







For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

Name of foundation THE KAVLI FOUNDATION		A Employer identification number 77-0560142	
Number and street (or P.O. box number if mail is not delivered to street address) 5715 MESMER AVENUE		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code LOS ANGELES, CA 90230		B Telephone number (see instructions) (424) 353-8800	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		C If exemption application is pending, check here <input type="checkbox"/> D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 660,487,921		J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	0			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . .	1,203,875	12,935,039		
	5a Gross rents	1,290,972	1,290,972		
	b Net rental income or (loss) 1,290,972				
	6a Net gain or (loss) from sale of assets not on line 10	57,169,982			
	b Gross sales price for all assets on line 6a 423,461,705				
	7 Capital gain net income (from Part IV, line 2) . . .		40,207,659		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	 43,614	9,252,751		
	12 Total. Add lines 1 through 11	59,708,443	63,686,421		
	13 Compensation of officers, directors, trustees, etc.	2,012,879	66,373		1,736,472
	14 Other employee salaries and wages	2,788,353	105,013		3,120,855
	15 Pension plans, employee benefits	976,662	20,906		955,756
	16a Legal fees (attach schedule)	 120,534	38,055		82,479
	b Accounting fees (attach schedule)	 74,762	0		74,762
	c Other professional fees (attach schedule)	 634,010	258,003		376,007
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	 3,228,778	1,577,109		152,807
	19 Depreciation (attach schedule) and depletion	493,743	143,942		
	20 Occupancy	62,797	0		62,797
	21 Travel, conferences, and meetings	838,395	3,504		801,140
	22 Printing and publications	303,900	0		0
	23 Other expenses (attach schedule)	 3,510,344	4,607,079		3,062,679
	24 Total operating and administrative expenses. Add lines 13 through 23	15,045,157	6,819,984		10,425,754
	25 Contributions, gifts, grants paid	8,702,811			24,062,844
	26 Total expenses and disbursements. Add lines 24 and 25	23,747,968	6,819,984		34,488,598
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	35,960,475			
	b Net investment income (if negative, enter -0-)		56,866,437		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	82,749	486,628	486,628
	2 Savings and temporary cash investments	10,115,130	25,102,022	25,102,022
	3 Accounts receivable ▶ <u>136,265,954</u>			
	Less: allowance for doubtful accounts ▶ _____	47,453,081	136,265,954	136,265,954
	4 Pledges receivable ▶ <u>1,790,003</u>			
	Less: allowance for doubtful accounts ▶ _____	2,085,348	1,790,003	1,790,003
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	98,851	125,061	125,061
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ <u>9,732,461</u>			
Less: accumulated depreciation (attach schedule) ▶ <u>1,194,463</u>	8,681,939	8,537,998	8,537,998	
12 Investments—mortgage loans				
13 Investments—other (attach schedule)	539,434,571	465,106,269	465,106,269	
14 Land, buildings, and equipment: basis ▶ <u>23,532,408</u>				
Less: accumulated depreciation (attach schedule) ▶ <u>1,049,124</u>	21,756,337	22,483,284	22,483,284	
15 Other assets (describe ▶ _____)	491,725	590,702	590,702	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	630,199,731	660,487,921	660,487,921	
Liabilities	17 Accounts payable and accrued expenses	679,388	820,753	
	18 Grants payable	63,480,897	47,138,049	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)	2,225,615	3,222,472	
	23 Total liabilities (add lines 17 through 22)	66,385,900	51,181,274	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions	563,813,831	609,306,647	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances (see instructions)	563,813,831	609,306,647	
30 Total liabilities and net assets/fund balances (see instructions) .	630,199,731	660,487,921		

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	563,813,831
2 Enter amount from Part I, line 27a	2	35,960,475
3 Other increases not included in line 2 (itemize) ▶ _____	3	9,532,341
4 Add lines 1, 2, and 3	4	609,306,647
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	609,306,647

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	40,207,659
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	35,404,335	612,244,412	0.057827
2017	35,397,920	549,138,256	0.064461
2016	28,392,286	518,675,608	0.054740
2015	17,947,516	278,535,286	0.064435
2014	12,293,995	227,339,124	0.054078

2 Total of line 1, column (d)	2	0.295541
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.059108
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	613,204,113
5 Multiply line 4 by line 3	5	36,245,269
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	568,664
7 Add lines 5 and 6	7	36,813,933
8 Enter qualifying distributions from Part XII, line 4	8	39,621,885

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	568,664
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	568,664
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	568,664
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	919,003
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	650,000
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d.	7	1,569,003
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached.	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	1,000,339
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax ▶ 1,000,339 Refunded ▶	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
c Did the foundation file Form 1120-POL for this year?		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ 0 (2) On foundation managers. ▶ \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	Yes	
b If "Yes," has it filed a tax return on Form 990-T for this year?	Yes	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► KAVLIFOUNDATION.ORG	13	Yes	
14	The books are in care of ► ALICE GALSTIAN Telephone no. ► (424) 353-8800			

Located at **►** 5715 MESMER AVENUE LOS ANGELES CAZIP+4 **►** 90230

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ► 15		
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes No
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/>	1b	No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b	No
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CAROLINE A MONTOJO 5715 MESMER AVENUE LOS ANGELES, CA 90230	SR. SCIENCE PROGRAM 40.00	296,563	37,944	0
CHRISTOPHER MARTIN 5715 MESMER AVENUE LOS ANGELES, CA 90230	SR. SCIENCE PROGRAM 40.00	244,125	41,239	0
BROOKE SMITH 5715 MESMER AVENUE LOS ANGELES, CA 90230	DIRECTOR OF PUBLIC E 40.00	216,250	36,420	0
DAVID W STEUERMAN 5715 MESMER AVENUE LOS ANGELES, CA 90230	SCIENCE PROGRAM OFFI 40.00	190,404	27,301	0
STEPHANIE ALBIN 5715 MESMER AVENUE LOS ANGELES, CA 90230	SCIENCE PROGRAM OFFI 40.00	166,625	34,804	0
Total number of other employees paid over \$50,000.				23

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
TRAVELER FIGUEROA 888 WEST 6TH STREET SUITE 110 LOS ANGELES, CA 90017	CORPORATE TRAVEL AGENCY	344,870
WOLCOTT ARCHITECTURE INTERIORS 3859 CARDIFF AVENUE CULVER CITY, CA 90232	ARCHITECTURAL SERVICES	308,542
THE ENDOWMENT OFFICE 1165 COLUMBINE LANE SALLINE, KS 67401	INVESTMENT MANAGER FEES	175,000
THE ENDOWMENT OFFICE 10866 WASHINGTON BLVD SUITE 309 CULVER CITY, CA 90232	ON-SITE SECURITY GUARD	163,901
VO COMMUNICATION RUE DE STALLESTRAAT 140-142 1180 UCCLE BE	EVENT MANAGEMENT AND COSTS FOR VIP DINNER AND PANEL FOR EUROPEAN COMMISSION	145,610
Total number of others receiving over \$50,000 for professional services. ►		21

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 THE GENERAL PURPOSE OF THE KAVLI FOUNDATION IS TO DEVOTE AND APPLY THE PROPERTY OF THE FOUNDATION AND THE INCOME TO BE DERIVED THEREFROM EXCLUSIVELY FOR CHARITABLE, SCIENTIFIC, OR EDUCATIONAL PURPOSES, EITHER DIRECTLY OR BY CONTRIBUTIONS TO ORGANIZATIONS DULY AUTHORIZED TO CARRY ON CHARITABLE, SCIENTIFIC OR EDUCATIONAL ACTIVITIES. THE FOUNDATION IS DEDICATED TO THE ADVANCEMENT OF SCIENCE FOR THE BENEFIT OF HUMANITY. THE FOUNDATION SUPPORTS SCIENTIFIC RESEARCH, HONORS SCIENTIFIC ACHIEVEMENT, AND PROMOTES PUBLIC UNDERSTANDING OF SCIENTISTS AND THEIR WORK.	2,157,052
2 _____ _____ _____	
3 _____ _____ _____	
4 _____ _____ _____	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 _____ _____ _____	
2 _____ _____ _____	
All other program-related investments. See instructions.	
3 _____ _____ _____	
Total. Add lines 1 through 3 ►	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	576,142,079
b	Average of monthly cash balances.	1b	44,727,778
c	Fair market value of all other assets (see instructions).	1c	1,672,390
d	Total (add lines 1a, b, and c).	1d	622,542,247
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	622,542,247
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	9,338,134
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	613,204,113
6	Minimum investment return. Enter 5% of line 5.	6	30,660,206

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	30,660,206
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	568,664
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	270,034
c	Add lines 2a and 2b.	2c	838,698
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	29,821,508
4	Recoveries of amounts treated as qualifying distributions.	4	17,183
5	Add lines 3 and 4.	5	29,838,691
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	29,838,691

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	34,488,598
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	5,133,287
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	39,621,885
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	568,664
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	39,053,221

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				29,838,691
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2019:				
a From 2014.	1,792,775			
b From 2015.	4,402,362			
c From 2016.	2,791,591			
d From 2017.	9,379,220			
e From 2018.	5,821,362			
f Total of lines 3a through e.	24,187,310			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ _____ 39,621,885				
a Applied to 2018, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				29,838,691
e Remaining amount distributed out of corpus	9,783,194			
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	33,970,504			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).	1,792,775			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a.	32,177,729			
10 Analysis of line 9:				
a Excess from 2015.	4,402,362			
b Excess from 2016.	2,791,591			
c Excess from 2017.	9,379,220			
d Excess from 2018.	5,821,362			
e Excess from 2019.	9,783,194			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	24,062,844
b <i>Approved for future payment</i> UC SANTA BARBARA FOUNDATION 202 COUSTEAU PL SUITE 185 DAVIS, CA 95618	NONE	PC	KAVLI INSTITUTE FOR THEORETICAL PHYSICS AT THE UNIVERSITY OF CALIFORNIA, SANTA BARBARA	1,000,000
Total			3b	1,000,000

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .	525990		14	1,203,875	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.			16	1,290,972	
6 Net rental income or (loss) from personal property					
7 Other investment income.			14	43,614	
8 Gain or (loss) from sales of assets other than inventory	525990	912,758	18	56,257,224	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e). .		912,758		58,795,685	0
13 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations.)			13		59,708,443

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
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1a(1)		No
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1a(2)		No
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1b(1)	No
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1b(2)		No
--------------	--	-----------

1b(3)		No
--------------	--	-----------

1b(4)		No
--------------	--	-----------

1b(5)		No
--------------	--	-----------

1b(6)		No
--------------	--	-----------

1c		No
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value
ue

[illegible]

described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign
Here**

2020-11-12

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below

(see instr.) ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	KAREN GRIES		2020-11-12		P00078514
	Firm's name ▶ CLIFTONLARSONALLEN LLP				Firm's EIN ▶ 41-0746749
	Firm's address ▶ 220 S 6TH STREET SUITE 300 MINNEAPOLIS, MN 55402				Phone no. (612) 376-4500

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAINS - PARTNERSHIP K1'S	P		
GAIN ON SALE OF SECURITIES	P		
LOSS ON SALE OF REAL ESTATE	P		
CAPITAL GAINS REPORTED ON FORM 990-T	P		
GEM LIQUID MARKETS FUND A	P		
GEM ENDOWMENT FUND II	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
			24,994,180
253,642,385			918,988
4,350,000		5,660,024	-1,310,024
			-1,338,523
34,853,320		34,760,040	93,280
130,616,000		113,766,242	16,849,758

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			24,994,180
			918,988
			-1,310,024
			-1,338,523
			93,280
			16,849,758

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
ROCKELL N HANKIN	CHAIRMAN 3.00	78,064	0	0
5715 MESMER AVENUE LOS ANGELES, CA 90230				
DOUGLAS K FREEMAN	SECRETARY 3.00	40,873	0	0
5715 MESMER AVENUE LOS ANGELES, CA 90230				
THOMAS E EVERHART	DIRECTOR 3.00	31,000	0	0
5715 MESMER AVENUE LOS ANGELES, CA 90230				
HENRY YANG	DIRECTOR 3.00	22,500	0	0
5715 MESMER AVENUE LOS ANGELES, CA 90230				
RICHARD MESERVE	DIRECTOR 3.00	32,097	0	0
5715 MESMER AVENUE LOS ANGELES, CA 90230				
MARY SUE COLEMAN	DIRECTOR 3.00	40,873	0	0
5715 MESMER AVENUE LOS ANGELES, CA 90230				
GUNNAR NILSEN	DIRECTOR 3.00	31,000	0	0
5715 MESMER AVENUE LOS ANGELES, CA 90230				
ROBERT CONN	PRESIDENT/CEO 40.00	713,555	44,200	0
5715 MESMER AVENUE LOS ANGELES, CA 90230				
ALICE GALSTIAN	CFO/VP OF FINANCE 40.00	268,750	66,488	0
5715 MESMER AVENUE LOS ANGELES, CA 90230				
ERIC MARSHALL	VP PUBLIC ENGAGE & PRIZES 40.00	279,167	64,979	0
5715 MESMER AVENUE LOS ANGELES, CA 90230				
KEVIN MOSES	VP SCIENCE PROGRAMS 40.00	475,000	61,660	0
5715 MESMER AVENUE LOS ANGELES, CA 90230				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ALBERT JAMES HUDSPETH 532 EAST 87TH STREET NEW YORK, NY 10065	NONE	I	2018 KAVLI PRIZE AWARD - HUDSPETH	166,667
AMERICAN ASSOCIATION FOR THE ADVANCEMENT OF SCIENCE 1200 NEW YORK AVENUE NW WASHINGTON, DC 20005	NONE	PC	AAAS KAVLI SCIENCE JOURNALISM AWARDS 75TH ANNIVERSARY AND LECTURE SERIES PART 1	60,000
AMERICAN ASSOCIATION FOR THE ADVANCEMENT OF SCIENCE 1200 NEW YORK AVENUE NW WASHINGTON, DC 20005	NONE	PC	AAAS KAVLI SCIENCE JOURNALISM AWARDS 75TH ANNIVERSARY AND LECTURE SERIES PART 1	56,725
Total ▶ 3a				24,062,844

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN ASSOCIATION FOR THE ADVANCEMENT OF SCIENCE 1200 NEW YORK AVENUE NW WASHINGTON, DC 20005	NONE	PC	MOVEMENT FOR SCIENCE	50,000
AMERICAN ASTRONOMICAL SOCIETY EXECUTIVE OFFICE 1667 K STREET NW SUITE 800 WASHINGTON, DC 20006	NONE	PC	EXPANDING SCIENCE AND DEEPENING UNDERSTANDING OF EXOPLANETS	18,231
AMERICAN ASTRONOMICAL SOCIETY EXECUTIVE OFFICE 1667 K STREET NW SUITE 800 WASHINGTON, DC 20006	NONE	PC	FRED KAVLI PLENARY LECTURE 2019-2023	12,000
Total ▶ 3a				24,062,844

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN CHEMICAL SOCIETY 1155 16TH ST NW WASHINGTON, DC 20036	NONE	PC	KAVLI FOUNDATION EMERGING LEADER IN CHEMISTRY LECTURE	10,000
AMERICAN CHEMICAL SOCIETY 1155 16TH ST NW WASHINGTON, DC 20036	NONE	PC	KAVLI FOUNDATION INNOVATIONS IN CHEMISTRY LECTURE	22,500
AMERICAN FRIENDS OF UHN 610 UNIVERSITY AVE TORONTO, ONTARIO M5G 2M9 CA	NONE	PC	NWB SEED GRANT: CONVERT DATA INTO NWB FORMAT - TAUFIK VALIANTE	10,000
Total ► 3a				24,062,844

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN GEOPHYSICAL UNION 2000 FLORIDA AVE NW WASHINGTON, DC 20009	NONE	PC	KAVLI FUTURES SYMPOSIUM: EXPANDING SCIENCE AND DEEPENING UNDERSTANDING OF EXOPLANETS - ICELAND	81,963
AMERICAN GEOPHYSICAL UNION 2000 FLORIDA AVE NW WASHINGTON, DC 20009	NONE	PC	EXPANDING SCIENCE AND DEEPENING UNDERSTANDING OF EXOPLANETS	31,200
AMERICAN GEOPHYSICAL UNION 2000 FLORIDA AVE NW WASHINGTON, DC 20009	NONE	PC	COMMUNITY SCIENCE PRINCIPLES AND LANDSCAPE	22,900
Total ▶ 3a				24,062,844

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN PHYSICAL SOCIETY 1 PHYSICS ELLIPSE COLLEGE PARK, MD 207403844	NONE	PC	KAVLI SPECIAL SYMPOSIA AND PLENARY SESSIONS	30,000
AMERICAN SOCIETY FOR CELL BIOLOGY 8120 WOODMONT AVENUE SUITE 750 BETHESDA, MD 20814	NONE	PC	CIVIC SCIENCE FELLOW	75,000
ASSOCIATION FOR PSYCHOLOGICAL SCIENCE 1800 MASSACHUSETTS AVE NW SUITE 402 WASHINGTON, DC 20036	NONE	PC	INTERNATIONAL CONVENTION ON PSYCHOLOGICAL SCIENCES FRED KAVLI KEYNOTE 2019	10,000
Total ▶ 3a				24,062,844

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ASSOCIATION FOR PSYCHOLOGICAL SCIENCE 1800 MASSACHUSETTS AVE NW SUITE 402 WASHINGTON, DC 20036	NONE	PC	FRED KAVLI KEYNOTE LECTURE	10,000
ASSOCIATION OF SCIENCE - TECHNOLOGY CENTERS INCORPORATED 818 CONNECTICUT AVENUE NW 7TH FLOOR WASHINGTON, DC 200062734	NONE	PC	ASTC - ILLC LEADERSHIP PROGRAM	25,000
ASSOCIATION OF SCIENCE - TECHNOLOGY CENTERS INCORPORATED 818 CONNECTICUT AVENUE NW 7TH FLOOR WASHINGTON, DC 200062734	NONE	PC	LISTEN NETWORK	250,000
Total ▶ 3a				24,062,844

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ASSOCIATION OF SCIENCE - TECHNOLOGY CENTERS INCORPORATED 818 CONNECTICUT AVENUE NW 7TH FLOOR WASHINGTON, DC 200062734	NONE	PC	2020 FRIEDMAN DIALOGUES	25,000
ASSOCIATION OF UNIVERSITIES FOR RESEARCH IN ASTRONOMY INC 1331 PENNSYLVANIA AVE NW STE 1475 WASHINGTON, DC 20004	NONE	PC	TERABYTES TO SCIENCE	106,000
BERKELEY LAB FOUNDATION 1111 FRANKLIN STREET 7TH FLOOR OAKLAND, CA 94607	NONE	SO I	COMMUNITY OUTREACH COORDINATOR FOR NWB2.0	125,000
Total ▶ 3a				24,062,844

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BRAINMIND107 STONELEIGH ROAD WATERTOWN, MA 02472	NONE	PC	ASILOMAR NEUROETHICS SCOPING MEETING	30,000
BRANDEIS UNIVERSITY 415 SOUTH STREET WALTHAM, MA 024549110	NONE	PC	SYSTEMS IN NEUROSCIENCE WORKSHOP	12,000
CALIFORNIA INSTITUTE OF TECHNOLOGY 1200 E CALIFORNIA BLVD MC 5-32 PASADENA, CA 91125	NONE	PC	KAVLI NANOSCIENCE INSTITUTE PHASE III	1,000,000
Total ▶ 3a				24,062,844

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHILD MIND INSTITUTE INC 101 EAST 56TH STREET NEW YORK, NY 10022	NONE	PC	PRIME-DE GLOBAL COLLABORATION WORKSHOP SUPPORT	12,500
CHRISTINE PETIT2 RUE AMELIE LE PLESSIS ROBINSON 92350 FR	NONE	I	2018 KAVLI PRIZE AWARD - PETIT	166,667
COGNITIVE NEUROSCIENCE SOCIETY C/O CENTER FOR MIND AND BRAIN 267 COUSTEAU PLACE DAVIS, CA 95618	NONE	PC	FRED KAVLI DISTINGUISHED CAREER CONTRIBUTIONS AWARD LECTURE 2019-2023	10,000
Total ▶ 3a				24,062,844

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COMMUNITY FOUNDATION OF NEW JERSEY PO BOX 338 MORRISTOWN, NJ 07963	NONE	PC	CIVIC SCIENCE FELLOW PROGRAM	50,000
COMPASS SCIENCE COMMUNICATION INC 1410 SW MORRISON ST SUITE 502 PORTLAND, OR 97205	NONE	PC	SCICOMM TRAINER NETWORK	57,500
CORNELL UNIVERSITY 377 PINE TREE ROAD ITHACA, NY 14850	NONE	PC	COMMUNITY SCIENCE CAPACITY WORKSHOP	49,597
Total ▶ 3a				24,062,844

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COUNCIL FOR THE ADVANCEMENT OF SCIENCE WRITING PO BOX 17337 SEATTLE, WA 98127	NONE	PC	CASW PROPOSAL FOR 2020	50,000
CURATORS OF THE UNIVERSITY OF MISSOURI SPECIAL TRUST 316 UNIVERSITY HALL COLUMBIA, MO 65211	NONE	PC	NABI 2020 SUMMIT	50,000
D ANNA DE SOMMER CHAMPALIMAUD AND DR CARLOS MONTEZ CHAMPALIMAUD FOUNDATION AVENIDA BRASILIA LISBON, LISBOA 1400-038 PO	NONE	NC	NEURAL CIRCUITS AND BEHAVIOR OF DROSOPHILA	55,000
Total ▶ 3a				24,062,844

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
D ANNA DE SOMMER CHAMPALIMAUD AND DR CARLOS MONTEZ CHAMPALIMAUD FOUNDATION AVENIDA BRASILIA LISBON, LISBOA 1400-038 PO	NONE	NC	PROFESSOR CARLOS RIBEIRO - DREAM TEAM PART 2	25,000
DUKE UNIVERSITYDUKE UNIVERSITY DURHAM, NC 27708	NONE	PC	KAVLI QUANTUM COFFEE HOUR	10,000
ECSITE AISBLAVENUE LOUISE 89/7 BRUSSELS, BRUSSELS 1050 BE	NONE	PC	ECSITE PRE CONFERENCE WORKSHOP (MISINFORMATION: BRINGING RESEARCH TO PRACTICE)	28,000
Total ▶ 3a				24,062,844

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
EMMANUELLE CHARPENTIER KRAUSNICKSTR 22 BERLIN 10115 GM	NONE	I	2018 KAVLI PRIZE AWARD - CHARPENTIER	166,667
EMORY UNIVERSITY 201 DOWMAN DRIVE ATLANTA, GA 30322	NONE	PC	NWB SEED GRANT: DIETER JAEGER	10,000
EMORY UNIVERSITY 201 DOWMAN DRIVE ATLANTA, GA 30322	NONE	PC	GLOBAL NEUROETHICS SUMMIT 2019	20,000
Total ▶ 3a				24,062,844

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
EMORY UNIVERSITY 201 DOWMAN DRIVE ATLANTA, GA 30322	NONE	PC	GLOBAL NEUROETHICS SUMMIT 2019	82,500
EWINE VAN DISHOECK VAN HOUDRINGELAAN 12 OEGSTGEEST 2341 BK NL	NONE	I	2018 KAVLI PRIZE AWARD - VAN DISHOECK	500,000
FEDERATION MONDIALE DES JOURNALISTES SCIENTIFIQUES 1124 RUE MARIE-ANNE EST SUITE 33 MONTRAL, QUEBEC H2J 2B7 CA	NONE	PC	WORLD FEDERATION OF SCIENCE JOURNALISTS KAVLI SYMPOSIA PILOT ON SCIENCE ETHICS	15,000
Total ▶ 3a				24,062,844

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FEDERATION MONDIALE DES JOURNALISTES SCIENTIFIQUES 1124 RUE MARIE-ANNE EST SUITE 33 MONTRAL, QUEBEC H2J 2B7 CA	NONE	PC	FUTURE OF KAVLI SYMPOSIA ON SCIENCE JOURNALISM WORKSHOP IN LAUSANNE (JULY 1, 2019)	11,000
IMPERIAL COLLEGE OF SCIENCE TECHNOLOGY & MEDICINE EXIBITION ROAD SOUTH KENSINGTON LONDON, GREAT BRITAIN SW7 2AZ UK	NONE	PC	NWB SEED GRANT: SIMON SCHULTZ	10,000
INSTITUTE FOR LEARNING INNOVATION 9450 SW GEMINI DRIVE 79315 BEAVERTON, OR 97008	NONE	PC	THE MISSING LINK: CONNECTING TRAINING TO ENGAGEMENT OPPORTUNITIES	30,000
Total ▶ 3a				24,062,844

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
INTERNATIONAL ASTRONOMICAL UNION IAUUI SECRETARIAT 98-BIS BLVD ARAGO PARIS F75014 FR	NONE	PC	KAVLI WORKSHOP ON INTERNATIONAL COORDINATION OF MULTI-MESSENGER TRANSIENT OBSERVATIONS 2020	100,000
INTERNATIONAL NEUROETHICS SOCIETY 4938 HAMPDEN LANE 553 BETHESDA, MD 20814	NONE	PC	FRED KAVLI DISTINGUISHED LECTURE	5,000
JENNIFER A DOUDNA 164 VICENTE ROAD BERKELEY, CA 94705	NONE	I	2018 KAVLI PRIZE AWARD - DOUDNA	166,667
Total ▶ 3a				24,062,844

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KAVLI INSTITUTE AT CORNELL FOR NANOSCALE SCIENCE 420 PHYSICAL SCIENCES BUILDING ITHACA, NY 14853	NONE	PC	KAVLI INSTITUTE AT CORNELL FOR NANOSCALE SCIENCE PHASE III	350,000
KAVLI INSTITUTE FOR BRAIN SCIENCE AT COLUMBIA UNIVERSITY 1051 RIVERSIDE DRIVE NEW YORK, NY 10032	NONE	PC	KAVLI INSTITUTE FOR BRAIN SCIENCE AT COLUMBIA : PHASE III-A	500,000
KAVLI INSTITUTE FOR BRAIN SCIENCE AT COLUMBIA UNIVERSITY 1051 RIVERSIDE DRIVE NEW YORK, NY 10032	NONE	PC	KAVLI INSTITUTE FOR BRAIN SCIENCE PHASE II - AMENDED	200,000
Total ▶ 3a				24,062,844

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KAVLI INSTITUTE FOR BRAIN SCIENCE AT COLUMBIA UNIVERSITY 1051 RIVERSIDE DRIVE NEW YORK, NY 10032	NONE	PC	KIBS COLUMBIA FILL-THE-GAP	150,000
KAVLI INSTITUTE FOR COSMOLOGICAL PHYSICS AT THE UNIVERSITY OF CHICAGO WILLIAM ECKHARDT RESEARCH CENTER ERC - SUITE 499 5640 SOUTH ELLIS AV CHICAGO, IL 60637	NONE	PC	KICP CONFERENCES, WORKSHOPS AND VISITORS PROGRAM (CWV) 2018-2020	150,000
KAVLI INSTITUTE FOR COSMOLOGY AT THE UNIVERSITY OF CAMBRIDGE KAVLI INSTITUTE FOR COSMOLOGY CAMBRIDGE CAMBRIDGE CB3 0HA UK	NONE	PC	PHASE 2 TOP-UP: GRAVITATIONAL WAVES - FILL THE GAP (2019-2023)	110,587
Total ► 3a				24,062,844

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Name and address (home or business)				
a <i>Paid during the year</i>				
KAVLI INSTITUTE FOR COSMOLOGY AT THE UNIVERSITY OF CAMBRIDGE KAVLI INSTITUTE FOR COSMOLOGY CAMBRIDGE CAMBRIDGE CB3 0HA UK	NONE	PC	NEWTON-KAVLI JUNIOR FELLOWSHIPS IN COSMOLOGY AND ASTROPHYSICS	55,819
KAVLI INSTITUTE FOR PARTICLE ASTROPHYSICS AND COSMOLOGY AT STANFORD UNIVERS SLAC 2575 SAND HILL ROAD M/S 29 MENLO PARK, CA 94025	NONE	PC	KIPAC FILL-THE-GAP 2018-2021	150,000
KAVLI INSTITUTE FOR THEORETICAL SCIENCES NO19A YUQUAN ROAD SHIJINGSHAN DISTRICT 100049 CH	NONE	PC	KAVLI INSTITUTE FOR THEORETICAL SCIENCES AT UNIVERSITY OF CHINESE ACADEMY OF SCIENCES	175,000
Total			3a	24,062,844

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KAVLI NEURAL SYSTEMS INSTITUTE AT ROCKEFELLER UNIVERSITY THE ROCKEFELLER UNIVERSITY 1230 YORK AVENUE BOX 257 NEW YORK, NY 10065	NONE	PC	KAVLI NEURAL SYSTEMS INSTITUTE PHASE I-II	166,667
KAVLI NEURAL SYSTEMS INSTITUTE AT ROCKEFELLER UNIVERSITY THE ROCKEFELLER UNIVERSITY 1230 YORK AVENUE BOX 257 NEW YORK, NY 10065	NONE	PC	KAVLI NEURAL SYSTEMS INSTITUTE PHASE I-II	250,000
KAVLI NEUROSCIENCE DISCOVERY INSTITUTE AT JHU JOHNS HOPKINS UNIVERSITY SCHOOL OF MEDICINE 725 N WOLFE STREET HUNTERI BALTIMORE, MD 21205	NONE	PC	KAVLI NEUROSCIENCE DISCOVERY INSTITUTE PHASE I-II	1,500,000
Total ► 3a				24,062,844

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KAVLI NEUROSCIENCE DISCOVERY INSTITUTE AT JHU JOHNS HOPKINS UNIVERSITY SCHOOL OF MEDICINE 725 N WOLFE STREET HUNTERI BALTIMORE, MD 21205	NONE	PC	KAVLI NEUROSCIENCE DISCOVERY INSTITUTE PHASE I-II	200,000
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE CAMBRIDGE, MA 021394307	NONE	PC	KNIGHT SCHOOL OF JOURNALISM SCIENCE EDITING SUPPORT	150,000
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE CAMBRIDGE, MA 021394307	NONE	PC	TESS FUTURE OF EXOPLANET RESEARCH 2019	38,347
Total ▶ 3a				24,062,844

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE CAMBRIDGE, MA 021394307	NONE	PC	KAVLI INSTITUTE FOR ASTROPHYSICS AND SPACE RESEARCH PHASE III	500,000
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE CAMBRIDGE, MA 021394307	NONE	PC	KAVLI QUANTUM COFFEE HOUR	10,000
MATERIALS RESEARCH SOCIETY 506 KEYSTONE DRIVE WARRENDALE, PA 150867537	NONE	PC	FUTURE OF MATERIALS WORKSHOP SERIES 2018-2021	62,000
Total ▶ 3a				24,062,844

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Name and address (home or business)				
a <i>Paid during the year</i>				
MATERIALS RESEARCH SOCIETY 506 KEYSTONE DRIVE WARRENDALE, PA 150867537	NONE	PC	FRED KAVLI DISTINGUISHED LECTURESHIP 2018-2022	15,000
MATERIALS RESEARCH SOCIETY 506 KEYSTONE DRIVE WARRENDALE, PA 150867537	NONE	PC	FRED KAVLI EARLY CAREER LECTURESHIP	6,000
NATIONAL ACADEMY OF SCIENCES 500 FIFTH STREET NW WASHINGTON, DC 20001	NONE	PC	KAVLI FRONTIERS OF SCIENCE SYMPOSIA	550,000
Total ▶ 3a				24,062,844

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Name and address (home or business)				
a <i>Paid during the year</i>				
NATIONAL ACADEMY OF SCIENCES 500 FIFTH STREET NW WASHINGTON, DC 20001	NONE	PC	FRED KAVLI ENDOWMENT FUND	2,100,000
NATIONAL ACADEMY OF SCIENCES 500 FIFTH STREET NW WASHINGTON, DC 20001	NONE	PC	2018 KAVLI & NOBEL LAUREATES: CAPITOL HILL AND NAS DINNER, APRIL 9, 2019	45,300
NATIONAL ACADEMY OF SCIENCES 500 FIFTH STREET NW WASHINGTON, DC 20001	NONE	PC	COMMUNICATION SUPPORT FOR VANNEVAR BUSH 75TH ANNIVERSARY	75,000
Total ▶ 3a				24,062,844

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Name and address (home or business)				
a <i>Paid during the year</i>				
NATIONAL ACADEMY OF SCIENCES 500 FIFTH STREET NW WASHINGTON, DC 20001	NONE	PC	ACADEMIC ADVANCEMENT CONSENSUS STATEMENT	50,000
NATIONAL ACADEMY OF SCIENCES 500 FIFTH STREET NW WASHINGTON, DC 20001	NONE	PC	STANDING COMMITTEE ON SCIENCE COMMUNICATION	25,000
NATIONAL SCIENCE TEACHERS ASSOCIATION (NSTA) 1840 WILSON BLVD ARLINGTON, VA 22201	NONE	PC	2020 KEYNOTE	25,000
Total ► 3a				24,062,844

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Name and address (home or business)				
a <i>Paid during the year</i>				
NEW VENTURE FUND 1201 CONNECTICUT AVENUE NW SUITE 300 WASHINGTON, DC 200362252	NONE	PC	SCIENCE PHILANTHROPY ALLIANCE 2019	100,000
NEW YORK UNIVERSITY 50 WEST FOURTH STREET NEW YORK, NY 10003	NONE	PC	KAVLI CONVERSATIONS ON SCIENCE COMMUNICATIONS 2020	25,000
PRESIDENT AND FELLOWS OF HARVARD COLLEGE MASSACHUSETTS HALL CAMBRIDGE, MA 02138	NONE	PC	PROFESSOR LISA RANDALL - DREAM TEAM	88,875
Total ▶ 3a				24,062,844

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
REGENTS OF THE UNIVERSITY OF CALIFORNIA SANTA CRUZ 1156 HIGH STREET SANTA CRUZ, CA 95064	NONE	PC	SANTA BARBARA ADVANCED SCHOOL OF QUANTITATIVE BIOLOGY	200,000
REGENTS OF THE UNIVERSITY OF CALIFORNIA SANTA CRUZ 1156 HIGH STREET SANTA CRUZ, CA 95064	NONE	PC	KAVLI SUMMER PROGRAM IN ASTROPHYSICS 2019-21	70,000
RESEARCH CORPORATION 4703 EAST CAMP LOWELL DRIVE SUITE 201 TUCSON, AZ 85712	NONE	EOF	SCIALOG: TIME DOMAIN ASTROPHYSICS	50,000
Total ▶ 3a				24,062,844

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Name and address (home or business)				
a <i>Paid during the year</i>				
RESEARCHAMERICA 241 18TH STREET SOUTH SUITE 501 ARLINGTON, VA 22202	NONE	PC	SCIENCE SOCIETY CEO GROUP	100,000
ROBERT FETTIPLACE 1138 MINOCQUA CRESCENT MADISON, WI 53705	NONE	I	2018 KAVLI PRIZE AWARD - FETTIPLACE	166,667
SACNASPO BOX 8526 SANTA CRUZ, CA 95060	NONE	PC	INCLUSIVE SCICOMM DISCUSSION	15,000
Total ▶ 3a				24,062,844

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Name and address (home or business)				
a <i>Paid during the year</i>				
SALK INSTITUTE FOR BIOLOGICAL STUDIES 10010 N TORREY PINES RD LA JOLLA, CA 92037	NONE	PC	KAVLI INSTITUTE FOR BRAIN AND MIND (KIBM) PHASE III-B	150,000
SCIENCE FRIDAY 19 WEST 44 STREET SUITE 412 NEW YORK, NY 10036	NONE	PC	PROPOSAL FOR SCIENCE FRIDAY LIVE	150,000
SCIENCECOUNTS INC 529 14TH ST NW STE 1050 WASHINGTON, DC 20045	NONE	PC	RAISING VOICES FOR SCIENCE	50,000
Total ▶ 3a				24,062,844

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Name and address (home or business)				
a <i>Paid during the year</i>				
SCULPTED LIGHT IN THE BRAIN ASSOCIATION 3466 YOSEMITE AVE APT F EL CERRITO, CA 94530	NONE	POF	SCULPTED LIGHT IN THE BRAIN	20,000
SOCIETY FOR NEUROECONOMICS INC C/O DE ARMOND ASSOCIATES MANAGEMENT LTD 2661 QUEENSWOOD DRIVE VICTORIA, BRITISH COLUMBIA V8N 1X6 CA	NONE	PC	KAVLI FOUNDATION NEUROSCIENCE WORKSHOP AND KAVLI FOUNDATION SOCIAL AND DECISION SCIENCE WORKSHOP	20,000
SOCIETY FOR NEUROECONOMICS INC C/O DE ARMOND ASSOCIATES MANAGEMENT LTD 2661 QUEENSWOOD DRIVE VICTORIA, BRITISH COLUMBIA V8N 1X6 CA	NONE	PC	FRED KAVLI PLENARY LECTURE	5,000
Total ► 3a				24,062,844

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Name and address (home or business)				
a <i>Paid during the year</i>				
SOCIETY FOR NEUROSCIENCE 1121 14TH STREET NW SUITE 1010 WASHINGTON, DC 20005	NONE	PC	BRAINFACTS.ORG	100,000
SOCIETY FOR NEUROSCIENCE 1121 14TH STREET NW SUITE 1010 WASHINGTON, DC 20005	NONE	PC	BRAINFACTS.ORG	100,000
STICHTING FEDERATION OF EUROPEAN NEUROSCIENCE SOCIETIES TRUST FOUNDATION FONDATION UNIVERSITAIRE RUE DEGMONT 11 BRUSSELS, BRUSSELS 1000 BE	NONE	PC	FENS-KAVLI NETWORK OF EXCELLENCE	72,076
Total ▶ 3a				24,062,844

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a <i>Paid during the year</i>				
STICHTING NANOSCIENCE TU DELFT DELFT UNIVERSITY STEVINWEG 1 DELFT, SOR-TRONDELAGE 2628 CN NO	NONE	PC	KAVLI INSTITUTE OF NANOSCIENCE PHASE III	200,000
STONY BROOK FOUNDATION INC 230 ADMINISTRATION STONY BROOK, NY 117941188	NONE	PC	ALDA KAVLI LEARNING CENTER & RESEARCH-PRACTICE HUB	125,000
STONY BROOK FOUNDATION INC 230 ADMINISTRATION STONY BROOK, NY 117941188	NONE	PC	SCICOMM TRAINER NETWORK	32,500
Total ▶ 3a				24,062,844

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Name and address (home or business)				
a <i>Paid during the year</i>				
THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY 450 SERRA MALL STANFORD, CA 943052004	NONE	PC	KAVLI QUANTUM COFFEE HOURS	10,000
THE CENTRE FOR ADDICTION AND MENTAL HEALTH IN TORONTO 33 RUSSEL STREET ROOM T116 TORONTO, ONTARIO M5S 2S1 CA	NONE	PC	NWB SEED GRANT: SHREEJOY TRIPATHY	10,000
THE CHANCELLOR MASTERS AND SCHOLARS OF THE UNIVERSITY OF CAMBRIDGE 1 QUAYSIDE BRIDGE STREET CAMBRIDGE, CAMBRIDGESHIRE CB5 8AB UK	NONE	PC	KAVLI INSTITUTE FOR COSMOLOGY - PHASE II	803,064
Total ► 3a				24,062,844

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a <i>Paid during the year</i>				
THE CHANCELLOR MASTERS AND SCHOLARS OF THE UNIVERSITY OF CAMBRIDGE 1 QUAYSIDE BRIDGE STREET CAMBRIDGE, CAMBRIDGESHIRE CB5 8AB UK	NONE	PC	OUTREACH PROGRAMME	30,615
THE CHANCELLOR MASTERS AND SCHOLARS OF THE UNIVERSITY OF CAMBRIDGE 1 QUAYSIDE BRIDGE STREET CAMBRIDGE, CAMBRIDGESHIRE CB5 8AB UK	NONE	PC	OUTREACH PROGRAMME	70,107
THE NORWEGIAN ACADEMY OF SCIENCE AND LETTERS DRAMMENSVEIEN 78 OSLO, OSLO NO-0271 NO	NONE	NC	RENOVATIONS TO LECTURE HALL	277,935
Total ► 3a				24,062,844

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Name and address (home or business)				
a <i>Paid during the year</i>				
THE ROCKEFELLER UNIVERSITY 1230 YORK AVENUE NEW YORK, NY 10065	NONE	PC	KAVLI NEURAL SYSTEMS INSTITUTE PHASE I-II	1,666,667
THE ROCKEFELLER UNIVERSITY 1230 YORK AVENUE NEW YORK, NY 10065	NONE	PC	KAVLI NEURAL SYSTEMS INSTITUTE PHASE I-II	1,666,667
THE TRONDHEIM FOUNDATION FOR SCIENTIFIC RESEARCH NORWEGIAN UNIVERSITY OF SCIENCE AND TECHNOLOGY TRONDHEIM, SOR-TRONDELAG NO-7491 NO	NONE	PC	KAVLI INSTITUTE FOR SYSTEMS NEUROSCIENCE AT THE NORWEGIAN UNIVERSITY OF SCIENCE AND TECHNOLOGY - PHASE II	800,000
Total ▶ 3a				24,062,844

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a <i>Paid during the year</i>				
THE UC DAVIS FOUNDATION 202 COUSTEAU PL SUITE 185 DAVIS, CA 95618	NONE	PC	KAVLI SUMMER INSTITUTE IN COGNITIVE NEUROSCIENCE	75,000
THE UNIVERSITY OF TOKYO 5-1-5 KASHIWANOHA KASHIWASHI, TIBA 277-8583 JA	NONE	PC	KAVLI INSTITUTE FOR THE PHYSICS AND MATHEMATICS OF THE UNIVERSE - PHASE I	375,000
THE UNIVERSITY OF TOKYO 5-1-5 KASHIWANOHA KASHIWASHI, TIBA 277-8583 JA	NONE	PC	KAVLI INSTITUTE FOR PHYSICS AND MATHEMATICS OF THE UNIVERSE - PHASE II	250,000
Total ▶ 3a				24,062,844

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TOHOKU UNIVERSITY FUND 2-1-1 KATAHIRA AOBA-KU SENDAI, MIYAGI 980-8577 JA	NONE	PC	14TH KAVLI ASIAN WINTER SCHOOL OF STRINGS, PARTICLES AND COSMOLOGY AT TOHOKU CREATIVITY FORUM (KAWS 2020)	75,000
TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK 116TH STREET AND BROADWAY NEW YORK, NY 10027	NONE	PC	KAVLI INSTITUTE FOR BRAIN SCIENCE PHASE II - AMENDED	125,000
TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK 116TH STREET AND BROADWAY NEW YORK, NY 10027	NONE	PC	KAVLI INSTITUTE FOR BRAIN SCIENCE PHASE II - AMENDED	250,000
Total			▶ 3a	24,062,844

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a <i>Paid during the year</i>				
TRUSTEES OF PRINCETON UNIVERSITY PRINCETON UNIVERSITY PRINCETON, NJ 08544	NONE	PC	KAVLI QUANTUM COFFEE HOURS	10,000
TRUSTEES OF PRINCETON UNIVERSITY PRINCETON UNIVERSITY PRINCETON, NJ 08544	NONE	PC	NWB SEED GRANT: TOOLS FOR THE NWB FORMAT - TIM BUSCHMAN	10,000
UC SANTA BARBARA FOUNDATION 4219 CHEADLE HALL SANTA BARBARA, CA 93106	NONE	PC	KAVLI INSTITUTE FOR THEORETICAL PHYSICS AT THE UNIVERSITY OF CALIFORNIA, SANTA BARBARA	1,000,000
Total ► 3a				24,062,844

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Name and address (home or business)				
a <i>Paid during the year</i>				
UNIVERSITY OF CALIFORNIA BERKELEY FOUNDATION 2080 ADDISON STREET 4200 BERKELEY, CA 947204200	NONE	PC	KAVLI ENERGY NANOSCIENCE INSTITUTE PHASE I-II	500,000
UNIVERSITY OF CALIFORNIA SAN FRANCISCO FOUNDATION UCSF BOX 0248 SAN FRANCISCO, CA 941430248	NONE	PC	KAVLI INSTITUTE FOR FUNDAMENTAL NEUROSCIENCE	420,000
UNIVERSITY OF CHICAGO 5801 S ELLIS AVENUE CHICAGO, IL 60637	NONE	PC	KAVLI INSTITUTE FOR COSMOLOGICAL PHYSICS PHASE II	650,000
Total ▶ 3a				24,062,844

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Name and address (home or business)				
a <i>Paid during the year</i>				
UNIVERSITY OF CHICAGO 5801 S ELLIS AVENUE CHICAGO, IL 60637	NONE	PC	KAVLI INSTITUTE FOR COSMOLOGICAL PHYSICS PHASE II	100,000
UNIVERSITY OF CHICAGO 5801 S ELLIS AVENUE CHICAGO, IL 60637	NONE	PC	KAVLI QUANTUM COFFEE HOUR	10,000
UNIVERSITY OF COLORADO FOUNDATION 1800 GRANT STREET DENVER, CO 80203	NONE	PC	KAVLI QUANTUM COFFEE HOURS	10,000
Total ▶ 3a				24,062,844

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Name and address (home or business)				
a <i>Paid during the year</i>				
UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL I/C/O BOFA LOCKBOX SERV PO BOX 402420 ATLANTA, GA 303842420	NONE	PC	NWB SEED GRANT: TOOLS FOR THE NWB FORMAT - ANDREA GIOVANNUCCI	10,000
UNIVERSITY OF RHODE ISLAND FOUNDATION 79 UPPER COLLEGE RD KINGSTON, RI 02881	NONE	PC	DIVERSITY, EQUITY AND INCLUSION LANDSCAPE FOR PUBLIC ENGAGEMENT	50,000
UNIVERSITY OF UTAH 201 PRESIDENTS CIRCLE SALT LAKE CITY, UT 84112	NONE	PC	SURVEY ABOUT ENGAGEMENT RESEARCH AND PRACTICE	10,000
Total ► 3a				24,062,844

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Name and address (home or business)				
a <i>Paid during the year</i>				
UNIVERSITY OF WASHINGTON FOUNDATION 4333 BROOKLYN AVE NE BOX 359504 SEATTLE, WA 981959504	NONE	PC	NWB SEED GRANT: NICK STEINMETZ	10,000
VIRGINIJUS SIKSNYSBIRUTES 38D VILNIUS 8114 LH	NONE	I	2018 KAVLI PRIZE AWARD - VIRGINIJUS	166,667
WORLD SCIENCE FOUNDATION 475 RIVERSIDE DRIVE SUITE 950 NEW YORK, NY 10115	NONE	PC	VANNEVAR BUSH EVENT 2020 AT WORLD SCIENCE FESTIVAL	200,000
Total ▶ 3a				24,062,844

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YALE UNIVERSITY333 CEDAR STREET NEW HAVEN, CT 65100	NONE	PC	KAVLI INSTITUTE FOR NEUROSCIENCE - PHASE III	1,000,000
YALE UNIVERSITY333 CEDAR STREET NEW HAVEN, CT 65100	NONE	PC	KAVLI SUMMER FELLOWSHIPS IN NEUROSCIENCE	50,000
Total ▶ 3a				24,062,844

TY 2019 Accounting Fees Schedule**Name:** THE KAVLI FOUNDATION**EIN:** 77-0560142

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	74,762	0		74,762

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2019 Expenditure Responsibility Statement

Name: THE KAVLI FOUNDATION

EIN: 77-0560142

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
D ANNA DE SOMMER CHAMPALIMAUD AND DR CARLOS MONTEZ CHAMPALIMAUD FOUNDATION	AVENIDA BRASILIA LISBON 1400-038 PO	2019-03-10	55,000	GRANT FUNDS SUPPORTED THE MICROBIAL BASIS OF FEEDING DECISIONS PROJECT.	55,000	NONE	05-FEB-20	2020-10-12	THE FOUNDATION VERIFIED THROUGH THE GRANTEE REPORT RECEIVED THAT THE FUNDS WERE USED AS INTENDED TO SUPPORT THE MICROBIAL BASIS OF FEEDING DECISIONS.
THE NORWEGIAN ACADEMY OF SCIENCE AND LETTERS	DRAMMENSVEIEN 78 OSLO, OSLO NO-0271 NO	2019-12-10	227,935	INITIATING AND SUPPORTING RESEARCH, ORGANIZING MEETINGS AND INTERNATIONAL CONFERENCES, PUBLISHING SCIENTIFIC WRITINGS AND APPOINTING REPRESENTATIVES TO NATIONAL AND INTERNATIONAL BODIES	227,935	NONE	05-FEB-20	2020-10-12	THE FOUNDATION VERIFIED THROUGH THE GRANTEE REPORT RECEIVED THAT THE FUNDS WERE USED AS INTENDED TO SUPPORT THE SCIENTIFIC DISCIPLINES
D ANNA DE SOMMER CHAMPALIMAUD AND DR CARLOS MONTEZ CHAMPALIMAUD FOUNDATION	AVENIDA BRASILIA LISBON 1400-038 PO	2017-01-20	25,000	SUPPORT THE MICROBIAL BASIS OF FEEDING DECISIONS.	25,000	NONE	05-FEB-19	2019-02-05	THE FOUNDATION VERIFIED THROUGH THE GRANTEE REPORT RECEIVED THAT THE FUNDS WERE USED AS INTENDED TO SUPPORT THE MICROBIAL BASIS OF FEEDING DECISIONS.
D ANNA DE SOMMER CHAMPALIMAUD AND DR CARLOS MONTEZ CHAMPALIMAUD FOUNDATION	AVENIDA BRASILIA LISBON 1400-038 PO	2019-07-01	25,000	PROFESSOR CARLOS RIBEIRO - DREAM TEAM PART 2	25,000	NONE	06/01/20	2020-06-01	THE FOUNDATION VERIFIED THROUGH THE GRANTEE REPORT RECEIVED THAT THE FUNDS WERE USED AS INTENDED TO SUPPORT PROFESSOR CARLOS RIBEIRO - DREAM TEAM PART 2.

TY 2019 Investments - Other Schedule

Name: THE KAVLI FOUNDATION
EIN: 77-0560142

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
WESTLAND ENTERPRISES, LLC	FMV	13,122,957	13,122,957
SUNBELT VINEYARD LLC	FMV	106,295	106,295
SUNBELT ENTERPRISES, LLC	FMV	30,931,929	30,931,929
RIEF STRATEGIC PARTNERS FUND, LLC	FMV	188,629,765	188,629,765
PEEPFUL CAPITAL FUND, LP	FMV	1,156,201	1,156,201
ORCHID ASIA VII, LP	FMV	9,447,277	9,447,277
ORCHID ASIA FUND VI	FMV	14,400,812	14,400,812
ORCHID ASIA FUND IV, LP	FMV	10,150,606	10,150,606
ORCHID ASIA FUND III, LP	FMV	3,385,734	3,385,734
OAKTREE VALUE OPPPORTUNITY FUND, LP	FMV	1,581,860	1,581,860
MAKENA REAL ESTATE FUND II, LLP	FMV	8,290,037	8,290,037
MAKENA PRIVATE EQUITY FUND, LP	FMV	5,153,096	5,153,096
MAKENA PRIVATE EQUITY FUND III LP	FMV	7,206,210	7,206,210
MAKENA PRIVATE EQUITY FUND II LP	FMV	9,290,122	9,290,122
MAKENA PPF - VENTURE CAPITAL	FMV	16,930,325	16,930,325
MAKENA PPF - REAL ESTATE U.S.	FMV	16,072,389	16,072,389
MAKENA PPF - REAL ESTATE GLOBAL	FMV	4,984,993	4,984,993
MAKENA PPF - NATURAL RESOURCES TRADITIONAL	FMV	6,898,594	6,898,594
MAKENA PPF - NATURAL RESOURCES SUSTAINABLE	FMV	820,461	820,461
MAKENA PPF - MAKENA PERPETUAL PRIVATE EQUITY FUND, LP	FMV	38,679,570	38,679,570
MAKENA CAPITAL ASSOCIATES (US) LP	FMV	11,456,252	11,456,252
GOLDMAN VINTAGE FUND V, LP	FMV	1,012,915	1,012,915
GOLDMAN VINTAGE FUND III	FMV	70,763	70,763
GLOBAL ENDOWMENT FUND II, LP	FMV	31,370,224	31,370,224
GIF PRIVATE INVESTORS LLC	FMV	121,928	121,928
CEDAR ROCK CAP PARTNERS LLC	FMV	33,834,954	33,834,954

TY 2019 Legal Fees Schedule**Name:** THE KAVLI FOUNDATION**EIN:** 77-0560142

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	120,534	38,055		82,479

TY 2019 Other Assets Schedule**Name:** THE KAVLI FOUNDATION**EIN:** 77-0560142**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
COLLECTIBLES	410,645	410,645	410,645
457B PLAN ASSETS	81,080	180,057	180,057

TY 2019 Other Expenses Schedule**Name:** THE KAVLI FOUNDATION**EIN:** 77-0560142**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PARTNERSHIP EXPENSES	0	4,445,731		0
ADVERTISING	31,300	0		24,714
OFFICE EXPENSES	255,084	15,330		367,851
JANITORIAL AND EQUIPMENT RENTAL	121,508	0		0
INSURANCE	61,766	0		41,666
OUTSIDE AND TEMPORARY SERVICES	737,616	0		471,396
REAL ESTATE EXPENSES	146,018	146,018		0
PROGRAM RELATED EXPENSES	2,157,052	0		2,157,052

TY 2019 Other Income Schedule**Name:** THE KAVLI FOUNDATION**EIN:** 77-0560142**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INVESTMENT INCOME	43,614	43,614	43,614
OTHER INVESTMENT INCOME - SCHEDULE K1S	0	9,310,223	0
PARTNERSHIP LOSS REPORTED ON FORM 990-T	0	-101,086	0

TY 2019 Other Increases Schedule

Name: THE KAVLI FOUNDATION
EIN: 77-0560142

Description	Amount
UNREALIZED GAIN ON INVESTMENTS	9,532,341

TY 2019 Other Liabilities Schedule**Name:** THE KAVLI FOUNDATION**EIN:** 77-0560142

Description	Beginning of Year - Book Value	End of Year - Book Value
TENANT SECURITY DEPOSITS	293,146	293,146
DEFERRED TAXES	1,122,836	1,872,836
OTHER LIABILITIES	809,633	1,056,490

TY 2019 Other Professional Fees Schedule**Name:** THE KAVLI FOUNDATION**EIN:** 77-0560142

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	258,003	258,003		0
OTHER PROFESSIONAL FEES	376,007	0		376,007

TY 2019 Taxes Schedule**Name:** THE KAVLI FOUNDATION**EIN:** 77-0560142

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	750,000	0		0
REAL ESTATE TAXES	1,471,696	1,450,596		152,737
OTHER TAXES	257,082	0		70
FOREIGN TAXES WITHHELD	0	126,513		0
DEFERRED TAX EXPENSE	750,000	0		0