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Form **990-PF** Department of the Treasury Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public

▶ Go to www.irs.gov/Form990PF for instructions and the latest information

OMB No 1545-0047 **2019** Open to Public Inspection

For calendar year 2019 or tax year beginning , and ending

Name of foundation
PETRIE, MARGARET SPAYING & NEUTERING PRI NEUTERING PRIVATE FOUNDATION

A Employer identification number: 81-0793232

Number and street (or P O box number if mail is not delivered to street address): C/O BETH TILLMAN, TRUSTEE | Room/suite

B Telephone number: 9194021740

City or town, state or province, country, and ZIP or foreign postal code: CHAPEL HILL, NC 27514

C If exemption application is pending check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 8,670,161.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d), must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c) and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc, received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	220,305.	220,305.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	154,383.			
	b Gross sales price for all assets on line 6a 2,633,712.				
	7 Capital gain net income (from Part IV, line 2)		154,383.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	43.	43.		STATEMENT 2
	12 Total Add lines 1 through 11	374,731.	374,731.		
Operating and Administrative Expenses	13 Compensation of officers directors trustees etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 3	41,855.	0.		41,855.
	b Accounting fees STMT 4	12,995.	0.		12,995.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 5	<3,855.>	5,384.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 6	88,788.	86,461.		0.
	24 Total operating and administrative expenses Add lines 13 through 23	139,783.	91,845.		54,850.
	25 Contributions, gifts, grants paid	366,672.			366,672.
	26 Total expenses and disbursements Add lines 24 and 25	506,455.	91,845.		421,522.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	<131,724.>			
	b Net investment income (if negative, enter -0-)		282,886.		
	c Adjusted net income (if negative enter -0-)			N/A	

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923501 12-17-19 LHA For Paperwork Reduction Act Notice, see instructions Form **990-PF** (2019)

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	16,001.	2,745.	2,745.
	2 Savings and temporary cash investments	209,679.	245,254.	245,254.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations STMT 7	937,140.	791,366.	819,110.
	b Investments - corporate stock STMT 8	5,277,304.	5,427,980.	6,667,100.
	c Investments - corporate bonds STMT 9	1,012,060.	872,127.	908,075.
	11 Investments - land, buildings and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 10	24,183.	11,979.	27,877.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	7,476,367.	7,351,451.	8,670,161.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29, and 30			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ [X] and complete lines 26 through 30			
	26 Capital stock, trust principal, or current funds	0.	0.	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	28 Retained earnings, accumulated income, endowment, or other funds	7,476,367.	7,351,451.	
	29 Total net assets or fund balances	7,476,367.	7,351,451.	
	30 Total liabilities and net assets/fund balances	7,476,367.	7,351,451.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	7,476,367.
2	Enter amount from Part I, line 27a	2	<131,724.>
3	Other increases not included in line 2 (itemize) ▶ PARTNERSHIP ADJUSTMENT TO BASIS	3	6,808.
4	Add lines 1, 2, and 3	4	7,351,451.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	7,351,451.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	PUBLICLY TRADED SECURITIES			
b	CAPITAL GAINS DIVIDENDS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a	2,624,186.		2,479,329.	144,857.
b	9,526.			9,526.
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				144,857.
b				9,526.
c				
d				
e				

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	154,383.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	534,565.	8,365,934.	.063898
2017	245,773.	8,157,744.	.030128
2016	0.	7,704,047.	.000000
2015			
2014			

2 **Total** of line 1, column (d)	2	.094026
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.031342
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	8,195,097.
5 Multiply line 4 by line 3	5	256,851.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,829.
7 Add lines 5 and 6	7	259,680.
8 Enter qualifying distributions from Part XII, line 4	8	421,522.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	2,829.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	0.
3	Add lines 1 and 2		3	2,829.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	0.
5	**Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0-		5	2,829.
6	Credits/Payments.			
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a 5,929.		
b	Exempt foreign organizations - tax withheld at source	6b 0.		
c	Tax paid with application for extension of time to file (Form 8868)	6c 0.		
d	Backup withholding erroneously withheld	6d 0.		
7	Total credits and payments Add lines 6a through 6d		7	5,929.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	0.
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	3,100.
11	Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ▶	3,100. **Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
	If "Yes," attach a detailed description of the activities			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
	If "Yes," attach the statement required by *General Instruction T*			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered See instructions ▶ NC			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ FDNWEB.ORG/PETRIE/

14 The books are in care of ▶ SARAH ELIZABETH TILLMAN Telephone no ▶919-402-1740

Located at ▶501 EASTOWNE DR., STE. 130, CHAPEL HILL, NC ZIP+4 ▶27514

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year, did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions N/A	1b		
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.) N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a During the year, did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	N/A	5b		
Organizations relying on a current notice regarding disaster assistance, check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes ☒ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SARAH ELIZABETH TILLMAN 510 EASTOWNE DRIVE, SUITE 130 CHAPEL HILL, NC 27514	TRUSTEE 4.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	8,025,748.
b	Average of monthly cash balances	1b	294,147.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	8,319,895.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	8,319,895.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	124,798.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	8,195,097.
6	**Minimum investment return** Enter 5% of line 5	6	409,755.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	409,755.
2a	Tax on investment income for 2019 from Part VI, line 5 — 2a 2,829.		
b	Income tax for 2019. (This does not include the tax from Part VI) — 2b		
c	Add lines 2a and 2b	2c	2,829.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	406,926.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	406,926.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	406,926.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes.		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	421,522.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	421,522.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	2,829.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	418,693.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				406,926.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			284,503.	
b Total for prior years ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ $ 421,522.				
a Applied to 2018, but not more than line 2a			284,503.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				137,019.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 **Enter the net total of each column as indicated below**				
a Corpus Add lines 3f, 4c and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2018. Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				269,907.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2020** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9.				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc , to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

SEE STATEMENT 11

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ANIMAL PROTECTION SOCIETY OF DURHAM 2117 EAST CLUB BOULEVARD DURHAM, NC 27704	NONE	NC	SUPPORT SPAYING AND NEUTERING THROUGH VOUCHER PROGRAMS	38,567.
CALVINS CLAWS 8311 BRIER CREEK PARKWAY, SUITE 105-418 RALEIGH, NC 27619	NONE	PC	SUPPORT SPAYING AND NEUTERING PROGRAMS FOR CATS	15,000.
CHATHAM ANIMAL RESCUE AND EDUCATION P.O. BOX 610 PITTSBORO, NC 27312	NONE	NC	SUPPORT SPAYING AND NEUTERING PROGRAMS	21,910.
COALITION TO UNCHAIN DOGS (BEYOND FENCES, INC.) P.O. BOX 3259 DURHAM, NC 27715	NONE	NC	SUPPORT SPAYING AND NEUTERING TO DOGS AND CATS	25,000.
COMMUNITY PARTNERSHIP FOR PETS, INC. P.O. BOX 1021 FLAT ROCK, NC 28731	NONE	NC	SUPPORT SPAYING AND NEUTERING PROGRAMS	50,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	366,672.
b *Approved for future payment* NONE				
Total			▶ 3b	0.

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	220,305.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			18	2,014.	
8 Gain or (loss) from sales of assets other than inventory			18	154,383.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue.					
a SEE STATEMENT 12				<1,971.>	
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		374,731.	0.

13 **Total** Add line 12, columns (b), (d), and (e) 13 374,731.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of.			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers transactions and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury I declare that I have examined this return, including accompanying schedules and statements and to the best of my knowledge and belief it is true correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Beth Tillman, Trustee | 10/12/2020 | TRUSTEE

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below? See instr ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self- employed	PTIN
DAVID L. HUNTOON	DAVID L. HUNTOON	10/02/20		P00078294

Firm's name ▶ COLEMAN HUNTOON & BROWN PLLC — Firm's EIN ▶ 56-1422914

Firm's address ▶ 100 EUROPA DRIVE, SUITE 445 CHAPEL HILL, NC 27517 — Phone no (919) 968-4911

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HUMANE SOCIETY OF THE PIEDMONT 4527 W. WENDOVER AVENUE GREENSBORO, NC 27409	NONE	NC	SUPPORT SPAYING AND NEUTERING PROGRAMS	9,000.
HUMANE SOLUTION SPAY NEUTER P.O. BOX 20712 WINSTON SALEM, NC 27120	NONE	NC	SUPPORT SPAYING AND NEUTERING PROGRAMS	5,000.
INDEPENDENT ANIMAL RESCUE P.O. BOX 14232 DURHAM, NC 27709	NONE	NC	SUPPORT SPAYING AND NEUTERING PROGRAMS	15,000.
ORANGE COUNTY ANIMAL SHELTER 1601 EUBANKS ROAD CHAPEL HILL, NC 27514	NONE	GOV	SUPPORT SPAYING AND NEUTERING PROGRAMS	17,720.
PAWS 4 EVER 6311 NICKS ROAD MEBANE, NC 27302	NONE	NC	SUPPORT SPAYING AND NEUTERING PROGRAMS FOR DOGS AND CATS	10,000.
SAFE HAVEN FOR CATS 8431-137 GARVEY DRIVE RALEIGH, NC 27616	NONE	NC	SUPPORT SPAYING AND NEUTERING PROGRAMS FOR CATS	35,000.
BLIND CATS 3101 E. GREAT MARSH CHURCH ROAD ST. PAULS, NC 28384	NONE	NC	SUPPORT SPAYNG AND NEUTERING PROGRAMS	25,000.
BLACK DOG CLUB 1000 FREEDOM RD. SMITHFIELD, NC 27577	NONE	PC	SUPPORT SPAYING AND NEUTERING PROGRAMS	9,375.
BROTHER WOLF ANIMAL RESCUE 31 GLENDALE AVE ASHEVILLE, NC 28803	NONE	NC	SUPPORT SPAYING AND NEUTERING PROGRAMS	10,000.
CAT ANGELS PET ADOPTIONS 959 N HARRISON AVE. CARY, NC 27513	NONE	PC	SUPPORT SPAYING AND NEUTERING PROGRAMS	3,100.
Total from continuation sheets				216,195.

923631
04-01-19

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JOHNSON COUNTY ANIMAL PROTECTION LEAGUE PO BOX 607 SMITHFIELD, NC 27577	NONE	PC	SUPPORT SPAYING AND NEUTERING PROGRAMS	5,000.
PAWS FOR LIFE ANIMAL RESCUE PO BOX 338 YOUNGSVILLE, NC 27596	NONE	PC	SUPPORT SPAYING AND NEUTERING PROGRAMS	14,000.
PEAK LAB RESCUE PO BOX 2184 APEX, NC 27502	NONE	PF	SUPPORT SPAYING AND NEUTERING PROGRAMS	2,500.
SAVING GRACE 13400 OLD CREEDMOOR RD WAKE FOREST, NC 27587	NONE	PC	SUPPORT SPAYING AND NEUTERING PROGRAMS	18,000.
HAPPY HILLS ANIMAL FOUNDATION 3143 HAPPY HILLS DRIVE STALEY, NC 27355	NONE	NC	SUPPORT SPAYING AND NEUTERING PROGRAMS	12,500.
COMPANION ANIMAL CLINIC OF THE SANDHILLS PO BOX 148 SOUTHERN PINES, NC 28388	NONE	NC	SUPPORT SPAYING AND NEUTERING PROGRAMS	15,000.
HUMANE SOCIETY OF GRANVILLE COUNTY PO BOX 385 BUTNER, NC 27509	NONE	NC	SUPPORT SPAYING AND NEUTERING PROGRAMS	10,000.
Total from continuation sheets				

923631
04-01-19

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
DEPT OF THE TREASURY - IRS	118.	0.	118.	118.	
ENTERPRISE PRODUCTS PARTNERS	5.	0.	5.	5.	
MPLX LP - DIVIDENDS	16.	0.	16.	16.	
UBS - ACCRUED INTEREST PAID	<2,293.>	0.	<2,293.>	<2,293.>	
UBS - ACCRUED INTEREST PAID ON TREASURY OBLIGATIONS	<1,143.>	0.	<1,143.>	<1,143.>	
~~UBS - BOND PREMIUM~~	~~<5,845.>~~	~~0.~~	~~<5,845.>~~	~~<5,845.>~~	
UBS - BOND PREMIUM ON TREASURY OBLIGATIONS	<80.>	0.	<80.>	<80.>	
UBS - CAPITAL GAIN DISTRIBUTIONS	9,526.	9,526.	0.	0.	
UBS - DIVIDENDS	159,358.	0.	159,358.	159,358.	
UBS - INTEREST	52,612.	0.	52,612.	52,612.	
UBS - INTEREST 08158	313.	0.	313.	313.	
UBS - INTEREST ON US TREASURIES	17,232.	0.	17,232.	17,232.	
UBS - MARKET DISCOUNT	12.	0.	12.	12.	
TO PART I, LINE 4	229,831.	9,526.	220,305.	220,305.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
UBS - DEFERRED INCOME	474.	474.	
UBS - OTHER INCOME	1,540.	1,540.	
K-1: ENTERPRISE PRODUCTS PARTNERS L.P. - ORDINARY LOSS	<2,015.>	<2,015.>	
K-1: ENTERPRISE PRODUCTS PARTNERS L.P. - SECTION 1231 LOSS	<19.>	<19.>	
K-1: MPLX LP - NET SHORT-TERM CAPITAL GAIN	63.	63.	
TOTAL TO FORM 990-PF, PART I, LINE 11	43.	43.	

FORM 990-PF	LEGAL FEES			STATEMENT 3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	41,855.	0.		41,855.
TO FM 990-PF, PG 1, LN 16A	41,855.	0.		41,855.

FORM 990-PF	ACCOUNTING FEES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	12,995.	0.		12,995.
TO FORM 990-PF, PG 1, LN 16B	12,995.	0.		12,995.

FORM 990-PF	TAXES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES WITHHELD ON INVESTMENT INCOME	5,384.	5,384.		0.
FEDERAL SECTION 4940 TAXES	8,200.	0.		0.
FEDERAL SECTION 4942 TAX REFUNDS	<17,438.>	0.		0.
FEDERAL SECTION 4940 TAX REFUND	<1.>	0.		0.
TO FORM 990-PF, PG 1, LN 18	<3,855.>	5,384.		0.

FORM 990-PF — OTHER EXPENSES — STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
UBS FEES MANAGEMENT FEES	85,395.	85,395.		0.
PARTNERSHIP - NONDEDUCTIBLE DEDUCTIONS	5.	0.		0.
UBS INVESTMENT FEES	1,066.	1,066.		0.
OTHER DEDUCTIONS	2,280.	0.		0.
BANK FEES	42.	0.		0.
TO FORM 990-PF, PG 1, LN 23	88,788.	86,461.		0.

FORM 990-PF — U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS — STATEMENT 7

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
UBS FIXED INCOME - GOVERNMENT SECURITIES	X		791,366.	819,110.
TOTAL U.S. GOVERNMENT OBLIGATIONS			791,366.	819,110.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			791,366.	819,110.

FORM 990-PF — CORPORATE STOCK — STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
UBS EQUITIES - COMMON STOCK - SEE ATTACHMENT	3,853,167.	5,085,881.
UBS FIXED INCOME - ASSET BACKED SECURITIES - SEE ATTACHMENT	447,026.	445,065.
UBS FIXED INCOME - CLOSED END FUNDS - SEE ATTACHMENT	85,529.	85,607.
UBS FIXED INCOME - MUTUAL FUNDS - SEE ATTACHMENT	1,042,258.	1,050,547.
TOTAL TO FORM 990-PF, PART II, LINE 10B	5,427,980.	6,667,100.

FORM 990-PF CORPORATE BONDS STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
UBS FIXED INCOME - CORPORATE BONDS AND NOTES - SEE ATTACHMENT	872,127.	908,075.
TOTAL TO FORM 990-PF, PART II, LINE 10C	872,127.	908,075.

FORM 990-PF OTHER INVESTMENTS STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
UBS - ENTERPRISE PRODUCTS PARTNER LP MLP	COST	10,403.	26,301.
UBS - INVESTMENTS INCOME IN TRANSIT	COST	1,576.	1,576.
TOTAL TO FORM 990-PF, PART II, LINE 13		11,979.	27,877.

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 11
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

THE PETRIE FOUNDATION
501 EASTOWNE DR., STE. 130
CHAPEL HILL, NC 27514

TELEPHONE NUMBER

919-402-1740

EMAIL ADDRESS

INFO@PETRIEFOUNDATION.ORG

FORM AND CONTENT OF APPLICATIONS

TO APPLY FOR GRANTS, APPLICANTS SHOULD SUBMIT A LETTER (1-2 PAGES) OF INQUIRY BY EMAIL. THE LETTER SHOULD CONTAIN A BRIEF STATEMENT DESCRIBING THE ORGANIZATION AND A DESCRIPTION OF THE PROJECT FOR WHICH FUNDS ARE BEING REQUESTED. INCLUDE COPY OF OPERATING BUDGET, BUDGET FOR THE PROJECT SPECIFIC REQUEST, DESCRIPTION OF YOUR ORGANIZATIONS MAJOR SOURCES OF FUNDING CURRENTLY AND IN THE PAST, AND A COPY OF IRS DETERMINATION LETTER SHOWING EXEMPTION STATUS.

ANY SUBMISSION DEADLINES

SUBMISSION DEADLINE IS MAY 1.

RESTRICTIONS AND LIMITATIONS ON AWARDS

GRANTS ARE GENERALLY MADE BETWEEN $1,000 AND $20,000, ALTHOUGH REQUESTS OUTSIDE EITHER RANGE WILL BE CONSIDERED. GRANTS RESTRICTED TO ORGANIZATIONS WHICH PROVIDE SPAYING AND NEUTERING SERVICES.

FORM 990-PF OTHER REVENUE STATEMENT 12

DESCRIPTION	BUS CODE	UNRELATED BUSINESS INC	EXCL CODE	EXCLUDED AMOUNT	RELATED OR EXEMPT FUNC-TION INCOME
S Y			18	<2,015.>	
S			18	<19.>	
N			18	63.	
TOTAL TO FORM 990-PF, PG 12, LN 11				<1,971.>	

UBS Strategic Wealth Portfolio
December 2019

Account name: THE MARGARET T PETRIE
Friendly account name: Petrie Pvt Fdn
Account number: EA 07913 LO

Your Financial Advisor:
LIVE OAK WEALTH MANAGEMENT
919-967-2451/800-905-3009

Your assets (continued)

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ABBVIE INC COM								
Symbol ABBV Exchange NYSE								
EAI $1,128 Current yield 5 33%	Sep 16, 19	236 000	70 743	16,695 47	88 540	20,895 44	4,199 97	ST
	Oct 31, 19	3 000	79 156	237 47	88 540	265 62	28 15	ST
Security total		239 000	70 849	16,932 94		21,161 06	4,228 12	
ACI WORLDWIDE INC								
Symbol ACIW Exchange OTC	Dec 27, 16	183 000	18 335	3,355 38	37 885	6,932 95	3,577 57	LT
	Mar 6, 18	33 000	24 881	821 08	37 885	1,250 20	429 12	LT
	Mar 7, 18	74 000	25 705	1,902 23	37 885	2,803 49	901 26	LT
	Jan 9, 19	9 000	27 750	249 75	37 885	340 96	91 21	ST
Security total		299 000	21 165	6,328 44		11,327 61	4,999 16	
ACUSHNET HLDGS CORP								
Symbol GOLF Exchange NYSE								
EAI $213 Current yield 1 72%	May 16, 17	16 000	19 620	313 92	32 500	520 00	206 08	LT
	May 17, 17	17 000	19 097	324 65	32 500	552 50	227 85	LT
	Jun 29, 17	3 000	20 006	60 02	32 500	97 50	37 48	LT
	Jul 5, 17	13 000	19 896	258 66	32 500	422 50	163 84	LT
	Jul 6, 17	19 000	19 838	376 93	32 500	617 50	240 57	LT
	Jul 7, 17	12 000	19 897	238 77	32 500	390 00	151 23	LT
	Jul 10, 17	14 000	19 821	277 50	32 500	455 00	177 50	LT
	Jul 11, 17	6 000	19 275	115 65	32 500	195 00	79 35	LT
	Jul 12, 17	10 000	19 489	194 89	32 500	325 00	130 11	LT
	Jul 13, 17	4 000	19 512	78 05	32 500	130 00	51 95	LT
	Jul 14, 17	4 000	19 602	78 41	32 500	130 00	51 59	LT
	Jul 20, 17	31 000	19 805	613 96	32 500	1,007 50	393 54	LT
	Jul 21, 17	67 000	18 713	1,253 78	32 500	2,177 50	923 72	LT
	Jul 24, 17	29 000	18 724	543 00	32 500	942 50	399 50	LT
	Jul 25, 17	61 000	18 701	1,140 79	32 500	1,982 50	841 71	LT
	Mar 8, 18	23 000	22 554	518 76	32 500	747 50	228 74	LT
	Mar 9, 18	28 000	22 959	642 87	32 500	910 00	267 13	LT

continued next page

UBS Strategic Wealth Portfolio
December 2019

Account name: THE MARGARET T PETRIE
Friendly account name: Petrie Pvt Fdn
Account number: EA 07913 LC

Your Financial Advisor.
LIVE OAK WEALTH MANAGEMENT
919-967-2451/800-905-3009

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jan 4, 19	23 000	21 804	501 50	32 500	747 50	246 00	ST
Security total		380 000	19 821	7,532 11		12,350 00	4,817 89	
ADVANCED ENERGY INDUSTRIES INC								
Symbol AEIS Exchange OTC	Apr 20, 16	39 000	32 528	1,268 63	71 200	2,776 80	1,508 17	LT
	Apr 21, 16	4 000	32 902	131 61	71 200	284 80	153 19	LT
	May 5, 16	44 000	34 649	1,524 59	71 200	3,132 80	1,608 21	LT
	May 6, 16	1 000	34 030	34 03	71 200	71 20	37 17	LT
	Jan 9, 19	1 000	44 580	44 58	71 200	71 20	26 62	ST
Security total		89 000	33 747	3,003 44		6,336 80	3,333 36	
AES CORP								
Symbol AES Exchange NYSE								
EAI $962 Current yield 2 88%	Jan 19, 18	501 000	11 731	5,877 61	19 900	9,969 90	4,092 29	LT
	Jan 22, 18	616 000	11 616	7,155 52	19 900	12,258 40	5,102 88	LT
	Feb 5, 19	7 000	17 012	119 09	19 900	139 30	20 21	ST
	May 13, 19	8 000	15 950	127 60	19 900	159 20	31 60	ST
	Jun 6, 19	518 000	16 935	8,772 74	19 900	10,308 20	1,535 46	ST
	Jul 31, 19	13 000	17 088	222 15	19 900	258 70	36 55	ST
	Oct 31, 19	16 000	17 038	272 61	19 900	318 40	45 79	ST
Security total		1,679 000	13 429	22,547 32		33,412 10	10,864 78	
AGNC INVT CORP REIT								
Symbol AGNC Exchange OTC								
EAI $2,093 Current yield 10 86%	Sep 20, 19	1,090 000	16 206	17,664 76	17 680	19,271 20	1,606 44	ST
AGREE REALTY CORP								
Symbol ADC Exchange NYSE								
EAI $166 Current yield 3 33%	Oct 5, 15	29 000	28 861	836 99	70 170	2,034 93	1,197 94	LT
	Dec 2, 15	3 000	32 503	97 51	70 170	210 51	113 00	LT
	Jan 5, 16	3 000	33 076	99 23	70 170	210 51	111 28	LT
	Jan 22, 16	12 000	32 756	393 08	70 170	842 04	448 96	LT
	Mar 20, 18	21 000	46 771	982 20	70 170	1,473 57	491 37	LT
	Mar 21, 18	3 000	46 736	140 21	70 170	210 51	70 30	LT
Security total		71 000	35 905	2,549 22		4,982 07	2,432 85	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
AIA GROUP LTD SPON ADR								
Symbol AAGIY Exchange OTC								
EAI $350 Current yield 1 31%	Mar 10, 17	437 000	25 905	11,320 88	42 090	18,393 33	7,072 45	LT
	May 15, 18	197 000	37 190	7,326 43	42 090	8,291 73	965 30	LT
Security total		634 000	29 412	18,647 31		26,685 06	8,037 75	
AIMMUNE THERAPEUTICS INC								
Symbol AIMT Exchange OTC	Jul 31, 19	67 000	19 676	1,318 30	33 470	2,242 49	924 19	ST
	Aug 1, 19	49 000	19 433	952 22	33 470	1,640 03	687 81	ST
	Aug 2, 19	42 000	19 035	799 48	33 470	1,405 74	606 26	ST
	Aug 5, 19	68 000	18 637	1,267 36	33 470	2,275 96	1,008 60	ST
	Aug 6, 19	19 000	19 204	364 89	33 470	635 93	271 04	ST
	Oct 15, 19	99 000	24 892	2,464 35	33 470	3,313 53	849 18	ST
	Oct 16, 19	27 000	25 242	681 56	33 470	903 69	222 13	ST
Security total		371 000	21 154	7,848 16		12,417 37	4,569 21	
AIR LIQUIDE ADR FRANCE ADR								
Symbol AIQUY Exchange OTC								
EAI $69 Current yield 1 44%	May 15, 18	171 000	23 379	3,997 81	28 050	4,796 55	798 74	LT
AIRBUS SE UNSPONSORED ADR								
Symbol EADSY Exchange OTC								
EAI $255 Current yield 0 95%	Dec 22, 16	409 000	16 315	6,672 84	36 750	15,030 75	8,357 91	LT
	Jul 25, 18	193 000	30 950	5,973 54	36 750	7,092 75	1,119 21	LT
	Jan 17, 19	130 000	26 157	3,400 44	36 750	4,777 50	1,377 06	ST
Security total		732 000	21 922	16,046 82		26,901 00	10,854 18	
ALASKA AIR GROUP INC								
Symbol ALK Exchange NYSE								
EAI $239 Current yield 2 06%	Jul 6, 16	8 000	58 375	467 00	67 750	542 00	75 00	LT
	Jul 21, 16	35 000	65 151	2,280 30	67 750	2,371 25	90 95	LT
	Sep 25, 17	16 000	74 756	1,196 11	67 750	1,084 00	-112 11	LT
	Nov 7, 17	40 000	63 339	2,533 57	67 750	2,710 00	176 43	LT
	Nov 20, 17	25 000	65 286	1,632 17	67 750	1,693 75	61 58	LT
	Dec 6, 17	31 000	68 243	2,115 56	67 750	2,100 25	-15 31	LT
	Feb 9, 18	8 000	64 146	513 17	67 750	542 00	28 83	LT
	Sep 18, 19	8 000	64 432	515 46	67 750	542 00	26 54	ST

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UBS Strategic Wealth Portfolio
December 2019

Account name THE MARGARET T PETRIE
Friendly account name: Petrie Pvt Fdn
Account number: EA 07913 LO

Your Financial Advisor.
LIVE OAK WEALTH MANAGEMENT
919-967-2451/800-905-3009

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		171 000	65 809	11,253 34		11,585 25	331 91	
ALBANY INTL CORP NEW CL A								
Symbol AIN Exchange NYSE								
EAI $44 Current yield 1 00%	Aug 16, 17	14 000	51 477	720 68	75 920	1,062 88	342 20	LT
	Aug 17, 17	26 000	50 689	1,317 92	75 920	1,973 92	656 00	LT
	May 31, 18	18 000	61 640	1,109 52	75 920	1,366 56	257 04	LT
Security total		58 000	54 278	3,148 12		4,403 36	1,255 24	
ALCON INC CHF								
Symbol ALC Exchange NYSE								
CHF Exchange rate 0 96835	Dec 19, 16	14 000	43 940	615 17	56 570	791 98	176 81	LT
	Dec 19, 16	4 000	43 940	175 76	56 570	226 28	50 52	LT
	Dec 19, 16	4 000	43 942	175 77	56 570	226 28	50 51	LT
Security total		22 000	43 941	966 70		1,244 54	277 84	
ALIBABA GROUP HLDG LTD SPON ADR								
Symbol BABA Exchange NYSE	Oct 5, 15	372 000	63 696	23,695 25	212 100	78,901 20	55,205 95	LT
ALLETE INC NEW								
Symbol ALE Exchange NYSE								
EAI $235 Current yield 2 90%	Oct 5, 15	43 000	50 635	2,177 31	81 170	3,490 31	1,313 00	LT
	Dec 2, 15	4 000	49 730	198 92	81 170	324 68	125 76	LT
	Jan 5, 16	5 000	49 830	249 15	81 170	405 85	156 70	LT
	Jan 22, 16	15 000	49 266	739 00	81 170	1,217 55	478 55	LT
	May 6, 16	3 000	56 030	168 09	81 170	243 51	75 42	LT
	Jan 26, 18	15 000	72 671	1,090 07	81 170	1,217 55	127 48	LT
	Aug 14, 18	15 000	78 173	1,172 60	81 170	1,217 55	44 95	LT
Security total		100 000	57 951	5,795 14		8,117 00	2,321 86	
ALLIANT ENERGY CORP								
Symbol LNT Exchange OTC								
EAI $294 Current yield 2 60%	Oct 5, 15	76 000	29 402	2,234 58	54 720	4,158 72	1,924 14	LT
	Jan 5, 16	12 000	31 170	374 04	54 720	656 64	282 60	LT
	Jan 22, 16	38 000	31 204	1,185 76	54 720	2,079 36	893 60	LT
	May 6, 16	6 000	35 950	215 70	54 720	328 32	112 62	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Apr 18, 18	23 000	42 237	971 46	54 720	1,258 56	287 10	LT
	Jun 19, 18	19 000	40 936	777 80	54 720	1,039 68	261 88	LT
	Jun 20, 18	33 000	41 076	1,355 51	54 720	1,805 76	450 25	LT
Security total		207 000	34 371	7,114 85		11,327 04	4,212 19	
ALPHABET INC CL A Symbol GOOGL Exchange OTC	Oct 12, 15	30 000	674 220	20,226 60	1,339 390	40,181 70	19,955 10	LT
ALPHABET INC CL C Symbol GOOG Exchange OTC	Oct 12, 15	30 000	644 759	19,342 79	1,337 020	40,110 60	20,767 81	LT
AMAZON COM INC Symbol AMZN Exchange OTC	Oct 5, 15	35 000	544 330	19,051 55	1,847 840	64,674 40	45,622 85	LT
	Feb 10, 16	9 000	491 170	4,420 53	1,847 840	16,630 56	12,210 03	LT
Security total		44 000	533 456	23,472 08		81,304 96	57,832 88	
AMCOR PLC Symbol AMCR Exchange NYSE EAI $141 Current yield 4 34%	May 15, 18	300 000	10 350	3,105 00	10 840	3,252 00	147 00	LT
AMDOCS LTD GBP Symbol DOX Exchange OTC EAI $105 Current yield 1 58% GBP Exchange rate 0 75485	May 24, 19	17 000	60 501	1,028 53	72 190	1,227 23	198 70	ST
	May 28, 19	24 000	60 115	1,442 78	72 190	1,732 56	289 78	ST
	May 29, 19	30 000	59 075	1,772 25	72 190	2,165 70	393 45	ST
	Aug 19, 19	6 000	64 288	385 73	72 190	433 14	47 41	ST
	Aug 20, 19	15 000	64 552	968 29	72 190	1,082 85	114 56	ST
Security total		92 000	60 843	5,597 58		6,641 48	1,043 90	
AMER EXPRESS CO Symbol AXP Exchange NYSE EAI $478 Current yield 1 38%	Dec 19, 16	11 000	74 456	819 02	124 490	1,369 39	550 37	LT
	Dec 19, 16	6 000	74 455	446 73	124 490	746 94	300 21	LT
	Dec 19, 16	6 000	74 456	446 74	124 490	746 94	300 20	LT
	Jan 3, 17	226 000	75 112	16,975 46	124 490	28,134 74	11,159 28	LT
Security total		249 000	75 052	18,687 95		30,998 01	12,310 06	

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UBS Strategic Wealth Portfolio
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Account name THE MARGARET T PETRIE
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919-967-2451/800-905-3009

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
AMERISOURCEBERGEN CORP								
Symbol ABC Exchange NYSE								
EAI $400 Current yield 1 88%	Sep 6, 18	90 000	88 584	7,972 62	85 020	7,651 80	-320 82	LT
	Sep 11, 18	100 000	84 289	8,428 95	85 020	8,502 00	73 05	LT
	Dec 31, 18	60 000	74 551	4,473 11	85 020	5,101 20	628 09	LT
Security total		250 000	83 499	20,874 68		21,255 00	380 32	
AMGEN INC								
Symbol AMGN Exchange OTC								
EAI $653 Current yield 2 66%	Oct 5, 15	102 000	143 230	14,609 46	241 070	24,589 14	9,979 68	LT
AON PLC								
Symbol AON Exchange NYSE								
EAI $53 Current yield 0 85%	Nov 13, 18	7 000	162 902	1,140 32	208 290	1,458 03	317 71	LT
	May 30, 19	23 000	181 398	4,172 17	208 290	4,790 67	618 50	ST
Security total		30 000	177 083	5,312 49		6,248 70	936 21	
APERGY CORP								
Symbol APY Exchange NYSE	Aug 15, 18	18 000	41 601	748 83	33 780	608 04	-140 79	LT
	Aug 16, 18	32 000	43 310	1,385 95	33 780	1,080 96	-304 99	LT
	Aug 17, 18	23 000	43 113	991 60	33 780	776 94	-214 66	LT
	Aug 21, 18	64 000	43 905	2,809 94	33 780	2,161 92	-648 02	LT
	Jan 9, 19	11 000	32 150	353 65	33 780	371 58	17 93	ST
	Oct 28, 19	89 000	26 831	2,388 00	33 780	3,006 42	618 42	ST
Security total		237 000	36 616	8,677 97		8,005 86	-672 11	
ARGENX SE SPON ADR								
Symbol ARGX Exchange OTC	Dec 6, 19	14 000	147 262	2,061 68	160 520	2,247 28	185 60	ST
	Dec 9, 19	20 000	152 249	3,044 99	160 520	3,210 40	165 41	ST
Security total		34 000	150 196	5,106 67		5,457 68	351 01	
ARROWHEAD PHARMACEUTICALS INC								
Symbol ARWR Exchange OTC	Oct 5, 18	293 000	15 058	4,412 05	63 430	18,584 99	14,172 94	LT
	Jan 9, 19	39 000	14 826	578 25	63 430	2,473 77	1,895 52	ST
Security total		332 000	15 031	4,990 30		21,058 76	16,068 46	
ASAHI KAISEI CORP UNSPON ADR								
Symbol AHKSY Exchange OTC								
EAI $234 Current yield 2 11%	May 15, 18	270 000	26 510	7,157 70	22 538	6,085 26	-1,072 44	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Mar 28, 19	222 000	20 877	4,634 74	22 538	5,003 43	368 69	ST
Security total		492 000	23 968	11,792 44		11,088 69	-703 75	
ASML HLDG NV SPON ADR Symbol ASML Exchange OTC EAI $242 Current yield 1 01%	May 15, 18	81 000	199 341	16,146 64	295 940	23,971 14	7,824 50	LT
ASSA ABLOY AB ADR Symbol ASAZY Exchange OTC EAI $49 Current yield 1 12%	May 15, 18	377 000	10 924	4,118 72	11 645	4,390 16	271 44	LT
ASSURED GUARANTY LTD Symbol AGO Exchange NYSE EAI $204 Current yield 1 47%	Mar 1, 18	110 000	34 800	3,828 10	49 020	5,392 20	1,564 10	LT
	Mar 15, 18	74 000	35 149	2,601 04	49 020	3,627 48	1,026 44	LT
	Apr 27, 18	41 000	36 855	1,511 09	49 020	2,009 82	498 73	LT
	May 31, 18	59 000	35 567	2,098 51	49 020	2,892 18	793 67	LT
Security total		284 000	35 348	10,038 74		13,921 68	3,882 94	
ASTRAZENECA PLC SPON ADR Symbol AZN Exchange NYSE EAI $678 Current yield 2 75%	May 15, 18	495 000	36 176	17,907 21	49 860	24,680 70	6,773 49	LT
AUTODESK INC Symbol ADSK Exchange OTC	Oct 5, 15	319 000	44 255	14,117 41	183 460	58,523 74	44,406 33	LT
AUTOLIV INC Symbol ALV Exchange NYSE EAI $481 Current yield 2 94%	Mar 7, 17	4 000	73 655	294 62	84 410	337 64	43 02	LT
	Apr 3, 17	34 000	72 224	2,455 64	84 410	2,869 94	414 30	LT
	Apr 10, 17	19 000	70 351	1,336 67	84 410	1,603 79	267 12	LT
	Jun 19, 18	8 000	112 108	896 87	84 410	675 28	-221 59	LT
	Jun 20, 18	10 000	113 018	1,130 18	84 410	844 10	-286 08	LT
	Jun 27, 18	9 000	106 292	956 63	84 410	759 69	-196 94	LT
	Aug 1, 18	34 000	98 593	3,352 18	84 410	2,869 94	-482 24	LT
	Aug 20, 18	16 000	93 599	1,497 59	84 410	1,350 56	-147 03	LT
	Oct 10, 18	12 000	81 143	973 72	84 410	1,012 92	39 20	LT
	Nov 20, 18	11 000	83 895	922 85	84 410	928 51	5 66	LT
	Dec 3, 18	3 000	89 653	268 96	84 410	253 23	-15 73	LT

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UBS Strategic Wealth Portfolio
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LIVE OAK WEALTH MANAGEMENT
919-967-2451/800-905-3009

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Dec 4, 18	8 000	86 338	690 71	84 410	675 28	-15 43	LT
	May 7, 19	13 000	75 003	1,080 67²	84 410	1,097 33	16 66	LT
	Aug 19, 19	11 000	66 351	729 87	84 410	928 51	198 64	ST
	Aug 20, 19	2 000	64 440	128 88	84 410	168 82	39 94	ST
Security total		194 000	86 165	16,716 04		16,375 54	-340 50	
AUTOMATIC DATA PROCESSNG INC Symbol ADP Exchange OTC EAI $269 Current yield 2 13%	Oct 5, 15	74 000	82 806	6,127 67	170 500	12,617 00	6,489 33	LT
AVERY DENNISON CORP Symbol AVY Exchange NYSE EAI $165 Current yield 1 78%	Jan 10, 17	18 000	72 693	1,308 49	130 820	2,354 76	1,046 27	LT
	Mar 17, 17	16 000	79 982	1,279 72	130 820	2,093 12	813 40	LT
	Apr 18, 18	13 000	108 696	1,413 05	130 820	1,700 66	287 61	LT
	Dec 7, 18	16 000	93 546	1,496 74	130 820	2,093 12	596 38	LT
	Jan 4, 19	8 000	90 075	720 60	130 820	1,046 56	325 96	ST
Security total		71 000	87 586	6,218 60		9,288 22	3,069 62	
BANCO LATINOAMERICANO DE COMERCIO EXTERIOR, S A ORD Symbol BLX Exchange NYSE EAI $484 Current yield 7 21%	Oct 5, 15	140 000	23 940	3,351 61	21 380	2,993 20	-358 41	LT
	Dec 2, 15	8 000	28 120	224 96	21 380	171 04	-53 92	LT
	Jan 5, 16	18 000	25 144	452 60	21 380	384 84	-67 76	LT
	Jan 22, 16	75 000	22 371	1,677 83	21 380	1,603 50	-74 33	LT
	Jul 21, 16	38 000	28 804	1,094 58	21 380	812 44	-282 14	LT
	May 23, 17	8 000	27 386	219 09	21 380	171 04	-48 05	LT
	May 24, 17	7 000	27 374	191 62	21 380	149 66	-41 96	LT
	May 25, 17	13 000	27 503	357 54	21 380	277 94	-79 60	LT
	May 26, 17	7 000	27 540	192 78	21 380	149 66	-43 12	LT
Security total		314 000	24 722	7,762 61		6,713 32	-1,049 29	
BANK OZK Symbol OZK Exchange OTC EAI $505 Current yield 3 28%	Jun 22, 16	40 000	37 843	1,513 74	30 505	1,220 20	-293 54	LT

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UBS Strategic Wealth Portfolio
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Account number. EA 07913 LO

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LIVE OAK WEALTH MANAGEMENT
919-967-2451/800-905-3009

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jun 30, 16	28 000	37 211	1,041 91	30 505	854 14	-187 77	LT
	Jul 21, 16	38 000	36 662	1,393 16	30 505	1,159 19	-233 97	LT
	Jul 28, 16	43 000	36 380	1,564 37	30 505	1,311 71	-252 66	LT
	Aug 5, 16	30 000	36 574	1,097 23	30 505	915 15	-182 08	LT
	Sep 12, 16	20 000	39 144	782 89	30 505	610 10	-172 79	LT
	Oct 21, 16	34 000	37 569	1,277 37	30 505	1,037 17	-240 20	LT
	Jul 14, 17	19 000	46 995	892 92	30 505	579 59	-313 33	LT
	Aug 2, 17	62 000	44 481	2,757 88	30 505	1,891 31	-866 57	LT
	Aug 20, 18	14 000	40 950	592 67[2]	30 505	427 07	-165 60	LT
	Oct 2, 18	33 000	37 720	1,244 77	30 505	1,006 66	-238 11	LT
	Oct 23, 18	22 000	25 315	556 95	30 505	671 11	114 16	LT
	Dec 13, 18	42 000	22 629	950 45	30 505	1,281 21	330 76	LT
	Jan 4, 19	41 000	24 481	1,003 73	30 505	1,250 70	246 97	ST
	Aug 19, 19	20 000	26 056	521 12	30 505	610 10	88 98	ST
	Aug 20, 19	19 000	25 538	485 23	30 505	579 59	94 36	ST
Security total		505 000	35 003	17,676 39		15,405 02	-2,271 39	
BAUSCH HEALTH COS INC CAD Symbol BHC Exchange NYSE CAD Exchange rate 1 29675	Dec 6, 19	288 000	29 008	8,354 59	29 920	8,616 96	262 37	ST
BCE INC NEW CAD Symbol BCE Exchange NYSE EAI $1,231 Current yield 5 20% CAD Exchange rate 1 29675	May 3, 17	182 000	45 055	8,200 01	46 350	8,435 70	235 69	LT
	Jul 14, 17	109 000	45 991	5,013 02	46 350	5,052 15	39 13	LT
	Feb 5, 19	3 000	43 736	131 21	46 350	139 05	7 84	ST
	May 13, 19	3 000	44 346	133 04	46 350	139 05	6 01	ST
	Jul 31, 19	1 000	45 310	45 31	46 350	46 35	1 04	ST
	Aug 28, 19	211 000	46 759	9,866 34	46 350	9,779 85	-86 49	ST
	Oct 31, 19	2 000	47 480	94 96	46 350	92 70	-2 26	ST
Security total		511 000	45 957	23,483 89		23,684 85	200 96	
BEIGENE LTD SPON ADR Symbol BGNE Exchange OTC	Oct 11, 18	45 000	126 200	5,679 01	165 760	7,459 20	1,780 19	LT
	Feb 19, 19	31 000	135 730	4,207 64	165 760	5,138 56	930 92	ST

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UBS Strategic Wealth Portfolio
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919-967-2451/800-905-3009

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value or Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		76 000	130 088	9,886 65		12,597 76	2,711 11	
BERRY GLOBAL GROUP INC								
Symbol BERY Exchange NYSE	Oct 5, 16	82 000	43 423	3,560 69	47 490	3,894 18	333 49	LT
	Feb 1, 17	60 000	51 455	3,087 32	47 490	2,849 40	-237 92	LT
	Aug 8, 18	70 000	48 266	3,378 64	47 490	3,324 30	-54 34	LT
	Jan 9, 19	10 000	48 870	488 70	47 490	474 90	-13 80	ST
	Sep 25, 19	43 000	39 875	1,714 63	47 490	2,042 07	327 44	ST
	Sep 26, 19	48 000	39 358	1,889 23	47 490	2,279 52	390 29	ST
Security total		313 000	45 109	14,119 21		14,864 37	745 16	
BGC PARTNERS INC CL A								
Symbol BGCP Exchange OTC								
EAI $1,325 Current yield 9 43%	Feb 4, 16	150 000	5 184	777 65	5 940	891 00	113 35	LT
	Feb 24, 16	90 000	5 003	450 27	5 940	534 60	84 33	LT
	Feb 25, 16	63 000	5 134	323 48	5 940	374 22	50 74	LT
	May 6, 16	3 000	5 326	16 86[2]	5 940	17 82	0 96	LT
	Oct 19, 16	71 000	5 463	387 90	5 940	421 74	33 84	LT
	Oct 21, 16	78 000	5 425	423 20	5 940	463 32	40 12	LT
	Oct 25, 16	3 000	5 403	16 21	5 940	17 82	1 61	LT
	Feb 24, 17	89 000	7 172	638 36	5 940	528 66	-109 70	LT
	Jan 26, 18	78 000	9 147	713 54	5 940	463 32	-250 22	LT
	Mar 15, 18	167 000	8 578	1,432 57	5 940	991 98	-440 59	LT
	May 10, 18	217 000	7 633	1,656 47	5 940	1,288 98	-367 49	LT
	May 11, 18	35 000	7 661	268 14	5 940	207 90	-60 24	LT
	May 31, 18	80 000	7 198	575 87	5 940	475 20	-100 67	LT
	Jul 6, 18	99 000	7 082	701 21	5 940	588 06	-113 15	LT
	Aug 14, 18	108 000	7 263	784 44	5 940	641 52	-142 92	LT
	Nov 20, 18	67 000	6 337	424 64	5 940	397 98	-26 66	LT
	Nov 21, 18	33 000	6 403	211 32	5 940	196 02	-15 30	LT
	Dec 13, 18	435 000	5 699	2,479 46	5 940	2,583 90	104 44	LT
	Jan 4, 19	194 000	5 643	1,094 84	5 940	1,152 36	57 52	ST
	May 7, 19	86 000	5 514	474 28	5 940	510 84	36 56	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	May 8, 19	27 000	5 408	146 02	5 940	160 38	14 36	ST
	Aug 19, 19	22 000	5 117	112 59	5 940	130 68	18 09	ST
	Aug 20, 19	48 000	5 035	241 71	5 940	285 12	43 41	ST
	Aug 21, 19	55 000	5 035	276 93	5 940	326 70	49 77	ST
	Aug 22, 19	57 000	5 125	292 18	5 940	338 58	46 40	ST
	Aug 23, 19	11 000	5 110	56 22	5 940	65 34	9 12	ST
Security total		2,366 000	6 330	14,976 36		14,054 04	-922 32	
BIOMARIN PHARMACEUTICAL INC								
Symbol BMRN Exchange OTC	Aug 21, 19	82 000	78 175	6,410 40	84 550	6,933 10	522 70	ST
	Aug 22, 19	18 000	77 362	1,392 52	84 550	1,521 90	129 38	ST
Security total		100 000	78 029	7,802 92		8,455 00	652 08	
BLUEBIRD BIO INC								
Symbol BLUE Exchange OTC	Oct 5, 18	43 000	143 044	6,150 92	87 750	3,773 25	-2,377 67	LT
	Dec 4, 18	31 000	121 707	3,772 92	87 750	2,720 25	-1,052 67	LT
	Jan 9, 19	8 000	110 220	881 76	87 750	702 00	-179 76	ST
Security total		82 000	131 776	10,805 60		7,195 50	-3,610 10	
BNP PARIBAS SA ADR								
Symbol BNPQY Exchange OTC								
EAI $377 Current yield 4 79%	May 15, 18	265 000	37 565	9,954 76	29 690	7,867 85	-2,086 91	LT
BOK FINANCIAL CORP NEW								
Symbol BOKF Exchange OTC								
EAI $147 Current yield 2 34%	Jan 11, 16	5 000	55 774	278 87	87 400	437 00	158 13	LT
	Jan 22, 16	6 000	46 575	279 45	87 400	524 40	244 95	LT
	Jan 28, 16	50 000	49 389	2,469 49	87 400	4,370 00	1,900 51	LT
	May 6, 16	3 000	57 200	192 87²	87 400	262 20	69 33	LT
	May 7, 19	8 000	86 787	694 30	87 400	699 20	4 90	ST
Security total		72 000	54 375	3,914 98		6,292 80	2,377 82	
BOOKING HLDGS INC								
Symbol BKNG Exchange OTC	Mar 6, 19	9 000	1,755 701	15,801 31	2,053 730	18,483 57	2,682 26	ST
BRIGHT HORIZONS FAMILY SOLUTIONS INC								
Symbol BFAM Exchange NYSE	Oct 11, 18	29 000	111 524	3,234 20	150 290	4,358 41	1,124 21	LT
	Oct 12, 18	8 000	113 037	904 30	150 290	1,202 32	298 02	LT

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UBS Strategic Wealth Portfolio
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Account name· THE MARGARET T PETRIE
Friendly account name Petrie Pvt Fdn
Account number: EA 07913 LO

Your Financial Advisor.
LIVE OAK WEALTH MANAGEMENT
919-967-2451/800-905-3009

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jan 9, 19	5 000	111 560	557 80	150 290	751 45	193 65	ST
Security total		42 000	111 817	4,696 30		6,312 18	1,615 88	
BRITISH AMER TOBACCO PLC GB SPON ADR Symbol BTI Exchange AMEX EAI $429 Current yield 6 09%	Nov 28, 19	166 000	39 547	6,564 93	42 460	7,048 36	483 43	ST
BRIXMOR PPTY GROUP INC REIT Symbol BRX Exchange NYSE EAI $1,366 Current yield 5 28%	Jul 9, 19	444 000	18 309	8,129 33	21 610	9,594 84	1,465 51	ST
	Jul 19, 19	468 000	17 831	8,344 95	21 610	10,113 48	1,768 53	ST
	Oct 31, 19	10 000	22 068	220 68	21 610	216 10	-4 58	ST
	Nov 22, 19	276 000	21 611	5,964 83	21 610	5,964 36	-0 47	ST
Security total		1,198 000	18 915	22,659 79		25,888 78	3,228 99	
BROADCOM INC Symbol AVGO Exchange OTC EAI $1,209 Current yield 4 11%	Sep 11, 18	87 000	232 506	20,228 06	316 020	27,493 74	7,265 68	LT
	Sep 19, 18	2 000	240 790	481 58	316 020	632 04	150 46	LT
	Feb 5, 19	1 000	270 930	270 93	316 020	316 02	45 09	ST
	May 13, 19	1 000	288 580	288 58	316 020	316 02	27 44	ST
	Jul 31, 19	1 000	296 090	296 09	316 020	316 02	19 93	ST
	Oct 31, 19	1 000	293 400	293 40	316 020	316 02	22 62	ST
Security total		93 000	235 039	21,858 64		29,389 86	7,531 22	
BROADRIDGE FINANCIAL SOLUTIONS INC Symbol BR Exchange NYSE EAI $313 Current yield 1 75%	Jan 21, 16	63 000	50 763	3,198 11	123 540	7,783 02	4,584 91	LT
	Apr 8, 16	32 000	58 812	1,882 01	123 540	3,953 28	2,071 27	LT
	May 9, 16	1 000	60 740	60 74	123 540	123 54	62 80	LT
	Dec 27, 16	2 000	66 330	132 66	123 540	247 08	114 42	LT
	Apr 25, 17	16 000	69 733	1,115 73	123 540	1,976 64	860 91	LT
	Oct 24, 17	27 000	84 395	2,278 68	123 540	3,335 58	1,056 90	LT
	Jan 9, 19	4 000	98 460	393 84	123 540	494 16	100 32	ST

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LIVE OAK WEALTH MANAGEMENT
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Your assets , **Equities** , **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		145 000	62 495	9,061 77		17,913 30	8,851 53	
BRUNSWICK CORP								
Symbol BC Exchange NYSE								
EAI $108 Current yield 1 59%	Dec 10, 19	30 000	59 199	1,775 98	59 980	1,799 40	23 42	ST
	Dec 11, 19	19 000	58 908	1,119 26	59 980	1,139 62	20 36	ST
	Dec 19, 19	53 000	60 325	3,197 23	59 980	3,178 94	-18 29	ST
	Dec 20, 19	11 000	61 291	674 21	59 980	659 78	-14 43	ST
Security total		113 000	59 882	6,766 68		6,777 74	11 06	
CABLE ONE INC								
Symbol CABO Exchange NYSE								
EAI $63 Current yield 0 60%	Aug 24, 17	2 000	741 115	1,482 23	1,488 470	2,976 94	1,494 71	LT
	Aug 25, 17	2 000	740 485	1,480 97	1,488 470	2,976 94	1,495 97	LT
	Aug 28, 17	2 000	742 870	1,485 74	1,488 470	2,976 94	1,491 20	LT
	Jan 9, 19	1 000	841 680	841 68	1,488 470	1,488 47	646 79	ST
Security total		7 000	755 803	5,290 62		10,419 29	5,128 67	
CANTEL MEDICAL CORP								
Symbol CMD Exchange NYSE								
EAI $6 Current yield 0 28%	Oct 22, 19	10 000	70 956	709 56	70 900	709 00	-0 56	ST
	Oct 23, 19	13 000	70 883	921 48	70 900	921 70	0 22	ST
	Oct 24, 19	7 000	71 291	499 04	70 900	496 30	-2 74	ST
Security total		30 000	71 003	2,130 08		2,127 00	-3 08	
CARLSBERG AS SPON ADR								
Symbol CABGY Exchange OTC								
EAI $340 Current yield 1 24%	Dec 22, 16	752 000	16 845	12,667 44	29 790	22,402 08	9,734 64	LT
	Jul 8, 19	169 000	27 672	4,676 58	29 790	5,034 51	357 93	ST
Security total		921 000	18 832	17,344 02		27,436 59	10,092 57	
CATHAY GENL BANCORP								
Symbol CATY Exchange OTC								
EAI $337 Current yield 3 26%	Jan 24, 19	36 000	37 165	1,337 97	38 050	1,369 80	31 83	ST
	Feb 4, 19	20 000	37 691	753 82	38 050	761 00	7 18	ST
	Feb 5, 19	34 000	37 830	1,286 25	38 050	1,293 70	7 45	ST
	Mar 27, 19	63 000	33 647	2,119 82	38 050	2,397 15	277 33	ST
	May 7, 19	16 000	36 970	591 52	38 050	608 80	17 28	ST

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UBS Strategic Wealth Portfolio
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919-967-2451/800-905-3009

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	May 8, 19	7 000	37 071	259 50	38 050	266 35	6 85	ST
	Aug 19, 19	8 000	34 380	275 04	38 050	304 40	29 36	ST
	Aug 20, 19	10 000	33 919	339 19	38 050	380 50	41 31	ST
	Aug 21, 19	9 000	34 078	306 71	38 050	342 45	35 74	ST
	Nov 13, 19	13 000	37 135	482 76	38 050	494 65	11 89	ST
	Nov 14, 19	13 000	36 793	478 31	38 050	494 65	16 34	ST
	Nov 15, 19	9 000	36 846	331 62	38 050	342 45	10 83	ST
	Nov 18, 19	10 000	36 654	366 54	38 050	380 50	13 96	ST
	Nov 19, 19	15 000	37 060	555 91	38 050	570 75	14 84	ST
	Nov 20, 19	9 000	36 800	331 20	38 050	342 45	11 25	ST
Security total		272 000	36 089	9,816 16		10,349 60	533 44	
CDN NATL RAILWAY CO CAD Symbol CNI Exchange NYSE EAI $99 Current yield 1 82% CAD Exchange rate 1 29675	May 15, 18	60 000	80 989	4,859 34	90 450	5,427 00	567 66	LT
CERNER CORP Symbol CERN Exchange OTC EAI $285 Current yield 0 98%	Dec 19, 16	368 000	48 862	17,981 51	73 390	27,007 52	9,026 01	LT
	Feb 7, 18	12 000	63 356	760 28	73 390	880 68	120 40	LT
	Oct 26, 18	3 000	55 630	166 89	73 390	220 17	53 28	LT
	Oct 26, 18	1 000	55 520	55 52	73 390	73 39	17 87	LT
	Oct 29, 18	11 000	58 491	643 41	73 390	807 29	163 88	LT
	Oct 29, 18	1 000	58 620	58 62	73 390	73 39	14 77	LT
Security total		396 000	49 662	19,666 23		29,062 44	9,396 21	
CHURCHILL DOWNS INC Symbol CHDN Exchange OTC EAI $30 Current yield 0 43%	Oct 11, 18	30 000	92 674	2,780 22	137 200	4,116 00	1,335 78	LT
	Oct 12, 18	15 000	92 412	1,386 19	137 200	2,058 00	671 81	LT
	Jan 9, 19	6 000	84 923	509 54	137 200	823 20	313 66	ST
Security total		51 000	91 685	4,675 95		6,997 20	2,321 25	

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Your assets , **Equities** , **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
CIMAREX ENERGY CO								
Symbol XEC Exchange NYSE								
EAI $279 Current yield 1 52%	Jun 19, 19	220 000	55 738	12,262 38	52 490	11,547 80	-714 58	ST
	Nov 22, 19	17 000	46 680	793 56	52 490	892 33	98 77	ST
	Nov 25, 19	32 000	47 845	1,531 05	52 490	1,679 68	148 63	ST
	Dec 6, 19	32 000	47 385	1,516 32	52 490	1,679 68	163 36	ST
	Dec 19, 19	44 000	50 969	2,242 65	52 490	2,309 56	66 91	ST
	Dec 20, 19	4 000	50 942	203 77	52 490	209 96	6 19	ST
Security total		349 000	53 151	18,549 73		18,319 01	-230 72	
CISCO SYSTEMS INC								
Symbol CSCO Exchange OTC								
EAI $1,680 Current yield 2 92%	Oct 5, 15	600 000	28 181	16,908 70	47 960	28,776 00	11,867 30	LT
	Oct 5, 15	256 000	26 200	6,707 20	47 960	12,277 76	5,570 56	LT
	Jan 21, 16	100 000	23 195	2,319 50	47 960	4,796 00	2,476 50	LT
	Jan 21, 16	94 000	23 206	2,181 41	47 960	4,508 24	2,326 83	LT
	Dec 14, 18	137 000	45 838	6,279 89	47 960	6,570 52	290 63	LT
	Feb 5, 19	1 000	47 260	47 26	47 960	47 96	0 70	ST
	May 13, 19	4 000	51 127	204 51	47 960	191 84	-12 67	ST
	Jul 31, 19	3 000	56 703	170 11	47 960	143 88	-26 23	ST
	Oct 31, 19	5 000	47 388	236 94	47 960	239 80	2 86	ST
Security total		1,200 000	29 213	35,055 52		57,552 00	22,496 48	
CITIGROUP INC								
Symbol C Exchange NYSE								
EAI $904 Current yield 2 55%	Jul 24, 18	231 000	71 119	16,428 59	79 890	18,454 59	2,026 00	LT
	Jan 24, 19	200 000	62 487	12,497 48	79 890	15,978 00	3,480 52	ST
	Feb 5, 19	2 000	63 610	127 22	79 890	159 78	32 56	ST
	May 13, 19	2 000	64 745	129 49	79 890	159 78	30 29	ST
	Jul 31, 19	3 000	71 423	214 27	79 890	239 67	25 40	ST
	Oct 31, 19	5 000	71 680	358 40	79 890	399 45	41 05	ST
Security total		443 000	67 168	29,755 45		35,391 27	5,635 82	
CITIZENS FINANCIAL GROUP INC								
Symbol CFG Exchange NYSE								
EAI $1,083 Current yield 3 35%	Jan 14, 19	558 000	33 136	18,489 94	40 610	22,660 38	4,170 44	ST

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UBS Strategic Wealth Portfolio
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Your assets , Equities , Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Feb 5, 19	7 000	34 428	241 00	40 610	284 27	43 27	ST
	May 9, 19	95 000	35 304	3,353 95	40 610	3,857 95	504 00	ST
	May 13, 19	129 000	34 606	4,464 29	40 610	5,238 69	774 40	ST
	Oct 31, 19	7 000	35 028	245 20	40 610	284 27	39 07	ST
Security total		796 000	33 661	26,794 38		32,325 56	5,531 18	
COCA COLA CO COM Symbol KO Exchange NYSE EAI $950 Current yield 2 89%	Oct 5, 15	594 000	40 826	24,250 94	55 350	32,877 90	8,626 96	LT
COCA COLA EUROPEAN PARTNERS EUR Symbol CCEP Exchange NYSE EAI $392 Current yield 2 71% EUR Exchange rate 0 89086	Oct 16, 18	179 000	44 734	8,007 46	50 880	9,107 52	1,100 06	LT
	Oct 17, 18	88 000	45 203	3,977 90	50 880	4,477 44	499 54	LT
	Feb 5, 19	11 000	48 080	528 89	50 880	559 68	30 79	ST
	May 13, 19	1 000	54 620	54 62	50 880	50 88	-3 74	ST
	Jul 31, 19	4 000	55 872	223 49	50 880	203 52	-19 97	ST
	Oct 31, 19	1 000	53 610	53 61	50 880	50 88	-2 73	ST
Security total		284 000	45 232	12,845 97		14,449 92	1,603 95	
COGNEX CORP Symbol CGNX Exchange OTC EAI $38 Current yield 0 39%	Feb 26, 19	115 000	53 673	6,172 44	56 040	6,444 60	272 16	ST
	Aug 19, 19	58 000	45 628	2,646 48	56 040	3,250 32	603 84	ST
Security total		173 000	50 976	8,818 92		9,694 92	876 00	
COLGATE PALMOLIVE CO Symbol CL Exchange NYSE EAI $583 Current yield 2 50%	Mar 28, 18	8 000	70 831	566 65	68 840	550 72	-15 93	LT
	Mar 28, 18	4 000	70 855	283 42	68 840	275 36	-8 06	LT
	Mar 28, 18	4 000	70 962	283 85	68 840	275 36	-8 49	LT
	Mar 29, 18	19 000	71 851	1,365 17	68 840	1,307 96	-57 21	LT
	Mar 29, 18	10 000	71 956	719 56	68 840	688 40	-31 16	LT
	Mar 29, 18	8 000	71 806	574 45	68 840	550 72	-23 73	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Apr 2, 18	15 000	70 486	1,057 29	68 840	1,032 60	-24 69	LT
	Apr 2, 18	12 000	70 495	845 95	68 840	826 08	-19 87	LT
	Apr 3, 18	13 000	70 530	916 90	68 840	894 92	-21 98	LT
	Apr 3, 18	11 000	70 713	777 85	68 840	757 24	-20 61	LT
	Apr 4, 18	11 000	71 490	786 40	68 840	757 24	-29 16	LT
	Apr 4, 18	9 000	71 676	645 09	68 840	619 56	-25 53	LT
	Apr 5, 18	22 000	71 909	1,582 00	68 840	1,514 48	-67 52	LT
	Apr 5, 18	5 000	71 858	359 29	68 840	344 20	-15 09	LT
	Apr 6, 18	18 000	71 623	1,289 23	68 840	1,239 12	-50 11	LT
	Apr 6, 18	5 000	71 670	358 35	68 840	344 20	-14 15	LT
	Apr 9, 18	14 000	71 579	1,002 11	68 840	963 76	-38 35	LT
	Apr 9, 18	3 000	71 573	214 72	68 840	206 52	-8 20	LT
	Apr 9, 18	1 000	71 820	71 82	68 840	68 84	-2 98	LT
	Apr 10, 18	14 000	71 641	1,002 98	68 840	963 76	-39 22	LT
	Apr 10, 18	5 000	71 660	358 30	68 840	344 20	-14 10	LT
	Apr 11, 18	27 000	71 386	1,927 44	68 840	1,858 68	-68 76	LT
	Apr 11, 18	2 000	71 405	142 81	68 840	137 68	-5 13	LT
	Apr 12, 18	15 000	71 212	1,068 18	68 840	1,032 60	-35 58	LT
	Apr 12, 18	5 000	71 220	356 10	68 840	344 20	-11 90	LT
	Apr 13, 18	13 000	71 486	929 33	68 840	894 92	-34 41	LT
	Apr 13, 18	9 000	71 402	642 62	68 840	619 56	-23 06	LT
	Apr 17, 18	3 000	72 146	216 44	68 840	206 52	-9 92	LT
	Apr 19, 18	19 000	69 897	1,328 06	68 840	1,307 96	-20 10	LT
	Apr 19, 18	14 000	70 001	980 02	68 840	963 76	-16 26	LT
	Apr 19, 18	10 000	69 798	697 98	68 840	688 40	-9 58	LT
	Apr 20, 18	11 000	68 255	750 81	68 840	757 24	6 43	LT
Security total		339 000	71 095	24,101 17		23,336 76	-764 41	
CONMED CORP Symbol CNMD Exchange OTC EAI $44 Current yield 0 72%	Feb 15, 19	24 000	71 792	1,723 02	111 830	2,683 92	960 90	ST
	Mar 27, 19	14 000	80 730	1,130 23	111 830	1,565 62	435 39	ST
	May 7, 19	10 000	80 683	806 83	111 830	1,118 30	311 47	ST

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UBS Strategic Wealth Portfolio
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Your assets , **Equities** , **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value or Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	May 8, 19	7 000	80 994	566 96	111 830	782 81	215 85	ST
Security total		55 000	76 855	4,227 04		6,150 65	1,923 61	
CONOCOPHILLIPS								
Symbol CCP Exchange NYSE								
EAI $403 Current yield 2 58%	Nov 14, 16	120 000	44 128	5,295 47	65 030	7,803 60	2,508 13	LT
	Mar 24, 17	120 000	44 600	5,352 11	65 030	7,803 60	2,451 49	LT
Security total		240 000	44 365	10,647 58		15,607 20	4,959 62	
COPART INC								
Symbol CPRT Exchange OTC	Jul 28, 17	21 000	31 849	668 84	90 940	1,909 74	1,240 90	LT
	Aug 1, 17	116 000	31 639	3,670 23	90 940	10,549 04	6,878 81	LT
	Sep 19, 18	30 000	54 970	1,649 10	90 940	2,728 20	1,079 10	LT
Security total		167 000	35 857	5,988 17		15,186 98	9,198 81	
CORNERSTONE ONDEMAND INC								
Symbol CSOD Fxchange OTC	Dec 26, 18	56 000	48 808	2,733 29	58 550	3,278 80	545 51	LT
	Dec 27, 18	19 000	48 620	923 78	58 550	1,112 45	188 67	LT
	Jan 9, 19	5 000	52 490	262 45	58 550	292 75	30 30	ST
Security total		80 000	48 994	3,919 52		4,684 00	764 48	
CRANE CO								
Symbol CR Exchange NYSE								
EAI $331 Current yield 1 81%	Oct 5, 15	50 000	49 210	2,460 50	86 380	4,319 00	1,858 50	LT
	Dec 2, 15	4 000	51 580	206 32	86 380	345 52	139 20	LT
	Jan 5, 16	9 000	47 595	428 36	86 380	777 42	349 06	LT
	Jan 22, 16	15 000	44 300	664 50	86 380	1,295 70	631 20	LT
	Jul 25, 17	45 000	79 364	3,571 38	86 380	3,887 10	315 72	LT
	Oct 16, 17	24 000	82 575	1,981 82	86 380	2,073 12	91 30	LT
	Oct 17, 17	20 000	82 557	1,651 14	86 380	1,727 60	76 46	LT
	Jan 9, 18	13 000	91 794	1,193 33	86 380	1,122 94	-70 39	LT
	Jan 9, 19	4 000	75 617	302 47	86 380	345 52	43 05	ST
	May 13, 19	9 000	84 413	759 72	86 380	777 42	17 70	ST
	Jul 2, 19	19 000	84 296	1,601 64	86 380	1,641 22	39 58	ST
Security total		212 000	69 911	14,821 18		18,312 56	3,491 38	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
CROWN CASTLE INTL CORP REIT								
Symbol CCI Exchange NYSE								
EAI $869 Current yield 3 38%	Nov 16, 16	94 000	83 360	7,835 90	142 150	13,362 10	5,526 20	LT
	Apr 26, 17	80 000	93 024	7,441 96	142 150	11,372 00	3,930 04	LT
	Feb 5, 19	2 000	118 395	236 79	142 150	284 30	47 51	ST
	May 13, 19	1 000	125 060	125 06	142 150	142 15	17 09	ST
	Jul 31, 19	2 000	133 490	266 98	142 150	284 30	17 32	ST
	Oct 31, 19	2 000	139 315	278 63	142 150	284 30	5 67	ST
Security total		181 000	89 422	16,185 32		25,729 15	9,543 83	
CULLEN FROST BANKERS INC								
Symbol CFR Exchange NYSE								
EAI $284 Current yield 2 90%	Jan 5, 16	19 000	57 232	1,087 42	97 780	1,857 82	770 40	LT
	Jan 12, 16	18 000	53 083	955 51	97 780	1,760 04	804 53	LT
	Jan 22, 16	19 000	44 498	845 47	97 780	1,857 82	1,012 35	LT
	Mar 2, 16	24 000	52 774	1,266 59	97 780	2,346 72	1,080 13	LT
	May 6, 16	3 000	59 823	193 31²	97 780	293 34	100 03	LT
	Jan 4, 19	6 000	91 915	551 49	97 780	586 68	35 19	ST
	Aug 19, 19	8 000	85 785	686 28	97 780	782 24	95 96	ST
	Aug 20, 19	3 000	84 776	254 33	97 780	293 34	39 01	ST
Security total		100 000	58 404	5,840 40		9,778 00	3,937 60	
CUMMINS INC								
Symbol CMI Exchange NYSE								
EAI $808 Current yield 2 93%	Mar 12, 19	151 000	158 402	23,918 85	178 960	27,022 96	3,104 11	ST
	Jul 31, 19	1 000	165 340	165 34	178 960	178 96	13 62	ST
	Oct 31, 19	2 000	171 325	342 65	178 960	357 92	15 27	ST
Security total		154 000	158 616	24,426 84		27,559 84	3,133 00	
CYRUSONE INC REIT								
Symbol CONE Exchange OTC								
EAI $536 Current yield 3 06%	Jul 6, 18	30 000	61 580	1,847 42	65 430	1,962 90	115 48	LT
	Jul 9, 18	34 000	61 040	2,075 39	65 430	2,224 62	149 23	LT
	Jul 10, 18	53 000	61 537	3,261 48	65 430	3,467 79	206 31	LT
	Oct 10, 18	23 000	61 880	1,423 25	65 430	1,504 89	81 64	LT
	Nov 2, 18	53 000	54 489	2,887 96	65 430	3,467 79	579 83	LT

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UBS Strategic Wealth Portfolio
December 2019

Account name THE MARGARET T PETRIE
Friendly account name Petrie Pvt Fdn
Account number: EA 07913 LO

Your Financial Advisor:
LIVE OAK WEALTH MANAGEMENT
919-967-2451/800-905-3009

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Nov 20, 18	26 000	52 222	1,357 78	65 430	1,701 18	343 40	LT
	Dec 7, 18	19 000	57 637	1,095 11	65 430	1,243 17	148 06	LT
	Jan 4, 19	30 000	51 627	1,548 81	65 430	1,962 90	414 09	ST
Security total		268 000	57 825	15,497 20		17,535 24	2,038 04	
DAIICHI SANKYO CO LTD SPON ADR Symbol DSNKY Exchange OTC EAI $37 Current yield 0 75%	Oct 11, 19	75 000	60 585	4,543 94	65 910	4,943 25	399 31	ST
DAIKIN INDS LTD ADR Symbol DKILY Exchange OTC EAI $37 Current yield 0 76%	Aug 27, 19	343 000	12 610	4,325 30	14 190	4,867 17	541 87	ST
DANONE SPON ADR Symbol DANOY Exchange OTC EAI $746 Current yield 1 72%	Dec 19, 16	1,838 000	12 562	23,089 55	16 490	30,308 62	7,219 07	LT
	Dec 19, 16	66 000	12 562	829 12	16 490	1,088 34	259 22	LT
	Dec 19, 16	19 000	12 562	238 68	16 490	313 31	74 63	LT
	May 15, 18	704 000	15 451	10,877 65	16 490	11,608 96	731 31	LT
Security total		2,627 000	13 337	35,035 00		43,319 23	8,284 23	
DBS GROUP HLDGS LTD SPON ADR Symbol DBSDY Exchange OTC EAI $133 Current yield 5 39%	May 15, 18	32 000	84 800	2,713 60	77 160	2,469 12	-244 48	LT
DEERE AND CO Symbol DE Exchange NYSE EAI $584 Current yield 1 76%	Sep 22, 16	9 000	83 930	755 37	173 260	1,559 34	803 97	LT
	Sep 23, 16	6 000	83 460	500 76	173 260	1,039 56	538 80	LT
	Sep 23, 16	1 000	83 420	83 42	173 260	173 26	89 84	LT
	Sep 23, 16	1 000	83 430	83 43	173 260	173 26	89 83	LT
	Sep 26, 16	42 000	83 488	3,506 53	173 260	7,276 92	3,770 39	LT
	Sep 26, 16	15 000	83 580	1,253 71	173 260	2,598 90	1,345 19	LT
	Sep 26, 16	6 000	83 576	501 46	173 260	1,039 56	538 10	LT
	Sep 26, 16	2 000	83 545	167 09	173 260	346 52	179 43	LT
	Sep 27, 16	23 000	82 991	1,908 81	173 260	3,984 98	2,076 17	LT
	Sep 27, 16	14 000	83 182	1,164 55	173 260	2,425 64	1,261 09	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Sep 28, 16	33 000	83 470	2,754 51	173 260	5,717 58	2,963 07	LT
	Sep 28, 16	27 000	83 694	2,259 74	173 260	4,678 02	2,418 28	LT
	Sep 29, 16	10 000	84 821	848 21	173 260	1,732 60	884 39	LT
	Sep 29, 16	3 000	84 380	253 14	173 260	519 78	266 64	LT
Security total		192 000	83 545	16,040 73		33,265 92	17,225 19	
DELEK US HLDGS INC NEW COM Symbol DK Exchange NYSE EAI $328 Current yield 3 40%	Oct 23, 18	51 000	37 408	1,907 82	33 530	1,710 03	-197 79	LT
	Oct 24, 18	31 000	36 768	1,139 82	33 530	1,039 43	-100 39	LT
	Nov 20, 18	51 000	37 819	1,928 77	33 530	1,710 03	-218 74	LT
	Nov 21, 18	13 000	38 360	498 69	33 530	435 89	-62 80	LT
	May 16, 19	51 000	37 356	1,905 16	33 530	1,710 03	-195 13	ST
	May 17, 19	26 000	37 178	966 65	33 530	871 78	-94 87	ST
	Jun 7, 19	40 000	35 693	1,427 73	33 530	1,341 20	-86 53	ST
	Aug 12, 19	25 000	32 861	821 53	33 530	838 25	16 72	ST
Security total		288 000	36 792	10,596 17		9,656 64	-939 53	
DELTA AIR LINES INC DELA NEW Symbol DAL Exchange NYSE EAI $747 Current yield 2 75%	Dec 13, 19	464 000	56 696	26,307 08	58 480	27,134 72	827 64	ST
DENSO CORP ADR Symbol DNZOY Exchange OTC EAI $67 Current yield 2 07%	May 15, 18	143 000	25 920	3,706 56	22 590	3,230 37	-476 19	LT
DEUTSCHE BOERSE ADR Symbol DBOEY Exchange OTC EAI $62 Current yield 1 25%	May 15, 18	318 000	13 750	4,372 50	15 580	4,954 44	581 94	LT
DIAGEO PLC NEW GB SPON ADR Symbol DEO Exchange NYSE EAI $378 Current yield 2 04%	May 15, 18	79 000	144 302	11,399 86	168 420	13,305 18	1,905 32	LT
	Oct 11, 19	31 000	163 413	5,065 83	168 420	5,221 02	155 19	ST
Security total		110 000	149 688	16,465 69		18,526 20	2,060 51	
DISCOVERY INC SER C Symbol DISCK Exchange OTC	Oct 14, 19	198 000	25 856	5,119 51	30 490	6,037 02	917 51	ST
	Oct 15, 19	447 000	25 932	11,591 83	30 490	13,629 03	2,037 20	ST

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UBS Strategic Wealth Portfolio
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919-967-2451/800-905-3009

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		645 000	25 909	16,711 34		19,666 05	2,954 71	
DNB ASA SPON ADR Symbol DNHBY Exchange OTC EAI $220 Current yield 4 16%	Oct 26, 16	284 000	13 930	3,956 40	18 636	5,292 62	1,336 22	LT
DOLBY LABORATORIES INC CL A Symbol DLB Exchange NYSE EAI $165 Current yield 1 28%	Dec 23, 14	28 000	43 936	1,230 21	68 800	1,926 40	696 19	LT
	Oct 5, 15	5 000	35 348	176 74	68 800	344 00	167 26	LT
	Nov 16, 15	2 000	33 570	67 14	68 800	137 60	70 46	LT
	Dec 2, 15	4 000	35 220	140 88	68 800	275 20	134 32	LT
	Jan 5, 16	9 000	33 413	300 72	68 800	619 20	318 48	LT
	Jan 21, 16	22 000	31 040	682 88	68 800	1,513 60	830 72	LT
	May 5, 16	6 000	44 960	269 76	68 800	412 80	143 04	LT
	May 9, 16	4 000	45 255	181 02	68 800	275 70	94 18	LT
	Dec 27, 16	3 000	45 940	137 82	68 800	206 40	68 58	LT
	Sep 20, 17	40 000	58 085	2,323 40	68 800	2,752 00	428 60	LT
	Mar 6, 18	26 000	65 141	1,693 68	68 800	1,788 80	95 12	LT
	Mar 7, 18	31 000	65 256	2,022 94	68 800	2,132 80	109 86	LT
	Jan 9, 19	8 000	63 190	505 52	68 800	550 40	44 88	ST
Security total		188 000	51 770	9,732 71		12,934 40	3,201 69	
DONALDSON CO INC Symbol DCI Exchange NYSE EAI $60 Current yield 1 45%	Oct 5, 15	52 000	29 050	1,510 60	57 620	2,996 24	1,485 64	LT
	Dec 2, 15	4 000	30 520	122 08	57 620	230 48	108 40	LT
	Jan 5, 16	5 000	28 088	140 44	57 620	288 10	147 66	LT
	Jan 22, 16	11 000	26 822	295 05	57 620	633 82	338 77	LT
Security total		72 000	28 725	2,068 17		4,148 64	2,080 47	
DSV PANALPINA A/S ADR Symbol DSDVY Exchange OTC EAI $13 Current yield 0 19%	May 15, 18	119 000	42 364	5,041 43	57 830	6,881 77	1,840 34	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
DUNKIN BRANDS GROUP INC								
Symbol DNKN Exchange OTC								
EAI $197 Current yield 1 99%	Dec 27, 16	124 000	53 274	6,606 08	75 540	9,366 96	2,760 88	LT
	Jan 9, 19	7 000	70 144	491 01	75 540	528 78	37 77	ST
Security total		131 000	54 176	7,097 09		9,895 74	2,798 65	
EAST WEST BANCORP INC								
Symbol EWBC Exchange OTC								
EAI $334 Current yield 2 26%	Oct 10, 16	1 000	39 340	39 34	48 700	48 70	9 36	LT
	Oct 11, 16	4 000	38 922	155 69	48 700	194 80	39 11	LT
	Oct 14, 16	31 000	38 559	1,195 33	48 700	1,509 70	314 37	LT
	Oct 17, 16	44 000	38 329	1,686 48	48 700	2,142 80	456 32	LT
	Nov 10, 16	47 000	43 333	2,036 68	48 700	2,288 90	252 22	LT
	Jan 4, 19	20 000	45 889	917 79	48 700	974 00	56 21	ST
	Jan 23, 19	39 000	50 034	1,951 34	48 700	1,899 30	-52 04	ST
	Mar 27, 19	57 000	47 223	2,691 72	48 700	2,775 90	84 18	ST
	Jul 12, 19	16 000	46 593	745 49	48 700	779 20	33 71	ST
	Aug 19, 19	20 000	40 037	800 75	48 700	974 00	173 25	ST
	Aug 20, 19	2 000	39 490	78 98	48 700	97 40	18 42	ST
	Sep 18, 19	23 000	45 363	1,043 35	48 700	1,120 10	76 75	ST
Security total		304 000	43 891	13,342 94		14,804 80	1,461 86	
EASTGROUP PROPERTIES INC (MARYLAND CORP)								
Symbol EGP Exchange NYSE								
EAI $99 Current yield 2 26%	Nov 3, 15	16 000	57 315	917 05	132 670	2,122 72	1,205 67	LT
	Dec 2, 15	3 000	58 446	175 34	132 670	398 01	222 67	LT
	Jan 5, 16	1 000	56 190	56 19	132 670	132 67	76 48	LT
	Jan 22, 16	13 000	52 686	684 92	132 670	1,724 71	1,039 79	LT
Security total		33 000	55 561	1,833 50		4,378 11	2,544 61	
EATON CORP PLC								
Symbol ETN Exchange NYSE								
EAI $892 Current yield 3 00%	May 5, 16	12 000	55 029	660 35	94 720	1,136 64	476 29	LT
	Dec 19, 16	169 000	62 853	10,622 29	94 720	16,007 68	5,385 39	LT
	Nov 3, 17	122 000	75 456	9,205 71	94 720	11,555 84	2,350 13	LT

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Strategic Wealth Portfolio
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Account name THE MARGARET T PETRIE
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LIVE OAK WEALTH MANAGEMENT
919-967-2451/800-905-3009

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Feb 5, 19	5 000	76 924	384 62	94 720	473 60	88 98	ST
	May 13, 19	1 000	78 250	78 25	94 720	94 72	16 47	ST
	Jul 31, 19	3 000	83 130	249 39	94 720	284 16	34 77	ST
	Oct 31, 19	2 000	86 430	172 86	94 720	189 44	16 58	ST
Security total		314 000	68 068	21,373 47		29,742 08	8,368 61	
EBAY INC Symbol EBAY Exchange OTC EAI $372 Current yield 1 55%	Oct 5, 15	155 000	25 637	3,973 74	36 110	5,597 05	1,623 31	LT
	May 6, 16	180 000	23 635	4,254 30	36 110	6,499 80	2,245 50	LT
	Aug 10, 18	330 000	33 772	11,144 89	36 110	11,916 30	771 41	LT
Security total		665 000	29 132	19,372 93		24,013 15	4,640 22	
EMCOR GROUP INC Symbol EME Exchange NYSE EAI $49 Current yield 0 37%	Oct 5, 16	36 000	60 336	2,172 12	86 300	3,106 80	934 68	LT
	Oct 6, 16	14 000	59 839	837 75	86 300	1,208 20	370 45	LT
	Oct 10, 16	19 000	59 484	1,130 21	86 300	1,639 70	509 49	LT
	Dec 27, 16	4 000	72 350	289 40	86 300	345 20	55 80	LT
	Jun 16, 17	37 000	65 270	2,414 99	86 300	3,193 10	778 11	LT
	Oct 4, 18	14 000	73 917	1,034 85	86 300	1,208 20	173 35	LT
	Oct 5, 18	22 000	72 977	1,605 51	86 300	1,898 60	293 09	LT
	Jan 9, 19	6 000	62 870	377 22	86 300	517 80	140 58	ST
Security total		152 000	64 882	9,862 05		13,117 60	3,255 55	
ENBRIDGE INC CAD Symbol ENB Exchange NYSE EAI $1,589 Current yield 6 16% CAD Exchange rate 1 29675	Jan 16, 19	25 000	35 492	887 32	39 770	994 25	106 93	ST
	Feb 19, 19	172 000	36 290	6,241 90	39 770	6,840 44	598 54	ST
	Apr 5, 19	444 000	36 874	16,372 41	39 770	17,657 88	1,285 47	ST
	May 13, 19	1 000	36 900	36 90	39 770	39 77	2 87	ST
	Jul 31, 19	3 000	33 586	100 76	39 770	119 31	18 55	ST
	Oct 31, 19	4 000	36 297	145 19	39 770	159 08	13 89	ST
Security total		649 000	36 648	23,784 48		25,810 73	2,026 25	

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Your assets , Equities , Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ENEL SPA ADR								
Symbol ENLAY Exchange OTC								
EAI $471 Current yield 2 42%	May 15, 18	1,660 000	6 048	10,040 25	7 850	13,031 00	2,990 75	LT
	May 8, 19	819 000	6 262	5,129 03	7 850	6,429 15	1,300 12	ST
Security total		2,479 000	6 119	15,169 28		19,460 15	4,290 87	
ENERGIZER HLDGS INC								
Symbol ENR Exchange NYSE								
EAI $184 Current yield 2 39%	Feb 6, 18	41 000	55 849	2,289 81	50 220	2,059 02	-230 79	LT
	Jul 31, 19	60 000	42 496	2,549 78	50 220	3,013 20	463 42	ST
	Aug 1, 19	41 000	42 328	1,735 46	50 220	2,059 02	323 56	ST
	Aug 2, 19	11 000	40 891	449 81	50 220	552 42	102 61	ST
Security total		153 000	45 914	7,024 86		7,683 66	658 80	
ENPRO INDUSTRIES INC								
Symbol NPO Exchange NYSE								
EAI $22 Current yield 1 50%	Oct 2, 19	3 000	65 423	196 27	66 880	200 64	4 37	ST
	Oct 3, 19	4 000	65 007	260 03	66 880	267 52	7 49	ST
	Oct 4, 19	2 000	65 505	131 01	66 880	133 76	2 75	ST
	Oct 7, 19	2 000	65 200	130 40	66 880	133 76	3 36	ST
	Oct 8, 19	5 000	63 348	316 74	66 880	334 40	17 66	ST
	Oct 9, 19	6 000	63 533	381 20	66 880	401 28	20 08	ST
Security total		22 000	64 348	1,415 65		1,471 36	55 71	
ENTEGRIS INC								
Symbol ENTG Exchange OTC								
EAI $104 Current yield 0 64%	Dec 23, 14	180 000	13 348	2,402 67	50 090	9,016 20	6,613 53	LT
	Oct 5, 15	14 000	13 615	190 61	50 090	701 26	510 65	LT
	Nov 3, 15	2 000	13 250	26 50	50 090	100 18	73 68	LT
	Dec 2, 15	13 000	13 706	178 19	50 090	651 17	472 98	LT
	Jan 5, 16	78 000	17 681	355 09	50 090	1,407 57	1,047 43	IT
	Jan 21, 16	63 000	10 807	680 86	50 090	3,155 67	2,474 81	LT
	May 5, 16	9 000	13 128	118 79²	50 090	450 81	332 02	LT
	May 9, 16	9 000	13 187	121 47²	50 090	450 81	329 34	LI
	Dec 27, 16	6 000	18 195	109 17	50 090	300 54	191 37	LT
	Jan 9, 19	1 000	28 980	28 98	50 090	50 09	21 11	ST

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UBS Strategic Wealth Portfolio
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Your assets , **Equities** , **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		325 000	12 961	4,212 33		16,279 25	12,066 92	
ENVESTNET, INC								
Symbol ENV Exchange NYSE	Apr 3, 18	58 000	54 949	3,187 08	69 630	4,038 54	851 46	LT
	Apr 4, 18	16 000	53 620	857 93	69 630	1,114 08	256 15	LT
	Sep 4, 18	19 000	62 673	1,190 79	69 630	1,322 97	132 18	LT
	Sep 5, 18	32 000	62 351	1,995 24	69 630	2,228 16	232 92	LT
	Jan 9, 19	6 000	51 090	306 54	69 630	417 78	111 24	ST
Security total		131 000	57 539	7,537 58		9,121 53	1,583 95	
EPR PROPEPTIES REIT								
Symbol EPR Exchange NYSE								
EAI $774 Current yield 6 37%	Nov 17, 15	9 000	54 708	492 38	70 640	635 76	143 38	LT
	Dec 2, 15	7 000	55 435	388 05	70 640	494 48	106 43	LT
	Jan 5, 16	7 000	58 531	409 72	70 640	494 48	84 76	LT
	Jan 22, 16	24 000	55 845	1,340 30	70 640	1,695 36	355 06	LT
	Jan 25, 18	52 000	61 533	3,199 74	70 640	3,673 28	473 54	LT
	Feb 9, 18	21 000	55 677	1,169 23	70 640	1,483 44	314 21	LT
	Mar 8, 18	25 000	55 762	1,394 07	70 640	1,766 00	371 93	LT
	Mar 9, 18	8 000	55 738	445 91	70 640	565 12	119 21	LT
	May 18, 18	19 000	58 383	1,109 28	70 640	1,342 16	232 88	LT
Security total		172 000	57 841	9,948 68		12,150 08	2,201 40	
ESSILORLUXOTTICA ADR								
Symbol ESLOY Exchange OTC								
EAI $259 Current yield 1 19%	Jan 31, 17	230 000	59 020	13,574 65	76 590	17,615 70	4,041 05	LT
	Sep 26, 18	55 000	73 542	4,044 81	76 590	4,212 45	167 64	LT
Security total		285 000	61 823	17,619 46		21,828 15	4,208 69	
ETSY INC								
Symbol ETSY Exchange OTC	Aug 17, 18	132 000	46 434	6,129 39	44 300	5,847 60	-281 79	LT
	Jan 9, 19	5 000	52 780	263 90	44 300	221 50	-42 40	ST
	Jul 22, 19	17 000	67 212	1,142 62	44 300	753 10	-389 52	ST
	Nov 25, 19	69 000	42 717	2,947 48	44 300	3,056 70	109 22	ST
	Nov 26, 19	10 000	43 945	439 45	44 300	443 00	3 55	ST
Security total		233 000	46 879	10,922 84		10,321 90	-600 94	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
EVERCORE INC CL A								
Symbol EVR Exchange NYSE								
EAI $146 Current yield 3 10%	Apr 17, 17	1 000	74 690	74 69	74 760	74 76	0 07	LT
	Apr 18, 17	36 000	75 157	2,705 66	74 760	2,691 36	-14 30	LT
	Apr 19, 17	23 000	76 628	1,762 45	74 760	1,719 48	-42 97	LT
	Jan 9, 19	3 000	79 000	237 00	74 760	224 28	-12 72	ST
Security total		63 000	75 870	4,779 80		4,709 88	-69 92	
EXACT SCIENCES CORP								
Symbol EXAS Exchange OTC	Nov 11, 19	25 000	82 390	2,059 75	92 480	2,312 00	252 25	ST
EXELIXIS INC								
Symbol EXEL Exchange OTC	Dec 13, 18	380 000	21 267	8,081 50	17 620	6,695 60	-1,385 90	LT
	Jan 9, 19	27 000	23 570	636 39	17 620	475 74	-160 65	ST
	Feb 6, 19	114 000	22 743	2,592 80	17 620	2,008 68	-584 12	ST
	Jun 26, 19	155 000	20 911	3,241 24	17 620	2,731 10	-510 14	ST
Security total		676 000	21 527	14,551 93		11,911 12	-2,640 81	
EXELON CORP								
Symbol EXC Exchange OTC								
EAI $590 Current yield 3 18%	Oct 5, 15	317 000	30 410	9,639 97	45 590	14,452 03	4,812 06	LT
	Nov 3, 15	90 000	28 396	2,555 68	45 590	4,103 10	1,547 42	LT
Security total		407 000	29 965	12,195 65		18,555 13	6,359 48	
EXPEDITORS INTL WASH INC								
Symbol EXPD Exchange OTC								
EAI $447 Current yield 1 28%	Oct 5, 15	368 000	48 880	17,987 84	78 020	28,711 36	10,723 52	LT
	Jan 21, 16	79 000	43 469	3,434 12	78 020	6,163 58	2,729 46	LT
Security total		447 000	47 924	21,421 96		34,874 94	13,452 98	
EXXON MOBIL CORP								
Symbol XOM Exchange NYSE								
EAI $571 Current yield 4 99%	Oct 5, 15	9 000	77 013	693 12	69 780	628 02	-65 10	LT
	Jan 21, 16	30 000	74 210	2,226 30	69 780	2,093 40	-132 90	LT
	Mar 29, 18	125 000	74 461	9,307 64	69 780	8,722 50	-585 14	LT
Security total		164 000	74 555	12,227 06		11,443 92	-783 14	
FACEBOOK INC CL A								
Symbol FB Exchange OTC	Oct 5, 15	383 000	94 218	36,085 61	205 250	78,610 75	42,525 14	LT

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Strategic Wealth Portfolio
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919-967-2451/800-905-3009

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Aug 6, 18	100 000	182 806	18,280 67	205 250	20,525 00	2,244 33	LT
	Dec 28, 18	40 000	135 599	5,423 96	205 250	8,210 00	2,786 04	LT
Security total		523 000	114 322	59,790 24		107,345 75	47,555 51	
FACTSET RESH SYSTEMS INC Symbol FDS Exchange NYSE EAI $251 Current yield 1 08%	Oct 5, 15	71 000	160 981	11,429 68	268 300	19,049 30	7,619 62	LT
	Jan 21, 16	16 000	142 820	2,285 12	268 300	4,292 80	2,007 68	LT
Security total		87 000	157 641	13,714 80		23,342 10	9,627 30	
FEDERAL AGRICULTURAL MTG CORP CL C NON VTG Symbol AGM Exchange NYSE EAI $104 Current yield 3 37%	Sep 3, 19	2 000	80 520	161 04	83 500	167 00	5 96	ST
	Sep 4, 19	2 000	80 040	160 08	83 500	167 00	6 92	ST
	Sep 5, 19	2 000	81 755	163 51	83 500	167 00	3 49	ST
	Sep 6, 19	1 000	81 140	81 14	83 500	83 50	2 36	ST
	Sep 9, 19	3 000	83 120	249 36	83 500	250 50	1 14	ST
	Sep 10, 19	3 000	83 876	251 63	83 500	250 50	-1 13	ST
	Sep 11, 19	5 000	84 384	421 92	83 500	417 50	-4 42	ST
	Sep 12, 19	3 000	85 116	255 35	83 500	250 50	-4 85	ST
	Sep 13, 19	1 000	85 640	85 64	83 500	83 50	-2 14	ST
	Nov 13, 19	6 000	82 038	492 23	83 500	501 00	8 77	ST
	Nov 14, 19	4 000	82 047	328 19	83 500	334 00	5 81	ST
	Nov 15, 19	5 000	82 536	412 68	83 500	417 50	4 82	ST
Security total		37 000	82 778	3,062 77		3,089 50	26 73	
FIBROGEN INC Symbol FGEN Exchange OTC	Jan 25, 19	29 000	54 331	1,575 60	42 890	1,243 81	-331 79	ST
	Jan 30, 19	49 000	55 611	2,724 97	42 890	2,101 61	-623 36	ST
	Mar 27, 19	37 000	53 687	1,986 45	42 890	1,586 93	-399 52	ST
	Mar 28, 19	53 000	54 368	2,881 55	42 890	2 273 17	-608 38	ST
Security total		168 000	54 575	9,168 57		7,205 52	-1,963 05	

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Account name: THE MARGARET T PETRIE
Friendly account name: Petrie Pvt Fdn
Account number: EA 07913 LO

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
FIDELITY NATIONAL FINANCIAL IN COM								
Symbol FNF Exchange NYSE								
EAI $1,357 Current yield 2 91%	Dec 23, 16	100 000	24 922	2,492 21	45 350	4,535 00	2,042 79	LT
	Jan 4, 17	43 000	24 988	1,074 51	45 350	1,950 05	875 54	LT
	Jan 27, 17	28 000	25 337	709 46	45 350	1,269 80	560 34	LT
	Oct 16, 17	48 000	34 610	1,661 31	45 350	2,176 80	515 49	LT
	Feb 26, 18	419 000	40 315	16,892 20	45 350	19,001 65	2,109 45	LT
	Nov 2, 18	19 000	33 492	636 36	45 350	861 65	225 29	LT
	Jan 4, 19	58 000	32 332	1,875 26	45 350	2,630 30	755 04	ST
	Feb 5, 19	6 000	34 800	208 80	45 350	272 10	63 30	ST
	Mar 25, 19	79 000	36 610	2,892 21	45 350	3,582 65	690 44	ST
	Mar 26, 19	107 000	36 692	3,926 11	45 350	4,852 45	926 34	ST
	Mar 27, 19	39 000	37 378	1,457 77	45 350	1,768 65	310 88	ST
	May 7, 19	21 000	39 240	824 05	45 350	952 35	128 30	ST
	May 13, 19	48 000	38 410	1,843 71	45 350	2,176 80	333 09	ST
	Jul 31, 19	5 000	43 310	216 55	45 350	226 75	10 20	ST
	Oct 31, 19	8 000	45 685	365 48	45 350	362 80	-2 68	ST
Security total		1,028 000	36 066	37,075 99		46,619 80	9,543 81	
FORTINET INC								
Symbol FTNT Exchange OTC	Dec 27, 16	112 000	29 599	3,315 16	106 760	11,957 12	8,641 96	LT
	Jan 9, 19	1 000	72 880	72 88	106 760	106 76	33 88	ST
Security total		113 000	29 983	3,388 04		12,063 88	8,675 84	
FOUR CORNERS PPTY TRUST REIT								
Symbol FCPT Exchange NYSE								
EAI $193 Current yield 4 33%	Jul 30, 18	18 000	24 868	447 63	28 190	507 42	59 79	LT
	Jul 31, 18	47 000	24 880	1,169 37	28 190	1,324 93	155 56	LT
	Aug 1, 18	22 000	24 964	549 21	28 190	620 18	70 97	LT
	Aug 2, 18	37 000	25 743	952 50	28 190	1,043 03	90 53	LT
	May 7, 19	13 000	28 517	370 73	28 190	366 47	-4 26	ST
	May 8, 19	16 000	28 593	457 49	28 190	451 04	-6 45	ST
	May 9, 19	5 000	28 410	142 05	28 190	140 95	-1 10	ST

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UBS Strategic Wealth Portfolio
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Account name THE MARGAFET T PETRIE
Friendly account name Petrie Pvt Fdn
Account number: EA 07913 LC

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		158 000	25 880	4,088 98		4,454 02	365 04	
FRANKLIN RESOURCES INC Symbol BEN Exchange NYSE EAI $432 Current yield 4 16%	Oct 5, 15	400 000	38 574	15,429 76	25 980	10,392 00	-5,037 76	LT
GALAPAGOS NV SPON ADR Symbol GLPG Exchange OTC	Jul 8, 19	30 000	135 376	4,061 29	206 830	6,204 90	2,143 61	ST
	Aug 27, 19	29 000	167 982	4,871 48	206 830	5,998 07	1,126 59	ST
Security total		59 000	151 403	8,932 77		12,202 97	3,270 20	
GENMAB A/S SPON ADR Symbol GMAB Exchange OTC	May 15, 18	390 000	20 035	7,813 65	22 330	8,708 70	895 05	LT
	Jan 17, 19	274 000	16 065	4,401 95	22 330	6,118 42	1,716 47	ST
Security total		664 000	18 397	12,215 60		14,827 12	2,611 52	
GILEAD SCIENCES INC Symbol GILD Exchange OTC EAI $1,235 Current yield 3 88%	Apr 30, 19	377 000	64 753	24,412 00	64 980	24,497 46	85 46	ST
	Jun 6, 19	105 000	64 103	6,732 26[2]	64 980	6,822 90	90 64	ST
	Jul 31, 19	3 000	66 596	199 79	64 980	194 94	-4 85	ST
	Oct 31, 19	5 000	63 178	315 89	64 980	324 90	9 01	ST
Security total		490 000	64 612	31,659 94		31,840 20	180 26	
GIVAUDAN SA ADR Symbol GVDNY Exchange OTC EAI $137 Current yield 1 17%	May 15, 18	119 000	45 430	5,406 17	62 570	7,445 83	2,039 66	LT
	Oct 11, 19	68 000	56 209	3,822 26	62 570	4,254 76	432 50	ST
Security total		187 000	49 350	9,228 43		11,700 59	2,472 16	
GLAXO SMITHKLINE PLC ADR Symbol GSK Exchange NYSE EAI $1,509 Current yield 4 25%	Jan 3, 17	495 000	38 799	19,205 70	46 990	23,260 05	4,054 35	LT
	Jan 12, 18	260 000	37 538	9,759 98	46 990	12,217 40	2,457 42	LT
Security total		755 000	38 365	28,965 68		35,477 45	6,511 77	
GLOBE LIFE INC Symbol GL Exchange NYSE EAI $100 Current yield 0 66%	Oct 5, 15	145 000	56 996	8,264 48	105 250	15,261 25	6,996 77	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
GRACO INC								
Symbol GGG Exchange NYSE								
EAI $154 Current yield 1 35%	Oct 17, 17	94 000	41 832	3,932 30	52 000	4,888 00	955 70	LT
	Feb 22, 18	117 000	44 783	5,239 63	52 000	6,084 00	844 37	LT
	Jan 9, 19	9 000	42 660	383 94	52 000	468 00	84 06	ST
Security total		220 000	43 436	9,555 87		11,440 00	1,884 13	
GRIFOLS S A CL B SPON ADR								
Symbol GRFS Exchange OTC								
EAI $62 Current yield 1 26%	May 15, 18	212 000	22 939	4,863 26	23 290	4,937 48	74 22	LT
GUIDEWIRE SOFTWARE INC								
Symbol GWRE Exchange NYSE	Jul 7, 15	1 000	53 610	53 61	109 770	109 77	56 16	LT
	Oct 5, 15	4 000	54 660	218 64	109 770	439 08	220 44	LT
	Dec 2, 15	2 000	59 110	118 22	109 770	219 54	101 32	LT
	Jan 5, 16	3 000	58 070	174 21	109 770	329 31	155 10	LT
	Jan 21, 16	8 000	56 021	448 17	109 770	878 16	429 99	LT
	May 5, 16	2 000	55 340	110 68	109 770	219 54	108 86	LT
	Dec 27, 16	2 000	50 640	101 28	109 770	219 54	118 26	LT
	Mar 30, 17	25 000	56 430	1,410 76	109 770	2,744 25	1,333 49	LT
	Mar 31, 17	35 000	56 554	1,979 42	109 770	3,841 95	1,862 53	LT
	Jan 9, 19	3 000	84 220	252 66	109 770	329 31	76 65	ST
Security total		85 000	57 266	4,867 65		9,330 45	4,462 80	
H B FULLER CO								
Symbol FUL Exchange NYSE								
EAI $82 Current yield 1 24%	Oct 30, 17	20 000	56 953	1,139 07	51 570	1,031 40	-107 67	LT
	Nov 17, 17	36 000	54 428	1,959 41	51 570	1,856 52	-102 89	LT
	Feb 6, 18	34 000	48 793	1,658 97	51 570	1,753 38	94 41	LT
	Mar 8, 18	21 000	53 001	1,113 03	51 570	1,082 97	-30 06	LT
	Jul 12, 19	9 000	46 975	422 78	51 570	464 13	41 35	ST
	Jul 15, 19	8 000	46 762	374 10	51 570	412 56	38 46	ST
Security total		128 000	52 089	6,667 36		6,600 96	-66 40	

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UBS Strategic Wealth Portfolio
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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
HARTFORD FINCL SERVICES GROUP INC								
Symbol HIG Exchange NYSE								
EAI $444 Current yield 1 97%	Dec 13, 18	370 000	41 232	15,256 17	60 770	22,484 90	7,228 73	LT
HASBRO INC								
Symbol HAS Exchange OTC								
EAI $324 Current yield 2 58%	Jan 30, 17	1 000	83 330	83 33	105 610	105 61	22 28	LT
	Oct 26, 17	45 000	94 881	4,269 67	105 610	4,752 45	482 78	LT
	Nov 17, 17	18 000	96 420	1,735 56	105 610	1,900 98	165 42	LT
	Feb 26, 18	12 000	98 407	1,180 89	105 610	1,267 32	86 43	LT
	May 10, 18	12 000	87 354	1,048 25	105 610	1,267 32	219 07	LT
	Nov 20, 18	8 000	94 046	752 37	105 610	844 88	92 51	LT
	Dec 3, 18	13 000	89 840	1,167 93	105 610	1,372 93	205 00	LT
	Jan 29, 19	10 000	90 754	907 54	105 610	1,056 10	148 56	ST
Security total		119 000	93 660	11,145 54		12,567 59	1,422 05	
HAWAIIAN HOLDINGS INC								
Symbol HA Exchange OTC								
EAI $102 Current yield 1 64%	Nov 9, 17	71 000	33 039	2,345 82	29 290	2,079 59	-266 23	LT
	Jan 18, 18	95 000	38 160	3,625 27	29 290	2,782 55	-842 72	LT
	Jun 19, 18	25 000	38 460	961 51	29 290	732 25	-229 26	LT
	Jun 20, 18	3 000	39 010	117 03	29 290	87 87	-29 16	LT
	Jan 29, 19	18 000	33 467	602 42	29 290	527 22	-75 20	ST
Security total		212 000	36 095	7,652 05		6,209 48	-1,442 57	
HDFC BANK LTD ADR REPSTG 3 ORD SHS								
Symbol HDB Exchange NYSE								
EAI $62 Current yield 0 50%	May 15, 18	196 000	49 673	9,735 91	63 370	12,420 52	2,684 61	LT
HELIX ENERGY SOLUTIONS GROUP INC								
Symbol HLX Exchange NYSE	Oct 5, 18	96 000	10 180	977 37	9 630	924 48	-52 89	LT
	Oct 8, 18	72 000	10 255	738 37	9 630	693 36	-45 01	LT
	Oct 9, 18	212 000	10 732	2,275 23	9 630	2,041 56	-233 67	LT
	Oct 10, 18	26 000	10 536	273 95	9 630	250 38	-23 57	LT

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Your assets › **Equities** › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jan 9, 19	34 000	6 608	224 68	9 630	327 42	102 74	ST
Security total		440 000	10 204	4,489 60		4,237 20	-252 40	
HENRY JACK & ASSOC INC								
Symbol JKHY Exchange OTC								
EAI $114 Current yield 1 10%	Jun 26, 18	18 000	130 065	2,341 18	145 670	2,622 06	280 88	LT
	Jun 27, 18	25 000	129 250	3,231 25	145 670	3,641 75	410 50	LT
	Jun 28, 18	25 000	128 723	3,218 08	145 670	3,641 75	423 67	LT
	Jan 9, 19	3 000	125 996	377 99	145 670	437 01	59 02	ST
Security total		71 000	129 134	9,168 50		10,342 57	1,174 07	
HERMES INTL SCA ADR								
Symbol HESAY Exchange OTC								
EAI $28 Current yield 0 55%	Dec 12, 18	67 000	54 862	3,675 82	75 400	5,051 80	1,375 98	LT
HOME DEPOT INC								
Symbol HD Exchange NYSE								
EAI $653 Current yield 2 49%	Mar 27, 19	82 000	189 585	15,545 98	218 380	17,907 16	2,361 18	ST
	Jun 6, 19	37 000	196 843	7,283 22	218 380	8,080 06	796 84	ST
	Oct 31, 19	1 000	234 660	234 66	218 380	218 38	-16 28	ST
Security total		120 000	192 199	23,063 86		26,205 60	3,141 74	
HONDA MOTOR CO ADR JAPAN ADR								
Symbol HMC Exchange NYSE								
EAI $605 Current yield 3 10%	Jan 21, 16	80 000	27 809	2,224 79	28 310	2,264 80	40 01	LT
	Jan 3, 17	610 000	29 548	18,024 46	28 310	17,269 10	-755 36	LT
Security total		690 000	29 347	20,249 25		19,533 90	-715 35	
HONG KONG EXCHANGES & CLEARING LTD ADR								
Symbol HKXCY Exchange OTC								
EAI $289 Current yield 2 37%	Jan 17, 19	128 000	30 490	3,902 79	32 480	4,157 44	254 65	ST
	Mar 28, 19	121 000	33 947	4,107 59	32 480	3,930 08	-177 51	ST
	Jul 8, 19	127 000	35 111	4,459 14	32 480	4,124 96	-334 18	ST
Security total		376 000	33 164	12,469 52		12,212 48	-257 04	
HOPE BANCORP INC COM								
Symbol HOPE Exchange OTC								
EAI $121 Current yield 3 77%	Jun 7, 17	28 000	18 291	512 16	14 860	416 08	-96 08	LT
	Jun 8, 17	15 000	18 906	283 60	14 860	222 90	-60 70	LT

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UBS Strategic Wealth Portfolio
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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Aug 2, 17	18 000	17 797	320 35	14 860	267 48	-52 87	LT
	Aug 3, 17	35 000	17 744	621 06	14 860	520 10	-100 96	LT
	Dec 13, 18	60 000	13 013	780 79	14 860	891 60	110 81	LT
	May 7, 19	22 000	14 266	313 86	14 860	326 92	13 06	ST
	May 8, 19	27 000	14 249	384 73	14 860	401 22	16 49	ST
	May 9, 19	11 000	14 147	155 62	14 860	163 46	7 84	ST
Security total		216 000	15 612	3,372 17		3,209 76	-162 41	
HOYA CORP SPON ADR Symbol HOCPY Exchange OTC EAI $53 Current yield 0 67%	Nov 13, 18	21 000	60 074	1,261 57	96 400	2,024 40	762 83	LT
	Jul 8, 19	61 000	73 618	4,490 75	96 400	5,880 40	1,389 65	ST
Security total		82 000	70 150	5,752 32		7,904 80	2,152 48	
HUBBELL INC Symbol HUBB Exchange NYSE EAI $142 Current yield 2 46%	Oct 5, 15	19 000	88 200	1,675 80	147 820	2,808 58	1,132 78	LT
	Dec 21, 15	3 000	94 160	282 48	147 820	443 46	160 98	LT
	Dec 22, 15	7 000	96 185	673 30	147 820	1,034 74	361 44	LT
	Jan 5, 16	4 000	100 700	402 80	147 820	591 28	188 48	LT
	Jan 22, 16	6 000	87 330	523 98	147 820	886 92	362 94	LT
Security total		39 000	91 240	3,558 36		5,764 98	2,206 62	
HUNTINGTON BANCSHARES Symbol HBAN Exchange OTC EAI $961 Current yield 3 98%	Jan 22, 18	1,007 000	15 982	16,094 27	15 080	15,185 56	-908 71	LT
	Mar 9, 18	534 000	16 476	8,798 30	15 080	8,052 72	-745 58	LT
	Feb 5, 19	16 000	13 287	212 60	15 080	241 28	28 68	ST
	May 13, 19	10 000	13 068	130 68	15 080	150 80	20 12	ST
	Jul 31, 19	16 000	14 348	229 57	15 080	241 28	11 71	ST
	Oct 31, 19	18 000	13 967	251 42	15 080	271 44	20 02	ST
Security total		1,601 000	16 063	25,716 84		24,143 08	-1,573 76	
HUNTSMAN CORP Symbol HUN Exchange NYSE EAI $345 Current yield 2 69%	Jan 5, 16	10 000	10 746	107 46	24 160	241 60	134 14	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jan 19, 16	48 000	8 317	399 24	24 160	1,159 68	760 44	LT
	Jan 22, 16	86 000	8 366	719 48	24 160	2,077 76	1,358 28	LT
	Jan 26, 16	96 000	8 175	784 88	24 160	2,319 36	1,534 48	LT
	May 10, 18	34 000	31 614	1,074 88	24 160	821 44	-253 44	LT
	May 7, 19	33 000	20 480	675 86	24 160	797 28	121 42	ST
	Jul 15, 19	53 000	20 113	1,066 04	24 160	1,280 48	214 44	ST
	Jul 16, 19	90 000	20 618	1,855 63	24 160	2,174 40	318 77	ST
	Jul 17, 19	40 000	20 348	813 95	24 160	966 40	152 45	ST
	Aug 19, 19	32 000	19 763	632 42	24 160	773 12	140 70	ST
	Aug 20, 19	8 000	19 516	156 13	24 160	193 28	37 15	ST
Security total		530 000	15 634	8,285 97		12,804 80	4,518 83	
HUTCHISON CHINA MEDITECH LTD SPON ADR								
Symbol HCM Exchange OTC	Dec 12, 18	126 000	32 990	4,156 84	25 070	3,158 82	-998 02	LT
IAA INC								
Symbol IAA Exchange NYSE	Jun 26, 18	68 000	32 987	2,243 12	47 060	3,200 08	956 96	LT
	Jun 27, 18	40 000	33 438	1,337 54	47 060	1,882 40	544 86	LT
	Mar 6, 19	91 000	29 576	2,691 46	47 060	4,282 46	1,591 00	ST
Security total		199 000	31 518	6,272 12		9,364 94	3,092 82	
IAC INTERACTIVECORP								
Symbol IAC Exchange OTC	Dec 2, 15	1 000	62 550	62 55	249 110	249 11	186 56	LT
	Jan 5, 16	9 000	59 226	533 04	249 110	2,241 99	1,708 95	LT
	Jan 22, 16	27 000	52 678	1,422 31	249 110	6,725 97	5,303 66	LT
	May 5, 16	10 000	53 269	617 40[2]	249 110	2,491 10	1,873 70	LT
	May 6, 16	1 000	53 830	62 30[2]	249 110	249 11	186 81	LT
	May 9, 16	2 000	54 050	122 43[2]	249 110	498 22	375 79	LT
	Dec 27, 16	3 000	65 680	197 04	249 110	747 33	550 29	LT
	Jan 9, 19	2 000	185 525	371 05	249 110	498 22	127 17	ST
Security total		55 000	61 602	3,388 12		13,701 05	10,312 93	
IBERDROLA SA SPON ADR								
Symbol IBDRY Exchange OTC								
EAI $393 Current yield 3 09%	May 15, 18	156 000	30 995	4,835 22	41 310	6,444 36	1,609 14	LT
	Dec 12, 18	152 000	30 939	4,702 83	41 310	6,279 12	1,576 29	LT

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UBS Strategic Wealth Portfolio
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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		308 000	30 968	9,538 05		12,723 48	3,185 43	
IDACORP INC (HOLDING COMPANY)								
Symbol IDA Exchange NYSE								
EAI $64 Current yield 2 50%	Sep 25, 19	13 000	112 719	1,465 35	106 800	1,388 40	-76 95	ST
	Nov 13, 19	11 000	104 387	1,148 26	106 800	1,174 80	26 54	ST
Security total		24 000	108 900	2,613 61		2,563 20	-50 41	
IMMUNOMEDICS INC								
Symbol IMMU Exchange OTC	Feb 26, 19	286 000	16 343	4,674 21	21 160	6,051 76	1,377 55	ST
	Mar 27, 19	131 000	17 952	2,351 84	21 160	2,771 96	420 12	ST
	Mar 28, 19	87 000	18 660	1,623 47	21 160	1,840 92	217 45	ST
	Dec 5, 19	181 000	18 361	3,323 49	21 160	3,829 96	506 47	ST
Security total		685 000	17 479	11,973 01		14,494 60	2,521 59	
IMPERIAL BRANDS PLC SPON ADR								
Symbol IMBBY Exchange OTC								
EAI $614 Current yield 10 36%	May 15, 18	239 000	37 739	9,019 83	24 800	5,927 20	-3,092 63	LT
INGREDION INC COM								
Symbol INGR Exchange NYSE								
EAI $302 Current yield 2 71%	Oct 5, 15	11 000	90 300	993 30	92 950	1,022 45	29 15	LT
	Dec 2, 15	1 000	97 630	97 63	92 950	92 95	-4 68	LT
	Jan 5, 16	2 000	94 925	189 85	92 950	185 90	-3 95	LT
	Jan 22, 16	6 000	89 181	535 09	92 950	557 70	22 61	LT
	Dec 2, 16	9 000	118 648	1,067 84	92 950	836 55	-231 29	LT
	Feb 6, 17	11 000	117 218	1,289 40	92 950	1,022 45	-266 95	LT
	Oct 2, 17	9 000	122 521	1,102 69	92 950	836 55	-266 14	LT
	Jun 19, 18	15 000	115 022	1,725 33	92 950	1,394 25	-331 08	LT
	May 16, 19	14 000	85 122	1,191 72	92 950	1,301 30	109 58	ST
	May 17, 19	34 000	83 641	2,843 80	92 950	3,160 30	316 50	ST
	Aug 19, 19	8 000	76 611	612 89	92 950	743 60	130 71	ST
Security total		120 000	97 080	11,649 54		11,154 00	-495 54	
INSPERITY INC COM								
Symbol NSP Exchange NYSE								
EAI $72 Current yield 1 39%	Jul 22, 19	19 000	137 438	2,611 33	86 040	1,634 76	-976 57	ST

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UBS Strategic Wealth Portfolio
December 2019

Account name: THE MARGARET T PETRIE
Friendly account name: Petrie Pvt Fdn
Account number: EA 07913 LO

Your Financial Advisor:
LIVE OAK WEALTH MANAGEMENT
919-967-2451/800-905-3009

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jul 23, 19	30 000	138 562	4,156 88	86 040	2,581 20	-1,575 68	ST
	Jul 24, 19	11 000	139 852	1,538 38	86 040	946 44	-591 94	ST
Security total		60 000	138 443	8,306 59		5,162 40	-3,144 19	
INTER PARFUMS INC Symbol IPAR Exchange OTC EAI $28 Current yield 1 83%	Oct 4, 19	3 000	70 886	212 66	72 710	218 13	5 47	ST
	Oct 7, 19	3 000	70 596	211 79	72 710	218 13	6 34	ST
	Oct 8, 19	4 000	68 675	274 70	72 710	290 84	16 14	ST
	Oct 9, 19	2 000	68 955	137 91	72 710	145 42	7 51	ST
	Oct 10, 19	9 000	69 493	625 44	72 710	654 39	28 95	ST
Security total		21 000	69 643	1,462 50		1,526 91	64 41	
INTERCONTINENTAL HOTELS GROUP PLC NEW SPON ADR Symbol IHG Exchange NYSE EAI $107 Current yield 1 68%	May 15, 18	93 000	67 651	6,291 59	68 670	6,386 31	94 72	LT
IRHYTHM TECHNOLOGIES INC Symbol IRTC Exchange OTC	May 23, 19	15 000	66 227	993 41	68 090	1,021 35	27 94	ST
	May 24, 19	16 000	68 102	1,089 64	68 090	1,089 44	-0 20	ST
	May 28, 19	21 000	69 688	1,463 46	68 090	1,429 89	-33 57	ST
	May 29, 19	14 000	68 359	957 03	68 090	953 26	-3 77	ST
Security total		66 000	68 235	4,503 54		4,493 94	-9 60	
JAMES RIV GROUP HLDGS LTD Symbol JRVR Exchange OTC EAI $332 Current yield 2 91%	Sep 7, 17	3 000	37 273	111 82	41 210	123 63	11 81	LT
	Sep 8, 17	35 000	38 571	1,350 00	41 210	1,442 35	92 35	LT
	Nov 21, 17	27 000	38 685	1,044 50	41 210	1,112 67	68 17	LT
	Nov 22, 17	18 000	38 755	697 59	41 210	741 78	44 19	LT
	Dec 12, 17	3 000	39 773	119 32	41 210	123 63	4 31	LT
	Dec 13, 17	36 000	40 359	1,452 94	41 210	1,483 56	30 62	LT
	Feb 6, 18	14 000	36 827	515 58	41 210	576 94	61 36	LT
	Feb 7, 18	52 000	40 374	2,099 49	41 210	2,142 92	43 43	LT
	Feb 26, 18	35 000	33 880	1,185 81	41 210	1,442 35	256 54	LT
	May 10, 18	11 000	38 403	442 05²	41 210	453 31	11 26	LT

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Account name THE MARGARET T PETRIE
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Your Financial Advisor
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919-967-2451/800-905-3009

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	May 11, 18	17 000	37 182	657 07²	41 210	700 57	43 50	LT
	May 31, 18	26 000	37 995	987 88	41 210	1,071 46	83 58	LT
Security total		277 000	38 498	10,664 05		11,415 17	751 12	
JARDINE MATHESON HD-UNSP ADR ADR Symbol JMHLY Exchange OTC EAI $118 Current yield 2 93%	May 15, 18	73 000	61 930	4,520 89	55 250	4,033 25	-487 64	LT
JOHNSON & JOHNSON COM Symbol JNJ Exchange NYSE EAI $600 Current yield 2 60%	Oct 5, 15	158 000	99 437	15,711 10	145 870	23,047 46	7,336 36	LT
JPMORGAN CHASE & CO Symbol JPM Exchange NYSE EAI $677 Current yield 2 58%	Jun 25, 19	186 000	108 373	20,157 40	139 400	25,928 40	5,771 00	ST
	Oct 31, 19	2 000	124 520	249 04	139 400	278 80	29 76	ST
Security total		188 000	108 545	20,406 44		26,207 20	5,800 76	
KERING S A UN SPONSORED ADR Symbol PPRUY Exchange OTC EAI $182 Current yield 1 35%	May 15, 18	206 000	61 042	12,574 77	65 360	13,464 16	889 39	LT
KEYENCE CORP ORD JPY Symbol KYCCF Exchange OTC EAI $124 Current yield 0 38% JPY Exchange rate 108 67500	May 15, 18	54 000	309 999	16,739 96	358 649	19,367 05	2,627 09	LT
	Sep 26, 18	28 000	284 871	7,976 41	358 649	10,042 17	2,065 76	LT
	Feb 12, 19	2 000	282 125	564 25	358 649	717 30	153 05	ST
	Feb 13, 19	6 000	286 500	1,719 00	358 649	2,151 89	432 89	ST
Security total		90 000	299 996	26,999 62		32,278 41	5,278 79	
KIMCO REALTY CORP Symbol KIM Exchange NYSE EAI $939 Current yield 5 41%	Aug 14, 19	838 000	18 443	15,456 07	20 710	17,354 98	1,898 91	ST
KONINKLIJKE PHILIPS NV SPON ADR Symbol PHG Exchange NYSE	Mar 28, 19	87 000	40 517	3,524 99	48 800	4,245 60	720 61	ST
	May 8, 19	153 000	41 577	6,361 30	48 800	7,466 40	1,105 10	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		240 000	41 193	9,886 29		11,712 00	1,825 71	
KOSE CORP UNSPONSORED ADR								
Symbol KSRYY Exchange OTC								
EAI $70 Current yield 0 86%	Mar 28, 19	153 000	36 015	5,510 33	29 185	4,465 31	-1,045 02	ST
	Aug 27, 19	125 000	33 367	4,170 94	29 185	3,648 13	-522 81	ST
Security total		278 000	34 825	9,681 27		8,113 43	-1,567 83	
KROGER COMPANY								
Symbol KR Exchange NYSE								
EAI $435 Current yield 2 21%	Mar 13, 18	80 000	24 028	1,922 29	28 990	2,319 20	396 91	LT
	Mar 19, 19	240 000	24 450	5,868 05	28 990	6,957 60	1,089 55	ST
	Jul 25, 19	360 000	21 299	7,667 78	28 990	10,436 40	2,768 62	ST
Security total		680 000	22 733	15,458 12		19,713 20	4,255 08	
KULICKE & SOFFA IND								
Symbol KLIC Exchange OTC								
EAI $225 Current yield 1 76%	Jul 26, 18	32 000	26 429	855 22[2]	27 200	870 40	15 18	LT
	Jul 27, 18	36 000	26 844	974 42[2]	27 200	979 20	4 78	LT
	Jul 30, 18	71 000	26 380	1,873 04	27 200	1,931 20	58 16	LT
	Jul 31, 18	40 000	26 369	1,054 78	27 200	1,088 00	33 22	LT
	Sep 13, 18	17 000	24 684	419 63	27 200	462 40	42 77	LT
	Sep 14, 18	42 000	25 178	1,057 50	27 200	1,142 40	84 90	LT
	Sep 17, 18	28 000	25 028	700 80	27 200	761 60	60 80	LT
	Sep 18, 18	11 000	25 205	277 26	27 200	299 20	21 94	LT
	Oct 16, 18	31 000	21 941	680 18	27 200	843 20	163 02	LT
	Oct 17, 18	25 000	21 956	548 92	27 200	680 00	131 08	LT
	Nov 20, 18	27 000	21 468	579 65	27 200	734 40	154 75	LT
	Nov 21, 18	11 000	20 106	221 17	27 200	299 20	78 03	LT
	Dec 26, 18	57 000	18 627	1,061 74	27 200	1,550 40	488 66	LT
	Sep 18, 19	27 000	23 639	638 26	27 200	734 40	96 14	ST
	Sep 19, 19	14 000	23 997	335 96	27 200	380 80	44 84	ST
Security total		469 000	24 048	11,278 53		12,756 80	1,478 27	
LAMAR ADVERTISING CO NEW CL A								
Symbol LAMR Exchange OTC								
EAI $591 Current yield 4 30%	Jan 5, 16	7 000	57 338	401 37	89 260	624 82	223 45	LT

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Account number. EA 07913 LO

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jan 21, 16	52 000	52 080	2,708 17	89 260	4,641 52	1,933 35	LT
	Jun 14, 17	84 000	69 146	5,808 30	89 260	7,497 84	1,689 54	LT
	Feb 5, 19	3 000	75 263	225 79	89 260	267 78	41 99	ST
	May 13, 19	3 000	80 510	241 53	89 260	267 78	26 25	ST
	Jul 31, 19	2 000	81 345	162 69	89 260	178 52	15 83	ST
	Oct 31, 19	3 000	80 283	240 85	89 260	267 78	26 93	ST
Security total		154 000	63 563	9,788 70		13,746 04	3,957 34	
LCI INDUSTRIES Symbol LCII Exchange NYSE EAI $278 Current yield 2 43%	Jul 17, 18	3 000	95 163	285 49	107 130	321 39	35 90	LT
	Jul 18, 18	6 000	96 798	580 79	107 130	642 78	61 99	LT
	Jul 19, 18	12 000	98 865	1,186 39	107 130	1,285 56	99 17	LT
	Jul 20, 18	31 000	98 126	3,041 92	107 130	3,321 03	279 11	LT
	Dec 3, 18	18 000	79 945	1,439 01	107 130	1,928 34	489 33	LT
	Dec 26, 18	23 000	62 379	1,434 72	107 130	2,463 99	1,029 27	LT
	Jan 4, 19	14 000	70 966	993 53	107 130	1,499 82	506 29	ST
Security total		107 000	83 756	8,961 85		11,462 91	2,501 06	
LEGG MASON INC Symbol LM Exchange NYSE EAI $403 Current yield 4 45%	Mar 27, 18	87 000	39 758	3,459 03	35 910	3,124 17	-334 86	LT
	Jul 25, 18	127 000	33 680	4,406 94²	35 910	4,560 57	153 63	LT
	Jul 26, 18	17 000	33 125	563 13	35 910	610 47	47 34	LT
	Jan 9, 19	21 000	26 930	565 53	35 910	754 11	188 58	ST
Security total		252 000	35 693	8,994 63		9,049 32	54 69	
LEIDOS HLDGS INC Symbol LDOS Exchange NYSE EAI $166 Current yield 1 39%	Aug 19, 16	23 000	39 429	906 88	97 890	2,251 47	1,344 59	LT
	Sep 6, 16	54 000	41 433	2,237 43	97 890	5,286 06	3,048 63	LT
	Apr 27, 17	19 000	54 022	1,026 43	97 890	1,859 91	833 48	LT
	Apr 27, 18	14 000	65 805	921 28	97 890	1,370 46	449 18	LT
	Jan 23, 19	12 000	56 203	674 44	97 890	1,174 68	500 24	ST
Security total		122 000	47 266	5,766 46		11,942 58	6,176 12	

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UBS Strategic Wealth Portfolio
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Account name: THE MARGARET T PETRIE
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Account number: EA 07913 LC

Your Financial Advisor:
LIVE OAK WEALTH MANAGEMENT
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Your assets , **Equities** , **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
LEMAITRE VASCULAR INC								
Symbol LMAT Exchange OTC								
EAI $17 Current yield 0 97%	Nov 25, 19	15 000	35 935	539 03	35 950	539 25	0 22	ST
	Nov 26, 19	6 000	36 020	216 12	35 950	215 70	-0 42	ST
	Nov 27, 19	7 000	35 832	250 83	35 950	251 65	0 82	ST
	Nov 29, 19	2 000	35 450	70 90	35 950	71 90	1 00	ST
	Dec 2, 19	5 000	35 378	176 89	35 950	179 75	2 86	ST
	Dec 3, 19	9 000	35 927	323 35	35 950	323 55	0 20	ST
	Dec 4, 19	5 000	36 004	180 02	35 950	179 75	-0 27	ST
Security total		49 000	35 860	1,757 14		1,761 55	4 41	
LIBERTY MEDIA CORP-LIBERTY								
FORMULA ONE SER C								
Symbol FWONK Exchange OTC	Nov 8, 17	146 000	37 701	5,504 49	45 965	6,710 89	1,206 40	LT
	Nov 9, 17	31 000	38 369	1,189 46	45 965	1,424 92	235 46	LT
	Jan 9, 19	5 000	32 170	160 85	45 965	229 83	68 98	ST
Security total		182 000	37 664	6,854 80		8,365 63	1,510 84	
LINCOLN ELEC HOLDINGS NEW								
Symbol LECO Exchange OTC								
EAI $186 Current yield 2 02%	Oct 5, 15	17 000	55 975	951 58	96 730	1,644 41	692 83	LT
	Dec 2, 15	1 000	56 390	56 39	96 730	96 73	40 34	LT
	Jan 5, 16	8 000	51 715	413 72	96 730	773 84	360 12	LT
	Jan 22, 16	14 000	46 210	646 95	96 730	1,354 22	707 27	LT
	May 31, 18	15 000	89 510	1,342 65	96 730	1,450 95	108 30	LT
	Dec 13, 18	11 000	81 523	896 76	96 730	1,064 03	167 27	LT
	May 13, 19	11 000	81 050	891 55	96 730	1,064 03	172 48	ST
	Jul 2, 19	18 000	81 645	1,469 61	96 730	1,741 14	271 53	ST
Security total		95 000	70 202	6,669 21		9,189 35	2,520 14	
LITTELFUSE INC								
Symbol LFUS Exchange OTC								
EAI $140 Current yield 1 00%	Oct 5, 15	17 000	91 000	1,547 00	191 300	3,252 10	1,705 10	LT
	Jan 5, 16	2 000	104 180	208 36	191 300	382 60	174 24	LT
	Jan 22, 16	7 000	96 800	677 60	191 300	1,339 10	661 50	LT
	May 6, 16	3 000	111 050	333 15	191 300	573 90	240 75	LT

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UBS Strategic Wealth Portfolio
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LIVE OAK WEALTH MANAGEMENT
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Your assets , **Equities** , **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Mar 8, 18	5 000	211 624	1,058 12	191 300	956 50	-101 62	LT
	May 10, 18	9 000	218 783	1,969 05	191 300	1,721 70	-247 35	LT
	May 11, 18	4 000	216 682	866 73	191 300	765 20	-101 53	LT
	Oct 10, 18	5 000	178 774	893 87	191 300	956 50	62 63	LT
	Dec 26, 18	16 000	162 223	2,595 58	191-300	3,060 80	465 22	LT
	Jul 12, 19	5 000	170 578	852 89	191 300	956 50	103 61	ST
Security total		73 000	150 717	11,002 35		13,964 90	2,962 55	
LIVE NATION ENTERTAINMENT INC Symbol LYV Exchange OTC	Sep 7, 17	41 000	40 210	1,648 64	71 470	2,930 27	1,281 63	LT
	Sep 8, 17	49 000	40 470	1,983 03	71 470	3,502 03	1,519 00	LT
	Oct 3, 17	85 000	42 488	3,611 50	71 470	6,074 95	2,463 45	LT
	Jan 11, 18	94 000	43 783	4,115 61	71 470	6,718 18	2,602 57	LT
	Jan 9, 19	6 000	52 720	316 32	71 470	428 82	112 50	ST
Security total		275 000	42 455	11,675 10		19,654 25	7,979 15	
LLOYDS BANKING GROUP PLC SPON ADR Symbol LYG Exchange NYSE EAI $182 Current yield 4 94%	Apr 12, 19	1,112 000	3 380	3,758 56	3 310	3,680 72	-77 84	ST
LONDON STK EXCHANGE UNSPONSORED ADR Symbol LNSTY Exchange OTC EAI $179 Current yield 0 67%	May 15, 18	1,022 000	15 226	15,561 16	26 007	26,579 15	11,017 99	LT
LOREAL CO ADR FRANCE ADR Symbol LRLCY Exchange OTC EAI $193 Current yield 1 15%	May 15, 18	286 000	48 093	13,754 84	58 870	16,836 82	3,081 98	LT
LOWES COMPANIES INC Symbol LOW Exchange NYSE EAI $341 Current yield 1 84%	Aug 22, 17	35 000	75 289	2,635 13	119 760	4,191 60	1,556 47	LT
	Aug 23, 17	120 000	72 468	8,696 21	119 760	14,371 20	5,674 99	LT
Security total		155 000	73 105	11,331 34		18,562 80	7,231 46	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
LVMH MOET HENNESSY LOUIS NEW ADR Symbol LVMUY Exchange OTC EAI $156 Current yield 1 21%	May 15, 18	138 000	70 987	9,796 25	93 270	12,871 26	3,075 01	LT
LYONDELLBASELL INDUSTRIES N V SHS - A - CL A EUR Symbol LYB Exchange NYSE EAI $1,084 Current yield 4 45% EUR Exchange rate 0 89086	Dec 23, 14	85 000	82 364	7,001 02	94 480	8,030 80	1,029 78	LT
	Jan 21, 16	23 000	72 990	1,678 77	94 480	2,173 04	494 27	LT
	May 5, 16	16 000	81 879	1,309 77	94 480	1,511 68	202 41	LT
	Dec 5, 17	49 000	104 820	5,136 18	94 480	4,629 52	-506 66	LT
	Apr 27, 18	75 000	106 831	8,012 36	94 480	7,086 00	-926 36	LT
	Feb 5, 19	4 000	87 250	349 00	94 480	377 92	28 92	ST
	May 13, 19	1 000	79 190	79 19	94 480	94 48	15 29	ST
	Jul 31, 19	2 000	83 585	167 17	94 480	188 96	21 79	ST
	Oct 31, 19	3 000	90 153	270 46	94 480	283 44	12 98	ST
Security total		258 000	93 037	24,003 42		24,375 84	372 42	
MAGNA INTL INC CL A SUB VTG CANADA ORD Symbol MGA Exchange NYSE EAI $729 Current yield 2 66%	Mar 21, 19	248 000	48 942	12,137 86	54 840	13,600 32	1,462 46	ST
	Mar 22, 19	241 000	47 747	11,507 03	54 840	13,216 44	1,709 41	ST
	Jul 31, 19	4 000	50 397	201 59	54 840	219 36	17 77	ST
	Oct 31, 19	6 000	53 700	322 20	54 840	329 04	6 84	ST
Security total		499 000	48 434	24,168 68		27,365 16	3,196 48	
MAXIM INTEGRATED PRODS INC Symbol MXIM Exchange OTC EAI $330 Current yield 3 12%	Aug 19, 19	117 000	54 826	6,414 68	61 510	7,196 67	781 99	ST
	Aug 20, 19	55 000	54 474	2,996 12	61 510	3,383 05	386 93	ST
Security total		172 000	54 714	9,410 80		10,579 72	1,168 92	
MCKESSON CORP Symbol MCK Exchange NYSE EAI $205 Current yield 1 19%	Nov 30, 16	10 000	143 849	1,438 49	138 320	1,383 20	-55 29	LT

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UBS Strategic Wealth Portfolio
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LIVE OAK WEALTH MANAGEMENT
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Your assets , **Equities** , **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Mar 8, 17	55 000	148 342	8,158 84	138 320	7,607 60	-551 24	LT
	Oct 1, 18	60 000	132 823	7,969 38	138 320	8,299 20	329 82	LT
Security total		125 000	140 534	17,566 71		17,290 00	-276 71	
MEDICAL PROPERTIES TRUST INC REIT Symbol MPW Exchange NYSE EAI $599 Current yield 4 93%	Jan 22, 16	40 000	10 142	405 69	21 110	844 40	438 71	LT
	Nov 9, 16	152 000	11 671	1,774 04	21 110	3,208 72	1,434 68	LT
	Nov 14, 16	140 000	11 966	1,675 30	21 110	2,955 40	1,280 10	LT
	Nov 28, 16	38 000	11 930	453 34	21 110	802 18	348 84	LT
	Mar 7, 17	68 000	12 451	846 71	21 110	1,435 48	588 77	LT
	Feb 6, 18	138 000	12 194	1,682 85	21 110	2,913 18	1,230 33	LT
Security total		576 000	11 871	6,837 93		12,159 36	5,321 43	
MEDTRONIC PLC Symbol MDT Exchange NYSE EAI $335 Current yield 1 91%	Oct 5, 15	90 000	70 547	6,349 29	113 450	10,210 50	3,861 21	LT
	Jan 3, 17	65 000	70 486	4,581 60	113 450	7,374 25	2,792 65	LT
Security total		155 000	70 522	10,930 89		17,584 75	6,653 86	
MERCADOLIBRE INC Symbol MELI Exchange OTC	May 15, 18	8 000	293 060	2,344 48	571 940	4,575 52	2,231 04	LT
MERCK & CO INC NEW COM Symbol MRK Exchange NYSE EAI $427 Current yield 2 68%	Oct 5, 15	166 000	57 821	9,598 29	90 950	15,097 70	5,499 41	LT
	Dec 2, 15	5 000	54 500	272 50	90 950	454 75	182 25	LT
	Jan 5, 16	4 000	52 990	211 96	90 950	363 80	151 84	LT
Security total		175 000	57 616	10,082 75		15,916 25	5,833 50	
MICROSOFT CORP Symbol MSFT Exchange OTC EAI $694 Current yield 1 29%	Oct 5, 15	297 000	46 009	13,664 94	157 700	46,836 90	33,171 96	LT
	Oct 5, 15	43 000	50 941	2,190 48	157 700	6,781 10	4,590 62	LT
Security total		340 000	46 634	15,855 42		53,618 00	37,762 58	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
MKS INSTRUMENTS INC								
Symbol MKSI Exchange OTC								
EAI $129 Current yield 0 73%	Dec 8, 17	4 000	96 547	386 19	110 010	440 04	53 85	LT
	Jan 30, 18	21 000	102 127	2,144 67	110 010	2,310 21	165 54	LT
	Apr 6, 18	12 000	112 045	1,344 54	110 010	1,320 12	-24 42	LT
	Apr 27, 18	28 000	104 680	2,931 06	110 010	3,080 28	149 22	LT
	May 10, 18	21 000	115 234	2,419 92	110 010	2,310 21	-109 71	LT
	Jun 19, 18	11 000	103 905	1,142 96	110 010	1,210 11	67 15	LT
	Aug 20, 18	6 000	89 495	536 97	110 010	660 06	123 09	LT
	Sep 17, 18	21 000	83 330	1,749 94	110 010	2,310 21	560 27	LT
	Oct 16, 18	13 000	77 065	1,001 85	110 010	1,430 13	428 28	LT
	Nov 20, 18	7 000	72 138	504 97	110 010	770 07	265 10	LT
	Nov 21, 18	4 000	73 507	294 03	110 010	440 04	146 01	LT
	Jan 4, 19	13 000	65 977	857 71	110 010	1,430 13	572 42	ST
Security total		161 000	95 123	15,314 81		17,711 61	2,396 80	
MOHAWK INDUSTRIES INC								
Symbol MHK Exchange NYSE	Sep 17, 18	90 000	187 714	16,894 26	136 380	12,274 20	-4,620 06	LT
	Dec 31, 18	30 000	116 993	3,509 79	136 380	4,091 40	581 61	LT
Security total		120 000	170 034	20,404 05		16,365 60	-4,038 45	
MOLINA HEALTHCARE INC								
Symbol MOH Exchange NYSE	Jan 30, 19	53 000	134 085	7,106 52	135 690	7,191 57	85 05	ST
	Mar 13, 19	16 000	141 911	2,270 59	135 690	2,171 04	-99 55	ST
Security total		69 000	135 900	9,377 11		9,362 61	-14 50	
MOLSON COORS BREWING CO CL B								
Symbol TAP Exchange NYSE								
EAI $593 Current yield 4 23%	Apr 18, 19	50 000	61 428	3,071 42	53 900	2,695 00	-376 42	ST
	Nov 14, 19	210 000	51 546	10,824 68	53 900	11,319 00	494 32	ST
Security total		260 000	53 447	13,896 10		14,014 00	117 90	
MONOLITHIC POWER SYSTEMS INC								
Symbol MPWR Exchange OTC								
EAI $18 Current yield 0 92%	Dec 10, 19	3 000	164 830	494 49	178 020	534 06	39 57	ST
	Dec 11, 19	8 000	167 646	1,341 17	178 020	1,424 16	82 99	ST
Security total		11 000	166 878	1,835 66		1,958 22	122 56	

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UBS Strategic Wealth Portfolio
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Account name THE MARGARET T PETRIE
Friendly account name. Petrie Pvt Fdr
Account number: EA 07913 LO

Your Financial Advisor.
LIVE OAK WEALTH MANAGEMENT
919-967-2451/800-905-3009

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
MONSTER BEVERAGE CORP NEW COM								
Symbol MNST Exchange OTC	Dec 19, 16	695 000	44 236	30,744 51	63 550	44,167 25	13,422 74	LT
	Aug 8, 19	27 000	59 954	1,618 78	63 550	1,715 85	97 07	ST
	Aug 8, 19	10 000	57 982	579 82	63 550	635 50	55 68	ST
	Aug 9, 19	3 000	59 990	179 97	63 550	190 65	10 68	ST
	Aug 9, 19	2 000	60 250	120 50	63 550	127 10	6 60	ST
Security total		737 000	45 107	33,243 58		46,836 35	13,592 77	
MSA SAFETY INC COM								
Symbol MSA Exchange NYSE								
EAI $136 Current yield 1 33%	Oct 5, 15	23 000	42 106	968 46	126 360	2,906 28	1,937 82	LT
	Dec 2, 15	3 000	46 740	140 22	126 360	379 08	238 86	LT
	Jan 5, 16	6 000	43 000	258 00	126 360	758 16	500 16	LT
	Jan 22, 16	13 000	38 528	500 87	126 360	1,642 68	1,141 81	LT
	May 20, 16	30 000	48 281	1,448 45	126 360	3,790 80	2,342 35	LT
	May 1, 19	6 000	110 138	660 83	126 360	758 16	97 33	ST
Security total		81 000	49 097	3,976 83		10,235 16	6,258 33	
MURATA MFG CO LTD ADR								
Symbol MRAAY Exchange OTC								
EAI $176 Current yield 2 01%	May 15, 18	60 000	11 953	717 20	15 320	919 20	202 00	LT
	May 31, 19	511 000	10 749	5,493 20	15 320	7,828 52	2,335 32	ST
Security total		571 000	10 876	6,210 40		8,747 72	2,537 32	
N Y TIMES CO CL A								
Symbol NYT Exchange NYSE								
EAI $64 Current yield 0 63%	Jul 9, 19	81 000	34 554	2,798 93	32 170	2,605 77	-193 16	ST
	Jul 10, 19	154 000	34 451	5,305 48	32 170	4,954 18	-351 30	ST
	Nov 22, 19	83 000	31 246	2,593 43	32 170	2,670 11	76 68	ST
Security total		318 000	33 641	10,697 84		10,230 06	-467 78	
NASPERS LTD SPON ADR								
Symbol NPSNY Exchange OTC								
EAI $7 Current yield 0 22%	Dec 12, 18	96 000	40 978	5,195 84[2]	32 560	3,125 76	-2,070 08	LT
NATIONAL GRID PLC SPON ADR								
Symbol NGG Exchange NYSE								
EAI $950 Current yield 4 89%	Feb 20, 18	125 000	53 061	8,463 45[2]	62 670	7,833 75	-629 70	LT

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UBS Strategic Wealth Portfolio
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Account name: THE MARGARET T PETRIE
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Your Financial Advisor.
LIVE OAK WEALTH MANAGEMENT
919-967-2451/800-905-3009

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Mar 2, 18	185 000	51 812	10,713 10²	62 670	11,593 95	880 85	LT
Security total		310 000	61 860	19,176 55		19,427 70	251 15	
NATIONAL RETAIL PROPERTIES INC REIT								
Symbol NNN Exchange NYSE								
EAI $235 Current yield 3 84%	Jun 19, 18	25 000	42 106	1,052 66	53 620	1,340 50	287 84	LT
	Jun 20, 18	71 000	42 426	3,012 26	53 620	3,807 02	794 76	LT
	May 7, 19	18 000	52 470	944 46	53 620	965 16	20 70	ST
Security total		114 000	43 942	5,009 38		6,112 68	1,103 30	
NATL HEALTH INVESTORS INC								
Symbol NHI Exchange NYSE								
EAI $328 Current yield 5 16%	Mar 23, 18	33 000	65 815	2,171 91	81 480	2,688 84	516 93	LT
	Mar 26, 18	24 000	65 447	1,570 74	81 480	1,955 52	384 78	LT
	Dec 7, 18	12 000	79 917	959 01	81 480	977 76	18 75	LT
	May 7, 19	9 000	74 820	673 38	81 480	733 32	59 94	ST
Security total		78 000	68 911	5,375 04		6,355 44	980 40	
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR								
Symbol NSRGY Exchange OTC								
EAI $382 Current yield 1 88%	May 15, 18	188 000	76 605	14,401 74	108 260	20,352 88	5,951 14	LT
NEWMARK GROUP INC CL A								
Symbol NMRK Exchange OTC								
EAI $464 Current yield 2 97%	Feb 4, 16	68 751	6 663	458 11	13 455	925 04	466 93	LT
	Feb 24, 16	41 751	6 430	268 46	13 455	561 75	293 29	LT
	Feb 25, 16	29 225	6 599	192 87	13 455	393 23	200 36	LT
	May 6, 16	1 392	6 854	10 07²	13 455	18 73	8 66	LT
	Oct 19, 16	37 937	7 071	231 27	13 455	443 16	211 89	LT
	Oct 21, 16	36 184	6 973	252 33	13 455	486 85	234 52	LT
	Oct 25, 16	1 392	6 948	9 67	13 455	18 73	9 06	LT
	Feb 24, 17	41 287	9 218	380 62	13 455	555 51	174 89	LT
	Jan 26, 18	36 184	11 757	425 43	13 455	486 85	61 42	LT
	Mar 15, 18	77 470	11 025	854 16	13 455	1,042 36	188 20	LT
	May 10, 18	100 665	9 811	987 65	13 455	1,354 45	366 80	LT

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UBS Strategic Wealth Portfolio
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Account name THE MARGAFET T PETRIE
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Account number: EA 07913 LC

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LIVE OAK WEALTH MANAGEMENT
919-967-2451/800-905-3009

Your assets , **Equities** , **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	May 11, 18	16 236	9 847	159 88	13 455	218 46	58 58	LT
	May 31, 18	37 112	9 252	343 36	13 455	499 34	155 98	LT
	Jul 6, 18	45 926	9 103	418 09	13 455	617 93	199 84	LT
	Aug 14, 18	50 101	9 335	467 70	13 455	674 10	206 40	LT
	Nov 20, 18	31 081	8 146	253 19	13 455	418 19	165 00	LT
	Nov 21, 18	15 309	8 231	126 01	13 455	205 98	79 97	LT
	Dec 13, 18	106 000	9 902	1,049 62	13 455	1,426 23	376 61	LT
	Mar 12, 19	108 000	8 863	957 23	13 455	1,453 14	495 91	ST
	Mar 13, 19	35 000	8 859	310 08	13 455	470 93	160 85	ST
	Mar 14, 19	52 000	8 930	464 40	13 455	699 66	235 26	ST
	May 1, 19	37 000	8 676	321 03	13 455	497 84	176 81	ST
	May 2, 19	40 000	8 391	335 66	13 455	538 20	202 54	ST
	May 3, 19	20 000	8 547	170 94	13 455	269 10	98 16	ST
	Nov 7, 19	47 000	11 746	552 09	13 455	632 39	80 30	ST
	Nov 8, 19	52 000	12 042	626 22	13 455	699 66	73 44	ST
Security total		1,160 000	9 160	10,626 14		15,607 80	4,981 67	
NIDEC CORP ADR Symbol NJDCY Exchange OTC EAI $76 Current yield 0 61%	May 15, 18	232 000	39 960	9,270 72	34 205	7,935 56	-1,335 16	LT
	Jul 8, 19	132 000	34 249	4,520 95	34 205	4,515 06	-5 89	ST
Security total		364 000	37 889	13,791 67		12,450 62	-1,341 05	
NIPPON TELEG & TEL CORP SPON ADR Symbol NTTYY Exchange OTC EAI $237 Current yield 2 84%	Sep 11, 19	165 000	48 124	7,940 61	50 500	8,332 50	391 89	ST
NORDSON CORP Symbol NDSN Exchange OTC EAI $217 Current yield 0 93%	Dec 23, 14	20 000	78 841	1,576 82	162 840	3,256 80	1,679 98	LT
	Jan 11, 16	29 000	57 577	1,669 75	162 840	4,722 36	3,052 61	LT
	Jan 22, 16	67 000	56 593	3,791 76	162 840	10,910 28	7,118 52	LT
	May 9, 16	2 000	76 135	157 98²	162 840	325 68	167 70	LT
	Dec 27, 16	2 000	114 530	229 06	162 840	325 68	96 62	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Apr 27, 18	9 000	130 886	1,177 98	162 840	1,465 56	287 58	LT
	Aug 14, 18	8 000	134 120	1,072 96	162 840	1,302 72	229 76	LT
	Dec 26, 18	5 000	114 228	571 14	162 840	814 20	243 06	LT
	Jan 9, 19	1 000	122 710	122 71	162 840	162 84	40 13	ST
Security total		143 000	72 519	10,370 16		23,286 12	12,915 96	
NOVARTIS AG SPON ADR Symbol NVS Exchange NYSE EAI $630 Current yield 1 95%	Dec 19, 16	226 000	63 148	14,271 46	94 690	21,399 94	7,128 48	LT
	May 15, 18	70 000	67 996	4,759 73	94 690	6,628 30	1,868 57	LT
	Dec 23, 19	23 000	94 921	2,183 19	94 690	2,177 87	-5 32	ST
	Dec 26, 19	3 000	94 813	284 44	94 690	284 07	-0 37	ST
	Dec 27, 19	20 000	95 477	1,909 54	94 690	1,893 80	-15 74	ST
Security total		342 000	68 445	23,408 36		32,383 98	8,975 62	
NOVO NORDISK ADR DENMARK ADR Symbol NVO Exchange NYSE EAI $968 Current yield 1 51%	Dec 19, 16	637 000	35 577	22,662 80	57 880	36,869 56	14,206 76	LT
	May 15, 18	473 000	48 236	22,815 87	57 880	27,377 24	4,561 37	LT
Security total		1,110 000	40 972	45,478 67		64,246 80	18,768 13	
NOVOCURE LTD Symbol NVCR Exchange OTC	Nov 22, 19	53 000	92 602	4,907 95	84 270	4,466 31	-441 64	ST
NVENT ELEC PLC Symbol NVT Exchange NYSE EAI $197 Current yield 2 73%	Sep 30, 19	37 000	22 048	815 81	25 580	946 46	130 65	ST
	Oct 1, 19	125 000	20 372	2,546 51	25 580	3,197 50	650 99	ST
	Oct 2, 19	119 000	19 859	2,363 28	25 580	3,044 02	680 74	ST
	Oct 3, 19	1 000	19 540	19 54	25 580	25 58	6 04	ST
Security total		282 000	20 373	5,745 14		7,213 56	1,468 42	
NVIDIA CORP Symbol NVDA Exchange OTC EAI $105 Current yield 0 27%	Jan 10, 19	3 000	144 470	433 41	235 300	705 90	272 49	ST
	Jan 10, 19	3 000	144 930	434 79	235 300	705 90	271 11	ST
	Jan 11, 19	67 000	148 608	9,956 74	235 300	15,765 10	5,808 36	ST
	Jan 11, 19	5 000	145 886	729 43	235 300	1,176 50	447 07	ST

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UBS Strategic Wealth Portfolio
December 2019

Account name: THE MARGARET T PETRIE
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LIVE OAK WEALTH MANAGEMENT
919-967-2451/800-905-3009

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jan 14, 19	53 000	149 458	7,921 31	235 300	12,470 90	4,549 59	ST
	Jan 14, 19	1 000	146 950	146 95	235 300	235 30	88 35	ST
	Jan 15, 19	20 000	151 741	3,034 83	235 300	4,706 00	1,671 17	ST
	Jan 28, 19	12 000	139 696	1,676 36	235 300	2,823 60	1,147 24	ST
Security total		164 000	148 377	24,333 82		38,589 20	14,255 38	
OCCIDENTAL PETROLEUM CRP Symbol OXY Exchange NYSE EAI $1,021 Current yield 7 67%	Jun 1, 18	135 000	85 258	11,509 83	41 210	5,563 35	-5,946 48	LT
	Jul 25, 18	105 000	84 273	8,948 85²	41 210	4,327 05	-4,621 80	LT
	Aug 14, 18	71 000	79 358	5,634 47	41 210	2,925 91	-2,708 56	LT
	Feb 5, 19	4 000	67 227	268 91	41 210	164 84	-104 07	ST
	May 13, 19	2 000	53 690	107 38	41 210	82 42	-24 96	ST
	Jul 31, 19	2 000	51 840	103 68	41 210	82 42	-21 26	ST
	Oct 31, 19	4 000	40 385	161 54	41 210	164 84	3 30	ST
Security total		323 000	82 770	26,734 66		13,310 83	-13,423 83	
OLD REPUBLIC INTL CORP Symbol ORI Exchange NYSE EAI $538 Current yield 3 58%	Jan 16, 18	647 000	20 151	13,037 82	22 370	14,473 39	1,435 57	LT
	Feb 5, 19	7 000	20 358	142 51	22 370	156 59	14 08	ST
	May 13, 19	5 000	21 720	108 60	22 370	111 85	3 25	ST
	Jul 31, 19	7 000	22 968	160 78	22 370	156 59	-4 19	ST
	Oct 31, 19	6 000	22 068	132 41	22 370	134 22	1 81	ST
Security total		672 000	20 211	13,582 12		15,032 64	1,450 52	
ONE GAS INC Symbol OGS Exchange NYSE EAI $34 Current yield 2 14%	Sep 30, 19	16 000	96 006	1,536 11	93 570	1,497 12	-38 99	ST
	Oct 1, 19	1 000	95 980	95 98	93 570	93 57	-2 41	ST
Security total		17 000	96 005	1,632 09		1,590 69	-41 40	
ORACLE CORP Symbol ORCL Exchange NYSE EAI $1,059 Current yield 1 81%	Oct 5, 15	831 000	37 586	31,233 97	52 980	44,026 38	12,792 41	LT
	Jan 8, 16	117 000	35 087	4,105 25	52 980	6,198 66	2,093 41	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jan 21, 16	113 000	34 206	3,865 32	52 980	5,986 74	2,121 42	LT
	Jun 21, 18	7 000	43 140	301 98	52 980	370 86	68 88	LT
	Jun 22, 18	35 000	43 677	1,528 71	52 980	1,854 30	325 59	LT
Security total		1,103 000	37 203	41,035 23		58,436 94	17,401 71	
PACKAGING CORP OF AMERICA								
Symbol PKG Exchange NYSE								
EAI $209 Current yield 2 83%	Oct 5, 15	36 000	63 740	2,294 64	111 990	4,031 64	1,737 00	LT
	Dec 2, 15	2 000	68 045	136 09	111 990	223 98	87 89	LT
	Jan 5, 16	5 000	62 190	310 95	111 990	559 95	249 00	LT
	Jan 22, 16	10 000	57 260	572 60	111 990	1,119 90	547 30	LT
	Nov 2, 18	13 000	95 309	1,239 02	111 990	1,455 87	216 85	LT
Security total		66 000	68 989	4,553 30		7,391 34	2,838 04	
PACWEST BANCORP								
Symbol PACW Exchange OTC								
EAI $1,020 Current yield 6 27%	Feb 10, 16	2 000	33 005	66 01	38 270	76 54	10 53	LT
	Mar 24, 16	219 000	37 173	8,141 02	38 270	8,381 13	240 11	LT
	Jul 14, 17	23 000	46 420	1,067 67	38 270	880 21	-187 46	LT
	Dec 18, 17	24 000	49 247	1,181 95	38 270	918 48	-263 47	LT
	Apr 27, 18	23 000	52 192	1,200 43	38 270	880 21	-320 22	LT
	Oct 2, 18	22 000	46 846	1,030 62	38 270	841 94	-188 68	LT
	Nov 20, 18	20 000	38 886	777 72	38 270	765 40	-12 32	LT
	Nov 21, 18	5 000	39 634	198 17	38 270	191 35	-6 82	LT
	Dec 7, 18	32 000	37 308	1,193 88	38 270	1,224 64	30 76	LT
	Jan 4, 19	35 000	34 472	1,206 53	38 270	1,339 45	132 92	ST
	Aug 19, 19	17 000	33 536	570 12	38 270	650 59	80 47	ST
	Aug 20, 19	3 000	33 086	99 26	38 270	114 81	15 55	ST
Security total		425 000	39 373	16,733 38		16,264 75	-468 63	
PAYCOM SOFTWARE INC								
Symbol PAYC Exchange NYSE	Aug 19, 19	32 000	243 597	7,795 12	264 760	8,472 32	677 20	ST
PEPSICO INC								
Symbol PEP Exchange OTC								
EAI $630 Current yield 2 79%	Oct 5, 15	165 000	95 352	15,733 16	136 670	22,550 55	6,817 39	LT

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UBS Strategic Wealth Portfolio
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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
PERNOD RICARD SA ADR								
Symbol PDRDY Exchange OTC								
EAI $287 Current yield 1 37%	May 15, 18	584 000	33 109	19,335 67	35 940	20,988 96	1,653 29	LT
PFIZER INC								
Symbol PFE Exchange NYSE								
EAI $692 Current yield 3 88%	Mar 24, 16	191 000	30 034	5,736 66	39 180	7,483 38	1,746 72	LT
	May 5, 16	11 000	33 560	369 16	39 180	430 98	61 82	LT
	Jul 14, 17	110 000	33 497	3,684 77	39 180	4,309 80	625 03	LT
	Mar 9, 18	125 000	36 812	4,601 53	39 180	4,897 50	295 97	LT
	Feb 5, 19	8 000	42 248	337 99	39 180	313 44	-24 55	ST
	May 13, 19	5 000	40 380	201 90	39 180	195 90	-6 00	ST
	Jul 31, 19	5 000	39 528	197 64	39 180	195 90	-1 74	ST
Security total		455 000	33 252	15,129 65		17,826 90	2,697 25	
PHIBRO ANIMAL HEALTH CORP CL A								
Symbol PAHC Exchange OTC								
EAI $46 Current yield 1 95%	Nov 27, 19	3 000	24 483	73 45	24 830	74 49	1 04	ST
	Nov 29, 19	2 000	24 365	48 73	24 830	49 66	0 93	ST
	Dec 2, 19	6 000	23 948	143 69	24 830	148 98	5 29	ST
	Dec 3, 19	9 000	24 170	217 53	24 830	223 47	5 94	ST
	Dec 5, 19	7 000	24 074	168 52	24 830	173 81	5 29	ST
	Dec 6, 19	5 000	24 540	122 70	24 830	124 15	1 45	ST
	Dec 9, 19	6 000	24 380	146 28	24 830	148 98	2 70	ST
	Dec 10, 19	4 000	24 482	97 93	24 830	99 32	1 39	ST
	Dec 11, 19	4 000	24 417	97 67	24 830	99 32	1 65	ST
	Dec 12, 19	5 000	24 610	123 05	24 830	124 15	1 10	ST
	Dec 13, 19	5 000	24 016	120 08	24 830	124 15	4 07	ST
	Dec 16, 19	4 000	24 440	97 76	24 830	99 32	1 56	ST
	Dec 17, 19	7 000	24 545	171 82	24 830	173 81	1 99	ST
	Dec 18, 19	13 000	24 388	317 05	24 830	322 79	5 74	ST
	Dec 19, 19	10 000	24 395	243 95	24 830	248 30	4 35	ST
	Dec 20, 19	5 000	24 710	123 55	24 830	124 15	0 60	ST
Security total		95 000	24 355	2,313 76		2,358 85	45 09	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
PNC FINANCIAL SERVICES GROUP								
Symbol PNC Exchange NYSE								
EAI $575 Current yield 2 88%	Oct 5, 15	15 000	89 372	1,340 58	159 630	2,394 45	1,053 87	LT
	Feb 3, 16	30 000	83 450	2,503 51	159 630	4,788 90	2,285 39	LT
	Dec 27, 18	80 000	113 511	9,080 90	159 630	12,770 40	3,689 50	LT
Security total		125 000	103 400	12,924 99		19,953 75	7,028 76	
PNM RESOURCES INC (HOLDING CO)								
Symbol PNM Exchange NYSE								
EAI $71 Current yield 2·41%	Oct 5, 15	27 000	27 960	754 92	50 710	1,369 17	614 25	LT
	Dec 2, 15	7 000	28 880	202 16	50 710	354 97	152 81	LT
	Jan 5, 16	6 000	30 401	182 41	50 710	304 26	121 85	LT
	Jan 22, 16	15 000	29 770	446 55	50 710	760 65	314 10	LT
	May 6, 16	3 000	32 213	96 64	50 710	152 13	55 49	LT
Security total		58 000	29 012	1,682 68		2,941 18	1,258 50	
PORTLAND GENL ELEC CO NEW								
Symbol POR Exchange NYSE								
EAI $88 Current yield 2 77%	Feb 28, 19	57 000	50 221	2,862 60	55 790	3,180 03	317 43	ST
PORTOLA PHARMACEUTICALS INC								
Symbol PTLA Exchange OTC	Jan 8, 19	121 000	21 909	2,651 05	23 880	2,889 48	238 43	ST
	Jan 9, 19	64 000	23 216	1,485 88	23 880	1,528 32	42 44	ST
	Jan 31, 19	92 000	27 089	2,492 22	23 880	2,196 96	-295 26	ST
	Feb 1, 19	46 000	27 206	1,251 48	23 880	1,098 48	-153 00	ST
	Aug 14, 19	70 000	30 620	2,143 43	23 880	1,671 60	-471 83	ST
Security total		393 000	25 507	10,024 06		9,384 84	-639 22	
POWER INTEGRATIONS INC								
Symbol POWI Exchange OTC								
EAI $60 Current yield 0 77%	Dec 23, 14	46 000	52 866	2,431 84	98 910	4,549 86	2,118 02	LT
	Oct 5, 15	3 000	43 290	129 87	98 910	296 73	166 86	LT
	Nov 16, 15	1 000	50 140	50 14	98 910	98 91	48 77	LT
	Dec 2, 15	3 000	51 680	155 04	98 910	296 73	141 69	LT
	Jan 5, 16	5 000	48 030	240 15	98 910	494 55	254 40	LT
	Jan 21, 16	14 000	44 100	617 41	98 910	1,384 74	767 33	LT
	May 5, 16	2 000	46 160	102 55?	98 910	197 82	95 27	LT

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Account name THE MARGAFET T PETRIE
Friendly account name· Petrie Pvt Fdn
Account number: EA 07913 LC

Your Financial Advisor.
LIVE OAK WEALTH MANAGEMENT
919-967-2451/800-905-3009

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	May 9, 16	1 000	46 100	48 70²	98 910	98 91	50 21	LT
	Dec 27, 16	2 000	68 950	137 90	98 910	197 82	59 92	LT
	Jan 9, 19	2 000	61 550	123 10	98 910	197 82	74 72	ST
Security total		79 000	51 097	4,036 70		7,813 89	3,777 19	
PPG INDUSTRIES INC Symbol PPG Exchange NYSE EAI $367 Current yield 1 53%	Dec 14, 16	180 000	97 795	17,603 10	133 490	24,028 20	6,425 10	LT
PREFERRED BK LOS ANGELES CA COM NEW Symbol PFBC Exchange OTC EAI $35 Current yield 2 01%	Dec 16, 19	4 000	58 422	233 69	60 090	240 36	6 67	ST
	Dec 17, 19	4 000	58 985	235 94	60 090	240 36	4 42	ST
	Dec 18, 19	4 000	59 345	237 38	60 090	240 36	2 98	ST
	Dec 19, 19	4 000	59 100	236 40	60 090	240 36	3 96	ST
	Dec 20, 19	10 000	59 346	593 46	60 090	600 90	7 44	ST
	Dec 23, 19	3 000	58 863	176 59	60 090	180 27	3 68	ST
Security total		29 000	59 085	1,713 46		1,742 61	29 15	
PROCTER & GAMBLE CO Symbol PG Exchange NYSE EAI $928 Current yield 2 39%	Oct 5, 15	298 000	73 140	21,795 72	124 900	37,220 20	15,424 48	LT
	Oct 5, 15	9 000	73 140	658 26	124 900	1,124 10	465 84	LT
	Oct 5, 15	4 000	73 140	292 56	124 900	499 60	207 04	LT
Security total		311 000	73 140	22,746 54		38,843 90	16,097 36	
PROSUS N V SPON ADR Symbol PROSY Exchange OTC	Sep 17, 19	96 000	15 985	1,534 56	14 900	1,430 40	-104 16	ST
QUALCOMM INC Symbol QCOM Exchange OTC EAI $1,180 Current yield 2 81%	Oct 5, 15	240 000	53 546	12,851 06	88 230	21,175 20	8,324 14	LT
	Oct 5, 15	218 000	53 823	11,733 58	88 230	19,234 14	7,500 56	LT
	Oct 5, 15	15 000	53 823	807 35	88 230	1,323 45	516 10	LT
	Oct 5, 15	3 000	53 823	161 47	88 230	264 69	103 22	LT
Security total		476 000	53 684	25,553 46		41,997 48	16,444 02	

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UBS Strategic Wealth Portfolio
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Account name: THE MARGARET T PETRIE
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919-967-2451/800-905-3009

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
QUALYS INC								
Symbol QLYS Exchange OTC	Jul 25, 18	28 000	96 033	2,688 95	83 370	2,334 36	-354 59	LT
	Jul 26, 18	22 000	95 939	2,110 66	83 370	1,834 14	-276 52	LT
	Sep 4, 18	16 000	91 070	1,465 17²	83 370	1,333 92	-131 25	LT
	Sep 5, 18	21 000	88 146	1,851 07	83 370	1,750 77	-100 30	LT
	Mar 6, 19	43 000	81 679	3,512 20	83 370	3,584 91	72 71	ST
Security total		130 000	89 447	11,628 05		10,838 10	-789 95	
RECKITT BENCKISER PLC SPON ADR								
Symbol RBGLY Exchange OTC								
EAI $326 Current yield 2 46%	May 15, 18	157 000	15 750	2,472 75	16 560	2,599 92	127 17	LT
	Jan 17, 19	355 000	15 701	5,573 89	16 560	5,878 80	304 91	ST
	Nov 28, 19	288 000	16 040	4,619 52	16 560	4,769 28	149 76	ST
Security total		800 000	15 833	12,666 16		13,248 00	581 84	
REGENERON PHARMACEUTICLS INC								
Symbol REGN Exchange OTC	Jul 29, 16	9 000	420 515	3,784 64	375 480	3,379 32	-405 32	LT
	Aug 2, 16	10 000	430 965	4,309 65	375 480	3,754 80	-554 85	LT
	Sep 6, 16	6 000	398 071	2,388 43	375 480	2,252 88	-135 55	LT
	Sep 6, 16	2 000	395 245	790 49	375 480	750 96	-39 53	LT
	Sep 6, 16	1 000	396 260	396 26	375 480	375 48	-20 78	LT
	Sep 7, 16	8 000	398 796	3,190 37	375 480	3,003 84	-186 53	LT
	Sep 7, 16	1 000	399 610	399 61	375 480	375 48	-24 13	LT
	Sep 8, 16	2 000	401 630	803 26	375 480	750 96	-52 30	LT
	Nov 8, 16	8 000	370 470	2,963 76	375 480	3,003 84	40 08	LT
	Dec 7, 16	3 000	359 033	1,077 10	375 480	1,126 44	49 34	LT
	Dec 7, 16	2 000	359 635	719 27	375 480	750 96	31 69	LT
	Dec 7, 16	1 000	356 840	356 84	375 480	375 48	18 64	LT
	Dec 8, 16	7 000	365 367	2,557 57	375 480	2,628 36	70 79	LT
	Dec 9, 16	2 000	376 855	753 71	375 480	750 96	-2 75	LT
	Feb 9, 18	6 000	332 258	1,993 55	375 480	2,252 88	259 33	LT
	Feb 12, 18	4 000	341 705	1,366 82	375 480	1,501 92	135 10	LT
	Feb 14, 18	1 000	325 260	325 26	375 480	375 48	50 22	LT
	Feb 14, 18	1 000	329 420	329 42	375 480	375 48	46 06	LT

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Strategic Wealth Portfolio
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Account name THE MARGARET T PETRIE
Friendly account name Petrie Pvt Fdn
Account number: EA 07913 LO

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919-967-2451/800-905-3009

Your assets , **Equities** , **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Feb 14, 18	1 000	330 140	330 14	375 480	375 48	45 34	LT
	Feb 15, 18	2 000	331 195	662 39	375 480	750 96	88 57	LT
	Feb 15, 18	1 000	330 300	330 30	375 480	375 48	45 18	LT
	Feb 16, 18	3 000	332 646	997 94	375 480	1,126 44	128 50	LT
	Mar 28, 18	2 000	338 520	677 04	375 480	750 96	73 92	LT
	Mar 29, 18	5 000	343 984	1,719 92	375 480	1,877 40	157 48	LT
	Apr 2, 18	2 000	334 070	668 14	375 480	750 96	82 82	LT
	Apr 27, 18	6 000	305 126	1,878 06[2]	375 480	2,252 88	374 82	LT
	Apr 27, 18	1 000	303 070	303 07	375 480	375 48	72 41	LT
	Sep 6, 19	6 000	292 373	1,754 24	375 480	2,252 88	498 64	ST
Security total		103 000	367 255	37,827 25		38,674 44	847 19	
REGIONS FINANCIAL CORP Symbol RF Exchange NYSE EAI $599 Current yield 3 61%	Nov 1, 18	904 000	17 161	15,514 18	17 160	15,512 64	-1 54	LT
	Feb 5, 19	40 000	15 406	616 26	17 160	686 40	70 14	ST
	May 13, 19	7 000	14 377	100 64	17 160	120 12	19 48	ST
	Jul 31, 19	5 000	16 056	80 28	17 160	85 80	5 52	ST
	Oct 31, 19	10 000	16 007	160 07	17 160	171 60	11 53	ST
Security total		966 000	17 051	16,471 43		16,576 56	105 13	
REINSURANCE GROUP AMER INC NEW Symbol RGA Exchange NYSE EAI $224 Current yield 1 72%	Jan 19, 17	29 000	124 250	3,603 26	163 060	4,728 74	1,125 48	LT
	May 10, 17	40 000	125 170	5,006 80	163 060	6,522 40	1,515 60	LT
	May 11, 17	6 000	124 521	747 13	163 060	978 36	231 23	LT
	Jan 9, 19	5 000	143 120	715 60	163 060	815 30	99 70	ST
Security total		80 000	125 910	10,072 79		13,044 80	2,972 01	
RELX PLC SPON ADR Symbol RELX Exchange NYSE EAI $334 Current yield 2 14%	May 15, 18	165 000	21 476	3,543 56	25 270	4,169 55	625 99	LT
	Jan 17, 19	453 000	21 492	9,736 15	25 270	11,447 31	1,711 16	ST
Security total		618 000	21 488	13,279 71		15,616 86	2,337 15	

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UBS Strategic Wealth Portfolio
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Your assets , **Equities** , **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ROCHE HLDG LTD SPONS ADR SWITZ ADR Symbol RHHBY Exchange OTC EAI $588 Current yield 1 67%	Sep 26, 19	101 000	36 020	3,638 05	40 660	4,106 66	468 61	ST
	Sep 27, 19	78 000	36 356	2,835 79	40 660	3,171 48	335 69	ST
	Sep 30, 19	73 000	36 442	2,660 27	40 660	2,968 18	307 91	ST
	Oct 1, 19	101 000	36 220	3,658 29	40 660	4,106 66	448 37	ST
	Oct 2, 19	138 000	35 519	4,901 66	40 660	5,611 08	709 42	ST
	Oct 3, 19	80 000	35 317	2,825 39	40 660	3,252 80	427 41	ST
	Oct 4, 19	53 000	35 917	1,903 62	40 660	2,154 98	251 36	ST
	Oct 7, 19	59 000	36 307	2,142 17	40 660	2,398 94	256 77	ST
	Oct 8, 19	24 000	36 129	867 11	40 660	975 84	108 73	ST
	Dec 23, 19	92 000	40 191	3,697 66	40 660	3,740 72	43 06	ST
	Dec 26, 19	3 000	40 410	121 23	40 660	121 98	0 75	ST
	Dec 27, 19	65 000	40 658	2,642 83	40 660	2,642 90	0 07	ST
Security total		867 000	36 787	31,894 07		35,252 22	3,358 15	
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR Symbol RDS B Exchange NYSE EAI $564 Current yield 6 27%	Jun 8, 18	150 000	72 972	10,945 83	59 970	8,995 50	-1,950 33	LT
ROYAL KPN N V SPON ADR Symbol KKPNY Exchange OTC EAI $324 Current yield 3 70%	May 15, 18	1,478 000	2 866	4,236 67	3 000	4,434 00	197 33	LT
	Jul 8, 19	1,438 000	3 076	4,423 58	3 000	4,314 00	-109 58	ST
Security total		2,916 000	2 970	8,660 25		8,748 00	87 75	
RPM INTL INC (DELA) Symbol RPM Exchange NYSE EAI $138 Current yield 1 87%	Oct 5, 15	14 000	43 705	611 87	76 760	1,074 64	462 77	LT
	Dec 2, 15	2 000	47 310	94 62	76 760	153 52	58 90	LT
	Jan 5, 16	4 000	43 157	172 63	76 760	307 04	134 41	LT
	Jan 22, 16	12 000	39 390	472 68	76 760	921 12	448 44	LT
	Jan 20, 17	20 000	51 648	1,032 96	76 760	1,535 20	502 24	LT

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UBS Strategic Wealth Portfolio
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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Oct 6, 17	29 000	51 742	1,500 54	76 760	2,226 04	725 50	LT
	Jan 29, 19	15 000	55 780	836 71	76 760	1,151 40	314 69	ST
Security total		96 000	49 188	4,722 01		7,368 96	2,646 95	
RYOHIN KEIKAKU CO UNSPONSORED ADR Symbol RYKKY Exchange OTC EAI $31 Current yield 1 09%	May 15, 18	122 000	34 977	4,267 26	23 320	2,845 04	-1,422 22	LT
SABRE CORP Symbol SABR Exchange OTC EAI $405 Current yield 2 50%	Nov 14, 17	700 000	18 900	13,230 35	22 440	15,708 00	2,477 65	LT
	Feb 5, 19	11 000	23 597	259 57	22 440	246 84	-12 73	ST
	May 13, 19	5 000	19 890	99 45	22 440	112 20	12 75	ST
	Jul 31, 19	7 000	23 978	167 85	22 440	157 08	-10 77	ST
Security total		723 000	19 028	13,757 22		16,224 12	2,466 90	
SAFRAN SA ADR Symbol SAFRY Exchange OTC EAI $237 Current yield 0 96%	May 15, 18	636 000	29 382	18,687 52	38 701	24,613 83	5,926 31	LT
SAGE THERAPEUTICS INC Symbol SAGE Exchange OTC	Apr 16, 18	7 000	163 027	1,141 19	72 190	505 33	-635 86	LT
	Apr 17, 18	13 000	167 946	2,183 31	72 190	938 47	-1,244 84	LT
	May 10, 18	24 000	163 053	3,913 29	72 190	1,732 56	-2,180 73	LT
	Jun 20, 18	12 000	165 562	1,986 75	72 190	866 28	-1,120 47	LT
	Jan 9, 19	7 000	118 898	832 29	72 190	505 33	-326 96	ST
	Jan 25, 19	14 000	131 142	1,836 00	72 190	1,010 66	-825 34	ST
Security total		77 000	154 452	11,892 83		5,558 63	-6,334 20	
SAMPO OYJ ADR Symbol SAXPY Exchange OTC EAI $267 Current yield 6 00%	May 15, 18	204 000	26 010	5,306 04	21 820	4,451 28	-854 76	LT
SAMSONITE INTL SA UNSPONSORED ADR Symbol SMSEY Exchange OTC EAI $66 Current yield 3 22%	May 9, 19	171 000	13 358	2,284 30	11 977	2,048 06	-236 24	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
SANOFI SPON ADR								
Symbol SNY Exchange OTC								
EAI $451 Current yield 2 30%	Sep 24, 19	390 000	47 017	18,336 65	50 200	19,578 00	1,241 35	ST
SAP SE SPON ADR								
Symbol SAP Exchange NYSE								
EAI $189 Current yield 0 90%	Jan 30, 18	63 000	113 408	7,144 76	133 990	8,441 37	1,296 61	LT
	Feb 2, 18	94 000	110 768	10,412 28	133 990	12,595 06	2,182 78	LT
Security total		157 000	111 828	17,557 04		21,036 43	3,479 39	
SCHLUMBERGER LTD NETHERLANDS ANTILLES								
Symbol SLB Exchange NYSE								
EAI $1,938 Current yield 4 98%	Oct 5, 15	163 000	72 345	11,792 25	40 200	6,552 60	-5,239 65	LT
	Jan 21, 16	42 000	62 160	2,610 72	40 200	1,688 40	-922 32	LT
	Jul 27, 17	16 000	67 545	1,080 73	40 200	643 20	-437 53	LT
	Jul 28, 17	75 000	68 370	5,127 82	40 200	3,015 00	-2,112 82	LT
	Jul 28, 17	10 000	68 127	681 27	40 200	402 00	-279 27	LT
	Jul 31, 17	62 000	68 360	4,238 34	40 200	2,492 40	-1,745 94	LT
	Aug 1, 17	17 000	68 670	1,167 40	40 200	683 40	-484 00	LT
	Oct 20, 17	43 000	62 952	2,706 97	40 200	1,728 60	-978 37	LT
	Oct 20, 17	5 000	62 840	314 20	40 200	201 00	-113 20	LT
	Oct 23, 17	22 000	62 400	1,372 81	40 200	884 40	-488 41	LT
	Feb 5, 19	440 000	45 142	19,862 66	40 200	17,688 00	-2,174 66	ST
	Dec 23, 19	15 000	40 440	606 61	40 200	603 00	-3 61	ST
	Dec 26, 19	56 000	40 253	2,254 20	40 200	2,251 20	-3 00	ST
	Dec 27, 19	3 000	40 166	120 50	40 200	120 60	0 10	ST
Security total		969 000	55 662	53,936 48		38,953 80	-14,982 68	
SEAWORLD ENTMT INC								
Symbol SEAS Exchange NYSE	Aug 15, 19	35 000	30 949	1,083 73	31 710	1,109 85	76 62	ST
	Aug 16, 19	82 000	31 706	2,599 92	31 710	2,600 22	0 30	ST
	Aug 19, 19	30 000	32 141	964 24	31 710	951 30	-12 94	ST
	Nov 22, 19	97 000	30 325	2,941 60	31 710	3,075 87	134 27	ST
	Nov 25, 19	24 000	30 172	724 14	31 710	761 04	36 90	ST
Security total		268 000	31 019	8,313 13		8,498 28	185 15	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
SEI INVESTMENTS CO								
Symbol SEIC Exchange OTC								
EAI $337 Current yield 1 07%	Dec 19, 16	481 000	48 490	23,324 17	65 480	31,495 88	8,171 71	LT
SEMTECH CORP								
Symbol SMTC Exchange OTC	Mar 19, 19	47 000	54 764	2,573 93	52 900	2,486 30	-87 63	ST
	Mar 20, 19	53 000	54 727	2,900 54	52 900	2,803 70	-96 84	ST
	Mar 21, 19	41 000	55 730	2,284 93	52 900	2,168 90	-116 03	ST
Security total		141 000	55 031	7,759 40		7,458 90	-300 50	
SERVISFIRST BANCSHARES INC								
Symbol SFBS Exchange OTC								
EAI $48 Current yield 1 87%	Nov 8, 19	17 000	36 834	626 18	37 680	640 56	14 38	ST
	Nov 11, 19	7 000	36 791	257 54	37 680	263 76	6 22	ST
	Nov 12, 19	9 000	36 962	332 66	37 680	339 12	6 46	ST
	Nov 13, 19	7 000	36 654	256 58	37 680	263 76	7 18	ST
	Nov 14, 19	9 000	36 250	326 25	37 680	339 12	12 87	ST
	Nov 15, 19	4 000	36 147	144 59	37 680	150 72	6 13	ST
	Nov 18, 19	5 000	35 904	179 52	37 680	188 40	8 88	ST
	Nov 19, 19	4 000	36 330	145 32	37 680	150 72	5 40	ST
	Nov 20, 19	6 000	36 226	217 36	37 680	226 08	8 72	ST
Security total		68 000	36 559	2,486 00		2,562 24	76 24	
SHIN ETSU CHEM CO LTD ADR								
Symbol SHECY Exchange OTC								
EAI $140 Current yield 1 25%	May 15, 18	199 000	25 764	5,127 23	27 500	5,472 50	345 27	LT
	Jul 8, 19	209 000	23 130	4,834 25	27 500	5,747 50	913 25	ST
Security total		408 000	24 415	9,961 48		11,220 00	1,258 52	
SMC CORP YEN SHS ORD JPY								
Symbol SMFCF Exchange OTC								
EAI $138 Current yield 0 59%								
JPY Exchange rate 108 67500	May 15, 18	36 000	385 300	13,870 80	468 499	16,865 96	2,995 16	LT
	Sep 26, 18	14 000	332 185	4,650 60	468 499	6,558 99	1,908 39	LT
Security total		50 000	370 428	18,521 40		23,424 95	4,903 55	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
SMITH A O CORP								
Symbol AOS Exchange NYSE								
EAI $168 Current yield 2 02%	Feb 26, 19	116 000	52 846	6,130 15	47 640	5,526 24	-603 91	ST
	Mar 22, 19	59 000	51 771	3,054 52	47 640	2,810 76	-243 76	ST
Security total		175 000	52 484	9,184 67		8,337 00	-847 67	
SNAP ON INC								
Symbol SNA Exchange NYSE								
EAI $246 Current yield 2 55%	Jun 6, 17	7 000	162 611	1,138 28	169 400	1,185 80	47 52	LT
	Jul 24, 17	21 000	147 426	3,095 96	169 400	3,557 40	461 44	LT
	Oct 4, 17	9 000	151 700	1,365 30	169 400	1,524 60	159 30	LT
	Mar 8, 18	11 000	155 273	1,708 01	169 400	1,863 40	155 39	LT
	Apr 27, 18	9 000	147 312	1,374 02[2]	169 400	1,524 60	150 58	LT
Security total		57 000	152 308	8,681 57		9,655 80	974 23	
SOFTBANK GROUP CORP ADR								
Symbol SFTBY Exchange OTC								
EAI $47 Current yield 0 49%	May 15, 18	182 000	19 382	3,527 54	21 540	3,920 28	392 74	LT
	Apr 10, 19	262 000	24 458	6,408 21	21 540	5,643 48	-764 73	ST
Security total		444 000	22 378	9,935 75		9,563 76	-371 99	
SONOVA HLDG AG ADR								
Symbol SONVY Exchange OTC								
EAI $44 Current yield 0 72%	May 15, 18	134 000	32 840	4,400 56	45 755	6,131 17	1,730 61	LT
SPIRE INC								
Symbol SR Exchange NYSE								
EAI $107 Current yield 2 99%	Sep 30, 19	15 000	87 156	1,307 35	83 310	1,249 65	-57 70	ST
	Oct 1, 19	4 000	86 502	346 01	83 310	333 24	-12 77	ST
	Dec 6, 19	24 000	79 567	1,909 61	83 310	1,999 44	89 83	ST
Security total		43 000	82 860	3,562 97		3,582 33	19 36	
SPLUNK INC								
Symbol SPLK Exchange OTC	May 6, 16	4 000	45 430	219 79[2]	149 770	599 08	379 29	LT
	Dec 27, 16	107 000	53 458	5,720 11	149 770	16,025 39	10,305 28	LT
	Jan 9, 19	5 000	115 570	577 85	149 770	748 85	171 00	ST
Security total		116 000	56 188	6,517 75		17,373 32	10,855 57	

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UBS Strategic Wealth Portfolio
December 2019

Account name THE MARGARET T PETRIE
Friendly account name Petrie Pvt Fdr
Account number: EA 07913 LO

Your Financial Advisor.
LIVE OAK WEALTH MANAGEMENT
919-967-2451/800-905-3009

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
STARBUCKS CORP								
Symbol SBUX Exchange OTC								
EAI $556 Current yield 1 87%	Apr 2, 18	61 000	56 683	3,457 67	87 920	5,363 12	1,905 45	LT
	Apr 2, 18	57 000	56 363	3,212 70	87 920	5,011 44	1,798 74	LT
	Apr 3, 18	29 000	57 658	1,672 11	87 920	2,549 68	877 57	LT
	Apr 3, 18	16 000	57 518	920 30	87 920	1,406 72	486 42	LT
	Apr 3, 18	13 000	57 415	746 40	87 920	1,142 96	396 56	LT
	Apr 3, 18	1 000	57 880	57 88	87 920	87 92	30 04	LT
	Apr 4, 18	25 000	58 257	1,456 43	87 920	2,198 00	741 57	LT
	Apr 4, 18	8 000	57 983	463 87	87 920	703 36	239 49	LT
	Apr 4, 18	8 000	58 446	467 57	87 920	703 36	235 79	LT
	Apr 4, 18	7 000	58 745	411 22	87 920	615 44	204 22	LT
	Apr 6, 18	20 000	58 479	1,169 59	87 920	1,758 40	588 81	LT
	Apr 6, 18	13 000	58 567	761 38	87 920	1,142 96	381 58	LT
	Apr 9, 18	13 000	58 970	766 61	87 920	1,142 96	376 35	LT
	Jun 21, 18	8 000	50 626	405 01	87 920	703 36	298 35	LT
	Jun 22, 18	52 000	51 317	2,668 49	87 920	4,571 84	1,903 35	LT
	Jun 22, 18	4 000	51 015	204 06	87 920	351 68	147 62	LT
	Jun 22, 18	4 000	51 255	205 02	87 920	351 68	146 66	LT
Security total		339 000	56 184	19,046 31		29,804 88	10,758 57	
STERIS PLC								
Symbol STE Exchange NYSE								
EAI $237 Current yield 0 97%	Jan 22, 16	13 000	68 620	892 07	152 420	1,981 46	1,089 39	LT
	Nov 10, 16	17 000	66 070	1,136 08[2]	152 420	2,591 14	1,455 06	LT
	Nov 16, 16	17 000	66 405	1,128 90	152 420	2,591 14	1,462 24	LT
	Nov 28, 16	12 000	67 024	804 29	152 420	1,829 04	1,024 75	LT
	Dec 15, 16	13 000	69 162	899 11	152 420	1,981 46	1,082 35	LT
	Jun 26, 18	84 000	105 708	8,879 52	152 420	12,803 28	3,923 76	LT
	Jan 9, 19	4 000	107 550	430 20	152 420	609 68	179 48	ST
Security total		160 000	88 564	14,170 17		24,387 20	10,217 03	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
STORE CAP CORP REIT								
Symbol STOR Exchange NYSE								
EAI $291 Current yield 3 76%	May 28, 19	52 000	34 418	1,789 77	37 240	1,936 48	146 71	ST
	May 29, 19	29 000	33 979	985 40	37 240	1,079 96	94 56	ST
	Jul 2, 19	42 000	33 895	1,423 59	37 240	1,564 08	140 49	ST
	Aug 21, 19	68 000	36 431	2,477 33	37 240	2,532 32	54 99	ST
	Aug 22, 19	17 000	36 565	621 62	37 240	633 08	11 46	ST
Security total		208 000	35 085	7,297 71		7,745 92	448 21	
SUZUKI MOTOR CORP LTD ADR								
Symbol SZKMY Exchange OTC								
EAI $64 Current yield 1 32%	Oct 11, 19	29 000	167 949	4,870 54	166 733	4,835 25	-35 29	ST
TAIWAN SEMICONDUCTOR MFG CO LTD ADR								
Symbol TSM Exchange NYSE								
EAI $1,766 Current yield 3 31%	Jan 27, 17	476 000	31 220	14,860 96	58 100	27,655 60	12,794 64	LT
	May 15, 18	426 000	39 237	16,715 13	58 100	24,750 60	8,035 47	LT
	Feb 5, 19	6 000	38 080	244 87[2]	58 100	348 60	103 73	LT
	May 13, 19	3 000	40 793	122 38	58 100	174 30	51 92	ST
	Jul 31, 19	3 000	43 030	129 09	58 100	174 30	45 21	ST
	Oct 31, 19	5 000	51 548	257 74	58 100	290 50	32 76	ST
Security total		919 000	35 180	32,330 17		53,393 90	21,063 73	
TARGA RESOURCES INVESTMENTS								
Symbol TRGP Exchange NYSE								
EAI $892 Current yield 8 92%	Feb 19, 16	68 000	11 862	806 65	40 830	2,776 44	1,969 79	LT
	Aug 16, 17	43 000	39 142	1,683 12	40 830	1,755 69	72 57	LT
	Nov 7, 17	20 000	40 977	819 55	40 830	816 60	-2 95	LT
	Nov 20, 17	42 000	38 971	1,636 80	40 830	1,714 86	78 06	LT
	Jul 16, 18	41 000	50 159	2,056 55	40 830	1,674 03	-382 52	LT
	Sep 18, 19	31 000	41 597	1,289 51	40 830	1,265 73	-23 78	ST
Security total		245 000	33 846	8,292 18		10,003 35	1,711 17	
TARGET CORP								
Symbol TGT Exchange NYSE								
EAI $1,061 Current yield 2 06%	Jan 3, 17	56 000	72 315	4,049 64	128 210	7,179 76	3,130 12	LT

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UBS Strategic Wealth Portfolio
December 2019

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919-967-2451/800-905-3009

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Nov 27, 17	85 000	55 410	4,709 88	128 210	10,897 85	6,187 97	LT
	Dec 5, 17	102 000	62 036	6,327 73	128 210	13,077 42	6,749 69	LT
	Feb 5, 19	3 000	72 773	218 32	128 210	384 63	166 31	ST
	Feb 13, 19	151 000	72 632	10,967 52	128 210	19,359 71	8,392 19	ST
	Jul 31, 19	3 000	87 146	261 44	128 210	384 63	123 19	ST
	Oct 31, 19	2 000	106 650	213 30	128 210	256 42	43 12	ST
Security total		402 000	66 537	26,747 83		51,540 42	24,792 59	
TELADOC HEALTH INC								
Symbol TDOC Exchange NYSE	Oct 4, 18	38 000	77 815	2,956 98	83 720	3,181 36	224 38	LT
	Jan 9, 19	8 000	55 187	441 50	83 720	669 76	228 26	ST
	May 1, 19	64 000	58 340	3,733 80	83 720	5,358 08	1,624 28	ST
Security total		110 000	64 839	7,132 28		9,209 20	2,076 92	
TELEDYNE TECHNOLOGIES INC								
Symbol TDY Exchange NYSE	Nov 21, 19	19 000	345 726	6,568 80	346 540	6,584 26	15 46	ST
TELEFLEX INC								
Symbol TFX Exchange NYSE								
EAI $33 Current yield 0 37%	Jan 22, 16	5 000	135 978	679 89	376 440	1,882 20	1,202 31	LT
	Dec 2, 16	9 000	152 494	1,372 45	376 440	3,387 96	2,015 51	LT
	Jan 20, 17	10 000	165 766	1,657 66	376 440	3,764 40	2,106 74	LT
Security total		24 000	154 583	3,710 00		9,034 56	5,324 56	
TENCENT HLDGS LTD ADR								
Symbol TCEHY Exchange OTC								
EAI $10 Current yield 0 23%	Mar 27, 19	90 000	45 125	4,061 31	48 010	4,320 90	259 59	ST
TEXAS INSTRUMENTS								
Symbol TXN Exchange OTC								
EAI $774 Current yield 2 81%	Dec 5, 17	126 000	97 098	12,234 39	128 290	16,164 54	3,930 15	LT
	Nov 1, 18	81 000	93 675	7,587 68	128 290	10,391 49	2,803 81	LT
	Feb 5, 19	3 000	102 230	306 69	128 290	384 87	78 18	ST
	May 13, 19	1 000	107 460	107 46	128 290	128 29	20 83	ST
	Jul 31, 19	1 000	127 240	127 24	128 290	128 29	1 05	ST
	Oct 31, 19	3 000	118 160	354 48	128 290	384 87	30 39	ST
Security total		215 000	96 363	20,717 94		27,582 35	6,864 41	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
TOTAL S A FRANCE SPON ADR								
Symbol TOT Exchange NYSE								
EAI $2,091 Current yield 4 32%	Dec 22, 16	71 000	50 397	3,578 21	55 300	3,926 30	348 09	LT
	Nov 15, 17	355 000	54 881	19,483 06	55 300	19,631 50	148 44	LT
	Feb 5, 19	4 000	56 092	224 37	55 300	221 20	-3 17	ST
	Mar 13, 19	124 000	58 502	7,254 27	55 300	6,857 20	-397 07	ST
	Jun 11, 19	112 000	54 741	6,131 09	55 300	6,193 60	62 51	ST
	Jun 17, 19	200 000	53 185	10,637 06	55 300	11,060 00	422 94	ST
	Jul 31, 19	4 000	52 515	210 06	55 300	221 20	11 14	ST
	Oct 31, 19	6 000	52 683	316 10	55 300	331 80	15 70	ST
Security total		876 000	54 605	47,834 22		48,442 80	608 58	
TRACTOR SUPPLY COMPANY								
Symbol TSCO Exchange OTC								
EAI $172 Current yield 1 50%	Dec 20, 17	118 000	72 686	8,577 04	93 440	11,025 92	2,448 88	LT
	Jan 9, 19	5 000	84 790	423 95	93 440	467 20	43 25	ST
Security total		123 000	73 179	9,000 99		11,493 12	2,492 13	
TRAVELERS COS INC/THE								
Symbol TRV Exchange NYSE								
EAI $541 Current yield 2 39%	Oct 5, 15	165 000	100 980	16,661 70	136 950	22,596 75	5,935 05	LT
TRUIST FINL CORP								
Symbol TFC Exchange NYSE								
EAI $990 Current yield 3 20%	Oct 5, 15	77 325	29 984	2,318 57	56 320	4,354 94	2,036 37	LT
	Feb 3, 16	213 675	26 565	5,676 41	56 320	12,034 18	6,357 77	LT
	Dec 12, 18	259 000	42 314	10,959 40	56 320	14,586 88	3,627 48	LT
Security total		550 000	34 463	18,954 38		30,976 00	12,021 62	
UNICHARM CORP SPON ADR								
Symbol UNICY Exchange OTC								
EAI $71 Current yield 0 53%	Nov 13, 18	731 000	5 597	4,091 70	6 830	4,992 73	901 03	LT
	Dec 12, 18	330 000	6 423	2,119 59	6 830	2,253 90	134 31	LT
	Apr 10, 19	912 000	6 632	6,049 20	6 830	6,228 96	179 76	ST
Security total		1,973 000	6 214	12,260 49		13,475 59	1,215 10	

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UBS

Strategic Wealth Portfolio
December 2019

Account name THE MARGARET T PETRIE
Friendly account name Petrie Pvt Fdn
Account number: EA 07913 LO

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LIVE OAK WEALTH MANAGEMENT
919-967-2451/800-905-3009

Your assets , **Equities** , **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
UNITED PARCEL SERVICE INC CL B								
Symbol UPS Exchange NYSE								
EAI $1,018 Current yield 3 28%	Mar 8, 17	165 000	105 703	17,441 09	117 060	19,314 90	1,873 81	LT
	Feb 7, 18	50 000	112 553	5,627 65	117 060	5,853 00	225 35	LT
	Dec 6, 18	50 000	106 700	5,335 03	117 060	5,853 00	517 97	LT
Security total		265 000	107 184	28,403 77		31,020 90	2,617 13	
UNITIL CORP								
Symbol UTL Exchange NYSE								
EAI $49 Current yield 2 40%	Nov 13, 19	3 000	60 196	180 59	61 820	185 46	4 87	ST
	Nov 14, 19	2 000	60 335	120 67	61 820	123 64	2 97	ST
	Nov 15, 19	2 000	60 030	120 06	61 820	123 64	3 58	ST
	Nov 18, 19	2 000	60 365	120 73	61 820	123 64	2 91	ST
	Nov 19, 19	3 000	60 533	181 60	61 820	185 46	3 86	ST
	Nov 20, 19	3 000	60 803	182 41	61 820	185 46	3 05	ST
	Nov 21, 19	3 000	60 336	181 01	61 820	185 46	4 45	ST
	Nov 22, 19	2 000	60 750	121 50	61 820	123 64	2 14	ST
	Nov 25, 19	3 000	60 866	182 60	61 820	185 46	2 86	ST
	Nov 26, 19	2 000	61 005	122 01	61 820	123 64	1 63	ST
	Nov 27, 19	2 000	61 175	122 35	61 820	123 64	1 29	ST
	Nov 29, 19	1 000	61 150	61 15	61 820	61 82	0 67	ST
	Dec 2, 19	2 000	60 695	121 39	61 820	123 64	2 25	ST
	Dec 3, 19	2 000	60 880	121 76	61 820	123 64	1 88	ST
	Dec 4, 19	1 000	61 040	61 04	61 820	61 82	0 78	ST
Security total		33 000	60 632	2,000 87		2,040 06	39 19	
US BANCORP DEL (NEW)								
Symbol USB Exchange NYSE								
EAI $983 Current yield 2 83%	Oct 5, 15	400 000	41 300	16,520 00	59 290	23,716 00	7,196 00	LT
	Jan 21, 16	55 000	39 226	2,157 43	59 290	3,260 95	1,103 52	LT
	Feb 23, 18	130 000	55 054	7,157 10	59 290	7,707 70	550 60	LT
Security total		585 000	44 162	25,834 53		34,684 65	8,850 12	
VARIAN MEDICAL SYSTEMS INC								
Symbol VAR Exchange NYSE	Oct 5, 15	58 000	66 720	3,869 81	142 010	8,236 58	4,366 77	LT

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Your assets , **Equities** , **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jan 21, 16	36 000	65 659	2,363 75	142 010	5,112 36	2,748 61	LT
Security total		94 000	66 314	6,233 56		13,348 94	7,115 38	
VEEVA SYSTEMS INC CL A								
Symbol VEEV Exchange NYSE	Aug 23, 17	10 000	64 391	643 91	140 660	1,406 60	762 69	LT
	Mar 6, 18	50 000	77 197	3,859 85	140 660	7,033 00	3,173 15	LT
	Jan 9, 19	5 000	96 330	481 65	140 660	703 30	221 65	ST
Security total		65 000	76 699	4,985 41		9,142 90	4,157 49	
VERACYTE INC								
Symbol VCYT Exchange OTC	Jun 5, 19	58 000	24 115	1,398 72	27 920	1,619 36	220 64	ST
	Jun 6, 19	129 000	24 829	3,202 99	27 920	3,601 68	398 69	ST
Security total		187 000	24 608	4,601 71		5,221 04	619 33	
VERIZON COMMUNICATIONS INC								
Symbol VZ Exchange NYSE								
EAI $2,386 Current yield 4 01%	Dec 23, 14	83 000	47 696	3,958 82	61 400	5,096 20	1,137 38	LT
	Dec 2, 15	6 000	45 480	272 88	61 400	368 40	95 52	LT
	Jan 5, 16	10 000	45 789	457 89	61 400	614 00	156 11	LT
	Jan 21, 16	11 000	45 500	500 50	61 400	675 40	174 90	LT
	Jan 3, 17	230 000	54 456	12,524 97	61 400	14,122 00	1,597 03	LT
	Feb 8, 17	125 000	48 543	6,067 94	61 400	7,675 00	1,607 06	LT
	Oct 20, 17	282 000	49 448	13,944 53	61 400	17,314 80	3,370 27	LT
	Dec 5, 17	103 000	51 013	5,254 39	61 400	6,324 20	1,069 81	LT
	Jun 13, 18	100 000	47 855	4,785 55	61 400	6,140 00	1,354 45	LT
	Feb 5, 19	6 000	54 220	325 32	61 400	368 40	43 08	ST
	May 13, 19	3 000	56 800	170 40	61 400	184 20	13 80	ST
	Jul 31, 19	4 000	55 930	223 72	61 400	245 60	21 88	ST
	Oct 31, 19	7 000	60 454	423 18	61 400	429 80	6 62	ST
Security total		970 000	50 423	48,910 09		59,558 00	10,647 91	
VERMILION ENERGY INC CAD								
Symbol VET Exchange NYSE								
EAI $960 Current yield 12 81%								
CAD Exchange rate 1 29675	Jan 5, 16	5 000	26 706	133 53	16 360	81 80	-51 73	LT
	Jan 7, 16	52 000	24 520	1,275 09	16 360	850 72	-424 37	LT
	Jan 22, 16	10 000	24 725	247 25	16 360	163 60	-83 65	LT

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UBS Strategic Wealth Portfolio
December 2019

Account name THE MARGARET T PETRIE
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919-967-2451/800-905-3009

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Oct 5, 16	47 000	39 817	1,871 42	16 360	768 92	-1,102 50	LT
	Jan 4, 17	23 000	43 174	993 01	16 360	376 28	-616 73	LT
	Mar 2, 17	50 000	38 856	1,942 82	16 360	818 00	-1,124 82	LT
	May 23, 17	33 000	34 134	1,126 44	16 360	539 88	-586 56	LT
	Jun 9, 17	32 000	33 222	1,063 11	16 360	523 52	-539 59	LT
	Aug 16, 17	26 000	30 424	791 04	16 360	425 36	-365 68	LT
	Aug 17, 17	14 000	30 600	428 41	16 360	229 04	-199 37	LT
	Feb 7, 18	27 000	34 825	940 28	16 360	441 72	-498 56	LT
	Mar 15, 18	44 000	30 582	1,345 65	16 360	719 84	-625 81	LT
	Nov 2, 18	32 000	25 814	826 05	16 360	523 52	-302 53	LT
	Nov 20, 18	5 000	24 176	120 88	16 360	81 80	-39 08	LT
	Nov 21, 18	23 000	25 543	587 49	16 360	376 28	-211 21	LT
	Feb 4, 19	35 000	24 453	855 87	16 360	572 60	-283 27	ST
Security total		458 000	31 765	14,548 34		7,492 88	-7,055 46	
VIKING THERAPEUTICS INC Symbol VKTX Exchange OTC	Oct 5, 18	287 000	15 607	4,479 32	8 020	2,301 74	-2,177 58	LT
	Jan 9, 19	23 000	8 470	194 81	8 020	184 46	-10 35	SI
Security total		310 000	15 078	4,674 13		2,486 20	-2,187 93	
VISA INC CL A Symbol V Exchange NYSE EAI $583 Current yield 0 64%	Oct 5, 15	401 000	72 047	28,890 85	187 900	75,347 90	46,457 05	LT
	Jan 21, 16	85 000	71 639	6,089 39	187 900	15,971 50	9,882 11	LT
Security total		486 000	71 976	34,980 24		91,319 40	56,339 16	
VODAFONE GROUP PLC SPON ADR Symbol VOD Exchange OTC EAI $192 Current yield 4 85%	May 15, 18	10 000	26 997	269 97	19 330	193 30	-76 67	LT
	Aug 22, 18	195 000	23 116	4,507 81	19 330	3,769 35	-738 46	LT
Security total		205 000	23 306	4,777 78		3,962 65	-815 13	
WASH TRUST BANCORP INC Symbol WASH Exchange OTC EAI $259 Current yield 3 79%	Oct 5, 15	74 000	38 743	2,867 02	53 790	3,980 46	1,113 44	LT
	Dec 2, 15	2 000	40 880	81 76	53 790	107 58	25 82	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jan 5, 16	7 000	38 620	270 34	53 790	376 53	106 19	LT
	Jan 22, 16	22 000	35 693	785 25	53 790	1,183 38	398 13	LT
	May 7, 19	2 000	53 425	106 85	53 790	107 58	0 73	ST
	May 8, 19	1 000	53 130	53 13	53 790	53 79	0 66	ST
	May 9, 19	1 000	52 690	52 69	53 790	53 79	1 10	ST
	May 10, 19	18 000	53 707	966 73	53 790	968 22	1 49	ST
Security total		127 000	40 817	5,183 77		6,831 33	1,647 56	
WELLS FARGO & CO NEW Symbol WFC Exchange NYSE EAI $1,079 Current yield 3 79%	Oct 5, 15	344 000	51 870	17,843 28	53 800	18,507 20	663 92	LT
	Jan 21, 16	55 000	48 626	2,674 43	53 800	2,959 00	284 57	LT
	Mar 22, 16	30 000	51 907	1,557 23	53 800	1,614 00	56 77	LT
	Jun 6, 19	100 000	45 750	4,575 04	53 800	5,380 00	804 96	ST
Security total		529 000	50 378	26,649 98		28,460 20	1,810 22	
WESTERN ALLIANCE BANCORP Symbol WAL Exchange NYSE EAI $210 Current yield 1 75%	Apr 17, 17	58 000	45 973	2,666 44	57 000	3,306 00	639 56	LT
	Apr 18, 17	20 000	45 495	909 90	57 000	1,140 00	230 10	LT
	Oct 4, 17	46 000	52 998	2,437 94	57 000	2,622 00	184 06	LT
	Oct 5, 17	17 000	53 055	901 94	57 000	969 00	67 06	LT
	Jan 9, 19	9 000	41 956	377 61	57 000	513 00	135 39	ST
	Oct 28, 19	60 000	49 872	2,992 32	57 000	3,420 00	427 68	ST
Security total		210 000	48 982	10,286 15		11,970 00	1,683 85	
WHIRLPOOL CORP Symbol WHR Exchange NYSE EAI $869 Current yield 3 25%	Mar 13, 19	113 000	142 098	16,057 13	147 530	16,670 89	613 76	ST
	Apr 24, 19	64 000	139 304	8,915 50	147 530	9,441 92	526 42	ST
	Jul 31, 19	2 000	148 905	297 81	147 530	295 06	-2 75	ST
	Oct 31, 19	2 000	151 510	303 02	147 530	295 06	-7 96	ST
Security total		181 000	141 290	25,573 46		26,702 93	1,129 47	
WILEY JOHN & SONS INC CL A Symbol JW A Exchange NYSE EAI $209 Current yield 2 80%	Sep 26, 19	16 000	44 366	709 87	48 520	776 32	66 45	ST

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UBS Strategic Wealth Portfolio
December 2019

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Sep 27, 19	13 000	44 103	573 35	48 520	630 76	57 41	ST
	Sep 30, 19	17 000	43 801	744 63	48 520	824 84	80 21	ST
	Oct 1, 19	15 000	43 870	658 05	48 520	727 80	69 75	ST
	Oct 2, 19	20 000	42 969	859 39	48 520	970 40	111 01	ST
	Oct 3, 19	18 000	42 854	771 38	48 520	873 36	101 98	ST
	Oct 4, 19	21 000	43 638	916 40	48 520	1,018 92	102 52	ST
	Oct 7, 19	13 000	43 603	566 85	48 520	630 76	63 91	ST
	Nov 13, 19	18 000	46 547	837 86	48 520	873 36	35 50	ST
	Nov 14, 19	3 000	46 420	139 26	48 520	145 56	6 30	ST
Security total		154 000	44 007	6,777 04		7,472 08	695 04	
WPX ENERGY INC								
Symbol WPX Exchange NYSE	Oct 5, 18	203 000	20 246	4,109 96	13 740	2,789 22	-1,320 74	LT
	Dec 13, 18	200 000	12 659	2,662 60²	13 740	2,748 00	85 40	LT
Security total		403 000	16 805	6,772 56		5,537 22	-1,235 34	
WYNN MACAU LTD UNSPON ADR								
Symbol WYNMY Exchange OTC								
EAI $219 Current yield 4 27%	May 15, 18	209 000	37 925	7,926 33	24 557	5,132 41	-2,793 92	LT
YUM CHINA HLDGS INC								
Symbol YUMC Exchange NYSE								
EAI $174 Current yield 1 00%	Oct 5, 15	182 000	24 895	4,530 99	48 010	8,737 82	4,206 83	LT
	Oct 7, 15	46 000	20 333	935 33	48 010	2,208 46	1,273 13	LT
	Jan 21, 16	46 000	20 839	958 61	48 010	2,208 46	1,249 85	LT
	Nov 1, 16	67 000	25 879	1,733 90	48 010	3,216 67	1,482 77	LT
	Nov 1, 16	21 000	25 990	545 80	48 010	1,008 21	462 41	LT
Security total		362 000	24 046	8,704 63		17,379 62	8,674 99	
YUM! BRANDS INC								
Symbol YUM Exchange NYSE								
EAI $380 Current yield 1 67%	Oct 5, 15	134 000	57 690	7,730 51	100 730	13,497 82	5,767 31	LT
	Oct 7, 15	46 000	47 118	2,167 45	100 730	4,633 58	2,466 13	LT
	Jan 21, 16	46 000	48 290	2,221 37	100 730	4,633 58	2,412 21	LT
Security total		226 000	53 625	12,119 33		22,764 98	10,645 65	

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Your assets , **Equities** , **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ZYNGA INC								
Symbol ZNGA Exchange OTC	Apr 30, 19	871 000	5 625	4,899 38	6 120	5,330 52	431 14	ST
	May 28, 19	544 000	6 150	3,345 87	6 120	3,329 28	-16 59	ST
Security total		1,415 000	5 827	8,245 25		8,659 80	414 55	
Total				**$3,853,167.25**		**$5,085,881 05**	**$1,232,713 80**	

Total estimated annual income: $102,868

² Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

UBS Strategic Wealth Portfolio
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Your assets (continued)

Fixed income

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values Actual market values may vary and thus gains/losses may not be accurately reflected Cost basis has been adjusted for accreted original issue discount (OID) Cost basis has been automatically adjusted for amortization of bond premium using the constant yield method If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request UBS adjust cost basis for the bond premium amortization

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
KIMBERLY-CLARK CORP CALL@MW+6BP RATE 01 850% MATURES 03/01/20 ACCRUED INTEREST $160 33 CUSIP 494368BP7 Moody A2 S&P A EAI $241 Current yield 1 85% Original cost basis $26,388 70	May 18, 16	26,000 000	100 067	26,017 52	99 922	25,979 72	-37 80	LT
CONS EDISON CO OF N Y 4 450% 061520 DTD060710 CALL @ MW +20BP ACCRUED INTEREST $7 91 CUSIP 209111EZ2 Moody A3 S&P A- EAI $89 Current yield 4 40% Original cost basis $4,139 76	Mar 21, 18	4,000 000	100 729	4,029 19	101 147	4,045 88	16 69	LT
DUKE ENERGY CAROLINAS +20BP RATE 04 300% MATURES 06/15/20 ACCRUED INTEREST $3 82 CUSIP 26442CAJ3 Moody Aa2 S&P A EAI $43 Current yield 4 26% Original cost basis $2,186 42	Jan 15, 16	2,000 000	101 001	2,020 02	101 027	2,020 54	0 52	LT

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Your assets › **Fixed income** › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
TRGT CORP NTS B/E +15BP RATE 03 875% MATURES 07/15/20 ACCRUED INTEREST $446 70 CUSIP 87612EAV8 Moody A2 S&P A EAI $969 Current yield 3 83% Original cost basis $27,003 00	Dec 03, 15	25,000 000	100 974	25,243 53	101 072	25,268 00	24 47	LT
KIMBERLY CLARK CORP B/E +10BP RATE 03 625% MATURES 08/01/20 ACCRUED INTEREST $30 20 CUSIP 494368BE2 Moody A2 S&P A EAI $73 Current yield 3 59% Original cost basis $2,146 20	Jan 19, 16	2,000 000	100 976	2,019 52	100 911	2,018 22	-1 30	LT
ALABAMA POWER CO B/E RATE 03 375% MATURES 10/01/20 ACCRUED INTEREST $33 75 CUSIP 010392FC7 Moody A1 S&P A EAI $135 Current yield 3 35% Original cost basis $4,060 60	Mar 13, 18	4,000 000	100 456	4,018 24	100 880	4,035 20	16 96	LT
KENTUCKY UTILS CO B/E CALL@MW+15BP CALLABLE ACCRUED INTEREST $173 33 CUSIP 491674BE6 Moody A1 S&P A EAI $1,040 Current yield 3 23% Original cost basis $32,032 00	Nov 13, 18	32,000 000	100 043	32,013 79	100 737	32,235 84	222 05	LT

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Your assets › **Fixed income** › **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
NTHN TR CORP NTS B/E RATE 03 450% MATURES 11/04/20 ACCRUED INTEREST $98 32 CUSIP 665859AL8 Moody A2 S&P A+ EAI $621 Current yield 3 41%								
Original cost basis $15,796 80	Nov 02, 15	15,000 000	100 935	15,140 39	101 263	15,189 45	49 06	LT
Original cost basis $3,047 07	Mar 26, 18	3,000 000	100 518	3,015 56	101 263	3,037 89	22 33	LT
Security total		18,000 000		18,155 95		18,227 34	71 39	
HONEYWELL INTL INC +10BPS RATE 04 250% MATURES 03/01/21 ACCRUED INTEREST $396 66 CUSIP 438516BA3 Moody A2 S&P A EAI $1,190 Current yield 4 12%								
Original cost basis $29,044 34	Oct 21, 15	26,000 000	102 650	26,689 21	103 047	26,792 22	103 01	LT
Original cost basis $2,204 64	Nov 17, 15	2,000 000	102 358	2,047 16	103 047	2,060 94	13 78	LT
Security total		28,000 000		28,736 37		28,853 16	116 79	
PNC BK MED TERM NTS B/E RATE 02 150% MATURES 04/29/21 CALLABLE ACCRUED INTEREST $18 51 CUSIP 69353REW4 Moody A2 S&P A EAI $108 Current yield 2 14%	Mar 13, 18	5,000 000	97 361	4,868 05	100 328	5,016 40	148 35	LT
BB&T CORP MED TERM NTS B/E RATE 02 050% MATURES 05/10/21 CALLABLE ACCRUED INTEREST $5 80 CUSIP 05531FAV5 Moody A3 S&P A- EAI $41 Current yield 2 05%	Mar 16, 17	2,000 000	98 137	1,962 74	100 211	2,004 22	41 48	LT

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Your assets › **Fixed income** › **Corporate bonds and notes** (continued)

Holding	Trade date	Tota face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ALABAMA POWER CO NTS B/E WHOLE +12 5BP RATE 03 950% MATURES 06/01/21 ACCRUED INTEREST $102 04 CUSIP 010392FE3 Moody A1 S&P A EAI $1,225 Current yield 3 85% Original cost basis $33,164 42	Oct 13, 15	31,000 000	101 852	31,574 17	102 549	31,790 19	216 02	LT
UNTD TECHNOLOGIES CORP CALL@MW+10BP RATE 01 950% MATURES 11/01/21 CALLABLE ACCRUED INTEREST $91 00 CUSIP 913017CG2 Moody Baa1 S&P BBB+ EAI $546 Current yield 1 95%	Jan 10, 17	28,000 000	98 533	27,589 24	100 218	28,061 04	471 80	LT
PNC BK NATL ASSN MED TERM NTS RATE 02 550% MATURES 12/09/21 CALLABLE ACCRUED INTEREST $4 67 CUSIP 69353REY0 Moody A2 S&P A EAI $77 Current yield 2 52% Original cost basis $3,019 47	Apr 24, 17	3,000 000	100 280	3,008 42	101 210	3,036 30	27 88	LT
PROCTER & GAMBLE CO NTS 10BP RATE 02 300% MATURES 02/06/22 ACCRUED INTEREST $259 38 CUSIP 742718DY2 Moody Aa3 S&P AA- EAI $644 Current yield 2 27% Original cost basis $22,061 60	Feb 15, 17	22,000 000	100 121	22,076 80	101 355	22,298 10	221 30	LT
	Mar 13, 18	6,000 000	98 084	5,885 04	101 355	6,081 30	196 26	LT
Security total		28,000 000		27,911 84		28,379 40	467 56	

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Your assets › **Fixed income** › **Corporate bonds and notes** (continued)

Holding	Trade date	Tota face value at maturity ($)	Purchase price ($)	Adjusted cost oasis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding per od
EXXON MOBIL CORP NTS B/E CALL@MW+7BP RATE 02 397% MATURES 03/06/22 CALLABLE ACCRUED INTEREST $206 74 CUSIP 30231GAJ1 Moody Aaa S&P AA+ EAI $647 Current yield 2 37% Original cost basis $27,073 71	Feb 23, 16	27,000 000	100 103	27,027 82	101 090	27,294 30	266 48	LT
BB&T CORP MED TERM NTS B/E RATE 02 750% MATURES 04/01/22 CALLABLE ACCRUED INTEREST $27 50 CUSIP 05531FAX1 Moody A3 S&P A- EAI $110 Current yield 2 70%	Apr 17, 18	4,000 000	98 258	3,930 32	101 772	4,070 88	140 56	LT
J P MORGAN CHASE & CO NTS B/E RATE 03 250% MATURES 09/23/22 ACCRUED INTEREST $168 09 CUSIP 46625HJE1 Moody A2 S&P A- EAI $618 Current yield 3 14% Original cost basis $19,249 47	Apr 29, 19	19,000 000	101 062	19,201 93	103 465	19,658 35	456 42	ST
PEPSICO INC B/E RATE 02 750% MATURES 03/01/23 ACCRUED INTEREST $247 50 CUSIP 713448CG1 Moody A1 S&P A+ EAI $743 Current yield 2 68%	Aug 22, 18	27,000 000	98 526	26,602 02	102 783	27,751 41	1,149 39	LT

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Your assets › **Fixed income** › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
BERKSHIRE HATHAWAY INC CALL@MW+20BP RATE 02 750% MATURES 03/15/23 CALLABLE ACCRUED INTEREST $218 62 CUSIP 084670BR8 Moody Aa2 S&P AA EAI $743 Current yield 2 68%	Oct 26, 18	27,000 000	97 551	26,338 77	102 542	27,686 34	1,347 57	LT
PNC BANK NA B/E RATE 03 800% MATURES 07/25/23 CALLABLE ACCRUED INTEREST $395 20 CUSIP 69349LAM0 Moody A3 S&P A- EAI $912 Current yield 3 62% Original cost basis $25,146 48	May 09, 17	24,000 000	102 848	24,683 57	105 064	25,215 36	531 79	LT
METLIFE INC B/E RATE 04 368% MATURES 09/15/23 ACCRUED INTEREST $50 47 CUSIP 59156RBB3 Moody A3 S&P A- EAI $1,136 Current yield 4 03% Original cost basis $28,191 80	Apr 27, 17	26,000 000	105 082	27,321 48	108 437	28,193 62	872 14	LT
VERIZON COMMUNICATIONS CALL@MW+40BP RATE 05 150% MATURES 09/15/23 ACCRUED INTEREST $333 60 CUSIP 92343VBR4 Moody Baa1 S&P BBB+ EAI $1,133 Current yield 4 63%								
Original cost basis $17,731 84	Dec 30, 14	16,000 000	105 027	16,804 33	111 191	17,790 56	986 23	LT
Original cost basis $2,241 40	Dec 02, 15	2,000 000	106 134	2,122 69	111 191	2,223 82	101 13	LT
Original cost basis $2,161 46	Mar 28, 18	2,000 000	105 639	2,112 78	111 191	2,223 82	111 04	LT
Original cost basis $2,132 84	May 17, 18	2,000 000	104 755	2,095 10	111 191	2,223 82	128 72	LT
Security total		22,000 000		23,134 90		24,462 02	1,327 12	

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Your assets , **Fixed income** , **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
NATL RURAL UTILS COOP								
CALL@MW+15BP								
RATE 03 400% MATURES 11/15/23								
CALLABLE								
ACCRUED INTEREST $82 54								
CUSIP 637432MV4								
Moody A1 S&P A								
EAI $646 Current yield 3 23%								
Original cost basis $19,948 48	Dec 09, 19	19,000 000	104 917	19,934 26	105 272	20,001 68	67 42	ST
STATE STREET CORP B/E								
RATE 03 700% MATURES 11/20/23								
ACCRUED INTEREST $113 77								
CUSIP 857477AM5								
Moody A1 S&P A								
EAI $999 Current yield 3 47%								
Original cost basis $6,309 90	Apr 06, 17	6,000 000	103 146	6,188 78	106 578	6,394 68	205 90	LT
Original cost basis $13,859 30	Oct 24, 17	13,000 000	104 344	13,564 76	106 578	13,855 14	290 38	LT
Original cost basis $8,183 52	Mar 12, 18	8,000 000	101 611	8,128 93	106 578	8,526 24	397 31	LT
Security total		27,000 000		27,882 47		28,776 06	893 59	
BANK OF AMER CORP								
MED TERM NTS								
RATE 04 125% MATURES 01/22/24								
ACCRUED INTEREST $419 03								
CUSIP 06051GFB0								
Moody A2 S&P A-								
EAI $949 Current yield 3 84%								
Original cost basis $13,689 78	Dec 30, 14	13,000 000	107 579	13,335 33	107 494	13,974 77	638 89	LT
Original cost basis $2,095 48	Apr 04, 17	2,000 000	102 976	2,059 53	107 494	2,149 88	90 35	LT
Original cost basis $5,363 95	Aug 29, 17	5,000 000	104 769	5,238 48	107 494	5,374 70	136 22	LT
Original cost basis $3,040 17	May 17, 18	3,000 000	100 985	3,029 57	107 494	3,224 82	195 25	LT
Security total		23,000 000		23,662 91		24,723 62	1,060 71	

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Your assets › **Fixed income** › **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
GOLDMAN SACHS GROUP INC B/E RATE 04 000% MATURES 03/03/24 ACCRUED INTEREST $222 88 CUSIP 38141GVM3 Moody A3 S&P BBB+ EAI $680 Current yield 3 75%								
Original cost basis $13,516 62	Dec 30, 14	13,000 000	101 963	13,255 24	106 564	13,853 32	598 08	LT
Original cost basis $2,080 76	Apr 04, 17	2,000 000	102 545	2,050 91	106 564	2,131 28	80 37	LT
Original cost basis $2,000 20	May 17, 18	2,000 000	100 007	2,000 15	106 564	2,131 28	131 13	LT
Security total		17,000 000		17,306 30		18,115 88	809 58	
PUB SVC ELEC & GAS CO CALL@MW+15 BP RATE 03 750% MATURES 03/15/24 ACCRUED INTEREST $22 08 CUSIP 74456QBD7 Moody Aa3 S&P A EAI $75 Current yield 3 54%								
Original cost basis $2,081 16	Mar 20, 17	2,000 000	102 546	2,050 92	105 820	2,116 40	65 48	LT
PRUDENTIAL FINANCIAL INC CALL@MW+15BP RATE 03 500% MATURES 05/15/24 ACCRUED INTEREST $143 11 CUSIP 74432QBZ7 Moody A3 S&P A EAI $1,120 Current yield 3 29%								
Original cost basis $32,697 28	Feb 13, 19	32,000 000	101 835	32,587 51	106 423	34,055 36	1,467 85	ST
PECO ENERGY CO B/E CALL@MW+20BP RATE 03 150% MATURES 10/15/25 CALLABLE ACCRUED INTEREST $239 40 CUSIP 693304AT4 Moody Aa3 S&P A EAI $1,134 Current yield 3 01%	Mar 15, 18	36,000 000	98 106	35,318 16	104 530	37,630 80	2,312 64	LT

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Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Tota face value at maturity ($)	Purchase price ($)	Adjusted cost oasis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
AMER EXPRESS CO NTS B/E RATE 04 200% MATURES 11/06/25 CALLABLE ACCRUED INTEREST $166 83 CUSIP 025816CA5 Moody A3 S&P BBB+ EAI $1,092 Current yield 3 81% Original cost basis $26,536 64	Jan 07, 19	26,000 000	101 798	26,467 60	110 253	28,665 78	2,198 18	ST
FLORIDA POWER & LIGHT CO CALL@MW+15BP RATE 03 125% MATURES 12/01/25 CALLABLE ACCRUED INTEREST $85 93 CUSIP 341081FM4 Moody Aa2 S&P A+ EAI $1,031 Current yield 2 97% Original cost basis $33,540 87	Mar 29, 19	33,000 000	101 468	33,484 54	105 078	34,675 74	1,191 20	ST
JOHNSON & JOHNSON B/E CALL@MW+15BP RATE 02 450% MATURES 03/01/26 CALLABLE ACCRUED INTEREST $228 66 CUSIP 478160BY9 Moody Aaa S&P AAA EAI $686 Current yield 2 41% Original cost basis $28,903 56	Sep 04, 19	28,000 000	103 074	28,860 87	101 558	28,436 24	-424 63	ST
SHELL INTL FIN NTS B/E CALL@MW+20BP RATE 02 875% MATURES 05/10/26 ACCRUED INTEREST $73 31 CUSIP 822582BT8 Moody Aa2 S&P AA- EAI $518 Current yield 2 77% Original cost basis $13,000 65	Dec 06, 17	13,000 000	100 003	13,000 50	103 770	13,490 10	489 60	LT
	Dec 28, 17	1,000 000	99 976	999 76	103 770	1,037 70	37 94	LT
	May 17, 18	2,000 000	94 112	1,882 24	103 770	2,075 40	193 16	LT

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Your assets › **Fixed income** › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jun 06, 18	1,000 000	94 728	947 28	103 770	1,037 70	90 42	LT
	Jan 16, 19	1,000 000	96 297	962 97	103 770	1,037 70	74 73	ST
Security total		18,000 000		17,792 75		18,678 60	885 85	
SUNTRUST BANK ATLANTA B/E RATE 03 300% MATURES 05/15/26 CALLABLE ACCRUED INTEREST $67 46 CUSIP 86787GAJ1 Moody A3 S&P A- EAI $528 Current yield 3 17%	Jan 02, 19	16,000 000	95 287	15,245 92	104 082	16,653 12	1,407 20	ST
WALT DISNEY COMPANY/THE B/E RATE 01 850% MATURES 07/30/26 ACCRUED INTEREST $231 24 CUSIP 25468PDM5 Moody A2 S&P A EAI $555 Current yield 1 88%	Nov 30, 18	30,000 000	87 658	26,297 40	98 279	29,483 70	3,186 30	LT
WELLS FARGO & CO B/E RATE 03 584% MATURES 05/22/28 CALLABLE ACCRUED INTEREST $69 88 CUSIP 95000U2A0 Moody A2 S&P A- EAI $645 Current yield 3 37%								
Original cost basis $8,207 20	Sep 06, 17	8,000 000	102 103	8,168 25	106 307	8,504 56	336 31	LT
Original cost basis $1,012 37	Sep 28, 17	1,000 000	101 011	1,010 11	106 307	1,063 07	52 96	LT
Original cost basis $5,109 60	Dec 06, 17	5,000 000	101 816	5,090 81	106 307	5,315 35	224 54	LT
Original cost basis $1,004 54	Jan 18, 18	1,000 000	100 380	1,003 80	106 307	1,063 07	59 27	LT
	May 17, 18	2,000 000	94 680	1,893 60	106 307	2,126 14	232 54	LT
	Jun 06, 18	1,000 000	95 246	952 46	106 307	1,063 07	110 61	LT
Security total		18,000 000		18,119 03		19,135 26	1,016 23	

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Your assets › **Fixed income** › **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
CITIGROUP INC B/E CALL@MW+100BP RATE 03 668% MATURES 07/24/28 CALLABLE ACCRUED INTEREST $287 93 CUSIP 172967LP4 Moody A3 S&P BBB+ EAI $660 Current yield 3 44%								
Original cost basis $13,213 72	Aug 29, 17	13,000 000	101 341	13,174 38	106 614	13,859 82	685 44	LT
Original cost basis $1,007 19	Sep 28, 17	1,000 000	100 591	1,005 91	106 614	1,066 14	60 23	LT
	May 17, 18	2,000 000	94 482	1,889 64	106 614	2,132 28	242 64	LT
	Jul 18, 18	1,000 000	95 377	953 77	106 614	1,066 14	112 37	LT
	Sep 19, 18	1,000 000	94 928	1,002 32	106 614	1,066 14	63 82	LT
Security total		18,000 000		18,026 02		19,190 52	1,164 50	
COMCAST CORP NTS B/E CALL@MW+20BP RATE 04 150% MATURES 10/15/28 CALLABLE ACCRUED INTEREST $140 17 CUSIP 20030NCT6 Moody A3 S&P A- EAI $664 Current yield 3 68%								
Original cost basis $17,003 68	Mar 26, 19	16,000 000	105 841	16,934 57	112 634	18,021 44	1,086 87	ST
UNITED PARCEL SERVICE CALL@MW+15BP RATE 03 400% MATURES 03/15/29 CALLABLE ACCRUED INTEREST $270 29 CUSIP 911312BR6 Moody A2 S&P A EAI $918 Current yield 3 16%								
Original cost basis $27,545 40	Apr 29, 19	27,000 000	101 901	27,513 32	107 432	29,006 64	1,493 32	ST

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UBS Strategic Wealth Portfolio
December 2019

Account name: THE MARGARET T PETRIE
Friendly account name: Petrie Pvt Fdn
Account number: EA 07913 LO

Your Financial Advisor:
LIVE OAK WEALTH MANAGEMENT
919-967-2451/800-905-3009

Your assets , **Fixed income** , Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
JPMORGAN CHASE & CO B/E CALL@MW+25BP RATE 04 203% MATURES 07/23/29 CALLABLE ACCRUED INTEREST $405 82 CUSIP 46647PAV8 Moody A2 S&P A- EAI $925 Current yield 3 77%								
Original cost basis $22,223 30	Jan 23, 19	22,000 000	100 940	22,206 95	111 629	24,558 38	2,351 43	ST
GENL ELEC CAP CORP NTS RATE 05 875% MATURES 01/14/38 ACCRUED INTEREST $272 53 CUSIP 36962G3P7 Moody Baa1 S&P BBB+ EAI $588 Current yield 4 83%								
Original cost basis $3,812 31	Dec 30, 14	3,000 000	123 118	3,693 56	121 556	3,646 68	-46 88	LT
Original cost basis $1,246 61	Dec 02, 15	1,000 000	121 623	1,216 23	121 556	1,215 56	-0 67	LT
Original cost basis $1,275 14	Apr 04, 17	1,000 000	125 023	1,250 23	121 556	1,215 56	-34 67	LT
Original cost basis $1,169 27	Feb 21, 18	1,000 000	115 907	1,159 07	121 556	1,215 56	56 49	LT
Original cost basis $1,137 76	May 17, 18	1,000 000	113 059	1,130 59	121 556	1,215 56	84 97	LT
Original cost basis $1,140 34	May 23, 18	1,000 000	113 309	1,133 09	121 556	1,215 56	82 47	LT
	Nov 15, 18	2,000 000	91 141	1,822 82	121 556	2,431 12	608 30	LT
Security total		10,000 000		11,405 59		12,155 60	750 01	
MICROSOFT CORP B/E CALL@MW+20BP RATE 04 250% MATURES 02/06/47 CALLABLE ACCRUED INTEREST $359 48 CUSIP 594918CA0 Moody Aaa S&P AAA EAI $893 Current yield 3 46%								
Original cost basis $18,994 44	Nov 02, 17	17,000 000	111 219	18,907 37	122 706	20,860 02	1,952 65	LT
Original cost basis $4,126 76	May 17, 18	4,000 000	103 070	4,122 82	122 706	4,908 24	785 42	LT
Security total		21,000 000		23,030 19		25,768 26	2,738 07	

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UBS Strategic Wealth Portfolio
December 2019

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Your assets › **Fixed income** › **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ENTERPRISE PRODUCTS OPER CALL@MW+25BP RATE 04 800% MATURES 02/01/49 CALLABLE ACCRUED INTEREST $220 00 CUSIP 29379VBU6 Moody Baa1 S&P BBB+ EAI $528 Current yield 4 09%	Nov 02, 18	10,000 000	96 104	9,610 40	117 475	11,747 50	2,137 10	LT
	Jan 16, 19	1,000 000	97 989	979 89	117 475	1,174 75	194 86	ST
Security total		11,000 000		10,590 29		12,922 25	2,331 96	
Total		**$864,000 000**		$\boxed{\$872,126.97}$		$\boxed{\$908,075\ 06}$	**$35,948 09**	

Total accrued interest: $7,602.48

Total estimated annual income· $28,918

² Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

Asset backed securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values Actual market values may vary and thus gains/losses may not be accurately reflected The cost basis for asset backed securities has been adjusted automatically for return of principal payments, and if issued at a discount, accreted original issue discount (OID)

Holding	Trade date	Quantity	Purchase price($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
CENTERPOINT ENERGY TRANSITION SER 2009-1 CL A-3 RATE 04 2430% MATURES 08/15/23 FACTOR 0 704458843000 CURRENT PAR VALUE 38,745 CUSIP 15200NAC9 EAI $1,644 Current yield 4 12%	Oct 21, 15	55,000 000	111 515	43,206 75	103 020	39,915 09	-3,291 66	LT
DISCOVER CARD EXECUTION NT TR SER 2019-1 CL A RATE 03 0400% MATURES 07/15/24 CURRENT PAR VALUE 55,000 ACCRUED INTEREST $71 91 CUSIP 254683CK9 EAI $1,672 Current yield 2 97%	Jul 18, 19	55,000 000	102 390	56,314 50	102 387	56,312 85	-1 65	ST

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Your assets › **Fixed income** › **Asset backed securities** (continued)

Holding	Trade date	Quantity	Purchase price($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
FNMA PL MA3729 RATE 02 5000% MATURES 07/01/34 CURRENT PAR VALUE 29,973 ACCRUED INTEREST $62 44 CUSIP 31418DEB4 EAI $749 Current yield 2 48%	Jun 26, 19	32,000 000	100 625	30,160 44	100 913	30,246 65	86 21	ST
FNMA PL MA3764 RATE 02 5000% MATURES 09/01/34 CURRENT PAR VALUE 46,132 ACCRUED INTEREST $96 10 CUSIP 31418DFE7 EAI $1,153 Current yield 2 48%	Aug 21, 19	48,000 000	101 312	46,736 76	100 916	46,554 56	-182 20	ST
FNMA PL MA3864 RATE 02 5000% MATURES 12/01/34 CURRENT PAR VALUE 42,717 CUSIP 31418DJJ2	Dec 09, 19	43,000 000	100 859	43,084 39	100 917	43,108 71	24 32	ST
FHLMC PL G08737 RATE 03 0000% MATURES 11/01/46 CURRENT PAR VALUE 31,520 ACCRUED INTEREST $78 80 CUSIP 3128MJZB9 EAI $946 Current yield 2 93%	Dec 08, 16	30,000 000	99 765	22,461 72	102 482	23,073 09	611 37	LT
	Apr 10, 17	2,000 000	99 437	1,492 52	102 482	1,538 21	45 69	LT
	Nov 08, 17	2,000 000	100 546	1,509 17	102 482	1,538 21	29 04	LT
	Jun 07, 18	7,000 000	96 406	5,064 60	102 482	5,383 72	319 12	LT
	Feb 08, 19	1,000 000	98 437	738 75	102 482	769 10	30 35	ST
Security total		42,000 000		31,266 76		32,302 32	1,035 57	
FNMA PL MA3521 RATE 04 0000% MATURES 11/01/48 CURRENT PAR VALUE 54,939 ACCRUED INTEREST $183 12 CUSIP 31418C4F8 EAI $2,198 Current yield 3 85%	May 08, 19	75,000 000	102 890	55,137 77	104 019	55,662 68	524 91	ST
	Jul 10, 19	2,000 000	103 671	1,479 37	104 019	1,484 34	4 97	ST
Security total		77,000 000		56,617 14		57,146 99	529 88	

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UBS Strategic Wealth Portfolio
December 2019

Account name THE MARGARET T PETRIE
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Account number: EA 07913 LC

Your Financial Advisor.
LIVE OAK WEALTH MANAGEMENT
919-967-2451/800-905-3009

Your assets › **Fixed income** › **Asset backed securities** (continued)

Holding	Trade date	Quantity	Purchase price($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
FNMA PL MA3527 RATE 05 0000% MATURES 11/01/48 CURRENT PAR VALUE 28,901 ACCRUED INTEREST $120 41 CUSIP 31418C4M3								
EAI $1,445 Current yield 4 68%	Jan 09, 19	34,000 000	105 453	23,644 94	106 811	23,853 65	208 71	ST
	May 09, 19	5,000 000	106 468	3,496 59	106 811	3,507 89	11 30	ST
	Aug 08, 19	5,000 000	107 687	3,536 62	106 811	3,507 89	-28 73	ST
Security total		44,000 000		30,678 15		30,869 44	191 28	
FHLMC PL ZT1546 RATE 04 5000% MATURES 12/01/48 CURRENT PAR VALUE 46,510 ACCRUED INTEREST $174 41 CUSIP 3132ADWF1								
EAI $2,093 Current yield 4 28%	Sep 10, 19	42,000 000	105 500	27,849 27	105 201	27,770 48	-78 79	ST
	Oct 09, 19	6,000 000	105 718	3,986 68	105 201	3,967 21	-19 47	ST
	Nov 08, 19	12,000 000	105 687	7,971 03	105 201	7,934 42	-36 61	ST
	Dec 09, 19	14,000 000	105 687	9,299 54	105 201	9,256 83	-42 71	ST
Security total		74,000 000		49,106 52		48,928 98	-177 58	
FHLMC PL SD8010 RATE 03 0000% MATURES 09/01/49 CURRENT PAR VALUE 1,961 ACCRUED INTEREST $4 90 CUSIP 3132DV3T0								
EAI $59 Current yield 2 96%	Dec 09, 19	2,000 000	101 484	1,989 71	101 480	1,990 02	0 31	ST
FNMA PL MA3803 RATE 03 5000% MATURES 10/01/49 CURRENT PAR VALUE 56,052 ACCRUED INTEREST $163 48 CUSIP 31418DGM8								
EAI $1,962 Current yield 3 40%	Oct 08, 19	57,000 000	103 234	57,864 50	102 921	57,689 27	-175 23	ST
Total		**529,000 000**		**$447,025.62**		**$445,064 88**	**-$1,960.75**	

Total accrued interest: $955.57
Total estimated annual income $13,921

Your assets . **Fixed income** (continued)

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends t does not nclude any cash dividends I- is no: a tax lot for the purposes of determining holding periods or cost basis The shares you rece ve each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, ncluding reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss s the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ISHARES SHORT TREAS BOND ETF									
Symbol SHV									
Trade date Mar 21, 18	383 000	110 288	42,240 42	42,240 42	110 460	42,306 18	65 76		LT
Trade date Dec 26, 19	392 000	110 431	43,288 99	43,288 99	110 460	43,300 32	11 33		ST
EAI $1,874 Current yield 2 19%									
Security total	775 000	110 361	85,529 41	$\boxed{85,529\ 41}$		$\boxed{85,606\ 50}$	77 09	77 09	

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, ncluding reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ALLIANZGI SHORT									
DURATION HIGH INCOME									
FUND CLASS P									
Symbol ASHPX									
Trade date May 14, 18	3,925 082	14 809	58,130 46	58,130 46	14 530	57,031 44	-1,099 02		LT
Trade date May 18, 18	23,215 293	14 729	341,961 26	341,961 26	14 530	337,318 21	-4,643 05		LT
Total reinvested	2,411 954	14 492		34,955 00²	14 530	35,045 69	90 69		
EAI $20,716 Current yield 4 82%									
Security total	29,552 329	14 721	400,091 72	435,046 72		429,395 34	-5,651 38	29,303 62	
WESTERN ASSET SMASH									

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UBS

Strategic Wealth Portfolio
December 2019

Account name THE MARGARET T PETRIE
Friendly account name· Petrie Pvt Fdn
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919-967-2451/800-905-3009

Your assets › **Fixed income** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
SERIES M FUND									
Symbol LMSMX									
Trade date May 31, 16	19,321 422	10 759	207,898 50	207,898 50	10 750	207,705 29	-193 21		LT
Trade date Dec 30, 16	353 996	10 610	3,755 90	3,755 90	10 750	3,805 46	49 56		LT
Trade date Jun 23, 17	119 781	10 949	1,311 60	1,311 60	10 750	1,287 65	-23 95		LT
Trade date Jul 17, 17	174 678	10 870	1,898 75	1,898 75	10 750	1,877 79	-20 96		LT
Trade date Oct 26, 17	125 116	10 799	1,351 25	1,351 25	10 750	1,345 00	-6 25		LT
Trade date Jan 22, 18	110 307	10 749	1,185 80	1,185 80	10 750	1,185 80			LT
Trade date May 15, 18	2,833 425	10 499	29,750 96	29,750 96	10 750	30,459 32	708 36		LT
Trade date May 17, 18	80 076	10 460	837 60	837 60	10 750	860 82	23 22		LT
Trade date Oct 17, 18	330 315	10 470	3,458 40	3,458 40	10 750	3,550 89	92 49		LT
Trade date May 28, 19	125 000	11 050	1,381 25	1,381 25	10 750	1,343 75	-37 50		ST
Trade date Jun 12, 19	145 130	11 120	1,613 85	1,613 85	10 750	1,560 15	-53 70		ST
Trade date Jun 19, 19	410 755	11 040	4,534 74	4,534 74	10 750	4,415 62	-119 12		ST
Trade date Jun 27, 19	151 587	11 050	1,675 04	1,675 04	10 750	1,629 56	-45 48		ST
Trade date Jul 29, 19	79 927	11 020	880 80	880 80	10 750	859 22	-21 58		ST
Trade date Aug 22, 19	232 000	11 090	2,572 88	2,572 88	10 750	2,494 00	-78 88		ST
Trade date Aug 29, 19	178 678	11 130	1,988 69	1,988 69	10 750	1,920 79	-67 90		ST
Trade date Dec 19, 19	1,000 185	10 690	10,691 98	10,691 98	10 750	10,751 99	60 01		ST
Trade date Dec 27, 19	106 502	10 739	1,143 83	1,143 83	10 750	1,144 90	1 07		ST
EAI $8,333 Current yield 3 00%									
Security total	25,878 880	10 740	277,931 82	277,931 82		278,197 96	266 18	266 18	
WESTERN ASSET SMASH									
SERIES C FUND									
Symbol LMLCX									
Trade date May 31, 16	7,499 414	9 469	71,019 45	71,019 45	9 890	74,169 20	3,149 75		LT
Trade date Sep 27, 17	110 000	9 840	1,082 40	1,082 40	9 890	1,087 90	5 50		LT
Trade date May 15, 18	1,003 085	9 600	9,629 62	9,629 62	9 890	9,920 51	290 89		LT
Trade date May 29, 18	100 210	9 539	956 00	956 00	9 890	991 08	35 08		LT
Trade date Jul 11, 18	145 153	9 450	1,371 70	1,371 70	9 890	1,435 56	63 86		LT
Trade date Oct 29, 18	63 000	9 320	587 16	587 16	9 890	623 07	35 91		LT

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UBS Strategic Wealth Portfolio
December 2019

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Your assets › **Fixed income** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date Nov 26, 18	99 891	9 169	916 00	916 00	9 890	987 92	71 92		LT
Trade date Feb 14, 19	258 278	9 270	2,394 24	2,394 24	9 890	2,554 37	160 13		ST
Trade date Feb 25, 19	102 889	9 269	953 78	953 78	9 890	1,017 57	63 79		ST
Trade date May 28, 19	125 134	9 349	1,176 26	1,176 26[2]	9 890	1,237 58	61 32		LT
Trade date Aug 22, 19	112 883	9 670	1,091 58	1,091 58	9 890	1,116 41	24 83		ST
EAI $3,953 Current yield 4 15%									
Security total	9,619 937	9 478	91,178 19	91,178 19		95,141 17	3,962 98	3,962 98	
WESTERN ASSET SMASH									
SERIES EC FUND									
Symbol LMECX									
Trade date May 31, 16	19,920 000	9 120	181,670 40	181,670 40	9 420	187,646 40	5,976 00		LT
Trade date Apr 18, 17	130 422	9 240	1,205 10	1,205 10	9 420	1,228 58	23 48		LT
Trade date Dec 19, 17	756 645	9 179	6,946 00	6,946 00	9 420	7,127 60	181 60		LT
Trade date May 15, 18	3,293 379	8 789	28,948 80	28,948 80	9 420	31,023 63	2,074 83		LT
Trade date May 17, 18	190 000	8 750	1,662 50	1,662 50	9 420	1,789 80	127 30		LT
Trade date Jun 19, 18	719 162	8 589	6,177 60	6,177 60	9 420	6,774 51	596 91		LT
Trade date Aug 27, 18	154 466	8 699	1,408 73	1,408 73[2]	9 420	1,455 07	46 34		LT
Trade date Nov 19, 18	205 488	8 400	1,726 10	1,726 10	9 420	1,935 70	209 60		LT
Trade date Nov 26, 18	252 421	8 260	2,085 00	2,085 00	9 420	2,377 81	292 81		LT
Trade date Dec 11, 18	113 864	8 379	954 18	954 18	9 420	1,072 60	118 42		LT
Trade date Aug 22, 19	228 245	9 300	2,122 68	2,122 68	9 420	2,150 07	27 39		ST
Trade date Aug 29, 19	236 508	9 290	2,197 16	2,197 16	9 420	2,227 91	30 75		ST
Trade date Dec 27, 19	106 429	9 370	997 24	997 24	9 420	1,002 56	5 32		ST
EAI $16,547 Current yield 6 68%									
Security total	26,307 029	9 051	238,101 49	238,101 49		247,812 21	9,710 75	9,710 75	
Total			**$1,007,303.22**	**$1,042,258.22**		**$1,050,546 68**	**$8,288.53**	**$43,243.46**	

Total estimated annual income $49,549

[2] Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction