

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	518,176	236,003	236,003
	2 Savings and temporary cash investments	1,366,100	802,311	802,311
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)		0	
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	12,824	18,852	18,852
	10a Investments—U.S. and state government obligations (attach schedule)	4,043,770	2,973,087	2,973,087
	b Investments—corporate stock (attach schedule)	10,644,171	11,700,701	11,700,701
	c Investments—corporate bonds (attach schedule)	2,482,006	1,994,523	1,994,523
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____		0	
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	1,806,971	1,993,535	1,993,535
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____		0	
15 Other assets (describe ▶ _____)	53,515	31,863	31,863	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	20,927,533	19,750,875	19,750,875	
Liabilities	17 Accounts payable and accrued expenses	13,755	17,201	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons		0	
	21 Mortgages and other notes payable (attach schedule)		0	
	22 Other liabilities (describe ▶ _____)	0	0	
	23 Total liabilities (add lines 17 through 22)	13,755	17,201	
	Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ☒ and complete lines 24, 25, 29 and 30.		
24 Net assets without donor restrictions		20,913,778	19,733,674	
25 Net assets with donor restrictions				
Foundations that do not follow FASB ASC 958, check here ☐ and complete lines 26 through 30.				
26 Capital stock, trust principal, or current funds				
27 Paid-in or capital surplus, or land, bldg., and equipment fund				
28 Retained earnings, accumulated income, endowment, or other funds				
29 Total net assets or fund balances (see instructions)		20,913,778	19,733,674	
30 Total liabilities and net assets/fund balances (see instructions) .	20,927,533	19,750,875		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	20,913,778
2 Enter amount from Part I, line 27a	2	-4,098,510
3 Other increases not included in line 2 (itemize) ▶ _____	3	2,918,406
4 Add lines 1, 2, and 3	4	19,733,674
5 Decreases not included in line 2 (itemize) ▶ _____	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	19,733,674

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a Publicly Traded Securities	P		
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 5,300,671	0	5,328,414	-27,743
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a 0	0	0	-27,743
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	-27,743
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	-27,743

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	3,152,975	22,708,788	0.138844
2017	1,429,760	24,016,978	0.059531
2016	1,526,869	22,896,917	0.066684
2015	1,114,651	24,058,345	0.046331
2014	1,692,630	25,264,862	0.066995
2 Total of line 1, column (d)		2	0.378385
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years		3	0.075677
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5		4	21,115,855
5 Multiply line 4 by line 3		5	1,597,985
6 Enter 1% of net investment income (1% of Part I, line 27b)		6	3,562
7 Add lines 5 and 6		7	1,601,547
8 Enter qualifying distributions from Part XII, line 4		8	4,449,528

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	3,562
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	3,562
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	3,562
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	8,852
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	8,852
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	5,290
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax ☐ 5,290 Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
c Did the foundation file Form 1120-POL for this year?		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ _____ (2) On foundation managers. ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		No
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ CA _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>www.ArkayFoundation.org</u>	13	Yes	
14	The books are in care of ► <u>Third Plateau Social Impact Strategies</u> Telephone no. ► <u>(510) 841-4123</u>			

Located at ► 127 University Avenue Berkeley CAZIP+4 ► 94710

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here	☐		
	and enter the amount of tax-exempt interest received or accrued during the year	► 15		
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b	No
	Organizations relying on a current notice regarding disaster assistance check here. ► ☐		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	☐ Yes ☒ No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b	
	Organizations relying on a current notice regarding disaster assistance check here. 	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	☐ Yes ☐ No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No	7b	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☐ No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000. 				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Third Plateau 127 University Avenue Berkeley, CA 94710	Management Services Accounting Services	142,784
Baker Street Advisors 455 Market Street 23rd Floor San Francisco, CA 94105		
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	18,509,557
b	Average of monthly cash balances.	1b	2,909,007
c	Fair market value of all other assets (see instructions).	1c	18,852
d	Total (add lines 1a, b, and c).	1d	21,437,416
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	21,437,416
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	321,561
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	21,115,855
6	Minimum investment return. Enter 5% of line 5.	6	1,055,793

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,055,793
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	3,562
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	0
c	Add lines 2a and 2b.	2c	3,562
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,052,231
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	1,052,231
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,052,231

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	4,449,528
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	4,449,528
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	3,562
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	4,445,966

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				1,052,231
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2019:				
a From 2014. 519,095				
b From 2015. 0				
c From 2016. 391,783				
d From 2017. 235,111				
e From 2018. 2,025,480				
f Total of lines 3a through e.	3,171,469			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ 4,449,528				
a Applied to 2018, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				1,052,231
e Remaining amount distributed out of corpus	3,397,297			
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	6,568,766			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).	519,095			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a.	6,049,671			
10 Analysis of line 9:				
a Excess from 2015. 0				
b Excess from 2016. 391,783				
c Excess from 2017. 235,111				
d Excess from 2018. 2,025,480				
e Excess from 2019. 3,397,297				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Attached Schedule 127 University Avenue Berkeley, CA 94710				4,225,823
Total			▶ 3a	4,225,823
b <i>Approved for future payment</i>				
Total			▶ 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions.)
1 Program service revenue:					
a None					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments			14	50,068	
4 Dividends and interest from securities.			14	427,468	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	-27,743	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a Other Investment Income _____			16	31,316	
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e).				481,109	
13 Total. Add line 12, columns (b), (d), and (e).					481,109

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
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1a(1)		No
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1a(2)		No
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1b(1)	No
--------------	-----------

1b(2)		No
--------------	--	-----------

1b(3)		No
--------------	--	-----------

1b(4)		No
--------------	--	-----------

1b(5)		No
--------------	--	-----------

1b(6)		No
--------------	--	-----------

1c		No
-----------	--	-----------

value
ue

[illegible]

described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign
Here**

2020-10-23

Signature of officer or trustee

Date _____

Title

May the IRS discuss this
return
with the preparer shown
below

(see instr.) ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name Dion Griffin	Preparer's Signature	Date	Check if self-employed ► ☐	PTIN P01882984
Firm's name ► Third Plateau Social Impact Strategies				Firm's EIN ►
Firm's address ► 127 University Ave Berkeley, CA 94710				Phone no. (510) 841-4024

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
David Goldschmidt	President/Dir 3.00	0	0	0
127 University Avenue Berkeley, CA 94710				
Susan Reed Clark	Treasurer/Dir 2.00	0	0	0
127 University Avenue Berkeley, CA 94710				
Laura Flanagan	Secretary/Dir 1.00	0	0	0
127 University Avenue Berkeley, CA 94710				
Karen M Kahn	Director 1.00	0	0	0
127 University Avenue Berkeley, CA 94710				
Marian Penn	Director 1.00	0	0	0
127 University Avenue Berkeley, CA 94710				
William H Soskin Esq	Director 2.00	0	0	0
127 University Avenue Berkeley, CA 94710				
Michael Kieschnick	Director 1.00	0	0	0
127 University Avenue Berkeley, CA 94710				
Dion Griffin	CFO 1.00	0	0	0
127 University Avenue Berkeley, CA 94710				
Elisabeth Wagstaffe	Asst Secretary 1.00	0	0	0
127 University Avenue Berkeley, CA 94710				

TY 2019 Accounting Fees Schedule**Name:** Arkay Foundation**EIN:** 77-0404924

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Third Plateau Accounting	11,950			3,750

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2019 Gain/Loss from Sale of Other Assets Schedule

Name: Arkay Foundation

EIN: 77-0404924

Gain Loss Sale Other Assets Schedule

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
Publicly Traded Securities		Purchased			5,300,671			5,328,414	-27,743	

TY 2019 Investments Corporate Bonds Schedule**Name:** Arkay Foundation**EIN:** 77-0404924**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
See Attached Schedule	1,994,523	1,994,523

TY 2019 Investments Corporate Stock Schedule**Name:** Arkay Foundation**EIN:** 77-0404924**Investments Corporation Stock Schedule**

Name of Stock	End of Year Book Value	End of Year Fair Market Value
See Attached Schedule	11,700,701	11,700,701

TY 2019 Investments Government Obligations Schedule**Name:** Arkay Foundation**EIN:** 77-0404924**US Government Securities - End
of Year Book Value:**

1,983,094

**US Government Securities - End
of Year Fair Market Value:**

1,983,094

**State & Local Government
Securities - End of Year Book
Value:**

989,993

**State & Local Government
Securities - End of Year Fair
Market Value:**

989,993

TY 2019 Investments - Other Schedule

Name: Arkay Foundation

EIN: 77-0404924

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
SF Ventures III LP		524,608	524,608
SF Ventures IV LP		119,807	119,807
Conservation Forestry Capital III LP		478,954	478,954
MicroVest Short Duration Fund LP		604,976	604,976
AGNC INVT CORP 660 Shares		11,775	11,775
American Tower 135 Shares		31,026	31,026
Aannaly Capital Management Inc. 1,393 Shares		13,122	13,122
Avalonbay Communities Inc. 85 Shares		17,825	17,825
Boston Properties 21 Shares		2,895	2,895
Crown Castle Intl. Co 162 Shares		23,028	23,028
Equinix Inc. 26 Shares		15,176	15,176
Equity Residential 193 Shares		15,618	15,618
Essex Property Trust 65 Shares		19,556	19,556
Federal Realty Invt. 22 Shares		2,832	2,832
JBG Smith Properties 56 Shares		2,234	2,234
Prologics Inc. 291 Shares		25,940	25,940
Public Storage 70 Shares		14,907	14,907
Realty Income Corp. 267 Shares		19,659	19,659
Sabra Health Care 51 Shares		1,088	1,088
Sun Cmnty Inc. 115 Shares		17,262	17,262
Urban Edge PPTYS 56 Shares		1,074	1,074
Ventas Inc. 53 Shares		3,060	3,060
Well Tower Inc. 189 shares		15,456	15,456
Weyerhaeuser Co. 386 Shares		11,657	11,657

TY 2019 Legal Fees Schedule**Name:** Arkay Foundation**EIN:** 77-0404924

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Adler & Colvin Legal Services	342			342

TY 2019 Other Assets Schedule

Name: Arkay Foundation

EIN: 77-0404924

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Accrued Income - Aperio	7,757	0	0
Accrued Income - Breckenridge	45,758	31,863	31,863

TY 2019 Other Expenses Schedule**Name:** Arkay Foundation**EIN:** 77-0404924**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Dues & Subscriptions	5,404			5,199
Filing Fees	105			0
Insurance	3,000			3,000
Webhosting & Design	153			153
Postage & Delivery	231			231
Telephone & Connectivity	5			5
Supplies	173			173
Bank Service Charges	50			50

TY 2019 Other Income Schedule

Name: Arkay Foundation

EIN: 77-0404924

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Income from Partnerships	83,347	49,908	

TY 2019 Other Increases Schedule

Name: Arkay Foundation
EIN: 77-0404924

Description	Amount
Unrealized Gain on Investments	2,918,406

TY 2019 Other Professional Fees Schedule**Name:** Arkay Foundation**EIN:** 77-0404924

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Third Plateau Foundation Management	142,784			107,088
Baker Street Investment Management	117,670	117,670		0
Adam Wolpert Board Facilitation	8,295			8,295
Future Strategies Grant Consulting	13,032			13,032
Democracy Alliance Program Support	20,000			20,000
Various Other Investment Expense	0	31,316		

TY 2019 Taxes Schedule**Name:** Arkay Foundation**EIN:** 77-0404924

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Excise Tax	3,265	3,265		
Foreign Tax	19,034	19,034		

Bonds

Description	Maturity	Quantity	Price Per Unit	Total Market Value Accrued Interest (AI)
Corporate Bonds				
TORONTO-DOMINION BANK GMTN	12/14/20	100,000.000	\$100.5746	\$100,574.55
FIXED COUPON MOODYS Aa1	S&P AA- SEMIANNUALLY CUSIP: 89114QBC1			\$118.06
SANOFI NOTE CALL MAKE	03/29/21	70,000.000	102.7060	71,894.20
WHOLE				715.56
FIXED COUPON MOODYS A1	S&P AA SEMIANNUALLY MAKE WHOLE CALL CUSIP: 80105NAG0			
ROYAL BANK OF CANADA MTN	04/30/21	75,000.000	101.7862	76,339.62
				406.67
FIXED COUPON MOODYS Aa2	S&P AA- SEMIANNUALLY CUSIP: 78013XKG2			
BANK NEW YORK MELLON CORP	05/03/21	80,000.000	100.3180	80,254.40
MTN				264.22
FIXED COUPON MOODYS A1	S&P A SEMIANNUALLY NEXT CALL DATE 04/03/2021 CONT CALL 04/03/2021			
GOLDMAN SACHS GROUP INC	11/15/21	60,000.000	100.3970	60,238.20
NOTE				180.17
FIXED COUPON MOODYS A3	S&P BBB+ SEMIANNUALLY NEXT CALL DATE 11/15/2020 CONT CALL 11/15/2			
MERCK & CO INC NOTE CALL	02/10/22	85,000.000	100.9990	85,849.15
MAKE WHOLE				782.35
FIXED COUPON MOODYS A1	S&P AA SEMIANNUALLY MAKE WHOLE CALL CUSIP: 58933YQAQ8			
MORGAN STANLEY MTN	05/19/22	50,000.000	101.8470	50,923.50
				160.42
FIXED COUPON MOODYS A3	S&P BBB+ SEMIANNUALLY CUSIP: 61744YAH1			
WESTPAC BANKING	06/28/22	60,000.000	101.1920	60,715.20
CORPORATION NOTE				12.50
FIXED COUPON MOODYS Aa3	S&P AA- SEMIANNUALLY CUSIP: 961214DQ3			

Holdings

Bonds (continued)

Description	Maturity	Quantity	Price Per Unit	Total Market Value Accrued Interest (AI)
Corporate Bonds (continued)				
APPLE INC NOTE CALL MAKE WHOLE	02/23/23	110,000.000	102.8600	113,146.00 1,114.67
FIXED COUPON MOODYS Aa1 S&P AA+ SEMIANNUALLY NEXT CALL DATE 12/23/2022 CONT CALL 12/23/2				
VERIZON COMMUNICATIONS INC	09/15/23	75,000.000	111.1910	83,393.25 1,137.29
FIXED COUPON MOODYS Baa1 S&P BBB+ SEMIANNUALLY MAKE WHOLE CALL CUSIP: 92343VBR4				
CSX CORP NOTE CALL MAKE WHOLE	11/01/23	50,000.000	106.2120	53,106.00 308.33
FIXED COUPON MOODYS Baa1 S&P BBB+ SEMIANNUALLY NEXT CALL DATE 08/01/2023 CONT CALL 08/01				
PNC FINL SVCS GROUP INC NOTE	01/23/24	45,000.000	105.3200	47,394.00 691.25
FIXED COUPON MOODYS A3 S&P A- SEMIANNUALLY NEXT CALL DATE 12/23/2023 CONT CALL 12/23/202				
MICROSOFT CORP NOTE CALL MAKE WHOLE	02/06/24	135,000.000	103.7660	140,084.10 1,563.28
FIXED COUPON MOODYS Aaa S&P AAA SEMIANNUALLY NEXT CALL DATE 12/06/2023 CONT CALL 12/06/2				
COMCAST CORP NEW NOTE CALL MAKE WHOLE	03/01/24	95,000.000	106.0150	100,714.25 1,140.00
FIXED COUPON MOODYS A3 S&P A- SEMIANNUALLY MAKE WHOLE CALL CUSIP: 20030NB9J				
WASTE MGMT INC DEL NOTE CALL MAKE WHOLE	06/15/24	15,000.000	103.2400	15,486.00 19.67
FIXED COUPON MOODYS Baa1 S&P A- SEMIANNUALLY NEXT CALL DATE 05/15/2024 CONT CALL 05/15/20				
CVS HEALTH CORP NOTE CALL MAKE WHOLE	03/25/25	85,000.000	107.3660	91,261.10 929.33
FIXED COUPON MOODYS Baa2 S&P BBB SEMIANNUALLY NEXT CALL DATE 01/25/2025 CONT CALL 01/25/2				
JPMORGAN CHASE & CO NOTE	07/15/25	90,000.000	108.0080	97,207.20 1,618.50
FIXED COUPON MOODYS A2 S&P A- SEMIANNUALLY NEXT CALL DATE 04/15/2025 CONT CALL 04/15/202				
UNITEDHEALTH GROUP INC NOTE	07/15/25	65,000.000	108.0260	70,216.90 1,123.96
FIXED COUPON MOODYS A3 S&P A+ SEMIANNUALLY MAKE WHOLE CALL CUSIP: 91324PCP5				
AT&T INC NOTE CALL MAKE WHOLE	02/17/26	65,000.000	108.3940	70,456.10 998.02
FIXED COUPON MOODYS Baa2 S&P BBB SEMIANNUALLY NEXT CALL DATE 11/17/2025 CONT CALL 11/17/2				

Holdings

Bonds (continued)

Description	Maturity	Quantity	Price Per Unit	Total Market Value Accrued Interest (AI)
Corporate Bonds (continued)				
HOME DEPOT INC NOTE CALL MAKE WHOLE	04/01/26	80,000.000	104.6700	83,736.00 600.00
FIXED COUPON MOODYS A2 S&P A SEMIANNUALLY NEXT CALL DATE 01/01/2026 CONT CALL 01/01/2026				
BANK AMER CORP MTN CALL MAKE WHOLE	04/19/26	60,000.000	106.3550	63,813.00 420.00
FIXED COUPON MOODYS A2 S&P A- SEMIANNUALLY NEXT CALL DATE 01/12/2020 MAKE WHOLE CALL C				
STARBUCKS CORP NOTE CALL MAKE WHOLE	06/15/26	60,000.000	101.4400	60,864.00 65.33
FIXED COUPON MOODYS Baa1 S&P BBB+ SEMIANNUALLY NEXT CALL DATE 03/15/2026 CONT CALL 03/15				
CITIGROUP INC NOTE CALL MAKE WHOLE	10/21/26	80,000.000	103.7590	83,007.20 497.78
FIXED COUPON MOODYS A3 S&P BBB+ SEMIANNUALLY NEXT CALL DATE 07/21/2026 CONT CALL 07/21/2				
PEPSICO INC NOTE CALL MAKE WHOLE	10/15/27	95,000.000	106.0090	100,708.55 601.67
FIXED COUPON MOODYS A1 S&P A+ SEMIANNUALLY NEXT CALL DATE 07/15/2027 CONT CALL 07/15/202				
ANTHEM INC NOTE CALL MAKE WHOLE	12/01/27	75,000.000	105.8990	79,424.25 228.12
FIXED COUPON MOODYS Baa2 S&P A SEMIANNUALLY NEXT CALL DATE 09/01/2027 CONT CALL 09/01/202				
UNITED PARCEL SERVICE INC NOTE	03/15/29	50,000.000	107.4320	53,716.00 500.56
FIXED COUPON MOODYS A2 S&P A SEMIANNUALLY NEXT CALL DATE 12/15/2028 CONT CALL 12/15/2028				

Total Corporate Bonds (40% of account holdings) \$1,994,522.72

Municipal Bonds

ARIZONA ST TRANSN BRD HWY REV	07/01/20	65,000.000	\$100.1680	\$65,109.20 \$708.17
FIXED COUPON MOODYS Aa2 S&P AA+ SEMIANNUALLY MAKE WHOLE CALL CUSIP: 040654VB8				
FLORIDA HURRICANE CATASTROPHE FD FIN	07/01/20	60,000.000	100.6420	60,385.20 898.50
FIXED COUPON MOODYS Aa3 S&P AA SEMIANNUALLY MAKE WHOLE CALL CUSIP: 34074GDH4				
PASSAIC CNTY N J GO BDS SER.2012B	04/01/21	65,000.000	101.4770	65,960.05 520.00
FIXED COUPON MOODYS Aa1 SEMIANNUALLY CUSIP: 702725NA6				

Holdings

Bonds (continued)

Description	Maturity	Quantity	Price Per Unit	Total Market Value <i>Accrued Interest (AI)</i>
Municipal Bonds (continued)				
NEW YORK ST URBAN DEV CORP REV	03/15/22	100,000.000	102.0010	102,001.00 833.28
FIXED COUPON MOODYS Aa1 S&P AA+ SEMIANNUALLY MAKE WHOLE CALL CUSIP: 650035L30				
NORTHAMPTON CNTY PA GO TAXABLE BDS SER.	10/01/22	75,000.000	99.9390	74,954.25 150.07
FIXED COUPON MOODYS Aa1 SEMIANNUALLY CUSIP: 663532KH1				
INDIANA BD BK REV TAXABLE SCH FD REF	01/15/23	120,000.000	103.3030	123,963.60 1,699.29
FIXED COUPON S&P AA+ SEMIANNUALLY CUSIP: 4546247N1				
UNIVERSITY CALIF REVS GEN REV BDS	05/15/25	95,000.000	105.2990	100,034.05 383.47
FIXED COUPON MOODYS Aa2 S&P AA SEMIANNUALLY MAKE WHOLE CALL CUSIP: 91412GXB6				
METROPOLITAN WASH D C ARPTS AUTH ARPT	10/01/25	65,000.000	108.3250	70,411.25 600.44
FIXED COUPON MOODYS Aa3 S&P AA- SEMIANNUALLY MAKE WHOLE CALL CUSIP: 592647AF8				
SAN MARCOS CALIF REDEV AGY SUCCESSOR	10/01/25	75,000.000	108.8090	81,606.75 753.00
FIXED COUPON S&P AA- SEMIANNUALLY CUSIP: 79876CBE7				
CALIFORNIA ST UNIV REV REV BDS SER.	11/01/25	70,000.000	106.7510	74,725.70 409.03
FIXED COUPON MOODYS Aa2 S&P AA- SEMIANNUALLY MAKE WHOLE CALL CUSIP: 13077CT95				
NEW YORK N Y CITY TRANSITIONAL FIN	08/01/26	70,000.000	103.5990	72,519.30 857.50
FIXED COUPON MOODYS Aa1 S&P AAA SEMIANNUALLY MAKE WHOLE CALL CUSIP: 64971XAX6				
HONOLULU HAWAII CITY & CNTY TAXABLE GO	08/01/27	100,000.000	98.3230	98,323.00 782.89
FIXED COUPON MOODYS Aa1 SEMIANNUALLY MAKE WHOLE CALL CUSIP: 438687KW4				
Total Municipal Bonds (20% of account holdings)				\$989,993.35
US Treasury/Agency Securities				
UNITED STATES TREAS NTS NOTE	02/28/21	160,000.000	\$100.4100	\$160,656.00 \$1,081.32
FIXED COUPON MOODYS Aaa SEMIANNUALLY CUSIP: 912828B90				

Municipal Obligations

Holdings

Bonds (continued)

Description	Maturity	Quantity	Price Per Unit	Total Market Value Accrued Interest (AI)
US Treasury/Agency Securities (continued)				
UNITED STATES TREAS NTS	05/31/21	180,000.000	100.5510	180,991.80 314.75
FIXED COUPON MOODYS Aaa SEMIANNUALLY CUSIP: 912828WN6				
UNITED STATES TREAS NTS	11/15/21	130,000.000	100.7890	131,025.70 335.71
NOTE FIXED COUPON MOODYS Aaa SEMIANNUALLY CUSIP: 912828RR3				
UNITED STATES TREAS NTS	05/15/22	185,000.000	100.3670	185,678.95 418.03
NOTE FIXED COUPON MOODYS Aaa SEMIANNUALLY CUSIP: 912828SV3				
UNITED STATES TREAS NTS	11/15/22	185,000.000	100.0740	185,136.90 388.17
FIXED COUPON MOODYS Aaa SEMIANNUALLY CUSIP: 912828TY6				
UNITED STATES TREAS NTS	05/15/23	165,000.000	100.3870	165,638.55 372.84
FIXED COUPON MOODYS Aaa SEMIANNUALLY CUSIP: 912828VB3				
UNITED STATES TREAS NTS	11/15/23	175,000.000	104.0820	182,143.50 621.39
NOTE FIXED COUPON MOODYS Aaa SEMIANNUALLY CUSIP: 912828WE6				
UNITED STATES TREAS NTS	02/15/24	125,000.000	104.3090	130,386.25 1,298.40
FIXED COUPON MOODYS Aaa SEMIANNUALLY CUSIP: 912828B66				
UNITED STATES TREAS NTS	05/15/24	80,000.000	103.4730	82,778.40 258.24
FIXED COUPON MOODYS Aaa SEMIANNUALLY CUSIP: 912828WJ5				
UNITED STATES TREAS NTS	05/15/26	120,000.000	98.9880	118,785.60 251.79
NOTE FIXED COUPON MOODYS Aaa SEMIANNUALLY CUSIP: 912828R36				
UNITED STATES TREAS NTS	08/15/26	75,000.000	98.0660	73,549.50 424.93
NOTE FIXED COUPON MOODYS Aaa SEMIANNUALLY CUSIP: 912828A7				
UNITED STATES TREAS NTS	05/15/28	175,000.000	107.7070	188,487.25 649.64
NOTE FIXED COUPON MOODYS Aaa SEMIANNUALLY CUSIP: 9128284N7				

Holdings

Bonds (continued)

Description	Maturity	Quantity	Price Per Unit	Total Market Value Accrued Interest (AI)
US Treasury/Agency Securities (continued)				
UNITED STATES TREAS NTS NOTE FIXED COUPON MOODYS Aaa SEMIANNUALLY CUSIP: 9128285M8	11/15/28	90,000.000	110.0270	99,024.30 363.15
UNITED STATES TREAS NTS NOTE FIXED COUPON MOODYS Aaa SEMIANNUALLY CUSIP: 9128286T2	05/15/29	95,000.000	104.0120	98,811.40 291.33
Total US Treasury/Agency Securities (40% of account holdings)				\$1,983,094.10

US Government Obligations

Total US Treasury and Agency Securities:	\$1,983,094.10
Total Municipal Securities:	989,993.35
Total US and State Government Obligations:	\$2,973,087.45

Holdings

Stocks

Description	Quantity	Price Per Unit	Total Market Value
Common Stock			
DAIMLER AG ORD NPV REGD ISIN #DE0007100000 SEDOL #2307389 (DDAIF)	379.000	\$54.4800	\$20,647.92
DEUTSCHE BANK AG ORD NPV (REGD)(DB)	967.000	7.7800	7,523.26
ADIENT PLC COM USD0.001(ADNT)	44.000	21.2500	935.00
ALLERGAN PLC. COM USD0.0001(AGN)	66.000	191.1700	12,617.22
AON PLC COM USD0.01 CL A(AON)	122.000	208.2900	25,411.38
ACCENTURE PLC CLS A USD0.0000225(ACN)	188.000	210.5700	39,587.16
CHINA YUCHAI INTL LTD COM(CYD)	290.000	13.3300	3,865.70
COCA-COLA EUROPEAN PARTNERS PLC COM EUR0.01 (CCEP)	3.000	50.8800	152.64
EATON CORP PLC COM(ETN)	98.000	94.7200	9,282.56
GENPACT LIMITED COM STK USD0.01(G)	622.000	42.1700	26,229.74
INGERSOLL-RAND PLC SHS USD1(IR)	146.000	132.9200	19,406.32
JOHNSON CONTROLS INTERNATIONAL PLC COM USD1.00 (JCI)	392.000	40.7100	15,958.32
LINDE PLC COM EUR0.001(LIN)	95.000	212.9000	20,225.50
MEDTRONIC PLC USD0.0001(MDT)	247.000	113.4500	28,022.15
PARAGON OFFSHORE PLC COM USD0.01 NO STOCKHOLDER EQUITY 07/18/2017	130.000	-	unavailable
APTIV PLC COM USD0.01 ISIN #JE00B783TY65 SEDOL #B783TY6 (APTV)	92.000	94.9700	8,737.24
NABORS INDUSTRIES LTD SHS(NBR)	691.000	2.8800	1,990.08

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Common Stock (continued)			
SINA CORPORATION (CAY) COM USD0.133 (SINA)	147.000	39.9300	5,869.71
TECHNIPFMC PLC USD1(FTI)	667.000	21.4400	14,300.48
VALARIS PLC COM USD0.10 CL A(VAL)	201.000	6.5600	1,318.56
ALCON INC (ALC)	86.000	56.5700	4,865.02
CHUBB LIMITED COM NPV ISIN #CH0044328745 (CB)	173.000	155.6600	26,929.18
TE CONNECTIVITY LTD REG SHS (TEL)	193.000	95.8400	18,497.12
TRANSOCEAN LIMITED COM CHF0.10(RIG)	2,864.000	6.8800	19,704.32
CHECK POINT SOFTWARE TECHNOLOGIES ORD ILS0.01 (CHKP)	95.000	110.9600	10,541.20
AERCAP HOLDINGS N.V. EUR0.01(AER)	223.000	61.4700	13,707.81
ASML HOLDING NV EUR0.09 NY REGISTRY SHS 2012 (ASML)	100.000	295.9400	29,594.00
CNH INDL N V SHS(CNHI)	423.000	11.0000	4,653.00
CORE LABORATORIES NV ORD EUR0.02 (CLB)	183.000	37.6700	6,893.61
FERRARI N V EUR0.01 NEW(RACE)	116.000	165.5400	19,202.64
FIAT CHRYSLER AUTOMOBILES NV EUR0.01 (FCAU)	878.000	14.6900	12,897.82
LYONDELLBASELL INDUSTRIES N V COM USD0.01 CLASS A (LYB)	19.000	94.4800	1,795.12
NXP SEMICONDUCTORS N V(NXPI)	133.000	127.2600	16,925.58
AAC TECHNOLOGIES HOLDINGS INC UNSP ADR EACH REP 1 ORD SHS (AACAY)	1,370.000	8.7270	11,955.99
ABB LTD SPONSORED ADR(ABB)	184.000	24.0900	4,432.56
ACS ACTIV DE CONSTRUC Y SERV UNSPONSORED ADR (ACSAY)	2,063.000	8.0030	16,510.18
AFLAC INC COM USD0.10(AFL)	405.000	52.9000	21,424.50
AES CORP COM USD0.01(AES)	94.000	19.9000	1,870.60
AIA GROUP LTD SPONSORED ADR(AAGIY)	1,215.000	41.9930	51,021.49
A.P. MOLLER - MAERSK UNSP ADR EACH REP 0.005 SHS (AMKBY)	1,400.000	7.2170	10,103.80

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Common Stock (continued)			
AT&T INC COM USD1 (T)	1,778.000	39.0800	69,484.24
ASE TECHNOLOGY HOLDING CO LTD SPON ADS EACH REP 2 ORD SHS (ASX)	2,085.000	5.5600	11,592.60
AU OPTRONICS CORPORATION SPON ADS EACH REP 10 ORD SHS TWD10 (AUOTY)	3,175.000	3.2700	10,382.25
ABBOTT LABORATORIES (ABT)	507.000	86.8600	44,038.02
ABBVIE INC COM USD0.01 (ABBV)	424.000	88.5400	37,540.96
ACTIVISION BLIZZARD INC COM USD0.000001 (ATVI)	201.000	59.4200	11,943.42
ADECCO GROUP AG UNSP ADR EACH REPR 0.50 ORD (AHEXY)	480.000	31.6110	15,173.28
ADIDAS AG ADR EA REP 1/2 ORD NPV (ADDYY)	166.000	162.6500	26,999.90
ADOBE INC COM (ADBE)	165.000	329.8100	54,418.65
ADVANSIX INC COM (ASIX)	13.000	19.9600	259.48
AGILENT TECHNOLOGIES INC COM USD0.01 (A)	204.000	85.3100	17,403.24
AGNICO EAGLE MINES LTD COM ISIN #CA0084741085 SEDOL #2009823 (AEM)	282.000	61.6100	17,374.02
AGRICULTURAL BANK-UNSPON ADR (ACGBY)	663.000	11.0050	7,296.31
AIR LIQUIDE(L) ADR-EACH CNV INTO 1/5 EUR11 (AIQUY)	694.000	28.3320	19,662.40
AIR PRODUCTS & CHEM (APD)	92.000	234.9900	21,619.08
AIRBUS SE UNSPON ADR EA REPR 0.25 ORD EUR1 (EADSY)	868.000	36.6160	31,782.68
AKZO NOBEL NV SPON ADS EACH REP 1/3 ORD SHS(R/S) (AKZOY)	482.000	33.9140	16,346.54
ALCOA CORP COM (AA)	91.000	21.5100	1,957.41
ALEXION PHARM INC. (ALXN)	3.000	108.1500	324.45
ALIBABA GROUP HOLDING LTD SPON ADS EACH REP 8 ORD SHS (BABA)	401.000	212.1000	85,052.10
ALLIANT ENERGY CORP COM USD0.01 (LNT)	404.000	54.7200	22,106.88

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Common Stock (continued)			
ALLIANZ SE ADR EACH REP 1/10 ORD SH (AZSEY)	1,240.000	24.5150	30,398.60
ALLSTATE CORP COM USD0.01(ALL)	174.000	112.4500	19,566.30
ALPHABET INC CAP STK CL A(GOOG)	151.000	1,339.3900	202,247.89
ALTRIA GROUP INC(MO)	533.000	49.9100	26,602.03
ALUMINUM CORP OF CHINA ADR EACH REPR	685.000	8.6900	5,952.65
25 H SHS CNY1(SPON) (ACH)			
AMADEUS IT GROUP S A UNSPONSORED ADS (AMADY)	287.000	81.7180	23,453.06
AMAZON.COM INC (AMZN)	110.000	1,847.8400	203,262.40
AMERICA MOVIL SAB DE(AMX)	150.000	16.0000	2,400.00
AMERICAN EXPRESS CO COM USD0.20(AXP)	90.000	124.4900	11,204.10
AMERICAN INTL GROUP INC COM NEW(AIG)	213.000	51.3300	10,933.29
AMERICAN WATER WORKS COMPANY INC COM	249.000	122.8500	30,589.65
USD0.01 (AWK)			
AMERISOURCEBERGEN CORPORATION COM	53.000	85.0200	4,506.06
USD0.01 (ABC)			
AMETEK INC COM USD0.01(AME)	69.000	99.7400	6,882.06
AMGEN INC (AMGN)	175.000	241.0700	42,187.25
ANALOG DEVICES INC COM USD0.16 2/3 (ADI)	170.000	118.8400	20,202.80
ANGLO AMERICAN SPON ADR EACH REP 0.5 ORD (NGLOY)	402.000	14.3930	5,785.98
ANGLOGOLD ASHANTI LTD SPON ADR EACH REP 1 ORD SHS (AU)	89.000	22.3400	1,988.26
ANHEUSER-BUSCH INBEV SA/NV ADR EAH REP 1	152.000	82.0400	12,470.08
ORD NPV (BUD)			
ANHUI CONCH CEMENT UNSP ADR EACH REP 5	455.000	36.4490	16,584.29
CL H (AHCHY)			
ANTHEM INC COM (ANTM)	97.000	302.0300	29,296.91

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Common Stock (continued)			
APERGY CORP COM (APY)	245.000	33.7800	8,276.10
APPLE INC COM USD0.00001 (AAPL)	1,113.000	293.6500	326,832.45
APPLIED MATERIALS INC COM USD0.01 (AMAT)	201.000	61.0400	12,269.04
ARCELORMITTAL NY REGISTRY SHS NEW(POST REV SPLIT) (MT)	82.000	17.5400	1,438.28
ARCHER DANIELS MIDLAND (ADM)	189.000	46.3500	8,760.15
ARCHROCK INC COM (AROC)	5.000	10.0400	50.20
ARCONIC INC COM (ARNC)	164.000	30.7700	5,046.28
ASAHI KASEI CORP UNSP ADR EACH REP 2 ORD SHS (AHKSY)	362.000	22.7100	8,221.02
ASSA ABLOY UNSP ADR EA REPR 0.50 ORD SHS (ASAZY)	1,864.000	11.6970	21,803.20
ASSOCIATED BRITISH FOODS ADR EACH CNV INTO 1 ORD GBP0.0568 (ASBFY)	287.000	34.4170	9,877.67
ASSURANT INC (AIZ)	84.000	131.0800	11,010.72
ASTELLAS PHARMA UNSP ADR EACH REPR 1 ORD (ALPMY)	681.000	17.2070	11,717.96
ASTRAZENECA ADR EACH REP 1 ORD USD0.25 MGT (AZN)	748.000	49.8600	37,295.28
ATLAS COPCO AB SP ADR A NEW (ATLKY)	649.000	39.9100	25,901.59
ATMOS ENERGY CORP COM NPV (ATO)	228.000	111.8600	25,504.08
AUSTRALIA & NEW ZEALAND BANK GROUP SPON ADR NPV (1 FOR 1 SHS) (ANZBY)	833.000	17.3140	14,422.56
AUTOMATIC DATA PROCESSING INC COM USD0.10 (ADP)	151.000	170.5000	25,745.50
AUTOZONE INC COM USD0.01 (AZO)	14.000	1,191.3100	16,678.34
AVANOS MED INC COM (AVNS)	13.000	33.7000	438.10
AVIVA SPON ADR EA REPR 2 ORD SHS (AVVIY)	1,774.000	11.0930	19,678.98
AXA ADS-EACH REP 1 ORD EUR2.29 (AXAHY)	612.000	28.1860	17,249.83
BAE SYSTEMS SPON ADR EA REP 4 ORD GBP.0.025(MGN JP) (BAESY)	343.000	29.9290	10,265.64

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Common Stock (continued)			
BASF SE SPON ADR EACH REP 0.25 ORD SHS (BASFY)	608.000	18.9000	11,491.20
BCE INC COM NEW ISIN #CA05534B7604 SEDOL #B188TH2 (BCE)	54.000	46.3500	2,502.90
BNP PARIBAS SPONSORED ADR (BNPQY)	410.000	29.6510	12,156.91
BYD CO UNSP ADR EACH REPR 2 ORD (BYDDY)	618.000	9.9720	6,162.69
BAIDU INC SPON ADS EACH REP 0.1 ORD SHS (BIDU)	79.000	126.4000	9,985.60
BAKER HUGHES COMPANY CL A (BKR)	732.000	25.6300	18,761.16
BANCO BILBAO VIZCAYA ARGENTARI SPONSORED ADR (BBVA)	3,682.000	5.5800	20,545.56
BANCO DO BRASIL SA SPONS ADR EA REPR 1 ORD SHS (BDORY)	1,051.000	13.1310	13,800.68
BANCO SANTANDER SA ADR EACH 1 REPR 1 ORD EUR0.50 (SAN)	3,168.000	4.1400	13,115.52
BANK OF AMERICA CORP (BAC)	2,381.000	35.2200	83,858.82
BANK OF MONTREAL COM NPV ISIN #CA0636711016 SEDOL #2076009 (BMO)	97.000	77.5000	7,517.50
BANK OF NEW YORK MELLON CORP COM USD0.01 (BK)	262.000	50.3300	13,186.46
BANK OF NOVA SCOTIA COM NPV ISIN #CA0641491075 SEDOL #2076281 (BNS)	730.000	56.4900	41,237.70
BANK OZK COM (OZK)	390.000	30.5050	11,896.95
BANK OF CHINA ADR (BACHY)	1,343.000	10.6840	14,348.61
BARCLAYS PLC ADR-EACH CV INTO 4 ORD STK GBP0.25 JPM (BCS)	1,340.000	9.5200	12,756.80
BARRICK GOLD CORP COM NPV ISIN #CA0679011084 SEDOL #2024644 (GOLD)	460.000	18.5900	8,551.40
BASIC ENERGY SVCS INC NEW COM NEW (BASX)	1.000	0.2650	0.26
BAYER AG SPON (BAYRY)	680.000	20.4320	13,893.76

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Common Stock (continued)			
BECTON DICKINSON & CO COM USD1.00 (BDX)	101.000	271.9700	27,468.97
BHP GROUP LTD SPON ADS EACH REP 2 ORD SHS (BHP)	1,025.000	54.7100	56,077.75
BIOGEN INC COM USD0.0005 (BIIB)	21.000	296.7300	6,231.33
BLACK HILLS CORP (BKH)	176.000	78.5400	13,823.04
BLACKROCK INC COM USD0.01 (BLK)	39.000	502.7000	19,605.30
BOC HONG KONG (HLDGS) LTD SPONS ADR EACH REP 20 ORD HKD5 (BHKLY)	106.000	69.4320	7,359.79
BOEING CO COM USD5.00 (BA)	145.000	325.7600	47,235.20
BOOKING HLDGS INC COM (BKNG)	13.000	2,053.7300	26,698.49
BOSTON SCIENTIFIC CORP (BSX)	528.000	45.2200	23,876.16
BRAMBLES LTD SPON ADR EACH REP 2 ORD SHS (BxBLY)	386.000	16.4770	6,360.12
BRASKEM S.A. SPON ADR EACH RP 2 PFD A SHS (BAK)	243.000	14.8000	3,596.40
BRIDGESTONE CORP ADR EACH CNV 0.5 ORD NPV (BRDCY)	740.000	18.7260	13,857.24
BRISTOL-MYERS SQUIBB CO COM USD0.10 (BMY)	539.000	64.1900	34,598.41
BRITISH AMERICAN TOBACCO LVL II ADR EACH REP 1 ORD GBP0.25 BNY (BTI)	585.000	42.4600	24,839.10
BROADCOM INC COM (AVGO)	100.000	316.0200	31,602.00
BROWN-FORMAN CORP COM USD0.15 CLASS B (BFB)	117.000	67.6000	7,909.20
BUNZL SPON ADR EACH REP 1 ORD (BZLFY)	459.000	27.3560	12,556.40
BURBERRY GROUP SPON ADR EACH REP 2 ORD SHS (BURBY)	213.000	29.2110	6,221.94
CAE INC COM ISIN #CA1247651088 SEDOL #2162760 (CAE)	648.000	26.4600	17,146.08
CBRE GROUP INC COM USD0.01 CLASS A (CBRE)	266.000	61.2900	16,303.14

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Common Stock (continued)			
CDK GLOBAL INC COM USD0.01 (CDK)	50.000	54.6800	2,734.00
CGI INC COM NPV SUBORD VOTING SHARES CLASS A ISIN #CA12532H1047 SEDOL #BJ2L575 (GIB)	354.000	83.7100	29,633.34
CIGNA CORP NEW COM (CI)	107.000	204.4900	21,880.43
CK HUTCHISON HOLDINGS LIMITED UNSP ADR EACH REPR 1 ORD (CKHUY)	694.000	9.5360	6,617.98
CME GROUP INC COM (CME)	126.000	200.7200	25,290.72
CMS ENERGY CORP COM USD0.01 (CMS)	392.000	62.8400	24,633.28
CPFL ENERGIA SA SPON ADR EA REP 2 ORD NPV (CPL)	35.000	17.2400	603.40
CRH SPON ADR EA REPR 1 ORD SHS EUR0.32 (CRH)	463.000	40.3300	18,672.79
CSL LTD-SPONSORED ADR (CSLLY)	430.000	96.9230	41,676.89
CSX CORP COM USD1 (CSX)	351.000	72.3600	25,398.36
CVS HEALTH CORPORATION COM USD0.01 (CVS)	193.000	74.2900	14,337.97
CANADIAN IMPERIAL BANK OF COMMERCE COM NPV ISIN #CA1360691010 SEDOL #2170525 (CM)	16.000	83.2000	1,331.20
CANADIAN NATL RY CO COM ISIN #CA1363751027 SEDOL #2180632 (CNI)	354.000	90.4500	32,019.30
CANADIAN PACIFIC RAILWAY COMPANY COM NPV ISIN #CA13645T1003 SEDOL #2793115 (CP)	41.000	254.9500	10,452.95
CANON INC SPONSORED ADR (CAJ)	346.000	27.3500	9,463.10
CAPGEMINI UNSPON ADR EACH REPR 0.2 ORD SHS (CGEMY)	815.000	24.4480	19,925.12
CAPITAL ONE FINANCIAL CORP COM USD0.01 (COF)	110.000	102.9100	11,320.10
CARLSBERG AS SPON ADR EACH REP 1/5 ORD (CABGY)	340.000	29.8570	10,151.38
CARNIVAL PLC ADS EACH REP 1 ORD USD1.66 (CUK)	231.000	48.1600	11,124.96

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Common Stock (continued)			
CARREFOUR SA SPON ADR EACH REPR 1/5 SHS (CRRFY)	85.000	3.3560	285.26
CATERPILLAR INC COM USD1.00(CAT)	127.000	147.6800	18,755.36
CELANESE CORP DEL COM(CE)	186.000	123.1200	22,900.32
CENTERPOINT ENERGY INC(CNP)	423.000	27.2700	11,535.21
CENTRICA SPON ADR EACH REPR 4 ORD GBP0.06172839 (CPYYY)	1,372.000	4.7320	6,492.30
CENTURYLINK INC COM USD1.00(CTL)	8.000	13.2100	105.68
CERNER CORP COM USD0.01(CERN)	77.000	73.3900	5,651.03
CHARTER COMMUNICATIONS INC NEW CL A (CHTR)	47.000	485.0800	22,798.76
CHEMOURS CO COM(CC)	80.000	18.0900	1,447.20
CHINA CONSTRUCTION BANK UNSP ADR EACH REPR 20 ORD (CICHY)	1,656.000	17.2750	28,607.40
CHINA LIFE INSURANCE CO ADR EACH REP 5 ORD H CNY1 (LFC)	775.000	13.8300	10,718.25
CHINA MOBILE LTD SPON ADR REP 5 ORD HKD0.10 (CHL)	342.000	42.2700	14,456.34
CHINA TELECOM CORPORATION SPONS ADR EACH REPR 100 H COM CNY1 (CHA)	124.000	41.1900	5,107.56
CHINA MERCHANTS BANK CO LTD UNSP ADR EACH REPR 5 ORD H SHS (CIHKY)	470.000	25.7000	12,079.00
CHUNGHWA TELECOM ADR EA REPR 10 ORD TWD10(CTH)	458.000	36.9000	16,900.20
CISCO SYS INC COM(CSCO)	1,193.000	47.9600	57,216.28
CITIGROUP INC COM NEW(C)	697.000	79.8900	55,683.33
COCA COLA CO(KO)	1,089.000	55.3500	60,276.15
COCA-COLA HBC AG UNSPON ADR EACH REP 1 ORD (CCHGY)	448.000	33.8660	15,171.96

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Common Stock (continued)			
COGNIZANT TECHNOLOGY SOLUTIONS CORP COM	243.000	62.0200	15,070.86
CL A USD0.01 (CTSH)			
COLGATE-PALMOLIVE CO COM USD1.00(CL)	115.000	68.8400	7,916.60
COLLIERS INTL GROUP INC SUB-VTG COM NPV	176.000	77.9700	13,722.72
ISIN #CA1946931070 SEDOL #BYL7SB4 (CIGI)			
COMCAST CORP NEW CL A(CMCSA)	1,276.000	44.9700	57,381.72
COMMERZBANK A G SPONSORED ADR NE (CRZBY)	1,010.000	6.1930	6,254.93
COMMONWEALTH BANK OF AUSTRALIA SPON ADR	519.000	56.1660	29,150.15
EACH REPR 1 ORD (CMWAY)			
CIA SANEAMENTO BASICO DE SAO PAULO SPONS ADR EACH REPR 1 COM NPV (SBS)	411.000	15.0500	6,185.55
COMPASS GROUP PLC SPON ADR EACH REP 1	743.000	25.0380	18,603.23
ORD SHS (POST SPLT) (CMPGY)			
COMPASS MINERALS INTL INC(CMP)	41.000	60.9600	2,499.36
CONAGRA BRANDS INC(CAG)	279.000	34.2400	9,552.96
CONSTELLATION BRANDS INC COM USD0.01 CLASS A (STZ)	92.000	189.7500	17,457.00
CONTINENTAL AG SPON ADS EACH REP 0.1 ORD	500.000	12.9380	6,469.00
SHS (CTTAY)			
CORNING INC (GLW)	549.000	29.1100	15,981.39
CORTEVA INC COM (CTVA)	216.000	29.5600	6,384.96
COSTCO WHOLESALE CORP COM USD0.01 (COST)	154.000	293.9200	45,263.68
CROWN HLDGS INC COM(CCK)	56.000	72.5400	4,062.24
CUMMINS INC (CMI)	18.000	178.9600	3,221.28
DBS GROUP HLDGS LTD SPONSORED ADR (DBSDY)	358.000	76.9870	27,561.34
DNB ASA SPON ADR EACH REP 10 ORD SHS (DNHBY)	1,115.000	18.6630	20,809.24

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Common Stock (continued)			
DTE ENERGY HOLDING CO (DTE)	155.000	129.8700	20,129.85
DXC TECHNOLOGY CO COM (DXC)	65.000	37.5900	2,443.35
DAIKIN INDUSTRIES UNSP ADS EACH REP 0.1 ORD SHS (DKILY)	1,270.000	14.2170	18,055.59
DAIICHI SANKYO CO LTD SPON ADR LEV 1 (DSNKY)	257.000	66.5100	17,093.07
DAIWA HOUSE IND LTD ADR (DWAHY)	251.000	31.1940	7,829.69
DANAHER CORPORATION COM (DHR)	254.000	153.4800	38,983.92
DANONE ADR-EA CNV INTO 1/5 EUR0.25(CBK) (DANOY)	840.000	16.5910	13,936.44
DASSAULT SYSTEMES SPONS ADR REPR 1 ORD EUR1 (DASTY)	100.000	164.5020	16,450.20
DEERE & COMPANY (DE)	119.000	173.2600	20,617.94
DELL TECHNOLOGIES INC CL C (DELL)	133.000	51.3900	6,834.87
DEUTSCHE BOERSE UNSPONSORD ADR (DBOEY)	1,440.000	15.7320	22,654.08
DEUTSCHE TELEKOM AG SPON ADS EACH REPR 1 ORD NPV (DTEGY)	103.000	16.3550	1,684.56
DEUTSCHE POST AG SPONS ADR EA REPR 1 ORD SHS (DPSGY)	339.000	38.1760	12,941.66
DIAGEO ADR EACH REPR 4 ORD GBX28.935185 (DEO)	164.000	168.4200	27,620.88
DISNEY WALT CO (DIS)	486.000	144.6300	70,290.18
DISCOVERY INC COM SER C (DISCK)	163.000	30.4900	4,969.87
DISH NETWORK CORPORATION COM USD0.01 CLASS A (DISH)	37.000	35.4700	1,312.39
DISCOVER FINL SVCS (DFS)	130.000	84.8200	11,026.60
DR. REDDY S LABORATORIES-ADR (RDY)	296.000	40.5800	12,011.68
DOLLAR GEN CORP NEW COM (DG)	119.000	155.9800	18,561.62
DOW INC COM (DOW)	216.000	54.7300	11,821.68
DUPONT DE NEMOURS INC COM (DD)	216.000	64.2000	13,867.20
E ON SE SPONSORED ADR (EONGY)	637.000	10.6910	6,810.16
EAST JAPAN RAILWAY CO ADR (EJPRY)	1,308.000	15.1190	19,775.65

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Common Stock (continued)			
EBAY INC (EBAY)	414.000	36.1100	14,949.54
ECOLAB INC (ECL)	110.000	192.9900	21,228.90
EISAI LTD SPONSORED ADR (ESALY)	107.000	75.4910	8,077.53
ELECTRONIC ARTS (EA)	85.000	107.5100	9,138.35
EMBRAER S A SPONSORED ADR REPSTG PFD SHS (ERJ)	166.000	19.4900	3,235.34
EMERSON ELECTRIC CO (EMR)	56.000	76.2600	4,270.56
ENEL AMERICAS SA EACH REPR 50 COM NPV (SPON) ISIN #US29274F1049 SEDOL #2314947 (ENIA)	169.000	10.9800	1,855.62
ENEL CHILE SA SPON ADR EACH REPR 50 ORD (ENIC)	765.000	4.7500	3,633.75
ESSILORLUXOTTICA UNSPONSORED ADR (ESLOY)	174.000	76.2180	13,261.93
ESSITY AB SPON ADR EACH REP 1 SHS (ESSYY)	478.000	32.2400	15,410.72
EVERSOURCE ENERGY COM (ES)	258.000	85.0700	21,948.06
EXELON CORP COM NPV (EXC)	191.000	45.5900	8,707.69
EXPERIAN PLC SPON ADR EACH REP 1 ORD SHS (EXPGY)	702.000	33.8080	23,733.21
FMC CORP COM USD0.10 (FMC)	55.000	99.8200	5,490.10
FACEBOOK INC-CLASS A (FB)	615.000	205.2500	126,228.75
FANUC CORPORATION UNSP ADR EACH REP 0.10 ORD NPV (FANUY)	610.000	18.7070	11,411.27
FAST RETAILING CO LTD UNSPONSORD ADR (FRCOY)	220.000	59.8110	13,158.42
FASTENAL COM STK USD0.01 (FAST)	182.000	36.9500	6,724.90
FEDEX CORP COM USD0.10 (FDX)	104.000	151.2100	15,725.84
FERGUSON PLC SPON ADS EACH REP 0.1 ORD SHS (FERGY)	2,115.000	9.0750	19,193.62
51JOB INC SPON ADS EACH REP 1 ORD SHS (JOBS)	123.000	84.9000	10,442.70

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Common Stock (continued)			
FIRSTSERVICE CORP COM NPV ISIN #CA33767E2024 SEDOL #BJMKSJ5 (FSV)	216.000	93.0400	20,096.64
FOMENTO ECONOMICO MEXICANO SAB DE C SPON ADR RP 10 UTS(1B&2D-B&2 D-L (FMX)	6.000	94.5100	567.06
FORD MTR CO DEL COM (F)	945.000	9.3000	8,788.50
FORTIVE CORP COM (FTV)	127.000	76.3900	9,701.53
FOX CORP CL A COM (FOXA)	151.000	37.0700	5,597.57
FREEMPORT-MCMORAN INC COM USD0.10 (FCX)	365.000	13.1200	4,788.80
FUCHS PETROLUB SE UNSP ADR EA REPR 1/4 PRF SHS (FUPBY)	776.000	12.3920	9,616.19
GEA GROUP AG SPON ADR EACH REPR 10RD (GEAGY)	312.000	33.0910	10,324.39
GARRETT MOTION INC COM USD0.001(GTX)	33.000	9.9900	329.67
GEELY AUTOMOBILE HOLDINGS LIMITED UNSP ADR EA REP 20 ORD SHS HKD0.02 (GELYY)	234.000	39.1180	9,153.61
GENERAL DYNAMICS CORP COM USD1.00 (GD)	35.000	176.3500	6,172.25
GENERAL ELECTRIC CO COM USD0.06(GE)	1,693.000	11.1600	18,893.88
GENERAL MILLS INC COM USD0.10(GIS)	122.000	53.5600	6,534.32
GENERAL MTRS CO COM (GM)	268.000	36.6000	9,808.80
GENTING BERHAD SPONSORED ADR (GEBHY)	764.000	7.3950	5,649.78
GERDAU SA SPONS ADR EACH REP 1 PRF SHS NPV (GGB)	497.000	4.9000	2,435.30
GILEAD SCIENCES INC COM USD0.001(GILD)	218.000	64.9800	14,165.64
GILDAN ACTIVEWEAR INC COM ISIN #CA3759161035 SEDOL #2254645 (GIL)	416.000	29.5300	12,284.48
GIVAUDAN SA ADR EACH 50 REPR 1 ORD (GVDNY)	400.000	62.6010	25,040.40
GLAXOSMITHKLINE PLC SPONSORED ADR (GSK)	771.000	46.9900	36,229.29

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Common Stock (continued)			
GLENCORE PLC UNSPONSORED ADR (GLNCY)	3,196.000	6.2360	19,930.25
GOLD FIELDS LTD SPON ADR EACH REPR 1 ORD	991.000	6.6000	6,540.60
ZAR0.50 LVL II (GFI)			
GOLDMAN SACHS GROUP INC COM USD0.01 (GS)	58.000	229.9300	13,335.94
GRAINGER W W INC COM USD0.50(GWW)	11.000	338.5200	3,723.72
GPO AEROPORTUARIO DEL PACIFICO SAB ADS EA REPR 10 SER B NPV (PAC)	87.000	118.6100	10,319.07
GRUPO AEROPORTUARIO DEL SUREST SPON ADR	32.000	187.3900	5,996.48
SER B (ASR)			
GRUPO FINANCIERO BANORTE S A B ADR (GBOOY)	336.000	27.9500	9,391.20
GRUPO AVAL ACCIONES Y VALORES SA SPON (AVAL)	2,869.000	8.7200	25,017.68
HCA HEALTHCARE INC COM(HCA)	122.000	147.8100	18,032.82
HDFC BANK LTD SPON ADS EACH REP 3 ORD	476.000	63.3700	30,164.12
SHS (HDB)			
HSBC HLDGS PLC SPON	746.000	39.0900	29,161.14
ADR NEW (HSBC)			
HP INC COM (HPQ)	526.000	20.5500	10,809.30
HANG LUNG PROPERTIES (HLP) SPON ADR-EACH	1,504.000	10.9730	16,503.39
1 CNV INTO 5 ORD HKD1 (HLPPY)			
HARTFORD FINANCIAL SERVICES GRP INC COM	228.000	60.7700	13,855.56
USD0.01 (HIG)			
HELMERICH &PAYNE INC COM USD0.10(HP)	331.000	45.4300	15,037.33
HENKEL AG&CO. KGAA SPON ADS EACH REP	440.000	23.5730	10,372.12
0.25 ORD SHS NPV (HENKY)			
HERC HLDGS INC COM(HRI)	27.000	48.9400	1,321.38
HEWLETT PACKARD ENTERPRISE CO COM (HPE)	767.000	15.8600	12,164.62

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Common Stock (continued)			
HEXAGON AB ADR EACH REPR 1 ORD SHS (HXGBY)	222.000	56.0840	12,450.64
HITACHI ADR EACH REPR 2 ORD NPV (HTHIY)	120.000	85.1350	10,216.20
HOME DEPOT INC COM (HD)	319.000	218.3800	69,663.22
HONDA MOTOR LTD AMERN SHS (HMC)	50.000	28.3100	1,415.50
HONEYWELL INTERNATIONAL INC COM USD1 (HON)	283.000	177.0000	50,091.00
HONGKONG &CHINA GAS CO. LTD. SPON ADR	5,401.000	1.9530	10,548.15
EACH REP 1 ORD SHS (HOKCY)			
HONG KONG EXCHANGES & CLEARING UNSP ADR	804.000	32.4700	26,105.88
EACH REPR 1 ORD (HKXCY)			
HOYA CORP SPONSORED ADR (HOCPY)	175.000	96.1580	16,827.65
HUANENG POWER INTERNATIONAL INC ADR EACH REP 40 H SHS CNY1 (HNP)	253.000	20.0900	5,082.77
HUMANA INC (HUM)	67.000	366.5200	24,556.84
IBERDROLA SA SPON ADR EACH REP 4 ORD (IBDRY)	661.000	41.2180	27,245.09
ICICI BANK LIMITED SPON ADR EACH REPR 2	1,375.000	15.0900	20,748.75
ORD SHS (IBN)			
IDACORP INC (IDA)	169.000	106.8000	18,049.20
ILLUMINA INC COM USD0.01 (ILMN)	59.000	331.7400	19,572.66
IMPERIAL BRANDS PLC SPON ADR EACH REPR 1	142.000	24.7600	3,515.92
ORD SH (IMBBY)			
INDITEX (IND.DE DISENO TEXTIL SA) UNSP ADR EACH REP 0.50 ORD (IDEXY)	788.000	17.6510	13,908.98
INFINEON TECHNOLOGIES AG SPON ADR EACH REP 1 ORD SHS (IFNNY)	705.000	22.7980	16,072.59
INFORMA PLC SPON ADR EACH REPR 2 ORD GBP4.35 (IFJPY)	624.000	22.7060	14,168.54
INFOSYS LTD SPON ADR EACH REP 1 ORD SHS (INFY)	1,578.000	10.3200	16,284.96

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Common Stock (continued)			
ING GROEP N.V. SPONS ADR-EACH REPR 1 ORD	499.000	12.0500	6,012.95
EUR0.24 (ING)			
INGEVITY CORP COM (NGVT)	74.000	87.3800	6,466.12
INTEL CORP COM USD0.001(INTC)	1,119.000	59.8500	66,972.15
INTERCONTINENTAL HOTELS GROUP SPON	213.000	68.6700	14,626.71
ADS EACH REPR 1 ORD SHS (IHG)			
INTERCONTINENTAL EXCHANGE INC COM	231.000	92.5500	21,379.05
USD0.01 (ICE)			
INTERNATIONAL BUS MACH CORP COM	204.000	134.0400	27,344.16
USD0.20 (IBM)			
INTERNATIONAL FLAVORS &FRAGRANCES	61.000	129.0200	7,870.22
COM USD0.125 (IFF)			
INTERNATIONAL PAPER CO COM USD1.00	69.000	46.0500	3,177.45
(IP)			
INTESA SANPAOLO S.P.A. SPON ADR EACH	156.000	15.8170	2,467.45
REP 6 ORD SHS (ISNPY)			
INTUITIVE SURGICAL INC COM NEW(ISRG)	42.000	591.1500	24,828.30
INTUIT COM (INTU)	105.000	261.9300	27,502.65
IONIS PHARMACEUTICALS INC	14.000	60.4100	845.74
COM (IONS)			
ITAU UNIBANCO HOLDINGS S.A. ADR	1,833.000	9.1500	16,771.95
EACH REPR 1 PRF NPV (ITUB)			
ITOCHU CORP ADR(ITOCY)	500.000	46.6440	23,322.00
JGC HOLDINGS CORPORATION UNSP ADS	236.000	32.2980	7,622.32
EACH REP 2 ORD SHS (JGCCY)			
JPMORGAN CHASE & CO COM USD1.00	878.000	139.4000	122,393.20
(JPM)			
JAMES HARDIE INDUSTRIES PLC SPON	556.000	19.6400	10,919.84
ADR EACH REP 1 CDI (JHX)			
JARDINE MATHESON HLDGS LTD	108.000	55.6000	6,004.80
UNSPONSORD			
ADR (JMHLY)			
JD.COM INC SPON ADS EACH REPR 2 ORD	435.000	35.2300	15,325.05
SHS			
CLASS A (JD)			

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Common Stock (continued)			
JOHNSON & JOHNSON COM USD1.00(JNJ)	680.000	145.8700	99,191.60
JULIUS BAER GRUPPE AG UNSP ADR EACH REP	1,445.000	10.3120	14,900.84
0.2 ORD SHS (JBAXY)			
KB FINANCIAL GROUP INC SPONSORED ADR (KB)	621.000	41.3700	25,690.77
KLX ENERGY SERVICES HOLDNGS INC COM (KLXE)	25.000	6.4400	161.00
KT CORP SPONSORED ADR(KT)	1,213.000	11.6000	14,070.80
KAISER ALUM CORP COM PAR \$0.01(KALU)	25.000	110.8900	2,772.25
KANSAS CITY SOUTHERN COM (KSU)	27.000	153.1600	4,135.32
KAO CORP UNSPON ADS EACH REP 0.20 ORD	1,460.000	16.6090	24,249.14
SHS (KAOOY)			
KASIKORNBANK PUB CO LTD UNSPONSORED ADR(KPCPY)	536.000	20.0980	10,772.52
KDDI CORP ADR(KDDIY)	1,406.000	14.9670	21,043.60
KEPPEL CORP SPON ADR-EACH CNV INTO 2 ORD NPV (KPELY)	962.000	10.0700	9,687.34
KEURIG DR PEPPER INC COM(KDP)	200.000	28.9500	5,790.00
KEYSIGHT TECHNOLOGIES INC COM USD0.01 WD (KEYS)	102.000	102.6300	10,468.26
KIMBERLY CLARK CORP COM USD1.25 (KMB)	107.000	137.5500	14,717.85
KIRIN HOLDINGS COMPANY LIMITED SPON ADR EACH REPR 1 ORD NPV (KNBWY)	250.000	21.9920	5,498.00
KOC HLDG ADR(KHOLY)	66.000	17.0730	1,126.81
KOMATSU SPON ADR EACH REP 1 ORD NPV SHS (KMTUY)	428.000	24.3110	10,405.10
KONINKLIJKE AHOLD DELHAIZE NV SPON ADR	866.000	25.0260	21,672.51
EACH REP 1 ORD SHS (POST SPLIT) (ADRNY)			
KONINKLIJKE PHILIPS N V NY REG SH NEW (PHG)	340.000	48.8000	16,592.00

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Common Stock (continued)			
KONTOOR BRANDS INC COM(KTB)	8.000	41.9900	335.92
KOREA ELECTRIC PWR SPONSORED ADR (KEP)	848.000	11.8300	10,031.84
KRAFT HEINZ CO COM (KHC)	436.000	32.1300	14,008.68
KUBOTA CORP ADR-EACH CNV INTO 5 ORD NPV (KUBTY)	194.000	79.4110	15,405.73
KYOCERA CORP SPON ADS EACH REP 1 ORD SHS (KYOCY)	257.000	68.8290	17,689.05
LG DISPLAY CO LTD SPONS ADR REP(LPL)	292.000	6.9400	2,026.48
L OREAL CO ADR(LRLCY)	555.000	59.2680	32,893.74
L3HARRIS TECHNOLOGIES INC COM(LHX)	63.000	197.8700	12,465.81
LVMH MOET HENNESSY VUITTON SE ADR EACH EACH CNV INTO 0.2 ORD EUR0.30 (LVMUY)	525.000	92.9880	48,818.70
LAM RESEARCH CORP COM USD0.001 (LRCX)	50.000	292.4000	14,620.00
LAMB WESTON HLDGS INC COM(LW)	139.000	86.0300	11,958.17
LAS VEGAS SANDS CORP COM USD0.001 (LVS)	43.000	69.0400	2,968.72
ESTEE LAUDER COMPANIES INC COM USD0.01 CLASS A (EL)	103.000	206.5400	21,273.62
ELI LILLY AND CO COM(LLY)	302.000	131.4300	39,691.86
LINCOLN NATIONAL CORP COM NPV(LNC)	86.000	59.0100	5,074.86
LIVENT CORP COM (LTHM)	51.000	8.5500	436.05
LLOYDS BANKING GROUP PLC SPONSORED ADR (LYG)	3,650.000	3.3100	12,081.50
LOCKHEED MARTIN CORP COM USD1.00 (LMT)	67.000	389.3800	26,088.46
LOEWS CORP COM USD0.01(L)	274.000	52.4900	14,382.26
LONZA GROUP AG UNSPONSORED ADR (LZAGY)	170.000	36.4740	6,200.58
LOWES COMPANIES INC COM USD0.50 (LOW)	242.000	119.7600	28,981.92

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Common Stock (continued)			
MMC NORILSK NICKEL PJSC SPON ADR EACH REPR 0.1 ORD SHS ISIN #US55315J1025 SEDOL #BYSW6D0 (NILSY)	723.000	30.5500	22,087.65
MACQUARIE GROUP LIMITED ADR EACH REPR 1 ORD (MQBKY)	155.000	96.9010	15,019.65
MANULIFE FINANCIAL CORP COM NPV ISIN #CA56501R1064 SEDOL #2492519 (MFC)	478.000	20.2900	9,698.62
MARRIOTT VACTINS WORLDWID CORP COM (VAC)	10.000	128.7600	1,287.60
MARSH &MCLENNAN COMPANIES INC COM USD1.00 (MMC)	230.000	111.4100	25,624.30
MARRIOTT INTERNATIONAL INC COM USD0.01 CLASS A (MAR)	113.000	151.4300	17,111.59
MARTIN MARIETTA MATERIALS INC COM USD0.01 (MLM)	38.000	279.6400	10,626.32
MASTERCARD INC CL A(MA)	270.000	298.5900	80,619.30
MCDONALDS CORP(MCD)	225.000	197.6100	44,462.25
MEDIOBANCA BANCA DI CREDITO FI UNSPONSORED ADR (MDIBY)	1,281.000	11.0160	14,111.49
MERCK & CO INC NEW COM(MRK)	701.000	90.9500	63,755.95
METHANEX CORP COM ISIN #CA59151K1084 SEDOL #2654416 (MEOH)	255.000	38.6300	9,850.65
MICHELIN COMPAGNIE GENERALE DE UNSPONSORD ADR (MGDDY)	275.000	24.4930	6,735.57
MICRO FOCUS INTERNATIONAL PLC DEPOSITARY RECEIPT (MFGP)	87.000	14.0300	1,220.61
MICROSOFT CORP (MSFT)	1,865.000	157.7000	294,110.50
MICRON TECHNOLOGY (MU)	227.000	53.7800	12,208.06
MITSUBISHI CORP SPONSORED ADR (MSBHY)	822.000	53.3700	43,870.14
MITSUBISHI ELEC CORP ADR(MIELY)	362.000	27.5780	9,983.23
MITSUBISHI ESTATE LTD ADR(MITEY)	448.000	19.2270	8,613.69
MITSUBISHI UFJ FINANCIAL GROUP INC SPON ADS EACH REP 1 ORD SHS (MUFG)	2,303.000	5.4300	12,505.29

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Common Stock (continued)			
MITSUMI & CO SPON ADR EACH REP 20 ORD SHS (MITSY)	188.000	358.1320	67,328.81
MIZUHO FINL GROUP INC SPONSORED ADR (MFG)	3,980.000	3.0900	12,298.20
MOHAWK INDUSTRIES COM USD0.01(MHK)	68.000	136.3800	9,273.84
MOLSON COORS BREWING COMPANY COM USD0.01	48.000	53.9000	2,587.20
CLASS B (TAP)			
MONDELEZ INTL INC COM NPV(MDLZ)	109.000	55.0800	6,003.72
MONSTER BEVERAGE CORP NEW COM (MNST)	224.000	63.5500	14,235.20
MOODY'S CORP COM USD0.01(MCO)	105.000	237.4100	24,928.05
MORGAN STANLEY COM USD0.01(MS)	128.000	51.1200	6,543.36
MOWI ASA SPON ADS EACH REP 1 ORD SHS (MHGVY)	200.000	25.9690	5,193.80
MULTICHOICE GROUP LTD SPON ADS EACH REP 1 ORD SHS (MCHOY)	160.000	8.3310	1,332.96
MUENCHENER RUECKVERSICHERUNGS AG UNSP ADR EACH REPR 0.10 ORD (MURGY)	220.000	29.5220	6,494.84
NTT DOCOMO INC SPON ADS EACH REP 1 ORD SHS (DCMY)	824.000	27.9550	23,034.92
NASPER SPON ADR EACH REP 0.2 CL N SHS(POST SPLT (NPSNY))	800.000	32.7640	26,211.20
NATIONAL AUSTRALIA BANK SPONS ADR EACH	1,662.000	8.6570	14,387.93
CNV INTO 1 NPV (NABZY)			
NATIONAL FUEL GAS CO N J COM(NFG)	566.000	46.5400	26,341.64
NATIONAL OILWELL VARCO INC(NOV)	698.000	25.0500	17,484.90
NESTLE S A SPONSORED ADR(NSRGY)	811.000	108.2050	87,754.25
NETFLIX COM INC COM(NFLX)	128.000	323.5700	41,416.96
NETEASE INC ADS EACH REPR 25 COM STK USD0.0001 (NTES)	52.000	306.6400	15,945.28
NEW ORIENTAL ED & TECHNOLOGY GP INC SPON ADS EA REP 1 ORD SHS (EDU)	138.000	121.2500	16,732.50

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Common Stock (continued)			
NEWMONT GOLDCORP CORPORATION COM ISIN #US6516391066 SEDOL #BJYKTV2 (NEM)	297.000	43.4500	12,904.65
NEXTERA ENERGY INC COM USD0.01(NEE)	48.000	242.1600	11,623.68
NIDEC CORPORATION(NJDCY)	776.000	34.5180	26,785.96
NIKE INC CLASS B COM NPV(NKE)	427.000	101.3100	43,259.37
NINTENDO CO LTD ADR(8 CNV INTO 1 ORD)NPV (NTDOY)	464.000	50.5750	23,466.80
NIPPON TEL & TEL CORP SPON ADR EACH REP 1 ORD SHS (NTTYY)	424.000	50.7380	21,512.91
NISOURCE INC COM USD0.01(NI)	312.000	27.8400	8,686.08
NISSAN MOTOR CO SPONS ADR(CNV INTO 2 ORD NPV) (NSANY)	543.000	11.7070	6,356.90
NITTO DENKO CORP ADR(NDEKY)	222.000	28.4330	6,312.12
NOKIA OYJ ADR EACH REPR 1 ORD NPV (NOK)	1,522.000	3.7100	5,646.62
NOMURA HLDGS INC SPONSORED ADR (NMR)	4,040.000	5.1600	20,846.40
NORFOLK SOUTHERN CORP COM USD1 (NSC)	115.000	194.1300	22,324.95
NORSK HYDRO ASA ADR-EACH CNV INTO 1 ORD NOK1.098 (NHYDY)	2,672.000	3.7150	9,926.48
NORTHERN TRUST CORP COM USD1.666 (NTRS)	98.000	106.2400	10,411.52
NORTHWESTERN CORP COM NEW(NWE)	140.000	71.6700	10,033.80
NORTONLIFELOCK INC COM(NLOK)	153.000	25.5200	3,904.56
NOVARTIS A G SPONSORED ADR(NVS)	431.000	94.6900	40,811.39
NOVO NORDISK A/S ADR-EACH CNV INTO 1 CLASS B DKK1 (NVO)	636.000	57.8800	36,811.68
NOVOZYMES A/S UNSP ADR EACH REP 1 SER B DKK10 (NVZMY)	165.000	48.9710	8,080.21
NVIDIA CORP(NVDA)	109.000	235.3000	25,647.70
NUTRIEN LTD COM NPV ISIN #CA67077M1086 SEDOL #BDRJLN0 (NTR)	312.000	47.9100	14,947.92

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Common Stock (continued)			
OGE ENERGY CORP COM USD0.01 (OGE)	23.000	44.4700	1,022.81
OREILLY AUTOMOTIVE INC NEW USD0.01 (ORLY)	42.000	438.2600	18,406.92
OCEANEERING INTERNATIONAL INC COM USD0.25 (OII)	108.000	14.9100	1,610.28
OLYMPUS CORPORATION ADR-EACH CNV INTO 1 ORD NPV (OCPNY)	508.000	15.5420	7,895.33
OMNICOM GROUP INC COM USD0.15 (OMC)	40.000	81.0200	3,240.80
OPEN TEXT CORP COM ISIN #CA6837151068 SEDOL #2260824 (OTEX)	508.000	44.0700	22,387.56
ORACLE CORP COM (ORCL)	569.000	52.9800	30,145.62
ORANGE-SPON ADR (ORAN)	841.000	14.5900	12,270.19
ORIX CORPORATION SPON ADR EACH REP 5 ORD NPV CIT (IX)	179.000	83.4100	14,930.39
ORKLA A S SPON ADR A (ORKLY)	1,368.000	10.1240	13,849.63
OTSUKA HLDGS CO LTD UNSP ADR EACH REP 0.5 ORD SHS (OTSKY)	708.000	22.4660	15,905.92
PLDT INC ADR EACH REP 1 ORD SHS (PHI)	537.000	19.9900	10,734.63
PNC FINANCIAL SERVICES GROUP COM USD5 (PNC)	155.000	159.6300	24,742.65
POSCO ADR EACH REP (PKX)	328.000	50.6200	16,603.36
BANK MANDIRI (PERSERO)	1,181.000	11.0570	13,058.31
UNSPON ADR EACH REP 20 ORD (PPERY)			
PANASONIC CORP ADR (PCRFY)	792.000	9.4730	7,502.61
PAYPAL HLDGS INC COM (PYPL)	333.000	108.1700	36,020.61
PEARSON SPONS ADR EACH REP 1 ORD GBP0.25 (PSO)	763.000	8.4300	6,432.09
PEPSICO INC (PEP)	287.000	136.6700	39,224.29
PERSPECTA INC COM (PRSP)	32.000	26.4400	846.08
TELEKOMUNIKASI INDONESIA (PERSERO)	518.000	28.5000	14,763.00
ADR EA REP 100 SER B IDR250 (TLK)			
PFIZER INC (PFE)	1,498.000	39.1800	58,691.64

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Common Stock (continued)			
PHILIP MORRIS INTERNATIONAL INC COM NPV (PM)	333.000	85.0900	28,334.97
PING AN INS GROUP CO CHINA LTD SPON ADR REP H (PNGAY)	1,138.000	23.6400	26,902.32
PINNACLE WEST CAPITAL CORP COM NPV (PNW)	86.000	89.9300	7,733.98
PORSCHE AUTOMOBIL HLDG SE UNSPONSORD ADR (POAHY)	360.000	7.4800	2,692.80
PRINCIPAL FINANCIAL GROUP (PFG)	90.000	55.0000	4,950.00
PROCTER & GAMBLE CO COM (PG)	650.000	124.9000	81,185.00
PROGRESSIVE CORP OHIO COM USD 1.00 (PGR)	267.000	72.3900	19,328.13
PROSUS N.V. SPON ADR EACH REP 1 ORD SHS (PROSY)	315.000	14.9360	4,704.84
PRUDENTIAL ADR EACH REPR 2 ORD GBP0.05 (PUK)	291.000	38.0900	11,084.19
PUBLIC SVC ENTERPRISE GRP INC COM (PEG)	250.000	59.0500	14,762.50
PUBLICIS S A NEW SPONSORED ADR (PUBGY)	432.000	11.3260	4,892.83
QBE INS GROUP LTD SPONSORED ADR (QBIEY)	437.000	9.0540	3,956.59
QUALCOMM INC (QCOM)	279.000	88.2300	24,616.17
QUANTA SERVICES COM USD0.00001 (PWR)	44.000	40.7100	1,791.24
RAYTHEON CO COM NEW (RTN)	81.000	219.7400	17,798.94
RECKITT BENCKISER GROUP PLC SPON ADR EACH REP 0.20 ORD SHS (RBGLY)	1,095.000	16.2390	17,781.70
RED ELECTRICA CORP UNSP ADR EACH REPR 0.5 ORD (RDEIY)	942.000	10.0600	9,476.52
REGENERON PHARMACEUTICALS (REGN)	21.000	375.4800	7,885.08

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Common Stock (continued)			
RELX PLC SPON ADS EACH REPR 1 ORD SHS	904.000	25.2700	22,844.08
GBP0.144 (RELX)			
RENTECH INC COM NEW	465.000	-	unavailable
RESIDEO TECHNOLOGIES INC COM(REZI)	55.000	11.9300	656.15
RESTAURANT BRANDS INTERNATIONAL INC	176.000	63.7700	11,223.52
COM NPV ISIN #CA76131D1033 SEDOL #BTF8CF0 (QSR)			
RIO TINTO ADR EACH REP 1 ORD(RIO)	164.000	59.3600	9,735.04
ROCHE HLDG LTD SPONSORED ADR (RHHBY)	1,264.000	40.5330	51,233.71
ROGERS COMMUNICATIONS INC	302.000	49.6700	15,000.34
CLASS B COM CAD1.62478 ISIN #CA7751092007 SEDOL #2169051 (RCI)			
ROPER TECHNOLOGIES INC(ROP)	81.000	354.2300	28,692.63
ROSS STORES INC COM (ROST)	184.000	116.4200	21,421.28
ROYAL BANK OF CANADA MONTREAL QUE COM NPV	303.000	79.2000	23,997.60
ISIN #CA7800871021 SEDOL #2754383 (RY)			
ROYAL BK SCOTLAND GROUP PLC SPONS ADR	1,668.000	6.4400	10,741.92
2 ORD (RBS)			
ROYAL DSM N V SPON ADR(RDSMY)	688.000	32.5810	22,415.72
S&P GLOBAL INC COM(SPGI)	132.000	273.0500	36,042.60
SEACOR MARINE HLDGS INC COM(SMHI)	145.000	13.7900	1,999.55
SK TELECOM LTD SPONSORED ADR(SKM)	423.000	23.1100	9,775.53
SSE PLC SPONSORED ADR(SSEZY)	455.000	19.0570	8,670.93
SAFRAN S A SPON ADR(SAFRY)	816.000	38.6280	31,520.44
SALESFORCE.COM INC COM USD0.001	209.000	162.6400	33,991.76
(CRM)			
SAMPO PLC UNSP ADR EACH REP 0.5 A SH (SAXPY)	180.000	21.8380	3,930.84
SANDS CHINA LTD UNSP ADR EA REPR 10 SHS (SCHYY)	23.000	53.4540	1,229.44
SANLAM ADR EACH REPR 2 SHS(SLLDY)	1,590.000	11.3130	17,987.67

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Common Stock (continued)			
SANOFI SPONSORED ADR (SNY)	378.000	50.2000	18,975.60
SAP SE SPON ADR (SAP)	297.000	133.9900	39,795.03
SASOL SPON ADR EACH CNV INTO 1 ORD NPV (SSL)	469.000	21.6100	10,135.09
SBERBANK OF RUSSIA SPON ADR EACH REPR 4 ORD SHS ISIN #US80585Y3080 SEDOL #B5SC091 (SBRCY)	1,559.000	16.4400	25,629.96
SCHLUMBERGER LIMITED COM USD0.01 (SLB)	829.000	40.2000	33,325.80
SCHNEIDER ELECTRIC SE UNSPONSORD ADR (SBGSY)	285.000	20.5420	5,854.47
THE CHARLES SCHWAB CORPORATION COM (SCHW)	358.000	47.5600	17,026.48
SEALED AIR CORP NEW(SEE)	210.000	39.8300	8,364.30
SECOM CO LTD - UNSP - ADR(SOMLY)	1,028.000	22.4910	23,120.74
SEKISUI HOUSE LTD SPONSORED ADR (SKHSY)	507.000	21.4720	10,886.30
SEMPRA ENERGY (SRE)	16.000	151.4800	2,423.68
SEVEN & I HLDGS CO LTD UNSPONSORD ADR (SVNDY)	352.000	18.4170	6,482.78
SGS SA UNSP ADR EACH REPR 0.01 ORD CHF1 (SGSOY)	300.000	27.3770	8,213.10
SHAW COMMUNICATIONS INC CL B CONV ISIN #CA82028K2002 SEDOL #2801836 (SJR)	312.000	20.2900	6,330.48
SHERWIN WILLIAMS CO(SHW)	52.000	583.5400	30,344.08
SHIN ETSU CHEM CO LTD ADR(SHECY)	260.000	27.7430	7,213.18
SHINHAN FINANCIAL GROUP CO LTD SPONS ADR EACH REP 2 ORD KRW5000 (SHG)	530.000	38.0700	20,177.10
SHISEIDO SPONSORED ADR(SSDOY)	199.000	71.6080	14,249.99
SIBANYE GOLD LIMITED SPON ADR EACH REP 4 ORD SHS (SBGL)	376.000	9.9300	3,733.68
SIEMENS AG SPON ADR EACH REP 1 ORD SHS (SIEGY)	342.000	65.4080	22,369.53

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Common Stock (continued)			
SILICON MOTION TECHNOLOGY CORP SPONS ADR EACH REP 4 COM USD0.01 (SIMO)	193.000	50.7100	9,787.03
SINGAPORE TELECOMMUNICATNS LTD SPON ADR NEW06 (SGAPY)	455.000	25.0620	11,403.21
SKANDINAVISKA ENSKILDA BANKEN SPONADR REPSHS A (SKVKY)	828.000	9.4090	7,790.65
SMITH & NEPHEW ADR EACH REPR 2 ORD (SNN)	224.000	48.0700	10,767.68
SMITHS GROUP PLC SPON ADR EA REPR 1 ORD SH (SMGZY)	129.000	22.3490	2,883.02
SMUCKER J M CO COM NEW (SJM)	55.000	104.1300	5,727.15
SOCIEDAD QUIMICA MINERA DE CHI SPON ADR SER B (SQM)	248.000	26.6900	6,619.12
SOCIETE GENERALE FRANCE SPONSORED ADR (SCGLY)	2,455.000	6.9630	17,094.16
SOFTBANK GROUP CORP UNSP ADR EACH REPR 0.50 ORD (SFTBY)	1,012.000	21.8820	22,144.58
SONIC HEALTHCARE SPON ADR EACH REP 1 ORD SHS (SKHHY)	286.000	20.2100	5,780.06
SONOVA HLDG AG UNSPONSORED ADR (SONVY)	200.000	45.7480	9,149.60
SONY CORP SPON ADR EACH REPR 1 ORD (SNE)	517.000	68.0000	35,156.00
SOUTHERN COPPER CORP DEL COM (SCCO)	245.000	42.4800	10,407.60
SOUTH32 LTD SPON ADR EA REPR 5 ORD (SOUHY)	623.000	9.4900	5,912.27
STANDARD BK GROUP LTD SPONSORED ADR (SGBLY)	1,199.000	12.0370	14,432.36
STANLEY BLACK & DECKER INC COM USD2.50 (SWK)	135.000	165.7400	22,374.90
STARBUCKS CORP COM USD0.001 (SBUX)	375.000	87.9200	32,970.00
STATE STR CORP COM (STT)	122.000	79.1000	9,650.20

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Common Stock (continued)			
STMICROELECTRONICS NY SHS-EACH REPR 1 ORD EUR1.04 (STM)	675.000	26.9100	18,164.25
STORA ENSO CORP SPON ADR REP R (SEOAY)	590.000	14.5530	8,586.27
STRYKER CORP (SYK)	139.000	209.9400	29,181.66
SUBSEA 7 S A SPONSORED ADR(SUBCY)	1,427.000	11.9430	17,042.66
SUMITOMO MITSUI FINL GROUP INC SPONSORED ADR (SMFG)	805.000	7.4100	5,965.05
SUMITOMO MITSUI TRUST HOLDINGS INC SPON ADR EA REPR 0.1 ORD SHS (SUTNY)	635.000	3.9910	2,534.28
SUN HUNG KAI PROPERTIES LTD SPND ADR EACH REPR 1 ORD HKD (SUHJY)	1,282.000	15.3110	19,628.70
SUN LIFE FINANCIAL INC COM NPV ISIN #CA8667961053 SEDOL #2566124 (SLF)	326.000	45.5700	14,855.82
SUNTORY BEVERAGE & FOOD LIMITED UNSPON ADR EACH REPR 0.5 ORD JPY0.00 (STBFY)	268.000	20.9110	5,604.14
SVENSKA CELLULOSA AKTIEBOLAGET SCA SPONSORED ADR EXCH ISIN US8695874029 (SVCBY)	478.000	10.1480	4,850.74
SUZANO SA SPON ADS EACH REP 1 ORD SHS (SUZ)	250.000	9.8400	2,460.00
SWATCH GROUP AGADR(SWGAY)	60.000	13.9410	836.46
SWEDBANK A B SPD ADR(SWDBY)	306.000	14.8970	4,558.48
SWIRE PACIFIC ADR EACH REP 1 A HKD0.60(BNY) (SWRAY)	1,010.000	9.2920	9,384.92
SWISS RE LTD SPONSORED ADR(SSREY)	872.000	28.0630	24,470.93
SYNCHRONY FINANCIAL COM USD0.001 (SYF)	415.000	36.0100	14,944.15
SYSCO CORP (SYY)	323.000	85.5400	27,629.42
SYSMEX CORP UNSPONSORED ADR (SSMXY)	272.000	34.2720	9,321.98
TJX COS INC NEW COM(TJX)	436.000	61.0600	26,622.16

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Common Stock (continued)			
TAIWAN SEMICONDUCTOR MANUFACTURING SPON	1,644.000	58.1000	95,516.40
ADS EACH REP 5 ORD TWD10 (TSM)			
TAKEDA PHARMACEUTICAL CO	883.000	19.7300	17,421.59
LTD SPON ADS EACH REP 0.5 ORD SHS (TAK)			
TAL EDUCATION GROUP SPON ADS EACH REP	327.000	48.2000	15,761.40
0.3333 CL A ORD SHS (TAL)			
TAPESTRY INC COM (TPR)	322.000	26.9700	8,684.34
TARGET CORP COM (TGT)	206.000	128.2100	26,411.26
TATA MOTORS LIMITED ADR EA REP 5 ORD	942.000	12.9300	12,180.06
INR2 (TTM)			
TECK RESOURCES LIMITED CLASS B SUB	901.000	17.3700	15,650.37
VTG COM NPV ISIN #CA8787422044 SEDOL #2879327 (TECK)			
TELECOM ITALIA SPA SPON ADS EACH REP 10	1,286.000	6.2460	8,032.35
ORD SHS (TIIAY)			
TELEFONICA BRASIL-ADR PREF (VIV)	873.000	14.3200	12,501.36
TELEFONICA SA ADR EA REPR 1 ORD NPV	146.000	6.9700	1,017.62
(TEF)			
TELENOR ASA ADR EACH REPR 1 ORD NOK6 SPON (TELNY)	193.000	17.9180	3,458.17
TENCENT HLDGS LTD UNSPON ADR (TCEHY)	1,826.000	48.2050	88,022.33
TESCO SPON ADR EACH REP 3 ORD SHS	1,891.000	10.1420	19,178.52
(TSCDY)			
TESLA INC COM (TSLA)	52.000	418.3300	21,753.16
TEXAS INSTRUMENTS INC COM USD1.00	243.000	128.2900	31,174.47
(TXN)			
TEXTRON INC (TXT)	236.000	44.6000	10,525.60
THERMO FISHER SCIENTIFIC INC (TMO)	109.000	324.8700	35,410.83
THOMSON-REUTERS CORP COM NPV (POST REV	305.000	71.6000	21,838.00
SPLIT) ISIN #CA8849037095 SEDOL #BFXPTB0 (TRI)			

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Common Stock (continued)			
3M COMPANY (MMM)	170.000	176.4200	29,991.40
TIFFANY & CO NEW COM (TIF)	64.000	133.6500	8,553.60
TOKIO MARINE HOLDINGS INC ADR (TKOMY)	460.000	56.3060	25,900.76
TOKYO ELECTRON LTD ADR (TOELY)	348.000	55.0380	19,153.22
TORAY INDS INC ADR (TRYIY)	915.000	13.6390	12,479.68
TORONTO DOMINION BANK ONT COM NPV ISIN #CA8911605092 SEDOL #2897222 (TD)	492.000	56.1300	27,615.96
TOYOTA MOTOR CORP SP ADR REP2COM (TM)	331.000	140.5400	46,518.74
TRANSDIGM GROUP INC COM (TDG)	20.000	560.0000	11,200.00
TRAVELERS COMPANIES INC COM STK NPV (TRV)	126.000	136.9500	17,255.70
TRIP COM GROUP LTD SPON ADS EACH REP 0.125 ORD SHS (TCOM)	259.000	33.5400	8,686.86
TRUIST FINL CORP COM (TFC)	690.000	56.3200	38,860.80
21VIANET GROUP INCORPORATED SPON ADS EACH REPR 6 ORD SHS A (VNET)	82.000	7.2500	594.50
TWITTER INC COM USD0.000005 (TWTR)	204.000	32.0500	6,538.20
TYSON FOODS INC CL A (TSN)	169.000	91.0400	15,385.76
UGI CORP NEW COM (UGI)	208.000	45.1600	9,393.28
US BANCORP DEL COM NEW (USB)	328.000	59.2900	19,447.12
UNICHARM CORP SPON ADR EA REPR 1/5 ORD JPY0.00 (UNICY)	2,320.000	6.8090	15,796.88
UNILEVER NV EUR0.16 (NEW YORK SHARES) (UN)	485.000	57.4600	27,868.10
UNION PACIFIC CORP COM (UNP)	193.000	180.7900	34,892.47
UNITED MICROELECTRONICS CORP SPON ADR NEW (UMC)	5,021.000	2.6800	13,456.28
UNITED OVERSEAS BK LTD SPONSORED ADR (UOVEY)	322.000	39.2820	12,648.80
UNITED PARCEL SVC INC CL B (UPS)	175.000	117.0600	20,485.50
UNITED RENTALS INC (URI)	53.000	166.7700	8,838.81
UNITED TECHNOLOGIES CORP COM USD1 (UTX)	89.000	149.7600	13,328.64

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Common Stock (continued)			
UNITED UTILITIES GROUP PLC SPONSORED ADR (UUGRY)	462.000	24.9950	11,547.69
UNITEDHEALTH GROUP (UNH)	262.000	293.9800	77,022.76
V F CORP COM NPV (VFC)	61.000	99.6600	6,079.26
VALE S.A. SPONS ADS REPR 1 COM NPV (VALE)	814.000	13.2000	10,744.80
VALEO ADR-EACH REPR 0.5 ORD EUR3 (VLECY)	316.000	17.6290	5,570.76
VEDANTA LIMITED ADS EACH REPR 4 ORD SHS SPONS (VEDL)	961.000	8.6300	8,293.43
VEOLIA ENVIRONNEMENT SPONSORED ADR (VEOEY)	603.000	26.6140	16,048.24
VERIZON COMMUNICATIONS (VZ)	1,043.000	61.4000	64,040.20
VERITIV CORP COM (VRTV)	4.000	19.6700	78.68
VERTEX PHARMACEUTICALS INC (VRTX)	114.000	218.9500	24,960.30
VESTAS WIND SYSTEMS ADR EACH REPR 0.33333 SHS (VWDRY)	438.000	33.7090	14,764.54
VINCI ADR EACH REPR 0.25 ORD EUR2.5 (VCISY)	1,416.000	27.7820	39,339.31
VISA INC COM CL A (V)	561.000	187.9000	105,411.90
VODAFONE GROUP SPON ADR EACH REP 10 ORD (VOD)	585.000	19.3300	11,308.05
VOLKSWAGEN AG UNSPON ADS EACH REP 0.1 ORD SHS (VWAGY)	170.000	19.4470	3,305.99
VOYA FINL INC COM (VOYA)	262.000	60.9800	15,976.76
VULCAN MATERIALS CO (VMC)	113.000	143.9900	16,270.87
WPP PLC AMERICAN DEPOSITARY SHS EACH REP 5 ORD (WPP)	5.000	70.2900	351.45
WABTEC CORP COM USD0.01 (WAB)	13.000	77.8000	1,011.40
WAL-MART DE MEXICO SAB DE CV ADR EACH REPR 10 V SHS NPV(SPON) (WMMVY)	299.000	28.7440	8,594.45

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Common Stock (continued)			
WALGREENS BOOTS ALLIANCE INC COM (WBA)	147.000	58.9600	8,667.12
WEIBO CORP SPONSORED ADR (WB)	96.000	46.3500	4,449.60
WELLS FARGO CO NEW COM (WFC)	927.000	53.8000	49,872.60
WESTERN DIGITAL CORP DEL (WDC)	114.000	63.4700	7,235.58
WESTPAC BANKING CORPORATION ADR (EACH REP 1 ORD NPV) (WBK)	942.000	16.9800	15,995.16
WESTROCK CO COM (WRK)	89.000	42.9100	3,818.99
WHEATON PRECIOUS METALS CORP COM NPV ISIN #CA9628791027 SEDOL #BF13KN5 (WPM)	259.000	29.7500	7,705.25
WIPRO SPON ADR EACH REP 1 ORD SHS INR2 (WIT)	2,752.000	3.7500	10,320.00
WOORI FINANCIAL GROUP INC SPON ADS EACH REP 3 ORD SHS (WF)	481.000	30.2600	14,555.06
XCEL ENERGY INC COM USD2.5 (XEL)	383.000	63.4900	24,316.67
XILINX INC (XLNX)	135.000	97.7700	13,198.95
YARA INTERNATIONAL ASA SPON ADR EACH REP 0.50 ORD SHS (YARIY)	160.000	20.7800	3,324.80
YUM BRANDS INC (YUM)	149.000	100.7300	15,008.77
YUM CHINA HLDGS INC COM (YUMC)	149.000	48.0100	7,153.49
ZIMMER BIOMET HLDGS INC COM (ZBH)	82.000	149.6800	12,273.76
ZURICH INSURANCE GROUP AG SPON ADR EACH REP 0.1 ORD SHS (ZURVY)	410.000	41.0080	16,813.28
Total Common Stock (97% of account holdings)			\$11,660,470.52
Preferred Stock			
BANCO BRADESCO SA SP ADR PFD NEW ISIN # US0594603039 SEDOL # B00FSK0 (BBD)	2,787.000	\$8.9500	\$24,943.65
BANCOLOMBIA SA SPON PFD (CIB)	279.000	54.7900	15,286.41

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value
Preferred Stock (continued)			
Total Preferred Stock (0% of account holdings)			\$40,230.06
Total Stocks (97% of account holdings)			\$11,700,700.58

Holdings

Bonds

Description	Maturity	Quantity	Price Per Unit	Total Market Value Accrued Interest (AI)
Corporate Bonds				
TORONTO-DOMINION BANK GMTN	12/14/20	100,000.000	\$100.5746	\$100,574.55 \$118.06
FIXED COUPON MOODYS Aa1 S&P AA- SEMIANNUALLY CUSIP: 89114QBC1				
SANOFI NOTE CALL MAKE WHOLE	03/29/21	70,000.000	102.7060	71,894.20 715.56
FIXED COUPON MOODYS A1 S&P AA SEMIANNUALLY MAKE WHOLE CALL CUSIP: 80105NAG0				
ROYAL BANK OF CANADA MTN	04/30/21	75,000.000	101.7862	76,339.62 406.67
FIXED COUPON MOODYS Aa2 S&P AA- SEMIANNUALLY CUSIP: 78013XKG2				
BANK NEW YORK MELLON CORP MTN	05/03/21	80,000.000	100.3180	80,254.40 264.22
FIXED COUPON MOODYS A1 S&P A SEMIANNUALLY NEXT CALL DATE 04/03/2021 CONT CALL 04/03/2021				
GOLDMAN SACHS GROUP INC NOTE	11/15/21	60,000.000	100.3970	60,238.20 180.17
FIXED COUPON MOODYS A3 S&P BBB+ SEMIANNUALLY NEXT CALL DATE 11/15/2020 CONT CALL 11/15/20				
MERCK & CO INC NOTE CALL MAKE WHOLE	02/10/22	85,000.000	100.9990	85,849.15 782.35
FIXED COUPON MOODYS A1 S&P AA SEMIANNUALLY MAKE WHOLE CALL CUSIP: 58933YAQ8				
MORGAN STANLEY MTN	05/19/22	50,000.000	101.8470	50,923.50 160.42
FIXED COUPON MOODYS A3 S&P BBB+ SEMIANNUALLY CUSIP: 61744YAH1				
WESTPAC BANKING CORPORATION NOTE	06/28/22	60,000.000	101.1920	60,715.20 12.50
FIXED COUPON MOODYS Aa3 S&P AA- SEMIANNUALLY CUSIP: 961214DQ3				

Holdings

Bonds (continued)

Description	Maturity	Quantity	Price Per Unit	Total Market Value Accrued Interest (AI)
Corporate Bonds (continued)				
APPLE INC NOTE CALL MAKE WHOLE	02/23/23	110,000.000	102.8600	113,146.00 1,114.67
FIXED COUPON MOODYS Aa1 S&P AA+ SEMIANNUALLY NEXT CALL DATE 12/23/2022 CONT CALL 12/23/20				
VERIZON COMMUNICATIONS INC	09/15/23	75,000.000	111.1910	83,393.25 1,137.29
FIXED COUPON MOODYS Baa1 S&P BBB+ SEMIANNUALLY MAKE WHOLE CALL CUSIP: 92343VBR4				
CSX CORP NOTE CALL MAKE WHOLE	11/01/23	50,000.000	106.2120	53,106.00 308.33
FIXED COUPON MOODYS Baa1 S&P BBB+ SEMIANNUALLY NEXT CALL DATE 08/01/2023 CONT CALL 08/01/				
PNC FINL SVCS GROUP INC NOTE	01/23/24	45,000.000	105.3200	47,394.00 691.25
FIXED COUPON MOODYS A3 S&P A- SEMIANNUALLY NEXT CALL DATE 12/23/2023 CONT CALL 12/23/2023				
MICROSOFT CORP NOTE CALL MAKE WHOLE	02/06/24	135,000.000	103.7660	140,084.10 1,563.28
FIXED COUPON MOODYS Aaa S&P AAA SEMIANNUALLY NEXT CALL DATE 12/06/2023 CONT CALL 12/06/20				
COMCAST CORP NEW NOTE CALL MAKE WHOLE	03/01/24	95,000.000	106.0150	100,714.25 1,140.00
FIXED COUPON MOODYS A3 S&P A- SEMIANNUALLY MAKE WHOLE CALL CUSIP: 20030NB9J				
WASTE MGMT INC DEL NOTE CALL MAKE WHOLE	06/15/24	15,000.000	103.2400	15,486.00 19.67
FIXED COUPON MOODYS Baa1 S&P A- SEMIANNUALLY NEXT CALL DATE 05/15/2024 CONT CALL 05/15/202				
CVS HEALTH CORP NOTE CALL MAKE WHOLE	03/25/25	85,000.000	107.3660	91,261.10 929.33
FIXED COUPON MOODYS Baa2 S&P BBB SEMIANNUALLY NEXT CALL DATE 01/25/2025 CONT CALL 01/25/2				
JPMORGAN CHASE & CO NOTE	07/15/25	90,000.000	108.0080	97,207.20 1,618.50
FIXED COUPON MOODYS A2 S&P A- SEMIANNUALLY NEXT CALL DATE 04/15/2025 CONT CALL 04/15/2025				
UNITEDHEALTH GROUP INC NOTE	07/15/25	65,000.000	108.0260	70,216.90 1,123.96
FIXED COUPON MOODYS A3 S&P A+ SEMIANNUALLY MAKE WHOLE CALL CUSIP: 91324PCP5				
AT&T INC NOTE CALL MAKE WHOLE	02/17/26	65,000.000	108.3940	70,456.10 998.02
FIXED COUPON MOODYS Baa2 S&P BBB SEMIANNUALLY NEXT CALL DATE 11/17/2025 CONT CALL 11/17/2				

Holdings

Bonds (continued)

Description	Maturity	Quantity	Price Per Unit	Total Market Value <i>Accrued Interest (AI)</i>
Corporate Bonds (continued)				
HOME DEPOT INC NOTE CALL MAKE WHOLE	04/01/26	80,000.000	104.6700	83,736.00 600.00
FIXED COUPON MOODYS A2 S&P A SEMIANNUALLY NEXT CALL DATE 01/01/2026 CONT CALL 01/01/2026				
BANK AMER CORP MTN CALL MAKE WHOLE	04/19/26	60,000.000	106.3550	63,813.00 420.00
FIXED COUPON MOODYS A2 S&P A- SEMIANNUALLY NEXT CALL DATE 01/12/2020 MAKE WHOLE CALL C				
STARBUCKS CORP NOTE CALL MAKE WHOLE	06/15/26	60,000.000	101.4400	60,864.00 65.33
FIXED COUPON MOODYS Baa1 S&P BBB+ SEMIANNUALLY NEXT CALL DATE 03/15/2026 CONT CALL 03/15/				
CITIGROUP INC NOTE CALL MAKE WHOLE	10/21/26	80,000.000	103.7590	83,007.20 497.78
FIXED COUPON MOODYS A3 S&P BBB+ SEMIANNUALLY NEXT CALL DATE 07/21/2026 CONT CALL 07/21/2				
PEPSICO INC NOTE CALL MAKE WHOLE	10/15/27	95,000.000	106.0090	100,708.55 601.67
FIXED COUPON MOODYS A1 S&P A+ SEMIANNUALLY NEXT CALL DATE 07/15/2027 CONT CALL 07/15/202				
ANTHEM INC NOTE CALL MAKE WHOLE	12/01/27	75,000.000	105.8990	79,424.25 228.12
FIXED COUPON MOODYS Baa2 S&P A SEMIANNUALLY NEXT CALL DATE 09/01/2027 CONT CALL 09/01/202				
UNITED PARCEL SERVICE INC NOTE	03/15/29	50,000.000	107.4320	53,716.00 500.56
FIXED COUPON MOODYS A2 S&P A SEMIANNUALLY NEXT CALL DATE 12/15/2028 CONT CALL 12/15/2028				
Total Corporate Bonds				\$1,994,522.72

Date	Name	Donee Relationship	Foundation Status	Purpose	Amount
05/28/2019	Alliance for Youth Organizing 915 5th Street NW Washington, DC 20001	N/A	PC	General Support	50,000.00
05/28/2019	As You Sow Main Post Office, P.O. Box 751 Berkeley, CA 94701	N/A	PC	General Support	25,000.00
10/30/2019	Clean Water Fund 1444 Eye St. NW, Suite 400 Washington, DC 20005	N/A	PC	Defending Democracy and the Environment	25,000.00
05/28/2019	Color of Change.org Education Fund Inc 1714 Franklin Street Suite 100-136 Oakland CA 94612	N/A	PC	General Support	50,000.00
05/28/2019	Common Cause Education Fund 805 15th Street NW, Suite 800 Washington, DC 20005	N/A	PC	Election Protection Coalition	50,000.00
05/28/2019	Common Cause Education Fund 805 15th Street NW, Suite 800 Washington, DC 20005	N/A	PC	General Support	50,000.00
05/28/2019	Democracy Works 20 Jay Street, Suite 840 Brooklyn, NY 11201-8306	N/A	PC	For TurboVote	50,000.00
05/28/2019	Demos 220 Fifth Ave., 2nd Floor New York, NY 10001	N/A	PC	Voting Rights Project	50,000.00
10/30/2019	Earthjustice 50 California Street, Ste 500 San Francisco, CA 94111	N/A	PC	Defending Democracy & People's Rights	75,000.00
03/29/2019	Friends of the Earth 1100 15th Street NW, 11th Floor Washington, DC 20005	N/A	PC	General Support - Democracy Reform	50,000.00
10/30/2019	Friends of the Earth 1100 15th Street NW, 11th Floor Washington, DC 20005	N/A	PC	Democracy Reform	50,000.00
10/30/2019	Greenpeace Fund Inc 702 H St. Ste 300 Washington, DC 20001	N/A	PC	Defending Democracy Program	50,000.00
05/28/2019	Indivisible Civic Engagement Inc. 1120 20th Street NW, Suite 300 Washington, DC 20036-3406	N/A	PC	General Support	50,000.00
05/24/2019	Lawyers Comm for Civil Rights Under Law Civil Rights Under Law 1500 K Street, NW, Suite 900 Washington, DC 20005	N/A	PC	Voting Rights Litigation	250,000.00
10/30/2019	League of Conservation Voters Educ. Fund 740 15th Street NW, Suite 700 Washington, DC 20005	N/A	PC	Civic Engagement & Democracy Programs	380,000.00
05/28/2019	Nonprofit Vote Inc. 2464 Massachusetts Avenue, Suite 210 Cambridge, MA 02140-1645	N/A	PC	General Support	50,000.00
10/01/2019	Northern California Grantmakers 160 Spear Street, Suite #360 San Francisco, CA 94105	N/A	PC	Bay Area Democracy Funders	2,000.00
05/28/2019	People's Action 2125 W. North Avenue, 3rd Floor Chicago, IL 60647	N/A	PC	Rural Organizing	50,000.00
05/24/2019	Priorities USA Foundation 1030 15th Street NW, Suite 950 W Washington, DC 20005	N/A	PC	Every Citizen Counts Program	250,000.00
05/28/2019	Proteus Fund 15 Research Drive, Suite B Amherst, MA 01002	N/A	PC	Support Piper Fund	50,000.00
10/30/2019	Sierra Club Foundation 2101 Webster Street, Suite 1250 Oakland, CA 94612	N/A	PC	Democracy Program	50,000.00
10/30/2019	Tides Foundation P.O. Box 399389 San Francisco, CA 94139	N/A	PC	Voter Action Fund	1,140,000.00
10/30/2019	Union of Concerned Scientists 2 Brattle Square Cambridge, MA 02138	N/A	PC	Center for Science and Democracy	75,000.00
10/30/2019	Voter Participation Center 1707 L Street NW, Suite 950 Washington, DC 20036	N/A	PC	General Support	443,823.00
12/16/2019	Voter Registration Project P.O. Box 34336 Washington, DC 20043	N/A	PC	Payment 1 of 2	500,000.00
12/20/2019	Accelerate Change 294 Washington Street, Suite 500 Boston, MA 02108	N/A	PC	Project Pulso	7,000.00

Date	Name	Donee Relationship	Foundation Status	Purpose	Amount
09/30/2019	Art and Remembrance Inc 5614 Connecticut Avenue, NW #131 Washington, DC 20015-2604	N/A	PC	Educational Programs	2,500.00
02/13/2019	Bear Conservation Fund University Hall, Room 309 University of Montana Missoula, MT 59812	N/A	PC	Research and Conservation Grants for Scientists	5,000.00
12/16/2019	Bear Valley Snow Sports Foundation P.O. Box 5302 Bear Valley, CA 95223	N/A	PC	General Support - Amateur Competitions	250.00
12/16/2019	Berkeley East Bay Humane Society 2700 Ninth Street Berkeley, CA 94710	N/A	PC	General Support	250.00
12/16/2019	Berkeley Music Group 1300 Clay Street, Suite 600 Oakland, CA 94612	N/A	PC	General Support	250.00
11/12/2019	Big Sur Land Trust P.O. Box 4071 Monterey, CA 93942	N/A	PC	Outdoor Youth Programs	7,000.00
12/17/2019	BPFP 1442A Wianut Street, #269 Berkeley, CA 94709	N/A	PC	General Support	250.00
12/20/2019	Brain & Behavioral Research Foundation 747 Third Avenue, 33rd Floor New York, NY 10017	N/A	PC	For Bipolar Research	30,000.00
12/16/2019	Cal Alumni Association 1 Alumni House Berkeley, CA 94720-7520	N/A	PC	Scholarships	250.00
12/03/2019	Center for Common Ground 902 Everett Avenue Oakland, CA 94602	N/A	PC	Contest Every Race	5,000.00
10/25/2019	Clubbed Thumb, Inc. 440 Lafayette Street 4th Floor New York, NY 10003	N/A	PC	General Support	5,000.00
03/08/2019	Community Foundation for Monterey County 2354 Garden Road Monterey, CA 93940	N/A	PC	For the Homeless Women's Admin. Fund	15,000.00
08/02/2019	Community Foundation for Monterey County 2354 Garden Road Monterey, CA 93940	N/A	PC	Fund for Homeless Women	28,000.00
08/02/2019	CSUMB Foundation University Corporation at Monterey Bay 100 Campus Center, Building 201 Monterey, CA 93955	N/A	PC	For OLLI Program	2,500.00
12/10/2019	Downtown Los Angeles Woman's Center 442 S. San Pedro Street Los Angeles, CA 90013	N/A	PC	General Support	5,000.00
08/02/2019	Earth Island Institute 2150 Allston Way, Suite 460 Berkeley, CA 94704	N/A	PC	California ICAN	2,500.00
10/25/2019	Earth Island Institute 2150 Allston Way, Suite 460 Berkeley, CA 94704	N/A	PC	Women's Environmental Accelerator	1,000.00
07/02/2019	Earth Rights Institute 1127 18th Street, #4 Santa Monica, CA 90403	N/A	PC	Life Skills Project	35,000.00
10/30/2019	Ecumenical Hunger Program 2411 Puigas Avenue East Palo Alto, CA 94303	N/A	PC	General Support	2,000.00
12/10/2019	Ensemble Studio Theatre Los Angeles 137 N. Larchmont Boulevard, Suite 134 Los Angeles, CA 90004	N/A	PC	General Support	2,000.00
12/03/2019	First Nations Development Institute 2432 Main Street, 2nd Floor Longmont, CO 80501	N/A	PC	General Support	3,500.00
10/30/2019	Foundation for National Progress 222 Sutter Street, Suite 600 San Francisco, CA 94108	N/A	PC	Support Mother Jones Magazine	5,000.00
11/22/2019	Franciscan Workers of Junipero Serra P.O. Box 2027 Salinas, CA 93902	N/A	PC	For Dorothy's Kitchen	1,500.00
12/16/2019	Freight & Salvage 2020 Addison Street Berkeley, CA 94704	N/A	PC	General Support	250.00
12/16/2019	Friends of the Fountain Walk P.O. Box 7343 Berkeley, CA 94707	N/A	PC	General Support	250.00
06/11/2019	Global Exchange 1446 Market Street San Francisco, CA 94102	N/A	PC	ALIADI/Biosafety Alliance	1,000.00

Date	Name	Donee Relationship	Foundation Status	Purpose	Amount
11/05/2019	Highlander Research and Education Center 1959 Highlander Way New Market, TN 37820	N/A	PC	National Bail Out	2,000.00
08/06/2019	ICA Cristo Rey Academy 3625-24th Street San Francisco, CA 94110	N/A	PC	Support Scholarship & Tuition Asst. Program	5,000.00
07/22/2019	Independent Shakespeare Company 3191 Casitas Avenue, #130 Los Angeles, CA 90039	N/A	PC	Shakespeare Festival	2,000.00
08/02/2019	Interim, Inc. P.O. Box 3222 Monterey, CA 93942	N/A	PC	General Support	1,000.00
10/30/2019	Intn'l Society of Women Airline Pilots 381 Fir Acres Drive NW Bainbridge Island, WA 98110	N/A	PC	ISA+21 Scholarship Fund	2,000.00
12/16/2019	Jewish Community Center of the East Bay 1414 Walnut Street Berkeley, CA 94709	N/A	PC	General Support	3,000.00
12/03/2019	MAIA 734087 Network Place Chicago, IL 60673	N/A	PC	Her Ambitious Dream - IHO Patsy Schmitz	5,000.00
04/15/2019	Mathematical Science Research Institute 17 Gauss Way Berkeley, CA 94720	N/A	PC	General Support	5,000.00
10/30/2019	Movement Strategy Center 436 14th Street, 5th Floor Oakland, CA 94612	N/A	PC	CJA	2,000.00
10/30/2019	NARAL Pro-Choice America Education Found. 1725 Eye Street NW, Suite 900 Washington, DC 20006	N/A	PC	General Support	2,000.00
10/30/2019	National Network of Abortion Funds P.O. Box 170280 Boston, MA 02117	N/A	PC	General Support	2,000.00
12/03/2019	Native American Rights Fund 1506 Broadway Boulder, CO 80302	N/A	PC	General Support	5,000.00
12/10/2019	Open Fist Theatre Company 14535 Arminta Street, Unit E Van Nuys, CA 94102	N/A	PC	General Support	2,500.00
10/30/2019	Opportunity Center of Palo Alto 643 Bair Island Road, Suite 209 Redwood City, CA 94063	N/A	PC	General Support	2,000.00
08/02/2019	Papillion Center for Loss & Transition P.O. Box 4075 Monterey, CA 93942	N/A	PC	General Support	1,000.00
10/17/2019	Point Lobos Foundation P.O. Box 221789 Carmel, CA 93922	N/A	PC	General Support	2,500.00
11/05/2019	Polytechnic School Pasadena 1030 E. California Blvd. Pasadena, CA 91106	N/A	PC	Poly Fund - for Scholarship Funding	2,000.00
12/20/2019	Priorities USA Foundation 1030 15th Street NW, Suite 950 W Washington, DC 20005	N/A	PC	General Support	7,000.00
10/30/2019	Priorities USA Foundation 1030 15th Street NW, Suite 950 W Washington, DC 20005	N/A	PC	Voting Rights Litigation	4,000.00
01/14/2019	Progressive Leadership Alliance of Nevada 203 South Arlington Avenue Reno, NV 89501	N/A	PC	\$1,500 General Support; \$1,500 MMIW campaign	3,000.00
12/20/2019	PushBlack 625 Monroe Street NE, Suite 109 Washington, DC 20017	N/A	PC	General Support	7,000.00
04/15/2019	Reed College 3203 SE Woodstock Blvd Portland, OR 97202	N/A	PC	General Support	5,000.00
10/30/2019	Rising Tide North America P.O. Box 22521 100 S. Broad Street Philadelphia, PA 19110	N/A	PC	General Support	2,000.00
07/30/2019	River Network P.O. Box 21387 Boulder, CO 80308	N/A	PC	General Support	5,000.00
10/30/2019	Santa Barbara Master Chorale P.O. Box 30803 Santa Barbara, CA 93130	N/A	PC	General Support	2,000.00
10/30/2019	Sea Shepherd Conservation Society 209 E. Alameda Avenue, Suite 205 Burbank, CA 91502	N/A	PC	General Support	2,500.00

Date	Name	Donee Relationship	Foundation Status	Purpose	Amount
10/30/2019	Sea Shepherd Conservation Society 209 E. Alameda Avenue, Suite 205 Burbank, CA 91502	N/A	PC	General Support	2,500.00
12/10/2019	SELAH 2658 Griffith Park Boulevard, Suite 194 Los Angeles, CA 90039-2520	N/A	PC	General Support	4,000.00
12/16/2019	Sierra Watch 408 Broad Street, Suite 12 Nevada City, CA 95959-2443	N/A	PC	General Support	250.00
10/25/2019	SIJCC 1110 Bates Avenue Los Angeles, CA 90029-2202	N/A	PC	General Support	5,000.00
02/13/2019	SMPAC/Semillas para el Futuro 1233 Tanglewood Road Prescott, AZ 86303	N/A	PC	General Support	2,000.00
10/30/2019	Stanford Law School Crown Quadrangle 559 Nathan Abbott Way Stanford, CA 94305	N/A	PC	Supreme Court Litigation Clinic	5,000.00
10/30/2019	Team Brownsville, Inc. P.O. Box 3945 Brownsville, TX 78523	N/A	PC	General Support	2,000.00
10/30/2019	The Solutions Project 4096 Piedmont Avenue, #728 Oakland, CA 94611	N/A	PC	General Support	2,000.00
10/30/2019	Thistle Farms 5122 Charlotte Avenue Nashville, TN 37209	N/A	PC	Global Accelerator Program	2,000.00
06/13/2019	Tides Foundation P.O. Box 399389 San Francisco, CA 94139	N/A	PC	Hometown Outreach Fund	10,000.00
10/30/2019	Tides Foundation P.O. Box 399389 San Francisco, CA 94139	N/A	PC	Florida Rights Restoration Coalition	4,000.00
10/30/2019	Transition House 425 East Cota Street Santa Barbara, CA 93101	N/A	PC	General Support	1,000.00
12/12/2019	University of Southern California 1150 South Olive Street, 25th Floor Los Angeles, CA 90015	N/A	PC	USC School of Dramatic Arts	10,000.00
12/03/2019	Upstream Policy Institute, Inc. P.O.Box 1352 Damariscotta, ME 04543	N/A	PC	Theres's A Better Way Than to Throw-Away	10,000.00
11/12/2019	Ventana Wilderness Alliance P.O. Box 506 Santa Cruz, CA 95061	N/A	PC	Youth in Wilderness Program	9,000.00
10/30/2019	Verde Valley School 3511 Verde Valley School Road Sedona, AZ 86351	N/A	PC	General Support	1,600.00
12/20/2019	Voter Participation Center 1707 L Street NW, Suite 950 Washington, DC 20036	N/A	PC	General Support	7,000.00
10/30/2019	Voter Participation Center 1707 L Street NW, Suite 950 Washington, DC 20036	N/A	PC	General Support	10,000.00
11/25/2019	Welcoming America Inc. P.O. Box 2554 Decatur, GA 30031	N/A	PC	General Support	1,500.00
02/13/2019	Women Donors Network P.O. Box 2930 San Francisco, CA 94126	N/A	PC	General Support	4,400.00
06/13/2019	Women's Project And Productions, Inc 55 West End Avenue New York, NY 10023	N/A	PC	Women's Project Theatre, NYC	2,500.00
12/20/2019	Working America Education Fund 815 16th Street, N.W. Washington, DC 20006	N/A	PC	General Support	7,000.00
10/17/2019	Yosemite Conservancy 101 Montgomery Street, Suite 1700, San Francisco, CA 94104	N/A	PC	General Support	2,500.00
10/30/2019	Zinn Education Project P.O. Box 73038 Washington, DC 20056	N/A	PC	General Support	2,000.00

\$4,223,823.00