

EXTENDED TO NOVEMBER 16, 2020

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0047

2019

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2019 or tax year beginning

, and ending

Name of foundation THE TRES CHICAS FOUNDATION		A Employer identification number 77-0544704
Number and street (or P.O. box number if mail is not delivered to street address) 12100 WILSHIRE BLVD.		B Telephone number (310) 826-2060
Room/suite 1150		C If exemption application is pending, check here <input type="checkbox"/>
City or town, state or province, country, and ZIP or foreign postal code LOS ANGELES, CA 90025		D 1. Foreign organizations, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 7,819,355.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		41,953.	41,953.		STATEMENT 1
4 Dividends and interest from securities		229,120.	229,120.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		73,928.			
b Gross sales price for all assets on line 6a		1,479,169.			
7 Capital gain net income (from Part IV, line 2)			73,928.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		345,001.	345,001.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Received U.S. Bank - USB					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees		6,325.	0.		0.
c Other professional fees					
17 Interest					
18 Taxes		30,075.	5,622.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses		33,021.	0.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		69,421.	5,622.		0.
25 Contributions, gifts, grants paid		355,000.			355,000.
26 Total expenses and disbursements. Add lines 24 and 25		424,421.	5,622.		355,000.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-79,420.			
b Net investment income (if negative, enter -0-)			339,379.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	579,471.	791,272.	791,272.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	4,150,882.	4,109,793.	5,441,621.
	c Investments - corporate bonds STMT 7	1,351,183.	1,101,051.	1,128,021.
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 8	450,000.	450,000.	458,441.	
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	6,531,536.	6,452,116.	7,819,355.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 24, 25, 29, and 30			
	24 Net assets without donor restrictions	6,531,536.	6,452,116.	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg, and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances	6,531,536.	6,452,116.	
30 Total liabilities and net assets/fund balances	6,531,536.	6,452,116.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	6,531,536.
2 Enter amount from Part I, line 27a	2	-79,420.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	6,452,116.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	6,452,116.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a HAWTHORN SHORT TERM - SEE ATTACHED SCHEDULE	P	01/01/19	12/31/19
b HAWTHORN LONG TERM - SEE ATTACHED SCHEDULE	P	12/31/18	12/31/19
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 411,994.		422,250.	-10,256.
b 1,067,175.		982,991.	84,184.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			-10,256.
b			84,184.
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2 73,928.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	290,000.	7,455,285.	.038899
2017	264,498.	7,333,182.	.036069
2016	308,364.	5,677,673.	.054312
2015	203,846.	5,609,915.	.036337
2014	248,819.	5,854,646.	.042499

2 Total of line 1, column (d)	2 .208116
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3 .041623
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4 7,641,556.
5 Multiply line 4 by line 3	5 318,064.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6 3,394.
7 Add lines 5 and 6	7 321,458.
8 Enter qualifying distributions from Part XII, line 4	8 355,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

- 1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.
Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)
- b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b
- c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)
- 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)
- 3 Add lines 1 and 2
- 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)
- 5 **Tax based on investment income** Subtract line 4 from line 3. If zero or less, enter -0-
- 6 Credits/Payments.

6a	7,000.
6b	0.
6c	0.
6d	0.

- 7 Total credits and payments. Add lines 6a through 6d
- 8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached
- 9 **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed**
- 10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid**
- 11 Enter the amount of line 10 to be: **Credited to 2020 estimated tax** **3,606.** **Refunded**

1	3,394.
2	0.
3	3,394.
4	0.
5	3,394.
7	7,000.
8	0.
9	
10	3,606.
11	0.

Part VII-A Statements Regarding Activities

- 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?
- b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.
- c Did the foundation file **Form 1120-POL** for this year?
- d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
(1) On the foundation. **\$ 0.** (2) On foundation managers. **\$ 0.**
- e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers **\$ 0.**
- 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?
If "Yes," attach a detailed description of the activities.
- 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes
- 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?
- b If "Yes," has it filed a tax return on **Form 990-T** for this year?
- 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?
If "Yes," attach the statement required by *General Instruction T*
- 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?
- 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV
- 8a Enter the states to which the foundation reports or with which it is registered. See instructions. **CA**
- b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation
- 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV
- 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

N/A

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8b	X	
9		X
10		X

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Part VII A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► CHRIS MORRIS Telephone no. ► (310) 826-2060 Located at ► 12100 WILSHIRE BLVD., #1150, LOS ANGELES, CA ZIP+4 ► 90025		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ► N/A		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? If "Yes," list the years ► , , , b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► , , ,	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	N/A	

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Part VII B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

	Yes	No
5a During the year, did the foundation pay or incur any amount to:		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	N/A	
Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>	
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A	
If "Yes," attach the statement required by Regulations section 53.4945-5(d).	<input type="checkbox"/> Yes <input type="checkbox"/> No	
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		X
If "Yes" to 6b, file Form 8870.		
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ALISON ZUBER 687 GROVE LANE SANTA BARBARA, CA 93105	CHAIRMAN 0.00	0.	0.	0.
AMISHA ZUBER 687 GROVE LANE SANTA BARBARA, CA 93105	CHIEF FINANCIAL OFFICER 0.00	0.	0.	0.
SIERRA ZUBER 687 GROVE LANE SANTA BARBARA, CA 93105	SECRETARY 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	6,930,864.
b	Average of monthly cash balances	1b	827,061.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	7,757,925.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	7,757,925.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	116,369.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,641,556.
6	Minimum investment return. Enter 5% of line 5	6	382,078.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	382,078.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	3,394.
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	3,394.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	378,684.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	378,684.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	378,684.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	355,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	355,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	3,394.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	351,606.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				378,684.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			205,570.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ 355,000.				
a Applied to 2018, but not more than line 2a			205,570.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				149,430.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below	0.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				229,254.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ACLU FOUNDATION 125 BROAD STREET, 18TH FL NEW YORK, NY 10004	NONE	PUBLIC CHARITY	GENERAL CHARITABLE	40,000.
CATSS P.O. BOX 422 OBERLIN, OH 44074	NONE	PUBLIC CHARITY	GENERAL CHARITABLE	15,000.
DIRECT RELIEF INTERNATIONAL 27 S. LA PATERA LANE SANTA BARBARA, CA 93117-9806	NONE	PUBLIC CHARITY	GENERAL CHARITABLE	40,000.
PLANNED PARENTHOOD FEDERATION OF AMERICA, INC. 123 WILLIAM STREET NEW YORK, NY 10038	NONE	PUBLIC CHARITY	GENERAL CHARITABLE	40,000.
REGENTS OF UNIVERSITY OF CALIFORNIA FBO GWYNNE HAZEN CHERRY MEMORIAL LABS 650 CHARLES E. YOUNG BLVD. SOUTH LOS ANGELES, CA 90095	NONE	PUBLIC CHARITY	GENERAL CHARITABLE	100,000.
Total	SEE CONTINUATION SHEET(S)			3a 355,000.
b Approved for future payment				
NONE				
Total				3b 0.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UCLA FOUNDATION FBO ULRICH BATZDORF, MD CHIARI SPINAL NEUROSURGERY 300 STEIN PLAZA STE #420 LOS ANGELES, CA 90095	NONE	PUBLIC CHARITY	GENERAL CHARITABLE	35,000.
CHORDOMA FOUNDATION PO BOX 2127 DURHAM, NC 27702	NONE	PUBLIC CHARITY	GENERAL CHARITABLE	10,000.
NATIONAL DISASTER SEARCH DOG FOUNDATION 6800 WHEELER CANYON RD SANTA PAULA, CA 93060	NONE	PUBLIC CHARITY	GENERAL CHARITABLE	10,000.
LOVE SERVE REMEMBER 226 W OJAI AVE STE 101 #531 OJAI, CA 93023	NONE	PUBLIC CHARITY	GENERAL CHARITABLE	5,000.
RAICES 1305 N. FLORES STREET SAN ANTONIO, TX 78212	NONE	PUBLIC CHARITY	GENERAL CHARITABLE	10,000.
REGENTS OF UNIVERSITY OF CA FBO DR JEFFREY MAX PEDIATRIC BRAIN INJURY 5755 OBERLIN DRIVE, SUITE 301 SAN DIEGO, CA 92121	NONE	PUBLIC CHARITY	GENERAL CHARITABLE	50,000.
Total from continuation sheets				120,000.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
HAWTHORN	41,953.	41,953.	
TOTAL TO PART I, LINE 3	41,953.	41,953.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
HAWTHORN	229,120.	0.	229,120.	229,120.	
TO PART I, LINE 4	229,120.	0.	229,120.	229,120.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	6,325.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	6,325.	0.		0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL INCOME TAX	24,358.	0.		0.
TAXES AND LICENSES	95.	0.		0.
FOREIGN TAXES WITHHELD	5,622.	5,622.		0.
TO FORM 990-PF, PG 1, LN 18	30,075.	5,622.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	35,733.	0.		0.
OTHER INVESTMENT EXPENSE	-2,712.	0.		0.
TO FORM 990-PF, PG 1, LN 23	33,021.	0.		0.

FORM 990-PF

CORPORATE STOCK

STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INVESTMENT	4,109,793.	5,441,621.
TOTAL TO FORM 990-PF, PART II, LINE 10B	4,109,793.	5,441,621.

FORM 990-PF

CORPORATE BONDS

STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INVESTMENT	1,101,051.	1,128,021.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,101,051.	1,128,021.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
INVESTMENT	COST	450,000.	458,441.
TOTAL TO FORM 990-PF, PART II, LINE 13		450,000.	458,441.

DETAILS OF SHORT-TERM CAPITAL GAINS AND LOSSES

	Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
45.	AMERICAN EXPRESS CO	05/23/2018	01/23/2019	4,467.57	4,558.95			-91.38
13.	CINAREX ENERGY CO	05/23/2018	03/12/2019	906.36	1,242.67			-336.31
78.	COGNIZANT TECHNOLOGY SOLUTIONS	VAR	05/03/2019	4,533.65	5,938.94			-1,405.29
4.	CONSTELLATION BRANDS INC CL A	01/23/2019	05/01/2019	845.30	659.78			185.52
5.	CONSTELLATION BRANDS INC CL A	01/23/2019	10/02/2019	1,035.17	824.73			210.44
100.	CULLEN FROST BANKERS INC	VAR	10/23/2019	9,247.84	10,082.92			-835.08
28.	DANAHER CORP	05/23/2018	03/11/2019	3,527.64	2,861.04			666.60
35.	DANAHER CORP	05/23/2018	04/08/2019	4,597.80	3,576.29			1,021.51
211.	EBAY INC	VAR	04/12/2019	7,627.03	7,940.95			-313.92
219.	ELANCO ANIMAL HEALTH INC	VAR	10/23/2019	5,656.72	7,097.95			-1,441.23
68.	EQUITANS MIDSTREAM CORP-W/I	05/23/2018	04/08/2019	1,459.26	1,984.67			-525.41
200.	GREAT WESTERN RANCORP INC	VAR	10/23/2019	6,961.85	7,170.59			-208.74
47.	INTERCONTINENTAL EXCHANGE INC	VAR	01/25/2019	3,566.58	3,361.50			205.08
8.	ISHARES TR RUSSELL MIDCAP VALUE INDEX FD	05/14/2018	03/11/2019	684.95	708.08			-23.13
17.	ISHARES TR RUSSELL MIDCAP VALUE INDEX FD	05/14/2018	04/01/2019	1,483.91	1,504.67			-20.76
7729.061	JPMORGAN VALUE ADVANTAGE-INS FD 1400	05/22/2018	05/17/2019	269,512.36	275,000.00			-5,487.64
17.	KAR ACTION SERVICES INC	02/21/2019	02/27/2019	790.12	789.31			0.81
23.	KROGER CO	09/14/2018	03/04/2019	644.91	658.49			-13.58
33.	KROGER CO	08/27/2019	11/06/2019	916.65	790.60			126.05
61.	MANPOWER GROUP INC	10/02/2018	07/26/2019	5,725.16	5,165.33			559.83
98.	MANPOWER GROUP INC	10/02/2018	09/10/2019	8,423.58	8,298.39			125.19
2.	PROGRESSIVE CORP OHIO	05/23/2018	03/11/2019	145.17	124.14			21.03
146.	SPDR TRUST UNIT SER 1	12/13/2018	02/12/2019	39,959.11	38,857.32			1,101.79
300.	SCHLUMBERGER LTD	VAR	10/23/2019	10,429.25	12,922.10			-2,492.85
200.	SCHWAB CHARLES CORP NEW	VAR	10/23/2019	8,191.82	8,805.35			-613.53
4.	SNAP ON INC	02/26/2018	01/31/2019	650.47	641.95			8.52
15.	3M COMPANY	07/24/2018	07/12/2019	2,596.07	2,915.97			-319.90
33.	TYSON FOODS INC CLASS A	VAR	05/30/2019	2,509.39	2,243.09			266.30
7.	TYSON FOODS INC CLASS A	02/27/2019	08/13/2019	623.16	431.06			192.10
100.	CORE LABORATORIES N V	06/11/2019	10/23/2019	4,275.05	5,092.90			-817.85
Totals				411,993.90	422,249.73			-10,255.83

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
50. ABBVIE INC	03/18/2011	06/11/2019	3,938.15	1,252.05			2,686.10
36. ALLEGHENY TECHNOLOGIES INC	VAR	03/11/2019	905.44	1,139.84			-234.40
35. ANADARKO PETE CORP	VAR	04/25/2019	2,490.90	1,727.14			763.76
16. ANADARKO PETE CORP	04/13/2016	04/29/2019	1,159.72	787.83			371.89
31. ANADARKO PETE CORP	VAR	06/28/2019	2,190.34	1,411.94			778.40
27. APERGY CORP	VAR	05/02/2019	1,034.65	817.66			216.99
58. ARAMARK	VAR	03/11/2019	1,747.55	1,900.68			-153.13
100. BADGER METER INC	12/07/2009	06/11/2019	5,547.39	1,898.27			3,649.12
100. BEMIS COMPANY	10/19/2005	04/08/2019	5,533.48	2,381.75			3,151.73
200. BEMIS COMPANY	VAR	05/08/2019	11,571.43	4,762.00			6,809.43
19. BERRY GLOBAL GROUP INC	11/21/2017	02/01/2019	968.32	1,140.72			-172.40
8501.438 BLACKROCK GLOBAL ALLOCATION FD INSTL CL FD 390	VAR	05/17/2019	158,806.86	162,900.00			-4,093.14
1. CALVERT SHORT DURATION INCOME FUND CL A	01/01/2001	12/03/2019	303.16				303.16
11. CHARLES RIV LABORATORIES INTL INC	VAR	05/01/2019	1,544.42	654.67			889.75
6. CHARLES RIV LABORATORIES INTL INC	VAR	05/02/2019	820.18	287.09			533.09
100. CHEVRONTXACO CORPORATION	10/11/2011	06/11/2019	12,200.64	9,781.75			2,418.89
48. CIMAREX ENERGY CO	VAR	03/12/2019	3,346.56	3,999.24			-652.68
22. COGNIZANT TECHNOLOGY SOLUTIONS	02/09/2018	05/03/2019	1,278.72	1,672.44			-393.72
212. COMCAST CORPORATION CL A	VAR	08/28/2019	9,254.87	8,008.77			1,246.10
200. CRAY INC	05/30/2013	09/25/2019	7,000.00	3,589.21			3,410.79
3300. DB X-TRACKERS MSCI JAPAN HDG ETF	02/12/2018	08/22/2019	121,536.48	141,075.00			-19,538.52
5. DTE ENERGY CO	04/14/2016	05/01/2019	624.84	443.65			181.19
28. DANAHER CORP	01/30/2018	03/11/2019	3,527.64	2,868.31			659.33
50. DANAHER CORP	VAR	08/28/2019	6,944.42	4,054.01			2,890.41
8. DOLLAR TREE INC	03/08/2018	04/09/2019	820.55	728.24			92.31
7. DOW CORP	VAR	04/25/2019	676.05	476.39			199.66
16. DOW CORP	VAR	05/01/2019	1,575.21	1,017.82			557.39
521. EBAY INC	VAR	04/12/2019	18,832.61	11,547.60			7,285.01
51. EDISON INTL	VAR	10/28/2019	3,297.27	2,669.94			627.33
585 ELANCO ANIMAL HEALTH INC	09/13/2006	03/18/2019	18.09	7.21			10.88
50. EMERSON ELEC CO	11/29/2004	04/08/2019	3,569.20	1,685.25			1,883.95
50. EMERSON ELEC CO	11/29/2004	05/08/2019	3,343.58	1,685.25			1,658.33
224. EQUITRANS MIDSTREAM CORP-W/I	VAR	04/08/2019	4,806.97	8,086.55			-3,279.58
Totals							



DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
27. EVERGY INC		03/27/2017	10/11/2019	1,708.48	1,449.67			258.81
75. EXXON MOBIL CORP		VAR	04/08/2019	6,231.38	5,128.89			1,102.49
75. EXXON MOBIL CORP		02/09/2010	05/08/2019	5,751.02	4,909.39			841.63
4. FMC CORPORATION NEW		02/15/2018	07/11/2019	324.88	300.91			23.97
20. FIDELITY NATIONAL INFORMATION		VAR	09/26/2019	2,665.44	1,020.48			1,644.96
34. FIDELITY NATIONAL INFORMATION		03/01/2013	12/06/2019	4,708.64	1,266.16			3,442.48
58. FLUOR CORP		VAR	05/16/2019	1,724.10	3,676.00			-1,951.90
20. GARRETT MOTION INC-WI		VAR	04/08/2019	321.47	74.06			247.41
9. GRAINGER W W INC		VAR	01/23/2019	2,670.54	2,163.29			507.25
100. GREAT WESTERN BANCORP INC		03/14/2017	10/23/2019	3,480.93	4,395.26			-914.33
50. HONEYWELL INTERNATIONAL INC		01/21/2005	06/11/2019	8,582.62	1,685.20			6,897.42
13. INTUIT SOFTWARE		08/15/2013	04/09/2019	3,376.06	835.38			2,540.68
9. ISHARES TR RUSSELL MIDCAP VALUE INDEX FD		08/28/2017	04/01/2019	785.60	745.65			39.95
7102.273 JPMORGAN VALDE ADVANTAGE-INS FD 1400		05/09/2014	05/17/2019	247,656.26	200,000.00			47,656.26
29. KAR AUCTION SERVICES INC		VAR	01/23/2019	1,498.51	1,068.19			430.32
13. KAR AUCTION SERVICES INC		12/08/2014	02/27/2019	604.21	449.41			154.80
62. KAR AUCTION SERVICES INC		12/08/2014	03/11/2019	2,929.52	2,143.34			786.18
100. KIMBERLY CLARK CORP		01/17/2017	02/12/2019	11,637.88	11,551.34			86.54
11. KROGER CO		06/30/2017	03/04/2019	308.44	257.03			51.41
214. KROGER CO		VAR	10/11/2019	5,157.96	6,784.57			-1,626.61
922 LIVENT CORP		12/19/2014	03/07/2019	11.16	7.61			3.55
31. MACOM TECHNOLOGY SOLUTIONS HOLDINGS INC		08/25/2017	09/16/2019	693.88	1,362.94			-669.06
42. MACOM TECHNOLOGY SOLUTIONS HOLDINGS INC		08/25/2017	11/11/2019	952.27	1,846.56			-894.29
250000. MORGAN STANLEY S&P500 AUTOCALL, 10.65% COUPON		05/16/2018	11/21/2019	250,000.00	250,000.00			
38. PATTERSON COS INC		VAR	12/13/2019	829.90	1,651.35			-821.65
70. PATTERSON COS INC		VAR	12/16/2019	1,456.79	2,705.56			-1,248.77
100. PFIZER INC		VAR	06/11/2019	4,270.34	2,992.74			1,277.60
8. PINNACLE WEST CAPITAL CORP		12/23/2016	05/01/2019	751.37	622.38			128.99
15. PINNACLE WEST CAPITAL CORP		VAR	07/12/2019	1,410.61	974.35			436.26
182. PREMIER INC-CLASS A		VAR	10/09/2019	5,349.52	6,018.17			-668.65
225. PREMIER INC-CLASS A		VAR	10/16/2019	7,166.08	6,858.92			307.16
38. PROGRESSIVE CORP OHIO		08/15/2013	03/11/2019	2,758.14	969.36			1,788.78
140. PROGRESSIVE CORP OHIO		08/15/2013	06/27/2019	11,075.17	3,571.33			7,503.84
Totals								

JSA
XG300 3 000

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

JSA
XG900 3 000