

Return of Private Foundation

Department of the Treasury Internal Revenue Service

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Open to Public Inspection

For calendar year 2019 or tax year beginning

, and ending

Name of foundation: REARDON FAMILY CHARITABLE FOUNDATION C/O DAY PITNEY LLP. A Employer identification number: 82-3347117. B Telephone number: 8603135729. G Check all that apply: Initial return, Final return, Address change. H Check type of organization: Section 501(c)(3) exempt private foundation. J Accounting method: Cash. Total assets: \$4,009,795.

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12), Operating and Administrative Expenses (13-26), and Summary (27-29).

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REARDON FAMILY CHARITABLE FOUNDATION

Form 990-PF (2019)

C/O DAY PITNEY LLP

82-3347117

Page 2

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash - non-interest-bearing		3,000.	287.	287.	
	2	Savings and temporary cash investments		27,274.	90,105.	90,105.	
	3	Accounts receivable					
		Less: allowance for doubtful accounts					
	4	Pledges receivable					
		Less: allowance for doubtful accounts					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons					
	7	Other notes and loans receivable					
		Less: allowance for doubtful accounts					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments - U.S. and state government obligations	STMT 5		143,434.	298,878.	305,942.
	b	Investments - corporate stock	STMT 6		854,635.	1,611,412.	1,742,851.
	c	Investments - corporate bonds					
	11	Investments - land, buildings, and equipment basis					
	Less accumulated depreciation						
12	Investments - mortgage loans						
13	Investments - other	STMT 7		896,163.	1,836,157.	1,870,610.	
14	Land, buildings, and equipment, basis						
	Less accumulated depreciation						
15	Other assets (describe)						
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)			1,924,506.	3,836,839.	4,009,795.	
Liabilities	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable					
	22	Other liabilities (describe)					
	23	Total liabilities (add lines 17 through 22)			0.	0.	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 24, 25, 29, and 30						
	24	Net assets without donor restrictions					
	25	Net assets with donor restrictions					
	Foundations that do not follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 26 through 30						
	26	Capital stock, trust principal, or current funds			0.	0.	
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			0.	0.	
	28	Retained earnings, accumulated income, endowment, or other funds			1,924,506.	3,836,839.	
	29	Total net assets or fund balances			1,924,506.	3,836,839.	
30	Total liabilities and net assets/fund balances			1,924,506.	3,836,839.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	1,924,506.
2	Enter amount from Part I, line 27a	2	1,912,333.
3	Other increases not included in line 2 (itemize)	3	0.
4	Add lines 1, 2, and 3	4	3,836,839.
5	Decreases not included in line 2 (itemize)	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	3,836,839.

Form 990-PF (2019)

REARDON FAMILY CHARITABLE FOUNDATION

Form 990-PF (2019)

C/O DAY PITNEY LLP

82-3347117 Page 3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr.)	(d) Date sold (mo, day, yr.)
1a			
b	SEE ATTACHED STATEMENT		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e	470,431.	497,546.	-27,115.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			-27,115.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-27,115.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	64,670.	1,908,310.	.033889
2017	0.	1,984,311.	.000000
2016			
2015			
2014			

2 Total of line 1, column (d)	2	.033889
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	.016945
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	2,079,200.
5 Multiply line 4 by line 3	5	35,232.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	472.
7 Add lines 5 and 6	7	35,704.
8 Enter qualifying distributions from Part XII, line 4	8	107,778.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a MORGAN STANLEY SHORT TERM COVERED SEE ATTACHED	P		
b MORGAN STANLEY SHORT TERM NONCOVERED SEE ATTACHED	P		
c MORGAN STANLEY LONG TERM COVERED SEE ATTACHED	P		
d MORGAN STANLEY LONG TERM NONCOVERED SEE ATTACHED	P		
e MORGAN STANLEY 2018 ADJUSTMENT FOR 2019 SALES	P		
f MORGAN STANLEY 2019 ADJUSTMENT FOR 2020 SALES	P		
g			
h			
i			
j			
k			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 84,340.		89,220.	-4,880.
b 5,547.		5,752.	-205.
c 371,616.		393,306.	-21,690.
d 11,345.		11,767.	-422.
e 398.		418.	-20.
f -2,815.		-2,917.	102.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	
a			-4,880.
b			-205.
c			-21,690.
d			-422.
e			-20.
f			102.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-27,115.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

REARDON FAMILY CHARITABLE FOUNDATION

3

Form 990-PF (2019)

C/O DAY PITNEY LLP

82-3347117

Page 4

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	472.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	0.
3	Add lines 1 and 2	3	472.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0.
5	Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-	5	472.
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	2,170.
b	Exempt foreign organizations - tax withheld at source	6b	0.
c	Tax paid with application for extension of time to file (Form 8868)	6c	0.
d	Backup withholding erroneously withheld	6d	0.
7	Total credits and payments. Add lines 6a through 6d	7	2,170.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,698.
11	Enter the amount of line 10 to be Credited to 2020 estimated tax <input checked="" type="checkbox"/> 1,698. Refunded <input checked="" type="checkbox"/>	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		
5		X
6		X
7	X	
8a		
8b	X	
9		X
10		X

N/A

2

Form 990-PF (2019)

REARDON FAMILY CHARITABLE FOUNDATION

Form 990-PF (2019)

C/O DAY PITNEY LLP

82-3347117

Page 5

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► EDWARD F. KRZANOWSKI C/O DAY PITNEY Telephone no. ► (860) 313-5729 Located at ► 75 ISHAM ROAD, SUITE 300, WEST HARTFORD, CT ZIP+4 ► 06107		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A		2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.) N/A		3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		X

Form 990-PF (2019)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions Yes No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions Yes No
 Organizations relying on a current notice regarding disaster assistance, check here N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d) N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870. Yes No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No
 N/A

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? Yes No

	Yes	No
5b		
6b		X
7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
THOMAS E. REARDON C/O DAY PITNEY LLP 75 ISHAM RD #300 WEST HARTFORD, CT 06107	TRUSTEE 0.50	0.	0.	0.
THOMAS REARDON C/O DAY PITNEY LLP 75 ISHAM RD #300 WEST HARTFORD, CT 06107	TRUSTEE 0.50	0.	0.	0.
CHRISTINA B. BELDEN C/O DAY PITNEY LLP 75 ISHAM RD #300 WEST HARTFORD, CT 06107	TRUSTEE 0.50	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
3 All other program-related investments. See instructions	

Total. Add lines 1 through 3 0.

REARDON FAMILY CHARITABLE FOUNDATION

Form 990-PF (2019)

C/O DAY PITNEY LLP

82-3347117 Page 8

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,067,608.
b	Average of monthly cash balances	1b	43,255.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,110,863.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,110,863.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	31,663.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,079,200.
6	Minimum investment return. Enter 5% of line 5	6	103,960.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	103,960.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	472.
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	472.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	103,488.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	103,488.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	103,488.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	107,778.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	107,778.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	472.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	107,306.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Form 990-PF (2019)

REARDON FAMILY CHARITABLE FOUNDATION

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				103,488.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			30,086.	
b Total for prior years.		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 107,778.				
a Applied to 2018, but not more than line 2a			30,086.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				77,692.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018 Subtract line 4a from line 2a. Taxable amount - see instr			0.	
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				25,796.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2020 Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019				

REARDON FAMILY CHARITABLE FOUNDATION

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year				(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

SEE STATEMENT 8

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed.

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

REARDON FAMILY CHARITABLE FOUNDATION

Form 990-PF (2019)

C/O DAY PITNEY LLP

82-3347117 Page 11

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
DENNIS-YARMOUTH REGIONAL SCHOOL DISTRICT 296 STATION AVENUE SOUTH YARMOUTH, MA 02664	NONE	PC	SCHOLARSHIP PROGRAM	100,000.
PAYPAL GIVING FUND 1250 I STREET NW #1202 WASHINGTON, DC 20005	NONE	PC	GENERAL PURPOSE	1,079.
Total				▶ 3a 101,079.
b Approved for future payment				
NONE				
Total				▶ 3b 0.

Form 990-PF (2019)

Schedule B

(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545 0047

2019

Name of the organization

REARDON FAMILY CHARITABLE FOUNDATION
C/O DAY PITNEY LLP

Employer identification number

82-3347117

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust not treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization REARDON FAMILY CHARITABLE FOUNDATION C/O DAY PITNEY LLP	Employer identification number 82-3347117
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DIANA REARDON C/O DAY PITNEY LLP, 75 ISHAM RD, STE 300 WEST HARTFORD, CT 06107	\$ 1,000,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
2	THOMAS REARDON C/O DAY PITNEY LLP, 75 ISHAM RD, STE 300 WEST HARTFORD, CT 06107	\$ 1,000,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization REARDON FAMILY CHARITABLE FOUNDATION C/O DAY PITNEY LLP	Employer identification number 82-3347117
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Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$ _____	
		\$ _____	
		\$ _____	
		\$ _____	
		\$ _____	
		\$ _____	
		\$ _____	
		\$ _____	

Name of organization REARDON FAMILY CHARITABLE FOUNDATION C/O DAY PITNEY LLP	Employer identification number 82-3347117
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MORGAN STANLEY	19.	19.	
MORGAN STANLEY	5,278.	5,278.	
US TREASURY	771.	771.	
TOTAL TO PART I, LINE 3	6,068.	6,068.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MORGAN STANLEY	56,072.	0.	56,072.	56,072.	
TO PART I, LINE 4	56,072.	0.	56,072.	56,072.	

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	6,699.	0.		6,699.
TO FM 990-PF, PG 1, LN 16A	6,699.	0.		6,699.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEE	14,914.	14,914.		0.
PO FORM 990-PF, PG 1, LN 16C	14,914.	14,914.		0.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 5

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
GOVERNMENT SECURITIES (SEE ATTACHED)	X		298,878.	305,942.
TOTAL U.S. GOVERNMENT OBLIGATIONS			298,878.	305,942.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			298,878.	305,942.

FORM 990-PF CORPORATE STOCK STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK (SEE ATTACHED)	1,611,412.	1,742,851.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,611,412.	1,742,851.

FORM 990-PF OTHER INVESTMENTS STATEMENT 7

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
EXCHANGE TRADED FUNDS (SEE ATTACHED)	COST	757,767.	795,432.
MUTUAL FUNDS (SEE ATTACHED)	COST	1,078,390.	1,075,178.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,836,157.	1,870,610.

FORM 990-PF PART XV - LINE 1A LIST OF FOUNDATION MANAGERS STATEMENT 8

NAME OF MANAGER
 THOMAS E. REARDON
 THOMAS REARDON

STOCKS
COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est. Ann Income	Current Yield %
AAC TECHNOLOGIES HLDG INC (AACAY)									
	12/28/17	131 000	\$18 280	\$8 670	\$2,394 65	\$1,135 77	\$(1,258 88) LT		
	5/31/18	62 000	14 938	8 670	926 15	537 54	(388 61) LT		
	4/5/19	86 000	6 450	8 670	554 70	745 62	190 92 ST		
	4/26/19	133 000	6 797	8 670	904 00	1,153 11	249 11 ST		
	11/6/19	424 000	6 659	8 670	2,823 46	3,676 08	852 62 ST		

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est. Ann Income	Current Yield %
Total		836 000			7,602 96	7,248 12	(1,647 49) LT 1,292 65 ST	130 00	1 79
<i>Asset Class Equities</i>									
ACCOR S A SPONS ADR NEW (ACYY)									
	8/28/19	265 000	8 567	9 370	2,270 18	2,483 05	212 87 ST		
	8/29/19	229 000	8 642	9 370	1,979 00	2,145 73	166 73 ST		
	11/6/19	1 000	8 700	9 370	8 70	9 37	0 67 ST		
	11/6/19	568 000	8 734	9 370	4,960 80	5,322 16	361 36 ST		
Total		1,063 000			9,218 68	9,960 31	741 63 ST	178 00	1 79
<i>Asset Class Equities</i>									
ACS ACTIV DE CONSTRU Y SERV (ACSAY)									
	12/28/17	531 000	7 068	7 910	3,752 99	4,200 21	447 22 LT		
	11/6/19	2 000	8 070	7 910	16 14	15 82	(0 32) ST		
	11/6/19	604 000	8 068	7 910	4,873 07	4,777 64	(95 43) ST		
Total		1,137 000			8,642 20	8,993 67	447 22 LT (95 75) ST	—	—
<i>Asset Class Equities</i>									
ADECCO GROUP AG ADR (AHEXY)									
	12/3/19	172 000	30 891	31 635	5,313 29	5,441 22	127 93 ST		
	12/4/19	123 000	30 990	31 635	3,811 81	3,891 11	79 30 ST		
Total		295 000			9,125 10	9,332 33	207 23 ST	220 00	2 36
<i>Asset Class Equities</i>									
ADIDAS AG (ADDYY)									
	1/26/18	27 000	114 767	162 800	3,098 72	4,395 60	1,296 88 LT		
	2/15/18	7 000	110 850	162 800	775 95	1,139 60	363 65 LT		
	3/28/18	7 000	119 600	162 800	837 20	1,139 60	302 40 LT		
	5/9/18	3 000	113 300	162 800	339 90	488 40	148 50 LT		
	3/5/19	4 000	122 465	162 800	489 86	651 20	161 34 ST		
	11/6/19	47 000	147 610	162 800	6,937 67	7,651 60	713 93 ST		
Total		95 000			12,479 30	15,466 00	2,111 43 LT 875 27 ST	127 00	0 82
<i>Asset Class Equities</i>									
ADOBE INC (ADBE)									
	12/28/17	18 000	175 444	329 810	3,157 99	5,936 58	2,778 59 LT		
	11/6/19	19 000	287 912	329 810	5,470 33	6,266 39	796 06 ST		
Total		37 000			8,628 32	12,202 97	2,778 59 LT 796 06 ST	—	—
<i>Asset Class Equities</i>									
ADVANCE AUTO PARTS (AAP)									
	3/18/19	4 000	161 405	160 160	645 62	640 64	(4 98) ST		
	3/19/19	1 000	163 480	160 160	163 48	160 16	(3 32) ST		
	4/10/19	1 000	177 510	160 160	177 51	160 16	(17 35) ST		

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	4/23/19	1,000	172.440	160.160	172.44	160.16	(12.28) ST		
	5/6/19	3,000	161.693	160.160	485.08	480.48	(4.60) ST		
	5/17/19	2,000	158.465	160.160	316.93	320.32	3.39 ST		
	5/22/19	1,000	166.880	160.160	166.88	160.16	(6.72) ST		
	5/23/19	3,000	161.353	160.160	484.06	480.48	(3.58) ST		
	6/24/19	2,000	152.475	160.160	304.95	320.32	15.37 ST		
	8/15/19	1,000	136.940	160.160	136.94	160.16	23.22 ST		
	11/6/19	15,000	168.390	160.160	2,525.85	2,402.40	(123.45) ST		
Total		34,000			5,579.74	5,445.44	(134.30) ST	8.00	0.15
<i>Next Dividend Payable 01/03/20, Asset Class: Equities</i>									
AERCAP HOLDINGS N.V. (AER)									
	12/2/17	11,000	49.046	61.470	539.51	676.17	136.66 LT H		
	12/28/17	69,000	52.900	61.470	3,650.11	4,241.43	591.32 LT		
	4/5/19	6,000	48.707	61.470	292.24	368.82	76.58 ST		
	11/6/19	1,000	60.040	61.470	60.04	61.47	1.43 ST		
	11/6/19	65,000	60.036	61.470	3,902.34	3,995.55	93.21 ST		
Total		152,000			8,444.24	9,343.44	727.98 LT		
							171.22 ST		
<i>Basis Adjustment Due to Wash Sale \$3.73, Asset Class: Equities</i>									
AIA GROUP LTD SPON ADR (AAGV)									
	12/28/17	89,000	33.460	42.090	2,977.94	3,746.01	768.07 LT		
	12/28/17	9,000	33.452	42.090	301.07	378.81	77.74 LT		
	12/28/17	2,000	33.460	42.090	66.92	84.18	17.26 LT		
	12/28/17	5,000	33.452	42.090	167.26	210.45	43.19 LT		
	12/28/17	234,000	33.452	42.090	7,827.79	9,849.06	2,021.27 LT		
	11/5/19	2,000	42.035	42.090	84.07	84.18	0.11 ST		
	11/5/19	54,000	42.036	42.090	2,269.97	2,272.86	2.89 ST		
	11/6/19	242,000	42.325	42.090	10,242.65	10,185.78	(56.87) ST		
	11/6/19	164,000	42.325	42.090	6,941.30	6,902.76	(38.54) ST		
Total		801,000			30,878.97	33,714.09	2,927.53 LT	442.00	1.31
							(92.41) ST		
<i>Asset Class: Equities</i>									
AIRBUS SE UNSPONSORED ADR (EADSY)									
	12/28/17	138,000	25.044	36.750	3,456.06	5,071.50	1,615.44 LT		
	11/6/19	115,000	36.105	36.750	4,152.08	4,226.25	74.17 ST		
Total		253,000			7,608.14	9,297.75	1,615.44 LT	88.00	0.95
							74.17 ST		
<i>Asset Class: Equities</i>									
AKAMAI TECHNOLOGIES INC (AKAM)									
	12/28/17	45,000	65.236	86.380	2,935.60	3,887.10	951.50 LT		
	11/6/19	52,000	87.064	86.380	4,527.35	4,491.76	(35.59) ST		

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est. Ann. Income	Current Yield %
Total		97,000			7,462.95	8,378.86	951.50 LT (35.59) ST		
<i>Asset Class: Equities</i>									
ALEXION PHARM INC (ALXN)									
	12/28/17	24,000	120.082	108.150	2,881.97	2,595.60	(286.37) LT		
	4/6/18	1,000	108.420	108.150	108.42	108.15	(0.27) LT		
	11/6/19	26,000	107.765	108.150	2,801.89	2,811.90	10.01 ST		
Total		51,000			5,792.28	5,515.65	(286.64) LT 10.01 ST		
<i>Asset Class: Equities</i>									
ALIBABA GROUP HLDG LTD (BABA)									
	12/28/17	29,000	172.317	212.100	4,997.18	6,150.90	1,153.72 LT		
	12/28/17	1,000	172.320	212.100	172.32	212.10	39.78 LT		
	12/28/17	1,000	172.320	212.100	172.32	212.10	39.78 LT		
	3/28/18	4,000	180.520	212.100	722.08	848.40	126.32 LT		
	8/24/18	5,000	173.754	212.100	868.77	1,060.50	191.73 LT		
	8/24/18	5,000	173.754	212.100	868.77	1,060.50	191.73 LT		
	8/27/18	1,000	179.180	212.100	179.18	212.10	32.92 LT		
	8/27/18	4,000	179.178	212.100	716.71	848.40	131.69 LT		
	9/18/18	1,000	156.720	212.100	156.72	212.10	55.38 LT		
	9/18/18	1,000	156.720	212.100	156.72	212.10	55.38 LT		
	10/11/18	2,000	139.820	212.100	279.64	424.20	144.56 LT		
	10/11/18	2,000	139.820	212.100	279.64	424.20	144.56 LT		
	3/28/19	2,000	177.435	212.100	354.87	424.20	69.33 ST		
	6/10/19	2,000	159.895	212.100	319.79	424.20	104.41 ST		
	6/10/19	2,000	159.895	212.100	319.79	424.20	104.41 ST		
	11/6/19	44,000	183.618	212.100	8,079.17	9,332.40	1,253.23 ST		
	11/6/19	15,000	183.617	212.100	2,754.26	3,181.50	427.24 ST		
Total		121,000			21,397.93	25,664.10	2,307.55 LT 1,958.62 ST		
<i>Asset Class: Equities</i>									
ALPHABET INC CL A (GOOGL)									
	12/16/14	5,000	513.856	1,339.390	2,569.28	6,696.95	4,127.67 LT		
	11/6/19	3,000	1,289.133	1,339.390	3,867.40	4,018.17	150.77 ST		
Total		8,000			6,436.68	10,715.12	4,127.67 LT 150.77 ST		
<i>Asset Class: Equities</i>									
ALPHABET INC CL C (GOOG)									
	2/6/15	5,000	527.082	1,337.020	2,635.41	6,685.10	4,049.69 LT		
	11/6/19	4,000	1,289.885	1,337.020	5,159.54	5,348.08	188.54 ST		

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est. Ann Income	Current Yield %
Total		9 000			7,794.95	12,033.18	4,049.69 LT 188.54 ST		
<i>Asset Class Equities</i>									
AMAZON COM INC (AMZN)	8/19/16	6 000	759.088	1,847.840	4,554.53	11,087.04	6,532.51 LT		
	10/25/19	1 000	1,746.630	1,847.840	1,746.63	1,847.84	101.21 ST		
	11/6/19	8 000	1,793.395	1,847.840	14,347.16	14,782.72	435.56 ST		
Total		15 000			20,648.32	27,717.60	6,532.51 LT 536.77 ST		
<i>Asset Class Equities</i>									
AMBEV S A SPONSORED ADR (ABEV)	12/28/17	354 000	6.367	4.660	2,254.09	1,649.64	(604.45) LT		
	11/6/19	406 000	4.275	4.660	1,735.65	1,891.96	156.31 ST		
Total		760 000			3,989.74	3,541.60	(604.45) LT 156.31 ST	78.00	2.20
<i>Next Dividend Payable 01/07/20, Asset Class Equities</i>									
AMERICA MOVIL SA DE CV ADR L (AMX)	12/28/17	220 000	17.140	16.000	3,770.80	3,520.00	(250.80) LT		
	4/5/19	33 000	15.105	16.000	498.47	528.00	29.53 ST		
	7/12/19	6 000	14.598	16.000	87.59	96.00	8.41 ST		
	7/15/19	18 000	14.932	16.000	268.77	288.00	19.23 ST		
	10/17/19	46 000	15.960	16.000	734.15	736.00	1.85 ST		
	11/6/19	331 000	16.047	16.000	5,311.66	5,296.00	(15.66) ST		
Total		654 000			10,671.44	10,464.00	(250.80) LT 43.36 ST	240.00	2.29
<i>Asset Class Equities</i>									
AMERICAN EXPRESS CO (AXP)	12/28/17	31 000	99.590	124.490	3,087.29	3,859.19	771.90 LT		
	11/6/19	34 000	119.397	124.490	4,059.49	4,232.66	173.17 ST		
Total		65 000			7,146.78	8,091.85	771.90 LT 173.17 ST	112.00	1.38
<i>Next Dividend Payable 02/10/20, Asset Class Equities</i>									
ANGLO AMERN PLC SPONSORED ADR (NGLO)	10/21/19	251 000	12.893	14.450	3,236.22	3,626.95	390.73 ST		
	10/22/19	90 000	12.924	14.450	1,163.12	1,300.50	137.38 ST		
	11/6/19	1 000	13.500	14.450	13.50	14.45	0.95 ST		
	11/6/19	334 000	13.498	14.450	4,508.17	4,826.30	318.13 ST		
Total		676 000			8,921.01	9,768.20	847.19 ST	358.00	3.66
<i>Asset Class Equities</i>									

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ANHEUSER BUSCH INBEV SA SPON (BUD)									
	12/28/17	31 000	111 114	82 040	3,444 52	2,543 24	(901 28) LT		
	1/4/19	10 000	68 721	82 040	827 21	820 40	133 19 ST		
	11/6/19	45 000	79 445	82 040	3,575 01	3,691 80	116 79 ST		
Total		86 000			7,706 74	7,055 44	(901 28) LT 249 98 ST	128 00	1 81
Asset Class: Equities									
ANHUI CONCH CEMENT ADR (AHCHY)									
	6/27/18	96 000	28 070	36 510	2,694 68	3,504 96	810 28 LT		
	5/7/19	14 000	30 767	36 510	430 74	511 14	80 40 ST		
	11/6/19	118 000	31 555	36 510	3,723 49	4,308 18	584 69 ST		
Total		228 000			6,848 91	8,324 28	810 28 LT 665 09 ST	241 00	2 90
Asset Class: Equities									
APPLE INC (AAPL)									
	1/16/15	20 000	105 797	293 650	2,115 94	5,873 00	3,757 06 LT		
	11/6/19	19 000	256 395	293 650	4,871 51	5,579 35	707 84 ST		
	11/13/19	5 000	263 522	293 650	1,317 61	1,468 25	150 64 ST		
	12/19/19	3 000	279 840	293 650	839 52	880 95	41 43 ST		
Total		47 000			9,144 58	13,801 55	3,757 06 LT 899 91 ST	145 00	1 05
Next Dividend Payable 02/2020, Asset Class: Equities									
ASM PACIFIC (ASMWV)									
	7/25/18	12 000	33 172	41 740	398 07	500 88	102 81 LT H		
	7/31/18	29 000	35 720	41 740	1,035 87	1,210 46	174 59 LT		
	8/1/18	6 000	36 145	41 740	216 87	250 44	33 57 LT		
	8/6/18	2 000	35 955	41 740	71 91	83 48	11 57 LT		
	10/8/18	13 000	29 963	41 740	389 52	542 62	153 10 LT		
	10/9/18	8 000	30 061	41 740	240 49	333 92	93 43 LT		
	10/10/18	2 000	30 375	41 740	60 75	83 48	22 73 LT		
	7/19/19	1 000	33 010	41 740	33 01	41 74	8 73 ST		
	8/15/19	15 000	33 470	41 740	502 05	626 10	124 05 ST		
	11/6/19	82 000	40 280	41 740	3,302 96	3,422 68	119 72 ST		
Total		170 000			6,251 50	7,095 80	591 80 LT 252 50 ST	159 00	2 24
Basis Adjustment Due to Wash Sale \$1 94, Asset Class: Equities									
ASML HOLDING NV NY REG NEW (ASML)									
	11/30/18	11 000	170 582	295 940	1,876 40	3,255 34	1,378 94 LT		
	11/30/18	1 000	170 580	295 940	170 58	295 94	125 36 LT		
	11/30/18	1 000	170 590	295 940	170 59	295 94	125 35 LT		
	11/30/18	1 000	170 580	295 940	170 58	295 94	125 36 LT		
	11/30/18	1 000	170 580	295 940	170 58	295 94	125 36 LT		

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est. Ann Income	Current Yield %
	1/18/19	9 000	159 316	295 940	1,433 84	2,663 46	1,229 62 ST		
	3/22/19	2 000	188 970	295 940	377 94	591 88	213 94 ST		
	3/22/19	1 000	188 970	295 940	188 97	295 94	106 97 ST		
	5/31/19	11 000	188 545	295 940	2,074 00	3,255 34	1,181 34 ST		
	11/6/19	21 000	268 346	295 940	5,635 26	6,214 74	579 48 ST		
	11/6/19	17 000	268 345	295 940	4,561 87	5,030 98	469 11 ST		
Total		76 000			16,830 61	22,491 44	1,880 37 LT 3,780 46 ST	227 00	1 01
<i>Asset Class Equities</i>									
AXA ADS (AXAHY)									
	12/28/17	150 000	29 974	28 145	4,496 12	4,221 75	(274 37) LT		
	4/6/18	24 000	27 153	28 145	651 68	675 48	23 80 LT		
	11/6/19	166 000	27 061	28 145	4,492 11	4,672 07	179 96 ST		
Total		340 000			9,639 91	9,569 30	(250 57) LT 179 96 ST	418 00	4 37
<i>Asset Class Equities</i>									
BAE SYS PLC SPON ADR (BAESY)									
	12/28/17	139 000	30 770	30 280	4,277 01	4,208 92	(68 09) LT		
	4/6/18	1 000	34 390	30 280	34 39	30 28	(4 11) LT		
	4/5/19	31 000	26 347	30 280	816 75	938 68	121 93 ST		
	11/6/19	131 000	30 195	30 280	3,955 55	3,966 68	11 13 ST		
Total		302 000			9,083 70	9,144 56	(72 20) LT 133 06 ST	336 00	3 67
<i>Asset Class Equities</i>									
BAIDU INC ADS (BIDU)									
	4/6/18	1 000	221 900	126 400	221 90	126 40	(95 50) LT		
	1/15/19	4 000	168 593	126 400	674 37	505 60	(168 77) ST		
	7/12/19	4 000	114 898	126 400	459 59	505 60	46 01 ST		
	7/12/19	12 000	114 898	126 400	1,378 77	1,516 80	138 03 ST		
	11/6/19	18 000	106 830	126 400	1,922 94	2,275 20	352 26 ST		
Total		39 000			4,657 57	4,929 60	(95 50) LT 367 53 ST	—	—
<i>Asset Class Equities</i>									
BANCO BILBAO VIZ ARG SA ADS (BBVA)									
	12/28/17	550 000	8 510	5 580	4,680 50	3,069 00	(1,611 50) LT		
	12/28/17	12 000	8 465	5 580	-101 58	66 96	(34 62) LT H		
	12/28/17	11 000	8 459	5 580	93 05	61 38	(31 67) LT H		
	3/28/18	124 000	7 729	5 580	958 39	691 92	(266 47) LT		
	7/12/18	97 000	7 066	5 580	685 37	541 26	(144 11) LT		
	9/18/18	117 000	6 448	5 580	754 43	652 86	(101 57) LT		
	3/5/19	32 000	6 002	5 580	192 06	178 56	(13 50) ST		

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est./Ann. Income	Current Yield %
	11/6/19	925,000	5.405	5.580	4,999.53	5,161.50	161.97 ST		
Total		1,868,000			<12,464.91	10,423.44	(2,189.94) LT 148.47 ST	439.00	4.21
<i>Basis Adjustment Due to Wash Sale \$16.86, Asset Class Equities</i>									
BANCO DO BRASIL SA SPON ADR (BDORY)	12/28/17	378,000	9.667	12.950	3,654.13	4,895.10	1,240.97 LT		
	4/5/19	25,000	12.690	12.950	317.25	323.75	6.50 ST		
	8/15/19	105,000	11.620	12.950	1,220.10	1,359.75	139.65 ST		
	11/6/19	491,000	11.638	12.950	5,714.26	6,358.45	644.19 ST		
Total		999,000			10,905.74	12,937.05	* 1,240.97 LT 790.34 ST	494.00	3.82
<i>Next Dividend Payable 01/09/20, Asset Class Equities</i>									
BASF SE SP ADR (BASFY)	12/28/17	151,000	27.808	18.715	4,198.97	2,825.97	(1,373.00) LT		
	9/20/18	3,000	23.780	18.715	71.34	56.15	(15.19) LT		
	9/21/18	60,000	23.991	18.715	1,439.45	1,122.90	(316.55) LT		
	7/23/19	37,000	17.750	18.715	656.75	692.46	35.71 ST		
	11/6/19	1,000	19.700	18.715	19.70	18.72	(0.98) ST		
	11/6/19	213,000	19.696	18.715	4,195.29	3,986.30	(208.99) ST		
Total		465,000			10,581.50	8,702.48	(1,704.74) LT (174.26) ST	297.00	3.41
<i>Asset Class Equities</i>									
BAYERISCHE MOTOREN WERKE ADR (BMWVY)	12/28/17	152,000	34.788	27.120	5,287.70	4,122.24	(1,165.46) LT		
	11/6/18	29,000	29.257	27.120	848.46	786.48	(61.98) LT		
	11/6/19	193,000	27.042	27.120	5,219.20	5,234.16	14.96 ST		
Total		374,000			11,355.36	10,142.88	(1,227.44) LT 14.96 ST	347.00	3.42
<i>Next Dividend Payable 05/2020, Asset Class Equities</i>									
BB SEGURIDADE PARTICIPACOES (BBSEY)	12/28/17	286,000	8.561	9.850	2,448.53	2,817.10	368.57 LT		
	4/5/19	44,000	7.265	9.850	319.66	433.40	113.74 ST		
	8/15/19	56,000	8.503	9.850	476.19	551.60	75.41 ST		
	11/6/19	339,000	8.580	9.850	2,908.62	3,339.15	430.53 ST		
Total		725,000			6,153.00	7,141.25	368.57 LT 619.68 ST	495.00	6.93
<i>Asset Class Equities</i>									
BHP GROUP LIMITED ADR (BHP)	12/28/17	75,000	45.400	54.710	3,480.00	4,103.25	623.25 LT		
	3/5/19	18,000	52.976	54.710	953.56	984.78	31.22 ST		
	11/6/19	90,000	51.305	54.710	4,617.45	4,923.90	306.45 ST		

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Total		183,000			9,051.01	10,011.93	623.25 LT 337.67 ST	487.00	4.86
<i>Next Dividend Payable 03/2020, Asset Class Equities</i>									
BIDVEST GROUP LTD SPONS ADR (BDVSY)									
	12/28/17	64,000	34.560	29.900	2,211.84	1,913.60	(298.24) LT		
	4/5/19	3,000	29.337	29.900	88.01	89.70	1.69 ST		
	8/15/19	11,000	22.755	29.900	250.30	328.90	78.60 ST		
	11/6/19	77,000	29.478	29.900	2,269.77	2,302.30	32.53 ST		
Total		155,000			4,819.92	4,634.50	(298.24) LT 112.82 ST	95.00	2.05
<i>Asset Class Equities</i>									
BIOMARIN PHARMAC SE (BMRN)									
	5/2/18	5,000	84.012	84.550	420.06	422.75	2.69 LT		
	5/23/18	11,000	88.868	84.550	977.55	930.05	(47.50) LT		
	6/15/18	2,000	95.530	84.550	191.06	169.10	(21.96) LT		
	9/7/18	1,000	97.940	84.550	97.94	84.55	(13.39) LT		
	10/26/18	2,000	97.120	84.550	194.24	169.10	(25.14) LT		
	1/7/19	4,000	90.398	84.550	361.59	338.20	(23.39) ST		
	11/6/19	36,000	74.630	84.550	2,686.68	3,043.80	357.12 ST		
Total		61,000			4,929.12	5,157.55	(105.30) LT 333.73 ST		
<i>Asset Class Equities</i>									
BLACKROCK INC (BLK)									
	12/28/17	4,000	517.785	502.700	2,071.14	2,010.80	(60.34) LT		
	11/6/19	6,000	488.623	502.700	2,931.74	3,016.20	84.46 ST		
Total		10,000			5,002.88	5,027.00	(60.34) LT 84.46 ST	132.00	2.63
<i>Next Dividend Payable 03/2020, Asset Class Equities</i>									
BRIDGESTONE CP ADR (BRDCY)									
	12/28/17	224,000	23.228	18.490	5,203.07	4,141.76	(1,061.31) LT		
	7/13/18	47,000	17.978	18.490	844.98	869.03	24.05 LT		
	3/5/19	15,000	19.750	18.490	296.25	277.35	(18.90) ST		
	11/6/19	270,000	21.238	18.490	5,734.26	4,992.30	(741.96) ST		
Total		556,000			12,078.56	10,280.44	(1,037.26) LT (760.86) ST	315.00	3.06
<i>Asset Class Equities</i>									
BUNZL PLC NEW (BZLFY)									
	7/16/19	150,000	27.429	27.795	4,114.40	4,169.25	54.85 ST		
	11/6/19	1,000	26.560	27.795	26.56	27.80	1.24 ST		
	11/6/19	147,000	26.523	27.795	3,898.81	4,085.87	187.06 ST		
Total		298,000			8,039.77	8,282.91	243.15 ST	175.00	2.11

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
<i>Next Dividend Payable 01/07/20, Asset Class: Equities</i>									
BURBERRY GROUP PLC SPONS ADR (BURBY)	10/14/19	150,000	25.590	29.500	3,838.52	4,425.00	586.48 ST		
	11/6/19	152,000	27.090	29.500	4,117.68	4,484.00	366.32 ST		
	11/6/19	1,000	27.090	29.500	27.09	29.50	2.41 ST		
	11/21/19	61,000	26.605	29.500	1,622.89	1,799.50	176.61 ST		
Total		364,000			9,606.18	10,738.00	1,131.82 ST	181.00	1.59
<i>Next Dividend Payable 02/07/20, Asset Class: Equities</i>									
CAPGEMINI S E UNSPONSORED ADR (CGEMY)	9/6/19	68,000	25.028	24.361	1,701.88	1,656.55	(45.33) ST		
	9/9/19	18,000	25.074	24.361	451.33	438.50	(12.83) ST		
	10/9/19	101,000	22.992	24.361	2,322.16	2,460.46	138.30 ST		
	11/6/19	210,000	23.124	24.361	4,856.07	5,115.81	259.74 ST		
Total		397,000			9,331.44	9,671.32	339.88 ST	108.00	1.12
<i>Asset Class: Equities</i>									
CARLSBERG AS (CABGY)	12/28/17	180,000	24.100	29.790	4,338.00	5,362.20	1,024.20 LT		
	11/6/19	145,000	28.115	29.790	4,076.68	4,319.55	242.87 ST		
Total		325,000			8,414.68	9,681.75	1,024.20 LT	120.00	1.24
							242.87 ST		
<i>Asset Class: Equities</i>									
CH ROBINSON WORLDWIDE INC NEW (CHRW)	5/1/19	3,000	80.727	78.200	242.18	234.60	(7.58) ST		
	5/8/19	6,000	83.573	78.200	501.44	469.20	(32.24) ST		
	5/9/19	2,000	82.850	78.200	165.70	156.40	(9.30) ST		
	5/23/19	2,000	80.760	78.200	161.52	156.40	(5.12) ST		
	8/1/19	2,000	81.000	78.200	162.00	156.40	(5.60) ST		
	8/5/19	2,000	80.895	78.200	161.79	156.40	(5.39) ST		
	8/6/19	1,000	81.380	78.200	81.38	78.20	(3.18) ST		
	11/6/19	24,000	77.787	78.200	1,866.88	1,876.80	9.92 ST		
Total		42,000			3,342.89	3,284.40	(58.49) ST	86.00	2.62
<i>Next Dividend Payable 03/20/20, Asset Class: Equities</i>									
CHARLES SCHWAB NEW (SCHW)	12/12/17	5,000	52.278	47.560	261.39	237.80	(23.59) LT H		
	12/28/17	68,000	51.897	47.560	3,529.01	3,234.08	(294.93) LT		
	1/22/19	11,000	47.496	47.560	522.46	523.16	0.70 ST		
	3/20/19	3,000	45.303	47.560	135.91	142.68	6.77 ST		
	11/6/19	64,000	43.195	47.560	2,764.50	3,043.84	279.34 ST		
Total		151,000			7,213.27	7,181.56	(318.52) LT	103.00	1.43
							286.81 ST		
<i>Next Dividend Payable 02/20/20, Basis Adjustment Due to Wash Sale \$34.88, Asset Class: Equities</i>									

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
CHINA CONSTRUCTION BANK CORP (CICHY)									
	12/28/17	361 000	18 350	17 300	6,624 35	6,245 30	(379 05) LT		
	4/5/19	39 000	17 885	17 300	697 52	674 70	(22 82) ST		
	5/3/19	5 000	17 736	17 300	88 68	86 50	(2 18) ST		
	5/7/19	4 000	17 293	17 300	69 17	69 20	0 03 ST		
	5/8/19	7 000	16 936	17 300	118 55	121 10	2 55 ST		
	7/15/19	80 000	15 941	17 300	1,275 26	1,384 00	108 74 ST		
	11/6/19	536 000	16 410	17 300	8,795 76	9,272 80	477 04 ST		
Total		1,032 000			17,669 29	17,853 60	(379 05) LT 563 36 ST	777 00	4 35
Asset Class Equities									
CHINA MERCHANTS BK CO LTD UNSP (CIHKY)									
	5/2/19	3 000	25 020	25 690	75 06	77 07	2 01 ST H		
	5/23/19	55 000	23 733	25 690	1,305 30	1,412 95	107 65 ST		
	5/24/19	36 000	23 752	25 690	855 08	924 84	69 76 ST		
	8/16/19	38 000	23 209	25 690	881 93	976 22	94 29 ST		
	11/6/19	125 000	25 385	25 690	3,173 13	3,211 25	38 12 ST		
Total		257 000			6,290 50	6,602 33	311 83 ST	145 00	2 20
<i>Basis Adjustment Due to Wash Sale \$3 86, Asset Class Equities</i>									
CHINA MOBILE LTD (CHL)									
	8/1/18	17 000	44 275	42 270	752 68	718 59	(34 09) LT		
	8/2/18	16 000	44 001	42 270	704 02	676 32	(27 70) LT		
	8/2/18	44 000	44 001	42 270	1,936 04	1,859 88	(76 16) LT		
	8/15/19	9 000	41 732	42 270	375 59	380 43	4 84 ST		
	10/8/19	24 000	41 612	42 270	998 69	1,014 48	15 79 ST		
	10/21/19	30 000	42 417	42 270	1,272 52	1,268 10	(4 42) ST		
	11/6/19	147 000	40 817	42 270	6,000 03	6,213 69	213 66 ST		
	12/7/19	*31 000	39 921	42 270	1,237 55	1,310 37	72 82 ST		
Total		318 000			13,277 12	13,441 86	(137 95) LT 302 69 ST	534 00	3 97
Asset Class Equities									
CHINA SHENHUA ENERGY LTD ADR (CSUAY)									
	12/28/17	185 000	10 439	8 330	1,931 25	1,541 05	(390 20) LT		
	2/13/18	33 000	11 138	8 330	367 54	274 89	(92 65) LT		
	4/5/19	61 000	9 265	8 330	565 17	508 13	(57 04) ST		
	8/16/19	110 000	7 976	8 330	877 37	916 30	38 93 ST		
	11/6/19	333 000	8 128	8 330	2,706 52	2,773 89	67 37 ST		
	11/6/19	1 000	8 120	8 330	8 12	8 33	0 21 ST		
Total		723 000			6,455 97	6,022 59	(482 85) LT 49 47 ST	306 00	5 08
Asset Class Equities									

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
CIELO SA SPONSORED ADR NEW (CIOXY)									
	12/28/17	432 000	7 090	1 999	3,062 88	863 57	(2,199 31) LT		
	10/26/18	32 000	3 388	1 999	108 41	63 97	(44 44) LT		
	10/29/18	289 000	3 457	1 999	999 07	577 71	(421 36) LT		
	11/6/19	1 000	1 750	1 999	1 75	2 00	0 25 ST		
	11/6/19	734 000	1 750	1 999	1,284 50	1,467 27	182 77 ST		
Total		1,488 000			5,456 61	2,974 51	(2,665 11) LT 183 02 ST	153 00	5 14
Next Dividend Payable 02/20/20, Asset Class Equities									
CNOOC LTD ADS (CEO)									
	12/28/17	10 000	144 378	166 670	1,443 78	1,666 70	222 92 LT		
	1/9/18	1 000	155 100	166 670	155 10	166 67	11 57 LT		
	2/12/18	6 000	141 910	166 670	851 46	1,000 02	148 56 LT		
	4/5/19	4 000	184 990	166 670	739 96	666 68	(73 28) ST		
	11/6/19	22 000	156 829	166 670	3,450 24	3,666 74	216 50 ST		
Total		43 000			6,640 54	7,166 81	383 05 LT 143 22 ST	359 00	5 01
Asset Class Equities									
COMCAST CORP (NEW) CLASS A (CMCSA)									
	12/28/17	85 000	40 197	44 970	3,416 74	3,822 45	405 71 LT		
	4/6/18	2 000	34 250	44 970	68 50	89 94	21 44 LT		
	11/6/19	93 000	43 986	44 970	4,090 72	4,182 21	91 49 ST		
Total		180 000			7,575 96	8,094 60	427 15 LT 91 49 ST	151 00	1 87
Next Dividend Payable 01/20/20, Asset Class Equities									
COMMERCIAL INTL BNK LTD SP ADR (CIBEY)									
	12/28/17	407 250	3 356	5 060	1,366 76	2,060 69	693 93 LT		
	2/15/18	38 000	3 637	5 060	138 22	192 28	54 06 LT		
	2/20/18	93 750	3 605	5 060	338 00	474 38	136 38 LT		
	4/5/19	81 000	4 100	5 060	332 10	409 86	77 76 ST		
	10/18/19	88 000	4 914	5 060	432 40	445 28	12 88 ST		
	11/6/19	1 000	5 120	5 060	5 12	5 06	(0 06) ST		
	11/6/19	700 000	5 120	5 060	3,584 00	3,542 00	(42 00) ST		
Total		1,409 000			6,196 60	7,129 54	884 37 LT 48 58 ST	73 00	1 02
Asset Class Equities									
COMPAGNIE DE ST GOBAIN UNSP (CODVY)									
	12/9/19	290 000	8 334	8 170	2,416 77	2,369 30	(47 47) ST		
	12/10/19	817 000	8 342	8 170	6,815 78	6,674 89	(140 89) ST		
Total		1,107 000			9,232 55	9,044 19	(188 36) ST	235 00	2 60
Asset Class Equities									

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Asset Class Equities									
COMPAGNIE FIN RICHEMONTAG ADR (CFRUY)	8/22/18	514 000	8 575	7 815	4,407 55	4,016 91	(390 64) LI		
	9/27/18	74 000	8 136	7 815	602 03	578 31	(23 72) LI		
	3/5/19	32 000	7 331	7 815	234 60	250 08	15 48 ST		
	9/10/19	106 000	7 957	7 815	843 42	828 39	(15 03) ST		
	11/6/19	721 000	7 900	7 815	5,695 90	5,634 62	(61 28) ST		
Total		1,447 000			11,783 50	11,308 31	(414 36) LI (60 83) ST	166 00	1 47
Asset Class Equities									
CONIT AC SPONS ADR (CITAV)	12/28/17	180 000	27 118	12 825	4,881 15	2,308 50	(2,572 65) LI		
	5/13/18	11 000	27 815	12 825	305 97	141 08	(164 89) LI H		
	6/29/18	33 000	22 923	12 825	756 47	423 23	(333 24) LI		
	10/7/19	51 000	12 332	12 825	628 92	654 08	25 16 ST		
	11/6/19	248 000	14 355	12 825	3,559 97	3,180 60	(379 37) ST		
	11/6/19	1 000	14 350	12 825	14 35	12 83	(1 52) ST		
Total		524 000			10,146 83	6,720 30	(3,070 78) LI (355 73) ST	195 00	2 90
Asset Class Equities									
<i>Basis Adjustment Due to Wash Sale \$123 95, Asset Class Equities</i>									
COSTCO WHOLESALE CORP NEW (COST)	12/28/17	9 000	186 348	293 920	1,677 13	2,645 28	968 15 LI		
	1/4/19	3 000	205 560	293 920	616 68	881 76	265 08 ST		
	11/6/19	9 000	300 699	293 920	2,706 29	2,645 28	(61 01) ST		
Total		21 000			5,000 10	6,172 32	968 15 LI 204 07 ST	55 00	0 89
Asset Class Equities									
<i>Next Dividend Payable 02/2020, Asset Class Equities</i>									
DANONE SPONSORED ADR (DANOY)	10/1/18	269 000	15 827	16 490	4,257 33	4,435 81	178 48 LI		
	6/3/19	121 000	16 323	16 490	1,975 05	1,995 29	20 24 ST		
	11/6/19	446 000	16 484	16 490	7,352 00	7,354 54	2 54 ST		
Total		836 000			13,584 38	13,785 64	178 48 LI 22 78 ST	237 00	1 72
Asset Class Equities									
DBS GROUP HOLDINGS LTD SP (DBSDY)	12/28/17	55 000	74 575	77 160	4,101 63	4,243 80	142 17 LI		
	4/5/19	2 000	79 600	77 160	159 20	154 32	(4 88) ST		
	11/6/19	61 000	77 480	77 160	4,726 28	4,706 76	(19 52) ST		
Total		118 000			8,987 11	9,104 88	142 17 LI (24 40) ST	490 00	5 38

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est. Ann Income	Current Yield %
DEUTSCHE BOERSE AG UNSPON ADR (DBOEY)									
	12/28/17	304 000	11 513	15 580	3,499 80	4,736 32	1,236 52 LT		
	11/6/19	1 000	15 040	15 580	15 04	15 58	0 54 ST		
	11/6/19	303 000	15 050	15 580	4,560 15	4,720 74	160 59 ST		
Total		608 000			8,074 99	9,472 64	1,236 52 LT 161 13 ST	119 00	1 26
Asset Class Equities									
DEUTSCHE POST AG SPONSORED ADR (DPSGY)									
	4/5/18	60 000	44 000	37 820	2,640 01	2,269 20	(370 81) LT		
	4/6/18	42 000	44 167	37 820	1,855 03	1,588 44	(266 59) LT		
	7/11/18	34 000	32 632	37 820	1,109 50	1,285 88	176 38 LT		
	11/6/19	118 000	35 840	37 820	4,229 12	4,462 76	233 64 ST		
Total		254 000			9,833 66	9,606 28	(461 02) LT 233 64 ST	316 00	3 29
Asset Class Equities									
DEUTSCHE WOHNEN AG UNSPONSORED (OTCWY)									
	10/25/19	187 000	18 584	20 300	3,475 19	3,796 10	320 91 ST		
	11/6/19	199 000	19 068	20 300	3,794 59	4,039 70	245 11 ST		
	11/21/19	114 000	19 139	20 300	2,181 79	2,314 20	132 41 ST		
Total		500 000			9,451 57	10,150 00	698 43 ST	208 00	2 05
Asset Class Equities									
DIAGED PLC SPON ADR NEW (DEO)									
	12/28/17	41 000	144 630	168 420	5,929 83	6,905 22	975 39 LT		
	11/6/19	42 000	160 888	168 420	6,757 30	7,073 64	316 34 ST		
	12/23/19	5 000	166 700	168 420	833 50	842 10	8 60 ST		
Total		88 000			13,520 63	14,820 96	975 39 LT 324 94 ST	302 00	2 04
Next Dividend Payable 04/2020, Asset Class Equities									
DNB ASA SHS (DNBHF)									
	12/28/17	256 000	18 649	18 663	4,774 12	4,777 80	3 68 LT		
	3/5/19	11 000	18 180	18 663	199 98	205 30	5 32 ST		
	9/24/19	49 000	17 552	18 663	860 06	914 50	54 44 ST		
	11/6/19	305 000	18 630	18 663	5,682 15	5,692 31	10 16 ST		
Total		621 000			11,516 31	11,589 91	3 68 LT 69 92 ST	583 00	5 03
Next Dividend Payable 05/2020, Asset Class Equities									
DNB ASA SPONSORED ADR (DNBHY)									
	12/28/17	238 000	18 636	18 636	4,435 34	4,435 37	0 03 LT		
	11/6/19	263 000	18 212	18 636	4,789 76	4,901 27	111 51 ST		
Total		501 000			9,225 10	9,336 64	0 03 LT 111 51 ST	389 00	4 17
Asset Class Equities									

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est. Ann Income	Current Yield %
E.ON SE (EONGY)									
	12/28/17	405,000	10.899	10.670	4,414.26	4,321.35	(92.91) LT		
	11/6/19	518,000	9.908	10.670	5,132.40	5,527.06	394.66 ST		
	11/6/19	1,000	9.900	10.670	9.90	10.67	0.77 ST		
Total		924,000			9,556.56	9,859.08	(92.91) LT 395.43 ST	326.00	3.31
Asset Class Equities									
ECOLAB INC (ECL)									
	12/28/17	17,000	134.071	192.990	2,279.20	3,280.83	1,001.63 LT		
	11/6/19	18,000	192.632	192.990	3,467.38	3,473.82	6.44 ST		
Total		35,000			5,746.58	6,754.65	1,001.63 LT 6.44 ST	66.00	0.98
Next Dividend Payable 01/15/20, Asset Class Equities									
ENGIE SPONS ADR (ENGY)									
	12/28/17	270,000	17.241	16.170	4,654.95	4,365.90	(289.05) LT		
	4/5/19	30,000	15.035	16.170	451.04	485.10	34.06 ST		
	11/6/19	255,000	16.590	16.170	4,230.35	4,123.35	(107.00) ST		
Total		555,000			9,336.34	8,974.35	(289.05) LT (72.94) ST	551.00	6.14
Asset Class Equities									
ENN ENERGY HOLDINGS LTD UNSPON (XNGSY)									
	12/28/17	55,000	28.100	43.720	1,545.50	2,404.60	859.10 LT		
	11/6/19	56,000	44.480	43.720	2,490.88	2,448.32	(42.56) ST		
Total		111,000			4,036.38	4,852.92	859.10 LT (42.56) ST	62.00	1.28
Asset Class Equities									
EQUINIX INC COM PAR \$0.001 (EQIX)									
	2/13/18	2,000	434.250	583.700	868.50	1,167.40	298.90 LT		
	2/14/18	1,000	433.690	583.700	433.69	583.70	150.01 LT		
	2/15/18	2,000	406.990	583.700	813.98	1,167.40	353.42 LT		
	3/6/18	1,000	391.780	583.700	391.78	583.70	191.92 LT		
	11/6/19	6,000	530.018	583.700	3,180.11	3,502.20	322.09 ST		
Total		12,000			5,688.06	7,004.40	994.25 LT 322.09 ST	118.00	1.68
Next Dividend Payable 03/20/20, Asset Class Alt									
ERSTE GROUP BANK AG SPONS ADR (EBRDY)									
	3/28/18	111,000	25.357	18.760	2,814.66	2,082.36	(732.30) LT		
	5/11/18	30,000	23.245	18.760	697.35	562.80	(134.55) LT		
	3/5/19	97,000	18.932	18.760	1,836.36	1,819.72	(16.64) ST		
	11/6/19	240,000	18.597	18.760	4,463.16	4,502.40	39.24 ST		
Total		478,000			9,811.53	8,967.28	(666.85) LT 22.60 ST	364.00	4.06

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
<i>Asset Class Equities</i>									
ESSILORLUXOTTICA ADR (ESLOV)									
	12/4/17	3 000	62 363	76 590	187 09	229 77	42 68 LT H		
	12/28/17	29 000	69 001	76 590	2,001 04	2,221 11	220 07 LT		
	12/28/17	17 000	69 001	76 590	1,173 02	1,302 03	129 01 LT		
	12/28/17	2 000	69 000	76 590	138 00	153 18	15 18 LT		
	12/28/17	54 000	69 001	76 590	3,726 08	4,135 86	409 78 LT		
	3/5/19	7 000	61 680	76 590	431 76	536 13	104 37 ST		
	3/5/19	4 000	61 678	76 590	246 71	306 36	59 65 ST		
	11/6/19	55 000	74 882	76 590	4,118 50	4,212 45	93 95 ST		
	11/6/19	65 000	74 882	76 590	4,867 32	4,978 35	111 03 ST		
	Total	236 000			16,889 52	18,075 24	816 72 LT	215 00	1 19
							369 00 ST		
<i>Basis Adjustment Due to Wash Sale \$24.75 Asset Class Equities</i>									
FACEBOOK INC CL-A (FB)									
	12/26/17	2 000	175 925	205 250	351 85	410 50	58 65 LT H		
	12/28/17	21 000	177 858	205 250	3,735 02	4,310 25	575 23 LT		
	8/23/18	6 000	174 192	205 250	1,045 15	1,231 50	186 35 LT		
	9/25/18	2 000	163 865	205 250	327 73	410 50	82 77 LT		
	11/6/18	6 000	149 670	205 250	898 02	1,231 50	333 48 LT		
	11/15/18	3 000	142 733	205 250	428 20	615 75	187 55 LT		
	1/4/19	5 000	136 506	205 250	682 53	1,026 25	343 72 ST		
	11/6/19	57 000	192 388	205 250	10,966 09	11,699 25	733 16 ST		
	Total	102 000			18,434 59	20,935 50	1,424 03 LT	—	—
							1,076 88 ST		
<i>Basis Adjustment Due to Wash Sale \$1.78 Asset Class Equities</i>									
FANUC CORPORATION UNSP ADR (FANUV)									
	8/9/18	100 000	19 837	18 420	1,983 67	1,842 00	(141 67) LT		
	8/10/18	137 000	19 748	18 420	2,705 45	2,523 54	(181 91) LT		
	4/5/19	7 000	18 710	18 420	130 97	128 94	(2 03) ST		
	11/6/19	217 000	19 815	18 420	4,299 86	3,997 14	(302 72) ST		
	Total	461 000			9,119 95	8,491 62	(323 58) LT	162 00	1 91
							(304 75) ST		
<i>Asset Class Equities</i>									
FIDELITY NATL INFORMATION SE (FIS)									
	7/25/19	6 000	132 515	139 090	795 09	834 54	39 45 ST		
	7/30/19	3 000	134 530	139 090	403 59	417 27	13 68 ST		
	8/1/19	3 000	132 347	139 090	397 04	417 27	20 23 ST		
	8/13/19	3 000	134 490	139 090	403 47	417 27	13 80 ST		
	9/11/19	2 000	131 120	139 090	262 24	278 18	15 94 ST		
	10/21/19	3 000	128 617	139 090	385 85	417 27	31 42 ST		

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	11/6/19	22,000	131.130	139.090	2,884.86	3,059.98	175.12 ST		
Total		42,000			5,532.14	5,841.78	309.64 ST	59.00	1.01
<i>Next Dividend Payable 03/2020, Asset Class: Equities</i>									
GALP ENERGIA SA LISBOA ADR (GLPEY)	10/28/19	300,000	8.027	8.360	2,408.13	2,508.00	99.87 ST		
	11/6/19	302,000	8.123	8.360	2,453.21	2,524.72	71.51 ST		
Total		602,000			4,861.34	5,032.72	171.38 ST	144.00	2.86
<i>Asset Class: Equities</i>									
GEA GROUP AG SPON ADR (GEAG)	3/5/19	111,000	24.812	32.860	2,754.09	3,647.46	893.37 ST		
	3/29/19	26,000	26.344	32.860	684.94	854.36	169.42 ST		
	7/16/19	20,000	24.863	32.860	497.26	657.20	159.94 ST		
	11/6/19	163,000	31.685	32.860	5,164.66	5,356.18	191.52 ST		
Total		320,000			9,100.95	10,515.20	1,414.25 ST	299.00	2.84
<i>Asset Class: Equities</i>									
GLAXOSMITHKLINE PLC ADR (GSK)	8/28/18	121,000	41.328	46.990	5,000.70	5,685.79	685.09 LT		
	9/27/18	28,000	40.598	46.990	1,136.74	1,315.72	178.98 LT		
	3/5/19	5,000	40.346	46.990	201.73	234.95	33.22 ST		
	11/6/19	172,000	44.327	46.990	7,624.21	8,082.28	458.07 ST		
Total		326,000			13,963.38	15,318.74	864.07 LT 491.29 ST	652.00	4.26
<i>Next Dividend Payable 01/09/20, Asset Class: Equities</i>									
HDFC BANK LTD ADR (HDB)	6/29/16	88,000	32.695	63.370	2,877.18	5,576.56	2,699.38 LT		
	3/28/18	20,000	48.769	63.370	975.37	1,267.40	292.03 LT		
	11/6/19	104,000	62.074	63.370	6,455.69	6,590.48	134.79 ST		
Total		212,000			10,308.24	13,434.44	2,991.41 LT 134.79 ST	67.00	0.50
<i>Asset Class: Equities</i>									
HENGAN INTL GROUP CO LTD ADR (HEGY)	12/28/17	46,000	56.203	35.620	2,585.32	1,638.52	(946.80) LT		
	1/17/19	11,000	37.343	35.620	410.77	391.82	(18.95) ST		
	4/5/19	1,000	44.740	35.620	44.74	35.62	(9.12) ST		
	8/15/19	18,000	32.967	35.620	593.41	641.16	47.75 ST		
	10/28/19	22,000	34.432	35.620	757.51	783.64	26.13 ST		
	10/29/19	2,000	34.565	35.620	69.13	71.24	2.11 ST		
	11/6/19	104,000	35.257	35.620	3,666.74	3,704.48	37.74 ST		
Total		204,000			8,127.62	7,266.48	(946.80) LT 85.66 ST	307.00	4.22
<i>Asset Class: Equities</i>									

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est. Ann Income	Current Yield %
<i>Asset Class Equities</i>									
HITACHI 10 COM NEW ADR (HTHY)	5/31/19	61 000	67 678	84 430	4,128 33	5,150 23	1,021 90 ST		
	11/6/19	59 000	75 960	84 430	4,481 64	4,981 37	499 73 ST		
Total		120 000			8,609 97	10,131 60	1,521 63 ST	172 00	1 70
<i>Asset Class Equities</i>									
HOME DEPOT INC (HD)	12/28/17	20 000	189 769	218 380	3,795 38	4,367 60	572 22 LT		
	11/6/19	13 000	232 546	218 380	3,023 10	2,838 94	(184 16) ST		
Total		33 000			6,818 48	7,206 54	572 22 LT (184 16) ST	180 00	2 50
<i>Next Dividend Payable 03/2020, Asset Class Equities</i>									
HONEYWELL INTERNATIONAL INC (HON)	12/28/17	24 000	147 896	177 000	3,549 50	4,248 00	698 50 LT		
	11/6/19	23 000	180 404	177 000	4,149 29	4,071 00	(78 29) ST		
Total		47 000			7,698 79	8,319 00	698 50 LT (78 29) ST	169 00	2 03
<i>Next Dividend Payable 03/2020, Asset Class Equities</i>									
HONG KONG EXCHANGES & CLEARING (HKEXY)	10/25/19	107 000	30 653	32 480	3,279 87	3,475 36	195 49 ST		
	11/6/19	107 000	32 120	32 480	3,436 84	3,475 36	38 52 ST		
	11/21/19	55 000	31 423	32 480	1,728 27	1,786 40	58 13 ST		
Total		269 000			8,444 98	8,737 12	292 14 ST	207 00	2 37
<i>Asset Class Equities</i>									
HSBC HOLDINGS PLC SPON ADR NEW (HSBC)	12/28/17	93 000	51 507	39 090	4,790 15	3,635 37	(1,154 78) LT		
	4/6/18	4 000	47 353	39 090	189 41	156 36	(33 05) LT		
	4/5/19	10 000	42 608	39 090	426 08	390 90	(35 18) ST		
	11/6/19	131 000	38 454	39 090	5,037 46	5,120 79	83 33 ST		
Total		238 000			10,443 10	9,303 42	(1,187 83) LT 48 15 ST	606 00	6 51
<i>Asset Class Equities</i>									
IHS MARKIT LTD (INFO)	10/25/18	6 000	50 812	75 350	304 87	452 10	147 23 LT		
	10/26/18	3 000	50 690	75 350	152 07	226 05	73 98 LT		
	10/30/18	3 000	51 027	75 350	153 08	226 05	72 97 LT		
	11/14/18	6 000	50 895	75 350	305 37	452 10	146 73 LT		
	11/15/18	6 000	51 798	75 350	310 79	452 10	141 31 LT		
	11/20/18	6 000	49 988	75 350	299 93	452 10	152 17 LT		
	11/27/18	3 000	50 943	75 350	152 83	226 05	73 22 LT		
	11/28/18	3 000	52 380	75 350	157 14	226 05	68 91 LT		
	11/29/18	2 000	52 865	75 350	105 73	150 70	44 97 LT		
	12/17/18	5 000	48 664	75 350	243 32	376 75	133 43 LT		

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est. Ann Income	Current Yield %
<i>Asset Class Equities</i>									
INDUSTRIA DE DISENO TEXTIL IND (IDEXY)									
	12/20/18	6 000	48 093	75 350	288 56	452 10	163 54 LT		
	1/4/19	5 000	48 428	75 350	242 14	376 75	134 61 ST		
	11/6/19	45 000	68 925	75 350	3,101 63	3,390 75	289 12 ST		
	Total	99 000			5,817 46	7,459 65	1,218 46 LT 423 73 ST		
<i>Asset Class Equities</i>									
INFINEON TECHNOLOGIES AG (IFNNY)									
	12/28/17	171 000	27 635	22 400	4,725 59	3,830 40	(895 19) LT		
	6/29/18	14 000	25 587	22 400	358 22	313 60	(44 62) LT		
	7/12/18	24 000	25 418	22 400	610 03	537 60	(72 43) LT		
	10/2/18	30 000	23 849	22 400	715 46	672 00	(43 46) LT		
	3/5/19	10 000	22 566	22 400	225 66	224 00	(1 66) ST		
	11/6/19	247 000	20 398	22 400	5,038 31	5,532 80	494 49 ST		
	Total	496 000			11,673 27	11,110 40	(1,055 70) LT 492 83 ST	105 00	0 95
<i>Asset Class Equities</i>									
INFOSYS LIMITED ADR (INFY)									
	12/28/17	302 000	8 083	10 320	2,440 92	3,116 64	675 72 LT		
	2/12/18	104 000	8 783	10 320	913 46	1,073 28	159 82 LT		
	4/5/19	62 000	11 190	10 320	693 78	639 84	(53 94) ST		
	5/15/19	10 000	10 370	10 320	103 70	103 20	(0 50) ST		
	5/16/19	14 000	10 519	10 320	147 27	144 48	(2 79) ST		
	11/6/19	502 000	9 865	10 320	4,952 23	5,180 64	228 41 ST		
	Total	994 000			9,251 36	10,258 08	835 54 LT 171 18 ST	242 00	2 36
<i>Asset Class Equities</i>									
JARDINE STRATEGIC HLDGS ADR (JSHLV)									
	12/28/17	92 000	19 535	15 340	1,797 18	1,411 28	(385 90) LT		
	6/18/18	39 000	18 259	15 340	712 12	598 26	(113 86) LT		
	11/6/19	134 000	16 308	15 340	2,185 21	2,055 56	(129 65) ST		
	Total	265 000			4,694 51	4,065 10	(499 76) LT (129 65) ST	40 00	0 98
<i>Asset Class Equities</i>									

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
JOHNSON & JOHNSON (JNJ)									
	12/28/17	20,000	140.462	145.870	2,809.24	2,917.40	108.16 LT		
	6/10/19	3,000	138.630	145.870	415.89	437.61	21.72 ST		
	8/27/19	1,000	130.470	145.870	130.47	145.87	15.40 ST		
	11/6/19	28,000	131.356	145.870	3,677.97	4,084.36	406.39 ST		
Total		52,000			7,033.57	7,585.24	108.16 LT	198.00	2.61
							443.51 ST		
Next Dividend Payable 03/2020, Asset Class Equities									
JULIUS BAER GROUP LTD UN ADR (JBAXY)									
	12/4/17	16,000	11.243	10.258	179.89	164.12	(15.77) LT H		
	12/28/17	331,000	12.127	10.258	4,013.97	3,395.23	(618.74) LT		
	10/12/18	102,000	9.104	10.258	928.57	1,046.26	117.69 LT		
	11/6/19	1,000	9.410	10.258	9.41	10.26	0.85 ST		
	11/6/19	525,000	9.426	10.258	4,948.76	5,385.19	436.43 ST		
Total		975,000			10,080.60	10,001.06	(516.82) LT		
							437.28 ST		
Basis Adjustment Due to Wash Sale, \$38.77, Asset Class Equities									
KASIKORNBANK PUB CO LTD UNSPON (KPCCPY)									
	12/28/17	52,000	28.792	20.050	1,497.19	1,042.60	(454.59) LT		
	12/28/17	2,000	28.790	20.050	57.58	40.10	(17.48) LT		
	12/28/17	5,000	28.800	20.050	144.00	100.25	(43.75) LT		
	12/28/17	8,000	28.800	20.050	230.40	160.40	(70.00) LT		
	12/28/17	8,000	28.800	20.050	230.40	160.40	(70.00) LT		
	12/28/17	3,000	28.793	20.050	86.38	60.15	(26.23) LT		
	5/29/19	1,000	23.300	20.050	23.30	20.05	(3.25) ST		
	5/29/19	12,000	23.296	20.050	279.55	240.60	(38.95) ST		
	11/6/19	108,000	19.730	20.050	2,130.84	2,165.40	34.56 ST		
Total		199,000			4,679.64	3,989.95	(682.05) LT	79.00	1.98
							(7.64) ST		
Asset Class Equities									
KB FINANCIAL GRP INC SONS ADR (KB)									
	12/28/17	92,000	58.292	41.370	5,362.86	3,806.04	(1,556.82) LT		
	4/5/19	13,000	40.230	41.370	522.99	537.81	14.82 ST		
	7/15/19	3,000	37.503	41.370	112.51	124.11	11.60 ST		
	11/6/19	129,000	37.016	41.370	4,775.06	5,336.73	561.67 ST		
Total		237,000			10,773.42	9,804.69	(1,556.82) LT	326.00	3.32
							588.09 ST		
Asset Class Equities									
KIMBERLY CLARK SPON ADR (KCDMY)									
	12/28/17	169,000	8.537	9.867	1,442.70	1,667.52	224.82 LT		
	4/5/19	25,000	8.445	9.867	211.13	246.68	35.55 ST		
	11/6/19	1,000	10.210	9.867	10.21	9.87	(0.34) ST		

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	11/6/19	165,000	10.170	9.867	1,678.05	1,628.06	(49.99) ST		
Total		360,000			3,342.09	3,552.12	224.82 LT (14.78) ST	146.00	4.11
<i>Asset Class Equities</i>									
KNORR BREWSE AG ADR (KNRRY)									
	7/16/19	81,000	26.648	25.280	2,158.52	2,047.68	(110.84) ST		
	7/17/19	99,000	26.791	25.280	2,652.34	2,502.72	(149.62) ST		
	11/6/19	190,000	25.380	25.280	4,822.20	4,803.20	(19.00) ST		
Total		370,000			9,633.06	9,353.60	(279.46) ST	116.00	1.24
<i>Asset Class Equities</i>									
KOC HLDG AS UNSPON ADR (KHOLY)									
	12/28/17	91,000	24.090	17.050	2,192.19	1,551.55	(640.64) LT		
	5/30/18	27,000	16.260	17.050	439.03	460.35	21.32 LT		
	2/22/19	30,000	16.879	17.050	506.37	511.50	5.13 ST		
	2/25/19	12,000	17.437	17.050	209.24	204.60	(4.64) ST		
	8/16/19	28,000	16.200	17.050	453.59	477.40	23.81 ST		
	11/6/19	182,000	16.758	17.050	3,049.87	3,103.10	53.23 ST		
Total		370,000			6,850.29	6,308.50	(619.32) LT 77.53 ST	98.00	1.55
<i>Asset Class Equities</i>									
KOMINKLIKE PHIL EL SP ADR NEW (PHG)									
	7/16/19	91,000	44.608	48.800	4,059.35	4,440.80	381.45 ST		
	9/10/19	23,000	46.387	48.800	1,066.89	1,122.40	55.51 ST		
	11/6/19	116,000	43.947	48.800	5,097.83	5,660.80	562.97 ST		
Total		230,000			10,224.07	11,224.00	999.93 ST	188.00	1.67
<i>Asset Class Equities</i>									
LIFE HEALTHCARE GRP HLDGS LTD (LTGHY)									
	12/28/17	124,000	8.923	7.075	1,106.45	877.30	(229.15) LT		
	7/10/18	1,000	7.620	7.075	7.62	7.08	(0.54) LT		
	4/5/19	20,000	7.830	7.075	156.60	141.50	(15.10) ST		
	8/19/19	170,000	5.723	7.075	972.96	1,202.75	229.79 ST		
	11/6/19	340,000	6.533	7.075	2,221.36	2,405.50	184.14 ST		
Total		655,000			4,464.99	4,634.13	(229.69) LT 398.83 ST	119.00	2.57
<i>Next Dividend Payable 01/02/20, Asset Class Equities</i>									
LINDE PLC (LIN)									
	12/28/17	15,000	163.455	212.900	2,451.82	3,193.50	741.68 LT		
	11/6/19	12,000	200.625	212.900	2,407.50	2,554.80	147.30 ST		
Total		27,000			4,859.32	5,748.30	741.68 LT 147.30 ST	95.00	1.65
<i>Next Dividend Payable 03/2020, Asset Class Equities</i>									

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est. Ann Income	Current Yield %
LLOYDS BANKING GROUP PLC (LYG)									
	12/28/17	54 000	3 717	3 310	200 71	178 74	(21 97) LT		
	12/28/17	19 000	3 717	3 310	70 62	62 89	(7 73) LT		
	12/28/17	390 000	3 717	3 310	1,449 55	1,290 90	(158 65) LT		
	1/15/18	153 000	3 884	3 310	594 28	506 43	(87 85) LT H		
	11/14/18	942 000	3 074	3 310	2,895 71	3,118 02	222 31 LT		
	4/23/19	1,128 000	3 373	3 310	3,804 18	3,733 68	(70 50) ST		
	10/24/19	254 000	3 034	3 310	770 64	840 74	70 10 ST		
	10/24/19	120 000	3 034	3 310	364 08	397 20	33 12 ST		
	11/6/19	2 000	2 925	3 310	5 85	6 62	0 77 ST		
	11/6/19	1,642 000	2 925	3 310	4,802 85	5,435 02	632 17 ST		
	11/6/19	1 000	2 930	3 310	2 93	3 31	0 38 ST		
	11/6/19	1,685 000	2 925	3 310	4,928 63	5,577 35	648 72 ST		
	Total	6,390 000			19,890 03	21,150 90	(53 89) LT	1,048 00	4 96
							1,314 76 ST		

Basis Adjustment Due to Wash Sale §78 29, Asset Class Equities

LONZA GROUP AG ZUERICH ADR (LZAGY)									
	12/28/17	50 000	26 812	36 360	1,340 62	1,818 00	477 38 LT		
	2/15/18	64 000	26 230	36 360	1,678 72	2,327 04	648 32 LT		
	5/11/18	43 000	26 528	36,360	1,140 69	1,563 48	422 79 LT		
	11/6/19	153 000	34 820	36 360	5,327 46	5,563 08	235 62 ST		
	Total	310 000			9,487 49	11,271 60	1,548 49 LT	148 00	1 31
							235 62 ST		

Asset Class Equities

MAKITA CORPORATION LTD ADR NEW (MKTAV)									
	12/28/17	68 000	42 155	34 804	2,866 54	2,366 67	(499 87) LT		
	5/17/18	9 000	48 292	34 804	434 63	313 24	(121 39) LT		
	3/5/19	6 000	35 625	34 804	213 75	208 82	(4 93) ST		
	9/11/19	23 000	31 453	34 804	723 42	800 49	77 07 ST		
	11/6/19	104 000	34 285	34 804	3,565 64	3,619 62	53 98 ST		
	Total	210 000			7,803 98	7,308 84	(621 26) LT	104 00	1 42
							126 12 ST		

Asset Class Equities

MC CORMICK AND CO NON VOTING (MCK)									
	12/28/17	5 000	101 980	169 730	509 90	848 65	338 75 LT		
	1/28/19	1 000	121 050	169 730	121 05	169 73	48 68 ST		
	1/29/19	1 000	121 000	169 730	121 00	169 73	48 73 ST		
	1/30/19	2 000	123 000	169 730	246 00	339 46	93 46 ST		
	1/31/19	1 000	123 820	169 730	123 82	169 73	45 91 ST		
	2/5/19	2 000	124 155	169 730	248 31	339 46	91 15 ST		
	11/6/19	10 000	159 531	169 730	1,595 31	1,697 30	101 99 ST		

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Total		22 000			2,965.39	3,734.06	338.75 LT 429.92 ST	55.00	1.47
<i>Next Dividend Payable 01/13/20, Asset Class Equities</i>									
MICROSOFT CORP (MSFT)	10/8/15	58 000	47 008	157 700	2,726.48	9,146.60	6,420.12 LT 1		
	11/6/19	83 000	143 893	157 700	11,943.08	13,089.10	1,146.02 ST		
Total		141 000			14,669.56	22,235.70	6,420.12 LT 1,146.02 ST	288.00	1.30
<i>Next Dividend Payable 03/20/20, Asset Class Equities</i>									
MOBILE TELESYSTEMS PJSC (MBT)	12/1/17	26 000	10 051	10 150	261.32	263.90	2.58 LT H		
	12/2/17	17 000	9 956	10 150	169.25	172.55	3.30 LT H		
	12/28/17	142 000	10 147	10 150	1,440.88	1,441.30	0.42 LT		
	4/5/19	47 000	8 060	10 150	378.83	477.05	98.22 ST		
	5/2/19	7 000	8 004	10 150	56.03	71.05	15.02 ST		
	11/6/19	178 000	9 267	10 150	1,649.49	1,806.70	157.21 ST		
Total		417 000			3,955.80	4,232.55	6.30 LT 270.45 ST	303.00	7.16
<i>Basis Adjustment Due to Wash Sale \$32.10, Asset Class Equities</i>									
MONDI PLC ADR (MONDY)	7/12/19	17 000	44 984	47 270	764.73	803.59	38.86 ST		
	7/15/19	27 000	45 939	47 270	1,240.36	1,276.29	35.93 ST		
	8/15/19	9 000	38 950	47 270	350.55	425.43	74.88 ST		
	10/8/19	6 000	38 402	47 270	230.41	283.62	53.21 ST		
	11/6/19	59 000	44 250	47 270	2,610.75	2,788.93	178.18 ST		
Total		118 000			5,196.80	5,577.86	381.06 ST	203.00	3.64
<i>Asset Class Equities</i>									
MURATA MANUFACTURING CO LTD (MRAAY)	11/13/18	234 000	12 385	15 320	2,898.02	3,584.88	686.86 LT		
	11/14/18	31 000	12 503	15 320	387.58	474.92	87.34 LT		
	7/23/19	52 000	11 081	15 320	576.22	796.64	220.42 ST		
	11/6/19	321 000	14 350	15 320	4,606.35	4,917.72	311.37 ST		
Total		638 000			8,468.17	9,774.16	774.20 LT 531.79 ST	197.00	2.02
<i>Asset Class Equities</i>									
NEDBANK GRP LTD SPON ADR (NDBKY)	12/28/17	116 000	20 353	15 400	2,360.89	1,786.40	(574.49) LT		
	8/15/19	4 000	14 818	15 400	59.27	61.60	2.33 ST		
	11/6/19	4 000	16 440	15 400	65.76	61.60	(4.16) ST		
	11/6/19	117 000	16 372	15 400	1,915.58	1,801.80	(113.78) ST		

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Asset Class Equities									
NESTLE SPON ADR REP REG SHR (NSRGY)									
	Total	241 000			4,401 50	3,711 40	(574 49) LT (115 61) ST	183 00	4 93
	12/28/17	82 000	85 528	108 260	7,013 26	8,877 32	1,864 06 LT		
	11/6/19	82 000	105 660	108 260	8,664 12	8,877 32	213 20 ST		
	12/23/19	9 000	108 679	108 260	978 11	974 34	(3 77) ST		
	Total	173 000			16,655 49	18,728 98	1,864 06 LT 209 43 ST	352 00	1 88
Next Dividend Payable 05/2020, Asset Class Equities									
NETEASE.COM INC ADS (NTES)									
	5/29/18	2 000	231 620	306 640	463 24	613 28	150 04 LT		
	10/11/18	7 000	209 819	306 640	1,468 73	2,146 48	677 75 LT		
	11/6/19	16 000	299 944	306 640	4,799 10	4,906 24	107 14 ST		
	Total	25 000			6,731 07	7,666 00	827 79 LT 107 14 ST	159 00	2 07
Next Dividend Payable 03/2020, Asset Class Equities									
MINTENDO CO LTD ADR NEW (NTDOY)									
	12/28/17	113 000	45 445	49 900	5,135 28	5,638 70	503 42 LT		
	1/10/18	14 000	50 145	49 900	702 03	698 60	(3 43) LT H		
	1/18/19	42 000	39 173	49 900	1,645 25	2,095 80	450 55 ST		
	11/6/19	143 000	48 228	49 900	6,896 64	7,135 70	239 06 ST		
	Total	312 000			14,379 20	15,568 80	499 99 LT 689 61 ST	265 00	1 70
Basis Adjustment Due to Wash Sale \$26 83, Asset Class Equities									
NORDEA BANK ABP SPON ADR (NRDBY)									
	8/30/18	114 000	10 840	8 120	1,235 76	925 68	(310 08) LT		
	8/30/18	43 000	10 897	8 120	468 57	349 16	(119 41) LT H		
	8/31/18	280 000	10 851	8 120	3,038 23	2,273 60	(764 63) LT		
	4/4/19	112 000	8 219	8 120	920 53	909 44	(11 09) ST		
	11/6/19	677 000	7 468	8 120	5,055 84	5,497 24	441 40 ST		
	Total	1,226 000			10,718 93	9,955 12	(1,194 12) LT 430 31 ST	642 00	6 45
Basis Adjustment Due to Wash Sale \$115 15, Asset Class Equities									
NORSK HYDRO AS ADR SPONSORED (NHVDY)									
	11/28/17	16 000	7 339	3 690	117 42	59 04	(58 38) LT H		
	12/28/17	460 000	7 588	3 690	3,490 25	1,697 40	(1,792 85) LT		
	1/17/18	14 000	7 721	3 690	108 10	51 66	(56 44) LT H		
	1/17/18	21 000	7 787	3 690	163 53	77 49	(86 04) LT H		
	3/28/18	91 000	5 884	3 690	535 44	335 79	(199 65) LT		
	11/6/18	187 000	5 334	3 690	997 53	690 03	(307 50) LT		
	4/25/19	275 000	4 475	3 690	1,230 52	1,014 75	(215 77) ST		

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est. Ann Income	Current Yield %
	11/6/19	1,000	3,850	3,690	3,690	3,690	(16) ST		
	11/6/19	1,066,000	3,848	3,690	4,101,440	3,933,540	(167,900) ST		
Total		2,131,000			10,748,080	7,863,390	(2,500,860) LT (383,830) ST	232,000	2,95%
<i>Basis Adjustment Due to Wash Sale \$168,020, Asset Class Equities</i>									
NUTANIX INC CL A (NTNX)									
	10/9/18	8,000	40,770	31,260	326,160	250,080	(76,080) LT		
	10/11/18	13,000	39,073	31,260	507,950	406,380	(101,570) LT		
	10/16/18	1,000	41,660	31,260	41,660	31,260	(10,400) LT		
	10/23/18	5,000	38,884	31,260	194,420	156,300	(38,120) LT		
	12/18/18	10,000	40,683	31,260	406,630	312,600	(94,230) LT		
	3/1/19	10,000	34,139	31,260	341,390	312,600	(28,790) ST		
	11/6/19	35,000	27,525	31,260	963,380	1,094,100	130,720 ST		
Total		82,000			2,781,790	2,563,320	(320,400) LT 101,930 ST		
<i>Asset Class Equities</i>									
NUTRIEN LTD (NTR)									
	12/28/17	3,000	51,787	47,910	155,360	143,730	(11,630) LT		
	12/28/17	51,000	51,787	47,910	2,641,160	2,443,410	(197,750) LT		
	3/1/18	21,000	49,486	47,910	1,039,210	1,006,110	(33,100) LT		
	6/19/19	76,000	54,251	47,910	4,123,080	3,641,160	(481,920) ST		
	11/6/19	72,000	49,257	47,910	3,546,520	3,449,520	(97,000) ST		
	11/6/19	106,000	49,259	47,910	5,221,480	5,078,460	(143,020) ST		
Total		329,000			16,726,810	15,762,390	(242,480) LT (721,940) ST	592,000	3,76%
<i>Next Dividend Payable 01/16/20, Asset Class Equities</i>									
NVIDIA CORPORATION (NVDA)									
	11/16/18	5,000	165,626	235,300	828,130	1,176,500	348,370 LT		
	11/20/18	1,000	148,580	235,300	148,580	235,300	86,720 LT		
	12/10/18	4,000	150,885	235,300	603,540	941,200	337,660 LT		
	1/30/19	3,000	135,167	235,300	405,500	705,900	300,400 ST		
	11/6/19	13,000	207,250	235,300	2,694,250	3,058,900	364,650 ST		
	11/18/19	6,000	210,583	235,300	1,263,500	1,411,800	148,300 ST		
Total		32,000			5,943,500	7,529,600	772,750 LT 813,350 ST	20,000	0,27%
<i>Next Dividend Payable 03/20/20, Asset Class Equities</i>									
NXP SEMICONDUCTORS NV (NXPI)									
	12/13/18	8,000	78,464	127,260	627,710	1,018,080	390,370 LT		
	12/27/18	33,000	71,091	127,260	2,345,990	4,199,580	1,853,590 LT		
	11/6/19	37,000	116,003	127,260	4,292,110	4,708,620	416,510 ST		

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Total		78,000			7,265.81	9,926.28	2,243.96 LT 416.51 ST	117.00	1.18
<i>Next Dividend Payable 01/06/20, Asset Class Equities</i>									
OCADO GROUP PLC SPONSORED ADR (OCDDY)	11/6/18	127,000	22.459	34.498	2,852.29	4,381.19	1,528.90 LT		
	11/6/19	123,000	35.047	34.498	4,310.84	4,243.19	(67.65) ST		
Total		250,000			7,163.13	8,624.38	1,528.90 LT (67.65) ST		
<i>Asset Class Equities</i>									
ORACLE CORP (ORCL)	1/14/16	73,000	34.530	52.980	2,520.69	3,867.54	1,346.85 LT		
	11/6/19	67,000	55.747	52.980	3,735.08	3,549.66	(185.42) ST		
Total		140,000			6,255.77	7,417.20	1,346.85 LT (185.42) ST	134.00	1.81
<i>Next Dividend Payable 01/20/20, Asset Class Equities</i>									
OTSUKA HOLDINGS CO LTD UNS ADR (OTSKY)	12/28/17	184,000	22.082	22.280	4,063.09	4,099.52	36.43 LT		
	4/6/18	2,000	25.750	22.280	51.50	44.56	(6.94) LT		
	4/5/19	48,000	19.760	22.280	948.48	1,069.44	120.96 ST		
	11/6/19	216,000	20.402	22.280	4,406.83	4,812.48	405.65 ST		
Total		450,000			9,469.90	10,026.00	29.49 LT 526.61 ST	158.00	1.58
<i>Asset Class Equities</i>									
OVERSEA-CHINESE BKG CORP LTD (OVGHY)	12/28/17	301,000	18.687	16.200	5,624.84	4,876.20	(748.64) LT		
	11/6/19	298,000	16.560	16.200	4,934.88	4,827.60	(107.28) ST		
Total		599,000			10,559.72	9,703.80	(748.64) LT (107.28) ST	370.00	3.81
<i>Asset Class Equities</i>									
PALO ALTO NETWORKS INC (PANW)	12/28/17	14,000	146.576	231.250	2,052.06	3,237.50	1,185.44 LT		
	11/6/19	15,000	234.305	231.250	3,514.58	3,468.75	(45.83) ST		
Total		29,000			5,566.64	6,706.25	1,185.44 LT (45.83) ST		
<i>Asset Class Equities</i>									
PIONEER NATURAL RESOURCES CO (PXD)	12/28/17	11,000	172.465	151.370	1,897.11	1,665.07	(232.04) LT		
	1/4/18	2,000	164.000	151.370	328.00	302.74	(25.26) LT H		
	5/29/19	2,000	145.635	151.370	291.27	302.74	11.47 ST		
	11/6/19	21,000	131.830	151.370	2,768.42	3,178.77	410.35 ST		
Total		36,000			5,284.80	5,449.32	(257.30) LT 421.82 ST	63.00	1.16

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est. Ann. Income	Current Yield %
<i>Next Dividend Payable 01/14/20, Basis Adjustment Due to Wash Sale \$22.66, Asset Class Equities</i>									
PISC GAZPROM SPON ADR (OGZPY)	12/28/17	212,000	4,468	8,220	947,111	1,742,640	795,530 LT		
	3/15/19	71,000	4,649	8,220	330,100	583,620	253,520 ST		
	11/6/19	230,000	8,235	8,220	1,894,050	1,890,600	(3,450) ST		
Total		513,000			3,171,261	4,216,860	795,530 LT 250,070 ST	209,000	4.96%
<i>Asset Class Equities</i>									
PJSC LUKOIL SPONSORED ADR (LUKOY)	12/28/17	40,000	57,465	98,710	2,298,600	3,948,400	1,649,800 LT		
	11/6/19	45,000	94,940	98,710	4,272,300	4,441,950	169,650 ST		
Total		85,000			6,570,900	8,390,350	1,649,800 LT 169,650 ST	392,000	4.67%
<i>Asset Class Equities</i>									
PROSUS N V SPONSORED ADR (PROSY)	9/17/19	66,000	15,985	14,900	1,055,010	983,410	(71,600) ST		
	10/18/19	226,000	14,941	14,900	3,376,760	3,367,420	(9,340) ST		
	11/6/19	363,000	13,950	14,900	5,063,850	5,408,740	344,890 ST		
	11/6/19	1,000	13,950	14,900	13,950	14,900	0,950 ST		
	11/13/19	342,000	13,349	14,900	4,565,220	5,095,830	530,610 ST		
Total		998,000			14,074,790	14,870,300	795,510 ST		
<i>Asset Class Equities</i>									
PT ASTRA INTERNATIONAL TBK ADR (PTAIY)	12/3/17	3,000	11,487	9,890	34,460	29,670	(4,790) LT H		
	12/28/17	265,000	11,978	9,890	3,174,300	2,620,850	(553,450) LT		
	3/11/19	80,000	10,073	9,890	805,810	791,200	(14,610) ST		
	11/6/19	354,000	9,849	9,890	3,486,510	3,501,060	14,550 ST		
Total		702,000			7,501,080	6,942,780	(558,240) LT (0,060) ST	146,000	2.10%
<i>Basis Adjustment Due to Wash Sale \$4.24, Asset Class Equities</i>									
PT BK MANDIRI PERSERO TBK UNSP (PPERV)	12/28/17	371,000	11,797	11,100	4,376,870	4,118,100	(258,770) LT		
	4/5/19	64,000	10,820	11,100	692,480	710,400	17,920 ST		
	11/6/19	461,000	9,988	11,100	4,604,240	5,117,100	512,860 ST		
Total		896,000			9,673,590	9,945,600	(258,770) LT 530,780 ST	213,000	2.14%
<i>Asset Class Equities</i>									
PT TELEKOMUNIKASI INDONESIA (TLK)	12/28/17	93,000	32,005	28,500	2,976,470	2,650,500	(325,970) LT		
	4/5/19	16,000	28,465	28,500	455,440	456,000	0,560 ST		
	11/6/19	103,000	29,144	28,500	3,001,800	2,935,500	(66,300) ST		

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Total		212,000			6,433.71	6,042.00	(325.97) LT (65.74) ST	133.00	2.20
<i>Asset Class Equities</i>									
QUALCOMM INC (QCOM)	5/7/18	18,000	52,946	88.230	953.03	1,588.14	635.11 LT		
	5/8/18	12,000	52,568	88.230	630.81	1,058.76	427.95 LT		
	5/23/18	13,000	58,198	88.230	756.57	1,146.99	390.42 LT		
	8/1/19	10,000	71,419	88.230	714.19	882.30	168.11 ST		
	11/6/19	34,000	84,865	88.230	2,885.41	2,999.82	114.41 ST		
Total		87,000			5,940.01	7,676.01	1,453.48 LT 282.52 ST	216.00	2.81
<i>Next Dividend Payable 03/2020, Asset Class Equities</i>									
RECKITT BENCKISER PLC SPNS ADR (RBGLY)	12/2/19	573,000	16,018	16.560	9,178.08	9,488.88	310.80 ST	233.00	2.46
<i>Asset Class Equities</i>									
REPSOL SA ADR OPTDIV RTS		551,000		N/A	Please Provide	N/A	N/A		
<i>Asset Class Equities</i>									
REPSOL SA SPON ADR (REPY)	10/1/18	122,000	20,106	15.680	2,452.90	1,912.96	(539.94) LT		
	11/9/18	141,000	17,911	15.680	2,525.51	2,210.88	(314.63) LT		
	4/5/19	17,000	17,260	15.680	293.42	266.56	(26.86) ST		
	11/6/19	2,000	16,610	15.680	33.22	31.36	(1.86) ST		
	11/6/19	269,000	16,612	15.680	4,468.63	4,217.92	(250.71) ST		
Total		551,000			9,773.68	8,639.68	(854.57) LT (279.43) ST	452.00	5.23
<i>Asset Class Equities</i>									
ROCHE HOLDINGS ADR (RHHBY)	12/28/17	4,000	31,518	40.660	126.07	162.64	36.57 LT		
	12/28/17	3,000	31,520	40.660	94.56	121.98	27.42 LT		
	12/28/17	58,000	31,518	40.660	1,828.03	2,358.28	530.25 LT		
	12/28/17	1,000	31,520	40.660	31.52	40.66	9.14 LT		
	12/28/17	3,000	31,517	40.660	94.55	121.98	27.43 LT		
	12/28/17	1,000	31,520	40.660	31.52	40.66	9.14 LT		
	12/28/17	85,000	31,518	40.660	2,679.01	3,456.10	777.09 LT		
	4/6/18	13,000	28,281	40.660	367.65	528.58	160.93 LT		
	1/15/19	36,000	32,568	40.660	1,172.43	1,463.76	291.33 ST		
	2/25/19	148,000	34,596	40.660	5,120.22	6,017.68	897.46 ST		
	2/26/19	32,000	34,804	40.660	1,113.74	1,301.12	187.38 ST		
	2/26/19	2,000	34,745	40.660	69.49	81.32	11.83 ST H		
	2/26/19	15,000	34,743	40.660	521.15	609.90	88.75 ST H		
	11/6/19	110,000	37,160	40.660	4,087.60	4,472.60	385.00 ST		

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	11/6/19	7,000	37.160	40.660	260.12	284.62	24.50 ST		
	11/6/19	281,000	37.160	40.660	10,441.96	11,425.46	983.50 ST		
Total		799,000			28,039.62	32,487.34	1,577.97 LT 2,869.75 ST	542.00	1.67
<i>Basis Adjustment Due to Wash Sale \$11.03, Asset Class: Equities</i>									
ROLLS ROYCE HOLDINGS PLC (RYCEY)	5/16/19	88,000	12.156	9.010	1,069.73	792.88	(276.85) ST		
	5/17/19	124,000	12.166	9.010	1,508.63	1,117.24	(391.39) ST		
	5/20/19	87,000	12.167	9.010	1,058.57	783.87	(274.70) ST		
	5/21/19	47,000	12.053	9.010	566.48	423.47	(143.01) ST		
	11/6/19	565,000	10.055	9.010	5,681.08	5,090.65	(590.43) ST		
Total		911,000			9,884.49	8,208.11	(1,676.38) ST	115.00	1.40
<i>Next Dividend Payable 01/13/20, Asset Class: Equities</i>									
ROYAL DSM NV SPONSORED ADR (RDSMY)	12/28/17	162,000	24.259	32.640	3,930.03	5,287.68	1,357.65 LT		
	6/3/19	61,000	28.631	32.640	1,746.48	1,991.04	244.56 ST		
	11/6/19	205,000	32.130	32.640	6,586.65	6,691.20	104.55 ST		
Total		428,000			12,263.16	13,969.92	1,357.65 LT 349.11 ST	220.00	1.57
<i>Asset Class: Equities</i>									
ROYAL DUTCH SHELL PLC (RDSA)	12/28/17	4,000	66.585	58.980	266.34	235.92	(30.42) LT		
	12/28/17	41,000	66.587	58.980	2,730.06	2,418.18	(311.88) LT		
	12/29/17	9,000	66.321	58.980	596.89	530.82	(66.07) LT H		
	12/30/17	17,000	66.899	58.980	1,137.29	1,002.66	(134.63) LT H		
	6/5/19	30,000	62.621	58.980	1,878.64	1,769.40	(109.24) ST		
	11/6/19	51,000	59.805	58.980	3,050.06	3,007.98	(42.08) ST		
Total		152,000			9,659.28	8,964.96	(543.00) LT (151.32) ST	486.00	5.42
<i>Next Dividend Payable 03/20/20, Basis Adjustment Due to Wash Sale \$179.25, Asset Class: Equities</i>									
RWE AG SPONSORED ADR (RWE0Y)	7/31/19	65,000	27.243	30.660	1,770.81	1,992.90	222.09 ST		
	8/1/19	74,000	27.421	30.660	2,029.17	2,268.84	239.67 ST		
	8/2/19	12,000	27.782	30.660	333.38	367.92	34.54 ST		
	8/5/19	3,000	27.860	30.660	83.58	91.98	8.40 ST		
	11/6/19	153,000	29.845	30.660	4,566.29	4,690.98	124.69 ST		
Total		307,000			8,783.23	9,412.62	629.39 ST	171.00	1.82
<i>Asset Class: Equities</i>									

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
SALESFORCE COM, INC (CRM)									
	11/6/19	10,000	158.904	162.640	1,589.04	1,626.40	37.36 ST		
	11/11/19	6,000	161.345	162.640	968.07	975.84	7.77 ST		
	11/13/19	6,000	163.192	162.640	979.15	975.84	(3.31) ST		
	11/20/19	3,000	164.677	162.640	494.03	487.92	(6.11) ST		
	11/25/19	5,000	162.278	162.640	811.39	813.20	1.81 ST		
Total		30,000			4,841.68	4,879.20	37.52 ST		
<i>Asset Class: Equities</i>									
SANDS CHINA LTD UNSPONSORE ADR (SCHYY)									
	11/6/19	178,000	51.387	53.450	9,146.94	9,514.10	367.16 ST	434.00	4.56
<i>Asset Class: Equities</i>									
SANLAM LTD ADR (SLLDV)									
	12/28/17	147,000	13.873	11.520	2,039.26	1,693.44	(345.82) LT		
	4/5/19	17,000	10.970	11.520	186.49	195.84	9.35 ST		
	11/6/19	182,000	11.330	11.520	2,062.06	2,096.64	34.58 ST		
Total		346,000			4,287.81	3,985.92	(345.82) LT	116.00	2.91
<i>Asset Class: Equities</i>									
SANOFI ADR (SNW)									
	12/13/19	93,000	49.949	50.200	4,645.29	4,668.60	23.31 ST	108.00	2.31
<i>Asset Class: Equities</i>									
SAP AG (SAP)									
	12/28/17	23,000	121.263	133.990	2,789.05	3,081.77	292.72 LT H		
	12/28/17	10,000	120.572	133.990	1,205.72	1,339.90	134.18 LT H		
	11/13/18	18,000	103.687	133.990	1,866.37	2,411.82	545.45 LT		
	5/20/19	51,000	125.463	133.990	6,398.61	6,833.49	434.88 ST		
	11/6/19	51,000	134.926	133.990	6,881.23	6,833.49	(47.74) ST		
	11/6/19	50,000	134.926	133.990	6,746.31	6,699.50	(46.81) ST		
	11/6/19	3,000	134.927	133.990	404.78	401.97	(2.81) ST		
Total		206,000			26,292.07	27,601.94	972.35 LT	248.00	0.90
<i>Basis Adjustment Due to Wash Sale \$249.13, Asset Class: Equities</i>									
SBERBANK RUSSIA SPONSORED ADR (SBRCY)									
	12/7/17	29,000	16.748	16.400	485.69	475.60	(10.09) LT H		
	12/28/17	248,000	16.858	16.400	4,180.66	4,067.20	(113.46) LT		
	4/5/19	29,000	13.990	16.400	405.71	475.60	69.89 ST		
	11/6/19	1,000	14.900	16.400	14.90	16.40	1.50 ST		
	11/6/19	325,000	14.919	16.400	4,848.74	5,330.00	481.26 ST		
Total		632,000			9,935.70	10,364.80	(123.55) LT	528.00	5.09
<i>Basis Adjustment Due to Wash Sale \$79.98, Asset Class: Equities</i>									

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est. Ann Income	Current Yield %
<i>Asset Class Equities</i>									
SCHNEIDER ELEC SA UNSP ADR (SBGSY)	12/28/17	355,000	17,089	20,400	6,066,49	7,242,00	1,175,51 LT		
	11/6/18	73,000	14,615	20,400	1,066,93	1,489,20	422,27 LT		
	1/18/19	73,000	13,881	20,400	1,013,34	1,489,20	475,86 ST		
	11/6/19	389,000	19,113	20,400	7,435,14	7,935,60	500,46 ST		
Total		890,000			15,581,90	18,156,00	1,597,78 LT 976,32 ST	416,00	2,29
<i>Asset Class Equities</i>									
SHINHAN FINANCIAL GROUP CO LTD (SHG)	12/28/17	71,000	46,150	38,070	3,276,64	2,702,97	(573,67) LT		
	4/5/19	9,000	40,302	38,070	362,72	342,63	(20,09) ST		
	11/6/19	87,000	37,069	38,070	3,225,03	3,312,09	87,06 ST		
Total		167,000			6,864,39	6,357,69	(573,67) LT 66,97 ST	178,00	2,80
<i>Asset Class Equities</i>									
SHOPRITE HLDGS LTD SPONSORED A (SRGHY)	12/28/17	104,000	17,445	9,030	1,814,32	939,12	(875,20) LT		
	4/5/19	27,000	11,245	9,030	303,62	243,81	(59,81) ST		
	11/6/19	113,000	9,490	9,030	1,072,37	1,020,39	(51,98) ST		
Total		244,000			3,190,31	2,203,32	(875,20) LT (111,79) ST	38,00	1,72
<i>Asset Class Equities</i>									
SIEMENS HEALTHINEERS AG ADR (SMMNV)	4/2/19	5,000	21,002	23,780	105,01	118,90	13,89 ST H		
	4/3/19	103,000	20,820	23,780	2,144,51	2,449,34	304,83 ST		
	4/4/19	113,000	20,680	23,780	2,336,89	2,687,14	350,25 ST		
	11/6/19	161,000	23,965	23,780	3,858,37	3,828,58	(29,79) ST		
Total		382,000			8,444,78	9,083,96	639,18 ST	113,00	1,24
<i>Basis Adjustment Due to Wash Sale \$1.61 Asset Class Equities</i>									
SMC CORP JAPAN SPONSORED ADR (SMCAY)	12/28/17	183,000	20,850	22,930	3,815,55	4,196,19	380,64 LT		
	3/29/18	53,000	20,083	22,930	1,064,42	1,215,29	150,87 LT		
	7/13/18	54,000	16,890	22,930	912,08	1,238,22	326,14 LT		
	3/5/19	16,000	17,570	22,930	281,12	366,88	85,76 ST		
	11/6/19	297,000	22,675	22,930	6,734,48	6,810,21	75,73 ST		
Total		603,000			12,807,65	13,826,79	857,65 LT 161,49 ST	84,00	0,61
<i>Asset Class Equities</i>									
SMITH & NEPHEW PLC ADR (SNW)	12/28/17	100,000	35,167	48,070	3,516,69	4,807,00	1,290,31 LT		
	11/6/19	113,000	42,867	48,070	4,843,96	5,431,91	587,95 ST		

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Total		213,000			8,360.65	10,238.91	1,290.31 LT 587.95 ST	151.00	1.47
<i>Asset Class: Equities</i>									
SONY CORP ADR 1974 NEW (SNE)									
	8/16/19	111,000	55.772	68.000	6,190.70	7,548.00	1,357.30 ST		
	11/6/19	109,000	60.768	68.000	6,623.72	7,412.00	788.28 ST		
Total		220,000			12,814.42	14,960.00	2,145.58 ST	59.00	0.39
<i>Asset Class: Equities</i>									
SPLUNK INC (SPLK)									
	12/28/17	22,000	82.221	149.770	1,808.86	3,294.94	1,486.08 LT		
	11/6/19	1,000	122.760	149.770	122.76	149.77	27.01 ST		
	11/6/19	17,000	122.764	149.770	2,086.98	2,546.09	459.11 ST		
Total		40,000			4,018.60	5,990.80	1,486.08 LT 486.12 ST		
<i>Asset Class: Equities</i>									
STANDARD BANK GROUP LTD SPON (SGBLY)									
	12/28/17	164,000	15.740	11.880	2,581.36	1,948.32	(633.04) LT		
	4/5/19	1,000	13.850	11.880	13.85	11.88	(1.97) ST		
	11/6/19	185,000	12.298	11.880	2,275.04	2,197.80	(77.24) ST		
Total		350,000			4,870.25	4,158.00	(633.04) LT (79.21) ST	181.00	4.35
<i>Asset Class: Equities</i>									
TAIWAN SMCNDCR MFG CO LTD ADR (TSM)									
	12/28/17	56,000	39.779	58.100	2,227.62	3,253.60	1,025.98 LT		
	12/28/17	3,000	39.777	58.100	119.33	174.30	54.97 LT		
	12/28/17	154,000	39.777	58.100	6,125.65	8,947.40	2,821.75 LT		
	7/12/18	26,000	37.288	58.100	969.50	1,510.60	541.10 LT		
	7/12/18	22,000	37.289	58.100	820.35	1,278.20	457.85 LT		
	8/15/19	13,000	40.659	58.100	528.57	755.30	226.73 ST		
	8/15/19	23,000	40.660	58.100	935.17	1,336.30	401.13 ST		
	11/6/19	193,000	53.612	58.100	10,347.10	11,213.30	866.20 ST		
	11/6/19	110,000	53.612	58.100	5,897.31	6,391.00	493.69 ST		
Total		600,000			27,970.60	34,860.00	4,901.65 LT 1,987.75 ST	1,153.00	3.31
<i>Next Dividend Payable 01/16/20, Asset Class: Equities</i>									
TAKEDA PHARMACEUTCL CO LTD ADR (TAK)									
	1/30/19	216,000	20.416	19.730	4,409.83	4,261.68	(148.15) ST		
	3/5/19	17,000	20.977	19.730	356.61	335.41	(21.20) ST		
	7/15/19	26,000	18.010	19.730	468.27	512.98	44.71 ST		
	9/23/19	53,000	17.444	19.730	924.52	1,045.69	121.17 ST		
	11/6/19	295,000	19.317	19.730	5,698.66	5,820.35	121.69 ST		

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Total		607 000			11,857 89	11,976.11	118 22 ST	386 00	3 22
<i>Asset Class: Equities</i>									
TENCENT HLDGS LTD UNSPON ADR (TCEHY)									
	12/28/17	71 000	52 050	48 010	3,695 57	3,408 71	(286 86) LT		
	9/18/18	49 000	40 879	48 010	2,003 05	2,352 49	349.44 LT		
	9/10/19	12 000	43 390	48 010	520 68	576 12	55 44 ST		
	9/23/19	20 000	42 818	48 010	856 36	960 20	103 84 ST		
	11/6/19	155 000	42 488	48 010	6,585 64	7,441 55	855 91 ST		
Total		307 000			13,661 30	14,739.07	62 58 LT 1,015 19 ST	35 00	0 24
<i>Asset Class: Equities</i>									
TERNIUM S A ADR (TX)									
	11/29/18	36 000	28 728	22 000	1,034 20	792 00	(242 20) LT		
	11/30/18	20 000	28 825	22 000	576 50	440 00	(136 50) LT		
	4/5/19	7 000	28 133	22 000	196 93	154 00	(42 93) ST		
	5/30/19	14 000	25 328	22 000	354 59	308 00	(46 59) ST		
	11/6/19	82 000	20 320	22 000	1,666 24	1,804 00	137 76 ST		
Total		159 000			3,828 46	3,498 00	(378 70) LT 48 24 ST	191 00	5 46
<i>Asset Class: Equities</i>									
TEXAS INSTRUMENTS (TXN)									
	12/28/17	22 000	104 654	128 290	2,302 39	2,822 38	519 99 LT		
	11/6/19	27 000	119 750	128 290	3,233 25	3,463 83	230 58 ST		
Total		49 000			5,535 64	6,286 21	519 99 LT 230 58 ST	176 00	2 80
<i>Next Dividend Payable 02/2020, Asset Class: Equities</i>									
THERMO FISHER SCIENTIFIC (TMO)									
	9/22/15	15 000	124 573	324 870	1,868 60	4,873 05	3,004 45 LT		
	8/13/19	1 000	275 270	324 870	275 27	324 87	49 60 ST		
	11/6/19	16 000	294 402	324 870	4,710 43	5,197 92	487 49 ST		
Total		32 000			6,854 30	10,395 84	3,004 45 LT 537 09 ST	24 00	0 23
<i>Next Dividend Payable 01/15/20, Asset Class: Equities</i>									
TOTAL S A SPON ADR (TOT)									
	12/28/17	78 000	55 674	55 300	4,342 54	4,313 40	(29 14) LT		
	12/28/17	1 000	55 670	55 300	55 67	55 30	(0 37) LT		
	12/28/17	1 000	55 670	55 300	55 67	55 30	(0 37) LT		
	12/28/17	7 740	55 673	55 300	430 91	428 02	(2 89) LT		
	3/1/18	76 260	56 591	55 300	4,315 62	4,217 18	(98 44) LT		
	11/6/19	165 000	54 494	55 300	8,991 59	9,124 50	132 91 ST		

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est. Ann Income	Current Yield %
Total		329,000			18,192.00	18,193.70	(131.21) LT 132.91 ST	785.00	4.31
<i>Next Dividend Payable 01/28/20, Asset Class Equities</i>									
TOYOTA MOTOR CP ADR NEW (TM)	12/28/17	28,000	128.024	140.540	3,584.67	3,935.12	350.45 LT		
	3/28/18	6,000	128.722	140.540	772.33	843.24	70.91 LT		
	3/5/19	2,000	118.375	140.540	236.75	281.08	44.33 ST		
	11/6/19	33,000	140.380	140.540	4,632.54	4,637.82	5.28 ST		
Total		69,000			9,226.29	9,697.26	421.36 LT 49.61 ST	246.00	2.54
<i>Asset Class Equities</i>									
UBER TECHNOLOGIES INC (UBER)	5/10/19	31,000	42.956	29.740	1,331.63	921.94	(409.69) ST		
	6/4/19	12,000	42.105	29.740	505.26	356.88	(148.38) ST		
	6/27/19	11,000	44.548	29.740	490.03	327.14	(162.89) ST		
	8/9/19	8,000	40.051	29.740	320.41	237.92	(82.49) ST		
	11/6/19	131,000	26.854	29.740	3,517.86	3,895.94	378.08 ST		
Total		193,000			6,165.19	5,739.82	(425.37) ST		
<i>Asset Class Equities</i>									
UBS GROUP AG SHS (UBS)	12/28/17	281,000	18.347	12.580	5,155.47	3,534.98	(1,620.49) LT		
	6/15/18	37,000	15.402	12.580	569.89	465.46	(104.43) LT		
	3/5/19	17,000	12.475	12.580	212.08	213.86	1.78 ST		
	11/6/19	325,000	12.364	12.580	4,018.14	4,088.50	70.36 ST		
Total		660,000			9,955.58	8,302.80	(1,724.92) LT 72.14 ST	453.00	5.46
<i>Next Dividend Payable 05/2020, Asset Class Equities</i>									
UMICORE SA ADR (UMICV)	12/28/17	229,000	11.976	12.150	2,742.48	2,782.35	39.87 LT		
	4/5/19	5,000	11.736	12.150	58.68	60.75	2.07 ST		
	11/6/19	223,000	10.740	12.150	2,395.02	2,709.45	314.43 ST		
Total		457,000			5,196.18	5,552.55	39.87 LT 316.50 ST	61.00	1.10
<i>Asset Class Equities</i>									
UNILEVER NV NY SH NEW (UN)	11/29/19	94,000	59.398	57.460	5,583.45	5,401.24	(182.21) ST	145.00	2.68
<i>Next Dividend Payable 03/2020, Asset Class Equities</i>									
UNITED PARCEL SER INC CL-B (UPS)	12/28/17	29,000	118.815	117.060	3,445.63	3,394.74	(50.89) LT		
	6/26/19	2,000	99.165	117.060	198.33	234.12	35.79 ST		
	8/26/19	4,000	113.830	117.060	455.32	468.24	12.92 ST		
	10/2/19	3,000	115.190	117.060	345.57	351.18	5.61 ST		

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	11/6/19	40,000	121.262	117.060	4,850.49	4,682.40	(168.09) ST		
Total		78,000			9,295.34	9,130.68	(164.66) ST	300.00	3.29
<i>Next Dividend Payable 03/2020, Asset Class Equities</i>									
UNITEDHEALTH GP INC (UNH)	12/28/17	24,000	222.354	293.980	5,336.49	7,055.52	1,719.03 LT		
	11/6/19	22,000	250.845	293.980	5,518.60	6,467.56	948.96 ST		
	11/6/19	1,000	250.850	293.980	250.85	293.98	43.13 ST		
Total		47,000			11,105.94	13,817.06	1,719.03 LT 992.09 ST	203.00	1.47
<i>Next Dividend Payable 03/2020, Asset Class Equities</i>									
VESTAS WIND SYSTEMS ADS (VWDRY)	2/20/19	139,000	27.907	33.670	3,879.10	4,680.13	801.03 ST		
	3/5/19	5,000	27.136	33.670	135.68	168.35	32.67 ST		
	9/11/19	33,000	27.052	33.670	892.70	1,111.11	218.41 ST		
	11/6/19	1,000	26.800	33.670	26.80	33.67	6.87 ST		
	11/6/19	175,000	26.813	33.670	4,692.19	5,892.25	1,200.06 ST		
Total		353,000			9,626.47	11,885.51	2,259.04 ST	82.00	0.69
<i>Asset Class Equities</i>									
VISA INC CL A (V)	12/28/17	43,000	114.185	187.900	4,909.95	8,079.70	3,169.75 LT		
	6/4/19	2,000	161.265	187.900	322.53	375.80	53.27 ST		
	6/21/19	1,000	173.720	187.900	173.72	187.90	14.18 ST		
	11/6/19	49,000	177.390	187.900	8,692.11	9,207.10	514.99 ST		
Total		95,000			14,098.31	17,850.50	3,169.75 LT 582.44 ST	114.00	0.64
<i>Next Dividend Payable 03/2020, Asset Class Equities</i>									
VIMWARE INC CLASS A (VIMW)	12/28/17	11,000	115.930	151.790	1,275.23	1,669.69	394.46 LT R		
	10/2/19	2,000	152.230	151.790	304.46	303.58	(0.88) ST		
	11/6/19	15,000	162.605	151.790	2,439.07	2,276.85	(162.22) ST		
	12/9/19	3,000	149.250	151.790	447.75	455.37	7.62 ST		
	12/10/19	2,000	149.340	151.790	298.68	303.58	4.90 ST		
	12/11/19	3,000	149.973	151.790	449.92	455.37	5.45 ST		
	12/12/19	1,000	154.470	151.790	154.47	151.79	(2.68) ST		
Total		37,000			5,369.58	5,616.23	394.46 LT (147.81) ST		
<i>Asset Class Equities</i>									
VODACOM GROUP LIMITED (VDMCY)	12/28/17	212,000	11.440	8.170	2,425.28	1,732.04	(693.24) LT		
	2/5/18	6,000	13.600	8.170	81.60	49.02	(32.58) LT		
	4/5/19	47,000	7.960	8.170	374.12	383.99	9.87 ST		

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	11/6/19	280 000	9 012	8 170	2,523 36	2,287 60	(235 76) ST		
Total		545 000			5,404 36	4,452 65	(725 82) LT (225 89) ST	213 00	4 78
<i>Asset Class Equities</i>									
<i>W W GRAINGER INC (GWM)</i>									
	12/28/17	12 000	237 460	338 520	2,849 52	4,062 24	1,212 72 LT		
	10/19/18	1 000	276 470	338 520	276 47	338 52	62 05 LT		
	11/6/19	11 000	320 136	338 520	3,521 50	3,723 72	202 22 ST		
Total		24 000			6,647 49	8,124 48	1,274 77 LT 202 22 ST	138 00	1 70
<i>Next Dividend Payable 03/2020, Asset Class Equities</i>									
<i>WALT DISNEY CO HLDG CO (DIS)</i>									
	12/18/17	32 000	111 216	144 630	3,558 92	4,628 16	1,069 24 LT		
	1/22/19	1 000	110 520	144 630	110 52	144 63	34 11 ST		
	11/6/19	46 000	131 538	144 630	6,050 77	6,652 98	602 21 ST		
Total		79 000			9,720 21	11,425 77	1,069 24 LT 636 32 ST	139 00	1 22
<i>Next Dividend Payable 01/16/20, Asset Class Equities</i>									
<i>WEICHAI PWR CO LTD UNSPON ADR (WEICY)</i>									
	12/28/17	442 000	8 920	16 867	3,942 59	7,455 21	3,512 62 LT		
	4/5/19	59 000	14 250	16 867	840 75	995 15	154 40 ST		
	11/6/19	505 000	13 948	16 867	7,043 74	8,517 84	1,474 10 ST		
	11/6/19	1 000	13 930	16 867	13 93	16 87	2 94 ST		
Total		1,007 000			11,841 01	16,985 07	3,512 62 LT 1,631 44 ST	377 00	2 22
<i>Asset Class Equities</i>									
<i>WHITBREAD PLC SPONSORED ADR (WTBDV)</i>									
	5/10/18	149 000	14 585	16 220	2,173 17	2,416 78	243 61 LT		
	5/11/18	11 000	14 610	16 220	160 71	178 42	17 71 LT		
	7/12/18	179 000	13 612	16 220	2,436 62	2,903 38	466 76 LT		
	11/6/19	342 000	13 460	16 220	4,603 32	5,547 24	943 92 ST		
Total		681 000			9,373 82	11,045 82	728 08 LT 943 92 ST	193 00	1 75
<i>Next Dividend Payable 06/2020, Asset Class Equities</i>									
<i>ZOETIS INC CLASS-A (ZTS)</i>									
	12/28/17	39 000	72 294	132 350	2,819 47	5,161 65	2,342 18 LT		
	11/6/19	42 000	120 848	132 350	5,075 62	5,558 70	483 08 ST		
Total		81 000			7,895 09	10,720 35	2,342 18 LT 483 08 ST	65 00	0 61
<i>Next Dividend Payable 03/2020, Asset Class Equities</i>									

STOCKS	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est. Ann Income	Current Yield %
	43.47%	\$1,611,411.70	\$1,742,851.19	\$72,229.84 LT \$59,209.73 ST	\$37,278.00	2.14%

EXCHANGE-TRADED & CLOSED-END FUNDS

Global Investment Manager Analysis (GIMA) status codes (FL, AL or NL), may be shown for certain exchange-traded funds and are not guarantees of performance. Refer to "GIMA Status in Investment Advisory Programs" in the June or December statement for a description of these status codes

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est. Ann Income	Current Yield %
ISHARES JP MORGAN EM BOND ETF (EMB)	4/5/19	167,000	\$110.122	\$114.560	\$18,390.44	\$19,131.52	\$741.08 ST		
	11/6/19	175,000	112.647	114.560	19,713.19	20,048.00	334.81 ST		
Total		342,000			38,103.63	39,179.52	1,075.89 ST	1,768.00	4.51
<i>GIMA Status AL, Next Dividend Payable 01/2020, Asset Class FI & Pref</i>									
ISHARES RUSSELL 1000 VALUE ETF (IWD)	12/28/17	1,589,000	124.606	136.480	197,998.62	216,866.72	18,868.10 LT		
	11/6/19	1,631,000	132.420	136.480	215,977.02	222,598.88	6,621.86 ST		
Total		3,220,000			413,975.64	439,465.60	18,868.10 LT 6,621.86 ST	9,976.00	2.27

GIMA Status AL, Next Dividend Payable 03/2020, Asset Class Equities

ISHARES S&P MID-CAP 400 G ETF (IUC)	12/28/17	81,000	216.638	238.110	17,547.65	19,286.91	1,739.26 LT		
	11/6/19	88,000	228.489	238.110	20,107.05	20,953.68	846.63 ST		
Total		169,000			37,654.70	40,240.59	1,739.26 LT 846.63 ST	439.00	1.09

GIMA Status AL, Next Dividend Payable 03/2020, Asset Class Equities

ISHARES S&P MID-CAP 400 V ETF (IUV)	12/28/17	224,000	160.578	170.730	35,969.47	38,243.52	2,274.05 LT		
	11/6/19	242,000	165.965	170.730	40,163.41	41,316.66	1,153.25 ST		
Total		466,000			76,132.88	79,560.18	2,274.05 LT 1,153.25 ST	1,351.00	1.70

GIMA Status AL, Next Dividend Payable 03/2020, Asset Class Equities

ISHARES S&P SMILL-CAP 600 V ETF (IUS)	12/28/17	220,000	154.309	160.800	33,947.87	35,376.00	1,428.13 LT		
	4/5/19	136,000	152.060	160.800	20,680.16	21,868.80	1,188.64 ST		
	11/6/19	380,000	157.518	160.800	59,856.84	61,104.00	1,247.16 ST		
Total		736,000			114,484.87	118,348.80	1,428.13 LT 2,435.80 ST	1,966.00	1.66

GIMA Status AL, Next Dividend Payable 03/2020, Asset Class Equities

ISHARES SMALL CAP 600 G ETF (IUT)	12/28/17	99,000	171.398	193.300	16,968.45	19,136.70	2,168.25 LT		
	11/6/19	110,000	184.223	193.300	20,264.53	21,263.00	998.47 ST		

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Total		209,000			37,232.98	40,399.70	2,168.25 LT 998.47 ST	370.00	0.92
<i>GIMA Status AL, Next Dividend Payable 03/2020, Asset Class Equities</i>									
WISDOMTREE TRUST JAPAN HEDGE EQ (DXJ)	12/4/17	14,000	59,309	53,780	830.32	752.92	(77.40) LT H		
	12/28/17	316,000	59,312	53,780	18,742.69	16,994.48	(1,748.21) LT		
	4/6/18	4,000	55,650	53,780	222.60	215.12	(7.48) LT		
	4/5/19	17,000	52,355	53,780	890.04	914.26	24.22 ST		
	11/6/19	360,000	54,157	53,780	19,496.45	19,360.80	(135.65) ST		
Total		711,000			40,182.10	38,237.58	(1,833.09) LT (111.43) ST	943.00	2.47

GIMA Status AL, Next Dividend Payable 03/2020, Basis Adjustment Due to Wash Sale \$97.35, Asset Class Equities

Security Description	Trade Date	Face Value	Orig Unit Cost	Adj Unit Cost	Unit Price	Orig Total Cost	Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
EXCHANGE-TRADED & CLOSED-END FUNDS								\$757,766.80	\$24,644.70 LT \$13,020.47 ST	\$16,813.00	2.11%
GOVERNMENT SECURITIES											
TREASURY SECURITIES											
UNITED STATES TREASURY NOTE	7/24/18	13,000,000	\$96.238	\$96.238	\$102.621	\$12,510.98	\$12,510.98	\$13,340.73	\$829.75 LT		
Coupon Rate 2.250%, Matures 11/15/2024, CUSIP 912828G38	4/9/19	-	99.512	99.512	102.621	995.12	995.12	1,026.21	31.09 ST		
	9/10/19	1,000,000	103.171	103.171	102.621	1,031.72	1,029.88	1,026.21	(3.67) ST		
	10/15/19	1,000,000	103.030	103.030	102.621	1,030.31	1,029.07	1,026.21	(2.86) ST		
	11/12/19	18,000,000	102.358	102.358	102.621	18,424.69	18,413.28	18,471.78	58.50 ST		
Total		34,000,000				33,992.82	33,978.33	34,891.14	829.75 LT 83.06 ST	765.00	2.19

Int. Semi-Annually May/Nov, Yield to Maturity 1.687%, Moody AAA, Issued 11/17/14, Asset Class FI & Pref

Security Description	Trade Date	Face Value	Orig Unit Cost		Unit Price	Orig Total Cost		Market Value	Unrealized Gain/(Loss)	Est. Ann. Income Accrued Interest	Current Yield %
			Adj	Unit Cost		Adj	Total Cost				
UNITED STATES TREASURY NOTE Coupon Rate 2.250%, Matures 08/15/2027, CUSIP 912828ZRO	1/22/18	11,000,000	96,758	102,856	10,643.36	11,314.16	670.80 LT				
	4/10/18	2,000,000	95,516	102,856	1,910.31	2,057.12	146.81 LT				
	3/5/19	1,000,000	96,590	102,856	965.90	1,028.56	62.66 ST				
	4/9/19	2,000,000	98,485	102,856	1,969.69	2,057.12	87.43 ST				
	9/17/19	1,000,000	103,605	102,856	1,036.06	1,028.56	(6.26) ST				
	10/22/19	1,000,000	103,482	102,856	1,034.82	1,028.56	(9.02) ST				
	11/12/19	20,000,000	103,847	102,856	1,038.48	20,571.20	15.79 ST				
			103,758		1,037.58						
			102,823		20,564.84						
			102,777		20,555.41						
Total		38,000,000	38,128.64		38,117.07	39,085.28	817.61 LT 150.60 ST			855.00 320.62	2.18
<i>Int. Semi-Annually Feb/Aug, Yield to Maturity 1.847%, Moody AAA, Issued 08/15/17, Asset Class FI & Pref</i>											
UNITED STATES TREASURY BOND Coupon Rate 3.125%, Matures 08/15/2044, CUSIP 912810RH3	1/16/18	5,000,000	105,394	114,004	5,269.73	5,700.20	444.25 LT				
	10/2/18	1,000,000	105,119	114,004	5,255.95	1,140.04	154.30 LT				
	9/17/19	1,000,000	98,574	114,004	985.74	1,140.04	(28.81) ST				
	10/22/19	1,000,000	98,574	114,004	985.74	1,140.04	(24.92) ST				
	11/12/19	8,000,000	117,035	114,004	1,170.35	9,120.32	39.19 ST				
			116,885		1,168.85						
			116,594		1,165.94						
			116,496		1,164.96						
			113,570		9,085.62						
			113,514		9,081.13						
Total		16,000,000	17,677.38		17,656.63	18,240.64	598.55 LT (14.54) ST			500.00 187.50	2.74
<i>Int. Semi-Annually Feb/Aug, Yield to Maturity 2.371%, Moody AAA, Issued 08/15/14, Asset Class FI & Pref</i>											
UNITED STATES TREASURY BOND Coupon Rate 2.875%, Matures 11/15/2046, CUSIP 912810RU4	1/16/18	5,000,000	100,452	109,961	5,022.66	5,498.05	476.43 LT				
	2/27/18	1,000,000	100,432	109,961	5,021.62	1,099.61	157.77 LT				
	10/9/18	1,000,000	94,184	109,961	941.84	1,099.61	190.00 LT				
			94,184		941.84						
			90,961		909.61						
			90,961		909.61						

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
	9/10/19	1,000,000	114,563 114,439	109.961	1,145,63 1,144,39	1,099.61	(44.78) ST		
	10/15/19	1,000,000	113,207 113,130	109.961	1,132,07 1,131,30	1,099.61	(31.69) ST		
	11/12/19	11,000,000	109,386 109,352	109.961	12,032.54 12,028.70	12,095.71	67.01 ST		
Total		20,000,000			21,164.35 21,177.46	21,992.20	824.20 LT (9.46) ST	575.00 72.66	2.61
<i>Int. Semi-Annually May/Nov. Yield to Maturity 2.372%, Moody AAA, Issued 11/15/16, Asset Class FI & Pref</i>									
TREASURY SECURITIES		108,000,000			\$110,983.19 \$110,929.49	\$114,209.26	\$3,070.11 LT \$209.66 ST	\$2,695.00 \$677.45	2.36%

FEDERAL AGENCIES

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
FED NATL MTG ASSN	1/16/18	10,000,000	\$93,550 \$93,550	\$99.821	\$9,354.96 \$9,354.96	\$9,982.10	\$627.14 LT		
Coupon Rate 1.875%, Matures 09/24/2026, CUSIP 3135G0QZ2	4/10/18	2,000,000	91.817 91.817	99.821	1,836.34 1,836.34	1,996.42	160.08 LT		
	9/17/19	2,000,000	100,679 100,653	99.821	2,013.58 2,013.05	1,996.42	(16.63) ST		
	10/22/19	2,000,000	100,783 100,763	99.821	2,015.68 2,015.26	1,996.42	(18.84) ST		
	11/12/19	16,000,000	99,799 99,799	99.821	15,967.84 15,967.84	15,971.36	3.52 ST		
Total		32,000,000			31,188.40 31,187.45	31,942.72	787.22 LT (31.95) ST	600.00 161.66	1.87
<i>Int. Semi-Annually Mar/Sep. Yield to Maturity 1.903%, Moody AAA S&P AA+, Issued 09/27/16, Asset Class FI & Pref</i>									
FEDERAL NATIONAL MTG ASSN POOL MA1658	1/10/18	7,000,000	102,783 102,783	103.985	3,197.69 2,163.74	2,189.05	25.31 LT	74.00 6.14	3.38
Coupon Rate 3.500%, Matures 11/01/2028, CUSIP 31418AZY7									
Interest Paid Monthly Oct. Yield to Maturity 2.983%; Factor 3007370, Issued 10/01/13, Current Face 2,105,159, Asset Class FI & Pref									
FED NATL MTG ASSN	1/16/18	4,000,000	131,973 127,156	135.240	5,278.95 5,086.22	5,409.60	323.38 LT		
Coupon Rate 6.250%, Matures 05/15/2029, CUSIP 31359MEU3	11/12/19	4,000,000	135,714 135,241	135.240	5,428.60 5,409.62	5,409.60	(0.02) ST		

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
FEDERAL NATIONAL MTG ASSN POOL MA3692 Coupon Rate 3.500%, Matures 07/01/2049, CUSIP 314180C67	7/10/19	1,000,000	102.360 102.360	102.790	1,019.65 948.95	952.93	3.98 ST		
	11/8/19	32,000,000	102.522 102.522	102.790	31,227.72 30,414.30	30,493.91	79.61 ST		
Total		33,000,000			32,247.37 31,363.25	31,446.84	83.59 ST	1,071.00 89.23	3.40
<i>Interest Paid Monthly Jun, Yield to Maturity 3.350%, Factor 9270693, Issued 06/01/19, Current Face 30,593,288, Asset Class FI & Pref</i>									
FEDERAL NATIONAL MTG ASSN POOL MA3744 Coupon Rate 3.000%, Matures 08/01/2049, CUSIP 31418DES7	7/10/19	1,000,000	100.741 100.741	101.554	1,007.50 975.29	983.16	7.87 ST	29.00 2.42	2.94
<i>Interest Paid Monthly Jul, Yield to Maturity 2.921%, Factor 9681180, Issued 07/01/19, Current Face 968,118, Asset Class FI & Pref</i>									
FHLMC 30 YR GOLD SD-802 Coupon Rate 3.000%, Matures 10/01/2049; CUSIP 3132DV4H5	11/8/19	16,000,000	101.051 101.051	101.463	16,112.95 16,047.67	16,113.14	65.47 ST	476.00 39.70	2.95
<i>Interest Paid Monthly Oct, Yield to Maturity 2.926%, Factor 9925505, Issued 10/01/19, Current Face 15,880,808, Asset Class FI & Pref</i>									
FEDERAL AGENCIES		218,000,000			\$208,756.19 \$187,948.38	\$190,397.39	\$2,218.65 LT \$230.36 ST	\$6,290.00 \$657.92	3.30%
GOVERNMENT SECURITIES									
	Percentage of Holdings	Face Value			Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
	7.63%	326,000,000			\$319,739.38 \$298,877.87	\$304,606.65	\$5,288.76 LT \$440.02 ST	\$8,985.00 \$1,335.37	2.95%
TOTAL GOVERNMENT SECURITIES (includes accrued interest)									

MUTUAL FUNDS

"Total Purchases vs. Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account
 "Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash, due to but not limited to investments made prior to addition of this information on statements, securities transfers, timing of recent distributions, and certain adjustments made in your account

"Net Value Increase/ (Decrease)" reflects the difference between your total purchases, and the sum of the current value of the fund's shares, and cash distributions shown. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes

Global Investment Manager Analysis (GIMA) status codes (FL, AL or NL), may be shown for certain mutual funds and are not guarantees of performance. Refer to "GIMA Status in Investment Advisory Programs" in the June or December statement for a description of these codes

Although share price is displayed only to three decimal places, calculation of Market Value is computed using the full share price in our data base, which may carry out beyond three decimal places

OPEN-END MUTUAL FUNDS

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ALLIANZ FIX INC SHRS SERIES M (FXIMX)	1/10/18	4,850,000	\$10.260	\$10.480	\$49,761.00	\$50,828.00	\$1,067.00	LT	
	1/10/18	130,000	10.340	10.480	1,344.20	1,362.40	18.20	LT H	
	1/10/18	120,000	10.220	10.480	1,226.40	1,257.60	31.20	LT H	
	1/27/18	50,000	10.290	10.480	514.50	524.00	9.50	LT H	
	1/31/18	60,000	10.200	10.480	612.00	628.80	16.80	LT H	
	4/9/18	590,000	10.160	10.480	5,994.40	6,183.20	188.80	LT	
	4/5/19	190,000	10.340	10.480	1,964.60	1,991.20	26.60	ST	
	4/8/19	410,000	10.340	10.480	4,239.40	4,296.80	57.40	ST	
	9/16/19	230,000	10.520	10.480	2,419.60	2,410.40	(9.20)	ST	
	10/15/19	150,000	10.550	10.480	1,582.50	1,572.00	(10.50)	ST	
	11/6/19	7,560,000	10.560	10.480	79,833.60	79,228.80	(604.80)	ST	
Total		14,340,000			149,492.20	150,283.20	1,331.50	LT	4.12
							(540.50)	ST	
Total Purchases vs Market Value									
Cumulative Cash Distributions					149,492.20	150,283.20			
Net Value Increase/(Decrease)						6,446.35			
						7,237.35			
<i>Dividend Cash, Capital Gains Cash, Basis Adjustment Due to Wash Sale \$43.00, Asset Class Fl & Pref</i>									
ALLIANZ FX INC SHRS SERIES C (FXICX)	1/10/18	4,850,000	10.260	10.430	49,761.00	50,585.50	824.50	LT	
	1/10/18	170,000	10.320	10.430	1,754.40	1,773.10	18.70	LT H	
	1/10/18	110,000	10.290	10.430	1,131.90	1,147.30	15.40	LT H	
	1/27/18	50,000	10.290	10.430	514.50	521.50	7.00	LT H	
	1/31/18	80,000	10.200	10.430	816.00	834.40	18.40	LT H	
	4/9/18	610,000	10.110	10.430	6,167.10	6,362.30	195.20	LT	
	4/5/19	200,000	10.220	10.430	2,044.00	2,086.00	42.00	ST	

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	4/8/19	410,000	10.220	10.430	4,190.20	4,276.30	86.10 ST		
	9/16/19	220,000	10.340	10.430	2,274.80	2,294.60	19.80 ST		
	10/18/19	170,000	10.400	10.430	1,768.00	1,773.10	5.10 ST		
	11/6/19	7,520,000	10.420	10.430	78,358.40	78,433.60	75.20 ST		
Total		14,390,000			148,780.30	150,087.70	1,079.20 LT 228.20 ST	5,886.00	3.92
Total Purchases vs Market Value									
Cumulative Cash Distributions					148,780.30	150,087.70			
Net Value Increase/(Decrease)						5,200.33			
Net Value Increase/(Decrease)									
<i>Dividend Cash, Capital Gains Cash, Basis Adjustment Due to Wash Sale \$51.30, Asset Class FI & Pref</i>									
E V INCOME FUND OF BOSTON I (EIBIX)									
	12/28/17	6,585,278	5.727	5.650	37,715.18	37,206.82	(508.36) LT R		
	11/6/19	7,294,272	5.590	5.650	40,774.98	41,212.64	437.66 ST		
Total		13,879,550			78,490.16	78,419.46	(508.36) LT 437.66 ST	4,608.00	5.88
Total Purchases vs Market Value									
Cumulative Cash Distributions					78,490.16	78,419.46			
Net Value Increase/(Decrease)						5,860.89			
Net Value Increase/(Decrease)									
<i>GIMA Status FL, Dividend Cash, Capital Gains Cash, Asset Class FI & Pref</i>									
JOHN HANCOCK SHT DUR CR OPP I (JMBIX)									
	12/16/17	311,428	9.700	9.570	3,020.85	2,980.37	(40.48) LT H		
	1/9/18	19,103,084	9.730	9.570	185,873.02	182,816.51	(3,056.51) LT		
	11/6/19	21,378,126	9.510	9.570	203,305.98	204,588.67	1,282.69 ST		
Total		40,792,638			392,199.85	390,385.55	(3,096.99) LT 1,282.69 ST	14,808.00	3.79
Total Purchases vs Market Value									
Cumulative Cash Distributions					392,199.85	390,385.55			
Net Value Increase/(Decrease)						14,137.14			
Net Value Increase/(Decrease)									
<i>GIMA Status FL, Dividend Cash, Capital Gains Cash, Basis Adjustment Due to Wash Sale \$77.86, Asset Class FI & Pref</i>									
PIMCO INTL BD USD HEDGED 12 (PTBPIX)									
	12/28/17	3,374,488	10.670	10.710	36,005.78	36,140.77	134.99 LT		
	11/6/19	3,552,945	11.200	10.710	39,792.98	38,052.04	(1,740.94) ST		
Total		6,927,433			75,798.76	74,192.81	134.99 LT (1,740.94) ST	1,593.00	2.15
Total Purchases vs Market Value									
Cumulative Cash Distributions					75,798.76	74,192.81			
Net Value Increase/(Decrease)						5,072.23			
Net Value Increase/(Decrease)									
<i>GIMA Status FL, Dividend Cash, Capital Gains Cash, Asset Class FI & Pref</i>									
PIMCO SHORT TERM 12 (PTSPX)									
	12/4/17	497,961	9.860	9.740	4,909.90	4,850.14	(59.76) LT H		
	12/28/17	5,356,603	9.870	9.740	52,869.67	52,173.31	(696.36) LT		
	12/29/17	243,915	9.870	9.740	2,407.44	2,375.73	(31.71) LT H		

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est. Ann Income	Current Yield %
	4/6/18	3,663,082	9.860	9.740	36,117.99	35,678.42	(439.57) LT		
	4/5/19	1,495,609	9.800	9.740	14,656.97	14,567.23	(89.74) ST		
	11/6/19	12,542,636	9.780	9.740	122,666.98	122,165.27	(501.71) ST		
Total		23,799,806			233,628.95	231,810.11	(1,227.40) LT (591.45) ST	5,760.00	2.48
Total Purchases vs Market Value									
Cumulative Cash Distributions									
Net Value Increase/(Decrease)									
<i>GIMA Status AL, Dividend Cash, Capital Gains Cash, Basis Adjustment Due to Wash Sale \$32.32, Asset Class FI & Pref</i>									

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est. Ann Income	Current Yield %
MUTUAL FUNDS	26.82%	\$1,078,390.22	\$1,075,178.83	\$(2,287.06) LT \$(924.34) ST	\$38,850.00	3.61%

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est. Ann Income	Current Yield %
TOTAL VALUE		\$3,746,446.59	\$4,008,173.91	\$99,876.24 LT \$71,745.88 ST	\$102,106.00	2.55%
TOTAL VALUE (includes accrued interest)	100.00%		\$4,009,509.28		\$1,335.37	

Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' or 'Pending Corporate Actions' are not included.

H - Wash sale rules apply to this tax lot. The cost basis and acquisition date (trade date) have been adjusted to account for a disallowed loss of a related wash sale transaction. The aggregate amount of the basis adjustment is identified in italics under the Security Description.

1 - The tax lot information was updated as a result of an internal adjustment and/or information provided by you or a third party.

R - The cost basis was adjusted due to either a return of capital payment and/or a reclassification of income. A return of capital reduces your basis in the security.