247		247	
262		262	
393		393	
437		437	
474		474	
420		420	
764		764	
707		707	
1,016		1,016	

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1214.57 FNMA POOL #MA3332 3.5% 04-01-2048 BEO		2018-12-19	2019-11-01
816.39 FNMA POOL #MA3332 3.5% 04-01-2048 BEO		2018-12-19	2019-12-02
501.43 FNMA POOL #MA3384 4.0% DUE 01 JUN 2048		2018-07-09	2019-01-02
540.53 FNMA POOL #MA3384 4.0% DUE 01 JUN 2048		2018-07-09	2019-02-01
729.22 FNMA POOL #MA3384 4.0% DUE 01 JUN 2048		2018-07-09	2019-03-01
793.45 FNMA POOL #MA3384 4.0% DUE 01 JUN 2048		2018-07-09	2019-04-01
1449.37 FNMA POOL #MA3384 4.0% DUE 01 JUN 2048		2018-07-09	2019-05-01
1517.19 FNMA POOL #MA3384 4.0% DUE 01 JUN 2048		2018-07-09	2019-06-03
1441.33 FNMA POOL #MA3384 4.0% DUE 01 JUN 2048		2018-07-09	2019-07-01
2678.09 FNMA POOL #MA3384 4.0% DUE 01 JUN 2048		2018-07-09	2019-08-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,215		1,215	
816		816	
501		511	-10
541		541	
729		729	
793		793	
1,449		1,449	
1,517		1,517	
1,441		1,441	
2,678		2,678	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
77062.16 FNMA POOL #MA3384 4.0% DUE 01 JUN 2048		2018-07-09	2019-08-14
756.55 FNMA POOL #MA3442 3.5% 08-01-2048 BEO		2019-04-25	2019-05-01
749.59 FNMA POOL #MA3442 3.5% 08-01-2048 BEO		2019-04-25	2019-06-03
617.02 FNMA POOL #MA3442 3.5% 08-01-2048 BEO		2019-04-25	2019-07-01
1397.81 FNMA POOL #MA3442 3.5% 08-01-2048 BEO		2019-04-25	2019-08-01
1271.16 FNMA POOL #MA3442 3.5% 08-01-2048 BEO		2019-04-25	2019-09-03
2105.21 FNMA POOL #MA3442 3.5% 08-01-2048 BEO		2019-04-25	2019-10-01
45941.48 FNMA POOL #MA3442 3.5% 08-01-2048 BEO		2019-04-25	2019-10-16
1118.1 FNMA POOL #MA3797 2.5% 10-01-2034 BEO		2019-10-17	2019-11-01
937.64 FNMA POOL #MA3797 2.5% 10-01-2034 BEO		2019-10-17	2019-12-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
80,325		78,773	1,552
757		757	
750		750	
617		617	
1,398		1,398	
1,271		1,271	
2,105		2,105	
47,086		46,445	641
1,118		1,128	-10
938		946	-8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,552
			641
			-10
			-8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
32.38 FNMA POOL #MA3827 2.5% 11-01-2034 BEO		2019-10-30	2019-11-01
226.63 FNMA POOL #MA3827 2.5% 11-01-2034 BEO		2019-10-30	2019-11-01
606.19 FNMA POOL #MA3827 2.5% 11-01-2034 BEO		2019-11-21	2019-12-02
14000. FIBRIA OVERSEAS 4% DUE 01-14-2025		2018-08-03	2019-02-20
6851. MFC FLEXSHARES TR IBOXX 5 YR TARGET DURATION TIPS INDEX FD		2017-06-09	2019-04-23
4913. MFC FLEXSHARES TR IBOXX 5 YR TARGET DURATION TIPS INDEX FD		2017-06-09	2019-11-06
3870. MFC FLEXSHARES TR READY ACCESS VAR INCOME FD		2016-10-14	2019-06-26
2028.84 FORD CR AUTO OWNER 1.33% DUE 10-15-2020		2017-02-24	2019-01-15
612.88 FORD CR AUTO OWNER 1.33% DUE 10-15-2020		2018-01-16	2019-01-15
2647.01 FORD CR AUTO OWNER 1.33% DUE 10-15-2020		2018-01-16	2019-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
32		33	-1
227		229	-2
606		612	-6
13,685		13,338	347
170,021		173,661	-3,640
125,267		123,689	1,578
293,030		292,766	264
2,029		2,022	7
613		609	4
2,647		2,637	10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-1
			-2
			-6
			347
			-3,640
			1,578
			264
			7
			4
			10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
2327.45 FORD CR AUTO OWNER 1.33% DUE 10-15-2020		2018-01-16	2019-03-15
2453.9 FORD CR AUTO OWNER 1.33% DUE 10-15-2020		2018-01-16	2019-04-15
2326.01 FORD CR AUTO OWNER 1.33% DUE 10-15-2020		2018-01-16	2019-05-15
2313.59 FORD CR AUTO OWNER 1.33% DUE 10-15-2020		2018-01-16	2019-06-17
2010.43 FORD CR AUTO OWNER 1.33% DUE 10-15-2020		2018-01-16	2019-07-15
1389.79 FORD CR AUTO OWNER 1.33% DUE 10-15-2020		2018-01-16	2019-08-15
30161.62 MFO FRKLN INVS SECS TR FLTG RATE DAILY ACCESS FD ADVISOR		2017-02-09	2019-04-17
34902.75 MFO FRKLN INVS SECS TR FLTG RATE DAILY ACCESS FD ADVISOR		2019-02-01	2019-04-17
15000. GEN MTRS FINL CO 3.15% DUE 06-30-2022 06-30-2022		2017-12-27	2019-02-06
2000. GOLDMAN SACHS FUTURE EQUITGOLDMAN SACHS 5.95 DUE 01-15-2027		2019-02-07	2019-05-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,327		2,318	9
2,454		2,444	10
2,326		2,317	9
2,314		2,304	10
2,010		2,002	8
1,390		1,384	6
257,580		267,063	-9,483
298,069		300,000	-1,931
14,579		15,025	-446
2,263		2,200	63

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			9
			10
			9
			10
			8
			6
			-9,483
			-1,931
			-446
			63

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
4000. GOLDMAN SACHS FUTURE EQUITGOLDMAN SACHS 5.95 DUE 01-15-2027		2019-02-07	2019-05-03
2000. GOLDMAN SACHS FUTURE EQUITGOLDMAN SACHS 5.95 DUE 01-15-2027		2019-02-07	2019-05-03
2000. GOLDMAN SACHS FUTURE EQUITGOLDMAN SACHS 5.95 DUE 01-15-2027		2019-02-07	2019-05-08
3000. GOLDMAN SACHS FUTURE EQUITGOLDMAN SACHS 5.95 DUE 01-15-2027		2019-02-07	2019-05-14
2000. GOLDMAN SACHS FUTURE EQUITGOLDMAN SACHS 5.95 DUE 01-15-2027		2019-02-07	2019-05-15
10000. GOLDMAN SACHS GROUP INC 3.814% DUE 04-23-2029		2019-01-04	2019-03-08
15000. GOLDMAN SACHS 3.75% DUE 05-22-2025		2016-12-19	2019-02-07
20000. GOLDMAN SACHS 3.75% DUE 05-22-2025		2017-12-27	2019-04-30
5000. WELLTOWER INC 4.125% DUE 04-01-2019 04-01-2019		2018-04-18	2019-03-18
5000. HEALTHCARE TR AMER 2.95% DUE 07-01-2022		2018-03-27	2019-09-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,521		4,400	121
2,261		2,200	61
2,271		2,200	71
3,401		3,300	101
2,270		2,200	70
9,736		9,350	386
14,904		14,947	-43
20,277		20,305	-28
5,000		5,044	-44
5,137		4,926	211

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			121
			61
			71
			101
			70
			386
			-43
			-28
			-44
			211

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
6000. HEWLETT PACKARD ENTERPRISE CO NT STEP UP10-15-2025 12-30-2016		2017-12-27	2019-10-01
15000. HUMANA INC FIXED 3.95% DUE 03-15-2027		2019-02-01	2019-02-22
75000. ILLINOIS ST TOLL HWY AUTH TOLL HIGHWAY REV 5% 12-01-2019 BEO		2016-08-09	2019-02-04
537. INTEL CORP COM		2016-08-09	2019-02-01
10000. JPMORGAN CHASE & CO 3.875 09-10-2024		2019-07-18	2019-10-07
15000. JPMBB COML MTG 4.4196% DUE 11-15-2045 11-15-2045		2018-11-29	2019-02-19
10000. J P MORGAN CHASE 4.5169% DUE 12-15-2046 12-15-2046		2018-11-08	2019-02-19
10000. CMO JPMBB COML MTG SECS TR 2014-C21 CL A-S 3.9965 08-15-2047 RE		2018-12-03	2019-02-19
5000. JEFFERIES GROUP 4.15% DUE 01-23-2030		2018-09-20	2019-04-29
15000. JPMORGAN CHASE & 2.972% DUE 01-15-2023		2017-07-21	2019-04-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,670		6,335	335
14,942		14,893	49
76,925		77,496	-571
26,223		18,795	7,428
10,725		10,503	222
15,699		15,405	294
10,512		10,256	256
10,258		10,004	254
4,648		4,515	133
14,984		15,224	-240

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			335
			49
			-571
			7,428
			222
			294
			256
			254
			133
			-240

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
20000. KIMCO RLTY CORP 2.8% DUE 10-01-2026		2018-10-04	2019-02-22
10000. LIBERTY PPTY LTD 4.375% DUE 02-01-2029		2019-03-05	2019-03-08
50000. LOUISIANA ST UNCLAIMED PPTY SPL REV 5% 09-01-2019 BEO		2016-08-09	2019-09-03
183. LOWES COMPANIES INC		2016-08-09	2019-02-01
100000. MARICOPA CNTY ARIZ UNI SCH DIST NO 48 SCOTTSDALE 2% 07-01-2019		2016-08-09	2019-07-01
125000. MARICOPA CNTY ARIZ UNI SCH DIST NO 80 CHANDLER 4% 07-01-2019 B		2016-08-09	2019-07-01
75000. MARICOPA CNTY ARIZ UN HIGH SCH DIST NO 210 PHOENIX 3% 07-01-201		2016-08-09	2019-07-01
107. MASTERCARD INC CL A		2016-11-11	2019-02-01
300. MICROSOFT CORP COM		2016-08-09	2019-04-18
15000. MOODY'S CORPORATION 2.75% 12-15-2021		2017-04-18	2019-11-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18,521		18,025	496
10,237		10,087	150
50,000		50,000	
17,634		14,926	2,708
100,000		100,000	
125,000		125,000	
75,000		75,000	
22,814		10,651	12,163
36,722		17,478	19,244
15,215		15,094	121

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			496
			150
			2,708
			12,163
			19,244
			121

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
28911.57 MFO NEUBERGER BERMAN EQUITY FDS INTL INSTITUTIONAL FD		2016-08-09	2019-02-01
290000. NEW YORK ST TWY AUTH SECOND GEN HWY & BRDG TR FD 5% 04-01-2020		2016-08-09	2019-02-04
300. NIKE INC CLASS B		2016-08-09	2019-02-01
270. NORFOLK SOUTHN CORP COM		2016-08-09	2019-03-26
767. ORACLE CORPORATION		2017-09-29	2019-02-01
10000. PACIFIC GAS & ELECTRIC 3.75% 02-15-2024 02-15-2024 REG		2018-11-08	2019-01-08
294. PAYCHEX INC		2016-08-09	2019-06-26
109. PHILIP MORRIS INTERNATIONAL		2017-02-28	2019-06-26
120000. PIMA CNTY ARIZ STR & HWY REV 4% 07-01-2019 BEO		2016-08-09	2019-07-01
9000. RENAISSANCERE FIN 3.45% DUE 07-01-2027		2018-04-30	2019-03-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
340,000		318,316	21,684
301,058		303,984	-2,926
24,369		16,790	7,579
48,911		24,169	24,742
38,894		32,195	6,699
8,275		9,710	-1,435
24,693		17,452	7,241
8,597		11,939	-3,342
120,000		120,000	
8,753		8,535	218

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			21,684
			-2,926
			7,579
			24,742
			6,699
			-1,435
			7,241
			-3,342
			218

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
6000. RENAISSANCERE FIN 3.45% DUE 07-01-2027		2018-04-30	2019-03-13
15000. RYDER SYS INC MEDIUM TERM NTS BOOK ENTRY2.55% DUE 06-01-2019/02		2016-12-19	2019-06-03
10000. SABINE PASS FIXED 4.2% DUE 03-15-2028		2017-07-18	2019-04-30
15000. SABINE PASS LIQUEFACTION LLC 5.875% 06-30-2026		2017-05-16	2019-04-24
15000. SENIOR HOUSING PROPRTIE 3.25 05-01-2019		2018-07-27	2019-05-01
15000. SMUCKER J M CO 2.2% DUE 12-06-2019		2018-04-03	2019-09-11
559. STARBUCKS CORP		2016-11-11	2019-06-26
15000. SUNTRUST BANK INC 2.25% DUE 01-31-2020		2017-01-25	2019-08-07
15000. SYNCHRONY FINL 3.95% DUE 12-01-2027		2019-03-22	2019-09-27
15000. WARNER MEDIA LLC 4.05% DUE 12-15-2023		2018-07-30	2019-06-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,835		5,690	145
15,000		15,098	-98
10,127			10,127
16,658		16,931	-273
15,000		15,026	-26
14,996		14,976	20
47,100		30,591	16,509
14,993		14,994	-1
15,331		13,830	1,501
15,015		15,071	-56

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			145
			-98
			10,127
			-273
			-26
			20
			16,509
			-1
			1,501
			-56

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
27000. TOYOTA AUTO 2.96% DUE 09-15-2022		2018-09-25	2019-11-25
10000. UNITED STATES TREAS NTS 1.375% DUE 08-31-2023 REG		2019-05-06	2019-07-16
25000. UNITED STATES TREAS NTS WI TREASURY NOTE.875% DUE 09-15-2019 RE		2018-12-27	2019-01-16
10000. UNITED STATES TREAS 2.75% 02-15-2028 02-15-2028 REG		2018-06-14	2019-01-04
16000. UNITED STATES TREAS 2.75% 02-15-2028 02-15-2028 REG		2018-07-10	2019-01-16
5000. UNITED STATES TREAS 2.75% 02-15-2028 02-15-2028 REG		2018-07-10	2019-01-22
9000. UNITED STATES TREAS 2.75% 02-15-2028 02-15-2028 REG		2018-08-13	2019-01-23
17000. UNITED STATES TREAS NTS DTD 03/31/2018 2.5% DUE 03-31-2023 REG		2018-05-04	2019-01-18
15000. UNITED STATES TREAS NTS DTD 03/31/2018 2.5% DUE 03-31-2023 REG		2018-05-22	2019-01-24
25000. UNITED STATES TREAS NTS DTD 03/31/2018 2.5% DUE 03-31-2023 REG		2018-05-22	2019-02-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
27,278		26,994	284
9,807		9,647	160
24,723		24,721	2
10,083		9,837	246
16,041		15,847	194
5,013		4,949	64
8,998		8,908	90
16,922		16,809	113
14,977		14,804	173
24,982		24,622	360

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			284
			160
			2
			246
			194
			64
			90
			113
			173
			360

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
40000. UNITED STATES TREAS NTS DTD 03/31/2018 2.5% DUE 03-31-2023 REG		2019-02-08	2019-02-13
5000. UNITED STATES TREAS NTS 2.875% 08-15-2028		2018-09-26	2019-01-23
10000. UNITED STATES TREAS NTS 2.875% 08-15-2028		2018-09-26	2019-01-24
10000. UNITED STATES TREAS NTS 2.875% 08-15-2028		2018-09-26	2019-01-31
10000. UNITED STATES TREAS NTS 2.875% 08-15-2028		2018-09-26	2019-02-01
5000. UNITED STATES TREAS NTS 2.875% 08-15-2028		2018-09-26	2019-02-05
5000. UNITED STATES TREAS NTS 2.875% 08-15-2028		2018-09-26	2019-02-05
15000. UNITED STATES TREAS NTS 2.875% 08-15-2028		2018-09-26	2019-02-05
15000. UNITED STATES TREAS NTS 2.875% 08-15-2028		2018-09-26	2019-02-20
4000. UNITED STATES TREAS NTS 2.875% 08-15-2028		2018-09-26	2019-02-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
39,951		39,675	276
5,047		4,925	122
10,132		9,850	282
10,213		9,850	363
10,162		9,850	312
5,079		4,925	154
5,075		4,925	150
15,231		14,775	456
15,279		14,775	504
4,076		3,940	136

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			276
			122
			282
			363
			312
			154
			150
			456
			504
			136

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
5000. UNITED STATES TREAS NTS 2.875% 08-15-2028		2018-09-26	2019-03-05
10000. UNITED STATES TREAS NTS 2.875% 08-15-2028		2018-09-26	2019-04-08
15000. UNITED STATES TREAS NTS 2.875% 08-15-2028		2018-09-27	2019-04-25
10000. UNITED STATES TREAS NTS 2.875% 08-15-2028		2018-09-27	2019-06-11
5000. UNITED STATES TREAS NTS 2.875% 08-15-2028		2018-09-27	2019-07-03
15000. UNITED STATES TREAS NTS 2.875% 08-15-2028		2018-09-27	2019-07-17
30000. UNITED STATES TREAS NTS 2.875% 08-15-2028		2018-12-03	2019-08-22
15000. UNITED STATES TREAS NTS 2.875% 08-15-2028		2018-12-03	2019-08-28
20000. UNITED STATES TREAS NTS 2.875% 08-15-2028		2018-12-19	2019-09-05
30000. UNITED STATES TREAS NTS 2.875% 08-15-2028		2018-12-19	2019-09-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,057		4,925	132
10,312		9,850	462
15,446		14,770	676
10,612		9,846	766
5,396		4,923	473
16,041		14,769	1,272
33,278		29,440	3,838
16,769		14,813	1,956
22,195		20,010	2,185
32,430		30,225	2,205

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			132
			462
			676
			766
			473
			1,272
			3,838
			1,956
			2,185
			2,205

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
29000. UNITED STATES TREAS NTS 2.875% 08-15-2028		2019-02-22	2019-09-16
28000. UNITED STATES TREAS NTS 2.875% 08-15-2028		2019-03-08	2019-09-17
20000. US TREASURY N/B DTD 2.875% DUE 09-30-2023 REG		2018-10-26	2019-01-17
6000. US TREASURY N/B DTD 2.875% DUE 09-30-2023 REG		2018-10-26	2019-02-20
25000. US TREASURY N/B DTD 2.875% DUE 09-30-2023 REG		2018-10-26	2019-02-28
50000. US TREASURY N/B DTD 2.875% DUE 09-30-2023 REG		2018-12-03	2019-03-20
65000. US TREASURY N/B DTD 2.875% DUE 09-30-2023 REG		2018-12-03	2019-04-04
5000. US TREASURY N/B DTD 2.875% DUE 09-30-2023 REG		2018-12-03	2019-05-01
10000. US TREASURY N/B DTD 2.875% DUE 09-30-2023 REG		2018-12-03	2019-07-18
54000. US TREASURY N/B DTD 2.875% DUE 09-30-2023 REG		2019-02-06	2019-09-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
31,493		29,461	2,032
30,470		28,507	1,963
20,260		19,974	286
6,106		5,992	114
25,363		24,968	395
51,125		50,009	1,116
66,544		65,021	1,523
5,129		5,002	127
10,421		10,003	418
56,485		54,176	2,309

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2,032
			1,963
			286
			114
			395
			1,116
			1,523
			127
			418
			2,309

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
30000. UNITED STATES TREAS NTS 2.625% DUE 02-15-2029 REG		2019-03-08	2019-10-01
10000. UNITED STATES TREAS NTS 2.625% DUE 02-15-2029 REG		2019-03-08	2019-10-21
80000. UNITED STATES TREAS NTS 2.625% DUE 02-15-2029 REG		2019-06-25	2019-10-25
55000. UNITED STATES TREAS NTS 2.25% DUE 04-15-2022		2019-09-16	2019-10-01
18000. UNITED STATES TREAS NTS 2.125 DUE 01-31-2021 REG		2018-05-24	2019-06-25
40000. UNITED STATES TREAS NTS DTD 01/31/2015 1.25% DUE 01-31-2020 REG		2016-12-19	2019-02-01
25000. UTD STATES TREAS 1.875% DUE 08-31-2022		2017-08-03	2019-02-01
25000. UNITED STATES TREAS NTS DTD 658 1.375% DUE 08-31-2020 REG		2017-10-10	2019-04-23
35000. UNITED STATES TREAS NTS DTD 05/31/2016 1.375% DUE 05-31-2021 RE		2019-08-14	2019-09-06
65000. UNITED STATES TREAS NTS DTD 05/31/2016 1.375% DUE 05-31-2021 RE		2019-08-14	2019-09-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
32,538		29,992	2,546
10,724		9,987	737
85,734		80,901	4,833
55,943		55,698	245
18,093		17,860	233
39,494		39,591	-97
24,469		24,818	-349
24,661		24,934	-273
34,867		34,862	5
64,507		64,734	-227

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2,546
			737
			4,833
			245
			233
			-97
			-349
			-273
			5
			-227

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
27000. UTD STATES TREAS 1% DUE 11-30-2019		2018-12-06	2019-01-16
80000. UTD STATES TREAS 1% DUE 11-30-2019		2018-12-06	2019-07-08
28000. UTD STATES TREAS 1% DUE 11-30-2019		2018-12-06	2019-08-08
5000. UTD STATES TREAS 1% DUE 11-30-2019		2019-02-22	2019-09-16
25000. UNITED STATES OF AMER TREAS NOTES 1.875%01-31-2022 REG		2017-05-25	2019-02-01
4000. UNITED STATES TREAS NTS 2.75% DUE 11-15-2023 REG		2018-11-16	2019-04-15
10000. UNITED STATES TREAS NTS 2.75% DUE 11-15-2023 REG		2018-11-16	2019-05-22
11000. UNITED STATES TREAS NTS 2.75% DUE 11-15-2023 REG		2018-11-16	2019-08-21
6000. UNITED STATES TREAS NTS 2.75% DUE 11-15-2023 REG		2018-11-27	2019-08-26
240000. UNITED STATES TREAS NTS 2.75% DUE 11-15-2023 REG		2019-08-14	2019-09-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
26,634		26,600	34
79,631		79,463	168
27,907		27,853	54
4,990		4,985	5
24,554		25,062	-508
4,064		3,972	92
10,236		9,929	307
11,577		10,922	655
6,320		5,958	362
250,124		244,570	5,554

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			34
			168
			54
			5
			-508
			92
			307
			655
			362
			5,554

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
55000. UNITED STATES TREAS NTS DTD 06/30/2015 1.625% DUE 06-30-2020 RE		2019-05-31	2019-06-25
26000. UNITED STATES TREAS NTS DTD 07/31/2015 2% DUE 07-31-2022 REG		2019-10-01	2019-10-16
16000. UNITED STATES TREAS NTS DTD 07/31/2015 2% DUE 07-31-2022 REG		2019-09-16	2019-10-16
12000. UNITED STATES TREAS NTS DTD 07/31/2015 2% DUE 07-31-2022 REG		2019-10-01	2019-10-16
5000. UNITED STATES TREAS NTS DTD 05/31/2017 2.0% 05-31-2024		2019-07-08	2019-08-30
75000. UNITED STATES TREAS NTS DTD 05/31/2017 2.0% 05-31-2024		2019-07-08	2019-10-18
14000. UNITED STATES TREAS NTS DTD 05/31/2017 2.0% 05-31-2024		2019-08-07	2019-11-21
7135.65 MFO VANGUARD INFLATION PROTECTED SECURITIES FUND ADMIRAL		2016-08-09	2019-04-23
4794.78 MFO VANGUARD INFLATION PROTECTED SECURITIES FUND ADMIRAL		2016-08-09	2019-11-06
6000. VERIZON COMMUNICATIONS DUE 05-15-2025 BEO		2018-08-03	2019-07-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
54,815		54,673	142
26,313		26,215	98
16,190		16,217	-27
12,140		12,160	-20
5,131		5,039	92
76,412		75,592	820
14,264		14,346	-82
179,890		189,709	-9,819
125,000		127,475	-2,475
6,097		6,094	3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			142
			98
			-27
			-20
			92
			820
			-82
			-9,819
			-2,475
			3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
150. VISA INC CLASS A SHARES		2016-08-09	2019-04-18
5000. WALGREENS BOOTS 3.45% DUE 06-01-2026		2019-01-16	2019-03-11
11000. WALGREENS BOOTS 3.45% DUE 06-01-2026		2019-01-16	2019-03-11
5000. WALGREENS BOOTS 3.45% DUE 06-01-2026		2019-01-16	2019-03-11
15000. WELLS FARGO & COMPANY 4.6 MTN TRANCHE # SR 00116 DUE 04-01-2021		2018-04-30	2019-09-11
15000. WELLS FARGO & CO 2.6% 07-22-2020		2018-02-20	2019-09-11
10000. WELLTOWER INC 3.625% DUE 03-15-2024		2019-02-13	2019-04-30
865.72 WORLD OMNI AUTO RECEIVABLES TR SER 18-A CL 3 BNDS 2.5% 04-17		2018-11-15	2019-12-16
236. ACCENTURE PLC SHS CL A NEW		2016-11-11	2019-02-01
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
23,975		12,032	11,943
4,797		4,724	73
10,549		10,393	156
4,795		4,724	71
15,539		15,831	-292
15,069		14,958	111
10,208		9,966	242
866		854	12
36,473		27,302	9,171
			93,050

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			11,943
			73
			156
			71
			-292
			111
			242
			12
			9,171

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PAYSON UNIFIED SCHOOL DISTRICT 902 WEST MAIN STREET PAYSON, AZ 85541	NONE	PUBLIC CHARITY	2019 GIFT STEM PROGRAMS	239,973
EASTERN ARIZONA COLLEGE PAYSON CAMPUS 615 NORTH STADIUM AVE THATCHER, AZ 85552	NONE	PUBLIC CHARITY	2019 GIFT STEM PROGRAM	157,332
SOCIETY FOR SCIENCE & THE PUBLIC 1220 L STREET NW SUITE 300 WASHINGTON, DC 20005	NONE	PUBLIC CHARITY	2019 GIFT	50,000
Total ▶ 3a				2,050,475

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SPARKSHOP965 WEST CHICAGO AVE CHICAGO, IL 60642	NONE	PUBLIC CHARITY	STEM PROGRAM LOW INCOME	2,000
LOWELL OBSERVATORY NATIVE AMERICAN 1400 WEST MARS HILL RD FLAGSTAFF, AZ 86001	NONE	PUBLIC CHARITY	ASTRONOMY OUTREACH PROGRAM	1,293,255
ARIZONA COMMUNITY FOUNDATION 2201 EAST CAMELBACK ROAD SUITE 405B PHOENIX, AZ 85016	NONE	PUBLIC CHARITY	2019 GIFT	42,915
Total ► 3a				2,050,475

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ARIZONA SCIENCE CENTER 600 EAST WASHINGTON STREET PHOENIX, AZ 85004	NONE	PUBLIC CHARITY	2019 GIFT	245,000
SOUTHERN ARIZONA RESEARCH SCIENCE & ENGINEERING FOUNDATION 4574 EAST BROADWAY TUCSON, AZ 85711	NONE	PUBLIC CHARITY	TEACHING UNDER REPRESENTED	20,000
Total ▶ 3a				2,050,475

TY 2019 Accounting Fees Schedule**Name:** CARIS CHARITABLE TRUST II DTD 09162004**EIN:** 82-3380217

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREP FEES	2,500	1,250		1,250

TY 2019 Contractor Compensation Explanation**Name:** CARIS CHARITABLE TRUST II DTD 09162004**EIN:** 82-3380217

Contractor	Explanation
CATHERINE ANN CARIS	TRUST PROTECTOR FOR FAMILY FOUNDATION
SHERRY COWAN	TRUST PROTECTOR FOR FAMILY FOUNDATION

TY 2019 Investments Corporate Stock Schedule**Name:** CARIS CHARITABLE TRUST II DTD 09162004**EIN:** 82-3380217**Investments Corporation Stock Schedule**

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED STATEMENT	31,583,552	34,438,500

TY 2019 Investments - Other Schedule

Name: CARIS CHARITABLE TRUST II DTD 09162004

EIN: 82-3380217

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
SEE ATTACHED STATEMENT	AT COST	1,160,575	1,230,009

TY 2019 Legal Fees Schedule**Name:** CARIS CHARITABLE TRUST II DTD 09162004**EIN:** 82-3380217

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
SNELL & WILMER	7,626			7,626
DOLASINSKI, MILLER, MARIN P.C.	25,419			25,419
JACKSON WHITE P.C.	4,845			4,845

TY 2019 Other Decreases Schedule

Name: CARIS CHARITABLE TRUST II DTD 09162004

EIN: 82-3380217

Description	Amount
TAX COST ADJUSTMENT	217,683

TY 2019 Other Expenses Schedule**Name:** CARIS CHARITABLE TRUST II DTD 09162004**EIN:** 82-3380217**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ILLINOIS ATTORNEY GENERAL	15	0		15

TY 2019 Other Income Schedule

Name: CARIS CHARITABLE TRUST II DTD 09162004

EIN: 82-3380217

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
DEFERRED INCOME	183,156	0	

TY 2019 Other Professional Fees Schedule**Name:** CARIS CHARITABLE TRUST II DTD 09162004**EIN:** 82-3380217

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CATHERINE ANN CARIS-TRUST PRO	90,038			90,038
SHERRY COWAN-TRUST PROTECTOR	90,038			90,038

TY 2019 Taxes Schedule**Name:** CARIS CHARITABLE TRUST II DTD 09162004**EIN:** 82-3380217

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	14,753	14,753		0