

EXTENDED TO NOVEMBER 16, 2020

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

- ▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0047

2019

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2019 or tax year beginning

, and ending

Name of foundation
**THE IRVING AND PHYLLIS MILLSTEIN
FOUNDATION FOR ANIMAL WELFARE LTD.**

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
30 AMHERST ROAD

City or town, state or province, country, and ZIP or foreign postal code
VALLEY STREAM, NY 11581

G Check all that apply: Initial return Initial return of a former public charity
Final return Amended return
Address change Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) J Accounting method: ☐ Cash ☒ Accrual
Other (specify) **0A**

▶ \$ **29,624,248.** (Part I, column (d), must be on cash basis.)

A Employer identification number
81-4594769

B Telephone number
516-881-7979

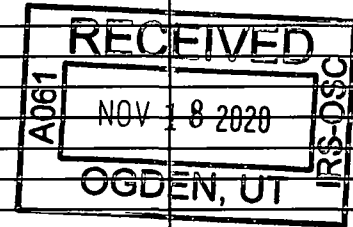
C If exemption application is pending check here ▶

D 1. Foreign organizations, check here ▶
2. Foreign organizations meeting the 85% test, check here and attach computation ▶

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received			N/A	
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	672,215.	672,215.		STATEMENT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	17,766.			
b	Gross sales price for all assets on line 6a	9,383,490.			
7	Capital gain net income (from Part IV, line 2)		17,766.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss)				
11	Other income				
12	Total. Add lines 1 through 11	689,981.	689,981.		
13	Compensation of officers, directors, trustees, etc.	526,841.	18,701.		311,845.
14	Other employee salaries and wages				
15	Pension plans, employee benefits	42,585.	0.		26,679.
16a	Legal fees STMT 2	260,181.	0.		99,690.
b	Accounting fees STMT 3	73,873.	26,266.		46,473.
c	Other professional fees STMT 4	20,154.	0.		6,777.
17	Interest				
18	Taxes STMT 5	27,855.	0.		0.
19	Depreciation and depletion	2,589.	0.		
20	Occupancy				
21	Travel, conferences, and meetings	3,564.	535.		1,960.
22	Printing and publications	1,663.	0.		1,580.
23	Other expenses STMT 6	378,002.	220,928.		100,644.
24	Total operating and administrative expenses. Add lines 13 through 23	1,337,307.	266,430.		595,648.
25	Contributions, gifts, grants paid	915,535.			832,830.
26	Total expenses and disbursements. Add lines 24 and 25	2,252,842.	266,430.		1,428,478.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	<1,562,861.>			
b	Net investment income (if negative, enter -0-)		423,551.		
c	Adjusted net income (if negative, enter -0-)			N/A	



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	35,453.	295,722.	295,722.
	2 Savings and temporary cash investments	1,028,877.	1,504,193.	1,504,193.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges		3,264.	3,264.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other		STMT 8 25,179,984.	27,736,060.	27,736,060.
14 Land, buildings, and equipment basis ▶		17,256.		
Less: accumulated depreciation		STMT 9 ▶ 17,256.	2,589.	0.
15 Other assets (describe ▶ <u>ACCRUED INCOME</u>)		54,109.	85,009.	85,009.
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		26,301,012.	29,624,248.	29,624,248.
17 Accounts payable and accrued expenses		1,430.	794.	
18 Grants payable			82,705.	
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	1,430.	83,499.		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/>			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/>			
	26 Capital stock, trust principal, or current funds	0.	0.	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	28 Retained earnings, accumulated income, endowment, or other funds	26,299,582.	29,540,749.	
	29 Total net assets or fund balances	26,299,582.	29,540,749.	
30 Total liabilities and net assets/fund balances	26,301,012.	29,624,248.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	26,299,582.
2 Enter amount from Part I, line 27a	2	<1,562,861.>
3 Other increases not included in line 2 (itemize) ▶ <u>SEE STATEMENT 7</u>	3	4,804,028.
4 Add lines 1, 2, and 3	4	29,540,749.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	29,540,749.

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Part IV Capital Gains and Losses for Tax on Investment Income SEE ATTACHED STATEMENTS

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e 9,383,490.		9,365,724.	17,766.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			17,766.

2 Capital gain net income or (net capital loss)	2	17,766.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	1,141,821.	29,955,067.	.038118
2017	1,699,062.	23,751,884.	.071534
2016	605,599.	14,782,119.	.040968
2015	711,457.	11,039,455.	.064447
2014	76,306.	9,665,616.	.007895

2 Total of line 1, column (d)	2	.222962
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.044592
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	28,020,210.
5 Multiply line 4 by line 3	5	1,249,477.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	4,236.
7 Add lines 5 and 6	7	1,253,713.
8 Enter qualifying distributions from Part XII, line 4	8	1,428,478.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	4,236.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	4,236.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	4,236.
6 Credits/Payments:			
a 2019 estimated tax payments and 2018 overpayment credited to 2019	6a	7,500.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	2,500.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments. Add lines 6a through 6d	7	10,000.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	5,764.	
11 Enter the amount of line 10 to be: Credited to 2020 estimated tax <input checked="" type="checkbox"/> Refunded <input checked="" type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. <input checked="" type="checkbox"/> <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>HTTPS://WWW.IPMILLSTEINFOUNDATION.ORG/</u>	X	
14 The books are in care of ► <u>THE FOUNDATION</u> Telephone no. ► <u>516-881-7979</u> Located at ► <u>30 AMHERST ROAD, VALLEY STREAM, NY</u> ZIP+4 ► <u>11581</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

Organizations relying on a current notice regarding disaster assistance, check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, and foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		580,841.	42,585.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
MELTZER LIPPER GOLDSTEIN & BREITSTONE LLP 190 WILLIS AVENUE, MINEOLA, NY 11501	LEGAL	223,334.
BANK OF AMERICA PRIVATE BANK - TRUST OPERATIONS- PO BOX 830269, DALLAS, TX 75283	INVESTMENT ADVISORY	169,494.
GETZEL SCHIFF & PESCE LLP - 100 CROSSWAYS PARK WEST, SUITE 403, WOODBURY, NY 11797	ACCOUNTING	63,622.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	Expenses
N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

1		N/A			
2					
All other program-related investments. See instructions.					
3					

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	26,222,577.
b	Average of monthly cash balances	1b	2,224,337.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	28,446,914.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	28,446,914.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	426,704.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	28,020,210.
6	Minimum investment return. Enter 5% of line 5	6	1,401,011.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,401,011.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	4,236.
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	4,236.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,396,775.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,396,775.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,396,775.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,428,478.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	1,428,478.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	4,236.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,424,242.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**THE IRVING AND PHYLLIS MILLSTEIN
FOUNDATION FOR ANIMAL WELFARE LTD.**

81-4594769

Page 9

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				1,396,775.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			242,969.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ 1,428,478.				
a Applied to 2018, but not more than line 2a			242,969.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				1,185,509.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (e))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				211,266.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9.				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019				

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
-----------------	--

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(i)(3) or ☐ 4942(i)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4, for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

3 Subtract line 2d from line 2c
Complete 3a, b, or c for the
alternative test relied upon:

a "Assets" alternative test - enter:
(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

~~(3) Largest amount of support from an exempt organization~~

(4) Gross investment income

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed.

SEE STATEMENT 12

b The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT 12

c Any submission deadlines:

SEE STATEMENT 12

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT 12

THE IRVING AND PHYLLIS MILLSTEIN
FOUNDATION FOR ANIMAL WELFARE LTD.

Form 990-PF (2019)

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Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
VARIOUS GRANTS PAID: STATEMENT 13, PAGE 41 TO BE READ IN CONJUNCTION WITH STATEMENT 0, PAGE 15				832,830.
Total			3a	832,830.
b Approved for future payment				
VARIOUS GRANTS: STATEMENT 13 PAGE 41 TO BE READ IN CONJUNCTION WITH STATEMENT 0, PAGE 15				82,705.
Total			3b	82,705.

Form 990-PF (2019)

PAGE 12

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | | | | | | | | | | | | | | | | | | | |
|--|--|--|-----|-------|--|-------|--|-------|--|-------|--|-------|--|-------|--|-------|--|-------|--|----|--|
| <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> | <table border="1"> <tr> <td></td><td>Yes</td></tr> <tr> <td>1a(1)</td><td></td></tr> <tr> <td>1a(2)</td><td></td></tr> <tr> <td>1b(1)</td><td></td></tr> <tr> <td>1b(2)</td><td></td></tr> <tr> <td>1b(3)</td><td></td></tr> <tr> <td>1b(4)</td><td></td></tr> <tr> <td>1b(5)</td><td></td></tr> <tr> <td>1b(6)</td><td></td></tr> <tr> <td>1c</td><td></td></tr> </table> | | Yes | 1a(1) | | 1a(2) | | 1b(1) | | 1b(2) | | 1b(3) | | 1b(4) | | 1b(5) | | 1b(6) | | 1c | |
| | Yes | | | | | | | | | | | | | | | | | | | | |
| 1a(1) | | | | | | | | | | | | | | | | | | | | | |
| 1a(2) | | | | | | | | | | | | | | | | | | | | | |
| 1b(1) | | | | | | | | | | | | | | | | | | | | | |
| 1b(2) | | | | | | | | | | | | | | | | | | | | | |
| 1b(3) | | | | | | | | | | | | | | | | | | | | | |
| 1b(4) | | | | | | | | | | | | | | | | | | | | | |
| 1b(5) | | | | | | | | | | | | | | | | | | | | | |
| 1b(6) | | | | | | | | | | | | | | | | | | | | | |
| 1c | | | | | | | | | | | | | | | | | | | | | |

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Ref

Title

PRESIDENT

May the IRS discuss this return with the preparer shown below? See instr

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature _____

Date

Check ☐ if
self-employed

PTIN

LAURENCE WALD

LAURENCE WALD

11/12/20

P00113018

Firm's name ▶ GETZEL SCHIFF & PESCE LLP

Firm's EIN ► 11-3575844

Firm's address ► 100 CROSSWAYS PARK WEST SUITE 403
WOODBURY, NY 11797

Phone no. 516-692-8500

THE IRVING AND PHYLLIS MILLSTEIN FOUNDATION FOR ANIMAL WELFARE LTD.
FORM 990-PF (2019)

INDEX TO STATEMENTS

DESCRIPTION	References: 990-PF	Statement Number	Page Number
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Other Professional Fees	Part I, Line 16(c)	4	29
Taxes	Part I, Line 18	5	30
Other Expenses	Part I, Line 23	6	31
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Investments - other	Part II, Line 13	8	33
Depreciation of Assets Not Held for Investment	Part II, Line 14	9	34
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Explanation Part XV Item 1	Part XV, Line 1	11	36
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THE IRVING AND PHYLLIS MILLSTEIN FOUNDATION FOR ANIMAL WELFARE LTD.
FORM 990-PF (2019)

MISSION - PROGRAMS - DISTRIBUTIONS AND EXPENSES

STATEMENT 0-1

MISSION

The Irving and Phyllis Millstein Foundation for Animal Welfare Ltd. makes grants to other charitable organizations whose values, goals, programs or actions contribute to the care, support, and protection of endangered, vulnerable, or otherwise at-risk animals. The Foundation also supports research, education, and charitable programs that focus on the care and wellbeing of animals as well as the needs of their owners

Irving and Phyllis: The initial Millstein trust, The Irving and Phyllis Millstein Charitable Trust For Animals, was born out of an unconditional love that both Irving and Phyllis had for animals. They had found the comfort and companionship of animals a constant presence throughout their lives. After their deaths, and beginning in 2014, The Irving and Phyllis Millstein Charitable Trust For Animals (The Millstein Trust) promoted the mutual benefits of animal and human connections as the Millsteins had, while also following their desire to protect and support vulnerable at-risk animals.

The Irving and Phyllis Millstein Foundation For Animal Welfare Ltd.

In April 2018, the Irving and Phyllis Millstein Foundation transitioned from The Irving and Phyllis Millstein Charitable Trust for Animals. The Foundation is a tax exempt private foundation under Section 501(c)(3) of the Internal Revenue Code.

A VISION OF SOCIAL PHILANTHROPY:

The Irving and Phyllis Millstein Foundation for Animal Welfare® addresses the roles that animals play in people's lives. Irving and Phyllis valued close animal/human relationships, and their Foundation has developed outreach programs that foster and preserve this bond by providing needed veterinary care, and by supporting animal centered organizations through traditional grants and endeavors that generate human and animal bonding.

An Animal Centered Social Philanthropy: Irving and Phyllis Millstein Foundation has continued its focus on the welfare and wellbeing of animals and their owners in 2019 as "An Animal Centered Social Philanthropy®", addressing both animal and human conditions. Evaluations for grant funding have been collaborative with prospective grantees, and have been comprehensive and focused on problem-solving. These assessments have enabled the Foundation to better understand the animal and human connection, to learn more about underlying issues and challenges surrounding grantees' operations, and to construct grants that solve problems of programs or operations being funded.

THE IRVING AND PHYLLIS MILLSTEIN FOUNDATION FOR ANIMAL WELFARE LTD.
FORM 990-PF (2019)

MISSION - PROGRAMS - DISTRIBUTIONS AND EXPENSES

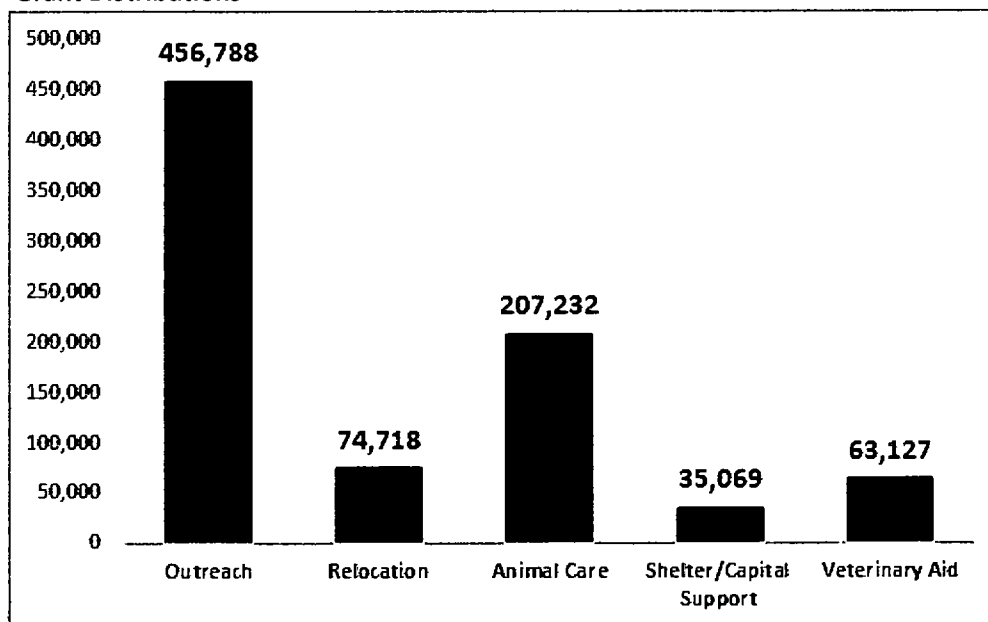
STATEMENT 0-2

2019 PROGRAM AREAS

Reference
 Part I, Line 25(d)

The Irving and Phyllis Millstein Foundation for Animal Welfare® distributes grants within five Program Areas. Assignments are determined by the intention of the grantee's program or the need being funded. Grant components are separated into giving areas to allow for precise analysis and understanding of the areas impacted by granted funds.

Grant Distributions



Outreach

Solutions that address the intersection of animals and humans for their mutual benefit. This Program Area provides assistance to those with limited means and ability to care for their animals. Funding includes, but is not limited to, low cost veterinary care, vaccination clinics, food security, animal care and behavior training. Priority is directed to economic euthanasia issues in order to overcome geographic, economic, and physical ability obstacles to care. Funding also addresses areas such as mental health and PTSD through animal assisted therapy, structured interactions with animals, and the training of companion dogs.

% TOTAL GIVING	SUBTOTALS
54.58%	\$ 456,788

Animal Relocation

Solutions to support organizations that directly or indirectly address the impact of the environment and other unforeseen circumstances or events that lead to the displacement and/or threaten the welfare of animals, such as disaster caused by hurricanes. Animals are similarly relocated from areas with high euthanasia rates, over population, and/or low adoption rates to areas where animals can be properly cared for and more readily adopted.

8.93%	\$ 74,718
--------------	------------------

THE IRVING AND PHYLLIS MILLSTEIN FOUNDATION FOR ANIMAL WELFARE LTD.
FORM 990-PF (2019)

MISSION - PROGRAMS - DISTRIBUTIONS AND EXPENSES **STATEMENT 0-3**

	% TOTAL GIVING	SUBTOTALS
Animal Care	24.76%	\$ 207,232
Solutions to support activities and expenses associated with the everyday care of animals. Expenses can include, but are not limited to: housing, food, supplies, veterinary care, animal training, transportation, pay for animal handlers, care givers and their training.		
Shelter/Capital Support	4.19%	\$ 35,069
Solutions to support the expansion or maintenance of infrastructure related to the animal welfare organization's core mission, or to an organization that relies on or incorporates animal, animal welfare, the care of animals, and the support of human and animal bonding as a part of their services. Support may also include the purchase of tools, equipment or the acquisition of facilities that are necessary to perform tasks essential to their mission or program objectives.		
Veterinary Medical Aid	7.54%	\$ 63,127
Solutions that support the organization's provision of veterinary medical services, that has veterinary medicine as a component of the services being delivered, or which relies on the care of animals to provide services. This Program Area similarly supports efforts that encompass both physical and behavioral rehabilitation, socialization, and training as it pertains to the wellbeing and adoptability of the animal.		
Total 2019 Grant Distributions		\$ 836,934
<i>Return of 2018 Unexpended Funds</i>		<i>\$ (4,104)</i>
Reference: Part I, Line 25(d)		
Net 2019 Grants Paid		\$ 832,830

GRANTMAKING CATEGORIES AND FUNDING:

The Irving and Phyllis Millstein Foundation for Animal Welfare® categorizes grant components into giving areas each year to understand their impact. The following reporting represents funding by the Program Area's leading category of giving.

Grant Making Analytics: Grants are grouped according to their intended objectives and outcomes. Single grants or grant components are assigned to a Program Area. Grants are further segmented into categories to represent giving areas. Membership in a giving area may be determined through funding evaluation, final proposal, or by isolating specific components of grant funded activities. This analysis allows the Foundation to track how funds are utilized and to learn how components of funded activities are meeting overall grant objectives.

THE IRVING AND PHYLLIS MILLSTEIN FOUNDATION FOR ANIMAL WELFARE LTD.
FORM 990-PF (2019)

MISSION - PROGRAMS - DISTRIBUTIONS AND EXPENSES

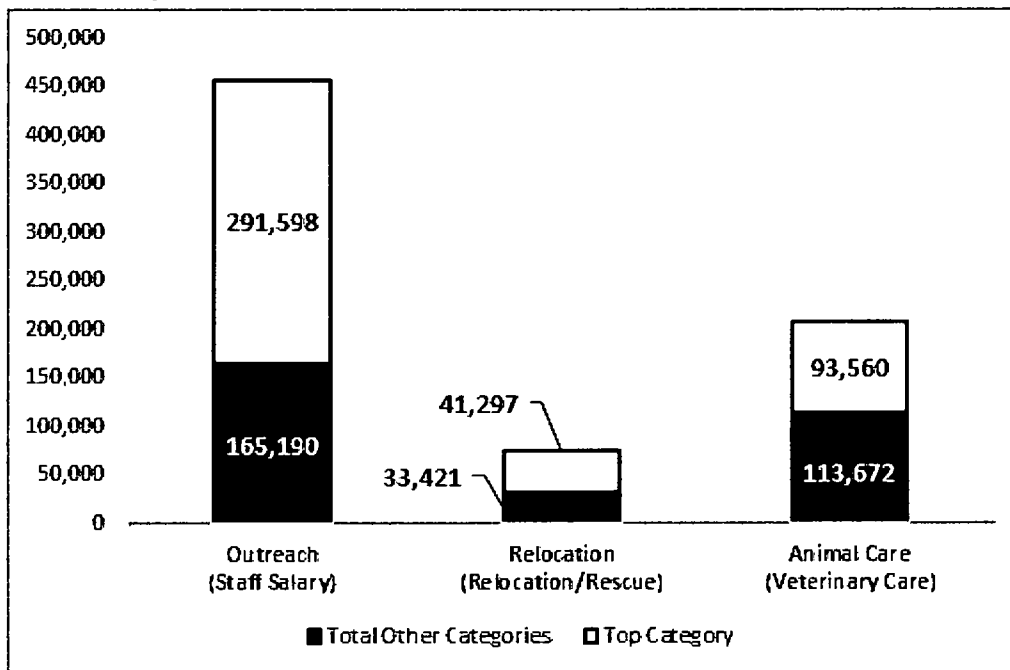
STATEMENT 0-4

Top Program Areas

Graph and Table: Three Program Areas accounted for approximately 88.3% of all funding during 2019: Outreach (54.58%), Animal Relocation (8.93%), and Animal Care (24.76%)

- > The top funding areas accounting for approximately 88% of total giving remains consistent with 2018 giving
- > While Outreach represents the leading giving area, Animal Relocation and Animal Care have replaced Veterinary Aid and Shelter/Capital Support as the second and third leading areas for giving

Grant Giving Areas



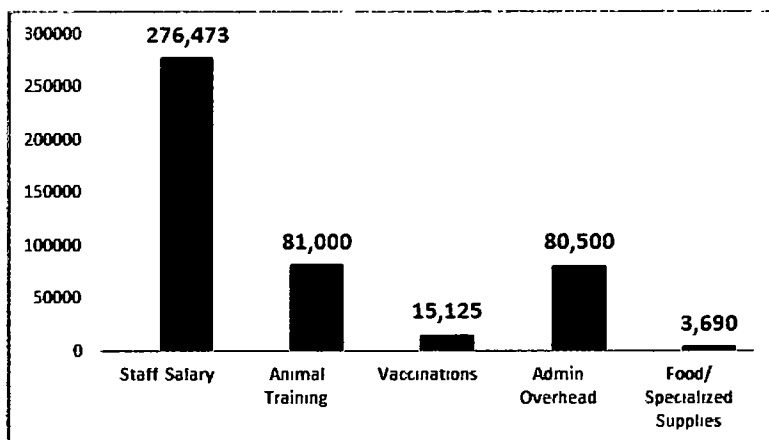
PROGRAM AREA	TOP CATEGORY OF GIVING	AMOUNT	TOTAL OTHER
Outreach	Staff Salary	\$ 165,190	\$ 291,598
Relocation	Relocation/Animal Rescue	\$ 33,421	\$ 41,297
Animal Care	Veterinary Care	\$ 113,672	\$ 93,560

THE IRVING AND PHYLLIS MILLSTEIN FOUNDATION FOR ANIMAL WELFARE LTD.
FORM 990-PF (2019)

MISSION - PROGRAMS - DISTRIBUTIONS AND EXPENSES

STATEMENT 0-5

Outreach Program Area - The Leading Giving Area



OUTREACH CATEGORY OF GIVING	% TOTAL GIVING OUTREACH	SUBTOTALS	TOTAL GIVING
Staff Salary	33.03%	\$ 276,473	
Animal Training	9.68%	\$ 81,000	
Vaccinations	1.81%	\$ 15,125	
Administrative/Overhead	9.62%	\$ 80,500	
Food/Specialized Supplies	0.44%	\$ 3,690	
			\$ 456,788

All Grant Giving Areas

CATEGORY OF GIVING	% TOTAL GIVING	SUBTOTALS	TOTAL GIVING
Staff Salary	39.99%	\$ 334,443	
Shelter Development	0.09%	\$ 769	
Extraordinary/Emergency Care	0.12%	\$ 10,012	
Animal Training	9.68%	\$ 81,000	
Animal Care	7.01%	\$ 58,655	
Relocation/Animal Rescue	5.30%	\$ 44,347	
Vehicle Purchase	4.10%	\$ 34,300	
Vaccinations	3.96%	\$ 33,108	
Veterinary Care (Routine)	13.64%	\$ 114,084	
Spay and Neuter	2.93%	\$ 24,477	
Administrative/Overhead	11.66%	\$ 97,509	
Food/Specialized Supplies	0.44%	\$ 3,690	
			\$ 836,394

THE IRVING AND PHYLLIS MILLSTEIN FOUNDATION FOR ANIMAL WELFARE LTD.
FORM 990-PF (2019)

MISSION - PROGRAMS - DISTRIBUTIONS AND EXPENSES

STATEMENT 0-6

GRANTMAKING CATEGORIES AND DESCRIPTIONS:

The following giving areas represent Foundation defined grantmaking categories.

Staff Salary

Funding for the acquisition or retention of staff members vital to the success and longevity of the program seeking funding. Salaries for staff with indirect oversight of funded programs are not considered. Grant recipients must demonstrate with measurable outcomes the impact of salaried positions to be considered for funding.

Shelter Development

Pertains to the funding of capital campaigns for the renovation or the building of new facilities, the replacement of physical services, permanent or temporary fixtures, and activities associated with the planning and execution of these campaigns.

Extraordinary/Emergency Care

Veterinary care that exceeds \$3,500 per animal is considered an extraordinary expense and is funded separately from routine veterinary care. This category generally includes orthopedic surgeries, treatable canine and feline cancers with known positive outcomes, and other procedures including emergency procedures, necessary to treat the animal with the goal of maintaining a good quality of life with an increased possibility of adoption.

Animal Training

This activity is supported either through funding certified and/or experienced animal trainers or behaviorists, or by funding of facilities and/or necessary equipment. Training areas include socialization, PTSD companion or other specialized training, and other training necessary to facilitate adoption and retention of the animal in the home.

Animal Care

Program solutions to support activities and expenses associated with the everyday care of animals. Expenses can include, but are not limited to: housing, food, supplies, veterinary care, animal training, transportation, pay for animal handlers and care givers and the training of these personnel.

Relocation/Animal Rescue

A refined application of the funding Program Area of the same name. Activities in this category focus on the logistical aspects of the physical relocation of animals. Components of this category include expenses for personnel, veterinary care, and transportation for the rescue of animals from circumstances that represent a threat to their well-being.

Vehicle Purchase

Vehicles for the exclusive use of the shelter or program for the relocation of animals to areas more favorable for adoption. Vehicles are often used to transport animals from areas with high euthanasia rates or areas without the resources to care for displaced animals.

THE IRVING AND PHYLLIS MILLSTEIN FOUNDATION FOR ANIMAL WELFARE LTD.
FORM 990-PF (2019)

MISSION - PROGRAMS - DISTRIBUTIONS AND EXPENSES

STATEMENT 0-7

Vaccinations

Funding of vaccinations as a part of routine veterinary care. This category includes vaccinations necessary to prepare animals during rescues, any type of animal relocation, or is offered as a component of outreach efforts for low-cost clinic settings

Veterinary Care (Routine)

Care for animals typically associated with establishing or maintaining fitness for adoption and general good health. Expenses funded in this category include check-up, vaccinations, spay and neuter (outside programs exclusive to spay and neuter), dental care, heartworm treatments and similar health promoting activities

Spay and Neuter

Funding for spay and neuter as a part of routine veterinary care and population control efforts (i.e., TNR)
This category includes operations necessary to prepare animals during rescues, any type of animal relocation, or offered as a component of outreach efforts in a low-cost clinic setting

Administrative/Overhead

Activities and expenses in this category fall under what is normally considered general support grants. The Foundation typically will not grant funds unless they are channeled towards activities directly related to the care of animals or outreach related programs. The Foundation will provide funds for Admin/Overhead if it is a component of supported programs.

Food/Specialized Supplies

As an area of outreach, grants in this area provide support for programs that help increase food security, treat or provide nutritional support for conditions that have specific requirements beyond what may be easily available or affordable to pet owners

THE IRVING AND PHYLLIS MILLSTEIN FOUNDATION FOR ANIMAL WELFARE LTD.
FORM 990-PF (2019)

MISSION - PROGRAMS - DISTRIBUTIONS AND EXPENSES

STATEMENT 0-8

EMERGING INTEGRATIVE GRANT GIVING AREA:

The Irving and Phyllis Millstein Foundation for Animal Welfare® has addressed Outreach by providing financial support and help to owners through 501(c)(3) organization sponsorships that provide treatment and care for their animals. Foundation sponsorships began in 2019 with the Millstein MVP® Vaccination Program for dogs and cats. Multifaceted funding has been offered through integrative Millstein Veterinary Project grants. Overall, the Foundation's sponsorship of grantees' outreach efforts address barriers to animal or pet care due to social, economic, geographic or other circumstances.

Millstein Veterinary ProjectSM

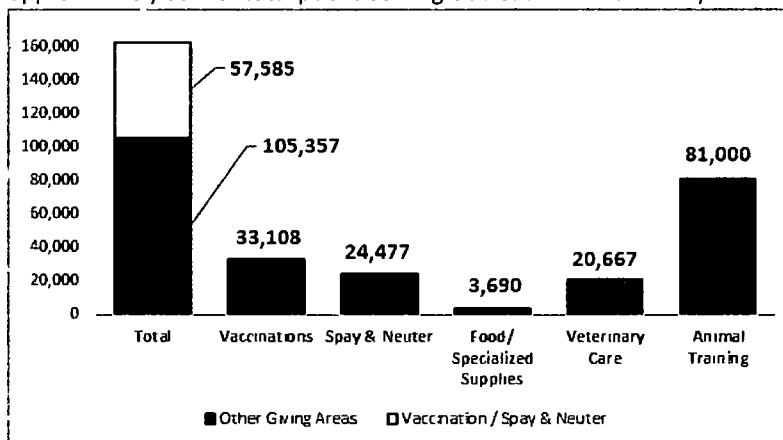
This is the Foundation's brand name for grants that provide financial support for grantees' efforts that provide animal care and veterinary medical aid. A *Millstein Veterinary Project* grant has specific requirements for grantees, may be comprised of one or more Millstein MVP® programs, and may include other as-needed funding for related program expenses and operations.

Millstein MVP®

This is the Foundation's brand name for the *sponsorship* of outreach programs. Each *Millstein MVP®* program defines specific grantee funding requirements for a particular area of animal care and veterinary medical aid, and identifies the Foundation as the grantee's sponsor. One or more Millstein MVP® programs constitute a Millstein Veterinary Project grant.

Outreach Program Area Components for Millstein MVP® Sponsorships

Five giving areas have emerged within the Outreach Program Area that can develop as a Millstein Veterinary Project grant. During 2019, Millstein MVP® sponsorships began for vaccination. Foundation funding for vaccination and neutering efforts accounted for approximately 35% of total public-serving Outreach and Veterinary Medical Aid giving.



CATEGORIES OF GIVING	% TOTAL GIVING	SUBTOTALS	TOTAL GIVING
Vaccinations + Spay & Neuter	35%	\$ 57,585	
Food/Specialized Supplies + Veterinary Aid + Animal Training		\$ 105,357	
			\$ 162,942

THE IRVING AND PHYLLIS MILLSTEIN FOUNDATION FOR ANIMAL WELFARE LTD.
FORM 990-PF (2019)

MISSION - PROGRAMS - DISTRIBUTIONS AND EXPENSES

STATEMENT 0-9

DISTRIBUTIONS AND EXPENSES	References:	
	990-PF	Amount
Qualifying Operating and Administrative Expenses Direct expenses plus a portion of total indirect expense, both of which related to the provision of grants to non-profit organizations.	Part I, Line 24(d)	\$ 595,648
Net Grants Paid	Part I, Line 25(d) Statement 13	\$ 832,830
Total Qualifying Distributions	Part I, Line 26(d) Part XII, Line 4	<u>\$ 1,428,478</u>
Grants Approved for Future Payment	Statement 13	\$ 82,705

THE IRVING AND PHYLLIS MILLSTEIN FOUNDATION FOR ANIMAL WELFARE LTD.
FORM 990-PF (2019)

MISSION - PROGRAMS - DISTRIBUTIONS AND EXPENSES

STATEMENT 0-10

	Amount
Foundation Administrative Expenses	Categories Follow \$ 1,114,171
Total indirect expenses related to the overall organization.	

ADMINISTRATIVE EXPENSE CATEGORIES AND DESCRIPTIONS:

Investment Fees and Costs \$ 188,666

Portfolio management fees and pass-through costs for assets of the Irving and Phyllis Millstein Foundation, delegated to and performed by Bank of America Private Bank, formerly named U S Trust, Bank of America Private Wealth Management Foundation Officers, as Members of the Irving and Phyllis Millstein Foundation Advisory Committee, provided periodic oversight of Private Bank portfolio management performance according to the Foundation's investment policy statement and investment guidelines

Legal Fees \$ 230,081

Professional legal services performed by two outside law firms for general legal issues and intellectual property matters arising in the course of the Foundation's charitable services These comprise approximately 61% of total legal fees of \$260,181 Percentages are estimates derived from invoices

Approximately 5% of the total fees included legal research and the residual transformation of the charitable trust (The Irving and Phyllis Millstein Charitable Trust for Animals) to the Foundation, an operating New York not-for-profit corporation

Approximately 18% of total fees were carryover litigation obligations and commitments from the Charitable Trust concerning the Foundation's tax exempt status and certain real property transfer taxes charged by New York State

The remaining, approximately 16% of total fees, involved not-for-profit regulatory matters, and the acquiring of the "Count Romi" identity, the brand and business name utilized by Irving Millstein Legal fees included intellectual property and brand licensing matters

Board of Directors \$ 54,000

Board activity was required to comply with Foundation regulatory requirements and to satisfy corporate governance practices Measures addressed matters of officer compensation

An independent consulting firm previously consulted by the Board, reaffirmed the Foundation Officers' levels of compensation according to the duties and responsibilities of each officer An opinion letter was provided by the consulting firm dated October 16th, 2019 which formed the bases for the Board's approval of each incumbent's compensation and benefits These findings are part of the Foundation's records, and are available as necessary, as are the minutes of the Board's determinations and approvals.

Independent Consulting Firm for the Board of Directors A firm that specializes in compensation research and programming, and human resource matters to advise on not-for-profit corporate governance \$ 8,800

THE IRVING AND PHYLLIS MILLSTEIN FOUNDATION FOR ANIMAL WELFARE LTD.
FORM 990-PF (2019)

MISSION - PROGRAMS - DISTRIBUTIONS AND EXPENSES

STATEMENT 0-11

	Amount
Accounting Fees	\$ 50,470
<p>Professional accounting services delegated to and performed by an outside accounting firm for budget and tax issues, and month-to-month maintenance services to support Main Office and Officers</p> <p>Administrative expenses include amounts for subcontracted accounting services and for accounting firm oversight to provide the Attorney General's office of New York State the remaining accounting of the initial Millstein Estate, the ensuing Charitable Trust, and the final formation of the Foundation. This represents approximately 16% of the total accounting fees of \$73,873. Sources of information were accounting firm invoices.</p>	
Officers and the Foundation Advisory Committee	\$ 455,309
<p>Officers of the Foundation acting as Advisory Committee Members with multifaceted roles, in addition to the Foundation Secretary with multiple duties, enabled the administration and the operation of the Foundation. Portions of each Officer's time were devoted to administrative charitable activity and qualified for the 5% distribution.</p> <p>Notable additional administrative time was required during 2019 to create (a) Foundation policy and funding agreements for grantees' community-based animal clinics sponsored by the Foundation, (b) the Foundation's Policy Statement with participation of the Board of Directors, (c) the Foundation's website and other publications representing Foundation charitable services and proposal development guidelines for prospective grantees, and (d) securing and protecting Irving Millstein's "Count Romi" clothing brand and business name as an asset that will be utilized to further the mission of the Foundation.</p>	
Main Office	\$ 126,845
<p>Administrative expense primarily for the Main Office in New York. Support personnel, and information technology services totaling \$11,354, are included in this administrative expense. The Foundation's President, located at this office, donated office space during 2019. The rental value of the donated Main Office space was appraised at \$30,000 per year and is not included in this amount. Advisory Committee Members located in the mid-West and mid-Atlantic, also donated office space at their locations to address grantees in various states.</p> <p>In addition, both operational and administrative travel expenses of \$3,564 were necessary for Committee Members and the Main Office for prospective and established grantee visits, and investment management and accounting services oversight.</p>	
	<hr/> \$ 1,114,171 <hr/>

DIVIDENDS AND INTEREST FROM SECURITIES

STATEMENT 1

	GROSS AMOUNT	CAPITAL GAIN DIVIDENDS	REVENUE PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
BANK OF AMERICA PRIVATE BANK	695,450	23,235	672,215	672,215	
TO PART I, LINE 4	695,450	23,235	672,215	672,215	-

LEGAL FEES	STATEMENT 2			
	EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
LEGAL FEES	260,181	-		99,690
TO FORM 990 PF, PAGE 1, LINE 16A	260,181	-	-	99,690

ACCOUNTING FEES		STATEMENT 3		
	EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ACCOUNTING FEES	73,873	26,266		46,473
TO FORM 990 PF, PAGE 1, LINE 16B	73,873	26,266	-	46,473

OTHER PROFESSIONAL FEES

STATEMENT 4

	EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
IT SUPPORT	11,354			5,677
HUMAN RESOURCES SUPPORT	8,800	-		1,100
TO FORM 990 PF, PAGE 1, LINE 16C	20,154	-	-	6,777

TAXES

STATEMENT 5

	EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
FEDERAL TAXES	10,736	-	-	-
FOREIGN TAXES	17,119	-	-	-
TO FORM 990 PF, PAGE 1, LINE 18	27,855	-	-	-

OTHER EXPENSES				STATEMENT 6
	EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
FILING FEES	775	-	-	-
DIRECTOR FEES	54,000	10,800		27,000
PAYROLL TAXES	43,351	1,547		25,692
PAYROLL SERVICE	15,833	-		9,919
OFFICE EXPENSES	52,627	19,210		26,622
REPAIRS AND MAINTENANCE	1,338	669		669
BUSINESS MEALS	145	36		109
INVESTMENT FEES AND COSTS	188,666	188,666		-
ADMINISTRATIVE SUPPORT - PROJECTS	21,267	-		10,633
		-	-	-
TO FORM 990 PF, PAGE 1, LINE 23	378,002	220,928	-	100,644

OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 7
CHANGE IN UNREALIZED VALUE OF INVESTMENTS	4,804,028
TO FORM 990 PF, PART III, LINE 3	4,804,028

OTHER INVESTMENTS		STATEMENT 8	
	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
BANK OF AMERICA PRIVATE BANK:			
EQUITIES	FMV	20,697,901	20,697,901
FIXED INCOME	FMV	3,200,210	3,200,210
HEDGE FUNDS	FMV	3,502,999	3,502,999
COMMODITIES	FMV	334,950	334,950
TO FORM 990 PF, PART II, LINE 13		27,736,060	27,736,060

DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT

STATEMENT 9

	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
COMPUTER NETWORK	17,256	17,256	-
TO FORM 990 PF, PART II, LINE 14	17,256	17,256	-

PART VIII- LIST OF OFFICERS, DIRECTORS, TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 10

	TITLE AND AVERAGE HOURS PER WEEK	COMPENSATION	EMPLOYEE BENEFIT PLAN CONTRIBUTIONS	EXPENSE ACCOUNT
ROBERT J. RANDELL 30 AMHERST ROAD VALLEY STREAM, NY 11581	PRESIDENT 50	153,500	13	-
RICHARD E. RANDELL 401 ARGONNE BOULEVARD ELLWOOD CITY, PA 16117	VICE-PRESIDENT 45	122,183	13	-
MICHAEL D. RANDELL 1434 MILLCREEK DRIVE BUFFALO GROVE, IL 60089	VICE-PRESIDENT 38	127,100	31,331	-
PHILIP H. RANDELL 1626 LAKEVIEW CIRCLE YARDSLEY, PA 19067	VICE-PRESIDENT 33	76,052	88	-
MALGORZATA STAPOR 30 AMHERST ROAD VALLEY STREAM, NY 11581	FOUNDATION SECRETARY 35	48,006	11,140	-
JEFFREY A. GALANT 320 E. 57TH STREET NEW YORK, NY 10022	DIRECTOR 1	18,000	-	-
LAURENCE I. WALD 54 RICHFIELD STREET PLAINVIEW, NY 11803	DIRECTOR 1	18,000	-	-
RICHARD NADELMAN 225 CENTRAL PARK WEST NEW YORK, NY 11024	DIRECTOR 1	18,000	-	-
TO FORM 990 PF, PART VIII, LINE 1		580,841	42,585	-

EXPLANATION PART XV, ITEM 1

STATEMENT 11

ROBERT J. RANDELL, PRESIDENT OF THE FOUNDATION, CONTRIBUTED USE OF THE OFFICE LOCATED AT 30 AMHERST ROAD, VALLEY STREAM NY. THE OFFICE CONSISTS OF THE ENTIRE SECOND FLOOR OF THE BUILDING AND ADDITIONAL BASEMENT OFFICE.

THE PROFESSIONALLY APPRAISED ANNUAL RENTAL VALUE IS \$30,000.

THE IRVING AND PHYLLIS MILLSTEIN FOUNDATION FOR ANIMAL WELFARE LTD.
FORM 990-PF (2019)

GRANT APPLICATION SUBMISSION INFORMATION

STATEMENT 12-1

Reference:

Part XV

Lines 2(a) - 2(d)

SUPPLEMENTARY INFORMATION

2(a) NAME AND ADDRESS OF PERSON TO WHOM APPLICATION SHOULD BE SUBMITTED:

The Irving and Phyllis Millstein Foundation for Animal Welfare Ltd.

30 Amherst Road, FL 2, Valley Stream, NY 11581

Telephone Number: 516-881-7979

2(b) FORM OF APPLICATION AND INFORMATION AND MATERIALS TO INCLUDE:

Grant Application: The Irving and Phyllis Millstein Foundation considers applications for grantee organizational support submitted by invitation only, and does not usually consider unsolicited grant applications. The Foundation may accept unsolicited applications if: A) The organization has previously received funding from the foundation, or B) The organization has previously been rejected for funding and has remedied conditions related to the rejection, or C) The organization was previously invited to apply for a grant but did not submit an application.

Comprehensive Process: The Foundation has developed a detailed systematic process for grant candidate selection, grant application processing, and IRS-required grant supporting documentation. Potential candidates may be identified through research of local animal welfare organizations, through local media coverage, or by personal reference. Prior to initial contact by the Foundation, research of the prospective grantee's mission, operations, catchment area, and community programs are performed, together with verification of its 501(c)(3) status.

Site Visits: If minimal qualifications are established, the organization is then contacted and a site visit is arranged to tour the candidate's facilities and other necessary locations. Needs and potential granting opportunities are discussed. Site visits are documented with photographs and detailed notes, which are centrally archived to allow access and review by Members of the Foundation Advisory Committee to consider the nature and possible extent of funding.

Proposals: After due consideration by the Foundation, organizations may be invited to submit proposals addressing one or more of the needs identified during the site visit(s). To assist in the proposal process, an information packet describing grant proposal requirements and guidelines are provided to a potential applicant. If a proposal is received it is then evaluated by the Foundation's organization contact person.

Funding Evaluation: Evaluation of the grant proposal starts with a thorough examination of the issues and needs described in the proposal. Qualitative and quantitative assessment is made. Claims for financial need are examined through cost analysis, benchmarking to local comparable resources and costs if available, and through consultation with subject matter experts when appropriate. Information gathered at site visits and supporting documents provided by candidates are used. Often, additional information, site visits, face-to-face meetings, and revised submissions are required before the application can be fully processed and a determination for funding is made.

THE IRVING AND PHYLLIS MILLSTEIN FOUNDATION FOR ANIMAL WELFARE LTD.
FORM 990-PF (2019)

GRANT APPLICATION SUBMISSION INFORMATION

STATEMENT 12-2

Foundation Grant Documentation: Evaluation is documented through a protocol created by the Foundation called the *Consideration Memo*. This document requires an exposition of all the relevant information needed to present the essential elements of the proposal, an analysis confirming the needs and costs to remedy those needs, as well as any additional documentation or information that will aid in a decision to recommend funding. In this way consideration will insure that the granted funds will efficiently address the stated needs as well as align with the values and mission of the Foundation. Based on the *Consideration Memo*, the grant proposal is then either approved, modified or rejected, as determined by the Foundation.

Funding Review: If the grant request is approved, a written Grant Agreement containing the conditions of the grant is executed by a representative of the grantee and the Foundation's President.

Grant Award Processing: The Foundation's comprehensively written grant agreement manages the distribution, tracking and use of granted funds. Grant agreements are executed by authorized grantee personnel with signature authority and by the Foundation's President. The agreement lists the amount of the award and how funds must be spent on specific programs or specific needs.

Award and Control of Granted Funds: The Foundation exercises its expenditure responsibility through required *Milestone Reports* provided to be periodically rendered by the Grantee after the grant is received. Each grant agreement sets forth a schedule of quarterly "Milestone" dates by which the grantee is required to substantiate and provide documentation of the cumulative and quarterly expenditure of granted funds up to each Milestone date, and to show what funds remain.

If the entire grant has been spent, the grantee is required to file a *Terminal Milestone Report* indicating that the terms of the agreement and the purpose of the grant have been fulfilled. If the purpose of the grant has been fulfilled without the full expenditure of the grant, all remaining granted funds are required to be returned to the Foundation.

A final determination of the adherence to the grant agreement by the Grantee is established after a thorough examination of the Milestone reports and an inspection of the Grantee's books and records, if needed.

Foundation Application Documents: See Next 2 Pages

2(c) ANY SUBMISSION DEADLINES:

None

2(d) RESTRICTIONS OR LIMITATIONS ON AWARDS:

Grants Only Made to Organizations That: A) Provide care to neglected and homeless animals and for the prevention of cruelty to animals, or B) Provide education and research in connection with such purposes, C) Promote and foster the animal and human bond, and D) Provide services or programs that overcome barriers to animal care. The Foundation does not support government organizations or organizations with religious or political purposes. An applicant must be an equal opportunity employer as well as provide equal access to its programs or services.

THE IRVING AND PHYLLIS MILLSTEIN FOUNDATION FOR ANIMAL WELFARE LTD.
FORM 990-PF (2019)

GRANT APPLICATION SUBMISSION INFORMATION

STATEMENT 12-3

THE IRVING AND PHYLLIS MILLSTEIN
FOUNDATION FOR ANIMAL WELFARE LTD.

GRANT SUBMISSION GUIDELINES

- 1) The Foundation will consider applications for organizational support by invitation only, and does not generally accept unsolicited applications or proposals.
- 2) Applicants must be classified as 501(c)(3) organizations by the Internal Revenue Service
- 3) Generally, the Foundation will support community based organizations that provide a local impact on animal populations
- 4) Only one application per organization will be considered within a given funding period. The sole exception is for funds to be applied to independent programs under the same organizational umbrella. A separate application for each program will be required. The Foundation must receive prior notification if more than one application will be submitted.
- 5) Grants will be made to organizations in support of, but not necessarily limited to the following purposes:
 - a) Programs that support the care and wellbeing of animals that are homeless, abused, or otherwise at risk, and which provide a societal benefit through the pairing of animal welfare and individual needs, or
 - b) Programs that solely support animal welfare, or
 - c) Programs that provide education or instruction for animal care, training, research, or advocacy for the welfare of at-risk animal populations.
- 6) The Foundation will support general annual operating budgets under the following conditions
 - a) The requesting organization has as its primary mission, the support of homeless, abused, or otherwise at-risk animals as described in item number 5 (above), and
 - b) The organization has a demonstrated history of self-sufficiency by attracting and retaining support for its operation, and
 - c) The Foundation may limit grants for operational support to not more than a percentage of an applicant's annual operating budget, but will consider grants for unusual and/or unexpected additional operational budget needs, and
 - d) The Foundation's support is not intended or represented as an on-going source of operational budget needs, and
 - e) The applicant is willing to disclose sources of other major operating support.
- 7) The Foundation will consider grants for the production of written or audio-visual educational materials under the following conditions:
 - a) The materials must be used solely for the education, instruction, or training of individuals engaged in the direct care and/or training of animals, or programs that support these activities, and
 - b) The materials are budgeted as an individual line item to be completed within a year of the award, and
 - c) The written or audio visual materials are not designed for, nor will they be used for fundraising or to attract funds or support from potential individual donors or donor organizations

30 Amherst Road
Valley Stream, NY 11581-3351
Tel (516) 881-7979 Fax (516) 881-7978

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THE IRVING AND PHYLLIS MILLSTEIN FOUNDATION FOR ANIMAL WELFARE LTD.
FORM 990-PF (2019)

GRANT APPLICATION SUBMISSION INFORMATION

STATEMENT 12-4

THE IRVING AND PHYLLIS MILLSTEIN
FOUNDATION FOR ANIMAL WELFARE LTD.

GRANT SUBMISSION GUIDELINES

- 8) The Foundation does not support governmental organizations or organizations with religious or political purposes.
- 9) An applicant must be an equal opportunity employer as well as provide equal access to its programs or services.
- 10) Fundraising and administrative costs of grant applicants will be, within limits, generally considered as reasonable and customary expenses to be included in grant requests provided they are separately listed and fully explained.
- 11) Grants will only be made to organizations that provide direct end-user support and will not be made to organizations that provide support to other programs outside their direct purview.
- 12) Funds for programs within New York, Illinois, and Pennsylvania will be given priority.
- 13) Funds requested for programs outside the states listed in paragraph 12, or which have a national impact and/or service areas, must be fully described and explained.
- 14) Applicants may request multi-year commitments for funding.
- 15) Applicants must provide:
 - a) Detailed budget(s) that list the specific activities/expenses to which grant funds will be applied,
 - b) The time frame during which granted funds will be expended,
 - c) Three (3) most recent years of IRS 990-PF forms,
 - d) Names of all individuals with authority to receive and/or authorize expenditures of granted funds
- 16) The Foundation will accept unsolicited applications from requesting organizations under the following conditions:
 - a) The organization has previously received funding from the Foundation, or
 - b) The organization has previously been rejected for funding and has remedied conditions related to the rejection, or
 - c) The organization was previously invited to apply for a grant but did not submit an application.

For further information please contact the undersigned.

THE IRVING AND PHYLLIS MILLSTEIN FOUNDATION ADVISORY COMMITTEE

Committee Member
Title
XXXXX @IPMillsteinFoundation.org

30 Amherst Road
Valley Stream, NY 11581-3351
Tel. (516) 881-7979 Fax (516) 881-7978

Page 2 of 2

THE IRVING AND PHYLLIS MILLSTEIN FOUNDATION FOR ANIMAL WELFARE LTD.
FORM 990-PF (2019)

GRANTS PAID OR APPROVED FOR FUTURE PAYMENT

STATEMENT 13-1

2019 GRANTS PAID - APPROVED - GRANTMAKING ACTIVITY	References:	Amount
	Part XV, 3(a)-3(b)	
Disbursements and approvals are described. The Foundation was also involved with additional grantmaking development activity.	NET PAID	\$ 832,830
	APPROVED	\$ 82,705
	Expenses Per Books	\$ 915,535

GRANT DESCRIPTIONS AND FUNDING:	Recipient Status and Giving Areas	PAID
Presented in alphabetical order		
1Pet1Vet 6 Blackman Ave, Joliet, IL 60436	501(c)(3)	\$ 30,000.00

Purpose of Grant: This matching grant supports the *provision of dogs and training for U.S. veterans living with PTSD*. Funding continues the association the Foundation has established with Hubbard Communications and its Chicago-based radio station (WDRV 97.1) show called "The Drive" which has a relationship with 1Pet1Vet. This charity offers specialized companion animal training in a therapeutic group setting to local area veterans, and the grant matches annual radio station fundraising efforts that consist of heavy listener engagement through fundraising challenges and interim goals during a week-long promotion.

<i>Animal Training</i>	\$ 26,000.00
<i>Animal Care</i>	\$ 1,000.00
<i>Administrative/Overhead</i>	\$ 3,000.00

Animal Rescue Fund of the Hamptons (ARF) 124 Daniels Hole Rd, East Hampton, NY 11937	501(c)(3)	\$ 50,000.00
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Purpose of Grant: To support the *relocation* of primarily at-risk dogs from animal welfare partners located in South Carolina to its adoption facility in New York. Funding of this second installment of a 3-year grant includes the *medical preparation of animals for transport and adoption*. The Foundation facilitated the revision of animal preparation quality standards and protocols for ARF's rescue program, and developed a series of proposals to address funding. Saved animals are designated *Irving and Phyllis Millstein Rescues*.

<i>Relocation/Animal Rescue</i>	\$ 19,790.00
<i>Vaccinations</i>	\$ 17,983.00
<i>Spay and Neuter</i>	\$ 12,227.00

THE IRVING AND PHYLLIS MILLSTEIN FOUNDATION FOR ANIMAL WELFARE LTD.
FORM 990-PF (2019)

GRANTS PAID OR APPROVED FOR FUTURE PAYMENT	STATEMENT 13-2
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<i>GRANT DESCRIPTIONS AND FUNDING:</i>	Recipient Status and <i>Giving Areas</i>	PAID
Animal Rescue Fund of the Hamptons (ARF) 124 Daniels Hole Rd, East Hampton, NY 11937 Purpose of Grant: To support <i>emergency rescue disaster relief</i> efforts. When Hurricane Dorian devastated the Bahamas, many of the displaced animals were brought to Florida. In partnership with the Pittsburgh Aviation Animal Rescue Team, ARF chartered a plane to bring 25 rescued dogs to Long Island, New York, where they would receive medical attention and placement. The Foundation funded \$20,000 towards the \$25,000 plane transport expense.	501(c)(3)	\$ 20,000.00
	<i>Relocation/Animal Rescue</i>	\$ 20,000.00
Anderson Animal Shelter 1000 S La Fox St, South Elgin, IL 60177 Purpose of Grant: To <i>promote adoption, to help the animal/human relationship, and to prevent re-sheltering</i> of animals with behavioral issues that create barriers to long term adoption. Anderson Animal Shelter has established a community-based animal training program that addresses the dual issues of animals with problem behaviors and current or potential owners who lack the knowledge and experience to properly train their pets. The shelter has established a hot-line monitored by qualified animal trainers, and has offered on-site and in-home behavioral assessments and training.	501(c)(3)	\$ 40,000.00
	<i>Animal Training</i>	\$ 40,000.00
Equestrian Connection, NFP 600 Bradley Road Lake Forest, IL 60045 Purpose of Grant: To provide for the <i>care of horses</i> that are integral to the therapeutic riding and unmounted programs offered by Equestrian Connection. Programs offer support for individuals living with a variety of disabilities, veterans with PTSD, and victims of human trafficking. Equestrian Connection's services include: physical and occupational therapeutic riding, equine assisted psychotherapy, unmounted animal care therapy, and jobs programs.	501(c)(3)	\$ 145,710.00
	<i>Veterinary Care</i>	\$ 38,488.00
	<i>Extraordinary/Emergency Care</i>	\$ 7,528.00
	<i>Staff Salary</i>	\$ 41,825.00
	<i>Animal Care</i>	\$ 57,869.00

THE IRVING AND PHYLLIS MILLSTEIN FOUNDATION FOR ANIMAL WELFARE LTD.
FORM 990-PF (2019)

GRANTS PAID OR APPROVED FOR FUTURE PAYMENT

STATEMENT 13-3

GRANT DESCRIPTIONS AND FUNDING:	Recipient Status and Giving Areas	PAID
Furever Home Adoption Center 5984-D Main Street, East Petersburg, PA 17520	501(c)(3)	\$ 769.40
Purpose of Grant: The Furever Home Adoption Center is a small cat rescue and adoption agency in Lancaster area, PA. Adopters are carefully screened to help insure that animals and adopters will bond. After their dryer broke down, the Executive Director requested emergency funds to purchase an industrial-strength replacement. Grant was \$1000 and \$230.60 was unspent.		
	<i>Shelter Development</i>	\$ 769.40
Hinsdale Humane Society (HHS) 21 Salt Creek Ln, Hinsdale, IL 60521	501(c)(3)	\$ 22,500.00
Purpose of Grant: To sponsor HHS community-based outreach programs that offer veterinary care and vaccinations to low income pet owners. This sponsorship grant represents the pilot test of the Foundation's new <i>Millstein Veterinary Project</i> funding concept. This HHS program provides 25 opportunities over the course of a year for low income pet owners to engage with veterinary professionals to obtain routine care for their pets at a variety of locations west and south of Chicago, IL.		
	<i>Vaccinations</i>	\$ 15,125.00
	<i>Staff Salary</i>	\$ 4,875.00
	<i>Administrative/Overhead</i>	\$ 2,500.00
The Humane Society of the United States (HSUS) 1255 23rd Street NW, Suite 450, Washington, DC 20037	501(c)(3)	\$ 50,000.00
Purpose of Grant: To support <i>emergency rescue disaster relief</i> and the <i>HSUS Animal Rescue Team</i> directly. The team consists of highly-trained staff who work with and coordinate a national network of volunteer first-responders. Specifically, the grant was used as a match for online donations, that helped raise \$67,625.54 during a 72-hour period. The grant supported the rescue of 190 animals in Florida, South Carolina and the Bahamas that were displaced by Hurricane Dorian, and supported transporting 120 cats and dogs rescued in Texas due to Tropical Storm Imelda.		
	<i>Staff Salary</i>	\$ 16,300.00
	<i>Extraordinary/Emergency Care</i>	\$ 2,512.00
	<i>Veterinary Care</i>	\$ 15,072.00
	<i>Administrative/Overhead</i>	\$ 14,609.00
	<i>Relocation/Animal Rescue</i>	\$ 1,507.00

THE IRVING AND PHYLLIS MILLSTEIN FOUNDATION FOR ANIMAL WELFARE LTD.
FORM 990-PF (2019)

GRANTS PAID OR APPROVED FOR FUTURE PAYMENT STATEMENT 13-4

GRANT DESCRIPTIONS AND FUNDING:	Recipient Status and Giving Areas	PAID
New Leash on Life USA (NLOL) P.O Box 326, Narberth, PA 19072	501(c)(3)	\$ 60,000.00
Purpose of Grant: To save at-risk dogs and restore successful human life through the animal and human bond. NLOL operates in a Philadelphia prison, teaching canine training and care skills to prisoners. The prisoners experience the unconditional love and companionship from canines while learning those skills. Additionally, the canines are rescues that are later adopted. Upon graduation from the program, prisoners are released and placed in animal welfare-related jobs or internships for which NLOL had made arrangements. NLOL then provides 90 days of aftercare to help graduates with any challenge they encounter while returning to society. The program reduces prison recidivism for program participants by more than two thirds. The grant supports the prison program, veterinary care, and the 90-day post release services.		
	Veterinary Care	\$ 15,000.00
	Animal Training	\$ 15,000.00
	Administrative/Overhead	\$ 30,000.00
North Shore Animal League America (NSALA) 25 Davis Avenue, Port Washington, NY 11050	501(c)(3)	\$ 70,000.00
Purpose of Grant: Animals' Impact on Social Emotional Learning (SEL) Support for NSALA's Mutt-i-grees® Curriculum program evaluation, educator training, and outreach to underserved communities incorporating animals in children's classrooms. The program utilizes the knowledge of companion animals and the science related to animal/human interaction to change human views/attitudes which eventually improves the conditions for dogs, cats, and their owners. This has led to positive outcomes and promotes successful adoptions.		
	Staff Salary	\$ 35,000.00
	Administrative/Overhead	\$ 35,000.00
North Shore Animal League America (NSALA) 25 Davis Avenue, Port Washington, NY 11050	501(c)(3)	\$ 35,000.00
Purpose of Grant: Animal/Senior Companionship: In addition to rescuing cats and dogs and finding homes for them, NSALA makes great efforts to ensure animals will thrive in their adoptive homes. Animals are given any needed veterinary care and behavioral therapy before being placed. In NSALA's Seniors For Seniors Program®, older animals that would usually not be adopted are placed with senior adopters 60 years or older. The senior pets provide much needed companionship. For the adopted animals, NSALA provides free and discounted preventive veterinary care, which facilitates adoptions and financially assists program-eligible older adults.		
	Veterinary Care	\$ 25,000.00
	Administrative/Overhead	\$ 10,000.00

THE IRVING AND PHYLLIS MILLSTEIN FOUNDATION FOR ANIMAL WELFARE LTD.
FORM 990-PF (2019)

GRANTS PAID OR APPROVED FOR FUTURE PAYMENT	STATEMENT 13-5
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<i>GRANT DESCRIPTIONS AND FUNDING:</i>	Recipient Status and Giving Areas	PAID
Pets Are Wonderful Support, Inc. (PAWS NY) 134 W. 29th Street, Suite 801, New York, NY 10001 Purpose of Grant: Some of the most devastating times a person can lose the companionship of a beloved pet is during infirmity, disability or terminal illness. PAWS NY has provided daily pet care to New York City residents who could not properly care for their pets due to these conditions. Hundreds of volunteers visited clients' homes to feed and care for their pets, and to transport clients' pets to veterinary and grooming appointments. Residents have been emotionally supported by having their pets close by and through contact with the volunteers. The Foundation supported the salary and benefits of a program coordinator, veterinary care, and special needs pet food.	501(c)(3)	\$ 63,000.00
	Staff Salary	\$ 46,500.00
	Veterinary Care	\$ 14,000.00
	Food/Specialized Supplies	\$ 2,500.00
Pets Are Wonderful Support, Inc. (PAWS NY) 134 W. 29th Street, Suite 801, New York, NY 10001 Purpose of Grant: Second of three yearly payments of a grant totaling \$105,000 to support the organization's relocation from Brooklyn, NY to Manhattan, NY, where most PAWS NY clients and volunteers live. This grant assists the organization with increased operating costs, giving PAWS NY time to expand its donor base.	501(c)(3)	\$ 30,000.00
	Staff Salary	\$ 22,143.00
	Veterinary Care	\$ 6,667.00
	Food/Specialized Supplies	\$ 1,190.00
Pet Pantry of Lancaster County 26 Millersville Road, Lancaster, PA 17603 Purpose of Grant: Pet Pantry is the largest provider of animal care in its county. The agency distributes tens of thousands of pet food per year, provides low-cost veterinary care and pet adoption services, and performs feral cat trap-neuter-release (TNR) operations. Both Pet Pantry and a nearby cat shelter had reported that large increases in the local feral cat population were putting a strain on the local animal welfare system. Pet Pantry had already converted a 27-foot trailer into a mobile veterinary operating room. The Foundation funded the purchase of a pick-up truck to haul the mobile unit to enable an increase in TNR capacity.	501(c)(3)	\$ 52,000.00
	Vehicle Purchase	\$ 34,300.00
	Spay and Neuter	\$ 12,250.00
	Administrative/Overhead	\$ 2,400.00
	Relocation/Animal Rescue	\$ 3,050.00

THE IRVING AND PHYLLIS MILLSTEIN FOUNDATION FOR ANIMAL WELFARE LTD.
FORM 990-PF (2019)

GRANTS PAID OR APPROVED FOR FUTURE PAYMENT

STATEMENT 13-6

<i>GRANT DESCRIPTIONS AND FUNDING:</i>	Recipient Status and Giving Areas	PAID
Tufts Cumming School of Veterinary Medicine 200 Westboro Rd, North Grafton, MA 01536	501(c)(3)	\$ 167,954.83
Purpose of Grant: To address <i>Outreach Program Area</i> objectives ultimately providing high-quality low-cost veterinary care for pet owners who cannot adequately care for their pets. Principles of community and shelter medicine have been instilled in high school veterinary assistant students and in DVM candidates from the Cummings School of Veterinary Medicine at Tufts University where both have been placed at the Tufts at Tech Community Veterinary Clinic located at Worcester Technical High School, MA. Students and professional staff at the Clinic have helped underserved communities in Greater Worcester and Central Massachusetts. Funding has supported the Tufts at Tech Director's salary and benefits.		
	Staff Salary	\$ 167,954.83
	Tufts Return of 2018 Unexpended Funds	\$ (4,104.48)
Total 2019 Grants Paid		\$ 836,934
<i>Return of 2018 Unexpended Funds</i>		\$ (4,104)
Net 2019 Grants Paid	Part XV, 3(a)	<u>\$ 832,830</u>

GRANTS APPROVED FOR FUTURE PAYMENT:

	Recipient Status	APPROVED
Animal Rescue Fund of the Hamptons , East Hampton, NY 11937	501(c)(3)	\$ 50,000.00
Purpose of Grant: Third and final payment of a multi-year grant with purpose previously described.		
Pets Are Wonderful Support, Inc. , New York, NY 10001	501(c)(3)	\$ 15,000.00
Purpose of Grant: Third and final yearly payment of a grant totaling \$105,000 to support the organization's relocation from Brooklyn to Manhattan, NY, where most PAWS NY clients and volunteers live.		
Pet Pantry of Lancaster County , Lancaster, PA 17603	501(c)(3)	\$ 17,705.00
Purpose of Grant: To fund 330 trap-neuter-release operations; to help reverse large increases in the feral cat population of Lancaster County, PA.		
		PAID 2/1/2020
2020 Committed Payments	Part XV, 3(b)	<u>\$ 82,705</u>

THE IRVING AND PHYLLIS MILLSTEIN FOUNDATION FOR ANIMAL WELFARE LTD.
FORM 990-PF (2019)

GRANTS PAID OR APPROVED FOR FUTURE PAYMENT

STATEMENT 13-7

GRANTMAKING ACTIVITY:

Additional grantmaking development during the latter part of 2019:

- > Evaluation of dog and cat animal shelters and sanctuaries in the Pittsburgh area, Pennsylvania.
- > Research and development effort to create a Foundation-based program of on-going pet vaccination clinic sponsorships.
- > Researching pet food pantries and food banks in the Chicago area based upon identified community need to address food security for low income families that have pets.
- > Research to find potential grantees that can provide pet vaccination services in the greater New York City and Chicago areas for underserved communities and pet owners with financial need.

THE IRVING AND PHYLLIS MILLSTEIN
FOUNDATION FOR ANIMAL WELFARE LTD.

CONTINUATION FOR 990-PF, PART IV
81-4594769 PAGE 1 OF 88

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a ACCENTURE PLC	P	06/15/18	09/06/19
b ACS ACTIV DE CONSTRUC Y SERV	P	08/09/17	03/07/19
c ACS ACTIV DE CONSTRUC Y SERV	P	08/15/17	03/07/19
d ACS ACTIV DE CONSTRUC Y SERV	P	08/15/17	04/04/19
e ACS ACTIV DE CONSTRUC Y SERV	P	08/15/17	06/07/19
f ACS ACTIV DE CONSTRUC Y SERV	P	09/20/17	06/07/19
g ACS ACTIV DE CONSTRUC Y SERV	P	09/20/17	08/14/19
h ACUITY BRANDS INC	P	08/01/17	08/21/19
i ACUITY BRANDS INC	P	08/07/17	08/21/19
j ACUITY BRANDS INC	P	08/09/17	08/21/19
k ACUITY BRANDS INC	P	08/15/17	08/21/19
l ACUITY BRANDS INC	P	08/24/17	08/21/19
m ACUITY BRANDS INC	P	09/06/17	08/21/19
n ACUITY BRANDS INC	P	09/15/17	08/21/19
o ACUITY BRANDS INC	P	09/20/17	08/21/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,200.		976.	224.
b 2,398.		1,953.	445.
c 5,980.		4,806.	1,174.
d 7.		5.	2.
e 1,373.		1,157.	216.
f 445.		373.	72.
g 3.		2.	1.
h 1,877.		2,976.	<1,099.>
i 501.		816.	<315.>
j 2,002.		3,146.	<1,144.>
k 2,377.		3,546.	<1,169.>
l 1,627.		2,299.	<672.>
m 4,004.		5,718.	<1,714.>
n 5,881.		8,195.	<2,314.>
o 3,879.		5,069.	<1,190.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			224.
b			445.
c			1,174.
d			2.
e			216.
f			72.
g			1.
h			<1,099.>
i			<315.>
j			<1,144.>
k			<1,169.>
l			<672.>
m			<1,714.>
n			<2,314.>
o			<1,190.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a ACUITY BRANDS INC		P	10/05/17	08/21/19
b ACUITY BRANDS INC		P	10/11/17	08/21/19
c ACUITY BRANDS INC		P	11/22/17	08/21/19
d ACUITY BRANDS INC		P	01/02/19	08/21/19
e ADIDAS AG		P	04/03/18	01/22/19
f ADIDAS AG		P	05/07/18	01/22/19
g ADIDAS AG		P	10/02/18	01/22/19
h ADIDAS AG		P	01/25/18	02/08/19
i ADIDAS AG		P	05/07/18	02/08/19
j ADIDAS AG		P	01/25/18	06/07/19
k ADOBE INC		P	08/09/17	05/30/19
l ADOBE INC		P	08/15/17	05/30/19
m ADOBE INC		P	10/05/17	05/30/19
n ADOBE INC		P	03/08/19	05/30/19
o AERCAP HOLDINGS NV		P	08/01/17	02/08/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,754.		5,309.	<1,555.>
b 3,003.		4,073.	<1,070.>
c 15,140.		19,566.	<4,426.>
d 125.		115.	10.
e 7,444.		7,711.	<267.>
f 3,838.		3,838.	0.
g 116.		123.	<7.>
h 2,030.		2,062.	<32.>
i 1,917.		1,977.	<60.>
j 8,869.		6,758.	2,111.
k 4,099.		2,210.	1,889.
l 21,586.		11,820.	9,766.
m 12,569.		6,814.	5,755.
n 3,552.		3,293.	259.
o 6,899.		7,470.	<571.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<1,555.>
b			<1,070.>
c			<4,426.>
d			10.
e			<267.>
f			0.
g			<7.>
h			<32.>
i			<60.>
j			2,111.
k			1,889.
l			9,766.
m			5,755.
n			259.
o			<571.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE IRVING AND PHYLLIS MILLSTEIN
FOUNDATION FOR ANIMAL WELFARE LTD.

CONTINUATION FOR 990-PF, PART IV
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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	AERCAP HOLDINGS NV	P	09/15/17	02/08/19
b	AERCAP HOLDINGS NV	P	09/20/17	02/08/19
c	AERCAP HOLDINGS NV	P	09/15/17	06/07/19
d	AERCAP HOLDINGS NV	P	08/09/17	07/12/19
e	AERCAP HOLDINGS NV	P	09/15/17	07/12/19
f	AERCAP HOLDINGS NV	P	08/09/17	07/15/19
g	AERCAP HOLDINGS NV	P	08/09/17	07/16/19
h	AERCAP HOLDINGS NV	P	08/15/17	07/16/19
i	AERCAP HOLDINGS NV	P	08/15/17	11/13/19
j	AERCAP HOLDINGS NV	P	08/15/17	11/14/19
k	AERCAP HOLDINGS NV	P	08/15/17	11/15/19
l	AGILENT TECHNOLOGIES INC	P	12/26/18	03/08/19
m	AIA GROUP LTD	P	08/01/17	01/22/19
n	AIA GROUP LTD	P	09/14/17	01/22/19
o	AIA GROUP LTD	P	09/20/17	01/22/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,056.	2,220.	<164.>
b	2,056.	2,233.	<177.>
c	5,054.	5,279.	<225.>
d	1,476.	1,429.	47.
e	2,698.	2,615.	83.
f	2,377.	2,316.	61.
g	2,207.	2,119.	88.
h	4,876.	4,640.	236.
i	1,088.	879.	209.
j	1,681.	1,368.	313.
k	897.	733.	164.
l	93,201.	76,105.	17,096.
m	7,482.	6,852.	630.
n	592.	663.	<71.>
o	6,786.	6,035.	751.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<164.>
b			<177.>
c			<225.>
d			47.
e			83.
f			61.
g			88.
h			236.
i			209.
j			313.
k			164.
l			17,096.
m			630.
n			<71.>
o			751.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

THE IRVING AND PHYLLIS MILLSTEIN
FOUNDATION FOR ANIMAL WELFARE LTD.

CONTINUATION FOR 990-PF, PART IV
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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a AIA GROUP LTD		P	08/09/17	02/08/19
b AIA GROUP LTD		P	08/15/17	02/08/19
c AIA GROUP LTD		P	08/15/17	02/08/19
d AIA GROUP LTD		P	09/06/17	02/08/19
e AIA GROUP LTD		P	09/20/17	02/08/19
f AIA GROUP LTD		P	08/15/17	06/07/19
g AIA GROUP LTD		P	08/24/17	06/07/19
h AIA GROUP LTD		P	09/06/17	06/07/19
i AIA GROUP LTD		P	09/06/17	06/07/19
j AIA GROUP LTD		P	03/07/19	06/07/19
k AIR PRODS & CHEMS INC		P	03/08/19	09/06/19
l AIRBUS SE		P	08/01/17	02/08/19
m AIRBUS SE		P	09/06/17	02/08/19
n AIRBUS SE		P	12/27/18	02/08/19
o AIRBUS SE		P	09/06/17	03/05/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 6,550.		5,349.	1,201.
b 1,249.		1,016.	233.
c 454.		370.	84.
d 6,474.		5,255.	1,219.
e 2,007.		1,640.	367.
f 8,777.		7,052.	1,725.
g 2,491.		1,983.	508.
h 652.		522.	130.
i 537.		430.	107.
j 1,073.		1,092.	<19.>
k 1,351.		1,080.	271.
l 2,495.		1,871.	624.
m 4,309.		3,220.	1,089.
n 1,531.		1,263.	268.
o 2,515.		1,674.	841.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,201.
b			233.
c			84.
d			1,219.
e			367.
f			1,725.
g			508.
h			130.
i			107.
j			<19.>
k			271.
l			624.
m			1,089.
n			268.
o			841.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE IRVING AND PHYLLIS MILLSTEIN
FOUNDATION FOR ANIMAL WELFARE LTD.

CONTINUATION FOR 990-PF, PART IV
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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a AIRBUS SE	P	08/15/17	06/07/19
b AIRBUS SE	P	09/06/17	06/07/19
c ALIBABA GROUP HLDG LTD	P	01/16/18	01/22/19
d ALIBABA GROUP HLDG LTD	P	02/07/18	01/22/19
e ALIBABA GROUP HLDG LTD	P	03/29/18	01/22/19
f ALIBABA GROUP HLDG LTD	P	08/17/18	01/22/19
g ALIBABA GROUP HLDG LTD	P	09/06/17	02/08/19
h ALIBABA GROUP HLDG LTD	P	10/05/17	02/08/19
i ALIBABA GROUP HLDG LTD	P	03/29/18	02/08/19
j ALIBABA GROUP HLDG LTD	P	08/24/17	06/07/19
k ALIBABA GROUP HLDG LTD	P	09/15/17	06/07/19
l ALIBABA GROUP HLDG LTD	P	09/20/17	06/07/19
m ALKERMES PLC	P	08/01/17	02/22/19
n ALKERMES PLC	P	08/09/17	02/22/19
o ALKERMES PLC	P	08/15/17	02/22/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,557.		2,203.	1,354.
b 1,368.		847.	521.
c 5,588.		6,920.	<1,332.>
d 604.		836.	<232.>
e 6,192.		7,491.	<1,299.>
f 302.		433.	<131.>
g 6,023.		6,466.	<443.>
h 167.		179.	<12.>
i 1,673.		1,827.	<154.>
j 2,467.		2,829.	<362.>
k 5,859.		6,725.	<866.>
l 308.		357.	<49.>
m 996.		1,634.	<638.>
n 830.		1,320.	<490.>
o 1,029.		1,604.	<575.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,354.
b			521.
c			<1,332.>
d			<232.>
e			<1,299.>
f			<131.>
g			<443.>
h			<12.>
i			<154.>
j			<362.>
k			<866.>
l			<49.>
m			<638.>
n			<490.>
o			<575.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ALKERMES PLC	P	08/24/17	02/22/19
b	ALKERMES PLC	P	09/06/17	02/22/19
c	ALKERMES PLC	P	09/15/17	02/22/19
d	ALKERMES PLC	P	09/20/17	02/22/19
e	ALKERMES PLC	P	10/05/17	02/22/19
f	ALKERMES PLC	P	10/11/17	02/22/19
g	ALKERMES PLC	P	12/27/18	02/22/19
h	ALLSTATE CORP	P	08/01/17	12/11/19
i	ALLSTATE CORP	P	08/09/17	12/11/19
j	ALLSTATE CORP	P	08/15/17	12/11/19
k	ALLSTATE CORP	P	08/24/17	12/11/19
l	ALLSTATE CORP	P	09/06/17	12/11/19
m	ALLSTATE CORP	P	10/05/17	12/11/19
n	ALLSTATE CORP	P	03/08/19	12/11/19
o	ALPHABET INC	P	09/10/18	05/30/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	697.	1,057.	<360.>
b	1,659.	2,504.	<845.>
c	2,522.	3,964.	<1,442.>
d	1,659.	2,531.	<872.>
e	1,493.	2,336.	<843.>
f	1,261.	1,958.	<697.>
g	431.	369.	62.
h	11,187.	9,307.	1,880.
i	12,613.	10,831.	1,782.
j	5,813.	5,016.	797.
k	6,361.	5,390.	971.
l	6,690.	5,297.	1,393.
m	2,303.	1,949.	354.
n	3,948.	3,350.	598.
o	12,319.	12,810.	<491.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<360.>
b			<845.>
c			<1,442.>
d			<872.>
e			<843.>
f			<697.>
g			62.
h			1,880.
i			1,782.
j			797.
k			971.
l			1,393.
m			354.
n			598.
o			<491.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

THE IRVING AND PHYLLIS MILLSTEIN
FOUNDATION FOR ANIMAL WELFARE LTD.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	AMERICAN INTL GROUP INC	P	11/07/17	07/16/19
b	AMERICAN TOWER CORP	P	08/17/17	04/22/19
c	AMERICAN WTR WKS CO INC	P	08/09/17	09/06/19
d	AMERICAN WTR WKS CO INC	P	03/08/19	09/06/19
e	ANSYS INC	P	08/15/17	11/21/19
f	ANSYS INC	P	08/24/17	11/21/19
g	ANSYS INC	P	10/11/17	11/21/19
h	ANSYS INC	P	08/21/19	11/21/19
i	APPLE INC	P	09/06/17	05/30/19
j	APPLE INC	P	03/08/19	05/30/19
k	APPLIED MATLS INC	P	09/06/17	09/06/19
l	APPLIED MATLS INC	P	08/01/17	12/11/19
m	APPLIED MATLS INC	P	08/15/17	12/11/19
n	APPLIED MATLS INC	P	09/06/17	12/11/19
o	AQR MANAGED FUTURES STRATEGY	P	07/27/17	01/22/19

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	50,000.		50,227.	<227.>
b	25,751.		27,108.	<1,357.>
c	20,324.		13,039.	7,285.
d	4,192.		3,367.	825.
e	4,927.		2,511.	2,416.
f	739.		382.	357.
g	12,811.		6,570.	6,241.
h	2,464.		2,166.	298.
i	10,000.		9,097.	903.
j	3,214.		3,091.	123.
k	1,157.		1,028.	129.
l	20,946.		16,301.	4,645.
m	7,209.		5,593.	1,616.
n	3,009.		2,368.	641.
o	60,805.		65,557.	<4,752.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<227.>
b			<1,357.>
c			7,285.
d			825.
e			2,416.
f			357.
g			6,241.
h			298.
i			903.
j			123.
k			129.
l			4,645.
m			1,616.
n			641.
o			<4,752.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	AQR MANAGED FUTURES STRATEGY	P	08/02/17	01/22/19
b	AQR MANAGED FUTURES STRATEGY	P	08/08/17	01/22/19
c	AQR MANAGED FUTURES STRATEGY	P	08/14/17	01/22/19
d	AQR MANAGED FUTURES STRATEGY	P	09/19/17	01/22/19
e	AQUA AMERICA INC	P	08/09/17	02/22/19
f	AQUA AMERICA INC	P	09/15/17	02/22/19
g	AQUA AMERICA INC	P	10/05/17	02/22/19
h	AQUA AMERICA INC	P	10/11/17	02/22/19
i	ARCELORMITTAL LUXEMBOURG	P	09/06/17	02/08/19
j	ARCELORMITTAL LUXEMBOURG	P	10/05/17	02/08/19
k	ARCELORMITTAL LUXEMBOURG	P	08/01/17	06/04/19
l	ARCELORMITTAL LUXEMBOURG	P	08/09/17	06/04/19
m	ARCELORMITTAL LUXEMBOURG	P	08/15/17	06/04/19
n	ARCELORMITTAL LUXEMBOURG	P	08/24/17	06/04/19
o	ARCELORMITTAL LUXEMBOURG	P	10/05/17	06/04/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	49,330.	53,065.	<3,735.>
b	48,506.	52,000.	<3,494.>
c	54,891.	57,773.	<2,882.>
d	23,006.	25,000.	<1,994.>
e	3,871.	3,665.	206.
f	5,716.	5,379.	337.
g	7,452.	7,032.	420.
h	5,969.	5,732.	237.
i	3,031.	3,712.	<681.>
j	1,537.	1,880.	<343.>
k	2,948.	4,979.	<2,031.>
l	2,177.	3,787.	<1,610.>
m	3,844.	6,364.	<2,520.>
n	3,273.	5,548.	<2,275.>
o	2,732.	4,754.	<2,022.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<3,735.>
b			<3,494.>
c			<2,882.>
d			<1,994.>
e			206.
f			337.
g			420.
h			237.
i			<681.>
j			<343.>
k			<2,031.>
l			<1,610.>
m			<2,520.>
n			<2,275.>
o			<2,022.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ARCELORMITTAL LUXEMBOURG	P	12/27/18	06/04/19
b	ASML HOLDINGS NV	P	11/30/18	02/08/19
c	ASML HOLDINGS NV	P	11/30/18	06/07/19
d	ASML HOLDINGS NV	P	05/24/19	06/07/19
e	ASML HOLDINGS NV	P	05/24/19	07/18/19
f	ASML HOLDINGS NV	P	11/30/18	10/28/19
g	ASTRAZENECA PLC	P	02/20/18	01/22/19
h	ASTRAZENECA PLC	P	12/27/18	01/22/19
i	ASTRAZENECA PLC	P	01/18/19	01/22/19
j	ASTRAZENECA PLC	P	02/20/18	02/08/19
k	ASTRAZENECA PLC	P	02/20/18	02/22/19
l	AT&T INC	P	06/26/17	06/12/19
m	ATMOS ENERGY CORP	P	05/22/18	11/21/19
n	ATMOS ENERGY CORP	P	01/02/19	11/21/19
o	AUTODESK INC	P	09/08/17	09/06/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,686.		3,521.	<835.>
b	11,216.		10,477.	739.
c	6,297.		5,407.	890.
d	3,149.		3,054.	95.
e	3,168.		2,672.	496.
f	2,674.		1,690.	984.
g	9,846.		9,147.	699.
h	36.		37.	<1.>
i	362.		365.	<3.>
j	4,287.		3,901.	386.
k	30,711.		25,323.	5,388.
l	26,227.		25,860.	367.
m	47,277.		37,878.	9,399.
n	969.		817.	152.
o	49,195.		37,675.	11,520.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<835.>
b			739.
c			890.
d			95.
e			496.
f			984.
g			699.
h			<1.>
i			<3.>
j			386.
k			5,388.
l			367.
m			9,399.
n			152.
o			11,520.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a AUTODESK INC	P	03/08/19	09/06/19
b AXA SA	P	08/01/17	02/08/19
c AXA SA	P	08/09/17	02/08/19
d AXA SA	P	08/24/17	02/08/19
e AXA SA	P	08/24/17	06/07/19
f AXA SA	P	09/20/17	06/07/19
g BAE SYS PLC	P	09/27/17	02/08/19
h BAE SYS PLC	P	09/29/17	02/08/19
i BAE SYS PLC	P	09/27/17	06/07/19
j BAIDU INC	P	08/24/17	02/08/19
k BAIDU INC	P	08/01/17	08/15/19
l BAIDU INC	P	08/09/17	08/15/19
m BAIDU INC	P	08/15/17	08/15/19
n BAIDU INC	P	08/24/17	08/15/19
o BAIDU INC	P	02/08/18	08/15/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,439.		3,476.	<37.>
b 1,099.		1,431.	<332.>
c 3,023.		3,902.	<879.>
d 504.		650.	<146.>
e 4,576.		5,349.	<773.>
f 607.		709.	<102.>
g 4,758.		5,979.	<1,221.>
h 2,707.		3,413.	<706.>
i 145.		206.	<61.>
j 4,070.		5,427.	<1,357.>
k 3,193.		7,594.	<4,401.>
l 2,347.		5,645.	<3,298.>
m 3,850.		9,256.	<5,406.>
n 1,408.		3,392.	<1,984.>
o 1,972.		4,477.	<2,505.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<37.>
b			<332.>
c			<879.>
d			<146.>
e			<773.>
f			<102.>
g			<1,221.>
h			<706.>
i			<61.>
j			<1,357.>
k			<4,401.>
l			<3,298.>
m			<5,406.>
n			<1,984.>
o			<2,505.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

THE IRVING AND PHYLLIS MILLSTEIN
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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BAIDU INC	P	12/27/18	08/15/19
b	BALL CORP	P	08/01/17	09/06/19
c	BALL CORP	P	08/09/17	09/06/19
d	BALL CORP	P	08/15/17	09/06/19
e	BALL CORP	P	10/05/17	09/06/19
f	BALL CORP	P	03/08/19	09/06/19
g	BANCO BILBAO VIZCAYA ARGENTARIA	P	08/01/17	01/22/19
h	BANCO BILBAO VIZCAYA ARGENTARIA	P	08/09/17	01/22/19
i	BANCO BILBAO VIZCAYA ARGENTARIA	P	08/15/17	01/22/19
j	BANCO BILBAO VIZCAYA ARGENTARIA	P	08/24/17	01/22/19
k	BANCO BILBAO VIZCAYA ARGENTARIA	P	08/24/17	02/08/19
l	BANCO BILBAO VIZCAYA ARGENTARIA	P	09/15/17	02/08/19
m	BANCO BILBAO VIZCAYA ARGENTARIA	P	09/06/17	06/07/19
n	BANCO BILBAO VIZCAYA ARGENTARIA	P	09/15/17	06/07/19
o	BANCO BILBAO VIZCAYA ARGENTARIA	P	09/20/17	06/07/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,127.	1,894.	<767.>
b	316.	169.	147.
c	15,154.	7,841.	7,313.
d	2,131.	1,096.	1,035.
e	2,762.	1,465.	1,297.
f	4,972.	3,433.	1,539.
g	2,528.	3,954.	<1,426.>
h	2,676.	4,094.	<1,418.>
i	4,025.	6,113.	<2,088.>
j	1,391.	2,098.	<707.>
k	2,553.	3,920.	<1,367.>
l	1,743.	2,655.	<912.>
m	229.	354.	<125.>
n	1,941.	3,061.	<1,120.>
o	3,641.	5,740.	<2,099.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<767.>
b			147.
c			7,313.
d			1,035.
e			1,297.
f			1,539.
g			<1,426.>
h			<1,418.>
i			<2,088.>
j			<707.>
k			<1,367.>
l			<912.>
m			<125.>
n			<1,120.>
o			<2,099.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BANCO SANTANDER SA	P	01/29/18	02/08/19
b	BANCO SANTANDER SA	P	01/29/18	06/04/19
c	BANCO SANTANDER SA	P	02/26/18	06/04/19
d	BANCO SANTANDER SA	P	06/13/18	06/04/19
e	BANCO SANTANDER SA	P	11/13/18	06/04/19
f	BARCLAYS PLC	P	08/14/17	02/13/19
g	BASF SE	P	08/01/17	01/22/19
h	BASF SE	P	08/01/17	01/22/19
i	BASF SE	P	08/09/17	01/22/19
j	BASF SE	P	08/15/17	01/22/19
k	BASF SE	P	08/24/17	01/22/19
l	BASF SE	P	09/06/17	01/22/19
m	BASF SE	P	09/15/17	01/22/19
n	BASF SE	P	09/20/17	01/22/19
o	BASF SE	P	07/26/18	01/22/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,557.	5,662.	<2,105.>
b	13.	22.	<9.>
c	22,788.	35,846.	<13,058.>
d	1,777.	2,233.	<456.>
e	251.	271.	<20.>
f	49,821.	50,663.	<842.>
g	2,275.	2,275.	0.
h	1,292.	1,707.	<415.>
i	2,839.	3,684.	<845.>
j	4,368.	5,704.	<1,336.>
k	4,295.	5,760.	<1,465.>
l	4,222.	5,766.	<1,544.>
m	4,149.	5,920.	<1,771.>
n	3,658.	5,279.	<1,621.>
o	237.	320.	<83.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<2,105.>
b			<9.>
c			<13,058.>
d			<456.>
e			<20.>
f			<842.>
g			0.
h			<415.>
i			<845.>
j			<1,336.>
k			<1,465.>
l			<1,544.>
m			<1,771.>
n			<1,621.>
o			<83.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BASF SE	P	10/02/18	01/22/19
b	BASF SE	P	12/27/18	01/22/19
c	BASF SE	P	09/24/18	02/08/19
d	BASF SE	P	09/24/18	06/07/19
e	BAYERISCHE MOTOREN WERKE A G	P	09/15/17	01/22/19
f	BAYERISCHE MOTOREN WERKE A G	P	09/20/17	01/22/19
g	BAYERISCHE MOTOREN WERKE A G	P	10/05/17	01/22/19
h	BAYERISCHE MOTOREN WERKE A G	P	09/15/17	02/08/19
i	BAYERISCHE MOTOREN WERKE A G	P	08/24/17	06/07/19
j	BAYERISCHE MOTOREN WERKE A G	P	09/06/17	06/07/19
k	BAYERISCHE MOTOREN WERKE A G	P	09/15/17	06/07/19
l	BAYERISCHE MOTOREN WERKE A G	P	07/26/18	06/07/19
m	BHP BILLITON FIN USA LTD	P	05/02/19	10/03/19
n	BHP BILLITON PLC	P	10/05/17	02/08/19
o	BHP BILLITON PLC	P	11/12/18	02/08/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 18.		22.	<4.>
b 237.		221.	16.
c 3,713.		4,985.	<1,272.>
d 2,122.		2,924.	<802.>
e 247.		302.	<55.>
f 4,906.		6,040.	<1,134.>
g 4,632.		5,879.	<1,247.>
h 4,143.		5,276.	<1,133.>
i 613.		821.	<208.>
j 4,383.		6,096.	<1,713.>
k 306.		437.	<131.>
l 212.		290.	<78.>
m 51,276.		50,428.	848.
n 890.		725.	165.
o 6,183.		5,779.	404.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<4.>
b			16.
c			<1,272.>
d			<802.>
e			<55.>
f			<1,134.>
g			<1,247.>
h			<1,133.>
i			<208.>
j			<1,713.>
k			<131.>
l			<78.>
m			848.
n			165.
o			404.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	}	3

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a BHP BILLITON PLC	P	10/05/17	03/05/19
b BHP BILLITON PLC	P	08/01/17	03/06/19
c BHP BILLITON PLC	P	10/05/17	03/06/19
d BHP BILLITON PLC	P	08/01/17	06/07/19
e BHP BILLITON PLC	P	08/01/17	10/08/19
f BHP BILLITON PLC	P	08/09/17	10/08/19
g BHP BILLITON PLC	P	08/09/17	10/09/19
h BHP BILLITON PLC	P	08/15/17	10/09/19
i BHP BILLITON PLC	P	08/15/17	10/10/19
j BHP GROUP LTD	P	09/05/17	01/22/19
k BHP GROUP LTD	P	05/18/18	01/22/19
l BHP GROUP LTD	P	07/26/18	01/22/19
m BHP GROUP LTD	P	12/27/18	01/22/19
n BHP GROUP LTD	P	01/18/19	01/22/19
o BHP GROUP LTD	P	09/05/17	02/08/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 8,732.		6,854.	1,878.
b 3,315.		2,568.	747.
c 1,167.		907.	260.
d 3,727.		2,894.	833.
e 2,303.		2,026.	277.
f 3,619.		3,161.	458.
g 3,157.		2,766.	391.
h 5,495.		4,626.	869.
i 5,305.		4,384.	921.
j 1,392.		1,331.	61.
k 6,587.		7,243.	<656.>
l 278.		301.	<23.>
m 93.		94.	<1.>
n 93.		96.	<3.>
o 3,084.		2,706.	378.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,878.
b			747.
c			260.
d			833.
e			277.
f			458.
g			391.
h			869.
i			921.
j			61.
k			<656.>
l			<23.>
m			<1.>
n			<3.>
o			378.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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THE IRVING AND PHYLLIS MILLSTEIN
FOUNDATION FOR ANIMAL WELFARE LTD.

CONTINUATION FOR 990-PF, PART IV
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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a BHP GROUP LTD	P	09/06/17	02/08/19
b BHP GROUP LTD	P	09/06/17	06/07/19
c BHP GROUP LTD	P	09/20/17	06/07/19
d BIOGEN IDEC INC	P	08/01/17	09/06/19
e BIOGEN IDEC INC	P	08/09/17	09/06/19
f BIOGEN IDEC INC	P	08/15/17	09/06/19
g BIOGEN IDEC INC	P	08/24/17	09/06/19
h BIOGEN IDEC INC	P	09/06/17	09/06/19
i BIOGEN IDEC INC	P	03/08/19	09/06/19
j BOEING CO	P	03/08/19	05/30/19
k BOEING CO	P	03/08/19	05/30/19
l BRAMBLES LTD	P	08/01/17	01/22/19
m BRAMBLES LTD	P	08/24/17	01/22/19
n BRAMBLES LTD	P	09/06/17	01/22/19
o BRAMBLES LTD	P	09/15/17	01/22/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,921.		1,681.	240.
b 2,768.		2,300.	468.
c 3,300.		2,629.	671.
d 10,761.		13,937.	<3,176.>
e 12,330.		15,764.	<3,434.>
f 12,330.		15,792.	<3,462.>
g 6,277.		7,908.	<1,631.>
h 2,690.		3,821.	<1,131.>
i 2,466.		3,382.	<916.>
j 82,564.		99,155.	<16,591.>
k 10,191.		12,184.	<1,993.>
l 4,238.		4,144.	94.
m 1,224.		1,202.	22.
n 444.		432.	12.
o 826.		881.	<55.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			240.
b			468.
c			671.
d			<3,176.>
e			<3,434.>
f			<3,462.>
g			<1,631.>
h			<1,131.>
i			<916.>
j			<16,591.>
k			<1,993.>
l			94.
m			22.
n			12.
o			<55.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a BRAMBLES LTD	P	01/18/19	01/22/19
b BRAMBLES LTD	P	09/06/17	02/08/19
c BRAMBLES LTD	P	09/06/17	06/07/19
d BRAMBLES LTD	P	11/07/17	06/07/19
e BRAMBLES LTD	P	09/15/17	11/20/19
f BRAMBLES LTD	P	10/05/17	11/20/19
g BRAMBLES LTD	P	11/07/17	11/20/19
h BRAMBLES LTD	P	07/26/18	11/20/19
i BRIDGESTONE CORP	P	09/15/17	01/22/19
j BRIDGESTONE CORP	P	09/15/17	01/22/19
k BRIDGESTONE CORP	P	09/20/17	01/22/19
l BRIDGESTONE CORP	P	10/05/17	01/22/19
m BRIDGESTONE CORP	P	10/27/17	01/22/19
n BRIDGESTONE CORP	P	08/15/17	02/08/19
o BRIDGESTONE CORP	P	09/11/17	02/08/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 352.		354.	<2.>
b 2,570.		2,425.	145.
c 2,484.		2,068.	416.
d 2,180.		1,784.	396.
e 5,386.		4,656.	730.
f 5,386.		4,524.	862.
g 4,617.		4,037.	580.
h 351.		302.	49.
i 306.		355.	<49.>
j 191.		191.	0.
k 4,259.		5,104.	<845.>
l 4,106.		5,003.	<897.>
m 191.		244.	<53.>
n 516.		616.	<100.>
o 184.		228.	<44.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<2.>
b			145.
c			416.
d			396.
e			730.
f			862.
g			580.
h			49.
i			<49.>
j			0.
k			<845.>
l			<897.>
m			<53.>
n			<100.>
o			<44.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BRIDGESTONE CORP	P	09/15/17	02/08/19
b	BRIDGESTONE CORP	P	08/09/17	06/07/19
c	BRIDGESTONE CORP	P	08/15/17	06/07/19
d	BRIGHT HORIZONS FAMILY SOLUTIONS	P	03/08/19	09/06/19
e	BRISTOL MYERS SQUIBB CO	P	08/09/16	09/06/19
f	BRISTOL MYERS SQUIBB CO	P	08/17/16	09/06/19
g	BRISTOL MYERS SQUIBB CO	P	08/23/16	09/06/19
h	BRISTOL MYERS SQUIBB CO	P	09/01/16	09/06/19
i	BRISTOL MYERS SQUIBB CO	P	09/06/17	09/06/19
j	BRISTOL MYERS SQUIBB CO	P	09/10/18	09/06/19
k	BRISTOL MYERS SQUIBB CO	P	03/08/19	09/06/19
l	BRITISH AMERN TOB PLC	P	12/27/18	01/09/19
m	CAMBREX CORP	P	08/01/17	08/21/19
n	CAMBREX CORP	P	08/09/17	08/21/19
o	CAMBREX CORP	P	08/15/17	08/21/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,612.		4,351.	<739.>
b 2,026.		2,267.	<241.>
c 4,013.		4,532.	<519.>
d 1,160.		858.	302.
e 11,141.		14,249.	<3,108.>
f 12,788.		16,455.	<3,667.>
g 12,788.		16,631.	<3,843.>
h 2,325.		3,045.	<720.>
i 6,346.		7,883.	<1,537.>
j 630.		794.	<164.>
k 3,197.		3,375.	<178.>
l 28,070.		27,131.	939.
m 7,223.		7,518.	<295.>
n 6,394.		5,879.	515.
o 7,756.		6,989.	767.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<739.>
b			<241.>
c			<519.>
d			302.
e			<3,108.>
f			<3,667.>
g			<3,843.>
h			<720.>
i			<1,537.>
j			<164.>
k			<178.>
l			939.
m			<295.>
n			515.
o			767.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	}	3

THE IRVING AND PHYLLIS MILLSTEIN
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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CAMBREX CORP	P	08/24/17	08/21/19
b	CAMBREX CORP	P	09/06/17	08/21/19
c	CAMBREX CORP	P	09/15/17	08/21/19
d	CAMBREX CORP	P	09/20/17	08/21/19
e	CAMBREX CORP	P	10/05/17	08/21/19
f	CAMBREX CORP	P	10/11/17	08/21/19
g	CAMBREX CORP	P	02/22/19	08/21/19
h	CARLSBERG AS	P	08/24/17	02/08/19
i	CARLSBERG AS	P	09/20/17	02/08/19
j	CARLSBERG AS	P	08/01/17	06/07/19
k	CARLSBERG AS	P	08/24/17	06/07/19
l	CBRE GROUP INC	P	07/26/17	05/30/19
m	CBRE GROUP INC	P	08/01/17	05/30/19
n	CBRE GROUP INC	P	08/09/17	05/30/19
o	CBRE GROUP INC	P	08/09/17	05/30/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	5,506.	4,845.	661.
b	12,966.	11,196.	1,770.
c	19,123.	16,961.	2,162.
d	12,670.	11,486.	1,184.
e	12,374.	11,608.	766.
f	9,710.	8,913.	797.
g	36,293.	24,526.	11,767.
h	4,386.	4,177.	209.
i	1,502.	1,439.	63.
j	4,604.	3,771.	833.
k	1,273.	1,067.	206.
l	4,723.	3,941.	782.
m	7,502.	6,165.	1,337.
n	14,772.	11,730.	3,042.
o	9,081.	7,134.	1,947.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			661.
b			1,770.
c			2,162.
d			1,184.
e			766.
f			797.
g			11,767.
h			209.
i			63.
j			833.
k			206.
l			782.
m			1,337.
n			3,042.
o			1,947.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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THE IRVING AND PHYLLIS MILLSTEIN
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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CBRE GROUP INC	P	09/06/17	05/30/19
b	CBRE GROUP INC	P	10/05/17	05/30/19
c	CBRE GROUP INC	P	03/08/19	05/30/19
d	CELGENE CORP	P	11/06/18	02/04/19
e	CELGENE CORP	P	12/13/17	03/08/19
f	CELGENE CORP	P	09/10/18	03/08/19
g	CELGENE CORP	P	12/19/18	03/08/19
h	CHINA MOBILE LTD	P	08/03/18	01/22/19
i	CHINA MOBILE LTD	P	09/17/18	01/22/19
j	CHINA MOBILE LTD	P	10/02/18	01/22/19
k	CHINA MOBILE LTD	P	10/09/18	01/22/19
l	CHINA MOBILE LTD	P	08/03/18	01/29/19
m	CHINA MOBILE LTD	P	08/06/18	01/29/19
n	CHINA MOBILE LTD	P	08/06/18	02/08/19
o	CHINA MOBILE LTD	P	08/06/18	02/22/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,809.		2,162.	647.
b 2,825.		2,360.	465.
c 3,149.		3,387.	<238.>
d 25,001.		24,170.	831.
e 30,109.		38,404.	<8,295.>
f 594.		626.	<32.>
g 21,204.		16,929.	4,275.
h 4,951.		4,323.	628.
i 4,645.		4,428.	217.
j 664.		623.	41.
k 1,021.		1,064.	<43.>
l 18,040.		15,421.	2,619.
m 1,929.		1,640.	289.
n 2,261.		1,906.	355.
o 14,549.		12,014.	2,535.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			647.
b			465.
c			<238.>
d			831.
e			<8,295.>
f			<32.>
g			4,275.
h			628.
i			217.
j			41.
k			<43.>
l			2,619.
m			289.
n			355.
o			2,535.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a COMCAST CORP	P	03/08/19	09/06/19
b COMPAGNIE FINANCIERE RICHEMONT	P	08/23/18	01/22/19
c COMPAGNIE FINANCIERE RICHEMONT	P	08/23/18	02/08/19
d COMPAGNIE FINANCIERE RICHEMONT	P	08/23/18	06/07/19
e CONSTELLATION BRANDS INC	P	02/28/18	10/03/19
f CONTINENTAL AG	P	09/20/17	01/22/19
g CONTINENTAL AG	P	06/05/18	01/22/19
h CONTINENTAL AG	P	06/19/18	01/22/19
i CONTINENTAL AG	P	09/15/17	02/08/19
j CONTINENTAL AG	P	09/20/17	02/08/19
k CONTINENTAL AG	P	09/06/17	03/27/19
l CONTINENTAL AG	P	09/15/17	03/27/19
m CONTINENTAL AG	P	08/24/17	06/07/19
n CONTINENTAL AG	P	09/06/17	06/07/19
o CVS HEALTH CORP	P	08/01/17	12/11/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,114.		912.	202.
b 8,413.		10,626.	<2,213.>
c 2,998.		3,870.	<872.>
d 5,912.		6,453.	<541.>
e 25,866.		24,808.	1,058.
f 254.		427.	<173.>
g 3,708.		6,449.	<2,741.>
h 3,409.		5,833.	<2,424.>
i 2,468.		4,167.	<1,699.>
j 686.		1,181.	<495.>
k 3,692.		5,676.	<1,984.>
l 1,251.		2,046.	<795.>
m 3,344.		5,448.	<2,104.>
n 268.		440.	<172.>
o 1,170.		1,280.	<110.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			202.
b			<2,213.>
c			<872.>
d			<541.>
e			1,058.
f			<173.>
g			<2,741.>
h			<2,424.>
i			<1,699.>
j			<495.>
k			<1,984.>
l			<795.>
m			<2,104.>
n			<172.>
o			<110.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CYPRESS SEMICONDUCTOR CORP	P	05/22/18	08/21/19
b	CYPRESS SEMICONDUCTOR CORP	P	05/28/18	08/21/19
c	DAIMLER AG	P	05/17/18	02/08/19
d	DAIMLER AG	P	05/17/18	06/07/19
e	DAIMLER AG	P	05/17/18	08/19/19
f	DAIMLER AG	P	06/13/18	08/19/19
g	DANONE	P	10/04/18	02/08/19
h	DANONE	P	06/03/19	06/07/19
i	DBS GROUP HLDGS LTD	P	09/20/17	02/08/19
j	DBS GROUP HLDGS LTD	P	09/20/17	06/07/19
k	DEUTSCHE BOERSE	P	10/19/17	02/08/19
l	DEUTSCHE BOERSE	P	10/19/17	05/30/19
m	DEUTSCHE BOERSE	P	10/19/17	06/07/19
n	DEUTSCHE POST AG	P	04/10/18	02/08/19
o	DEUTSCHE POST AG	P	04/10/18	06/07/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 76,983.		54,670.	22,313.
b 828.		606.	222.
c 3,936.		5,741.	<1,805.>
d 2,375.		3,516.	<1,141.>
e 18,823.		32,461.	<13,638.>
f 369.		586.	<217.>
g 4,249.		4,453.	<204.>
h 4,007.		3,980.	27.
i 3,511.		2,998.	513.
j 2,795.		2,386.	409.
k 4,471.		3,811.	660.
l 2,649.		2,062.	587.
m 3,137.		2,375.	762.
n 4,165.		6,533.	<2,368.>
o 4,419.		6,443.	<2,024.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			22,313.
b			222.
c			<1,805.>
d			<1,141.>
e			<13,638.>
f			<217.>
g			<204.>
h			27.
i			513.
j			409.
k			660.
l			587.
m			762.
n			<2,368.>
o			<2,024.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE IRVING AND PHYLLIS MILLSTEIN
FOUNDATION FOR ANIMAL WELFARE LTD.

CONTINUATION FOR 990-PF, PART IV
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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a DIAGEO PLC	P	09/06/17	01/22/19
b DIAGEO PLC	P	09/15/17	01/22/19
c DIAGEO PLC	P	08/17/18	01/22/19
d DIAGEO PLC	P	10/24/18	01/22/19
e DIAGEO PLC	P	01/18/19	01/22/19
f DIAGEO PLC	P	09/06/17	02/08/19
g DIAGEO PLC	P	10/05/17	02/08/19
h DIAGEO PLC	P	08/24/17	06/07/19
i DIAGEO PLC	P	10/05/17	06/07/19
j DIAGEO PLC	P	03/07/19	06/07/19
k DIAGEO PLC	P	08/24/17	09/12/19
l DIAGEO PLC	P	09/20/17	09/12/19
m DIAGEO PLC	P	09/20/17	10/28/19
n DISCOVER CARD EXECUTION NT TR	P	05/11/17	01/15/19
o DISNEY WALT CO	P	12/26/18	03/08/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 7,142.		6,720.	422.
b 7,856.		7,468.	388.
c 429.		453.	<24.>
d 143.		146.	<3.>
e 1,286.		1,283.	3.
f 1,703.		1,478.	225.
g 5,264.		4,524.	740.
h 6,772.		5,173.	1,599.
i 4,341.		3,326.	1,015.
j 347.		320.	27.
k 3,818.		3,051.	767.
l 2,324.		1,855.	469.
m 3,195.		2,650.	545.
n 50,000.		50,004.	<4.>
o 161,403.		143,574.	17,829.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			422.
b			388.
c			<24.>
d			<3.>
e			3.
f			225.
g			740.
h			1,599.
i			1,015.
j			27.
k			767.
l			469.
m			545.
n			<4.>
o			17,829.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a DNB NOR ASA		P	09/20/17	01/22/19
b DNB NOR ASA		P	09/20/17	01/22/19
c DNB NOR ASA		P	10/05/17	01/22/19
d DNB NOR ASA		P	10/02/18	01/22/19
e DNB NOR ASA		P	08/01/17	02/08/19
f DNB NOR ASA		P	09/06/17	02/08/19
g DNB NOR ASA		P	09/15/17	02/08/19
h DNB NOR ASA		P	09/15/17	02/08/19
i DNB NOR ASA		P	09/20/17	02/08/19
j DNB NOR ASA		P	09/06/17	06/07/19
k DNB NOR ASA		P	09/15/17	06/07/19
l DUKE ENERGY CORP NEW		P	11/16/16	10/04/19
m E ON SE		P	08/24/17	02/08/19
n E ON SE		P	10/05/17	02/08/19
o E ON SE		P	09/15/17	06/07/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,833.		1,833.	0.
b 1,744.		1,932.	<188.>
c 5,073.		5,711.	<638.>
d 285.		332.	<47.>
e 4,644.		5,000.	<356.>
f 1,818.		1,929.	<111.>
g 2,700.		2,916.	<216.>
h 252.		272.	<20.>
i 1,404.		1,537.	<133.>
j 2,357.		2,540.	<183.>
k 6,539.		7,174.	<635.>
l 25,413.		24,014.	1,399.
m 1,378.		1,446.	<68.>
n 3,898.		4,084.	<186.>
o 839.		827.	12.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			0.
b			<188.>
c			<638.>
d			<47.>
e			<356.>
f			<111.>
g			<216.>
h			<20.>
i			<133.>
j			<183.>
k			<635.>
l			1,399.
m			<68.>
n			<186.>
o			12.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE IRVING AND PHYLLIS MILLSTEIN
FOUNDATION FOR ANIMAL WELFARE LTD.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	E ON SE	P	10/05/17	06/07/19
b	E ON SE	P	12/20/17	06/07/19
c		P	08/01/17	05/21/19
d	EAGLE BANCORP INC MD	P	08/09/17	05/21/19
e	EAGLE BANCORP INC MD	P	08/15/17	05/21/19
f	EAGLE BANCORP INC MD	P	08/24/17	05/21/19
g	EAGLE BANCORP INC MD	P	09/06/17	05/21/19
h	EAGLE BANCORP INC MD	P	09/15/17	05/21/19
i	EAGLE BANCORP INC MD	P	09/20/17	05/21/19
j	EAGLE BANCORP INC MD	P	10/05/17	05/21/19
k	EAGLE BANCORP INC MD	P	10/11/17	05/21/19
l	EAGLE BANCORP INC MD	P	10/17/17	05/21/19
m	EAGLE BANCORP INC MD	P	01/02/19	05/21/19
n	EDWARDS LIFESCIENCES CORP	P	03/08/19	09/06/19
o	EDWARDS LIFESCIENCES CORP	P	12/13/17	12/11/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,723.		1,723.	0.
b 1,242.		1,225.	17.
c 2,445.		2,763.	<318.>
d 2,112.		2,376.	<264.>
e 2,557.		2,909.	<352.>
f 1,723.		1,936.	<213.>
g 4,224.		4,689.	<465.>
h 6,225.		7,080.	<855.>
i 4,113.		4,692.	<579.>
j 3,946.		4,810.	<864.>
k 2,779.		3,432.	<653.>
l 389.		490.	<101.>
m 56.		49.	7.
n 1,131.		840.	291.
o 25,354.		12,843.	12,511.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			0.
b			17.
c			<318.>
d			<264.>
e			<352.>
f			<213.>
g			<465.>
h			<855.>
i			<579.>
j			<864.>
k			<653.>
l			<101.>
m			7.
n			291.
o			12,511.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	EDWARDS LIFESCIENCES CORP	P	03/08/19	12/11/19
b	ENGIE	P	09/06/17	02/08/19
c	ENGIE	P	10/05/17	02/08/19
d	ENGIE	P	10/05/17	06/07/19
e	EQUINIX INC	P	03/20/18	07/12/19
f	EQUINIX INC	P	03/08/19	07/12/19
g	ERSTE GROUP BANK	P	04/03/18	01/22/19
h	ERSTE GROUP BANK	P	04/25/18	01/22/19
i	ERSTE GROUP BANK	P	04/03/18	02/08/19
j	ERSTE GROUP BANK	P	04/03/18	02/08/19
k	ERSTE GROUP BANK	P	04/03/18	02/08/19
l	ERSTE GROUP BANK	P	04/03/18	02/08/19
m	ERSTE GROUP BANK	P	04/03/18	06/07/19
n	ERSTE GROUP BANK	P	04/30/18	06/07/19
o	ESSILORLUXOTTICA	P	08/01/17	01/22/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,426.		2,520.	906.
b 4,595.		5,078.	<483.>
c 1,495.		1,625.	<130.>
d 1,907.		2,150.	<243.>
e 42,909.		34,364.	8,545.
f 4,136.		3,429.	707.
g 5,020.		7,451.	<2,431.>
h 1,104.		1,739.	<635.>
i 3,698.		5,216.	<1,518.>
j 3,064.		3,064.	0.
k 634.		894.	<260.>
l <3,698.>		<5,216.>	1,518.
m 2,023.		2,757.	<734.>
n 3,171.		4,368.	<1,197.>
o 127.		127.	0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			906.
b			<483.>
c			<130.>
d			<243.>
e			8,545.
f			707.
g			<2,431.>
h			<635.>
i			<1,518.>
j			0.
k			<260.>
l			1,518.
m			<734.>
n			<1,197.>
o			0.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ESSILORLUXOTTICA	P	08/23/17	01/22/19
b	ESSILORLUXOTTICA	P	09/15/17	01/22/19
c	ESSILORLUXOTTICA	P	09/20/17	01/22/19
d	ESSILORLUXOTTICA	P	10/12/17	01/22/19
e	ESSILORLUXOTTICA	P	08/17/18	01/22/19
f	ESSILORLUXOTTICA	P	10/24/18	01/22/19
g	ESSILORLUXOTTICA	P	08/01/17	02/08/19
h	ESSILORLUXOTTICA	P	09/06/17	02/08/19
i	ESSILORLUXOTTICA	P	08/01/17	06/07/19
j	ESSILORLUXOTTICA	P	08/24/17	06/07/19
k	ESSILORLUXOTTICA	P	09/06/17	06/07/19
l	ESSILORLUXOTTICA	P	09/06/17	06/07/19
m	ESSILORLUXOTTICA	P	08/15/17	10/11/19
n	ESSILORLUXOTTICA	P	08/24/17	10/11/19
o	FACEBOOK INC	P	03/08/19	09/06/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	699.	720.	<21.>
b	4,642.	4,635.	7.
c	4,324.	4,320.	4.
d	191.	197.	<6.>
e	445.	531.	<86.>
f	127.	153.	<26.>
g	3,318.	3,489.	<171.>
h	1,508.	1,583.	<75.>
i	424.	444.	<20.>
j	969.	1,008.	<39.>
k	4,544.	4,749.	<205.>
l	2,847.	2,976.	<129.>
m	1,467.	1,253.	214.
n	4,402.	3,779.	623.
o	951.	841.	110.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<21.>
b			7.
c			4.
d			<6.>
e			<86.>
f			<26.>
g			<171.>
h			<75.>
i			<20.>
j			<39.>
k			<205.>
l			<129.>
m			214.
n			623.
o			110.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FANUC CORP	P	08/13/18	02/08/19
b	FANUC CORP	P	08/13/18	06/07/19
c	FEDERAL HOME LN MTG CORP	P	03/14/16	01/31/19
d	FEDERAL HOME LN MTG CORP	P	05/26/16	01/31/19
e	FEDERAL HOME LN MTG CORP	P	09/19/17	01/31/19
f	FEDERAL HOME LN MTG CORP	P	03/14/16	02/28/19
g	FEDERAL HOME LN MTG CORP	P	05/26/16	02/28/19
h	FEDERAL HOME LN MTG CORP	P	09/19/17	02/28/19
i	FEDERAL HOME LN MTG CORP	P	02/06/19	02/28/19
j	FEDERAL HOME LN MTG CORP	P	05/26/16	03/31/19
k	FEDERAL HOME LN MTG CORP	P	09/19/17	03/31/19
l	FEDERAL HOME LN MTG CORP	P	02/06/19	03/31/19
m	FEDERAL HOME LN MTG CORP	P	03/14/16	04/11/19
n	FEDERAL HOME LN MTG CORP	P	03/14/16	04/15/19
o	FEDERAL HOME LN MTG CORP	P	05/26/16	04/30/19

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	5,846.		6,981.	<1,135.>
b	4,510.		4,986.	<476.>
c	1,802.		1,920.	<118.>
d	727.		811.	<84.>
e	1,245.		1,345.	<100.>
f	1,192.		1,270.	<78.>
g	202.		225.	<23.>
h	1,449.		1,565.	<116.>
i	158.		165.	<7.>
j	1,002.		1,118.	<116.>
k	639.		690.	<51.>
l	2,019.		2,107.	<88.>
m	67,857.		70,087.	<2,230.>
n	1,023.		1,090.	<67.>
o	179.		199.	<20.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<1,135.>
b			<476.>
c			<118.>
d			<84.>
e			<100.>
f			<78.>
g			<23.>
h			<116.>
i			<7.>
j			<116.>
k			<51.>
l			<88.>
m			<2,230.>
n			<67.>
o			<20.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE IRVING AND PHYLLIS MILLSTEIN
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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FEDERAL HOME LN MTG CORP	P	09/19/17	04/30/19
b	FEDERAL HOME LN MTG CORP	P	02/06/19	04/30/19
c	FEDERAL HOME LN MTG CORP	P	05/26/16	05/31/19
d	FEDERAL HOME LN MTG CORP	P	09/19/17	05/31/19
e	FEDERAL HOME LN MTG CORP	P	02/06/19	05/31/19
f	FEDERAL HOME LN MTG CORP	P	05/26/16	06/30/19
g	FEDERAL HOME LN MTG CORP	P	09/19/17	06/30/19
h	FEDERAL HOME LN MTG CORP	P	02/06/19	06/30/19
i	FEDERAL HOME LN MTG CORP	P	05/26/16	07/31/19
j	FEDERAL HOME LN MTG CORP	P	09/19/17	07/31/19
k	FEDERAL HOME LN MTG CORP	P	02/06/19	07/31/19
l	FEDERAL HOME LN MTG CORP	P	05/26/16	08/31/19
m	FEDERAL HOME LN MTG CORP	P	09/19/17	08/31/19
n	FEDERAL HOME LN MTG CORP	P	02/06/19	08/31/19
o	FEDERAL HOME LN MTG CORP	P	05/26/16	09/30/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	473.	511.	<38.>
b	577.	602.	<25.>
c	518.	578.	<60.>
d	934.	1,008.	<74.>
e	1,237.	1,290.	<53.>
f	454.	506.	<52.>
g	669.	722.	<53.>
h	1,013.	1,057.	<44.>
i	865.	964.	<99.>
j	454.	490.	<36.>
k	1,911.	1,994.	<83.>
l	201.	225.	<24.>
m	1,057.	1,142.	<85.>
n	3,717.	3,878.	<161.>
o	337.	375.	<38.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<38.>
b			<25.>
c			<60.>
d			<74.>
e			<53.>
f			<52.>
g			<53.>
h			<44.>
i			<99.>
j			<36.>
k			<83.>
l			<24.>
m			<85.>
n			<161.>
o			<38.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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THE IRVING AND PHYLLIS MILLSTEIN
FOUNDATION FOR ANIMAL WELFARE LTD.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FEDERAL HOME LN MTG CORP	P	09/19/17	09/30/19
b	FEDERAL HOME LN MTG CORP	P	02/06/19	09/30/19
c	FEDERAL HOME LN MTG CORP	P	05/26/16	10/31/19
d	FEDERAL HOME LN MTG CORP	P	09/19/17	10/31/19
e	FEDERAL HOME LN MTG CORP	P	02/06/19	10/31/19
f	FEDERAL HOME LN MTG CORP	P	05/26/16	11/30/19
g	FEDERAL HOME LN MTG CORP	P	09/19/17	11/30/19
h	FEDERAL HOME LN MTG CORP	P	02/06/19	11/30/19
i	FEDERAL HOME LN MTG CORP	P	05/26/16	12/31/19
j	FEDERAL HOME LN MTG CORP	P	09/19/17	12/31/19
k	FEDERAL HOME LN MTG CORP	P	02/06/19	12/31/19
l	FEDERAL HOME LN MTG CORP	P	12/17/19	12/31/19
m	FEDERAL NATL MTG ASSN	P	03/11/16	01/31/19
n	FEDERAL NATL MTG ASSN	P	03/11/16	01/31/19
o	FEDERAL NATL MTG ASSN	P	03/15/16	01/31/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	928.	1,003.	<75.>
b	4,260.	4,445.	<185.>
c	1,653.	1,843.	<190.>
d	966.	1,044.	<78.>
e	6,028.	6,290.	<262.>
f	1,088.	1,214.	<126.>
g	1,362.	1,471.	<109.>
h	4,958.	5,173.	<215.>
i	640.	713.	<73.>
j	1,331.	1,437.	<106.>
k	611.	637.	<26.>
l	759.	783.	<24.>
m	1,266.	1,377.	<111.>
n	609.	633.	<24.>
o	959.	994.	<35.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<75.>
b			<185.>
c			<190.>
d			<78.>
e			<262.>
f			<126.>
g			<109.>
h			<215.>
i			<73.>
j			<106.>
k			<26.>
l			<24.>
m			<111.>
n			<24.>
o			<35.>

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FEDERAL NATL MTG ASSN	P	10/19/16	01/31/19
b	FEDERAL NATL MTG ASSN	P	05/11/17	01/31/19
c	FEDERAL NATL MTG ASSN	P	09/27/17	01/31/19
d	FEDERAL NATL MTG ASSN	P	10/06/17	01/31/19
e	FEDERAL NATL MTG ASSN	P	12/20/17	01/31/19
f	FEDERAL NATL MTG ASSN	P	07/20/18	01/31/19
g	FEDERAL NATL MTG ASSN	P	07/25/18	01/31/19
h	FEDERAL NATL MTG ASSN	P	10/03/18	01/31/19
i	FEDERAL NATL MTG ASSN	P	03/11/16	02/28/19
j	FEDERAL NATL MTG ASSN	P	10/19/16	02/28/19
k	FEDERAL NATL MTG ASSN	P	05/11/17	02/28/19
l	FEDERAL NATL MTG ASSN	P	09/27/17	02/28/19
m	FEDERAL NATL MTG ASSN	P	10/06/17	02/28/19
n	FEDERAL NATL MTG ASSN	P	12/20/17	02/28/19
o	FEDERAL NATL MTG ASSN	P	07/20/18	02/28/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	949.	1,027.	<78.>
b	1,732.	1,818.	<86.>
c	356.	377.	<21.>
d	964.	1,004.	<40.>
e	520.	533.	<13.>
f	382.	392.	<10.>
g	1,678.	1,741.	<63.>
h	619.	638.	<19.>
i	867.	943.	<76.>
j	694.	750.	<56.>
k	2,103.	2,207.	<104.>
l	688.	730.	<42.>
m	885.	922.	<37.>
n	243.	249.	<6.>
o	352.	361.	<9.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<78.>
b			<86.>
c			<21.>
d			<40.>
e			<13.>
f			<10.>
g			<63.>
h			<19.>
i			<76.>
j			<56.>
k			<104.>
l			<42.>
m			<37.>
n			<6.>
o			<9.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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THE IRVING AND PHYLLIS MILLSTEIN
FOUNDATION FOR ANIMAL WELFARE LTD.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FEDERAL NATL MTG ASSN	P	07/25/18	02/28/19
b	FEDERAL NATL MTG ASSN	P	10/03/18	02/28/19
c	FEDERAL NATL MTG ASSN	P	05/11/17	03/20/19
d	FEDERAL NATL MTG ASSN	P	03/11/16	03/21/19
e	FEDERAL NATL MTG ASSN	P	03/15/16	03/21/19
f	FEDERAL NATL MTG ASSN	P	03/11/16	03/25/19
g	FEDERAL NATL MTG ASSN	P	03/15/16	03/25/19
h	FEDERAL NATL MTG ASSN	P	03/11/16	03/31/19
i	FEDERAL NATL MTG ASSN	P	10/19/16	03/31/19
j	FEDERAL NATL MTG ASSN	P	09/27/17	03/31/19
k	FEDERAL NATL MTG ASSN	P	10/06/17	03/31/19
l	FEDERAL NATL MTG ASSN	P	12/20/17	03/31/19
m	FEDERAL NATL MTG ASSN	P	07/20/18	03/31/19
n	FEDERAL NATL MTG ASSN	P	07/25/18	03/31/19
o	FEDERAL NATL MTG ASSN	P	10/03/18	03/31/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,736.	1,801.	<65.>
b	121.	124.	<3.>
c	75,111.	76,719.	<1,608.>
d	2,740.	2,769.	<29.>
e	51.	52.	<1.>
f	540.	562.	<22.>
g	356.	369.	<13.>
h	1,285.	1,398.	<113.>
i	722.	781.	<59.>
j	375.	397.	<22.>
k	1,045.	1,089.	<44.>
l	1,035.	1,062.	<27.>
m	315.	324.	<9.>
n	2,406.	2,496.	<90.>
o	439.	452.	<13.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<65.>
b			<3.>
c			<1,608.>
d			<29.>
e			<1.>
f			<22.>
g			<13.>
h			<113.>
i			<59.>
j			<22.>
k			<44.>
l			<27.>
m			<9.>
n			<90.>
o			<13.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FEDERAL NATL MTG ASSN	P	02/12/19	03/31/19
b	FEDERAL NATL MTG ASSN	P	03/11/16	04/30/19
c	FEDERAL NATL MTG ASSN	P	10/19/16	04/30/19
d	FEDERAL NATL MTG ASSN	P	09/27/17	04/30/19
e	FEDERAL NATL MTG ASSN	P	10/06/17	04/30/19
f	FEDERAL NATL MTG ASSN	P	12/20/17	04/30/19
g	FEDERAL NATL MTG ASSN	P	07/20/18	04/30/19
h	FEDERAL NATL MTG ASSN	P	07/25/18	04/30/19
i	FEDERAL NATL MTG ASSN	P	10/03/18	04/30/19
j	FEDERAL NATL MTG ASSN	P	02/12/19	04/30/19
k	FEDERAL NATL MTG ASSN	P	03/11/16	05/31/19
l	FEDERAL NATL MTG ASSN	P	10/19/16	05/31/19
m	FEDERAL NATL MTG ASSN	P	09/27/17	05/31/19
n	FEDERAL NATL MTG ASSN	P	10/06/17	05/31/19
o	FEDERAL NATL MTG ASSN	P	12/20/17	05/31/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	797.	814.	<17.>
b	1,485.	1,616.	<131.>
c	738.	799.	<61.>
d	551.	585.	<34.>
e	1,093.	1,138.	<45.>
f	1,219.	1,250.	<31.>
g	2,003.	2,058.	<55.>
h	3,727.	3,867.	<140.>
i	785.	808.	<23.>
j	678.	692.	<14.>
k	1,225.	1,332.	<107.>
l	1,042.	1,127.	<85.>
m	974.	1,034.	<60.>
n	1,098.	1,144.	<46.>
o	2,055.	2,108.	<53.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<17.>
b			<131.>
c			<61.>
d			<34.>
e			<45.>
f			<31.>
g			<55.>
h			<140.>
i			<23.>
j			<14.>
k			<107.>
l			<85.>
m			<60.>
n			<46.>
o			<53.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FEDERAL NATL MTG ASSN	P	07/20/18	05/31/19
b	FEDERAL NATL MTG ASSN	P	07/25/18	05/31/19
c	FEDERAL NATL MTG ASSN	P	10/03/18	05/31/19
d	FEDERAL NATL MTG ASSN	P	02/12/19	05/31/19
e	FEDERAL NATL MTG ASSN	P	04/15/19	05/31/19
f	FEDERAL NATL MTG ASSN	P	03/11/16	06/30/19
g	FEDERAL NATL MTG ASSN	P	10/19/16	06/30/19
h	FEDERAL NATL MTG ASSN	P	09/27/17	06/30/19
i	FEDERAL NATL MTG ASSN	P	10/06/17	06/30/19
j	FEDERAL NATL MTG ASSN	P	12/20/17	06/30/19
k	FEDERAL NATL MTG ASSN	P	07/20/18	06/30/19
l	FEDERAL NATL MTG ASSN	P	07/25/18	06/30/19
m	FEDERAL NATL MTG ASSN	P	10/03/18	06/30/19
n	FEDERAL NATL MTG ASSN	P	02/12/19	06/30/19
o	FEDERAL NATL MTG ASSN	P	04/15/19	06/30/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,007.	2,062.	<55.>
b	1,074.	1,114.	<40.>
c	998.	1,027.	<29.>
d	1,495.	1,527.	<32.>
e	1,108.	1,117.	<9.>
f	1,154.	1,255.	<101.>
g	871.	943.	<72.>
h	460.	488.	<28.>
i	1,088.	1,133.	<45.>
j	800.	821.	<21.>
k	1,716.	1,763.	<47.>
l	2,630.	2,728.	<98.>
m	1,036.	1,066.	<30.>
n	1,304.	1,332.	<28.>
o	1,104.	1,113.	<9.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<55.>
b			<40.>
c			<29.>
d			<32.>
e			<9.>
f			<101.>
g			<72.>
h			<28.>
i			<45.>
j			<21.>
k			<47.>
l			<98.>
m			<30.>
n			<28.>
o			<9.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FEDERAL NATL MTG ASSN	P	03/11/16	07/31/19
b	FEDERAL NATL MTG ASSN	P	10/19/16	07/31/19
c	FEDERAL NATL MTG ASSN	P	09/27/17	07/31/19
d	FEDERAL NATL MTG ASSN	P	10/06/17	07/31/19
e	FEDERAL NATL MTG ASSN	P	12/20/17	07/31/19
f	FEDERAL NATL MTG ASSN	P	07/20/18	07/31/19
g	FEDERAL NATL MTG ASSN	P	07/25/18	07/31/19
h	FEDERAL NATL MTG ASSN	P	10/03/18	07/31/19
i	FEDERAL NATL MTG ASSN	P	02/12/19	07/31/19
j	FEDERAL NATL MTG ASSN	P	04/15/19	07/31/19
k	FEDERAL NATL MTG ASSN	P	03/11/16	08/31/19
l	FEDERAL NATL MTG ASSN	P	10/19/16	08/31/19
m	FEDERAL NATL MTG ASSN	P	09/27/17	08/31/19
n	FEDERAL NATL MTG ASSN	P	10/06/17	08/31/19
o	FEDERAL NATL MTG ASSN	P	12/20/17	08/31/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	996.	1,083.	<87.>
b	1,976.	2,138.	<162.>
c	676.	718.	<42.>
d	1,102.	1,148.	<46.>
e	863.	885.	<22.>
f	1,424.	1,463.	<39.>
g	2,033.	2,110.	<77.>
h	1,502.	1,546.	<44.>
i	2,307.	2,357.	<50.>
j	2,625.	2,646.	<21.>
k	1,168.	1,271.	<103.>
l	1,233.	1,333.	<100.>
m	947.	1,005.	<58.>
n	975.	1,016.	<41.>
o	1,196.	1,227.	<31.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<87.>
b			<162.>
c			<42.>
d			<46.>
e			<22.>
f			<39.>
g			<77.>
h			<44.>
i			<50.>
j			<21.>
k			<103.>
l			<100.>
m			<58.>
n			<41.>
o			<31.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FEDERAL NATL MTG ASSN	P	07/20/18	08/31/19
b	FEDERAL NATL MTG ASSN	P	07/25/18	08/31/19
c	FEDERAL NATL MTG ASSN	P	10/03/18	08/31/19
d	FEDERAL NATL MTG ASSN	P	02/12/19	08/31/19
e	FEDERAL NATL MTG ASSN	P	04/15/19	08/31/19
f	FEDERAL NATL MTG ASSN	P	08/14/19	08/31/19
g	FEDERAL NATL MTG ASSN	P	03/11/16	09/30/19
h	FEDERAL NATL MTG ASSN	P	10/19/16	09/30/19
i	FEDERAL NATL MTG ASSN	P	09/27/17	09/30/19
j	FEDERAL NATL MTG ASSN	P	10/06/17	09/30/19
k	FEDERAL NATL MTG ASSN	P	12/20/17	09/30/19
l	FEDERAL NATL MTG ASSN	P	07/20/18	09/30/19
m	FEDERAL NATL MTG ASSN	P	07/25/18	09/30/19
n	FEDERAL NATL MTG ASSN	P	10/03/18	09/30/19
o	FEDERAL NATL MTG ASSN	P	02/12/19	09/30/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,420.	2,487.	<67.>
b	917.	951.	<34.>
c	1,318.	1,357.	<39.>
d	2,757.	2,815.	<58.>
e	1,798.	1,812.	<14.>
f	1,736.	1,784.	<48.>
g	897.	976.	<79.>
h	1,877.	2,030.	<153.>
i	987.	1,047.	<60.>
j	1,010.	1,052.	<42.>
k	1,050.	1,077.	<27.>
l	2,371.	2,436.	<65.>
m	882.	915.	<33.>
n	1,385.	1,426.	<41.>
o	3,216.	3,284.	<68.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<67.>
b			<34.>
c			<39.>
d			<58.>
e			<14.>
f			<48.>
g			<79.>
h			<153.>
i			<60.>
j			<42.>
k			<27.>
l			<65.>
m			<33.>
n			<41.>
o			<68.>

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FEDERAL NATL MTG ASSN	P	04/15/19	09/30/19
b	FEDERAL NATL MTG ASSN	P	08/14/19	09/30/19
c	FEDERAL NATL MTG ASSN	P	09/11/19	09/30/19
d	FEDERAL NATL MTG ASSN	P	03/11/16	10/31/19
e	FEDERAL NATL MTG ASSN	P	10/19/16	10/31/19
f	FEDERAL NATL MTG ASSN	P	09/27/17	10/31/19
g	FEDERAL NATL MTG ASSN	P	10/06/17	10/31/19
h	FEDERAL NATL MTG ASSN	P	12/20/17	10/31/19
i	FEDERAL NATL MTG ASSN	P	07/20/18	10/31/19
j	FEDERAL NATL MTG ASSN	P	07/25/18	10/31/19
k	FEDERAL NATL MTG ASSN	P	10/03/18	10/31/19
l	FEDERAL NATL MTG ASSN	P	02/12/19	10/31/19
m	FEDERAL NATL MTG ASSN	P	04/15/19	10/31/19
n	FEDERAL NATL MTG ASSN	P	08/14/19	10/31/19
o	FEDERAL NATL MTG ASSN	P	09/11/19	10/31/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,434.	3,462.	<28.>
b	1,305.	1,342.	<37.>
c	1,498.	1,513.	<15.>
d	1,197.	1,302.	<105.>
e	1,756.	1,899.	<143.>
f	936.	993.	<57.>
g	1,031.	1,074.	<43.>
h	869.	892.	<23.>
i	3,512.	3,608.	<96.>
j	2,673.	2,773.	<100.>
k	1,590.	1,637.	<47.>
l	3,024.	3,088.	<64.>
m	3,303.	3,330.	<27.>
n	1,446.	1,486.	<40.>
o	1,696.	1,714.	<18.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<28.>
b			<37.>
c			<15.>
d			<105.>
e			<143.>
f			<57.>
g			<43.>
h			<23.>
i			<96.>
j			<100.>
k			<47.>
l			<64.>
m			<27.>
n			<40.>
o			<18.>

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FEDERAL NATL MTG ASSN	P	10/07/19	11/25/19
b	FEDERAL NATL MTG ASSN	P	03/11/16	11/30/19
c	FEDERAL NATL MTG ASSN	P	10/19/16	11/30/19
d	FEDERAL NATL MTG ASSN	P	09/27/17	11/30/19
e	FEDERAL NATL MTG ASSN	P	10/06/17	11/30/19
f	FEDERAL NATL MTG ASSN	P	12/20/17	11/30/19
g	FEDERAL NATL MTG ASSN	P	07/20/18	11/30/19
h	FEDERAL NATL MTG ASSN	P	07/25/18	11/30/19
i	FEDERAL NATL MTG ASSN	P	10/03/18	11/30/19
j	FEDERAL NATL MTG ASSN	P	02/12/19	11/30/19
k	FEDERAL NATL MTG ASSN	P	04/15/19	11/30/19
l	FEDERAL NATL MTG ASSN	P	08/14/19	11/30/19
m	FEDERAL NATL MTG ASSN	P	09/11/19	11/30/19
n	FEDERAL NATL MTG ASSN	P	10/07/19	11/30/19
o	FEDERAL NATL MTG ASSN	P	04/15/19	12/17/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	486.	492.	<6.>
b	995.	1,082.	<87.>
c	607.	657.	<50.>
d	773.	820.	<47.>
e	1,047.	1,090.	<43.>
f	1,084.	1,112.	<28.>
g	1,030.	1,058.	<28.>
h	560.	581.	<21.>
i	1,282.	1,320.	<38.>
j	1,499.	1,531.	<32.>
k	2,025.	2,041.	<16.>
l	1,315.	1,352.	<37.>
m	1,424.	1,439.	<15.>
n	606.	615.	<9.>
o	81,448.	79,628.	1,820.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<6.>
b			<87.>
c			<50.>
d			<47.>
e			<43.>
f			<28.>
g			<28.>
h			<21.>
i			<38.>
j			<32.>
k			<16.>
l			<37.>
m			<15.>
n			<9.>
o			1,820.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FEDERAL NATL MTG ASSN	P	03/11/16	12/31/19
b	FEDERAL NATL MTG ASSN	P	10/19/16	12/31/19
c	FEDERAL NATL MTG ASSN	P	09/27/17	12/31/19
d	FEDERAL NATL MTG ASSN	P	10/06/17	12/31/19
e	FEDERAL NATL MTG ASSN	P	12/20/17	12/31/19
f	FEDERAL NATL MTG ASSN	P	07/20/18	12/31/19
g	FEDERAL NATL MTG ASSN	P	07/25/18	12/31/19
h	FEDERAL NATL MTG ASSN	P	10/03/18	12/31/19
i	FEDERAL NATL MTG ASSN	P	02/12/19	12/31/19
j	FEDERAL NATL MTG ASSN	P	08/14/19	12/31/19
k	FEDERAL NATL MTG ASSN	P	09/11/19	12/31/19
l	FEDERAL NATL MTG ASSN	P	10/07/19	12/31/19
m	FIDELITY NATL INFORMATION SVCS	P	03/09/16	12/09/19
n	FIFTH THIRD BK CINCINNATI OHIO	P	05/04/16	04/25/19
o	FORD CR AUTO LEASE TR 2017-A	P	05/15/17	01/14/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,161.	1,263.	<102.>
b	485.	525.	<40.>
c	540.	573.	<33.>
d	997.	1,039.	<42.>
e	1,005.	1,031.	<26.>
f	2,547.	2,617.	<70.>
g	1,374.	1,426.	<52.>
h	1,268.	1,306.	<38.>
i	1,731.	1,767.	<36.>
j	1,408.	1,448.	<40.>
k	1,628.	1,645.	<17.>
l	1,328.	1,346.	<18.>
m	8,014.	7,272.	742.
n	50,000.	50,717.	<717.>
o	6,910.	6,932.	<22.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<102.>
b			<40.>
c			<33.>
d			<42.>
e			<26.>
f			<70.>
g			<52.>
h			<38.>
i			<36.>
j			<40.>
k			<17.>
l			<18.>
m			742.
n			<717.>
o			<22.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a FORD CR AUTO LEASE TR 2017-A		P	05/15/17	02/14/19
b FORD CR AUTO LEASE TR 2017-A		P	05/15/17	03/14/19
c FORD CR AUTO LEASE TR 2017-A		P	05/15/17	04/14/19
d FORD CR AUTO LEASE TR 2017-A		P	05/15/17	05/15/19
e F5 NETWORKS INC		P	08/24/17	02/22/19
f F5 NETWORKS INC		P	09/06/17	02/22/19
g F5 NETWORKS INC		P	09/15/17	02/22/19
h F5 NETWORKS INC		P	09/20/17	02/22/19
i F5 NETWORKS INC		P	10/11/17	02/22/19
j F5 NETWORKS INC		P	01/02/19	02/22/19
k GEA GROUP AG		P	03/27/19	06/07/19
l GEA GROUP AG		P	03/28/19	06/07/19
m GEA GROUP AG		P	03/27/19	10/28/19
n GEA GROUP AG		P	07/18/19	10/28/19
o GENERAL MTRS FINL CO INC UNSECD		P	11/28/18	10/03/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 8,752.		8,781.	<29.>
b 8,920.		8,949.	<29.>
c 8,746.		8,774.	<28.>
d 6,826.		6,848.	<22.>
e 4,459.		3,023.	1,436.
f 10,977.		7,467.	3,510.
g 5,831.		3,981.	1,850.
h 10,634.		7,251.	3,383.
i 8,061.		5,428.	2,633.
j 343.		324.	19.
k 2,111.		1,901.	210.
l 3,252.		2,941.	311.
m 2,647.		2,158.	489.
n 95.		72.	23.
o 25,653.		24,073.	1,580.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<29.>
b			<29.>
c			<28.>
d			<22.>
e			1,436.
f			3,510.
g			1,850.
h			3,383.
i			2,633.
j			19.
k			210.
l			311.
m			489.
n			23.
o			1,580.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	GLAXOSMITHKLINE PLC	P	08/24/18	01/22/19
b	GLAXOSMITHKLINE PLC	P	08/24/18	01/22/19
c	GLAXOSMITHKLINE PLC	P	09/15/18	01/22/19
d	GLAXOSMITHKLINE PLC	P	08/20/18	02/08/19
e	GLAXOSMITHKLINE PLC	P	08/20/18	02/08/19
f	GLAXOSMITHKLINE PLC	P	08/20/18	02/08/19
g	GLAXOSMITHKLINE PLC	P	08/20/18	02/08/19
h	GLAXOSMITHKLINE PLC	P	08/24/18	02/08/19
i	GLAXOSMITHKLINE PLC	P	08/24/18	06/07/19
j	GOLDMAN SACHS ABSOLUTE RETURN	P	07/26/18	05/24/19
k	GOLDMAN SACHS ABSOLUTE RETURN	P		12/13/19
l	HARTFORD FINL SVCS GROUP INC	P	08/01/17	09/06/19
m	HARTFORD FINL SVCS GROUP INC	P	08/09/17	09/06/19
n	HARTFORD FINL SVCS GROUP INC	P	08/15/17	09/06/19
o	HARTFORD FINL SVCS GROUP INC	P	08/24/17	09/06/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	12,566.	13,325.	<759.>
b	661.	661.	0.
c	311.	345.	<34.>
d	698.	706.	<8.>
e	369.	374.	<5.>
f	328.	328.	0.
g	<698.>	<706.>	8.
h	5,088.	5,116.	<28.>
i	7,972.	8,251.	<279.>
j	500,000.	512,029.	<12,029.>
k	4,234.		4,234.
l	18,144.	16,691.	1,453.
m	20,684.	19,184.	1,500.
n	10,886.	10,246.	640.
o	6,290.	5,735.	555.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<759.>
b			0.
c			<34.>
d			<8.>
e			<5.>
f			0.
g			8.
h			<28.>
i			<279.>
j			<12,029.>
k			4,234.
l			1,453.
m			1,500.
n			640.
o			555.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	HARTFORD FINL SVCS GROUP INC	P	08/24/17	09/06/19
b	HARTFORD FINL SVCS GROUP INC	P	09/06/17	09/06/19
c	HARTFORD FINL SVCS GROUP INC	P	10/05/17	09/06/19
d	HARTFORD FINL SVCS GROUP INC	P	09/10/18	09/06/19
e	HARTFORD FINL SVCS GROUP INC	P	03/08/19	09/06/19
f	HDFC BK LTD	P	09/15/17	01/22/19
g	HDFC BK LTD	P	09/20/17	01/22/19
h	HDFC BK LTD	P	03/29/18	01/22/19
i	HDFC BK LTD	P	08/17/18	01/22/19
j	HDFC BK LTD	P	01/18/19	01/22/19
k	HDFC BK LTD	P	03/29/18	02/08/19
l	HDFC BK LTD	P	08/01/17	06/07/19
m	HDFC BK LTD	P	03/29/18	06/07/19
n	HEIDELBERGCEMENT AG	P	09/06/17	01/22/19
o	HEIDELBERGCEMENT AG	P	09/06/17	01/22/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,168.		3,805.	363.
b 10,511.		9,197.	1,314.
c 3,931.		3,613.	318.
d 967.		791.	176.
e 4,289.		3,402.	887.
f 4,875.		4,757.	118.
g 4,063.		3,967.	96.
h 1,219.		1,188.	31.
i 305.		334.	<29.>
j 1,117.		1,135.	<18.>
k 4,918.		4,851.	67.
l 4,378.		3,358.	1,020.
m 4,249.		3,267.	982.
n 1,037.		1,467.	<430.>
o 41.		41.	0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			363.
b			1,314.
c			318.
d			176.
e			887.
f			118.
g			96.
h			31.
i			<29.>
j			<18.>
k			67.
l			1,020.
m			982.
n			<430.>
o			0.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	HEIDELBERGCEMENT AG	P	09/15/17	01/22/19
b	HEIDELBERGCEMENT AG	P	09/06/17	02/08/19
c	HEIDELBERGCEMENT AG	P	08/09/17	03/07/19
d	HEIDELBERGCEMENT AG	P	08/11/17	03/07/19
e	HEIDELBERGCEMENT AG	P	08/15/17	03/07/19
f	HEIDELBERGCEMENT AG	P	08/24/17	03/07/19
g	HEIDELBERGCEMENT AG	P	09/06/17	03/07/19
h	HEIDELBERGCEMENT AG	P	07/26/18	03/07/19
i	HEIDELBERGCEMENT AG	P	10/02/18	03/07/19
j	HERSHEY CO	P	03/08/19	09/06/19
k	HITACHI LIMITED	P	06/04/19	06/07/19
l	HOLOGIC INC	P	08/01/17	08/21/19
m	HOME DEPOT INC	P	03/08/19	09/06/19
n	HP INC	P	08/01/17	03/08/19
o	HP INC	P	08/09/17	03/08/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,769.	3,983.	<1,214.>
b	1,320.	1,930.	<610.>
c	2,424.	3,187.	<763.>
d	43.	53.	<10.>
e	3,622.	4,770.	<1,148.>
f	3,551.	4,718.	<1,167.>
g	984.	1,332.	<348.>
h	86.	101.	<15.>
i	14.	16.	<2.>
j	1,282.	887.	395.
k	3,458.	3,343.	115.
l	3,331.	2,922.	409.
m	1,601.	1,260.	341.
n	10,183.	10,422.	<239.>
o	11,617.	11,942.	<325.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<1,214.>
b			<610.>
c			<763.>
d			<10.>
e			<1,148.>
f			<1,167.>
g			<348.>
h			<15.>
i			<2.>
j			395.
k			115.
l			409.
m			341.
n			<239.>
o			<325.>

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	HP INC	P	08/15/17	03/08/19
b	HP INC	P	08/24/17	03/08/19
c	HP INC	P	09/06/17	03/08/19
d	HSBC HLDGS PLC	P	09/20/17	02/08/19
e	HSBC HLDGS PLC	P	10/05/17	02/08/19
f	HSBC HLDGS PLC	P	09/20/17	06/07/19
g	HUNT J B TRANS SVCS INC	P	10/05/17	08/21/19
h	HUNT J B TRANS SVCS INC	P	10/11/17	08/21/19
i	IDEXX LABS INC	P	12/26/18	03/08/19
j	INDUSTRIA DE DISENO TEXTIL	P	08/30/18	02/08/19
k	INDUSTRIA DE DISENO TEXTIL	P	08/30/18	06/07/19
l	INFINEON TECHNOLOGIES AG	P	10/19/17	01/22/19
m	INFINEON TECHNOLOGIES AG	P	06/29/18	01/22/19
n	INFINEON TECHNOLOGIES AG	P	10/05/17	02/08/19
o	INFINEON TECHNOLOGIES AG	P	06/29/18	02/08/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	11,691.	11,960.	<269.>
b	5,864.	6,043.	<179.>
c	2,066.	2,145.	<79.>
d	834.	979.	<145.>
e	2,335.	2,764.	<429.>
f	2,614.	3,085.	<471.>
g	2,142.	2,277.	<135.>
h	306.	331.	<25.>
i	39,001.	34,178.	4,823.
j	4,758.	5,333.	<575.>
k	3,462.	3,766.	<304.>
l	6,245.	8,047.	<1,802.>
m	3,903.	4,851.	<948.>
n	2,791.	3,288.	<497.>
o	260.	306.	<46.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<269.>
b			<179.>
c			<79.>
d			<145.>
e			<429.>
f			<471.>
g			<135.>
h			<25.>
i			4,823.
j			<575.>
k			<304.>
l			<1,802.>
m			<948.>
n			<497.>
o			<46.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	INFINEON TECHNOLOGIES AG	P	07/11/18	02/08/19
b	INFINEON TECHNOLOGIES AG	P	09/15/17	06/07/19
c	INFINEON TECHNOLOGIES AG	P	09/20/17	06/07/19
d	INFINEON TECHNOLOGIES AG	P	07/11/18	06/07/19
e	INTERNATIONAL CONS AIRLS GROUP	P	11/21/18	02/08/19
f	INTERNATIONAL CONS AIRLS GROUP	P	12/05/18	02/08/19
g	INTERNATIONAL CONS AIRLS GROUP	P	11/21/18	03/01/19
h	INTERNATIONAL CONS AIRLS GROUP	P	11/21/18	03/04/19
i	INTERNATIONAL CONS AIRLS GROUP	P	01/10/19	03/04/19
j	INTERNATIONAL CONS AIRLS GROUP	P	01/10/19	03/05/19
k	INTESA SANPAOLO	P	08/09/17	02/08/19
l	INTESA SANPAOLO	P	10/05/17	02/08/19
m	INTESA SANPAOLO	P	07/04/17	05/31/19
n	INTESA SANPAOLO	P	08/09/17	05/31/19
o	INTESA SANPAOLO	P	08/15/17	05/31/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,839.		2,161.	<322.>
b 1,356.		1,962.	<606.>
c 2,221.		3,257.	<1,036.>
d 1,628.		2,440.	<812.>
e 6,545.		6,330.	215.
f 1,003.		974.	29.
g 2,366.		2,450.	<84.>
h 5,551.		5,968.	<417.>
i 11,271.		11,242.	29.
j 3,393.		3,447.	<54.>
k 986.		1,484.	<498.>
l 2,444.		3,708.	<1,264.>
m 2,962.		4,825.	<1,863.>
n 1,481.		2,481.	<1,000.>
o 3,617.		6,058.	<2,441.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<322.>
b			<606.>
c			<1,036.>
d			<812.>
e			215.
f			29.
g			<84.>
h			<417.>
i			29.
j			<54.>
k			<498.>
l			<1,264.>
m			<1,863.>
n			<1,000.>
o			<2,441.>

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	INTESA SANPAOLO	P	08/18/17	05/31/19
b	INTESA SANPAOLO	P	08/23/17	05/31/19
c	INTESA SANPAOLO	P	08/24/17	05/31/19
d	INTESA SANPAOLO	P	09/06/17	05/31/19
e	INTESA SANPAOLO	P	09/27/17	05/31/19
f	INTESA SANPAOLO	P	10/16/17	05/31/19
g	INTESA SANPAOLO	P	12/20/17	05/31/19
h	INTESA SANPAOLO	P	06/13/18	05/31/19
i	INTESA SANPAOLO	P	12/27/18	05/31/19
j	INVESCO BALANCED-RISK ALLOCATION	P		12/13/19
k	INVESCO DB COMMODITY INDEX	P	02/13/18	01/22/19
l	INVESCO DB COMMODITY INDEX	P	02/13/18	12/06/19
m	IQIYI INC	P	10/02/18	01/22/19
n	IQIYI INC	P	11/08/18	01/22/19
o	IQIYI INC	P	04/12/18	02/08/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,949.		4,872.	<1,923.>
b	765.		1,271.	<506.>
c	3,617.		6,044.	<2,427.>
d	3,702.		6,172.	<2,470.>
e	340.		528.	<188.>
f	401.		610.	<209.>
g	1,687.		2,769.	<1,082.>
h	413.		625.	<212.>
i	668.		718.	<50.>
j	16,967.			16,967.
k	536,393.		569,625.	<33,232.>
l	347,546.		361,549.	<14,003.>
m	212.		313.	<101.>
n	3,883.		4,653.	<770.>
o	207.		191.	16.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<1,923.>
b			<506.>
c			<2,427.>
d			<2,470.>
e			<188.>
f			<209.>
g			<1,082.>
h			<212.>
i			<50.>
j			16,967.
k			<33,232.>
l			<14,003.>
m			<101.>
n			<770.>
o			16.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a IQIYI INC		P	11/08/18	02/08/19
b IQIYI INC		P	11/08/18	02/08/19
c IQIYI INC		P	11/08/18	02/08/19
d IQIYI INC		P	11/08/18	02/08/19
e IQIYI INC		P	04/12/18	05/17/19
f IQIYI INC		P	05/04/18	05/17/19
g IQIYI INC		P	12/05/18	05/17/19
h IRON MOUNTAIN INC		P	03/08/19	09/06/19
i IRON MOUNTAIN INC		P	03/08/19	09/06/19
j ISHARES CORE MSCI EMERGING MKTS		P	11/14/16	02/25/19
k ISHARES CORE MSCI EMERGING MKTS		P	02/13/17	02/25/19
l ISHARES CORE MSCI EMERGING MKTS		P	08/02/17	02/25/19
m ISHARES CORE MSCI EMERGING MKTS		P	08/14/17	02/25/19
n ISHARES CORE MSCI EMERGING MKTS		P	11/21/18	02/25/19
o J P MORGAN CHASE & CO		P	12/13/17	05/30/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,163.		3,236.	<73.>
b 2,688.		2,749.	<61.>
c 476.		476.	0.
d <3,163.>		<3,236.>	73.
e 4,507.		4,477.	30.
f 9,071.		7,620.	1,451.
g 441.		620.	<179.>
h 26,942.		28,215.	<1,273.>
i 17,961.		18,903.	<942.>
j 273,133.		217,399.	55,734.
k 246,971.		219,268.	27,703.
l 156,188.		158,092.	<1,904.>
m 150,171.		150,276.	<105.>
n 274,703.		255,019.	19,684.
o 9,006.		8,828.	178.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<73.>
b			<61.>
c			0.
d			73.
e			30.
f			1,451.
g			<179.>
h			<1,273.>
i			<942.>
j			55,734.
k			27,703.
l			<1,904.>
m			<105.>
n			19,684.
o			178.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a JARDINE STRATEGIC HLDGS LTD		P	08/09/17	01/22/19
b JARDINE STRATEGIC HLDGS LTD		P	08/15/17	01/22/19
c JARDINE STRATEGIC HLDGS LTD		P	09/06/17	01/22/19
d JARDINE STRATEGIC HLDGS LTD		P	09/06/17	01/22/19
e JARDINE STRATEGIC HLDGS LTD		P	10/05/17	01/22/19
f JARDINE STRATEGIC HLDGS LTD		P	08/05/17	02/08/19
g JARDINE STRATEGIC HLDGS LTD		P	08/05/17	02/08/19
h JARDINE STRATEGIC HLDGS LTD		P	08/05/17	02/08/19
i JARDINE STRATEGIC HLDGS LTD		P	08/09/17	02/08/19
j JARDINE STRATEGIC HLDGS LTD		P	08/09/17	02/08/19
k JARDINE STRATEGIC HLDGS LTD		P	08/09/17	02/08/19
l JARDINE STRATEGIC HLDGS LTD		P	08/09/17	02/08/19
m JARDINE STRATEGIC HLDGS LTD		P	08/11/17	02/08/19
n JARDINE STRATEGIC HLDGS LTD		P	09/02/17	02/08/19
o JARDINE STRATEGIC HLDGS LTD		P	08/01/17	06/07/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 37.		37.	0.
b 347.		347.	0.
c 255.		312.	<57.>
d 146.		146.	0.
e 3,741.		4,500.	<759.>
f 38.		38.	0.
g 38.		44.	<6.>
h <38.>		<44.>	6.
i 1,137.		1,299.	<162.>
j 1,004.		1,147.	<143.>
k 133.		133.	0.
l <1,137.>		<1,299.>	162.
m 360.		425.	<65.>
n 152.		182.	<30.>
o 739.		815.	<76.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			0.
c			<57.>
d			0.
e			<759.>
f			0.
g			<6.>
h			6.
i			<162.>
j			<143.>
k			0.
l			162.
m			<65.>
n			<30.>
o			<76.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a JARDINE STRATEGIC HLDGS LTD		P	08/09/17	06/07/19
b JARDINE STRATEGIC HLDGS LTD		P	09/01/17	06/07/19
c JARDINE STRATEGIC HLDGS LTD		P	09/05/17	06/07/19
d JULIUS BAER GROUP LTD		P	09/15/17	02/08/19
e JULIUS BAER GROUP LTD		P	09/20/17	02/08/19
f JULIUS BAER GROUP LTD		P	08/01/17	06/07/19
g JULIUS BAER GROUP LTD		P	09/15/17	06/07/19
h KASIKORNBANK PUB CO LTD		P	09/15/17	01/22/19
i KASIKORNBANK PUB CO LTD		P	09/15/17	01/22/19
j KASIKORNBANK PUB CO LTD		P	09/20/17	01/22/19
k KASIKORNBANK PUB CO LTD		P	10/07/17	01/22/19
l KASIKORNBANK PUB CO LTD		P	07/26/18	01/22/19
m KASIKORNBANK PUB CO LTD		P	10/02/18	01/22/19
n KASIKORNBANK PUB CO LTD		P	09/11/17	02/08/19
o KASIKORNBANK PUB CO LTD		P	10/05/17	02/08/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,477.		1,689.	<212.>
b 38.		45.	<7.>
c 133.		156.	<23.>
d 3,279.		4,764.	<1,485.>
e 3,374.		5,004.	<1,630.>
f 2,837.		3,986.	<1,149.>
g 598.		845.	<247.>
h 2,571.		2,948.	<377.>
i 595.		595.	0.
j 3,857.		4,366.	<509.>
k 667.		809.	<142.>
l 262.		292.	<30.>
m 167.		187.	<20.>
n 631.		683.	<52.>
o 2,044.		2,093.	<49.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<212.>
b			<7.>
c			<23.>
d			<1,485.>
e			<1,630.>
f			<1,149.>
g			<247.>
h			<377.>
i			0.
j			<509.>
k			<142.>
l			<30.>
m			<20.>
n			<52.>
o			<49.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a KASIKORNBANK PUB CO LTD		P	09/06/17	03/28/19
b KASIKORNBANK PUB CO LTD		P	10/05/17	03/28/19
c KASIKORNBANK PUB CO LTD		P	08/24/17	06/07/19
d KASIKORNBANK PUB CO LTD		P	09/06/17	06/07/19
e KASIKORNBANK PUB CO LTD		P	08/01/17	07/18/19
f KASIKORNBANK PUB CO LTD		P	08/09/17	07/18/19
g KASIKORNBANK PUB CO LTD		P	08/15/17	07/18/19
h KASIKORNBANK PUB CO LTD		P	08/24/17	07/18/19
i KINDER MORGAN ENERGY PARTNERS		P	08/16/17	02/01/19
j KUBOTA LTD		P	08/15/17	01/22/19
k KUBOTA LTD		P	10/05/17	01/22/19
l KUBOTA LTD		P	08/15/17	02/08/19
m KUBOTA LTD		P	09/20/17	02/08/19
n KUBOTA LTD		P	07/10/18	02/08/19
o KUBOTA LTD		P	07/11/18	02/08/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,645.		2,854.	<209.>
b 1,890.		2,067.	<177.>
c 1,922.		1,949.	<27.>
d 1,405.		1,452.	<47.>
e 3,338.		3,371.	<33.>
f 2,568.		2,683.	<115.>
g 3,992.		4,154.	<162.>
h 2,101.		2,249.	<148.>
i 25,000.		25,217.	<217.>
j 4,231.		4,928.	<697.>
k 4,231.		4,959.	<728.>
l 296.		365.	<69.>
m 2,295.		2,829.	<534.>
n 74.		82.	<8.>
o 4,368.		4,764.	<396.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<209.>
b			<177.>
c			<27.>
d			<47.>
e			<33.>
f			<115.>
g			<162.>
h			<148.>
i			<217.>
j			<697.>
k			<728.>
l			<69.>
m			<534.>
n			<8.>
o			<396.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a KUBOTA LTD		P	07/11/18	03/13/19
b KUBOTA LTD		P	07/13/18	03/13/19
c KUBOTA LTD		P	07/12/18	03/14/19
d KUBOTA LTD		P	07/13/18	03/14/19
e KUBOTA LTD		P	07/12/18	03/15/19
f KUBOTA LTD		P	09/15/17	06/07/19
g KUBOTA LTD		P	09/20/17	06/07/19
h KUBOTA LTD		P	07/12/17	07/18/19
i KUBOTA LTD		P	07/18/17	07/18/19
j KUBOTA LTD		P	08/01/17	07/18/19
k KUBOTA LTD		P	08/24/17	07/18/19
l KUBOTA LTD		P	09/06/17	07/18/19
m KUBOTA LTD		P	09/15/17	07/18/19
n KUBOTA LTD		P	07/10/18	07/18/19
o KUBOTA LTD		P	07/26/18	07/18/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 5,520.		6,459.	<939.>
b 3,726.		4,323.	<597.>
c 1,721.		1,994.	<273.>
d 5,989.		6,965.	<976.>
e 6,443.		7,419.	<976.>
f 2,967.		3,326.	<359.>
g 2,486.		2,829.	<343.>
h 3,420.		3,941.	<521.>
i 699.		795.	<96.>
j 4,197.		4,784.	<587.>
k 5,052.		5,665.	<613.>
l 933.		1,060.	<127.>
m 2,098.		2,427.	<329.>
n 389.		412.	<23.>
o 311.		344.	<33.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<939.>
b			<597.>
c			<273.>
d			<976.>
e			<976.>
f			<359.>
g			<343.>
h			<521.>
i			<96.>
j			<587.>
k			<613.>
l			<127.>
m			<329.>
n			<23.>
o			<33.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a LAUDER ESTEE COS INC		P	12/13/17	03/08/19
b LILLY ELI & CO		P	08/01/17	09/06/19
c LILLY ELI & CO		P	08/09/17	09/06/19
d LILLY ELI & CO		P	08/15/17	09/06/19
e LILLY ELI & CO		P	08/24/17	09/06/19
f LILLY ELI & CO		P	09/06/17	09/06/19
g LILLY ELI & CO		P	03/08/19	09/06/19
h LLOYDS BANKING GROUP PLC		P	10/10/17	02/08/19
i LLOYDS BANKING GROUP PLC		P	10/10/17	06/07/19
j LLOYDS BANKING GROUP PLC		P	04/24/19	06/07/19
k LONZA GROUP AG		P	02/20/18	01/22/19
l LONZA GROUP AG		P	05/18/18	01/22/19
m LONZA GROUP AG		P	10/02/18	01/22/19
n LONZA GROUP AG		P	02/20/18	02/08/19
o LONZA GROUP AG		P	05/08/18	02/08/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 42,053.		34,629.	7,424.
b 12,141.		8,747.	3,394.
c 20,387.		14,605.	5,782.
d 20,502.		14,637.	5,865.
e 10,423.		7,147.	3,276.
f 10,079.		7,052.	3,027.
g 2,978.		3,274.	<296.>
h 23,479.		29,036.	<5,557.>
i 2,376.		2,985.	<609.>
j 4,813.		5,537.	<724.>
k 5,332.		5,164.	168.
l 7,045.		6,896.	149.
m 218.		218.	0.
n 4,788.		4,558.	230.
o 1,052.		989.	63.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			7,424.
b			3,394.
c			5,782.
d			5,865.
e			3,276.
f			3,027.
g			<296.>
h			<5,557.>
i			<609.>
j			<724.>
k			168.
l			149.
m			0.
n			230.
o			63.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a LONZA GROUP AG		P	09/06/18	02/08/19
b LONZA GROUP AG		P	05/08/18	03/07/19
c LONZA GROUP AG		P	05/08/18	03/27/19
d LONZA GROUP AG		P	12/11/17	06/07/19
e LONZA GROUP AG		P	05/08/18	06/07/19
f LONZA GROUP AG		P	12/11/17	09/12/19
g LTC PPTYS INC		P	08/01/17	08/21/19
h LULULEMON ATHLETICA INC		P	08/24/17	02/22/19
i LULULEMON ATHLETICA INC		P	09/15/17	02/22/19
j LULULEMON ATHLETICA INC		P	01/02/19	02/22/19
k LULULEMON ATHLETICA INC		P	08/15/17	05/21/19
l LULULEMON ATHLETICA INC		P	08/24/17	05/21/19
m LULULEMON ATHLETICA INC		P	09/20/17	05/21/19
n LULULEMON ATHLETICA INC		P	09/20/17	11/21/19
o MAKITA CORP		P	05/21/18	01/22/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 221.		255.	<34.>
b 3,933.		3,591.	342.
c 3,544.		3,097.	447.
d 6,011.		4,802.	1,209.
e 877.		703.	174.
f 4,812.		3,660.	1,152.
g 2,357.		2,442.	<85.>
h 1,336.		547.	789.
i 16,919.		6,962.	9,957.
j 742.		604.	138.
k 18,903.		6,336.	12,567.
l 10,246.		3,524.	6,722.
m 353.		118.	235.
n 36,522.		9,915.	26,607.
o 5,101.		6,764.	<1,663.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<34.>
b			342.
c			447.
d			1,209.
e			174.
f			1,152.
g			<85.>
h			789.
i			9,957.
j			138.
k			12,567.
l			6,722.
m			235.
n			26,607.
o			<1,663.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MAKITA CORP	P	06/12/18	01/22/19
b	MAKITA CORP	P	09/06/17	02/08/19
c	MAKITA CORP	P	09/20/17	02/08/19
d	MAKITA CORP	P	05/21/18	02/08/19
e	MAKITA CORP	P	07/26/18	02/08/19
f	MAKITA CORP	P	09/06/17	06/07/19
g	MAKITA CORP	P	10/05/17	06/07/19
h	MASTERCARD INC	P	03/08/19	09/06/19
i	MEDTRONIC PLC	P	03/08/19	09/06/19
j	MICROSOFT CORP	P	08/15/17	05/30/19
k	MICROSOFT CORP	P	09/06/17	05/30/19
l	MICROSOFT CORP	P	03/08/19	05/30/19
m	MSCI INC	P	09/15/17	05/21/19
n	MSCI INC	P	09/20/17	05/21/19
o	MSCI INC	P	10/05/17	05/21/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	145.	205.	<60.>
b	2,090.	2,446.	<356.>
c	488.	581.	<93.>
d	174.	240.	<66.>
e	174.	230.	<56.>
f	1,230.	1,427.	<197.>
g	2,284.	2,619.	<335.>
h	1,165.	883.	282.
i	1,078.	899.	179.
j	7,522.	4,410.	3,112.
k	4,639.	2,733.	1,906.
l	3,385.	2,966.	419.
m	13,329.	6,674.	6,655.
n	11,522.	5,820.	5,702.
o	11,070.	5,886.	5,184.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<60.>
b			<356.>
c			<93.>
d			<66.>
e			<56.>
f			<197.>
g			<335.>
h			282.
i			179.
j			3,112.
k			1,906.
l			419.
m			6,655.
n			5,702.
o			5,184.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE IRVING AND PHYLLIS MILLSTEIN
FOUNDATION FOR ANIMAL WELFARE LTD.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a MSCI INC	P	10/11/17	05/21/19
b MSCI INC	P	01/02/19	05/21/19
c MURATA MFG CO CO LTD	P	11/02/18	02/08/19
d MURATA MFG CO CO LTD	P	11/05/18	02/08/19
e MURATA MFG CO CO LTD	P	11/12/18	02/08/19
f MURATA MFG CO CO LTD	P	11/12/18	11/06/19
g NASPERS LTD	P	05/02/19	06/07/19
h NASPERS LTD	P	04/25/19	10/30/19
i NASPERS LTD	P	05/02/19	10/30/19
j NESTLE S A	P	08/09/17	01/22/19
k NESTLE S A	P	08/09/17	01/22/19
l NESTLE S A	P	10/04/17	01/22/19
m NESTLE S A	P	10/05/17	01/22/19
n NESTLE S A	P	08/01/17	02/08/19
o NESTLE S A	P	08/05/17	02/08/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 7,455.		4,017.	3,438.
b 1,581.		1,030.	551.
c 807.		852.	<45.>
d 4,724.		4,972.	<248.>
e 1,191.		1,241.	<50.>
f 6,202.		5,794.	408.
g 1,745.		1,939.	<194.>
h 10,898.		19,709.	<8,811.>
i 2,724.		4,950.	<2,226.>
j 4,884.		4,953.	<69.>
k 926.		926.	0.
l 8,168.		8,326.	<158.>
m 6,484.		6,572.	<88.>
n 4,421.		4,335.	86.
o 694.		687.	7.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			3,438.
b			551.
c			<45.>
d			<248.>
e			<50.>
f			408.
g			<194.>
h			<8,811.>
i			<2,226.>
j			<69.>
k			0.
l			<158.>
m			<88.>
n			86.
o			7.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE IRVING AND PHYLLIS MILLSTEIN
FOUNDATION FOR ANIMAL WELFARE LTD.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a NESTLE S A		P	10/05/17	02/08/19
b NESTLE S A		P	08/01/17	06/07/19
c NESTLE S A		P	08/24/17	06/07/19
d NESTLE S A		P	03/07/19	06/07/19
e NESTLE S A		P	08/24/17	07/17/19
f NESTLE S A		P	09/06/17	07/17/19
g NESTLE S A		P	09/20/17	07/17/19
h NEVRO CORP		P	08/01/17	11/21/19
i NEVRO CORP		P	08/09/17	11/21/19
j NEVRO CORP		P	08/15/17	11/21/19
k NEVRO CORP		P	08/24/17	11/21/19
l NEVRO CORP		P	09/06/17	11/21/19
m NEVRO CORP		P	09/15/17	11/21/19
n NEVRO CORP		P	09/20/17	11/21/19
o NEVRO CORP		P	10/05/17	11/21/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,381.		3,329.	52.
b 3,691.		3,060.	631.
c 10,047.		8,319.	1,728.
d 308.		276.	32.
e 627.		509.	118.
f 10,874.		8,784.	2,090.
g 1,359.		1,093.	266.
h 2,235.		1,760.	475.
i 1,703.		1,395.	308.
j 2,235.		1,725.	510.
k 1,596.		1,291.	305.
l 3,725.		2,967.	758.
m 5,321.		4,396.	925.
n 3,619.		2,974.	645.
o 3,512.		3,032.	480.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			52.
b			631.
c			1,728.
d			32.
e			118.
f			2,090.
g			266.
h			475.
i			308.
j			510.
k			305.
l			758.
m			925.
n			645.
o			480.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	NEVRO CORP	P	10/11/17	11/21/19
b	NEVRO CORP	P	12/27/18	11/21/19
c	NEXTERA ENERGY INC	P	03/08/19	09/06/19
d	NIKE INC	P	09/10/18	05/30/19
e	NIKE INC	P	03/08/19	05/30/19
f	NIKE INC	P	09/10/18	12/11/19
g	NIKE INC	P	09/06/19	12/11/19
h	NINTENDO LTD	P	09/21/17	02/08/19
i	NINTENDO LTD	P	10/05/17	02/08/19
j	NINTENDO LTD	P	10/20/17	02/08/19
k	NINTENDO LTD	P	10/20/17	02/08/19
l	NINTENDO LTD	P	11/16/17	02/08/19
m	NINTENDO LTD	P	09/05/17	06/07/19
n	NINTENDO LTD	P	09/19/17	06/07/19
o	NINTENDO LTD	P	10/04/17	06/07/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,874.	2,497.	377.
b	213.	72.	141.
c	1,322.	1,125.	197.
d	20,583.	21,271.	<688.>
e	3,020.	3,209.	<189.>
f	25,564.	21,681.	3,883.
g	4,939.	4,510.	429.
h	2,985.	2,985.	0.
i	3,642.	3,642.	0.
j	4,429.	6,509.	<2,080.>
k	2,526.	2,526.	0.
l	525.	870.	<345.>
m	2,357.	2,731.	<374.>
n	5,032.	5,920.	<888.>
o	3,491.	4,145.	<654.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			377.
b			141.
c			197.
d			<688.>
e			<189.>
f			3,883.
g			429.
h			0.
i			0.
j			<2,080.>
k			0.
l			<345.>
m			<374.>
n			<888.>
o			<654.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a NINTENDO LTD		P	09/05/17	10/28/19
b NINTENDO LTD		P	09/21/17	10/28/19
c NORDEA BK ABP		P	09/04/18	02/08/19
d NORDEA BK ABP		P	09/04/18	06/07/19
e NORSE HYDRO A S		P	08/24/17	01/22/19
f NORSE HYDRO A S		P	08/24/17	01/22/19
g NORSE HYDRO A S		P	09/01/17	01/22/19
h NORSE HYDRO A S		P	09/21/17	01/22/19
i NORSE HYDRO A S		P	10/13/17	01/22/19
j NORSE HYDRO A S		P	12/15/17	01/22/19
k NORSE HYDRO A S		P	08/20/17	02/08/19
l NORSE HYDRO A S		P	12/15/17	02/08/19
m NORSE HYDRO A S		P	08/09/17	06/07/19
n NORSE HYDRO A S		P	08/15/17	06/07/19
o NORSE HYDRO A S		P	12/15/17	06/07/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,661.		2,048.	<387.>
b 1,022.		1,126.	<104.>
c 4,892.		5,827.	<935.>
d 1,097.		1,621.	<524.>
e 2,717.		4,092.	<1,375.>
f 522.		522.	0.
g 619.		1,002.	<383.>
h 1,714.		2,828.	<1,114.>
i 119.		208.	<89.>
j 1,228.		1,840.	<612.>
k 458.		814.	<356.>
l 1,924.		3,289.	<1,365.>
m 1,737.		3,016.	<1,279.>
n 2,532.		4,395.	<1,863.>
o 414.		769.	<355.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<387.>
b			<104.>
c			<935.>
d			<524.>
e			<1,375.>
f			0.
g			<383.>
h			<1,114.>
i			<89.>
j			<612.>
k			<356.>
l			<1,365.>
m			<1,279.>
n			<1,863.>
o			<355.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE IRVING AND PHYLLIS MILLSTEIN
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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a NUTRIEN LTD	P	10/19/17	01/22/19
b NUTRIEN LTD	P	11/10/17	01/22/19
c NUTRIEN LTD	P	12/11/17	01/22/19
d NUTRIEN LTD	P	01/18/19	01/22/19
e NUTRIEN LTD	P	10/09/17	02/08/19
f NUTRIEN LTD	P	12/11/17	02/08/19
g NUTRIEN LTD	P	10/09/17	06/07/19
h NUTRIEN LTD	P	03/07/19	06/07/19
i NUTRIEN LTD	P	03/07/19	06/07/19
j NUTRIEN LTD	P	03/07/19	06/07/19
k NVIDIA CORP	P	08/09/17	12/11/19
l OCADO GROUP PLC	P	11/09/18	02/08/19
m OCADO GROUP PLC	P	12/01/18	02/08/19
n OCADO GROUP PLC	P	01/18/19	02/08/19
o OCADO GROUP PLC	P	11/09/18	06/07/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,365.		2,245.	120.
b 457.		479.	<22.>
c 4,842.		4,538.	304.
d 254.		258.	<4.>
e 3,394.		3,059.	335.
f 179.		162.	17.
g 4,443.		4,073.	370.
h 52.		54.	<2.>
i 52.		52.	0.
j <52.>		<54.>	2.
k 1,073.		852.	221.
l 7,021.		6,874.	147.
m 860.		1,013.	<153.>
n 930.		936.	<6.>
o 4,178.		3,346.	832.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			120.
b			<22.>
c			304.
d			<4.>
e			335.
f			17.
g			370.
h			<2.>
i			0.
j			2.
k			221.
l			147.
m			<153.>
n			<6.>
o			832.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE IRVING AND PHYLLIS MILLSTEIN
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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a ORACLE CORP		P	04/30/14	03/08/19
b ORACLE CORP		P	05/19/14	03/08/19
c ORACLE CORP		P	08/15/17	03/08/19
d ORACLE CORP		P	08/24/17	03/08/19
e ORACLE CORP		P	10/05/17	03/08/19
f ORACLE CORP		P	09/10/18	03/08/19
g ORANGE S A		P	08/24/17	02/08/19
h ORANGE S A		P	08/09/17	06/07/19
i ORANGE S A		P	08/24/17	06/07/19
j ORANGE S A		P	08/09/17	07/15/19
k ORANGE S A		P	09/06/17	07/15/19
l ORANGE S A		P	09/06/17	07/16/19
m ORANGE S A		P	09/15/17	07/16/19
n ORANGE S A		P	10/05/17	07/16/19
o ORANGE S A		P	02/08/18	07/16/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 26,223.		20,210.	6,013.
b 1,206.		966.	240.
c 31,573.		29,429.	2,144.
d 15,734.		14,687.	1,047.
e 5,664.		5,291.	373.
f 839.		780.	59.
g 980.		1,098.	<118.>
h 482.		521.	<39.>
i 3,017.		3,277.	<260.>
j 3,019.		3,395.	<376.>
k 4,365.		4,879.	<514.>
l 1,192.		1,337.	<145.>
m 5,779.		6,468.	<689.>
n 7,060.		7,682.	<622.>
o 149.		166.	<17.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			6,013.
b			240.
c			2,144.
d			1,047.
e			373.
f			59.
g			<118.>
h			<39.>
i			<260.>
j			<376.>
k			<514.>
l			<145.>
m			<689.>
n			<622.>
o			<17.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a OTSUKA HLDGS CO LTD		P	08/24/17	02/08/19
b OVERSEA-CHINESE BKG CORP LTD		P	08/01/17	01/22/19
c OVERSEA-CHINESE BKG CORP LTD		P	08/01/17	01/22/19
d OVERSEA-CHINESE BKG CORP LTD		P	10/05/17	01/22/19
e OVERSEA-CHINESE BKG CORP LTD		P	07/26/18	01/22/19
f OVERSEA-CHINESE BKG CORP LTD		P	08/09/17	02/08/19
g OVERSEA-CHINESE BKG CORP LTD		P	08/09/17	02/08/19
h OVERSEA-CHINESE BKG CORP LTD		P	08/09/17	02/08/19
i OVERSEA-CHINESE BKG CORP LTD		P	08/09/17	02/08/19
j OVERSEA-CHINESE BKG CORP LTD		P	08/15/17	02/08/19
k OVERSEA-CHINESE BKG CORP LTD		P	10/05/17	02/08/19
l OVERSEA-CHINESE BKG CORP LTD		P	08/15/17	06/07/19
m OVERSEA-CHINESE BKG CORP LTD		P	09/05/17	06/07/19
n OVERSEA-CHINESE BKG CORP LTD		P	09/20/17	06/07/19
o OWENS ILL INC		P	09/15/17	02/22/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 430.		449.	<19.>
b 3,908.		3,922.	<14.>
c 914.		914.	0.
d 4,941.		4,847.	94.
e 372.		380.	<8.>
f 3,612.		3,617.	<5.>
g 3,529.		3,534.	<5.>
h 83.		83.	0.
i <3,612.>		<3,617.>	5.
j 1,094.		1,091.	3.
k 481.		481.	0.
l 4,203.		4,498.	<295.>
m 77.		84.	<7.>
n 1,035.		1,107.	<72.>
o 7,356.		9,083.	<1,727.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<19.>
b			<14.>
c			0.
d			94.
e			<8.>
f			<5.>
g			<5.>
h			0.
i			5.
j			3.
k			0.
l			<295.>
m			<7.>
n			<72.>
o			<1,727.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a OWENS ILL INC		P	10/05/17	02/22/19
b OWENS ILL INC		P	10/11/17	02/22/19
c OWENS ILL INC		P	10/17/17	02/22/19
d OWENS ILL INC		P	08/01/17	05/21/19
e OWENS ILL INC		P	08/09/17	05/21/19
f OWENS ILL INC		P	08/15/17	05/21/19
g OWENS ILL INC		P	08/24/17	05/21/19
h OWENS ILL INC		P	09/06/17	05/21/19
i OWENS ILL INC		P	09/15/17	05/21/19
j OWENS ILL INC		P	09/20/17	05/21/19
k PALO ALTO NETWORKS INC		P	09/15/17	05/21/19
l PALO ALTO NETWORKS INC		P	09/20/17	05/21/19
m PALO ALTO NETWORKS INC		P	01/02/19	05/21/19
n PEPSICO INC		P	08/15/17	09/06/19
o PHILIP MORRIS INTL INC		P	12/27/18	01/09/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 8,158.		10,354.	<2,196.>
b 2,165.		2,780.	<615.>
c 982.		1,308.	<326.>
d 4,217.		6,063.	<1,846.>
e 3,839.		5,431.	<1,592.>
f 4,493.		6,305.	<1,812.>
g 3,150.		4,344.	<1,194.>
h 7,471.		10,547.	<3,076.>
i 4,716.		6,782.	<2,066.>
j 7,350.		10,356.	<3,006.>
k 11,628.		7,286.	4,342.
l 11,400.		7,147.	4,253.
m 912.		742.	170.
n 1,642.		1,417.	225.
o 34,418.		33,024.	1,394.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(j) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<2,196.>
b			<615.>
c			<326.>
d			<1,846.>
e			<1,592.>
f			<1,812.>
g			<1,194.>
h			<3,076.>
i			<2,066.>
j			<3,006.>
k			4,342.
l			4,253.
m			170.
n			225.
o			1,394.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PNC FINL SVCS GROUP INC	P	08/15/17	12/11/19
b PROCTER & GAMBLE CO	P	03/08/19	09/06/19
c PROGRESSIVE CORP OHIO	P	08/01/17	03/08/19
d PROGRESSIVE CORP OHIO	P	08/09/17	03/08/19
e PROGRESSIVE CORP OHIO	P	08/15/17	03/08/19
f PROGRESSIVE CORP OHIO	P	08/24/17	03/08/19
g PROGRESSIVE CORP OHIO	P	09/06/17	03/08/19
h RECKITT BENCKISER PLC	P	08/01/17	01/22/19
i RECKITT BENCKISER PLC	P	08/01/17	01/22/19
j RECKITT BENCKISER PLC	P	08/06/17	01/22/19
k RECKITT BENCKISER PLC	P	08/09/17	01/22/19
l RECKITT BENCKISER PLC	P	08/15/17	01/22/19
m RECKITT BENCKISER PLC	P	08/24/17	01/22/19
n RECKITT BENCKISER PLC	P	08/24/17	02/08/19
o RECKITT BENCKISER PLC	P	07/06/17	03/07/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,091.		923.	168.
b 1,105.		882.	223.
c 24,951.		16,357.	8,594.
d 28,556.		18,997.	9,559.
e 28,700.		19,029.	9,671.
f 7,211.		4,856.	2,355.
g 14,711.		9,040.	5,671.
h 3,357.		4,230.	<873.>
i 1,608.		1,608.	0.
j 615.		763.	<148.>
k 3,388.		4,181.	<793.>
l 6,036.		7,442.	<1,406.>
m 583.		718.	<135.>
n 4,765.		6,014.	<1,249.>
o 1,639.		1,950.	<311.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			168.
b			223.
c			8,594.
d			9,559.
e			9,671.
f			2,355.
g			5,671.
h			<873.>
i			0.
j			<148.>
k			<793.>
l			<1,406.>
m			<135.>
n			<1,249.>
o			<311.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a RECKITT BENCKISER PLC		P	08/24/17	03/07/19
b RECKITT BENCKISER PLC		P	09/06/17	03/07/19
c RECKITT BENCKISER PLC		P	09/06/17	04/24/19
d RECKITT BENCKISER PLC		P	09/15/17	04/24/19
e RECKITT BENCKISER PLC		P	09/20/17	04/24/19
f RECKITT BENCKISER PLC		P	10/05/17	04/24/19
g RECKITT BENCKISER PLC		P	12/11/17	04/24/19
h RECKITT BENCKISER PLC		P	05/08/18	04/24/19
i RECKITT BENCKISER PLC		P	06/19/18	04/24/19
j REINSURANCE GROUP AMER INC		P	10/05/17	08/21/19
k REINSURANCE GROUP AMER INC		P	08/01/17	11/21/19
l REINSURANCE GROUP AMER INC		P	08/15/17	11/21/19
m REINSURANCE GROUP AMER INC		P	10/05/17	11/21/19
n REINSURANCE GROUP AMER INC		P	10/11/17	11/21/19
o REPSOL S A		P	10/01/18	02/08/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 514.		621.	<107.>
b 5,382.		6,365.	<983.>
c 673.		817.	<144.>
d 5,728.		6,873.	<1,145.>
e 1,033.		1,234.	<201.>
f 5,071.		5,893.	<822.>
g 6,026.		6,968.	<942.>
h 3,678.		3,654.	24.
i 6,213.		6,498.	<285.>
j 3,415.		3,245.	170.
k 4,266.		3,646.	620.
l 8,039.		6,892.	1,147.
m 9,024.		7,759.	1,265.
n 10,172.		8,745.	1,427.
o 6,700.		7,958.	<1,258.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<107.>
b			<983.>
c			<144.>
d			<1,145.>
e			<201.>
f			<822.>
g			<942.>
h			24.
i			<285.>
j			170.
k			620.
l			1,147.
m			1,265.
n			1,427.
o			<1,258.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE IRVING AND PHYLLIS MILLSTEIN
FOUNDATION FOR ANIMAL WELFARE LTD.

CONTINUATION FOR 990-PF, PART IV
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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	REPSOL S A	P	10/01/18	06/07/19
b	REPSOL S A	P	10/01/18	09/19/19
c	ROCHE HLDG LTD	P	09/25/17	02/08/19
d	ROCHE HLDG LTD	P	10/04/17	02/08/19
e	ROCHE HLDG LTD	P	01/15/19	02/08/19
f	ROCHE HLDG LTD	P	09/01/17	04/23/19
g	ROCHE HLDG LTD	P	09/06/17	04/23/19
h	ROCHE HLDG LTD	P	09/10/17	04/23/19
i	ROCHE HLDG LTD	P	09/10/17	04/23/19
j	ROCHE HLDG LTD	P	01/15/19	04/23/19
k	ROCHE HLDG LTD	P	08/05/17	06/07/19
l	ROCHE HLDG LTD	P	08/14/17	06/07/19
m	ROCHE HLDG LTD	P	08/24/17	06/07/19
n	ROCHE HLDG LTD	P	09/06/17	06/07/19
o	ROCHE HLDG LTD	P	02/25/19	06/07/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,449.	4,180.	<731.>
b	8,763.	11,213.	<2,450.>
c	1,384.	1,384.	0.
d	4,894.	4,894.	0.
e	2,126.	2,053.	73.
f	1,336.	1,336.	0.
g	3,389.	3,301.	88.
h	2,770.	2,843.	<73.>
i	1,955.	1,955.	0.
j	326.	326.	0.
k	1,405.	1,436.	<31.>
l	2,056.	2,102.	<46.>
m	1,028.	944.	84.
n	4,385.	4,063.	322.
o	7,400.	7,478.	<78.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<731.>
b			<2,450.>
c			0.
d			0.
e			73.
f			0.
g			88.
h			<73.>
i			0.
j			0.
k			<31.>
l			<46.>
m			84.
n			322.
o			<78.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE IRVING AND PHYLLIS MILLSTEIN
FOUNDATION FOR ANIMAL WELFARE LTD.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a ROLLS-ROYCE HLDGS PLC	P	05/22/19	06/07/19
b ROYAL DSM N V	P	08/01/17	02/08/19
c ROYAL DSM N V	P	09/06/17	02/08/19
d ROYAL DSM N V	P	12/27/18	02/08/19
e ROYAL DSM N V	P	09/06/17	04/15/19
f ROYAL DSM N V	P	06/03/19	06/07/19
g ROYAL DUTCH SHELL PLC	P	09/06/17	02/08/19
h ROYAL DUTCH SHELL PLC	P	06/05/19	06/07/19
i ROYAL DUTCH SHELL PLC	P	09/06/17	11/05/19
j ROYAL DUTCH SHELL PLC	P	06/05/19	11/05/19
k S&P GLOBAL INC	P	09/10/18	09/06/19
l SAMSONITE INTL SA	P	09/06/17	01/22/19
m SAMSONITE INTL SA	P	09/20/17	01/22/19
n SAMSONITE INTL SA	P	10/05/17	01/22/19
o SAMSONITE INTL SA	P	10/27/17	01/22/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,475.		2,475.	0.
b 4,917.		4,054.	863.
c 1,655.		1,345.	310.
d 1,277.		1,061.	216.
e 3,900.		2,613.	1,287.
f 5,026.		4,866.	160.
g 5,091.		4,524.	567.
h 5,095.		4,951.	144.
i 540.		503.	37.
j 7,198.		7,521.	<323.>
k 1,061.		833.	228.
l 45.		62.	<17.>
m 2,936.		4,118.	<1,182.>
n 2,457.		3,578.	<1,121.>
o 165.		266.	<101.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			863.
c			310.
d			216.
e			1,287.
f			160.
g			567.
h			144.
i			37.
j			<323.>
k			228.
l			<17.>
m			<1,182.>
n			<1,121.>
o			<101.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SAMSONITE INTL SA	P	08/01/17	02/08/19
b	SAMSONITE INTL SA	P	09/06/17	02/08/19
c	SAMSONITE INTL SA	P	08/01/17	06/07/19
d	SAMSONITE INTL SA	P	08/24/17	06/07/19
e	SAMSONITE INTL SA	P	08/09/17	10/29/19
f	SAMSONITE INTL SA	P	08/15/17	10/29/19
g	SAMSONITE INTL SA	P	08/24/17	10/29/19
h	SAMSONITE INTL SA	P	09/15/17	10/29/19
i	SAMSONITE INTL SA	P	07/26/18	10/29/19
j	SAMSONITE INTL SA	P	09/18/18	10/29/19
k	SAP SE	P	07/21/17	02/08/19
l	SAP SE	P	08/12/17	02/08/19
m	SAP SE	P	07/15/17	05/14/19
n	SAP SE	P	08/12/17	05/14/19
o	SAP SE	P	07/15/17	06/07/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	220.	307.	<87.>
b	2,920.	4,105.	<1,185.>
c	1,680.	3,299.	<1,619.>
d	1,064.	2,076.	<1,012.>
e	1,298.	2,652.	<1,354.>
f	2,050.	4,148.	<2,098.>
g	1,020.	2,096.	<1,076.>
h	1,971.	4,032.	<2,061.>
i	109.	218.	<109.>
j	2,813.	5,546.	<2,733.>
k	2,395.	2,655.	<260.>
l	3,748.	4,153.	<405.>
m	3,635.	3,337.	298.
n	3,510.	3,230.	280.
o	1,815.	1,611.	204.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<87.>
b			<1,185.>
c			<1,619.>
d			<1,012.>
e			<1,354.>
f			<2,098.>
g			<1,076.>
h			<2,061.>
i			<109.>
j			<2,733.>
k			<260.>
l			<405.>
m			298.
n			280.
o			204.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SAP SE	P	07/30/17	06/07/19
b	SAP SE	P	05/17/19	06/07/19
c	SCHLUMBERGER LTD	P	09/08/17	12/11/19
d	SCHLUMBERGER LTD	P	03/08/19	12/11/19
e	SCHLUMBERGER LTD	P	09/06/19	12/11/19
f	SCHNEIDER ELEC SE	P	09/15/17	02/08/19
g	SCHNEIDER ELEC SE	P	09/20/17	02/08/19
h	SCHNEIDER ELEC SE	P	09/20/17	02/08/19
i	SCHNEIDER ELEC SE	P	10/05/17	02/08/19
j	SCHNEIDER ELEC SE	P	10/16/17	02/08/19
k	SCHNEIDER ELEC SE	P	11/07/17	02/08/19
l	SCHNEIDER ELEC SE	P	08/29/17	06/07/19
m	SCHNEIDER ELEC SE	P	09/03/17	06/07/19
n	SCHNEIDER ELEC SE	P	09/15/17	06/07/19
o	SCHNEIDER ELEC SE	P	06/19/18	06/07/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	4,019.	3,564.	455.
b	8,297.	8,192.	105.
c	53,761.	92,779.	<39,018.>
d	3,005.	3,299.	<294.>
e	1,127.	1,018.	109.
f	4,647.	4,647.	0.
g	3,295.	3,295.	0.
h	1,450.	1,793.	<343.>
i	4,844.	5,946.	<1,102.>
j	84.	107.	<23.>
k	4,830.	5,883.	<1,053.>
l	2,049.	2,062.	<13.>
m	3,867.	3,960.	<93.>
n	231.	240.	<9.>
o	6,114.	6,252.	<138.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			455.
b			105.
c			<39,018.>
d			<294.>
e			109.
f			0.
g			0.
h			<343.>
i			<1,102.>
j			<23.>
k			<1,053.>
l			<13.>
m			<93.>
n			<9.>
o			<138.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SCHNEIDER ELEC SE		P	08/29/17	12/20/19
b SCHNEIDER ELEC SE		P	09/06/17	12/20/19
c SECOM LTD		P	08/09/17	02/08/19
d SECOM LTD		P	08/09/17	06/07/19
e SECOM LTD		P	08/24/17	06/07/19
f SECOM LTD		P	08/24/17	12/04/19
g SECOM LTD		P	09/06/17	12/04/19
h SECOM LTD		P	08/15/17	12/05/19
i SECOM LTD		P	09/06/17	12/05/19
j SECOM LTD		P	08/15/17	12/06/19
k SECOM LTD		P	02/23/18	12/06/19
l SEVEN & I HLDGS CO LTD		P	09/06/17	02/08/19
m SEVEN & I HLDGS CO LTD		P	09/06/17	04/22/19
n SEVEN & I HLDGS CO LTD		P	09/15/17	04/22/19
o SEVEN & I HLDGS CO LTD		P	09/20/17	04/22/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,202.		3,425.	777.
b 2,550.		2,071.	479.
c 1,414.		1,315.	99.
d 2,800.		2,445.	355.
e 1,421.		1,241.	180.
f 5,449.		4,686.	763.
g 2,606.		2,233.	373.
h 2,868.		2,439.	429.
i 4,442.		3,802.	640.
j 4,349.		3,686.	663.
k 3,267.		2,759.	508.
l 2,197.		2,012.	185.
m 3,534.		4,242.	<708.>
n 5,589.		6,667.	<1,078.>
o 4,800.		5,743.	<943.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			777.
b			479.
c			99.
d			355.
e			180.
f			763.
g			373.
h			429.
i			640.
j			663.
k			508.
l			185.
m			<708.>
n			<1,078.>
o			<943.>

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	}	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEVEN & I HLDGS CO LTD	P	10/05/17	04/22/19
b	SHIRE ACQUISITIONS INVTs IRELAND	P	02/06/17	09/23/19
c	SIEMENS HEALTHINEERS AG	P	04/12/19	06/07/19
d	SKANDINAVISKA ENSKILDA BANKEN	P	06/20/18	01/22/19
e	SKANDINAVISKA ENSKILDA BANKEN	P	10/24/18	01/22/19
f	SKANDINAVISKA ENSKILDA BANKEN	P	01/18/19	01/22/19
g	SKANDINAVISKA ENSKILDA BANKEN	P	06/20/18	02/08/19
h	SKANDINAVISKA ENSKILDA BANKEN	P	06/19/18	03/07/19
i	SKANDINAVISKA ENSKILDA BANKEN	P	06/20/18	03/07/19
j	SKANDINAVISKA ENSKILDA BANKEN	P	06/19/18	04/24/19
k	SKF AB	P	09/20/17	01/22/19
l	SKF AB	P	10/05/17	01/22/19
m	SKF AB	P	10/27/17	01/22/19
n	SKF AB	P	09/15/17	02/08/19
o	SKF AB	P	09/20/17	02/08/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 5,260.		6,251.	<991.>
b 25,000.		25,000.	0.
c 3,798.		3,960.	<162.>
d 9,662.		8,941.	721.
e 457.		534.	<77.>
f 630.		635.	<5.>
g 4,410.		4,033.	377.
h 1,892.		1,793.	99.
i 4,295.		4,118.	177.
j 21,699.		20,900.	799.
k 3,235.		4,270.	<1,035.>
l 2,430.		3,312.	<882.>
m 966.		1,434.	<468.>
n 2,537.		3,296.	<759.>
o 289.		382.	<93.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<991.>
b			0.
c			<162.>
d			721.
e			<77.>
f			<5.>
g			377.
h			99.
i			177.
j			799.
k			<1,035.>
l			<882.>
m			<468.>
n			<759.>
o			<93.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SKF AB	P	08/01/17	06/07/19
b	SKF AB	P	09/15/17	06/07/19
c	SKF AB	P	07/26/18	06/07/19
d	SKF AB	P	08/01/17	07/18/19
e	SKF AB	P	08/09/17	07/18/19
f	SKF AB	P	08/15/17	07/18/19
g	SKF AB	P	08/24/17	07/18/19
h	SKF AB	P	09/06/17	07/18/19
i	SKF AB	P	10/02/18	07/18/19
j	SMC CORP JAPAN	P	10/05/17	01/22/19
k	SMC CORP JAPAN	P	10/05/17	01/22/19
l	SMC CORP JAPAN	P	04/02/18	01/22/19
m	SMC CORP JAPAN	P	08/24/17	02/08/19
n	SMC CORP JAPAN	P	10/01/17	02/08/19
o	SMC CORP JAPAN	P	10/05/17	02/08/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,101.	3,774.	<673.>
b	1,111.	1,398.	<287.>
c	282.	345.	<63.>
d	68.	81.	<13.>
e	2,651.	3,047.	<396.>
f	3,977.	4,561.	<584.>
g	3,926.	4,613.	<687.>
h	3,909.	4,511.	<602.>
i	425.	476.	<51.>
j	1,467.	1,647.	<180.>
k	973.	973.	0.
l	8,007.	10,186.	<2,179.>
m	2,394.	2,465.	<71.>
n	337.	372.	<35.>
o	2,023.	2,148.	<125.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<673.>
b			<287.>
c			<63.>
d			<13.>
e			<396.>
f			<584.>
g			<687.>
h			<602.>
i			<51.>
j			<180.>
k			0.
l			<2,179.>
m			<71.>
n			<35.>
o			<125.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SMC CORP JAPAN		P	08/24/17	06/07/19
b SMC CORP JAPAN		P	09/06/17	06/07/19
c SMC CORP JAPAN		P	09/06/17	10/09/19
d SMC CORP JAPAN		P	07/12/18	10/09/19
e SMITH & NEPHEW PLC		P	08/24/17	02/08/19
f SMITH & NEPHEW PLC		P	09/20/17	02/08/19
g SMITH & NEPHEW PLC		P	02/23/18	02/08/19
h SMITH & NEPHEW PLC		P	08/09/17	06/07/19
i SMITH & NEPHEW PLC		P	08/24/17	06/07/19
j SOUTHWEST AIRLS CO		P	08/01/17	03/08/19
k SOUTHWEST AIRLS CO		P	08/09/17	03/08/19
l SOUTHWEST AIRLS CO		P	08/15/17	03/08/19
m SOUTHWEST AIRLS CO		P	08/24/17	03/08/19
n SOUTHWEST AIRLS CO		P	09/06/17	03/08/19
o STATE STR CORP		P	08/15/17	12/11/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,417.		3,455.	<38.>
b 4,121.		4,142.	<21.>
c 2,156.		1,726.	430.
d 1,445.		1,128.	317.
e 2,767.		2,470.	297.
f 922.		833.	89.
g 1,323.		1,185.	138.
h 433.		354.	79.
i 4,155.		3,437.	718.
j 5,661.		6,111.	<450.>
k 10,755.		11,582.	<827.>
l 10,806.		11,564.	<758.>
m 5,558.		5,592.	<34.>
n 5,455.		5,299.	156.
o 1,868.		2,268.	<400.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<38.>
b			<21.>
c			430.
d			317.
e			297.
f			89.
g			138.
h			79.
i			718.
j			<450.>
k			<827.>
l			<758.>
m			<34.>
n			156.
o			<400.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE IRVING AND PHYLLIS MILLSTEIN
FOUNDATION FOR ANIMAL WELFARE LTD.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a STIFEL FINL CORP		P	10/05/17	11/21/19
b STIFEL FINL CORP		P	08/23/18	11/21/19
c STIFEL FINL CORP		P	08/29/18	11/21/19
d SUMITOMO MITSUI FINL GROUP INC		P	08/15/17	02/08/19
e SUMITOMO MITSUI FINL GROUP INC		P	09/15/17	02/08/19
f SUMITOMO MITSUI FINL GROUP INC		P	09/15/17	06/07/19
g SUMITOMO MITSUI FINL GROUP INC		P	09/15/17	08/13/19
h SUMITOMO MITSUI FINL GROUP INC		P	08/24/17	08/14/19
i SUMITOMO MITSUI FINL GROUP INC		P	09/06/17	08/14/19
j SUMITOMO MITSUI FINL GROUP INC		P	09/15/17	08/14/19
k SUPERIOR ENERGY SVCS INC		P	08/01/17	07/18/19
l SUPERIOR ENERGY SVCS INC		P	08/09/17	07/18/19
m SUPERIOR ENERGY SVCS INC		P	08/15/17	07/18/19
n SUPERIOR ENERGY SVCS INC		P	08/24/17	07/18/19
o SUPERIOR ENERGY SVCS INC		P	09/06/17	07/18/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,162.		1,018.	144.
b 22,632.		19,931.	2,701.
c 673.		606.	67.
d 3,876.		4,167.	<291.>
e 819.		877.	<58.>
f 2,532.		2,737.	<205.>
g 1,255.		1,429.	<174.>
h 8,261.		9,417.	<1,156.>
i 7,993.		9,103.	<1,110.>
j 3,418.		3,954.	<536.>
k 140.		1,838.	<1,698.>
l 116.		1,445.	<1,329.>
m 146.		1,637.	<1,491.>
n 96.		1,014.	<918.>
o 237.		2,793.	<2,556.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			144.
b			2,701.
c			67.
d			<291.>
e			<58.>
f			<205.>
g			<174.>
h			<1,156.>
i			<1,110.>
j			<536.>
k			<1,698.>
l			<1,329.>
m			<1,491.>
n			<918.>
o			<2,556.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SUPERIOR ENERGY SVCS INC	P	09/15/17	07/18/19
b	SUPERIOR ENERGY SVCS INC	P	09/20/17	07/18/19
c	SUPERIOR ENERGY SVCS INC	P	10/05/17	07/18/19
d	SUPERIOR ENERGY SVCS INC	P	10/11/17	07/18/19
e	SUPERIOR ENERGY SVCS INC	P	05/22/18	07/18/19
f	SUPERIOR ENERGY SVCS INC	P	12/27/18	07/18/19
g	SUPERIOR ENERGY SVCS INC	P	01/02/19	07/18/19
h	SYNCHRONY FINL	P	09/27/17	01/15/19
i	TAIWAN SEMICONDUCTOR MFG LTD	P	09/20/17	01/22/19
j	TAIWAN SEMICONDUCTOR MFG LTD	P	10/05/17	01/22/19
k	TAIWAN SEMICONDUCTOR MFG LTD	P	03/29/18	01/22/19
l	TAIWAN SEMICONDUCTOR MFG LTD	P	04/06/18	01/22/19
m	TAIWAN SEMICONDUCTOR MFG LTD	P	09/15/17	02/08/19
n	TAIWAN SEMICONDUCTOR MFG LTD	P	09/20/17	02/08/19
o	TAIWAN SEMICONDUCTOR MFG LTD	P	09/15/17	06/07/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	350.	4,463.	<4,113.>
b	225.	3,069.	<2,844.>
c	229.	3,070.	<2,841.>
d	174.	2,245.	<2,071.>
e	1,763.	28,002.	<26,239.>
f	70.	284.	<214.>
g	20.	83.	<63.>
h	25,000.	25,185.	<185.>
i	2,867.	3,017.	<150.>
j	6,170.	6,600.	<430.>
k	3,992.	4,783.	<791.>
l	690.	846.	<156.>
m	2,948.	2,926.	22.
n	3,326.	3,360.	<34.>
o	3,572.	3,451.	121.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<4,113.>
b			<2,844.>
c			<2,841.>
d			<2,071.>
e			<26,239.>
f			<214.>
g			<63.>
h			<185.>
i			<150.>
j			<430.>
k			<791.>
l			<156.>
m			22.
n			<34.>
o			121.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	TAIWAN SEMICONDUCTOR MFG LTD	P	07/11/18	06/07/19
b	TAKEDA PHARMACEUTICAL CO LTD	P	01/29/19	02/08/19
c	TAKEDA PHARMACEUTICAL CO LTD	P	01/29/19	02/08/19
d	TAKEDA PHARMACEUTICAL CO LTD	P	01/29/19	02/08/19
e	TAKEDA PHARMACEUTICAL CO LTD	P	01/29/19	02/08/19
f	TAKEDA PHARMACEUTICAL CO LTD	P	01/29/19	06/07/19
g	TAKEDA PHARMACEUTICAL CO LTD	P	02/25/19	06/07/19
h	TALLGRASS ENERGY GP LP	P	11/22/17	08/28/19
i	TALLGRASS ENERGY GP LP	P	11/22/17	08/28/19
j	TALLGRASS ENERGY GP LP	P	11/22/17	08/28/19
k	TALLGRASS ENERGY GP LP	P	11/28/17	08/28/19
l	TALLGRASS ENERGY GP LP	P	11/28/17	08/28/19
m	TALLGRASS ENERGY GP LP	P	11/28/17	08/28/19
n	TALLGRASS ENERGY GP LP	P	01/02/19	08/28/19
o	TALLGRASS ENERGY GP LP	P	01/02/19	08/28/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	5,668.	5,443.	225.
b	3,232.	3,330.	<98.>
c	2,897.	2,897.	0.
d	335.	345.	<10.>
e	<3,232.>	<3,330.>	98.
f	2,420.	2,863.	<443.>
g	2,523.	3,068.	<545.>
h	29,487.	33,010.	<3,523.>
i	29,487.	32,453.	<2,966.>
j	<29,487.>	<32,453.>	2,966.
k	796.	1,006.	<210.>
l	796.	1,006.	<210.>
m	<796.>	<1,006.>	210.
n	272.	340.	<68.>
o	272.	340.	<68.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			225.
b			<98.>
c			0.
d			<10.>
e			98.
f			<443.>
g			<545.>
h			<3,523.>
i			<2,966.>
j			2,966.
k			<210.>
l			<210.>
m			210.
n			<68.>
o			<68.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a TALLGRASS ENERGY GP LP		P	01/02/19	08/28/19
b TARGET CORP		P	03/08/19	09/06/19
c TARGET CORP		P	08/09/17	12/11/19
d TARGET CORP		P	09/06/17	12/11/19
e TARGET CORP		P	03/08/19	12/11/19
f TELADOC HEALTH INC		P	09/06/19	12/11/19
g TELEFONICA BRASIL SA		P	09/06/17	01/22/19
h TELEFONICA BRASIL SA		P	09/06/17	01/22/19
i TELEFONICA BRASIL SA		P	09/15/17	01/22/19
j TELEFONICA BRASIL SA		P	09/20/17	01/22/19
k TELEFONICA BRASIL SA		P	10/05/17	01/22/19
l TELEFONICA BRASIL SA		P	08/09/17	01/29/19
m TELEFONICA BRASIL SA		P	08/11/17	01/29/19
n TELEFONICA BRASIL SA		P	08/24/17	01/29/19
o TELEFONICA BRASIL SA		P	09/02/17	01/29/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a <272.>		<340.>	68.
b 1,958.		1,356.	602.
c 39,348.		18,253.	21,095.
d 11,278.		5,239.	6,039.
e 3,258.		1,959.	1,299.
f 1,092.		865.	227.
g 1,034.		1,341.	<307.>
h 426.		426.	0.
i 2,384.		3,200.	<816.>
j 2,372.		3,281.	<909.>
k 2,396.		3,244.	<848.>
l 219.		259.	<40.>
m 51.		61.	<10.>
n 2,624.		3,129.	<505.>
o 399.		496.	<97.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			68.
b			602.
c			21,095.
d			6,039.
e			1,299.
f			227.
g			<307.>
h			0.
i			<816.>
j			<909.>
k			<848.>
l			<40.>
m			<10.>
n			<505.>
o			<97.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	TELEFONICA BRASIL SA	P	09/06/17	01/29/19
b	TELEFONICA BRASIL SA	P	08/01/17	02/08/19
c	TELEFONICA BRASIL SA	P	08/09/17	02/08/19
d	TELEFONICA BRASIL SA	P	08/01/17	03/07/19
e	TELEFONICA BRASIL SA	P	08/15/17	03/07/19
f	TELEFONICA BRASIL SA	P	06/19/18	03/07/19
g	TELEFONICA BRASIL SA	P	06/29/18	03/07/19
h	TELEFONICA BRASIL SA	P	07/11/18	03/07/19
i	TELEFONICA BRASIL SA	P	07/11/18	03/08/19
j	TELEFONICA BRASIL SA	P	07/26/18	03/08/19
k	TENARIS S A	P	10/03/17	01/22/19
l	TENARIS S A	P	10/03/17	01/22/19
m	TENARIS S A	P	07/26/18	01/22/19
n	TENARIS S A	P	11/08/18	01/22/19
o	TENARIS S A	P	10/03/17	02/08/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,068.	1,309.	<241.>
b	1,914.	2,258.	<344.>
c	1,544.	1,842.	<298.>
d	225.	286.	<61.>
e	2,435.	3,088.	<653.>
f	5,804.	6,043.	<239.>
g	5,579.	5,607.	<28.>
h	2,352.	2,337.	15.
i	3,526.	3,452.	74.
j	48.	45.	3.
k	900.	1,073.	<173.>
l	284.	284.	0.
m	166.	255.	<89.>
n	4,332.	5,536.	<1,204.>
o	2,576.	2,965.	<389.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<241.>
b			<344.>
c			<298.>
d			<61.>
e			<653.>
f			<239.>
g			<28.>
h			15.
i			74.
j			3.
k			<173.>
l			0.
m			<89.>
n			<1,204.>
o			<389.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	TENARIS S A	P	10/03/17	06/07/19
b	TENARIS S A	P	09/07/17	10/11/19
c	TENARIS S A	P	10/03/17	10/11/19
d	TENARIS S A	P	10/05/17	10/11/19
e	TENCENT HLDGS LTD	P	04/03/18	01/22/19
f	TENCENT HLDGS LTD	P	06/06/18	01/22/19
g	TENCENT HLDGS LTD	P	06/29/18	01/22/19
h	TENCENT HLDGS LTD	P	09/20/17	02/08/19
i	TENCENT HLDGS LTD	P	09/20/17	02/08/19
j	TENCENT HLDGS LTD	P	09/20/17	02/08/19
k	TENCENT HLDGS LTD	P	09/20/17	02/08/19
l	TENCENT HLDGS LTD	P	10/05/17	02/08/19
m	TENCENT HLDGS LTD	P	06/29/18	02/08/19
n	TENCENT HLDGS LTD	P	08/09/17	05/20/19
o	TENCENT HLDGS LTD	P	08/24/17	05/20/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,656.	4,207.	<551.>
b	250.	306.	<56.>
c	8,440.	11,435.	<2,995.>
d	2,209.	2,993.	<784.>
e	9,793.	12,039.	<2,246.>
f	4,052.	5,190.	<1,138.>
g	3,335.	3,980.	<645.>
h	3,846.	3,874.	<28.>
i	3,625.	3,651.	<26.>
j	221.	221.	0.
k	<3,846.>	<3,874.>	28.
l	5,393.	5,498.	<105.>
m	530.	605.	<75.>
n	3,779.	3,600.	179.
o	5,580.	5,364.	216.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<551.>
b			<56.>
c			<2,995.>
d			<784.>
e			<2,246.>
f			<1,138.>
g			<645.>
h			<28.>
i			<26.>
j			0.
k			28.
l			<105.>
m			<75.>
n			179.
o			216.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

THE IRVING AND PHYLLIS MILLSTEIN
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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	TENCENT HLDGS LTD	P	09/06/17	05/20/19
b	TENCENT HLDGS LTD	P	09/15/17	05/20/19
c	TENCENT HLDGS LTD	P	09/20/17	05/20/19
d	TENCENT HLDGS LTD	P	10/17/17	05/20/19
e	TENCENT HLDGS LTD	P	08/15/17	06/07/19
f	TENCENT HLDGS LTD	P	09/06/17	06/07/19
g	TERADATA CORP	P	06/15/18	12/11/19
h	TERADATA CORP	P	09/10/18	12/11/19
i	TERADATA CORP	P	03/08/19	12/11/19
j	TERADATA CORP	P	09/06/19	12/11/19
k	TESCO PLC	P	05/01/19	06/07/19
l	TESCO PLC	P	04/30/19	10/17/19
m	TESCO PLC	P	05/01/19	10/17/19
n	TESCO PLC	P	04/29/19	10/18/19
o	TESCO PLC	P	04/30/19	10/18/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,779.	3,575.	204.
b	5,404.	5,328.	76.
c	1,494.	1,514.	<20.>
d	220.	226.	<6.>
e	4,589.	4,368.	221.
f	1,716.	1,663.	53.
g	25,977.	43,509.	<17,532.>
h	256.	390.	<134.>
i	1,841.	3,410.	<1,569.>
j	1,330.	1,648.	<318.>
k	1,637.	1,863.	<226.>
l	6,747.	7,116.	<369.>
m	5,630.	6,009.	<379.>
n	5,211.	5,414.	<203.>
o	4,824.	5,057.	<233.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			204.
b			76.
c			<20.>
d			<6.>
e			221.
f			53.
g			<17,532.>
h			<134.>
i			<1,569.>
j			<318.>
k			<226.>
l			<369.>
m			<379.>
n			<203.>
o			<233.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	TESCO PLC	P	04/29/19	10/21/19
b	TETRA TECH INC NEW	P	06/15/18	09/06/19
c	TETRA TECH INC NEW	P	08/01/17	11/21/19
d	TETRA TECH INC NEW	P	10/05/17	11/21/19
e	TETRA TECH INC NEW	P	10/11/17	11/21/19
f	TETRA TECH INC NEW	P	06/16/18	11/21/19
g	TETRA TECH INC NEW	P	06/22/18	11/21/19
h	TIFFANY & CO NEW	P	08/01/17	12/11/19
i	TIFFANY & CO NEW	P	08/09/17	12/11/19
j	TIFFANY & CO NEW	P	08/15/17	12/11/19
k	TIFFANY & CO NEW	P	08/24/17	12/11/19
l	TIFFANY & CO NEW	P	09/06/17	12/11/19
m	TIFFANY & CO NEW	P	10/05/17	12/11/19
n	TIFFANY & CO NEW	P	03/08/19	12/11/19
o	TORAY INDS INC	P	11/08/17	02/08/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,462.		1,491.	<29.>
b 1,490.		1,036.	454.
c 5,034.		2,895.	2,139.
d 9,229.		5,235.	3,994.
e 11,829.		6,792.	5,037.
f 1,930.		1,364.	566.
g 1,007.		708.	299.
h 4,401.		3,147.	1,254.
i 14,404.		9,834.	4,570.
j 14,271.		9,608.	4,663.
k 7,335.		4,951.	2,384.
l 6,935.		4,834.	2,101.
m 2,801.		1,975.	826.
n 4,801.		3,381.	1,420.
o 1,272.		1,992.	<720.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<29.>
b			454.
c			2,139.
d			3,994.
e			5,037.
f			566.
g			299.
h			1,254.
i			4,570.
j			4,663.
k			2,384.
l			2,101.
m			826.
n			1,420.
o			<720.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	TORAY INDS INC	P	11/08/17	06/07/19
b	TORAY INDS INC	P	11/09/17	06/07/19
c	TORAY INDS INC	P	11/07/17	11/20/19
d	TORAY INDS INC	P	11/09/17	11/20/19
e	TORAY INDS INC	P	11/07/17	11/21/19
f	TORAY INDS INC	P	12/20/17	11/21/19
g	TORAY INDS INC	P	12/20/17	11/22/19
h	TORAY INDS INC	P	02/08/18	11/22/19
i	TORAY INDS INC	P	06/13/18	11/22/19
j	TORONTO DOMINION BK UNSECD	P	05/10/17	11/05/19
k	TORONTO DOMINION BK UNSECD SR	P	05/03/17	08/13/19
l	TOTAL S A	P	02/28/18	01/22/19
m	TOTAL S A	P	06/19/18	01/22/19
n	TOTAL S A	P	07/11/18	01/22/19
o	TOTAL S A	P	08/17/18	01/22/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,514.	2,244.	<730.>
b	2,476.	3,641.	<1,165.>
c	1,505.	2,295.	<790.>
d	6,456.	9,902.	<3,446.>
e	8,619.	13,189.	<4,570.>
f	324.	460.	<136.>
g	2,144.	3,010.	<866.>
h	997.	1,343.	<346.>
i	1,570.	1,930.	<360.>
j	30,000.	30,217.	<217.>
k	20,000.	20,000.	0.
l	9,631.	10,313.	<682.>
m	5,136.	5,744.	<608.>
n	589.	689.	<100.>
o	54.	66.	<12.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<730.>
b			<1,165.>
c			<790.>
d			<3,446.>
e			<4,570.>
f			<136.>
g			<866.>
h			<346.>
i			<360.>
j			<217.>
k			0.
l			<682.>
m			<608.>
n			<100.>
o			<12.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a TOTAL S A		P	10/04/18	01/22/19
b TOTAL S A		P	10/24/18	01/22/19
c TOTAL S A		P	11/10/18	01/22/19
d TOTAL S A		P	09/06/17	02/08/19
e TOTAL S A		P	10/05/17	02/08/19
f TOTAL S A		P	02/28/18	02/08/19
g TOTAL S A		P	02/28/18	02/08/19
h TOTAL S A		P	02/28/18	02/08/19
i TOTAL S A		P	02/28/18	02/08/19
j TOTAL S A		P	03/27/18	04/24/19
k TOTAL S A		P	09/06/17	06/07/19
l TOTAL S A		P	02/28/18	06/07/19
m TOTAL S A		P	03/15/18	06/07/19
n TOTAL S A		P	03/27/18	06/07/19
o TOTAL S A		P	09/06/17	06/11/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 31.		41.	<10.>
b 76.		96.	<20.>
c 54.		64.	<10.>
d 3,144.		3,002.	142.
e 993.		965.	28.
f 8,053.		8,365.	<312.>
g 7,612.		7,907.	<295.>
h 441.		441.	0.
i <8,053.>		<8,365.>	312.
j 28.		28.	0.
k 2,030.		2,001.	29.
l 9,725.		10,428.	<703.>
m 27.		29.	<2.>
n 401.		448.	<47.>
o 491.		474.	17.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<10.>
b			<20.>
c			<10.>
d			142.
e			28.
f			<312.>
g			<295.>
h			0.
i			312.
j			0.
k			29.
l			<703.>
m			<2.>
n			<47.>
o			17.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	TOTAL S A	P	08/01/17	06/12/19
b	TOTAL S A	P	08/09/17	06/12/19
c	TOTAL S A	P	08/15/17	06/12/19
d	TOTAL S A	P	08/24/17	06/12/19
e	TOTAL S A	P	09/06/17	06/12/19
f	TOYOTA MOTOR CORP	P	11/07/17	01/22/19
g	TOYOTA MOTOR CORP	P	11/07/17	01/22/19
h	TOYOTA MOTOR CORP	P	03/29/18	01/22/19
i	TOYOTA MOTOR CORP	P	04/20/18	01/22/19
j	TOYOTA MOTOR CORP	P	08/17/18	01/22/19
k	TOYOTA MOTOR CORP	P	11/03/17	02/08/19
l	TOYOTA MOTOR CORP	P	11/07/17	02/08/19
m	TOYOTA MOTOR CORP	P	11/07/17	06/07/19
n	UBS GROUP AG	P	08/01/17	01/22/19
o	UBS GROUP AG	P	08/01/17	01/22/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 5,190.		4,983.	207.
b 4,173.		3,978.	195.
c 6,474.		6,098.	376.
d 5,778.		5,580.	198.
e 268.		263.	5.
f 1,110.		1,141.	<31.>
g 370.		370.	0.
h 6,291.		6,657.	<366.>
i 493.		570.	<77.>
j 370.		436.	<66.>
k 351.		387.	<36.>
l 3,162.		3,424.	<262.>
m 5,274.		5,453.	<179.>
n 2,546.		3,472.	<926.>
o 168.		168.	0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(j) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			207.
b			195.
c			376.
d			198.
e			5.
f			<31.>
g			0.
h			<366.>
i			<77.>
j			<66.>
k			<36.>
l			<262.>
m			<179.>
n			<926.>
o			0.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	UBS GROUP AG	P	08/09/17	01/22/19
b	UBS GROUP AG	P	08/15/17	01/22/19
c	UBS GROUP AG	P	08/09/17	02/08/19
d	UBS GROUP AG	P	09/20/17	02/08/19
e	UBS GROUP AG	P	10/05/17	02/08/19
f	UBS GROUP AG	P	08/24/17	06/07/19
g	UBS GROUP AG	P	09/20/17	06/07/19
h	UMICORE SA	P	09/15/17	01/22/19
i	UMICORE SA	P	09/15/17	01/22/19
j	UMICORE SA	P	09/20/17	01/22/19
k	UMICORE SA	P	07/26/18	01/22/19
l	UMICORE SA	P	10/02/18	01/22/19
m	UMICORE SA	P	01/18/19	01/22/19
n	UMICORE SA	P	08/01/17	02/08/19
o	UMICORE SA	P	08/20/17	02/08/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,758.	2,332.	<574.>
b	3,308.	4,395.	<1,087.>
c	431.	583.	<152.>
d	114.	151.	<37.>
e	3,889.	5,233.	<1,344.>
f	1,252.	1,771.	<519.>
g	3,509.	4,999.	<1,490.>
h	2,040.	2,112.	<72.>
i	10.	10.	0.
j	1,640.	1,716.	<76.>
k	117.	182.	<65.>
l	117.	166.	<49.>
m	49.	51.	<2.>
n	650.	673.	<23.>
o	10.	10.	0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<574.>
b			<1,087.>
c			<152.>
d			<37.>
e			<1,344.>
f			<519.>
g			<1,490.>
h			<72.>
i			0.
j			<76.>
k			<65.>
l			<49.>
m			<2.>
n			<23.>
o			0.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a UMICORE SA		P	09/15/17	02/08/19
b UMICORE SA		P	08/01/17	06/07/19
c UMICORE SA		P	09/06/17	06/07/19
d UNITED STATES TREAS NT		P	06/30/17	02/04/19
e UNITED STATES TREAS NT		P	02/04/17	10/01/19
f UNITED TECHNOLOGIES CORP		P	03/20/18	03/08/19
g UNITEDHEALTH GROUP INC		P	07/17/18	11/22/19
h VESTAS WIND SYS A/S UTD KINGDOM		P	02/21/19	06/07/19
i VIVENDI SA		P	12/15/17	01/22/19
j VIVENDI SA		P	01/06/18	01/22/19
k VIVENDI SA		P	12/15/17	02/08/19
l VIVENDI SA		P	12/15/17	06/07/19
m VIVENDI SA		P	12/15/17	08/15/19
n VIVENDI SA		P	07/26/18	08/15/19
o VIVENDI SA		P	09/17/18	08/15/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 756.		788.	<32.>
b 1,393.		1,857.	<464.>
c 941.		1,205.	<264.>
d 96,945.		99,750.	<2,805.>
e 51,365.		50,459.	906.
f 82,101.		84,993.	<2,892.>
g 51,192.		50,315.	877.
h 4,966.		5,024.	<58.>
i 9,359.		10,099.	<740.>
j 1,494.		1,686.	<192.>
k 4,602.		4,838.	<236.>
l 7,549.		7,217.	332.
m 18,100.		17,264.	836.
n 305.		264.	41.
o 6,403.		5,829.	574.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<32.>
b			<464.>
c			<264.>
d			<2,805.>
e			906.
f			<2,892.>
g			877.
h			<58.>
i			<740.>
j			<192.>
k			<236.>
l			332.
m			836.
n			41.
o			574.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a VIVENDI SA		P	10/02/18	08/15/19
b VODAFONE GROUP PLC NEW		P	10/23/17	01/22/19
c VODAFONE GROUP PLC NEW		P	10/23/17	01/22/19
d VODAFONE GROUP PLC NEW		P	11/07/17	01/22/19
e VODAFONE GROUP PLC NEW		P	10/23/17	02/08/19
f VODAFONE GROUP PLC NEW		P	09/27/17	02/20/19
g VODAFONE GROUP PLC NEW		P	10/23/17	02/20/19
h VODAFONE GROUP PLC NEW		P	10/24/17	02/20/19
i VODAFONE GROUP PLC NEW		P	07/26/18	02/20/19
j VODAFONE GROUP PLC NEW		P	10/02/18	02/20/19
k WATERS CORP		P	03/08/19	09/06/19
l WELLS FARGO & CO		P	10/27/17	10/04/19
m WELLS FARGO ABSOLUTE RETURN FUND		P	02/13/18	05/24/19
n WELLS FARGO COML MTG TR 2014-		P	06/20/18	04/30/19
o WELLS FARGO COML MTG TR 2014-		P	06/20/18	05/31/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 804.		732.	72.
b 3,334.		5,022.	<1,688.>
c 19.		19.	0.
d 5,423.		8,178.	<2,755.>
e 3,321.		5,311.	<1,990.>
f 19.		29.	<10.>
g 11,761.		18,329.	<6,568.>
h 9,742.		15,169.	<5,427.>
i 278.		352.	<74.>
j 407.		464.	<57.>
k 43,611.		47,611.	<4,000.>
l 50,853.		49,889.	964.
m 200,000.		210,701.	<10,701.>
n 1.		1.	0.
o 1,133.		1,141.	<8.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			72.
b			<1,688.>
c			0.
d			<2,755.>
e			<1,990.>
f			<10.>
g			<6,568.>
h			<5,427.>
i			<74.>
j			<57.>
k			<4,000.>
l			964.
m			<10,701.>
n			0.
o			<8.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	WELLS FARGO COML MTG TR 2014-	P	06/20/18	06/30/19
b	WELLS FARGO COML MTG TR 2014-	P	06/20/18	07/31/19
c	WELLS FARGO COML MTG TR 2014-	P	06/20/18	08/31/19
d	WELLS FARGO COML MTG TR 2014-	P	06/20/18	09/30/19
e	WELLS FARGO COML MTG TR 2014-	P	06/20/18	10/31/19
f	WELLS FARGO COML MTG TR 2014-	P	06/20/18	11/30/19
g	WELLS FARGO COML MTG TR 2014-	P	06/20/18	12/31/19
h	WHITBREAD PLC	P	05/08/18	01/22/19
i	WHITBREAD PLC	P	01/18/19	01/22/19
j	WHITBREAD PLC	P	05/08/18	02/08/19
k	WHITBREAD PLC	P	05/08/18	06/07/19
l	WHITBREAD PLC	P	03/07/19	06/07/19
m	WISDOMTREE INVTS INC	P	08/01/17	05/21/19
n	WISDOMTREE INVTS INC	P	08/09/17	05/21/19
o	WISDOMTREE INVTS INC	P	08/15/17	05/21/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,238.	1,247.	<9.>
b	1,117.	1,125.	<8.>
c	1,121.	1,130.	<9.>
d	1,226.	1,235.	<9.>
e	1,131.	1,140.	<9.>
f	1,236.	1,246.	<10.>
g	1,141.	1,150.	<9.>
h	12,466.	11,494.	972.
i	713.	704.	9.
j	2,789.	2,556.	233.
k	5,563.	5,375.	188.
l	76.	81.	<5.>
m	1,510.	2,451.	<941.>
n	1,278.	1,886.	<608.>
o	1,549.	2,229.	<680.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<9.>
b			<8.>
c			<9.>
d			<9.>
e			<9.>
f			<10.>
g			<9.>
h			972.
i			9.
j			233.
k			188.
l			<5.>
m			<941.>
n			<608.>
o			<680.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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THE IRVING AND PHYLLIS MILLSTEIN
FOUNDATION FOR ANIMAL WELFARE LTD.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a WISDOMTREE INVTS INC		P	08/24/17	05/21/19
b WISDOMTREE INVTS INC		P	09/06/17	05/21/19
c WISDOMTREE INVTS INC		P	09/15/17	05/21/19
d WISDOMTREE INVTS INC		P	09/20/17	05/21/19
e WISDOMTREE INVTS INC		P	10/05/17	05/21/19
f WISDOMTREE INVTS INC		P	10/11/17	05/21/19
g WISDOMTREE INVTS INC		P	12/27/18	05/21/19
h WISDOMTREE INVTS INC		P	01/02/19	05/21/19
i ZOETIS INC		P	12/26/18	03/08/19
j 3M CO		P	08/01/17	09/06/19
k 3M CO		P	08/09/17	09/06/19
l 3M CO		P	08/15/17	09/06/19
m 3M CO		P	08/24/17	09/06/19
n 3M CO		P	09/06/17	09/06/19
o 3M CO		P	03/08/19	09/06/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,007.		1,408.	<401.>
b 2,543.		3,520.	<977.>
c 3,802.		5,414.	<1,612.>
d 2,472.		3,417.	<945.>
e 2,446.		3,973.	<1,527.>
f 1,943.		3,167.	<1,224.>
g 110.		111.	<1.>
h 200.		205.	<5.>
i 64,516.		56,143.	8,373.
j 27,145.		33,678.	<6,533.>
k 30,905.		38,903.	<7,998.>
l 26,000.		32,923.	<6,923.>
m 15,698.		19,425.	<3,727.>
n 15,535.		19,163.	<3,628.>
o 2,780.		3,394.	<614.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(j) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<401.>
b			<977.>
c			<1,612.>
d			<945.>
e			<1,527.>
f			<1,224.>
g			<1.>
h			<5.>
i			8,373.
j			<6,533.>
k			<7,998.>
l			<6,923.>
m			<3,727.>
n			<3,628.>
o			<614.>

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	}	3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a ROUNDING		P		
b CAPITAL GAINS DIVIDENDS				
c				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a		20.	<20.>
b 23,235.			23,235.
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<20.>
b			23,235.
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	17,766.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A