

efile GRAPHIC print - DO NOT PROCESS

As Filed Data -

DLN: 93491134010470

Form 990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No. 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

Name of foundation  
JOHN AND JOYCE CADDELL FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address)  
1455 BELL ROAD

City or town, state or province, country, and ZIP or foreign postal code  
MONTGOMERY, AL 36117

G Check all that apply:

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)  $\blacktriangleright$  \$ 9,491,717

J Accounting method:

☐ Cash

☒ Accrual

☐ Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis.)

A Employer identification number  
82-1730759

B Telephone number (see instructions)  
(334) 546-1271

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here..... ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ... ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ..... ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... ☐

Part I

Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a)

Revenue and expenses per books

(b)

Net investment income

(c)

Adjusted net income

(d)

Disbursements for charitable purposes (cash basis only)

Revenue

1

Contributions, gifts, grants, etc., received (attach schedule)

2

Check ☒ if the foundation is **not** required to attach Sch. B . . . . .

3

Interest on savings and temporary cash investments . . . . .

4

Dividends and interest from securities . . . . .

251,117

251,117

5a

Gross rents . . . . .

b

Net rental income or (loss) \_\_\_\_\_

6a

Net gain or (loss) from sale of assets not on line 10

14,607

b

Gross sales price for all assets on line 6a 2,429,242

7

Capital gain net income (from Part IV, line 2) . . . . .

14,607

8

Net short-term capital gain . . . . .

9

Income modifications . . . . .

10a

Gross sales less returns and allowances \_\_\_\_\_

b

Less: Cost of goods sold . . . . .

c

Gross profit or (loss) (attach schedule) . . . . .

11

Other income (attach schedule) . . . . .

12

Total. Add lines 1 through 11 . . . . .

265,724

265,724

Operating and Administrative Expenses

13

Compensation of officers, directors, trustees, etc.

0

0

0

14

Other employee salaries and wages . . . . .

15

Pension plans, employee benefits . . . . .

16a

Legal fees (attach schedule) . . . . .

b

Accounting fees (attach schedule) . . . . .

c

Other professional fees (attach schedule) . . . . .

17

Interest . . . . .

18

Taxes (attach schedule) (see instructions) . . . . .

7,919

0

0

19

Depreciation (attach schedule) and depletion . . . . .

20

Occupancy . . . . .

21

Travel, conferences, and meetings . . . . .

22

Printing and publications . . . . .

23

Other expenses (attach schedule) . . . . .

44,180

0

0

24

Total operating and administrative expenses. Add lines 13 through 23 . . . . .

52,099

0

0

25

Contributions, gifts, grants paid . . . . .

460,000

460,000

26

Total expenses and disbursements. Add lines 24 and 25

512,099

0

460,000

27

Subtract line 26 from line 12:

a

Excess of revenue over expenses and disbursements

-246,375

b

Net investment income (if negative, enter -0-)

265,724

c

Adjusted net income (if negative, enter -0-) . . . . .

For Paperwork Reduction Act Notice, see instructions.

Cat. No. 11289X

Form 990-PF (2019)

<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .	345,255	204,021	204,021
	<b>2</b> Savings and temporary cash investments . . . . .			
	<b>3</b> Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>4</b> Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .			
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .			
	<b>11</b> Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .	8,432,481	8,327,340	9,287,696
	<b>14</b> Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
<b>15</b> Other assets (describe ▶ _____)				
<b>16</b> <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	8,777,736	8,531,361	9,491,717	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23</b> <b>Total liabilities</b> (add lines 17 through 22) . . . . .	0	0	
	<b>Net Assets or Fund Balances</b>	<b>Foundations that follow FASB ASC 958, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24, 25, 29 and 30.</b>		
<b>24</b> Net assets without donor restrictions . . . . .				
<b>25</b> Net assets with donor restrictions . . . . .				
<b>Foundations that do not follow FASB ASC 958, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 26 through 30.</b>				
<b>26</b> Capital stock, trust principal, or current funds . . . . .		0	0	
<b>27</b> Paid-in or capital surplus, or land, bldg., and equipment fund		6,844,767	6,844,767	
<b>28</b> Retained earnings, accumulated income, endowment, or other funds		1,932,969	1,686,594	
<b>29</b> <b>Total net assets or fund balances</b> (see instructions) . . . . .		8,777,736	8,531,361	
<b>30</b> <b>Total liabilities and net assets/fund balances</b> (see instructions) .	8,777,736	8,531,361		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	8,777,736
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	-246,375
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	0
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	8,531,361
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	0
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	<b>6</b>	8,531,361

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	14,607
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	417,108	9,269,784	0.044997
2017	317,667	8,438,268	0.037646
2016	355,334	6,564,396	0.054130
2015	369,215	7,092,838	0.052055
2014	341,242	7,885,203	0.043276


<b>2</b> Total of line 1, column (d)	<b>2</b>	0.232104
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.046421
<b>4</b> Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	<b>4</b>	9,451,564
<b>5</b> Multiply line 4 by line 3	<b>5</b>	438,751
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	2,657
<b>7</b> Add lines 5 and 6	<b>7</b>	441,408
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	460,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	2,657
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	2,657
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	0
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	2,657
<b>6</b>	<b>Credits/Payments:</b>		
<b>a</b>	2019 estimated tax payments and 2018 overpayment credited to 2019	<b>6a</b>	6,840
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	0
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	0
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	6,840
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	<b>8</b>	0
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . . <b>▶</b>	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . . <b>▶</b>	<b>10</b>	4,183
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2020 estimated tax</b> <b>▶</b> 4,183 <b>Refunded</b> <b>▶</b>	<b>11</b>	0

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .		No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: <b>(1)</b> On the foundation. <b>▶</b> \$ 0 <b>(2)</b> On foundation managers. <b>▶</b> \$ 0		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <b>▶</b> \$ 0		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities.</i>		No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .		No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T.</i>		No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	Yes	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i> . . . . .	Yes	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <b>▶</b> AL		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	Yes	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i> . . . . .		No
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>  . . . . .	Yes	

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions . . . . .	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of ► <u>CATHY L CADDELL</u> Telephone no. ► <u>(334) 546-1271</u>			

Located at ► 1455 BELL ROAD MONTGOMERY ALZIP+4 ► 36117

<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . .	<input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year . . . . .	► <b>15</b>		
<b>16</b>	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	<b>16</b>	<b>Yes</b>	<b>No</b>
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►			

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required****File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		<b>Yes</b>	<b>No</b>
<b>1a</b>	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . .	<b>1b</b>	
	Organizations relying on a current notice regarding disaster assistance check here. . . . . ► <input type="checkbox"/>		
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? . . . . .	<b>1c</b>	<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
<b>a</b>	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," list the years ► 20____, 20____, 20____, 20____		
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions.) . . . . .	<b>2b</b>	
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.) . . . . .	<b>3b</b>	
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>	<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	<b>4b</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

		<b>Yes</b>	<b>No</b>
<b>5a</b>	During the year did the foundation pay or incur any amount to:		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.	<b>5b</b>	
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>	
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.	<b>6b</b>	<b>No</b>
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b>	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	<b>7b</b>	
<b>8</b>	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total** number of other employees paid over \$50,000. ▶ 0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . . ►		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 . . . . . ►	0

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	9,282,223
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	313,273
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	9,595,496
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	9,595,496
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	143,932
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	9,451,564
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	472,578

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	472,578
<b>2a</b>	Tax on investment income for 2019 from Part VI, line 5. . . . .	<b>2a</b>	2,657
<b>b</b>	Income tax for 2019. (This does not include the tax from Part VI.). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	2,657
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	<b>3</b>	469,921
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	0
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	469,921
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	469,921

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .	<b>1a</b>	460,000
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	460,000
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . .	<b>5</b>	2,657
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	457,343

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
<b>1</b> Distributable amount for 2019 from Part XI, line 7				469,921
<b>2</b> Undistributed income, if any, as of the end of 2019:				
<b>a</b> Enter amount for 2018 only. . . . .			456,155	
<b>b</b> Total for prior years: 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2019:				
<b>a</b> From 2014. . . . .				
<b>b</b> From 2015. . . . .				
<b>c</b> From 2016. . . . .				
<b>d</b> From 2017. . . . .				
<b>e</b> From 2018. . . . .				
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	0			
<b>4</b> Qualifying distributions for 2019 from Part XII, line 4: ► \$ 460,000				
<b>a</b> Applied to 2018, but not more than line 2a			456,155	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2019 distributable amount. . . . .				3,845
<b>e</b> Remaining amount distributed out of corpus	0			
<b>5</b> Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b. . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions. . . . .		0		
<b>e</b> Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions. . . . .			0	
<b>f</b> Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020. . . . .				466,076
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions). . . . .	0			
<b>9</b> <b>Excess distributions carryover to 2020.</b> Subtract lines 7 and 8 from line 6a. . . . .	0			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2015. . . . .				
<b>b</b> Excess from 2016. . . . .				
<b>c</b> Excess from 2017. . . . .				
<b>d</b> Excess from 2018. . . . .				
<b>e</b> Excess from 2019. . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

<b>1a</b> If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . ▶					
<b>b</b> Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test—enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
<b>c</b> "Support" alternative test—enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

<b>1 Information Regarding Foundation Managers:</b>	
<b>a</b> List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)	
<b>b</b> List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.	
<b>2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:</b>	
Check here <input type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions	
<b>a</b> The name, address, and telephone number or e-mail address of the person to whom applications should be addressed: JOHN A CADDELL 1455 BELL ROAD MONTGOMERY, AL 36117 (334) 546-1271	
<b>b</b> The form in which applications should be submitted and information and materials they should include: APPLICATION IN WRITING. SHOULD INCLUDE HISTORY AND MISSION OF ORGANIZATION.	
<b>c</b> Any submission deadlines: NO DEADLINES	
<b>d</b> Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors: NO RESTRICTIONS	

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total</b> . . . . .			<b>▶ 3a</b>	460,000
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b> . . . . .			<b>▶ 3b</b>	0

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue:					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .					
<b>4</b> Dividends and interest from securities. . . .			14	12,202	238,915
<b>5</b> Net rental income or (loss) from real estate:					
<b>a</b> Debt-financed property. . . . .					
<b>b</b> Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income. . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .			18	14,607	
<b>9</b> Net income or (loss) from special events:					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue: <b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal. Add columns (b), (d), and (e). . .		0		26,809	238,915
<b>13</b> <b>Total.</b> Add line 12, columns (b), (d), and (e). . . . . (See worksheet in line 13 instructions to verify calculations.)			<b>13</b>		<b>265,724</b>

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

## Part XVII

- |   |  |    |  |    |
|---|--|----|--|----|
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees. . . . .  | 1c |  | No |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |    |  |    |

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

<b>Sign Here</b>	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	*****	2020-05-13	*****
	_____ Signature of officer or trustee	_____ Date	_____ Title

May the IRS discuss this return with the preparer shown below  
 (see instr.) ☒ **Yes** ☐ **No**

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	WILLIS A TEEL JR CPA		2020-05-07		P00175943
	Firm's name ▶ CARR RIGGS & INGRAM LLC				Firm's EIN ▶ 72-1396621
	Firm's address ▶ 7550 HALCYON SUMMIT DRIVE MONTGOMERY, AL 36117				Phone no. (334) 271-6678

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CHEVRON CORP	P	2017-08-07	2019-05-16
COCA-COLA CO	P	2017-08-07	2019-05-30
WABTEC	P	2019-03-01	2019-05-06
APPLE INC	P	2017-05-26	2019-08-02
ABBVIE INC SHS	P	2016-12-15	2019-08-20
ANADARKO PETE CORP	P	2018-03-20	2019-08-09
ANADARKO PETE CORP	P	2018-04-30	2019-08-09
CARNIVAL CORP PAIRED SHS	P	2016-12-15	2019-08-21
CME GROUP INC	P	2016-12-15	2019-08-21
EAST WEST BANCORP INC	P	2018-03-08	2019-08-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
100,000		100,000	0
100,000		99,950	50
819		859	-40
100,000		100,000	0
53,586		50,027	3,559
108,877		88,075	20,802
47,180		44,070	3,110
43,988		50,186	-6,198
87,317		50,041	37,276
44,718		75,918	-31,200

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			0
			50
			-40
			0
			3,559
			20,802
			3,110
			-6,198
			37,276
			-31,200

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
EATON CORP PLC	P		2019-08-22
VANGUARD MSCI EUROPEAN	P	2017-05-15	2019-08-20
VANGUARD MSCI EUROPEAN	P	2017-05-16	2019-08-20
VANGUARD MSCI EUROPEAN	P	2017-05-23	2019-08-20
VANGUARD MSCI EUROPEAN	P	2017-05-26	2019-08-22
GOLDMAN SACHS GROUP INC	P	2018-03-08	2019-08-21
GENERAL ELECTRIC	P	2013-08-15	2019-08-22
GENERAL ELECTRIC	P	2014-01-28	2019-08-22
GENERAL ELECTRIC	P	2014-04-28	2019-08-22
GENERAL ELECTRIC	P	2014-04-28	2019-08-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
57,647		49,939	7,708
93,027		100,090	-7,063
72,354		78,453	-6,099
31,009		33,784	-2,775
52,022		56,018	-3,996
57,780		75,330	-17,550
16,232		48,323	-32,091
138		431	-293
8		25	-17
130		428	-298

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			7,708
			-7,063
			-6,099
			-2,775
			-3,996
			-17,550
			-32,091
			-293
			-17
			-298

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
GENERAL ELECTRIC	P	2014-07-28	2019-08-22
GENERAL ELECTRIC	P	2014-10-27	2019-08-22
GENERAL ELECTRIC	P	2014-10-27	2019-08-22
GENERAL ELECTRIC	P	2015-01-26	2019-08-22
GENERAL ELECTRIC	P	2015-04-27	2019-08-22
GENERAL ELECTRIC	P	2015-04-27	2019-08-22
GENERAL ELECTRIC	P	2015-07-27	2019-08-22
GENERAL ELECTRIC	P	2015-07-27	2019-08-22
GENERAL ELECTRIC	P	2015-10-26	2019-08-22
GENERAL ELECTRIC	P	2015-10-26	2019-08-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
138		436	-298
8		26	-18
138		436	-298
154		465	-311
8		25	-17
138		459	-321
8		27	-19
146		461	-315
8		27	-19
130		473	-343

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-298
			-18
			-298
			-311
			-17
			-321
			-19
			-315
			-19
			-343



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
GENERAL ELECTRIC	P	2016-01-25	2019-08-22
GENERAL ELECTRIC	P	2016-04-25	2019-08-22
GENERAL ELECTRIC	P	2016-07-25	2019-08-22
GENERAL ELECTRIC	P	2016-07-25	2019-08-22
GENERAL ELECTRIC	P	2016-10-25	2019-08-22
GENERAL ELECTRIC	P	2017-01-25	2019-08-22
GENERAL ELECTRIC	P	2017-01-25	2019-08-22
HESS CORP	P	2017-08-24	2019-08-21
HESS CORP	P	2017-09-20	2019-08-21
HESS CORP	P	2018-04-30	2019-08-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
138		483	-345
130		494	-364
8		30	-22
122		481	-359
138		493	-355
8		30	-22
138		517	-379
33,108		20,010	13,098
30,561		20,835	9,726
47,752		43,066	4,686

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-345
			-364
			-22
			-359
			-355
			-22
			-379
			13,098
			9,726
			4,686

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
ISHARES U.S. HEALTHCARE	P	2017-05-23	2019-08-22
ISHARES U.S. HEALTHCARE	P	2017-06-02	2019-08-22
ISHARES U.S. HEALTHCARE	P	2017-06-19	2019-08-22
ISHARES U.S. HEALTHCARE	P	2018-03-08	2019-08-22
CLEARBRIDGE MLP & MDSTRM	P	2017-08-08	2019-08-21
CLEARBRIDGE MLP & MDSTRM	P	2017-08-18	2019-08-21
CLEARBRIDGE MLP & MDSTRM	P	2017-08-24	2019-08-21
KRAFT (THE) HEINZ CO	P	2016-12-15	2019-08-22
ORACLE CORP	P	2016-12-15	2019-08-20
OAKMARK INTL FL CL	P	2017-05-15	2019-08-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
90,707		75,041	15,666
62,062		52,506	9,556
38,192		32,844	5,348
42,966		40,533	2,433
74,449		91,546	-17,097
39,649		46,594	-6,945
22,820		26,323	-3,503
14,843		50,027	-35,184
64,516		50,039	14,477
1,683		2,056	-373

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			15,666
			9,556
			5,348
			2,433
			-17,097
			-6,945
			-3,503
			-35,184
			14,477
			-373

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
OAKMARK INTL FL CL	P	2017-05-15	2019-08-22
OAKMARK INTL FL CL	P	2017-08-03	2019-08-22
OAKMARK INTL FL CL	P	2017-08-07	2019-08-22
OAKMARK INTL FL CL	P	2017-08-07	2019-08-22
OAKMARK INTL FL CL	P	2017-08-07	2019-08-22
AUTOMATIC DATA PROC	P	2019-08-20	2019-09-13
CHEVRON CORP	P	2013-02-20	2019-11-11
ISHARES CHINA LARGECAP	P	2018-01-25	2019-11-11
UNION PACIFIC CORP	P	2016-12-15	2019-11-11
FRANKLIN CONVERTIBLE	P	2018-03-20	2019-11-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
22		26	-4
57,964		74,986	-17,022
22		27	-5
38,434		50,005	-11,571
7		10	-3
47,927		50,379	-2,452
51,341		49,292	2,049
84,063		105,338	-21,275
84,211		50,061	34,150
82,658		74,985	7,673

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-4
			-17,022
			-5
			-11,571
			-3
			-2,452
			2,049
			-21,275
			34,150
			7,673

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
FRANKLIN CONVERTIBLE	P	2018-04-30	2019-11-27
FRANKLIN CONVERTIBLE	P	2018-04-30	2019-11-27
FRANKLIN CONVERTIBLE	P	2018-06-19	2019-11-27
FRANKLIN CONVERTIBLE	P	2018-08-06	2019-11-27
FRANKLIN CONVERTIBLE	P	2018-09-19	2019-11-27
IVY INTERNATIONAL	P	2017-08-03	2019-11-27
IVY INTERNATIONAL	P	2017-08-03	2019-11-27
IVY INTERNATIONAL	P	2017-08-07	2019-11-27
IVY INTERNATIONAL	P	2017-08-07	2019-11-27
IVY INTERNATIONAL	P	2017-08-18	2019-11-27

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
23		21	2
85,599		74,992	10,607
996		930	66
5,706		5,312	394
17		16	1
1,974		2,170	-196
9		10	-1
18		20	-2
45,552		49,985	-4,433
18		19	-1

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2
			10,607
			66
			394
			1
			-196
			-1
			-2
			-4,433
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
IVY INTERNATIONAL	P	2017-08-18	2019-11-27
IVY INTERNATIONAL	P	2017-08-24	2019-11-27
UNION PACIFIC CORP	P	2019-01-24	2019-11-11
OCCIDENTAL PETROLEUM CORP	P	2019-08-12	2019-08-13
WABTEC	P	2019-03-01	2019-03-04
BP P L C SPONS ADR	P	2015-06-26	2019-04-16
BP P L C SPONS ADR	P	2015-09-21	2019-04-16
BP P L C SPONS ADR	P	2015-12-15	2019-04-16
BP P L C SPONS ADR	P	2015-12-18	2019-04-16
BP P L C SPONS ADR	P	2016-03-28	2019-04-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
23,381		24,985	-1,604
32,555		34,990	-2,435
30,895		28,002	2,893
37		38	-1
70		74	-4
77,804		72,658	5,146
1,468		1,026	442
1,512		1,035	477
44		36	8
44		30	14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-1,604
			-2,435
			2,893
			-1
			-4
			5,146
			442
			477
			8
			14

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
BP P L C SPONS ADR	P	2016-03-28	2019-04-16
BP P L C SPONS ADR	P	2016-06-20	2019-04-16
BP P L C SPONS ADR	P	2016-06-20	2019-04-16
BP P L C SPONS ADR	P	2016-09-19	2019-04-16
BP P L C SPONS ADR	P	2016-12-19	2019-04-16
BP P L C SPONS ADR	P	2016-12-19	2019-04-16
CAPITAL GAINS DISTRIBUTIONS	P		

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,557		1,065	492
1,468		1,081	387
44		32	12
1,468		1,099	369
1,379		1,136	243
44		34	10
37,213			37,213

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			492
			387
			12
			369
			243
			10
			37,213

**Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
JOHN A CADDELL 1455 BELL ROAD MONTGOMERY, AL 36117	PRESIDENT/DIRECTOR 0.00	0	0	0
JOHN K CADDELL 1455 BELL ROAD MONTGOMERY, AL 36117				
CATHY L CADDELL 1455 BELL ROAD MONTGOMERY, AL 36117	DIRECTOR 0.00	0	0	0
MICHAEL A CADDELL 1455 BELL ROAD MONTGOMERY, AL 36117				
JEFFREY P CADDELL 1455 BELL ROAD MONTGOMERY, AL 36117	DIRECTOR 0.00	0	0	0
CHRISTOPHER P CADDELL 1455 BELL ROAD MONTGOMERY, AL 36117				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ALABAMA SHAKESPEARE FESTIVAL 1 FESTIVAL DRIVE MONTGOMERY, AL 36117		PUBLIC CHARITY	UNRESTRICTED CHARITABLE GRANT	25,000
AMERICAN CANCER SOCIETY 3054-C MCGEHEE ROAD MONTGOMERY, AL 36111		PUBLIC CHARITY	UNRESTRICTED CHARITABLE GRANT	25,000
CLOVERDALE PLAYHOUSE 960 CLOVERDALE ROAD MONTGOMERY, AL 36106		PUBLIC CHARITY	UNRESTRICTED CHARITABLE GRANT	12,000
<b>Total . . . . . ▶ 3a</b>				460,000



**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**


Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
COMMON GROUND MONTGOMERY PO BOX 1866 MONTGOMERY, AL 36102		PUBLIC CHARITY	UNRESTRICTED CHARITABLE GRANT	50,000
GRAND TETON MUSIC FESTIVAL PO BOX 490 TETON VILLAGE, WY 83025		PUBLIC CHARITY	UNRESTRICTED CHARITABLE GRANT	14,000
HUNTINGDON COLLEGE 1500 EAST FAIRVIEW AVENUE MONTGOMERY, AL 36104		PUBLIC CHARITY	UNRESTRICTED CHARITABLE GRANT	25,000
<b>Total . . . . . ▶ 3a</b>				460,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MONTGOMERY AREA COUNCIL ON AGING 115 E JEFFERSON STREET MONTGOMERY, AL 36104		PUBLIC CHARITY	UNRESTRICTED CHARITABLE GRANT	50,000
MONTGOMERY SYMPHONY ORCHESTRA PO BOX 1864 MONTGOMERY, AL 36102		PUBLIC CHARITY	UNRESTRICTED CHARITABLE GRANT	24,000
THE MASTER'S GARDEN - FIRST BAPTIST COMMUNITY MINISTRIES 305 S PERRY STREET MONTGOMERY, AL 36104		PUBLIC CHARITY	UNRESTRICTED CHARITABLE GRANT	20,000
<b>Total . . . . . ▶ 3a</b>				460,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
VALIANT CROSS ACADEMY 301 DEXTER AVENUE MONTGOMERY, AL 36104		PUBLIC CHARITY	UNRESTRICTED CHARITABLE GRANT	50,000
MONTGOMERY MUSEUM OF FINE ARTS 1 MUSEUM DRIVE MONTGOMERY, AL 36117		PUBLIC CHARITY	UNRESTRICTED CHARITABLE GRANT	50,000
GIRL SCOUTS OF SOUTHERN ALABAMA 2501 BELL ROAD MONTGOMERY, AL 36117		PUBLIC CHARITY	UNRESTRICTED CHARITABLE GRANT	20,000
<b>Total . . . . . ▶ 3a</b>				460,000

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ALABAMA WILDLIFE FEDERATION 3050 LANARK ROAD MILLBROOK, AL 35054		PUBLIC CHARITY	UNRESTRICTED CHARITABLE GRANT	50,000
MONTGOMERY CHORALE 315 CLANTON AVENUE MONTGOMERY, AL 36104		PUBLIC CHARITY	UNRESTRICTED CHARITABLE GRANT	5,000
MONTGOMERY AREA FOOD BANK 521 TRADE CENTER STREET MONTGOMERY, AL 361082107		PUBLIC CHARITY	UNRESTRICTED CHARITABLE GRANT	20,000
<b>Total . . . . .</b> ► <b>3a</b>				460,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
TUKABATCHEE AREA COUNCIL 3067 CARTER HILL ROAD MONTGOMERY, AL 36111		PUBLIC CHARITY	UNRESTRICTED CHARITABLE GRANT	20,000
<b>Total</b> . . . . .  <b>3a</b>				460,000

**TY 2019 Investments - Other Schedule**

**Name:** JOHN AND JOYCE CADDELL FOUNDATION  
**EIN:** 82-1730759

**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
REGIONS FINANCIAL CORP	AT COST	200,017	445,731
CATERPILLAR, INC	AT COST	100,446	249,284
AT&T INC	AT COST	74,159	84,804
AIR PRODUCTS & CHEMICALS, INC.	AT COST	49,993	78,722
ALPHABET INC SHS CL A	AT COST	106,249	133,939
APPLE INC.	AT COST	89,995	177,658
CITIGROUP INC COM NEW	AT COST	291,773	339,533
FACEBOOK	AT COST	20,460	30,788
JPMORGAN & CHASE & CO	AT COST	92,914	136,333
MARATHON PETE CORP	AT COST	69,424	84,350
MERCK & COMPANY	AT COST	49,983	72,487
PEPSICO INC.	AT COST	49,938	64,645
PFIZER INC.	AT COST	50,000	59,436
MICROSOFT CORPORATION	AT COST	287,330	394,250
VERIZON COMMUNICATIONS	AT COST	154,272	191,875
CHUBB LIMITED	AT COST	49,968	58,061
BLACKROCK MULTI ASSET	AT COST	178,531	178,348
BLACKROCK DYNAMIC HIGH	AT COST	291,874	293,421
GUGGENHEIM TOTAL RETURN	AT COST	327,617	328,705
ISHARES MSCI EMERGING	AT COST	277,275	269,220
LORD ABBETT BOND	AT COST	555,784	550,146
OPPENHEIMER INTL SMALL	AT COST	154,709	167,018
PIMCO INCOME FUND CL P	AT COST	400,733	389,540
VANGUARD INTERMEDIATE	AT COST	253,037	261,660
VANGUARD INTERMIATE-TERM	AT COST	353,087	365,320
VANGUARD 500 INDEX FUND	AT COST	99,943	136,068
WESTERN ASSET CORE PLUS	AT COST	456,170	463,419
WELLS FARGO & COMPANY	AT COST	99,359	100,147
CONOCOPHILLIPS COMPANY	AT COST	148,691	151,679
CITIGROUP GLOBAL MARKETS	AT COST	174,825	174,312

**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
AMAZON.COM	AT COST	118,744	110,870
ENTERPRISE PRDTS PRTN LP	AT COST	55,636	56,320
GENL DYNAMICS CORP COM	AT COST	75,445	59,077
NVIDIA	AT COST	50,340	49,413
EDGEWOOD GROWTH FUND CL	AT COST	150,000	164,803
FRANKLIN CONVERTIBLE	AT COST	146,549	145,622
INVESCO NASDAQ INTERNET	AT COST	237,277	254,556
TOUCHSTONE SANDS CAPITAL	AT COST	150,000	109,340
AMERICAN EXPRESS COMPANY	AT COST	177,330	180,511
AMERICAN TOWER REIT INC	AT COST	90,343	91,928
AMN ELEC POWER CO	AT COST	90,874	94,510
AON PLC	AT COST	38,546	41,658
BECTON DICKSON CO	AT COST	76,402	81,591
DIAGEO PLC SPSD ADR NEW	AT COST	83,602	84,210
DISNEY (WALT) CO COM	AT COST	53,848	57,852
INTUIT INC	AT COST	54,591	52,386
MCDONALDS	AT COST	65,970	59,283
NETFLIX	AT COST	53,556	48,536
NEXTERA ENERGY INC	AT COST	43,829	48,432
NORTHROP CRUMMANS CORP	AT COST	55,820	51,596
OCCIDENTAL PETE CORP	AT COST	29,169	25,962
RAYTHEON CO DELAWARE NEW	AT COST	73,501	87,896
SIMON PROPERTY GROUP DEL	AT COST	58,913	59,584
STRYKER CORP	AT COST	43,860	41,988
TOTAL S.A.	AT COST	18,766	19,355
UNITED TECHS CORP	AT COST	38,370	44,928
UNITEDHEALTH GROUP INC	AT COST	60,048	73,495
VISA INC CL A SHRS	AT COST	89,606	93,950
WALMART	AT COST	97,477	106,956
WASTE MANAGEMENT INC NEW	AT COST	70,757	68,376

**Investments Other Schedule 2**

<b>Category/ Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
ZOETIS INC	AT COST	67,502	72,793
ISHARES U.S. TECHNOLOGY	AT COST	99,884	116,300
VANGUARD LONG-TERM CORP	AT COST	202,229	202,720



**TY 2019 Other Expenses Schedule****Name:** JOHN AND JOYCE CADDELL FOUNDATION**EIN:** 82-1730759**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES PAID	1,740	0		0
INVESTMENT FEES	42,440	0		0

# TY 2019 Substantial Contributors Schedule

**Name:** JOHN AND JOYCE CADDELL FOUNDATION

**EIN:** 82-1730759

Name	Address
JOHN A AND JOYCE CADDELL	1455 BELL ROAD MONTGOMERY, AL 36117
CADDELL CONSTRUCTION COMPANY DE LLC	2700 LAGOON PARK DRIVE MONTGOMERY, AL 36109

**TY 2019 Taxes Schedule****Name:** JOHN AND JOYCE CADDELL FOUNDATION**EIN:** 82-1730759

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAXES	7,919	0		0